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# Rigidity and Nonrigidity of Corona Algebras

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Alessandro Vignati

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Two examples Rigidity Nonrigidity

# Rigidity and nonrigidity of corona algebras

# Paul McKenney Joint work with Alessandro Vignati

# SUMTOPO 2017, University of Dayton

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Two examples $\beta \mathbb{N} \setminus \mathbb{N}$ RigidityB(H)/K(H)NonrigidityCorona algebra

#### Question

What are the homeomorphisms of  $\beta \mathbb{N}$ ?

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#### Proposition

Every homeomorphism of  $\beta \mathbb{N}$  is induced by a permutation of  $\mathbb{N}$ .

#### Proof.

The isolated points of  $\beta \mathbb{N}$  are the singletons  $\{n\}$ ;  $\varphi$  must permute them, and this permutation determines the rest of  $\varphi$ .

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 $\begin{array}{c|c} \mathsf{Two examples} & \beta\mathbb{N}\setminus\mathbb{N} \\ \text{Rigidity} & B(H)/K(H) \\ \text{Nonrigidity} & \text{Corona algebra} \end{array}$ 

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#### Theorem (W. Rudin, 1957)

Assume the continuum hypothesis (CH). Then there is a homeomorphism of  $\beta \mathbb{N} \setminus \mathbb{N}$  which is not induced by an almost-permutation of  $\mathbb{N}$ .

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#### Theorem (Shelah-Steprans, 1988)

Assume the proper forcing axiom (PFA). Then every homeomorphism of  $\beta \mathbb{N} \setminus \mathbb{N}$  is induced by an almost-permutation of  $\mathbb{N}$ .

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Let H be a (complex) Hilbert space. A linear operator  $T: H \rightarrow H$  is *bounded* if

$$\left\| \left. \mathcal{T} \right\| = \sup \left\{ \left\| \left. \mathcal{T} \xi \right\| \right\| \ \left\| \left. \xi \right\| \le 1 
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The set B(H) of bounded linear operators has a Banach space structure with the above norm, as well as

- **1** a multiplication,  $ST = S \circ T$ , and
- 2 an involution,  $T \mapsto T^*$ , defined by  $\langle T^*\xi, \eta \rangle = \langle \xi, T\eta \rangle$ .

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This structure (along with some axioms relating  $\|\cdot\|$  with the multiplication and involution) makes B(H) a *C\*-algebra*.

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#### Question

What are the (C\*-algebra) automorphisms of B(H)? (Where  $H = \ell^2$ .)

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Two examples $\beta \mathbb{N} \setminus \mathbb{N}$ RigidityB(H)/K(H)NonrigidityCorona algebra

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Every automorphism of B(H) is induced by a change of basis on H.

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Every automorphism of B(H) is induced by a change of basis on H.

#### Proof.

An orthogonal projection  $P_K : H \to K$ , where K is a closed subspace of H, is characterized by the algebraic properties  $P_K^2 = P_K^* = P_K$ . Moreover,  $K \subseteq L$  if and only if  $P_K P_L = P_K$ . The one-dimensional projections are thus characterized algebraically as the smallest projections which are not 0. So any automorphism must permute them.

Two examples Rigidity Nonrigidity  $\beta \mathbb{N} \setminus \mathbb{N}$  B(H)/K(H)Corona algebras

#### Definition

An operator  $T \in B(H)$  is *compact* if T is the  $\|\cdot\|$ -limit of a sequence of finite-rank operators on H. We write K(H) for the set of compact operators on H.

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K(H) forms an ideal in B(H), and the quotient B(H)/K(H) is a C\*-algebra called the *Calkin algebra*.

Two examples $\beta \mathbb{N} \setminus \mathbb{N}$ RigidityB(H)/K(H)NonrigidityCorona algebras

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#### Question

What are the automorphisms of B(H)/K(H)?

#### Theorem (Phillips-Weaver, 2007)

Assume CH. Then there is an automorphism of B(H)/K(H) which is not induced by an (almost-) change of basis on H.

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#### Theorem (Farah, 2011)

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The Rudin and Shelah-Steprans results can be recast using C\*-algebras.

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The Rudin and Shelah-Steprans results can be recast using C\*-algebras.

#### Definition

Given a locally compact Hausdorff space X,  $C_0(X)$  is the C\*-algebra of continuous functions  $f : X \to \mathbb{C}$  which "vanish at infinity":

$$\forall \epsilon > 0 \quad \exists K \subseteq X \quad \forall x \in X \setminus K \quad |f(x)| < \epsilon$$

If X is compact, then  $C_0(X) = C(X)$ .

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#### Proposition

As C\*-algebras, 
$$C(\beta \mathbb{N}) \simeq \ell^{\infty}$$
,  $C_0(\mathbb{N}) = c_0$ , and  $C(\beta \mathbb{N} \setminus \mathbb{N}) \simeq \ell^{\infty}/c_0$ .

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Every commutative C\*-algebra is isomorphic to  $C_0(X)$  for some locally compact, Hausdorff X.

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#### Question

What is the unitization which corresponds to the Čech-Stone compactification?

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Let  $A \subseteq B(H)$  be a C\*-algebra.

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Let  $A \subseteq B(H)$  be a C\*-algebra. The *strict topology* on B(H), relative to A, is the topology generated by the seminorms

$$x \mapsto \|ax\|$$
 and  $x \mapsto \|xa\|$   $(a \in A)$ 

The *multiplier algebra*, M(A), is the closure of A in the strict topology relative to A.

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• M(A) is a unital C\*-algebra containing A as an ideal.

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- M(A) is a unital C\*-algebra containing A as an ideal.
- $M(C_0(X)) = C(\beta X)$  for any locally compact, Hausdorff X.
- M(K(H)) = B(H).
- If  $A_n$   $(n \in \mathbb{N})$  is a sequence of unital C\*-algebras, then  $M(\bigoplus A_n) = \prod A_n$ .

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# The quotient M(A)/A is called the *corona of A*.

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## What are the automorphisms of M(A)/A?

It will be hard to give any specific structure to the automorphisms of a general corona algebra, but we can isolate the set-theoretic aspects with the following.

#### Definition

Given a map  $\varphi: M(A)/A \to M(B)/B$ , the graph of  $\varphi$  is

 $\Gamma_{\varphi} = \{(a, b) \in M(A) \times M(B) \mid \varphi([a]) = [b]\}$ 



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Note: if A is separable, then the unit ball of M(A) is Polish in the strict topology. (But M(A) is usually not separable in the norm topology.)

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The PFA (i.e. rigidity) proofs in the case of  $C(\beta \mathbb{N} \setminus \mathbb{N})$  and B(H)/K(H) "factor" in the following way:

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Two examples<br/>Rigidity $\beta \mathbb{N} \setminus \mathbb{N}$ <br/>B(H)/K(H)<br/>Corona algebras

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#### Conjecture (Coskey-Farah)

PFA implies that for every separable C\*-algebra A and every automorphism  $\varphi$  of M(A)/A,  $\Gamma_{\varphi}$  is Borel.

A UHF algebra is a direct limit  $M_{k_1}(\mathbb{C}) \to M_{k_2}(\mathbb{C}) \to \cdots$  where the connecting maps are of the form

$$A \mapsto \begin{pmatrix} A & & & \\ & A & & \\ & & \ddots & \\ & & & & A \end{pmatrix}$$

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In this case,  $k_1$  divides  $k_2$  divides  $k_3$  ...

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The isomorphism type of a UHF algebra is determined by the limit of the prime factorizations of the  $k_i$ 's.

E.g. if  $k_i = 2^i$  then the corresponding UHF algebra has "type"  $2^{\infty}$ .

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Two examples Rigidity Nonrigidity Ulam-Hyers stabilit Nuclear C\*-algebra

### Theorem (M.)

Assume PFA. Let  $A_n$  and  $B_n$   $(n \in \mathbb{N})$  be UHF algebras. Then every isomorphism between  $\prod A_n / \bigoplus A_n$  and  $\prod B_n / \bigoplus B_n$  has a Borel graph.

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Two examples Rigidity Nonrigidity Ulam-Hyers stabilit Nuclear C\*-algebra

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 Two examples
 UHF algebras

 Rigidity
 Ulam-Hyers stabilit

 Nonrigidity
 Nuclear C\*-algebras

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What about the structure of  $\varphi$ ?

#### Question

Is  $\varphi$  just composed of a bunch of isomorphisms  $A_n \simeq B_n$ , after applying an almost-permutation to the indices?

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Two examples Rigidity Nonrigidity Nonrigidity Nuclear C\*-algebras

Note that

where k(n) grows arbitrarily fast.

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Two examples Rigidity Nonrigidity Nuclear C\*-algebras

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Two examples Rigidity Nonrigidity Nonrigidity Ulam-Hyers stability Nuclear C\*-algebras

### Theorem (M.)

In this situation, we have



where  $\alpha_n : M_{k(n)} \to B_{f(n)}$  is a sequence of unital homomorphisms and f is an almost-permutation of  $\mathbb{N}$ .

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Two examples Rigidity Nonrigidity Ulam-Hyers stabilit Nuclear C\*-algebra

#### Corollary

Assume PFA and suppose  $A_n$  and  $B_n$  are UHF algebras such that

$$\prod A_n / \bigoplus A_n \simeq \prod B_n / \bigoplus B_n$$

Then there is an almost-permutation f of  $\mathbb{N}$  such that for all  $n \in \text{dom } f$ ,

$$A_n \simeq B_{f(n)}$$

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Two examples Rigidity Nonrigidity Nonrigidity Ulam-Hyers stability Nuclear C\*-algebras

In this situation we can also get functions

 $\beta_n: A_n \to B_{f(n)}$ 

such that  $\varphi[(x_n)] = [(\beta_n(x_n))]$  for all sequences  $(x_n) \in \prod A_n$ .

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Two examples Rigidity Nonrigidity Nuclear C\*-algebras

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But, the maps  $\beta_n$  are not necessarily homomorphisms. They satisfy

$$\begin{aligned} \|\beta_n(x+y) - \beta_n(x) - \beta_n(y)\| &\leq \epsilon(\|x\| + \|y\|) \\ \|\beta_n(xy) - \beta_n(x)\beta_n(y)\| &\leq \epsilon \|x\| \|y\| \\ \|\beta_n(x^*) - \beta_n(x)^*\| &\leq \epsilon \|x\| \end{aligned}$$

where  $\epsilon \to 0$  as  $n \to \infty$ . Call such a function an  $\epsilon$ -homomorphism.

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Two examples Rigidity Nonrigidity Nuclear C\*-algebras

Basic problem: given a  $\delta$ -homomorphism  $\beta : A \to B$ , can we find a homomorphism  $\phi : A \to B$  such that  $\|\beta(x) - \phi(x)\| \le \epsilon$  for all x in the unit ball of A?

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This is a well-studied problem in the case where  $\beta$  is already linear. In the nonlinear case it seems much harder.

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This is a well-studied problem in the case where  $\beta$  is already linear. In the nonlinear case it seems much harder.

#### Theorem (Farah)

When A and B are finite-dimensional, then yes. In fact  $\delta$  depends only on  $\epsilon$  (and not on the dimension of A or B).

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 Two examples
 UHF algebras

 Rigidity
 Ulam-Hyers stability

 Nonrigidity
 Nuclear C\*-algebras

## Theorem (M.-Vignati)

If A is finite-dimensional and B is any C\*-algebra, then every  $\delta$ -homomorphism is within  $\epsilon$  of a homomorphism, and moreover  $\delta$  depends only on  $\epsilon$ .

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 Two examples
 UHF algebras

 Rigidity
 Ulam-Hyers stability

 Nonrigidity
 Nuclear C\*-algebras

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### Theorem (M.-Vignati)

If A is a direct limit of finite-dimensional C\*-algebras and B is a von Neumann algebra, then every  $\delta$ -homomorphism is within  $\epsilon$  of a homomorphism, and moreover  $\delta$  depends only on  $\epsilon$ .

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Two examples Rigidity Nonrigidity Nuclear C\*-algebras

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A C\*-algebra is *nuclear* if ...it can be approximated by finite dimensional C\*-algebras in a certain loose way. (You can think of the class of nuclear C\*-algebras as the analog of amenable groups; in fact, a group G is amenable if and only if its C\*-algebra  $C^*(G)$  is nuclear.)

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C\*-algebra  $C^*(G)$  is nuclear.)

## Theorem (M.-Vignati)

Assume PFA and let A be a separable, nuclear C\*-algebra with an increasing approximate identity of projections. Then every automorphism of M(A)/A has a Borel graph.

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 Two examples
 UHF algebras

 Rigidity
 Ulam-Hyers stability

 Nonrigidity
 Nuclear C\*-algebras

### Theorem (M.-Vignati)

Assume PFA. Let  $A_n$  and  $B_n$  be separable, unital, nuclear  $C^*$ -algebras, and suppose

$$\prod A_n / \bigoplus A_n \simeq \prod B_n / \bigoplus B_n$$

Then there is an almost-permutation f and maps

$$\beta_n : A_n \to B_{f(n)}$$

such that  $\beta_n$  is an  $\epsilon$ -isomorphism where  $\epsilon \to 0$  as  $n \to \infty$ .

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Question (Open so far)

Does this imply that  $A_n \simeq B_{f(n)}$ ?

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## Theorem (M.-Vignati-BGOS)

Assume PFA and let A be a separable C\*-algebra with an increasing approximate identity of projections such that A has the metric approximation property as a Banach space. Then every automorphism of M(A)/A has a Borel graph.

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A Banach space *E* has the *metric approximation property* if for every finite subset *X* of *E* and  $\epsilon > 0$ , there is a finite-rank operator  $T: E \to E$  such that  $||T|| \le 1$  and  $||Tx - x|| < \epsilon$  for all  $x \in X$ .

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The class of C\*-algebras with the MAP is large; it includes nuclear C\*-algebras as well as many non-nuclear C\*-algebras (e.g.  $C^*(\mathbb{F}_2)$ ). But there are separable C\*-algebras which do not have the MAP.

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 Two examples
 UHF algebras

 Rigidity
 Ulam-Hyers stability

 Nonrigidity
 Nuclear C\*-algebras

#### Theorem (Farah-M.)

Assume PFA. Then if X and Y are a zero-dimensional locally compact Polish spaces, every homeomorphism  $\beta X \setminus X \simeq \beta Y \setminus Y$  is induced by a homeomorphism between cocompact subsets of X and Y.

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Two examples Rigidity Nonrigidity Nonrigidity Ulam-Hyers stability Nuclear C\*-algebras

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Removing the zero-dimensional assumption seems very hard. The proof uses the Boolean algebra of clopen sets modulo its ideal of compact-open sets...

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## Theorem (Coskey-Farah)

Assume CH and let A be a separable, simple, nonunital C\*-algebra. Then there are  $2^{c}$ -many automorphisms of M(A)/A.

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#### Theorem (Ghasemi)

There exist increasing sequences k(n) and  $\ell(n)$  of natural numbers such that  $k(n) \neq \ell(n)$  and

$$\prod M_{k(n)} / \bigoplus M_{k(n)} \equiv \prod M_{\ell(n)} / \bigoplus M_{\ell(n)}$$

Two examples Rigidity Nonrigidity

# Thank you!

Paul McKenney Rigidity and nonrigidity of corona algebras

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