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A review of heating, ventilation and air conditioning technologies and innovations used in solar-powered net zero energy Solar Decathlon houses

Abstract

Innovations in Heating, Ventilation, and Air Conditioning (HVAC) systems are continuously required to provide a better, healthier and more productive and sustainable built environment for building occupants with minimized energy or cost consumption. This paper provides an overview of the HVAC technologies and systems used in 212 solar-powered houses developed through 13 U.S. Department of Energy Solar Decathlon (SD) competitions. Some comments and discussions on the HVAC technologies and systems used in the SD competitions were also provided. The review was carried out based on the information available from the organizer's project reports and equipment summary, team project manuals, and construction drawings available on the SD official websites as well as the published research papers and textbooks. It was found that 84.9% and 89.6% of the competition teams used heat pumps for space heating and space cooling, respectively, among which air-to-air heat pumps were used by approximately 50% of the competition teams. A wide range of energy technologies such as phase change materials, night-time radiative cooling, evaporative cooling, desiccant dehumidification, and energy/heat recovery ventilators have been used to reduce the electricity consumption of the HVAC systems. Energy/heat recovery ventilators were used by more than 55% of the teams in each competition held after 2005. Phase change materials were also frequently used in the competitions held in Europe. The SD competitions provided an excellent platform to showcase innovations of the HVAC technologies in residential buildings.

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2 **A review of Heating, Ventilation and Air Conditioning**
3 **technologies and innovations used in solar-powered net zero**
4 **energy Solar Decathlon houses**

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8 **Abstract:** Innovations in Heating, Ventilation, and Air Conditioning (HVAC) systems are
9 continuously required to provide a better, healthier and more productive and sustainable built
10 environment for building occupants with minimized energy or cost consumption. This paper
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19 by approximately 50% of the competition teams. A wide range of energy technologies such as
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22 consumption of the HVAC systems. Energy/heat recovery ventilators were used by more than
23 55% of the teams in each competition held after 2005. Phase change materials were also
24 frequently used in the competitions held in Europe. The SD competitions provided an excellent
25 platform to showcase innovations of the HVAC technologies in residential buildings.

26 **Keywords:** Net zero energy; HVAC; Solar Decathlon; Innovations; Renewable energy

27 **Abbreviations**

28	DW	desiccant wheel
29	ERV	energy recovery ventilator
30	GSHP	ground source heat pump
31	HRV	heat recovery ventilator
32	HTF	heat transfer fluid
33	HVAC	heating, ventilation and air conditioning
34	LAC	Latin America and Caribbean
35	LD	liquid desiccant
36	ME	Middle East
37	PCM	phase change material
38	PVT	photovoltaic-thermal
39	SD	Solar Decathlon
40	TES	thermal energy storage

41

42 **1. Introduction**

43 Heating, Ventilation, and Air Conditioning (HVAC) systems are among the major energy
44 consumers in buildings and responsible for about 50% of total building energy consumption
45 (Chua et al., 2013; Pérez-Lombard et al., 2008). HVAC systems are one of the main
46 contributors to the peak demand of electric grids (Desideri, 2009) and are becoming
47 increasingly important in the maintenance of the quality of life for a large fraction of the
48 world's population. The number of HVAC systems installed is expected to dramatically
49 increase in the coming decades, largely driven by economic growth, global warming and
50 improvement in the living standards (Isaac and van Vuuren, 2009; Pérez-Lombard et al., 2008).
51 It is estimated that the world electricity consumption of the HVAC systems will increase by a
52 factor of 33 by the end of the century (Axell, 2015). On the other hand, there is long-standing
53 and comprehensive evidence that HVAC systems have a direct effect on health, wellbeing, and
54 productivity of building occupants. Low carbon and healthy HVAC systems are therefore
55 essential to reducing global energy usage and greenhouse gas emissions, mitigating climate
56 change, and maintaining satisfied indoor environment.

57 Over the last several decades, numerous innovations have been made on the development
58 of innovative HVAC technologies. For instance, desiccant cooling has gained significant
59 scientific attention due to its potential to achieve energy savings in tropical and subtropical
60 regions (Ren et al., 2019a; Sahlot and Riffat, 2016). Thermal energy storage (TES) using phase
61 change materials (PCMs) as an integrated component of HVAC systems has been investigated
62 for effective peak demand control and load shifting (Cui et al., 2015; Sun et al., 2013). There
63 has been a great initiative to take HVAC off the grid by developing solar thermal driven HVAC

64 technologies and stand-alone solar-powered HVAC systems (Al-Alili et al., 2014; Huang et al.,
65 2016). Solar assisted ground source heat pump (GSHP) systems and deep borehole GSHP
66 systems are being examined, aiming to improve long-term heat transfer performance of ground
67 heat exchangers and provide energy efficient heating and cooling (Wang et al., 2017; Xia et al.,
68 2018). Heat and energy recovery ventilators were also extensively studied to assist in achieving
69 energy savings (Mardiana-Idayu and Riffat, 2012).

70 Great efforts have also been made on the development of advanced design and control
71 strategies for building HVAC systems to achieve enhanced energy or cost savings (Huang et
72 al., 2015; Wang and Ma, 2008). The optimal solutions were generally determined based on one
73 or multiple objectives such as energy performance, thermal performance, environmental
74 performance and economic performance (Huang et al., 2015). Both the model-based approach
75 and model-free approach have been used to formulate design and control optimization
76 problems for HVAC systems. For instance, a model-based strategy using genetic algorithms
77 was developed to optimally design active cooling energy storage units integrated with the
78 HVAC systems for demand-side management of commercial buildings (Cui et al., 2017). A
79 multi-objective design optimization strategy for vertical ground heat exchangers was
80 developed to minimize the system upfront cost and entropy generation number (Huang et al.,
81 2015). Adhikari et al. (2018) presented an optimal control method that uses a combination of a
82 greedy algorithm and a binary search algorithm for a population of HVAC units to optimize the
83 aggregated power demand. A self-optimization strategy with extremum seeking control was
84 employed to achieve energy efficient control of a hybrid GSHP system (Hu et al., 2016). The

85 model-based control strategies with and without learning and predictive functions have been
86 extensively studied and used to search for the most energy efficient control settings for HVAC
87 systems under ever-changing working conditions (Afram and Janabi-Sharifi, 2014; Ma and
88 Wang, 2011). The results from these studies showed that optimization can play an essential role
89 in ensuring that HVAC systems can deliver a healthy and productive built environment with
90 minimized energy or cost consumption.

91 Innovations and breakthroughs in HVAC systems are continuously required. The U.S.
92 Department of Energy Solar Decathlon (SD) is a great initiative and a live demonstration of
93 the latest innovations in technologies, materials, and solutions developed for sustainable
94 buildings (Solar Decathlon US, 2017). The buildings developed by the SD teams are generally
95 single-family detached houses. More than 200 solar-power houses which aimed to achieve
96 comfortable indoor environment and net-zero energy consumption have been developed and
97 built through the SD competitions and a wide range of innovative HVAC technologies have
98 been used in these houses. However, the HVAC technologies and HVAC innovations used in
99 these SD houses have not been reviewed in the previous studies.

100 This paper aims to provide an overview of main HVAC technologies and systems developed
101 and used in the previous Solar Decathlon competitions to demonstrate evidence-based HVAC
102 innovations. The organization of this paper is as follows. Section 2 provides a brief introduction
103 to the Solar Decathlon competition and thermal comfort contest. Section 3 presents a statistical
104 analysis of major HVAC technologies used in the previous SD competitions. In Section 4, the
105 major HVAC technologies and systems used in the previous SD competitions are reviewed,

106 discussed and summarized. Some conclusive remarks are provided in Section 5.

107 **2. Introduction to the Solar Decathlon competition**

108 Solar Decathlon is an international competition initiated by the U.S. Department of Energy
109 in 2002 that challenges collegiate teams to develop and operate efficient solar-powered houses
110 that blend design excellence, smart energy production, and market potential (Solar Decathlon
111 US, 2017). Fig. 1 presents an example of the Solar Decathlon competition held in the SD China
112 2013 competition. Like the Olympic decathlon, the Solar Decathlon competition consists of
113 ten contests. The ten contests used in the Solar Decathlon Middle East 2018 consisted of
114 architecture, engineering and construction, energy efficiency, sustainability, communication,
115 innovation, energy management, comfort conditions, house functioning, and sustainable
116 transportation (Solar Decathlon Middle East, 2018).

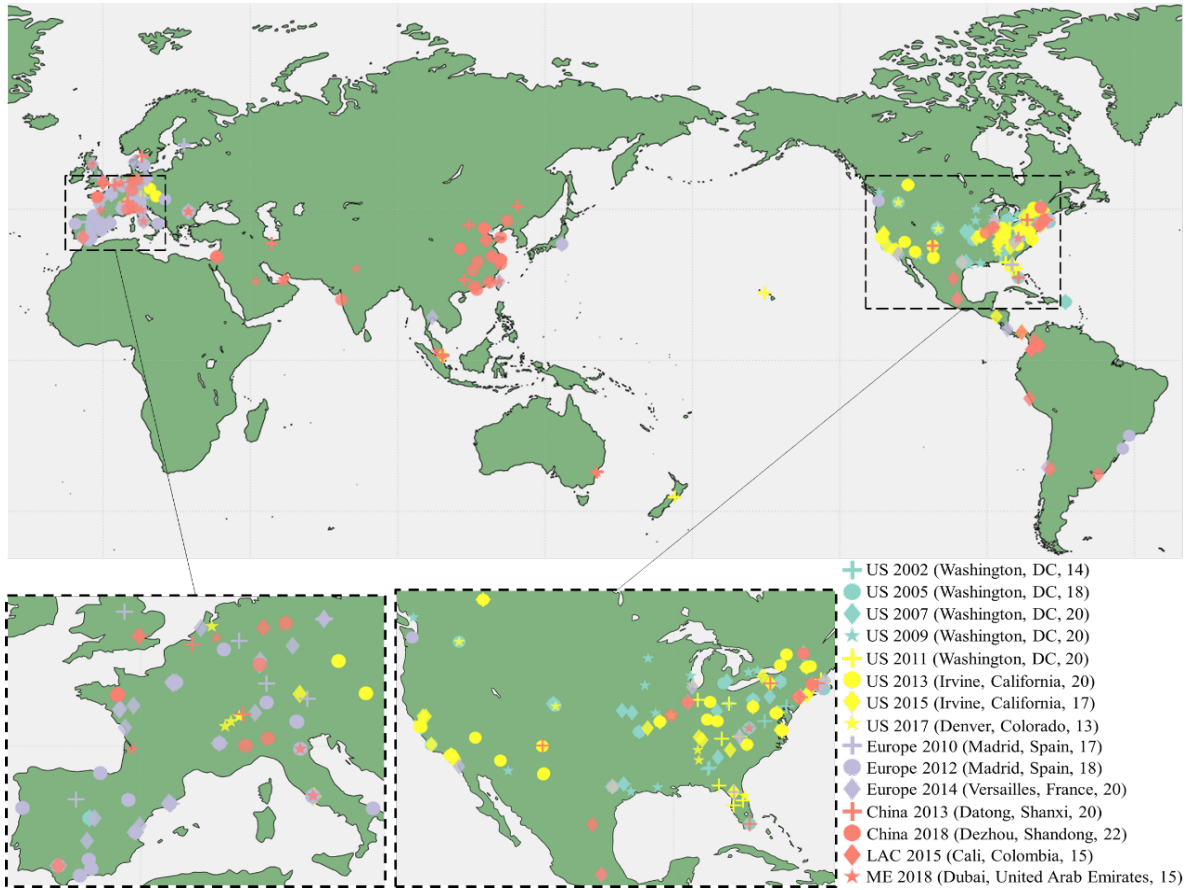


117

118 **Fig. 1.** An example of the competition in the Solar Decathlon China 2013 competition.

119 Fig. 2 presents the locations of the universities participated in the previous SD
120 competitions and the countries and locations where the competitions were held, as well as the
121 number of the teams participated in each competition (see the number in the bracket). It is noted
122 that some competition teams were formed with more than one university. To date, there were

123 eight competitions in the US, two competitions in China, three competitions in Europe, one
 124 competition in Latin America and Caribbean (LAC) and one competition in the Middle East
 125 (ME). The number of the teams participated in each competition varied from 13 to 22.



126
 127 **Fig. 2.** Illustration of the locations of the universities participated in the previous SD
 128 competitions (Solar Decathlon China, 2018; Solar Decathlon Europe, 2014; Solar Decathlon
 129 Europe, 2012; Solar Decathlon Latin America & Caribbean, 2015; Solar Decathlon Middle
 130 East, 2018; Solar Decathlon US, 2017; Xiao et al., 2015).

131 The HVAC systems used in the SD competitions directly affect the comfort conditions
 132 contest, energy management contest, and energy efficiency contest. They may also influence
 133 other contests such as the innovation contest and sustainability contest. Using the competition
 134 in the SD Middle East 2018 competition as an example, the comfort conditions contest

135 consisted of six sub-contests including temperature, humidity, air quality, lighting, façade
136 airborne sound insulation, and HVAC noise (Solar Decathlon Middle East, 2018). According
137 to the competitions rules, full points can be obtained if the teams can maintain their indoor
138 conditions within the required ranges, and no point will be awarded if the indoor conditions
139 were above or below certain values. Otherwise, a reduced point can be obtained.

140 **3. Statistical analysis of the HVAC technologies used in the previous SD competitions**

141 3.1 Methodology of the review

142 In this section, the HVAC systems and technologies used in the teams participated in the
143 previous SD competitions were analyzed. The analysis was performed based on the information
144 available from the organizer's project reports and equipment summary, team project manuals,
145 and construction drawings available on the SD official websites as well as the published
146 research papers and textbooks. It is noteworthy that the HVAC systems used in 13 competition
147 teams were not reviewed due to lack of the information available and it is not our intention to
148 miss the HVAC system used by a particular team. It is also worthwhile to note that the team
149 names used referred to the names provided on the SD official websites.

150 Due to lack of the information from some competitions and some teams, the HVAC systems
151 used by 212 teams were analyzed herein, including 134 teams from the SD US competitions,
152 55 teams from the SD Europe competitions, 10 teams from the SD China 2013 competition,
153 and 13 teams from the SD ME 2018 competition. It is noteworthy that this analysis was
154 developed based on our best understanding of the information provided in the aforementioned
155 literature and the actual systems from some teams built on-site may be slightly different due to

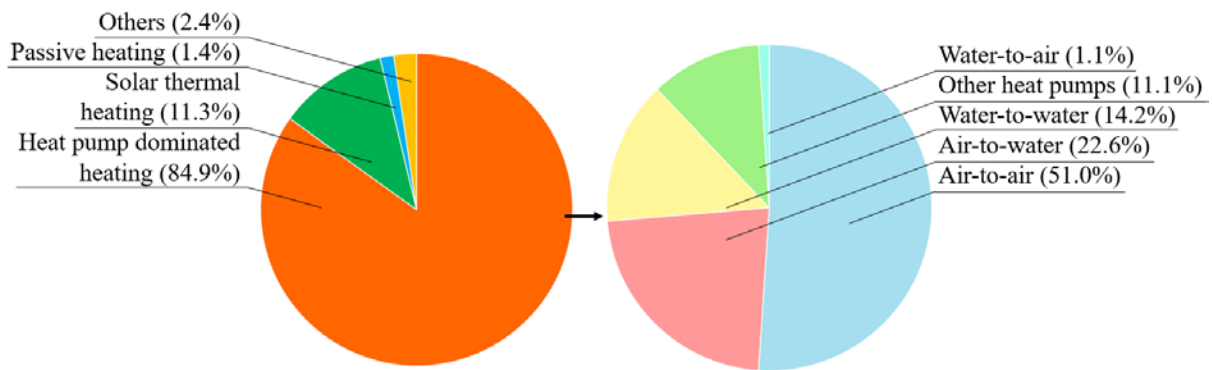
156 various reasons. In addition, the teams that withdrew from the competitions and failed to build
157 the house on-site were not analyzed.

158 3.2 HVAC systems used in 212 competition teams

159 Fig. 3 presents a statistical analysis of the HVAC technologies used for space heating and
160 space cooling in the 212 teams reviewed. The HVAC technologies used for space heating were
161 mainly categorized into three groups including passive heating, solar thermal heating and heat
162 pump dominated heating. Passive heating represents that the space heating was achieved using
163 passive technologies such as daylight control and thermal mass with integrated ventilation
164 systems and did not rely on any active heating devices ((e)co Team, 2012; Team Mexico
165 UNAM, 2014). It is noted that simple passive solar designs such as south-oriented windows
166 and using low-emissivity glasses were not considered as passive technologies in this statistical
167 analysis. Solar thermal heating represents that space heating was achieved by using solar
168 thermal collectors only (Team FENIX, 2014; Team Iowa State, 2009). Heat pump dominated
169 heating represents that space heating was either provided by heat pumps only or jointly
170 provided by heat pumps and solar thermal collectors (Team Ohio State, 2011; Team Prêt-à-
171 Loger, 2014). The HVAC technologies used for space cooling were categorized into passive
172 cooling, absorption/adsorption cooling, and heat pump dominated cooling. Similarly, passive
173 cooling means that space cooling was achieved using passive technologies such as night-time
174 ventilation and natural ventilation ((e)co Team, 2012; Sánchez et al., 2010).
175 Absorption/adsorption cooling means that only absorption or adsorption cooling technologies
176 were used for space cooling (Team Cincinnati, 2007; Team Santa Clara, 2007). Heat pump

177 dominated cooling represents that space cooling was provided by heat pumps only or jointly
 178 provided by heat pumps and other cooling technologies such as evaporative cooling, night-time
 179 radiative cooling, desiccant dehumidification, and thermoelectric cooling (Team Alabama,
 180 2017; Team TDIS 2018; Fiorentini et al., 2015). It can be seen that heat pumps were used by
 181 the majority of the competition teams for both space heating and space cooling. Passive heating
 182 and solar thermal heating were used by 3 teams (1.4%) and 24 teams (11.3%), respectively. 14
 183 teams (6.6%) and 4 teams (1.9%) used passive cooling and absorption/adsorption cooling for
 184 space cooling, respectively.

185



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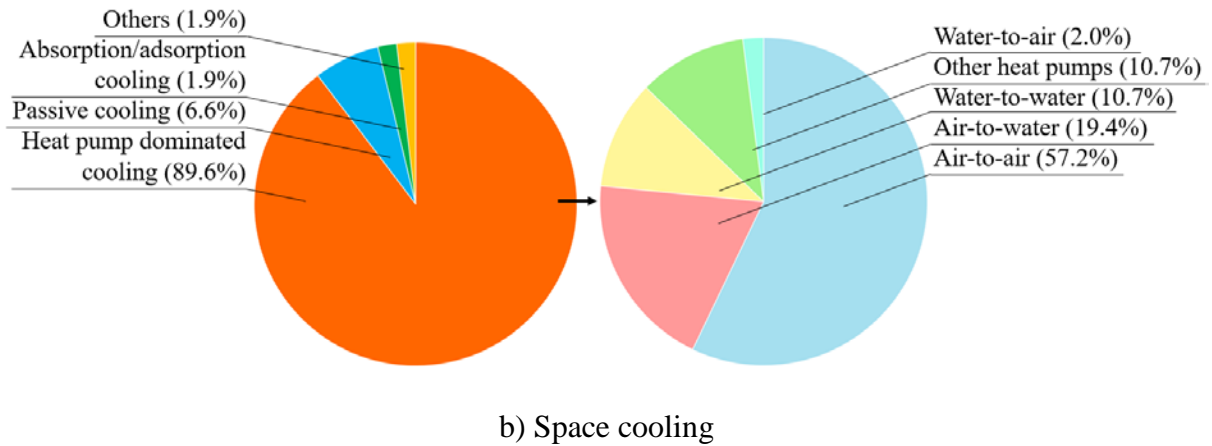
187

a) Space heating

188

189

190



191

192

193 **Fig. 3.** HVAC technologies used in 212 SD competition teams for space heating and space

194

cooling.

195 A further analysis was carried out for the heat pumps used in the previous SD competitions

196 and the results are also presented in Fig. 3. According to the heat transfer fluids used in the

197 evaporator and condenser, the heat pumps used were categorized into five groups including air-

198 to-air heat pumps, air-to-water heat pumps, water-to-water heat pumps, water-to-air heat pumps,

199 and other heat pumps. The heat pumps were mainly categorized based on the heat sink/source

200 used for space cooling and the heat source/sink used for space heating. Other heat pumps

201 mainly included compact heat pumps for both air and water heating at the same time; heat

202 pump water heaters using solar panels as the evaporator; and thermodynamic heat pumps in

203 which the compressor was operated by a thermally driven turbine.

204 From Fig. 3, it can be observed that air-to-air heat pumps were the most popular type of

205 heat pumps used in the SD houses, followed by air-to-water heat pumps, water-to-water heat

206 pumps, other heat pumps, and water-to-air heat pumps for both space heating and space cooling.

207 51.0% and 57.2% of the heat pumps used for space heating and space cooling were the air-to-

208 air heat pumps, respectively. The percentages of using air-to-water heat pumps and water-to-

209 water heat pumps for space heating were slightly higher than those for space cooling. Only a
210 few teams used water-to-air heat pumps for space heating and space cooling. It is worthwhile
211 to note that water-to-water heat pumps and water-to-air heat pumps used by some teams were
212 developed based on the concept of ground source heat pumps, in which ground heat exchangers
213 were emulated by using a water tank (Team Penn State, 2007; Team Virginia Tech, 2009; Team
214 WASH U – ST. LOUIS, 2017) or a phase change material (PCM) thermal energy storage (TES)
215 unit (Sánchez et al., 2010).

216 For the delivering method of the HVAC systems used by the 212 SD houses reviewed, 54.3%
217 of the teams used air to condition the indoor space through either ducted systems or ductless
218 systems for space heating, and 71.2% of the teams used air to deliver cooling energy. 15.1%
219 and 6.6% of the competition teams used radiant panels such as radiant floor, radiant ceiling and
220 hot water radiator for space heating and cooling, respectively. A number of teams used both air
221 and radiant panels for space heating (24.1%) and cooling (14.6%), respectively.

222 Heat recovery ventilators (HRVs) and energy recovery ventilators (ERVs) as energy
223 recovery solutions have been frequently used to improve the energy performance of HVAC
224 systems. The HRV and ERV were used to recover the sensible heat and total heat, i.e. sensible
225 heat and latent heat, between the two air flows, respectively. Among the teams used HRVs and
226 ERVs, 63.3% of the teams used ERVs and the rest used HRVs. HRVs were mostly used in the
227 SD competitions held in Madrid (Spain) and Versailles (France) where weather conditions are
228 moderate, and humidity control was not the major focus in the HVAC system design.

229 3.3 HVAC technologies used in each competition

230 To examine the technology transformation and innovations, the HVAC technologies used
231 in each competition were also analyzed. In this section, the HVAC technologies were analyzed
232 based on the technologies used including solar thermal space heating, night-time radiative
233 cooling, evaporative cooling, desiccant dehumidification, and absorption/adsorption cooling as
234 heating/cooling technologies, PCM as energy storage technology, ERV/HRV as recovery
235 ventilators, and radiant heating and radiant cooling as delivering methods. It is noted that the
236 focus of this review was on the technologies used to provide and/or assist space heating,
237 cooling, and ventilation, and domestic hot water was not included in the statistical analysis.
238 The analysis was carried out by determining the percentage of the teams using these
239 technologies to the total number of the teams participated in each competition. The results of
240 the statistical analysis are summarized in Fig. 4.

241

	Heating/cooling technologies				Energy storage technology	Recovery ventilator	Delivering methods		
	Solar thermal space heating	Night-time radiative cooling	Evaporative cooling	Desiccant dehumidification			PCMs	ERV/HRV	Radiant heating
US 2002	53.8%	0.0%	0.0%	7.7%	0.0%	0.0%	15.4%	30.8%	0.0%
US 2005	61.1%	5.6%	0.0%	5.6%	0.0%	22.2%	55.6%	50.0%	5.6%
US 2007	65.0%	10.0%	0.0%	5.0%	10.0%	20.0%	70.0%	55.0%	10.0%
US 2009	55.0%	0.0%	0.0%	10.0%	5.0%	20.0%	80.0%	50.0%	10.0%
Europe 2010	41.2%	17.6%	29.4%	0.0%	5.9%	52.9%	76.5%	52.9%	58.8%
US 2011	31.6%	0.0%	0.0%	15.8%	5.3%	26.3%	78.9%	15.8%	5.3%
Europe 2012	50.0%	33.3%	22.2%	11.1%	5.6%	44.4%	77.8%	38.9%	33.3%
China 2013	40.0%	20.0%	10.0%	10.0%	0.0%	60.0%	70.0%	40.0%	10.0%
US 2013	26.3%	5.3%	0.0%	5.3%	0.0%	15.8%	73.7%	36.8%	31.6%
Europe 2014	65.0%	5.0%	15.0%	10.0%	0.0%	50.0%	90.0%	40.0%	35.0%
US 2015	14.3%	7.1%	0.0%	0.0%	0.0%	7.1%	71.4%	21.4%	14.3%
US 2017	9.1%	0.0%	9.1%	9.1%	0.0%	9.1%	90.9%	45.5%	18.2%
ME 2018	0.0%	15.4%	7.7%	7.7%	0.0%	23.1%	69.2%	23.1%	38.5%

Fig. 4. Statistical analysis of the HVAC technologies used in each SD competition.

The percentage of the teams using solar thermal for space heating in the SD US competitions increased from 53.8% in 2002 to 65.0% in 2007 and then decreased to 9.1% in 2017. For the competitions held in Europe, the percentage of the competition teams using solar thermal for space heating increased from 41.2% in 2010 to 65.0% in 2014. No team used solar thermal for space heating in the SD ME 2018 competition. The night-time radiative cooling was first used in the US 2005 competition and only a few teams used this technology in the SD US competitions. However, one-third of the teams used night-time radiative cooling in the SD Europe 2012 competition. The data from the more recent competitions (i.e. US 2015 and US 2017) showed that the application of night-time radiative cooling in the SD houses was still

254 limited, although there were two teams used night-time radiative cooling in the SD ME 2018
255 competition. Evaporative cooling in Fig. 4 refers to the use of direct evaporative coolers and
256 indirect evaporative coolers. Evaporative cooling was first used in the SD Europe 2010
257 competition and this technology was more preferred in the competitions held in Europe as the
258 percentages of the teams used evaporative cooling were always not less than 15% in the SD
259 Europe competitions, while evaporative cooling was only used in the most recent competition
260 held in the US with a relatively low percentage (i.e. 9.1% in the SD US 2017 competition). The
261 percentage of the teams using desiccant dehumidification was always less than 16% in the
262 previous SD competitions. The absorption/adsorption cooling was first used in the SD US 2007
263 competition and the application of this technology was quite limited probably due to its high
264 initial cost and high temperature (e.g. above 80 °C) (Gomri, 2013; Shirazi et al., 2018) thermal
265 energy generally required which cannot be continuously provided by solar thermal systems.

266 It can be seen that the application of PCMs in the US competitions first increased to the
267 peak of 26.3% in 2011 and then decreased to less than 10% in recent competitions. The
268 percentage of the teams using PCMs in the SD Europe competitions remained at a relatively
269 high level of approximately 50%. The highest percentage of 60.0% resulted in the SD China
270 2013 competition however it should be noted that only 10 teams were reviewed for this
271 competition. It is also worthwhile to note that the above data included both active and passive
272 applications of PCMs such as using PCM as separate TES units and using PCMs in building
273 structure to enhance thermal mass. It can be observed that ERV/HRV is becoming more and
274 more popular in the SD competitions. In the SD US 2002 competition, the ERV/HRV was only

275 used in 15.4% of the competition teams and it increased to 55.6% in the SD US 2005
276 competition. After that, more than 69% of the competition teams used this technology in the
277 following competitions. The radiant heating was frequently used in the SD competitions.
278 Compared to radiant heating, radiant cooling was much less frequently used in the SD
279 competitions held in the SD US and China competitions while it was still a preferred option in
280 the SD Europe and ME competitions.

281 From the above results, it can be seen that some technologies were preferred in some
282 competitions. For instance, using solar thermal for space heating was not used in the SD ME
283 2018 competition due to its hot and humid weather conditions and was less frequently used in
284 the SD US 2013 (Irvine, California) and 2015 (Irvine, California) competitions due to the
285 relatively warm weather conditions in Irvine. The evaporative cooling was frequently used in
286 the SD Europe 2010 (Madrid, Spain) and 2012 (Madrid, Spain) as the average maximum daily
287 temperature and the average relative humidity of Madrid in July were 25.6 °C and 38%,
288 respectively (La Agencia Estatal de Meteorología, 2019). However, it seems that some
289 technologies such as heat pumps, ERV/HRV, and radiant cooling, were not obviously
290 influenced by the location of the competition.

291 The above analysis showed that heat pumps were the preferred technology that has been
292 used by the majority of the competition teams for space heating and space cooling probably
293 due to their reasonable prices and robustness to provide heating and cooling, easy to install,
294 and easy to control. The frequent use of air-to-air heat pumps may be due to the fact that their
295 installation was easier than that of heat pumps using liquids as the heat transfer fluid.

296 Solar thermal, night-time radiative cooling, and desiccant dehumidification were also
297 frequently used by the competition teams to showcase innovations and reduce the electricity
298 consumption of the HVAC systems. The solar thermal space heating was the most frequently
299 used renewable energy technology, as solar collectors are the mature technology and have been
300 widely used in many residential buildings (Huang et al. 2019). However, the use of solar
301 collectors will reduce the availability of the roof area for PV installations. Therefore, the size
302 of solar collectors to be used should be optimized in order to maximize the utilization of the
303 available roof area and solar energy in SD houses. As solar energy is intermittent, the HVAC
304 system needs to be grid-connected or integrated with thermal and/or electrical storage systems
305 in order to continuously provide services.

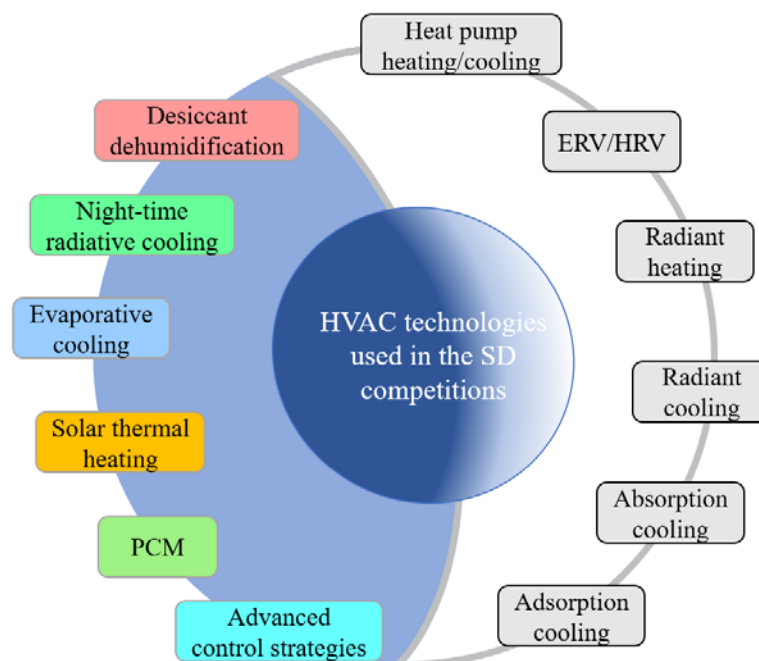
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307 **4. Overview of energy efficient HVAC solutions used in the Solar Decathlon competitions**

308 Fig. 5 shows the major HVAC technologies used in the previous SD competitions, in which
309 the technologies that were not covered in this section were presented in grey boxes while those
310 reviewed in this section were presented in boxes with different colors. Some of them such as
311 heat pumps, radiant panels, and ERV/HRV have been widely used by many competition teams
312 while some of them such as absorption cooling and adsorption cooling were only used in a few
313 houses. In this section, the HVAC technologies reviewed mainly included thermal energy
314 storage using PCMs, solar thermal energy for space heating, night-time radiative cooling,
315 desiccant dehumidification, evaporative cooling, and advanced control strategies. It is noted
316 that passive applications of PCMs, which integrated PCMs into building structures to increase

317 building thermal mass only, thereby reducing heating and cooling demand of HVAC systems
 318 and improving indoor thermal comfort (Lin et al., 2016), were not reviewed. The review of the
 319 passive application of PCMs by a number of the competition teams participated in the early SD
 320 US competitions and the SD Europe 2012 competition can be found in Rodríguez-Ubiñas et al.
 321 (2011) and Rodriguez-Ubinas et al. (2014), respectively.

322



323

324 **Fig. 5.** Summary of main HVAC technologies used in the SD houses.

325

326 4.1 Thermal energy storage using PCMs

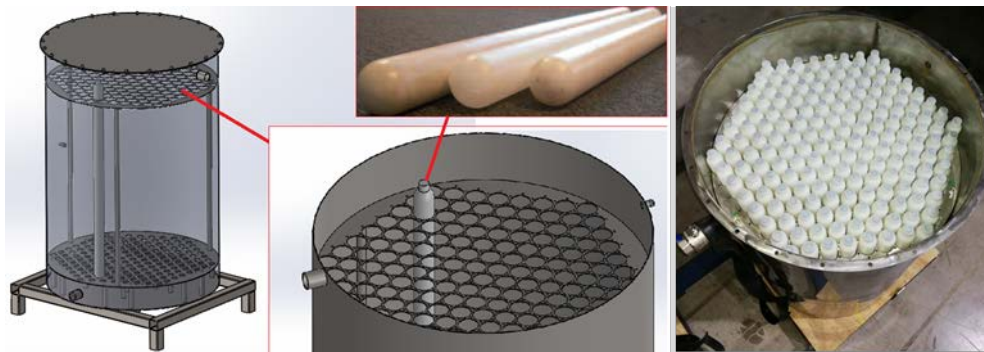
327 PCMs which have large energy storage densities and are available with different melting
 328 temperatures have been widely considered as an energy efficient solution to enhance building
 329 energy efficiency (Ma et al., 2016; Rehman et al., 2019). A number of the competition teams
 330 that used PCM TES as stand-alone systems, and integrated PCM TES with building HVAC

331 systems, as well as integrated PCMs into building structures but a heat transfer fluid was used
332 to actively charge/discharge the PCM are reviewed hereafter. The details of the competition
333 teams used PCM TES as stand-alone systems, and integrated PCM TES with building HVAC
334 systems are summarized in Table 1. It is worthwhile to note that the PCM TES units used by a
335 few teams were not included in Table 1 due to lack of the information.

336 It can be seen that PCMs were generally used as centralized TES units for storage of
337 renewable and non-renewable thermal energy, such as solar thermal energy, ambient
338 coolness/heat, night-time radiative cooling, evaporative cooling, and heating and cooling
339 energy generated by heat pumps. A TES unit, for instance, which consisted of spheres filled
340 with water and placed in a water tank, was used by Team Colorado (2007a, 2007b) in the US
341 2007 competition. The TES unit enabled the ice thermal storage to shift the peak load of space
342 cooling in summer, and it was also served as a TES system to store solar thermal energy from
343 water-based photovoltaic-thermal (PVT) collectors and operated as the heat source of a water-
344 to-water heat pump in winter. In the SD US 2011 competition, a PCM TES tank with three coil
345 heat exchangers inside was developed by Team New York (2011). The PCM used was an
346 organic PCM of RT82 (Rubitherm GmbH, 2019). The PCM was charged by solar thermal
347 energy generated from evacuated tube solar collectors and the thermal energy was used to
348 power an adsorption chiller or drive radiant floor heating, and also used for domestic hot water.
349 The tank was designed by considering the volume change of the PCM during the phase change
350 process and the heat transfer performance between the PCM and the heat transfer fluid. A water-
351 based PCM thermal storage tank was developed by Team UOW (2018) in the recent SD ME

352 2018 competition, as shown in Fig. 6. The PCM TES unit was used in the HVAC system for
353 load shifting and demand-side management. The PCM used was an inorganic PCM (i.e.
354 TubeICE S10) (PCM products Ltd., 2019) with a nominal phase change temperature of 10 °C,
355 which was pre-encapsulated in high-density polyethylene (HDPE) tubular containers. These
356 tubes were arranged in a triangular array and encased in a stainless-steel cylindrical tank. The
357 PCM tubes were constrained in the tank using two stainless-steel plates with openings, and a
358 large number of perforated holes were fabricated to ensure an even water flow distribution
359 through the PCM tube array.

360



361

362 **Fig. 6.** PCM TES used in the HVAC system of Team UOW Desert Rose house for load
363 shifting in the SDME 2018 competition.

364

365 In the SD Europe 2010 competition, Team Napevomo (Sánchez et al., 2010) installed four
366 air-based PCM TES units under the floor for space cooling. The PCM was cooled by the
367 ambient air during night-time and the indoor air temperature was regulated by circulating the
368 air between the TES units and the indoor environment during daytime. An air-based PCM TES
369 unit, as shown in Fig. 7a), was used in a solar-assisted HVAC system (Fig. 7b) in the SD China

370 2013 competition. The PCM used was an inorganic PCM product (i.e. PlusICE S21) (Fiorentini
 371 et al., 2015; PCM products Ltd., 2019), with a phase change temperature of around 22 °C. In
 372 this system, the air-based PCM TES unit was used to store the heating and cooling energy
 373 generated by the air-based PVT collectors via solar radiation and night-time radiative cooling,
 374 respectively. The stored thermal energy was then used for space heating and cooling by
 375 circulating the air between the PCM TES unit and the indoor environment, or for pre-heating
 376 or pre-cooling the air for the air handling unit of the HVAC system.

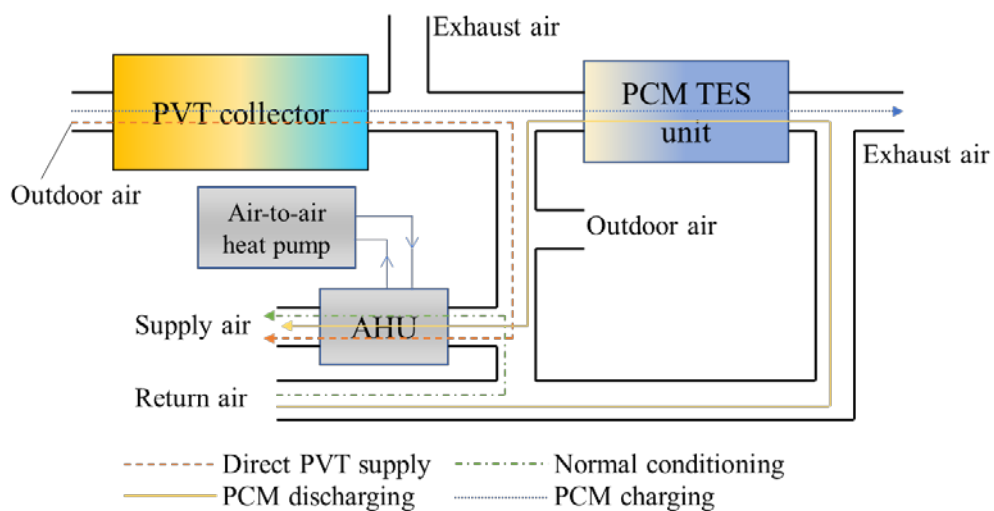
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378

379

a) Air-based PCM TES system



380

b) HVAC system with integrated PCM TES unit

Fig. 7. Illustration of the PCM TES and HVAC system developed by Team UOW for the SD China 2013 competition, modified from Fiorentini et al. (2015).

An innovative PCM emulsion was used by Team RWTH Aachen University (2012) in the SD Europe 2012 competition as the heat transfer fluid and TES material for space cooling. The PCM emulsion used was essentially a mixture of water and paraffin wax, whose heat capacity was 1.59 times higher than water in the temperature range of 16-22 °C (Team RWTH Aachen University, 2012). The PCM emulsion was regenerated using the coolness collected from a rooftop night-time sky radiative cooling and water evaporation system.

A number of the competition teams implemented PCMs in the building structures but charging/discharging of the PCM was actively controlled by circulating the heat transfer fluid through the PCM. These PCM systems increased the local thermal mass of the building structures and at the same time served as TES units for building thermal energy management. The details of these systems are summarized in Table 2. Again, the PCM used in some competition teams were not included due to lack of the details.

Team Madrid developed a hybrid PCM system in their SD house in the SD US 2005 competition (Moon et al., 2005). Three layers of macro-encapsulated PCM with a phase change temperature of 22-24 °C were integrated into the raised floor (Hernández-Martínez, 2011). During the winter daytime, the PCM was passively charged by the solar radiation transmitted through the window on the south façade, and actively charged using the indoor air heated by

402 the greenhouse double skin façade. During night-time, the thermal energy stored in the PCM
403 can be discharged passively, or can be discharged actively by circulating the air between the
404 PCM and the indoor environment via a fan. In summer, the night-time coolness was stored in
405 the PCM and it was released during the daytime for space cooling. A surface activated radiant
406 cooling system enhanced by PCMs was adopted by Team Darmstadt (2007) in the SD US 2007
407 competition. The micro-encapsulated PCM was embedded into plasterboards, and then
408 integrated onto the east and west walls, and ceilings. The PCM enhanced plasterboard on the
409 ceiling was coupled with capillary-tube mats for space cooling. It was charged using the chilled
410 water generated by a passive ceiling cooling system with water evaporation and sky radiative
411 cooling on the rooftop during the night-time. In the SD US 2011 competition, Team
412 Appalachian State (2011) developed a PCM-enhanced Trombe wall which consisted of
413 rotatable Trombe fins filled with oil-based PCM. The PCM was charged by the solar radiation
414 on the Trombe wall during daytime and the thermal energy could be passively released during
415 night-time for space heating. The Trombe fins could also be used to assist daylight control.

416 The above review showed that PCMs have been frequently used by the SD competition
417 teams to reduce energy consumption of the HVAC systems mainly through load shifting and
418 load reduction. The use of PCM TES could also facilitate the application of renewable heating
419 and/or cooling systems by solving the mismatch between the energy demand and solar thermal
420 energy generation, which could further reduce the energy consumption of HVAC systems or
421 even take air conditioning off the grid. However, the real performance of the SD houses due to
422 the use of PCMs was not reported. As PCMs are generally expensive in comparison with

423 building construction materials, detailed performance optimization and cost benefit analysis

424 should be carried out during the decision-making process.

425

426 **Table 1.** Summary of stand-alone PCM TES units and PCM TES units integrated with HVAC systems.

Team	HTF	Details of the TES units	PCM used			Reference
			Name/type	Melting temp. (°C)	Latent heat (kJ/kg)	
RISD	Liquid	PCM bricks were placed in a container under the house and used as separate heat and cold sinks.	Salt hydrates	-	-	Moon et al. (2005), U.S. DOE (2005)
Colorado	Liquid	An ice TES system was integrated with a water-to-water heat pump.	Ice	0	334	Team Colorado (2007a, 2007b)
Germany	Air	A TES was placed above the ceiling and charged through night-time ventilation for space cooling.	Delta-COOL23 PCM29P	20-25	185	Team Germany (2009)
Ohio State	Air	PCM was filled in HDPE containers and placed in an air-based TES unit; PCM was charged by wall-integrated solar air heaters.	(mainly CaCl ₂ hexahydrate)	29	-	RGEES (2019), Team Ohio State (2011)
New York	Liquid	PCM was filled in a TES tank with three coil heat exchangers inside; PCM was charged by solar water heaters, and used for space heating via radiant floor and for space cooling via an adsorption chiller.	RT82 (Paraffin)	77-82	170	Team New York (2011), Rubitherm GmbH (2019)
AZ State / New Mexico	Liquid	An ice TES unit was integrated with a chiller.	Ice	0	334	Team AZ State / New Mexico (2013)
Solar Cal Poly	Air	PCM was filled in the aluminum tubes and placed in the air duct.	BioPCM (palm oil)	-	-	Team Solar Cal Poly (2015)
Las Vegas	Air	PCM was encapsulated into foil packets and installed in a fresh air plenum; PCM was charged by ambient heat or coolness.	Eutectic salt	25.6	-	Team Las Vegas (2017)

Napevomo	Air	PCM was filled in the tubes and placed in a TES unit; PCM was charged by night-time ventilation and used for space cooling.	-	-	-	Sánchez et al. (2010)
Bamboo House	Air	Four rectangular ventilation ducts with PCM bricks were used to regulate indoor air temperature for both space heating and cooling.	-	-	-	Sánchez et al. (2010)
URCOMA NTE	Liquid	PCM was used to emulate thermal inertia of the earth for a geothermal heat pump.	-	-	-	Sánchez et al. (2010)
Rhône-Alpes	Liquid	PCMs with different phase change temperatures were filled in a water tank and charged by PVT collectors via solar thermal energy and night-time radiative cooling, respectively, or by a heat pump, and used for indoor radiant heating and cooling.	-	35/10	-	Team Rhône-Alpes (2012)
RWTH Aachen University	Liquid	PCM emulsion was used as the working fluid for space cooling, and was cooled by night-time radiative cooling and water evaporation.	PCM emulsion (RT20 based)	16-22	40	Team RWTH Aachen University (2012), Hanu et al. (2012)
CEU Team Valencia	Liquid	Two PCM tanks were integrated with a heat pump for space cooling; One was used as the heat sink while the other was used as cold storage.	-	-	-	CEU Team Valencia (2012), Real et al. (2014)
Aquitaine Bordeaux Campus	Air	Three PCM heat exchangers were used for space cooling by using the coolness generated via night-time ventilation.	Paraffin	21	-	Team Aquitaine Bordeaux Campus (2012)
équipe VIA-UJI	Air	PCM was charged by the heated air from a greenhouse and discharged during night-time for space heating.	S25	25	180	Team équipe VIA-UJI (2014)

FENIX	Air	PCM was charged by the heated air from the solar air heater and discharged during night-time for space heating.	-	25-28	-	Team FENIX (2014)
Réciprocité	Air	The system used was similar to that used by Team FENIX (2014).	-	46	-	Team Réciprocité (2014)
UOW	Air	PCM was filled in the plastic containers and placed in a TES unit; PCM was heated and cooled via solar thermal energy and night-time radiative cooling, as well as a heat pump.	PlusICE S21	22	170	Fiorentini et al. (2015), PCM products Ltd. (2019)
UOW	Liquid	A TES unit with a number of HDPE tubes filled with PCM was used to store cooling energy for load shifting and demand side management.	TubeICE S10	10	155	Team UOW (2018), PCM products Ltd. (2019)
ORA	Air	A PCM TES unit was charged by night-time ventilation and used for space cooling.	PlusICE S32	32	200	Team ORA (2018), PCM products Ltd. (2019)

428 **Table 2.** Summary of the PCMs actively used in building structure of the SD houses.

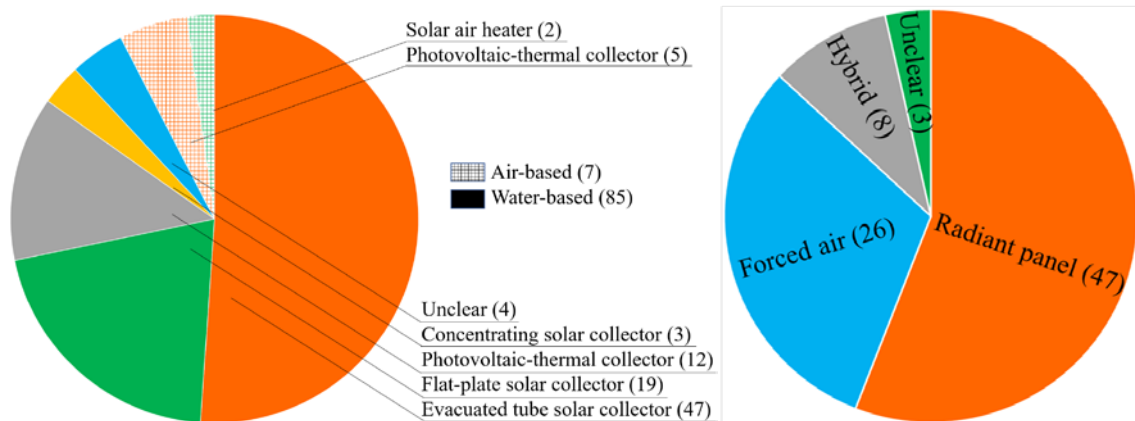
Team	HTF	Details of the TES systems	PCM used			Reference
			Name/type	Melting temp. (°C)	Latent heat (kJ/kg)	
Madrid	Air	PCM was placed under the floor and used for intake air preheating and precooling; PCM was charged through greenhouse double-skin façade.	-	22-24	-	Moon et al. (2005), Hernández-Martínez (2011)
Canadian	Air	PCM-soaked bricks were placed beneath the floor; PCM was charged by PVT collectors and used for space heating.	Organic mixture	-	-	Moon et al. (2005), U.S. DOE (2005)
Darmstadt	Liquid	PCM was integrated with a chilled ceiling and charged by the water which was cooled via evaporative cooling.	Micronal plaster board	-	-	Team Darmstadt (2007)
Appalachian State	Air	PCM was integrated with rotatable fins of a Trombe wall and was charged by solar radiation for space heating.	BioPCM - 27M	27	165-200	Team Appalachian State (2011)
home ⁺	Liquid/ Air	PCM was integrated with a chilled ceiling and charged by a PVT collector via night-time radiative cooling, or a heat pump, or evaporative cooling.	-	21-23	-	Sánchez et al. (2010)
IKAROS Bavaria	Liquid	PCM was integrated with a radiant ceiling for both space heating and cooling, which was integrated with a heat pump.	-	-	-	Sánchez et al. (2010)
ECOLAR	Liquid	PCM was integrated with a chilled ceiling and charged by a PVT collector via night-time radiative cooling or a heat pump.	-	-	-	Team ECOLAR (2012)
Chiba University	Air	PCM was filled in the containers and placed under the floor, which was integrated with a raised floor air conditioning system for both space heating and cooling.	Paraffin	21	100	Team Chiba University (2014)

Rooftop	Liquid	PCM was integrated with a chilled ceiling, and charged by a heat pump or night-time natural ventilation or evaporative cooling via a constructed wet-land.	-	23	-	Team Rooftop (2014)
Virginia Tech	Air	PCM was mounted on the ceiling for demand-side management. It was charged by the HVAC system and used for space cooling at non-solar production period.	-	22	-	Team Virginia Tech (2018)

430 4.2 Solar thermal space heating

431 A number of the SD houses used solar thermal energy for space heating. The types of solar
432 collectors and delivering methods used as well as the number of the teams which used different
433 solar thermal technologies for space heating are summarized in Fig. 8. Solar collectors could
434 be generally categorized into water-based collectors and air-based collectors dependent on the
435 heat transfer fluid used. The water-based solar collectors used for space heating could be further
436 categorized into evacuated tube solar collectors, flat-plate solar collectors, photovoltaic-
437 thermal (PVT) collectors, and concentrating solar collectors. The delivering methods were
438 required when water-based solar collectors are used, which could be categorized into three
439 types including radiant panels, forcing air, and hybrid method which used both radiant panels
440 and forcing air. The air-based solar collectors could be categorized into solar air heaters and
441 air-based PVT collectors, and thermal energy collected could be directly delivered into the
442 indoor space via air flow. It can be clearly seen that water-based collectors were used by many
443 competition teams for space heating. Among different water-based collectors, evacuated tube
444 collectors were used by 47 teams, which was the most popular type of solar collectors. The
445 flat-plate solar collectors and PVT collectors were also used by a number of the competition
446 teams, while concentrating solar collectors were only used by 3 teams. The air-based solar
447 collector was used by only 7 teams. The number of the teams used radiant panels was almost
448 doubled than that used forced air to deliver thermal energy generated by water-based collectors,
449 and a few teams used both delivering methods. ‘Unclear’ in Fig. 8 indicated that the type of
450 solar collectors and the delivering methods were not clearly stated.

451



452

453

a) Types of solar collectors

b) Delivering methods for water-based collectors

454

Fig. 8. Summary of solar collectors and delivering methods used in solar thermal space

455

heating systems of the SD houses.

456

457

Some solar thermal space heating technologies used in the SD houses are briefly

458

introduced herein. In the SD US 2007 competition, Team Texas (2007) developed a solar

459

thermal space heating system by using evacuated tube solar collectors and a radiant floor

460

heating system to generate hot water and deliver thermal energy to the indoor space,

461

respectively. A similar design was also adopted by a number of the competition teams. Team

462

Cincinnati (2007) also utilized the evacuated tube solar collectors for space heating while the

463

thermal energy was delivered by using both a radiant floor heating system and a water-to-air

464

heat exchanger in the SD US 2007 competition. Team Ontario/BC (2009) developed a solar

465

thermal space heating system by integrating a heat pump with evacuated tube solar collectors

466

in the SD US 2009 competition. The hot water generated by the solar collectors can be directly

467

used for space heating via an air handling unit or used as the heat source of a water-to-water

468 heat pump to enhance its performance for water heating. Using solar thermal energy as the heat
469 source of heat pumps was also adopted by 10 teams and 11 teams from the SD competitions
470 held in the US and Europe, respectively. A solar thermal space heating system assisted by solar
471 air heaters, an air-based PCM TES unit, and flat-plate solar water heaters was developed by
472 Team Ohio State (2011) in the SD US 2011 competition. The air-based solar thermal collectors
473 were installed on the south-facing wall of the house and used to provide hot air for space
474 heating. The flat-plate solar water heaters were used to generate hot water for both domestic
475 hot water and space heating. When space heating was required, the thermal energy generated
476 by the flat-plate solar water heater was used to provide hot water for a hydronic heating coil in
477 an air handling unit. The hot air generated by the solar air heaters first passed through the TES
478 unit for PCM charging and then supplied to the air handling unit as pre-heated air for space
479 heating. The thermal energy stored in the PCM can be used for space heating when the solar
480 radiation was low.

481 The above review showed that solar thermal space heating was mainly used for pre-heating
482 and/or as a supplementary heating system of HVAC systems. Water-based solar thermal space
483 heating was more frequently used in the previous SD competitions, as compared to air-based
484 solar thermal space heating. Radiant panels were the most frequently used delivering method
485 for solar thermal space heating.

486 4.3 Night-time radiative cooling

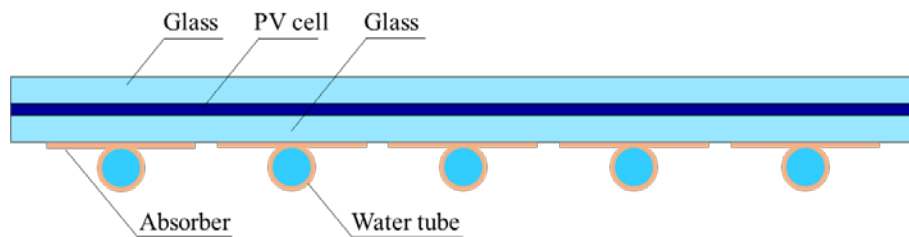
487 Night-time radiative cooling utilizes heat loss through long-wave radiation to the sky to
488 provide cooling (Eicker and Dalibard, 2011). This technology has attracted increasing interest

489 as it requires negligible energy consumption when comparing to conventional vapor
490 compression systems. The details of the major night-time radiative cooling technologies used
491 in the SD houses are summarized in Table 3.

492 The night-time radiative cooling technologies can be categorized into water-based
493 technologies and air-based technologies according to the heat transfer fluid used. Team RWTH
494 Aachen University (2012) adopted a water-based PVT system for night-time radiative cooling
495 in the SD Europe 2012 competition. Rainwater was collected and spread onto the PV panel
496 during the night-time to cool the water via the heat loss to the sky. The chilled rainwater was
497 then used to cool a PCM emulsion in a radiant ceiling for space cooling. Team Odooproject
498 (2012) also used a similar water-based night-time radiative cooling PV panel in the SD Europe
499 2012 competition, while the chilled water was directly used for space cooling. Team UC Davis
500 used sprinklers to spray water onto the roof surface to achieve night-time radiative cooling in
501 the SD US 2015 competition (Alemi and Loge, 2017; Team UC Davis, 2015).

502 Water cooling via night-time radiative cooling effect could also be achieved by attaching
503 a heat exchanger to the back of a surface that was chilled by the heat loss to the sky. In the SD
504 Europe 2010 competition, Team home⁺ developed a water-based night-time radiative PVT
505 system (see Fig. 9) which consisted of PV cells covered and supported by glass and water tubes
506 with absorber plates attached to the bottom of the lower glass (Eicker and Dalibard, 2011;
507 Sánchez et al., 2010). The night-time radiative PVT was used to provide chilled water for a
508 PCM ceiling, a radiant floor, and a heat sink tank. Similar devices were also used by Team
509 Rhône-Alpes (2012) and Team ECOLAR (2012) in the SD Europe 2012 competition. Water-

510 based solar collectors were also used for night-time radiative cooling by Team DTU in the SD
511 Europe 2014 competition (Gennari and Péan, 2014; Team DTU, 2014), Team Israel (2013) in
512 the SD China 2013 competition, and Team North Carolina (2013) in the SD US 2013
513 competition.



514
515 **Fig. 9.** Night-time radiative PVT system used by Team home⁺, modified from Eicker and
516 Dalibard (2011).

517
518 An air-based night-time radiative cooling system was used by Team UOW in the SD China
519 2013 competition (Fiorentini et al., 2015; Fiorentini et al., 2017). Air-based PVT collectors
520 were used in the HVAC system which integrated with a PCM TES unit, as presented in Fig. 7,
521 to generate electricity during daytime and cooling energy via night-time radiative cooling
522 during night time. The cooled air could be used for space cooling directly or used to charge the
523 air-based PCM TES unit.

524 Based on the systems reviewed above, it can be seen that the cooling provided by the night-
525 time radiative cooling effect was mainly delivered via radiant panels. Only two teams used air-
526 based night-time radiative cooling. Night-time radiative cooling alone may not be able to
527 maintain indoor thermal comfort. It can be used to assist in improving the performance of the
528 HVAC systems. The night-time radiative cooling could provide nearly free cooling during night

529 with minimized energy consumption. This technology has attracted increasing attention in
530 recent years (Zeyghami et al., 2018; Zhao et al., 2019), and the emerging day-time radiative
531 cooling may provide alternative solutions for the SD houses (Raman et al., 2014; Goldstein et
532 al., 2017).

533 **Table 3.** Summary of night-time radiative cooling technologies used in the SD competitions.

Team	Radiative surface	HTF	Details	Reference
Crowder	PVT collector	Liquid	PVT collectors were used to chill water for air conditioning.	Moon et al. 2005
Colorado	PVT collector	Liquid	HTF was chilled by the PVT collector and stored in a tank which was used as the heat sink of a water-to-water heat pump.	Team Colorado (2007a, 2008b)
Darmstadt	Roof surface	Liquid	Overnight, water was sprayed onto the roof of the house and chilled by a passive cooling system; The chilled water was used for space cooling via radiant panels.	Team Darmstadt (2007), Eicker and Dalibard (2011)
North Carolina	Rooftop heat exchanger	Liquid	HTF was pumped into flat-plate heat exchangers on the rooftop, and used for space cooling via radiant walls and ceiling.	Team North Carolina (2013)
UC Davis	Roof surface	Liquid	HTF was sprayed onto the roof surface by lawn sprinklers to achieve night-time radiative cooling, and used for space cooling via radiant floor.	Alemi and Loge (2017), Team UC Davis (2015)
home ⁺	PVT collector	Liquid	Water was chilled by the night-time radiative cooling system on the roof, and supplied to a PCM ceiling, radiant floor and a heat sink tank.	Eicker and Dalibard (2011), Sánchez et al. (2010)
Rhône-Alpes	PVT collector	Liquid	Water was chilled by a heat exchanger beneath the PV panel, and used as the heat sink of a water-to-water heat pump, or stored in a thermal storage tank.	Team Rhône-Alpes (2012)
ECOLAR	PVT collector	Liquid	HTF was chilled by a flat plastic absorber beneath the PV panel, and used for space cooling via radiant ceiling with embedded PCM and two water-to-air heat exchangers.	Team ECOLAR (2012)
RWTH Aachen University	PV panel	Liquid	Water was sprayed onto the PV panel by a sprinkler system and chilled by night-time radiative cooling, and used to cool the PCM emulsion in the radiant ceiling.	Team RWTH Aachen University (2012)
Odooproject	PV panel	Liquid	Water was sprayed onto the PV panel by sprinkler heads and chilled by night-time radiative cooling, and used for space cooling via the radiant ceiling.	Team Odooproject (2012)
(e)co	-	Air	A tank filled with gravel was opened during night-time to achieve radiative	(e)co Team (2012)

			cooling, which served as a sensible TES unit and was discharged during daytime for space cooling.	
Tongji	-	Liquid	Water was chilled by night-time radiative cooling, and was used for space cooling directly or used as the heat sink of a heat pump for space cooling.	Team Tongji (2012)
DTU	Unglazed solar collector	Liquid	Water was chilled by night-time radiative cooling and used for space cooling via radiant floor	Gennari and Péan (2014), Team DTU (2014)
UOW	PVT collector	Air	Air was cooled by air-based PVT collectors with air channels beneath PV panels, and directly used for space cooling or to charge an air-based PCM TES unit.	Fiorentini et al. (2017), Fiorentini et al. (2015)
BaityKool	Thermal radiator	Liquid	A thermal radiator was installed on the roof of the house and used to chill the water during night-time; The chilled water was used for space cooling via radiant panels.	Team BaityKool (2018)
VIRTUe	PVT collector	Liquid	Water was cooled by water-based PVT collectors and stored in a chilled water tank; The chilled water was used for space cooling via radiant panels.	Team VIRTUe (2018)

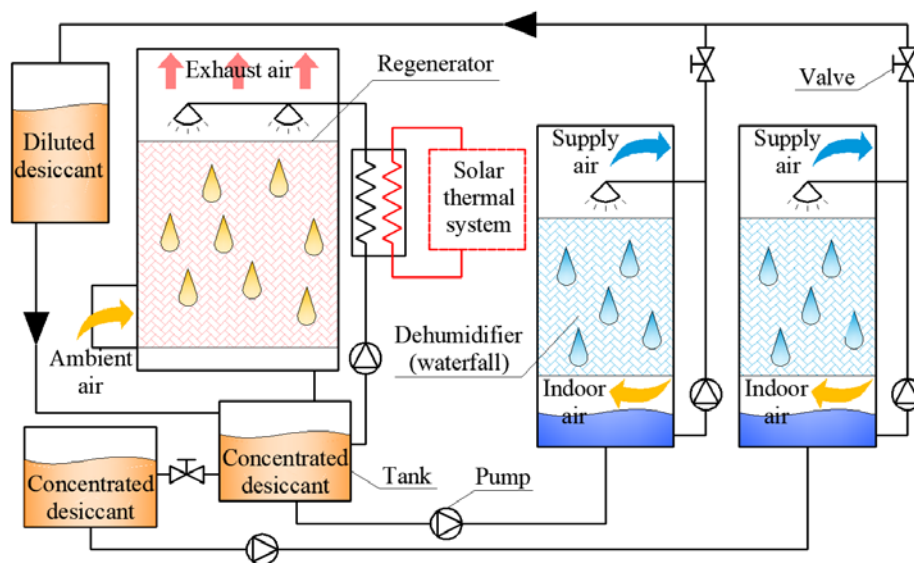
535 4.4 Desiccant dehumidification

536 Desiccant dehumidification utilizes hygroscopic materials to absorb moisture from the air.
537 The materials usually need to be regenerated with thermal energy to reject the moisture into
538 ambient, and the hygroscopic material was then reused for dehumidification. This regeneration
539 process could be driven by low-grade thermal energy such as solar thermal energy, which opens
540 up opportunities for the SD houses (Giampieri et al., 2018; Ren et al., 2019b). The major
541 desiccant dehumidification systems and devices used in the SD houses are summarized in Table
542 4. Both liquid desiccant and solid desiccant (i.e. desiccant wheel and DESICA) systems have
543 been used.

544 Liquid desiccant dehumidification generally consists of a dehumidifier, a regenerator, and
545 heating and cooling systems. The dehumidifier is an air-to-liquid contactor in which air is
546 dehumidified by the liquid desiccant via an absorption process. The regenerator operates in the
547 opposite way and the water is desorbed from the liquid desiccant using scavenging air, in which
548 the liquid desiccant was concentrated and reused for the dehumidification. The heating and
549 cooling systems were respectively used to heat the liquid desiccant and to cool the liquid
550 desiccant in order to facilitate the regeneration and dehumidification processes. Two liquid
551 desiccant waterfall systems were developed by Team Maryland in the SD US 2007 and SD US
552 2011 competitions (Team Maryland, 2007a, 2007b; Team Maryland, 2011), using CaCl_2 and
553 LiCl water solutions as the desiccants, respectively. The indoor units (i.e. dehumidifiers) of
554 both liquid desiccant systems were designed as the waterfall for aesthetic purpose. A schematic
555 of the dehumidification system used in the SD US 2011 competition is presented in Fig. 10

556 (Team Maryland, 2011). The indoor air was dehumidified by the waterfall (i.e. dehumidifier)
557 via directly contacting with the concentrated liquid desiccant. The diluted liquid desiccant was
558 then pumped to a heat exchanger and heated by glycol from solar collectors, after which the
559 liquid desiccant was re-concentrated by the regenerator. A liquid desiccant waterfall system
560 was also used by Team Florida (2011) in the SD US 2011 competition.

561



562

563 **Fig. 10.** Schematic of the liquid desiccant waterfall system developed by Team Maryland in
564 the US 2011 competition, modified from Team Maryland (2011).

565

566 Team Minnesota (2009) used counter-flow packed-beds with random packing materials as
567 the dehumidifier and regenerator in the SD US 2009 competition. A mixture of LiCl and CaCl₂
568 desiccant solutions was used as the working fluid. The air from the indoor space was delivered
569 to the dehumidifier through ducting and re-supplied to the indoor space after it was
570 dehumidified. A similar design was also used by Team Alabama (2017) in the SD US 2017
571 competition. An HVAC system with an integrated liquid desiccant dehumidification system

572 using CaCl_2 solution was developed by Team Iowa State (2009) in the SD US 2009 competition.
573 The dehumidifier was integrated into the air distribution system of the HVAC system, and a
574 liquid-to-liquid heat exchanger was used to exchange the heat between the liquid desiccant at
575 the outlets of the dehumidifier and regenerator. A liquid desiccant dehumidification system
576 including an indoor unit, a roof unit, and a solution tank was developed by Team Stevens
577 (2013a, 2013b) in the SD US 2013 competition. The indoor unit operated as a dehumidifier
578 and the roof unit worked as the regenerator which used the greenhouse effect as the heat source
579 to evaporate water from the liquid desiccant.

580 A desiccant wheel with a size of $0.3 \text{ m} \times 0.1 \text{ m}$ was used by Team Ohio State in the SD
581 US 2011 competition (O’Kelly et al., 2015; Team Ohio State, 2011) to deal with the latent load
582 with the assistance of an ERV and a hydronic cooling coil. Air-based solar thermal collectors
583 were used to provide thermal energy for the desiccant wheel regeneration. A desiccant wheel
584 regenerated by solar thermal energy was also used by Team Réciprocité (2014) in the SD
585 Europe 2014 competition while evacuated tube solar collectors and a water-to-air heat
586 exchanger were used to provide hot air for the regeneration process. A commercial product
587 which integrated a desiccant wheel with an air-to-air heat pump was used by Team Alberta
588 (2013) in the US 2013 competition and Team TEC (2014) in the SD Europe 2014 competition.
589 The evaporator of the heat pump was used to cool the air before it was dehumidified by the
590 desiccant wheel, and the heated air from the condenser of the heat pump was used to regenerate
591 the desiccant wheel. The supply air can be cooled and dehumidified simultaneously while extra
592 electricity was required to power the heat pump. A commercial product, DESICA, was used by

593 Team Tongji (2012) and Team UOW (2018) for desiccant dehumidification in the SD Europe
594 2012 and SD ME 2018 competitions, respectively. The DESICA was essentially an air-to-air
595 heat pump which used desiccant-coated heat exchangers as the evaporator and condenser.
596 During the dehumidification process, the evaporator and condenser were continuously
597 swapped in order to regenerate the desiccants.

598 Based on the systems reviewed above, it can be concluded that desiccant dehumidification
599 was mainly used as supplementary dehumidification devices to HVAC systems for better
600 humidity control, and the dehumidification systems were mainly driven by solar thermal energy.
601 The liquid desiccant systems used in the SD houses were mainly designed and developed by
602 the team members as there are limited commercial products available. However, liquid
603 desiccant cooling is now close to being viable and its economic proposition is now better than
604 before due to the development of corrosion resistant materials (e.g. plastic) and improvement
605 in heat and mass transfer efficiency (Giampieri et al., 2018; Fu and Liu, 2017).

606

607 **Table 4.** Summary of desiccant dehumidification technologies used in the previous SD competitions.

Team	Types	Desiccant materials	Details	Reference
Maryland	LD	CaCl ₂	A liquid desiccant waterfall was used as the dehumidifier; The liquid desiccant was regenerated using solar hot water.	Team Maryland (2007a, 2007b)
Iowa State	LD	CaCl ₂	A flat-plate dehumidifier was integrated into the ducting of the HVAC system; The liquid desiccant was regenerated using an internally-heated regenerator with solar hot water.	Team Iowa State (2009)
Minnesota	LD	LiCl and CaCl ₂	Pack-bed dehumidifier/regenerator with random packing was used; The liquid desiccant was heated by a heat exchanger using solar thermal energy, before supplied to the regenerator.	Team Minnesota (2009)
Team Florida	LD	CaCl ₂	A liquid desiccant waterfall was used as the dehumidifier; The liquid desiccant was regenerated in a solution tank.	Team Florida (2011)
Maryland	LD	LiCl	Two liquid desiccant waterfalls were used as the dehumidifiers; The liquid desiccant was regenerated using solar hot water.	Team Maryland (2011)
Stevens	LD	CaCl ₂	A packed-bed column with trays filled with 76 mm Heilex Rings was used as the dehumidifier; The liquid desiccant was regenerated by an outside unit on the roof via the greenhouse effect.	Team Stevens (2013a, 2013b)
Team Alabama	LD	CaCl ₂	Pack-bed dehumidifier/regenerator with structured packing was used.	Team Alabama (2017)
RWTH Aachen University	LD	LiCl	The liquid desiccant was regenerated using solar thermal energy from solar water heaters.	Team RWTH Aachen University (2012)
Ohio State	DW	-	A desiccant wheel was used to dehumidify the supply air and it was regenerated using the heated air from solar air heaters.	O'Kelly et al. (2015), Team Ohio State (2011)
Alberta*	DW	-	A commercial product, which was an integration of a desiccant wheel and an air-to-air heat pump, was used; The condensing heat of the heat pump was used	Team Alberta (2013)

			for desiccant wheel regeneration and the evaporator was used for air cooling.	
Réciprocité	DW	-	A desiccant wheel was used to dehumidify the supply air; The regeneration air was heated by a water-to-air heat exchanger mainly using solar hot water.	Team Réciprocité (2014)
TEC	DW	-	The same product as that used by Team Alberta (US 2013).	Team TEC (2014)
Tongji	DESICA	-	DESICA which was an air-to-air heat pump with desiccant material coated on both the evaporator and condenser was used to dehumidify the fresh air.	Team Tongji (2012)
UOW	DESICA	-	DESICA was used as a dehumidifier and integrated with the HVAC system.	Team UOW (2018)

608 * The product was listed in the take-off list of the project manual.

609

610

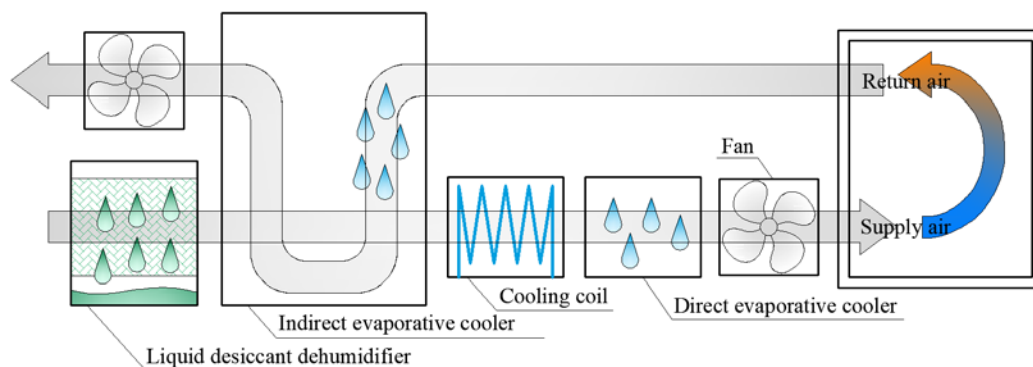
611 4.5 Evaporative cooling

612 Evaporative cooling is a technology that conditions the air by increasing its vapor content
613 (Cuce and Riffat, 2016). It has been used for indoor space cooling by a number of teams in the
614 SD competitions. It is noted that passive technologies such as using a green wall or a water
615 pond for evaporative cooling were not considered as evaporative cooling herein. The
616 evaporative cooling devices used in the SD competitions can be categorized into two types
617 including direct evaporative cooling and indirect evaporative cooling. In the direct ones, the
618 air to be supplied to the house directly contacts with water and was cooled by the evaporation
619 of the water. However, in indirect evaporative cooling systems, secondary air flow was cooled
620 using the same way as that used in direct evaporative cooling. The air to be supplied to the
621 house was cooled in the dry channel by sensible heat transfer through the plate which separates
622 the dry and wet channels.

623 Direct and indirect evaporative cooling systems were used by a few teams as part of their
624 space cooling systems. In the SD Europe 2010 competition, Team Wuppertal (Sánchez et al.,
625 2010) used an indirect evaporative cooler to condition the supply air. Team Nottingham
626 H.O.U.S.E. (Sánchez et al., 2010; Ford et al., 2012) developed a direct evaporative cooling
627 system by deploying nozzles at the top of the double height space of the house to generate a
628 mist of water in the SD Europe 2010 competition. The warm ambient air was drawn into the
629 house and cooled by the water evaporation, and the air distribution was achieved passively via
630 the downdraught effect. Team Unicode (2014) developed a water wall which was developed
631 using packing materials of Raschig rings in the SD Europe 2014 competition. The water was

632 distributed from the top of the wall, and the outdoor air was cooled to reduce the air temperature
 633 around the terrace of the house, which further decreased the heat gain of the living space from
 634 ambient. A desiccant cooling system using an indirect evaporative cooler and a direct
 635 evaporative cooler was developed by the RWTH Aachen University (2012) in the SD Europe
 636 2012 competition, as presented in Fig. 11. In this system, the outdoor air was first dehumidified
 637 by a liquid desiccant dehumidifier and then cooled by an indirect evaporative cooler in which
 638 the return air from the indoor space was used as the secondary air. A hydronic coil was used to
 639 condition the supply air and a direct evaporative cooler was used to cool and humidify the
 640 supply air. A similar indirect evaporative cooler to that used by the RWTH Aachen University
 641 was also used by Team VIRTUe (2018) in the SD ME 2018 competition for conditioning the
 642 fresh air, in which the return air was used as the secondary air and exhausted to the ambient.

643



644

645 **Fig. 11.** A desiccant cooling system integrated with an indirect evaporative cooler and a direct
 646 evaporative cooler, modified from Team RWTH Aachen University (2012).

647

648 A portable evaporative cooler named as a robot cooler was developed by Team Alabama
 649 (2017) in the SD US 2017 competition. The robot cooler was essentially a direct evaporative

650 cooler integrated with a motorized base with wheels, which means that the robot cooler could
651 move in the SD house via the motorized base. The direct evaporative cooler was powered by a
652 lithium-ion battery which could power the whole device for up to three hours. The robot cooler
653 was designed to provide occupants with localized cooling in different places of the house.

654 It can be clearly seen that the application of evaporative cooling is still limited. In general,
655 direct evaporative cooling could introduce extra moisture into the supply air, which is generally
656 not favorable to the comfort conditions contest in the SD competitions. The indirect evaporative
657 cooling could cool the supply air without increasing its humidity level while the configuration
658 and structure of such coolers was more complex than that of the direct evaporative cooler. The
659 evaporative cooling technology is more applicable for the SD competitions held under arid and
660 moderate weather conditions.

661 4.6 Advanced control strategies

662 Control of HVAC systems is another critical issue to ensure that the HVAC systems are
663 operated in an energy-efficient and cost-effective manner (Wang and Ma, 2008; Ma and Wang,
664 2009). However, the detailed description of the control strategies used for the HVAC systems
665 of the SD houses was generally not provided in the project manuals.

666 Only a few teams reported the use of advanced control strategies for their HVAC systems.
667 A model-based supervisory control strategy, for instance, was used by Team Maryland (2017)
668 to optimize the use of water, electricity and thermal energy, and carbon-based resources. The
669 mathematical models were developed to predict the solar radiation and the performance of the
670 PV panels, as well as to determine the heating and cooling load, indoor air temperature, and

671 overall net electricity consumption and generation of the household. Based on the modelling
672 results, the sequence of the events that consume or generate significant amounts of energy,
673 water, and other resources was optimized by the control strategy, in order to maximize the
674 sustainability and economic goals of the SD house. Team Las Vegas (2017) used the monitoring
675 results of the indoor and outdoor conditions to forecast the spikes of cooling and heating load.
676 The forecast results allowed the control system to optimize the operation of the mechanical
677 system to maintain indoor thermal comfort.

678 Team UOW (Fiorentini et al., 2015; Fiorentini et al., 2017) developed a hybrid model
679 predictive control (HMPC) strategy to optimize the operation mode and control settings of the
680 solar-assisted HVAC system with integrated PVT collector and PCM TES unit, as presented in
681 Fig. 7. The HMPC was developed with two hierarchical levels, in which an HMPC controller
682 was used to select the operation mode of the HVAC system, and each operation mode was then
683 optimized at the low level. Team UOW (2018) integrated a model predictive control strategy
684 into the building management system. The strategy could forecast various factors over the next
685 24 hours, e.g. weather conditions, power output of the PV panels, occupant's energy usage
686 habit. The forecast results could be used to optimize the operation of the HVAC system.

687 Advanced control strategies were only used in the SD houses in recent competitions. Such
688 technologies may play an important role in future SD competitions to optimize the operation
689 of the HVAC system through reliable load prediction, demand side management, rational use
690 of solar energy, and dynamic optimization of control settings.

691 **5. Conclusions and discussions**

692 This paper provided an overview of the HVAC technologies and systems used in the
693 previous Solar Decathlon (SD) net-zero energy houses. Statistical analysis was implemented
694 for 212 SD houses from 13 SD competitions. Some conclusive remarks and recommendations
695 are as follows.

696 ● Heat pumps have been used by the majority of the competition teams for space heating
697 and space cooling probably due to the fact that they have been widely used in
698 residential buildings and showed good robustness in thermal comfort control, high
699 energy efficiency, easy to implement and easy to control. ERVs/HRVs have also been
700 frequently used in the SD houses as they could provide energy savings under a wide
701 range of weather conditions.

702 ● A number of the competition teams have explored the opportunities of using emerging
703 technologies such as phase change materials and desiccant dehumidification to
704 showcase innovations and reduce the electricity consumption for space heating and
705 cooling. However, the real performance of such systems was not reported.

706 ● PCMs have been frequently used in the SD competitions held in Europe. However, it
707 seems that its popularity has been decreased in recent US competitions. When the
708 PCMs are integrated with HVAC systems, advanced control such as model predictive
709 control is generally required to optimize the charging and discharging processes to
710 maximize the benefits of using PCMs.

711 ● Solar thermal space heating has been frequently used in the SD competitions,
712 especially in the competitions held in cold climates, while it was less preferred in the

713 recent competitions held in the US. This technology was mainly used for pre-heating
714 and/or as a supplementary heating system of conventional HVAC systems to reduce
715 HVAC energy consumption. Recent studies showed that the simple payback period of
716 solar thermal space heating systems could be 6.5 years under certain weather
717 conditions (Agathokleous et al., 2019). Photovoltaic/thermal collectors could be a
718 promising technology to generate electricity and thermal energy simultaneously.

719 ● Desiccant dehumidification has been used by a number of the competition teams for
720 better indoor humidity control and such systems in the SD competitions were mainly
721 driven by solar thermal energy.

722 ● The application of evaporative cooling in the SD houses is limited. This technology
723 has been proven to be an effective solution for temperature control when it was
724 integrated with desiccant dehumidification. However, the use of direct evaporative
725 cooling may increase the latent load of the process air. The combination of evaporative
726 cooling and desiccant dehumidification may be a feasible solution in the SD
727 competitions for space cooling as it can be driven by low-grade thermal energy and
728 can provide independent temperature and humidity control.

729 ● Although several competition teams used absorption/adsorption systems for space
730 cooling. However, such systems generally require relatively high-grade thermal
731 energy (e.g. 80 °C) and high initial investment so they might not be a good technical
732 option for the SD competitions.

733 ● The innovative HVAC technologies used in the SD houses demonstrated the potential

734 of using solar energy to take air conditioning off the grid. This will make great
735 contribution to cleaner production.

736 Innovations in HVAC technologies are continuously required. It is expected that renewable
737 heating and cooling with integrated thermal energy storage and dedicated model predictive
738 control will play an essential role in future to provide low carbon emission heating and cooling
739 for buildings and improve the productivity and wellbeing of occupants. It is believed that the
740 SD competitions will continue to be an excellent platform to provide a live demonstration of
741 the latest innovations in HVAC technologies.

742

743 **Declarations of interest**

744 None.

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