

Western Washington University
Western CEDAR

WWU Graduate School Collection

WWU Graduate and Undergraduate Scholarship

2013

# The effects of respiratory muscle strength training on individuals with Parkinson's disease

Elizabeth A.S. (Elizabeth Ann Stevenson) Lewis Western Washington University

Follow this and additional works at: https://cedar.wwu.edu/wwuet

Part of the Communication Sciences and Disorders Commons

#### **Recommended Citation**

Lewis, Elizabeth A.S. (Elizabeth Ann Stevenson), "The effects of respiratory muscle strength training on individuals with Parkinson's disease" (2013). *WWU Graduate School Collection*. 329. https://cedar.wwu.edu/wwuet/329

This Masters Thesis is brought to you for free and open access by the WWU Graduate and Undergraduate Scholarship at Western CEDAR. It has been accepted for inclusion in WWU Graduate School Collection by an authorized administrator of Western CEDAR. For more information, please contact westerncedar@wwu.edu.

The Effects of Respiratory Muscle Strength Training on Individuals with Parkinson's Disease

By

Elizabeth Lewis

Accepted in Partial Completion Of the Requirements for the Degree Master of Arts

Kathleen L. Kitto, Dean of the Graduate School

## ADVISORY COMMITTEE

Chair, Dr. Barbara Mathers-Schmidt

Dr. Lina Zeine

Dr. Michael Fraas

#### **MASTER'S THESIS**

In presenting this thesis in partial fulfillment of the requirements for a master's degree at Western Washington University, I grant to Western Washington University the non-exclusive royalty-free right to archive, reproduce, distribute, and display the thesis in any and all forms, including electronic format, via any digital library mechanisms maintained by WWU.

I represent and warrant this is my original work, and does not infringe or violate any rights of others. I warrant that I have obtained written permissions from the owner of any third party copyrighted material included in these files.

I acknowledge that I retain ownership rights to the copyright of this work, including but not limited to the right to use all or part of this work in future works, such as articles or books.

Library users are granted permission for individual, research and non-commercial reproduction of this work for educational purposes only. Any further digital posting of this document requires specific permission from the author.

Any copying or publication of this thesis for commercial purposes, or for financial gain, is not allowed without my written permission.

Elizabeth Lewis May 10, 2013

# THE EFFECTS OF RESPIRATORY MUSCLE STRENGTH TRAINING ON INDIVIDUALS WITH PARKINSON'S DISEASE

A Thesis Presented to The Faculty of Western Washington University

In Partial Fulfillment Of the Requirements for the Degree Master of Arts

> By Elizabeth Lewis May 2013

#### Abstract

The purpose of this study was to determine if respiratory muscle strength training (RMST) results in increased measures of respiratory function, improved vocal quality, and improved quality of life as perceived by the participants. Data obtained by this study adds to the body of knowledge regarding clinical use of RMST for SLPs working with patients with Parkinson's disease (PD). The study was comprised of a 13-week ABAB within-subject design with a baseline period, five weeks of respiratory training (Phase 1), three weeks without training (Detraining Phase), and five weeks with training (Phase 2). Three individuals with moderate PD (1M, 2F) participated. They were taught to use the PowerLung<sup>®</sup> respiratory training device and completed twice daily sessions of expiratory and inspiratory muscle training, five days per week.

Outcome measures included maximum inspiratory and expiratory pressures (MIP and MEP, respectively); forced vital capacity (FVC), percent predicted forced vital capacity (FVC%), and forced expiratory volume in 1 second (FEV<sub>1</sub>); and sustained vowel phonation. Measurements were taken at baseline, before and after detraining (where applicable), and at the end of the study. Results indicated sustained or improved maximum respiratory pressures for all participants from baseline to end of study. No participant showed significant changes in FVC, FVC%, and FEV 1, and results of sustained vowel phonation varied.

Participants' vocal quality was evaluated by three independent raters as well as the subjects themselves and two conversation partners. Two CSD graduate students and one certified SLP rated subjects' voices at baseline and at the end of treatment using recorded conversation samples and the GRBAS Voice Rating Scale (Hirano, 1981). Improvement in vocal quality was perceived in two participants, and no change was seen in the other.

iv

Participants and two conversation partners completed the *Perceptual Rating Form* to report on vocal quality. Participants noted improvement in vocal quality; conversation partners reported both improvement and decline in various aspects of two participants' vocal quality.

Participants completed two surveys regarding the impact of their voice on overall communication and quality of life. These surveys, completed at the start of the study and at completion of each phase, were the Voice Handicap Index (VHI) (Jacobson, et al., 1997) and The Communicative Participation Item Bank General Short Form (Baylor et al. [under review]). Responses from participants revealed inconsistent effects of RMST on quality of life.

Results of this study demonstrate that the combination of inspiratory and expiratory muscle strength training in individuals with moderate Parkinson's disease may be a beneficial treatment to improve respiratory function and positively impact vocal quality and overall quality of life as it relates to communicative participation.

Results from this study revealed the potential for additional research on the effects of RMST on pulmonary functions such as vital capacity (VC) and total lung capacity (TLC), which are not dependent on maximum speed and effort. Additionally, further investigation of RMST on quality of life is warranted in areas related to voice and communication, as well as overall physical and emotional wellbeing.

#### Acknowledgements

Many people have offered their support, guidance, and assistance to help me accomplish this thesis. I am extremely grateful and could not have done this without them.

First, I want to thank my family for their support and encouragement. To John and Daniel, especially, thank you for your patience and endurance. Your support allowed me to reach this goal.

My gratitude goes to Dr. Mathers-Schmidt for her help in setting up and carrying out this, my first research study. Without her encouragement and guidance, I would have missed a valuable learning experience. I would like to thank Dr. Zeine and Dr. Fraas for being a part of my Review Committee and for the added assistance they each provided in the process of completing this work.

To my research participants, I thank you for joining me in this endeavor. It was truly a pleasure to work with and learn from each of you. You taught me much about Parkinson's disease and life, and I am better for having known you.

To Tim Kraft I offer my gratitude for his patience and willingness to assist me technologically, even when it was not convenient for him. Yarrow Pospisil and Chelsea Adams served as raters of vocal quality, and I am thankful for their flexibility and collaborative efforts. I want to thank Chelsea further for her assistance entering data, along with Maureen Hagan. Their attention to detail allowed me to progress with confidence.

Finally, thank you to my peers and fellow graduate students, whose encouragement and support sustained me often and helped me to push forward.

# **Table of Contents**

Abstract	iv
Acknowledgements	vi
List of Figures and Tables	viii
Introduction	1
Method	7
Results	12
Discussion	17
Conclusion	27
References	
Appendices	

List	of	Fig	ures
	~-		

Figure 1	
Figure 2	
Figure 3	
List of Tables	
Table 1	7
Table 2	
Table 3	
Table 4	
Table 5	
Table 6	
Table 7	
Table 8	
Table A1	
Table A2	
Table A3	
Table B1	
Table B2	
Table B3	
Table C1	40
Table C2	40
Table C3	40

# List of Appendices

Appendix A	
Appendix B	36
Appendix C	

Parkinson's disease (PD) is a progressive neurologic disorder resulting from reduced dopamine production in the basal ganglia and brainstem. The disease is characterized by resting tremor, muscular rigidity, akinesia and/or bradykinesia, and postural instability (Yorkston, Beukelman, Strand, & Hakel, 2010). Among the sequelae of PD are difficulties with breathing, communicating, swallowing, and coughing, as well as cognitive decline, all of which typically worsen as the disease progresses (Miller, Noble, Jones, & Burn, 2006; Sapienze, Troche, Pitts, & Davenport, 2011). Cognitive deficits may include misperceptions of speech production, emotional responses, and/or time and space, which can present respectively as reduced vocal loudness, overt demonstration of emotion, and changes in gait and/or other motor movements (Hirsch & Farley, 2009; Kwan & Whitehill, 2011).

Although the cause of respiratory dysfunction associated with PD has not been confirmed, Silverman et al. (2006) reported evidence pointing toward lower and upper airway obstruction, lower airway restriction, respiratory muscle weakness, and/or respiratory muscle dysfunction. The authors identified numerous consequences of pulmonary dysfunction: reduced maximal inspiratory and expiratory airflow/pressures, abnormal flow volume loops, reduced peak expiratory airflow rates, decreased vital capacities, and impaired activity of respiratory muscles, particularly the intercostal muscles. They further suggested that persons with PD experience chest wall rigidity, which cannot be overcome due to weakened respiratory muscles. This has been thought to contribute to reduced lung volumes and respiratory pressures that negatively impact swallow, cough, and speech functions.

Speech and voice changes affect approximately 75-90% of persons with PD, interfering with their ability to communicate effectively (Miller at al., 2006; Sapir et al., 2002). Hypokinetic dysarthria is a common consequence of PD, with perceptual features associated with the characteristic reduced range of motion and bradykinesia, which includes slowness of movement and difficulty initiating movement (Brookshire, 2007; Duffy, 2005). According to Duffy (2005) and Yorkston et al. (2010), reduced range of motion contributes to monopitch, monoloudness, short phrases, short rushes of speech, and imprecise consonants. Difficulties in initiating movement may lead to inappropriate silences. Voice abnormalities such as breathiness, voice harshness, and low pitch are consequences of laryngeal musculature rigidity.

Such changes in speech and voice have been shown to negatively impact quality of life in individuals with PD by creating barriers to communicative participation. During interviews conducted by Miller et al. (2006), adults with PD expressed greatest concerns regarding "detrimental effects of the effort required to overcome physical and mental limitations for anything beyond short periods....Communication changes directly impacted socialization, from apprehension at interaction to social withdrawal" (pp. 236-237). These comments reveal the importance of treatment options that promote physical and mental stamina in persons with PD to counteract potential decline in quality of life as the disease progresses.

Qualitative research by Baylor, Burns, Edie, Britton, and Yorkston (2011) further highlights the complexity of managing a disease and its impact on communication and quality of life. Subjects from different patient populations, including those with PD, identified factors that influenced communicative participation, including familiarity with communication partners, effects of the communication disorder and other health symptoms, and environmental influences (e.g. background noise, situations that demanded speed, etc.).

Such reports should prompt SLPs to consider communication treatment as one component of overall disease management for their patients.

Respiratory muscle strength training (RMST) has been proposed as a way to overcome the negative effects of muscle weakness and motor dysfunction that impact communication in persons with PD (Saleem, Sapienza & Okun, 2005; Silverman, et al., 2006; Troche et al., 2010). Adequate respiratory muscle strength is critical to establishing the necessary balance between ventilatory requirements and ventilatory capacity, a balance which is often compromised for persons with neurodegenerative diseases (Sapienza & Wheeler, 2006). Reduced strength and coordination of respiratory muscles, including intercostals, abdominal muscles, and the diaphragm, contribute to low lung volumes and insufficient positive subglottal pressure. The latter is necessary for adequate loudness levels of speech, extended durations of speech, and stress contrasts (Putnam & Hixon, 1987, as cited in Sapienza & Wheeler, 2006). The focus of RMST is to increase the force-generating capacity of respiratory muscles (Sapienze et al., 2011) based on principles of resistance training commonly used to strengthen limb muscles. Respiratory muscles, including the diaphragm, are skeletal muscles and share structural and functional characteristics with limb muscles; therefore, they should respond to training in the same way limb muscles respond when an appropriate physiological load is applied (Enright, Unnithan, Heward, Withnall, & Davies, 2006). Strengthening of limb muscles results in both neural adaptations (i.e. increase motor unit excitability, enhanced coordination, and more efficient motor programming) and muscular adaptations (e.g. increased muscle diameter). The same has been shown to occur in strengthened respiratory muscles (Saleem, Sapienza, & Okun, 2005).

Inspiratory and expiratory muscle strength training (IMST and EMST, respectively) have been studied both separately and together in various populations, including healthy adults (Enright et al., 2006), athletes (Guenette et al., 2006), and persons with chronic obstructive pulmonary disease (Battaglia, Fulgenzi, & Ferrero, 2009), paradoxical vocal fold movement (Mathers-Schmidt & Brilla, 2005), multiple sclerosis (Chiara, Martin, & Sapienza, 2007), and PD (Silverman et al., 2006). Reduced rigidity of the thorax is a particularly beneficial IMST outcome in persons with PD, as strengthened muscles more fully expand the rib cage, thereby increasing lung volumes (Silverman et al., 2006). EMST has been shown to increase positive airflow essential for speech, cough production, and swallowing (Kim & Sapienza, 2005; Sapienze et al., 2011; Troche et al., 2010). It has been suggested that a combination of EMST and IMST may be an effective method of addressing deficits in these various functions, as well as in lung volume and airflow (Battaglia et al., 2009, Silverman et al., 2006).

RMST relies on concentrated and repetitive efforts to maintain existing respiratory muscle function, retrain respiratory muscles whose function has declined, and improve neural connections in the brain (Hirsch & Farley, 2009; Kleim & Jones, 2008; Saleem et al., 2005). For persons with PD, this can mean reduced dyskinesia or bradykinesia of respiratory muscles, which promotes greater control over both inspiration and expiration. Additionally, the principle of transference (Kleim & Jones, 2008) suggests that strengthening of respiratory muscles may increase strength of peripheral muscles and neural connections to them, including muscles of the larynx. This was evidenced by reports from Sapienza (2008) that strength of the diaphragm is positively correlated to strength of the posterior cricoarytenoid muscle (PCA), as control of both muscles occurs in the brainstem. The author suggests a

positive correlation between increased activation of the diaphragm and the PCA with IMST. It could be presumed, then, that increased activation of the PCA would increase the glottal aperture and improve vocal fold vibration. In conjunction with increased excursion of the thoracic cage on both inhalation and exhalation, then, resistance to airflow would reduce, thereby enhancing respiratory support for achieving optimal vocal loudness and quality. Indeed, improvement in vocal quality has been linked to increasing respiratory drive and vocal fold movement in persons with PD (Sapir et al., 2002).

Measurements of maximum inspiratory and expiratory pressures at the mouth (MIP and MEP, respectively) have long been accepted as valid tests of a person's general inspiratory and expiratory abilities (Polkey, Green, & Moxham, 1995). In recent research, pressure threshold training has been the means of strengthening respiratory muscles and increasing maximum pressures (Baker et al., 2005; Kim & Sapienza, 2005; Saleem et al., 2005; Sapienza et al., 2011; Troche et al., 2010). Unlike resistance training, pressure threshold training does not allow the trainee to adjust the airflow rate during the training stimulus. In other words, a steady airflow must be maintained, and training is not susceptible to variations in users' airflow rates (Saleem et al., 2005). According to Enright et al. (2006), failure to maintain an overload on the muscles throughout training accounts for conflicting findings regarding benefits of IMST in previous research. In pressure threshold training, the subject receives short durations of consistent, high intensity exercise targeted specifically at respiratory muscles.

Baker et al. (2005) reported evidence from limb strength training studies that within four weeks of exercise, neural adaptations occur, accounting for significant improvement in strength. Beyond four weeks, strength continues to improve, possibly as a result of

peripheral or structural changes. Furthermore, research has shown that strengthened limb muscles maintain strength for two to four weeks after the end of a training program (Coyle et al., 1984; Hortobagyi et al., 1993; Mujika & Padilla, 2001, as cited in Baker et al., 2005). Baker and colleagues (2005) reported that studies of RMST which included detraining periods showed minimal loss of strength up to two months without training (Baker et al., 2005; Romer & McConnell, 2003) and post-training strength above baseline measurements after six months (Gosselink et al, 2000, as cited in Baker, et al., 2005).

The purpose of this study was to determine if specific inspiratory and expiratory muscle training results in increased measures of respiratory function, primarily MIP and MEP, improved vocal quality, and improved quality of life as perceived by the participants. Data obtained by this study will add to the body of knowledge regarding clinical use of RMST for speech-language pathologists (SLPs) working with patients with PD. It is proposed that exercise programs specifically designed to strengthen respiratory muscles may alter the symptoms of the disease by improving respiratory function, voice quality, and overall quality of life.

The following hypotheses were made in the present study:

- 1. With RMST, participants' MIP/MEP measurements will increase.
- 2. Increased MIP/MEP will result in increased lung volumes and capacity, as measured by FVC, FVC%, and FEV<sub>1</sub>, and sustained vowel phonation.
- 3. Improved respiratory function will lead to improved vocal quality, greater participation in social and communicative interactions, and overall improved quality of life.

#### Method

#### **Participants**

Six individuals with idiopathic Parkinson's disease (2 females, 4 males) initially participated in the study. Three male participants withdrew from the study before its completion, due to scheduling and health complications. Ultimately, three participants completed the study. Table 1 presents the demographic data for these participants.

**Table 1.** Demographics of Participants

Subject	Gender	Age	Height (inches)	Weight (lbs.)	Education	Years since diagnosis	Smoker within 5 years	MoCA Score
P1	М	64	70	225	Bachelor's Degree	3	No	24/30
P2	F	68	63.5	140	Master's degree	8.5	No	29/30
Р3	F	44	65.5	135	High School Diploma	3	Yes (~5 cig/day)	23/30
Mean (SD) Range:		56 ( <u>+</u> 12)	66.75 ( <u>+</u> 3.25)	180 ( <u>+</u> 45)		5.75 ( <u>+</u> 2.75)		26 ( <u>+</u> 3)

Medical clearance for each participant was obtained from his or her primary doctor and/or neurologist prior to the start of research. Structural/functional examination of each participant's oral and facial structures revealed no physical impairments that might interfere with completion of exercises or measurement tasks. Additionally, each participant demonstrated adequate respiratory driving pressure for speech by sustaining a steady respiratory driving pressure of 5cm  $H_2O$  for 5 seconds (Netsell & Hixon, 1978).

The Montreal Cognitive Assessment (MoCA) (Nasreddine, 2010) was administered prior to baseline measurements. This rapid screening instrument assesses cognitive domains of attention and concentration, executive functions, memory, language, visuoconstructional skills, conceptual thinking, calculations, and orientation. A score of 26 or above is considered normal. Participant 2 scored within normal limits, while Participants 1 and 3 scored below normal limits.

Participants were not involved in any other therapy addressing respiratory, speech, or voice function. Participants reported being "on" in their medication cycles at all training and measurement sessions with the examiner, and they were instructed to refrain from altering other exercise programs for the duration of the study.

The research compliance officer of Western Washington University conducted the human subjects review and approved the study. All participants provided informed consent prior to commencing the study.

#### **Materials and Procedure**

The study was comprised of a 13-week ABAB within-subject design with a baseline period, five weeks of respiratory training (Phase 1), three weeks without training (Detraining Phase), and five weeks with training (Phase 2). Training phases consisted of twice daily sessions of expiratory and inspiratory muscle training, using a PowerLung<sup>®</sup> trainer (PowerLung, Inc., Houston, TX), five times per week. Instruction of respiratory muscle strength training, weekly meetings between participants and the examiner, and outcome measurements were performed in a research laboratory, with a noise level of no greater than 20 dB and adequate lighting.

Baker et al. (2005) cited a number of studies in which four-week respiratory muscle strength training regimens were followed with both healthy and patient populations. The four-week duration was based on evidence from limb strength training studies. According to the authors, neural adaptations within the first four weeks appear to be the primary source of increased muscle strength; beyond four weeks, peripheral or structural changes may account for improvements in strength. The current study followed a 5-week protocol to allow for maximum benefit for subjects with compromised respiratory systems and greater potential for strengthening of peripheral laryngeal musculature.

Inclusion of a detraining phase allowed the researcher to examine participant behavior upon removal of treatment. In ABAB design, a return to baseline performance following detraining typically indicates distinct treatment effect (Schiavetti, Metz, & Orlikoff, 2011). However, evidence shows that the effects of skeletal muscle strengthening can last up to four weeks after training has ceased (Coyl et al., 1984; Hortobagyi et al., 1993; Mujika & Padilla, 2001, as cited in Baker et al., 2005). Therefore, in the current study, it was anticipated that participants' maximum respiratory pressures would either be maintained or decline toward baseline measurements with removal of treatment.

#### **RMST** exercise.

PowerLung<sup>®</sup> is a hand-held, spring-loaded device designed to strengthen respiratory muscles and equipped with independently adjustable control dials to set levels of resistance for both inhalation and exhalation. The device is set to a challenging level of resistance, which ideally, according to Enright et al. (2006), is 60-80% of the individual's maximum inspiratory and expiratory pressure abilities. Users must generate enough pressure when inhaling or exhaling to open and maintain opening of a one-way valve, allowing air to pass through the valve. The resistance creates a load against which respiratory muscles must work and overcome, increasing muscle strength and endurance with continued exercise (PowerLung, Inc., 2013).

Using the PowerLung<sup>®</sup>, participants were coached to produce two sets of 10 repetitions for each task (i.e. inhalation and exhalation) per exercise session. One session was

to be completed in the morning and another in the afternoon or evening each day, five days a week, for five weeks. The participants met individually with the examiner once each week. During these meetings, participants demonstrated use of the PowerLung<sup>®</sup>, and the examiner modified the resistance levels according to performance gains, based on manufacturer guidelines.

Participants were seated and wore nose clips when performing RMST exercises. The examiner instructed each participant on the use of the PowerLung<sup>®</sup> and monitored diaphragmatic breathing and adequate lip seal during the exercises. Once a participant demonstrated correct independent use of the device, he/she completed training sessions four days per week at home and one day per week in the university clinic under the supervision of the examiner. Participants kept a daily exercise log for the duration of the study, in which they recorded all RMST exercises as well as other exercises completed at any time during the study and any changes made to resistance levels. The examiner reviewed exercise logs at weekly visits at the university clinic.

#### Outcome measures.

#### **Respiratory function measurements.**

Changes in respiratory function were determined by measuring maximum inspiratory pressure (MIP) and maximum expiratory pressure (MEP) before and after Phase 1, after the Detraining Phase, and upon completion of Phase 2. The MIP and MEP measures quantify the respiratory muscle strength in terms of force generation. The measurement apparatus was consistent with that used by Mathers-Schmidt and Brilla (2005). It was comprised of a mouthpiece with a two-way valve connected via a vacuum hose to the electronics of a calibrated custom pressure sensing device, with output displayed on a Fluke True-rms

Multimeter (Model 110). Participants stood and wore nose clips during all MIP and MEP measurements.

Participants' forced vital capacity (FVC), percent predicted forced vital capacity (FVC%), and forced expiratory volume in 1 second (FEV<sub>1</sub>) were measured using a *SpiroVision 3+ Version 8.1a Diagnostic Spirometer for Windows* (Futuremed, Granada Hills, CA). Participants stood and wore nose clips during the tasks. Measurements were obtained at baseline and after Phases 1 and 2.

Finally, sustained vowel phonation ("ah") was measured at baseline and at the end of Phases 1 and 2. Participants were seated, and the same timer displaying seconds was used for all measurements.

#### Vocal quality measurements.

The *GRBAS Voice Rating Scale* (Hirano, 1981) was used to rate participants' quality of voice based on grade, roughness, breathiness, asthenics (i.e. power), and strain. Voice samples were obtained prior to Phase 1 and after completion of Phase 2. Three judges, including two second-year CSD graduate students and a certified SLP, independently rated recorded voice samples. Inter-rater reliability was found to be high at both baseline (intraclass correlation coefficient [ICC] = 0.99) and end of study (ICC = 0.93).

Participants indicated self-perception of their voices and tendencies of vocal use using the *Perceptual Rating Form* (LSVT Global, Inc., 2012). This survey was completed at baseline, after Phase 1, and after Phase 2. Items include such characteristics as vocal quality (e.g. hoarseness, loudness, etc.) intelligibility, and use of speech (e.g. participation/initiation of conversation). Because PD commonly impacts an afflicted person's self-perception abilities (Hirsch & Farley, 2009; Kwan & Whitehill, 2011), each participant was asked to recruit a conversation partner familiar with the participant's typical speech to complete the survey, as well. Comparison of results from participants to those of their conversation partners was used to gain insight into such potential differences in perceptions. Two conversation partners were available to do so and submitted forms at the end of each phase for comparison to participants' self-perception ratings.

#### Impact on quality of life measurements.

Participants completed two surveys regarding the impact of their voice disorder on communicative participation and quality of life. These surveys were completed at the start of the study and again after each phase. *Voice Handicap Index (VHI)* (Jacobson, et al., 1997) measures individuals' perception of their voices and how their voices affect them physically, functionally, and emotionally. *The Communicative Participation Item Bank General Short Form* (Baylor, Yorkston, Eadie, Kim, Chung, & Amtmann [under review]) examines the extent to which voice and/or speech impairments impact individuals' communicative participation.

#### Results

#### **Respiratory measurements**

#### MIP and MEP.

All participants demonstrated maintenance or improvement of both MIP and MEP from beginning to end of the study (Figures 1 and 2). Two instances of decline were noted in MIP measurements and three instances in MEP; however, recovery was noted in all but one case. The latter occurred at the final measurement of the study, leaving no opportunity to determine subsequent effects. In both areas of measurement, Participant 1 demonstrated maintenance of ability with minor fluctuations. Participant 2 showed marked improvement in MIP; however, measurements of MEP indicate initial decline followed by recovery to slightly above baseline ability. Participant 3 demonstrated consistent improvement in both MIP and MEP throughout most of the study. Although the participant's final measurement of MEP resulted in a decline, notable improvement was seen from beginning to end of study.



Ph = Phase; Wk = Week; P = Participant Note: Detraining Phase = Time between Ph 1, Wk 5 and Ph 2, Wk 1



Ph = Phase; Wk = Week; P = Participant Note: Detraining Phase = Time between Ph 1, Wk 5 and Ph 2, Wk 1

#### FVC, FVC%, and FEV<sub>1</sub>.

Participants' forced vital capacity (FVC), percent predicted forced vital capacity (FVC%), and forced expiratory volume in 1 second (FEV<sub>1</sub>) are displayed in Tables 2, 3, and 4, respectively. Slight decline was noted in all participants from baseline to end of study; however, Participants 1 and 2 demonstrated above normal FVC, FVC%, and FEV<sub>1</sub> levels across all measurements. Participant 3 demonstrated below normal measurements at baseline and did not improve with RMST exercises.

#### Table 2.

Forced Vital Capacity (FVC)

Participant	Baseline	Ph 1, Wk 5	ഖ	Ph 2, Wk 1	Ph 2, Wk 5
1	6.56L	6.73L	inir	5.14L	5.09L
2	3.46L	3.22L	etra	3.06L	3.06L
3	2.85L	2.89L	Ď	2.72L	2.72L

*Note:* Ph = Phase; Wk = Week; L= Liters

#### Table 3.

Percent Predicted Forced Vital Capacity (FVC%)

Participant	Baseline	Ph 1, Wk 5	ß	Ph 2, Wk 1	Ph 2, Wk 5
1	141.4%	145.0%	inir	110.7%	109.7%
2	115.2%	107.1%	etra	101.7%	103.2%
3	74.0%	75.4%	Ď	71.1%	71.1%

*Note:* Ph = Phase; Wk = Week

#### Table 4.

*Forced Expiratory Volume in 1 Second (FEV<sub>1</sub>)* 

Participant	Baseline	Ph 1, Wk 5	00	Ph 2, Wk 1	Ph 2, Wk 5
1	6.47L	6.6L	nin	4.21L	4.11L
2	3.06L	3.02L	trai	2.39L	2.53L
3	2.77L	2.87L	De	2.36L	2.38L

*Note:* Ph = Phase; Wk = Week; L= Liters

#### Sustained vowel phonation.

Figure 3 displays measurements of sustained vowel phonation ("ah"). Each

participant demonstrated overall improvement from baseline to end of study. Despite this

improvement, Participant 1 remained below normal limits. Participants 2 and 3 began the study with maximum phonation times below normal limits; however, both were within normal limits by the end of Phase 1. Participant 2 demonstrated improvement during Phase 1 but declined in Phase 2, yet improving overall and staying within normal limits. Participant 3 exhibited steady improvement throughout the study and demonstrated the greatest increase of phonation time.





#### **GRBAS Scale.**

Three judges (two second-year CSD graduate students and a certified SLP) rated participants' quality of voice using *GRBAS Voice Rating Scale* (Hirano, 1981) pre- and post-intervention. Scores reflected the amount of abnormality in vocal quality. Lower numbers represent less abnormality than higher numbers; therefore, low scores are preferred. Results revealed improved vocal quality in Participants 1 and 2, and no change in vocal quality in Participant 3 (Table 5).

Table 5.	
Summary of GRBAS Rating	S

	H	<u>P1</u>	I	<u>2</u>	H	<u>93</u>
Rater	Baseline	End Ph 2	Baseline	End Ph 2	Baseline	End Ph 2
1	7	6	3	1	2	2
2	7	4	2	2	2	2

3	8	7	3	2	2	2
Average Rating	7.3	5.7	2.7	1.67	2	2

#### **Perceptual Rating Form.**

A summary of responses to the *Perceptual Rating Form* (LSVT Global, Inc., 2012) is presented in Table 6 and indicates areas of improvement and decline, as perceived by participants and their conversation partners. Detailed responses appear in Appendix A. Participants noted more areas of improvement than decline from beginning to end of study. Two participants recruited conversation partners, who rated fewer areas of improvement than did the participants.

#### Table 6.

Summary of Responses to Perceptual Rating Form

Participant Responses			Conversation Partner Responses		
Participant	Number of Areas	Number of Areas	Number of Areas	Number of Areas	
	of Improvement	of Decline	of Improvement	of Decline	
1	7	3	-	-	
2	8	2	4	6	
3	9	1	2	8	

Note: No conversation partner rated typical voice for P1.

#### **Impact on Quality of Life Measures**

#### Voice Handicap Index (VHI).

The *Voice Handicap Index* (Jacobson et al., 1997) was used to measure participants' perception of their voices and how their voices affected them physically (P Scale), functionally (F Scale), and emotionally (E Scale). Participants completed the VHI independently at baseline, after the Detraining Phase, and after Phase 2. Results generate a number score per category, which corresponds to a severity level of impact from mild to severe. Table 7 presents overall severity ratings from baseline to end of study. Detailed results including raw scores for each scale are included in Appendix B. While minor

fluctuation occurred in distinct categories, overall severity ratings improved for Participant 1 and remained consistent for Participant 2. Severity increased slightly for Participant 3.

Table 7.

U		· · · · ·
Participant	Baseline	End Phase 2
1	Moderate-Severe	Mild-Moderate
2	Mild	Mild
3	Moderate-Severe	Severe

Levels of Severity per Voice Handicap Index (VHI)

## **Communication Participation Item Bank – General Short Form.**

The *Communication Participation Item Bank General Short Form* (Baylor et al., under review) was used to measure the extent to which participants' communicative participation was impacted by voice and/or speech impairments resulting from Parkinson's disease. Table 8 indicates ratings of interference from mild to severe at baseline and end of study. Summary scores, IRT theta scores, and T scores at baseline, beginning of Phase 2, and at end of study are presented in Appendix C. Higher scores indicate less interference in participation than lower scores and, therefore, are preferable. Results varied with one participant demonstrating reduced interference in participation, one participant showing no

change in interference level, and one participant exhibiting slight increase in interference.

## Table 8.

Participant	Baseline	End Phase 2
1	Mild	Mild
2	Mild-Moderate	Moderate
3	Moderate	Mild-Moderate

#### Discussion

This study investigated the impact of combined inspiratory and expiratory muscle strength training on respiratory functions, vocal quality, and quality of life in three individuals with moderate PD. In response to RMST exercises, all participants sustained or improved respiratory muscle strength, as measured by maximum inspiratory and expiratory pressures. Likewise, participants maintained or improved strength during a three-week detraining period. However, decline in FVC, FVC%, and FEV<sub>1</sub> measurements was seen across the participants, indicating no benefit from RMST exercises with regard to lung capacity. Measurements of sustained vowel phonation time, vocal quality, and quality of life revealed varied results, reflecting the complexity of the disease and the influence of external factors on RMST effects.

#### **MIP and MEP**

Although findings in MIP and MEP measurements varied across participants, beginning- to end-of-study results indicate either increase or maintenance of respiratory muscle strength for each individual following treatment with RMST exercises. Increases in MIP measurements were seen in Participants 2 and 3, while inspiratory muscle strength, as measured by MIP, was maintained by Participant 1. Marked increase in MEP measurements was demonstrated by Participant 3, and minimal MEP improvement was noted in Participant 1. Participant 2 demonstrated initial MEP decline followed by improvement slightly above baseline by the end of the study.

Strength training of respiratory muscles is hypothesized to be similar to that of limb muscles, in which strength is typically seen within four weeks of training, potentially resulting from neural adaptations (Baker, 2005; Saleem et al., 2005; Silverman et al., 2006). However, Baker et al. (2005) reported that individuals with PD may experience slower neural adaptation than healthy individuals. Postponement in increase of strength in the participants of this study may reflect such delays in neural adaptations. This suggests that clinicians using RMST as treatment for clients with PD might anticipate more dramatic results after

four weeks of exercises. However, as demonstrated by this study, results may vary with individuals. Although closer examination of each participant gives insight into potential reasons for this variance, these findings suggest the need for additional research, including short-term efficacy studies as well as longitudinal studies with larger sample sizes.

Participant 1 exhibited temporary decline in measurements of both MIP and MEP at Week 3 of Phase 2. Review of homework records revealed no change in exercise routines or device settings, and subsequent measurements taken in Week 5 resulted in improved strength to levels near or above previous pressure levels. Likewise, Participant 3 demonstrated sudden decline in MEP levels during the final measurement. Because no subsequent measures were taken, it is unknown whether she would have regained previous pressure levels. Review of other studies reveals similar occurrences, indicating that fluctuations in strength appear not to be abnormal in RMST programs (Baker et al., 2005; Mathers-Schmidt & Brilla, 2005; and Saleem et al., 2005).

A variety of external factors, such as medication, personal events, and/or overall physical condition, may have impacted participants' performance during data collection. Individuals in this study frequently reported having "good days" and "bad days". In addition to daily medication, for example, Participant 1 received periodic intravenous nutrient therapy. On a day when MIP/MEP measurements were taken, the participant received IV nutrient therapy prior to meeting with the researcher. This may have negatively impacted his performance while MIP and MEP measurements were taken. On the other hand, Participant 3 demonstrated unusually high expiratory pressure levels in Week 3 of Phase 2. During this testing period, the participant commented on feeling more rested and having higher energy levels, which she attributed to RMST exercises, although that cannot be directly determined.

The potential influence of such external factors complicates determination of the efficacy of RMST.

Other changes in maximum pressures may be due to progression of the disease. Cognitive abilities, for example, are known to decline over time in individuals with PD. Participant 2 had the greatest number of years since diagnosis; therefore, one might anticipate greater decline from this participant than from the others. In fact, Participant 2 expressed difficulty coordinating the steps involved in performing the MEP measurement task during Phase 2, and results of MEP measurements did indeed reflect decline in performance. At baseline, Participant 2 was determined to be within normal cognitive limits, based on the MoCA screening tool. However, progression of the disease over the span of the study may have impacted her ability to perform the MEP task. Interestingly, however, she did not demonstrate similar difficulty when performing the MIP task, which differed only in the direction of airflow and subsequent physical sensation. MIP measurements demonstrated increase at the same time that MEP measurements showed decrease. Furthermore, expiratory exercises with PowerLung<sup>®</sup> were successfully achieved by the participant with no complaints of the challenges experienced during measurement tasks. This resulted in regular increases to the resistance level on the device. Consequently, the participant's performance on measurement tasks inaccurately reflected abilities demonstrated with the exercise device.

Following the detraining phase, Participants 1 and 3 sustained or showed slight improvement in maximum inspiratory and expiratory pressures. This is consistent with findings in other studies in which the strength-training effect remained after exercising ceased (Baker et al., 2005; Mathers-Schmidt & Brilla, 2005; Saleem et al., 2005). Results for Participant 3, however, showed sharper improvement when treatment was removed.

All participants reported having ceased RMST exercises during this three-week phase. While increases in measurements were unexpected, it may be that, because participants' respiratory muscle strength had improved, their ability to engage in physical activity increased. Such increase in physical activity may have exercised respiratory muscles naturally, thereby continuing to strengthen them in spite of the removal of treatment.

Participant 3 demonstrated the greatest increase, particularly in MEP measurements. Although participants were instructed not to alter other exercise programs for the duration of the study, Participant 3 changed residences while the study was conducted, which inevitably added physical activity to her daily life. Furthermore, this participant reported feeling more energetic since having begun respiratory exercises, as well as sleeping better and experiencing less pain, particularly in her shoulders as a result of improved breathing posture required by the treatment. Considering these reports as well as the activities of Participant 3's life during the detraining phase, it is conceivable that respiratory muscle strength improved in the absence of direct treatment, leading to higher MIP and MEP measurements post-detraining. Such an effect should be considered a positive potential consequence of RMST treatment; however, as demonstrated by this study's participants, results will vary across individuals.

The effects of detraining are clinically significant when creating long-term treatment goals and determining the need for maintenance programs. Information regarding decline in strength and/or function following termination of treatment may influence client motivation to continue RMST exercises. Data from more time post-treatment is needed to better understand detraining effects on RMST treatment.

#### FVC, FVC%, and FEV<sub>1</sub>

Throughout the study, above normal limits were achieved by two of the three participants in FVC, FVC%, and  $FEV_1$  measurements. Minimal decline was noted in Participant 3, who remained below normal limits. This participant reported being a cigarette smoker at the time of the study, which may account for the reduced respiratory function, as compared to Participants 1 and 2. The results are consistent with other studies in which FVC, FVC%, and  $FEV_1$  were used as outcome measurements of inspiratory and/or expiratory muscle strength training (Baker et al., 2005; Enright et al., 2006; Sapienze et al, 2011; and Shahin et al., 2008). In these studies, little significant change was noted in these measurements. Enright et al. (2006) reported no change in FVC, FVC%, and FEV<sub>1</sub>, yet they did note improvements in vital capacity (VC) and total lung capacity (TLC) in the treatment group with no such change in the control group. Interestingly, FVC, FVC%, and  $FEV_1$  are speed- and effort-dependent tasks, while VC and TLC are not. Presumably, individuals with PD may experience greater difficulty completing tasks requiring effort and speed, as opposed to those that do not. Evidence from Enright and colleagues suggests that FVC, FVC%, and  $FEV_1$  alone may not present a complete picture of the effects of RMST on respiratory capacity. Because the current study did not measure VC and TLC, the outcome of RMST on participants' respiratory functions may not be fully represented. Additional research in this area is suggested to further explore the effects of RMST on pulmonary abilities.

#### Sustained vowel phonation

Results of sustained vowel phonation indicate that maximum respiratory pressures directly impact breath support necessary for speech. Participants 1 and 3 demonstrated increased maximum phonation time (MPT) consistent with increases in MIP/MEP

measurements throughout the study. Although Participant 2's MPT decreased during Phase 2, despite simultaneous increases in MIP and MEP, overall results showed improvement from beginning to end of the study. A contradiction such as this suggests that adequate MIP/MEP, while influential, may not be the only requirement for sustaining phonation. Nevertheless, overall improvement in all participants is consistent with evidence from Sapienza and Wheeler (2006) and Putnam and Hixon (1987, as cited in Sapienza & Wheeler, 2006) that increasing strength of expiratory muscles, especially, assists in generating positive pressures necessary for increased sound durations. According to these authors, such muscle strength is particularly beneficial for individuals for whom inspiratory volumes are limited and subglottal pressure is compromised. For persons with PD, these limitations often present as short phrases and/or short rushes of speech (Duffy, 2005; Yorkston et al., 2010). RMST, therefore, appears to be a viable treatment option for addressing these effects of PD. Indeed, in this study, two participants achieved sustained vowel durations within normal limits as a result of RMST.

#### Vocal quality

In addition to their findings regarding duration of speech, Sapienza and Wheeler (2006) reported that airway pressure contributes to quality of voice. In the current study, vocal quality appeared to be positively impacted by RMST exercises. Participants who were judged to have the greatest vocal abnormality demonstrated the greatest improvement following RMST exercises. Participant 2 was judged to have the best vocal quality at baseline and maintained that vocal quality through the study.

Interestingly, Participant 1, who showed greatest improvement in vocal quality, demonstrated the least amount of change in maximum inspiratory and expiratory pressures.

One might expect consistency in results between the two measures. As with sustained vowel phonation, this disparity suggests that factors in addition to maximum respiratory pressures may influence vocal quality. Transference, a principle of experience-dependent neural plasticity, as well as the 13-week duration of the study may have led to enhanced performance of peripheral laryngeal muscles and, hence, improved vocal quality (Baker et al., 2005; Kleim & Jones, 2008; Sapienza, 2008). Sapir and colleagues (2002) purported that enhancement of the PCA muscle secondary to diaphragm strengthening may improve vocal fold vibration. Based on results from studies of limb muscles, Baker et al. (2005) maintained that peripheral and structural changes potentially contribute to strengthening of muscles when exercise programs extend beyond four weeks. These potential secondary effects, in addition to increases in maximum respiratory pressures, may explain perceived improvements in participants' vocal quality.

Improved vocal quality was further reflected by responses to the *Perceptual Rating Form.* Although each participant noted decline in some categories, these tended to be in areas of articulation. Loudness and vocal quality, characteristics anticipated to be more directly impacted by increases in respiratory pressures, were generally reported as having improved. Two participants elicited ratings from conversation partners, in addition to completing the questionnaire themselves. In both cases, conversation partners noted fewer overall gains than the participants reported. However, improvements that were identified reflected changes in vocal quality. All participants indicated greater involvement in conversation after having completed RMST exercises, while conversation partners perceived more variable changes in this area. Because of the subjective nature of questionnaires, one logically would anticipate some differences between perceptions of participants and their conversation partners. However, decline in self-perception accuracy is commonly associated with PD (Kwan and Whitehill, 2011) and also may have contributed to discrepancies participants' and conversation partners' responses. Nevertheless, RMST appears to have contributed to improvements in vocal quality as perceived by external raters and the participants themselves.

#### **Quality of life**

Engagement in physical strengthening activities is known to increase endorphins and improve overall sense of wellbeing in individuals who exercise. Exercise in general is recommended to persons with PD for maintenance of muscle strength and flexibility (Goodwin, Richards, Taylor, Taylor, & Campbell, 2008). It also is thought to be beneficial for combating depression and improving overall mental health (Bridgewater & Sharpe, 1996; Fox, 1999), all of which positively impact quality of life.

Two tools were used in this study to investigate the influence of RMST exercise on participants' quality of life. The *Voice Handicap Index* (VHI) specifically targeted the effects of voice, while the *Communication Participation Item Bank General Short Form* (CPIB) focused on impacts of a person's "condition" (i.e. Parkinson's disease). Results of the VHI showed improvement in one participant, no change in another, and decline in the third. The CPIB revealed the same mixed findings. However, results were not consistent between measurement tools for each participant: some participants experienced improvement or maintenance based on one tool while demonstrating decline based on the other, for example.

A variety of factors may have influenced these results. First, although both tools evaluated aspects of participants' quality of life, each targeted slightly different areas. Participants may have felt more or less impact from voice specifically, as opposed to the disease in general. Furthermore, as reported by Baylor et al. (2011), other factors may either facilitate or impede communicative participation for people with medical conditions. These researchers interviewed 44 adults across seven different medical conditions, including PD. Interviewees identified such variables as familiarity with communication partners, unpredictability of the communication disorder and other health symptoms, and environmental influences as having influenced quality of life. It can be expected that participants in the current study shared these experiences, which may have been reflected in their responses to the VHI and CPIB. This further highlights the complexity of Parkinson's disease and its impact on communication and quality of life. While shown to benefit many symptoms of PD, RMST therefore should be recognized as merely one component of speech and language treatment for individuals of this population. Further research is warranted to determine the efficacy of RMST treatment on quality of life.

#### Limitations

There were several limitations to this study:

- The study included a small sample size of only three participants, which restricts generalization of results to all individuals with PD.
- Participants were aware of the purpose of the study and had expressed desire for improvement in targeted areas. This may have created a placebo effect, especially regarding vocal quality and quality of life issues.

- Measurements of respiratory capacity and volumes were limited to FVC, FVC%, and FEV<sub>1</sub>, which may have incompletely depicted of effects of RMST on respiratory function.
- Increased activities of daily life, particularly those requiring physical exertion, were not closely monitored and may have contributed to increased respiratory muscle strength during the detraining phase.
- The researcher was unable to accurately measure the load on participants' PowerLung<sup>®</sup> devices to ensure that optimal settings of 60-80% of MIP and MEP abilities, as suggested by Enright et al. (2006), were achieved.
- During weekly meetings with the examiner, participants demonstrated proper form and presented a written journal reporting completion of daily exercises and any changes made to settings. However, the examiner could not directly monitor training that occurred outside the clinic to ensure proper form and settings were observed.

#### Conclusion

Results from this study suggest that RMST led to maintenance or improvement of participants' maximum inspiratory and expiratory pressures, vocal quality, and the quality of life in several areas related to voice and communicative participation. Respiratory training had inconsistent effects on participants' ability to sustain vowel phonation, with two participants increasing MPT and one participant decreasing MPT. RMST did not appear to improve FVC, FVC%, or FEV<sub>1</sub>, although measurement of additional respiratory functions (e.g. VC, TLC) may have provided a more complete depiction of its effects. Benefits of RMST varied across participants, and improvements in MIP and MEP did not guarantee

parallel results in other outcome measures. SLPs working with individuals with PD should consider RMST as a treatment option for improving airway pressures and communication abilities dependent on adequate pressures. However, other factors related to PD, such as stage and progression of disease, pharmaceutical management, presentation of disease symptoms, and external influences on communication also should be considered, as well as the perceived benefit to the client.

Additional research in the areas of effects of RMST treatment on pulmonary function and quality of life is recommended. Other suggested research includes short-term efficacy studies, longitudinal studies with greater numbers of participants, time-series studies measuring outcomes from time of onset, and combined RMST with other speech therapy.

#### References

- Baker, S., Davenport, P. & Sapienza, C. (2005). Examination of strength training and detraining effects in expiratory muscles. *Journal of Speech, Language, and Hearing Research*; 48(6), 1325-1333.
- Battaglia, E., Fulgenzi, A., & Ferrero, M. E. (2009). Rationale of the combined use of inspiratory and expiratory devices in improving maximal inspiratory pressure and maximal expiratory pressure of patients with chronic obstructive pulmonary disease. *Archives of Physical Medicine and Rehabilitation*, 90, 913-918
- Baylor, C., Burns, M., Eadie, T., Britton, D., & Yorkston, K. (2011). A qualitative study of interference with communicative participation across communication disorders in adults. *American Journal of Speech-Language Pathology*, 20, 269-287
- Baylor, C., Yorkston, K., Eadie, T., Kim, J., Chung, H., & Amtmann, D. (under review). The Communicative Participation Item Bank (CPIB): Item bank calibration and development of a disorder-generic short form [survey].
- Bridgewater, K. J. & Sharpe, M. H. (1996). Aerobic exercise and early Parkinson's disease. *Neurorehabilitation and Neural Repair*, 10(4), 233-241. doi: 10.1177/154596839601000403
- Brookshire, R. H. (2007). Introduction to neurogenic communication disorders. St Louis, MO: Elsevier/Mosby
- Chiara, T., Martin, D., & Sapienza, C. (2007). Expiratory muscle strength training: Speech production outcomes in patients with multiple sclerosis. *The American Society of NeuroRehabilitiation*, 21, 239-249. doi: 10.1177/1545968306294737
- Dromey, C., Ramig., L. O., & Johnson, A. B. (1995). Phonatory and articulatory changes associated with increased vocal intensity in Parkinson disease: A case study. *Journal* of Speech and Hearing Research, 38, 751-764
- Duffy, J. R. (2005). Motor speech disorders (2<sup>nd</sup> ed.). St. Louis, MO: Elsevier/Mosby
- Enright, S. J., Unnithan, V. B., Heward, C., Withnall, L., & Davies, D. H. (2006). Effect of high-intensity inspiratory muscle training on lung volumes, diaphragm thickness, and exercise capacity in subjects who are healthy. *Physical Therapy*, 86(3), 345-354
- Fox, K. R. (1999). The influence of physical activity on mental well-being. *Public Health Nutrition, Supplement 3a*, 411-418. doi: 10.1017/S1368980099000567
- Goodman, V. A., Richards, S. H., Taylor, R. S., Taylor, A. H., & Campbell, J. L. (2008). The effectiveness of exercise interventions for people with Parkinson's disease: A systematic review and meta-analysis. *Movement Disorders*, 23(5), 631-640

- Guenette, J. A., Martens, A. M., Lee, A. L., Tyler, G. D., Richards, J. C., Foster, G. E., Warburton, E. R., & Sheel, A. W. (2006). Variable effects of respiratory muscle training on cycle exercise performance in men and women. *Applied Physiology*, *Nutrition, and Metabolism, 31*, 159-166
- Hirano, M. (1981). Psycho-acoustic evaluation of voice: GRBAS Scale for evaluating the hoarse voice. *Clinical Examination of Voice*, Springer Verlag: Vienna.
- Hirsch, M. A. (2009). Community-based rehabilitation for Parkinson's disease: From neurons to neighborhoods. *Parkinsonism and Related Disorders*, 15S3, S114-S117
- Hirsch, M. A., & Farley, B.G. (2009). Exercise and neuroplasticity in persons living with Parkinson's disease. *European Journal of Physical and Rehabilitation Medicine*, 45(2), 215-229
- Jacobson, B. H., Johnson, A. F., Grywalski, C., Silbergleit, A. K., Jacobson, G. P., et al. (1997). Voice Handicap Index (VHI): Development and validation. *American Journal of Speech-Language Pathology* 6(3), 66-70
- Kim, J., & Sapienza, C. M. (2005). Implications of expiratory muscle strength training of the elderly: Tutorial. *Journal of Rehabilitation Research & Development*, 42(2), 211-224
- Kleim, J. A, & Jones, T. A. (2008). Principles of experience-dependent neural plasticity: Implications for rehabilitation after brain damage. *Journal of Speech, Language, and Hearing Research*, 51, S225-S239
- LSVT Global, Inc. (2012). Perceptual rating form [survey]. Tucson, AZ: LSVT Global, Inc.
- Mathers-Schmidt, B. A., & Brilla, L. R. (2005). Inspiratory muscle training in exerciseinduced paradoxical vocal fold motion. *Journal of Voice*, 19(4), 635-644. doi: 10.1016/j.jvoice.2005.03.005
- Miller, N., Noble, E., Jones, D., & Burns, D. (2006). Life with communication changes in Parkinson's disease. *Age and Ageing*, *35*, 235-239. doi: 10.1093/ageing/afj053
- Nasreddine, Z.S., Phillips, N.A., Bédirian, V., Charbonneau, S., Whitehead, V., Collin, I., Cummings, J.L., Chertkow, H. (2005). The Montreal Cognitive Assessment (MoCA<sup>©</sup>): A brief screening tool for mild cognitive impairment. *Journal of American Geriatrics Society*, 53, 695–69
- Polkey, M. I., Green, M., & Moxham, J. (1995). Measurement of respiratory muscle strength. *Thorax, 50*, 1131-1135. doi: 10.1136/thx.50.11.1131
- PowerLung, Inc. (2013). Introduction to PowerLung for healthcare professionals [White Paper]. Retrieved from http://www.powerlung.com/region/us/information/studies/ introduction-to-powerlung-for-healthcare/

- Ramig, L. O., Countryman, S., O'Brien, C., Hoehn, M., & Thompson, L. (1996). Intensive speech treatment for patients with Parkinson's disease. *Neurology*, 47(6), 1496-1504
- Ramig, L. O., Sapir, S., Countryman, S., Pawlas, A.A., O'Brien, C., Hoehn, M., & Thompson, L. L. (2001). Intensive speech treatment (LSVT<sup>®</sup>) for patients with Parkinson's disease: a 2-year follow up. *Journal of Neurology, Neurosurgery & Psychiatry*, 71, 493-498
- Romer, L. M., & McConnell, A. K. (2003). Specificity and reversibility of inspiratory muscle training. *Medicine and Science in Sports and Exercise*, 35(2), 237-244
- Ruddy, B. H., Davenport, P., Baylor, J., Lehman, J. Baker, S., & Sapienza, C. (2004). Inspiratory muscle strength training with behavioral therapy in a case of a rower with presumed exercise-induced paradoxical vocal-fold dysfunction. *International Journal* of pediatric otorhinoloaryngology. 68, 1327-1332
- Saleem, A. F., Sapienza, C., & Okun, M. S., (2005). Respiratory muscle strength training: Treatment and response duration in a patient with early idiopathic Parkinson's disease. *NeuroRehabilitation*, 20(4), 323-333
- Sapienza, C. M. (2008). Respiratory muscle strength training applications. *Current Opinion* in Otolaryngology & Head and Neck Surgery, 16, 216-220
- Sapienza, C., Troche, M., Pitts, T., & Davenport, P. (2011). Respiratory strength training: Concept and intervention outcomes. *Seminars in Speech and Language*, 32, 21-30
- Sapienza, C. M., & Wheeler, K. (2006). Respiratory muscle strength training: Functional outcomes versus plasticity. Seminars in Speech and Language, 27(4), 236-244. doi: 10.1055/s-2006-955114
- Sapir, S., Ramig, L. O., Hoyt, P., Countryman, S., O'Brien, C., & Hoehn, M. (2002). Speech loudness and quality 12 months after intensive voice treatment (LSTV®) for Parkinson's disease: A comparison with an alternative speech treatment. *Folia Phoniatrica et Logopaedica*, 54, 296-303. doi: 10.1159/000066148
- Schiavetti, N., Metz, D. E., & Orlikoff, R. F. (2011). Evaluating research in communication disorders, 6<sup>th</sup> ed. Upper Saddle River, NJ: Pearson Education, Inc.
- Shahin, B., Germain, M., Kazem, & Annat, G. (2008). Benefits of short inspiratory muscle training on exercise capacity, dyspnea, and inspiratory fraction in COPD patients. *International Journal of COPD*, 3(3), 423-427
- Shoemaker, M. J., Donder, S., & LaPoe, A. (2009). Inspiratory muscle training in patients with chronic obstructive pulmonary disease: The state of the evidence. *Cardiopulmonary Physical Therapy Journal*, 20(3), 5-15
- Silverman, E. P., Spaienza, C. M., Saleem, A., Carmichael, C., Davenport, P. W., Hoffman-Ruddy, B., & Okun, M. S. (2006). Tutorial on maximum inspiratory and expiratory

mouth pressures in individuals with idiopathic Parkinson disease (IPD) and the preliminary results of an expiratory muscle strength training program. *NeuroRehabilitation*, *121*, 71-79

- Simms, A. M., Li, L. C., & Reid, W. D. (2011). Development of a theory-based intervention to increase prescription of inspiratory muscle training by health professionals in the management of people with chronic obstructive pulmonary disease. *Physiotherapy Canada*, 63(3), 315-323. doi: 10.3138/ptc.2010-15
- Troche, M. S., Okun, M. S., Rosenbeck, J. C., Musson, N., Fernandex, H. H., Rodriguex, R., Romrell, J, Pitts, T., Wheeler-Hegland, K. M., & Sapienza, C. (2010). Aspiration and swallowing in Parkinson disease and rehabilitation with EMST: A randomized trial. *Neurology*, 75, 1912-1919
- Yorkston, K. M., Beukelman, D. R., Strand, E. A., & Hakel, M. (2010). *Management of* motor speech disorders in children and adults. Austin, TX: Pro-Ed, Inc.

# Appendix A

# Perceptual Rating Form

Please mark the place on the line that best represents the client's typical speech:

Always loud enough	Never loud enough
Never a "shaky" voice	Always a "shaky" voice
Never a hoarse "scratchy" voice	Always a hoarse "scratchy" voice"
Never monotone	Always monotone
Never slurs	Always slurs
Never a "strained" voice	Always a "strained" voice
Never mumbles	Always mumbles
Always speaks so others can understand	Never speaks so others can understand
Always participates in a conversation	Never participates in a conversation
Always starts a conversation	Never starts a conversation

# Table A1:

	Post-						
	Baseline	Detraining	End Phase 2				
Typical Speech		% of T	ime				
Always loud enough	42.8%	56.9%	6 44.4%				
Never a "shaky" voice	31.4%	35.9%	43.8%				
Never a "hoarse/scratchy" voice	23.5%	35.9%	5 21.6%				
Never monotone	36.4%	55.2%	42.8%				
Never Slurs	85.0%	95.4%	91.8%				
Never a "Strained" voice	38.2%	38.6%	41.8%				
Never mumbles	81.2%	38.6%	b 19.0%				
Always speaks, others understand	37.3%	38.6%	39.9%				
Always participates in							
conversation	85.0%	62.7%	5 17.3%				
Always starts a conversation	45.1%	62.7%	85.0%				

Responses to Perceptual Rating Form by Participant 1

Table A2:

Responses to Perceptual Rating Form by Participant 2 and Conversation Partner

		Participant 2	Conversation Partner		
		% of 11me		% OI I	Ime Fnd
		Post-	End	End	Phase
Typical Speech	Baseline	Detraining	Phase 2	Phase 1	2
Always Loud enough	81.7%	80.0%	90.2%	74.5%	77.8%
Never a "shaky" voice	12.4%	98.0%	96.1%	98.7%	93.1%
Never a "hoarse/scratchy" voice	80.4%	95.4%	95.4%	98.7%	99.4%
Never monotone	92.2%	88.0%	80.1%	73.9%	72.9%
Never slurs	96.1%	96.0%	95.8%	XX	97.4%
Never a "strained" voice	82.4%	97.4%	94.1%	99.0%	96.7%
Never mumbles	84.3%	94.1%	91.5%	71.9%	77.8%
Always speaks, others understand	73.9%	92.0%	87.9%	86.9%	81.4%
Participates in a conversation	86.0%	96.7%	89.9%	99.0%	99.7%
Starts a conversation	92.8%	79.0%	81.1%	99.3%	98.0%

# Table A3:

		Participant 3	Conversation		
		i unicipuite e	Partner		
		- % of Time		% of ]	Гime
					End
		Post-	End	End	Phase
Typical Speech	Baseline	Detraining	Phase 2	Phase 1	2
Always loud enough	38.6%	44.0%	27.8%	50.3%	41.8%
Never a "shaky" voice	50.0%	44.0%	81.4%	67.2%	72.9%
Never a "hoarse/scratchy" voice	50.0%	55.0%	67.7%	88.9%	74.5%
Never monotone	65.0%	62.7%	67.3%	70.9%	77.5%
Never Slurs	48.7%	73.9%	74.8%	91.2%	69.9%
Never a "Strained" voice	33.0%	53.6%	51.6%	88.6%	69.3%
Never mumbles	53.6%	43.0%	71.2%	69.3%	58.5%
Always speaks, others understand	45.1%	50.0%	49.7%	89.5%	64.1%
Always participates in conversation	52.9%	78.0%	70.9%	98.4%	82.7%
Always starts a conversation	43.1%	43.0%	46.1%	97.4%	85.0%
				1	

# Responses to Perceptual Rating Form by Participant 3 and Conversation Partner

# Appendix B

# Voice Handicap Index (VHI)

Instructions: These are statements that many people have used to describe their voices and the effects of their voices on their lives. Check the response that indicates how frequently you have the same experience.

		Never	Almost	Sometimes	Almost	Always
			Never		Always	
F1	My voice makes it difficult for people to hear me					
P2	I run out of air when I talk					
	People have difficulty					
F3	understanding me in a noisy					
	room					
P4	The sound of my voice varies throughout the day					
F5	My family has difficulty hearing me when I call them throughout the house					
F6	I use the phone less often than I would like					
<b>F</b> 7	I'm tense when talking with					
E/	others because of my voice					
F8	I tend to avoid groups of people because of my voice					
E9	People seem irritated with my					
P10	People ask, "What's wrong with your voice?"					
F11	I speak with friends, neighbors or relatives less often because of my voice					
F12	People ask me to repeat myself when speaking face-to-face					
P13	My voice sounds creaky and dry					
P14	I feel as though I have to strain to produce voice					
E15	I find other people don't understand my voice problem					
F16	My voice difficulties restrict my personal and social life					
P17	The clarity of my voice is unpredictable					
P18	I try to change my voice to					

	sound different			
<b>F10</b>	I feel left out of conversations			
Г19	because of my voice			
D20	I use a great deal of effort to			
F 20	speak			
P21	My voice is worse in the evening			
F22	My voice problem causes me to			
1.777	lose income			
E23	My voice problem upsets me			
E24	I am less out-going because of			
L24	my voice problem			
F25	E25 My voice problem makes me			
E23	feel handicapped			
D26	My voice "gives out" on me in			
1 20	the middle of speaking			
E27	I feel annoyed when people ask			
E27	me to repeat			
E20	I feel embarrassed when people			
E27	ask me to repeat			
E30	I'm ashamed of my voice			
150	problem			

# Table B1:

# Participant 1: Results of Voice Handicap Index

		Baseline		ost-Detraining	End Phase 2	
	Raw		Raw		Raw	
	Score	Severity	Score	Severity Rating	Score	Severity Rating
P Scale (physical)	19	Moderate	21	Moderate-Severe	20	Moderate-Severe
F Scale (functional)	18	Severe	17	Moderate-Severe	13	Moderate
E Scale (emotional)	9	Mild	8	Mild	9	Mild
Total	46	Moderate-Severe	46	Moderate-Severe	42	Moderate-Severe

## Table B2:

Participant 2: Results of Voice Handicap Index

	Baseline		Post-Detraining		End Phase 2	
	Raw Score	Severity Rating	Raw Score	Severity Rating	Raw Score	Severity Rating
P Scale (physical)	10	Mild	5	Mild	6	Mild
F Scale (functional)	7	Mild	5	Mild	11	Mild-Moderate
E Scale (emotional)	1	Mild	4	Mild	5	Mild
Total	18	Mild	14	Mild	22	Mild

# Table B3:

	Baseline		Post-Detraining		End Phase 2	
	Raw Score	Severity Rating	Raw Score	Severity Rating	Raw Score	Severity Rating
P Scale (physical)	23	Severe	21	Moderate-Severe	23	Severe
F Scale (functional)	20	Severe	19	Severe	23	Severe
E Scale (emotional)	17	Moderate-Severe	15	Moderate	17	Moderate-Severe
Total	60	Moderate-Severe	55	Moderate-Severe	63	Severe

# Participant 3: Results of Voice Handicap Index

# Appendix C

## Communication Participation Item Bank

Instructions: The following questions describe a variety of situations in which you might need to speak to others. For each question, please mark how much your condition interferes with your participation in that situation. By "condition" we mean ALL issues that may affect how you communicate in these situations including speech conditions, any other health conditions, or features of the environment. If you speech varies, think about an AVERAGE day for your speech – not your best or your worst days.

		Not at all (3)	A little (2)	Quite a bit (1)	Very much (0)
1.	Does your condition interfere withtalking with people you know?				
2.	Does your condition interfere withcommunicating when you need to say something quickly?				
3.	Does your condition interfere withtalking with people you do NOT know?				
4.	Does your condition interfere withcommunicating when you are out in your community (e.g. errands; appointments)?				
5.	Does your condition interfere withasking questions in a conversation?				
6.	Does your condition interfere withcommunicating in a small group of people?				
7.	Does your condition interfere withhaving a long conversation with someone you know about a book, movie, show or sports event?				
8.	Does your condition interfere withgiving someone DETAILED information?				
9.	Does your condition interfere withgetting your turn in a fast-moving conversation?				
10.	Does your condition interfere withtrying to persuade a friend or family member to see a different point of view?				

# Table C1:

	Post-			
	<b>Baseline</b>	<b>Detraining</b>	End Phase 2	
Summary Score:	27	25	25	
IRT Theta:	1.22	0.92	0.92	
T score:	62.2	59.2	59.2	
Interference in participation:	Mild	Mild	Mild	

# Participant 1: Communication Participation Item Bank – General Short Form

## Table C2:

Participant 2: Communication Participation Item Bank – General Short Form

	Post-			
	<u>Baseline</u>	<u>Detraining</u>	End Phase 2	
Summary Score	21	17	17	
IRT Theta	0.4	-0.1	-0.1	
T score	54	49	49	
Interference in participation:	Mild-Moderate	Moderate	Moderate	

# Table C3:

Participant 3: Communication Participation Item Bank – General Short Form

	Post-			
	<b>Baseline</b>	<b>Detraining</b>	End Phase 2	
Summary Score	16	16	19	
IRT Theta	-0.22	-0.22	0.15	
T score	47.8	47.8	51.5	
Interference in participation:	Moderate	Moderate	Mild-Moderate	