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**Stepping over obstacles of different heights and varied shoe traction alter the kinetic
strategies of the leading limb**

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Abstract

This study aims to investigate the effects of shoe traction and obstacle height on friction during walking to better understand the mechanisms required to avoid slippage following obstacle clearance. Ten male subjects walked at a self-selected pace during eight different conditions: four obstacle heights (0%, 10%, 20%, and 40% of limb length) while wearing two different pairs of shoes (low and high traction). Frictional forces were calculated from the ground reaction forces following obstacle clearance, which were sampled with a Kistler platform at 960 Hz. All frictional peaks increased with increases in obstacle height. Low traction shoes yielded smaller peaks than high traction shoes. The transition from braking to propulsion occurred sooner due to altered control strategies with increased obstacle height. Collectively, these results provided insights into kinetic strategies of leading limb when confronted with low traction and high obstacle environments.

Statement of relevance

This study provides valuable information into the adaptations used to reduce the potential of slips/falls when confronted with environments characterized by low shoe-floor friction and obstacles. It also provides the necessary foundation to explore the combined effects of shoe traction and obstacle clearance in elderly people, more sensitive to slippage.

Keywords: Shoe Traction, Gait Kinetics, Obstacle Clearance, Slip and Fall.

1 **1. Introduction**

2 Injuries due to slips and falls are not purely random events, but rather predictable
3 entities with known risk factors that may be extrinsic (environmental factors), intrinsic
4 (human factors) or mixed (system factors). The primary risk factor for slipping is, by
5 definition, low friction between the footwear and the surface (Chang *et al.* 2001a, Chang
6 *et al.* 2001b, Grönqvist *et al.* 2001b). Secondary risk factors (‘predisposing factors’) for
7 slipping accidents are related to multiple, interacting human and environmental factors.
8 Human factors include gait biomechanics, expectation, the health of the sensory systems
9 (i.e. vision, proprioception, somatosensation, and vestibular) and the health of the
10 neuromuscular system (Moyer *et al.* 2006). Among the most important environmental
11 factors are uneven or clustered pavements and slippery surfaces that could potentially
12 cause instability, due to the fact that many goals have to be reached: negotiation of
13 obstacles, avoidance of tripping, achievement of a safe landing, and avoidance of slipping
14 (Petrarca *et al.* 2006). The adaptive strategy to maintain gait stability is to minimize the
15 effect of disturbance on the locomotor behavior by taking into consideration the
16 convergence of proprioceptive and exteroceptive inputs provided by the environment
17 (Patla *et al.* 1996). Indeed, we know from experience that people accustomed to walking
18 on slippery roads can walk without reducing gait speed, yet avoid slipping. In particular,
19 recent studies have investigated how subjects with sufficient practice manage to control
20 their gait movements on slippery surfaces (Marigold and Patla 2002, Gao and
21 Abeysekera 2003, Asaka *et al.* 2004).

22 One fundamental principal in determining the slip propensity of a given situation
23 is the relationship between the friction required to perform a particular task (*required*

24 *friction*) compared with the friction available at the walkway/shoe interface (*available*
25 *friction*) (Hanson *et al.* 1999). The risk of slipping occurs whenever the required friction
26 exceeds the available friction (slip-resistant properties of the shoe/floor interface) (Tencer
27 *et al.* 2004). Thus, biomechanical analysis of gait is potentially a valuable tool in setting
28 thresholds of minimal friction needed to achieve slip-safe environments (Marpert 1996).
29 Ground reaction forces at the shoe-floor interface are probably the most critical
30 biomechanical parameters in slips. A number of researchers have examined ground
31 reaction forces (GRF) during normal gait on a level surface (Perkins 1978, Strandberg
32 and Lanshammar 1981, Perkins and Wilson 1983, Strandberg 1983, Winter 1991,
33 Redfern and Dipasquale 1997, James 1980, Bring 1982, Cham and Redfern 2001, Cham
34 and Redfern 2002, Gao *et al.* 2003, Lockhart *et al.* 2003, Gao *et al.* 2004, Lockhart *et al.*
35 2005, Burnfield and Powers 2006).

36 The ratio of the anteroposterior (shear) to vertical (normal) foot forces generated
37 during gait, known as the required coefficient of friction (RCOF) during normal
38 locomotion on dry surfaces or ‘friction used/achievable’ during slips, has been one
39 biomechanical variable most closely associated with the measured frictional properties
40 of the shoe-floor interface (usually the coefficient of friction or COF). The significance of
41 the force ratio (F_y/F_z) is that it indicates where in the step cycle a slip would most
42 probably occur (Figure 1). According to Perkins (1978), the most dangerous slipping
43 during walking is most likely to occur in the braking period due to a low initial vertical
44 ground reaction force at heel strike, which produces a small amount of friction. If friction
45 is not sufficient during the braking period, an anterior slip of the foot would likely occur
46 (Perkins, 1978). This slip could be particularly dangerous due to the rapid transfer of

47 weight to the landing foot. Recent findings related to the human adaptations to
48 “potentially” slippery surfaces (anticipation trials) resulted in significant differences in
49 gait biomechanics when compared with characteristics of baseline trials, during which
50 subjects walked onto a known dry surface (high shoe traction) (Heiden *et al.* 2006, Moyer
51 *et al.* 2006, Siegmund *et al.* 2006). The overall effect of these adaptations was a reduction
52 in the peak required coefficient of friction values (Redfern and DiPasquale 1997), thus
53 humans have the ability to reduce slip potential on possibility contaminated shoe-floor
54 interfaces (Cham and Redfern 2002).

55 However, the slipperiness of the shoe/floor interface may not be a sufficient
56 explanation for falls and other slip-related injuries. The secondary risk factors (as
57 described above) and their possible cumulative effects seem to further complicate both
58 slipperiness measurements and the prevention of accidents and injuries due to slipping.
59 There have already been numerous studies that have measured friction-based criteria and
60 thresholds for walking without slipping for a variety of activities (e.g. walking on a level
61 or an inclined surface, running, stopping and jumping, as well as stair ascent and
62 descent,) (Grönqvist *et al.* 2001a, Redfern *et al.* 2001, Burnfield *et al.* 2005). However,
63 limited attention was devoted to the combined effect of obstacles and low friction shoe-
64 floor interface on the landing strategy adopted to avoid slipping after obstacle clearance
65 (Patla and Rietdyk 1993, Bentley and Haslam 1998, Leclercq 1999). Until now, obstacles
66 were used to stimulate the path over a cluttered environment in the perspective of
67 elucidating the kinetic and kinematic characteristics of adaptations to obstacles and
68 understanding processes of gait control (Patla *et al.* 1991, Patla and Rietdyk 1993, Chen
69 *et al.* 1994, Sparrow *et al.* 1996, Chou and Draganich 1997, Begg *et al.* 1998, McFadyen

70 and Prince 2002, Jaffe *et al.* 2004, Chen and Lu 2006, Petrarca *et al.* 2006). The research
71 questions have primarily focused on aspects dealing with tripping due to obstacles so that
72 observations were mainly made on the trail limb as the lead limb went over the obstacles.
73 Later, much of the research work on obstacles has focused on the trajectories and timing
74 characteristics for both the lead and the trail foot. However, the kinetics of the lead foot
75 has not been investigated in a similar fashion (Patla 1991, Patla *et al.* 1991; Begg *et al.*
76 1998; Petrarca *et al.* 2006). It is important to note that lead foot kinetics reflect both
77 control of landing and also its influence on ongoing control of trail limb crossing. While
78 there has already been some interest in the process by which lead foot kinetics are
79 modified to negotiate different height obstacles, current criteria and thresholds for safe
80 friction in an obstacle environment are still incomplete. Two main categories of adaptive
81 strategies are used when an individual subject encounters both an obstacle and a more
82 slippery zone: “strategies of avoidance” that consist of modifying walking patterns in
83 order to step over the obstacle, and “strategies of accommodation” that consist of the
84 modification of walking patterns in order to adapt to the low friction footwear-floor
85 interface (Patla 1991).

86 Therefore, the purposes of the present study was to investigate the combined
87 effects of shoe traction and obstacle height on friction during walking to better
88 understand the control strategies adopted to avoid slippage following obstacle clearance
89 in normal young adults. We hypothesized that friction, measured as the ratio (F_y/F_z)
90 between the horizontal (F_y) and vertical (F_z) ground reaction force components, will
91 decrease with increased obstacle height and decreased shoe traction. In this study,
92 obstacle height was adjusted to percentages (0%, 10%, 20%, and 40%) of limb length to

93 ensure that individuals of different stature would make the same qualitative adaptation in
94 going over obstacles. The dependent measures were variables derived from the lead foot-
95 ground reaction forces, including peaks from the force ratio trace, time of the braking
96 phase (TB), time of the propulsive phase (TP), and time of stance (TS).

97

98 **2. Methods**

99 **2.1. Subjects**

100 Ten healthy young male subjects from the general student community of the
101 University of Nebraska at Omaha volunteered as subjects (age: 25.8 ± 4.29 years; body
102 mass: 82.8 ± 8.25 kg; height: 179.6 ± 6.34 cm; leg length — as measured from the right
103 anterosuperior iliac spine to the right lateral malleolus: 95.6 ± 4.49 cm; shoe size: 10). All
104 subjects were without appreciable leg length discrepancy and had no injuries or
105 abnormalities that would affect their gait. Prior to testing, each subject provided an
106 informed consent approved by the University of Nebraska Medical Center Institutional
107 Review Board.

108 **2.2. Instrumentation**

109 A Kistler force platform (Kistler Model 9281-B11, Amherst, NY) was used to
110 record the foot-ground reaction forces (GRF) data at a sampling rate of 960 Hz. The force
111 platform was mounted flush with the floor in the middle of the walkway. A Kistler signal
112 conditioner/amplifier (Kistler Model 9807) was interfaced to a 16-channel Peak
113 Performance Technologies Analog/Digital Interface Unit (Peak Model 2051, Englewood,
114 CO) containing the analog to digital sampling modules interfaced to an personal
115 computer. The GRF data were stored on a hard disk during the testing sessions. The
116 vertical (F_z) and the anterior-posterior (F_y) GRF components were then extracted and
117 used for further analysis.

118 **2.3 Footwear**

119 Two identical pairs of men's shoes (Pro-wing Joggers, size 10) with homogenous
120 midsoles and rubber outsoles were used in this experiment (Fig. 2). The same shoes and

121 shoe size were used for all subjects to minimize any such effects of the results of the
122 study. To decrease the coefficient of friction of one pair of the shoes, without
123 significantly modifying their weight, flexibility and general performance, 88 metallic
124 one-half inch diameter disc thumbtacks, were inserted into the outsole of both the left and
125 right shoe. The thumbtacks were carefully placed in order to ensure no part of the actual
126 shoe was able to contact the ground during walking locomotion. They were also roughed
127 and cleansed to expose the metal originally covered with enamel. The thumbtacks
128 increased the weight of the shoes by 25 grams (475 g without the tacks versus 500 g with
129 the tacks). The pair with the high traction had dynamic coefficient of friction (DCOF) of
130 0.7 and static coefficient of friction (SCOF) of 0.8. The pair with the low traction had
131 DCOF of 0.3 and SCOF of 0.35. The two selected tractions were based upon previous
132 literature (Denoth 1989, Perkins 1978) and test pilot work suggesting the high traction
133 pair was a very safe shoe, while the low a borderline safe shoe. Both high and low
134 traction shoes were roughed with 20 passes of the 100 grit sand paper, and then the
135 surfaces were cleansed with rubbing alcohol to remove from the outsoles any solvents or
136 residues of the shoe manufacturing process.

137 ***2.4 Mechanical measurement of friction coefficient***

138 Measurements of frictional characteristics were conducted using a foot prosthetic
139 with an artificial metal shank placed inside each shoe. This procedure was used because
140 our data were collected prior to the release of the international standard on the
141 determination of footwear slip resistance (ISO 13287:2006; Personal protective
142 equipment - Footwear - Test method for slip resistance). The procedure used in this study
143 was also based on personal communication with Dr. Edward C. "Ned" Frederick. Dr

144 Frederick is the Former Director of Research and Development at Nike Inc, the founder
145 of the Nike Sports Research Laboratory and the president of Exeter Research, Inc. Based
146 on our procedure, an eyebolt was screwed into the posterior aspect of the prosthetic heel,
147 thru the heel cup of the shoe. Afterwards, the foot prosthetic was loaded with 100 lbs
148 through the artificial shank corresponding to the subject's body-weight as closely as
149 possible. Previous investigators demonstrated that such a procedure allows for a more
150 accurate calculation of the shoe COF with respect to the subject's body-weight (Frederick
151 1998, Wojcieszak 1998). The weighed shoe was placed on one end of the force platform
152 with the shoe heel toward the center of the force platform. GRF were collected while the
153 shoe was pulled across the platform, in the horizontal plane, with a chain attached to the
154 eyebolt. Horizontal pulling velocity ($7 \pm 1 \text{ mm.s}^{-1}$) was cautiously monitored using a
155 photoelectronic timing system in order to compare consistent data on frictional properties
156 of the two shoe conditions (high and low traction). DCOF and SCOF were measured by
157 dividing the anterior-posterior GRF (F_y) with the vertical GRF (F_z).

158 ***2.5 Experimental protocol***

159 Walking trials were conducted on a 10 meter pathway with a 0.6 meter wide lane.
160 Walking speed was monitored at the location of the force platform over a 3 m interval
161 using a photocell timing system. Subjects were given time to accommodate to the
162 experimental set-up prior to testing. During familiarization, the investigator asked the
163 subjects if there is any inconvenience regarding the shoe comfort (e.g. shoes that fit tight
164 in some areas and loose in others) that may alter their natural gait. If no problems were
165 reported, the subjects proceeded in establishing a comfortable self-selected walking pace
166 which was recorded. This pace ($\pm 5\%$) was used as a baseline speed for subsequent

167 testing. Following this procedure a foot placement marker was located approximately 7 m
168 before the timed interval to allow for a normal right foot contact (FC) on the force
169 platform. Visual inspection of the force curves allowed for an inter-trial rest interval of
170 one minute.

171 All subjects were asked to walk at their previously established baseline pace
172 under four different obstacle conditions. The first condition was walking on a level
173 surface while the other three conditions were walking over obstacles of three different
174 heights. The average height of the obstacles was approximately: 8-10 cm (low, 10% leg
175 length), 18-20 cm (medium, 20% leg length) and 36-40 cm (high, 40% leg length). These
176 obstacle heights were established based upon the dimensions of obstacles commonly
177 encountered in the everyday environment and the related literature (Chen *et al.* 1991,
178 Chen *et al.* 1994, Chou and Draganich 1997, Patla *et al.* 1991, Patla and Rietdyk 1993,
179 Patla *et al.* 1996). The 10% obstacle height characterize the door thresholds, the 20%
180 obstacle height represent the typical curbstones separating cars in parking lots and stair
181 risers, and 40% obstacle height correspond to those of a bathtub rim, where frequent falls
182 occur especially among the elderly. The obstacles were placed directly before the force
183 platform so that the subject had to clear the obstacle with the right leg and land on the
184 force platform. The obstacles were made of light weight wood so that if a subject stepped
185 on or hit the obstacle by mistake while walking, the obstacle was destroyed. This
186 minimized the risk of tripping and falling. All subjects were required to complete the
187 baseline and obstacle conditions with the two pairs of shoes (high and low traction
188 outsole) as described previously.

189 Each experimental condition (shoe traction – obstacle) consisted on ten trials for a
190 total of eighty trials per subject. The order of the presentation of conditions was
191 predetermined as follows: (1) low traction – 0%; (2) low traction – 10%; (3) low traction
192 – 20%; (4) low traction – 40%; (5) high traction – 0%; (6) high traction – 10%; (7) high
193 traction – 20%; (8) high traction – 40%. This predetermined order was used because it
194 was enforced by the Institutional Review Board of our University. This gradual
195 presentation of the conditions minimized any possible falls/accidents due to slips and/or
196 trips. Furthermore, subjects were given several practice trials prior to each condition to
197 familiarize themselves with the task and the environmental constraints.

198 ***2.6 Data analysis***

199 The dependent measures were variables derived from the lead-foot GRF. Three
200 time values were identified from the horizontal GRF (F_y) plot: the time of the braking
201 period (TB), the time of the propulsive period (TP) and the time of the stance phase (TS)
202 by adding the braking and propulsion times (i.e. TB and TP). These three time values
203 were identified for each trial by the same investigator using laboratory software. Four
204 distinct points (P) were extracted from the force ratio (F_y/F_z) trace: P1 which is the first
205 maximum negative peak on the force ratio trace, indicative of a high possibility of a
206 forward slip; P2 which is the first maximum positive peak indicative of a slight
207 possibility of a backward slip; P3 which is the second maximum negative peak indicative
208 of a high possibility of forward slip (P3 is representative of peaks 3 and 4 on Figure 1);
209 P4 which is the second maximum positive peak indicative of a high possibility of
210 relatively safe backward slip (P4 is representative of peaks 5 and 6 on Figure 1).

211 The means and standard deviations of all parameters were calculated across trials
212 for each subject-condition. A 2X4 (shoe traction versus obstacle height) repeated
213 measures ANOVA was performed on the subject means for each parameter (TB, TP, TS,
214 and P1 to P4). In tests that resulted in significant F ratios ($P < 0.05$), a *post hoc* Tukey
215 multiple comparison test was performed to identify the location of significant differences.
216 All statistical comparisons were conducted at $\alpha = 0.05$.
217

218 **3. Results**

219 The peak P1 was discarded from the analysis due to inconsistencies in its
220 occurrence. The other three peaks (P2, P3 and P4) were consistent in their occurrences
221 and easily discernable. The force ratio trace is an estimate of friction in-vivo, and thus it
222 was expected that all peaks would have higher values for the high traction shoes. As
223 expected, all peaks showed significant increases from the low to the high traction shoe
224 (Tables 1 and 2). In addition, all peaks significantly increased with increases in obstacle
225 height. P2 and P3 significantly increased from the no obstacle to the obstacle conditions
226 for both shoes. For P4 the obstacle height had no effect regarding the low traction shoes.
227 However, for the high traction shoes, P4 showed similar results as in the other two peaks.
228 The increase in the peak values between the obstacle conditions was much more
229 prominent in the high traction shoes. Peaks P2 and P3 increased 3 to 5 units between 0%
230 and 40% obstacle conditions for the low traction shoes (peak P4 remained unchanged
231 across obstacle conditions), while all peaks increased 7 to 17 units for the high traction
232 shoes. This diverse effect that the obstacle height had on the two different pairs of shoes
233 (low and high traction) was revealed in terms of significant interactions in all three peaks.

234 TS was significantly altered due to both traction and obstacle height (Tables 1 and
235 2). TS was significantly larger for the high traction shoe, and it showed a direct linear
236 relationship with obstacle height for both shoes. Similar to the force ratio peaks, the
237 increase of TS across obstacle conditions was more prominent in the high traction
238 conditions, resulting in a significant interaction. TB showed no significant differences
239 between the shoe conditions, whereas TP values were significantly larger for the high
240 traction shoes. The effect of the obstacle height was opposite for TB and TP. TB

241 significantly decreased with increases in obstacle height, while TP significantly
242 increased. This result was much more noticeable with the 40% obstacle condition.

243

244 **4. Discussion**

245 The parameters investigated in our study were determined from the lead foot-
246 ground reaction forces, including peaks from the force ratio (F_y/F_z) trace (P1, P2, P3 and
247 P4) and three time values from the horizontal GRF (F_y) plot (TS, TB and TP). P1 was
248 discarded from the analysis due to its inconsistencies. P1 was difficult to discern and was
249 irregular in its occurrence. Perkins (1978) also stated that P1 was very inconsistent in its
250 appearance. The values of all the other peaks were similar as in Perkins (1978).
251 Furthermore, the hypothesis of the present study stated that the force ratio will decrease
252 with increased obstacle height and decreased shoe traction. The first part of the
253 hypothesis was rejected, while the second part was supported by our results.

254 The assumption according to which the force ratio will decrease as obstacle height
255 increases was presumed incorrectly. It was assumed that F_z would increase with
256 increases in obstacle height, which would yield lower force ratio values (F_y/F_z). This
257 blindly assumed that F_y would remain constant. However, the force ratio increased with
258 increased obstacle height, and F_y increased proportionally more than F_z . The fact that the
259 force ratio increased with increases in obstacle height can possibly be explained by the
260 position of the body's centre of mass (CoM) with respect to the foot. Indeed, the higher
261 the obstacle, the larger the time to clear the obstacle (Begg *et al.* 1998, Chen *et al.* 1991).
262 This additional time allocated to overcoming the obstacle, positioned the CoM more
263 anteriorly over the leading leg at foot contact. As a result, this leads to a shorter braking
264 time with the increased obstacle height, so that the shift from braking to propulsion
265 occurred sooner (ST also increased with obstacle height).

266 The assumption that the force ratio will decrease as shoe traction decreases was
267 supported by our results. All peaks showed significant decreases from the high to the low
268 traction shoe, as expected. However, all peak values for both shoe conditions were
269 smaller when compared with in-vitro calculations of the COF. This may be explained by
270 the usage of a lighter weight (45.5 kg) in the in-vitro procedure as compared with the
271 subjects' average weight (mean: 82.8 kg). Moreover, Frederick (1993) stated that in-vitro
272 tests produce higher COF values than in-vivo, probably due to accommodation strategies
273 performed by humans during the stance phase.

274 Peak P2, which coincides with the resistance opposing a posterior slip, was
275 significantly increased from level walking (no obstacle) to the obstacle conditions for
276 both shoes. This difference between level walking and the obstacle conditions may be
277 due to the trajectory of the foot during late swing. During unobstructed locomotion, the
278 foot swing is horizontal and relatively close to the walking surface until the end of the
279 swing phase, when the foot touches down (Patla and Rietdyk 1993). On the contrary,
280 during obstructed locomotion, the foot is raised to overcome the obstacle so that it moves
281 through a more vertical and posterior direction at touchdown. This yields a larger force
282 for opposing posterior motion, which may be the cause of increased peak P2 values in the
283 obstructed conditions. The posterior motion of the foot would also explain the lack of the
284 peak P1 in many trials, since P1 represents the resistance to an anterior slip. Group mean
285 results for peak P2 during level walking (i.e., high traction shoes, 0% obstacle; Table 1)
286 closely reflected peak P2 mean values achieved by subjects in Perkins (1978): 0.268 and
287 0.24, respectively. Because of the lack of studies investigating the interaction effects that
288 exist between obstacles and low friction shoe-floor interface on the landing strategy, peak

289 P2 values in the three other obstacle conditions cannot be compared directly to previous
290 literature.

291 Peak P3 coincides with the resistive force opposing anterior slipping of the foot
292 on the force platform. Similarly to peak P2, peak P3 was less abrupt in low traction
293 conditions. This effect was easily observed in 0% and 10% obstacle conditions. During
294 experiments, qualitative assessment consistently revealed more occurrences of noticeable
295 anterior slippage at foot contact (or shortly after foot contact) during low obstacle
296 clearance (i.e. 0% and 10% obstacle conditions). This may explain why peak P3 was
297 smaller in the low obstacle conditions. In these conditions, the foot is glancing off the
298 walking surface as a pebble glances off the surface of a pond when it is thrown at a low,
299 horizontal trajectory. In contrast, when the foot contacts the walking surface with a high,
300 vertical trajectory, as occurs in the obstacle conditions, the foot is being pushed in a
301 downward direction (as opposed to being pushed in an anterior direction). Group mean
302 results for peak P3 during level walking (Table 1) were close to peak P3 mean values in
303 Perkins (1978): 0.221 and 0.22, respectively.

304 Peak P4, which coincides with the resistive force opposing posterior slipping of
305 the foot on the force platform during the propulsive period, was significantly different
306 between shoes. Peak P4 values were significantly remained constant across obstacle
307 crossing conditions for the low traction shoes, but steady increased for the high traction
308 shoes. The significantly lower values force ratio values in low traction conditions may be
309 due to an inadequate push-off. Group mean results for peak P4 during level walking
310 (Table 1) were comparable to peak P3 mean values in Perkins (1978): 0.329 and 0.30,
311 respectively.

312 TS was significantly different between shoe conditions for all obstacles heights.
313 The low traction conditions yielded a shorter stance time in comparison with the high
314 traction conditions. This trend was also observed for both TB and TP. More generally, TS
315 increased with increases in obstacle heights, and the highest obstacle had the most
316 noticeable effect on this parameter. This result is in agreement with Begg *et al.* (1998).
317 Dividing stance phase into its two periods, braking and propulsion, allowed for a better
318 understanding of the differences within this phase. Overall, TB was inversely related to
319 the obstacle height, while TP was linearly related to this factor. As previously mentioned,
320 such a result may be explained by the position of the body's CoM with respect to the
321 foot. The additional time allocated to overcoming the obstacle, located the CoM more
322 anteriorly over the leading left at foot contact. As a result, the shift from braking to
323 propulsion may take place sooner than during the absence of the obstacle or in the low
324 obstacle conditions.

325 Our results should be viewed in lieu of the following limitations. The lack of
326 randomization is a possible limitation of the present study. The use of non-randomization
327 may actually introduce a learning effect. Indeed, when all trials for each subject are
328 predetermined, the subjects might gain experience and gradually become more capable in
329 negotiating the obstacles and therefore change their gait strategies. This learning effect
330 might have led to the rejection of one of the primary hypotheses, i.e. the force ratio
331 (F_y/F_z) would decrease as obstacle height increase. However, we performed pilot work
332 which indicated that the order of the testing conditions did not show any learning effect.
333 In addition, subjects were given one or more practice trials prior to each condition to
334 familiarize themselves with the task and the environmental constraints. Lastly, our results

335 in terms of gait adaptations are in agreement with those found in the literature (e.g. Begg
336 *et al.* 1998, Cham & Redfern 2001, 2002a, 2002b, Chen *et al.* 1991, Frederick 1993,
337 Perkins 1978).

338 Another possible limitation of this study may be the method used to measure
339 friction during walking. The interaction between the rubber and the metal, as opposed to
340 metal-metal interaction, could have cause differences between low and high traction
341 conditions. It is well known in the field of tribology that synthetics and rubbers do not
342 follow the linearity of the mechanical laws. Consequently, calculation of friction by
343 dividing F_z and F_y may not be the most appropriate method.

344

345 **Conclusions**

346 The purpose of this research was to investigate the combined effects of shoe
347 traction and obstacle height on friction during walking. All peaks of the force ratio
348 (F_y/F_z) increased with increases in obstacle height. As expected, the low traction shoes
349 yielded smaller peaks than the high traction shoes. Increases in obstacle height lead to
350 shorter time of braking to propulsion with increased obstacle height. These changes
351 appear to reduce the risk to the subject when confronted with an environment
352 characterized by low traction and high obstacles. This investigation provides the
353 necessary foundations to explore the combined effects of shoe traction and obstacle
354 clearance in other populations (i.e. elderly) that are more sensitive to slippage.

355

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359

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1 **Figure Captions**

2 **Figure 1.** Gait phases in normal level walking with typical horizontal (F_y) and vertical
3 force (F_z) ground reaction components and their ratio, F_y/F_z , for one step (right foot).

4 Note that peak 1 is caused by the forward force of impact of the heel onto the force plate.
5 Peak 2 is the result of a backward force exerted on the heel after contact during the early
6 landing phase. Peaks 3 and 4, often recorded as one broad spike, are caused by the main
7 forward force, which retards the motion of the foot. Finally, peaks 5 and 6 are recorded
8 during the push-off phase, with the toes in contact with the force plate, pushing in the
9 backward direction (from Perkins 1978). Critical from the slipping point of view are the
10 heel contact (peaks 3 and 4) and the toe-off (peaks 5 and 6) phases (Grönqvist *et al.*
11 1989).

12 **Figure 2.** Soled of the high traction shoe (left) and the low traction shoe (right).

13 The shoes (size 10) are regular running shoes (Pro-wing Joggers, 0456-2011-09-04). One
14 pair of the shoes was altered to decrease its coefficient of friction by inserting 88 metallic
15 one-half inch diameter disc thumbtacks into the outsole.

Figures

Figure 1.

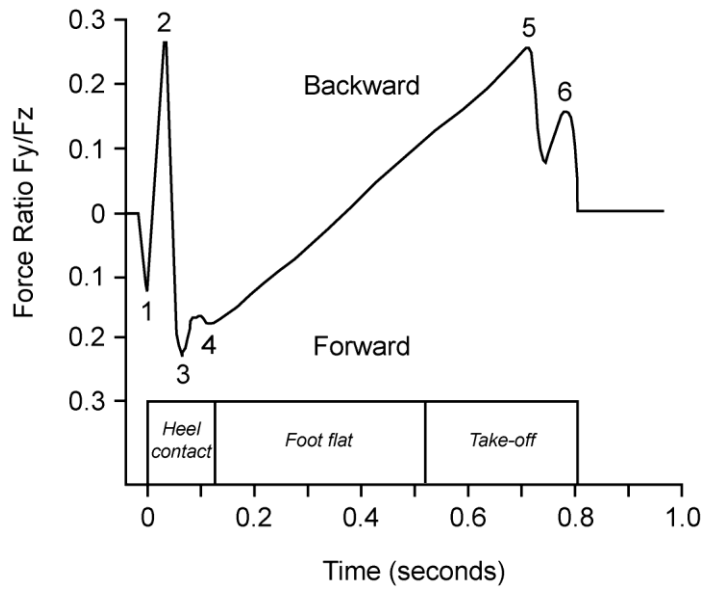


Figure 2.



1 **Table Captions**

2 **Table 1.** Group means (M) and standard deviations (SD) for parameters (multiplied by 100)
3 derived from the lead-foot ground reaction forces. The four distinct points (P) were
4 extracted from the force ratio trace: P1: first maximum negative peak; P2: first maximum
5 positive peak; P3: second maximum negative peak (P3 is representative of peaks 3 and 4 on
6 Figure 1); P4: second maximum positive peak (P4 is representative of peaks 5 and 6 on
7 Figure 1). However, the peak P1 was discarded from the analysis due to inconsistencies in
8 its occurrence. Three time values were identified from the horizontal GRF: TB: time of the
9 braking period; TP: time of the propulsive period, and TS: time of the stance phase. The
10 value for P3 is multiplied by -1, while the values for TS, TB, and TS are in seconds
11 multiplied by 100.

12 *: significantly different between shoes within the same obstacle height ($p < 0.01$).

13 ^{10,20,40%}: significantly different between obstacle heights within the same shoe ($p < 0.01$).

14 **Table 2.** Results of a 2X4 ANOVA with repeated measures on both factors: shoe traction
15 (s) and obstacles (o). In tests that resulted in significant F ratios ($p < 0.05$), a *post hoc*
16 Tukey multiple comparison test was performed to identify the significant differences. Fs:
17 between shoes; Fo: between obstacles; Fs×o: interaction.

18

Tables

Table 1.

Parameters		Low traction				High traction			
		0%	10%	20%	40%	0%	10%	20%	40%
P2	M	16.224 ^{*10,20,40%}	18.813 [*]	20.246 [*]	19.757 [*]	26.751 ^{10,20,40%}	38.256 ^{20,40%}	42.359	43.480
	SD	2.192	2.844	2.658	3.069	3.780	4.783	5.309	4.762
P3	M	17.311 ^{20,40%}	19.316 [*]	20.664 [*]	21.857 [*]	22.128 ^{10,20,40%}	25.895 ^{20,40%}	30.049 ^{40%}	35.650
	SD	1.653	2.245	2.115	2.201	2.862	5.183	6.947	8.119
P4	M	18.305 [*]	18.106 [*]	17.988 [*]	18.006 [*]	32.908 ^{10,20,40%}	36.667 ^{20,40%}	39.966	39.081
	SD	3.264	3.252	3.506	3.515	3.415	3.885	2.892	4.126
TS	M	66.426 ^{*40%}	67.883 ^{*40%}	67.507 ^{*40%}	71.051 [*]	69.207 ^{20,40%}	70.599 ^{40%}	72.236 ^{40%}	76.669
	SD	3.394	3.356	4.697	4.018	3.187	2.844	2.912	3.576
TB	M	36.736 ^{40%}	36.682 ^{40%}	34.451 ^{40%}	29.616	36.752	37.195 ^{40%}	35.503	33.088
	SD	2.273	2.426	4.852	6.168	2.508	3.268	3.603	5.458
TP	M	29.69 ^{*40%}	31.201 ^{40%}	33.056 ^{*40%}	41.435	32.454 ^{20,40%}	33.404 ^{40%}	36.733 ^{40%}	43.580
	SD	2.513	4.144	4.642	4.965	1.911	3.909	4.896	4.283

Table 2.

Parameters	Fs	p <	Fo	p <	Fsxo	p <
P2	141.376	0.01	59.864	0.01	30.121	0.01
P3	33.431	0.01	29.705	0.01	12.123	0.01
P4	345.174	0.01	13.191	0.01	16.572	0.01
TS	81.545	0.01	16.305	0.01	7.804	0.01
TB	4.928	-	6.880	0.01	1.881	-
TP	17.774	0.01	28.877	0.01	0.718	-