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Baseline measures are altered in biomechanical studies

Nicholas Stergiou

University of Nebraska at Omaha, nstergiou@unomaha.edu

Melissa Scott

University of Nebraska at Omaha

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1 **Short Communication**

2 **Baseline measures are altered in biomechanical studies**

3 Nicholas Stergiou and Melissa M. Scott*

4 HPER Biomechanics Laboratory, University of Nebraska, Omaha, NE 68182-0216

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17 *Corresponding author.

18 Melissa M. Scott

19 HPER Biomechanics Laboratory

20 University of Nebraska at Omaha

21 6001 Dodge Street, Omaha, NE 68182-0216, USA,

22 Tel.: 402-554-2670; Fax: 402-554-3693

23 E-mail: mmscott@mail.unomaha.edu.

24 **Abstract**

25 The purpose of this investigation was to examine if baseline measures are altered
26 between conditions in biomechanical studies and to determine the need for baseline
27 measurements in biomechanics. Ten runners were asked to run at varying speeds and
28 obstacle heights. Baseline measures were acquired between all conditions. Right lower
29 extremity kinematic and kinetic data were collected for all baseline trials and evaluated
30 by both a group and a single subject analysis. The group analysis revealed significant
31 differences between baselines only for the obstacle perturbation. The single subject
32 analysis indicated that baseline measures are altered in a greater degree for kinematics
33 than kinetics. These findings suggested that baseline measures are altered between
34 conditions in biomechanical studies, and they should be used when a repeated measures
35 or a single subject experimental design is being utilized.

36

37 **Keywords:** biomechanical experimental designs, baseline measures, obstacle, speed,
38 locomotion.

39

40

41 **Introduction**

42 **Paragraph 1.** Often biomechanists measure the average performance within a
43 group of individuals and generalize this information to a larger population without regard
44 to how any given individual performed. For example, biomechanists have attempted to
45 establish the norm for the average runner. Measuring the average performance within a
46 group of individuals provides information on the distribution of behavior within the
47 group. Given the methods by which individuals were selected to be in the group, can
48 provide probability statements about the average performance within the larger
49 population represented by that group. However, such designs do not provide information
50 about how any given individual performed or might perform in the future (Bates, 1996;
51 Dufek, Bates, Stergiou, James, 1995a). This observation coupled with the need in
52 medicine to evaluate each patient and thus provide an individual with a specific program
53 for injury prevention or rehabilitation, support the use of Single Subject (SS) designs.
54 The question of generalizability of the effect for other subjects in the population can then
55 be approached by succeeding investigations using additional subjects.

56 **Paragraph 2.** Although the usage and need for SS designs in biomechanical
57 studies has been demonstrated by Bates and colleagues (Dufek et al., 1991, 1995b; Bates,
58 1996), their work has not addressed the topic of baseline measures. The evaluation and
59 usage of baseline data between conditions where an independent variable (speed,
60 footwear, obstacle height, etc.) is manipulated can be critical to the evaluation of
61 treatment effects (Heward, 1987; Matyas & Greenwood, 1990). Thus, the primary
62 purpose for establishing baselines is to use the subject's performance in the absence of
63 the independent variable as an objective basis for evaluating the effects of the

64 independent variable (Heward, 1987; Cooper et al, 1987). In the event that baseline
65 measures are altered by multiple treatments, the results may need to be normalized using
66 the baseline data. Thus, a multiple baseline design allows for the examination of the true
67 treatment effects. In fact, a SS design is not the only experimental method that can
68 benefit from the usage of baselines. This is also the case in any repeated measures type of
69 experimental design (Heward, 1987; Kratochwill, 1992). Baseline adjustments have been
70 used in behavioral studies to assess and account for the cumulative effects of treatment
71 (Gregory, 2002; Schlosser et al, 1998). However, an extensive review of the available
72 literature showed that within the biomechanics discipline baseline measurements have not
73 been used. Therefore, the purpose of our investigation is to examine if baseline measures
74 are altered between conditions in biomechanical studies and to determine the need for
75 baseline measures in biomechanics.

76

77 **Methods**

78 **Paragraph 3.** Ten, male (N = 6) and female (N = 4), runners who had been
79 running a minimum of 10 miles per week for at least one year (mean age: 25.9 yr; mean
80 body mass: 73.45 kg; mean height: 177 cm) ran under two different experimental
81 settings, obstacle heights and speed changes. Before testing, each subject read and signed
82 an informed consent form consistent according to university policy.

83 **Paragraph 4.** On both obstacle and speed experimental settings, the subjects
84 were given time to adjust to the experimental set up. During warm up a comfortable self-
85 selected pace ($\pm 5\%$) was recorded for each participant. The running speed was monitored
86 over a 3-meter interval using a photoelectronic timing system (Lafayette Performance

87 Pack model 63520, Lafayette, IN). Following warm up, a foot placement marker was
88 used before the timed interval to allow for a normal right foot contact on the force
89 platform. This was done to insure stride length was not changed between trials. During
90 all trials right lower extremity, sagittal view (200 Hz), kinematic data was collected using
91 a NEC high-speed video camera interfaced to a real time automated video-based tracking
92 system (Motion Analysis Corporation, Santa Rosa, CA). Reflective markers were placed
93 on the subject's right lower extremity to allow for path tracking. Specifically, the sagittal
94 view markers were placed as follows: a) lateral malleolus, b) knee joint center, and c)
95 greater trochanter. An Advanced Medical Technologies Inc. (AMTI Model OR6-5-1,
96 Arlington, VA) force platform (1000 Hz) was used to collect ground reaction forces.

97 **Paragraph 5.** For the speed experimental setting (Figure 1A), the subjects ran at
98 four different speeds: their comfortable self-selected pace, 10% faster, 10% slower, and
99 20% faster. For the obstacle experimental setting (Figure 1B), the subjects ran at their
100 previously established self-selected pace over obstacles of three different heights: 5%,
101 10% and 15% of their standing height. The obstacles were placed directly before the
102 force platform so the subject had to clear the obstacle with the right leg and land on the
103 force platform. The subjects were instructed to run over the obstacles and avoid jumping
104 over them, ensuring a normal heel-toe running pattern. Each speed and obstacle condition
105 consisted of 10 trials, and the order of presentation of the conditions was randomized.
106 Between conditions, 10 trials of unperturbed running were collected as baselines for both
107 settings (Figure 1). Each trial consisted of a run of approximately forty meters. Data
108 transfer from the cameras to the computer and the qualitative inspection of the force
109 curves allowed for a 1 -1.5 minute inter-trial rest interval. All subjects were able to

110 continue this procedure with no fatigue effects while seventy successful data trials per
111 setting were obtained. The above protocol is presented in detail in Stergiou et al. (1999).
112 One kinetic variable (vertical Ground Reaction Impact Force; GRIF) and one kinematic
113 (Minimum absolute Knee Angle during stance; MKA) were identified for all baseline
114 trials. These two variables were selected because they are widely used in the
115 biomechanical literature. Means for these variables were generated for each baseline
116 (Figure 1). Subject means were calculated across trials for each subject, and group means
117 were calculated across subjects. The baseline group means for GRIF and MKA and from
118 each experimental setting (speed and obstacle) were analyzed using ANOVA with
119 repeated measures ($p < 0.05$) with a Tukey test as post-hoc. The baseline subject means for
120 GRIF and MKA and from each setting were also analyzed with a Single Subject
121 statistical procedure (Model Statistic; Bates, 1996). In this latter procedure and for each
122 subject, the difference between two baseline subject means is compared with the product
123 of the mean standard deviation and a criterion test statistic based on number of trials
124 (Bates et al., 2004).

125

126 **Results**

127 **Paragraph 6.** The ANOVA group analysis revealed mixed results. Specifically,
128 the results indicated no significant differences between the baseline group means for both
129 dependent variables in the speed setting (Table 1). However, significant differences were
130 found in both variables for the obstacle setting indicating an effect on baseline measures.
131 Post-hoc analysis showed significant differences between the first and the last two

132 baselines in the obstacle setting (Table 1). The location of these differences was the same
133 for both the kinematic and the kinetic variable.

134 **Paragraph 7.** The Single Subject analysis revealed significant differences not
135 previously detected by the group analysis. Specifically, the Single Subject comparisons
136 for the kinematic variable showed that 15% and 30% of all baseline subject means
137 comparisons were significantly different for the speed and the obstacle settings,
138 respectively. For the kinetic variable, the results were 13.3% for the speed setting and
139 18.3% for the obstacle setting. The use of Single Subject analysis revealed further
140 evidence that baseline measures are altered.

141

142 **Discussion**

143 **Paragraph 8.** The goal of this investigation was to examine if baseline measures
144 are altered between conditions in biomechanical studies and to determine the need for
145 baseline measures in biomechanics. A kinetic variable (GRIF) and a kinematic variable
146 (MKA) were chosen as two representative parameters in the biomechanical literature.
147 Baseline group means indicated no significant differences in the speed setting for either
148 kinematic or kinetic variables. However, the obstacle setting did show significant
149 differences in both variables. In fact, significant differences were found between the first
150 baseline and last two for MKA and GRIF (Table 1), revealing a decreasing trend for both
151 dependent variables. This suggests an accumulative treatment effect (the varying obstacle
152 height) that would further support the usage of baselines in repeated measures designs in
153 biomechanics. The fact that baselines were influenced differently in the two independent
154 variables (speed and obstacle) maybe due to the biomechanical differences between

155 changing running speed and running over obstacles. Experimental studies (Farley et al.
156 1993) showed that leg compliance is not much influenced by running speed (especially if
157 the speed range is quite small, as the case with the current study). To cope with
158 obstacles, in contrast, larger flight phases could be achieved by a more compliant leg
159 operation during stance (Farley and Gonzalez, 1996) as indicated by an increased amount
160 of leg shortening (larger knee flexion).

161 **Paragraph 9.** The results of the Single Subject comparisons indicated significant
162 differences for both dependent variables (GRIF and MKA) and settings (speed and
163 obstacle). Obstacle perturbation had a larger treatment effect than speed. This was
164 evident by the larger number of baseline subject means comparisons being significantly
165 different (Table 2). Furthermore, the Single Subject analysis showed that this effect was
166 more likely to occur for the kinematic variable (Table 2). Single Subject analysis
167 revealed differences that may have been ignored without its use. Previously, in the group
168 analysis, significant differences were not found in the speed setting. With the use of
169 Single Subject analysis such differences became evident. These findings further support
170 that baselines are altered between treatments and there is a need for baseline
171 measurements in biomechanics.

172 **Paragraph 10.** In summary, when a repeated measures design is being used in
173 biomechanical studies, baseline measures should be incorporated. This should be the
174 case in both group and Single Subject designs and especially in designs when kinematics
175 parameters are used as dependent variables. The present study found that only the
176 obstacle heights during locomotion could generate a larger treatment effect, which
177 warrants the need for addressing the effects of other perturbations on baseline

178 measurements in future studies. Furthermore, future studies should also examine
179 additional dependent variables besides the two used in this study (MKA and GRIF). In
180 conclusion, these findings suggest that baseline measures are altered between conditions
181 and they should be used in biomechanical studies, when a repeated measures or a single
182 subject experimental design is being utilized.
183

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219 joint function during running. Medicine and Science and Sports and Exercise,
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- 221

222 **Figure Captions**

223

224 Figure 1. The experimental protocol used in the Speed (A) and the Obstacle (B)
225 experimental settings. Each baseline consisted of 10 trials of unperturbed running. Each
226 experimental condition (obstacle and speed) consisted of 10 trials. The total number of
227 trials for each setting was 70 trials.

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232 **Figure 1**

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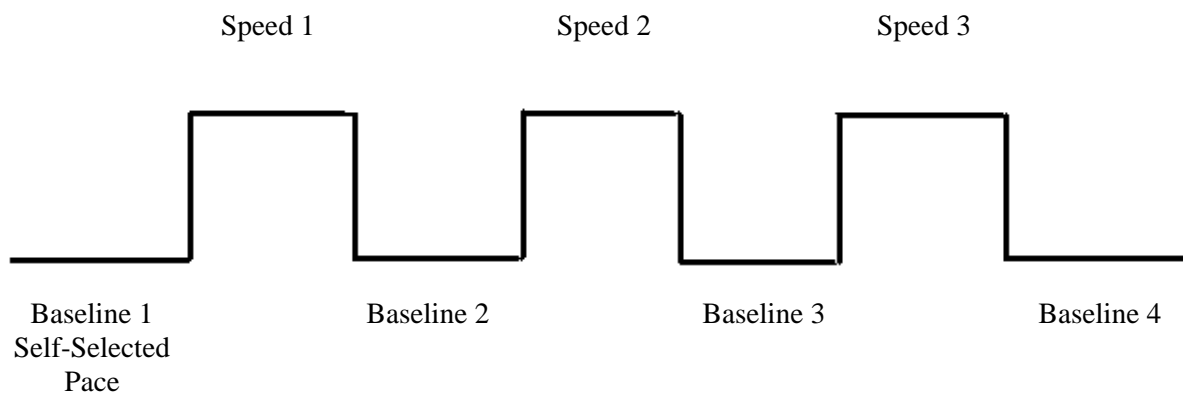
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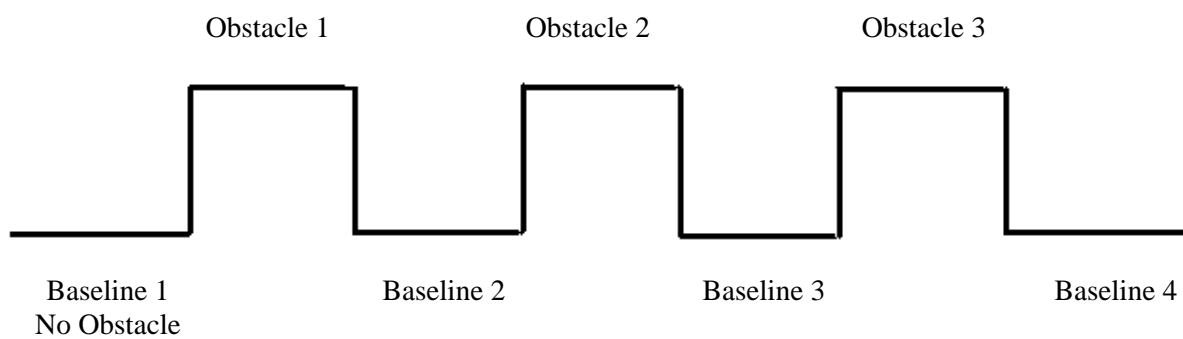
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Table 1

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Table 1: Baseline group means and standard deviations evaluated with superscripts indicating post-hoc significant differences ($p < 0.05$). Note that post-hoc comparisons revealed significant differences in the obstacle setting between the first and third baselines, as well as, between the first and fourth.

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	Speed		Obstacle	
	MKA (deg)	GRIF (N)	MKA (deg)	GRIF (N)
Baseline 1:	138.6±2.8	1.756±0.4	139.95±2.9 ^{base3, base4}	1.813±0.3 ^{base3, base4}
Baseline 2:	138.2±2.7	1.767±0.4	138.94±2.8	1.745±0.3
Baseline 3:	137.9±2.9	1.713±0.3	138.82±2.8	1.709±0.3
Baseline 4:	138.3±2.8	1.749±0.3	138.75±2.8	1.703±0.3

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Table 2

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Table 2: Single Subject results presented as percentages of baseline subject means comparisons that were found significantly different ($p < 0.05$). Note that a larger percentage of baseline subject means comparisons were found significant in the obstacle setting (5% GRIF more than the speed setting) and the effect was even larger for the kinematic variable (15% MKA than the speed setting).

	Speed		Obstacle	
	MKA	GRIF	MKA	GRIF
Percentage	15%	13.30%	30%	18.30%

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