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A Randomized, Double-Blind, Placebo-Controlled Phase II Trial Investigating the Safety and Immunogenicity of Modified Vaccinia Ankara Smallpox Vaccine (MVA-BN[®]) in 56-80-Year-Old Subjects

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RESEARCH ARTICLE

A Randomized, Double-Blind, Placebo-Controlled Phase II Trial Investigating the Safety and Immunogenicity of Modified Vaccinia Ankara Smallpox Vaccine (MVA-BN[®]) in 56-80-Year-Old Subjects

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Abstract

Background

Modified Vaccinia Ankara MVA-BN[®] is a live, highly attenuated, viral vaccine under advanced development as a non-replicating smallpox vaccine. In this Phase II trial, the safety and immunogenicity of Modified Vaccinia Ankara MVA-BN[®] (MVA) was assessed in a 56–80 years old population.

Methods

MVA with a virus titer of 1×10^8 TCID₅₀/dose was administered via subcutaneous injection to 56–80 year old vaccinia-experienced subjects (N = 120). Subjects received either two injections of MVA (MM group) or one injection of Placebo and one injection of MVA (PM group) four weeks apart. Safety was evaluated by assessment of adverse events (AE), focused physical exams, electrocardiogram recordings and safety laboratories. Solicited AEs consisted of a set of pre-defined expected local reactions (erythema, swelling, pain, pruritus, and induration) and systemic symptoms (body temperature, headache, myalgia, nausea and fatigue) and were recorded on a memory aid for an 8-day period following each injection. The immunogenicity of the vaccine was evaluated in terms of humoral immune

authors EW, EK, SR, AVK, PY, RN, TPM, DS, JW, GV, NAW and PC. The Statistical Analysis Plan was written by Philip Young (PY, Bavarian Nordic). Data collection, data management and analysis were performed by Kendle International (Cincinnati, OH). Kendle International is now part of INC Research (Raleigh, NC). The specific roles of the authors are articulated in the 'author contributions' section. Bavarian Nordic did not have any additional role in the data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The trial was funded by the National Institute of Allergy and Infectious Diseases US (NIAID) (N01-AI-40072). Bavarian Nordic was the sponsor receiving the fund (N01-AI-40072). Bavarian Nordic reimbursed the Clinical Trial Investigators and the design and conduct of the trial were managed by Bavarian Nordic A/S. Eva Wagner, Eva Kreitmair, Siegfried Rösch, Alfred von Krempelhuber, Philip Young, Richard Nichols, Thomas P. Meyer, Darja Schmidt, Josef Weigl, Garth Virgin, Nathaly Arndt-Wiedemann and Paul Chaplin are employed by Bavarian Nordic GmbH, the manufacturer of MVA-BN. Patents relevant to this study are part of the supporting information as there are too many to list. The Statistical Analysis Plan was written by Philip Young (PY, Bavarian Nordic). Data collection, data management and analysis were performed by Kendle International (Cincinnati, OH). Kendle International is now part of INC Research (Raleigh, NC). The specific roles of the authors are articulated in the 'author contributions' section. There are no further patents, products in development or marketed products to declare. This does not alter the authors' adherence to all the PLOS ONE policies on sharing data and materials, as detailed online in the guide for authors.

responses measured with a vaccinia-specific enzyme-linked immunosorbent assay (ELISA) and a plaque reduction neutralization test (PRNT) before and at different time points after vaccination.

Results

Vaccinations were well tolerated by all subjects. No serious adverse event related to MVA and no case of myopericarditis was reported. The overall incidence of unsolicited AEs was similar in both groups. For both groups immunogenicity responses two weeks after the final vaccination (i.e. Visit 4) were as follows: Seroconversion (SC) rates (doubling of titers from baseline) in vaccine specific antibody titers measured by ELISA were 83.3% in Group MM and 82.8% in Group PM (difference 0.6% with 95% exact CI [-13.8%, 15.0%]), and 90.0% for Group MM and 77.6% for Group PM measured by PRNT (difference 12.4% with 95% CI of [-1.1%, 27.0%]). Geometric mean titers (GMT) measured by ELISA two weeks after the final vaccination for Group MM were 804.1 and 605.8 for Group PM (with ratio of GMTs of 1.33 with 95% CI of [0.96, 1.84]). Similarly, GMTs measured by PRNT were 210.3 for Group MM and 126.7 for Group PM (with ratio 1.66 and 95% CI [0.95, 2.90]).

Conclusions

One or two doses of MVA were safe and immunogenic in a 56–80 years old vaccinia-experienced population. No cases of myopericarditis were observed following vaccinations with MVA. The safety, reactogenicity and immunogenicity were similar to that seen in younger (18–55 year old) healthy populations as investigated in other MVA trials. The results suggest that a single dose of MVA in a 56–80 years old population was well tolerated and sufficient to rapidly boost the long-term B cell memory response induced by a prior vaccination with a traditional smallpox vaccine.

Trial Registration

ClinicalTrials.gov [NCT00857493](https://clinicaltrials.gov/ct2/show/study/NCT00857493)

Introduction

Following a global vaccination campaign, smallpox, caused by the variola virus (VARV), was declared eradicated in 1980 by the World Health Organization [1]. Nevertheless, concern about the re-emergence of VARV persists due to either the accidental or deliberate release of VARV stocks [2, 3]. While traditional smallpox vaccines, based on replicating vaccinia virus (VACV) strains, are highly effective, their use in a pre-emergency situation can be problematic because of rare but severe side effects associated with the replication competence of these vaccines [4, 5, 6].

MVA is a live, highly attenuated pox virus currently in advanced clinical development as a non-replicating smallpox vaccine. Due to the proven incapability to replicate in human cells [7] and based on the current database derived from completed and ongoing clinical trials [8–19], MVA is a safer alternative to traditional, replicating smallpox vaccines. MVA has been shown to be efficacious in mice [20], rabbits [21] and in non-human primates [22] challenged with species specific orthopox viruses highly related to VARV in humans. MVA has also been shown to be immunogenic with a good safety profile in healthy and at-risk populations who

have contraindications for replicating smallpox vaccines, namely subjects infected with human immunodeficiency virus (HIV) [8, 9], diagnosed with atopic dermatitis (AD) [10, 17] or under immune suppressive treatment [11]. Moreover, MVA induces peak antibody and VARV-neutralizing responses comparable to a traditional smallpox vaccine (Dryvax[®]) [12].

While trials performed to date have shown MVA is able to boost immune responses in vaccinia-experienced healthy and HIV infected subjects [8, 9, 16, 17], no data exists in older populations (>55 years old) where the majority have been vaccinated against smallpox earlier in their lives. This population is important to investigate, because one of the most striking changes that occur during normal human aging is known as immunosenescence, a progressive and overall diminution of immune functions that affects all cells and organs of the innate and adaptive immune system [23]. As a hallmark of human aging, the progressive involution of the thymus leads to an impaired balance and function of naïve, memory and effector T cells, thus promoting a latent pro-inflammatory status in the elderly. This situation manifests in clinically relevant implications such as poor overall immune responses, decreased ability to control infectious diseases and diminished response to vaccinations [12, 24, 25].

To specifically examine this older population, this trial targeted a population 56 to 80 years of age to expand the MVA clinical data in vaccinia-experienced subjects. The primary goal was to generate for the first time data on safety and immunogenicity of MVA in this population using one or two booster vaccinations.

Methods

Study Vaccine

The MVA strain used in the current study was derived from the MVA vaccine licensed in Germany [26, 27] by additional passages and serial dilutions in chicken embryo fibroblast cells and has been shown not to replicate in human cells or severely immunocompromised animals [7]. MVA was produced by IDT Biologika GmbH (Dessau-Roßlau, Germany) according to GMP and provided by Bavarian Nordic A/S (Kvistgaard, Denmark) in aliquots of 0.5 ml liquid-frozen vaccine containing a titer of at least 1×10^8 TCID₅₀.

Study Design and Subjects

This randomized, double-blind, placebo-controlled Phase II trial conducted at four sites in the US enrolled 120 subjects. Enrollment began July 1, 2009 and the last follow-up (FU) visit was on August 24, 2010. The study was approved by the following Institutional Review Boards: University of Kentucky IRB Office of Research Integrity, 315 Kinkead Hall Lexington, KY; University of Iowa IRB Human Subjects Office, 340 College of Medicine Ad. Bldg., Iowa City, IA; Independent Investigational Review Board, 6738 West Sunrise Blvd Plantation, FL; and the Western Institutional Review Board 3535 Seventh Ave. SW Olympia, WA. Group MM received two subcutaneous (s.c.) injections with 0.5 ml MVA at week 0 and week 4. Group PM received a first injection (s.c.) with placebo (0.5 ml saline), followed by a second injection (s.c.) with 0.5 ml MVA four weeks later. Vaccinia-experienced women and men aged 56 to 80 years were eligible for enrollment. The trial consisted of a screening period of up to four weeks, an active trial period of eight to ten weeks comprised of five visits (initial vaccination, 2 week FU, second vaccination at week 4, another 2 week FU and an additional FU visit 2 weeks later) and a long term FU period of at least 26–30 weeks after the second injection ending with a final FU visit. At this final visit long-term safety data and serum for anti-vaccinia antibody analysis was collected and a physical examination was performed. Electrocardiogram and laboratory tests were to be performed only if clinically indicated. The trial was conducted according to the standards of GCP and the principles of the Declaration of Helsinki. The protocol was approved by

independent review committees for each site and all subjects provided written informed consent prior to participation.

Safety Assessments

To evaluate safety of MVA, solicited and unsolicited AEs (adverse events) were recorded at screening and at each of the five visits during the active trial phase. Serious Adverse Events (SAEs) and AEs of special interest (AESI) were monitored throughout the trial up to the six months FU visit. Solicited AEs constituted a set of pre-defined, expected local reactions (erythema, swelling, pain, pruritus, and induration) and systemic symptoms (body temperature, headache, myalgia, nausea and fatigue). All solicited AEs were recorded on a memory aid for an 8-day period following each injection. Unsolicited AEs were all events reported by the subject within a 29-day period after each injection not already solicited in the memory aid. Safety laboratory tests including troponin I and electrocardiograms (ECG) were performed at screening and two weeks after each injection. Any cardiac symptom or ECG change, which was determined to be clinically significant and elevation of Troponin I above twice the normal limit were defined as AESIs. Unsolicited or solicited AEs that prevented daily activities or body temperatures $\geq 39^{\circ}\text{C}$ were defined as grade ≥ 3 .

Immunogenicity Assays

Samples for immunogenicity testing were drawn at the first visit (baseline, sample drawn prior to first vaccination) and each post-baseline visit. The vaccinia-specific humoral immune response was analyzed using a vaccinia-specific ELISA [9] and a vaccinia-specific PRNT [17]. A titer equal or above detection limit was defined as seropositive. Seroconversion was defined as a rise by a minimum of a factor of 2 from baseline [8, 9, 14].

Study Objectives and Statistical Methods

The primary objective was to expand the MVA data base on safety in a vaccinia-experienced population 56–80 years of age after administration of either one or two doses of MVA. The secondary objectives were to investigate the safety and reactogenicity as well as to descriptively compare the humoral immune response induced by one versus two doses of MVA in this population.

The sample size calculation (two groups of 60 subjects each) is based on the primary endpoint to evaluate the incidence of SAEs. A sample size of 60 subjects provides a probability of 95% to detect SAEs with an incidence of at least 5%, i.e. 60 subjects provide a 95% certainty of detecting at least one SAE that occurs with an incidence of 1 in 20.

Subjects eligible for Group MM or PM were randomly allocated per site to one of the two treatment groups in order of their appearance in a 1:1 ratio. Randomization was performed automatically within an electronic data capture system using a block size of 8 for each of the 4 sites. The pharmacist who prepared the syringes for administration was the only individual aware of the group allocation and only had the subject number and no other subject details.

The trial was not powered to provide formal testing between arms, and the statistical analyses performed were descriptive. Confidence intervals (CI) for rates (%) were calculated using the Clopper-Pearson method, and CIs of the differences ($\Delta = \text{Group MM} - \text{Group PM}$) in rates between groups (adverse event rates and SC rates) used a two one-sided exact confidence interval. Confidence intervals of the ratio of GMTs (Group MM/ Group PM) and fold ratios from baseline were provided by assuming that titers are log-normally distributed and calculating the standard homoscedastic t-test based interval.

Results

Study Population

The trial was conducted from June 2009 until August 2010 at 4 sites corresponding to the first 4 authors of this manuscript. A total of 120 subjects were enrolled. Safety data were analyzed for all enrolled subjects. One subject was excluded from the Immunogenicity Analysis Set (IAS) because baseline results were missing. 18 subjects were excluded from the Per Protocol Set (PPS, [Fig 1](#)). The results of the PPS were similar to that for the IAS. In this manuscript only the immunogenicity results obtained using the IAS are described. The analyses performed with the PPS are provided as supporting information ([S1](#) and [S2](#) Tables; [S1](#) and [S2](#) Figs).

Demographics

There were no relevant differences observed in any demographic parameter between groups. In both groups, more female than male subjects were included ([Table 1](#)).

Safety and Reactogenicity

Two SAEs were documented ([Table 2](#)). One subject in Group MM was hospitalized due to "non-cardiac chest pain", and one subject in Group PM was diagnosed with "prostate cancer". Both SAEs were assessed by the site's principal investigator as unrelated to the trial vaccine.

AESIs were defined as any cardiac symptoms, ECG changes determined to be clinically significant or Troponin I elevated twice above normal limit. Five not clinically significant AESIs (cardiac symptoms or ECG changes) were reported for five subjects in Group MM after the first MVA vaccination ([Table 2](#)). Four of these AESIs were assessed by the investigator as unrelated, and one as unlikely related to the trial vaccine. Four of the five subjects did not receive the second vaccination due to the AESI and one subject with supraventricular extra systoles was withdrawn from the trial. No AESIs were documented for Group PM. No case of myopericarditis was observed in either group.

As shown in [Table 2](#), the incidence of unsolicited AEs was comparable for Group MM and Group PM (58.1% and 53.4%, respectively). Most unsolicited AEs were unrelated to the trial vaccine. Unsolicited AE with relationship to the vaccine of at least "possible" were observed in 47.3% of subjects in Group MM and in 50.8% of subjects in Group PM ([S3 Table](#)). The incidence after each administration was comparable within each group. The incidence of related unsolicited AEs was not higher after administration of MVA than after administration of placebo. Unsolicited AEs were not more frequent, whether MVA was given once or twice ([S4 Table](#), [S5 Table](#)). Furthermore there was no distinct pattern of AEs according to system organ class ([S6 Table](#)).

Solicited local AEs are per definition classified as related. The overall incidence of solicited local AEs was similar in both groups after each vaccination with MVA (85.5% and 72.6% in Group MM and 79.3% in Group PM) with no increase from first to second vaccination observed in Group MM ([Table 2](#)). After placebo administration in Group PM, an incidence of 20.7% solicited local AEs were reported (which increased to 79.3% after vaccination with MVA). In both groups, "erythema" was the most frequently reported solicited local AE, followed by "pain" and "swelling".

"Myalgia", "fatigue" and "headache" were the most frequent solicited general AEs in both groups after each vaccination. The overall incidence of solicited general AEs after each trial vaccine administration was similar in both groups (43.5% and 33.9% in Group MM and 43.1% in Group PM) with no increase from first to second vaccination observed in Group MM. After placebo administration an incidence of 25.9% was reported for solicited general AEs in Group

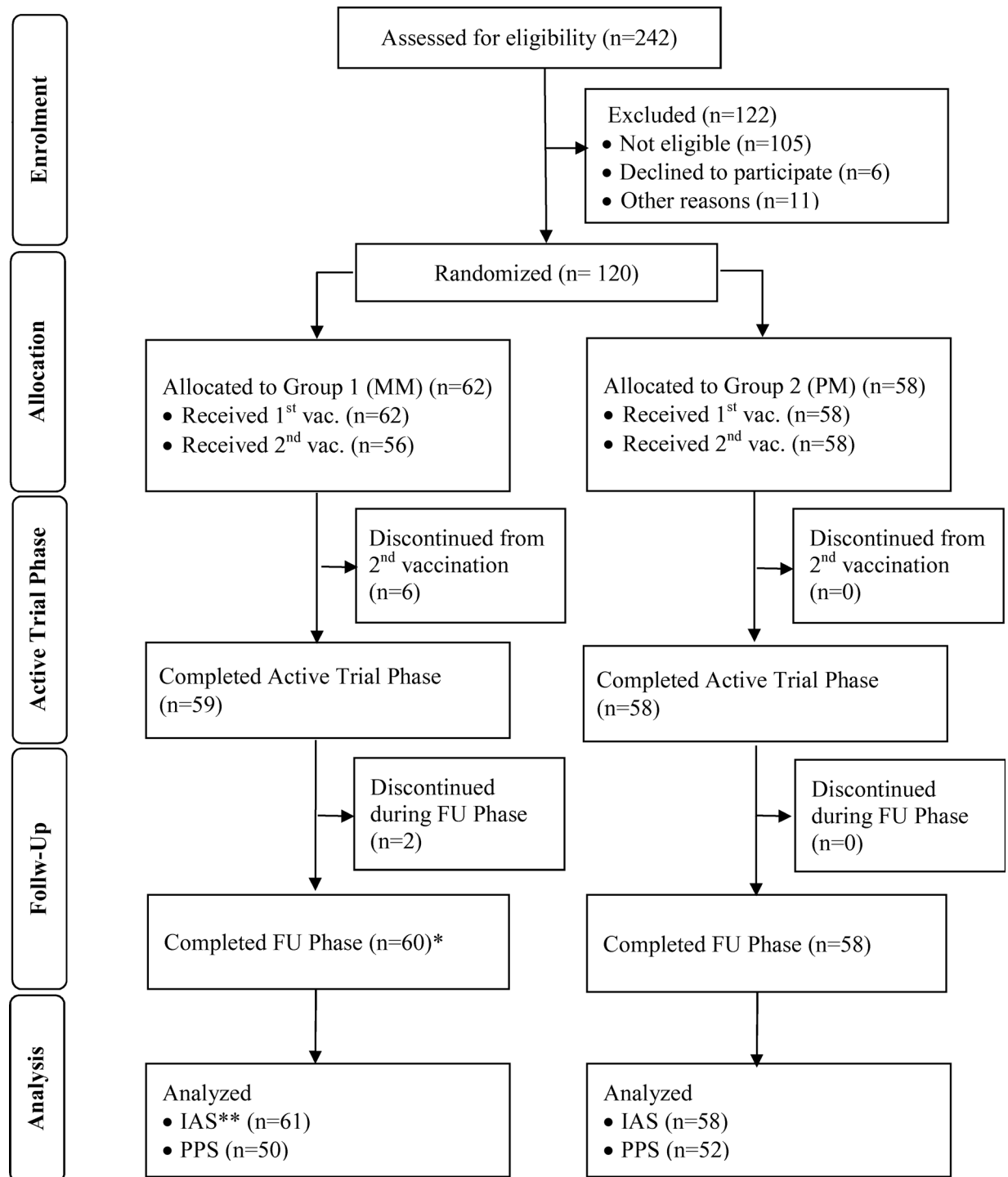


Fig 1. Disposition of Subjects and Data Sets analyzed. Of 242 screened volunteers, 120 subjects were assessed eligible for enrollment, allocated to one of the two groups, Group MM (MVA/MVA) or Group PM (Placebo/MVA), respectively, and received at least one vaccination of MVA. All safety data for these 120 subjects were analyzed. * One subject, who didn't complete the active trial phase returned for the FU visit. ** One subject was excluded from the Immunogenicity Analysis Set (IAS; N = 119), because baseline results were missing. 18 subjects were excluded from the Per-Protocol Set (PPS); N = 102). FU = Follow-up.

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Table 1. Demographic Data (all enrolled subjects, N = 120).

		Group MM (N = 62)	Group PM (N = 58)
Age [years]	Mean (SD)	64.6 (5.4)	62.6 (5.9)
	95% CI	(63.3, 66.0)	(61.1, 64.1)
	Median	64.0	62.0
	Range	56–77	56–80
Gender [n (%)]	Female	37 (59.7)	40 (69.0)
	Male	25 (40.3)	18 (31.0)
Race [n (%)]	White (Caucasian)	59 (95.2)	57 (98.3)
	Black or African American	2 (3.2)	1 (1.7)
	Asian	1 (1.6)	0 (0.0)
Ethnicity [n (%)]	Hispanic or Latino	1 (1.6)	0 (0.0)
	Non-Hispanic or Latino	61 (98.4)	58 (100.0)

N = Number of subjects; n = Number of subjects in specified group; SD = Standard Deviation

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Table 2. Summary of the Safety and Reactogenicity Results (all enrolled subjects, N = 120).

Safety Endpoint all AEs	Group MM (N = 62)		Group PM (N = 58)		Δ (MM–PM) [95% CI]	
	n (%) all AEs	n (%) related AE	n (%) all AEs	n (%) related AE	(Δ%) all AEs	(Δ%) Related AEs
At least one AE	57 (91.9)	34 (54.8) ³	55 (94.8)	33 (56.9) ³	-2.9 [-13.5, 7.3]	-2.1 [-15.8, 20.1]
SAE	1 (1.6)	0 (0.0)	1 (1.7)	0 (0.0)	-0.1 [-7.9, 7.3]	NA
AESI	5 (8.1)	0 (0.0)	0 (0.0)	0 (0.0)	8.1 [1.1, 17.8]	NA
AE leading to discontinuation of 2 nd vaccination.	4 (6.5)	0 (0.0)	0 (0.0)	0 (0.0)	6.5 [-0.2, 15.7]	NA
AE leading to withdrawal from trial	1 (1.6)	0 (0.0)	0 (0.0)	0 (0.0)	1.6 [-4.9, 9.0]	NA
AEs of Grade ≥ 3	11 (17.7)	3 (4.8)	4 (6.9)	1 (1.7)	10.8 [-1.4, 23.7]	3.1 [-5.0, 12.3]
Unsolicited AEs	36 (58.1)	17 (27.4)	31 (53.4)	19 (32.8)	4.7 [-13.6, 22.4]	-5.3 [-22.1, 11.4]
Safety Endpoint Solicited AEs	n (%) all solicited AEs	n (%) Grade ≥3 solicited AEs	n (%) all solicited AEs	n (%) Grade ≥3 solicited AEs	(Δ%) all AEs	(Δ%) Related AEs
Solicited local AE (after first administration ¹)	53 (85.5)	4 (6.5)	12 (20.7)	0 (0.0)	64.8 [48.4, 77.1]	6.5 [-0.3, 15.7]
Solicited local AE (after second administration ²)	45 (72.6)	4 (7.1)	46 (79.3)	2 (3.4)	-6.7 [-22.2, 9.0]	3.0 [-6.3, 12.7]
Solicited general AEs (after first administration ¹)	27 (43.5)	3 (4.8)	15 (25.9)	0 (0.0)	17.7 [-0.0, 34.3]	4.8 [-1.8, 13.8]
Solicited general AEs (after second administration ²)	21 (33.9)	1 (1.8)	25 (43.1)	1 (1.7)	-9.2 [-26.9, 8.7]	-0.1 [-7.9, 7.3]

AE = adverse event, N = Number of subjects in specified group, n = number of subjects with at least one respective AE, SAE = serious adverse event, AESI = AE of special interest.

¹ = The first administration for Group MM is the first MVA vaccination, for Group PM it is a placebo administration.

² = The second administration for Group MM is the second MVA vaccination, for Group PM it is the first MVA vaccination.

³ = Solicited local AEs are excluded.

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PM (which increased to 43.1% after the vaccination with MVA). The incidence of grade ≥ 3 AEs assessed as related to vaccination was very low and comparable between the two groups with three cases in Group MM (4.8%) and one case in Group PM (1.7%).

There were no relevant changes in mean and median hematology and biochemistry values during the trial. There were only minor mean and median changes in vital signs at the various trial visits compared to the visit 1 (prior to first vaccination; baseline) in both groups.

Immunogenicity

At baseline 98.4% of subjects in Group MM and 94.8% of subjects in Group PM were seropositive in the ELISA and 72.1% and 69.0% were seropositive in the PRNT, respectively (Table 3). The ELISA seroconversion rate two weeks after the first dose of MVA was 83.6% in Group MM (week 2) and 82.8% in Group PM (week 6). Two weeks after the second dose of MVA (Group MM only; week 6) the seroconversion rate was 83.3% (Δ (MM-PM) = 0.6%; 95% CI = -13.8, 15.0) (Table 3).

The PRNT seroconversion rates two weeks after the first dose of MVA were comparable with 73.8% in Group MM (week 2) and 77.6% in Group PM (week 6). However, the second MVA vaccination in Group MM increased the seroconversion rate to 90.0% (week 6) (Δ (MM-PM) = 12.4%; 95% CI = -1.1, 27.0) (Table 3).

In line with the high seroconversion rate, one vaccination with MVA resulted in substantial vaccinia specific antibody titers. ELISA GMT values two weeks after the first vaccination with MVA were 622.5 in Group MM and 605.8 in Group PM (Table 4, Fig 2), representing 4.8 and 5.8-fold compared to the baseline titers respectively. After two doses of MVA, the GMT in Group MM increased to 804.1, higher than the GMT determined in Group PM after one MVA vaccination (Ratio (MM/PM) = 1.33; 95% CI = 0.96, 1.84)

The PRNT GMTs after the first MVA vaccination were reported as 111.4 for Group MM and 126.7 for Group PM (Table 4, Fig 3) representing 9.4 and 11.2-fold compared to the baseline titers respectively. The second MVA dose in Group MM nearly doubled the GMT

Table 3. Seropositivity and Seroconversion Rates (IAS, N = 119¹).

	Group MM	Group PM	Difference
ELISA	(N = 61) % [95% CI] ²	(N = 58) % [95% CI] ²	Δ % (MM-PM) [95% CI] ³
Baseline (S+)	98.4 [91.2, 100]	94.8 [85.6, 98.9]	3.5 [-4.6, 13.0]
Week 2 (SC)	83.6 [71.9, 91.8]	1.7% [0.0, 9.2]	81.9 [69.8, 90.7]
Week 6 (SC)	83.3 [71.5, 91.7]	82.8 [70.6, 91.4]	0.6 [-13.8, 15.0]
FU (week 32) (SC)	59.3 [45.7, 71.9]	58.6 [44.9, 71.4]	0.7 [-17.0, 18.3]
Indiv. Peak (SC)	90.2 [79.8, 96.3]	84.5 [72.6, 92.7]	5.7[-6.8, 18.8]
PRNT	Group MM (N = 61) % [95% CI] ²	Group PM (N = 58) % [95% CI] ²	Difference Δ % (MM-PM) [95% CI] ³
Baseline (S+)	72.1 [59.2, 82.9]	69.0 [55.5, 80.5]	3.2 [-13.4, 19.8]
Week 2 (SC)	73.8 [60.9, 84.2]	10.3 [3.9, 21.2]	63.4 [47.4, 76.2]
Week 6 (SC)	90.0 [79.5, 96.2]	77.6 [64.7, 87.5]	12.4 [-1.1, 27.0]
FU (week 32) (SC)	55.9 [42.4, 68.8]	41.4 [28.6, 55.1]	9.2 [-4.0, 32.6]
Indiv. Peak (SC)	95.1 [86.7, 99]	77.6 [64.7, 87.5]	17.5 [4.4, 30.9]

ELISA = enzyme-linked immunosorbent assay, IAS = Immunogenicity Analysis Set, GMT = geometric mean titer, N = Number of subjects in specified group, NA = Not Applicable, PRNT = plaque reduction neutralization test, SC = seroconversion, S+ = seropositivity. Administrations at week 0 (Group MM: first MVA vaccination; Group PM: Placebo) and at week 4 (Group MM: second MVA vaccination; Group PM: first MVA vaccination).

¹ = One subject was excluded from the IAS because baseline results were missing.

² = 95% Clopper Pearson CI of the proportions

³ = 95% exact two one-sided CI of the difference in proportions.

Table 4. Geometric Mean Titers and Geometric Fold Ratios (IAS, N = 119¹).

ELISA	Group MM (N = 61)	Group PM (N = 58)	Ratio (MM / PM) [95% CI]
Baseline GMT [CI]	129.0 [100.3, 165.8]	105.3 [71.8, 154.5]	1.22 [0.78, 1.92]
Week 2 GMT [CI] (Fold Ratio) [CI]	622.5 [491.2, 788.9] (4.83) [3.76, 6.19]	98.5 [64.8, 150.0] (0.94) [0.81, 1.08]	6.32 [3.94, 10.11] (5.16) [3.87, 6.87]
Week 6 GMT [CI] (Fold Ratio) [CI]	804.1 [636.3, 1016] (6.21) [4.62, 8.35]	605.8 [479.6, 765.2] (5.75) [4.20, 7.87]	1.33 [0.96, 1.84] (1.08) [0.70, 1.65]
Week 32 GMT [CI] (Fold Ratio) [CI]	344.6 [288.9, 411.1] (2.70) [2.16, 3.38]	258.1 [202.5, 328.9] (2.45) [1.82, 3.29]	1.34 [0.99, 1.79] (1.10) [0.76, 1.59]
Indiv. Peak GMT [CI] (Fold Ratio) [CI]	992.4 [769.2, 1280.3] (7.70) [5.69, 10.40]	645.2 [505.0, 824.3] (6.12) [4.48, 8.38]	1.54 [1.08, 2.18] (1.26) [0.82, 1.93]
PRNT	Group MM (N = 61)	Group PM (N = 58)	Ratio (MM / PM) [95% CI] ²
Baseline GMT [CI]	11.9 [7.4, 19.1]	11.3 [6.5, 19.4]	1.06 [0.52, 2.15]
Week 2 GMT [CI] (Fold Ratio) [CI]	111.4 [72.0, 172.2] (9.36) [6.01, 14.57]	9.2 [5.3, 15.8] (0.82) [0.58, 1.15]	12.12 [6.1, 24.1] (11.48) [6.56, 20.10]
Week 6 GMT [CI] (Fold Ratio) [CI]	210.3 [146.1, 302.7] (17.74) [11.79, 26.69]	126.7 [82.4, 194.8] (11.24) [7.04, 17.94]	1.66 [0.95, 2.90] (1.58) [0.85, 2.92]
Week 32 GMT [CI] (Fold Ratio) [CI]	47.0 [31.1, 71.1] (4.11) [2.81, 5.98]	27.6 [17.0, 44.8] (2.45) [1.59, 3.77]	1.70 [0.91, 3.20] (1.68) [0.95, 2.95]
Indiv. Peak GMT [CI] (Fold Ratio) [CI]	257.6 [178.6, 371.5] (21.65) [14.18, 33.06]	139.6 [89.3, 219.2] (12.41) [7.75, 19.88]	1.84 [1.04, 3.26] (1.74) [0.93, 3.26]

ELISA = enzyme-linked immunosorbent assay, IAS = Immunogenicity Analysis Set, GMT = geometric mean titer, N = Number of subjects in specified group, NA = Not Applicable, PRNT = plaque reduction neutralization test

Administrations at week 0 (Group MM: first MVA vaccination; Group PM: Placebo) and at week 4 (Group MM: second MVA vaccination; Group PM: first MVA vaccination).

¹ = One subject was excluded from the IAS because baseline results were missing.

² = 95% one sample t-distribution CI.

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(210.3) compared to the GMT determined after one MVA vaccination in Group PM (Ratio (MM/PM) = 1.66; 95% CI = 0.95, 2.90).

ELISA and PRNT GMTs per week are shown in Fig 2 and Fig 3, respectively. Starting with comparable baseline titers for subjects in Group MM and Group PM, the GMTs for both assays increased after each vaccination with MVA peaking at week 2 and 6 for Group MM and at week 6 for Group PM. After 32 weeks, the ELISA and PRNT GMTs determined were 344.6 and 47.0 for Group MM and 258.1 and 27.6 for Group PM, which were all higher than the baseline titers. The titers were lower for the group receiving only one vaccination with MVA compared to the group receiving two doses of MVA.

Discussion

The observed incidences of unsolicited and solicited AEs reported in this population (56 to 80 years of age) were very similar to that previously reported in MVA trials in younger vaccinia experienced adults (≤ 55 years old) that were either healthy or HIV infected [8, 9, 16]. Clinical studies with traditional smallpox vaccines based on replicating VACV strains have reported fewer AEs in vaccinia-experienced subjects compared to vaccinia-naïve populations, hypothesized to be related to the immunologic memory against VACV [28]. Interestingly given that MVA fails to replicate in human cells and as such cannot cause several of the AE associated with the replicating nature of traditional smallpox vaccines (e.g. progressive vaccinia,

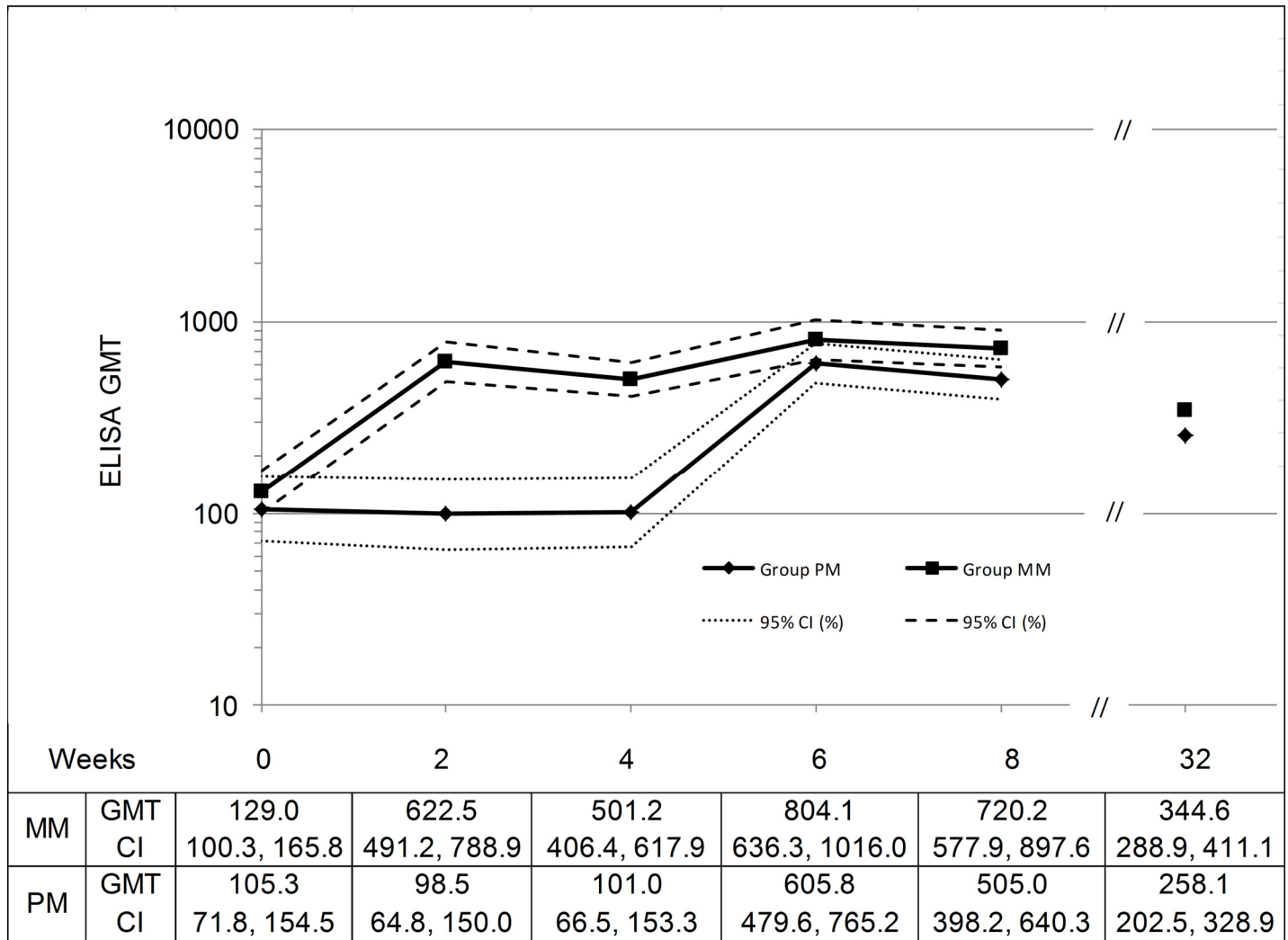


Fig 2. Vaccinia-specific ELISA GMTs by Week (IAS, N = 119). Administrations at week 0 (Group MM: first MVA vaccination; Group PM: Placebo) and at week 4 (Group MM: second MVA vaccination; Group PM: first MVA vaccination). No samples were taken between week 8 and 32, therefore the graph was cut. IAS = Immunogenicity Analysis Set, GMT = geometric mean titer, ELISA = enzyme-linked immunosorbent assay, CI = confidence interval.

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generalized vaccinia, inadvertent inoculation), the safety profile of MVA has been shown to be the same for vaccinia experienced and vaccinia naïve populations whether for healthy [16] or HIV infected [8, 9] adults.

A high number of suspected and probable myopericarditis cases were reported in the pivotal clinical program of ACAM2000, a replicating VACV [29]. The Phase III ACAM2000 trials included active post-vaccination cardiac monitoring, leading to the detection of 8 myopericarditis cases in 1,162 primary vaccinees after vaccination with either ACAM2000 or Dryvax (incidence of 1:145). Most cases were symptomatic (i.e. subjects presented with chest pain, reduced tolerance to exercise, dyspnea or palpitations) and diagnosis was confirmed by coexisting ECG changes or echocardiogram, findings indicative of myocardial inflammation. During the campaign to vaccinate first line responders in the USA in 2003, the majority (76%) of the 40,000 people vaccinated were vaccinia-experienced and of the 203 cardiac events reported, 183 were in people previously vaccinated [30]. Therefore, serious cardiac events may be associated with vaccination in vaccinia-experienced populations receiving traditional smallpox vaccines.

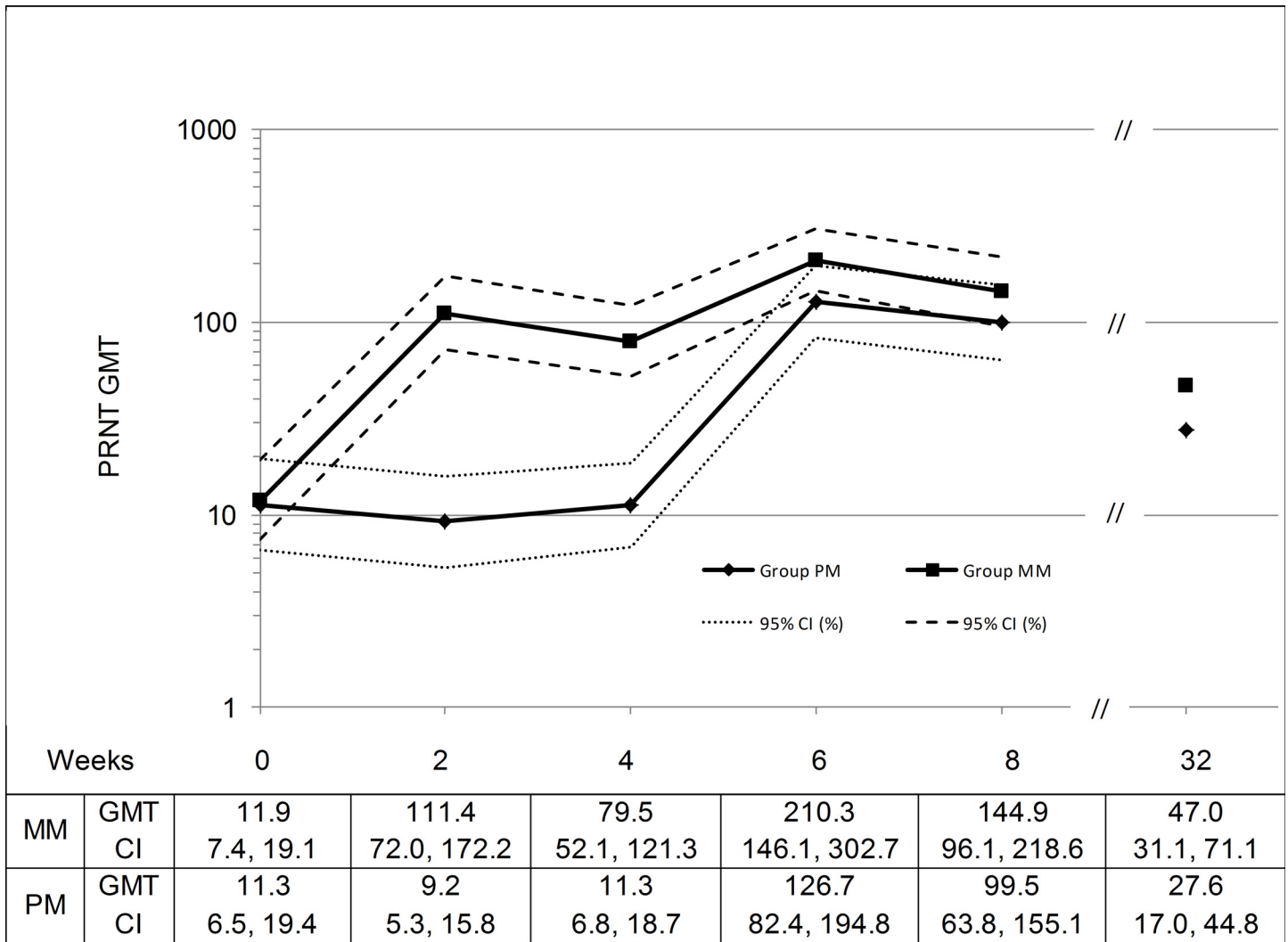


Fig 3. Vaccinia-specific PRNT GMTs by Week (IAS, N = 119). Administrations at week 0 (Group MM: first MVA vaccination; Group PM: Placebo) and at week 4 (Group MM: second MVA vaccination; Group PM: first MVA vaccination). No samples were taken between week 8 and 32, therefore the graph was cut. IAS = Immunogenicity Analysis Set, GMT = geometric mean titer, PRNT = plaque reduction neutralization test, CI = confidence interval.

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Despite the fact that subjects 56 to 80 years of age in general are at higher risk of experiencing cardiac events [31], no such cases were reported in the current study. Despite close cardiac monitoring, no confirmed cases of myo-pericarditis have been observed in any MVA-BN trials. This supports a favorable cardiac safety profile of MVA for the population of 56 to 80 years of age and confirms results reported in a large clinical trial (N = 745) designed to investigate cardiac safety in 18–55 year old healthy vaccinia-naïve and vaccinia experienced subjects [16].

Several studies have demonstrated that humoral immunity against smallpox is long-lived and can persist for more than 30 years [28, 32–35]. Consistent with this finding, moderate baseline antibody titers were detected in the 56–80 years old subjects enrolled in this study, although primary vaccination of the subjects was likely performed more than 40 years ago. Routine smallpox vaccination in the USA was stopped 1972. The ability of MVA to rapidly boost the antibody responses in all vaccinia-experienced subjects supports the observation that traditional smallpox vaccines induce a long-lived B-cell memory [33]. Historically, booster vaccinations with traditional smallpox vaccines provided a robust protection [36], although the

vaccine take, which is an accepted marker of efficacy against smallpox, was often attenuated in vaccinia-experienced subjects. Recently the ability to boost the antibody response in vaccinia-experienced subjects has been suggested to be more indicative of protection than the take rate [29]. Therefore, the anamnestic response recorded following a single booster vaccination with MVA suggests that this vaccine will be effective in 56–80 year old vaccinia-experienced subjects. Even though antibody titers had declined during the 6 month follow-up period of the clinical trial, ELISA and PRNT titers were still considerably above the baseline titers. Since GMTs are currently not an accepted correlate of protection and no protective titer is defined, it is unknown if this antibody level is high enough to protect against smallpox. Clinical proof of efficacy is not possible due to the fact that smallpox is eradicated. A randomized Phase 3 non-inferiority trial is currently ongoing to directly compare indicators of efficacy of MVA to the replicating smallpox vaccine ACAM2000[®].

Overall this trial found one or two doses of MVA to be safe and immunogenic in a vaccinia-experienced, population 56 to 80 years of age. These results also suggest that a single MVA vaccination boosts the memory response induced by a prior vaccination with a traditional smallpox vaccine. As such this further suggests that this boosted memory response after MVA vaccination should provide efficacy against VARV in humans.

Supporting Information

S1 Fig. Vaccinia-specific ELISA GMTs by Week (PPS, N = 102). Administrations at Week 0 (Group MM: first MVA vaccination; Group PM: Placebo) and at Week 4 (Group MM: second MVA vaccination; Group PM: first MVA vaccination). No samples were taken between week 8 and 32, therefore the graph was cut. PPS = Per Protocol Set, GMT = geometric mean titer, ELISA = enzyme-linked immunosorbent assay, CI = confidence interval, MM = MVA/MVA, PM = Placebo/MVA.
(TIF)

S2 Fig. Vaccinia-specific PRNT GMTs by Week (PPS, N = 102). Administrations at Week 0 (Group MM: first MVA vaccination; Group PM: Placebo) and at Week 4 (Group MM: second MVA vaccination; Group PM: first MVA vaccination). No samples were taken between week 8 and 32, therefore the graph was cut. PPS = Per Protocol Analysis Set, GMT = geometric mean titer, PRNT = plaque reduction neutralization test, CI = confidence interval, MM = MVA/MVA, PM = Placebo/MVA.
(TIF)

S1 File. CONSORT Checklist.
(DOCX)

S2 File. Patents.
(DOCX)

S3 File. Initial Protocol for IRBs.
(PDF)

S4 File. Poster.
(PDF)

S1 Table. Demographic Data PPS.
(DOCX)

S2 Table. Immunogenicity Results PPS.
(DOCX)

S3 Table. Grade and Relationship of Unsolicited AEs.
(DOCX)

S4 Table. Unsolicited related AEs after first vaccination.
(DOCX)

S5 Table. Unsolicited related AEs after second vaccination.
(DOCX)

S6 Table. Unsolicited AEs \geq 3%.
(DOCX)

S7 Table. Baseline Seropositivity Rates.
(DOCX)

S8 Table. Overview of Immunogenicity Results.
(DOCX)

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A poster about this trial with the title “A Clinical Phase II Study Confirming the Safety and Immunogenicity of One or Two Doses of IMVAMUNE (MVA-BN) Smallpox Vaccine in Vaccinia-Experienced Elderly Subjects” was presented at the 10th ASM Biodefense and Emerging Diseases Research Meeting in Washington DC, February 26–29, 2012.

Author Contributions

Conceived and designed the experiments: AK EW PY PC. Performed the experiments: RNG CMH JTS TM. Analyzed the data: PY SR. Contributed reagents/materials/analysis tools: RN TPM DS. Wrote the paper: EW EK PY TPM DS JW GV NA PC RNG. Review of safety information (adverse events): GV.

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