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10-5-2018

Best Practices for Digital Collections

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Best Practices for Digital Collections

Corinne Jacox, Catalog/Reference Librarian, Creighton University Law Library Yumi Ohira, Digital Initiatives Librarian, University of Nebraska at Omaha Libraries

2018 NLA/NSLA Joint Conference, October 5th, 2018

Planning

Create New Research Opportunities for Users

- Available to a variety of users
- Enhance understanding of the digital objects

Planning is Key

- Institution's mission and goals
- Costs and capabilities for long term maintenance
- Standards -- documentation, formats, metadata
- Timeline
- Define the scope (characteristics of objects to be digitized)
 - How many, formats, sizes, unusual characteristics, condition
- Workflow
- Equipment -- optimize quality and level of production



Policies

Access

Content

Committee

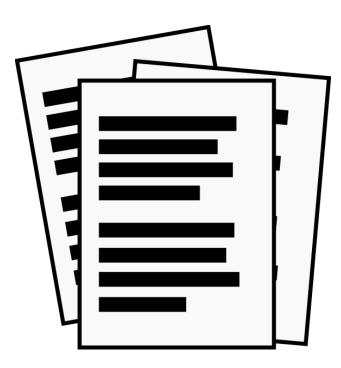
Copyright

Metadata

Preservation

Withdrawal

Examples University of Chicago Library University of Hawai'i at Mānoa Library



Institutional Repository Policies

This page overviews policies that guidig Knowledge@UChicago, the University of Chicago's institutional repository.

Scope

Contributors to Repository

Contributors must be affiliated with the University of Chicago and have valid CNetID credentials to deposit work in the repository. Alumni and other affiliated users without CNet credentials should contact the Knowledge@UChicago team.

Scope of Deposited Content

- Deposited content can be scholarly, creative, research-related, or teaching resources.
- · Deposited content should be in a completed state, rather than in-progress and regularly updated.
- · Contributors may deposit content created prior to joining the University of Chicago.
- Contributors must be willing and able to grant the University the non-exclusive rights to both preserve and make their work available through Knowledge@UChicago.
- · Deposited content must be in a digital format.
- If the deposited content is part of a series, other works in that series should also be deposited when possible so that we can offer a full and complete collection.

Supported Content Types

The following content types are among those that will be accepted:

- · publications (including preprints)
- dissertations
- · masters and undergraduate theses
- · working papers and technical reports
- white papers
- datasets up to 1GB (data sets must be complete and ready to for use, and must include a readme file. At this time, no classified/restricted/confidential data can be accepted.)
- · conference presentations
- conference posters
- · campus-based publications
- · course-based publications
- teaching and learning resources
- · audio and video recordings

This is a non-exhaustive list. Contributors are welcome to deposit content that can be characterized as "scholarly, creative, research-related, or teaching resources" and to contact us with any questions about materials.



UNIVERSITY of H. LIBRA					
Library / Research Guides / Library Departments	and Services / Digital Collections and Reposit	tory Program (D-CARP) / Prior	ritization and Selection Criteria		
Digital Collections and Repo	ository Program (D-CARI	P): Prioritization	and Selection	Search this Guide	Search
Home Policies & Procedures 🖌 Backgr	round Digital Collections & Repositories	Grants 🚽 Related Ser	vices Initiatives		
	PRIORITIZATION	NAND SELECTION CRITERIA			
Metadata information - Cataloging/Digital Library Program Task Force Requirements					
• 🕅 Digitization Project Survey	UHM Library Project Selection Factors	Project Evaluation Criteria	Policy Considerations for Dig	ital Projects - Hawaiian/Pacific Co	llections
Information needed about project scope, etc.	UHM Library holds a large number of colle and require a commitment of staff time. Th conversion to digital format. Selection is a	he following list of criteria is rec	commended to guide selection o	f collections of analog materials fo	
Digitization Project Survey - Online fillable	Copyright Status				
Metadata information - Cataloging/Digital Library Program Task Force Requirements	What is the copyright status of the materia categories:	Is? Most material considered	for digitization and access on the	e open Web falls into one of the fol	llowing three
	Public domain: works that never we		y copyright. Works in the public o	lomain may be used without perm	ilssion.
	All works published before January Works published between 1923 ar		28th year		
	Works published without copyright		2011 year.		
	Unpublished works whose author	died before 1932; otherwise, th	ne term is life plus 70 years.		
	Works for which the copyright is he				
	 Works for which we have secured 	permission to digitize			

Staffing

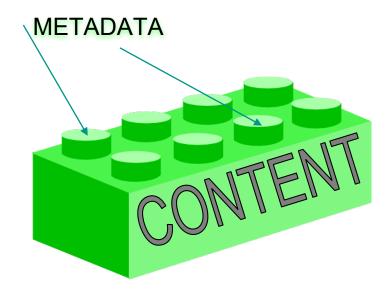
- **Project Manager** -- oversees daily operations and maintains the budget, timeline, and workflow
- **Collections Assessor** -- selects originals, checks their condition and makes conservation recommendations, rehouses originals as necessary, and reshelves them once digitization and cataloging are complete
- Database Manager -- creates and maintains databases for the project
- **Scanning Technician** -- handles original objects, creates scans and surrogates, and produces backup files on the storage media
- **Quality Control Technician** -- checks the image files generated by the scanning technician against benchmarks
- **Cataloger** -- creates or edits records for digital images of originals included in the project
- Web Manager -- designs and maintains the website housing the project



Lucidea Think Clearly Blog

Metadata

- Data about data
 - Description and context of the data
 - Helps to organize, find and understand data
- Recognized standard
 - Easier to exchange/migrate data with other systems
 - No standard can cover all materials for all users
- Three types
 - Descriptive
 - Administrative
 - Structural



Descriptive Metadata

- Describes resource for discovery and identification
- Includes elements such as title, abstract, author, dates, subjects, keywords, and unique identifiers (PURL, handle)
- General standards
 - DCMI (Dublin Core Metadata Initiative)
 - MODS (Metadata Object Description Schedule)
 - MARC (MAchine-Readable Cataloging)
- Standards for special descriptions
 - Data Sets for Social Sciences: DDI (Data Documentation Initiative)
 - Archive: EAD (Encoded Archival Description)



Illustration by Jørgen Stamp, Digitalbevaring.dk CC Attribution 2.5 Denmark

Administrative Metadata

- Provides information to help manage a resource
 - When and how it was created
 - File type
 - Technical data on creation and quality control (critical for migration and longterm sustainability of the digital resource)
 - Who can access it
- Subsets
 - Rights management metadata (intellectual property rights)
 - Preservation metadata (information needed to archive and preserve a resource)

Sample Elements

- Scanner type and model
- Resolution
- Bit depth
- Color space
- File format
- Compression
- Light source
- Owner
- Copyright date
- Copying and distribution limitations
- License information
- Preservation activities

Structural Metadata

- Facilitates navigation and presentation of electronic resources
 - Provides information about the internal structure of resources including page, section, chapter numbering, indexes, and table of contents
 - Describes relationship among materials (e.g., photograph B was included in manuscript A)
- Example
 - METS (Metadata Encoding & Transmission Standard)

Sample Elements

- Structuring tags
 - Title page
 - Table of contents
 - Chapters
 - Parts
 - Errata
 - $\circ \quad \text{Index} \quad$
 - Sub-object relationship (e.g., photograph from a diary)

Metadata Best Practices

- <u>Mountain West Digital Library Dublin Core Application Profile Version 2.0 (2011)</u>
- Lyrassis Digital Toolbox Metadata
- <u>CDP Metadata Working Group Dublin Core Metadata Best Practices Version 2.1.1</u> (2006)
- <u>Best Practices for CONTENTdm and Other OAI-PMH Compliant Repositories:</u> <u>Creating Sharable Metadata Version 3.1 (OCLC 2013)</u>
- Orbis Cascade Alliance Dublin Core Best Practice Guidelines Version 2.3 (2018)
- <u>Nebraska Library Commission Nebraska Memories Metadata Guidelines (2009)</u>



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I. Best Practices for All Fields	1
Use of semicolons	1
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Same field, multiple vocabularies	2
Additional guidelines	
II. Explanation of Table Components	
III. Element Tables (in alphabetical order)	
abstract	estatuar a construction a substance a construction data data
alternative	
contributor	-
conversionSpecifications	
coverage	
creator	
date	
description	
extent	
format	
genre	
identifier	
isPartOf	
language	
publisher	
relation	15
rights	15
source	16
spatial	
subject	
tableOfContents	
temporal	
title	
transcription	
type	



Element Name	creator	
Label	Creator	
DC Definition	An entity primarily responsible for making the resource.	
Is Field Required?	Mandatory if applicable	
Is Field Repeatable?	Yes	
How to Use	Person or entity responsible for creating intellectual content of resource such as a person, organization or service. Prefer form of name as verified in the <u>Library of Congress Name Authority File (LCNAF</u>). If name is not listed there, give name in the following format: Last name, First name, Middle initial and period, year of birth and/or death if known, separated by a hyphen. For further help in formatting names not found in LCNAF, consult a cataloging resource such as the Anglo-American Cataloguing Rules (AACR ₂), Resource Description and Access (RDA), or Describing Archives: A Content Standard (DACS).	
Refines/Refinement	None	
Schemes	Library of Congress Name Authority File (LCNAF)	
DC Mapping	dcterms:creator	
MARC Mapping	100 1# (Main EntryPersonal Name), or 110 2# (Main Entry-Corporate Name), 111 1# (Main EntryConference Name) or 700/710/711	



Privacy

Establish procedures and policies to protect the interests of donors, individuals, groups, and institutions whose public and private lives and activities are recorded in their holdings

Access restrictions on collections to ensure that privacy and confidentiality are maintained

Users' rights to privacy by maintaining the confidentiality of their research and protecting any personal information collected about the users

Society of American Archivists



Delaney Tokyo Papers

Home

BROWSE THE COLLECTION

DEFENDANTS

WEBSITES

FURTHER READING

THOMAS RONALD DELANEY

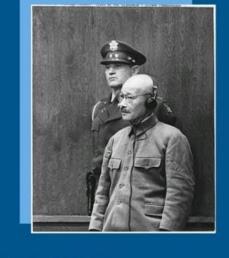
SEARCH THE COLLECTION

· Search the Delaney Tokyo Papers

http://culibraries.creighton.edu/tokyopapers

Delaney Tokyo Papers

Delaney Tokyo Papers





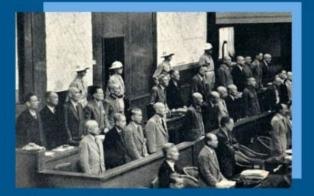


Photo credit: Defendants (right) http://modernnotion.com/verdicts-tokyo-war-crime-trial/

Search this Guide

Search

Def. Doc. #2988 -- Defense Individual Summation, TOJO, Hideki, Section N-24

View/Open

TojoDefenseSummation.pdf (69.57Mb)

Author

International Military Tribunal of the Far East Kiyose, Ichiro, 1884-1967 Blewett, George Francis

Date

April 9-12, 1948

Subjects

World War (1939-1945); Tokyo Trial (Japan : 1946-1948); War crime trials; Japan ; War criminals; War crimes; Trials, litigation, etc.; Tojo, Hideki, 1884-1948

Number of Pages 347 pages

The Tokyo War Crimes Trial: Index and Guide Volume III Pages 1107-1110

The Tokyo War Crimes Trial: Transcript of the Proceedings Pages 47274-47526

Description

Individual summation on behalf of TOJO, Hideki, Prime Minister of Japan, read to the Tribunal by Blewett and Kiyose April 9-12, 1948. It contends that TOJO was not individually guilty of the charges against him, that "no act performed by him during the entire period of the indictment was criminal," and that no evidence offered by the Prosecution proved him guilty of criminal commission or criminal omission. One of the major points was that Japan was acting in self-defense.

Ichiro Kiyose, Japanese Counsel; George Francis Blewett, American Counsel

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URI

http://hdl.handle.net/10504/74576

Collections Delaney Tokyo Trial Papers

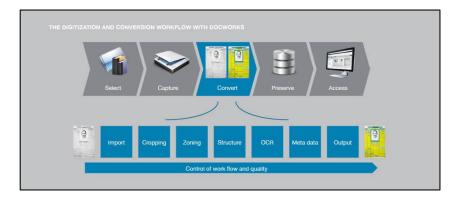
Def. Doc. #2988 -- Defense Individual Summation, TOJO, Hideki, Section N-24

dc.contributor.author	International Military Tribunal of the Far East
dc.contributor.author	Kiyose, Ichiro, 1884-1967
dc.contributor.author	Blewett, George Francis
dc.date.accessioned	2016-01-19T18:41:08Z
dc.date.available	2016-01-19T18:41:08Z
dc.date.issued	1948
dc.identifier.uri	http://hdl.handle.net/10504/74576
dc.description	1107-1110
dc.description.abstract	Individual summation on behalf of TOJO, Hideki, Prime Minister of Japan, read to the Tribuna I by Blewett and Kiyose April 9-12, 1948. It contends that TOJO was not individually guilty of t he charges against him, that "no act performed by him during the entire period of the indictm ent was criminal," and that no evidence offered by the Prosecution proved him guilty of crimi- nal commission or criminal omission. One of the major points was that Japan was acting in se If-defense. Ichiro Kiyose, Japanese Counsel; George Francis Blewett, American Counsel
dc.description.tableofcontents	The Right of Self-Defense Who is to Decide the Existence of the Right of Self-Defense? The Right of Self-Defense and the True Nature of the China Incident Only Way Left for Jap an The Hull Note - 26 November Japan's Decision Argument of Prosecution on Right o f Self-Defense Stationing of Troops in French Indo-China The Pacific War Was not Prem editated The Nine Power Treaty and the situation of Japan in 1941 The Greater East Asi a policy was not of Aggressive Nature That the Second and Third KONOYE Cabinets and t

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dc.title	Def. Doc. #2988 Defense Individual Summation, TOJO, Hideki, Section N-24
dc.title.alternative	Defense Document 2988 Section N-24
dc.type	Other Format
dc.rights.holder	Creighton University Law Library
dc.date.day	April 9-12, 1948
dc.description.note	Box 2, Folder 15
dc.description.specialnote	47274-47526
dc.description.pages	347 pages
dc.subject.fast	World War (1939-1945)
dc.subject.fast	Tokyo Trial (Japan : 1946-1948)
dc.subject.fast	War crime trials
dc.subject.fast	Japan
dc.subject.fast	War criminals
dc.subject.fast	War crimes
dc.subject.fast	Trials, litigation, etc.
dc.subject.fast	Tojo, Hideki, 1884-1948

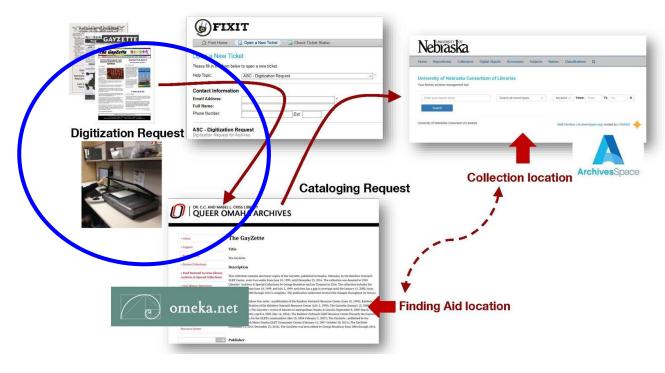
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dc.subject.fast	Japan
dc.subject.fast	War criminals
dc.subject.fast	War crimes
dc.subject.fast	Trials, litigation, etc.
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dc.url.fast	http://id.worldcat.org/fast/1170465
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dc.url.fast	http://id.worldcat.org/fast/1423712
dc.conversion.creation	application/PDFThis 69,500,000 byte file is derived from a 300 dpi uncompressed PDF file that was scanned from the original using a Xerox WorkCentre 7120, color configuration. ABB YY FineReader (Build 10.0.104.206; Part #700/28) was used for text recognition.
dc.date.digital	2015-12-18
dc.identifier.viaf	http://viaf.org/viaf/70380561

Digitization Workflow



(1)Digitization Request
(2)Capture (Scanning process)
(3)Convert (Edit and save digital objects)
(4)Preserve
(5)Access

Digitization Workflow - (1) Digitization Request



Digitization Workflow

Photos, Maps, & Graphic Material Transpare (Slides & Negatives) 5100 35 mm 4000 PPI 2 2550 2.25 in 2200 PPI 1500 3 1700 4 by 5 in 1020 PPI 4 1275 5 by 7 in 900 PPI 5 1020 8 by 10 in 600 PPI 800 6 850 7 728 8 637 9 560 600 510 10 11 463 Manuscripts 400 grayscale 12 425 (or color) 13 i 392 Printed Text 400 grayscale 14 362 400 (or 600 bitonal) 15 340 Blueprints 400 bitonal 16 318 17 300 300 <- Over All files are saved in uncompressed tiff format. Color scans taken at 24 bit, Grayscale at 8 bit.

- (2) Capture

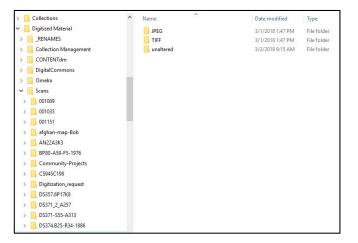








- Unaltered TIFF (Master Image)
- Edited TIFF (Dilivertive Image)
- Edited JPEG (Access Image)





Digitization Workflow

I. Institutional name	Example 1		Example 2			Examp	le 3		
A.Parent Organization	kc	Kansas Cosmosphere	fhsu	Fort Hays St University		fhsu	ı		lays State iversity
B. Organization			fl	Forsyth Libr	ary	gs		Gradu	ate School
C. Department			ar	Archives & Sp Collection					
II. Object ID									
A. Collection	as204_	Apollo 1 Investigation	_wkohp_	Western Kar Oral Histor Project		th		Thesis	collection
B. Box within Collection	ecs_	Environmental Control System							
C. Folder within Box	f[x]	Folder Number x							
D. Document within Folder	d[x]	Document Number x							
F. Lastname-firstname of author / subject			ryan-stella_	Stella Ryan (Interviewe		tacha-d	ustin	10, 10,000	in Tacha uthor
III. Part Designator	V. Version of Object	:t							
A. Part Within Document (single)	A. Optimized file	o	(TIFF & JPEG) File optimized for use					o	(TIFF & JPEG) optimized for
B. Reverse of Document <i>IV. Page Designator</i>	B. Web-ready file	w	(JPEG)File altered for web-delivery	w		ile altered o-delivery		w	(JPEG)File alto for web-deliv
A. Pages within	VI. File Format								
document (Combination PDF)	A. File Extension	.jpg/.tiff/.pdf	File format used	.jpg/.tiff/.pdf/ .wav/.mp3	File for	mat used	.jpg/.t	iff / .pdf	File format u
	Example 1: kcas204_ Example 1: kcas204_					osmospher ation Cosm			
		wkohp_ryan-stellaow.n wkohp_ryan-stella_p1-:				tory for Wi ation Oral I			e file) DF document
	Example 3: fhsugsth_ Example 3: fhsugsth_	tacha-dustin_p1-100.p tacha-dustin_p1.tif	df			ation Thesi nesis docur			

- (3) Convert

File Naming Conventions:

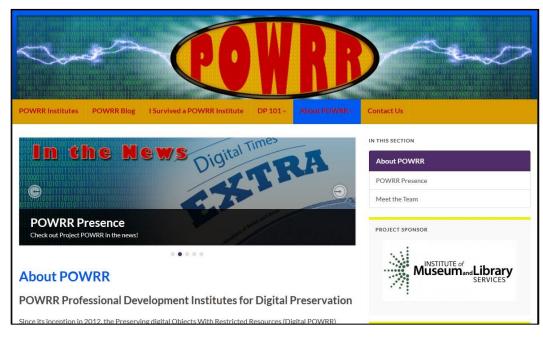
kcas204_ecs_f1d1p1ow.jpg kcas204_ecs_f1d1-d100.pdf **~**

fhsuflar_wkohp_ryan-stellaow.mp3 fhsuflar_wkohp_ryan-stella_p1-100.pdf

fhsugsth_tacha-dustin_p1-100.pdf fhsugsth_tacha-dustin_p1.tif

If the collection consists of journals or newspapers, the directory structures and file naming can be, for example:

File Naming Conventions for Scanned Items Under the collection folder (See the Directory Structure & Folder Naming), create new folders to save Mater TIFF 1 Edited JPEG files, and PDFs if necessary. For example, Shares (G:) > Digitized Material > CONTENTdm > MSS0011 Name JPEG PDF TIFF unaltered MSS0011 metadata.xlsx The folder "unaltered" - Save a TIFF/R AVV file as a preservation master file - File naming conventions: [call number]_m_foriginal format type][**number].tif * original format type: Image [img] Document [doc] Audio [au] Video [vi] Artifact [obj] ** Start number. Unless resuming work on a batch of images using the same file name prefix, reset to 001 example: MSS0011_m_img0001.tif The folder "TIFF" - Save an edited TIFF mater file Under adequate scanning conditions, little editing of the image would be necessary. If you anticipate significant editing of the image then you should save a master file (e.g. MSS0011 m imq0001.tif), then save an edited TIFF file for the production mater. - File naming conventions: [call number]_[*original format type][number].tif(not include "_m_") example: MSS0011 imq0001.tif The folder "JPEG" - Save an edited TIFF master file in JPEG format (Select Image Option "Quality Maximum" image quality) - File naming conventions: [call number] [*original format type][number].jpg (not include " m ") example: MSS0011_img0001.jpg The folder "PDF" - Convert a JPEG file to or combine JPEG files in the JPEG folder into PDF format. - Before save the PDF in the PDF folder, apply OCR to the PDF document. - File naming conventions: [call number].pdf example: MSS0011.pdf Save the metadata describing the collection.

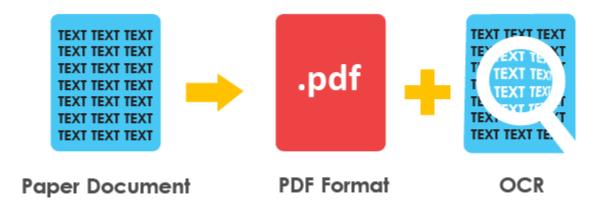


MSS0034_1978_Wether_m_001.tiff MSS0034_1978_Wether_001.jpg

UNO0012_Boys_of_Omaha_v01_001.jpg UNO0012_Boys_of_Omaha_v01_001.jpg ... UNO0012_Boys_of_Omaha_v01.pdf

http://digitalpowrr.niu.edu/

Analyzing/OCR



http://www.cvisiontech.com/library/ocr/fast-ocr/fast-pdf-ocr.html

What is PDF/A?

PDF/A-1a: The PDF/A-1a requires tagging for structure as well as Unicode character maps for fonts. The objective for PDF/A-1a includes the goals for PDF/A-1b and accessibility for



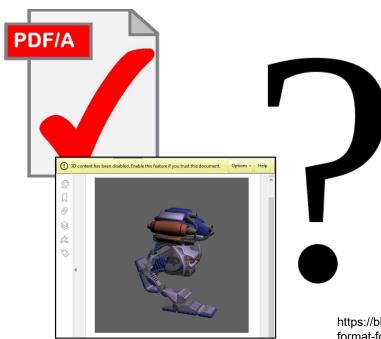
physically impaired users. The tags for accessibility enable screen readers to provide some form of description for images.

PDF/A-1b: The PDF/A-1b variant is for content that has no accessibility tagging; it's useful for scanned documents. The objective for PDF/A-1b is to ensure reliable reproduction of the visual appearance of the document.

PDF/A-2: The PDF/A-2 is the second part of the standard and addresses some of the new features added with versions 1.5, 1.6, and 1.7 of the PDF Reference.

PDF/A-3: The PDF/A-3 allows for the embedding of arbitrary file formats such as XML, CSV, and CAD into PDF/A as complete archived objects.

Digitization Workflow



- (3) Convert



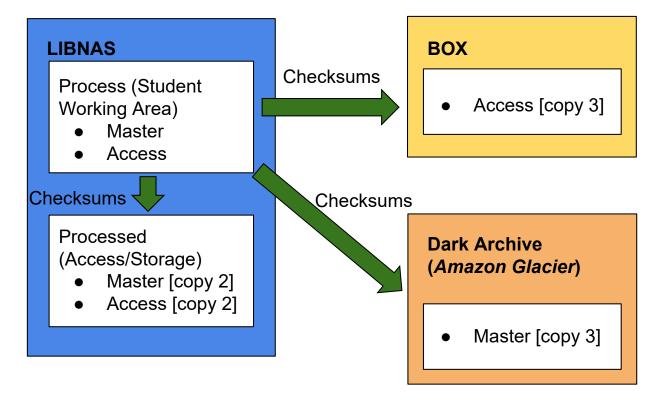
https://blogs.loc.gov/thesignal/2014/02/new-ndsa-report-the-benefits-and-risks-of-the-pdfa-3-file-format-for-archival-institutions/

3-2-1 Rule

3: Make 3 copies

2: At least 2 of the copies should be on 2 *different types of storage media*.

1: Store 1 of the copies in a *different location* from the other 2 copies.



Goals of Long-term Digital Preservation

- Availability
- Identity
- Persistence
- Renderability
- Understandability
- Authenticity



Preservation Metadata

Provenance: Preservation metadata should record information bearing on the custodial history of the digital object, potentially stretching back to the time of the object's creation, and moving forward through successive changes in physical custody and/or ownership.

Authenticity: Preservation metadata should include information sufficient to validate that the archived digital object is in fact what it purports to be, and has not been altered, either intentionally or unintentionally, in an undocumented way.

Preservation activity: Preservation metadata should document the actions taken over time to preserve the digital object, and record any consequences of these actions that impact the look, feel, or functionality of the object.

Technical environment: Preservation metadata should describe the technical

requirements, such as hardware, operating system, and software applications, needed to render and use the digital object in the state in which it is currently stored in the repository.

Rights management: Preservation metadata should record any binding intellectual property rights that limit the repository's powers to take action to preserve the digital object, and to disseminate the object to current and future users.

Levels of Digital Preservation https://ndsa.org/activities/levels-of-digital-preservation/

	Level 1 (Protect your data)	Level 2 (Know your data)	Level 3 (Monitor your data)	Level 4 (Repair your data)	
Storage and Geographic Location	Two complete copies that are not collocated - For data on heterogeneous media (optical discs, hard drives, etc.) get the content off the medium and into your storage system	At least three complete copies At least one copy in a different geographic location Document your storage system(s) and storage endia and what you need to use them	 At least one copy in a geographic location with a different disaster threat Obsolescence monitoring process for your storage system(s) and media 	 At least three copies in geographic locations with different disaster threats Have a comprehensive plan in place that will keep files and metadata on currently accessible media or systems 	
File Fixity and Data Integrity	 Check file fixity on ingest if it has been provided with the content Create fixity info if it wasn't provided with the content 	 Check fixity on all ingests Use write-blockers when working with original media Virus-check high risk content 	Check fixity of content at fixed intervals - Maintain logs of fixity info; supply audit on demand - Ability to detect corrupt data - Virus-check all content	Check fixity of all content in response t specific events or activities Ability to replace/repair corrupted data Ensure no one person has write access to all copies	
Information Security	 Identify who has read, write, move and delete authorization to individual files Restrict who has those authorizations to individual files 	- Document access restrictions for content	 Maintain logs of who performed what actions on files, including deletions and preservation actions 	- Perform audit of logs	
Metadata - Inventory of content and its storage location - Ensure backup and non-collocation of inventory		- Store administrative metadata - Store transformative metadata and log events	 Store standard technical and descriptive metadata 	- Store standard preservation metadata	
File Formats	- When you can give input into the creation of digital files encourage use of a limited set of known open formats and codecs	- Inventory of file formats in use	 Monitor file format obsolescence issues 	 Perform format migrations, emulation and similar activities as needed 	

- Storage and Geographic
 Location: between Level 1 and
 Level2
- File Fixity and Data Integrity: Level 1
- Information Security: Level 1
- Metadata: Level 1
- File Formats: Level 2

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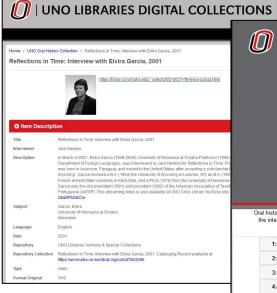
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Jack Newfon, Interviewer | Jack Newfon, Interviewer | Reflections in Time: Interview with Elvira Garcia, 2001. Cataloging Record available at https://unomaha.on.worldcat.org/ocic/47843286



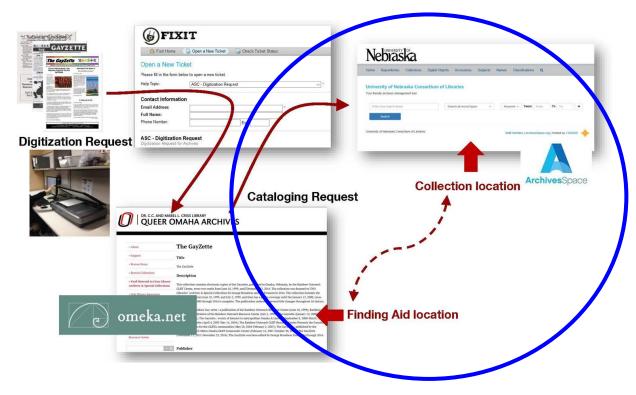
Oral history is one of many sources available to consult as part of your research. It reflects the experience of an individual and shares personal opinions offered by the interviewe in response to questioning. Additional sources can verify and provide additional information to the narrative of events presented in oral histories. Contact UNO Libraries' Archives & Special Collections to continue your research, find additional sources, or learn more.

1:55 - Hired by UNO in 1968.	TRANSCRIPT INDEX
2:03 - Discussed early childhood and education in Paraguay.	Search this Index
3:15 - Accepted a scholarship to the University of Wyoming.	Search
4:40 - Father was a famous concert pianist in Europe; describes her childhood.	
5:50 - First impressions of Wyoming;graduated from UW 1964.)
$7{:}10$ - Husband received a fellowship to Kent State University. She earned her M.A. at KSU in Spanish and French.	
8:55 - The Kent State University Chair of the Foreign Language department inspired her to teach.	
10:00 - Taught high school in Twinsburg, OH.	
11:05 - Arrived at UNO in 1968, the year of the OU merger with the University of Nebraska; Foreign Language Department was very small. Describes the UNO campus.	



Oral History Metadata Synchronizer

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Questions



Thank you!

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