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Stroke Survivors Control the Temporal Structure of Variability During Reaching in Dynamic Environments

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Recommended Citation

Mukherjee, Mukul; Koutakis, Panagiotis; Siu, K.-C.; Fayad, Pierre B.; and Stergiou, Nicholas, "Stroke Survivors Control the Temporal Structure of Variability During Reaching in Dynamic Environments" (2013). *Journal Articles*. 47.

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1 **ABSTRACT**

2 Learning to control forces is known to reduce the amount of movement variability (e.g. standard
3 deviation; SD) while also altering the temporal structure of movement variability (e.g.,
4 approximate entropy; ApEn). Such variability control has not been explored in stroke survivors
5 during reaching movements in dynamic environments. Whether augmented feedback affects
6 such variability control, is also unknown. Chronic stroke survivors, assigned randomly to a
7 control/experimental group, learned reaching movements in a dynamically changing
8 environment while receiving either true feedback of their movement (control) or augmented
9 visual feedback (experimental). Hand movement variability was analyzed using SD and ApEn. A
10 significant change in variability was determined for both SD and ApEn. **Post-hoc tests revealed**
11 **that the significant decrease in SD was not retained after a week. However, the significant**
12 **increase in ApEn, determined on both days of training, showed significant retention effects.** In
13 dynamically changing environments, chronic stroke survivors reduced the amount of movement
14 variability and made their movement patterns less repeatable and possibly more flexible. These
15 changes were not affected by augmented visual feedback. Moreover, the learning patterns
16 characteristically involved the control of the nonlinear dynamics rather than the amount of hand
17 movement variability. **The absence of transfer effects demonstrated that variability control of**
18 **hand movement after a stroke is specific to the task and the environment.**

19
20 **Keywords:** augmented feedback, approximate entropy, upper extremity, nonlinear dynamics,
21 force fields, robotics

1 **INTRODUCTION**

2 As people age, there is a loss of sensorimotor ability. It is known that decrement in
3 sensorimotor performance with aging is associated with a significant increase in the amount of
4 variability (Enoka et al., 2003; Sosnoff and Newell, 2006). In diseases like stroke in which the
5 sensorimotor status of the individual is further compromised, such a decrement in performance is
6 even more pronounced. Stroke is a leading cause of disability (CDC, 2009) and may result in
7 severe impairment of the affected upper extremity despite intensive rehabilitation (Nakayama et
8 al., 1994). This limits the ability to regain functional independence in the activities of daily
9 living. Therefore, the requirement of more effective rehabilitation strategies is strongly desired
10 both by the patients and their caregivers.

11 Robot-aided therapy has been studied in recent times as a promising tool in stroke
12 rehabilitation (Volpe et al., 2005). The advantages of robot-aided therapy include accuracy,
13 repetitiveness and consistency of movement training; the ability to customize according to
14 individual requirements; and a reduction in the time for clinical supervision. Robot-aided therapy
15 can be customized to provide either assistive or resistive forces according to the individual
16 requirement of the subjects. In addition to creating different types of force fields for improving
17 outcome, robot aided therapy can be customized for the upper limb or the lower limb, as well as
18 programmed to overcome different levels of weakness, stiffness or spasticity for practicing
19 movement. It can also be programmed to provide directional force fields depending on the
20 patient's directional limitations.

21 It is known that with practice elderly subjects can improve sensorimotor performance
22 (Bock and Schneider, 2002; Seidler, 2007), and change both the associated amount (i.e. standard
23 deviation; Christou et al., 2007; Kornatz et al., 2005) and the temporal structure of variability

1 (i.e. approximate entropy; Sosnoff and Voudrie, 2009). With practice, elderly subjects
2 demonstrate a decrease in the amount of variability irrespective of the task whereas the change in
3 the temporal structure of variability is task-dependent (Sosnoff and Voudrie, 2009). This raises
4 the question – do such characteristic changes also occur in chronic stroke survivors? In
5 particular, what would happen to the amount and the temporal structure of variability as chronic
6 stroke survivors learn to perform reaching movements in a novel dynamically changing
7 environment?

8 Robot-aided therapy can provide adaptive training to stroke survivors. During adaptive
9 training the subject encounters novel force fields or altered visual feedback and is required to
10 make error corrections during goal directed movements. Recent studies (Mukherjee et al., 2012;
11 Patton et al., 2005) have shown that manipulating the environment to enhance reaching errors in
12 stroke survivors may have potential benefit in stroke rehabilitation. These studies utilized the
13 manipulation of haptic and proprioceptive feedback through the use of velocity dependent
14 (Mukherjee and Liu, 2012; Patton et al., 2005) force fields to show that stroke survivors can
15 learn and improve reaching movements in dynamic environments. However, it is not known how
16 such learning will affect the variability of reaching movements. Augmented feedback,
17 comprising of the utilization of extrinsic information that supplements the subject's internal
18 feedback, has led to promising results in the past in stroke survivors (Frasinetti et al., 2002;
19 Rossetti et al., 1998). However, it is not known whether augmented feedback has an effect on
20 variability during motor learning in stroke survivors.

21 Motor behavior in humans can be explained in terms of the entropy conservation
22 principle (Hong and Newell, 2008). In this principle, within the task-organism-environment
23 framework, entropy is a conserved quantity. During motor task performance, as the task becomes

1 difficult to perform (increased task entropy) and/or when the information from the environment
2 (e.g. visual feedback) is reduced (increased environmental entropy), healthy human subjects
3 show reduced entropy (organism entropy). This reduced entropy is indicative of a constricted
4 approach in which the degrees of freedom of the system are reduced. However, with learning and
5 practice, as the likelihood of meeting the demands of the task are increased due to an improved
6 capacity to utilize available information, the organism entropy increases. Our objective was to
7 determine how the presence of a dynamic task and environmental information could affect the
8 approximate entropy of reaching movements in chronic stroke survivors. This is not only
9 innovative but also clinically important because understanding the interactions between the task,
10 the environment and the chronic stroke survivor is critical from both a mechanistic as well as a
11 rehabilitation standpoint.

12 In this study, we recruited chronic stroke survivors to learn reaching movements with a
13 robotic manipulandum in a velocity-dependent force field. Our first goal was to determine if the
14 training of reaching movements in the novel dynamically changing environment in chronic
15 stroke survivors affected the amount and the temporal structure of hand movement variability.
16 Our second goal was to test if augmented visual feedback affected the amount and the temporal
17 structure of hand movement variability in chronic stroke survivors.

1 **METHODOLOGY**

2 *Study subjects:* Twelve chronic stroke survivors were selected from the local community
3 who attended the stroke clinic of our Medical Center. Before participating in the experiment, all
4 subjects signed an informed consent approved by the Institutional Review Board of our Medical
5 Center. The mean age of the subjects was 62.92 ± 8.07 years and the mean duration of stroke was
6 18.58 ± 12.47 months (table 2). The Fugl-Meyer Assessment²³, which is a stroke-specific and
7 performance-based clinical evaluation and is used to assess motor functioning, sensation and
8 joint proprioception, was performed prior to the experiment (table 2). All subjects were right-
9 hand dominant and four of the subjects were affected on the right side. *Inclusion criteria:* The
10 inclusion criteria included first time diagnosed carotid distribution ischemic, hemorrhagic, or
11 brainstem stroke, at least three months after the incidence, age between 50 and 75 years, a
12 Folstein Mini-Mental score greater than 25 (Folstein et al., 1975) and free of major post-stroke
13 complications (e.g. recurrent stroke, upper limb dislocation or fracture, myocardial infarction).
14 Subjects were screened to select those who had a unilateral lesion and who had at least 20/40
15 corrected vision. *Exclusion criteria:* These included subjects who had subarachnoid hemorrhage,
16 asomatognosia/unilateral neglect, obtunded or comatose, history of fractures or injuries in the
17 upper limb of less than 6 months duration, undergoing botox treatment, a Florida apraxia score
18 less than 27, more than one stroke episode, pain at the time of screening, poorly controlled
19 diabetes, progressive neurological diseases (e.g. Parkinson's disease), peripheral nerve pathology
20 and lived more than 60 miles from our Medical Center.

21 *Study protocol:* The subjects were randomly placed in either an experimental or a control
22 group so that each group had six subjects. Subjects in the experimental group received
23 augmented visual feedback during performance of the motor task but not the subjects in the

1 control group. The subjects were blinded to which group they were randomly assigned. Each
2 subject made two visits to the laboratory on consecutive days followed by a follow up visit
3 within a week of the second visit. Subjects performed target-reaching tasks with the affected
4 hand on all three visiting days. During the experiment, the subject was seated on a chair (figure
5 1) while holding the InMotion2 robotic manipulandum (Interactive Motion Technology, Inc.,
6 Cambridge, MA) with the affected hand. The subject was strapped onto the chair to prevent
7 motion of the trunk while performing the reaching movements. During the experiment, the room
8 was darkened to prevent the subject from viewing the arm position. The subject rested the
9 forearm on a plastic piece that was connected to the manipulandum. This allowed motion only at
10 the shoulder and elbow on a 2-D plane. A computer monitor was placed in front of the subject at
11 a distance of approximately one meter. The subject saw the start position at the center of the
12 screen, 8 target positions (figure 1B) located peripherally and the instantaneous hand position
13 (manipulandum position). Each of these positions was represented by 12mm diameter circles
14 displayed on the computer monitor. On day one, the subject performed the following trials (table
15 1): *familiarization, practice, baseline and experimental trials*. During the *familiarization trials*,
16 the subject was familiarized with the surroundings and the feel of the robotic manipulandum.
17 *Practice trials* were performed so that the subject could make the reaching movement in the
18 desired time of one second. During the *baseline trials* the subject performed reaching movements
19 without any visual/force manipulation of the task. On the second day, the subject performed only
20 the *experimental trials*. Within five days of the second visit, the subject performed *normal*
21 *reaching trials*, which were similar to the *baseline trials*, as well as *dynamic retention trials*,
22 which tested the retention of motor learning of the reaching movements in the dynamic
23 environment.

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INSERT FIGURE 1 HERE

The subject made center out reaching movements to 8 radial targets 15 cm from the start position (figure 1b). Targets were presented one at a time, in a counterclockwise sequence in increments of 45° starting from 0° (target 1) to form a cycle of target reaching movements. In this study, a cycle is defined as a set of 8 target reaching movements or trials, once toward each target in a counterclockwise order of target appearance. *Baseline trials*: The subject performed 5 cycles of reaching movements for a total of 40 baseline trials. Movements were made without any visual or dynamic transformation of the motor task. *Experimental trials*: After the manipulandum was brought to the start position by the robot, an auditory cue “ready” was given to the subject. After 3 seconds the target changed color from red to blue and that was the cue to start the movement. After a period of 1 second the target reverted back to the original color (red). The instruction to the subject was to make a single quick movement to the target. The subject was instructed to finish the movement before the target changed color back to red. Practice trials were performed to achieve the required movement in a time of 1 second. Feedback was provided to the subject to indicate whether the movement was successful, ‘too fast’ (<0.75s) or ‘too slow’ (>1s). After each trial, the robot automatically returned the manipulandum to the start position during which the cursor feedback was absent. This was meant to prevent reinforcing the subject’s internal feedback. There were 30 cycles for a total of 240 experimental trials. *Normal reaching trials*: On the third day, the subject performed 5 cycles of reaching movements for a total of 40 trials similar to the *baseline trials*, without any visual or dynamic transformation of the motor task. *Dynamic Retention trials*: Also on the third day, the subject performed 5 cycles of reaching movements for a total of 40 trials with the force fields. *The force field*: Subjects in both experimental and control groups performed reaching tasks in velocity dependent viscous

1 curl field (Patton et al., 2005). The force field was always orthogonal to the hand velocity and
2 formed a counterclockwise circulating pattern. Forces applied at the hand during the reaching
3 movement are given by the following equation:

$$\begin{bmatrix} F_x \\ F_y \end{bmatrix} = \begin{bmatrix} 0 & -\lambda \\ \lambda & 0 \end{bmatrix} \begin{bmatrix} \dot{x} \\ \dot{y} \end{bmatrix}$$

4
5 where x , y are the two components of the hand velocity along the medial/lateral (x) and
6 proximal/distal (y) directions, F_x and F_y are the x and y components of the force applied by the
7 robot, and λ is a constant whose value is 20 Ns/m (Mukherjee and Liu, 2012).

8 *The augmented visual sensory feedback of movement error:* Only subjects in the
9 experimental group received augmented visual feedback during performance of the reaching task
10 in the velocity dependent force field. The visual feedback augmentation was designed to cause
11 an enhancement of the visual feedback of movement errors. As the subject made the reaching
12 movement in a given trial, the 2-dimensional instantaneous hand position (x and y -axes) was
13 sensed by position sensors in the robot handle. The instantaneous perpendicular deviation from
14 the straight-line path to the target was doubled to create the augmented feedback. In the
15 augmented feedback group, the hand position value in the direction of the target remained the
16 same. The augmented instantaneous hand position was shown to the subject on the visual display
17 monitor.

18 *Data recording:* The manipulandum position in a two-dimensional horizontal plane was
19 recorded at 200 Hz. Data recording started when the visual cue for movement was provided to
20 the subject. *Data processing and analysis:* Raw data was processed using MATLAB
21 (MathWorks, Natick, MA) code developed in our laboratory and statistically analyzed using the
22 SPSS statistical software (SPSS Inc.). The amount of movement variability was analyzed using

1 the standard deviation (SD) of deviation, perpendicular to the direction of movement in each trial
2 (figure 1C). In addition to analyzing the amount of variability, the temporal structure of
3 variability was also explored using nonlinear analysis (Stergiou et al., 2004). The temporal
4 structure of variability was investigated using the nonlinear measure of Approximate Entropy
5 (ApEn).

6 ApEn is a measure of quantifying the predictability or regularity of a time series (Pincus
7 and Goldberger, 1994). The term entropy is defined as the loss of information in a time series or
8 a signal. Over several years, the use of entropy methods to characterize periodicity or regularity
9 in biological data has become popular. In brief, given a time series $f(n) = f(1), f(2), \dots, f(N)$,
10 where N is the total number of data points equally spaced in time, a sequence of m -length vectors
11 (a data segment of length m) is formed. Each m -length vector within the time series is then
12 compared. If the tail and head of the vector fall within a set tolerance, r , or noise filter, the
13 vectors are considered alike. The next procedure is to divide the sum of the logarithm of the total
14 number of like vectors by $N-m+1$. This process is repeated by increasing m by 1 ($m+1$).
15 Subtracting the conditional probabilities of $m+1$ from m then gives us the ApEn value.
16 Practically, ApEn calculates the logarithmic probability that a series of data points, a certain
17 distance apart, exhibit similar relative characteristics on the next incremental comparison within
18 the state space (Pincus and Goldberger, 1994). A time series with similar distances between data
19 points results in lower ApEn values, while large differences in distances between data points
20 results in higher ApEn values. Thus, completely random data will exhibit a value close to two,
21 while completely periodic data (i.e. sine wave) will exhibit a value of zero. Behaviorally, values
22 close to zero represent a behavior that is inflexible and with reduced capacity to adapt
23 characterized by extremely regular movement patterns over time. On the other hand, larger

1 values represent a behavior that is less repeatable and possibly more flexible. The ApEn
2 algorithm was implemented in MatLab where all time series were analyzed (with m , the number
3 of observation windows to be compared = 2 and r , the tolerance factor = 0.2 and N , the number
4 of data points = 200). The perpendicular deviation time series in each trial was used to calculate
5 the ApEn for that trial. Although there are several analytical tools to evaluate the temporal
6 structure of variability like the Lyapunov Exponent (LyE) and Detrended Fluctuation Analysis
7 (DFA), these tools generally require large amounts of data to provide stable results. For example,
8 the number of data points required for LyE calculations vary between 1000 and 10000 and
9 sometimes even higher numbers have been used (Timmer et al., 2000). The number of data
10 points required for ApEn calculation, on the other hand, is much smaller varying between 50 and
11 5000 (Pincus, 2000). This is advantageous when data sets are small like those in the present
12 study.

13 For statistical analysis, the independent variables were the subject group [2 levels – with
14 (experimental group) or without augmented feedback (control group)] and trial type [8 levels –
15 baseline (no forces), early and late trials on day one (with forces), early and late trials on day two
16 (with forces), washout effect on day two (no forces), transfer effect to normal reaching on day
17 three (no forces), retention effect of dynamic control on day three (with forces)]. In each case,
18 the mean of 16 experimental trials was calculated for further analysis. The two dependent
19 variables were the **normalized** SD and ApEn of deviation perpendicular to direction of
20 movement in each trial. Each subject's data was normalized from the baseline performance of
21 that subject. A 2X8 mixed factor ANOVA (group – between subject factor; trial type – within
22 subject factor) was used to identify overall significant differences followed by post-hoc least
23 squared difference tests for determining specific differences. The alpha level was set at 0.05.

1 Due to the small size of our time series we also explored whether the source of variations
2 present in the time series data used for analysis, was deterministic in nature. Surrogation was
3 performed for this purpose (Theiler et al., 1992; Stergiou et al., 2004). In this technique,
4 surrogate data was generated which preserved the structure of the original data set having the
5 same mean, variance and power spectra. Theiler's algorithm was used to generate surrogate data
6 series. Algorithm 0 generated a randomly shuffled data series, Algorithm 1 generated a Fourier
7 transform surrogate and Algorithm 2 generated an amplitude adjusted Fourier transform
8 surrogate. Subsequently ApEn of the original data series was compared with each of the
9 surrogate data series. Significant differences would indicate that the original time series was not
10 randomly derived and therefore was deterministic in nature despite their relatively small length.

1 RESULTS

2 There was no significant difference ($p=0.868$) between the mean age of the subjects in
3 the experimental group (62.5 ± 7.79 years) and the control group (63.33 ± 9.07 years). In addition,
4 there was no significant difference ($p=0.529$) between the mean duration of stroke in the
5 experimental group (16.17 ± 9.70 months) and in the control group (21.00 ± 15.32 months). The
6 mean Fugl-Mayer score for the upper limb was 60.50 ± 18.37 (sensory and motor) in the
7 experimental group and 61.83 ± 16.47 in the control group. These scores were not significantly
8 different ($p=0.885$). In order to determine if movement time was affected by subject groups or
9 trial type, a 2X8 mixed factor ANOVA revealed that there was no main affect of trial type
10 ($p=0.075$) or group ($p=0.603$) and there was no interaction affect ($p=0.351$). The overall
11 movement time at baseline was $0.92\pm0.32s$, at early training on day one, $0.98\pm0.02s$, at late
12 training on day two, $0.93\pm0.04s$ and at retention was $0.90\pm0.08s$.

13 The raw data representing perpendicular distance (in meters) from the straight line path to
14 the target for a set of 240 trials in one stroke subject (figure 2A) reveal a reducing trend in
15 amplitude over time. The amount of variability in the system shown by the SD of the data series
16 in figure 2A, also shows a reducing trend over time (figure 2B). However, the temporal structure
17 of movement variability, shown by calculating the ApEn of the data series in figure 2A, shows
18 an increasing trend over time (figure 2C), demonstrating the two different aspects of variability
19 and how important is to study both since they behave in strikingly different manners.

20 INSERT FIGURE 2 HERE

21 A significant main effect of trial type was shown ($F_{7,35}=3.911$; $p=0.001$) for normalized
22 SD; however, there was no group or interaction effect. A significant main effect of trial type was
23 also shown ($F_{7,35}=4.889$; $p=0.000$) for ApEn; however, there was no group or interaction effect.

1 Post-hoc tests (Figure 4) revealed that there was a borderline increase in the normalized ApEn
2 from baseline to the late trials of day one ($p=0.052$) and the early trials of day two ($p=0.052$),
3 from the early to late trials of day one ($p=0.015$), from early trials of day one to that of day two
4 ($p=0.001$) and from early trials of day one to late trials of day two ($p=0.024$). An increase in
5 ApEn means that movement patterns became less repeatable or more predictable with time.
6 There was a significant washout, i.e., a decrease in normalized ApEn from late trials of day two
7 to washout trials ($p=0.008$) and retention, i.e., an increase in normalized ApEn from the early
8 trials of day one to the retention trials ($p=0.039$). There was no significant transfer effect i.e., an
9 increase in normalized ApEn from baseline to the normal reaching trials.

10 Tests of normality were done for the processed SD and ApEn values. Normality was
11 rejected for SD but not ApEn. Therefore nonparametric statistical analysis was done for the SD
12 values. Friedman's test was performed to determine a significant effect of the trial type. A
13 significant effect of the trial type was determined ($\chi^2 [7]=31.500, p=0.000$). A *post-hoc* analysis
14 with Wilcoxon Signed-Rank Tests was conducted (Figure 3). Post-hoc tests revealed that there
15 was an increase in normalized SD from baseline to the early trials of day one ($p=0.003$) and day
16 two ($p=0.032$). There was a decrease in normalized SD from the early to late trials of day one
17 ($p=0.012$), from the early trials of day one to that of day two ($p=0.016$), and from the early trials
18 of day one to the late trials of day two ($p=0.038$). A reduction in normalized SD means that the
19 amount of variability reduced over time. There was a significant washout effect i.e., an increase
20 in normalized SD from late trials of day two to washout trials ($p=0.026$). There were no
21 significant retention or transfer effects. In fact the retention trials showed a significant increase
22 from the late trials of day two to the retention trials ($p=0.006$), which meant that the significant
23 reduction in normalized ApEn attained at the end of day two was not retained after one week.

1 **DISCUSSION**

2 Our first goal was to determine if motor training of reaching movements in the novel
3 dynamic environment in chronic stroke survivors affected the amount and structure of hand
4 movement variability. Our second goal was to test if augmented visual feedback affected the
5 amount and the temporal structure of hand movement variability in chronic stroke survivors.

6 *Changes in the amount of hand movement variability:* In terms of changes in the amount
7 of variability with motor training, the chronic stroke survivors demonstrated reduction in
8 variability on the first day and were able to retain this reduction on the second day. However,
9 they did not show retention of the reduction in the amount of variability on the third visit or its
10 transfer to a task without the force field. In fact the retention trials were significantly increased in
11 comparison to the reduction in normalized SD achieved after two days of training. These results
12 demonstrate that for this specific task, motor training does not lead to a reduction in the amount
13 of variability. However, this could also mean that the affected arm of the stroke subject made
14 controlling the amount of variability difficult. Chronic stroke survivors demonstrate significantly
15 high amounts of variability during upper limb motor tasks (Scheidt and Stoekmann, 2007;
16 Reinkensmeyer et al., 2003). One of the reasons why the amount of force variability is high is
17 because of enhanced motor unit firing rate variability (Laidlaw et al., 2000; Moritz et al., 2005).
18 Moreover, practice/training of an upper limb task has been shown to reduce the motor unit firing
19 rate variability in older adults (Griffin et al., 2009). However, the specific task of target reaching
20 by overcoming a deviating force field in chronic stroke survivors may depend on a control
21 mechanism that requires more than a mere reduction of the amount of variability. We believe
22 that this information may arise from investigating the temporal structure of motor variability and

1 the nonlinear dynamics of these movement patterns which has been known to provide important
2 information about the function of the neuromuscular system (Stergiou et al., 2004).

3 *Changes in the temporal structure of hand movement variability:* Significant changes in
4 the repeatability of hand movement patterns were demonstrated not only for the individual days
5 but in addition, retention effects were also shown. This shows that the temporal structure (instead
6 of the amount) of hand movement variability is a more sensitive indicator in chronic stroke
7 survivors of motor training of reaching movements in dynamic environments. However, these
8 observations may also be specific to the type of task given to the chronic stroke survivors.
9 **Specifically because transfer effects were absent for both variability measures.** It has been shown
10 previously that the temporal structure of variability during an upper limb motor task may follow
11 separate tendencies depending upon the task (Sosnoff and Voudrie, 2009). In that study, it was
12 shown that if the task comprised of maintaining a constant force, the temporal structure of
13 variability changed as revealed by an increase in ApEn (the motor output became less repeatable)
14 whereas, if the task was cyclic or rhythmic, it lead to a decrease in ApEn (the motor output
15 became more repeatable). The reasoning behind these observations is that each specific task has
16 its inherent degrees of freedom (Newell and Vaillancourt, 2001) and to perform each task
17 successfully, the motor output needs to be specific for the given task. Tasks that require a
18 constant motor output need lower levels of dynamics than those tasks that are cyclic (Newell,
19 Broderick, Deutsch, and Slifkin, 2003; Sosnoff and Voudrie, 2009). Although our task did not
20 require a constant motor output (e.g., constant force) to perform the task successfully, it was not
21 a cyclic task either. This was because the subject had to reach a different target on each trial.
22 However, before starting the experiment, the subject had been given practice to complete the
23 reaching movement in a constant time (not too fast/slow). This meant that the subject maintained

1 the same velocity profile on each trial. Since the force field was velocity-dependent, this meant
2 that in each trial, the subject encountered similar force field patterns. This may be the reason why
3 the stroke survivors in our study demonstrated similar temporal structure of movement
4 variability to the static force and not the sinusoidal force experiments of Sosnoff and Voudrie
5 (2009). With aging the patterns of physiological functions become more repeatable (Lipsitz and
6 Goldberger, 1992). However, with practice, motor output patterns can be made less repeatable
7 and this effect depends on the type of task performed (Sosnoff and Voudrie, 2009). Our data
8 demonstrates that even chronic stroke survivors, with practice, can learn to make movement
9 patterns less repeatable and possibly more flexible.

10 *The effect of augmented feedback on the amount and temporal structure of hand*
11 *movement variability:* When extrinsic information is provided to a subject, motor output patterns
12 become less repeatable (Hong and Newell, 2008). Conversely, when less information is available
13 or when the resolution of this external/augmented information is low, movement patterns
14 become more repeatable and regular (Kuznetsov and Riley, 2010). In our study, there was no
15 effect of augmented feedback on either the amount or the temporal structure of hand movement
16 variability. The reason for this could be the nature of the available information. In the three cited
17 studies (Hong and Newell, 2008; Kuznetsov and Riley, 2010), the environmental information
18 was specific to the magnitude of isometric force. As the subject increased or reduced the
19 isometric force, the feedback shifted proportionately. The feedback was specific to the task,
20 which was to maintain a constant force. Our feedback was instead an indirect effect of force
21 perturbation. What the subject visualized was the hand deviation during the reaching task as a
22 result of the force perturbation. Although the visual feedback of hand position was manipulated

1 between the groups, the magnitude of information was not manipulated. Moreover, our task was
2 dynamic as opposed to those studies, which had static tasks.

3 Human motor behavior has been shown to conserve entropy within the task-organism-
4 environment framework (Hong and Newell, 2008). In those studies it was shown when healthy
5 human subjects performed isometric contractions matched to a target force with their index
6 finger, the approximate entropy of their force output was related to the entropy of the
7 environmental feedback and the entropy of the task. When the task became difficult to perform
8 as in matching variable forces (increased task entropy) or when the information from the
9 environment (e.g. frequency of visual feedback) was reduced (increased environmental entropy),
10 healthy human subjects showed reduced entropy. This reduced entropy is demonstrative of the
11 subjects employing limited coordination patterns or reduced degrees of freedom for performing
12 the specific task. However, with learning and practice, the same task with the same
13 environmental information can lead to increased entropy. This is because as the likelihood of
14 meeting the demands of the task are increased due to an improved capacity to utilize available
15 information.

16 During the training paradigm, as the context of the environment changes, e.g., from
17 dynamic to non-dynamic and vice versa, we observe changes in both the amount and structure of
18 variability. Specifically for patient populations, as they try to learn “new” movement patterns,
19 they must move from the present state of abnormal movements to a different state where
20 movement is performed more normally. It has been proposed that in rehabilitation, for new stable
21 movements to emerge from learned abnormal movements, it must pass through a critical
22 threshold where instability is high (Harbourne and Stergiou, 2009). It is at this critical threshold
23 where “new” sensorimotor relationships are being learned for the novel dynamic environment, a

1 highly unstable region, that variability control may play a crucial role. In addition, it is also
2 proposed that normal human movements require an optimal level of variability (Harbourne and
3 Stergiou, 2009). Patient populations, in order to get rehabilitated, need to strive towards their
4 optimal level of variability.

5 In summary, we have shown that chronic stroke survivors use similar control strategies as
6 healthy individuals for learning reaching tasks in dynamically changing environments by
7 reducing the amount of movement variability and making the hand movement patterns less
8 repeatable during dynamic tasks. We also demonstrated that the control of hand movement as it
9 is revealed by the variability analysis may not be affected by augmented visual feedback.
10 Moreover, the learning of reaching tasks in dynamically changing environments for chronic
11 stroke survivors may involve to a larger extent the control of the nonlinear dynamics of the
12 movement patterns performed rather than simply the amount of hand movement variability.
13 Finally, variability control of hand movement after a stroke is specific to the task and the
14 environment. A limitation in this study is that healthy control data is absent for comparisons
15 however, current studies are investigating this question. It would be interesting to investigate
16 how the control of nonlinear dynamics of the movement patterns is affected in variable
17 environments; however, this will be a future line of research.

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1 **ACKNOWLEDGEMENTS**

2 This research was funded by grants awarded to Dr. Mukul Mukherjee by the American
3 Heart Association (#0820136Z) and the Alzheimer’s Association and to Dr. Stergiou by the US
4 Dept. of Education/NIDRR (H133G080023).

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Table 1. The description of trials performed in the study.

S.No.	TRIAL TYPE	Description	Number of trials
1.	Familiarization (Day 1)	The subjects were familiarized with the feel of moving the manipulandum within the workspace and also interacting with the visual display.	*****
2.	Practice Trials (Day 1)	Training was provided to perform the reaching task within a specific time period.	80 trials
3.	Baseline Trials (Day 1)	Subjects performed target-reaching movements in an environment without any force fields.	40 trials
4.	Experimental Trials (Day 1)	Subjects performed target-reaching movements in an environment having a velocity-dependent force field. Those in the experimental group received augmented visual feedback.	240 trials
5.	Experimental Trials (Day 2)	*****same as above*****	240 trials
6.	Washout Trials (Day 2)	The trials were similar to baseline trials (no force-fields) and were done to test de-adaptive/context switching ability. They were also done for the testing of reaching ability (1 week later) without any influence of the force fields.	40 trials
7.	Normal Reaching Trials (Day 3) <i>Transfer Effects</i>	The transfer effect tested any improvement in reaching ability in a non-dynamic environment (without any force fields) as a result of training in a dynamic environment. These trials were similar to the baseline trials and were performed a week after Day 2.	40 trials
8.	Dynamic Retention (Day 3)	This was any improvement in reaching ability in the dynamic environment determined a week after Day 2.	40 trials

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1 Table 2. Demographics of the chronic stroke survivors in the study. The study groups are Control and Augmented Feedback (Aug-
 2 Fdbk). The last column indicates the Fugl-Mayer score for the upper limb. The maximum possible sensory + motor score for the upper
 3 limb is 78.
 4

Subject No.	Age (yrs)	Sex	Stroke duration (months)	Type of stroke	Side Affected	Study Group	FM Score
s1001	51	M	43	Ischaemic	L	Control	31
s1002	53	M	28	Haemorrhagic	L	Aug-Fdbk	43
s1003	53	M	9	Ischaemic	R	Aug-Fdbk	69
s1004	68	M	21	Ischaemic	L	Control	59
s1005	65	F	13	Ischaemic	L	Aug-Fdbk	77
s1006	60	M	8	Ischaemic	L	Control	62
s1007	71	M	35	Ischaemic	L	Control	75
s1008	74	M	15	Ischaemic	R	Control	69
s1009	71	M	29	Ischaemic	R	Aug-Fdbk	32
s1010	64	M	10	Ischaemic	R	Aug-Fdbk	67
s1011	69	F	8	Ischaemic	L	Aug-Fdbk	74
s1012	56	M	4	Ischaemic	R	Control	75

1 **Figure Captions**

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3 Figure 1. A) A schematic view from the top of the subject showing the orientation of the arm and
4 forearm segments, the trunk strapped on to the chair, and the hand holding the manipulandum
5 which is connected to the robot. B) A grid of 8 targets for center-out reaching movements in
6 counterclockwise order from 1 to 8 (increments of 45°) holding the 2-joint robotic
7 manipulandum. C) Top view of a subject holding the manipulandum. D) Side view of a subject
8 holding the manipulandum and viewing the display monitor. E) The perpendicular deviation
9 from the straight line path to the actual trajectory of the trial is used to calculate the dependent
10 variables – standard deviation and approximate entropy. F) Trajectory Figures of one stroke
11 subject in the *Baseline, Early Training* and *Late Training* Conditions.

12 Figure 2. A single stroke subjects data. A) *Raw Data*: Perpendicular distance (in meters) from
13 the straight line path to the target for a set of 240 trials. Each trial consisted of 200 data points.
14 48000 data points are shown for a single subject on one day of the experiment. B) *Standard*
15 *Deviation*: Each circle represents the standard deviation of the perpendicular distance data series
16 for each trial. C) *Approximate Entropy*: Each circle represents the Approximate Entropy of the
17 perpendicular distance data series for each trial.

18 Figure 3. Bar chart showing the mean of the normalized standard deviation values of all the
19 subjects (both groups combined) for all the experimental conditions: early and late trials on day
20 one and two, washout effect on day two, transfer effect to normal reaching and dynamic
21 retention on day three. Error bars are standard deviation. *In comparison to the baseline, #In
22 comparison to the early trials of day one, φIn comparison to the late trials of day two, single
23 symbols indicate $p < 0.05$, double symbols indicate $p < 0.005$.

1 Figure 4. Bar chart showing the mean of the normalized Approximate Entropy values of all the
2 subjects (both groups combined) for all the experimental conditions: early and late trials on day
3 one and two, washout effect on day two, transfer effect to normal reaching and dynamic
4 retention on day three. Error bars are standard deviation. *In comparison to the baseline, #In
5 comparison to the early trials of day one, ϕ In comparison to the late trials of day two, single
6 symbols indicate $p < 0.05$, double symbols indicate $p < 0.005$, triple symbols indicate $p < 0.001$.

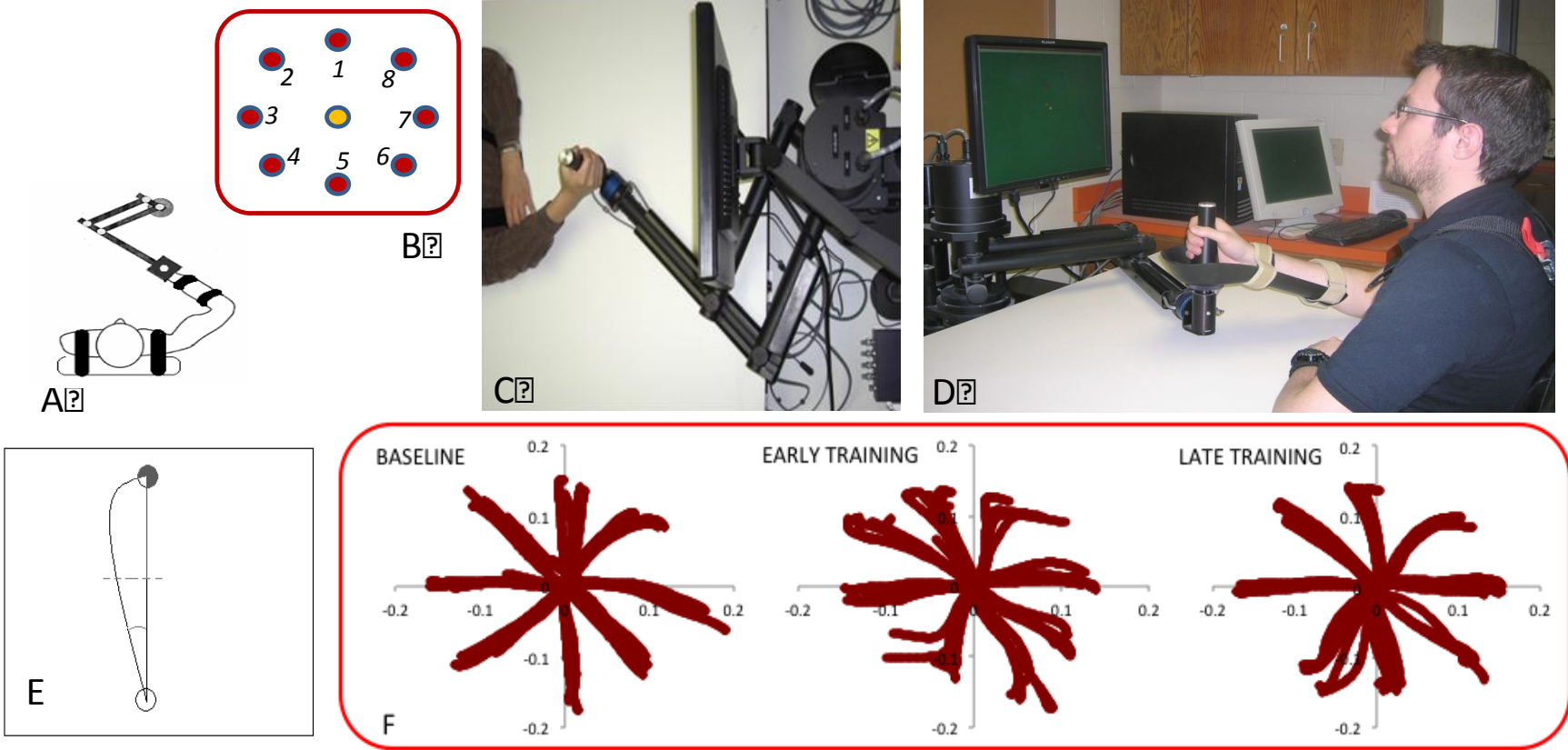
7 Figure 5. A single stroke subjects data. A) *Raw Data*: Perpendicular distance (in meters) from
8 the straight-line path to the target for a set of 240 trials. Each trial consisted of 200 data points.
9 48000 data points are shown for a single subject on one day of the experiment. B) *Theiler's*
10 *Surrogation*: The A0 surrogate data series obtained from the raw data shown in A. C) *Theiler's*
11 *Surrogation*: The A1 surrogate data series obtained from the raw data shown in A. D) *Theiler's*
12 *Surrogation*: The A2 surrogate data series obtained from the raw data shown in A.

13 Figure 6. Mean Approximate Entropy values for the raw and surrogate data of all the subjects.
14 The perpendicular distance (in meters) of hand location from the straight line path to the target
15 for a set of 240 trials on day one was used for analysis. The surrogate data were obtained using
16 *Theiler's algorithm*. Approximate Entropy values were calculated for the raw and A0, A1 and
17 A2 surrogate data. The figure shows significant differences at $p < 0.001$ for comparisons between
18 raw ApEn and ApEn for each of the surrogate data.

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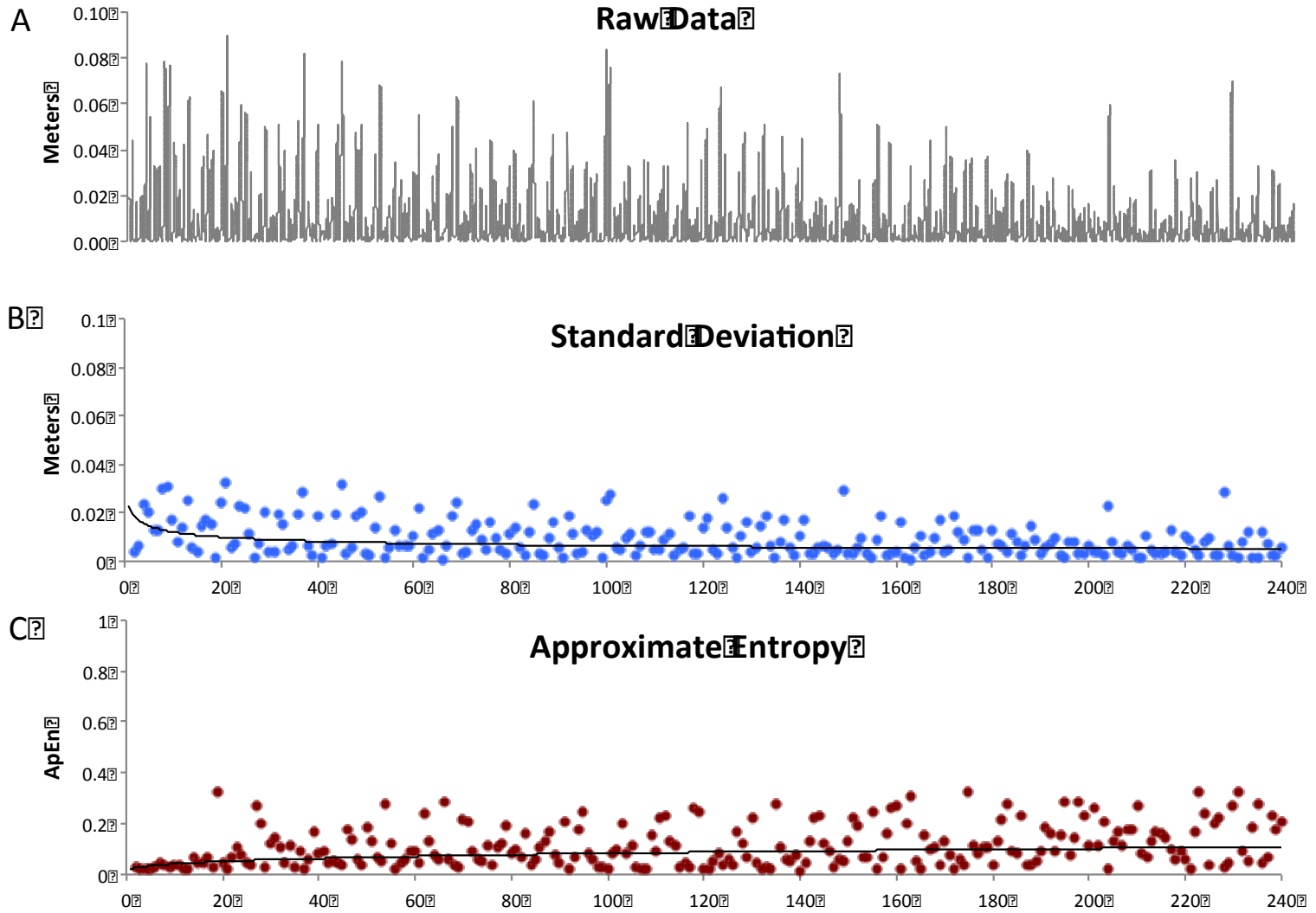


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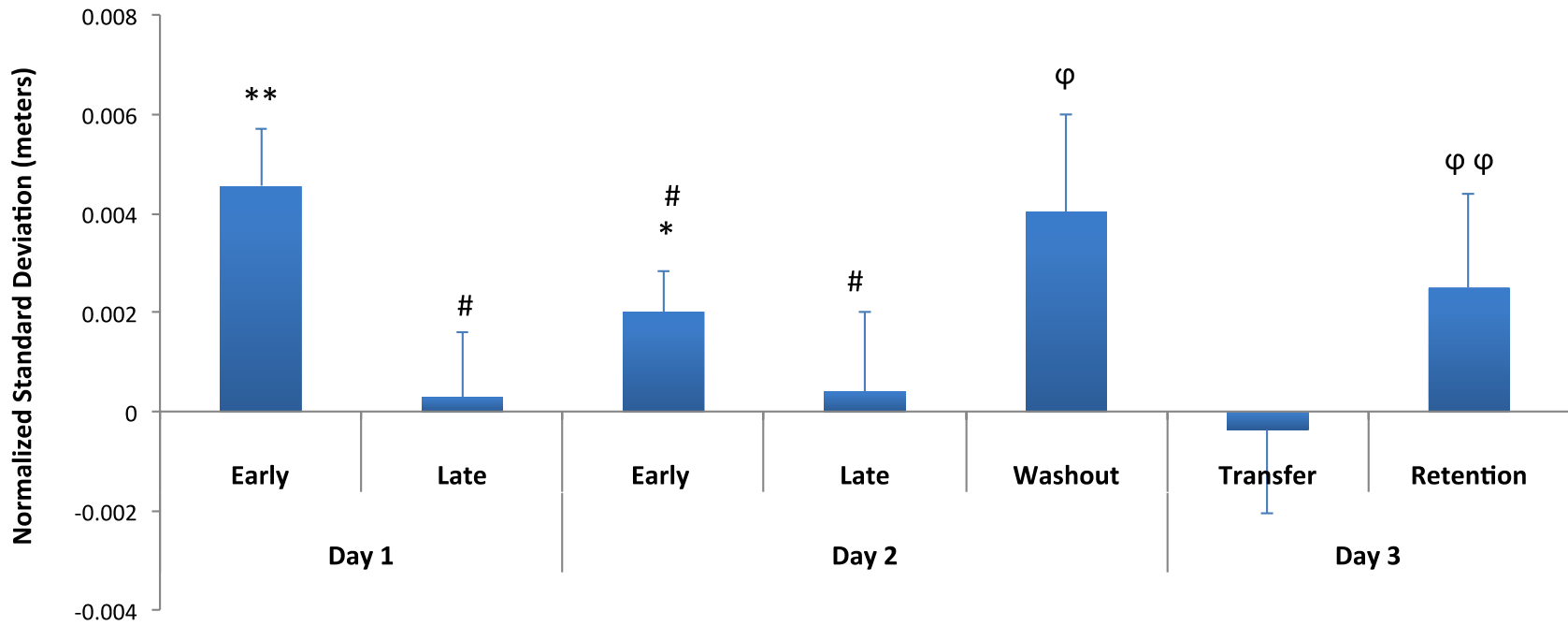
5 **Figure 1.**

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12 **Figure 2.**
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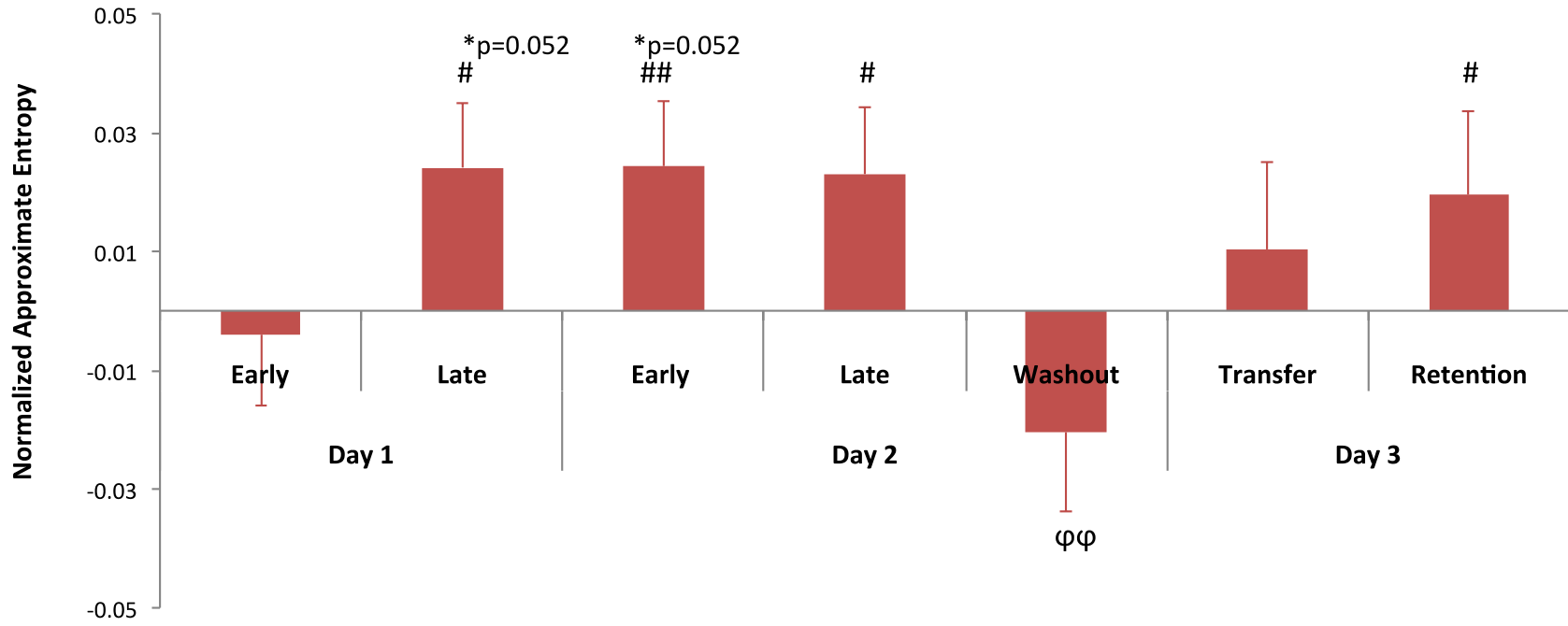
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Figure 3.

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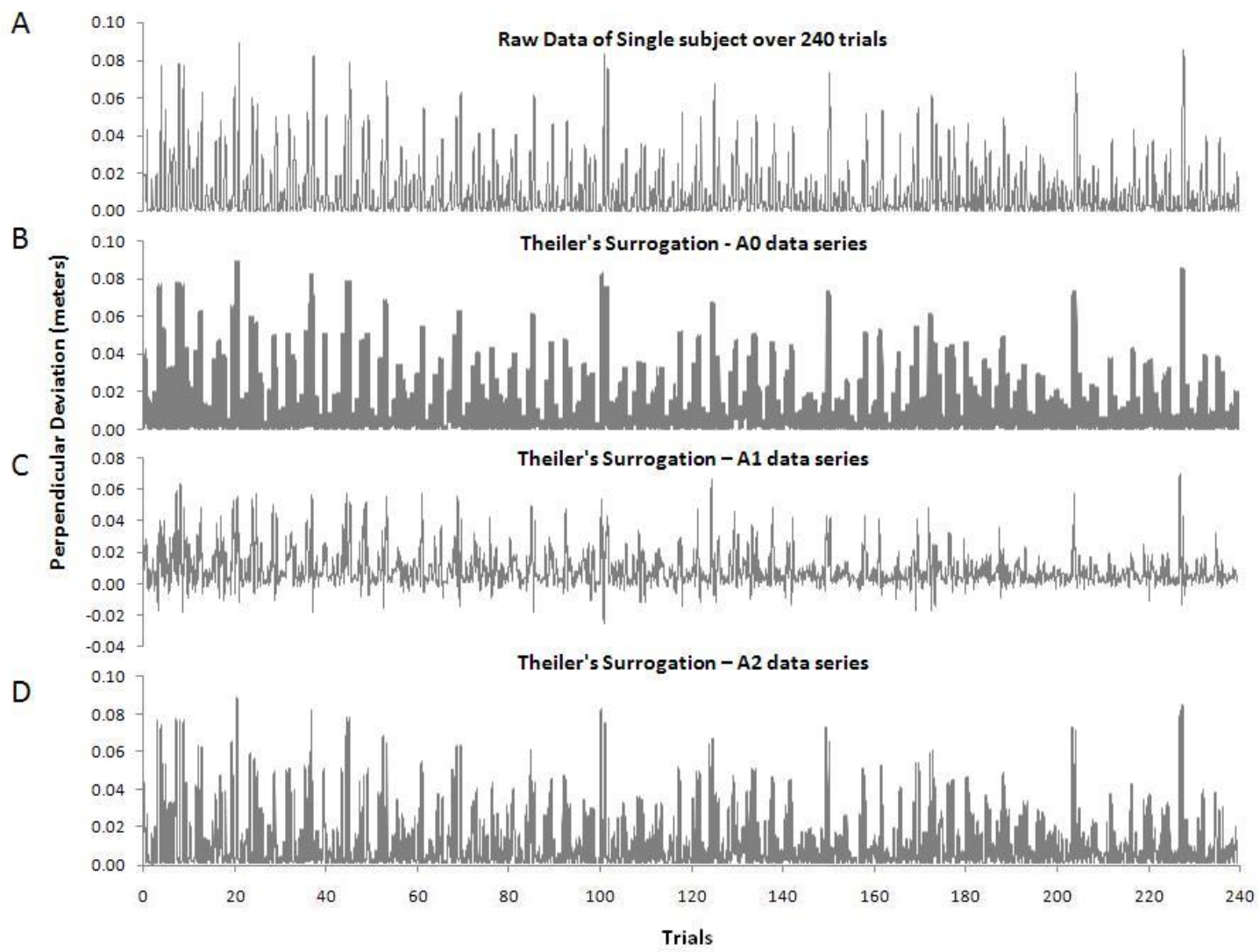
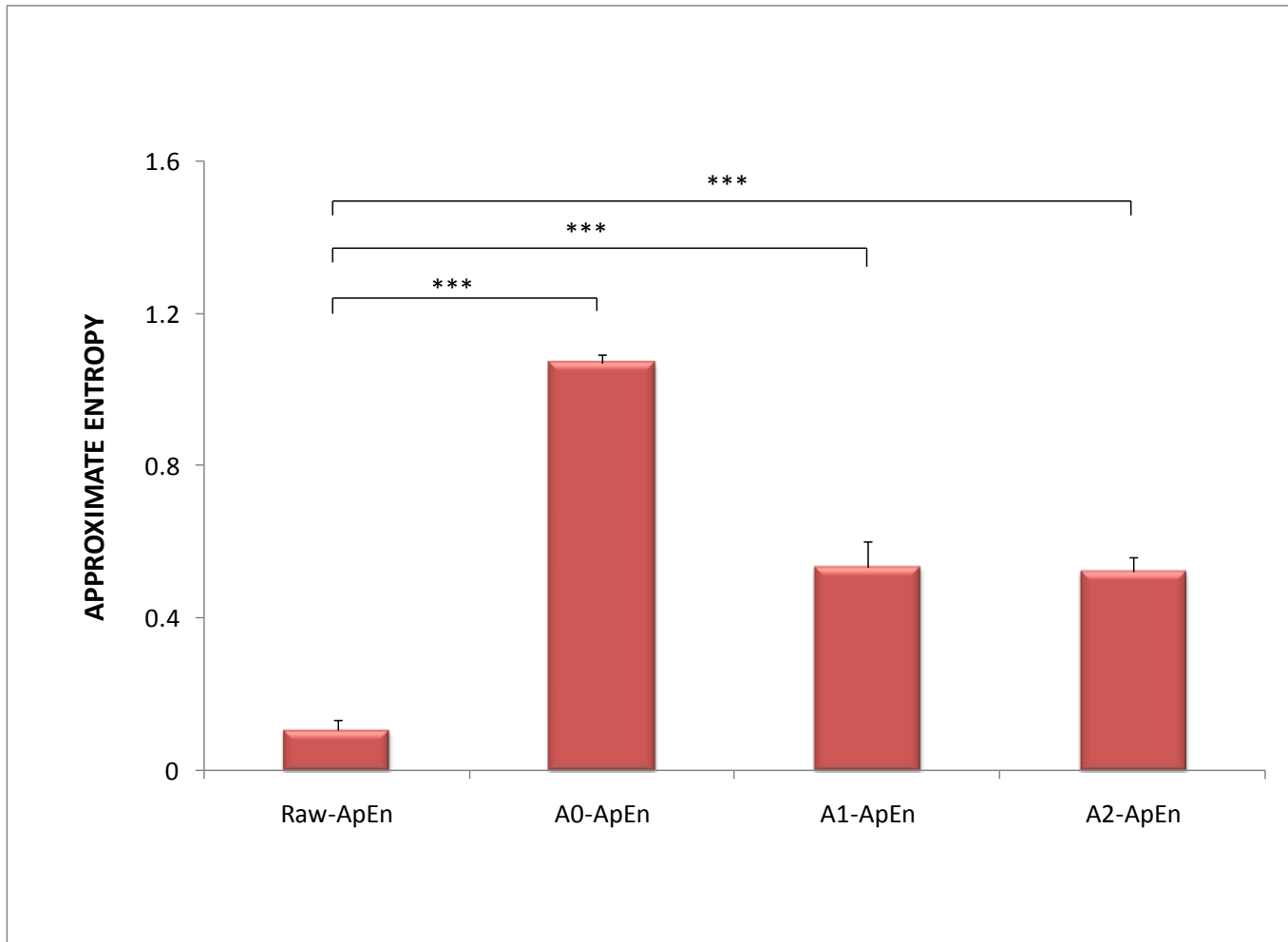


Figure 5.

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4 **Figure 6.**