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# Frameless Image-Guided Radiosurgery for Trigeminal Neuralgia

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### Original Article

## Frameless image-guided radiosurgery for trigeminal neuralgia

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#### **Abstract**

**Background:** Frameless image-guided radiosurgery (IGRS) is a safe and effective noninvasive treatment for trigeminal neuralgia (TN). This study evaluates the use of frameless IGRS to treat patients with refractory TN.

**Methods:** We reviewed the records of 20 patients diagnosed with TN who underwent frameless IGRS treatments between March 2012 and December 2013. Facial pain was graded using the Barrow Neurological Institute (BNI) scoring system. The initial setup uncertainty from simulation to treatment and the patient intrafraction uncertainty were measured. The median follow-up was 32 months.

**Results:** All patients' pain was BNI Grade IV or V before the frameless IGRS treatment. The mean intrafraction shift was 0.43 mm (0.28–0.76 mm), and the maximum intrafraction shift was 0.95 mm (0.53–1.99 mm). At last follow-up, 8 (40%) patients no longer required medications (BNI 1 or 2), 11 (55%) patients were pain free but required medication (BNI 3), and 1 (5%) patient had no pain relief (BNI 5). Patients who did not have prior surgery had a higher odds ratio for pain relief compared to patients who had prior surgery (14.9, P = 0.0408).

**Conclusions:** Frameless IGRS provides comparable dosimetric and clinical outcomes to frame-based SRS in a noninvasive fashion for patients with medically refractory TN.

**Key Words:** Frameless, image-guided radiosurgery, radiation, stereotactic radiosurgery, trigeminal neuralgia

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#### **INTRODUCTION**

Trigeminal neuralgia (TN) causes brief and severe intensity unilateral facial pain that is electric shock-like, shooting, stabbing, or sharp in quality. [15] It occurs in one or more divisions of the trigeminal nerve, and there is no clinically evident neurological deficit. [15] Most cases of TN are produced by compression of the trigeminal nerve root within a few millimeters of entry into the pons, specifically the root entry zone. [20] Painful triggers include

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chewing, brushing the teeth, wind on the face, or touching the face. It is more common in people >50 years and often affects women more than men. [23] The incidence of TN is approximately 5 new cases per 100,000 people annually. [9] Several schemes have been developed for the classification of trigeminal neuralgia, including the Barrow Neurological Institute (BNI) scoring system and the Burchiel classification scheme. [11,27]

treatment of TN includes medical The initial management with anticonvulsants medications, which are typically used for neuropathic pain. Approximately 90% of patients with new-onset TN attain either complete or significant relief of symptoms following medical therapy.<sup>[23]</sup> While TN has been treated with invasive surgical techniques such as microvascular decompression, [25,28,36] radiofrequency rhizotomy, [3] and balloon compression, [2,17,31] stereotactic radiosurgery (SRS) has proven successful with its zero mortality and low morbidity. [29] However, radiosurgery has a lower likelihood of complete pain relief and a higher likelihood of pain recurrence compared with either microvascular decompression or percutaneous rhizotomy.[8] In 1951, Leksell reported the initial use of SRS for the treatment of TN.[19] Utilizing an orthovoltage X-ray machine and stereotactic localization, this method offered long-lasting pain relief without complications in two patients.

Traditional SRS treatment of TN has used a rigid invasive headframe to deliver between 80-90 Gy to a point within millimeters of the brainstem. We conducted a retrospective study of 20 patients who underwent frameless image-guided radiosurgery (IGRS) by treating a point along the trigeminal nerve ranging from the dorsal root entry (DRE) zone to the retro Gasserian ganglion. This approach ensured submillimeter accuracy and allowed more complicated SRS planning over several days. We present our clinical findings and patient positioning data pertaining to the use of frameless IGRS using the BrainLAB Novalis ExacTrac system (BrainLAB AG, Feldkirchen, Germany) in the treatment of TN. The present study also compares the patient responses following the treatment for TN with frameless IGRS to frame-based linear accelerator (LINAC) SRS based on historical published data.

#### **MATERIAL AND METHODS**

#### **Patient population**

Between March 2012 and December 2013, a total of 20 individuals with TN underwent frameless IGRS at our institution. The Institutional Review Board approved the retrospective review of these cases. The primary characteristics of these patients are presented in Table 1. The median age was 73 years (range 52–85); there were 8 males and 12 females. All patients were treated with medical therapy (e.g., carbamazepine, gabapentin, or

Table 1: Improvement in pain scores from the baseline to the last follow-up of trigeminal neuralgia patients

Covariates	<b>N</b> (%)	Univariate Model		
		Adjusted Odds Ratio (95% CI)	Р	
Gender				
Male	8 (40)	Reference		
Female	12 (60)	1.20 [0.231, 6.218]	0.8290	
Ever Smoke				
Yes	10 (50)	3.235 [0.60,17.48]	0.1726	
No	10 (50)	Reference		
Hypertension				
Yes	11 (55)	Reference		
No	9 (45)	1.324 [0.261, 6.723]	0.7350	
BMI				
<25	6 (30)	Reference		
25-30	8 (40)	1.268 [0.18,8.90]	0.8113	
>30	6 (30)	1.000 [0.125, 8.00]	0.9999	
Diabetes				
Yes	5 (25)	Reference		
No	15 (75)	2.133 [0.321, 14.16]	0.4327	
Hyperlipidemia				
Yes	10 (50)	1.268 [0.252, 6.372]	0.7735	
No	10 (50)	Reference		
Previous procedure for TN				
Yes	4 (20)	Reference		
No	16 (80)	14.886 [1.119, 197.953]	0.0408	
Laterality				
Left	12 (60)	Reference		
Right	8 (40)	12.894 [1.665, 99.837]	0.0144	
Distribution/Division				
1 (V1; V1, V2; V1, V2, V3)	5 (25)	Reference		
2 (V2; V2, V3; V3)	15 (75)	1.158 [0.180, 7.446]	0.8773	

BMI: Body mass index, TN: Trigeminal neuralgia

baclofen) prior to the frameless IGRS. Four patients underwent a procedure for TN at another institution prior to the frameless IGRS: (1) One underwent a rhizotomy 4 months before the SRS; (2) another had a microvascular decompression followed by two rhizotomies within 28 months before the frameless IGRS; (3) one had 3 gamma knife procedures, a microvascular decompression, and a rhizotomy within a 12-year period before the frameless IGRS; and (4) another underwent 2 balloon rhizotomies before the frameless IGRS. Table 1 also highlights the lateralization of the TN, the division of the TN affected, and other comorbidities. One patient was diagnosed with multiple sclerosis. The median body mass index (BMI) of the patients was 27.1 (range 17.2–41.0).

All 20 patients were evaluated by a neurosurgeon, neurologist, and radiation oncologist and underwent frameless IGRS treatment. One patient underwent frameless IGRS retreatment after failing the first

treatment. Each patient was scored pre- and post- frameless IGRS based on the Barrow Neurological Institute (BNI) pain intensity scoring criteria (I: no pain; II: occasional pain, not requiring medication; III: some pain, controlled with medication; IV: some pain, not controlled with medication; V: severe pain/no pain relief). [6,27] All patients had a BNI of either IV or V prior to the initial frameless IGRS procedure.

#### **Treatment method**

#### Simulation

After each patient signed the informed consent, a bivalve-style thermoplastic mask was fabricated to immobilize the head. All patients were simulated and treated supine and head-first. Next, a stereotactic localizer frame was attached to the imaging frame, and then patients underwent a 0.6 mm axial slice computed tomography (CT) scan using 40-slice Siemens Sensation Open (Siemens, Munich, Germany) from the vertex to the third cervical vertebra. We obtained a 0.5 mm constructive interference in a steady state (CISS) T2 magnetic resonance imaging (MRI) sequence using a three Tesla magnet with zero gantry tilt and registered the CT and MRI datasets using BrainLAB iPlan RT Image software, versions 4.1.0 and 4.1.1 [Figure 1].

#### Treatment planning

The affected side trigeminal nerve and organs at risk were contoured by the neurosurgeon and radiation oncologist on the fused dataset. The isocenter was placed in the retrogaussarian space. The SRS plan was prescribed at the isocenter, and all plans used between seven and nine non-coplanar arcs with fixed diameter cones ranging from 4.0 to 7.5 mm aperture, with total scatter factors of 0.669 and 0.815, respectively. Each arc used between 60 and 140 degrees. The mean maximum brainstem dose was 31 Gy (range 8–57 Gy), the mean dose to 0.1 cc of the brainstem was 9 Gy (range 4–15 Gy), and the mean dose to 1 cc of the brainstem was 3 Gy (range 1–6 Gy).

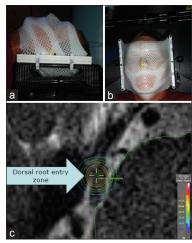


Figure 1: (a and b) Thermoplastic mask used for patient immobilization. (c) The CISS MR utilized for target definition. The arrow depicts the dorsal root entry zone

#### Treatment delivery

Patients were placed in the simulation position on the LINAC treatment couch and the immobilization mask was applied. An optical tracking array for localization was used to determine the initial position. Stereoscopic X-rays were fused with the digitally reconstructed radiographs from simulation, allowing Exactrac to measure the shift from simulation to treatment. Corrections to patient positioning were made for deviations exceeding 0.5 mm and 1° using a robotic couch with six degrees of freedom. The mean setup uncertainty from simulation to treatment was 2.9 mm (±1.5 mm). Before each arc was delivered, an additional set of stereoscopic X-rays was obtained. Total treatment time ranged from 35 to 100 minutes. Three patients received 80 Gy, and 16 received 85 Gy. One patient was scheduled to receive 85 Gy, however, he experienced severe postnasal drip coupled with claustrophobia and was treated with 22.65 Gy.

#### Quality assurance

There were both LINAC and patient specific quality assurance measures taken to maximize the accuracy of treatment. The cones were commissioned with small-field dosimetry techniques for treatment planning. Immediately prior to treatment delivery, a Winston–Lutz test was performed to verify that the treatment and imaging isocenter were congruent. The resulting root-mean square geometric error was  $0.58 \pm 0.2$  mm.

#### Follow-up

Patients were assessed 1 month after the procedure and then approximately every 2 to 3 months. Each patient was evaluated by the treating neurosurgeon and/or radiation oncologist. A physical examination with facial sensation was performed, and a BNI score was assigned.

#### **Data analysis**

Primary data analyses were focused on assessing the outcome of change in BNI score from study entry to BNI measured at the last follow-up. This score ranged from -1 (change from 4 to a 5 in 1 patient) to a 4 (in 3 patients going from a 5 down to a 1). This score change variable was used to create 3 categories of pain improvement: score change of 3 or 4 = marked improvement (n = 7 patients), score change of 2 = moderate improvement (n = 7 patients),and score change of -1 or 1 = some/none/worseimprovement (n = 6). This 3-level ordinal variable was then used as the outcome to assess whether variations in the outcome were due to clinical factors as determined by a proportional odds model using Statistical Analysis Software (SAS) V9.3. The proportional odds assumption was assessed using the score test and was not rejected for any of the covariates examined [Table 1] effectively leading to one odds ratio estimate that succinctly described marked or moderate improvement compared to some/none/worse improvement. Model goodness of fit

was assessed using the deviance Chi-square statistic, and was found to be adequate for each univariate model that was fit.

Descriptive analyses for the outcome and relevant covariates are reported as frequencies and percentage [Table 1]. Univariate model results are reported as odds ratio (OR) and 95% confidence limit (95% CI) as well as the *P* value [Table 1].

#### **RESULTS**

Between March 2012 and December 2013, a total of 20 patients (12 women and 8 men) diagnosed with TN underwent frameless IGRS [Table 1]. The median age was 73 years (range 52–85 years). Twelve (60%) patients were treated for left-sided pain, and 8 (40%) had right-sided pain. The most common distribution of pain was V2 only (8 patients; 40%), followed by V2-V3 (6 patients; 30%), V1 only (3 patients; 15%), and equal numbers of V3 only, V1-V2, and V1-V2-V3 (1 patient each; 5%). The median follow-up for the 20 patients was 32 months (range 21.6–41.2 months).

#### Intraprocedural positioning

Following initial alignment, repeat X-rays were performed during the treatment delivery to verify that the patient position was maintained. The mean intrafraction shift was 0.43 mm (0.28–0.76 mm), and the maximum intrafraction shift was 0.95 mm (0.53–1.99 mm) [Figure 2]. Rotational errors greater than 1 degree required correction with repositioning using the automated couch corrections and confirmatory stereoscopic X-rays.

#### **Outcomes**

With a median follow-up of 32 months, the response to the frameless IGRS treatment was based on the BNI score determined at follow-up appointments

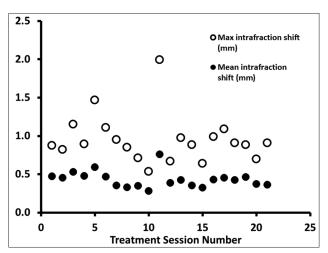


Figure 2: Monitoring of the intrafraction motion during frameless IGRS. The mean intrafraction shift was 0.43 mm, and the mean maximum intrafraction shift was 0.95 mm

with the neurosurgeon and/or radiation oncologist. Good-to-excellent results were defined as a BNI score of I–III. Of the 20 patients who underwent frameless IGRS evaluated at their last follow-up, 1 (5%) patient had a post SRS BNI score of V due to failure of the frameless IGRS procedure. Eleven (55%) patients had a BNI of III as they continued to consume their TN medications following frameless SRS. One patient had occasional pain without needing medication and, therefore, was a BNI II. Seven (35%) patients scored a BNI of I as they had attained complete improvement of their symptoms and had discontinued all medications for TN. Thus, 19 (95%) of the 20 patients had a BNI of III or less at last follow-up.

The qualitative change in BNI score was marked in 7 (35%) patients, moderate in 7 (35%), and some/none/worse in 6 (30%) patients. A univariate analysis was performed to determine if any clinical factors were associated with outcomes from SRS [Table 1]. Patients who did not have a prior surgery had increased odds for marked or moderate improvement compared to some/none/worse improvement by 14.9 times (P = 0.0408) compared to having had prior surgery. Having TN on the left side was associated with a 12.9 times (P = 0.0144) greater odds compared to the right side of either marked or moderate improvement compared to some/none/worse improvement in pain. Covariates having no effect on the odds for improvement include gender, smoking status, hypertension, BMI, diabetes status, hyperlipidemia status, and distribution/division (all P > 0.05).

#### **Complications**

No complications were reported by the TN patients. Physical examination performed by the neurosurgeon following the frameless IGRS procedure detected no new sensation or motor abnormalities.

#### Salvage treatments

Six patients required a salvage procedure after the frameless IGRS. Two underwent an MVD after the frameless IGRS. The first patient underwent the MVD 1 month after the frameless IGRS and attained complete pain relief 7 months after the MVD. Nine months later, he experienced a recurrence of his facial pain requiring medications. He continued to consume medications at the last follow-up 12 months later. Of note, this patient had undergone a rhizotomy prior to the frameless IGRS. Another patient underwent an MVD 13 months after the frameless IGRS and had complete pain relief 1 month later. One patient underwent a second frameless IGRS 5 months after the first one, receiving 85 Gy at each procedure. He experienced complete pain relief 2 months later. Another patient had a rhizotomy 10 months after the initial frameless IGRS and continued to require antiepileptic medications at last follow-up 22 months later. One patient underwent a rhizotomy 39 months

after the initial frameless IGRS. Interestingly, this patient had undergone two rhizotomies and one MVD prior to the frameless IGRS. The final patient experienced increased pain following the frameless IGRS procedure and was treated with an increased dosage of antiepileptic medications.

The two additional patients who underwent a procedure for TN prior to the frameless IGRS were both assigned a BNI of III at the last follow-up indicating that they continued to consume TN medications.

#### **DISCUSSION**

Since the advent of SRS techniques in the treatment of TN, the most common method utilized has been the gamma knife procedure. [10,14,21,22] Studies have shown that 77–95% of patients treated with gamma knife SRS have attained a benefit of at least a 50% reduction of pain. [4,16,22,27] LINAC SRS has emerged as a precise, effective, and noninvasive procedure for the treatment of TN. [29,32] LINAC SRS is dedicated for targeting within the central nervous system and offers stereotactically-guided irradiation to the trigeminal nerve. [29]

The majority of LINAC SRS studies for TN have used a frame-based system with either a Novalis, Varian, or Primus device, [7,12,13,18,24,26,29,30,35] whereas a few have reported the innovative frameless technique.<sup>[1,8]</sup> Table 2 highlights myriad studies in the literature that utilized a linear accelerator SRS for the treatment of TN. Our study corresponds with previous studies in that the patients with TN failed to respond to previous medical therapy. The vast majority of patients attained either a good or excellent response to the treatment. The mean time to pain relief was approximately 4-8 weeks in most of the studies. The present study concurs with others that LINAC SRS is an effective and noninvasive treatment for patients with TN, especially in the older population. The median ages of the patients ranged 52-85 years; the median age in the present study was 73 years. It has been suggested that radiosurgery is an appealing option for older patients whose condition is not receptive to invasive surgical procedures. [29] LINAC SRS has proven successful, especially in patients who have not had a previous invasive treatment.[18] In their study of prognostic factors for radiosurgery treatment of TN, Chen et al. noted that sensitivity to anticonvulsant medication was the single most important prognostic indicator of treatment success for patients presenting with facial pain. [7] In the present study, patients who did not undergo prior surgery for TN had a higher odds ratio for marked or moderate pain relief compared to patients who had prior surgery (14.9, P = 0.0408). In addition, patients who had right-sided TN had a higher odds ratio for marked or moderate improvement compared to those who had right-sided TN (12.9, P = 0.0144). We found a significant difference that patients who underwent a prior procedure for TN had better outcomes. Coincidentally, all the patients with prior procedures had left-sided (4 of 12 patients) TN and did worse. It is likely that the difference in outcome based on laterality is confounded by prior procedures.

Frameless radiosurgery has recently gained in popularity because it is a true noninvasive procedure without the need for anesthetics and sterile processing. [8] In addition, the frameless system avoids patient discomfort associated with frame application and is able to treat extracranial lesions. [5] The frameless approach corrects for positioning errors automatically due to the imaging guidance during the procedure. [8] The imaging, planning, and treatment components may be performed in different sessions. In this respect, frameless SRS is valuable in developing more complicated SRS planning over a several day period. Studies utilizing a head phantom have shown that intrafraction motion in the BrainLab frameless mask is minimal [33] and that this innovative technique is valuable in treating intracranial benign and malignant lesions. [34]

Frameless IGRS has rarely been used to treat TN.[8] Chen et al. performed a study of 44 patients who

Table 2: SRS using linear accelerator for the treatment of trigeminal neuralgia

Study	N	Dose/Device	Follow-Up (Months)	% Good or Excellent response
Goss et al. 2003	25	90 Gy/Novalis	18 (8-52)	100
Frighetto et al. 2004	22	70-90 Gy/Novalis	21.2 (8-52)	95.4
Kubicek et al. 2004	20 (23 treatments)	82.3-100 Gy/Varian	56.5	78
Richards et al. 2005	28	80 Gy/Varian	12 (1-40)	75
Pusztaszeri et al. 2007	17	40-56 Gy/Primus	12 (1-60)	100
Chen et al. 2010	44	90 Gy/Novalis*	15	91
Chen et al. 2008	82	85-90 Gy/Novalis	18	85.3
Zahra et al. 2009	20	90 Gy/Novalis	14.2	95
Smith et al. 2011	179	70-90 Gy/	28.8 (5-142)	79.3
Current Study 2017	20 (21 treatments)	80-85 Gy/Novalis*	32	95

 $<sup>{}^*</sup> Indicates\ frameless\ image-guided\ radiosurgery\ using\ Novalis\ linear\ accelerator\ with\ ExacTrac$ 

were treated with frameless IGRS over an 18-month period. The isocenter dose of 90 Gy was delivered via a 4-mm collimator. The median age of patients was 65 years, and their BNI scores were IV or V prior to the procedure. Before the day of the treatment, the patients underwent high-resolution MRI with a 1.0 mm slice thickness. The overall intrafraction positioning error was  $0.49 \pm 0.44$  mm, and the median time to pain relief was 4 weeks. A total of 40 (91%) patients attained a BNI score of III or better, whereas 19 (43%) patients achieved a BNI score of I.

The findings of the present study concur with those of Chen *et al.* using frameless IGRS to treat patients with TN.<sup>[8]</sup> Our patient population was slightly older than those of Chen *et al.* (73 vs 65 years), while the BNI scores in both studies were either IV or V prior to the procedure. The MRIs in our study were performed with a 0.6 mm slice thickness which allowed better visualization of the area and greater precision in treatment compared to the work of Chen *et al.* who used a 1.0 mm slice thickness. The mean intrafraction shift in our study was 0.43 mm compared to 0.49 mm in theirs. Nineteen (95%) patients received a BNI score of III or better following frameless IGRS in the current study, and 8 (40%) patients attained complete pain relief with a BNI score of I.

#### **CONCLUSION**

The present study describes our initial experience with treatment of TN using a frameless IGRS procedure in a multidisciplinary setting. Our data suggest that it is an effective and noninvasive method of treating TN, especially in older individuals who are refractory to medical management. The slice thickness of 0.6 mm provided increased resolution at the target compared to previous studies in the literature. This small thickness coupled with the mapping of the patient's movement during treatment allowed us to have a greater confidence to perform frameless IGRS. Further investigation is warranted with a larger sample size to confirm the efficacy of frameless IGRS for TN.

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#### **Conflicts of interest**

There are no conflicts of interest.

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