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# Preventing Horse-Related Injuries by Watching Out for Other Humans

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## RESEARCH ARTICLE

### Preventing horse-related injuries by watching out for other humans

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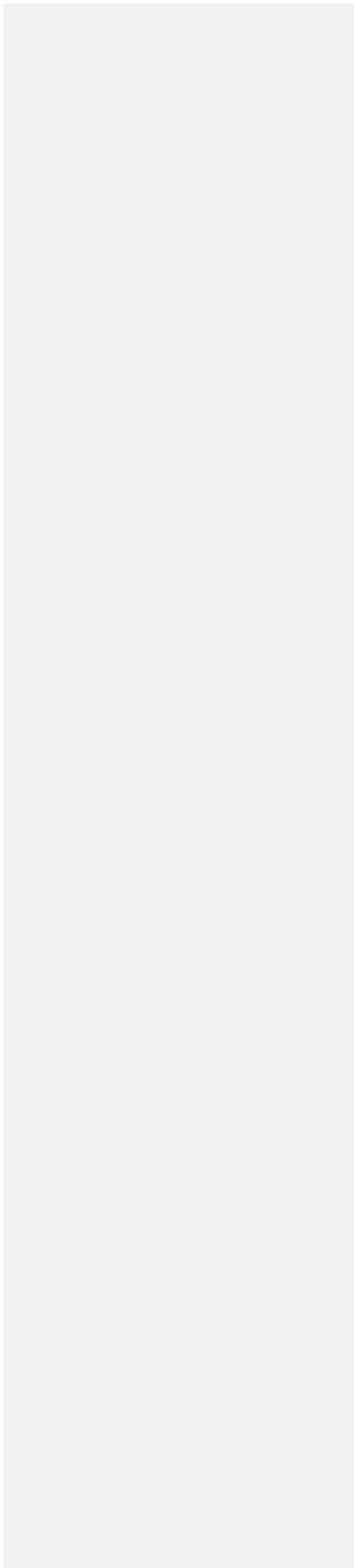
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**Abstract**

The more one rides or handles horses, the more likely one is to have a horse-related injury. These injuries are caused by many factors, including those generated by other riders, handlers or spectators. An analysis of 266 cases of injured equestrians showed that 16% of those injuries were caused by other humans. A panel of horse riding safety experts felt 63% were preventable, and the injured individuals themselves felt 51% were preventable. The study findings suggest that increased awareness of the role others play in causing horse-related injuries and increased education about common people-caused injuries could reduce the number of horse-riding and handling injuries, and medical visits to physicians, emergency rooms, and hospitalizations.

**Management Implications:** Horse riding organizations and businesses such as guest ranches, horse parks, and training centers, which bring many individuals together with various levels of equine experience, should include an orientation to horse safety, especially noting the role of other humans in causing injuries, that explains how to avoid some of the most common accidents.

**Keywords:** Horse-related injury; accident; safety; prevention



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**1. Introduction:**

Horse-riding and handling injuries occur to even the most safety-conscious and experienced equestrians. Indeed, Mayberry, et al (2007) report in a study of 679 equestrians that 81% had experienced at least one horse riding injury in their lifetime and that 21% had experienced a severe injury requiring surgery, hospitalization, or rehabilitation. The percentage with a serious injury rose to 37% for those who were professional equestrians/instructors. A review of the medical and equestrian literature shows 38% to 64% of horse-related injuries are preventable according to studies of injured riders (Huhnke, et al 1997; Newton & Nielson 2005; Ball, et al 2009; Ekberg, 2011). While none of these studies indicated that other riders or persons were responsible for the injury, riders regularly hear of injuries caused by another rider or person. Despite anecdotal claims suggesting their occurrence, there appears to be a gap in the literature detailing the frequency, nature, and outcomes of another rider or person involved in riding accidents.

A broader examination of the sports and recreation literature regarding accidents or injuries reveals that “other human involvement” has been studied and identified as a cause of accident or injury. An assessment by Rome, et al (2014) determined that 93 out of 202 (46%) bicycle accidents involved another person. Motorists caused 45% of the other-person injuries, 41% were caused by other bicyclists, and 14% were caused by pedestrians. The assessment did not find any significant differences in severity of injuries by cause. Two general studies in which sports/recreation and play activities were included reported the role of other people as a cause of accidents. Hemenway and Solnick (2011) surveyed youth in grades 9 through 12 in Boston

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public schools and asked if they had caused an unintentional injury to someone else in the past year. Seventeen percent said they had. The second, a study of Swedish day care center injuries (Sellstrum, et al 1994), determined that 13% of injuries to children were caused by other children. Data was not collected on whether the injury was intentional or not. These studies show that other humans do generate unintentional injuries although none addressed recommendations for reducing injuries from other humans.

In this research, we use data collected by SaddleUp SAFELY, a University of Kentucky initiative supported by 40 other equine-related organizations, to document and investigate the potential role other people or riders play in equestrian riding accidents. The State of Kentucky's role in the equine industry and sport is well known. In the reported research, we attempt to make a substantive contribution to the equestrian and safety literature. Specifically, our empirical exploration of the frequency, nature, and outcomes of another rider or person involved in riding accidents may bring awareness to a yet undocumented risk factor of horse-related injuries. It is our hope that increased awareness may aid those within the equestrian and safety communities in reducing the risks of future "other human" involved riding accidents.

**2. Methods:**

SaddleUp SAFELY, a coalition of more than 40 medical and horse organizations, was launched in 2009 to raise awareness of horse-related injuries, reduce the frequency and severity of horse-related injuries, and encourage injured riders to return to the sport. SaddleUp SAFELY also established the website SaddleUpSAFELY.org to provide and promote horse riding and handling safety information for equestrians and other industry stakeholders. In an attempt

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to better understand and prevent horse-related injury, SaddleUpSAFELY.org offers a form where individuals who have had an injury or accident can report detailed information regarding the nature and circumstances surrounding their horse-related injuries. To better understand if and where increased educational efforts should be focused, an analysis of these injured rider forms was conducted. Analysis of this data allows us to study equestrian injuries and answer the question of what role do other humans play in causing horse-related injuries.

The SaddleUpSAFELY.org injury form allows respondents to explain how the horse-related injury occurred, what area(s) of the body were injured and how badly, and if they were hospitalized and/or went to the emergency room, saw a doctor or other medical professional, missed school or work, or lost employment. Further, respondents were asked to provide a detailed account of the circumstances surrounding their injury, as well as advice for how others might avoid a similar injury in the future. Additional information, such as horse-related experience (e.g., ride occasionally to ride weekly or more often; whether they raise or keep horses; how they rate their horse riding experience - advanced, professional, intermediate, beginner, novice; whether they compete at an amateur or professional level; or if they earn their living working with horses) was also collected.

The website with the injury form was launched in October 2009. By November 2012, 342 individuals filled out the injury/safety tip form. Of the 342 visitors, 42 provided safety tips only and 36 of the injury forms had insufficient data, resulting in 264 individual responses. Because one individual submitted two injuries on a form, a total of 265 cases were available for analysis.

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The forms were jointly reviewed, discussed and quantitatively coded by a team of four equestrian experts associated with the Kentucky 4-H Horse Program, The U.S. Pony Clubs, the Certified Horsemanship Association, and the University of Kentucky Ag Equine Programs. Appendix A provides a complete list of the coded causes of injury.

The causes of the injuries generated by other humans were further analyzed and grouped into categories. Figure 1 provides examples of injuries caused by: 1) not using equipment correctly, 2) handler using poor judgment, 3) poor public understanding of horse behavior, 4) misleading information, and 5) poor riding behavior/etiquette.

The first category, “didn’t use equipment correctly”, includes any reason where the equipment was applied incorrectly or the wrong equipment was selected. “Handlers/others using poor judgment” refers to situations where a poor decision or action by others generated the injury. “Poor riding behavior/etiquette” includes others not monitoring their horse and following too closely or interfering with the riding of others. “Misleading or important information not shared” included facts about the horse’s behavior and training, whether the horse was on medications, or the suitability of the trail. The category of “public’s poor understanding of horse behavior” was defined as injuries caused by non-horse people such as someone setting off fireworks, throwing Frisbees at horses, automobiles honking, and not controlling dogs.

All coding discrepancies were debated and solved by review consensus. The data was then coded and entered into a SPSS file. Chi-square analysis was employed to assess the presence and nature of significant relationships between rider skill level and the probability a



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given rider experienced another human-caused injury, where the other human-caused injuries took place, and how other human-caused injuries compared to injuries caused by other factors in terms of their likelihood to result in rider hospitalization. Interrater reliability assessments, measured with Cohen’s Kappa, were also employed to assess level of agreement between respondents’ and equestrian experts’ assessments regarding the preventability of other human-caused injuries. To provide an adequate treatment and discussion of the topic and data in this research, attention is focused on factors related to injuries caused by the involvement of other humans.

**3. Results:**

Table 1 describes the self-reported riding level of the study respondents as well as the information regarding their accident/injury type. Overall, our data show that 16% (41 of 265) of the injuries were because of the behavior/error of another human (17% for novice/beginner riders, 12% for intermediate riders, and 23% for advanced/professional riders). A chi-square test of independence revealed a marginally significant relationship between riders of intermediate ( $\chi^2(1, N = 265) = 3.51, p = .06$ ) and advanced/professional ( $\chi^2(1, N = 265) = 3.13, p = .07$ ) riding levels and riders who reported an injury caused by human factors. That is, intermediate riders were marginally less likely to report horse-related injuries caused by other humans than would be expected, whereas advanced/professional riders were marginally more likely to report an injury caused by a human factor, given each of the group proportions in the dataset. Conversely, a chi-square test of independence did not find a significant relationship

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9 between the frequency of injuries caused by other humans or non-human factors for  
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11 novice/beginner riders ( $\chi^2(1, N = 265) = .19, p = .66$ ).  
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14 To explore these results further, we conducted several additional chi-square analyses to  
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16 compare the frequency of injuries caused by other humans or non-human factors between  
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18 riders of different self-reported skill levels. Results revealed that beginner and intermediate  
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20 level riders reported statistically similar amounts of injuries caused by other humans ( $\chi^2(1, N =$   
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22  $203) = 1.25, p = .27$ ), as did beginner and advanced/professional riders ( $\chi^2(1, N = 126) =$   
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24  $.57, p = .51$ ). However, advanced/professional and intermediate level riders did experience a  
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26 marginally significant difference in the amount of injuries caused by other humans ( $\chi^2(1, N =$   
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28  $201) = 4.14, p = .054$ ). That is, the odds of a human factor horse injury were greater for  
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30 advanced/professional riders relative to intermediate riders; see Table 2.  
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36 Further, a chi-square (with Fisher's Exact Test correction for expected cells less than 5)  
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38 of independence was performed to assess whether other human-caused injuries were more or  
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40 less likely to occur in different riding area (e.g., the barn, pasture, or trail). Results indicated  
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42 that no relationship was found between the location of the injury event and whether the injury  
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44 was the result of human or non-human factors ( $p > .10$  in all cases).  
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48 To investigate if riders involved in other human-related injury experienced different  
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50 injury outcomes, we conducted another series of chi-square tests of independence; see Table  
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52 3. Results indicate that riders injured by other humans were no more likely to seek medical  
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54 attention ( $\chi^2(1, N = 265) = 1.57, p = .25$ ) or visit an emergency room ( $\chi^2(1, N = 265) =$   
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1.97,  $p = .17$ ) than those injured by other factors. However, riders injured by other humans were significantly more likely to report ultimate hospitalization for their injuries ( $\chi^2(1, N = 265) = 10.33, p = .002$ ) than those injured by other factors. Perhaps not surprising given the significant difference in hospitalization rates between riders involved in other humans vs. other factors accidents, riders involved in other human-caused accidents also report significantly more cases of missed work or school due to their injury ( $\chi^2(1, N = 265) = 4.97, p = .033$ ). However, the proportion of injured riders indicating their injury was preventable, whether caused by another human or other factors, was similar. Our expert reviewers felt only 51% of injuries caused by other humans were preventable as compared to 82% for injuries caused by other factors.

The first two columns of Table 4 show the frequency and percentage of cause of injuries by other humans. “Didn’t use equipment correctly” and “handler/others using poor judgment” were the top causes at 24% each. “Public’s poor understanding of horse behavior,” 22%, “poor riding behavior and etiquette,” at 20%, and “misleading information or no information shared” at 10% were the other categories of causes. In general, “did not use equipment correctly” was viewed by both riders and the four experts as highly preventable at 90% each. While the n of 4 for “misleading or no information shared” was small, 75% of riders and 100% of the experts viewed accidents caused by this issue preventable. Sixty percent of both the riders and experts indicated that accidents caused by “handler/others using poor judgment” were preventable. The other two categories, “public’s poor understanding of horse behavior” and “poor riding behavior/etiquette” were viewed as preventable by riders and experts 50% or less of the time.

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The interrater reliability for riders and equestrian experts regarding the preventability of the injuries presented in Table 4 was found to be Cohen’s Kappa = 0.60,  $p < .0.01$ , 95% CI (0.35, 0.86), representing a moderate level of agreement (Landis & Koch, 1977). That is, riders and equestrian experts were moderately likely to agree regarding whether a given human-caused injury was preventable or not.

**4. Discussion:**

Overall, 16%, or almost one out of every six injuries in this study, was due to another human. Equestrians injured by another human were also more likely to be hospitalized (44%) than those injured due to other causes (21%). Because handling and riding horses usually takes place around and with other equestrians or the public, increased awareness and promotion of how those injuries/accidents can occur should be a regular part of safety briefings, orientation, and training.

Our analysis shows that somewhere between half and two-thirds of these injuries could have been prevented based on the injured rider’s opinion and our expert’s assessment of their accidents. We therefore believe that education and awareness are paramount in avoiding preventable accidents. Table 3 indicates that some causes are more preventable than others. Injuries caused by improper use of equipment, poor handler judgment, and missing or misleading information were viewed as preventable at 60% or higher. Poor riding etiquette and the public’s poor understanding of horse behavior, which had lower levels of preventability, require broader education campaigns aimed at both equestrians and the public.

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In this study, 16% of all riding and handling injuries were due to other humans' behavior. Forty-four percent of injuries caused by other humans led to hospitalization compared to 21% caused by other factors. This suggests that human-generated accidents generate more serious medical problems than non-human generated injuries. In one study (Mayberry et al, 2007), which looked at severe injuries (required surgery, hospitalization or rehabilitation), only 21% of the subjects met that criteria. This is about half of the 44% in this study who required hospitalization. While not widely documented, studies outside the equine field have also recognized the role of other people in causing injuries (Rome et al., 2014; Hemenway et al., 2011; Sellstrum et al., 1994).

Comparison of injuries caused by other humans showed that the 16% rate in our study is similar to the 13% rate in a study of day care center children (Sellstrum, et al, 1994) and the 17% injury rate reported among Boston school children (Hemenway and Solnick, 2011). Our rate was much lower than the 46% of bicycle accidents caused by another human – 19% by other riders, 6% by pedestrians and 21% by motorists (Rome, et al, 2014).

Advanced/professional riders were more at risk for human-caused accidents. We speculate that the reason advanced/professional riders were more likely ( $p<0.05$ ) to experience human-caused injuries than intermediate riders is that they may be more likely to provide lessons and supervise other riders with less horse-riding experience.

## **5. Management Implications:**

The findings suggest several uses. First, instruction and training should be provided to those around or riding horses to raise awareness about accidents that are caused by others.

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Trail riding at guest ranches, horse events, competitions, and parades are examples of situations where many individuals may attend who may not be aware of how to behave around horses. Second, wherever large numbers of riders or spectators converge, directors of those events should, as part of their safety review, (1) identify risks that may be generated by other riders and spectators, and (2) look for ways to eliminate or reduce the likelihood of an accident occurring. In general, organizations should provide guidance regarding the risk and seriousness of human-induced, horse-related accidents in their safety, training, and marketing material. Third, advanced riders need to be extra careful when supervising or helping less experienced riders due to higher risk of injuries that may occur.

**6. Limitations:**

There are limitations with the data we collected. First, it is self-initiated. Participants had to find out about our website and accident-reporting form. So the group that responded is more likely to have internet access and be comfortable using the internet. It is likely our research participants are more educated and motivated about horse riding and handling safety than the general horse riding population.

Second, the data is self-reported and retrospective. There can be discrepancies between actual and remembered facts. Haegeli, et al (2011) and Boyd, et al. (2009) both indicated that minor injuries are often underreported in those injured by avalanches. Gabbe, et al (2013) did note that major elements of an injury are more likely to be recalled a year later as opposed to minor details. Therefore it is likely that there is some inaccuracy in the data reported in our study as there was no limit on time since injury and it is likely minor injuries were not reported.

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Mitchell, et al (2010) noted that in a study of 2414 respondents involved in sports activities, 31% had reported an injury in the previous 12 months. Only 2.8% were hospitalized and 6.1% had visited an Emergency Room. This means 90% of those injured would not have been identified through use of hospital data alone. This highlights that self-reported data is often the only cost-effective and accessible method to collect information from a targeted population. The literature in general shows that self-reported data is valid and an acceptable approach for measuring accident injury recall (Stathokostas, et al 2012; Lubeck & Hubert, 2005; Bavarian, et al 2009).

A third limitation of our data is that it represents equestrians who had more serious injuries. Using the 2014 National Electronic Injury Surveillance System data, the most recent information available, 16.6% of those visiting an Emergency Room due to a horse riding injury were hospitalized. In our study, 43% who visited an Emergency Room were hospitalized.

**7. Conclusions:**

Overall, 16% of the injuries in this study were caused by other humans. Many human-induced, horse-related accidents can be prevented. Keeping an eye out for other equestrians and spectators, providing proper instruction or training to those assisting in horse-related activities, and general education to the public about horse behavior are important actions to prevent injuries to yourself and others.

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## Figure 1 - Examples of Other Human Injury Causes by Category

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### Didn't use equipment correctly

Bit was too tight and horse started bucking before friend's uncle could walk around to fix the bit.

I let someone else tack up the horse and failed to check it before we took off. The rear girth strap slipped and touched his scrotum and he kicked both hind legs catapulting me over his head.

### Handler/others using poor judgment

Parents had just bought horse but didn't have saddle yet. Daughter wanted to ride so they let her. Daughter fell off.

Friend was holding horse's bridle while I mounted. He pulled down on the reigns causing the horse to rear up.

### Public's poor understanding of horse behavior

We were trail riding near a park and a man under the influence thought it would be funny to throw a Frisbee at our horses. My horse spooked and took off.

Van approached us from behind. I was on side of road and van started honking which caused horse to look back and it stumbled.

### Misleading information

Sold unsafe horse – horse was drugged when we bought him.

My husband and I adopted a rescued horse for the first time. The rescue organization told us the horse could walk, trot, canter, side pass, pen gate and negotiate trail obstacles. After trotting 10 seconds he bolted. We found out later the horse had bolted with its previous trainers and had a history of bolting.

### Poor riding behavior/etiquette

My horse was cut off by another horse and rider which caused my horse to trip.

Careless rider's horse bumped injured rider's horse outside gate causing injured rider's horse to fall.

**Table 1 - Information about Equestrians and Injuries**

|   | <u>n</u> | <u>%</u> |
|---|----------|----------|
| <u>Riding Level</u>                       |          |          |
| Novice/Beginner                           | 64       | 24%      |
| Intermediate                              | 139      | 53%      |
| Advanced/Professional                     | 62       | 23%      |
| <u>Where Accident Happened</u>            |          |          |
| Barn                                      | 20       | 8%       |
| Pasture                                   | 31       | 12%      |
| Arena                                     | 59       | 22%      |
| Trail                                     | 55       | 21%      |
| Trailer                                   | 10       | 4%       |
| Round Pen                                 | 2        | 1%       |
| Other                                     | 88       | 33%      |
| <u>Seriousness of Injury</u>              |          |          |
| Hospitalized                              | 64       | 24%      |
| Emergency Room Not Hospitalized           | 84       | 32%      |
| Sought Medical Attention Not ER           | 44       | 17%      |
| Did Not Seek Medical Attention            | 73       | 28%      |
| <u>Preventability – Rider’s Opinion</u>   |          |          |
| Yes                                       | 175      | 64%      |
| No  | 90       | 34%      |
| <u>Preventability – Expert Assessment</u> |          |          |
| Yes                                       | 204      | 77%      |
| No  | 61       | 23%      |

Table 2 – Relationship between Riding Level and Accidents Caused by Other Humans

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|                           | Accident Caused by Other Human |       |
|---------------------------|--------------------------------|-------|
|                           | No                             | Yes   |
|                           | Count                          | Count |
| Novice and Beginner       | 53                             | 11    |
| Intermediate              | 123                            | 16    |
| Advanced and Professional | 48                             | 16    |

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Table 3 - Seriousness of Injury by Cause of Injury

| <u>Seriousness of Injury</u>    | <u>Total</u> |             | <u>Injured by Other Humans</u> |            | <u>Injuries by Other Factors</u> |            |
|---------------------------------|--------------|-------------|--------------------------------|------------|----------------------------------|------------|
| Hospitalized                    | 64           | 24%         | 18                             | 44%        | 46                               | 21%        |
| Emergency Room Not Hospitalized | 84           | 32%         | 9                              | 22%        | 75                               | 33%        |
| Sought Medical Attention not ER | 44           | 17%         | 6                              | 15%        | 38                               | 17%        |
| Did Not Seek Medical Attention  | 73           | 27%         | 8                              | 20%        | 65                               | 29%        |
| Missed School or Work           | 95           | 36%         | 21                             | 51%        | 74                               | 33%        |
| <u>TOTAL</u>                    | <u>265</u>   | <u>100%</u> | <u>41</u>                      | <u>15%</u> | <u>224</u>                       | <u>85%</u> |

Table 4 - Categories of "Other Human" Caused Injury(ies)

| <u>Cause of Injury</u>                        | <u>n</u>     | <u>%</u>  | <u>Injury Preventable</u> |                |
|---|--------------|-----------|---------------------------|----------------|
|   |              |           | <u>Riders</u>             | <u>Experts</u> |
| Didn't use equipment correctly                | 10           | 24%       | 90%                       | 90%            |
| Handler/other using poor judgment             | 10           | 24%       | 60%                       | 60%            |
| Public's poor understanding of horse behavior | 9            | 22%       | 33%                       | 22%            |
| Poor riding behavior/etiquette                | 8            | 20%       | 50%                       | 25%            |
| Misleading or no information shared           | 4            | 10%       | 75%                       | 100%           |
|   | <u>Total</u> | <u>41</u> | <u>100%</u>               |                |

Appendix A  
Table A1 - Causes of Injury

| <u>Cause</u>                   | Total Sample |          | Other Human |          |
|--------------------------------|--------------|----------|-------------|----------|
|                                | <u>n</u>     | <u>%</u> | <u>n</u>    | <u>%</u> |
| Horse Spooked                  | 71           | 27%      | 11          | 27%      |
| Error, Other Human             | 41           | 16%      | 41          | 100%     |
| Green Horse                    | 29           | 11%      | 1           | 2%       |
| New Horse                      | 28           | 11%      | 9           | 22%      |
| Error, Other Horse             | 25           | 9%       | 5           | 12%      |
| Tack/Equipment Problem         | 25           | 9%       | 6           | 15%      |
| Jumping                        | 24           | 9%       | 0           | 0%       |
| Horse Tripped/Fell             | 20           | 8%       | 4           | 10%      |
| Other                          | 19           | 7%       | 3           | 7%       |
| Runaway Horse                  | 18           | 7%       | 5           | 12%      |
| Horse Refused                  | 17           | 6%       | 0           | 0%       |
| Ill-Mannered Horse             | 16           | 6%       | 2           | 5%       |
| Hit Horse's neck/body/head     | 15           | 6%       | 3           | 7%       |
| Mount/Dismount                 | 13           | 5%       | 1           | 2%       |
| Mud/Rain/Ice/Slick             | 13           | 5%       | 1           | 2%       |
| Holes in Ground/Obstacle       | 12           | 5%       | 0           | 0%       |
| Saddle Slipped                 | 11           | 4%       | 1           | 2%       |
| No Safety Check                | 11           | 4%       | 5           | 12%      |
| Horse Keeping/<br>Maintenance  | 11           | 4%       | 4           | 10%      |
| Unsafe Speed                   | 10           | 4%       | 0           | 0%       |
| Fresh Horse                    | 10           | 4%       | 1           | 2%       |
| Horse Tripped                  | 10           | 4%       | 2           | 5%       |
| Loose Horse in<br>Pasture/Gate | 9            | 3%       | 1           | 2%       |
| Rider Fell/Handler Fell        | 8            | 3%       | 1           | 2%       |
| Incorrect Leading              | 7            | 3%       | 1           | 2%       |
| Incorrect Riding Apparel       | 7            | 3%       | 1           | 2%       |
| Horse Not Tied/Tying           | 7            | 3%       | 2           | 5%       |
| Horse Slipped                  | 7            | 3%       | 0           | 0%       |
| Not Clear                      | 7            | 3%       | 1           | 2%       |
| Tack Broke                     | 6            | 2%       | 2           | 5%       |
| In horse's space               | 6            | 2%       | 1           | 2%       |
| Weather (Heat/Cold)            | 5            | 2%       | 1           | 2%       |
| Buddy/Barn Sour Behavior       | 5            | 2%       | 2           | 5%       |
| Horse Ill, Sore Back           | 4            | 2%       | 1           | 2%       |
| Human Medical Problems         | 2            | 1%       | 0           | 0%       |
| Incorrect Lunging              | 1            | 0%       | 0           | 0%       |

\* Multiple causes were allowed