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Broadband dynamic, holographically selfrecorded, and static hexagonal scattering patterns in photorefractive KNbO₃:Fe

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Stanford University Department of Electrical Engineering Stanford, California 94305 **Abstract.** We have observed and explained three types of hexagon pattern formation in photorefractive crystal $KNbO_3$:Fe. These are: (1) dynamic (laser induced), (2) semipermanent (holographically stored), (3) permanent (induced by a static domain grid) over a wide wavelength range.

Subject terms: photorefractive nonlinear optics; hexagonal scattering pattern; nonlinear self-organization of scattering; photorefractive materials.

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1 Introduction

Under far from equilibrium conditions, highly structured, self-organized phenomena often occur—usually with some threshold of the driving or pumping power. Because hexagonal packing is ultracompact and ultrastable, it is not unreasonable to find such a state as an attractor once the rearrangement energy is provided. Thus soap bubbles, beehives, compound eyes, fiber optic bundles, and many other structures exhibit that symmetry.^{1–3}

A laser beam incident on a nonlinear material may offer a way to observe such self-organizing structures. The beam serves two purposes simultaneously: pump and probe. If the material arranges itself in a hexagonal fashion, we expect the scattered light to form a hexagonal spot array (HSA). This phenomenon has been observed in gases,^{4,5} liquid crystals,^{6,7} and the photorefractive crystal^{8,9} KNbO₃. The self-organized hexagonal structure appears to be a universal attractor in such situations.

Our work⁹ on $KNbO_3$ has shown not only direct images of the hexagonal inhomogeneities in the crystal and the resulting HSA but also that rotation of those patterns can be effected by laser-beam irradiance.

This paper is a continuation of our prior work on HSA formation and reconfiguration through rotation of the pattern.⁹ Here, we report observation of reconfigurable HSAs in the different colors, ranging from blue-green from an Ar laser to red from a low-power HeNe laser (P < 20 mW) in KNbO₃. We also show that nonlinear dynamic HSA can be holographically self-recorded in the same crystal and retrieved with a reference beam. In addition, we have observed static (linear) hexagonal scattering due to 60-deg domain structures in KNbO₃. These observations imply that a photorefractive crystal (such as KNbO₃) may give us a complete set of hexagonal patterns: dynamic (with possibility of recording), semipermanent, and static in different colors, including low-power HeNe red light.

2 Experimental Results

Holographic self-recording offers a new possibility for the investigation of different stages of the complex self-organization dynamics in a photorefractive crystal. Our simple experimental setup (see Fig. 1) comprises only a few elements: a laser (He-Ne or Ar), a lens of focal length 70 cm, two beamsplitters (BS1, BS2), and the photorefractive crystal. The scheme in Fig. 1 allows us to do hexagon generation and holographic recording simultaneously.

In the experiment the horizontally polarized signal beam E_F is normally incident on the crystal surface, allowing overlapping with reflected beam E_B from the real crystal surface. The far-field pattern, with no E_1 , is stationary in time and comprises a strong central spot with a peripheral ring, which appears "instantaneously" and thereafter evolves into six symmetrically spaced spots. This far-field pattern is observed simultaneously in both the forward and backward directions; however, the diffraction efficiencies (discussed in more detail below), are not identical.

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Fig. 1 Scheme of hexagon pattern generation and holographical self-recording.

The semiangle of divergence v of the peripheral cone is approximately 0.8 deg for an operating wavelength of 514 nm (from the Ar laser), and is independent of the incident power. The time taken to form the spots is a few seconds for an incident power of 7.5 mW, space focused into a 0.5-mmdiam beam. The ring and all spots (central and peripheral) are also predominantly horizontally polarized. The diffraction efficiency for the spots in the forward direction is large: the intensity ratio of each transmitted peripheral spot to the transmitted central spot, which we term the diffraction efficiency per spot, is over 7%. The corresponding diffraction efficiency in the backward direction is about 4% per spot. We believe that a contributing reason for this is the reflection of part of the input beam from the incident interface. The experiment was repeated at wavelengths of 632 nm (from the He-Ne laser) and $\lambda = 440$ nm (from the Ar laser). In all cases, HSA formation is observed. For instance, at 632 nm, v = 1 deg and the minimum power needed is 20 mW for a beam diameter≈3 mm.

If the incident beam is slightly off normal to the interface (typically by 0.04 deg) and the power is increased, the entire hexagonal pattern rotates. The sense of rotation depends on the angular misalignment. Thus, both clockwise and counterclockwise rotations of the pattern are possible through positive and negative angular misalignments. A typical value for the rotation speed in the steady state is 100 deg/min for an incident power of 30 mW in the 0.08-cm² spot at an operating wavelength of 514 nm. Details of other experimental observations, effects of higher power, larger misalignment, etc., at 514 nm may be found in Ref. 9.

In the second stage of the experiment, we have studied the recording and readout properties of the hologram responsible for HSA formation. To this end, a second beam, which we call reference beam E_1 , is incident at a small angle $v\approx 5$ to 10 deg, which is larger than the cone angle of induced hexagon scattering ($\varphi_h = 0.8$ deg). After exposure for about 1 min, cone scattering, which thereafter rearranges into a hexagon, appears in both transmitted (E_F) and reflected (E_B) beams, together with additional beams in the forward (E_d) and backward (E_{pc}) directions (E_{pc} is counterpropagating to E_1) are observed.

After blocking E_F with a shutter, the holographic image of a hexagon slowly decays after over about a minute, along with decaying signals E_{pc} and E_d (Fig. 2).

While both the above experiments show the presence of nonlinearly induced HSAs, characterized by a threshold, we



Fig. 2 Holographic image of a hexagon in KNbO₃ made with HeNe.

have also observed linear scattering into HSAs at all powers and wavelengths specified above, albeit with a much larger scattering angle. For instance, for $\lambda = 632$ nm, the linear scattering angle is approximately 9 deg.

3 Theory

3.1 Hexagon Formation and Rotation

For pattern formation we propose a holographic model with transmission gratings, formed by initial forward and scattered waves, which overlap with similar gratings, created from backward reflected and scattered waves, due to local nonlinearities. Reflection gratings, formed by the input beam and its reflection from the rear crystal face, may change the effective reflection coefficient and can influence the threshold condition for instabilities. It can be shown that in steady state, the "dispersion" relation for transversal instability with wave number K may be expressed as

$$\left(\frac{1}{R}+R\right)+2\,\cos k_{d}L\,\cosh\Gamma L$$

$$+\left\{\frac{k_{d}}{\Gamma}-\frac{\Gamma}{k_{a}}+\frac{2g}{\Gamma\gamma^{2}R}(2\gamma^{2}\sqrt{R}-\gamma g)\right\}$$

$$-2\gamma^{2}R\sqrt{R}+\gamma Rg)\right\}\sinh\Gamma L\,\sin k_{d}L$$

$$+\frac{2g}{k_{d}\gamma R}(g-2\gamma\sqrt{R})\,\sin^{2}k_{d}L$$

$$-\frac{g}{\gamma^{2}R}\left\{g-2\gamma\sqrt{R}-\frac{k_{d}}{\Gamma^{2}}(2k_{d}\gamma\sqrt{R}-\frac{1}{\Gamma^{2}})\right\}$$

$$-4\gamma^{2}\sqrt{R}-k_{d}g+2\gamma g)\right\}\sinh^{2}\Gamma L=0, \qquad (1a)$$

with

(1b)

$$\Gamma^{2} = 2k_{d}\gamma(1+R) - k_{d}^{2}, \qquad \gamma = -\frac{k_{0}\gamma_{T}}{1+L_{DT}^{2}K^{2}}A^{2} ,$$

$$g = k_{e}\gamma_{R}A^{2}\sqrt{R}, \qquad k_{d} = \frac{K^{2}}{2L} . \qquad (1)$$

 $2k_o n_o$

In (1), $\gamma_{T,R}$ are nonlinearity coefficients associated with transmission and reflection gratings, L_{DT} is a characteristic diffusion length associated with the transmission grating, R is the reflection coefficient at the interface, k_o is the wave number of the light in free space, n_0 is the pertinent refractive index, and A is the amplitude of the incident beam. In the above relation, the contribution from reflection gratings has been assumed to be small. Details of the derivation will be presented elsewhere; suffice it to state that the result is an extension of the work in Refs. 10, 11 that treats transmission and reflection gratings separately. We assume a selfdefocusing nonlinearity, which is evident from experimental results of a z-scan at 514 nm in the forward direction.⁹ Figure 3 shows the dispersion curves for various values of gL. Note that, as anticipated earlier, the threshold for the onset of instabilities decreases with increasing |gL|, making the onset of instabilities more practically realizable. Note also that there is a small change in the corresponding K (which determines the cone angle) for the lowest threshold (as depicted by the minimum points on the set of curves) with increasing |gL|.

To further reconcile the result of (1) with previous results⁹ for g = 0, the dispersion relation may be reduced to the form

$$\frac{1+R^2}{R} = -2 \, \cosh \Gamma L$$
$$-\left(\frac{k_d}{\Gamma} - \frac{\Gamma}{k_d}\right) \, \sinh k_d L \, \sinh \Gamma L \, . \tag{2}$$

From Fig. 3, the minimum of the curve is around $k_d L = \pi$, which is in agreement with earlier results on the cone angle. Also, with this substitution in Eq. (2), the relation reduces to

$$k_0 \gamma_T A^2 L \approx \frac{1}{\sqrt{R}} , \qquad (3)$$

which shows that the threshold decreases with increasing R.

The above threshold calculation only shows that if the incident intensity is above the value in Eq. (1), a single beam may nonlinearly induce the formation of a ring, which is the first stage of the self-organization process. The transition to HSA from the ring has been discussed in detail in Refs. 9,12,13.

One peculiar feature of our experiment is a constant-speed rotation of the hexagonal pattern in the far field through a small misalignment (about 0.04 deg) from its nominally normal incidence.⁹ The speed of rotation is proportional to the laser intensity and is of the order of 0.05 rad (or 2.85 deg) per second. The sense of rotation can be reversed by reversing the sign of the tilt angle.

Our proposed two-stage holographic model of pattern formation can also explain the rotation of the hexagonal pattern



Fig. 3 Dispersion relation, R = 0.4, showing dependence of threshold on contribution from the reflection gratings.

by dynamic phase transfer between interacting waves. In the first stage, scattering waves originating from forward- and backward-reflected waves rearrange in a cone while forming an array of gratings. The apex angle v of the cone (approximately 1 deg) is determined by phase-matching conditions when geometrical mismatch is compensated by the nonlinear change in the grating wave vector. In the second stage, waves whose wave vectors end on the cone begin to write gratings between themselves. For a cubic nonlinearity, this naturally leads to a hexagon-type rearrangement of the cone scattering. Master gratings, formed by the central and peripheral beams, are running gratings due to phase transfer between waves with unequal intensities. For the symmetric case of normal incidence, the movements of different gratings get balanced and a stable far-field hexagonal pattern can be observed, stabilized by a slight anisotropy due to the photorefractive grating.

However, when a small deviation angle θ is introduced, the movement of different gratings cannot be balanced, and a phase difference between adjacent hexagonal spots appears. This phase difference leads to rotation of the pattern with the angular velocity $\Omega = (d \Delta n/dt)(I_p/I_0)\theta$, where $d \Delta n/dt = \alpha I_0$ is the time rate of change of the nonlinearity induced index change, $I_{p,0}$ are the intensities of the peripheral and central spots, and α is a nonlinear coupling coefficient. The rate of rotation is linear in the intensity of the incident beam, and the change in sign of θ is in agreement with our experimental results.

3.2 Hologram Recording and Readout

For an explanation of the appearance of new beams E_d and E_{pc} as stated in Sec. 2 and of the hexagon holographic recording, we introduce a six-wave mixing model, by analogy with self-phase-conjugation geometry, realized in SBN.¹⁴

The scattering diagrams show directions of the diffracted wave vectors (Fig. 4, left side) as a sum of contributions (right side) due to scattering of waves (dashed arrows) on the recorded gratings (solid arrows). These scattering diagrams help us to write down the following coupled wave equations for E_d and E_{pc} :

$$\frac{\mathrm{d}E_d}{\mathrm{d}z} = \gamma_{B2} \langle E_{\mathrm{B}} E_2^* \rangle E_F + \gamma_{F2} \langle E_F E_2^* \rangle E_B ,$$

$$\frac{\mathrm{d}E_{pc}}{\mathrm{d}z} = \gamma_{F1} \langle E_F E_1^* \rangle E_B + \gamma_{B1} \langle E_B E_1^* \rangle E_F , \qquad (4)$$

where γ_{B2} , γ_{F2} , γ_{F1} , γ_{B1} are coupling constants, and $\langle \cdot \rangle$ means time integration over the relaxation time τ . From Eq. (4) one can see that for plane waves E_1 and E_2 in the steady state, $E_d \approx E_F E_B$, which means that the diffracted intensity is the product of pump wave intensities, while the phase is the sum of the phases of the forward and backward waves. ("Convolution" may be a good term for describing this optical operation in the language of optical processing.)

It is easy to show that on blocking the E_F wave, diffraction of E_1 will lead to a wave E_d (for time $t \ge t_B$) given by

$$E_d = \gamma_{F1} \langle E_F E_1^* \rangle_{t=tB} \exp\left(\frac{t_B - t}{\tau}\right) E_1 \quad , \tag{5}$$



Fig. 4 Scattering diagrams of six-wave mixing, describing formation of diffracted (E_d) and phase-conjugated waves (E_{pc}) by transmission and reflection gratings.

which is a holographic copy of the E_F wave (in our case, the "hexagon" wave) decaying with a time constant τ .

3.3 Linear HSA Formation

The linear hexagon-type scattering, which is marked by the absence of any threshold intensity, can be explained by scattering from 60-deg domain-wall structures in doped crystals¹⁵ in which impurities act as nucleation centers for domain formation. Direct observation of the crystal *C* face in a polarized microscope supports this explanation (see Fig. 5).

In passing, we would like to note that the correlation in shape between nonlinear and linear HSA formation may be attributed to 60-deg domain walls nucleated around photosensitive impurities in the former case.



Fig. 5 Picture of 60-deg domain walls as seen in a polarized microscope on the *C* face of KNbO₃ (M=625).

4 Conclusion

To summarize, we have shown the natural nonlinear reorganization of light into a stable hexagonal spot array over a broad range of frequencies. The HSA may indeed be perceived as a basin of attraction of the nonlinear dynamical system comprising the interaction of a primary wave and scattered waves. We have also demonstrated that a dynamic HSA can be holographically self-recorded in the crystal and read out by a reference beam. Finally, we have shown the presence of linear HSAs and suggested that the occurrence of nonlinear HSAs may be due to mechanisms similar to that responsible for linear scattering, at a microscopic level.

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Partha P. Banerjee: Biography and photograph appear with the special section quest editorial in this issue.



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