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Guijie Hao, Student Dr. Arthur G. Hunt, Major Professor Dr. Mark Coyne, Director of Graduate Studies

THE ROLE OF ALTERNATIVE POLYADENYLATION MEDIATED BY CPSF30 IN ARABIDOPSIS THALIANA

DISSERTATION

A dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in the College of Agriculture, Food and Environment at the University of Kentucky

> By Guijie Hao

Lexington, Kentucky

Director: Dr. Arthur G. Hunt, Professor of Plant Physiology

Lexington, Kentucky

2017

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ABSTRACT OF DISSERTATION

THE ROLE OF ALTERNATIVE POLYADENYLATION MEDIATED BY CPSF30 IN ARABIDOPSIS THALIANA

Drought stress is considered one of the most devastating abiotic stress factors that limit crop productivity for modern agriculture worldwide. There is a large range of physiological and biochemical responses induced by drought stress. The responses range from physiological and biochemical to regulation at transcription and posttranscriptional levels. Post-transcription, the products encoded by eukaryotic genes must undergo a series of modifications to become a mature mRNA. Polyadenylation is an important one in terms of regulation. Polyadenylation impacts gene expression through determining the coding and regulation potential of the mRNA, especially when different mRNAs from the same gene may be polyadenylated at more than one position. This alternative polyadenylation (APA) has numerous potential effects on gene regulation and function. I have studied the impact of drought stress on APA, testing the hypothesis that drought stress may give rise to changes in the usage of poly(A) sites generating different mRNA isoforms. The results showed that usage of poly(A) sites that lie within 5'-UTRs and coding sequence (CDS) changes more than usage of sites in other regions due to drought stress.

Alternative polyadenylation is meditated by the polyadenylation complex of proteins that are conserved in eukaryotic cells. The *Arabidopsis* CPSF30 protein (AtCPSF30), which is an RNA-binding endonuclease subunit of the polyadenylation complex, plays an important role in controlling APA. Previous study showed that poly(A) site choice changes on a large scale in *oxidative stress tolerant 6* (*oxt6*), a mutant lacking AtCPSF30. Within the mutant/WT genotypes, there are three classes of poly(A) site, wild type specific, *oxt6* specific, and common (both in wild type and mutant). The wild type specific and *oxt6* specific mRNAs make up around 70% of the total of all mRNA species. I hypothesize that the stability of these various mRNA isoforms should be different, and that this is a possible way that AtCPSF30 regulates gene expression. I tested this by assessing the influence poly(A) sites can have on the mRNA isoform's stability in the wild type and *oxt6* mutant. My results show that most mRNA isoforms show similar stability profiles in the wild-type and mutant plants. However, the mRNA isoforms derived from polyadenylation within CDS are much more stable in

the mutant than the wild-type. These results implicate AtCPSF30 in the process of non-stop mRNA decay.

Messenger RNA polyadenylation occurs in the nucleus, and the subunits of the polyadenylation complex that meditate this process are expected to reside within the nucleus. However, AtCPSF30 by itself localizes not only to the nucleus, but also to the cytoplasm. AtCPSF30 protein contains three predicted CCCH-type zinc finger motifs. The first CCCH motif is the primary motif that is responsible for the bulk of its RNAbinding activity. It can bind with calmodulin, but the RNA-binding activity of AtCPSF30 is inhibited by calmodulin in a calcium-dependent manner. The third CCCH motif is associated with endonuclease activity. Previous studies demonstrated that the endonuclease activity of AtCPSF30 can be inhibited by disulfide reducing agents. These published results suggest that there are proteins that interact with AtCPSF30 and act through calmodulin binding or disulfide remodeling. To test this hypothesis, I screened for proteins that interact with AtCPSF30. For this, different approaches were performed. These screens led me to two proteins-one protein that is tyrosinephosphorylated and whose phosphorylation state is modulated in response to ABA, which well-known ABA regulates guard cell turgor via a calcium-dependent pathway, and the other is ribosome protein L35(RPL35), which plays an important role in nuclear entry, translation activity, and endoplasmic reticulum(ER) docking. These results suggest that multiple calcium-dependent signaling mechanisms may converge on AtCPSF30, and AtCPSF30 might be directly interact with ribosome protein.

Keywords: AtCPSF30, Alternative polyadenylation, Drought stress, Protein-protein interaction, *Arabidopsis thaliana*

<u>Guijie Hao</u> Student's Signature

<u>June 23, 2017</u> Date

THE ROLE OF ALTERNATIVE POLYADENYLATION MEDIATED BY CPSF30 IN ARABIDOPSIS THALIANA

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June 23, 2017

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Chapter One: Literature review

1.1 Nuclear polyadenylation in eukaryotes

1.1.1 The definition of polyadenylation

The expression of eukaryotic genes involves a series of modifications of the primary transcript to become a mature mRNA, including 5'-capping, exon/intron splicing and 3'- end polyadenylation. One of these steps, the addition of a poly(A) tail, is a very important step for converting the pre-mRNA to a mature mRNA (Fig 1.1). During this process, around 50-200 adenosine residues are added to the 3'-end of the processed pre-mRNA (Proudfoot et al. 2002). All eukaryote mRNA 3'ends, except for animal replication-dependent histone transcripts, are created by a two-step reaction which involves an endonucleolytic cleavage of the pre-mRNA followed by the synthesis of poly(A) tail onto the upstream cleavage product (Colgan and Manley 1997).

1.1.2 The function and significance of polyadenylation

The polyadenylation process is determined by specific signals in the transcript and the type of RNA polymerase used for transcription (Richard and Manley 2009). During this process, the polyadenylation also competes and/or cooperates with other events such as capping and splicing (Tian et al. 2007; Xing and Li 2011b). The functions of the poly(A) tail of mRNAs include protecting the mRNA from degradation, aiding in localization, promoting translational efficiency, and facilitating the transport of mRNAs from nucleus to cytoplasm (Guhaniyogi and Brewer 2001).

As mentioned above, except for animal replication-dependent histone mRNAs, almost all eukaryotic mRNAs are polyadenylated (Richard and Manley 2009; Xing and Li 2011b). Replication-dependent histone mRNAs are the only mRNAs without poly(A) tails, instead ending in a stem-loop structure followed by a purine-rich sequence (Tian et al. 2007; Dávila López and Samuelsson 2008). Non-coding RNAs are polyadenylated, as are the precursors for microRNAs (Yoshikawa et al. 2005; Saini et al. 2007). Many protein-coding genes have more than one polyadenylation site, and polyadenylation thereby can produce more than one transcript; this process is termed alternative polyadenylation (APA) (Tian et al. 2005; Danckwardt et al. 2008). If the poly(A) tail is added to other regions of the transcript, it may give rise to the production of a truncated protein or RNA that can impact the functions of associated genes (Guhaniyogi and Brewer 2001).

1.1.3 Polyadenylation factor CPSF30 is involved in 3'end processing

mRNA 3'end processing is controlled by sequence elements in the pre-mRNA (*cis* elements) and polyadenylation factors (Tian et al. 2005). In mammals, the pre-mRNA contains three primary sequence elements and two auxiliary sequence elements. The three primary sequence elements consist of the hexamer AAUAAA (the polyadenylation signal), the cleavage site and the G/U rich downstream element. The sequence AAUAAA hexamer (or its close variant AUUAAA) is the most highly conserved motif in eukaryotes, each nucleotide substitution in this hexamer reduces or abolishes mRNA 3'-end processing (Sheets et al. 1990; Proudfoot 2011). The two auxiliary sequence elements are an upstream element and a downstream element that regulates the 3'-end processing reaction (Xing and Li 2011b).

The polyadenylation factors are a complex of 15 to 20 proteins that are required for cleavage and polyadenylation (Mandel et al. 2008). The hexamer AAUAAA is specifically recognized by the Cleavage and Polyadenylation Specificity Factor (CPSF) (Sheets et al. 1990; Proudfoot 2011), a protein complex which contains six subunits: CPSF30, CPSF73,

CPSF100, CPSF160, WD repeat domain 33 (Wdr33) and factor interacting with PAP1 (Fip1) (Takagaki et al. 1988; Zhao et al. 1999a). Other poly(A) factors are also required for the complete reaction, including the Cleavage Stimulatory Factor (CstF), Cleavage Factor I and Cleavage Factor II (CF I/II), and symplekin (Keller et al. 1991).

1.1.3.1 CPSF30 is involved in animal and yeast pre-RNA processing

Among the CPSF subunits, one of the most interesting is the CPSF30, the 30kDa cleavage and specificity polyadenylation factor (Addepalli and Hunt 2007b). Initial experiments showed that CPSF30 might be only important for polyadenylation, but it is now known that it is required for both cleavage and polyadenylation (Mandel et al. 2008; Shi et al. 2009; Chan et al. 2014).

In animals, CPSF30 is a relatively small polypeptide with a characteristic set of five CCCH-type zinc finger motifs (Cys-X8-Cys-X5-Cys-X3-His), and a C-terminal CCHC-type zinc knuckle while CCHC zinc knuckle with the consensus sequence CX2CX4HX4C (Barabino et al. 1997; Barabino et al. 2000; Addepalli and Hunt 2007b). Initially, CPSF30 was not consistently detected with active CPSF preparations, but could be immunoprecipitated with other CPSF factors. In particular, hFip1 is intimately associated with CPSF30 as well as other polyadenylation factors such as the Poly A-Polymerase (PAP) (Mandel et al. 2008). Consistent with this, CPSF30 interacts with hFip1. Other eukaryotic (animal) homologs of CPSF30 are RNA-binding proteins, and the greatest similarity between all of these involves the second of the five zinc finger motifs (Bai and Tolias 1996; Bai and Tolias 1998). Interestingly, the ortholog of CPSF30 may be a processing

endonuclease in the polyadenylation reaction (Barabino et al. 1997; Bai and Tolias 1998; Kaufmann et al. 2004).

The yeast homolog of CPSF30 is Yth1, an RNA-binding protein that is part if the so-called cleavage and polyadenylation factor (CPF). Yth1 can bind RNA near the cleavage/polyadenylation site, and *in vitro* experiments showed that it is required for cleavage and polyadenylation (Barabino et al. 1997; Bai and Tolias 1998). Yth1 physically interacts with Fip, and the RNA-binding by Yth1 can interfere with this association (Bai and Tolias 1996; Zarudnaya et al. 2002). The RNA binding activity of Yth1 is found in the second zinc finger motif of this protein (Barabino et al. 2000; Tacahashi et al. 2003). In addition, the fourth zinc finger motif is involved in the interaction with Fip1, indicating a distribution of functions among different parts of Yth1 (Zhao et al. 1999a; Tacahashi et al. 2003). From sequence alignment, ZF2 is the most conserved zinc finger in CPSF30, and displays 76% identity and 96% similarity between yeast and mammals. In ZF2, point mutation of the conserved Cys residue are lethal (Tacahashi et al. 2003).

Recent studies showed that only four polypeptides (CPSF30, CPSF160, hFip1 and WDR33) are necessary and sufficient to reconstitute a CPSF subcomplex active in AAUAAA-dependent polyadenylation, whereas the CPSF73, CPSF100 and symplekin subunits are dispensable (Barabino et al. 2000). Additionally, another study showed that CPSF30 and WDR33 directly contact AAUAAA (Chan et al. 2014). The CPSF30-RNA interaction plays a pivotal role for mRNA 3'end processing and is targeted by the influenza protein NS1A to inhibit host mRNA 3'end processing (Twu et al. 2006). In lung adenocarcinoma cell lines and tumor tissues, CPSF30 is expressed at higher levels when compared to the normal tissues (Chen et al. 2013). Remarkably, higher expression of

CPSF30 is significantly correlated with the poor survival of patients (Chen et al. 2013). Moreover, the proliferation of lung cancer cells can be suppressed by knocking-down the expression of CPSF30 with siRNA. The over-expression of CPSF30 in lung cancer activated human telomerase reverse transcriptase (hTERT), which may promote the proliferation of cancer cells (Chen et al. 2013; Chen 2014). These results indicate that changes in the activity of CPSF30 may cause large scale reprogramming of polyadenylation, and suggest that the interactions with other factor such as NS1 can change the functioning of CPSF30 in vivo (Nemeroff et al. 1998).

1.1.3.2 Characteristics of the plant CPSF30

Plants have a polyadenylation complex subunit that is evolutionarily related to CPSF30, and the core of the protein (a central set of three zinc finger motifs) is conserved in animals, yeast and plants. Unlike mammals, yeast, and *Drosophila*, the gene encoding CPSF30 in *Arabidopsis* can produce two transcripts that result from the different poly(A) site usage (Zhang et al. 2008). The smaller transcript encodes a 28kD protein, while the larger one encodes a 65kD polypeptide which contains the smaller protein and a YTH domain-containing polypeptide (Zhang et al. 2008). In higher plants, the orthologs of CPSF30 are quite diverged from the algae and yeast (Chakrabarti and Hunt 2015). Also, the monocot and dicot CPSF30 isoforms belong to distinct clades that reflect the evolution of these different groups of plant species (Chakrabarti and Hunt 2015). Additionally, the smaller and larger CPSF30 isoforms fall into similar clades, indicating that the complex plant CPSF30 gene has a common ancestor (Hunt et al. 2012).

Biochemical properties of the Arabidopsis CPSF30

The *Arabidopsis* ortholog of CPSF30 (termed AtCPSF30) contains three predicted CCCHtype zinc finger motifs (Delaney et al. 2006). The first CCCH motif is responsible for bulk of RNA-binding activity. AtCPSF30 can bind with calmodulin, and the RNA-binding activity of AtCPSF30 is inhibited by calmodulin in a calcium-dependent manner (Delaney et al. 2006). The third motif is associated with endonuclease activity (Addepalli and Hunt 2007b). Previous studies showed that the endonuclease activity of AtCPSF30 can be inhibited by disulfide bond reducing agents (Addepalli and Hunt 2008). The reason is the existence of a disulfide bond that is required for the nuclease activity (Addepalli et al. 2010). There is an *Arabidopsis* mutant that can tolerate oxidative stress, called *oxt6*. This mutant has a T-DNA insertion in the gene that encodes AtCPSF30 (Chakrabarti and Hunt 2015). A set of genes which encode proteins containing thioredoxin- or glutaredoxinrelated domains and proteins containing protein disulfide isomerase-like features are regulated by this protein (Zhang et al. 2008), consistent with the oxidative stress tolerance phenotype.

Protein-protein interactions and the localization of AtCPSF30

AtCPSF30 interacts with numerous other proteins in the polyadenylation complex (Hunt et al. 2008). One study found that AtCPSF30 can interact with itself (Delaney et al. 2006), but the function of this interaction in the polyadenylation process is not clear. Previous studies also showed that AtCPSF30 interacts with other polyadenylation factors, including CPSF100, CPSF73, CstF77 and Fip1 proteins (Delaney et al. 2006; Xu et al. 2006; Addepalli and Hunt 2007b; Chakrabarti and Hunt 2015). These studies show that AtCPSF30 is a central hub in the protein-protein interaction network of plant polyadenylation complex subunits (Hunt et al. 2008; Chakrabarti and Hunt 2015). In transient assays, AtCPSF30 was found to localize in the cytoplasm when expressed by itself, but when co-expressed with CPSF73 or CPSF160, it localized to the nucleus. This indicates that interactions with other polyadenylation factors are necessary for nuclear localization of AtCPSF30 (Rao et al. 2009).

AtCPSF30 functions in cellular signaling and plant development

The AtCPSF30 is able interact with calmodulin, and the calmodulin domain overlaps the first zinc finger domain of this protein (Delaney et al. 2006). Interestingly, this motif matches one of the two motifs involved in the interaction of CPSF30 with the NS1 influenza protein (NS1) in animals (Nemeroff et al. 1998). The RNA binding activity of the first zinc finger can be inhibited in a calcium-depended manner, indicating that calmodulin might be perform a regulatory role in RNA processing (Delaney et al. 2006). In plants, calcium is a secondary messenger in cellular signaling pathways that can perceive environmental and developmental conditions (Sarwat et al. 2013). Calcium binds with various calcium sensors such as calmodulin and can give rise to conformational changes, which further mediate the interactions with downstream targets (Sarwat et al. 2013). The binding of AtCPSF30 to calmodulin in a calcium-dependent manner may provide a possible link between polyadenylation and responses of environmental stress and developmental changes (Zhang et al. 2008; Liu et al. 2014).

The functional significance of calmodulin binding activity with AtCPSF30 was tested in diverse developmental processes and in response to various stimuli (Zhang et al. 2008; Liu et al. 2014). Several novel phenotypes in the *oxt6* mutant were reported (Zhang et al. 2008). These phenotypes include reduced lateral root formation, altered responses to plant growth regulators, lower fertility, and resistant to oxidative stress. The wild type growth,

development, and responses to different kinds of growth regulators and to oxidative stress can be restored by a transgene that encodes the wild type AtCPSF30 (the small protein encoded by the *OXT6* gene) (Zhang et al. 2008). This suggests that the large proteins encoded by the same gene are not necessary for the functioning of AtCPSF30 in these processes. In contrast, a mutant AtCPSF30 that cannot bind to calmodulin only partially restores wild type phenotypes to the *oxt6* mutant (Liu et al. 2014). This transgene was not able to restore wild-type lateral root development and responses to other plant hormone such as IAA, gibberellic acid and 6-BA. These results showed that AtCPSF30 has calmodulin binding-dependent or -independent roles that help to regulate different developmental and stress responses. Another study showed that AtCPSF30 plays critical role for the programmed cell death (PCD) and is required for resistance to *Pseudomonas syringe*, by regulating both basal resistance and R gene-mediated defense responses (Bruggeman et al. 2014).

AtCPSF30 mediates APA in a large set of genes

The phenotypes of the *oxt6* mutant suggest that AtCPSF30-mediated alternative polyadenylation is essential for the expression of genes related to these phenotypes. Especially, the cellular signaling system that might be involved in either the calmodulin or disulfide bond remodeling could inhibit AtCPSF30 (Delaney et al. 2006; Addepalli and Hunt 2008). This raises the possibility of alteration of poly(A) sites by cellular signaling. When genome-wide poly(A) site choice was compared between the *oxt6* mutant and wild type, more than half of all expressed genes had different poly(A) site profiles in the *oxt6* mutant compared with wild-type *Arabidopsis*. Three classes of poly(A) site were seen: wild type specific (wt-specific), *oxt6* mutant specific (*oxt6*-specific), and common (seen both in

wt-specific and *oxt6*-specific). The wt-specific and *oxt6*-specific sites were around 70% of all the sites seen in the wt and mutant. In contrast, only around 30% were common sites (Thomas et al. 2012). These sites fell into various genomic regions, such as 5'UTRs, coding regions, introns and 3'UTRs. Interestingly, the genes associated with stress responses were over-represented in the set of genes with APA sites within 5'UTRs and protein coding regions that were dependent on AtCPSF30. This suggests a role for AtCPSF30 mediated APA in the regulation of gene expression associated with stress responses (Chakrabarti and Hunt 2015).

In animals, the differential usage of poly(A) sites can give rise to shorter or longer mRNA isoforms (Ran et al. 2013; Gruber et al. 2014). In fast growing undifferentiated cells, genes subjected to alternative polyadenylation use proximal poly(A) sites and thereby produce shorter mRNA isoforms. This leads to higher levels of expression by omitting microRNA targets or recognition sites for other regulatory proteins. Usage of distal poly(A) sites can generate longer isoforms that may be subject to modes of negative regulation. A similar pattern of proximal/ distal poly(A) site usage is not seen in the case of the *oxt6* mutant (Thomas et al. 2012). However, poly(A) sites seen only in the *oxt6* mutant lacked one of the three *cis* elements (the Near Upstream Element or NUE) that together constitute a canonical plant polyadenylation signals. Therefore, while the organization of alternative sites in these two systems might be different, it seems that the association with suboptimal polyadenylation signals with proximal poly(A) sites may be a common mechanism of alternative polyadenylation (Ran et al. 2013).

The genome-wide studies of poly(A) site choice in the *oxt6* mutant showed that AtCPSF30mediated alternative polyadenylation has the potential to impact a large set of genes in *Arabidopsis*. This result coincides with the numerous phenotypes that are displayed in the *oxt6* mutant (Zhang et al. 2008). As mentioned above, the cellular signaling systems that cause the changes in calmodulin binding activity and/or disulfide linkages should inhibit AtCPSF30 (Delaney et al. 2006; Addepalli and Hunt 2008). This suggests that cellular signaling pathways have the possibility to change the regulation of a large set of genes at the posttranscriptional level by altering the usage of AtCPSF30-dependent sites (Chakrabarti and Hunt 2015).

1.2 Alternative polyadenylation in Eukaryotes

1.2.1 The definition and types of alternative polyadenylation

A large portion of eukaryotic genes contain more than one potential poly(A) site (as defined by the FUE, NUE, and poly(A) signal), raising the possibility of alternative polyadenylation (APA) (Di Giammartino et al. 2011; Lutz and Moreira 2011; Shi 2012). More than 50% of human genes show alternative polyadenylation was based on genome level analysis (Tian et al. 2005; Yan and Marr 2005), while more than 70% of all genes were affected by APA in *Arabidopsis* (Meyers et al. 2004; Wu et al. 2011). Four types of alternative polyadenylation have been described in higher eukaryotes. In type I alternative polyadenylation, there is more than one polyadenylation signal in the 3'-UTR in the same terminal exon. This results in more than one mRNA isoform each of which encodes an identical protein. APA in this case may alter mRNA stability or translatability, or may have other downstream effects (Di Giammartino et al. 2011; Chakrabarti and Hunt 2015). Type II alternative polyadenylation involves poly(A) sites that are present in upstream introns. This type APA can invoke alternative splicing along with alternative polyadenylation. The truncated protein may or may not be produced, due to the stability of the mRNA, the presence of an in frame stop codon, and the translational competence of the mRNA. In addition, the products of APA in these cases may or may not trigger non-sense mediated decay to degrade these transcripts (Lutz and Moreira 2011; Shi 2012). In type III alternative polyadenylation, the poly(A) sites are present in the upstream exons. With no premature termination codon and no in-frame stop codon, these mRNA isoforms will be degraded through non-stop decay pathways (Daniel and Lynne 2012; Klauer and van Hoof 2012). The last type of alternative polyadenylation has poly(A) sites that are present in the 5'-UTR. These products might- or might- not be stable.

1.2.2 Widespread occurrence of alternative polyadenylation

In human, mouse, worm and plant, recent analysis of genome-wide data showed that alternative polyadenylation is pervasive. In many instances, alternative polyadenylation plays some important roles in control of global physiological events such as cell proliferation, differentiation, transformation and developmental programs (Sandberg et al. 2008; Ji et al. 2009; Mayr and Bartel 2009).

1.2.2.1 Widespread occurrence of alternative polyadenylation in animal

In early studies of expressed sequence tags and more recent next generation sequence analyses, alternative polyadenylation in animals was seen to be common and frequently involves 3'UTRs. At least 70% of mammalian mRNA-encoding genes produce alternative polyadenylation isoforms (Derti et al. 2012; Mainul et al. 2012). In animals, alternative polyadenylation may change the expression of mRNA and protein isoforms when poly(A) sites fall into the intronic- or coding- regions of a gene (Derti et al. 2012). However, based on individual gene studies, most instances of alternative polyadenylation involve multiple sites in 3'UTRs (Gautheret et al. 1998). It has been demonstrated that different 3'UTR can effect mRNA stability or translatability (Mainul et al. 2012). Apparently, the longer 3'UTRs have more RNA cis regulatory elements and AU-rich content than short 3'UTRs. In addition, 3'UTRs are the most common location for microRNA target sites (Tian et al. 2005; Di Giammartino et al. 2011; Sun et al. 2012). Several studies have shown that APA affects different biological processes including immune responses, neuron activity, animal development, tumorigenesis and metastasis.

APA in immune response

In the immune response in mammals, hundreds of genes were found to switch their poly(A) sites during T cell activation (Lutz and Moreira 2011). Most of the events favored the production of shorter 3'UTR isoforms in activated T lymphocytes. This kind of event also occurred after anti-CD40 and interleukin-4 stimulation of B cells, and also upon lipopolysaccharide- and interferon- γ - stimulation of human monocytes. These studies indicate that there is a strong correlation between 3'UTR shortening and cell proliferation. The output of protein is impacted by the differential usage of 3'UTR isoforms, typically with the shorter one producing more protein through avoidance of microRNA-mediated translational repression (Ji et al. 2009), or of degradation by AU rich elements or other regulatory elements (Legendre et al. 2006).

APA in neuronal cells

In neurons, APA regulates the expression of the brain-derived neurotrophic factor (BDNF) gene, resulting in two mRNA isoforms with different 3'UTR lengths (Timmusk et al. 1993). The long and short mRNA isoforms have different localizations: the long isoforms

are found in dendrites, while the short isoforms are localized in somata. The short mRNA isoforms lead to smaller spine head diameters and more spines in the apical dendrites (Sandberg et al. 2008). In the central nervous system, a series of transcription factors are activated by environmental stimuli, such as myocyte enhancer factor 2 (MEF2) that mediates the expression of hundreds of genes (Barreau et al. 2005). For many of these neuron activity-dependent genes, extracellular stimulation can induce APA to produce truncated transcripts (An et al. 2008). The products with short transcripts increase or accelerate protein synthesis (An et al. 2008; Flavell and Greenberg 2008; Shi 2012). In addition, the regulation of transcription activity by APA seems to be widespread in neuronal responses (Flavell et al. 2008).

APA in cell differentiation and development

In contrast to 3'UTR shortening by extracellular stimulation, a global lengthening of 3'UTRs is seen during mouse early embryogenesis (Ji et al. 2009). To confirm in *silico* results, the 3'UTR lengthening process is captured in a mouse muscle differentiation where C2C12 myoblast cells differentiate into myotubes. Also, a weaker polyadenylation efficiency at proximal sites was observed in the differentiation condition from reporter assays, causing 3'UTR lengthening during myoblast differentiation. As well, 3'UTR lengthening was found during *Drosophila* development (Hilgers et al. 2011), suggesting that APA regulation is evolutionarily conserved in animals.

APA in cancer cells

Considering that alternative polyadenylation is important for cellular proliferation and differentiation, it is not surprising that alternative polyadenylation and miRNA-mediated repression are correlated in cancer cell lines (Sun et al. 2012). It has been reported that

oncogenes can be activated by the loss of miRNA target sites, such as high mobility group AT-hook 2 (*HMGA2*) (Hilgers et al. 2011; Sun et al. 2012). Also, the lengths of *Cyclin D1(CCND1)* 3'UTR in mantle cell lymphomas was shortened due to a mutation that produces a premature poly(A) signals, giving rise to an increase in mRNA stability (Wiestner et al. 2007). Another study showed that most of the 23 genes containing more than one poly(A) signal in the 3'UTR expressed shorten isoforms in cancer cell lines, caused by the utilization of the most proximal poly(A) signal (Mayr and Bartel 2009). Furthermore, when *IMP-1* 3'UTRs were fused with a luciferase reporter gene, the result showed that a shortening of UTR and the loss of miRNA target sites led to an increase in protein production (Lee and Dutta 2007). These results indicate that one of the mechanisms of oncogenic transformation is the loss of miRNA sites in the mRNAs of oncogenes. These genes can avoid the miRNA-mediated repression through 3'UTR shortening caused by the alternative polyadenylation (Mayr et al. 2007).

1.2.2.2 Widespread occurrence of alternative polyadenylation in plant

Several studies have been demonstrated that, as in animals, alternative polyadenylation is pervasive in plants. Multiple poly(A) sites were identified in genes that encode phosphoenolpyruvate carboxylase and alpha1-tublin in maize (*Zea mays*) (Yanagisawa et al. 1988; Montoliu et al. 1990), AGAMOUS and rbohA in *Arabidopsis* (Keller et al. 1998; Cheng et al. 2003), chloroplast ascorbate peroxidase in spinach (*Spinacia oleracea*) and tobacco (*Nicotiana*) (Yoshimura et al. 2002), several soybean (*Glycine max*) genes (Xing et al. 2010), and a number of *Medicago* and rice (*Oryza sativa*) genes (Wu et al. 2014; Fu et al. 2016). Also, alternative polyadenylation plays an essential role in a range of biological processes in plants. The S locus genes are important for self-incompatibility in

Brassica. A putative protein was produced from alternative polyadenylation of the premRNA of the S-locus receptor kinase gene within the third intron, while the removal this intron resulted a transcript encoding a predicted membrane-anchored protein (Tantikanjana et al. 1993; Giranton et al. 1995). In cotton (*Gossypium*), the gene that encodes lysineketoglutarate reductase (LKR) can produce two transcripts via alternative polyadenylation. These encode monofunctional and bifunctional LKR polypeptides, respectively. These polypeptides might be pivotal for enabling efficient flux of lysine catabolism under some specific conditions (Tang et al. 2002). The APA of LKR pre-mRNA also was conserved in *Arabidopsis* (Tang et al. 2000).

Alternative polyadenylation and flowering time control

Seasonal flowering control has a complicated but unique gene-regulation mechanism that involves alternative polyadenylation (Hornyik et al. 2010; Liu et al. 2010). Flowering time is negatively regulated by expression of the flowering locus C (*FLC*) gene. In *Arabidopsis*, flowering time control is also regulated by *FPA* and *FCA*, two RNA binding proteins that act independently to repress *FLC* expression and thereby promote flowering (Macknight et al. 2002; Hornyik et al. 2010). Both *FPA* and *FCA* have been reported to repress *FLC* expression by altering APA of non-coding antisense transcripts (Sonmez et al. 2011). On the opposite strand, one promotor located downstream of the poly(A) site of *FLC* produces anti-sense transcripts that have alternative poly(A) sites: one cluster of poly(A) sites (proximal) is situated opposite the terminal intron of *FLC* and another cluster (distal) is situated opposite the *FLC* promoter. The usage of proximal poly(A) sites is promoted by both FPA and FCA (Hornyik et al. 2010; Liu et al. 2010). Also, mutations in the CstF components, CstF64 and CstF77, showed elevation of sense *FLC* transcripts and reduction of antisense *FLC* transcripts, indicating *FLC* antisense transcripts are sensitive to CstF activity (Liu et al. 2010). flowering locus D (*FLD*), a histone H3 Lys 4 (H3K4me2) demethylase, is also needed for effective *FLC* silencing (Liu et al. 2007; Bäurle and Dean 2008; Liu et al. 2010). In addition, FY (the homolog of the 3' processing factor WDR33; (Shi et al. 2009)) can interact with FCA to promote proximal poly(A) site selection in the FCA pre-mRNA, resulting in a truncated, non-functional *FCA* transcript (Simpson et al. 2003). As suggested by Rosonina and Manley (2010), when the proximal poly(A) sites are used in the anti-sense transcript, the transcriptionally active chromatin mark H3K4me2 in the body of the *FLC* gene was removed by the recruited *FLD* demethylase, leading to *FLC* silencing. Vice versa, when the distal poly(A) sites is used in the anti-sense transcript, the positive factors are recruited to the *FLC* promoter, giving rise to enhanced *FLC* mRNA expression (Rosonina and Manley 2010).

Alternative polyadenylation and oxidative stress response

Another well studied example in plants concerns connections between APA and oxidative stress responses. Thus, an *Arabidopsis* mutant deficient in *AtCPSF30* expression was found to be more tolerant than wild type to oxidative stress (Zhang et al. 2008). As stated above, AtCPSF30 is inhibited by calmodulin and sulfhydryl reagents in vitro (Delaney et al. 2006; Addepalli and Hunt 2008) and thus is connected to calcium and redox signaling pathways. In addition, a genome-wide study of poly(A) site choice in the AtCPSF30 mutant (*oxt6*) showed extensive poly(A) site choice changes between wild type and *oxt6*. Specifically, three classes of poly(A) site could be discerned: wild type specific (wt-specific) and *oxt6* mutant specific (*oxt6*-specific), and common sites (seen both in wt and *oxt6* mutant plants).

The wild wt-specific and *oxt6*-specific sites make up around 70% of all sites seen in plants (Thomas et al. 2012).

1.2.3 Biological significance of alternative polyadenylation under stress

APA was described as early as 1980, with studies identifying APA giving rise to the production of both membrane-bound and secreted IgM (Alt et al. 1980). Originally, mRNA polyadenylation events were thought of as separate and distinct, but more recent studies show that all RNA processing events are interconnected and intertwined (Tom and Robin 2002; Moore and Proudfoot 2009; Donny and Robert 2010). Interactions between polyadenylation and transcription, splicing, transcription termination, translation, export and stability are all included in these events (Proudfoot et al. 2002; Kathleen et al. 2009; Donny and Robert 2010). The RNA factors and proteins involved in many of these interconnected processes include those that mediate alternative splicing (AS) and APA (Danckwardt et al. 2007; Hall-Pogar et al. 2007; Melton et al. 2007; Newnham et al. 2010). Recently, studies using transcriptome-wide techniques showed that the transcriptomes are highly complicated and alternative processing of pre-mRNAs at post-transcriptional level significantly contributes to enlarge transcriptome diversity (1999; Wang and Brendel 2006; Shen et al. 2011; Ran et al. 2013; Gupta et al. 2014).

As mentioned above, APA is widespread in animals and plants. Recently, one study showed that APA contributes to the post-transcriptional response to stresses and affects many mRNAs and ncRNAs in mammals (Alexander et al. 2012). The length of the 3'-UTR affects the potential inclusion or exclusion of *cis*-acting RNA sequence elements under stress, such as microRNA binding sites or binding sites for regulatory RNA-binding proteins (Hollerer et al. 2014). Stress-regulated poly(A) sites were identified in intronic and coding regions in plants (Shen et al. 2011; Fracasso et al. 2016). In addition, stressregulated poly(A) sites occurred in intergenic regions in animals, possibly corresponding to 3' elongated mRNA isoforms (Hollerer et al. 2016). Also there is a global tendency towards increased utilization of distal poly(A) sites under stress in animals (Hollerer et al. 2014). This trend also was detected in yeast suffering DNA damage (Graber et al. 2013). These results suggest that a general stress response mechanism of poly(A) site usage is the stress-dependent inhibited usage of proximal and the increased usage of distal poly(A) sites (Hollerer et al. 2016). Interestingly, for more than 70% of genes subject to stress-induced APA, overall expression levels remain unchanged, suggesting that APA can impact the gene expression without altering overall mRNA abundance (Hollerer et al. 2016).

In plants, while there have been studies of stress-associated alternative splicing (AS) (Lopato et al. 1999; Lazar and Goodman 2000; Wang and Brendel 2006; Palusa et al. 2007; Reddy 2007; Reddy et al. 2013; Hollerer et al. 2016), stress-induced APA has not been much studied. While APA is widespread in plants, (Kalyna et al. 2003; Iida et al. 2004; Kalyna et al. 2006; Filichkin et al. 2010; Wu et al. 2011; Xing and Li 2011b), there have been no indications of stress-associated responses. Therefore, stress-induced APA in plants needs further study.

1.3 RNA stability in eukaryotes

1.3.1 Nuclear mRNA degradation pathway

Pre-mRNA synthesis by RNA pol II is coupled with 5'end capping. Also, pre-mRNAs are co-transcriptionally spliced to remove introns. Finally, pre-mRNAs are processed and polyadenylated. From the beginning of mRNA synthesis, different proteins associate with the transcript to form messenger ribonucleoproteins (mRNPs). In nuclear mRNA

processing and in the formation of an export competent mRNP, defects can cause the degradation of nuclear mRNA by surveillance pathways. Defective mRNPs may also be retained in the nucleus to allow time to complete the mRNA processing or to degrade the incorrect transcripts (Jensen et al. 2001b; Patricia et al. 2001).

In nuclear RNA retention and surveillance, an important player is the nuclear exosome that contains several subunits including the evolutionary conserved 3'-5' exonuclease Rrp6. The activity of the nuclear exosome is facilitated by the Trf4/Air2/Mtr4p Polyadenylation (TRAMP) complex that contains the unconventional poly(A) polymerase Trf4p (Lacava et al. 2005). Defective mRNAs are retained at the transcription sites and polyadenylated (Jensen et al. 2001b; Patricia et al. 2001; Thomsen et al. 2003); Rrp6 is required for this nuclear retention (Jensen et al. 2001a; Libri et al. 2002). Mutations in nuclear export factors can lead to premature transcriptional termination and polyadenylation defects, which can induce mRNA retention and degradation by the exosome (Babour et al. 2012). Unspliced pre-mRNAs can be exonucleolytically degraded in a 3'to 5' direction by the nuclear exosome, or in a 5' to 3' direction by Rat1 (Bousquet-Antonelli et al. 2000). Unspliced pre-mRNAs also can be exported to the cytoplasm where they can trigger the cytoplasmic quality control pathway (Sayani et al. 2008). In yeast and humans, the regulation of the levels of a small subset of genes involves the nuclear exosome and the RNA polyadenylation activity of TRAMP (Arigo et al. 2006; West et al. 2006; Schmid and Jensen 2008). Additionally, it has been suggested that all mRNAs are subjected to a certain rate of nuclear degradation, which is controlled by the degree of the nuclear retention of each mRNA (Kuai et al. 2005).

1.3.2 Cytoplasm mRNA degradation pathway

The degradation of mature mRNAs mainly happens in the cytoplasm. There are different degradation pathways for cytoplasmic decay of eukaryotic mRNAs (Balagopal et al. 2012; Parker 2012; Wu and Brewer 2012; Schönemann et al. 2014). Usually, mRNA decay starts at the 3'-end poly(A) tail with deadenylation. Depending on the mRNP, the poly(A) tail can be shortened by one of three different deadenylation complexes: PAN2 and 3, the Ccr4-NOT complex, or Poly(A)-Specific Ribonuclease (PARN) (Aaron and Marvin 2008). For each mRNA, the shortening rate is specific and is an important process that defines the mRNA half life (Cao and Parker 2001). Following deadenylation, the cytoplasmic exosome can exonucleolytically degrade the mRNA in a 3'to 5' direction (Anderson and Parker 1998). Usually, the shortening of the poly(A) tail is followed by the 5'-cap removal by the decapping complex Dcp2/Dcp1, and subsequent degradation in a 5'-3' direction by the exoribonuclease Xrn1 (Hsu and Stevens 1993; Muhlrad et al. 1994). For some mRNAs, decay can start with internal endonucleolytic cleavage, and subsequently degradation by the exosome and Xrn1(Bracken et al. 2011). The deadenylation of mRNAs is usually a ratelimiting step, and the length of the poly(A) tail plays a critical role in gene expression by regulating mRNA decay and translation (Decker and Parker 1993). The association of the poly(A) tail with the poly(A) binding protein Pab1(PABP in mammals) is essential for poly(A) tail shortening as well as for mRNA translation (Mangus et al. 2003).

mRNA decapping was considered to be an irreversible step in mRNA degradation. But a recent study has describled that previously cleaved RNAs can be re-capped in mammalian cells (Schoenberg and Maquat 2009; Mukherjee et al. 2012). Therefore, this raises the possibility that mRNA decay may be blocked by re-capping, even if part of the mRNA is degraded by Xrn1. Usually, decapping occurs after mRNA poly(A) tail shortening (Decker

and Parker 1993), and the poly(A) tail and poly(A)-binding protein Pab1 serve as the negative regulators of decapping (Tharun 2009). For some transcripts, deadenylationindependent decapping has been reported (Badis et al. 2004; Muhlrad and Parker 2005). The cytoplasmic cap-binding complex eIF4F at the 5'-cap is replaced by the decapping complex Dcp2/Dcp1 for deadenylation-independent decapping. Therefore, the process of decapping competes with translation iniation (Li and Kiledjian 2010; Parker 2012). Decapping plays an important role for gene expression control, which is suggested by the large set of factors that modulate decapping activity (Nissan et al. 2010). To stimulate the formation of a decapping complex or by enhancing decapping activity, many factors positively affect decapping, such as Edc1, Edc2, Edc3 and the Lsm1-7 complex. Translation initiation can be directly inhibited by some of the factors that promote decapping, such as Scd6 (Rajyaguru et al. 2012) and Stm1 (Balagopal and Parker 2011). The DEAD-box helicase Dhh1, which is another decapping enhancer, seems to inhibit translation after initiation, thereby leading to the accumulation of ribosomes on the transcripts (Sweet et al. 2012). Similarly, Pat1 can directly enhance decapping by interacting with the Lsm1-7 complex and Dcp2, and thereby repress translation (Pilkington and Parker 2008; Nissan et al. 2010). The Ccr4-NOT deadenylation complex and the Dcp1-Dcp2 decapping complex associate with Pat1 in humans, thus providing a possible link for these two processes (Marnef and Standart 2010; Ozgur et al. 2010). All these results show that Pat1 might be a key player in silencing gene expression in eukaryotes by acting as a scaffold protein for the sequential binding of translational repression and decay factors onto mRNPs (Marnef and Standart 2010).

After decapping, the 5'-3' exonuclease Xrn1 acts in mRNA degradation. Xrn1 is the critical enzyme responsible for the turnover of translatable mRNAs, and is also involved in many mRNA quality control pathways including the degradation of mRNAs targeted by siRNAs and miRNAs (Jones et al. 2012). The degradation of the mRNA from the 3'end is catalzyed by the exosome. The cytoplasmic exosome is a multiprotein complex with 3'-5'exonuclease activity. The exosome consists of nine core subunits and other related subunits, some of which have nucleolytic activity, others of which regulate the catalytic activity and substrate specificity of the complex. The exosome can differ between different species (Lykke-Andersen et al. 2011).

1.3.3 RNA quality control pathways in Eukaryotes

In eukaryotes, there are at least three kinds of defects in mRNAs that affect the translating ribosome and activate co-translational quality control pathways. These are discussed in the following subsections.

Nonsense-mediated decay pathway

The first type to be discovered and best-known RNA quality control pathway is nonsense mediated mRNA decay (NMD). mRNAs that contain premature termination (stop) codons (PTCs) can lead to premature translation termination and trigger nonsense mediated mRNA decay (NMD) pathway (Figure 1.2) (Losson and Lacroute 1979; Maquat et al. 1981). Multiple mechanisms can give rise to PTCs in mRNAs. The most common one is thought to be cryptic or alternative splicing. These splicing events can lead to PTCs by either causing frame shifts within the coding region, or exposing stop codons within retained introns or alternative exons (Lareau et al. 2007; McGlincy and Smith 2008; Sayani et al. 2008). Also, transcription errors, genetic mutations, or recombination events can cause

PTCs (Chang et al. 2007; Isken and Maquat 2007; Rebbapragada and Lykke-Andersen 2009; Schweingruber et al. 2013). In addition, it seems like a subset of normal endogenous mRNA is targeted by the NMD pathway (Joshua et al. 2004; Guan et al. 2006; Johansson et al. 2007). When translation is terminated by a ribosome at a PTC, several NMD factors associate with the PTC-containing mRNA and target it for degradation by RNA decay enzymes. These NMD factors included the Upf and Smg proteins (Leeds et al. 1991; Leeds et al. 1992; Pulak and Anderson 1993). Depending on the specific mRNA and organism, NMD degradation is initiated by de-adenylation, de-capping, or endonucleolytic cleavage (Muhlrad et al. 1994; Chen and Shyu 2003; Mitchell and Tollervey 2003; David and Elisa 2004). Subsequently, the degradation of mRNA body involves disassembly of the initial mRNA-protein complex, which is dependent on the ATPase activity of the central NMD factor Upf1 (Franks et al. 2010).

The specific functions of Upf/Smg proteins that distinguish premature from normal translation termination remain under investigation. Translation termination mediated by the eRF1–eRF3 termination complex at a PTC is thought to be not efficient, owing to the absence of a normal 3'-UTR (Amrani et al. 2004). The cytoplasmic poly(A)-binding protein (PABPC) that is associated with 3'-UTRs promotes efficient translation termination event inhibits NMD when the cytoplasmic poly(A)-binding protein is in close proximity to the termination complex, whereas termination allows assembly of NMD factors when PABP is removed from the termination complex (Amrani et al. 2008; Singh et al. 2004; Behm-Ansmant et al. 2007; Eberle et al. 2008; Ivanov et al. 2008; Singh et al. 2008). In metazoans, NMD may also be triggered when termination occurs upstream of exon-exon junctions. These junctions are

recognized by the exon-junction complex that is deposited during pre-mRNA splicing in the nucleus and that interacts with Upf proteins in the cytoplasm (Kim et al. 2001; Le Hir et al. 2001; Lykke-Andersen and Steitz 2001; Gehring et al. 2003; Singh et al. 2007). Additional mRNP components serve to distinguish normal mRNAs from those targeted for NMD (González et al. 2000; Singh et al. 2007; Meaux et al. 2008). Moreover, the NMD pathway actively inhibits the recruitment of new ribosomes to the target mRNA (Muhlrad and Parker 1999; Isken et al. 2008). In addition, the native polypeptide that is produced from a PTC-containing mRNA is subjected to proteolysis in a way stimulated by Upf1, a core NMD factor (Kuroha et al. 2009; Verma et al. 2013).

Non-stop decay pathway

Another type of mRNA defect is one that results in the absence of a termination codon. Once the translating ribosome reaches the mRNA 3'end without encountering a termination codon, the mRNAs are subjected to the nonstop decay pathway (NSD) (Figure 1.3) (Frischmeyer et al. 2002; van Hoof et al. 2002). When polyadenylation occurs prematurely within the protein coding region, the mRNAs are likely be non-stop mRNAs (Frischmeyer et al. 2002; van Hoof et al. 2002). Truncated mRNAs resulting from endonucleolytic cleavage events within the protein coding region are another possible source of NSD substrates (Tsuboi et al. 2012; Matsuda et al. 2014). However, the degradation of mRNAs endonucleolytically cleaved by a hammerhead ribozyme does not require the NSD machinery for rapid degradation, indicating that the general exonucleolytic mRNA decay pathway may be more predominate than the NSD pathway on such substrates (Meaux and Van Hoof 2006). NSD has been intensely studied in *Saccharomyces cerevisiae*, where results have shown an important role for the GTPase Ski7, which is a homologue of the translation termination factor eRF3 (van Hoof et al. 2002). Ski7 also has an N-terminal domain that is important for the catalytic activity of the cytoplasmic form of the S. cerevisiae 3' to 5' exonuclease exosome complex (van Hoof et al. 2000; van Hoof et al. 2002). Deletion of any one of these Ski7 domains can stabilize mRNAs targeted for NSD and lead to the inactivation of exosome components (van Hoof et al. 2002; Schaeffer and van Hoof 2011). Based on these results, Ski7 might enter the empty A-site of ribosomes which are stalled at the 3' end of nonstop mRNAs and recruit the exosome to trigger mRNA degradation (van Hoof et al. 2002). Recent studies indicate a potential role for another eRF3-homologous factor Hbs1, which associates with eRF1-related factor Dom34 in S. cerevisiae NSD. Deletion of these factors and of 5' to 3' or 3' to 5' exonucleases can cause increased stability of NSD substrates and accumulation of degradation intermediates (Tsuboi et al. 2012). In addition, the Hbs1-Dom34 complex, along with the ribosome cycling ATPase Rli1 (that is called ABCE1 in humans), promotes disassembly of ribosomes when stalled at/near the 3' end of mRNA (Pisareva et al. 2011; Shoemaker and Green 2011). These observations suggest that the active release of the ribosome by Hbs1-Dom34 and Rli1 might be necessary for efficient degradation of an mRNA targeted for NSD (Lykke-Andersen and Bennett 2014). Besides S. cerevisiae, NSD has also been studied in human (Homo sapiens) tissue culture cells (Frischmeyer et al. 2002; Saito et al. 2013). Some studies showed that the absence of a stop codon has no effect on the decay rate of specific mRNAs in human cells (Akimitsu et al. 2007; Torres-Torronteras et al. 2011). One possible reason is that relative contributions of translation repression and mRNA decay are substrate dependent. In

addition, other studies indicate that siRNA-mediated depletion of Hbs1 or Pelota (the human orthologue of Dom34) can lead to impaired NSD in human tissue culture cells (Saito et al. 2013).

No-go decay pathway

No-go decay (NGD) is the third type of mRNA surveillance mechanism discovered in yeast. NGD can be triggered when translation elongation is inhibited, leading to endonucleolytic cleavage and rapid mRNA degradation (Meenakshi and Roy 2006). RNA structure elements (Meenakshi and Roy 2006), rare codons and polylysine (Gandhi et al. 2008), and mRNA depurination by a viral enzyme (Tsuboi et al. 2012) are reported to activate the NGD pathway. Near the ribosome stall site, NGD is initiated by endonucleolytic cleavage, which can physically impede the translational machinery from moving down the transcript (Meenakshi and Roy 2006). During this process, Hbs1 and Dom34 play important roles by binding the A site of stalled ribosomes and promoting the recycling of ribosome subunits (Kobayashi et al. 2010). One previous study showed that Hbs1 and Dom34 can stimulate an initial endonucleolytic cleavage event (Meenakshi and Roy 2006; Passos et al. 2009; Tsuboi et al. 2012), while another study demonstrated that NGD can be triggered by the stalled ribosome event in the absence of Hbs1 and Dom34 (Passos et al. 2009; Tsuboi et al. 2012). The protein complex Hbs1-Dom34 is related to eRF1 and eRF3, respectively. Therefore, Hbs1-Dom34 can directly interact with the stalled ribosome. In addition, Hbs1 is related to NSD factor Ski7, which mediates the release of stalled ribosomes at the end of transcripts without a stop codon. Perhaps Hbs1 performs the similar function in NGD as Ski7 (Chang et al. 2007; Graille et al. 2008). Besides S. cerevisiae, the NGD pathway was detected in Drosophila S2 cell (Passos et al. 2009). In

addition, the ribosome stalling has been associated with transcript decay in *Arabidopsis*(Onouchi et al. 2005), which suggests that it might be a conserved process in plants.

1.3.4 The significance of mRNA stability

The importance of mRNA stability is demonstrated by the phenotypes of mutants where the genes encoding components of systems involved in mRNA stability have been disrupted. For example, mice deficient for the tristetraprolin, an RNA-binding protein that controls the stability of mRNAs such as granulocyte/macrophage colony-stimulating factor and tumour necrosis factor, have a systemic inflammatory syndrome with autoimmunity and myeloid hyperplasia (bone marrow overgrowth) (Carballo and Blackshear 2001). In *Caenorhabditis elegans*, adults carrying mutations in dicer (*dcr-1*), a double-stranded endoribonuclease which plays an important role in the first steps of the RNA interference pathway, are sterile and have defects in developmental timing (Grishok et al. 2001; Knight and Bass 2001). Arabidopsis mutants with mutations in the gene that codes for DST1, that regulates the stability of RNAs such as CCL and SEN1, have defects in circadian rhythms (Gutierrez et al. 2002). Moreover, the D-subunit of photosystem I (PSI-D) is encoded by two functional genes, PsaD1 and PsaD2 in Arabidopsis. The single-gene mutation of the psad1-1, which markedly affects the accumulation of PsaD mRNA and protein, and photosynthetic electron flow(Ihnatowicz et al. 2004). In the same mutant, the levels of PSI and PSII polypeptides are decreased, and leaf color become light green and increased photosensitivity (Ihnatowicz et al. 2004). These results suggest that ribonucleases and associated factor can be specifically regulated and can target essential RNAs that play critical roles in these developmental and cellular processes.

The control of mRNA stability is intimately linked with mRNA translation. In Drosophila (Drosophila melanogaster), crucial protein gradients are often dependent on translational suppression of mRNAs encoding proteins such as NANOS and HUNCHBACK, followed by degradation (Macdonald and Smibert 1996). mRNAs being translated are known to form a 'closed loop' conformation, in which the 5'cap is held in close proximity to the poly(A) tail through a series of connecting proteins, such as eIF4E (eukaryotic initiation factor 4E), eIF4G, and poly(A) binding protein (Sachs and Davis 1989; Hentze 1997; Mitchell and Tollervey 2000). This seems to provide a mechanism where mRNAs involved in translation are protected from exonucleolytic degradation. Proteins that repress translation appear to act by disrupting the RNP complex holding the 5' and 3' ends of the RNA together (Fátima and Matthias 2004). Subsequently, the degradation of these mRNAs might require interaction between the translation apparatus and mRNA-degradation machinery. Therefore, studying ribonucleases and ribonuclease-associated factors is not only important for understanding the control of mRNA degradation, but also for understanding mechanisms of translational repression. The links between these processes and mRNA stability need to be further study, which may also shed light on the mechanisms of degradation of specific mRNAs in eukaryotes (Newbury 2006).

1.4 Summary

In eukaryotes, the process of alternative polyadenylation plays an important role in the expression of genes. Previous studies showed that poly(A) site choices were changed in different genomic regions under stress conditions, in yeast (Yoon and Brem 2010), mammals (Sandberg et al. 2008; Mainul et al. 2012) and plants (Shen et al. 2011; Wu et al. 2011; Wu et al. 2014). Poly(A) site choice has been studied genome wide in *Arabidopsis*

subjected to drought stress. This study revealed that alternative polyadenylation might perform a mechanism to up-regulate gene expression under stress conditions in Chapter 2. A study shows that a larger number of poly (A) sites are controlled by CPSF30 in Arabidopsis. Three classes of poly (A) sites were noticed: wild type specific (wt-specific), oxt6 mutant specific (oxt6-specific), and common (seen both in wt-specific and oxt6specific) (Thomas et al. 2012). To test the mRNA isoforms from different genomic regions, the stability of different classes of mRNA isoforms was studied in Chapter 3. These mRNA isoforms had different stabilities between wild type and mutant oxt6. Especially the stability of mRNA isoforms that within the coding region, less stable in wt-specific while more stable in the oxt6-sepcific. What proteins might interact with AtCPSF30 to lead to the poly(A) site choice changes? Different protein-protein interaction techniques were performed to screen the candidate proteins. From localization assays, a previous study (Rao et al. 2009) and this study both found that AtCPSF30 is not only in nucleus, but also in the cytoplasm, suggesting that AtCPSF30 may interact with other proteins. The study displayed that AtCPSF30 interacts with At1g05510, OIL BODY-ASSOCIATED PROTEIN 1A that, in its tyrosine-phosphorylated state, is modulated in response to ABA in Arabidopsis thaliana seeds, and ribosome protein L35 (At5g02610) that belongs to Ribosomal L29 family protein.

When the aberrant RNAs are produced by a variety of events, the defective protein products are more likely to be misfolded and sometimes have dominant-negative effects (Roman et al. 1991; Ishigame et al. 2013). Thus, it is not surprising that organisms evolved a number of quality control processes to detect errors in mRNAs and subject them to rapid degradation (van Hoof and Wagner 2011). The defective mRNAs interfere with translation,

the corresponding mRNA- and protein-quality control processes all take advantage of the ribosome for aberration recognition (Shoemaker and Green 2011). The AtCPSF30 interacts with ribosome protein, and the stabilities of noncanonical mRNA isoforms are mediated by AtCPSF30. This provides a possibility that AtCPSF30 may be involved in mRNA quality control pathways.

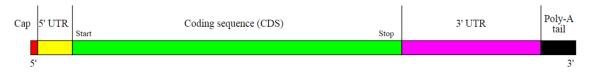


Figure 1.1 Mature mRNA in eukaryotes

A typical structure of mature mRNA in Eukaryote (Plants and Animals). It contains a CAP (A 7-methylguanosine attached from the 5' carbon by a triphosphate bridge to the 5' carbon of the terminal nucleotide of the mRNA), 5' UTR (5' end untranslated region), CDS (coding region), 3' UTR (3' untranslated region) and a poly(A) tail that interacts with the poly(A) binding proteins (PABPs).

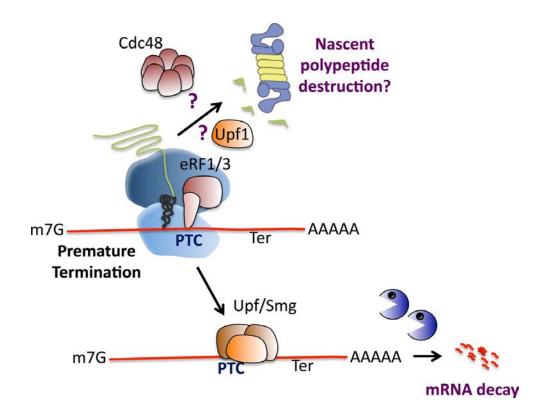


Figure 1.2 Non-sense mediated decay pathway (cited from Lykke-Andersen et al, 2014)

The mRNAs that contain a premature termination codon (PTC) are degraded when a stall in the eRF1/eRF3-dependent translation termination process is detected by Upf and Smg proteins. Here Upf3, together with Upf1 and Upf2, may signal the presence of the PTC to the 5'end of the transcript, resulting in decapping and rapid exonucleolytic digestion of the mRNA. Some evidence shows that the resulting truncated protein product can be targeted for ubiquitylation and destroyed by the proteasome in an Upf1- and Cdc48-dependent manner. m7G refers to the mRNA 7-methyl guanosine cap; AAAAA refers to the poly(A)tail; Ter indicates a normal termination codon.

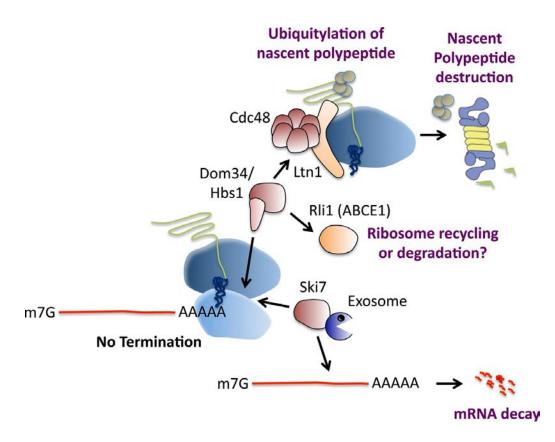


Figure 1.3 Non-stop decay pathway (cited from Lykke-Andersen et al, 2014)

The ribosome reaches the mRNA's 3'end without encountering a stop codon which is detected by the eRF3-like factor Ski7 and the mRNA targeted for decay by the exosome. Ski7 is thought to bind to the empty ribosomal A site, thereby the stalled ribosome is thought to be released by the Hbs1–Dom34–Rli1 complex, and thus recruit the exosome, resulting in rapid decay from the 3'end. The destruction of the defective protein products resulting from NSD mRNA substrates is mediated by ubiquitin pathway components Ltn1 and Cdc48.

Chapter Two: Alternative polyadenylation as a potential mechanism to respond to drought stress in *Arabidopsis thaliana*

2.1 Introduction

Plants are under several different environment stresses which all impact growth and development (Seki et al. 2003; Farooq et al. 2009a; Farooq et al. 2009b). Among these, drought is considered the most devastating abiotic stress factor limiting crop productivity in modern agriculture worldwide (Zhang 2003; Fracasso et al. 2016). Climate change and water scarcity both give rise to drought stress. More than others, water becomes a resource in demand given current and future human population and societal needs (Rosegrant and Cline 2003). Therefore, an understanding of drought stress in relation to plant growth is very important for sustainable agriculture.

The adaptation of plants to stress requires tight regulation of gene expression. Gene expression is regulated at the levels of transcription and translation and by increasing or buffering transcriptional effects by post-transcriptional mechanisms (Suzanne and Pamela 2008; Hollerer et al. 2016). Recent studies have shown the crucial role of alternative polyadenylation (APA) in different species and have connected APA to epigenetic regulation associated with various biological processes (Lutz and Moreira 2011; Xing and Li 2011b; Ma et al. 2014a). In mammals, APA can give rise to a global shortening of 3'-UTRs in proliferating cells and in cancer (Sandberg et al. 2008; Mayr and Bartel 2009; Elkon et al. 2012; Lin et al. 2012; Felice-Alessio et al. 2013). Also, the APA can result in a transcriptome-wide 3'UTR lengthening in differentiated cells and tissues (Sandberg et al. 2008; Mainul et al. 2012). Besides 3'UTRs, poly(A) sites can fall into other genomic regions of pre-mRNA. Genome-wide studies in yeast showed that the mRNA isoforms

with 3' ends within protein-coding regions were up-regulated in cells subjected to environment stresses (Yoon and Brem 2010). This was also seen in selected genes (Sparks and Dieckmann 1998). mRNA isoforms with 3' ends that lie within noncanonical regions have been described in plants (Shen et al. 2011; Wu et al. 2011; Wu et al. 2014). In *Arabidopsis and Medicago truncatula*, polyadenylation within the coding region seems to be evolutionarily conserved, suggestive of an important function for these mRNA isoforms (Wu et al. 2014). Genes associated with polyadenylation within coding regions and introns tend to encode proteins and enzymes associated with stress responses in *Arabidopsis* (Wu et al. 2011). These studies suggest that APA may be important for regulating stress responses in plants.

Given the connections between genes associated with stress responses and APA, we hypothesized that drought stress may cause changes in the usage of polyadenylation site to generate different mRNA isoforms. In this context, studies were conducted to evaluate differential poly(A) site usage in plants exposed to simulated drought conditions achieved by growth of plants on media containing mannitol. The results showed the levels of mRNA isoforms with 3' ends within coding sequences and 5'UTRs increase after different drought treatments. However, the mRNA isoforms with 3' ends in 5'-UTRs and in coding regions significantly increase after times as short as one hour. This suggests that APA may be among the early response mechanisms for responses to drought. The GO analysis showed that transcription, post-transcription and translation are processes overrepresented for the mRNA isoforms that fall into 5'UTR and CDS genomic region, especially the CDS region under drought stress. Taken together, these experiments indicate that the non-canonical

isoforms derived from APA within 5'UTRs and CDSs may play important roles in coping with drought stress.

2.2 Results

To study the relationship between drought stress and alternative polyadenylation, *Arabidopsis* plants were subjected to a number of different stress treatments, and poly(A) site choice subsequently analyzed. In one set of experiments, *Arabidopsis* plants were grown for two weeks in the presence of different concentrations of mannitol in MS solid medium to simulate sustained drought stress. In another set of experiments, *Arabidopsis* plants were grown under normal conditions for two weeks and then placed in liquid media containing different concentrations of mannitol. Plants so treated were harvested after different times for RNA isolation and further study (Figure 2.1).

2.2.1 Validation of drought stress treatments with qRT-PCR

To make sure that both short- and long-term drought stress was effective, potential reference genes were chosen for qRT-PCR in *Arabidopsis thaliana*. Based on previous studies and TAIR (Huang et al. 2008), one internal control Act II and eight diagnostic genes were used to test the effectiveness of the drought stress treatments. Primers were designed to assess the transcript accumulation of candidate genes (Table 2.1).

The qRT-PCR results revealed that the expression levels of the selected diagnostic genes increased when plants were grown on solid media containing increasing concentrations of mannitol (Figure 2.2). The expression levels of all the diagnostic genes increased when plants were grown on 100mM mannitol treatment, such as *MEPRIN* and *TRAF HOMOLOGY PROTEIN* (At1g58270), ABA signaling regulator *PROTEIN PHOSPHATASE2C* (*PP2C*; At3g11410) and the *SM-LIKE PROTEIN* (At5g48870).

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Moreover, the expression levels of some of these genes decreased as the concentration of mannitol increased. For other genes, expression remained the same or increased with increasing mannitol concentrations. The fold change of At1g58270 is 3.4, while the previous study was 4.0 under drought (Huang et al. 2008), which is mainly for drought-responsive genes that are regulated by plant hormones. Several studies demonstrated At3g11410, which encodes the ABA regulator PP2C, is involved in drought stress (Harb et al. 2010; Joshi et al. 2016; Sinha et al. 2017). The gene At2g41190 was also used as reference to validate gene expression alterations due to treatment and increases in expression of this gene have been documented to be among the greatest under dehydration stress (Qin et al. 2008). However, the expression level change of At2g41190 in this study was only from 2.1 to 4.5 relative to the *ACTIN* housekeeping reference gene. Nevertheless, these results showed that the mannitol treatment could effectively induce the drought response.

To further explore different conditions for the drought stress study, a time and concentration series treatment was performed with plants exposed to 0Mm, 100mM, 250mM, and 300mM mannitol (Figure 2.1). qRT-PCR was used to validate these treatments using the diagnostic genes listed (Table 2.1). The results showed that the expression levels for most genes increased upon exposure to 100 mM mannitol at all time points. However, as for the study in Fig 2.2, there were some difference with some of the genes. Most notable was that At1g07720 responded minimally to the treatments except to 100mM, due to this gene was mainly for the response of hormones under drought stress (Huang et al. 2008). This one instance aside, these results show that the liquid treatments were also effective at inducing drought stress.

2.2.2 Preparation of high-throughput poly(A) tag libraries and sequencing

Based on the results of qRT-PCR analysis (Figures 2.2 and 2.3), all of the drought stress treatments seemed effective. Therefore, the different concentration series (0mM, 100 mM, 250 mM, and 300 mM mannitol) and different time series (0h, 6h, 24h and 2 weeks) with 300mM mannitol were chosen for preparing poly(A) tag (PAT) libraries. The procedure for this is illustrated in Figure 2.4 and described in the Methods (Section 2.4). The total RNA was fragmented, poly(A)-enriched, and used for reverse transcription. During reverse transcription, an anchored-dT₁₈ was used to attach one sequencing adaptor and a novel strand-switching activity of the reverse transcriptase (Zhu et al. 2001) was used to attach the other adaptor. The cDNA libraries were used as templates for limited PCR amplification and size selection to obtain pools of 400-500bp. After the final quality control assessment using the Bioanalyzer, the PAT libraries were submitted for high throughput sequencing.

The sequencing data were analyzed using a series of steps. Using CLC Genomics Workbench, sequences were demultiplexed through the adaptor barcode sequence and aligned to the *Arabidopsis* genome. Each PAT library yielded from 2 million to 11 million reads after trimming. Between 2.67 million to 7.76 million reads aligned to the *Arabidopsis* genome for each sample [three PAT library (triplicate)] (Tables 2.2 and 2.3).

Aligned reads were analyzed in several different ways. First, they were used to estimate overall gene expression by counting the total number of reads that mapped to each annotated *Arabidopsis* gene. The results can be compared with previous similar published RNA-seq or microarray data to estimate the consistency of treatments. Second, the poly(A) site analysis was conducted by a dedicated computational pipeline (Bell et al. 2016). The raw reads were trimmed to remove the adaptor sequences before mapping. Mapped reads were used to analyze poly(A) sites and poly(A) site clusters (PACs), which are groups of poly(A) sites located within 24nt of each other in the same gene (Figure 2.4). From the collection of experimentally-determined PACs, the individual PACs were analyzed for their relative usage. For this, the changes of the poly(A) site usage was calculated to generate a metric. The metrics were further analyzed to estimate the changes of poly(A) site choice induced by drought stress.

2.2.3 Drought stress incites changes in poly(A) site choice in different genomic

regions

To study drought-induced global gene expression, the gene expression levels were estimated by mapping PATs to individual genes, and further analyzing the results using CLC Genomics Workbench. For these analyses, genes were assigned to be significantly different if their expression changed by at least 2-fold, and the results of a Student's t-test for the treated-control comparison returned a p-value <0.001. The results showed that the number of genes that passed these filters at higher concentration (Figure 2.5A). Also, the number of down-regulated genes were greater than the number of upregulated genes, except at 100 mM mannitol. Moreover, when looking at the up-regulated genes, 67 such genes were seen in plants treated with 250 mM that were not seen in 100 mM mannitol, and 97 genes were apparently upregulated in the 250 mM and 300 mM mannitol comparison (Figure 2.5B). For the numbers of down-regulated genes, 92 repressed genes were seen in 250 mM mannitol compared with 100mM mannitol, and 120 genes had reduced expression in the 250mM-300mM comparison (Figure 2.5C). When the results of this study were compared with a similar progressive drought study (Bechtold et al. 2016),

111 differentially expressed genes (DEGs) were seen in both studies (Figure 2.5D). Furthermore, 244 DEGs categorized in my study were also identified as droughtresponsive in yet another study (Harb et al. 2010). These results confirm those shown in Figures 2.2 and 2.3, and show that the drought stress applied in my work was effective. To determine the effect of drought stress on poly(A) site choice, individual PACs were evaluated for differential poly(A) site choice under control and drought stress condition. The number of tags that mapped to a given PAC was divided by the total number of tags that mapping to the associated gene from *Arabidopsis* genome to get values of fractional PAC usage for control and drought treatment. The log₂ transformed value of the ratio of usage in stressed and control samples were used to evaluate changes in poly(A) site choice in response to drought stress (Figure 2.6).

A poly(A) site may fall into any genomic location, such as 5'-UTR, coding sequence, intron, 3'-UTR and intergenic region. mRNA functionalities or outcomes might be different in these different instances (de Lorenzo et al. 2017). Accordingly, the responses of these different isoforms were studied. The results for the comparison of plants grown on solid media with or without 300 mM mannitol are shown in Figure 2.7. The median change for all sites ("all" in Figure 2.7) was close to zero. Isoforms derived from polyadenylation in 3'-UTRs and introns displayed similar results, but the 25-75 percentile range for intron was much wider, suggesting there is greater variability in the usage of these sites. For isoforms derived from polyadenylation within the protein coding region ("CDS" in Figure 2.7).

For the time series treatment, the relative usages for individual poly A sites were calculated and determined from the comparison between controls and 300mM treatments after 1h, 6h and 24h. They are shown in Figure 2.8. When comparing control plants, there is no change in usage when considering all sites together ("All" in Figure 2.8). Similarity, there is almost no change in usage of sites that fall within 3'-UTRs and introns. The usage of sites within 3'-UTRs shows less variability than sites that fall within introns. The usage of poly(A) sites that lie within 5'-UTRs and protein coding regions ("CDS") increased after 1 and 6 hours in mannitol (Figure 2.8A and 2.8B). However, after 24 hours, only 5-UTR-localized sites showed increased usage (Figure 2.8C). These results suggest that stress-induced poly(A) site changes may play roles in early responses of plants to drought stress.

To further explore drought-associated APA, those individual sites whose differential usage changed the most significantly (P-value<0.01, determined using DEX-seq package) were identified and evaluated. The list of sites that satisfied this criterion and transcripts associated with these sites. GO analysis showed that these genes are highly enriched for those of the intracellular organelle component, non/membrane-bound organelles component, cytoplasmic part, and macromolecular complex part. Moreover, the genes associated with the protein folding process, cellular protein/macromolecular metabolic process, reproductive development process and abiotic process (Figure 2.9) are also unduly affected by APA in drought stress. Interesting, there are more transcripts that have significantly differential usage of poly(A) sites under 250 mM mannitol treatment. GO analysis showed that these genes were associated with binding activity and structural molecular activity for molecular function (Figure 2.9B).

2.2.4 Annotation and functional analysis of poly(A) site choice in 5'UTR and CDS regions

As stated in the previous section, no matter the concentration- or time-treatment, the usage of poly(A) sites that fall within 5'UTRs and CDS changes more than the usage of sites within other regions (Figures 2.7 and 2.8). To further analyze this, the annotation and function of transcripts affected by APA at sites that fall into 5'UTRs and CDS were assigned using agriGO. The annotations were verified manually and integrated using gene ontology (GO). For this, the reference is the *Arabidopsis* gene model (TAIR); the statistic model is the hypergeometric test; the multi-test adjust method is false discovery rate (FDR) with and adjusted p-value less than 0.05; and the minimum number of mapping entries is more than 9. The transcripts that fall into 5'UTR and CDS were annotated according to at least one of the three categories: cellular component, biological process or molecular function. The same parameters were used for GO analysis for all the transcripts affected by stress-induced APA in 5'UTRs and CDSs.

For one-hour treatment, 428 poly(A) sites that fall into the 5'UTR were identified; these to 407 transcripts. These 407 transcripts could be categorized into 104 functional groups. Among these groups, the terms related to biological process that are significantly enriched include stimulus (GO:0050896), abiotic stimulus (GO:0009628), water deprivation (GO:0009414), and hormone stimulus (GO:0009725) process, such as jasmonic acid (GO:0009753) and salicylic acid (GO:0009751) (Figure 2.10A). Molecular function categorizations for this group included transcription (GO:0006350), transcription regulator activity (GO:0030528), catalytic activity (GO:0003824), transporter activity(GO:0005215), transferase activity (GO:0016740), transferring phosphorus-

containing groups (GO:0016772), DNA binding (GO:0003677) and protein binding (GO:0005515) activity (Figure 2.10B).

For poly(A) sites that fall into the CDS, around 10316 differentially-utilized poly(A) sites corresponding to 5743 transcripts could be identified. These could be separated into 565 functional groups. For biological process, the set of transcripts is highly enriched for RNA processing (GO:0006396), RNA metabolic process (GO:0016070), tRNA metabolic process (GO:0006399), tRNA aminoacylation for protein translation (GO:0006418), RNA splicing (GO:0008380), cellular protein metabolic process (GO:0044267), cellular metabolic process (GO:0044237), cellular nitrogen compound metabolic process (GO:0034641), macromolecule metabolic process (GO:0043170). Also, some transcripts are enriched in response to abiotic stimulus (GO:0009628), response to oxidative stress (GO:0006979), response to inorganic substance (GO:0010035), response to osmotic stress (GO:0006970), response to cadmium ion (GO:0046686), intracellular signaling cascade (GO:0007242), and response to metal ion (GO:0010038) (Figure 2.11A). Molecular function characterizations included binding activity (GO:0005488), nucleotide binding (GO:0000166), purine nucleotide binding (GO:0017076), purine ribonucleotide binding (GO:0032555), protein binding (GO:0005515), nucleic acid binding (GO:0003676), ATP binding (GO:0005524), DNA binding (GO:0003677), zinc binding (GO:0008270). And the catalytic activity (GO:0003824), ATPase activity(GO:0016887), helicase activity (GO:0004386), translation factor activity (GO:0008135), and transcription regulator activity (GO:0030528) are involved (Figure 2.11B). These categories are dominated by biological processes and molecular functions related to transcription, post-transcriptional and translational processes under drought stress.

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2.3 Discussion

2.3.1 Comments regarding the validation of the drought stress treatments

Previously, it was reported that only 27 drought-inducible genes could be seen in different drought stress studies (Bray 2004). Another study showed that 67 genes that are responsive to nine abiotic stresses (Swindell 2006). In another report, 197 genes were found to be induced by large range of diverse stress conditions in *Arabidopsis* (Ma and Bohnert 2007). Interestingly, only two genes were found in common between the last two studies. More recently, another study showed that 1067 genes were found to be stress-responsive in *Arabidopsis*, while only 111 genes were seen in other studies (Harb et al. 2010). In this study, 244 genes, more than 53%, identified as drought-inducible genes were also foundin other studie of drought-stressed *Arabidopsis* (Harb et al. 2010; Bechtold et al. 2016).

The numbers of stress-responsive genes identified in drought stress experiments largely depends on the criteria used in selecting genes in a microarray or RNA-Seq experiment. Differential gene expression in earlier studies was selected based on expression ratios, not statistical thresholds. If the filter was a 5-fold change in expression, many weakly responsive genes are ignored. Therefore, the proportion of differentially expressed genes may be underestimated (Huang et al. 2008). In addition, the discrepancies from different studies may reflect the methods used for plant growth conditions, the application of stress treatment, and the purposes of the study. With these things in mind, the overlap of around 25% and 53% of stress-inducible genes in this chapter is not surprising, and supports the conclusion that the stress treatments were effective.

2.3.2 Alternative polyadenylation and the molecular function of plants to drought stress

Alternative polyadenylation (APA), a mechanism in which the same gene encodes mRNA isoforms with different 3'-ends due to the presence of multiple polyadenylation signal (PAS) elements, is pervasive in plants (Shen et al. 2011; Wu et al. 2011; Wu et al. 2014; Fu et al. 2016). In Arabidopsis, around 60-70% of genes have multiple poly(A) sties (Shen et al. 2011; Wu et al. 2011; Duc et al. 2013). The functions of mRNA isoforms derived from APA at promoter-proximal sites that lie within protein coding regions, introns, and 5'-UTRs have not been explained. One type of noncanonical mRNA isoform derived from polyadenylation within CDSs will, with few exceptions, lack translation termination codon. This kind of mRNAs are termed non-stop RNAs, which are less stable than canonical mRNAs in animals and yeast (Frischmeyer et al. 2002; van Hoof et al. 2002). For the intronic polyadenylation, the abundance of mRNA isoforms is associated with polysomes, suggesting these isoforms are in fact substrates for quality control processes, such as NMD or nonstop decay in plants under abiotic stresses such as hypoxia (de Lorenzo et al. 2017). In contrast to isoforms are produced by polyadenylation within intron and CDS, the isoforms derived from polyadenylation in 5'UTRs showed little difference in their stability from isoforms produced by canonical poly (A) site choice is unclear, but possibly including some sort of association with RNA quality control processes (de Lorenzo et al. 2017). These results indicate that noncanonical isoforms could be subjected to the RNA surveillance pathway.

The levels of mRNA isoforms with 3' ends that lie within 5'-UTRs and protein-coding regions increase (Figures 2.7 and 2.8) in drought-stressed plants. The mechanisms that

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cause these increases have not been studied. However, other studies may shed new light on these mRNA isoforms. mRNA isoforms with 3' ends that lie within coding regions lack stop codons and should be relatively unstable. This mode of APA may be a negative regulatory mechanism. To cope with different kinds of stresses, plants can utilize transcriptional re-programming(Yamaguchi-Shinozaki and Shinozaki 2006; Chinnusamy et al. 2007; Hirayama and Shinozaki 2007; Verslues and Zhu 2007; Zhu et al. 2007). The production of non-stop mRNAs might be an additional re-programming via an analogous RNA quality control process.

The levels of 5-UTR-derived mRNA isoforms increase to the greatest extent after 1h of drought stress and is still higher than controls after 6h and 24h (Figure 2.8). The significance of this observation is unclear at this time. The stability of these mRNA isoforms is indistinguishable from isoforms with 3' ends that lie within 3'-UTRs (de Lorenzo et al. 2017), arguing against a negative regulatory function analogous to that proposed for non-stop mRNA isoforms. In yeast, previous studies showed that ribosomes and 5'UTRs may interact in ways that modulate the stability of nonaberrant mRNAs (Linz et al. 1997). This suggests that ribosomes and 5'-UTRs might interact in ways that may affect translation. However, how this relates to mRNAs with 3' ends that lie within 5'-UTRs, and thus have no protein-coding potential, is not clear.

Drought stress-responsive 5'UTR isoforms are associated with GO terms such as abiotic stimulus and water deprivation, both of which are relevant to drought stress. These characteristics were identified in many plants using microarray and RNA-seq, such as *Arabidopsis thaliana* (Harb et al. 2010), *Leymus chinensis* (one kind of sheepgrass) (Zhao et al. 2016), and *Prunus persica* (Ksouri et al. 2016). Under drought stress, ABA acts as

the central signal molecular and mediates a complex gene regulatory network (Yamaguchi-Shinozaki and Shinozaki 2006; Kim et al. 2010; Zhao et al. 2016). However, the mRNA isoforms that end within 5'UTRs are associated with different hormone stimuli, including jasmonic acid and salicylic acid, and not ABA pathways. Drought stress-responsive 5'-UTR isoforms are associated with transcription regulator activity, protein binding activity and catalytic activity. Previous studies showed that transcription factors (TFs) such as MYB, bZIP, C2H2, and NAC were expressed to a greater degree in plants under drought (Pereira et al. 2011; Thirunavukkarasu et al. 2017). These TFs include individuals that act in the ABA-dependent or ABA-independent signaling pathways (Shinozaki et al. 2003; Thirunavukkarasu et al. 2017). Thus, mRNA isoforms with 3' ends within 5'UTR may play an important role in regulating transcription under drought stress.

The associations of drought stress-responsive CDS isoforms (nonstop RNAs) are more complicated. These transcripts are significantly enriched for genes associated with RNA processing. Previous studies showed that RNA processing was critical for ability of plants to cope with drought stress (Wang et al. 2016). Specifically, the abundances of RNA processing-related proteins change when plants are subjected to drought (Wang et al. 2016). These include RNA binding proteins that can bind with RNA molecules to mediated post-transcription gene regulation (Wang et al. 2016). Drought-responsive nonstop RNAs are also associated with GO terms such as DNA binding, nucleotide binding, protein binding, ATP binding, and zinc binding.

2.3.3 Alternative polyadenylation factors might be involved in drought stress

There is a large range of physiological and biochemical responses induced by drought stress, such as osmoprotectant synthesis and changes in fatty acid composition (Krasensky

and Jonak 2012). Oxidative damage due to the accumulation of reactive oxygen species (ROS) (Smirnoff 1993) is another such outcome of drought stress. ROS results from partial reduction of atmospheric O₂, that leads to the production of four forms of cellular ROS, singlet oxygen, superoxide radicals, hydroxyl radicals and hydrogen peroxide. Singlet oxygen and hydroxyl radicals can be extremely reactive, leading to the oxidation of multiple cellular components and ultimately, if unchecked, to cell death (Foyer and Mullineaux 1994; Lerner and NetLibrary 1999; Mittler 2002; Apel and Hirt 2004). The toxic by-products of aerobic metabolism can be removed by means of antioxidants and antioxidative enzymes (Apel and Hirt 2004; Miller et al. 2010). ROS are also involved in signaling pathways that impact plant development, biotic- and abiotic-stress responses (Apel and Hirt 2004; Mittler et al. 2004). ROS can give rise to changes in gene expression, especially for the genes encoding ROS scavenging enzymes (Mittler 2002; Vranova and Villarroel 2002; Mittler et al. 2004; Gapper and Dolan 2006). In addition, ROS leads to rapid increases in intracellular calcium, resulting in a cellular signaling cascade (Price et al. 1994; Yang and Poovaiah 2002; Maike et al. 2004; Evans et al. 2005).

In plants, there is an enigmatic subunit of the polyadenylation complex, CPSF30 (Hunt et al. 2008). The *Arabidopsis* CPSF30 is impacted by calmodulin and sulfhydryl reagents in vitro (Delaney et al. 2006; Hunt et al. 2008). Several calcium binding proteins, such as calmodulin, calcium sensing receptor, calreticulin and calcium-dependent protein kinase, were changed in response to drought (Wang et al. 2016). Specifically, the RNA binding activity of CPSF30 can be inhibited by calmodulin in a calcium-dependent manner. In addition, the third zinc finger contains a disulfide bond that can reduced by sulfhydryl reagents (Addepalli et al. 2010); reduction of this bond inactivates the endonucleolytic

activity of the protein. As stated above, generation of ROS leads to increased levels of intracellular calcium (Maike et al. 2004; Evans et al. 2005). This could conceivably result in a calcium-calmodulin dependent inhibition of RNA binding by CPSF30, much as is seen in vitro. Also, exposure to oxidative stress may also lead to extensive disulfide bond remodeling (Barford 2004). This provides a possible mechanism by which stress, via ROS, may regulate the activity of CPSF30 (Chakrabarti and Hunt 2015). Given that CPSF30 controls the usage of many poly(A) sites in plants (Thomas et al. 2012), these considerations raise the possibility that CPSF30 may be a link between stress and APA through the combined actions of calcium and redox signaling pathways.

To tell if AtCPSF30 is involved in the response to drought stress, all the genes from 1hour 300 mM mannitol treatment were compared with all the genes that are affected by CPSF30mediated APA (see Chapter Three). The result show that around 50% genes overlap, and most genes possessing wt-specific poly(A) sites (7916 out of 7986) are involved in drought stress. Genes with noncanonical sites that fall within in 5'UTRs, CDS, and intron were compared in the set of those possessing wt-specific sites and those that respond to 1h of exposure to 300mM mannitol. There were 410 noncanonical transcripts are in the wt-specific set of mRNAs, while 6431 are in the set of mannitol-responsive transcripts. The overlap of these sets is 363 noncanonical transcripts (almost all noncanonical wt-specific transcripts). These results indicate that AtCPSF30 may be involved in the responses of plants to drought stress.

2.4 Material and Methods

2.4.1 Plant material and treatment

Arabidopsis thaliana wild type Col-0 was cultured vertically on solid and liquid MS media (1x Murashige and Skoog salts, MES 0.5g/L pH = 5.7, and 1% sucrose). Plants were grown under long day conditions, 16h light and 8h dark for two weeks at 23 °C. The light intensity was 30 µmol•m⁻²•s⁻¹ from Sylvania Octron ECO 5000 K 32W light bulbs. The surface of the seeds was sterilized before plating and put at 4°C for two days. In all experiments three biological samples were prepared.

For the study involving different concentrations of mannitol, drought stress was induced on solid MS media containing different concentration of mannitol (0 mM, 100 mM, 250 mM, 300 mM). The control samples were put under the same condition as treated samples without mannitol (Pandey et al. 2013). To study stress at different times after imposition of the stress, plants were grown on MS media for two weeks under normal conditions, and then placed in liquid media containing 300mM mannitol for 1h, 6h and 24h. The control samples were grown with MS liquid media without mannitol.

2.4.2 qRT-PCR condition and analysis

For quantitative real-time PCR, total RNA was extracted using Plant Trizol (Invitrogen), and SMARTScribe[™] Reverse Transcriptase (Clontech) was used for reverse transcription with 1µg of total RNA. SsoAdvanced SYBR Green Supermix (Bio-Rad) and a CFX Connect real-time PCR system (Bio-Rad) was used for qRT-PCR in triplicate on 96-well plates. The cycling programs were as follows: initial DNA denaturation step (95°C for 30s), 40 cycles (95°C for 5s, 55°C for 30s), and melt curve of 65°C to 95°C (in 0.5°C increments, 5s per step) (Wang and Perry 2013). The reference control genes were measured with three replicates in each PCR run, and the C_t from the average was used for relative gene expression analysis. The expression data value from candidate genes were normalized by subtracting the mean of reference gene Ct value from candidate Ct value get Δ Ct. The $\Delta\Delta$ Ct was from Δ Ct of candidate gene subtracting the average of control Δ Ct. The fold change was obtained using the expression 2^- $\Delta\Delta$ Ct.

2.4.3 Poly(A) tag library preparation and sequencing

Total RNA was isolated using Trizol reagent and purified by RNAeasy columns (Qiagen, Hilden, Germany). A Nanodrop spectrophotometer was used for quantity and quality measurement (Biotek Synergy HT Multi-Mode Microplate Reader). Very pure RNA will have an A260/A280 ratio of ~2.1. Anything higher than 1.8 is considered to be of acceptable purity. Also, The A260/A230 ratio should also be above 2.0. Each poly(A) tag library was generated from 1µg from total RNA using method B1 described by Ma et al. (Ma et al. 2014a). The quality of the PAT libraries was checked by on a Bioanalyzer using the Agilent High Sensitivity DNA chips (Agilent Technology). The concentration of these libraries is measured with Qubit fluorometer with Qubit® dsDNA HS assay kit (Agilent Technology). These poly(A) tag libraries were sequencing on the Illumina high-throughput sequencing platform. Three independent biological replicates were used for each sample.

2.4.4 Poly(A) tag and gene expression analysis

The poly(A) tag(PAT) libraries sequencing data were analyzed using the pipeline as described previously (Bell et al. 2016). Briefly, all the reads were demultiplexed and trimmed to remove the oligo-dT and sequencing adaptors using CLC Genomic Workbench suite of tools. The trimmed tags were mapped to the *Arabidopsis* genome (TAIR10, www.*Arabidopsis*.org). The pooled samples from triplicates can be exported as. bam files.

The quality can be evaluated by mapping to each sample to determine the gene expression. The sequence of all the pooled samples sequence can used for the poly(A) analysis. The PATs that mapped to individual annotated genes can be counted using Bed Tools, and to create lists of each individual poly(A) sites (PAS) and poly(A) sites clusters (PAC). A minimum of ten individual PATs for PASs and PACs are kept for further analysis. At least ten individual PATs for sites and cluster are kept for subsequent analysis.

To determine gene expression, the PAT frequency of each gene was determined by a special file (TAIR10genes120.gff) that has only *Arabidopsis* genes. The data can be imported to CLC using the empirical analysis of DGE (differential gene expression) to compare the control and treatment. The genes with a total filter cut-off of 2-fold change and p-value <0.001 were selected as statistically significant.

To determine the PAT frequency for each PAC, the list of PACs is used as annotated master file to tell the numbers of tags in each individual sample that map to the PAC. Thus, the numbers of tags in each individual sample are compared with the number of tags in the *Arabidopsis* genome to get a proportion for poly(A) site usage analysis.

To calculate the statistically significant differences in poly(A) site usage in gene by gene analyses the DEX-seq package in R was used at a p-value<0.01 which was considered as statistically significant.

2.4.5 Relative poly(A) site usage analysis

Relative poly(A) site usage was calculated as shown in Figure 2.6. The log₂ transformed value of PAS/gene treated sample divided PAS/gene control sample is defined as the Relative Poly(A) site Usage metric. The mRNA isoforms that fall into the CDS are used as an example for the calculation of relative mRNA stability. In the control sample, A, B,

C, D represent the numbers of tags that map to the poly(A) sites that fall into 5'UTR, intron, CDS and 3'UTR without treatment, respectively. In the treated sample, A', B', C' and D' represent the numbers of tags that map to the poly(A) sites that fall into 5'UTR, intron, CDS and 3'UTR under treatment, respectively. Therefore, the relative usage of site C is M in control sample, while N is the relative usage of site C' for the treated sample. The change in relative poly(A) site usage is N/M; this value is log₂ transformed before plotting results. (http://shiny.chemgrid.org/boxplotr/).

2.4.6 GO analysis

Gene Ontology term enrichment was performed using agriGO, which is a toolkit and database for agriculture community (Website http://bioinfo.cau.edu.cn/agriGO/). Parameters used were: reference is *Arabidopsis* gene model (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entries is 9. In the GO figures, the X-axis is the negative log FDR adjust p-value and the Y-axis is the GO terms.

| Table 2.1 Selected reference genes for Arabidopsis and corresponding primer pair | |
|--|--|
| information | |

| Gene ID | Gene Name | Primer sequence F/R (5'-3') | Amplicon length(bp) | Amplicon Tm(°C) |
|-----------|--------------------------------|-----------------------------|------------------------|--------------------|
| | | GCTCTTGACCTTGCTGGACG | 1101 | 58.5 |
| AT3G18780 | Actin II | CACAAACGAGGGCTGGAACA | - 1134 | 58.0 |
| AT1G58270 | Meprin and TRAF homoloGy | ACAAGTACCAAATAAGCCAGGAGA | 1191 | 55.9 |
| | domain containinG protein | ATGTTGATGTCAACGCCAAAC | | 54.3 |
| AT2G41190 | Amino acid transporter | GCAGCGTCATTCAAACCATT | 1611 | 54.3 |
| | _ | TTACCATACTTGCCCAACCAG | | 54.9 |
| AT3G62550 | Universal stress protein (USP) | CCCCTCTTCCCGTTTACTCT | 489 | 56.1 |
| | Gene | GCATCTCCTCTTCCAACTCG | | 55.3 |
| AT4G02200 | Response to water deprivation | TTGTGGGTTTGATTCGTC | 687 | 50.2 |
| | | AATCCACTGCCATTTCAT | | 49.2 |
| AT3G57520 | Alkaline α Galactosidase | GATAAGCCAGGCAACCAC | 2322 | 53.7 |
| | | GGATCAGCGAATAAGCAGT | | 52.3 |
| AT5G48870 | Sm-like snRNP proteins | TTGGGTGATAATGAAAGG | 267 | 46.5 |
| | | CAGAATGGCGATGTTGTT | | 50.6 |
| AT1G07720 | Ketoacyl-CoA synthesis family | GTCATCACTCCCAAGATTC | 1437 | 50.2 |
| | protein | CGATAACCGCTTTACCTCC | | 52.9 |
| AT3G11410 | Protein phosphatase 2C(PP2C) | TCGTAACGGTGTAGCCATTCC | 1200 | 56.9 |
| | | ATAGTCCATCACTCGCCAAGAT | | 55.7 |

| Concentration | Number of reads | Average length | Number of reads after trim | Percentage trimmed | Average length after trim | Mapped genome | Genome (%) |
|---------------|-----------------|-------------------|----------------------------|--------------------|---------------------------------|---------------|---------------|
| 0R1 | 11,707,815 | 74.2 | 11,469,301 | 97.96% | 58.4 | 4,803,600 | 59.97 |
| 0R2 | 7,873,950 | 73.4 | 7,838,672 | 99.55% | 59.5 | 1,302,561 | 44.63 |
| 0R3 | 7,785,199 | 71.8 | 7,684,335 | 98.70% | 57.2 | 1,657,080 | 40.42 |
| 100R1 | 7,769,335 | 91.6 | 7,697,889 | 99.08% | 77.5 | 3,506,352 | 74.42 |
| 100R2 | 3,281,244 | 91.6 | 3,267,843 | 99.59% | 77.9 | 583,191 | 48.49 |
| 100R3 | 3,652,714 | 89.5 | 3,621,831 | 99.15% | 74.6 | 1,126,871 | 59.48 |
| 250R1 | 6,151,988 | 91.8 | 6,067,819 | 98.63% | 75.7 | 3,640,708 | 73.16 |
| 250R2 | 7,168,937 | 89.7 | 7,117,643 | 99.28% | 75.5 | 1,487,633 | 49.66 |
| 250R3 | 2,971,359 | 91.8 | 2,932,590 | 98.70% | 77.1 | 967,624 | 62.81 |
| 300R1 | 9,518,342 | 73 | 9,392,832 | 98.68% | 58.3 | 2,666,613 | 55.32 |
| 300R2 | 10,268,266 | 71.6 | 10,224,728 | 99.58% | 57.7 | 1,444,568 | 37.21 |
| 300R3 | 2,731,849 | 91.8 | 2,704,432 | 99% | 76.5 | 608,002 | 44.82 |

 Table 2.2 PAT-seq sequence data information for different concentration of mannitol treatment

In all instances, R1, R2, R3 refers to replication 1, 2, and 3. 0 refers to the control samples, 100 refers to 100mM mannitol treatment, 250 refers to 250mM mannitol treatment, 300 refers to 300mM mannitol treatment.

| Time* | Number of reads | Average length | Number of reads after trim | Percentage trimmed | Average length after trim | Mapped genome | Genome (%) |
|-------|-----------------|----------------|----------------------------|-----------------------|---------------------------------|---------------|---------------|
| C1R1 | 2,752,919 | 91.9 | 2,729,777 | 99.16% | 77.2 | 936,045 | 66.6 |
| C1R2 | 2,635,930 | 92 | 2,570,946 | 97.53% | 76.4 | 1,337,743 | 72.97 |
| C1R3 | 2,682,875 | 91.9 | 2,640,094 | 98.41% | 77.1 | 1,335,143 | 78.69 |
| C6R1 | 3,089,237 | 91.9 | 3,064,861 | 99.21% | 77.2 | 929,385 | 66.92 |
| C6R2 | 2,122,206 | 91.9 | 2,055,926 | 96.88% | 75.5 | 1,087,510 | 70.63 |
| C6R3 | 2,785,286 | 91.9 | 2,737,572 | 98.29% | 76.1 | 1,163,480 | 69.79 |
| C24R1 | 3,533,966 | 92 | 3,511,789 | 99.37% | 77.6 | 731,200 | 48.37 |
| C24R2 | 3,089,642 | 91.8 | 2,999,843 | 97.09% | 75.9 | 1,007,878 | 53 |
| C24R3 | 5,000,558 | 92 | 4,906,102 | 98.11% | 76.2 | 1,786,755 | 63.94 |
| M1R1 | 2,756,213 | 91.9 | 2,736,602 | 99.29% | 76.8 | 571,198 | 61.89 |
| M1R2 | 1,962,515 | 92 | 1,908,908 | 97.27% | 76.3 | 954,816 | 75.46 |
| M1R3 | 2,550,958 | 92 | 2,500,046 | 98% | 76.1 | 1,147,787 | 72 |
| M6R1 | 3,038,561 | 92 | 3,017,262 | 99.30% | 77.9 | 956,162 | 69.03 |
| M6R2 | 2,065,761 | 91.9 | 2,025,557 | 98.05% | 76.8 | 1,049,515 | 74.49 |
| M6R3 | 2,960,666 | 92 | 2,933,781 | 99.09% | 77.3 | 1,091,548 | 74.45 |
| M24R1 | 5,739,327 | 91.9 | 5,641,449 | 98.29% | 76.1 | 741,014 | 25.41 |
| M24R2 | 4,370,747 | 91.9 | 4,283,686 | 98.01% | 76.4 | 1,177,343 | 56.58 |
| M24R3 | 5,802,731 | 92 | 5,731,230 | 98.77% | 76.1 | 1,410,453 | 76.68 |

 Table 2.3 PAT-seq sequence data information for different time of mannitol treatment

*Time: In all instances, R1, R2, R3 refers to replication 1, 2, and 3. C1, C6, and C24 denotes the control samples at 1, 6, and 24 hours after initiation of treatment. M1, M6 and M24 refers to the mannitol treatment samples at 1, 6, and 24 hours.

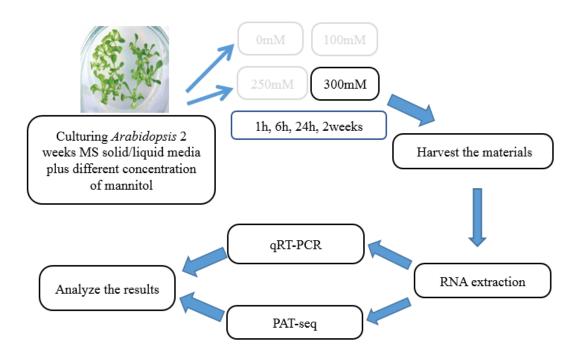


Figure 2.1. Overview of experimental approach to study APA under drought stress

This flowchart shows two experiments. First, two-week old seedlings were cultured in MS solid media under 0mM,100mM, 250mM, and 300mM. Second, two-week old seedlings were totally emerged in different concentration treatments for 1h, 6h and 24h. After two weeks, all the materials were harvested to perform RNA extraction. All samples have three biological replicates. The drought stress was validated by qRT-PCR for concentration and time treatment, and thereafter PAT libraries for sequencing were prepared.

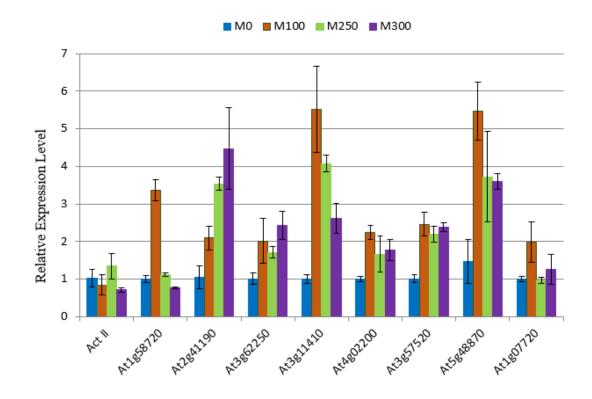


Figure 2.2 qRT-PCR to validate different concentration treatment under drought stress

qRT-PCR was performed to study the expression of eight drought-responsive genes under mannitol simulated drought conditions. Two-week old *Arabidopsis* seedings were cultured under different concentration treatments. The RNA was extracted to make cDNA for qRT-PCR. The X-axis are the control and reference genes. The ActinII (At3g18780) was chosen as internal reference gene. The drought-response genes were chosen from previous studies under drought stress condition. For example, At3g11410, which encoded the ABA regulator PP2C, is involved drought stress. The gene fold change of At1g58270 is 3.4, while the previous study was 4.0 under drought (Harb et al. 2010; Joshi et al. 2016). Another gene At2g41190, which is response to water deprivation, was also used as reference to validate gene expression level (Qin et al. 2008). The gene At5g48870 is response to ABA and water deprivation (Cui et al. 2014). The results show that all three mannitol concentrations were effective, as each induced the expected changes in expression. The Y-axis is gene expression level from fold change.

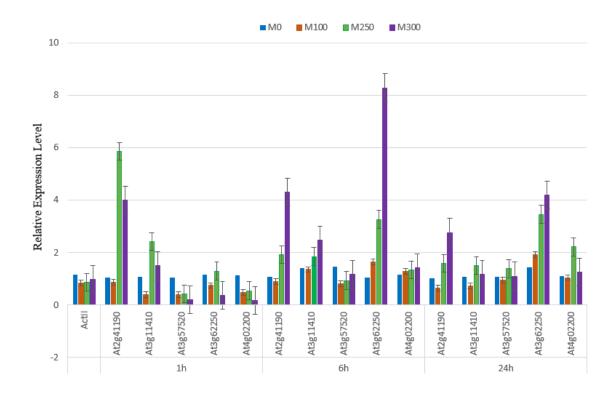


Figure 2.3 qRT-PCR to validate different time treatment under drought stress

qRT-PCR was performed to study the expression of eight drought-responsive genes under mannitol simulated drought conditions. Two-week old *Arabidopsis* seedings were cultured under different concentraion treatments. The RNA was extracted to make cDNA for qRT-PCR. The X-axis are the control and reference genes. The ActinII (At3g18780) was chosen as internal reference gene. The gene At2g41190, which is involved in response to water deprivation, was also used as reference to validate gene expression level in previous study (Qin et al. 2008). The gene At3g62250, which is involved in ubiquitin-dependent protein catabolic process, translation, was involved in ROS pathway (Rojas et al. 2012). The Y-axis is gene expression level from fold change.

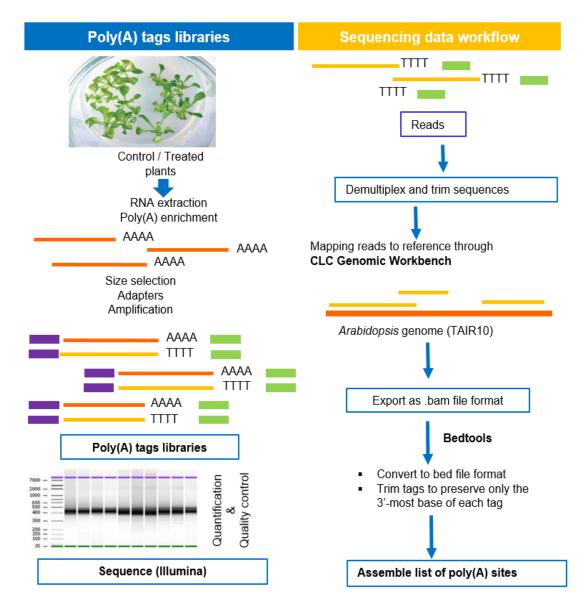
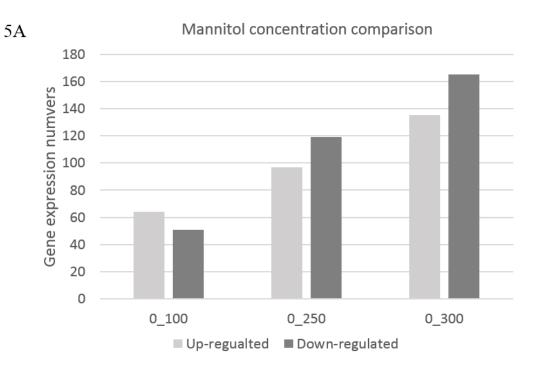


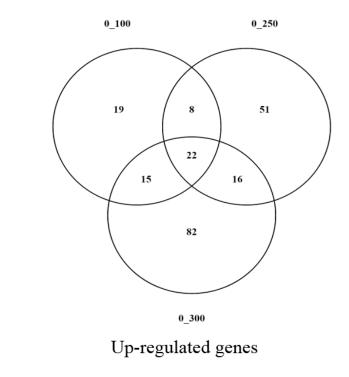
Figure 2.4 Preparation for PAT libraries and sequencing data analysis workflow

The general experimental approach carried out in this study is shown in two sections: poly(A) tag library preparation (left), the sequencing data workflow (right), and ready for next step analysis, including gene expression analysis, distribution of PATs, relative poly(A) site usage and gene ontology (GO) enrichment. PATs, poly(A) tags; PAC, poly(A) cluster.



5A. The tendency of up-regulated and down-regulated gene expression numbers in each comparison.

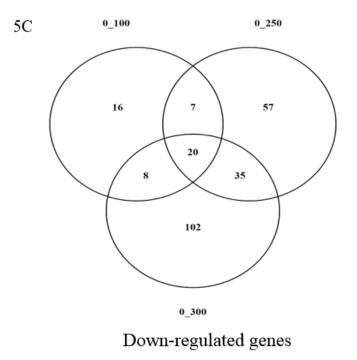
The X-axis shows different concentration treatments compared with control, and the Yaxis shows the up-, down-regulated gene expression numbers. The number of genes that passed these filters increased at higher concentration of mannitol. The number of downregulated gene was greater than the number of upregulated genes, except at 100 mM mannitol.



5B

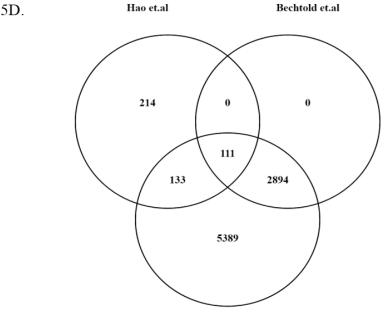
5B. Venn diagram showing the numbers of up-regulated genes.

The numbers of up-regulated gene expression numbers in each comparison are presented using venn diagram. Moreover, when looking at the up-regulated genes, 67 new genes were seen in plants treated with 250mM that were not seen in 100 mM mannitol, and 97 new genes were apparent in the 250mM and 300Mm mannitol comparison.



5C. Venn diagram showing the down-regulated number of gene.

The numbers of down-regulated gene expression numbers in each comparison are presented by venn diagram. For the numbers of down-regulated genes, 92 new genes were seen in 250 mM mannitol compared with 100mM mannitol, and 120 new genes in the 250mM-300mM comparison.



Harb et.al

5D. Venn diagram showing the total numbers of differential gene expression from this study compared with two previous studies. When the results of this study were compared with a similar progressive drought study (Bechtold et al. 2016), 111 genes were seen in both studies. 244 genes in my study were also identified as drought-responsive in yet another study (Harb et al. 2010).

Figure 2.5 Drought stress incites significant global gene expression changes

To study drought-induced global gene expression, the gene expression levels were estimated by mapping PATs to individual genes, and further analyzing the results using CLC Genomics Workbench. For these analyses, genes were assigned to be significantly different if their expression changed by at least 2-fold, and the results of a Student's t-test for the treated-control comparison returned a p-value <0.001. In these figures, 0_100 means the comparison between 100mM mannitol and control (0mM), 0_250 means the comparison between 250mM mannitol and control (0mM), 0_300 means the comparison between 300mM mannitol and control (0mM).

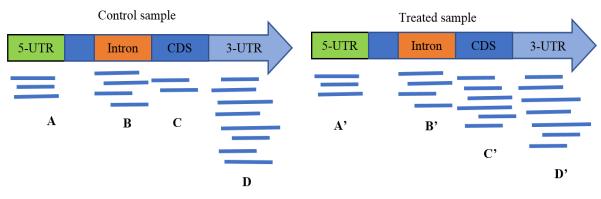
5A. The tendency of up-regulated and down-regulated gene expression numbers in each comparison.

5B. Venn diagram showing the numbers of up-regulated genes.

5C. Venn diagram showing the down-regulated number of gene.

5D. Venn diagram showing the total numbers of gene expression from this study compared with two previous studies.

64



M=PAS / gene control plants = C / (A+B+C+D)

N=PAS / gene treated plants = C' / (A'+B'+C'+D')

Relative poly(A) usage = Log 2 PAS / gene treated plants (N) PAS / gene control plants (M) If the relative poly(A) site usage>0, the more poly(A) usage in treated plants If the relative poly(A) site usage<0, the less poly(A) usage in treated plants

Figure 2.6 Overview of poly(A) site usage analysis

Here using the CDS as an example to calculate relative poly(A) site usage. The left part shows the control sample has the two poly(A) sites fallen into different genomic region. The right part shows that the numbers of poly(A) sites are increased up to six under treatment. The total number of poly(A) sites could be same or different in genes. The total number of poly(A) tags are seventeen in control sample, while twenty in the treated sample. Therefore, the relative usage of site C is M in control sample, while N is the relative usage of site C' for the treated sample. The log₂ efficiency of N/M the relative poly(A) site usage is calculated by counting tag numbers. Values greater than zero means the more poly(A) usage in treated plants.

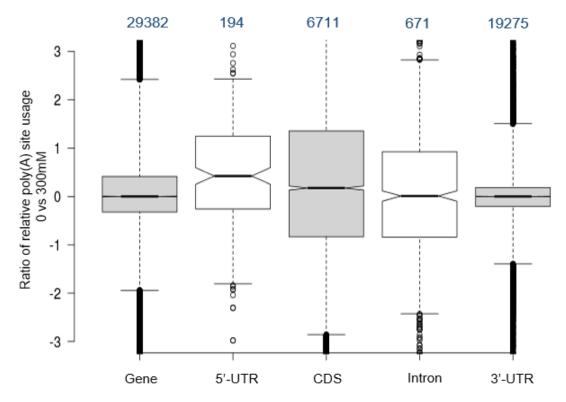


Figure 2.7 Drought induced by 300mM mannitol treatment for two weeks alters non-canonical poly(A) site usage

Boxplot showing changes in overall usage of different classes of poly(A) sites in plants grown on 300 mM mannitol for 2 weeks. The relative contributions that each PAC makes to total poly(A) site usage was evaluated on a gene by gene basis, the ratios of usage in drought and control plants were calculated and log₂ transformed. "Gene" means the complete collection of PACs. The number above each plot shows the total number of PACs in each class. Non-canonical poly(A) sites include those situated in 5'-UTRs, CDS, and introns.

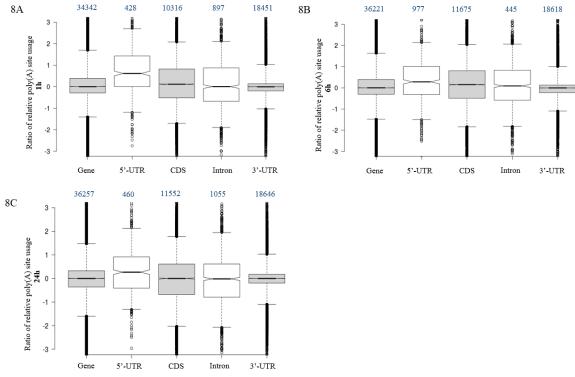


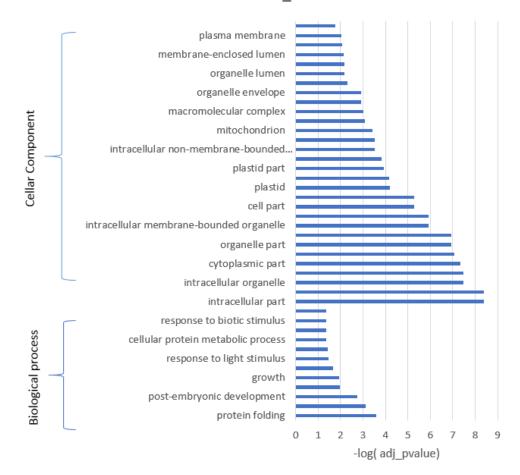
Figure 2.8 Drought induced by 300mM mannitol treatment for different time intervals leads to changes in the non-canonical poly(A) site usage

A. Changes in poly(A) site usage in plants treated with 300 mM mannitol for 1 hr.

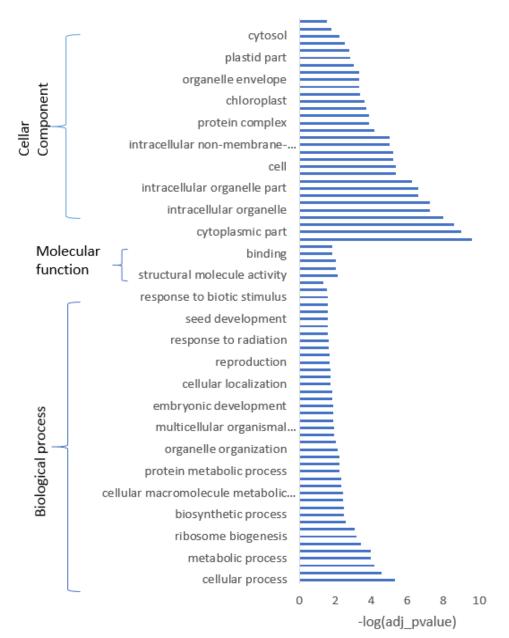
- B. Changes in poly(A) site usage in plants treated with 300 mM mannitol for 6 hr.
- C. Changes in poly(A) site usage in plants treated with 300 mM mannitol for 24 hr.

Calculations and display are as described in Figure. 2.7.

0_100



0_250



9B

0 300

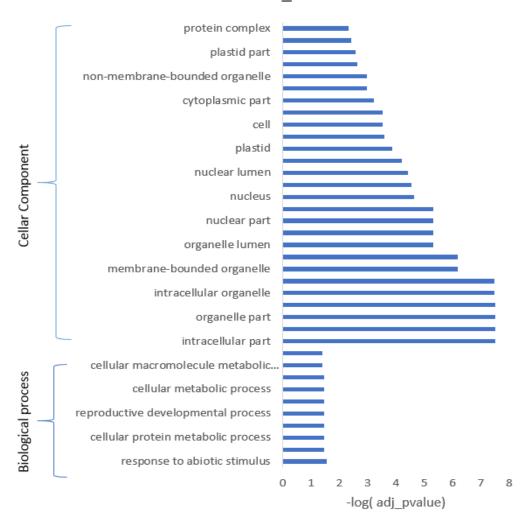


Figure 2.9 Enriched GO categories among the genes displaying APA after drought stress induced by different concentrations of mannitol treatments for two weeks

Gene Ontology term enrichment according to the agriGO, which is a toolkit and database for agriculture community (Websitehttp://bioinfo.cau.edu.cn/agriGO/). Parameters are that reference is *Arabidopsis* gene model (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entry is 9. The X-axis is minus log FDR adjusted p-value. The Y –axis is the GO terms.

- 9A. The GO terms from 100mM treatment compared with control.
- 9B. The GO terms from 250mM treatment compared with control.
- 9C. The GO terms from 300mM treatment compared with control.

9C

Biological process

10A

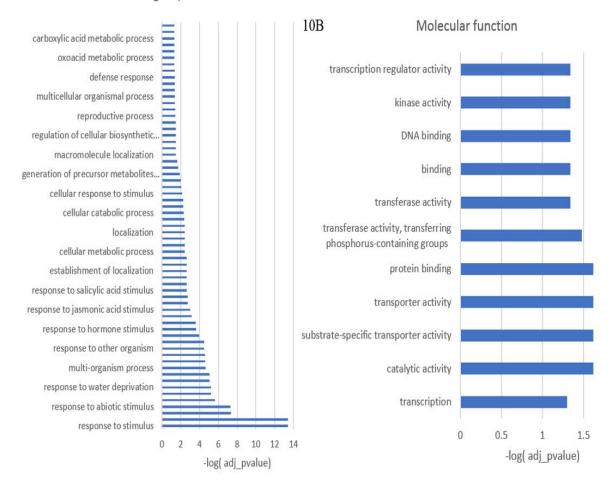


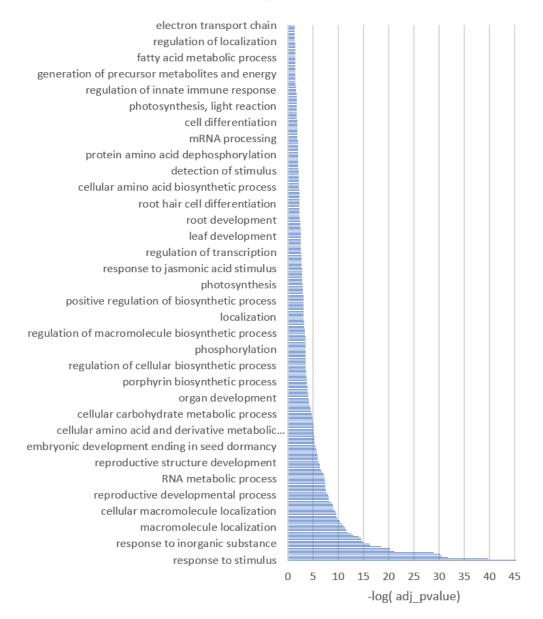
Figure 2.10 Enriched GO categories among the transcript isoforms terminating at poly(A) site located at 5'UTRs

A. The biological process of 1h_300mM treatment in 5'UTR.

B. The molecular function of 1h_300mM treatment in 5'UTR.

GO analyses were conducted as described in the legend for Figure. 2.9.

Biological process



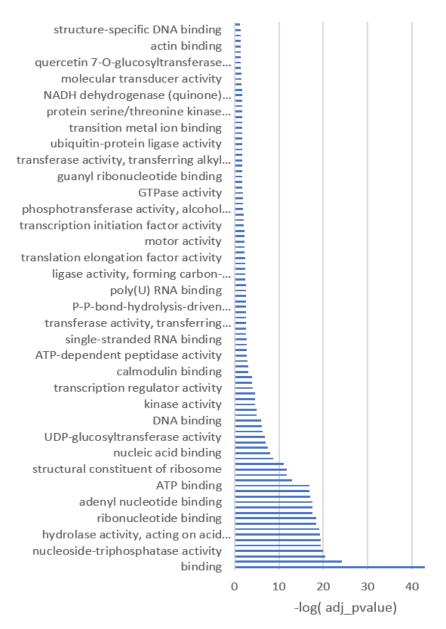


Figure 2.11 Enriched GO categories among the transcript isoforms terminating at poly(A) site located at CDSs

11A. The biological process of 1h_300mM treatment in CDS

11B. The molecular function of 1h_300mM treatment in CDS

Chapter Three: AtCPSF30-mediated alternative polyadenylation controls mRNA

stability

3.1 Introduction

The process of polyadenylation is important for the function of eukaryotic mRNAs, being intimately involved in translatability (Sachs and Wahle 1993; Li and Hunt 1997) and stability (Jacobson and Peltz 1996). This is especially true for genes whose mRNAs can be polyadenylated at more than one position within the pre-mRNA (Lutz and Moreira 2011; Xing and Li 2011b), a process termed alternative polyadenylation (APA). APA in the RNA processing step may give rise to mRNAs with different functions and might ultimately change the function of the associated gene product (Hunt 2012).

In plants, there are two general classes of APA sites, canonical and noncanonical (de Lorenzo et al. 2017). Poly(A) sites that occur in the 3'-untranslated regions (3'-UTRs) of pre-mRNAs are called canonical, since they yield mRNAs that are translatable and encode full-length polypeptides (Sun et al. 2012). Noncanonical poly(A) sites reside in the upstream regions, including 5'-unstranslated regions (5'-UTRs), protein coding regions and introns. Polyadenylation in the protein coding region of the pre-mRNA would produce transcripts that lack a translation termination codon (resulting in a non-stop mRNA). Non-stop mRNAs are unstable and rapidly turnover through the non-stop decay mechanism (NSD) (Frischmeyer et al. 2002; Vasudevan et al. 2002; Akimitsu et al. 2007). Polyadenylation that occurs within introns can lead to alternative protein products or impact mRNA stability through the nonsense-mediated decay mechanism (NMD) (Hogg and Goff 2010; Hwang and Maquat 2011). Interestingly, alternative polyadenylation also can happen in the 5'UTR (Wu et al. 2011; Thomas et al. 2012; Wu et al. 2014).

Alternative polyadenylation (APA) has the potential to affect gene regulation and function. In Arabidopsis, APA may be linked with stress responses through a polyadenylation complex subunit CPSF30 (AtCPSF30) (Hunt 2014). Zhang et al. have described an Arabidopsis mutant that can tolerate oxidative stress, called oxt6. This mutant was caused by a T-DNA insertion in the gene that encodes AtCPSF30 (Zhang et al. 2008). The AtCPSF30 is impacted by calmodulin and sulfhydryl reagents in vitro (Delaney et al. 2006). The RNA binding activity of AtCPSF30 can be inhibited by calmodulin in a calcium-dependent manner, whereas the third zinc finger contains disulfide bond that can inhibited by DL-dithiothreitol (Addepalli et al. 2010). Consistent with its function as a polyadenylation factor subunit (Delaney et al. 2006), poly(A) site choice is altered genomewide in the oxt6 mutant (Thomas et al. 2012). This study revealed that there are three classes of poly A sites in Arabidopsis: sites seen only in the wild type (wt-specific), sites seen only in the oxt6 mutant (oxt6-specific), and sites seen in both genetic backgrounds (common sites). The wt-specific and oxt6-specific sites comprise 70% of all sites (Thomas et al. 2012).

While AtCPSF30 controls the usage of a large number of poly(A) sites, it was not clear how AtCPSF30-mediated APA contributes to gene regulation. In this chapter, I hypothesize that alternative polyadenylation through AtCPSF30 controls mRNA stability. Two-week old *Arabidopsis* seedlings were treated with 200µM cordycepin for 2h to block nuclear transcription. RNA was isolated and used for PAT-seq libraries preparation and analyzed. The results showed that most mRNA isoforms show similar stability profiles in the wild-type and *oxt6* mutant plants, with the exception of mRNA isoforms with 3' ends that lie within 5'-UTRs. However, *oxt6*-specific mRNA isoforms derived from polyadenylation within coding region (CDS isoforms) are more stable than wt-specific CDS isoforms. These results implicate AtCPSF30 in the process of non-stop mRNA decay and other aspects of RNA surveillance.

3.2 Results

3.2.1 Overview of experimental approach

To determine the relative stabilities of mRNA isoforms, the method used in similar previous studies (Johnson et al. 2000; Gutierrez et al. 2002) was used. Two-week old seedlings were treated with cordycepin for different times ranging from 15 min to 120 min to block nuclear transcription. Total RNA was isolated from these samples for PAT library preparation, sequencing, and analysis (Figure 3.1). The poly(A) site analysis was conducted using a dedicated computational pipeline (Bell et al. 2016). The rationale for this approach holds that, after treating with cordycepin (a transcription inhibitor), there is no new mRNA produced. Thus, all mRNAs will decay depending on their stabilities. Some of the mRNA isoforms will be less stable than the others, and these differences can be measured by determining the relative levels of the individual isoforms on a gene-by-gene basis. This approach is summarized in Figure 3.2. The relative poly(A) site usage is used to estimate relative mRNA stability. In Figure 3.2, the mRNA isoforms that fall into the CDS are used as an example for the calculation of relative mRNA stability. In the control sample, A, B, C, D represent the numbers of tags that map to the poly(A) sites that fall into 5'UTR, intron, CDS and 3'UTR without treatment, respectively. In the treated sample, A', B', C' and D' represent the numbers of tags that map to the poly(A) sites that fall into 5'UTR, intron, CDS and 3'UTR under treatment, respectively. Therefore, the relative usage of site C is P in the control sample, while Q is the relative usage of site C' for the treated sample. The change in relative poly(A) site usage is Q/P; this value is log₂ transformed before plotting results.

3.2.2 Validation of the cordycepin treatment

To validate the effectiveness of the cordycepin treatment, the relative expression of all mRNA isoforms derived from different genes was determined using PATs as described before (Ma et al. 2014b). For this, the number of PATs which were mapped to individual annotated genes were used to calculate relative gene expression levels. Subsequently, relative mRNA stability was inferred (Figure 3.3A) and the results compared with previous stability studies (Figure 3.3B). In my experiment, 1266 unstable transcripts were identified (FDR p-value<0.05 and the absolute fold change more than two) in the wild type cordycepin study. When compared with the list of genes encoding unstable transcripts noted in previous published papers, roughly 83% of the unstable transcripts in my work were also identified in Gutierrez et al. (Gutierrez et al. 2002), 77% of the unstable transcripts were identified in the Kim et al. (Kim et al. 2011), and 70% were identified in the de Lorenzo study (Figure 3.3B) (de Lorenzo et al. 2017). These results demonstrated that the cordycepin treatment was effective.

3.2.3 The global mRNA stabilities in the wild type and oxt6 mutant

To evaluate the relative stabilities of different classes of mRNA isoforms in the wild-type and *oxt6*-mutant, the relative levels of individual mRNA isoforms were calculated from the ratio of poly(A) site usage. Since the largest number of unstable transcripts could be seen 120 min post-treatment commencement, the relative poly(A) site usage of each mRNA isoform was calculated from comparison between control (C0) and 120 min (C120) cordycepin treatment samples. The ratio of poly(A) site usage for these comparisons was log₂ transformed. The values less than zero reflect low stabilities, while values greater than zero indicate greater stabilities. The aggregate values for each class of mRNA isoform (with poly(A) sites that fall in 5'-UTRs, CDS, introns, and 3'-UTRs) are summarized in Figure 3.4. In the wild type, the median values for all sites and sites that fall into the 3'-UTR were similar. Sites that fell into the 5'UTR region had a slighter greater median value, but the value was not significantly different from the median value obtained from 3'UTR sites. However, mRNA isoforms with poly(A) sites that lie within the CDS and within introns had significantly lower values, -0.35 and -0.42 (Figure 3.4A). These results indicate that, in general, mRNA isoforms derived from CDS and intronic polyadenylation are somewhat less stable than other mRNA isoforms. These results corroborate those described in De Lorenzo et al. (de Lorenzo et al. 2017).

In the *oxt6* mutant, mRNA isoforms with 3' ends within CDS and introns also had significantly lower stabilities than isoforms with 3' ends within 3'-UTRs (Figure 3.4B). Interestingly, mRNA isoforms with 3' ends within 5'UTRs also showed lower stabilities (Figure 3.4B). These results show that the relative stabilities of mRNA isoforms with 3' ends that fall within CDS and introns do not seem to be affected by the absence of AtCPSF30. However, they suggest that AtCPSF30 may be important for the isoforms with 3' ends that fall within 5'-UTRs, since these isoforms were less stable in the mutant than the wild-type.

3.2.4 The stabilities of mRNA isoform defined by their dependences on AtCPSF30

As mentioned above, poly(A) sites in *Arabidopsis* may be defined by their dependence on the presence or absence of AtCPSF30. One class is the wild type specific, which means that the poly(A) sites exclusively exist in wild type plants. Another class is the *oxt6* mutant specific, which are poly(A) sites only seen in the oxt6 mutant. The third class (common sites) consists of sites seen in wild type and mutant plants. To explore the stabilities of mRNA isoforms derived from usage of these three classes of poly(A) sites, the ratios of poly(A) site usage were calculated using the same method for the global mRNA stabilities, and the relative stabilities of subsets of each class displayed as shown in Figure 3.5. The stabilities of common mRNA isoforms derived from poly(A) sites within 5'UTR, coding sequence, and introns were all significantly lower than common sites ending within 3' UTRs (Figure 3.5A). Also, the stabilities of wt- and *oxt6*- specific mRNA isoforms with 3' ends within introns were lower than wt- and oxt6- specific mRNA isoforms with 3' ends within 3'UTRs (Figures 3.5B and 3.5C). Interestingly, naturally occurring, wt-specific isoforms derived from 5'UTR polyadenylation were relatively stable (Figure 3.5B), while mutant induced, oxt6-specific isoforms derived from 5'UTR polyadenylation were relatively unstable (Figure 3.5C). Moreover, naturally occurring, wt-specific isoforms derived from CDS polyadenylation were relatively unstable (Figure 3.5B), while those oxt6-specific isoforms, invoked due to the mutation, that are derived from CDS polyadenylation were relatively stable (Figure 3.5C). These results indicate that naturally occurring mRNA isoforms derived from 5'UTR polyadenylation are stabilized by the presence of AtCPSF30, while the stability of another type of mRNA isoform derived from 5'UTR polyadenylation and generated due to the mutation are not dependent on AtCPSF30. However, the stability of mRNA isoforms derived from CDS polyadenylation is dependent on the presence of AtCPSF30, while the stability of mRNA isoforms derived from intronic polyadenylation is not dependent on the presence of AtCPSF30. These results

reveal a very complicated role for AtCPSF30 in the stabilities of mRNA isoforms derived from 5'UTR and CDS.

To further explore the poly(A) site choice associated with mRNA stability, those individual sites whose stabilities were the most significantly (P-value <0.001, determined using DEXseq package) lower were identified. The list of sites that fall into theses parameters and transcripts associated sites are identified. For the wt-specific unstable transcripts, the GO analysis showed that they are highly enriched for response to stress, response to stimulus, response to metal ion, response to inorganic substance, response to water deprivation, response to osmotic stress, response to chemical stimulus, cellular localization, protein localization and transportation, and cellular nitrogen compound metabolic process (Figure 3.6A). Interestingly, as far as molecular function is concerned, the main affected categories were structure molecular activity and structural constituent of ribosome (Figure 3.6A). However, the biological process of GO analysis for oxt6-specific transcripts are similar to the wt-specific transcripts, but the affected molecular functions are different for oxt6specific transcripts; for the latter, unstable transcripts were associated with binding activity and RNA binding activities (Figure 3.6B). These results suggest that the unstable transcripts are involved in stress response processes, and that the molecular functions of unstable wt-specific and oxt6-specific transcripts are different, suggesting different contributions of these sites to growth and development.

3.2.5 Annotation and functional analysis of mRNA isoforms that AtCPSF30-

dependent and -independent

As mentioned above, the stabilities of wt-specific and *oxt6*-specific mRNAs derived from polyadenylation within 5'UTR and CDS regions are different (Figures 3.5B and 3.5C). To

further analyze this, the annotation and function of transcripts derived from polyadenylation at sites that fall into 5'UTRs and CDS were assigned using agriGO. For the GO analysis, the reference is the *Arabidopsis* gene models (TAIR); the statistical model is the hypergeometric test; the multi-test adjust method is FDR adjusted p-value less than 0.05; and the minimum number of mapping entries is greater than or equal to 5. The transcripts that fall into 5'UTRs and CDSs were annotated according to at least one of the three categories: cellular component, biological process or molecular function. The same parameters were used for GO analyses for transcripts that are dependent or independent on AtCPSF30.

For wt-specific poly(A) sites, only 41 poly(A) sites that fall into the 5'UTR were identified; those mapped to 25 transcripts. These 25 transcripts are categorized into 10 functional groups, which belong to the biological process. In this group, transcripts were enriched in cell component categories, including the cytoplasm (GO:0005737), intracellular organelle (GO:0043229) and membrane-bounded organelle (GO:0043227) (Figure 3.7A). For *oxt6*-specific poly(A) sites, 94 poly(A) sites that fall into the 5'UTR were identified; those are associated with to 94 transcripts. These 94 transcripts are categorized into 39 functional groups. For the biological process, the transcripts are mainly enriched in repose to chemical stimulus (GO:0042221), abiotic stimulus (GO:0009628), response to organic substance (GO:0010033), response to water (GO:0015031), protein localization (GO:0008104), cellular localization (GO:0051641) and macromolecular localization (GO:0033036) are included. Molecular function categorizations for this group included transporter activity (GO:0022892), substrate-specific

transmembrane transporter activity (GO:0022891), transmembrane transporter activity (GO:0022857), and oxidoreductase activity (GO:0016491) (Figure 3.7B).

For wt-specific poly(A) sites, 428 differentially-utilized poly(A) sites that fall in to CDS corresponding to 322 transcripts could be identified. These transcripts are categorized into 142 functional groups. Biological process characterization included response stimulus (GO:0050896), response to temperature stimulus (GO:0009266), response to stress (GO:0006950), response to cold (GO:0009409), response to water deprivation (GO:0009414), response to biotic stimulus (GO:0009607), response to cadmium ion (GO:0046686), response to osmotic stress (GO:0006970), response to chemical stimulus (GO:0042221), response to fungus (GO:0009620), response to inorganic substance (GO:0010035), response to metal ion (GO:0010038), response to salt stress (GO:0009651), response to organic substance (GO:0010033), carboxylic acid metabolic process (GO:0019752). Additionally, the cellular ketone metabolic process (GO:0042180), organic acid metabolic process (GO:0006082), post- transcriptional protein modification (GO:0043687), gene expression (GO:0010467), translation (GO:0006412), defense response (GO:0006952), primary metabolic process (GO:0044238), and cellular macromolecular metabolic process (GO:0044260) are all included (Figure 3.8A). For the molecular function, there is significant enrichment for binding activity, including protein binding (GO:0005515) nucleotide binding (GO:0000166), translation factor activity, nucleic acid binding (GO:0008135), ATP binding (GO:0005524), purine ribonucleotide binding (GO:0032555), ribonucleotide binding (GO:0032553) purine nucleotide binding (GO:0017076), adenyl nucleotide binding (GO:0030554), nucleic acid binding (GO:0003676), calmodulin binding (GO:0005516). Other enriched categories included

transferase activity (GO:0016740), translation initiation factor activity (GO:0003743), transmembrane receptor activity (GO:0004888) (Figure 3.8B). For oxt6-specific poly(A) sites, 474 sites that fall into the CDS were identified; those are associated with 334 transcripts. These transcripts can be separated into 91 functional. In the biological process, the set of transcripts is highly enriched for genes associated with response to abiotic stimulus (GO:0009628), response to stimulus (GO:0050896) response to water (GO:0009415)/water deprivation (GO:0009414), post-embryonic development (GO:0009791), photosynthesis (GO:0015979), cation transport (GO:0006812), response to osmotic stress (GO:0006970), ion transport (GO:0006811), reproductive developmental process (GO:0003006), macromolecular localization (GO:0033036), intracellular transport (GO:0046907), embryonic development ending in seed dormancy (GO:0009793) and fruit development (GO:0010154) (Figure 3.9A). Molecular function characterizations included structural molecule activity (GO:0005198), structural constituent of ribosome (GO:0003735), hydrolase activity, acting on acid anhydrides, in phosphorus-containing anhydrides (GO:0016818), hydrolase activity, acting on acid anhydrides (GO:0016817), pyrophosphatase activity (GO:0016462), nucleoside-triphosphatase activity (GO:0017111), heat shock protein binding (GO:0031072) activity (Figure 3.9B).

Taken together, for the mRNAs derived from polyadenylation within the 5'UTR, there are fewer transcripts, and thus it is difficult to tell the difference of annotation between wt-specific and *oxt6*-specific. However, for the mRNA isoforms derived from polyadenylation within CDS, the annotation and function of mRNA isoform between wt-specific and *oxt6*-specific have similarities in the biological processes that are more related with stress responses, such as response stimulus, response to osmotic stress and response to water

deprivation. But differences exist in the molecular functions; the transcripts of wt-specific are mainly for binding activity, translation factor activity while the *oxt6*-specific are structural molecular activity, hydrolase activity, pyrophosphatase activity, and heat shock protein binding activity. This indicates that the molecular function of transcripts might be more dependent on AtCPSF30, while the transcripts for biological process might be partially dependent on AtCPSF30.

3.3 Discussion

A majority of eukaryotic genes have multiple polyadenylation sites (Di Giammartino et al. 2011; Lutz and Moreira 2011; Shi 2012). In previous studies, the analysis of genome-wide data in plants showed that alternative polyadenylation (APA) is pervasive in plants (Wu et al. 2011; Wu et al. 2014; Fu et al. 2016). Poly(A) sites may be classified according to their genomic location (5'-UTR, CDS, intron, or 3'-UTR). The products of the proximal poly(A) site choice give rise to noncanonical mRNA isoforms, mRNAs that do not encode full-length functional polypeptides (de Lorenzo et al. 2017). In this study, the properties of noncanonical mRNA isoforms in wild-type *Arabidopsis* and the *oxt6* mutant were studied, to better understand AtCPSF30-dependent and -independent polyadenylation.

3.3.1 The stability of noncanonical mRNA isoforms derived from polyadenylation with introns

One type of noncanonical mRNA isoforms is mRNAs with 3'ends that lie within introns. In most cases, these sites are situated between coding exons, giving rise to isoforms encoding different proteins (Mainul et al. 2012). Many human genes encode transcripts derived from combinations of alternative splicing and alternative polyadenylation. The classic example is that of the heavy chain gene of immunoglobulin (IgM); this gene has a poly(A) site within an intron and gives rise to different mRNAs during different stages of B cell development (Edwalds-Gilbert and Milcarek 1995; Takagaki et al. 1996; Bruce et al. 2003; Tian et al. 2007). Another study showed that the calcitonin/calcitonin generelated peptide gene (*CALCA*) is regulated by the splicing factor SRp20 through the usage of an intronic poly(A) site to make mRNA variants in a tissue-specific manner (Lou et al. 1998; Tian et al. 2007). In plants, one well-studied example of alternative polyadenylation involves the regulatory factor FY, which is a core polyadenylation complex subunit involved in the network of genes that control flowering time in *Arabidopsis*. FY interacts with an RNA binding protein (FCA) leading to polyadenylation within an intron in transcripts from the FCA gene (Simpson et al. 2003). In the case of FCA, usage of the intronic poly(A) site results in the production of truncated polypeptides.

When transcripts contain premature termination codons, they are degraded through nonsense mediated decay (NMD) to prevent the accumulation of the potentially harmful proteins (Stephanie and Allan 2012). Two eukaryotic NMD evolutionary models have been proposed. One is the evolutionarily ancient form, and is based on the recognition of long 3'UTRs. The other is intron-based and involves the so-called exon junction complex. The latter mechanism has evolved in vertebrates to efficiently reduce the accumulation of abnormal products of alternative splicing (Durand and Lykke-Andersen 2011). In plants, 3'-UTR located introns can trigger nonsense mediated decay (NMD) (Kertész et al. 2006; Schwartz et al. 2006; Hori and Watanabe 2007; Wu et al. 2007), demonstrative of the existence of an analogous mechanism.

In this study, noncanonical mRNA isoforms within 3'ends that lie within introns are all unstable in both the wild type and *oxt6* mutant (Figure 3.3). Moreover, CPSF30-dependent

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and independent intronic isoforms are also inherently unstable (Figure 3.4). Introns in *Arabidopsis* that contains poly(A) sites are shorter than the typical introns whose average length around 270 nt (Wu et al. 2011), but they are not long enough that polyadenylation within them may trigger NMD (Hogg and Goff 2010). Specifically, 3'UTRs shorter than 350 nt do not trigger NMD in *Arabidopsis*, while the average 3'-UTR length is 237 nt in the latest version of the TAIR10 *Arabidopsis* database (Kalyna et al. 2012). Thus, mRNA isoforms derived from intronic polyadenylation should not be substrates for NMD. The mechanism that is responsible for the lower stabilities of these mRNA isoforms is not clear. This might reflect an interplay between splicing, mRNA turnover and polyadenylation. Regardless, the results presented in this chapter show that AtCPSF30 does not control the stabilities of mRNA isoforms with 3'ends that lie within introns.

3.3.2 The stability of noncanonical mRNA isoforms in CDS

Another type of noncanonical mRNA isoform is mRNAs with 3'ends that fall within protein coding regions. These isoforms almost always lack translation termination codons and are thus non-stop RNAs. Non-stop mRNAs are rapidly degraded through the non-stop decay pathway (NSD) (Frischmeyer et al. 2002; van Hoof et al. 2002). In the non-stop pathway, ribosomes stall at the 3' ends of the mRNA, a process that results in the recruitment of NSD cofactors and the exosome complex to facilitate the degradation of the aberrant mRNA (Klauer and van Hoof 2012). This pathway was identified as a cytoplasmic pathway in both yeast and mammals (Frischmeyer et al. 2002; van Hoof et al. 2002), and is closely associated with the ribosome. During the translation of nonstop mRNAs, the ribosome proceeds through the end of the poly(A) tail, displacing the poly(A)-binding protein (PABP) and stalling at the 3' end of the mRNA. The stalled ribosome at the 3' end of a transcript initiates non-stop decay through the binding of the C-terminus of Ski7 to the empty A site of the ribosome, thereby releasing the ribosome. Then the exosome is recruited by Ski7 and, along with the SKI complex, deadenylates and rapidly degrades the transcript (Frischmeyer et al. 2002; van Hoof et al. 2002). The 5' to 3' mRNA decay pathway can also mediate NSD without Ski7 in *S. cerevisiae* (Inada and Aiba 2005). This is because the removal of PABP is known to render transcripts susceptible to decapping and subsequent degradation by XRN1.

For the global analysis of poly(A) sites, the results showed that mRNA transcripts derived from polyadenylation in the coding regions are all unstable in the wild type and the *oxt6* mutant (Figures 3.4A and 3.4B). However, the median value of relative poly(A) site stability for these sites is -0.35 in wild type (Figure 3.4A), and -0.13 in the *oxt6* mutant (Figure 3.4B). This result suggests that the stabilities of these mRNA isoforms in the wild type is less than in the *oxt6* mutant, suggesting AtCPSF30 can affect the stabilities of these transcripts. In addition, *oxt6*-specific nonstop transcripts are more stable than wt-specific nonstop mRNAs as well as nonstop RNAs whose production is not affected by the presence or absence of AtCPSF30 (common sites; compare "CDS" samples in Figures 3.5A, 3.5B, and 3.5C). These results demonstrate that the present of AtCPSF30 can impact the stabilities of nonstop transcripts.

In Chapter 4 of this thesis, screening for proteins that interact with AtCPSF30 is described. Among the findings in this chapter is that AtCPSF30 interacts with an *Arabidopsis* 60S ribosomal protein (RPL35). In humans, one study showed that the expansion segment of RPL35 plays an important role in nuclear entry, translation activity, and endoplasmic reticulum docking (Chen et al. 2008). This raises the possibility that AtCPSF30 might directly interact with ribosome proteins to decrease the stabilities of nonstop mRNAs. Taken together, these results indicate that the presence of AtCPSF30 might help lower the stability of transcripts derived from CDS polyadenylation.

3.3.3 The stability of noncanonical mRNA isoforms in 5'UTR

Unlike mRNA isoforms derived from polyadenylation within introns and protein-coding regions, the stabilities of mRNA isoforms with 3' ends that lie within 5'UTRs are different in the wild type and *oxt6* mutant plants (Figure 3.4 and 3.5); in the wild type, these isoforms are as stable as canonical mRNA isoforms in the 3'UTR (Figure 3.4A), while in the mutant they are less stable (Figure 3.4B). Also, common and *oxt6*-specific mRNA isoforms with 3' ends that lie within 5'UTRs seem to be relatively unstable (Figures 3.5A and 3.5C), while wt-specific mRNA isoforms with 3' ends that lie within 5'UTRs are as stable as conical 3'UTR mRNA isoforms (Figure 3.5B). These results indicate that AtCPSF30 impacts the stabilities of mRNA isoforms derived from polyadenylation in the 5'UTR.

Usually, poly(A) signals are expected at the 3'ends of genes. However, previous studies have shown that strong poly(A) signals are also found in the 5'UTRs of some genes in *Drosophila melanogaster*, and both these novel poly(A) signals and standard poly(A) signals become functionally silent when they are positioned close to transcription start sites in either *Drosophila* or human cells (Guo et al. 2011). The polymerase II (Pol II) transcription complex thus plays an important role to determine whether a putative poly(A) signals is recognized as functional. This mechanism might prevent cryptic poly(A) signals from causing premature transcription termination (Guo et al. 2011). The fact that 5'UTR site usage occurs in the *oxt6* mutant means that AtCPSF30 probably does not control the

premature termination decision. However, it may control the stabilities of these transcript isoforms.

There is a different mechanism of polyadenylation for the products of premature transcription termination so that they can be degraded by RNA exosome (Preker et al. 2008; Fox and Mosley 2016). In *Schizosaccharomyces pombe*, the RNA exosome can target the transcription machinery by terminating transcription events, which is accompanied with paused and backtracked Pol II. The backtracked Pol II can provide a free mRNA 3'end for the core exosome to lead to transcription termination with concomitant degradation of the associated transcript (Jean-François et al. 2014). Such a mechanism may explain the instability of common and *oxt6*-specific 5'-UTR transcript isoforms. Also, the results presented in this chapter raise the possibility that CPSF30 might interact with the Pol II complex to enhance the stabilities of the transcripts derived from polyadenylation within the 5'-UTR.

3.3.4 A model to describe stability of nonstop transcripts

As mentioned above, the non-stop RNAs can be degraded by the non-stop pathway (Frischmeyer et al. 2002; van Hoof et al. 2002). In this pathway, ribosomes stalling at the 3'end can recruit NSD cofactors and the exosome complex to facilitate the aberrant mRNA degradation (Klauer and van Hoof 2012). There are two possible ways for mRNA degradation, Ski7-dependent and -independent. AtCPSF30 might be involved in these two possible ways to mediate the stabilities of these transcript isoforms. A model thereby is proposed in Figure 3.10.

In this model, AtCPSF30 might act with Ski7 to recruit the exosome to degrade the mRNA isoforms. During the translation of nonstop mRNAs, the ribosome proceeds through the

end of the poly(A) tail, displacing the poly(A)-binding protein (PABP) and stalling at the 3' end of the mRNA. The stalled ribosome at the 3' end of a transcript initiates non-stop decay through the binding of the C-terminus of Ski7 to the empty A site of the ribosome, thereby releasing the ribosome. Then the exosome is recruited by Ski7 and AtCPSF30, along with the SKI complex, deadenylates and rapidly degrades the transcripts. Therefore, the mRNA isoforms derived from polyadenylation in the CDS are unstable in the wild type and wt-specific. Without AtCPSF30, Ski7 may be unable to recruit the exosome, so the mRNA isoforms derived from polyadenylation in the CDS would be more stable.

3.3.5 A model to describe the 5'-UTR transcript isoform stability

The properties of mRNA isoforms derived from polyadenylation in the 5'UTR region are more complicated, since the wild type and wt-specific 5'UTR isoforms are as stable as the canonical mRNA isoforms. Therefore, two models are proposed.

In the wild type, AtCPSF30 can form a polyadenylation complex with CPSF160, CPSF100, CPSF73 and Wdr33 to perform recognition and cleavage (Chan et al. 2014; Schönemann et al. 2014), followed by the poly(A) tail addition (Figure 3.11A). However, a complex that contains only CPSF160, CPSF100, CPSF73 and Wdr33 also may perform the polyadenylation function to generate the mRNA isoforms (Figure 3.11B), since polyadenylation occurs in the *oxt6* mutant. However, the fact is that *oxt6*-specific transcripts do not have an AAUAAA-like motif (Figure 3.11C), may be the presence or absence of this motif in the 5'-UTR is involved in stabilization, perhaps through interactions with FY or other proteins.

3.4 Materials and mothed

3.4.1 Plant material and treatment

Arabidopsis thaliana wild type Col-0 and mutant *oxt6* plants were cultured vertically on solid MS media (1x Murashige and Skoog salts, MES 0.5g/L pH = 5.7, and 1% (w/v) sucrose). The surface of the seeds was sterilized before plating and put at 4°C for two days. Plants were then grown under long day conditions (16h light and 8h dark) for two weeks at 23 °C. In all experiments, three biological replicates were prepared.

For the cordycepin treatment, whole seedlings were transferred to a Petri dish containing incubation buffer (15 mM sucrose, 1 mM KCl/ 1 mM Pipes/ 1 mM sodium citrate, pH 6.5) and incubated for 30 min at room temperature before addition of cordycepin (200µM). Vacuum was applied for 30 seconds, and plants then incubated for the indicated periods of time. Controls were treated identically, but cordycepin was not added. Plants were harvested at different time intervals (15 min, 30 min, 60 min, and 120 min) and were immediately frozen in liquid nitrogen.

3.4.2 Poly(A) tag library preparation and sequencing

Total RNA was isolated using Trizol reagent and purified using RNAeasy columns (Qiagen, Hilden, Germany). A Nanodrop spectrophotometer was used for total RNA quantitation. Poly(A) tag libraries were generated from 1µg of total RNA using method B1 from Ma et al. (Ma et al. 2014a). These libraries were sequenced on the Illumina high-throughput sequencing platform. Three independent biological replicates were prepared for each treatment or condition.

3.4.3 Poly(A) tag and gene expression analysis

The sequencing data were analyzed using the pipeline as described previously (Bell et al. 2016). The raw data were demultiplexed and trimmed to remove the oligo-dT and sequencing adaptors using CLC Genomic Workbench. The trimmed tags were mapped to

the *Arabidopsis* genome (TAIR10) to get the bam file. The bam files were converted to bed files with the BEDtools suite (Quinlan and Hall 2010; Quinlan 2014) to obtain lists of individual poly(A) sites (PAS) and poly(A) site clusters (PACs) (see Fig 3.4 and Bell et al.) (Bell et al. 2016). A minimum of ten individual PATs for PASs and PACs are kept for subsequent analysis.

To determine gene expression, the PAT frequency of each gene was determined by a special file (TAIR10genes120.gff) that has only *Arabidopsis* genes. The data were then imported to CLC using the empirical analysis of DGE to compare the control and treatment. The genes with a total filter cut-off of 2-fold change and p-value <0.001 were selected as statistically significant.

To determine the PAT frequency for each PAC, the list of PACs is used as annotated master file to tell the numbers of tags in each individual sample that map to the PAC. Thus, the numbers of tags in each individual sample are compared with the number of tags in the *Arabidopsis* genome to get a proportion for poly(A) site usage analysis.

To calculate the statistically significant differences in poly(A) site usage in gene by gene analysis using DEX-seq package in R, and a *p-value*<0.01 is considered as statistically significant.

3.4.4 GO analysis

Gene Ontology term enrichment was performed using agriGO, which is a toolkit and database for the agriculture community (Websitehttp://bioinfo.cau.edu.cn/agriGO/). Parameters used were: reference is *Arabidopsis* gene model (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entries is 5. In the GO figures, the

X-axis is the negative log FDR adjust p-value and the Y-axis is the GO terms.

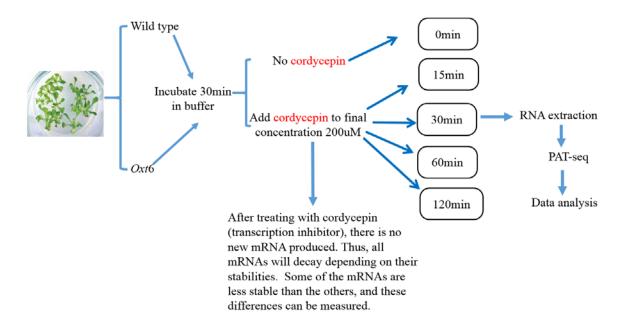
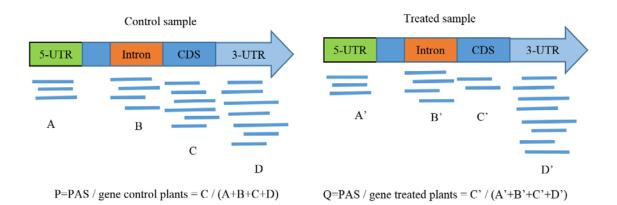
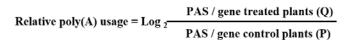


Figure 3.1 Overview of experimental approach to study AtCPSF30-mediated APA controls mRNA stability

Two-week old seedings were incubated in buffer for 30min, and then cordycepin added to 200uM final concentration so no new mRNA is produced. Thus, all mRNAs will decay depending on their stabilities. Some of the mRNAs are less stable than the others, and these differences can be measured. The seedlings after different times were harvested for RNA extraction, and thereafter PATs libraries were prepared and sequenced. Next, the PATs libraries data was analyzed to evaluate mRNA stability.





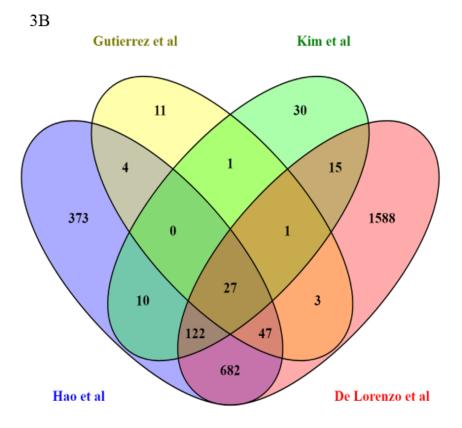
If all mRNA isoforms have the same stabilities, relative usage will be 0 If one isoform is less stable than others, relative poly(A) site usage will be <0 If one isoform is more stable than others, relative poly(A) site usage will be > 0

Figure 3.2 Overview of the method to calculate mRNA stability

In the control sample, A, B, C, D shows the poly(A) sites that fall into 5'UTR, intron, CDS and 3'UTR without treatment, respectively. While in the treated sample, A', B', C' and D' means the proportion of poly(A) site choice that fall into 5'UTR, intron, CDS and 3'UTR under treatment, respectively. Here the poly(A) site choice in CDS region as an example, the proportion of poly(A) site choice that fall into CDS is M in control sample, whole N is the percentage of poly(A) site choice that fall into CDS for treated sample. The relative poly(A) site usage is log₂ transformed for P/Q. The relative mRNA stability is determined by the value of relative poly(A) site usage compared with zero.



3A. The number of unstable mRNA isoforms are increased after longer time cordycepin treatment. The X-axis shows the comparisons between different time treatments and control. 0vs15 means the comparison between 15mins and control (0min), 0vs30 means the comparison between 30mins and control (0min), 0vs60 means the comparison between 60mins and control (0min), 0vs120 means the comparison between 120mins and control (0min). The Y-axis shows the gene expression number of unstable mRNA isoforms.



3B. Unstable transcripts in this study to compare with previous studies

The list of genes encoding unstable transcripts noted in this study are compared with previous published papers, roughly 83% of the unstable transcripts in my work were also identified in Gutierrez et al. (Gutierrez et al. 2002), 77% of the unstable transcripts were identified in Kim et al. study (Kim et al. 2011), and 70% were identified in (de Lorenzo et al. 2017).

Figure 3.3 Cordycepin incited significant down-regualtion gene expression

To validate the effectiveness of the cordycepin treatment, the relative expression of all mRNA isoforms derived from different genes was determined using PATs as described before (Ma et al. 2014b). For this, the number of PATs which were mapped to individual annotated genes were used to calculate relative gene expression levels. 1266 unstable transcripts were identified (FDR p-value<0.05 and the absolute fold change more than two) in the wild type cordycepin study.

3A. The number of unstable mRNA isoforms are increased after longer time cordycepin treatment.

3B. Unstable transcripts in this study compared with previous studies

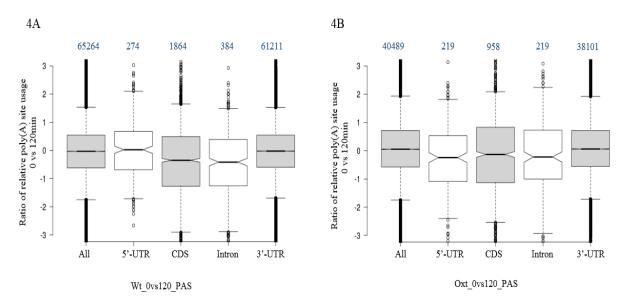


Figure 3.4 The stabilities of non-canonical mRNA isoforms incites global changes

Boxplot showing changes in different classes of poly(A) sites usage after 120min of treatment with cordycepin. The relative contribution that each PAS makes to total poly(A) usage was determined as in Figure 3.2. Total number of PASs in each class show above the boxplot.

- A. The global mRNA stability in wild type
- B. The global mRNA stability in oxt6 mutant

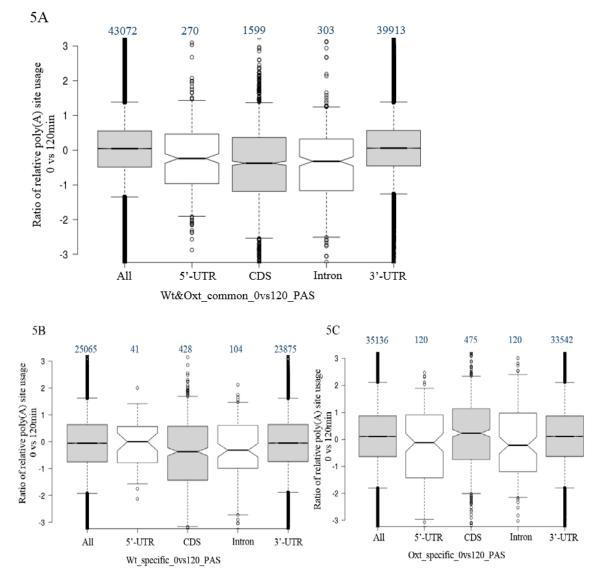


Figure 3.5. Stabilities of noncanonical mRNA isoforms from three classes of poly(A) sites changes

Boxplot showing changes in different classes of poly(A) sites usage after 120 min of treatment with cordycepin. The relative contribution that each PAS makes to total poly(A) usage was determined as in Figure 3.2. Total number of PASs in each class are shown above the boxplot.

- A. The stabilities of common mRNA isoforms.
- B. The stabilities of wt-specific mRNA isoforms.
- C. The stabilities of oxt6-specific mRNA isoforms.

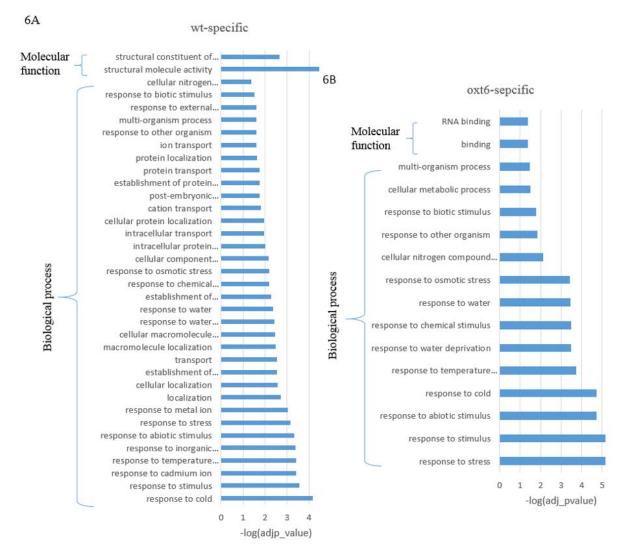


Figure 3.6 Enriched GO categories among the genes displaying APA after cordycepin treatment

Gene Ontology term enrichment according to the agriGo, which is a toolkit and database for agriculture community (Websitehttp://bioinfo.cau.edu.cn/agriGO/). Parameters are that reference is *Arabidopsis* genemodel (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entry is 9. The X-axis is minus log FDR adjusted p-value. The Y –axis is the GO terms.

- A. The GO analysis for genes encoding unstable wt-specific sites.
- B. The GO analysis for genes encoding unstable oxt6-specific sites.

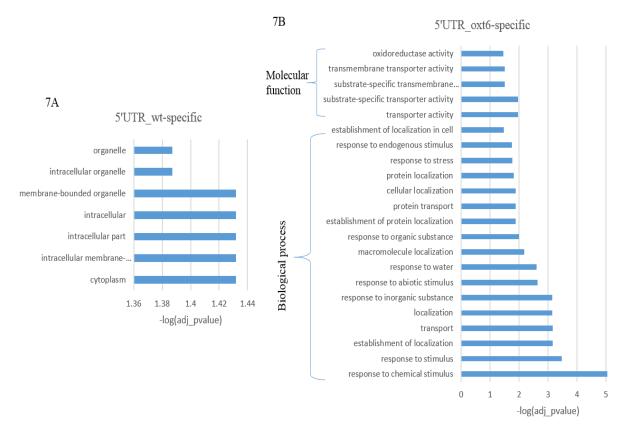


Figure 3.7 The gene ontology analysis for mRNA isoform that fall into 5'UTR

Gene Ontology term enrichment according to the agriGO, which is a toolkit and database for agriculture community (Websitehttp://bioinfo.cau.edu.cn/agriGO/). Parameters are that reference is *Arabidopsis* genemodel (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entry is 9. The X-axis is minus log FDR adjusted p-value. The Y –axis is the GO terms.

A. GO analysis for unstable wt-specific mRNA isoforms that fall into 5'UTRs.

B. GO analysis for unstable *oxt6*-specific mRNA isoforms that fall into 5'UTRs.



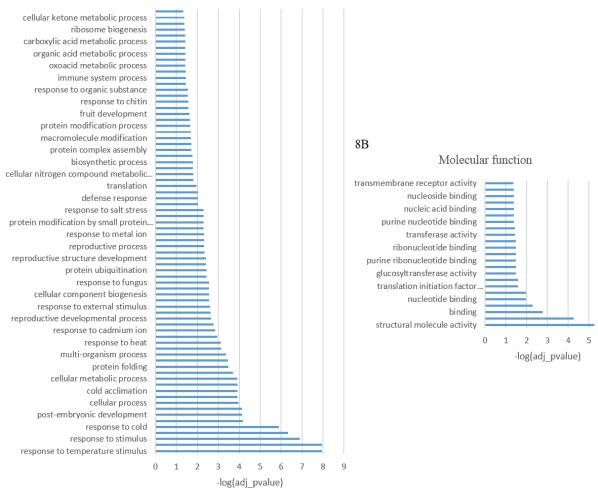


Figure 3.8 The gene ontology analysis for mRNA isoforms that fall into CDS in wt-specific

Gene Ontology term enrichment according to the agriGO, which is a toolkit and database for agriculture community (Websitehttp://bioinfo.cau.edu.cn/agriGO/). Parameters are that reference is *Arabidopsis* genemodel (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entry is 9. The X-axis is minus log FDR adjusted p-value. The Y –axis is the GO terms.

A. Biological process for unstable wt-specific mRNA isoforms that fall into CDS.

B. Molecular function for unstable wt-specific mRNA isoforms that fall into CDS.

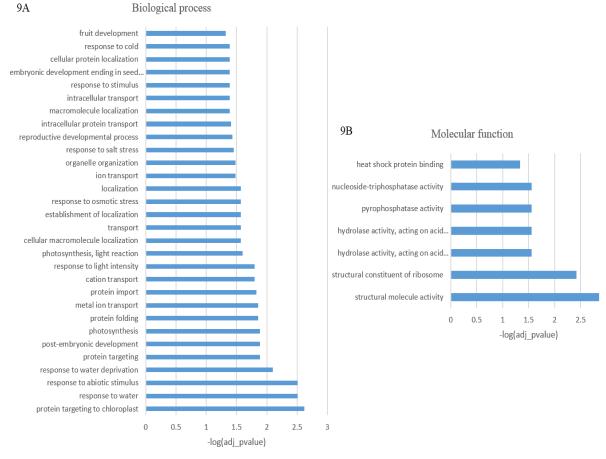


Figure 3. The gene ontology analysis for mRNA isoforms that fall into CDS in *oxt6*-specific

Gene Ontology term enrichment according to the agriGO, which is a toolkit and database for agriculture community (Websitehttp://bioinfo.cau.edu.cn/agriGO/). Parameters are that reference is *Arabidopsis* genemodel (TAIR); the statistic model is hypergeometric test; the multi-test adjust method is FDR adjust p-value; the significance is less than 0.05; and the minimum number of mapping entry is 9. The X-axis is minus log FDR adjusted p-value. The Y –axis is the GO terms.

- A. Biological process for unstable oxt6-specific mRNA isoforms that fall into CDS.
- B. Molecular function for unstable *oxt6*-specific mRNA isoforms that fall into CDS.

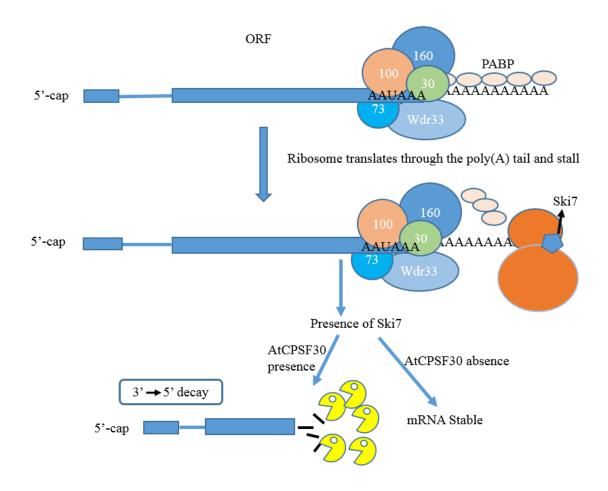


Figure 3.10 A model to describe the mRNA isoform stability in the CDS region

In this model, AtCPSF30 might act with Ski7 to recruit the exosome to degrade the mRNA isoforms. When the nonstop mRNAs are translated, the ribosome proceeds through the end of the poly(A) tail, displacing the poly(A)-binding protein (PABP) and stalling at the 3' end of the mRNA. The stalled ribosome at the 3' end of a transcript initiates non-stop decay through the binding of the C-terminus of Ski7 to the empty A site of the ribosome, thus releasing the ribosome. Then the exosome is recruited by Ski7 and AtCPSF30, along with the SKI complex, deadenylates and rapidly degrades the transcripts. Therefore, wt-specific mRNA isoforms derived from polyadenylation in the CDS are unstable. Without AtCPSF30, Ski7 may be unable to recruit the exosome, so the mRNA isoforms derived from polyadenylation in the *cDS* would be more stable in the *oxt6* mutant.

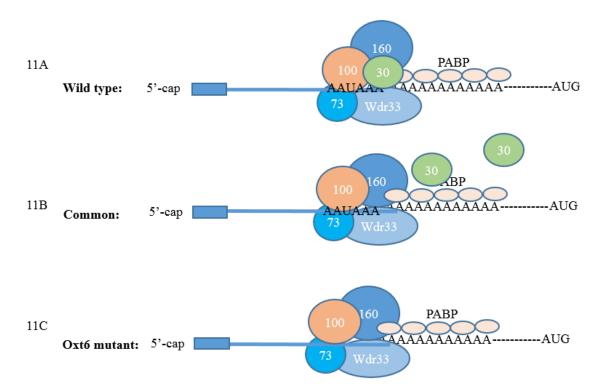


Figure 3.11 A model to describe the mRNA isoform stability in the 5'UTR region

A. The possible model for AtCPSF30 acting with the polyadenylation complex as a unit.

B. The possible model for AtCPSF30 acting without interactions with the polyadenylation complex.

C. The possible model mRNA stability in the absence of AtCPSF30.

Chapter Four: A screen for proteins that interact with AtCPSF30

4.1 Introduction

mRNA 3' end processing is not only an important step in eukaryotic gene expression but also a critical mechanism for gene regulation (Colgan and Manley 1997; Zhao et al. 1999b; Millevoi and Vagner 2010; Chan et al. 2011). Polyadenylation affects gene expression through determining the coding and regulatory capacity of the mRNA, especially for genes whose transcripts may be polyadenylated at more than one position (Lutz and Moreira 2011; Xing and Li 2011a).

Polyadenylation is mediated by a complex that includes several subunits that are evolutionarily conserved for the most part (Belostotsky and Rose 2005; Hunt et al. 2008). Among these subunits, the 30 kD subunit is enigmatic. In *Arabidopsis*, CPSF30 (AtCPSF30) plays an important role in regulating APA. This protein contains three predicted CCCH-type zinc finger motifs. The first CCCH motif is the primary motif that is responsible for the bulk of RNA-binding activity. It can also bind calmodulin, and the RNA-binding activity of AtCPSF30 is inhibited by calmodulin in a calcium-dependent manner. The function of the second zinc finger is not precisely known (Delaney et al. 2006; Addepalli and Hunt 2007b; Chakrabarti and Hunt 2015). The third motif is associated with endonuclease activity. Previous studies demonstrated that the endonuclease activity of AtCPSF30 could be inhibited by disulfide reducing agents (Addepalli and Hunt 2008).

Messenger RNA polyadenylation occurs in the nucleus, and the subunits of the polyadenylation complex that meditated this process are expected to reside within the nucleus. However, AtCPSF30 by itself localizes to the nucleus and also to the cytoplasm

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(Rao et al. 2009). Another study showed that CPSF30 has interactions with other subunits of the mRNA polyadenylation complex (Hunt et al. 2008). There is a model proposed whereby CPSF30 might act as an interface between cellular signaling and alternative polyadenylation (Hunt 2014). In this model, either calmodulin or redox status would cause an inhibition of CPSF30 as a signaling cues. The effects of calmodulin are obvious, but the disulfide remodeling might play an important role for the redox status. Based on these studies, we know that CPSF30 is not only in the nucleus, but also the cytoplasm. However, what proteins might interact with AtCPSF30 in the cytoplasm are still unknown. In this chapter, screen was conducted in an effort to identify such proteins. The results show AtCPSF30 interacts with two proteins, one of which is tyrosine-phosphorylated and whose phosphorylation state is modulated in response to ABA, and the other is ribosomal protein RPL35.

4.2 Result

4.2.1 Selected candidates interacting with AtCPSF30 from phage display

To identify novel proteins that can interaction with AtCPSF30, a phage display approach was chosen, since this is one of the most effective techniques to canvass a wide diversity of proteins and peptides (Bazan et al. 2012). The recombinant phage was used as a scaffold to present various protein portions encoded by a directionally cloned cDNA library to immobilized bait molecules (Kushwaha et al. 2014). For the *Arabidopsis* seed phage display cDNA libraries, the total RNA from mature, dehydrated (0h) or 12h-, 24h-, or 36h-germinated (on water at 25 °C with constant light) *Arabidopsis* seeds was the source of polyA+ mRNA (Chen et al. 2010). The cDNAs were ligated into T7Select10-3b vector arms, the libraries packaged into bacteriophage T7 in vitro, amplified and stored in aliquots

at -80C until use. Aliquots were used for serial dilutions to infect the *E. coli* strain BLT5403 to determine the titer of the primary recombinants (Chen et al. 2010). In this study, the seed library I, the titer of which was 1.6×10^7 pfu/ml, was used for initial affinity screening. After amplification, the titers of library I were 8.15×10^9 pfu/ml (Chen et al. 2010). Based on these results, the MBP-tag(MBP), MBP-tag CPSF30(C30) and the third zinc finger mutation of CPSF30 with MBP tag(mC30) were used to perform biopanning to capture phage that interact with each bait as described in the Methods and illustrated in Figure 4.1. Here the plaque forming units (PFU) were used to determine and calculate titer. At the fourth round of phage display, the plaque forming units went down compare with the third round (Figure 4.2) suggesting further affinity selection would be unproductive after the fourth round. Therefore, the phage from the fourth round of screening were used to preparing phage display libraries for sequencing.

The Miseq data representing the affinity-purified phage was analyzed using CLC Genomics Workbench. Reads were demultiplexed, trimmed, and mapped to a set of reference sequences (Figure 4.3). The mapping references used consisted of the set of *Arabidopsis* protein coding sequences. Using the mapping results, gene lists were obtained. The gene lists for each of the three replicates for the different "baits" (MBP-CPSF30, MBP, etc.) were pooled for further analysis. The results showed that there are 245 proteins that exclusively interact with C30 (Figure 4.4A). To narrow down the gene list, the gene lists from individual C30 replicates were compared; this yielded a set of 107 genes present in two or more replicates. These have the greatest possibility of representing bone fide interacting partners with C30. These lists of 245 and 107 were further compared to get a set of 15 high-confidence candidates. These 15 candidates were screened first to make sure

they were in-frame (Figure 4.4B). Finally, the *Arabidopsis* sequences that were in-frame with the phage coat protein coding region yielded three candidates, At1g05510 (OIL BODY-ASSOCIATED PROTEIN 1A; OBAP1A), At1g09590 (CYTOSOLIC LARGE RIBOSOMAL SUBUNIT 21E; RPL21E) and At5g02610 (RIBOSOMAL PROTEIN L35; RPL35). These were chosen for further study.

4.2.2 Yeast two hybrid assay to confirm the three candidates

To confirm these three candidates, yeast two hybrid assays were performed. Because AtCPSF30 self-activates when cloned into the DNA-binding domain of the two-hybrid system, it was cloned into the activation domain vector. The three candidates were cloned into the binding domain vector. The full length AtCPSF30 cloning into pAD, and the empty BD plasmid, were used as negative controls. The AtMYC2 in pAD and AtGBF1 in pBD were used as positively controls (Maurya et al. 2015). Transformants were identified by selecting on synthetic dropout (SD) medium without Leu and Trp (-LT) (Figure 4.6A). These were then grown on SD media without Leu, Trp and His (-HLT) to test for protein-protein interactions. The results showed that only AtCPSF30 and OBAP1A interact with each other (Figure 4.6B). Interestingly, no transformants were obtained with the RPL35-AtCPSF30 combination, suggesting that this combination might be toxic to yeast cells.

To further confirm these results, transformants were grown on media containing x-gal, taking advantage of the presence of the *LacZ* reporter gene in the yeast host strain. The results confirmed the interaction between AtCPSF30 and OBAP1A (Figure 4.6C).

4.2.3 Bimolecular Fluorescence Complementation to confirm corresponding interactions

These above results showed that OBAP1A interacts with AtCPSF30 in yeast cells. To further confirm interaction, Bimolecular Fluorescence Complementation assays (BiFC) were performed. In addition, this assay was used to test for interactions between AtCPSF30 and RPL35 in plant cells; this is an alternative to the two-hybrid assay that failed due to the apparent toxicity of the AtCPSF30- RPL35 combination in yeast cells.

Before the BiFC assay, localization experiments were performed. In this assay, AtCPSF30, OBAP1A and RPL35 were fused to the GFP coding region present in the pSITE2N and pSITE2C vectors, differing with respect to where the GFP fusion protein is situated relative to the experimental protein (i.e. N- or C-terminus, respectively), and expressed transiently in *Nicotiana benthamnaia* (Chakrabarty et al. 2007). The results showed that expression of the fusion proteins containing AtCPSF30 and OBAP1A could be observed with the pSITE2C vector, while RPL35 protein expression could be obtained with two vectors. However, for the latter protein, the pSITE2N vector yielded higher expression levels. The results of the localization experiments showed that AtCPSF30 is located in both the nucleus and cytoplasm (Figure 4.7A), as reported earlier (Rao et al., 2009). The proteins encoded by OBAP1A and RPL35 were located mainly in the nucleus (Figure 4.7B-C).

To test the functionality of the binary BiFC vectors in plant, the wild type AtCPSF30, OBAP1A At1g05510 and RPL35 cDNA were cloned into pSITEII-nEYFP and pSITEII-cEYFP, respectively. Here the empty pSITEII-nEYFP and pSITEII-cEYFP act as negative controls, while the *CoRSV_ORF2* was used as a positive control (Ramalho et al. 2014). The constructs were delivered into leaf cells of CFP-H2B tobacco (*Nicotiana benthamiana*)

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by *Agrobacterium* infiltration (Goodin et al. 2008). The result shows that AtCPSF30 and RPL35 interact in the nucleus (Figure 4.8).

4.3 Discussion

4.3.1 The approaches to identify potential interacting proteins of AtCPSF30

CPSF30, one of the subunits of the eukaryotic polyadenylation complex, is a small protein that consists of a characteristic array of conserved CCCH-type zinc finger proteins, binds RNA, and interacts with several other polyadenylation factor subunits. One previous study reported that AtCPSF30 interacts with AtCPSF160, AtCPSF100, AtCFIS2, both FIPS orthologs, AtPCPS1, AtPCFS5, and AtCLPS3, which may as a central hub in the proteinprotein interaction network of plant polyadenylation complex subunits (Hunt et al. 2008). This study mainly used yeast two yeast hybrid to test protein-protein interactions amongst *Arabidopsis* polyadenylation complex subunits. Another study showed that AtCPSF30 interacts with other polyadenylation factor subunits, AtCPSF160 or AtCPSF73(I) in the nucleus when co-expressed (Rao et al. 2009). These two experiments studied specific targets that reside in the poly(A) complex. However, these proteins are expected to reside within the nucleus, whereas AtCPSF30 may also reside in the cytoplasm (Rao, et. al, 2009). Screening a phage display library has the potential to identify additional, unknown interacting partners.

The advantage of this approach is that the cDNA phage display library is a global one for seeds that may include novel partners that interact with the bait protein (AtCPSF30). Thus, large libraries of proteins can be screened by the in vitro selection. However, the number of genes returned is too large to confirm all of them. To narrow down the gene number and increase the possibility of identifying bonafide candidates, the overlap among three

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replicate wells was used. In the hypothesis, we expected to find proteins that might play a role in redox regulation, but found none. Possibly our strategy to narrow down the number of genes screened out possible redox-related proteins or the conditions leading to interaction must actually be oxidative.

4.3.2 Possible connection between AtCPSF30 and expression protein of OBAP1A

AtCPSF30 contains three predicted CCCH-type zinc finger motifs. The first CCCH motif is the primary motif responsible for the bulk of CPSF30's RNA-binding activity. It can bind with calmodulin, but the RNA-binding activity of AtCPSF30 is inhibited by calmodulin in a calcium-dependent manner. The third motif is associated with endonuclease activity. Previous studies demonstrated that the endonuclease activity of AtCPSF30 can be inhibited by disulfide reducing agents. Here we expected proteins that interact with AtCPSF30 and act through calmodulin binding or disulfide remodeling.

One of the proteins identified in this study as interacting with AtCPSF30 is encoded by At1g05510 (OBAP1A). This gene is expressed in *Arabidopsis* seeds and during germination (Job et al. 2005). OBAP1A, encoded by At1g05510, is tyrosine-phosphorylated and this phosphorylation state is modulated in response to ABA (Ghelis et al. 2008). Protein tyrosine phosphorylation plays important roles in cell growth and differentiation in animals. Also, protein tyrosine phosphorylation has been detected in *Arabidopsis thaliana*. OBAP1A, coded by At1g055010, was identified in a mass spectrometry-based proteomics study of responses of *Arabidopsis* to abscisic acid (ABA) (Ghelis et al. 2008). Specifically, the overall abundance of this protein decreases, but tyrosine phosphorylation increases in ABA-treated seeds.

Several studies on tyrosine phosphorylation used phenylarsine oxide (PAO), a specific protein tyrosine phosphatase (PTP) inhibitor (Quettier et al. 2006; Ghelis et al. 2008). In the cytosolic and detergent soluble fractions as well as on surface of *Setaria cervi*, the PTP activity present was significantly inhibited by PAO (Singh et al. 2016). Also, PAO can prevent stomatal closure in *Commelina communis*. Stomatal closure can be induced by ABA, high external Ca²⁺, darkness, and hydrogen peroxide (Quettier et al. 2006). Moreover, with the increased ROS level, the activity of different antioxidant enzymes like thioredoxin reductase, glutathione reductase and glutathione transferases was decreased in PAO-treated plants (Singh et al. 2016). Along with previous studies showing that CPSF30 might be involved in ROS regulation (Zhang et al. 2008), this provides a possible reason for the interaction of CPSF30 with OBAP1A.

Protein tyrosine phosphorylation activity is essential for stomatal closure induced by ABA, external calcium, darkness and H_2O_2 (Enid 2002). It is well known that ABA regulates guard cell turgor via a calcium-dependent pathway (Julian et al. 2001; Luan 2002). H_2O_2 serves as the downstream messenger for ABA and activates calcium channels. The phosphorylation of tyrosine lies downstream from the calcium response (Enid 2002). These facts suggest that multiple calcium-dependent signaling mechanisms may converge on AtCPSF30, and that one may involve OBAP1A.

Additionally, a BLASTP search of the plant genome database shows that the protein encoded by OBAP1A has a strong similarity to the embryo-specific protein Ose731 from rice. Ose731 has a lipoprotein domain that is also found in oleosins. Oleosins stabilize oil bodies in seeds during desiccation and facilitate the hydrolysis of these reserves by lipases during germination (Keddie et al. 1992). Also, the levels of oleosins decrease in *Brassica* *napus* and rice after ABA treatment (Holbrook et al. 1991; Konishi et al. 2005). Oleosins have been shown to be phosphorylated in *B. napus* (Holbrook et al. 1991). Therefore, tyrosine phosphorylation through an interaction between AtCPSF30 and OBAP1A might regulation oil body biogenesis or oleosin targeting to modulate the synthesis or hydrolysis of lipid reserves.

From the localization experiment, the protein encoded by OBAP1A is located both in the cytoplasm and nucleus. Previous studies showed that it is involved in oleosome biogenesis and the ABA response (Job et al. 2005; Ghelis et al. 2008), but no study detected it in the nucleus. However, one study showed that the protein encoded OBAP1A might be involved in the protein/transcript expression patterns by protein profiling in *Arabidopsis thaliana* seed development (Hajduch et al. 2010).

4.3.3 Possible connection between AtCPSF30 and RPL35

Another protein identified in this study as interacting with AtCPSF30 is encoded by At5g06210. This protein belongs to ribosomal L29 family and is termed *Arabidopsis* 60S ribosomal protein L35 (RPL35). RPL35 is an important component of the 60S ribosomal subunit, and is required for 60S r-subunit accumulation (Zhong and Arndt 1993).

In eukaryotes, the few studies that have focused RPL35 have shown it to have a role in translation and endoplasmic reticulum docking (Chen et al. 2008; Babiano and de la Cruz 2010). Eukaryotic RPL35 shares a remarkable sequence identity with archaeal and eubacterial RPL29, although RPL35 has a specific C-terminal extension (Babiano and de la Cruz 2010). The location of RPL35 is close by RPL25 and RPL26, thus flanks the nascent peptide exit tunnel in a similar way to their archaeal and eubacterial RPL29, RPL23 and RPL24 counterparts, respectively (Klein et al. 2004). RPL35 with RPL25 together may

have a function as a general docking site for nascent polypeptide chain-associated factors, like N-terminal processing and modification enzymes, chaperones, the signal recognition particle (SRP) and the Sec61/translocon complex (Becker et al. 2009; Günter et al. 2009). Another study showed that RPL35 is indeed required for the normal accumulation of 60S r-subunit because the defective production of RPL35 causes defects in pre-rRNA processing and in intra-nuclear transport and nuclear export of pre-60S r-particles (Babiano and de la Cruz 2010). This study also showed that RPL35 assembles in the nucle(ol)us and interacts with 27S pre-rRNA stably, indicating that RPL35 might be added early in the ribosomal assembly pathway (Babiano and de la Cruz 2010). In our study, the localization and BiFC experiment shows that the protein encoded by At5g06210 is located in the nucleolus, suggesting that AtCPSF30 might have a function in ribosomal biogenesis.

In zebrafish (*Danio rerio*), the protein RPL35 is an haploinsufficient tumor suppressor operating through an as yet unknown mechanism, similar to many other r-protein gene products (Amsterdam et al. 2004). In humans, RPL35 carries a 54-aa eukaryotic-specific expansion segment (ES) at the C-terminal end. Within the ES, the first 25 amino acid residues play an important role for the nuclear import of the protein, while the last 29 residues are one of the contact sites for ribosomal docking to endoplasmic reticulum (Chen et al. 2008). In addition, *RPL35* expression is significantly up-regulated during bovine lactation (Bionaz and Loor 2011). Another study showed that RPL35 could positively control translational elongation by interacting with eEF2 and by locating to the ER during CSN2 secretion, indicating that RPL35 is an important regulatory factor involved in the Met-mediated regulation of CSN2 translational elongation and secretion (Jiang et al. 2015). In yeast, RPL35 assembly is essential for the efficient cleavage of the internal transcribed

spacer 2 at site C2 (Babiano and de la Cruz 2010). One possible role for the AtCPSF30-RPL35 interaction might be to recruit AtCPSF30, which has endonuclease activity (Addepalli and Hunt 2007a), to the pre-rRNA for processing of the precursor.

4.3.4 Summary

In summary, a large number of AtCPSF30-interacting protein candidates were identified by screening an mRNA phage display library. Further analyses confirmed interactions of two of these, encoded by OBAP1A and RPL35. Based on other studies of these proteins, these studies show that AtCPSF30 might have different roles in the plant, and other proteins that interact with this protein might affect its functioning in polyadenylation in the nucleus and in the cytoplasm.

4.4 Material and method

4.4.1 Vector construction and site-directed mutagenesis

Total RNA was extracted for wild type *Arabidopsis*, and the cDNA encoding AtCPSF30 was isolated by RT-PCR as described by Addepalli (Addepalli et al. 2004). The DNA polymerase, *Taq* DNA polymerase was used to amplify the products with A overhangs. The A residue overhang product was cloned into pGEM T vector and the inserts sequenced. The *AtCPSF30* coding region was excised from a confirmed pGEM clone as an NdeI-EcoRI fragment and cloned into similarly-digested pMAL-c5x. The recombinant plasmid pMAl-c5x-AtCPSF30 was obtained after ligation and transformation. This plasmid was transformed into Rosetta cells for protein expression.

The mZF1, mZF3 and mZF13 mutants were generated from PMAL-c5x-AtCPSF30 plasmids as the templates using the Quick-Change site-directed mutagenesis kit

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(Stratagene) and the oligonucleotides shown in Table 1. The mutant clones were confirmed by Sanger sequencing.

4.4.2 Protein expression and purification

To produce MBP fusion proteins, 10 mL overnight culture of transformed Rosetta cells grown and used to inoculate 200 mL of LB media. The culture was grown at 37°C for 3 to 4hr, until the OD600 reached 0.5. The fusion protein gene expression was induced by adding isopropylthio- β -galactoside to a final concentration of 0.3mM. After adding the inducer, the culture was grown for an additional 2 h. Cells were harvested and resuspended in 5ml of lysis buffer (GLB; 20 mM Tris-HCl (pH 8.0), 200 mM NaCl and 1 mM EDTA). The resuspended cells were sonicated using three bursts for 30s each on ice and debris was removed by centrifugation at 4°C (8,000,000×g). The centrifugation was repeated to completely clarify the final solution.

This supernatant added to Amylose Resin High Flow that had been washed twice with GLB and incubated in a 500 μ L Eppendorf tube for 15min. The resin was collected by centrifugation (8,000,000 x g) and washed sequentially with 1 ml GLB+ 2M NaCl and then 1 mL of GLB. MBP fusion proteins were eluted with MBP elution buffer. The eluted protein was stored at -80°C.

Protein preparations were analyzed by SDS-PAGE and staining with Coomassie Brilliant Blue, and confirmed by Western blot. Quantities were estimated by comparison with known quantities of bovine serum albumin.

4.4.3 Phage display sequencing

Screening the libraries by biopanning

The seed phage display cDNA library I (Library titer: 6.1×10^7 pfu/mL) was obtained from Dr. Bruce Downie, and this experiment was performed in the Downie lab. To make the seed phage display cDNA libraries, hot borate extracted total RNA from mature, dehydrated (0) or 12h-, 24h, or 36h- germinated (on water at 25 °C with constant light) Arabidopsis seeds was the source of polyA+ mRNA (Chen et al. 2010). Phage synthesis consisted of acquiring mRNA following two rounds of selection over Oligotex resin (QIAGEN Inc., Valencia, CA, USA). One µg of polyA+ mRNA from each stage of germination was combined for cDNA synthesis. A kit (OrientExpress random primer cDNA synthesis kit; Novagen, San Diego, CA, USA) was used for first strand synthesis (randomly primed) to synthesize first- and second-strand cDNAs. One μ g random primers (Hind III RP) were used to generate the Seed Library I (SLI) and 0.1µg to generate the second- (SLII) phage display library. At the end, T4 DNA polymerase blunted the ends, linkers were ligated to the cDNAs which were digested with Eco RI/Hind III, and cleavage products were size fractionated according to the instructions of the kit manufacturer (T7Select10-3 Cloning Kit, Novagen). The cDNAs were directionally ligated into T7Select10-3b vector arms, and the libraries packaged into bacteriophage T7 in vitro. An aliquot was used for serial dilutions to infect the E. coli strain BLT5403 to determine the titer of the primary recombinants (Chen et al. 2010). For each library, the primary libraries were then amplified using plate lysates, the phage extraction buffer (20 mM Tris, pH 8.0, 100 mM NaCl, 6 mM MgSO₄) from the plates was combined, treated with chloroform, centrifuged (3,000 X g, 5 min) and the supernatant recovered. After the titer of the two amplified libraries was determined, the libraries were mixed with 0.1 volume 80% glycerol, and 1 mL aliquots were prepared and stored at -80 °C (Chen et al. 2010).

One hundred μ L of 10µg/ml protein solutions were placed in each microtiter plate well (Special protein binding plates) and the plates covered with plastic wrap. For this, three proteins-MBP, MPB-CPSF30, MPB-mCPSF30, were used. The microtiter plate was placed at 4°C and incubated overnight. The microtiter plate was taken out and washed in TBS, blocked using 200μ L milk blocking reagent 5% (W/V) with shaking for 1h at room temperature, and washed with TBST buffer. After the last wash, 100ul phage library was added to wells and plates were shaken at room temperature for one hour. The supernatant was removed and the wells washed with TBST buffer. After the last wash, 200µL aliquots of *Escherichia coli* (BLT5403 cells $OD_{600}=0.5$ to 0.6) in LB were added to each well, and the plate was sealed with adhesive film, incubated for 20min at 37°C to allow infection. After 20min, a portion of the cells was plated at low density to determine the titer. The remainder was added to a 250mL Erlenmeyer flask contained 50mL BLT5403. Cells were shaken at 37°C for 3h or until visible lysis occurred. Amplified phage was centrifuged with final concentration 0.5M NaCl at 8000×g 10min at 4°C, and the supernatant moved to a clean, sterile 50mL falcon tube. A few drops of chloroform were added and the tubes and stored at 4°C for the next biopanning round.

PCR and Sequence Analysis of Phage Recovered during Biopanning

After four rounds of biopanning, a 1 μ L aliquot of the final suspension was used for phage display sequencing preparation by PCR. Two steps were taken for the primers design of phage display. First, part of the Illumina first read adapter with a barcode and some random nucleotides was included to allow sequencing and subsequent analysis. Next, the PE-PCR1 and PE-PCR2 primers used for amplifying the cleaned reactions from the first step. These

primers give products fully compatible with the HiSeq and MiSeq platforms. The primers were designed with Illumina adaptor (Table 2).

The first PCR program was: initial denaturation of 30 seconds at 98°C, denaturation of 15 seconds at 98°C, annealing at 60°C for 15 seconds, extension at 72°C for 1 minute for 15 cycles for the first step. The second PCR program was the same as the first PCR, but only 12 cycles. The libraries were quantified by qRT-PCR and pooled together, and each sample contained at least 25ng of amplified cDNA library. The libraries were sequenced on a Miseq instrument.

The sequencing data was analyzed with CLC genomic workbench. These samples were demultiplexed, trimmed and mapping to the TAIR10 coding sequence to get the gene list. The number of genes were narrowed down by comparing the results of three replicates. Sequence analysis was conducted to assure that the respective protein coding regions were in frame with the phage coat protein gene present in the phage display vector.

4.4.4 Yeast two hybrid assays

The full-length *AtCPSF30* was cloned into pAD-GAL4-2.1, and the protein-coding regions for *At1g05510*, *At1g09590* and *At5g02610* were cloned into pBD-GAL4 Cam (Stratagene), respectively (oligonucleotide primers are given in Table (4.3). Different combinations of bait and target plasmids were transformed into yeast strain AH109 (Clontech), and the corresponding transformants were selected on synthetic dropout (SD) medium without Leu and Trp. Transformed colonies were then streaked on SD-His-Leu-Trp and SD-Ade-His-Leu-Trp medium to check protein-protein interaction. To further confirm the positive clones in yeast system, experiments were performed in AH109 yeast strain to detect the transcription of *LacZ* reporter gene.

4.4.5 Subcellular localization and BiFC

Gateway cloning was performed for full-length *AtCPSF30*, *At1g05510* and *At5g02610* cDNAs using pSITEII-2NA, pSITEII-2CA, pSITE-nYEFP-C1, pSITE-cYEFP-N1 pSITE-cYEFP-N1, respectively (oligonucleotide primers are in Table 3). The vector was provided by Dr. Michael Goodin, Department of Plant Pathology, University of Kentucky, USA. All the constructs and control vectors were transformed into Agrobacterium strain LBA3108 using the freeze thaw method (An, Ebert et al. 1988). Agrobacterium was freshly grown on LB agar plates with respective antibiotics for 2 days at 28 °C. For agroinfiltrations, 1ml of an overnight culture was put into 25ml LB with appropriate antibiotics and grown at 28°C overnight. The suspension was harvested and suspended in MES buffer (10mM MES and10mM MgCl₂, pH=5.9); the cell density was set to OD_{600} of 0.6 to 1.0. Acetosyringone was added to all samples at 150µM concentrations and incubated at room temperature for 2-3 hours. For co-infiltrations with different constructs, equal volumes of different Agrobacterium suspensions were mixed before infiltrations.

Three-week old *Nicotiana benthamiana* that express CFP (cyan fluorescent protein) fused to histone 2B (H2B-CFP) (Kathleen et al. 2009) plants were used for infiltrations. For this, leaves were infiltrated with the Agrobacterium suspensions using a 1ml syringe without a needle. Fully expanded and healthy lower leaves were selected for infiltration experiments. The abaxial surface of the leaf was injected with the suspension buffer while, at the same time applying gentle pressure with a finger on the adaxial surface of the leaf. The buffer with bacterial suspension was infiltrated in the intravenous regions. At least two leaves were infiltrated with the same suspension buffer for a single plant. The infiltrated plants were incubated under 16hr/8hr light and dark photoperiods at 25°C for a 48-72h period.

The infiltrated leaves were cut into small sections near the infiltration site. The abaxial side facing the cover slip was placed on a glass slide in a drop of water and mounted gently with a cover slip. The water-mounted sections of leaf tissue were chosen to examine by confocal microscopy. Imagines were observed using an Olympus FV1000 laser scanning confocal microscopy. The microscope is equipped with lasers for excitation wavelengths ranging from 488 to 514 nm. Micrographs for dual-color imaging were obtained sequentially, as described in Goodin et al. (2007a). Images were visualized under 20x and 40x objective lens and image processing was performed by using Olympus Fluoview software (Olympus). The images are typically acquired are a pixel resolution of 1024x1024 at a scan rate of 10µs/pixel.

| Primer Name | Sequence (5'-3') | Used for |
|-------------|-------------------------------------|---------------------------|
| AtCPSF30-F1 | ATGGAGGATGCTGATGGACTTAG | Cloning into pGEM |
| AtCPSF30-R1 | TTACAGAACCCAATTAAAAACCTTAGGACT | Cloning into pGEM |
| AtCPSF30-F2 | CATATGGAGGATGCTGATGGACTT | Cloning into pMAL-C5 |
| AtCPSF30-R2 | GAATTCTTACAGAACCCAATTAAAAAC | Cloning into pMAL-C5 |
| mZF1-F | AGGTGACGCCAGTACTTTTCTCTATCAGTTCGATA | Site-directed mutagenesis |
| mZF1-R | TTCATACACAGACCTCGAAGCCAGTGTCTACAAAC | Site-directed mutagenesis |
| mZF2-F | AGCAGGATAGTACTTATAAATATACCAATGAAGAT | Site-directed mutagenesis |
| mZF2-R | CTCGACATTCACCGTATAACCGGAAAAAACGGCAG | Site-directed mutagenesis |
| mZF3-F | TGGTCCTGATAGTACTTACAGGTATGCAAAGCTTC | Site-directed mutagenesis |
| mZF3-R | TTGGGACAAAACCCCAGCTTGTACATATTGCATTC | Site-directed mutagenesis |

Table 4.1 Primers for AtCPSF30 and its mutant

| Primer Name | Sequence (5'-3') | |
|-------------|---|--|
| F1-T7a | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcCCCggagctgtcgtattccagtcagg | |
| F1-T7b | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcCGGggagctgtcgtattccagtcagg | |
| F1-T7c | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcAACggagctgtcgtattccagtcagg | |
| F1-T7d | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcAGCggagctgtcgtattccagtcagg | |
| F1-T7e | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcAGAggagctgtcgtattccagtcagg | |
| F1-T7f | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcACGggagctgtcgtattccagtcagg | |
| F1-T7g | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcCCGggagctgtcgtattccagtcagg | |
| F1-T7h | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcCAAggagctgtcgtattccagtcagg | |
| F1-T7i | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcCAGggagctgtcgtattccagtcagg | |
| F1-T7j | ACACTCTTTCCCTACACGACGCTCTTCCGATCTtcCCAggagctgtcgtattccagtcagg | |
| R1-T7 | CGGTCTCGGCATTCCTGCTGAACCGCTCTTCCGATCTaacccctcaagacccgtttagagg | |
| PE-PCR1 | AATGATACGGCGACCACCGAGATCTACACTCTTTCCCTACACGACGCTCTTCCGATCT | |
| PE-PCR2 | CAAGCAGAAGACGGCATACGAGATCGGTCTCGGCATTCCTGCTGAACCGCTCTTCCGATCT | |

| Table 4.2. Primers for phage libraries sequenci | ng |
|---|----|
|---|----|

| Primer Name | Sequence (5'-3') | Used for |
|-------------|--------------------------------------|-------------------------|
| At1g30460-F | GGATCCATGGAGGATGCTGATGGACT | Cloning into PBD vector |
| At1g30460-R | CGCCGG AATTCTTACAGAACCCAATTAAAAACCTT | Cloning into PBD vector |
| At1g05510-F | CCGGAATTCATGGAGAAGGCAGTTCATTTA | Cloning into PAD vector |
| At1g05510-R | GTCCGATTAAACGAAGACTCTTGGAACGG | Cloning into PAD vector |
| At1g09590-F | GAATTCATGCCGGCTGGACATGGAGT | Cloning into PAD vector |
| At1g09590-R | GTCGACCTAATAGCCTCCTTTGAGATCGTTGAC | Cloning into PAD vector |
| At5g02610-F | GCGTCGACATGGCGAGAATTAAGGTTCA | Cloning into PAD vector |
| At5g02610-R | CTGCAGCTACACTTTGATAGCGTACTTCCTCA | Cloning into PAD vector |

Table 4.3 Primers for yeast two hybrid

| Primer Name | Sequence (5'-3') |
|-------------|--|
| At1g30460-F | GGGGACAAGTTTGTACAAAAAAGCAGGCTTCATGGAGGATGCTGATGGACTTAG |
| At1g30460-R | GGGGACCACTTTGTACAAGAAAGCTGGGTCCAGAACCCAATTAAAAAACCTTA |
| At1g05510-F | GGGGACAAGTTTGTACAAAAAAGCAGGCTTCATG GAGAAGGCAGTT CATTTATCCA |
| At1g05510-R | GGGGACCACTTTGTACAAGAAAGCTGGGTCAACGAAGACTCTTGGAACGG |
| At5g02610-F | GGGGACAAGTTTGTACAAA AAAGCAGGCTTCATGGCGAGAATTAAGGTTCATGAGC |
| At5g02610-R | GGGGACCACTTTGTACAAGAAAGCTGGGTCCACTTTGATAGCGTACTTCC |

Table 4.4. Primers for BiFC

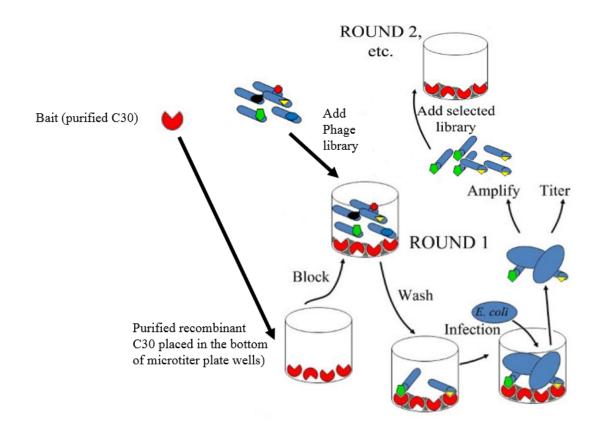


Figure 4.1 Overall graphic depiction of the phage display process (modified from Kushwaha, *et al.* 2014)

A bait was added at the microtiter plates for phage display screening using the *E. coli* (BLT5403). After *E. coli* was infected, one part was used for titering, while the rest for amplification. Amplified phage is used as sub-libraries for the next round of panning.

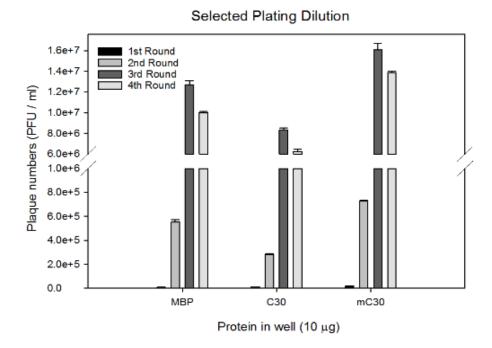


Figure 4.2 Titering for seed phage library I

The X-axis shows different kinds of protein as bait for phage display, including MBP, MBP-CPSF30(C30) and MBP-mCPSF30 (mC30). The Y-axis shows the PFU (Plaque Form Unit), which is used to calculate titer. This figure showed the titer increases dramatically for the all the baits until the third round. In the fourth round, titer from bait wells decrease, and further affinity selection is unproductive.

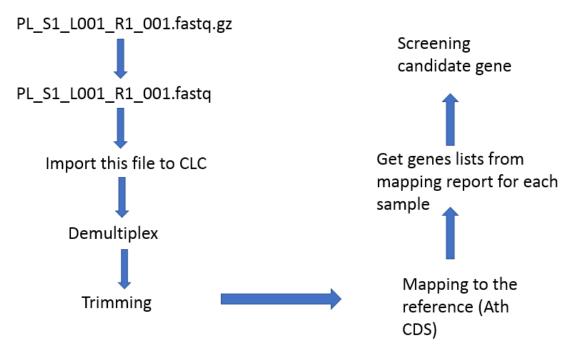


Figure 4.3 The flowchart for phage-sequencing data analysis

This figure shows the method for analysis of PEPSeq (Pair-end Phage Display sequencing).

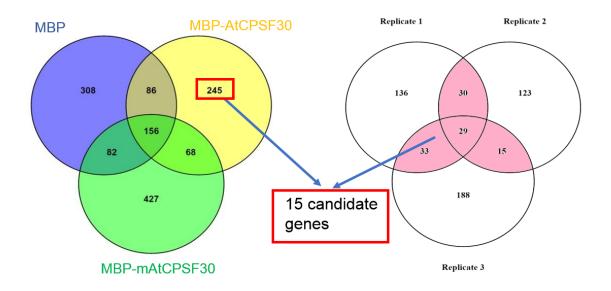


Figure 4.4 Selected Exclusive candidates interact with AtCPSF30

The gene numbers of MBP, C30 (MBP-ACPSF30), mC30 (mutant of AtCPSF30) are from the Miseq data representing the affinity-purified phage was analyzed using CLC Genomics Workbench. Reads were demultiplexed, trimmed, and mapped to a set of reference sequences (Figure 4.3). The mapping references used consisted of the set of *Arabidopsis* protein coding sequences. Using the mapping results, gene lists were obtained. These gene lists are compared by Venn diagram.

4A. To get the exclusive genes interacting with C30 (AtCPSF30)

This figure shows that there are 245 proteins that exclusively interact with C30.

4B. To narrow down the number of genes that interact with AtCPSF30

To narrow down the gene list, the gene lists from individual C30 replicates MBP-AtCPSF30) were compared; this yielded a set of 107 genes present in two or more replicates (pink), which have the greatest possibility of interacting with C30. These lists of 245 and 29 were further compared to get a set of 15 high-confidence candidates.



Figure 4.5 Correct or incorrect candidate as examples

A. This figure is to explain how to make sure candidate gene is in the open reading frame when it maps to a reference gene. The upper figure shows the At1g05510 sequences from Miseq are mapping with the CDS of this gene (yellow bar). After calculating the position of ATG for the phage coat protein, this gene is found to be in the proper open reading frame.

B. At1g71950 is an example that the candidate gene is not on the open reading frame.

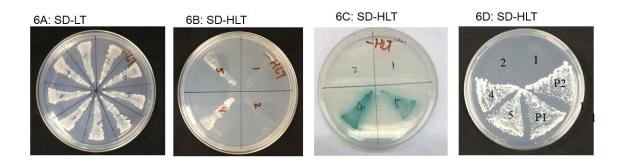
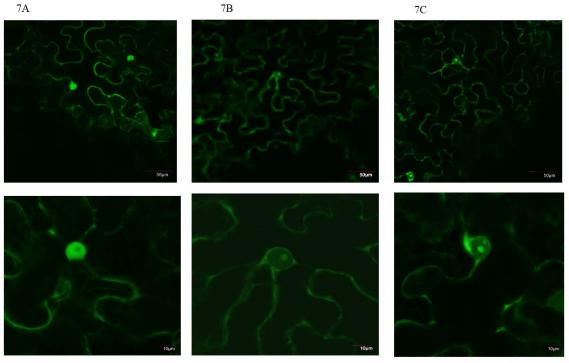


Figure 4.6. Yeast two hybrid to confirm interactions with AtCPSF30

To confirm these three candidates (At1g05510, At1g09590 and At5g02610) interact with AtCPSF30, yeast two hybrid assays were performed. AtCPSF30 self-activates when cloned into the activation domain vector. The three candidates were cloned into the binding domain vector. The full length AtCPSF30 was cloned into pAD, and the empty BD plasmid, were used as a negative control. The atMYC2 in pAD and atGBF1 in pBD were used as a positive control (Maurya et al. 2015). Transformants were identified by selecting on synthetic dropout (SD) medium without Leu and Trp (-LT) (Figure 4.6A). These were then grown on SD media without Leu, Trp and His (-HLT) (4.6B,4.6C, 4.6D) to test for protein-protein interactions. Here number 1-3 are the control, the combination of At1g30460 (AtCPSF30) in pAD and empty pBD; number 4-5 is the combination of At1g30460 and At1g05510; number 6-9 is the combination of At1g30460 and At1g09590. P1 and P2 mean positive controls.



At1g30460 (AtCPSF30)

At1g05510

At5g02610

Figure 4.7 Subcellular location of At1g30460, At1g05510 and At5g06210

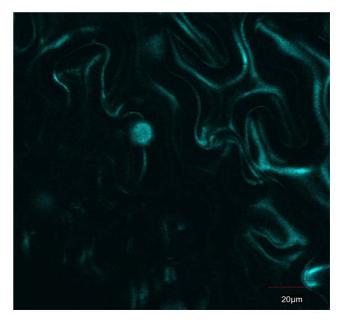
AtCPSF30 and At1505510 were cloned pSITE2-CA and the At5g02610 was cloned to Psite2-NA using Gateway cloning. After the recombination constructs confirmed by Sanger sequence, the Agrobacterium transformation was performed for three weeks old wild type *N.benthamiana* tobacco plants using a syringe. Plants were incubated under light at 18-28°C for 48 hours, followed by confocal microscopy was performed using Olympus FV1000 laser scanning. The upper panel pictures were taking by 20x objective lens and the lower panel by 40x.

7A. Subcellular distribution of AtCPSF30.

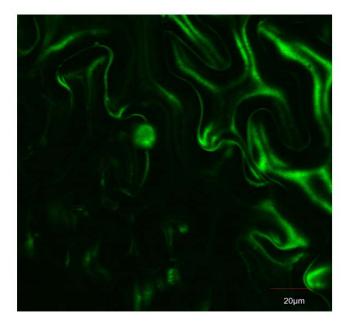
7B. Subcellular distribution of the protein encoded by At1g05510.

7C. Subcellular distribution of the protein encoded by At5g02610.

8A CFP-H2B



8B BiFC





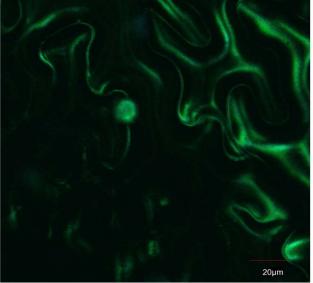


Figure 4.8. AtCPSF30 interacts with At5g02610 in the nucleus

AtCPSF30 was cloned into pSITE-cEYFP and At5g02610 cloned into pSITE-nEYFP using Gateway cloning. After the recombination constructs confirmed by Sanger sequence, the Agrobacterium transformation was performed on three weeks old wild type *N.benthamiana* tobacco plants using syringe. Plants were incubated under light at 18-28°C for 48 hours, followed by confocal microscopy was performed using Olympus FV1000 laser scanning. The pictures were taking by 20x and the lower panel by 40x objective lens.

Chapter Five: Summary and future perspectives

The process of mRNA regulation is well known to perform a fundamental role in determining the products of gene expression, and alternative polyadenylation is a major factor impacting the dynamics of gene regulation. Alternative polyadenylation requires several protein factors to define the correct cleavage site by recognition of sequence elements within the pre-mRNA. These protein factors are CSPF (cleavage and polyadenylation specificity factor), CstF (cleavage stimulation factor), CF (cleavage factor) along with poly (A) polymerase. It has been reported that alternative polyadenylation is a widespread phenomenon across the transcriptomes of higher eukaryotes, and this process is regulated by developmental and environment cues.

Since environment stress has been reported to lead to alternative polyadenylation, I hypothesized that drought stress should give rise to the poly (A) site changes. This is particularly the case since drought stress causes increases in reactive oxygen species, a characteristic that affects the functioning of CPSF30. My results showed that poly (A) site choice within 5'UTR and CDS change in drought-stressed plants. Interestingly, poly (A) sites within 5'UTR region show significantly increased usage after only one hour. Subsequently, the relative poly (A) site usage within 5'-UTRs decreases after six and twenty-four hours treatment, but again increases after two weeks. Why does relative poly (A) site within 5'UTRs change so rapidly? This might be caused by altering the efficiency of the polyadenylation complex or by changes in the stabilities of different mRNA isoforms, or the combination of these two mechanisms. Because this polyadenylation complex contains many proteins, it is difficult which of these might be impacted by stress. One of these may be CPSF30, since this protein is controlled by calmodulin and by reactive

oxygen species, two signaling systems associated with drought. Another possible reason is the stability of the mRNA isoforms that derived from polyadenylation within 5'UTRs and CDS. In particular, mRNA isoforms with 3' ends that lie within CDS regions lack stop codons and should be unstable. It is conceivable that stress may inhibit RNA quality control processes, leading to the result obtained in this thesis.

It is known that CPSF30 controls a large number of poly (A) sites in *Arabidopsis*. Between the *oxt6* mutant and wild type, there are three classes of poly(A) site, wild type specific, *oxt6* specific, and common (seen both in wild type and mutant). Around 70% of all sites are wt-specific or *oxt6*-specific sites. The stabilities of these mRNA may be different, which may provide one mechanism by which AtCPSF30 regulates gene expression. My results showed that the mRNA isoforms show similar stability profiles in the wild type and mutant plants except those with 3' ends within CDS. These latter mRNA isoforms are much more stable in the mutant than the wild type. This result suggests that AtCPSF30 may be involved in the process of non-stop mRNA decay.

The combined results of the drought stress and stability assays indicates that the efficiency of the polyadenylation complex might the main reason for significant increases in poly (A) site usage within 5'UTRs. Also, other results suggest that CPSF30 could be involved in drought stress-induced APA. Therefore, CPSF30 might be a target for changing the efficiency of the polyadenylation complex in response to stress.

What proteins can interact with CPSF30 to cause the changes of poly (A) site choice and the mRNA stability? The protein-protein assays showed that CPSF30 interacts with OBAP1A, whose tyrosine phosphorylation state is modulated in response to ABA. ABA regulates guard cell turgor via a calcium-dependent pathway, thus bringing an additional

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calcium signaling mechanism to CPSF30. CPSF30 also interacts with ribosome protein L35(RPL35), which plays an important role in nuclear entry, translation activity, and endoplasmic reticulum(ER) docking. These results indicate that multiple calcium-dependent signaling mechanisms may converge on CPSF30, and CPSF30 might directly interact the ribosome. Since CPSF30 interacts with OBAP1A and RPL35, it would be interesting to study OBAP1A and RPL35 mutants, and to characterize the double mutant of CPSF30 with OBAP1A and RPL35.

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<u>Guijie Hao</u>, Laura De Lorenzo, Manohar Chakrabarti, Arthur G. Hunt. AtCPSF30mediated Alternative Polyadenylation Controls mRNA Stability *Arabidopsis thaliana*. (Manuscript in preparation)

<u>Guijie Hao</u>, Xueyi Sui, Allan Bruce Downie, Arthur G. Hunt. A screen for proteins that interact with AtCPSF30. (Manuscript in preparation)