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Saylor, N. Hirlinger, B. Guegan, V. D. Burkert, L. Elouadrhiri, M. Garcon, F. X. Girod, M. Guidal, G. Gilfoyle, et al. "Measurement of Unpolarized and Polarized Cross Sections for Deeply Virtual Compton Scattering on the Proton at Jefferson Laboratory with CLAS." *Physical Review C* 98, no. 4 (October 9, 2018): 045203. https://doi.org/10.1103/PhysRevC.98.045203.

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## Measurement of unpolarized and polarized cross sections for deeply virtual Compton scattering on the proton at Jefferson Laboratory with CLAS

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(Received 29 March 2018; published 9 October 2018)

This paper reports the measurement of polarized and unpolarized cross sections for the  $ep \rightarrow e'p'\gamma$  reaction, which is composed of deeply virtual Compton scattering (DVCS) and Bethe-Heitler (BH) processes, at an electron beam energy of 5.88 GeV at the Thomas Jefferson National Accelerator Facility using the Large Acceptance Spectrometer CLAS. The unpolarized cross sections and polarized cross section differences have been measured over broad kinematics,  $0.10 < x_B < 0.58$ ,  $1.0 < Q^2 < 4.8 \text{ GeV}^2$ , and  $0.09 < -t < 2.00 \text{ GeV}^2$ . The results are found to be consistent with previous CLAS data, and these new data are discussed in the framework of the generalized parton distribution approach. Calculations with two widely used phenomenological models are approximately compatible with the experimental results over a large portion of the kinematic range of the data.

#### DOI: 10.1103/PhysRevC.98.045203

#### I. INTRODUCTION

While nucleons have been known to consist of quarks and gluons for nearly half a century, a quantitative threedimensional description of that structure is still a topic of exploration and great interest. How the properties of quarks and gluons contribute to the nucleon is a fundamental question, whether it concerns their mass, spin, or distributions in momentum or position space.

The traditional method for exploring nucleon structure has been through electron scattering off nucleons. Two complementary approaches have historically been taken, namely elastic scattering  $eN \rightarrow e'N'$  [1], and inclusive scattering  $eN \rightarrow$ e'X [2], on the nucleon. Through elastic electron-nucleon scattering, form factors (FFs) may be obtained, whose Fourier transforms give access to the transverse spatial distributions with respect to the incident virtual photon direction. Through inelastic electron-nucleon scattering, parton distribution functions (PDFs) may be obtained, which describe the longitudinal momentum distributions.

While these methods have been effective in answering many questions regarding nucleon structure, a complete three-dimensional description is much desired. Two complementary approaches have been developed in the past few decades, namely through transverse momentum-dependent parton distributions (TMDs) and generalized parton distributions (GPDs). See Refs. [3–7] for reviews.

TMDs may be accessed through inclusive and semiinclusive deep inelastic scattering (DIS), giving a description of the nucleon in terms of longitudinal and transverse momentum. GPDs may be accessed via exclusive reactions such as deeply virtual Compton scattering (DVCS)  $eN \rightarrow e'N'\gamma$  and exclusive meson production  $ep \rightarrow e'N'M$ . These processes describe the nucleon in terms of the longitudinal momentum and transverse position of its quarks and gluons, which has often been described as nucleon tomography.

Beyond the three-dimensional (3D) imaging, proton GPDs are related, through sum rules [8], to the gravitational form factors of the proton, which themselves are related to mechanical properties of the proton [9]. These relations have recently been used to determine the pressure distribution inside the proton from experimental data [10].

In this article, we focus on the study of DVCS, which provides the cleanest extraction of GPDs. For the DVCS reaction, in the Bjorken limit, GPDs are extracted by means of factorization of the DVCS amplitude into hard and soft parts. The hard part consists of the virtual Compton process at the quark level  $\gamma^*q \rightarrow \gamma q$ , which is perturbatively calculable in

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It is possible to interpret the GPDs as an x decomposition of the form factors, where we have access to the FFs at different values of x, rather than integrated over x. As  $\xi \to 0$ , the two-dimensional Fourier transform of the GPD  $H_q(x, 0, t)$ is linked to the distribution  $q(x, b_{\perp})$ , which provides a simultaneous measurement of the longitudinal momentum x at a given transverse position (impact parameter  $b_{\perp}$ ) for unpolarized quarks and target [13]:

$$q(x, 0, b_{\perp}) = \int \frac{d^2 \Delta_{\perp}}{(2\pi)^2} e^{-ib_{\perp}\Delta_{\perp}} H^q(x, 0, -\Delta_{\perp}^2).$$
(1)

The information contained in the PDFs of the deep inelastic scattering (DIS) experiments and the form factors measured in elastic scattering are now unified into the GPD framework, allowing for a three-dimensional (two spatial and one momentum) picture of the nucleon.

The four GPDs  $(H, \tilde{H}, E, \tilde{E})$  depend on the three variables  $x, \xi$ , and t, but only  $\xi$  and t are accessible experimentally. Thus, one cannot directly access the x dependence of the GPDs from DVCS experiments. In DVCS experiments, one accesses the integral of the GPD over x. This integral is called a Compton form factor (CFF):

$$\mathcal{H}(\xi,t) = \int_{-1}^{+1} dx H(x,\xi,t) \left(\frac{1}{\xi - x - i\epsilon} - \frac{1}{\xi + x - i\epsilon}\right).$$
(2)

The CFFs depend only on  $\xi$  (or equivalently on  $x_B$ ) and t, and are the quantities that can be extracted from DVCS experiments. Model-independent fitting procedures have been developed which, for a given experimental point ( $x_B$ , t), keep the CFFs as free parameters and extract them from the DVCS experimental observables.

In addition to the DVCS amplitude, the cross sections for the exclusive electroproduction of a photon  $eN \rightarrow e'N'\gamma$  also receive a contribution from the Bethe-Heitler (BH) process, which is exactly theoretically calculable. In this case, the real photon is emitted either by the incoming or by the scattered electron but not by the nucleon itself, unlike for DVCS. This BH process does not give access to GPDs, but is experimentally indistinguishable from the DVCS process and interferes with it.

Different observables from the DVCS process must be measured to extract the information related to the GPDs. For instance, a polarized beam allows one to measure two independent observables: the unpolarized cross sections  $\sigma_{unp} = \frac{1}{2}(\sigma^{\rightarrow} + \sigma^{\leftarrow})$  and the difference of polarized cross sections  $\Delta \sigma_{pol} = \frac{1}{2}(\sigma^{\rightarrow} - \sigma^{\leftarrow})$  for opposite beam helicities.

The unpolarized and polarized cross sections, in terms of the DVCS and Bethe-Heitler amplitudes,  $M_{DVCS}$  and  $M_{BH}$ , are

$$\sigma_{\rm unp} \propto |\mathcal{M}_{\rm BH}|^2 + \operatorname{Re}(\mathcal{M}_{\rm int}) + |\mathcal{M}_{\rm DVCS}|^2,$$
  
$$\Delta \sigma_{\rm pol} \propto 2 \operatorname{Im}(\mathcal{M}_{\rm int})$$
(3)

where the interference amplitude is

$$\mathcal{M}_{int} = \mathcal{M}_{BH} \mathcal{M}_{DVCS}^* + \mathcal{M}_{BH}^* \mathcal{M}_{DVCS}$$



FIG. 1. The "handbag" diagram for the DVCS process on a nucleon.

QED. The soft part is nonperturbative, which, in leading twist and leading order, is parametrized in terms of four GPDs. In this framework, the amplitude is dominated by scattering on a single quark. Detailed reviews on applications of GPDs to DVCS based on factorization are found in Refs. [4,6,7,11].

GPDs depend upon three variables: x,  $\xi$ , and t (see Fig. 1). They represent the probability amplitude of finding a quark (antiquark) in the nucleon with a longitudinal momentum fraction  $x + \xi$  and of putting it back into the nucleon with a longitudinal momentum fraction  $x - \xi$ , plus a transverse momentum kick denoted by  $\Delta$ .  $\xi$  is referred to as the skewness, and  $-2\xi$  is the longitudinal momentum fraction of the transfer  $\Delta$ . In the Bjorken limit,  $\xi$  is related to the standard deep inelastic Bjorken variable as follows:  $\xi \sim \frac{x_B}{2-x_B}$ , in which  $x_B = \frac{Q^2}{2m_p(E-E')}$ . The quantity  $m_p$  is the proton mass, E is the beam energy, and E' is the scattered electron energy. The squared electron four-momentum transfer, is related to the incoming and scattered electron four momenta as  $Q^2 = (p_e - p'_e)^2$ . The variable  $t = \Delta^2 = (p'_N - p_N)^2$  is the squared four-momentum transfer between the initial and final nucleons.

The lower blob of Fig. 1 can be described in terms of the four GPDs, H, E,  $\tilde{H}$ , and  $\tilde{E}$ , which are called chiral-even GPDs because they conserve the helicity of the struck quark. The GPDs H and E are independent of the quark helicity and are therefore called unpolarized GPDs, whereas  $\tilde{H}$  and  $\tilde{E}$  depend on the quark helicity and are called polarized GPDs. H and  $\tilde{H}$  conserve the proton helicity, whereas E and  $\tilde{E}$  flip the proton helicity.

The GPDs obey some sum rules and have limits that are model independent. The first moment in x of H, E,  $\tilde{H}$ , and  $\tilde{E}$ give the  $F_1(t)$ ,  $F_2(t)$ ,  $G_A(t)$ , and  $G_P(t)$  form factors, which are the Dirac, Pauli, axial, and pseudoscalar form factors, respectively [12]. In the forward limit,  $\xi$  and  $t \rightarrow 0$ , the H and  $\tilde{H}$  GPDs are related to the unpolarized and polarized PDFs, respectively. Also, Ji derived a sum rule [8] that links the second moment in x of the GPDs H and E in the forward



FIG. 2. The electron scattering plane and the plane of the produced photon  $\vec{q}'$ , and recoil proton,  $\vec{p}'$ , respectively, in DVCS. The angle between the photon plane and electron plane is  $\phi$ .

The real (imaginary) part of the interference amplitude is proportional to the real (imaginary) part of the Compton form factor.

The term  $|\mathcal{M}_{\text{DVCS}}|^2$  is significantly smaller than  $|\mathcal{M}_{\text{BH}}|^2$ , except near  $\phi = 180^\circ$ , where  $|\mathcal{M}_{\text{DVCS}}|$  can be larger than  $\mathcal{M}_{\text{BH}}$ . Here,  $\phi$  is the photon azimuthal angle relative to the electron scattering plane, as in Fig. 2. Thus, the observable  $\sigma_{\text{unp}}$  gives access to the combination  $\text{Re}(\mathcal{M}_{\text{DVCS}}) +$  $|\mathcal{M}_{\text{DVCS}}|^2$  primarily near  $\phi = 180^\circ$ . Since the BH process off of an unpolarized nucleon does not exhibit a beam polarization asymmetry, the observable  $\Delta \sigma_{\text{pol}}$  gives access to the imaginary part of the DVCS amplitude,  $\text{Im}(\mathcal{M}_{\text{DVCS}})$ , which in the twist-2 approximation is a combination of the GPDs at the point  $x = \pm \xi$ .

Several programs around the world, at Hall A [14,15] and CLAS [16–21] at Jefferson Laboratory, COMPASS [22,23], and HERA [24–27], have been seeking to explore GPDs through the use of DVCS and other channels such as exclusive meson leptoproduction. We focus here on DVCS on the proton and the polarized and unpolarized cross-section observables, as measured with the 6-GeV electron beam of Jefferson Laboratory.

#### **II. EXPERIMENT**

The measurement of the  $ep \rightarrow e'p'\gamma$  cross sections was conducted using the Continuous Electron Beam Accelerator Facility (CEBAF) Large Acceptance Spectrometer (CLAS) [28] in Hall B of the Thomas Jefferson National Accelerator Facility (Jefferson Laboratory) in Newport News, Virginia, which was capable of delivering up to 6-GeV electrons to three experimental halls. The CLAS detector was based on a six-sector toroidal magnet, whose six coils were placed symmetrically around the beam line.

Identification, timing, and tracking of charged particles was accomplished by three regions of drift chambers (DC) and by scintillator counters (SC) using the time-of-flight method. Čerenkov counters (CC) and electromagnetic calorimeters (EC) were responsible for the identification of electrons. In



FIG. 3. A diagram of the CLAS detector, showing each of the six detectors.

addition, the EC was also responsible for the identification of photons and neutrons. Each of these subdetectors is displayed in Fig. 3.

The data used in this analysis were obtained from an experiment called e1-dvcs2, which ran from October 2008 to January 2009, for a total of 90 days of run time and a total integrated luminosity of  $4.5 \times 10^7$  nb<sup>-1</sup>. An 85% polarized electron beam of energy 5.88 GeV was incident on a 5-cm liquid hydrogen target, held at 20 K, and placed at 57.5 cm upstream relative to the center of the CLAS detector. In addition to the standard CLAS detector package, e1-dvcs2 ran with a forward angle inner calorimeter (IC) (see Fig. 4), which was composed of PbWO<sub>4</sub> crystals, and whose front face was placed at the center of CLAS. The IC enabled the detection of DVCS photons in the forward direction, where they are more common. The experiment was also equipped with a solenoid, in which the target was placed. The solenoid swept the Möller background created during electron beam interactions in the target into the beam pipe.



FIG. 4. Magnification of the CLAS target region within the innermost drift chamber, DC region 1. Also shown is the inner calorimeter IC.



FIG. 5. The  $Q^2$  vs  $x_B$  kinematical domain for e1-dvcs2 after all cuts. The black interior lines correspond to the bin definitions.

Here, we report the measurement of the unpolarized DVCS differential cross sections  $\sigma_{unp}$  and the beam polarization cross-section differences  $\sigma_{pol}$  as a function of  $Q^2$ ,  $x_B$ , t, and  $\phi$ . Kinematically, the three-body final-state reaction  $ep \rightarrow e'p'\gamma$  depends on these four independent variables. The kinematic coverage for this reaction is shown in Fig. 5. For the purpose of physics analysis, an additional cut removed events with W > 2 GeV, where W is the  $\gamma^* p$  center-of-mass energy.

The differential cross section is related to the measured quantities according to

$$\frac{d^4 \sigma_{ep \to e'p'\gamma}}{dQ^2 dx_B dt d\phi} = \frac{N(Q^2, x_B, t, \phi)}{\Delta Q^2 \Delta x_B \Delta t \Delta \phi} \frac{1}{\mathcal{L}_{\text{int}} \epsilon_{\text{ACC}} \delta_{\text{RC}} \delta_{\text{Norm}}}.$$
 (4)

The definitions of the quantities in Eq. (4) are as follows:

- (1)  $N(Q^2, x_B, t, \phi)$  is the number of  $ep \to e'p'\gamma$  events in a given  $Q^2, x_B, t, \phi$  bin.
- (2)  $\mathcal{L}_{int}$  is the integrated luminosity (which takes into account the correction for the data-acquisition dead time).
- (3)  $(\Delta Q^2 \Delta x_B \Delta t \Delta \phi)$  is the corresponding bin width, including a four-dimensional correction to take into account partial occupation of the phase space originating from various cuts. The specified  $Q^2$ ,  $x_B$ , and t values are the mean values of the data for each variable for each four-dimensional bin.
- (4)  $\epsilon_{ACC}$  is the acceptance calculated for each bin  $(Q^2, x_B, t, \phi)$ .
- (5)  $\delta_{\text{RC}}$  is the correction factor due to the radiative effects calculated for each  $(Q^2, x_B, t, \phi)$  bin.
- (6)  $\delta_{\text{Norm}}$  is an overall absolute renormalization factor calculated from the elastic cross section measured in the same experiment (see Sec. X).

The kinematic binning of the experimental data, shown in Fig. 5, was determined by the physics requirement, which is the study of the reaction in the deep inelastic region, W > 2 GeV and  $Q^2 > 1$  GeV<sup>2</sup>, as constrained by experimental acceptances and capabilities, as well as other physical kinematic constraints:

(1) The polar angular acceptance of electrons was from  $21^{\circ}$  to  $45^{\circ}$ .

- (2) The scattered electron minimum energy was 0.8 GeV.
- (3)  $-t > -t_{\min}$ , where  $-t_{\min}$  is the minimum physical -t for a given  $x_B$  and  $Q^2$ .
- (4) We chose to place a cut at  $\theta_{\gamma} > 4.77$  deg for two reasons:
  - (a) To avoid any low angle area not covered by the IC. (b) Additionally, since the BH cross section is nearly singular at  $\theta_{\gamma} \rightarrow 0$  deg, this cut allowed us to avoid relying only on the event generator whose cross sections varied rapidly in this region.

There were 21 bins in  $Q^2$  and  $x_B$ , 9 bins in -t, and 24 bins in  $\phi$ . Therefore, there are a total of 189 angular distributions as a function of  $\phi$ . The binning is presented in Table II in the Appendix.

## **III. PARTICLE IDENTIFICATION**

To ensure the exclusivity of the  $ep \rightarrow e'p'\gamma$  process and minimize background, we identified the three particles of the final state of the reaction; i.e., we accepted every event which has one electron, one proton, and at least one photon.

#### A. Electron identification

The identification of electrons required the detection of a negatively charged particle in the CC and EC, in the same sector of CLAS, with a momentum greater than 0.8 GeV This trigger suppressed pions with momentum up to 2.5 GeV, with an effective suppression of 100% for momenta less than 0.8 GeV. Since electrons lose nearly all of their energy through showering, they deposit an energy in the EC proportional to their momentum. The sampling fraction is defined as  $f_s = E_{\text{total}}/p$ , where  $E_{\text{total}}$  is the total energy deposited in the EC, and p is the momentum as measured with the DCs by the curvature of the particle's trajectory in the CLAS toroidal field.

More massive negatively charged pions lose a greater fraction of their energy via ionization, which is a smaller fraction of their energy than electrons lose in the EC, so that their sampling fraction was distributed significantly less than for electrons. The sampling fraction versus momentum is shown in Fig. 6. The resolution was momentum dependent, broadening at lower momentum. The final cut, indicated by solid red curves, required that a particle had a sampling fraction that lay within three standard deviations of the mean  $f_s$ , which is a function of momentum. Additionally, fiducial cuts were applied to avoid areas where the geometrical acceptance of CLAS was small and changing rapidly.

### **B.** Proton identification

Protons are identified by comparing the velocities  $\beta = v/c$  of the proton candidates (all positive particles) as measured from the DC and TOF:

$$\Delta \beta = \beta_{\rm TOF} - \beta_{\rm DC}.$$
 (5)

Since many variables required a momentum-dependent cut, we plot this variable as a function of p. A visual inspection is enough to determine that the width of the peak about



FIG. 6. Sampling fraction  $f_s$  as a function of momentum, with the electron selection cut shown as a solid red curve. The momentum dependence is visible from this plot. An artifact of data processing is visible at  $f_s = 0.12$ , which lies outside of the electron selection cut.

 $\beta = 0$  does not significantly broaden at any region. Therefore, a horizontal cut around  $\Delta\beta = 0$  was taken. The cut chosen for this analysis was  $|\Delta\beta| < 0.05$ . A plot illustrating this cut is shown in Fig. 7. As with the electrons, the detectors involved with proton identification included geometrical fiducial cuts to reject regions of poorly known detector behavior.

#### C. Photon identification

Two detectors in this experiment were used to identify photons. The first was the EC for laboratory scattering angles  $\theta > 20^{\circ}$  and the second was the IC, for  $\theta < 20^{\circ}$ . The identification of photons in the EC was achieved by making use of EC timing information, in addition to an absence of any associated track in the DC. The reconstructed relativistic velocity  $\beta_{\text{rec}}$  of the photon candidate is defined as

$$\beta_{\rm rec} = \frac{|\vec{r}|}{c(t_{\rm EC} - t_{\rm tr})}, \text{ with } \vec{r} = \vec{e} - \vec{v}, \tag{6}$$

where  $t_{\text{EC}}$  is the timing in the EC relative to the reference time (trigger time)  $t_{\text{tr}}$ . The vector  $\vec{e}$  extends from the center of



FIG. 7. The proton identification cut  $\Delta\beta$  as a function of momentum *p*. The solid horizontal red lines represent the restriction that  $|\Delta\beta| < 0.05$ . This serves to separate the protons from other particles, which are visible in this plot.



FIG. 8.  $\beta_{\text{rec}}$  for photon candidates, with a cut accepting only particles with  $\beta > 0.9$ .

CLAS to the hit in the EC and  $\vec{v}$  is the vector running from the CLAS center to the corrected vertex position of the electron that was detected in coincidence.

As expected, one sees a peak at  $\beta = 1$ , representing a distribution of photons, and a tail toward lower  $\beta$ , representing the neutrons. The final photon selection cut requires  $\beta > 0.9$  (see Fig. 8).

Most of the background in the IC originated from Møller electrons. These were efficiently removed in the analysis through a correlated small-angle and low-energy cut. After this cut was applied, it was assumed (and checked *a posteriori* with the exclusivity cuts discussed below) that any signal in the IC was from a photon.

#### **IV. CORRECTIONS TO DATA**

Several corrections to the measured experimental variables were applied. In particular, the beam energy was determined from elastic scattering events and, for the  $ep\gamma$  events, the electron and proton momenta and angles were corrected. Details regarding these corrections are provided in the following subsections.

#### A. Energy loss correction

Corrections for electron and proton energy loss occurring in the target due to Bremsstahlung and ionization were carried out using the code GSIM (GEANT Simulation), to model these effects in CLAS. GSIM is based on the GEANT3 library developed at CERN [29]. This code simulated the response of the CLAS detector to the interactions of traversing particles, as described in Sec. VI. Events were generated at various positions within the target, with momentum  $p_{gen}$  and angles  $\theta_{gen}$  and  $\phi_{gen}$ . The events were then tracked through the detector, taking into account the detector response, to yield the reconstructed momenta and angles  $p_{rec}$ ,  $\theta_{rec}$ , and  $\phi_{rec}$ . The energy loss corrections were given by the difference between these two sets of momentum values,

$$\Delta p_e = p_{\text{gen},e} - p_{\text{rec},e}$$
 and  $\Delta p_p = p_{\text{gen},p} - p_{\text{rec},p}$ .

Fits to  $\Delta p_e$  and  $\Delta p_p$  were carried out as parametric functions of  $\theta_e$  and  $\theta_p$  respectively. The corrections were then applied to the reconstructed momenta in data.

## **B.** Kinematical corrections

Other corrections, beyond energy loss, due to beam or detector misalignments or imperfect knowledge of the magnetic fields, were taken into account. We adopted a similar strategy as above, analyzing the measured W distributions and the reconstructed beam energy distribution from data, after the application of the energy loss corrections, and comparing them to the expected values of  $W = m_p$  for beam energy  $E_0 = 5.88$  GeV for the elastic channel. For elastic scattering, the beam energy is related to the electron and proton polar angles  $\theta_e$  and  $\theta_p$  as

$$E_0 = \frac{m_p}{\tan\left(\frac{\theta_e}{2}\right)\tan(\theta_p)} - m_p.$$

After the application of the energy loss corrections, the W and beam energy distributions were reconstructed for the elastic channel data using the empirical measurements of  $p_e$ ,  $\theta_e$ ,  $p_p$ , and  $\theta_p$  and compared with the expected values of  $W = m_p$  and  $E_0 = 5.88$  GeV. From these differences, correction distributions for electron momentum and angle as a function of  $\theta_{\rm DC}$  and  $\phi_{\rm DC}$ , as measured in the first region of the DC, were obtained to better match the observed W and  $E_0$ .

Because of the one-to-one correspondence of electron polar angle to proton polar angle in elastic scattering, the proton acceptance was limited to larger angles. This was due to the corresponding electrons at low angles being blocked by the IC. For those kinematics, corrections were made using the  $ep \rightarrow e'n\pi^+$  channel. The corrections were made by comparing distributions of the ratios of pion momenta,  $p_{\pi^+}$ , and energy,  $E_{\pi^+}$ , with the measured values, in terms of the angles measured in the drift chambers,  $\theta_{DC,\pi^+}$  and  $\phi_{DC,\pi^+}$ .

These corrections very effectively brought the reconstructed values closer to the expected values. We conclude that the corrections applied for both ionization corrections and kinematic corrections were done correctly based on the use of simulations and of  $E_0$ , W, and  $m_p$  as benchmarks. The corrections on average were less than 2%.

#### V. DVCS ANALYSIS

After particle identification, a selection of the exclusive  $ep \rightarrow e'p'\gamma$  channel was carried out. First, we demanded the presence of an electron, a proton, and at least one photon. Then we imposed constraints due to conservation laws—the so-called *exclusivity* cuts. The following section outlines the list of variables used in such *exclusivity* cuts and the methods for determining the cuts on these variables.

These variables are as follows:

- (1)  $MM_{e'+p'}^2$ : the squared missing mass of the  $ep \rightarrow e'p'X$  system.
- (2)  $E_X$ : the missing energy in the  $ep \rightarrow e'p'\gamma X$  reaction.
- (3)  $p_T$ : the perpendicular component of missing momentum in the  $ep \rightarrow e'p'\gamma X$  reaction.

- (4)  $\theta_{\gamma,X}$ : the difference between the calculated polar angle of the photon from the scattered electron and the recoil proton measured from the kinematics of  $ep \rightarrow e'p'X$ and the measured angle in  $ep \rightarrow e'p'\gamma$ .
- (5)  $\phi_C$ : the coplanarity of the virtual photon, the real photon, and the recoil proton.

Because of resolution effects, each variable had a distribution around the expected value. Monte Carlo and data distributions were analyzed in parallel. The method of choosing the exclusivity cuts was done in three stages:

- (1) Wide initial cuts were applied to the exclusivity distributions to clean up the data and Monte Carlo distributions. The data and Monte Carlo distributions after these initial cuts are shown in Figs. 9 and 10. The upper panels and the lower panels are the data and Monte Carlo distributions, respectively. The data distributions exhibit strong peaks, as expected, superimposed on large backgrounds. The peaks in the data are also broader than those of the respective Monte Carlo distributions.
- (2) The regions of the peaks in these distributions were fit with empirical functions, representing the peaks, plus linear backgrounds under the peak regions, Gaussian for  $MM_{e'+p'}^2$ , skewed Gaussian for  $E_X$ , and custom functions

$$\theta_{\gamma,X} - A\sin(x\sigma)e^{-0.5(\frac{55\tan(x\mu)}{\sigma})^2}$$

for  $N(p_T)$  and  $\theta_{\gamma,X}$ . The results of these fits are shown as curves superimposed on the data in Figs. 9 and 10. The vertical lines in these figures correspond to one and three standard deviations, i.e.,  $\sigma$  and  $3\sigma$ , from the peak positions.

(3) The final cuts, made at  $3\sigma$ , were determined after inspection of the results of procedures 1 and 2 above. The data and Monte Carlo distributions after all cuts are presented in Figs. 11 and 12.

Figure 13 shows the coplanarity distribution,  $\phi_C$ , for data and Monte Carlo distributions after all cuts on  $p_T$ ,  $E_X$ ,  $MM_{e'+p'}^2$ , and  $\theta_{\gamma,X}$ . It appears that the cuts on the other four variables are sufficient to obviate a cut on  $\phi_C$ .

#### VI. MONTE CARLO SIMULATIONS

#### A. Definition of the acceptance of CLAS

The CLAS detector was not 100% efficient in recording events due to acceptance losses from gaps between the sectors associated with the torus coils and the inefficiencies associated with the edges of the different detector subsystems. In order to obtain a cross section, we had to estimate the fraction of events that were recorded by the detector to determine the acceptance. We define the acceptance A as the fraction of events that were detected by CLAS. If the number of events detected by CLAS is  $N_{detected}$ , which we refer to as the yield, and the number of events which actually occurred is  $N_{actual}$ , which we refer to as the normalized yield, then the acceptance



FIG. 9. The exclusivity distributions after initial cuts. The upper left (a) is  $N(MM_{e+p'}^2)$  for the data and the upper right (b) is  $N(E_X)$  for the data. The inner two lines are at  $\pm 1 \sigma$  and the outer two lines are at  $\pm 3 \sigma$  of the fitted distribution. The lower graphs, (c) and (d), are the same distributions for Monte Carlo–generated data. These distributions correspond to the majority of events where the final-state photon is detected in the IC. When the photon is detected in the EC, the distributions after initial cuts were applied on the other variables (see text). The missing mass squared was fit to a Gaussian and the missing energy to a skewed Gaussian.

is defined by the relation

$$A = \frac{N_{\text{detected}}}{N_{\text{actual}}}.$$
(7)

We determined the acceptance from simulations of the experiment, including the knowledge of the detector geometry and properties using the program GSIM.

The procedure can be outlined in three steps. First, an ensemble of events was generated. denoted  $N_{\text{generated}}$ . Second, the response of CLAS to each of these generated events was simulated, the results of which were reconstructed and processed by analysis software that reproduced the measured resolutions which are observed in CLAS. This output is denoted  $N_{\text{reconstructed}}$ . Third, the ratio between these two was calculated. This ratio is the acceptance

$$A = \frac{N_{\text{detected}}}{N_{\text{actual}}} = \frac{N_{\text{reconstructed}}}{N_{\text{generated}}}.$$
(8)

This acceptance A is a function of the four variables:  $Q^2$ ,  $x_B$ , t, and  $\phi$ . Since this analysis was performed bin by bin in the cross-section variables, A was accordingly determined on a bin-by-bin basis.

#### **B. DVCS generator**

An ensemble of DVCS plus BH events,  $ep \rightarrow e'p'\gamma$ , was generated based on a parametrization of the theoretical model of Ref. [30], was fit to the data. Additionally radiative events were also generated, based on the calculation of Ref. [31]. These included  $ep \rightarrow e'p'\gamma + \gamma' + \gamma''$ , where  $\gamma'$  represents the radiated photon coming off the incoming electron leg, i.e., preradiation, and  $\gamma''$  represents the radiated photon coming off the outgoing electron leg, i.e., postradiation.

#### C. GEANT3 simulations (GSIM)

Each of the detectors was simulated, specifying the geometry, placement, size, and material of each component. The EC was not perfectly simulated because of the complex showering that occurred within it. To reduce the amount of computing time needed, any electrons or photons below a certain energy threshold were neglected, leading to less accuracy of the simulations. Also, the geometry of the CC was too complicated to be implemented accurately in the simulations, so that the spectrum of the number of photoelectrons detected in the CC was not well reproduced. There were also resolution effects that were not taken into account in the simulations. In the cases of the SC and DC, the resolution in simulations was too narrow in comparison to the actual data. Some but not



FIG. 10. The same as for Fig. 9 but for  $N(p_T)$ , upper left (a), and  $N(\theta_{\gamma,x})$ , upper right (b). The lower graphs, (c) and (d), respectively, are the same distributions for Monte Carlo–generated data. The distributions for  $N(p_T)$  and  $N(\theta_{\gamma,x})$  were fit with empirical functions of the form  $A \sin(x\sigma)e^{(55\tan(x\mu)/\sigma)^2}$ .

all differences between the simulated and detected responses were taken into account with a program called GSIM POST-PROCESSER (GPP).

#### **D. GSIM Post-Processer (GPP)**

There were imperfections in the detector that where not included in GSIM. To simulate such effects, a postsimulation processer called GPP was employed. For example, DC sense wires that had an efficiency of less than 1% in the experiment were removed from the simulations. For those wires above 1% efficiency in the experiment, the corresponding wires in the simulation were modified to have the same efficiency [32,33]. Another function of GPP was to smear the DC and SC timing so that the missing mass resolutions of the Monte Carlo and the data matched.

#### E. Background merging

During the experiment, there was a possibility of Møller scattering or other accidental events, such as secondary scattering, cosmic rays, or other random events, being detected. Because of these background events, the efficiency of the reconstruction software was reduced in a nontrivial way. This background was not taken into account in GSIM. However, since this background was linearly related to the luminosity of the experiment [32], it was therefore estimated in proportion to the luminosity of the generated events in proportion to the background rate, which we measured from the experiment. It

has been determined that the efficiency was reduced by about 6% [32] due to the sources of accidental events.

#### F. Comparison to data

Comparisons of kinematic distributions between data and the full Monte Carlo simulated events after all cuts showed good agreement. A comparison of each kinematic variable is found in Fig. 14. The distribution of events in t versus  $x_B$  for data and Monte Carlo–generated data are illustrated Fig. 15.

#### VII. NEUTRAL PION BACKGROUND SUBTRACTION

#### A. The method for estimating the pion contamination

The exclusivity cuts that were placed on the  $ep \rightarrow e'p'\gamma$  events were insufficient to remove all events coming from the  $ep \rightarrow e'p'\pi^0$  process. Therefore, an estimation of this  $\pi^0$  contamination was made to correct the bin yields.

With regards to the  $\pi^0$  decay, we considered two cases. First, the  $\pi^0$  could decay such that both photons were detected. We refer to this as  $\pi^0 \rightarrow \gamma + \gamma$ . Second, the  $\pi^0$  could decay such that only one photon was detected, and the other was missed either due to acceptance effects or the 150-MeV photon detection threshold of the calorimeters. We refer to this as  $\pi^0 \rightarrow \gamma + (\gamma)$ , with parentheses indicating that the photon was not detected.

The actual number of  $\pi^0$  decays with one photon detected,  $N_{\pi^0}^{\gamma}$ , could not be measured directly due to its indistinguishability with the  $e'p'\gamma$  events. However, the number



FIG. 11. The exclusivity distributions corresponding to Fig. 9 after all cuts. The upper left (a) is  $N(MM_{e+p'}^2)$  for the data, and the upper right (b) is  $N(E_X)$  for the data. The lower graphs, (c) and (d), are the same distributions for Monte Carlo–generated data.

of  $\pi^0$  decays with two photons detected,  $N_{\pi^0}^{\gamma\gamma}$ , could be measured.

In order to estimate the  $\pi^0$  background, we note that both  $N_{\pi^0}^{\gamma}$  and  $N_{\pi^0}^{\gamma\gamma}$  can be used separately to determine the  $\pi^0$  cross section:

$$\frac{d\sigma_{\pi^0}}{d\Omega} \propto \frac{N_{\pi^0}^{\gamma\gamma}}{A_{\pi^0}^{\gamma\gamma}},\tag{9}$$

$$\frac{d\sigma_{\pi^0}}{d\Omega} \propto \frac{N_{\pi^0}^{\gamma}}{A_{\pi^0}^{\gamma}},\tag{10}$$

where  $A_{\pi^0}^{\gamma}$  and  $A_{\pi^0}^{\gamma\gamma}$  correspond to the acceptances of each, as determined by Monte Carlo simulation. Since both are related to the  $\pi^0$  cross section, one may write the following equation:

$$N_{\pi^0}^{\gamma} = N_{\pi^0}^{\gamma\gamma} \frac{A_{\pi^0}^{\gamma}}{A_{\pi^0}^{\gamma\gamma}}.$$
 (11)

Since the acceptances of each are just the ratios of the number of reconstructed particles to the particles generated, and only one generator is used in obtaining both acceptances, the equation can be further reduced:

$$N_{\pi^{0}}^{\gamma} = N_{\pi^{0}}^{\gamma\gamma} \frac{N_{\pi^{0},\text{rec}}^{\gamma}}{N_{\pi^{0},\text{rec}}^{\gamma\gamma}},$$
 (12)

where the subscript "rec" corresponds to the reconstructed number of events in the Monte Carlo. From this relation, an estimation of the number of  $\pi^0$  events with one photon detected was determined.

## **B.** Computing $N_{\pi^0}^{\gamma\gamma}$ from data

After selecting events with  $ep \rightarrow e'p'(k\gamma)$ , where  $k \ge 2$ , every photon pair was looped over and we searched for pairs of photons with invariant mass near  $m_{\pi^0}$ . The photons selected are denoted as  $\gamma_1$  and  $\gamma_2$ . For ease of reference, we define a four-vector  $p_{\pi^0} = p_{\gamma_1} + p_{\gamma_2}$ , indicating that the combination is a  $\pi^0$  candidate. In order to determine if they were truly pions, imposed restrictions on certain quantities. First, for each of these combinations, the following quantities were calculated:

- (1)  $IM_{\gamma_1\gamma_2}$ , the invariant mass of the photon pair of  $ep \rightarrow e'p'\gamma_1\gamma_2$ .
- (2)  $MM_{e'p'X}^2$ , the squared missing mass of the  $ep \rightarrow e'p'X$  system.
- (3)  $MM_{e'X\gamma_1\gamma_2}^2$ , the squared missing mass of the  $ep \rightarrow e'X\gamma_1\gamma_2$  system.
- (4)  $E_X$ , the missing energy of the  $ep \rightarrow e' X \pi^0$  system.

The  $\pi^0$ 's were selected by requiring  $IM_{\gamma_1\gamma_2}$ ,  $MM_{e'p'X}^2$ ,  $MM_{e'p'X}^2$ ,  $MM_{e'Y_1\gamma_2}^2$ , and  $E_X$  were within three standard deviations of the experimental resolution.

The resolution and calibration for the IC and EC were different. As a consequence, the distributions of these quantities appeared different based on which detector each photon



FIG. 12. Upper: The exclusivity distributions corresponding to Fig. 10 after all cuts. Upper left (a) is  $N(p_T)$ . Upper right (b) is  $N(\theta_{\gamma,x})$ . The lower graphs, (c) and (d), are the same distributions for Monte Carlo–generated data.

entered. Each distribution was fit to a Gaussian, or a Gaussian plus background. The result was subtracted from the DVCS yield to remove the contamination.

The ratio of the  $\pi_0 \rightarrow \gamma + \gamma$  yield to  $\pi_0 \rightarrow \gamma + (\gamma)$  background,  $\frac{A_{\pi 0}^{\gamma}}{A_{\pi 0}^{\gamma \gamma}}$ , was found to depend on the kinematic bins in  $Q^2$ ,  $x_B$ , t, and  $\phi$ , smallest where the overall cross section is largest. For  $\phi$  near 50° or 310°, and |t| near 0.1 GeV<sup>2</sup>, where the yield is maximal, it was typically 1–2%, while for  $\phi$  near 180°, and |t| near 0.5 GeV<sup>2</sup>, where the yield is minimal, it was on the order of 10%.

#### VIII. RADIATIVE CORRECTIONS

The measured cross sections include the Born terms, in which we are interested, and radiative effects. Therefore, leading- and next-to-leading-order radiative corrections were calculated and used to obtain better measurements of the Born cross sections. The virtual photon corrections (vacuum and vertex) and real corrections (radiation) affect the measured cross sections in two distinct ways. The former interfere coherently, while the latter interfere incoherently with the DVCS Born terms. In the experiment, each of these diagrams



FIG. 13. The coplanarity distribution  $\phi_C$ , on the left (a), for data after cuts on  $p_T$ ,  $E_X$ ,  $MM_{e'+p'}^2$ , and  $\theta_{\gamma,X}$ , and on the right (b), for Monte Carlo–generated data.



FIG. 14. A comparison of kinematic variable distributions for data vs Monte Carlo, upper left (a) vs  $Q^2$ , upper right (b) vs  $x_B$ , lower left (c) vs -t, and lower right (d) vs  $\phi$ . The scales in red, on the left ordinate axes, correspond to Monte Carlo, shown as red dashed curves. The scales in black, on the right ordinate axes, correspond to data, shown as black solid curves.

is indistinguishable. We took into account all virtual photon corrections, but radiative diagrams on the electron side only, recalling that the proton leg radiative diagrams are suppressed by the mass of the proton relative to the mass of the electron. In taking all leading-order and next-to-leading-order contributions into account, it is possible to calculate the radiative contributions to the measured cross sections. This has been worked out to leading order in the soft photon approximation [31] and without the soft photon approximation up to next to leading order [34]. For this analysis, we used the corrections appearing in Ref. [34], which are estimated to have a systematic uncertainty of 3%.

The corrections, on average, increase the value of the measured cross section by 15%. In general, the correction is larger near  $\phi = 180^{\circ}$  and is smaller at large and small  $\phi$ . Examples of the radiative corrections as a function of  $\phi$  for two kinematic bins are shown in Fig. 16. Since these corrections do not depend on the polarization of the incident electrons, they then apply to the polarized as well as unpolarized cross sections.



FIG. 15. The distribution of events in t vs  $x_B$  for experimental data (left, a) and Monte Carlo generated data (right, b). There are 11 bins in  $x_B$  and 9 bins in -t.



FIG. 16. Examples of radiative corrections for  $ep \rightarrow e'p'\gamma$  as functions of  $\phi$  for two kinematic bins.

#### IX. ELASTIC NORMALIZATION

After all the corrections were carried out, there remained inefficiencies that were not accounted for, such as the inefficiency of the SC counters, reconstruction of tracks due to holes in the DCs and the SC counters which were not accounted for in GPP, and accidental backgrounds. To correct for these, we followed procedures carried out for previously published exclusive electroproduction cross-section experiments performed at CLAS, which utilized the same or similar experimental conditions (see Refs. [21,35–37]).

This involved measuring the elastic cross section as a function of  $Q^2$  over a large range of the CLAS acceptance [32] and comparing to the cross-section evaluation of Ref. [38], which we denote as "standard."

The elastic cross sections as a function of  $Q^2$  obtained in CLAS and in Ref. [38] are shown in Fig. 17. The CLAS cross sections are somewhat lower than in Ref. [38] for all kinematics.

The integrated value of the ratio of the cross sections obtained in CLAS and Ref. [38] over all kinematics,  $\epsilon = 0.926$ , was taken as an overall normalization correction, where

$$\epsilon = \frac{d\sigma}{d\Omega_{\rm CLAS}} \bigg/ \frac{d\sigma}{d\Omega_{\rm standard}}$$



FIG. 17. The elastic cross section computed from e1-dvcs2 integrated over all sectors: black points. The cross section according to the parametrization of Ref. [38] is displayed as a red line.

Studies made using additional other reactions where the cross sections are well known, such as  $\pi^0$  production in the resonance region, which are consistent with these normalization corrections over a wide range of kinematics covered by the present experiment.

This correction comprises the largest single contribution to the systematic uncertainty in the extracted cross section, as noted in Sec. X A.

#### X. SYSTEMATIC UNCERTAINTIES

The major sources of systematic uncertainty are as follows:

- (1) elastic normalization,
- (2) exclusivity cuts,
- (3) fiducial cuts,
- (4) radiative corrections, and
- (5) beam polarization.

The estimated contributions from each of these sources is detailed in the following subsections.

#### A. Elastic normalization

The systematic uncertainty of the elastic renormalization was estimated as the standard deviation from the mean as measured sector by sector:

$$\frac{\sigma}{\mu} = \frac{\sqrt{\frac{1}{6-1}\sum_{i=1}^{6}(\epsilon_i - \mu)}}{\mu} = \frac{0.037}{0.926} = 4.0\%,$$
 (13)

where  $\mu$  is the average over all six sectors measured separately.



FIG. 18. The unpolarized cross section as a function of  $\phi$  for the first kinematic bin at  $\langle Q^2 \rangle = 1.13 \text{ GeV}^2$  and  $\langle x_B \rangle = 0.125$  (see Fig. 5 for bin definitions). The black points represent the results of the present experiment. The blue (upper) curves are the results of the VGG model. The red (lower) curves are from BH contributions only. The green curves (beneath the VGG curves) are the result of the KMSC calculation. The bars on the data points are statistical uncertainties, and the gray bands represent the systematic uncertainties.

We conservatively assumed a global value of 5% systematic uncertainty for the *overall* global normalization, to account for any additional variations with kinematics of the renormalization factor  $\epsilon$ . Note that this uncertainty comprises more than 50% of the difference between the final normalized and unnormalized cross sections.

#### B. Exclusivity cuts

The systematic effects associated with the exclusivity cuts were obtained by varying each of the exclusive variable cuts. The variables used were  $E_X$ ,  $MM_{e'+p'}^2$ ,  $\theta_{\gamma,X}$ , and  $p_T$ , defined in Sec. V.

We varied the exclusivity cuts on each of these variables and studied the response of the cross section as a function of those cut variations. The variation consisted in recalculating the cross section by applying new exclusivity cuts in steps of  $\sigma/4$ , from 1  $\sigma$  to 5  $\sigma$ , where  $\sigma$  corresponds to one standard deviation for each of the exclusivity variables. The systematic uncertainty was defined as half of the slope of the line that was fit from 2.5 to 3.5  $\sigma$ .

The uncertainty in the electron beam polarization was about 3%, which was applied to the cross-section differences.

These systematic uncertainties were obtained for each kinematic bin. The average over all bins was 5.5%.

#### C. Fiducial cuts

In order to have a measure of the systematic effect of the choice of fiducial cuts on the cross section, we varied the fiducial cuts in much the same manner as the procedure for



FIG. 19. The unpolarized cross section as a function of  $\phi$  for the fifth bin at  $\langle Q^2 \rangle = 1.67 \text{ GeV}^2$  and  $\langle x_B \rangle = 0.187$ . The black points represent the results of the present experiment. The blue (upper) curves are the results of the VGG model. The red (lower) curves are from BH contributions only. The green curves (beneath the VGG curves) are the result of the KMSC calculation. The bars on the data points are statistical uncertainties, and the gray bands represent the systematic uncertainties.

the exclusivity cuts. For this analysis, the cross sections were extracted using *geometrical* fiducial cuts. In this study, we maintained those cuts and applied new cuts, based on angles of tracks at the vertex which were based on reconstruction.

TABLE I. Summary of systematic uncertainties. For the polarized cross sections, a systematic uncertainty of 3% on the beam polarization was added.

Source	Error (%)
Global normalization	5
Exclusivity cuts	5.5
Fiducial cuts	4.2
Radiative corrections	3
Total estimate	10

Since this procedure involves reconstruction of tracks through the torus fields, it also tests our knowledge of the torus fields which are used in simulations.

The cuts were momentum dependent and were placed on all three final particles. We then tightened these cuts in four steps of an eighth of a degree, azimuthally. This led to a total step of a half degree. The step of half a degree was chosen because it represents the azimuthal resolution in the detector. The result is 4.2%, which is typically much less than the statistical uncertainty, and at most, on the order of the statistical uncertainty.

#### D. Summary of major sources of systematic uncertainties

Each of the systematic uncertainties, averaged over all kinematic bins, is presented in Table I. These major sources of systematic uncertainty were assumed to be uncorrelated, so they were summed up in quadrature, leading to a total



FIG. 20. The unpolarized cross section as a function of  $\phi$  for the fifteenth bin at  $\langle Q^2 \rangle = 2.86 \text{ GeV}^2$  and  $\langle x_B \rangle = 0.335$ . The black points represent the results of the present experiment. The blue (upper) curves are the results of the VGG model. The red (lower) curves are from BH contributions only. The green curves (beneath the VGG curves) are the result of the KMSC calculation. The bars on the data points are statistical uncertainties, and the gray bands represent the systematic uncertainties.

systematic uncertainty of 10.3%. The overall systematic uncertainty is on the order of the statistical uncertainty.

## XI. EXTRACTION OF UNPOLARIZED CROSS SECTIONS AND POLARIZED CROSS-SECTION DIFFERENCES

The cross sections were obtained as in Eq. (4) for both positive and negative beam polarizations, and then combined to determine the unpolarized cross sections and polarized cross-section differences. There were 189  $\phi$ -dependent distributions, corresponding to 189 ( $x_B$ ,  $Q^2$ , t) bins, for each beam polarization.

#### A. Unpolarized cross sections

Some examples of the unpolarized cross section are presented in Figs. 18–20. The Bethe-Heitler process dominates at low and high  $\phi$ , and DVCS is more dominant in the central  $\phi$  range. The unpolarized cross sections [see Eq. (3)] were determined from the data as follows:

$$\frac{d^4 \sigma_{\rm pol}}{dQ^2 dt dx_B d\phi} = \frac{N}{\mathcal{L}_{\rm int} A \Delta V F_{\rm rad} \epsilon}.$$
 (14)

The experiment was deliberately carried out such that the integrated luminosities of each polarization were very nearly equal:  $\mathcal{L}_{int,+} = \mathcal{L}_{int,-} = \mathcal{L}_{int}/2$ , so that  $\mathcal{L}_{int} = \mathcal{L}_{int,+} + \mathcal{L}_{int,-}$ .

The number of events measured after the pion subtraction is  $N = N_+ + N_-$ , viz.,

$$N_{+} = N_{+}^{e+p+\gamma} - N_{+}^{e+p+\pi^{0}(1\gamma)},$$
  
$$N_{-} = N_{-}^{e+p+\gamma} - N_{-}^{e+p+\pi^{0}(1\gamma)}.$$

#### **B.** Polarized cross-section differences

We also extracted the polarized cross-section differences. Some examples of the polarized cross section are presented in Figs. 21–23. They were determined according to the following expression:

$$\frac{d^4 \sigma_{\text{pol}}}{dQ^2 dt dx_B d\phi} = \frac{1}{2} \left( \frac{d^4 \sigma_+}{dQ^2 dt dx_B d\phi} - \frac{d^4 \sigma_-}{dQ^2 dt dx_B d\phi} \right)$$
$$= \frac{1}{2P} \left( \frac{N_+}{\mathcal{L}_{\text{int},+}} - \frac{N_-}{\mathcal{L}_{\text{int},-}} \right) \frac{1}{A \Delta V F_{\text{rad}} \epsilon}, \quad (15)$$

where P corresponds to the beam polarization. For this experiment, the polarization varied from about 0.83 to 0.87 and was taken overall to be at its average value of 0.853 with an uncertainty of 3% of that value.

Following Refs. [30,39], the beam polarized cross section difference may be expressed in terms of ordinary form factors



FIG. 21. The polarized cross section differences as a function of  $\phi$  for the first bin kinematic bin at  $\langle Q^2 \rangle = 1.13 \text{ GeV}^2$  and  $\langle x_B \rangle = 0.125$ . The black points represent the results of the present experiment. The blue curves, with generally larger asymmetry, are the results of the VGG model, while the green curves, with generally lower asymmetry, are the results of KMSC calculation. The bars on the data points are statistical uncertainties, and the gray bands represent the systematic uncertainty.

and CFFs at leading twist as

$$\Delta \sigma_{\rm pol} \propto \sin(\phi) \mathrm{Im} \bigg[ F_1 \mathcal{H} + \xi (F_1 + F_2) \tilde{\mathcal{H}} - \frac{\Delta^2}{4m_p^2} F_2 \mathcal{E} \bigg],$$
(16)

in which  $F_1$  and  $F_2$  are the Fermi and Pauli nucleon form factors, respectively, and  $\Delta$  is the momentum transfer to the nucleon. We note that the pure BH and DVCS contributions have vanished in the polarized cross section. This is due to BH not being dependent on the polarization of the beam and the fact that pure DVCS is dependent on beam spin only at the twist-3 level. Again, due to the relatively small values of  $x_B$  and t, the polarized cross sections are mainly sensitive to Im( $\mathcal{H}$ ).

A table of all measured cross sections and cross section differences for all measured kinematic points can be obtained online in the Supplemental Material, Ref. [40], and from the CLAS database [41].

## XII. COMPARISON WITH PREVIOUS CLAS RESULTS

The results presented in this paper originate from the second data-taking run of the so-called e1-dvcs experiment. The results from the first run (e1-dvcs1) were already published [18,21]. In addition from being taken four years apart, the two runs differed by the beam energy (5.88 GeV presently vs 5.75 GeV previously), the exact positions of the target and of the inner calorimeter with respect to CLAS, as well as the exact kinematics for each bin.

In order to assess the compatibility of the two runs, a multiplicative factor was applied to the e1-dvcs1 cross sections [21] to account for the difference in beam energy. This factor



FIG. 22. The polarized cross section differences as a function of  $\phi$  for the fifth bin at  $\langle Q^2 \rangle = 1.67 \text{ GeV}^2$  and  $\langle x_B \rangle = 0.187$ . The black points represent the results of the present experiment. The blue curves, with generally larger asymmetry, are the results of the VGG model, while the green curves, with generally lower asymmetry, are the results of KMSC calculation. The bars on the data points are statistical uncertainties, and the gray bands represent the systematic uncertainty.

originates from the known energy dependence of the Bethe-Heitler process as well as from the modeled, but lesser, dependence of the DVCS process. The factor is  $x_B$ ,  $Q^2$ , and  $\phi$  bin dependent, on average of the order of 4%, and never exceeds 10%. For a global comparison of the cross-section results, we calculated, for each of the 1907 four-dimensional bins, denoted *i*, where the results overlap, cross-section differences normalized by the combined uncertainties of the two runs:

$$\delta_i = \frac{\sigma \mathbf{1}_i - \sigma \mathbf{2}_i}{\sqrt{\Delta \sigma \mathbf{1}_i^2 + \Delta \sigma \mathbf{2}_i^2}},\tag{17}$$

where for each run the uncertainties in the denominator are the quadratic sum of statistical and systematic uncertainties.

Figure 24 shows the results of the comparison between e1dvcs1 and e1-dvcs2 in terms of these normalized differences. The two data sets are clearly consistent. The fact that this distribution is centered nearly at 0 is a very good indication that the absolute normalization of both data sets is understood. A standard deviation of 1 indicates that the uncertainties are correctly evaluated. Likewise, the ratios of polarized to unpolarized cross sections were checked to be compatible with the published e1-dvcs1 beam spin asymmetries [18].

## XIII. COMPARISON WITH MODEL CALCULATIONS

In this section, we compare the experimental cross sections with the theoretical calculations from the Vanderhaeghen, Guichon, and Guidal (VGG) [7,11,42–44] and Kroll, Moutarde, Sabatié, and Chouika (KMSC) [45–47] models. The former parametrizes the GPDs based on Radyushkin's double distributions ansatz with a few free parameters that are fitted to the nucleon form factor data. Only the GPD H



FIG. 23. The polarized cross-section differences as a function of  $\phi$  for the fifteenth bin at  $\langle Q^2 \rangle = 2.86 \text{ GeV}^2$  and  $\langle x_B \rangle = 0.335$ . The black points represent the results of the present experiment. The blue curves, with generally larger asymmetry, are the results of the VGG model, while the green curves, with generally lower asymmetry, are the results of KMSC calculation. The bars on the data points are statistical uncertainties, and the gray bands represent the systematic uncertainty.

contribution is included and the parameter values are taken as  $b_v$  and  $b_s$  (which control the *x*- $\xi$  correlation) are both equal to 1, and  $\alpha'$  (which controls the *x*-*t* dependence) is equal to 1.1.

The KMSC model also uses GPDs based on double distributions. The parameters were constrained by Deeply Virtual Meson Production data, nucleon form factors, and parton distributions, the parametrization of the latter having been refined since the original calculation of Ref. [47]. All four chiral-even GPDs are included in the calculation and the DVCS amplitudes are calculated within the formalism of Ref. [48] at leading-twist accuracy and next to leading order.

In Figs. 18–23, we compare the results of the VGG and KMSC models to the unpolarized and the difference of beampolarized cross sections from this work. For these calculations, the parameters of neither model have not been tuned to the current data.

We selected three particular  $(x_B, Q^2)$  bins: (0.127,1.13), (0.186,1.67), (0.333,2.85), which exemplify the low, intermediate, and high  $(x_B, Q^2)$  domains spanned by the current experiment, respectively. For the three  $(x_B, Q^2)$  bins, we show the  $\phi$  dependence of the cross sections for 8 or 9 *t* bins. We recall that the  $ep \rightarrow e'p'\gamma$  process is considered to be the coherent sum of the DVCS and BH contributions. In Figs. 18–20, the red curves show the contribution of the BH alone. The blue and green curves show the sum of the BH and DVCS contributions. It is clear from their  $\phi$  dependence that the unpolarized cross sections, which peak at  $\phi \approx 0^{\circ}$ , are dominated by the BH contribution, especially near  $\phi = 0$  and 180 deg. Indeed, the BH cross section is maximal and quasisingular when the outgoing real photon is collinear to the (incoming or outgoing) electron. This means that the photon is basically in the leptonic plane, i.e.,  $\phi \approx 0^{\circ}$ . The BH calculation is very well under control: The only non-QED inputs are the nucleon form factors, which, at the relatively low-*t* values considered here, are well known. Therefore, the differences between the data and the red curves correspond to the DVCS contribution, which depends on the much less known GPDs.

For the beam-polarized cross sections, we observe that the VGG and KMSC models generally reproduce the data, with VGG tending to somewhat overestimate and KMSC tending to underestimate the data. We consider this quite satisfactory considering that parameters of the models have not been tuned. We conclude that the present data appear to be interpretable in terms of GPDs and have the potential to bring new constraints for CFFs or GPD extraction algorithms. The Supplemental Material [40] additionally contains the results for the VGG calculations for all measured kinematic



FIG. 24. Histogram of error-normalized cross section differences  $\delta_i$  between e1-dvcs1 [21] and e1-dvcs2, as defined in Eq. (17), with a fit to a Gaussian distribution (solid curve), which yields a mean of  $0.001 \pm 0.028$  and a standard deviation of  $1.06 \pm 0.024$ .

points. The KMSC model can be accessed on line from the PARTONS computing framework [45]. The spirit of this comparison is to show that the theoretical expectations are in fair agreement with the cross sections and cross-section differences extracted in this work. The precise extraction of CFFs and GPDs requires a detailed and specialized fitting procedure, which is beyond the scope of this article. We refer the reader to references previously cited.

#### XIV. SUMMARY AND CONCLUSIONS

The polarized and unpolarized cross sections for DVCS on the proton have been measured at a beam energy of 5.88 GeV with CLAS in a wide range of kinematics. Statistical and systematic uncertainties are each on the order of 10%. These results will put constraints on GPD model parameters and supplement past Jefferson Laboratory (JLab) data from Hall A and CLAS. We have presented a comparison of the current experiment with the VGG and KMSC models and have compared the new results with the earlier DVCS experiment with CLAS (e1-dvcs1), which show reasonable compatibility. New experiments to further explore DVCS on the proton are currently active, and planned for the future at JLab both in Hall A and with CLAS12. All together these data will be used in fits for extraction of GPDs. The CLAS data, because of the large kinematic domain, will be very instrumental in constraining the dependences of the GPDs on the kinematical variables.

#### ACKNOWLEDGMENTS

This work was supported in part by the Chilean Comisión Nacional de Investigación Científica y Tecnológica (CON-ICYT), the Italian Istituto Nazionale di Fisica Nucleare, the French Centre National de la Recherche Scientifique (CNRS), the French Commissariat à l'Energie Atomique (CEA), Partner University Fund (PUF) of the French-American Cultural Exchange (FACE), the US National Science Foundation, the Scottish Universities Physics Alliance (SUPA), the United Kingdom's Science and Technology Facilities Council (STFC), and the National Research Foundation of Korea (NRF). This material is based upon work supported by the US Department of Energy, Office of Science, Office of Nuclear Physics under Contract No. DE-AC05-06OR23177. The Southeastern Universities Research Association (SURA) operates the Thomas Jefferson National Accelerator Facility for the US Department of Energy under Contract No. DE-AC05-84ER40150.

#### APPENDIX

TABLE II. Kinematics covered by the e1-dvcs2 experiment.

	$x_B$ and $\theta_e$ binning	5
$x_B$ and $\theta_e$ bin	<i>x</i> <sub><i>B</i></sub>	$\theta_e \; (\mathrm{deg})$
1	0.1-0.14	21–45
2	0.14-0.17	21-25.5
3	0.14-0.17	25.5-45
4	0.17-0.2	21-25.5
5	0.17-0.2	25.5-45
6	0.2-0.23	21-27
7	0.2-0.23	27–45
8	0.23-0.26	21-27
9	0.23-0.26	27–45
10	0.26-0.29	21-27
11	0.26-0.29	27–45
12	0.29-0.32	21-28
13	0.29-0.32	28–45
14	0.32-0.35	21-28
15	0.32-0.35	28–45
16	0.35-0.38	21-28
17	0.35-0.38	28–45
18	0.38-0.42	21-28
19	0.38-0.42	28–45
20	0.42-0.58	21-33
21	0.42-0.58	33–45
	t binning	
t bin		-t (GeV <sup>2</sup> )
1		0.09-0.13
2		0.13-0.18
3		0.18-0.23
4		0.23-0.3
5		0.3-0.39
6		0.39-0.52
7		0.52-0.72
8		0.72-1.1
9		1.1–2.0
	$\phi$ binning	
$\phi$ bin		$\phi$
$1 \leqslant n \leqslant 24$		$15 \times (n-1)^\circ$ to $15 \times n^\circ$

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