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# Relationships between Hereditary Sobriety, Sobriety, TD, T1, and Locally Hausdorff

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# **RELATIONSHIPS BETWEEN HEREDITARY SOBRIETY, SOBRIETY, $T_D$ , $T_1$ , AND LOCALLY HAUSDORFF**

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## Basic Notions

**Defn.** Closed subset of space  $(X, \mathfrak{T})$  is *irreducible* if it is nonempty and not the union of two nonempty, proper closed subsets.

**Defn.** Space  $(X, \mathfrak{T})$  is *sober* if each irreducible closed subset is the closure of unique singleton.

Note:  $T_0$  equivalent to each irreducible closed subset being closure of at most one singleton.

**Defn.** Space  $(X, \mathfrak{T})$  is *quasi-sober*, or  $S_0$ , if each irreducible closed subset is closure of at least one singleton.

Note: sober  $\Leftrightarrow T_0 + S_0$ .

**Defn.** Space  $(X, \mathfrak{T})$  is *hereditarily sober* [*hereditarily  $S_0$* ] if each subspace is sober [ $S_0$ ].

**Defn.** Space  $(X, \mathfrak{T})$  is  $T_D$  if each  $\{x\}'$  closed (equiv., each  $\{x\}$  locally closed).

## HEREDITARY SOBRIETY, $T_D$ , LOCALLY HAUSDORFF

Slide 4

**Basic Result.**  $T_2 \Rightarrow \text{sober} \Rightarrow T_0$ ;  $T_2 \Rightarrow T_1 \Rightarrow T_D \Rightarrow T_0$ ; no other implications except by transitivity.

**Questions.** How do the following fit in?

- (1) locally Hausdorff
- (2) hereditary sobriety

## Hereditary Sobriety and $T_D$

**Lemma.** Sobriety is weakly hereditary, i.e., each closed subspace of sober space is sober.

**Theorem.** Space  $(X, \mathfrak{T})$  is hereditarily sober  $\Leftrightarrow$  it is sober and  $T_D$ .

### Comments on Proof.

*Necessity.* Hereditarily sober  $\Rightarrow T_D$  is established by series of results from S. F. Barger [QM, 1997].

*Sufficiency.* Two types of proof known to us: point-set proof; spectrum proof

*Point-Set Proof of Sufficiency.*

Let  $Y \subset X$ ,  $E$  irreduc. closed subset of  $Y$ . Show  $E$  closure of some singleton of  $Y$ . Deny. Consider  $\bar{E}^X$ .

Claim  $\bar{E}^X$  not closure of any singleton in  $X$ .

Deny Claim; get contradiction to previous denial using  $X$  is  $T_D$ . So Claim holds. Apply sobriety of  $X$ :  $\bar{E}^X$  reducible in  $X$  and hence in  $\bar{E}^X$ . But Lemma says  $\bar{E}^X$  is sober.

Hence  $\exists$  nonempty, proper closed  $E_1, E_2 \subset \bar{E}^X$ ,  $\bar{E}^X = E_1 \cup E_2$ . Can show  $E_1 \not\subseteq E$  and  $E_2 \not\subseteq E$ , so that  $E = (E_1 \cap Y) \cup (E_2 \cap Y)$  reduces  $E$  in  $Y$ .

Contradiction. So  $Y$  is  $S_0$ .  $\square$

*Spectrum Proof of Sufficiency.* Given space  $(Z, \mathcal{W})$ , have  $\Psi_Z : Z \rightarrow pt(\mathcal{W})$  by

$$\Psi_Z(z) : \mathcal{W} \rightarrow \mathbf{2} \quad \text{by} \quad \Psi_Z(z)(U) = \chi_U(z)$$

Have  $\Psi_Z$  inj. iff  $T_0$ , surj. iff  $S_0$ . Show  $X$  hereditarily  $S_0$ . Let  $(Y, \mathfrak{T}_Y)$  be subspace; show  $\Psi_Y$  surj. Let  $p \in pt(\mathfrak{T}_Y)$ . Put

$$\varphi : \mathfrak{T} \rightarrow \mathfrak{T}_Y \quad \text{by} \quad \varphi(U) = U \cap Y$$

Then  $p \circ \varphi \in pt(\mathfrak{T})$ . Since  $X$  is  $S_0$ ,  $\exists x_p \in X$ ,  $\Psi_X(x_p) = p \circ \varphi$ . Since  $X$  is  $T_D$ , there is  $U_p \in \mathfrak{T}$ ,  $x_p \in U_p$  and  $\{x_p\}$  closed in  $U_p$  as subspace of  $X$ .

Claim  $x_p \in Y$ .

Note: Claim implies  $\Psi_Y(x_p) = p$ , so that  $Y$  is  $S_0$ . Two possible cases:

Case A  $U_p \cap Y = \emptyset$ . Denial of Claim implies Case A impossible.

Case B  $U_p \cap Y \neq \emptyset$ . Denial of Claim implies Case B impossible.

So Claim true.  $\square$



**Corollary.** Sober +  $T_1 \Rightarrow$  hereditary sobriety.

**Example.** Sobriety  $\not\Rightarrow$  hereditary sobriety. Put  $Y = (\mathbb{N}, \mathfrak{T}_{\text{cof}})$ .  $Y$  not sober. Put  $X = Y \cup \{\omega\}$ . For the topology  $\mathfrak{T}$  on  $X$ , do following: open nbhds of  $\omega$  are cofinite subsets of  $X$ ; an open set of  $n \in Y$  is of form  $U \cup \{\omega\}$ , where  $n \in U \in \mathfrak{T}_{\text{cof}}$ , and throw in the empty set. It follows that  $X$  is sober— $X = \overline{\{\omega\}}$ ; and  $\mathfrak{T}_Y = \mathfrak{T}_{\text{cof}}$ , so  $Y$  as a subspace is not sober. So  $X$  is not hereditarily sober. So  $X$  is sober and not  $T_D$  and hence sober and not  $T_1$ . Also the case  $X$  is  $T_0$  and not  $T_D$ .

## Hereditary Sobriety and Locally Hausdorff

**Theorem.** Locally  $T_2$  space  $(X, \mathfrak{T})$  is (hered.) sober +  $T_1$ . Hence each manifold (including non-Hausdorff) is hereditarily sober +  $T_1$ .

### Comments on Proof.

*For  $T_1$ .* Let  $x \neq y$ , have open  $T_2$  nbhd  $U$  of  $x$ . If  $y$  not in  $U$ , then done. Assume  $y$  in  $U$ .  $\exists$  disjoint, open  $V, W \subset U$ ,  $x \in V$ ,  $y \in W$ . So  $X$  is  $T_1$ .

*For quasi-sober.* Let closed  $E \subset X$ ,  $|E| \geq 2$ . Let  $x \in E$ . If  $E \setminus \{x\}$  closed, then done, since  $E = (E \setminus \{x\}) \cup \{x\}$  reduces  $E$ . Suppose  $E \setminus \{x\}$  not closed—this forces  $x \in \overline{E \setminus \{x\}}$ . Let  $U$  be open  $T_2$  nbhd of  $x$ , let  $y \in U \cap E$  with  $y \neq x$ . Then  $\exists$  disjoint, open  $V, W \subset U$ ,  $x \in V$ ,  $y \in W$ . Then

$$E = E \setminus (V \cap W) = (E \setminus U) \cup (E \setminus W)$$

reduces  $E$ . Hence no non-singleton closed subset is irreducible. Since  $X$  is  $T_1$ , irreducible closed subsets are precisely closures of singletons; so  $X$  is quasi-sober.  $\square$

**Counter-Example** (hereditarily sober +  $T_1$ , not locally Hausdorff). Put

$$X = [(0, \infty) \times \{0\}] \cup [\mathbb{N} \times \{1\}] \cup \{(1, 2)\}$$

Let  $\mathfrak{T}_{\mathbb{R}}$  be usual topology on  $\mathbb{R}$ . The basis of a topology on  $X$  is given by:

for  $(r, 0) \in (0, \infty) \times \{0\}$ , put  $\mathcal{B}_{(r,0)} = \{U \times \{0\} : r \in U \in \mathfrak{T}_{\mathbb{R}}\}$ ;

for  $(n, 1) \in \mathbb{N} \times \{1\}$ , put  $\mathcal{B}_{(n,1)} = \{[\{(n, 1)\} \cup (U \times \{0\}) \setminus \{(n, 0)\}]\} : n \in U \in \mathfrak{T}_{\mathbb{R}}\}$ ;

and for  $(1, 2)$ , put  $\mathcal{B}_{(1,2)} = \{[\{(1, 2)\} \cup ((r, \infty) \times \{0, 1\}) \cap X] : r \in (0, \infty)\}$ .

Let  $\mathfrak{T}$  be topology on  $X$  generated by  $\bigcup_{x \in X} \mathcal{B}_x$  as basis. Observe  $X \setminus \{(1, 2)\}$  is open and manifold,  $X$  is  $T_1$ . The point  $(1, 2)$  has no Hausdorff nbhd, so  $X$  not locally Hausdorff. And each closed subset  $E$  with  $|E| \geq 2$  is reducible, so  $X$  quasi-sober, hence hereditarily sober.

**Counter-Example** (hereditarily sober +  $T_1$ , not locally Hausdorff). Let  $\{A_n\}_{n \in \mathbb{N}}$  be countable, pairwise disjoint family of countably infinite sets. Choose two, one-to-one sequences  $\{x_n\}_{n=1}^{\infty}$ ,  $\{y_n\}_{n=1}^{\infty}$  such that

$$(\{x_n\}_{n=1}^{\infty} \cap \{y_n\}_{n=1}^{\infty}) = \emptyset, \text{ and}$$

$$\forall i \in \mathbb{N}, A_i \cap (\{x_n\}_{n=1}^{\infty} \cup \{y_n\}_{n=1}^{\infty}) = \emptyset.$$

$\forall n \in \mathbb{N}$ , put  $Y_n = A_n \cup \{x_n, y_n\}$ , choose  $z \notin \bigcup_{n=1}^{\infty} Y_n$ , put  $X = \bigcup_{n=1}^{\infty} Y_n \cup \{z\}$ . The basis of a topology on  $X$  is given by:

$$\text{for } x \in \bigcup_{n \in \mathbb{N}} A_n, \text{ put } \mathcal{B}_x = \{\{x\}\};$$

$$\text{for } n \in \mathbb{N}, \text{ put } \mathcal{B}_{x_n} = \{\{x_n\} \cup (A_n \setminus F) : F \subset A_n, |F| < \aleph_0\};$$

$$\text{for } n \in \mathbb{N}, \text{ put } \mathcal{B}_{y_n} = \{\{y_n\} \cup (A_n \setminus F) : F \subset A_n, |F| < \aleph_0\};$$

$$\text{and for } z, \text{ put } \mathcal{B}_z = \left\{ X \setminus \bigcup_{n \in \mathbb{N}} Y_n : n \in \mathbb{N} \right\}.$$

Let  $\mathfrak{T}$  be topology on  $X$  generated by  $\bigcup_{x \in X} \mathcal{B}_x$  as basis. Note each  $Y_n$  is modified Fort space, hence is locally  $T_2$ , but not  $T_2$ ; it follows  $X$  is  $T_1$  and also not locally  $T_2$  ( $z$  has no Hausdorff nbhd).

Each closed subset  $E$  with  $|E| \geq 2$  is reducible, so  $X$  is quasi-sober, hence hereditarily sober: this uses that  $X$  is  $T_1$ , that each  $\bigcup_{i=1}^m Y_i$  is clopen in  $X$ , and following cases:

Case A  $E$  is finite. Choose  $x \in E$  and write  $E = \{x\} \cup (E \setminus \{x\})$ .

Case B  $E \cap \left( \bigcup_{n \in \mathbb{N}} A_n \right) \neq \emptyset$ . Choose  $x \in E$  and write  $E = \{x\} \cup (E \setminus \{x\})$ .

Case C  $E$  is infinite and  $E \cap \left( \bigcup_{n \in \mathbb{N}} A_n \right) = \emptyset$ .  $\exists m \in \mathbb{N}$ ,  $x_m \in E$  or  $y_m \in E$ . Write

$$E = \left[ \left( \bigcup_{i=1}^m Y_i \right) \cap E \right] \cup \left[ E \setminus \bigcup_{i=1}^m Y_i \right].$$

So each closed subset  $E$  with  $|E| \geq 2$  is reducible.  $\square$

## Sobriety and $T_1$

**Example** (Xu-Yuan (2009)). Let  $\mathfrak{T}_{\mathbb{R}}$  be usual topology on  $\mathbb{R}$ . Put

$$\mathfrak{T}_d = \{U \in \mathfrak{T}_{\mathbb{R}} : U \text{ dense}\} \cup \{\emptyset\}.$$

Then  $(\mathbb{R}, \mathfrak{T}_d)$  is  $T_1$  but not  $T_2$ . Xu-Yuan (2009) claim  $(\mathbb{R}, \mathfrak{T}_d)$  is sober (so it is sober +  $T_1$  but not Hausdorff). This claim is now examined.

**Lemma.** Let  $X$  be any topological space,  $U$  any open dense subset, and  $D$  any dense subset. Then  $U \cap D$  is dense.

**Lemma.** Let  $X$  be any topological space such that each nonempty open subset is dense. Then  $X$  is irreducible closed set.

**Theorem.** Let  $X$  be any nonempty  $T_1$  topological space such that each nonempty open subset is dense. Then  $X$  is sober if and only if  $|X| = 1$ . In particular, if  $|X| \geq 2$ , then  $X$  is infinite and non-sober.

**Comments.** Following hold:

- (1) Šierpinski space is sober but not  $T_1$ . It can also be shown that Šierpinski space is  $T_D$ . Hence this space is hereditarily sober—or sober +  $T_D$ —and not  $T_1$ . It is also not locally Hausdorff (previous section).
- (2) For infinite  $X$ , the space  $(X, \mathfrak{T}_{cof})$  is  $T_1$  but not sober.
- (3)  $(\mathbb{R}, \mathfrak{T}_d)$  of Xu-Yuan (2009) is  $T_1$  but not sober. Their claim of sobriety is false.

## Summary

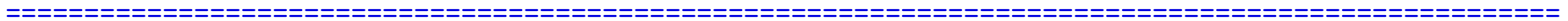
See Hasse diagrams on later slides.



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