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Entropy in Topological Groups, Part 2

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Entropy in Topological Groups

Dikran Dikranjan

W o r k s h o p a t
S u m m e r T o p o l o g y C o n f e r e n c e
D a y t o n , O h i o (U S A) ,
J u n e 2 8 , 2 0 1 7

Weiss [1976] Let $\phi : K \rightarrow K$ a continuous endomorphism of a totally disconnected compact abelian group K . If $\widehat{\phi} : \widehat{K} \rightarrow \widehat{K}$ is the Pontryagin dual of ϕ . Then

$$h_{\text{top}}(\phi) = h_{\text{alg}}(\widehat{\phi}). \quad (\dagger)$$

Peters [1979] proved (\dagger) when G is **compact metrizable** and ϕ is a continuous **automorphism** (Peters [Pac.J.Math. 1980] LCA groups).

Theorem (Giordano Bruno - DD)

Let $\phi : G \rightarrow G$ be a continuous endomorphism of a LCA group G . Then (\dagger) holds if one of the following condition is fulfilled:

- (a) G is totally disconnected (generalizes Weiss);
- (b) G is compact (generalizes Peters).

Question

Does (\dagger) hold true for every LCA group G ?

Yes, for automorphisms (for actions of amenable groups), Virili '13

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Let X be a set and $\lambda : X \rightarrow X$ a selfmap. For a finite subset D of X and $n \in \mathbb{N}_+$ the n -th λ -trajectory of D is

$$\mathfrak{T}_n(\lambda, D) = D \cup \lambda(D) \cup \dots \cup \lambda^{n-1}(D),$$

while the λ -trajectory ([positive] orbit) of D under λ is

$$\mathfrak{T}(\lambda, D) = \bigcup_{n \in \mathbb{N}} \lambda^n(D) = \bigcup_{n \in \mathbb{N}_+} \mathfrak{T}_n(\lambda, D).$$

This is the smallest λ -invariant subset of X containing D .

One can define similarly the inverse n -th λ -trajectory of D by

$$\mathfrak{T}_n^*(\lambda, D) = D \cup \lambda^{-1}(D) \cup \dots \cup \lambda^{-n+1}(D)$$

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- (a) For a finite subset D of X the **(covariant) combinatorial entropy** of λ with respect to D is

$$h_c(\lambda, D) = \lim_{n \rightarrow \infty} \frac{|\mathfrak{T}_n(\lambda, D)|}{n} \leq |D|.$$

- (b) The number $h_c(\lambda) = \sup \{h_c(\lambda, D) : D \in [X]^{<\omega}\}$ is the **(covariant) combinatorial entropy** of λ .

If $\lambda : X \rightarrow X$ is finitely many-to-one, the **(contravariant) combinatorial entropy** $h_c^*(\lambda)$ of λ can be defined similarly, by making use of $\mathfrak{T}_n^*(\lambda, D)$ in place of $\mathfrak{T}_n(\lambda, D)$.

Example (Generalized shifts)

Let K be a finite group (set) and $\lambda : X \rightarrow X$ be a selfmap, $X \neq \emptyset$. Define the **generalized shift** $\sigma_\lambda : K^X \rightarrow K^X$ by $\sigma_\lambda(g) = g \circ \lambda$ for $g : X \rightarrow K$.

- (a) $h_{top}(\sigma_\lambda) = h_c(\lambda) \log |K|$ (this remains true also for compositions $\psi \circ \sigma_\lambda$ or $\sigma_\lambda \circ \psi$, where $\psi = (\psi_i) \in \text{Sym}(K)^I$).

- (b) if $\lambda : X \rightarrow X$ is finitely many-to-one, then the direct sum $\bigoplus_X K$ is σ_λ -invariant in K^X and $h_{alg}(\sigma_\lambda \upharpoonright_{\bigoplus_X K}) = h_c^*(\lambda) \log |K|$.

Let X be a set and $\lambda : X \rightarrow X$ a selfmap.

- (a) For a finite subset D of X the (covariant) combinatorial entropy of λ with respect to D is

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Call a compact group *strictly reductive* if it is isomorphic to a cartesian product of simple compact groups.

Theorem (Countable Layer Theorem, Hofmann-Morris)

Any compact profinite group G has a canonical countable descending sequence

$$G = \Omega_0(G) \supseteq \dots \supseteq \Omega_n(G) \supseteq \dots$$

of closed characteristic subgroups of G such that:

- (1) $\bigcap_{n=1} \Omega_n(G) = \{e\}$,
- (2) *each layer $L_n = \Omega_{n-1}(G)/\Omega_n(G)$ is a strictly reductive group.*

The computation of the topological entropy of an automorphism $f : G \rightarrow G$ of a compact profinite group G can be reduced to the case of a strictly reductive compact group L . Indeed, f induces an automorphism $f_n : L_n \rightarrow L_n$ of the strictly reductive group L_n and $h_{\text{top}}(f) = \sum_{n=1}^{\infty} h_{\text{top}}(f_n)$, as $G = \varprojlim G/\Omega_n(G)$ and the induced automorphism \bar{f} of $G/\Omega_n(G)$ has $h_{\text{top}}(\bar{f}) = \sum_{k=1}^n h_{\text{top}}(f_k)$.

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An automorphism f of a compact group L induces automorphisms of L' and L/L' , so by using AT (when $L' = \bar{L}'$), one can assume wlog that either $L = L'$ or L is abelian when computing $h_{top}(f)$.

A strictly reductive compact group with $L = L'$ has the form $\prod_{j \in J} K_j$, where $K_j = F_j^{I_j}$, for some simple finite non-abelian group F_j and $I_j \neq \emptyset \neq J$. Then f induces automorphisms f_j of K_j so that $h_{top}(f) = \sum_{j \in J}^{\infty} h_{top}(f_j)$. Each f_j induces a bijection λ_j of I_j , so that $\psi_j := \sigma_{\lambda_j}^{-1} \circ f_j$ acts coordinatewise on $F_j^{I_j}$. Thus,

$$h_{top}(f_j) = h_{top}(\sigma_{\lambda_j} \circ \psi_j) = h_{top}(\sigma_{\lambda_j}) = h_c(\lambda_j) \log |F_j|.$$

In case L is abelian, it has the form $L = \prod_{p \in \pi} K_p$, where $K_p = \mathbb{Z}_p^{k_p}$ for some set π of primes. Now each $f_p : K_p \rightarrow K_p$ is conjugated to a direct product of generalized shifts of $\mathbb{Z}_p^{k_p}$.

Note that in both cases these generalized shifts are just products of periodic automorphisms and Bernoulli automorphisms.

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A strictly reductive compact group with $L = L'$ has the form $\prod_{j \in J} K_j$, where $K_j = F_j^{I_j}$, for some simple finite non-abelian group F_j and $I_j \neq \emptyset \neq J$. Then f induces automorphisms f_j of K_j so that $h_{top}(f) = \sum_{j \in J}^{\infty} h_{top}(f_j)$. Each f_j induces a bijection λ_j of I_j , so that $\psi_j := \sigma_{\lambda_j}^{-1} \circ f_j$ acts coordinatewise on $F_j^{I_j}$. Thus,

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Similarly, one can compute $h_{top}(f)$ when G is a compact connected group. As mentioned above, we can reduce to the cases when G is abelian or $G' = G$ (note that G' is closed and connected). The abelian case can be reduced, via the Bridge theorem, to the computation of $h_{alg}(\widehat{f})$.

Since $Z(G)$ is characteristic, the computation of $h_{top}(f)$ can be reduced, due to AT, to the case when G is center-free, as $Z(G/Z(G)) = \{e\}$. In such a case the group G is, again, strictly reductive, i.e., $G = \prod_{i \in I} F_i^{I_j}$, where F_i are pairwise non-isomorphic compact connected simple Lie groups with trivial center. As above, f_j induces a bijection λ_j of I_j , so that $\psi_j := g_{\lambda_j}^{-1} \circ f_j$ acts coordinatewise on $F_j^{I_j}$. Now $h_{top}(f)$ is computed as above, but here one has a dichotomy:

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Definition

A *normed semigroup* is a commutative semigroup $(S, +)$ provided with a map (*norm*) $v : S \rightarrow \mathbb{R}_{\geq 0} = \{r \in \mathbb{R} : r \geq 0\}$ satisfying

$$v(x + y) \leq v(x) + v(y)$$

for all $x, y \in S$.

The category \mathfrak{G} of normed semigroups has as morphisms all *contractive* semigroup homomorphism $f : (S, v) \rightarrow (S_1, v_1)$ (i.e., $\phi(x + y) = \phi(x) + \phi(y)$ and $v_1(\phi(x)) \leq v(x)$ hold for every $x, y \in S$).

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For $(S, \nu) \in \mathfrak{G}$, $x \in S$ and $n \in \mathbb{N}_+$ consider the n -th trajectory of x under ϕ

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Then $(c_n(\phi, x))$ is subadditive and $c_n \leq n \cdot \nu(x)$, so the growth of the function $n \mapsto c_n(\phi, x)$ is at most linear.

Theorem

Let $\phi : S \rightarrow S$ be an endomorphism in \mathfrak{G} . Then for every $x \in S$ the limit $h_{\mathfrak{G}}(\phi, x) := \lim_n \frac{c_n(\phi, x)}{n}$ exists and satisfies $h_{\mathfrak{G}}(\phi, x) \leq \nu(x)$.

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If $\phi : S \rightarrow S$ and $\psi : T \rightarrow T$ are endomorphisms in \mathfrak{S} and $\alpha : T \rightarrow S$ is a surjective homomorphism between normed semigroups such that $\alpha \circ \psi = \phi \circ \alpha$, then $h_{\mathfrak{S}}(\phi) \leq h_{\mathfrak{S}}(\psi)$.

Corollary ($h_{\mathfrak{S}}$ is invariant under conjugation)

If $\phi : S \rightarrow S$ is an endomorphism in \mathfrak{S} and $\alpha : T \rightarrow S$ is an isomorphism in \mathfrak{S} , then $h_{\mathfrak{S}}(\phi) = h_{\mathfrak{S}}(\alpha \circ \phi \circ \alpha^{-1})$.

Lemma ($h_{\mathfrak{S}}$ is invariant under inversion)

If $\phi : S \rightarrow S$ is an isomorphism in \mathfrak{S} , then $h_{\mathfrak{S}}(\phi^{-1}) = h_{\mathfrak{S}}(\phi)$.

Lemma (Logarithmic Law)

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For a topological space X the family $\text{cov}(X)$ of all open covers of X is a commutative monoid $(\text{cov}(X), \vee, \mathcal{E})$, where \vee is defined as before and $\mathcal{E} = \{X\}$ is the trivial cover.

One has a natural a preorder $\mathcal{U} \prec \mathcal{V}$ on $\text{cov}(C)$ (\mathcal{V} *refines* \mathcal{U} , i.e, if for every $V \in \mathcal{V}$ there exists $U \in \mathcal{U}$ such that $V \subseteq U$), that is not an order. It has bottom element \mathcal{E} . In general, $\mathcal{U} \vee \mathcal{U} \neq \mathcal{U}$ (so $\text{cov}(C)$ is not a semilattice), yet $\mathcal{U} \vee \mathcal{U} \sim \mathcal{U}$ (where $\mathcal{U} \sim \mathcal{V}$ means $\mathcal{U} \prec \mathcal{V}$ and $\mathcal{V} \prec \mathcal{U}$)

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To get a norm on the semigroup $\text{cov}(X)$ we restrict this functor to the subcategory **CTop** of *compact spaces*. For $X \in \mathbf{CTop}$, $\mathcal{U} \in \text{cov}(X)$ let $v(\mathcal{U}) = N(\mathcal{U})$.

Lemma

For a compact space X , $(\text{cov}(X), \vee, v)$ is a normed semigroup. For every continuous map $\phi : X \rightarrow Y$ of compact spaces the inequality $v(\phi^{-1}(\mathcal{W})) \leq v(\mathcal{W})$ holds for every $\mathcal{W} \in \text{cov}(Y)$.

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[Invariance under conjugation] If $\alpha : Y \rightarrow X$ is an isomorphism, then $h_F(\phi) = h_F(\alpha^{-1} \circ \phi \circ \alpha)$.

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Further properties of h_F depend on properties of the functor F . We start by monotonicity under taking invariant subobjects or factor flows.

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Obtaining the measure entropy h_{mes} as $h_{\mathfrak{P}}$

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This is why, similarly to h_{top} , also the measure-theoretic entropy h_{mes} is monotone w.r.t. taking quotients or restrictions to invariant subspaces, is continuous w.r.t. inverse limits, etc.

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Example (Adler, Konrad and McAndrew's algebraic entropy `ent`)

Let G be an Abelian group and let $(\mathcal{F}(G), +)$ be the semilattice of all finite subgroups of G . Letting $v(F) = \log |F|$ for $F \in \mathcal{F}(G)$, makes $\mathcal{F}(G)$ a normed semilattice with a monotone norm.

For every homomorphism $\phi : G \rightarrow H$ of Abelian groups the map $\mathcal{F}(\phi) : \mathcal{F}(G) \rightarrow \mathcal{F}(H)$ defined by $\mathcal{F}(\phi)(F) = \phi(F)$ for every $F \in \mathcal{F}(G)$ is a morphism in \mathfrak{S} . The assignments $G \mapsto \mathcal{F}(G)$, $\phi \mapsto \mathcal{F}(\phi)$ define a covariant functor

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Example (The algebraic entropy h_{alg})

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In this direction, the notion of entropy of actions of amenable groups on compact metrizable spaces or measure spaces was introduced by Ornstein and Weiss [1987].

Hofmann and Stoyanov [1995] defined and studied topological entropy $h_\alpha(\gamma)$ of actions $S \curvearrowright^\gamma X$ of a locally compact semigroup S on a metric space X , depending on a countable system α of compact subsets $\alpha = (N_1, N_2, \dots, N_n, \dots)$ of S satisfying $N_i N_j \subseteq N_{i+j}$. If $S = \mathbb{N}$ is generated by a single map $f : X \rightarrow X$ and $N_n = [0, n - 1]$, the entropy $h_\alpha(\gamma)$ coincides with Bowen's topological entropy $h_U(f)$.

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Theorem (Ceccherini-Silberstein, Coornaert and Krieger 2014)

Let S be a cancellative left amenable monoid and let $f : \mathcal{P}(S) \rightarrow \mathbb{R}$ be a subadditive, right-subinvariant map. Then there exists $\lambda \in \mathbb{R}_{\geq 0}$ such that, for every left-Følner net $(F_i)_{i \in I}$ of S ,

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Theorem (Continuity for direct limit)

Let S be a cancellative right-amenable semigroup, A an abelian group and consider $S \curvearrowright^\alpha A$. If A is a direct limit of α -invariant subgroups $\{A_i \mid i \in I\}$, then $h_{\text{alg}}(\alpha) = \sup_{i \in I} h_{\text{alg}}(\alpha_{A_i})$.

Theorem (Logarithmic Law)

Let G be an amenable group, A an abelian group and $G \curvearrowright^\alpha A$. If H is a subgroup of G of finite index $[G : H] = k \in \mathbb{N}$, then

$$h_{\text{alg}}(\alpha \upharpoonright_H) = k \cdot h_{\text{alg}}(\alpha) \quad \text{and} \quad \text{ent}(\alpha \upharpoonright_H) = k \cdot \text{ent}(\alpha).$$

Theorem (Fornasiero, Giordano Bruno, DD - 2017)

Let A be a torsion abelian group, S be a right-amenable monoid, α be a left action of S on A , and B be an α -invariant subgroup of A . Then

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For a locally compact abelian group A and a continuous endomorphism $\phi : A \rightarrow A$ denote by \widehat{A} the Pontryagin dual of A and $\widehat{\phi} : \widehat{A} \rightarrow \widehat{A}$ be the dual of ϕ , defined by $\widehat{\phi}(\chi) = \chi \circ \phi$.

A left action $S \curvearrowright K$ of a cancellative left-amenable semigroup S on a compact abelian group K induces a right **dual action** $\widehat{K} \curvearrowright S$ on the discrete group \widehat{K} , defined by

$$\widehat{\gamma}(s) = \widehat{\gamma}(s) : \widehat{K} \rightarrow \widehat{K} \quad \text{for every } s \in S.$$

The Bridge theorem remains true in this much more general context (where $\widehat{\gamma}^{op}$ is the left action of S^{op} associated to $\widehat{\gamma}$):

Theorem (Fornasiero, Giordano Bruno, DD - 2017)

For a left action $S \curvearrowright K$ of a cancellative left-amenable semigroup S on a compact totally disconnected abelian group K

$$h_{top}(\gamma) = h_{alg}(\widehat{\gamma}^{op}).$$

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