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# Secure Trapdoor Hash Functions Based on Public-Key Cryptosystems 

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## 1 Introduction.

In cryptology, the study of message digest algorithms leads naturally to the study of secure hash algorithms. For background and motivation on these topics the reader is urged to consult [7] [9] or [4]. By a hash or compression algorithm we mean a function $h$ such that for a message $M$ of length $|M|$, $|h(M)|<|M|$. Usually $M$ is represented as a bit-string. More formally we have:

Definition 1.1 A hash algorithm is a (partial) function $h: Z_{2}^{k} \longrightarrow Z_{2}^{l}$ where $l<k$.

A hash function is secure if it is computationally infeasible to find collisions. There are a variety and hierarchy of collision problems one can consider. Of primary importance to us are the following three collision problems:

Type I. Find $M \neq M^{\prime}$ such that $h(M)=h\left(M^{\prime}\right)$.
Type II. Given $M$ and $h(M)$, find $M \neq M^{\prime}$ such that $h(M)=h\left(M^{\prime}\right)$.
Type III. Given $c$, find $M \neq M^{\prime}$ such that $h(M)=c=h\left(M^{\prime}\right)$.
It is apparent that exhibiting a solution to a Type II collision problem also furnishes a solution to a Type I collision problem.

By a trapdoor hash function we mean a hash function that has an intrinsic weakness known only to the designer of the hash function, a weakness which allows he or she to find collisions that would be concealed from, and presumably difficult to discover by, other "expert" designers or "attackers" analyzing the system.

In this paper we systematically consider examples representative of the various families of public-key cryptosystems to see if it would be possible to incorporate them into trapdoor hash functions, and we attempt to evaluate the resulting strengths and weaknesses of the functions we are able to construct. We are motivated by the following question:

Question 1.2 How likely is it that the discoverer of a heretofore unknown public-key cryptosystem could subvert it for use in a plausible secure trapdoor hash algorithm?

In subsequent sections, our investigations will lead to a variety of constructions and bring to light the non-adaptability of public-key cryptosystems that are of a "low density." More importantly, we will be led to consider from a new point of view the effects of the unsigned addition, shift, exclusive-or and other logical bit string operators that are presently used in constructing secure hash algorithms: We will show how the use of publickey cryptosystems leads to "fragile" secure hash algorithms, and we will argue that circular shift operators are largely responsible for the security of modern high-speed secure hash algorithms.

## 2 RSA and Proof of Concept

In this section, we document the first crude example that inspired our subsequent research on this topic. We begin with a brief review of the most well-known public-key cryptosystem, the RSA cryptosystem, a member of the family of algorithms based on modular exponentiation.

Let $p$ and $q$ be odd primes, and set $n=p q$. Choose $e$ such that $(e, \varphi(n))=1$, where $\varphi$ is the Euler $\varphi$-function, and use the Euclidean Algorithm to solve

$$
e d \equiv 1 \quad(\bmod \varphi(n)) .
$$

Publish $e$ and $n$ as the public key, and reserve $p, q$ and $d$ as the private key. ${ }^{1}$ Encrypt a message $M$, where $0<M<n$, using encryption function $E$ given by

$$
E(M)=M^{e} \quad(\bmod n),
$$

and decrypt ciphertext $C$ using decryption function $D$ given by

$$
D(C)=C^{d} \quad(\bmod n) .
$$

We are ready for our first example.
Example 2.1 Let $e_{1}$ and $e_{2}$ be a pair of public keys with corresponding private keys $d_{1}$ and $d_{2}$ for the same RSA modulus $n$. We assume $n$ is on the order of $k$ bits (i.e., $n \sim 2^{k}$ ) so that a message $M$ may be viewed as a bit-string of length $k$, and we consider

$$
h: Z_{2} \times Z_{2}^{k} \longrightarrow Z_{2}^{k}
$$

[^0]defined by
$$
h(b, M)=b M^{e_{1}}+(1-b) M^{e_{2}} \quad(\bmod n)
$$

To solve the Type II collision problem, given the bit-string $(0, M)$ of length $k+1$ and its hash $h(0, M)=M^{e_{2}}$, we find

$$
h\left(1, M^{e_{2} d_{1}}\right)=M^{e_{2} d_{1} e_{1}}=\left(M^{e_{1} d_{1}}\right)^{e_{2}}=M^{e_{2}} \quad(\bmod n) .
$$

Similarly, given $(1, M)$ and its hash $h(1, M)=M^{e_{1}}$, we have

$$
h\left(0, M^{e_{1} d_{2}}\right)=M^{e_{1} d_{2} e_{2}}=\left(M^{e_{2} d_{2}}\right)^{e_{1}}=M^{e_{1}} \quad(\bmod n)
$$

Moreover, to solve the Type III collision problem, given $c$ we observe that

$$
h\left(1, c^{d_{1}}\right)=c=h\left(0, c^{d_{2}}\right)
$$

What is disturbing about this example is the trivial Type I collision

$$
h\left(1, M^{e_{2}}\right)=M^{e_{1} e_{2}}=h\left(0, M^{e_{1}}\right)
$$

and the transparency of the compression function itself due to the fact that it is just simple modular exponentiation. This is even made more apparent as the equivalent formulation

$$
h(b, M)=M^{b e_{1}+(1-b) e_{2}} \quad(\bmod n)
$$

more clearly reveals how exponent selection occurs.
Since the compression achieved in our first example is merely a one bit compression, it is easy understand why we regard this as "proof of concept." To improve upon it, we must find some way to package it in a more classical style, one that incorporates the canonical operators found in message digest algorithms such as the exclusive-or operator, which we denote by $\oplus$, and the bitwise logical-or operator, which we denote by $\mid$.

Example 2.2 Let $k, n, e_{1}, e_{2}, d_{1}, d_{2}$ be as in Example 2.1 above, and let $s$ and $t$ be fixed but arbitrary (invertible) elements in $Z_{n}$. Consider the $(2 k+1)$-bit to $k$-bit compression function

$$
h: Z_{2} \times Z_{2}^{k} \times Z_{2}^{k} \longrightarrow Z_{2}^{k}
$$

given by

$$
h\left(b, M_{1}, M_{2}\right)=\left(M_{1}^{e_{1} s} \oplus M_{2}^{e_{2} s}\right) \mid\left(b M_{1}^{t}+(1-b) M_{2}^{e_{2} d_{1} t}\right) \quad(\bmod n)
$$

Evidently, we may write

$$
h\left(b, M_{1}, M_{2}\right)=f\left(M_{1}, M_{2}\right) \mid g\left(b, M_{1}, M_{2}\right) \quad(\bmod n) .
$$

Since for any $M_{1}, M_{2}$,

$$
f\left(M_{2}^{d_{1} e_{2}}, M_{1}^{d_{2} e_{1}}\right)=M_{2}^{d_{1} e_{2} e_{1} s} \oplus M_{1}^{d_{2} e_{1} e_{2} s}=M_{2}^{e_{2} s} \oplus M_{1}^{e_{1} s}=f\left(M_{1}, M_{2}\right),
$$

we will have found as a solution to the Type II collision problem

$$
h\left(1-b, M_{2}^{d_{1} e_{2}}, M_{1}^{d_{2} e_{1}}\right)=h\left(b, M_{1}, M_{2}\right)
$$

provided we can verify

$$
g\left(1-b, M_{2}^{d_{1} e_{2}}, M_{1}^{d_{2} e_{1}}\right)=g\left(b, M_{1}, M_{2}\right) .
$$

Case 1. If $b=1$, then

$$
g\left(1, M_{1}, M_{2}\right)=M_{1}^{t}(\bmod n),
$$

and

$$
g\left(0, M_{2}^{d_{1} e_{2}}, M_{1}^{d_{2} e_{1}}\right)=\left(M_{1}^{d_{2} e_{1}}\right)^{e_{2} d_{1} t}=M_{1}^{t} \quad(\bmod n)
$$

as desired.
Case 2. If $b=0$, then

$$
g\left(0, M_{1}, M_{2}\right)=M_{2}^{e_{2} d_{1} t} \quad(\bmod n),
$$

while

$$
g\left(1, M_{2}^{d_{1} e_{2}}, M_{1}^{d_{2} e_{1}}\right)=M_{2}^{d_{1} e_{2} t} \quad(\bmod n),
$$

and the verification is complete.
We wish to remind the reader that the use of the exclusive-or operator was for convenience and other commutative binary operators such as ordinary unsigned addition or multiplication in $Z_{n}$ would serve just as well.

If we overlook the trivial solution to the Type I collision problem

$$
h(0,0,0)=0=h(1,0,0),
$$

the following "tests" that check for obvious collisions provide some evidence to bolster the assertion that the previous example appears to be more resistant to a Type I attack.

$$
\begin{aligned}
h(0, M, M) & =\left(M^{e_{1} s} \oplus M^{e_{2} s}\right) \mid M^{e_{2} d_{1} t}(\bmod n) \\
h(1, M, M) & =\left(M^{e_{1} s} \oplus M^{e_{2} s}\right) \mid M^{t}(\bmod n), \\
h(0,0, M) & =M^{e_{2} s} \mid M^{e_{2} d_{1} t} \quad(\bmod n) \\
h(1,0, M) & =M^{e_{2} s} \mid 0=M^{e_{2} s} \quad(\bmod n) \\
h(0, M, 0) & =M^{e_{1} s} \mid 0=M^{e_{1} s}(\bmod n) \\
h(1, M, 0) & =M^{e_{1} s} \mid M^{t}(\bmod n), \\
h\left(0, M_{1}, M_{2}\right) & =\left(M_{1}^{e_{1} s} \oplus M_{2}^{e_{2} s}\right) \mid M_{2}^{e_{2} d_{1} t} \quad(\bmod n) \\
h\left(1, M_{1}, M_{2}\right) & =\left(M_{1}^{e_{1} s} \oplus M_{2}^{e_{2} s}\right) \mid M_{1}^{t}(\bmod n) \\
h\left(0, M_{2}, M_{1}\right) & =\left(M_{2}^{e_{1} s} \oplus M_{1}^{e_{2} s}\right) \mid M_{1}^{e_{2} d_{1} t} \quad(\bmod n) \\
h\left(1, M_{2}, M_{1}\right) & =\left(M_{2}^{e_{1} s} \oplus M_{1}^{e_{2} s}\right) \mid M_{2}^{t}(\bmod n) .
\end{aligned}
$$

The question that arises when looking at the pervasive use of exponents in this system, all related to the same RSA modulus $n$, is whether any "information leakage" might occur. Specifically, how secure are the exponents $e_{1}, e_{2}, d_{1}$ and $s$ in view of the fact that $e_{1} s, e_{2} s$, and $e_{2} d_{1}$ are plainly visible. An attacker may not know how the designer explicitly labels the exponents, but since it is true that $\left(e_{1} s\right)\left(e_{2} s\right)^{-1}=e_{1} d_{2}=\left(e_{2} d_{1}\right)^{-1} \quad(\bmod \varphi(n))$, what assumptions can an attacker make about the exponents, and what can an attacker conclude based on his or her assumptions?

If we now demand that our exponent $t$ be invertible in $Z_{n}$, then we can construct a third exponent pair $e_{3}=t$ and $d_{3}=t^{-1}$, and we can solve the Type III collision problem for our previous example as follows.

Example 2.3 With hypotheses as above, and

$$
h: Z_{2} \times Z_{2}^{k} \times Z_{2}^{k} \longrightarrow Z_{2}^{k}
$$

given by

$$
h\left(b, M_{1}, M_{2}\right)=\left(M_{1}^{e_{1} s} \oplus M_{2}^{e_{2} s}\right) \mid\left(b M_{1}^{e_{3}}+(1-b) M_{2}^{e_{2} d_{1} e_{3}}\right) \quad(\bmod n)
$$

we know that $h$ satisfies

$$
h\left(b, M_{1}, M_{2}\right)=h\left(1-b, M_{2}^{d_{1} e_{2}}, M_{1}^{d_{2} e_{1}}\right)
$$

For the Type III collision problem, given $c$ we must find two messages that hash to $c$. We have

$$
h\left(1, c^{d_{3}}, c^{e_{1} d_{2} d_{3}}\right)=\left(c^{e_{1} d_{3} s} \oplus c^{e_{1} d_{2} d_{3} e_{2} s}\right) \mid c^{d_{3} e_{3}}=c
$$

while

$$
h\left(0, c^{d_{3}}, c^{e_{1} d_{2} d_{3}}\right)=\left(c^{d_{3} e_{1} s} \oplus c^{d_{2} e_{1} d_{3} e_{2} s}\right) \mid c^{d_{2} e_{1} d_{3} e_{2} d_{1} e_{3}}=c
$$

Certainly this also is an unsatisfying, seemingly artificial solution to the Type III collision problem, but since we do not know of any general techniques for solving exponential equations involving the exclusive-or operator, it is the best we can offer.

## 3 The Knapsack Family and the Significance of Density

After the exponentiation family, the next most widely studied family of public-key cryptosystems are those based on knapsack problems. Even though there is ample evidence in the literature to suggest that knapsack cryptosystems are weak and should be avoided, they are still of considerable theoretical interest. It was not possible to construct some version of a secure trapdoor hashing scheme for every knapsack cryptosystem we considered. When we examined the two most popular knapsack examples, the original Merkle-Hellman Knapsack Cryptosystem and the Graham-Shamir Knapsack, we concluded that they probably could not be incorporated into trapdoor hash functions at all. To pinpoint the reasons for this let us consider the Merkle-Hellman Knapsack in more detail.

Recall that a super-increasing knapsack $S$ is a set $\left\{x_{1}, \ldots, x_{k}\right\}$ of positive integers, the knapsack vectors, which satisfy $2 x_{i}<x_{i+1}$ for all $i<k$. Given such a knapsack, there is an efficient algorithm for finding the binary coefficients $\varepsilon_{i}$ in any linear combination of the form $x=\sum \varepsilon_{i} x_{i}$. For MerkleHellman, we choose $u$ such that $2 x_{k}<u$ and $w$ relatively prime to $u$ so that we can form public instances $w S=\left\{x_{1}^{\prime}, \ldots, x_{k}^{\prime}\right\}$ of $S$. If we let $<X, Y>{ }_{u}$
denote $X \cdot Y(\bmod u)$, then the encryption function associated to $w S$ is $E: Z_{2}^{k} \longrightarrow Z_{u}$ described by the equation

$$
E(M)=<M, w S>_{u} .
$$

The decryption algorithm is just the algorithm for recovering coefficients applied to the linear combination $w^{-1} E(M)=<M, S>_{u}$.

Based on our experiences with previous examples, we might expect that a naive attempt to create a secure trapdoor hash, such as

$$
h: Z_{2}^{k} \times Z_{2}^{k} \longrightarrow Z_{2}^{l},
$$

given by

$$
h\left(M_{1}, M_{2}\right)=<M_{1}, w_{1} S>_{u} \oplus<M_{2}, w_{2} S>_{u}
$$

where $l=\lceil\lg u\rceil$ is the least number of bits required to write an integer in $Z_{u}$ in binary, would furnish Type II collisions according to the computation:

$$
\begin{aligned}
h\left(w_{1}^{-1} w_{2} M_{2}, w_{2}^{-1} w_{1} M_{1}\right) & =<\left(w_{1}^{-1} w_{2} M_{2}, w_{1} S>_{u} \oplus<w_{2}^{-1} w_{1} M_{1}, w_{2} S>_{u}\right. \\
& =<M_{2}, w_{2} S>_{u} \oplus<M_{1}, w_{1} S>_{u} \\
& =h\left(M_{1}, M_{2}\right) .
\end{aligned}
$$

But closer inspection reveals that there is a flaw, because we have no assurance that $w_{1}^{-1} w_{2} M_{2}$ (respectively $w_{2}^{-1} w_{1} M_{1}$ ) is a message vector since $w_{1}^{-1} w_{2}$ (respectively $w_{2}^{-1} w_{1}$ ) is not zero or one. In fact, such a product cannot equal zero, and it equals one provided $w_{1}=w_{2} \quad(\bmod u)$, which occurs precisely when $w_{1}=w_{2}$, since $0<w_{1}, w_{2}<u$.

Therefore we see that when working with standard operators such as exclusive-or and unsigned addition applied to knapsack vectors there are two issues that one must consider: the density of the knapsack and the representation of the message vectors. Specifically, if we try to "decouple" $h(M)=c$ as $c=c_{1} \oplus c_{2}$ then we must verify that $c_{1}$ and $c_{2}$ are in the image space of $h$ which, for the particular case at hand, means that they are linear combinations arising from message vectors. We remark that in our present context, density of a knapsack algorithm can be precisely defined as the ratio $2^{k} / u$, the ratio of the possible $2^{k}$ knapsack sums $\sum \varepsilon_{i} v_{i}$ to $u$, the size of the "space."

To give an example of a successful trapdoor hash based on knapsacks, we turn to a knapsack system based on complementing sets due to Webb
[10]. Though the system seems neither to be widely known nor to have been analyzed for cryptographic weaknesses, it is of interest to us because it has density one! For the details on the construction of complementing sets we refer the reader to Webb's paper. ${ }^{2}$

Example 3.1 Let $A_{1}, A_{2}, \ldots, A_{j}$ be complementing sets for the positive integer $n$. If we write $A_{i}=\left\{a_{i, 0}, \ldots, a_{i, m_{i}-1}\right\}$, then any "message" $M, 0 \leq$ $M<n$ is uniquely decomposed as $\sum_{i=1}^{j} x_{i} N_{i}$ where $0 \leq x_{i}<m_{i}$, and fast decryption of the "private" encryption $c_{d}=\sum a_{i, x_{i}}$ is possible. Note that $x_{i}$ is an index to an element in the set $A_{i}$. The idea now is to "disguise" each $A_{i}$ to a set $G_{i}$ so that the decryption of the "public" encryption $c_{e}=\sum x_{i} g_{i, x_{i}}$ is infeasible, but one can (privately) transform $c_{e}$ to $c_{d}$. The construction of $G_{i}$ takes place in two steps. First, let $F_{i}=r_{1}\left(A_{i}+t_{i}\right)\left(\bmod u_{1}\right)$ where $u_{1}>n$, and then let $G_{i}=r_{2} F_{i}\left(\bmod u_{2}\right)$ where $u_{2}>j u_{1}$. For $n$ large, choosing $u_{1}=n+1$ will not effect the density, but the choice of $u_{2}$ reduces the density to $1 / j$.

To envision what this system would look like in more concrete terms, we are forced to use a prohibitively small example.

Instance \#1.
$n=12, j=2$.
$A_{1}=\{0,1,6,7\}$ so $m_{1}=4$.
$A_{2}=\{0,2,4\}$ so $m_{2}=3$.
$N_{1}=1, N_{2}=4$.
$u_{1}=13, r_{1}=3, t_{1}=4, t_{2}=6$.
$F_{1}=\{12,2,4,7\}$.
$F_{2}=\{5,11,4\}$.
$u_{2}=27, r_{2}=7$.
$G_{1}=\{3,14,1,22\}$.
$G_{2}=\{8,26,1\}$.
Instance \#2.
$n=12, j=2$.
$A_{1}=\{0,4,8,1,5,9\}$ so $m_{1}=6$.
$A_{2}=\{0,2\}$ so $m_{2}=2$.
$N_{1}=1, N_{2}=6$.
$u_{1}=13, r_{1}=5, t_{1}=2, t_{2}=3$.

[^1]```
\(F_{1}=\{10,4,11,2,8,3\}\).
\(F_{2}=\{2,12\}\).
\(u_{2}=27, r_{2}=4\).
\(G_{1}=\{13,16,17,8,5,12\}\)
\(G_{2}=\{8,21\}\).
```

The weakness in this example is easily observed since $G_{1}$ and $G_{2}$ are not disjoint in each instantiation. To implement the hash, consider

$$
h: Z_{12} \times Z_{12} \longrightarrow Z_{2}^{6}
$$

given by

$$
h\left(M_{1}, M_{2}\right)=E_{1}\left(M_{1}\right) \oplus E_{2}\left(M_{2}\right)
$$

where $E_{i}$ invokes the public encryption algorithm using the $i$-th instantiation. For example, $h(1,8)=010110 \oplus 100110=110000$, because $E_{1}(1)=$ $E_{1}(1 \cdot 1+0 \cdot 4)=14+8=22$ and $E_{2}(8)=E_{2}(2 \cdot 1+1 \cdot 6)=17+21=38$. There is now a probabilistic scheme for searching for collisions: Decouple $h\left(M_{1}, M_{2}\right)=c_{e 1} \oplus c_{e 2}$ to $h\left(M_{1}, M_{2}\right)=c_{e 1}^{\prime} \oplus c_{e 2}^{\prime}$ and transform the components $c_{e 1}^{\prime}, c_{e 2}^{\prime}$ to $c_{d 1}^{\prime}, c_{d 2}^{\prime}$. Find the corresponding $x_{i 1}^{\prime}$ and $x_{i 2}^{\prime}$ coefficients and then try to verify that their images give $c_{e 1}^{\prime}$ and $c_{e 2}^{\prime}$.

## 4 Idempotent Transformations and Fragility

In our quest to consider a wide variety of public-key cryptosytems, we examined knapsack algorithms using polynomials over finite fields, including the Cooper-Patterson public-key cryptosystem [1] and the Chor-Rivest Algorithm [5]. Eventually we were attracted to a knapsack system introduced by Seberry and Pieprzyk [8] which, though it seemed suspect to us regarding its decryption algorithm, led us to reconsider our RSA examples in a more general context.

Example 4.1 As usual, fix an RSA system using modulus $n$ of $k$ bits and public keys $e_{1}, e_{2}$ with respective private keys $d_{1}, d_{2}$. Consider

$$
h: Z_{2}^{k} \times Z_{2}^{k} \times Z_{2}^{k} \longrightarrow Z_{2}^{k}
$$

defined by

$$
h\left(M_{1}, M_{2}, M_{3}\right)=M_{1}^{e_{1}} M_{3} \oplus M_{2}^{e_{2}}\left(1-M_{3}\right) \quad(\bmod n)
$$

It is routine to verify the solution to the Type II collision problem

$$
h\left(M_{2}^{d_{1} e_{2}}, M_{1}^{e_{1}, d_{2}}, 1-M_{3}\right)=h\left(M_{1}, M_{2}, M_{3}\right),
$$

and the solution to the Type III collision problem

$$
h\left(c^{d_{1}}, 0,1\right)=c=h\left(0, c^{d_{2}}, 0\right) .
$$

The previous example hinges upon the introduction of an idempotent transformation which can be applied to $M_{3}$. Formally, for $I: Z_{2}^{k} \longrightarrow Z_{2}^{k}$ satisfying $I^{2}$ is the identity function (e.g., $I(x)=1-x \quad(\bmod n)$ or $I(x)=$ $x^{-1}(\bmod n)$ for the integer interpretation of bit strings and $I(x)=\bar{x}$ for the boolean interpretation of bit strings), the $3: 1$ compression function $h_{I}$ defined in terms of encryption (respectively decryption) functions $E_{i}$ (respectively $D_{i}$ ) and binary operators $\circ_{i}$ is given by

$$
h_{I}\left(M_{1}, M_{2}, M_{3}\right)=\left(E_{1}\left(M_{1}\right) \circ_{1} M_{3}\right) \circ_{2}\left(E_{2}\left(M_{2}\right) \circ_{1} I\left(M_{3}\right)\right) .
$$

For collisions, we find

$$
h_{I}\left(M_{1}, M_{2}, M_{3}\right)=h_{I}\left(D_{1}\left(E_{2}\left(M_{2}\right)\right), D_{2}\left(E_{1}\left(M_{1}\right)\right), I\left(M_{3}\right)\right),
$$

and

$$
h_{I}\left(D_{1}(c), 0,1\right)=c=h_{I}\left(0, D_{2}(c), I(1)\right),
$$

are solutions to the Type II and Type III problems respectively.
Such generalized constructions begin to suggest that we are discovering "building blocks" for use in secure hash algorithms that are more in tune with the fast, commercial hashes like MD-5 or SHA [7]. However, even a tentative and optimistic comparison reveals that there is one glaring weakness - it is possible to adjust or slightly modify the commercial grade algorithms through the use of additive constants, additional exclusive-or terms and, most importantly, circular shifting constants. This observation leads us to conclude that the secure trapdoor hashing components we are considering are fragile in the sense that the introduction of any (circular) shift operator destroys their trapdoor features. To further understand this, denote by $S(M)$ a positive integer in the interval $[0, k-1]$ to be used as a shifting "constant." We remark, however, that we do not rule out the possibility that $S(M)$ is an autokey function, meaning that $S(M)$ could depend on $M$ in a mild way such as being a weight function or a parity function. Then, if we denote the left circular shift operator on a bit string $x$ by $y$ positions
as $x \ll y$, a seemingly innocuous circular shifted version of Example 2.1 would be

$$
h(b, M)=b\left(M^{e_{1}} \ll S(M)\right)+(1-b) M^{e_{2}} \quad(\bmod n)
$$

Given $(b, M)$, the Type II collision with $b=1$ is easily found to be

$$
h(1, M)=M^{e_{1}} \ll S(M)=h\left(0,\left(M^{e_{1}} \ll S(M)\right)^{d_{2}}\right)
$$

but finding the Type II collision for $h(0, M)=M^{e_{2}}$ requires one to solve

$$
\begin{aligned}
h\left(1, M^{x} \ll S(y)\right) & =\left(M^{x} \ll S(y)\right)^{e_{1}} \ll S\left(M^{x} \ll S(y)\right. \\
& =M^{e_{2}}(\bmod n)
\end{aligned}
$$

for both $x$ and $y$. Since this appears daunting, there seems to be little hope for solving collision problems using a more realistic circularly shifted variant, such as the following one patterned after Example 4.1 but incorporating shift constants $u_{1}$ and $u_{2}$ :

$$
\begin{aligned}
h\left(M_{1}, M_{2}, M_{3}\right)= & \left(\left(M_{1}^{e_{1} s} \ll u_{1}\right) \oplus\left(M_{2}^{e_{2} s} \ll u_{2}\right)\right) \mid \\
& \left(M_{1}^{t} M_{3}+M_{2}^{e_{2} d_{1} t}\left(1-M_{3}\right)(\bmod n)\right) .
\end{aligned}
$$

Of course the problem we are facing is that circular shift compatibility is counter to the "diffusion" and "substitution" goals of classical cryptography. Thus we are led to the following extremely interesting question which we have been unable to resolve.

Question 4.2 Does there exist a public-key cryptosystem with encryption equation $E(M)$ together with some shifting constant $u$ for which it is possible to relate $E(M \ll u)$ to $E(M)$, $u$, or $E(M) \ll u$ ?

## 5 Cellular Automata PKC, Our Best Example

Another public-key cryptosystem whose cryptological significance is also unclear provides interesting possibilities for trapdoor hashing. It is the perhaps slightly misnamed "cellular automata" public-key cryptosystem of Guan [2]. The system requires a carefully constructed boolean vector-valued public encryption function $E: Z_{2}^{k} \longrightarrow Z_{2}^{k}$ which we will write in terms of its coordinate functions $e_{1}, \ldots, e_{k}$ as $E(x)=\left(e_{1}(x), \ldots, e_{k}(x)\right)$ where $x=\left(x_{1}, \ldots, x_{k}\right)$.

The associated private decryption algorithm which we denote by $D(x)$ depends on the order these coordinate functions are considered. The complete details governing the construction are beyond the scope of this paper.

Example 5.1 Let $B: Z_{2}^{k} \longrightarrow Z_{2}^{k}$ be any vector valued boolean function. By using De Morgan's Laws

$$
\overline{a+b}=\bar{a} \cdot \bar{b}
$$

and

$$
\overline{a \cdot b}=\bar{a}+\bar{b},
$$

we are able to write

$$
\overline{B(x)}=\bar{B}(\bar{x})
$$

and thus we are able to construct a trapdoor hash function

$$
h: Z_{2}^{k} \times Z_{2}^{k} \longrightarrow Z_{2}^{k}
$$

by letting

$$
h\left(M_{1}, M_{2}\right)=\overline{B\left(E\left(M_{1}\right)\right)}+{ }_{2} \bar{B}\left(\overline{M_{2}}\right),
$$

where we have used the unsigned binary addition operator $+_{2}$ (i.e. binary addition with carry), though in fact any commutative binary operator such as exclusive-or, logical-or, logical-and, or even unsigned multiplication will work. To see why this formulation satisfies our trapdoor criteria, observe that

$$
\begin{aligned}
h\left(D\left(M_{2}\right), \overline{E\left(M_{1}\right)}\right) & =\overline{B\left(E\left(D\left(M_{2}\right)\right)\right)}+{ }_{2} \bar{B}\left(\overline{E\left(M_{1}\right)}\right) \\
& =\overline{B\left(M_{2}\right)}+2 \overline{B\left(E\left(M_{1}\right)\right)} \\
& =\bar{B}\left(\overline{M_{2}}\right)+2 \overline{B\left(E\left(M_{1}\right)\right)} .
\end{aligned}
$$

Hence we have exhibited a solution to the Type II collision problem.
We contend the construction found in Example 5.1 is our best construction because of its believability. Recall, our scenario is that a public-key system might be inserted into a hash algorithm and go undetected. This could never happen with the modular exponentiation examples which we have presented: They are quite transparent. The resulting fast and efficient hashing system that would result from the construction above, however, masks the deception much better. To exhibit a concrete instantiation, we
use $k=5$ and continue with an example found in Guan [2]. To simplify its description, we write

$$
E\left(x_{1}, x_{2}, x_{3}, x_{4}, x_{5}\right)=\left(y_{1}, y_{2}, y_{3}, y_{4}, y_{5}\right)
$$

and

$$
B\left(y_{1}, y_{2}, y_{3}, y_{4}, y_{5}\right)=\left(z_{1}, z_{2}, z_{3}, z_{4}, z_{5}\right)
$$

Following Guan, we let

$$
\begin{aligned}
y_{1} & =x_{1} x_{2}+x_{5} \\
y_{2} & =x_{1} x_{3}+x_{4} \\
y_{3} & =x_{1} x_{2} x_{3}+x_{1} x_{2} x_{4}+x_{2} x_{3} x_{5}+x_{4} x_{5}+x_{2} \\
y_{4} & =x_{2} x_{1}+x_{2} x_{5}+x_{3} \\
y_{5} & =x_{1}+x_{2}
\end{aligned}
$$

For our arbitrary boolean function $B$, we let

$$
\begin{aligned}
z_{1} & =y_{1} y_{3}+y_{2} y_{5} \\
z_{2} & =y_{1} y_{2}+y_{1} y_{4} \\
z_{3} & =y_{1}+y_{2}+y_{3}+y_{4} y_{5} \\
z_{4} & =y_{1} y_{2} y_{3}+y_{4} \\
z_{5} & =y_{3}+y_{5}
\end{aligned}
$$

This gives $(B \circ E)(x)$ as

$$
\begin{aligned}
z_{1} & =x_{1} x_{2} x_{4}+x_{1} x_{3} x_{5}+x_{1} x_{2}+x_{1} x_{2} x_{3} x_{5}+x_{2} x_{3} x_{5}+x_{4} x_{5}+x_{2} x_{5}+x_{1} x_{3}+x_{1} x_{4}+x_{2} x_{4} \\
z_{2} & =x_{1} x_{2} x_{5}+x_{1} x_{2} x_{4}+x_{1} x_{3} x_{5}+x_{4} x_{5}+x_{1} x_{2}+x_{1} x_{2} x_{3}+x_{2} x_{5}+x_{3} x_{5} \\
z_{3} & =x_{5}+x_{1} x_{2} x_{3}+x_{1} x_{2} x_{4}+x_{2} x_{3} x_{5}+x_{4} x_{5}+x_{2}+x_{1} x_{2}+x_{1} x_{2} x_{5}+x_{2} x_{5}+x_{2} x_{3} \\
z_{4} & =x_{4} x_{5}+x_{2} x_{4} x_{5}+x_{1} x_{2}+x_{2} x_{5}+x_{3} \\
z_{5} & =x_{1} x_{2} x_{3}+x_{1} x_{2} x_{4}+x_{2} x_{3} x_{5}+x_{4} x_{5}+x_{1}
\end{aligned}
$$

Next, we compute $\overline{B(z)}=\bar{B}(\bar{z})$ as follows:

$$
\begin{aligned}
& \overline{z_{1}}=\overline{y_{1}} \cdot \overline{y_{2}}+\overline{y_{1}} \cdot \overline{y_{5}}+\overline{y_{2}} \cdot \overline{y_{5}}+\overline{y_{3}} \cdot \overline{y_{5}} \\
& \overline{z_{2}}=\overline{y_{1}}+\overline{y_{1}} \cdot \overline{y_{4}}+\overline{y_{1}} \cdot \overline{y_{2}}+\overline{y_{2}} \cdot \overline{y_{4}} \\
& \overline{z_{3}}=\overline{y_{1}} \cdot \overline{y_{2}} \cdot \overline{y_{3}} \cdot \overline{y_{4}}+\overline{y_{1}} \cdot \overline{y_{2}} \cdot \overline{y_{3}} \cdot \overline{y_{5}} \\
& \overline{z_{4}}=\overline{y_{1}} \cdot \overline{y_{4}}+\overline{y_{2}} \cdot \overline{y_{4}}+\overline{y_{3}} \cdot \overline{y_{3}} \\
& \overline{z_{5}}=\overline{y_{3}} \cdot \overline{y_{5}},
\end{aligned}
$$

and therefore finally obtain, $\bar{B}(x)=\left(w_{1}, w_{2}, w_{3}, w_{4}, w_{5}\right)$ as

$$
\begin{aligned}
& w_{1}=x_{1} x_{2}+x_{1} x_{5}+x_{2} x_{3}+x_{3} x_{5} \\
& w_{2}=x_{1}+x_{1} x_{4}+x_{1} x_{2}+x_{2} x_{4} \\
& w_{3}=x_{1} x_{2} x_{3} x_{4}+x_{1} x_{2} x_{3} x_{5} \\
& w_{4}=x_{1} x_{4}+x_{2} x_{4}+x_{3} x_{4} \\
& w_{5}=x_{3} x_{5} .
\end{aligned}
$$

The reason for writing the $z$ 's and $w$ 's in terms of $x$ 's is that they are the equations one needs to implement our hash construction i.e., the $z$ 's receive the bits of $M_{1}$ as $x$ 's and the $w$ 's receive the bits of $\overline{M_{2}}$ as $x$ 's.

## 6 Nested Encryption Methods

In this section we shall exploit the potential for trapdoor hashing arising from nested encryption. The first example does not give the full generality for reasons we shall subsequently explain.

Example 6.1 Let $E_{1}, E_{2}: Z_{2}^{k} \longrightarrow Z_{2}^{k}$ be public-key encryption algorithms, and consider

$$
h: Z_{2}^{k} \times Z_{2}^{k} \longrightarrow Z_{2}^{k}
$$

defined by

$$
h\left(M_{1}, M_{2}\right)=E_{1}\left(M_{1}\right) \oplus\left(E_{1} \circ E_{2}\right)\left(M_{2}\right) .
$$

We have the solution to the Type II collision problem

$$
\begin{aligned}
h\left(E_{2}\left(M_{2}\right), D_{2}\left(M_{1}\right)\right) & =E_{1}\left(E_{2}\left(M_{2}\right)\right) \oplus E_{1}\left(E_{2}\left(D_{2}\left(M_{1}\right)\right)\right) \\
& =E_{1}\left(E_{2}\left(M_{2}\right)\right) \oplus E_{1}\left(M_{1}\right)
\end{aligned}
$$

where $D_{2}$ refers to the algorithm for decrypting $E_{2}(x)$. Moreover, given $c$ and any decomposition of $c, c=c_{1} \oplus c_{2}$, we have the solution to Type III collision problem given by

$$
\begin{aligned}
h\left(D_{1}\left(c_{1}\right), D_{2}\left(D_{1}\left(c_{2}\right)\right)\right) & \left.=E_{1}\left(D_{1}\left(c_{1}\right)\right)\right) \oplus\left(E_{1} \circ E_{2}\right)\left(D_{2}\left(D_{1}\left(c_{2}\right)\right)\right) \\
& =c_{1} \oplus c_{2}=c
\end{aligned}
$$

The cellular automaton cryptosystem enjoys the property that the composition of vector-valued boolean functions is again a vector-valued boolean function. Therefore, this nesting scheme is particularly significant because
of the "form" the required composition $E_{1} \circ E_{2}$ would assume. It would be of interest to perform some computations to compare $E_{1}$ with $E_{1} \circ E_{2}$ if, say, $E_{1}=A_{1} \circ A_{2}$ and $E_{2}=A_{3} \circ A_{4}$ were based on four " $s$-fold" invertible linear transformations $A_{1}, A_{2}, A_{3}, A_{4}: Z_{2}^{k} \longrightarrow Z_{2}^{k}$ in the sense of Guan [2].

We should also observe that the RSA version of Example 5.2 is

$$
h\left(M_{1}, M_{2}\right)=M_{1}^{e_{1}} \oplus M_{2}^{e_{1} e_{2}} \quad(\bmod n)
$$

with solution to the Type II collision problem

$$
h\left(M_{2}^{e_{2}}, M_{1}^{d_{2}}\right)=M_{2}^{e_{1} e_{2}} \oplus M_{1}^{e_{1} e_{2} d_{2}}=M_{2}^{e_{1} e_{2}} \oplus M_{1}^{e_{1}} \quad(\bmod n)
$$

And we should remark once more that from a design viewpoint there is nothing sacrosanct about using exclusive-or operators. Other commuting binary operators would also be acceptable.

The principal reason for focusing on nesting of encryption algorithms is their applicability to a set of encryption algorithms that, unlike RSA, are noncommuting viz., $E_{i} E_{j} \neq E_{j} E_{i}$ for some $i \neq j$.

Example 6.2 Consider $g$ instances $E_{1}, \ldots, E_{g}$ of public encryption schemes, and let

$$
h: Z_{2^{k}}^{g} \longrightarrow Z_{2^{k}}
$$

be defined by

$$
h\left(M_{1}, M_{2}, \ldots, M_{g}\right)=E_{1}\left(M_{1}\right) \oplus\left(E_{1} E_{2}\right)\left(M_{2}\right) \oplus \ldots \oplus\left(E_{1} E_{2} \ldots E_{g}\right)\left(M_{g}\right)
$$

Then the solution to the Type II collision problem is found using the identity

$$
\begin{aligned}
& h\left(\left(E_{2} \ldots E_{g}\right)\left(M_{g}\right), D_{2}\left(M_{1}\right), D_{3}\left(M_{2}\right), \ldots, D_{g}\left(M_{g-1}\right)\right)= \\
& \quad\left(E_{1} E_{2} \ldots E_{g}\right)\left(M_{g}\right) \oplus E_{1}\left(M_{1}\right) \oplus\left(E_{1} E_{2}\right)\left(M_{2}\right) \oplus \ldots \oplus\left(E_{1} \ldots E_{g-1}\right)\left(M_{g-1}\right)
\end{aligned}
$$

and there is an "easy" solution to the Type III problem obtained by decrypting componentwise

$$
c=c_{1} \oplus \ldots \oplus c_{g}
$$

Note that the succinct way to write $h$ is

$$
h\left(M_{1}, \ldots, M_{g}\right)=\oplus_{i=1}^{g}\left(E_{1} \ldots E_{i}\right)\left(M_{i}\right)
$$

## 7 Matrix Methods

We were dismayed to find that there was no viable word-problem public-key cryptosystem that we could lay hands on, and that another widely touted example of a probabilistic public-key cryptosystem (a system where the encryption of a message results in a set of ciphertexts from which to choose), the McEliece PKC based on Goppa codes [8], was unsuitable because of the severe expansion that encryption produced. Unexpectedly, the probabilistic system that we found to be adaptable for a trapdoor hash system was a matrix system invented by Varadharajan and Odoni [11]. It is a system that uses both RSA style exponents and randomly chosen elements. We give a brief description.

Following [11], over $Z_{m}$ we let $g$ divide the exponent of the group of $n \times n$ nonsingular upper triangular matrices ${ }^{3}$, and choose $e, d$ such that $e d \equiv 1$ $(\bmod g)$. To encrypt a message $M$ consisting of $n(n-1) / 2$ elements of $Z_{m}$, fill in the upper triangular entries of a matrix $U$ row by row with these elements, choose random diagonal entries relatively prime to $m$, and use the RSA equation $E(U)=U^{e}$ for encryption and $D(U)=U^{d}$ for decryption.

Example 7.1 We construct a trapdoor hash function

$$
h: Z_{n+n(n-1) / 2} \times Z_{n+n(n-1) / 2} \longrightarrow Z_{n+n(n-1) / 2}
$$

for pairs $\left(e_{i}, d_{i}\right)$ of such matrix exponents via

$$
h\left(U_{1}, U_{2}\right)=U_{1}^{e_{1}} \cdot U_{2}^{e_{2}}
$$

where the $n \times n$ upper triangular matrices $U_{1}, U_{2}$ are each formed from the $n+n(n-1) / 2$ message entries by filling in the matrix row by row, diagonal entries included.

If all the diagonal entries of both such matrices are relatively prime to $m$, then for any upper triangular invertible matrix $P$

$$
h\left(\left(U_{1}^{e_{1}} \cdot P\right)^{d_{1}},\left(P^{-1} \cdot U_{2}^{e_{2}}\right)^{d_{2}}\right)=\left(U_{1}^{e_{1}} \cdot P\right) \cdot\left(P^{-1} \cdot U_{2}^{e_{2}}\right)=h\left(U_{1}, U_{2}\right)
$$

and we have a solution to the Type II collision problem.
To make sure that the diagonal entries are relatively prime to $m$, one would like to apply an algorithm that re-assigns the elements of $Z_{m}$ to $Z_{m}^{*}$ without revealing the factorization of $m$. We do not know if this is possible.

[^2]The trapdoor feature to this hash is wholly dependent on the randomness of the diagonal entries since if the diagonal entries were fixed then, for example, $\left(U_{1}^{e_{1}} \cdot P\right)^{d_{1}}$, would almost surely not be an admissible message. It is therefore clear that this trapdoor hash is another very fragile one: Potential "improvements" such as commingling diagonal entries in order that some of the entries from $U_{1}$ are appropriated for the $U_{2}$ diagonal, and conversely, would destroy the trapdoor nature of this construction.

## 8 Conclusion

We have attempted to survey the spectrum of public-key cryptosystems for the purpose of constructing secure trapdoor hashing algorithms. We have exhibited our constructions, analyzed their strengths and weaknesses, and explored their ramifications. We have demonstrated that such constructions are theoretically possible but that they are likely to depend on the density of the encryption algorithm, and that they are most often fragile in a very explicit sense. Though not all the public-key cryptosystems we reviewed are considered in this paper - elliptic curve methods [3], methods grounded in class number fields, and finite group mapping methods [6] for example, all required computational overhead that made them totally inappropriate for adaptation to our hashing context - we believe those we have drawn from are a representative sample from which to extract ideas suitable to our task.

Whether we have indeed provided convincing evidence that it might be possible to conceal a public-key cryptosystem in a secure hash algorithm the reader will have to decide for himself or herself.

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[^0]:    ${ }^{1}$ In presenting the mathematical essence of RSA, we omit such implementation issues as the need for large, "safe" primes of fifty to one hundred decimal digits, small public exponents, etc.

[^1]:    ${ }^{2}$ The reader is forewarned that we found it necessary to completely change Webb's notation in order to maintain consistency in our presentation.

[^2]:    ${ }^{3} \operatorname{In}[11]$, it is shown that for $m=\Pi p_{i}^{r_{i}}, g$ is a divisor of $\operatorname{lcm}\left(\varphi\left(p_{i}^{r_{i}}\right) p_{i}^{r_{i}}\right)$.

