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Specificity of the Minimal Clinically Important Difference of the Quick Disabilities of the Arm Shoulder and Hand (QDASH) for Distal Upper Extremity Conditions

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Notes/Citation Information

Published in Journal of Hand Therapy, v. 29, no. 1, p. 81-88.

Published by Elsevier Inc. on behalf of Hanley & Belfus, an imprint of Elsevier Inc.

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The document available for download is the authors' post-peer-review final draft of the article.

Digital Object Identifier (DOI)

http://dx.doi.org/10.1016/j.jht.2015.09.003

Published by Elsevier Inc. on behalf of Hanley & Belfus, an imprint of Elsevier Inc.

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Accepted Manuscript



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PII: S0894-1130(15)00166-0

DOI: 10.1016/j.jht.2015.09.003

Reference: HANTHE 931

To appear in: Journal of Hand Therapy

Received Date: 26 November 2014

Revised Date: 25 August 2015

Accepted Date: 21 September 2015

Please cite this article as: Smith-Forbes E,V, Howell D,M, Willoughby J, Pitts D,G, Uhl T,L, Specificity of the Minimal Clinically Important Difference of the Quick Disabilities of the Arm Shoulder and Hand (QDASH) for Distal Upper Extremity Conditions, *Journal of Hand Therapy* (2015), doi: 10.1016/j.jht.2015.09.003.

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Specificity of the Minimal Clinically Important Difference of the Quick Disabilities of the 1 2 Arm Shoulder and Hand (QDASH) for Distal Upper Extremity Conditions 3 4 5 6 Authors: Major(P) Smith-Forbes, Enrique, V., PhD, OTR/L, CHT^{a,}* 7 Howell, Dana, M., PhD, OTD, OTR/L^{bc} 8 9 Willoughby, Jason, MHS, OTR/L, CHT^d Pitts, Donald, G., MS, OTR/L, CHT^d 10 11 Uhl, Tim, L., PT, ATC, PhD, FNATA^b 12 ^a Graduate Medical Education, Fort Sam Houston Clinic, Building 1179, Room 1A43, 3100 Schofield Road, Fort Sam Houston, TX 78234, USA 13 ^b Department of Rehabilitation Sciences, College of Health Sciences, University of Kentucky, 14 15 Lexington, KY, USA ^c Department of Occupational Therapy, Eastern Kentucky University, Richmond, KY, USA 16 ^d Kentucky Hand & Physical Therapy/ Draver Physical Therapy Institute, Lexington, KY, USA 17 This study was presented in part at the 37th American Society of Hand Therapy 2014 Meeting in 18 19 Boston, MA, and earned the 'The First Time Scientific Session Presenter Award.' This study 20 fulfilled in part the degree requirements for the first author. At the time of the study MAJ(P)21 Smith-Forbes was affiliated with University of Kentucky. 22 * Corresponding author. Tel.: +1 832 971 7757; fax: +1 859 323 6003. E-mail address: enrique.smith-forbes@uky.edu; e vsf12@hotmail.com (E.V. Smith-Forbes). 23

ABSTRACT: Retrospective cohort design. The minimal clinically important difference (MCID) for the quick Disabilities of the Arm, Shoulder and Hand (QDASH) has been established using a pool of multiple conditions, and only exclusively for the shoulder. Understanding diagnoses-specific threshold change values can enhance the clinical decision-making process. Before and after QDASH scores for 406 participants with conditions of surgical distal radius fracture, non-surgical lateral epicondylitis, and surgical carpal tunnel release were obtained. The external anchor administered at each fourth visit was a 15-point global rating of change scale. The test-retest reliability of the QDASH was moderate for all diagnoses: intraclass correlation coefficient model 2,1, for surgical distal radius = 0.71; non-surgical lateral epicondylitis = 0.69; and surgical carpal tunnel = 0.69. The minimum detectable change at the 90% confidence level was 25.28; 22.49; and 27.63 points respectively; and the MCID values were 25.8; 15.8 and 18.7, respectively. For these three distal upper extremity conditions, a QDASH MCID of 16-26 points could represent the estimate of change in score that is important to the patient and guide clinicians through the decision making process.

KEY WORDS: disability evaluation, musculoskeletal diseases, outcome assessment, psychometrics, rehabilitation, upper extremity

Level of Evidence: 2c

1 INTRODUCTION

2	The Minimal Clinically Important Difference (MCID) represents a change in score on a
3	standardized assessment that is perceived to be beneficial or harmful by the patient. ¹ The MCID
4	may be calculated for patients with upper extremity (UE) deficits using two common UE
5	assessments, the quick Disabilities of the Arm, Shoulder and Hand (QDASH) ² and The Global
6	Rating of Change (GROC). ³ The MCID can be clinically used to interpret patient change scores
7	to guide clinical decision-making.
8	The QDASH, a region specific outcome measure, is a shortened version of the
9	Disabilities of the Arm Shoulder and Hand (DASH). ⁴ Both instruments are widely used in
10	rehabilitation. ^{5,6} The GROC, a generic global change scale, allow patients to decide how much
11	they have changed during recovery. The QDASH's MCID has been determined using the GROC
12	to identify those patients who have improved and comparing them to those who have not
13	improved with UE diagnoses. ⁷ However, the results of these studies have generated a wide range
14	of MCID (8-20), ⁷⁻¹¹ which represents 10-20% of the 100-point scale and suggests the instrument
15	may have poor responsiveness. One potential explanation for this variance may be because a
16	single diagnosis was not used in most of the previous studies. ⁷ The MCID may differ among
17	diagnoses, and this may help explain the varying results in the literature. ¹² This is the primary
18	rationale for examining MCID among separate diagnoses.
19	The QDASH's psychometric and clinimetric properties have been investigated. Rasch

analysis¹³ and classical theory¹⁴⁻¹⁶ have been used to investigate the strength and weaknesses of
the QDASH measures. A recent systematic review found the QDASH English version tool to
perform well with strong positive evidence for reliability and validity (hypothesis testing) and
moderate positive evidence for structural validity testing. Strong negative evidence was found

¹⁷ for responsiveness due to lower correlations with global estimates of change.¹⁷

25 Multiple approaches have been used to calculate the responsiveness of these measures. 26 The MCID current and previous values become critical in assisting providers in making clinical 27 decisions. Several authors have suggested clinicians and researchers work with a range of MCID values instead of a fixed value,^{18,19} another has questioned the validity of a single overall MCID.⁸ 28 29 Distribution-based and anchor-based methods have been the two general approaches used to 30 interpret changes. The strategy for distribution-based approaches lies in identifying the Minimal Detectable Change (MDC), which is the smallest change in score that can be distinguished 31 beyond random error.²⁰ Distribution-based approaches do not give a good indication of the 32 importance of the observed change and therefore cannot provide the MCID.¹⁸ In contrast, with 33 34 anchor-based methods the choice of the anchor among other things will determine the precision 35 of the MCID. Recent studies recommend the MCID be based primarily on anchor-based procedures,²¹ 36 not be based on one study¹ and should be higher than the MDC values (the typical boundary of 37 stable patients),^{20,21} and not be based on a single study.¹ Nevertheless, there are limited studies 38 calculating the MCID through anchor-based approaches for the QDASH.⁷⁻¹⁰ Furthermore, it 39

40 seems the best option to determine MCID is to select a small range of threshold estimates from

41 the same sample and compare and interpret multiple reference standards.^{1,21,22} This approach has

42 been applied in a few studies on the DASH and QDASH.^{11,16} Some of the approaches to

43 calculate the MCID utilized in the literature are: 0.2 x standard deviation at baseline, 0.5 x

standard deviation at baseline, and one standard error of measurement (test-retest), among many
others.¹⁶

46

The main aim of this study was to use both anchor-based and distribution methods to

47	triangulate on MCID values for the QDASH. We used a retrospective large sample of patients
48	with UE musculoskeletal disorders who had undergone hand therapy. The objective was to
49	determine condition specific thresholds for the MCID in order to enhance confidence in
50	interpreting patient change scores for clinical decision-making.
51	METHODS
52	Subjects
53	This retrospective study population consisted of patients in a database seen at an
54	outpatient UE orthopedic condition rehabilitation multi-center, over the last 4 years. There were
55	approximately 5,000 patients in the existing database treated for multiple orthopedic conditions.
56	All data in the database was de-identified and transferred to a data sheet for study purposes and
57	then provided to the primary investigator (PI) for use by the database manager. The University of
58	Kentucky's Institutional Review Boards approved this exempt category study prior to data
59	analysis.
60	Inclusion and Exclusion Criteria
61	Subjects age 18-89, were included if they were not missing QDASH scores at initial visit
62	and visit 4, not missing last visit score determined per diagnoses at either visit 8 or visit 12, and
63	not missing associated GROC scores for the QDASH. Diagnoses not totaling at least 100
64	records, based on the above criterion were excluded. Surgical distal radius fracture, non-surgical
65	lateral epicondylitis, and carpal tunnel release were included as the three most common
66	conditions treated by hand therapists at these facilities.
67	Assessment
68	The QDASH uses 11 items to measure the degree of difficulty in performing various
69	physical activities due to a shoulder, arm, or hand problem. It utilizes a 5-point Likert scale for

70	seven functional items and three symptom items. Ten of the 11 items need to be completed for
71	the scores to be valid. The score is calculated on a 0-to-100 point scale. A higher score reflects
72	greater disability. The 2 optional scales of the QDASH (work and sport/music) are not
73	commonly collected in this clinical practice and therefore were not part of this study.
74	In contrast, the GROC scale ²³ asks that a person assess his or her current health status in
75	relation to when they start their treatment and rate their level of change on a 15-point scale (-7 =
76	a very great deal worse, $0 = \text{same}$, $+7 = \text{a}$ very great deal better). ²⁴ Both instruments have been
77	reported to be valid and reliable. ^{2,25,26}
78	Procedure
79	The database was reviewed to identify the most commonly treated diagnoses. It is known
80	from review of the database that the typical number of visits for all diagnoses ranged from 8 to
81	12 visits. A screening process was used to identify that adequate scores were present at the time
82	point of interest at initial, 4 th , 8 th , and 12 th visit (Figure 1). In addition, the range of days treated
83	was explored to determine a cutoff point for the last visit.
84	Statistical Analysis
85	Descriptive statistics
86	All statistical analyses were performed using Stata/ IC Version 13.1 (StataCorp LP,
87	College Station, TX). Baseline characteristics per diagnoses between improved and not improved
88	patients were determined for patient demographics of age, initial QDASH, and length of days in
89	care using a <i>t</i> -test for parametric data and a Wilcoxon Mann-Whitney test for nonparametric
90	data. A Chi-square test was used to calculate baseline gender differences (Table 1). ⁹ Patients
91	were sub-divided per diagnoses into two groups each, stable and improved, in order to analyze
92	baseline characteristics. Stable patients were categorized from -2 to +3. Improved patients were

93 determined as reported scores on the GROC of $(\geq +4)$,⁹ at visit 12 or visit eight for carpal tunnel

94 release.

95 Validity and Reliability

- 1) We examined *Convergent Validity* to determine the correlation between the QDASH and
- 97 the GROC using Pearson correlation coefficient (r). This was performed because the GROC was
- 98 the reference standard, or external criteria by which we judged that a real patient improvement

had occurred. We expected an at least a fair association (r > 0.30) between their final QDASH

- score (visit eight or twelve), and their final GROC score (visit eight or twelve).
- 101 2) *Test-retest reliability* was calculated for the QDASH using an ANOVA (ICC2,_{2,1}) using a

group of stable patients on GROC (-2 to +2).⁹ In order to assess reliability, the fourth visit of the

103 QDASH was compared to the initial visit scores, as they were the earliest available repeated

104 QDASH scores.

105

106 Responsiveness

107 Responsiveness was determined by distribution-based and anchor-based methods.

108 a) *Distribution-based methods* determine the ability to detect change in general, and are

- 109 based on the statistical characteristics of the sample. We calculated the Standard Error of
- 110 Measurement (SEM), which links the reliability of a measurement tool to the standard deviation
- 111 of the population. This was obtained from an ANOVA using the entire population for the
- 112 diagnosis. We calculated the Minimal Detectable Change (MDC), which represents the smallest
- 113 change in score likely to reflect a true change, free from measurement error, (MDC = SEM * z-
- 114 value* $\sqrt{2}$.) We established a 90% confidence level (MDC₉₀) corresponding to a *z*-value of 1.65.
- 115 Meaning: If the patient has a change score greater or equal to the MDC₉₀ threshold it is possible

to state with 90% confidence that this change is real and not due to measurement error.

116

137

117 b) Anchor-based methods utilize an external patient criterion (an anchor) to determine if 118 changes in outcome are clinically meaningful. Two approaches were used; the mean change and 119 receiver-operating-characteristic (ROC) curve approaches. The GROC assessment was used as 120 the external reference in evaluating responsiveness. 121 c) The Mean Change Approach: Was calculated as the mean change score in the different 122 subgroups of patients who respectively reported themselves as not improved (-7 to 0), minimally 123 improved (+1 to +3), moderately improved (+4 to +5) and large changes (+6 to +7). We used 124 changes in those minimally improved to triangulate the MCID values. 125 d) The ROC Curve Approach: We determined the optimal cutoff score and the area under the 126 curve (AUC) considering the subjects improved with a GROC of +4 or greater. 127 A ROC curve plots sensitivity (y-axis) against 1 – specificity (x-axis). Following this rationale, 128 sensitivity was calculated as the number of patients correctly identified as improved based on the 129 cutoff value divided by all patients identified as having had a meaningful change (GROC +4 or 130 greater), whereas specificity refers to the number of patients who were correctly identified as not 131 improved based on the cutoff value divided by all patients who truly did not have a meaningful 132 change (GROC, less than +4). The optimal cutoff was chosen as the point that jointly maximized 133 sensitivity and specificity (was associated with the least amount of misclassification). 134 The AUC can be interpreted as the probability that a given diagnostic tool will correctly 135 assign a patient to the appropriate diagnostic category. In general, AUC values between 0.7 and 136 0.8 are judged as acceptable, and an AUC value greater than 0.8 is considered to have good to

excellent discrimination.²⁷ The greater the AUC, the greater a measure's ability to distinguish

138 patients who have improved from those who have not improved. In accordance with Turner et

139	al, ²⁸ our ROC analysis will use the entire cohort, rather than just those subjects with ratings
140	adjacent to the dichotomization point to increase accuracy and obtain more reasonable estimates
141	of the MCID. We used the ICC test-retest from the product of our ANOVA that utilized a GROC
142	of (-2 to +2). ⁹
143	To obtain CIs for the ROC-derived parameters, we drew 50 bootstrap samples and
144	calculated both the cutoff value and the AUC in each bootstrap replication. The mean of the 50
145	bootstrap AUC values was taken as the best estimate, with the 95% CI calculated as 1.96 . SD
146	(as an estimate of the standard error) of the bootstrap values. ¹ This was done because the AUC
147	does not provide a CI, which in turn provides an estimate of how acceptable are our findings (.50
148	not good .70 acceptable, .80 good).
149	The MCID was set at the best triangulation of the results coming from both anchor-based
150	(mean change and the ROC curve) and distribution-based (the MDC ₉₀ threshold) methods. This
151	is considering that the MCID should be based primarily on anchor-based procedures ²¹ and be
152	higher than the MDC value. In this regard, the MDC should be interpreted as another piece in the
153	puzzle toward establishing the MCID, by benchmarking it to the boundaries of error. ¹¹
154	According to Turner et al, ²⁰ "if the two anchor-based methods calculated on the same
155	population yield different MCID values, then the knowledge that one value is below the MDC
156	could aid in the decision to select the other." In addition, the ROC-curve approach was preferred
157	as the first choice as it successfully addresses most limitations of the mean change
158	approach. ^{1,21,28} Furthermore, our calculation of the 95% CIs gave a useful indication of the sam-
159	pling variation. ¹⁸
160	RESULTS
161	Descriptive Statistics and Validity of the Measures

162	After excluding for missing data, 406 patients met inclusion criteria for three diagnoses;
163	surgical distal radius fracture ($n = 151$), non-surgical lateral epicondylitis ($n = 137$), and carpal
164	tunnel release (n =118). Most demographical data yielded no significant differences between
165	improved and not improved groups with exception of lower initial QDASH scores for the
166	improved group for surgical distal radius fracture, $P = .006$ and gender for carpal tunnel release,
167	P = .04, see Table 1. Scores for the QDASH (initial and last visit), last visit GROC, as well as
168	cutoff treatment sessions and duration of treatment days are presented in Table 2. Based on a
169	previous study consisting of multiple diagnoses, with an average duration of 10 visits /22 days, ¹¹
170	a cutoff of 12 visits was chosen for surgical distal radius fracture and non-surgical lateral
171	epicondylitis. A cutoff of 8 visits for carpal tunnel release occurred due to a shorter duration, see
172	Table 2. Mean score changes for the QDASH questionnaire according to each GROC grade are
173	shown in Table 3.
174	The correlation between GROC and the score changes of the QDASH was significant for
175	all three diagnoses with a fair relationship for surgical distal radius fracture ($r = 0.39, P < 0.001$)
176	and for non-surgical lateral epicondylitis ($r = 0.39$, $P < 0.001$), and a weak, but significant
177	relationship for carpal tunnel release ($r = 0.22$, $P = 0.029$.) The test-retest reliability using a
178	group of stable patients on GROC (-2 to +2), had moderate agreement for all three diagnoses
179	surgical distal radius fracture: ICC _{2,1} = 0.71, (95% CI: 0.51, 0.83)- non-surgical lateral
180	epicondylitis: 0.69, (95% CI: 0.56, 0.79)- and carpal tunnel release: 0.69, (95% CI: 0.43, 0.84).
181	Responsiveness
182	Distribution-based methods
183	For the surgical distal radius fracture the SEM was 10.83 and the MDC ₉₀ corresponded to

184 25.28, for the non-surgical lateral epicondylitis the SEM was 9.63, and the MDC_{90} was 22.49;

and for the carpal tunnel release the SEM was 11.84, and the MDC₉₀ was 27.63.

186 Anchor-based methods

187	The mean changes for the QDASH, per diagnoses, are reported in Table 3. In particular
188	those patients who were rated as having a small improvement (GROC, +1 to +3) had a mean
189	change improvement for surgical distal radius fracture of 25.8 points (95% CI: 14.4, 35.6) for the
190	QDASH; for non-surgical lateral epicondylitis of 15.3 points (95% CI: 11.4, 19.1); and for carpal
191	tunnel release of 18.7 points (95% CI: 8.5,25.2). Splitting the data according to a presence of
192	moderate or larger improvement (\geq +4) versus the remainder of the entire cohort, the AUC for
193	the QDASH for surgical distal radius fracture was 0.66 (95% CI: 0.56, 0.77), (Figure 2); 0.64,
194	(95% CI: 0.55, 0.73), (Figure 3); and for carpal tunnel release 0.66, (95% CI: 0.55, 0.77), (Figure
195	4). The ROC-curve cutoff scores that best identified meaningful improvement in clinical status
196	(as measured by GROC values of +4 or greater) for surgical distal radius fracture 15.8 points
197	(95% CI: -5.3, 36.9); for non-surgical lateral epicondylitis 15.8 points (95% CI: 1.0, 30.6) points;
198	and for carpal tunnel release 13.3points (-1.7, 28.3) for the QDASH.
199	Surgical distal radius fracture triangulation
200	We took into account the following data (a) an MDC_{90} of 25.28 points for the QDASH,
201	(b) a mean change for small improvement of 25.8 points for the QDASH, and (c) an ROC cutoff
202	score that best identified meaningful improvement in clinical status of 15.8 points (sensitivity
203	86%, specificity 37%, correctly classified 74%), for the QDASH. Analyzing the overall results
204	we had two competing anchor-based methods, the mean change = 25.8 and the ROC = 15.8 .
205	Based on Turner et al, ²⁰ recommendations, the MCID = 25.8 , was selected since it was just right
206	over the $MDC_{90} = 25.28$ points.
207	Non-surgical lateral epicondylitis triangulation

208	We took into account the following data (a) an $MDC_{90} = 22.49$ points for the QDASH,
209	(b) a mean change for small improvement of 15.3 points for the QDASH, and (c) an ROC cutoff
210	score that best identified meaningful improvement in clinical status of 15.8 points (sensitivity
211	65%, specificity 59%, correctly classified 63%) for the QDASH. Analyzing the overall results
212	our two anchor-based methods yielded similar results, the mean change = 15.3 and the ROC =
213	15.8. However, both values were lower than the MDC_{90} of 22.49 points. Therefore, we selected a
214	MCID = 15.8 points from the AUC since it was the closest to the MDC_{90} .
215	Carpal tunnel release triangulation
216	We took into account the following data: (a) an MDC ₉₀ of 27.63 points for the QDASH,
217	(b) a mean change for small improvement of 18.7 points for the QDASH, and (c) an ROC cutoff
218	score that best identified meaningful improvement in clinical status of 13.3 points (sensitivity
219	76%, specificity 50%, correctly classified 69%) for the QDASH. Analyzing the overall results
220	we had competing values of mean change = 18.7 , and an ROC = 13.3 points. However, again
221	both values were lower than the MDC ₉₀ of 27.63 points. Therefore, we selected a MCID = 18.7
222	points from the mean change approach, since it was the closest to the MDC_{90} .
223	DISCUSSION
224	In this era of evidence-based medicine, patients, clinicians and third-party payers demand
225	to know the effectiveness of therapeutic interventions. This study contributes to the body of
226	knowledge on the psychometric properties of the QDASH by examining the MCID for three
227	distal upper extremity conditions: surgical distal radius fracture, non-surgical lateral
228	epicondylitis, and carpal tunnel release.

In order to assess reliability, the fourth visit of the QDASH was compared to the initial visit scores, as they were the earliest available repeated QDASH scores. The average time from

231	the initial to fourth QDASH visit were 9 \pm 3 days for surgical distal radius fracture, 10 \pm 6 days
232	for non-surgical lateral epicondylitis, and 11 ± 7 days for the carpal tunnel release. The test-retest
233	reliability for all three diagnoses ranged from 0.69 to 0.71, indicating moderate agreement.
234	Mintken et al., found a higher reliability of 0.90 examining a cohort of shoulder patients. ⁹
235	Although, in our study the average length of days between tests was 10 days, which may have
236	contributed to recall bias. In Mintken et al's., study the average length of follow-up time was
237	even larger at 27 days.
238	This study used anchor-based and distribution-based methods to triangulate and assess
239	the MCID for the QDASH on three diagnoses: surgical distal radius fracture, non-surgical lateral
240	epicondylitis, and carpal tunnel release. During the triangulation of our results we considered
241	that the MCID should be based primarily on anchor-based procedures, and in the first instance on
242	the ROC curve, ^{11,21,29} and if possible, to be higher than the MDC value. ³⁰
243	Regarding the distribution-based approach, in our sample the MDC ₉₀ for all three
244	diagnoses was larger than the ROC calculated values. This is not uncommon ^{9,31} as distributional
245	approaches are complicated by competing suggestions for the "beyond error" thresholds (e.g., 1,
246	1.96, or 2.77 SEM). ^{32,33} Some authors have recommended a more reliable method to estimate the
247	MDC is to calculate 0.5 of the SD or 1 SEM. ²⁰ Applying this method, all our MDC ₉₀ 's would fall
248	below the ROC calculated values. For the three diagnoses, the MDC ₉₀ values obtained were
249	above 20 points, and were larger than what is commonly reported in the literature. One reason
250	may be due to the retrospective nature of the data as higher quality control could have been
251	provided in a prospective study design. Nevertheless, one strength of this study was that all data
252	were collected on patients being treated in the course of normal hand therapy. The retrospective
253	nature is a limitation, but it is more indicative of a real and typical clinical result as this is exactly

254 what it is. Patients may or may not participate in a study due to time limitation. However, these 255 data were collected as a standard operation procedure and were extracted after the fact. This data 256 has strong external validity due to the manner in which it was originally collected.

257 The MCID measures important change because it uses a patient generated anchor for comparison. In contrast, the MDC measures statistical distribution of margins of error.²⁰ 258

259

Following Turner et al's recommendation, the MDC₉₀ was regarded as a benchmark to establish margins of error for the MCID, and in our sample it represented the higher bound.^{11,20} Regarding 260 261 the anchor-based method, the first concern about the appropriateness of the cutoff values is the 262 selection of the anchor. We used a 15-point anchor (-7 = a very great deal worse, 0 = same, +7 =263 a very great deal better) and considered patients +4 to +7 as significantly improved and others as not significantly improved, to utilize the entire cohort.²⁸ There is no agreement in the literature 264 265 on what type of GROC's to use, which groups to include in the analysis, or the level at which to dichotomize.^{11,28} Furthermore, different standards have been used to determine and select the 266 cutoff values for the QDASH.^{2,9-11} In addition, it is difficult to make any direct comparisons to 267 268 MCID's due to the methods employed including the choice of anchor, decision rules and types of 269 calculation procedures.^{11,20} In our sample, we found the ROC yielded values that were smaller 270 than the mean change approach within each category of small, moderate, and large changes, with 271 one exception (small changes for non-surgical lateral epicondylitis) which is similar to the MCID

review findings by Turner et al.²⁰ See Table 3. 272

273 We found the ROC values to fall within previously established MCID estimates for the QDASH ranging from 8 to 20 points.¹¹ In particular, two of our ROC values of 15.8 points for 274 275 the surgical distal radius fracture and non-surgical lateral epicondylitis were similar to recent estimates by the Franchignoni group at 15.91 points.¹¹ However, based on the recommended 276

277	methods of triangulation in the literature, the ROC value was only selected for non-surgical
278	lateral epicondylitis. After triangulation, only one of our MCID values (post-surgical distal
279	radius fracture, 25.8 points) fell outside the upper limit of 20 points reported in the literature.
280	Overall, one benefit of this sample is that it is one of the largest groups of patients to examine the
281	responsiveness of the QDASH.
282	In a recent review measuring clinical outcomes for distal radius fractures, pain and
283	function were regarded as the primary domains out of seven core areas of recommendations. ³⁴
284	Considering this, in our study one explanation for a larger MCID for the two post-surgical
285	diagnoses, may be the perceived initial pain and edema restrictions from the surgical
286	intervention. Patients can be limited by the anticipation of pain and expectations of decreased
287	function following surgery. ³⁵ Therefore, patients may perceive the need to regain greater ROM
288	and decrease pain before they can report a minimal improvement in their status. This reasoning is
289	supported by another study that examined patient satisfaction with outcomes after surgical distal
290	radius fractures. ³⁶ That study concluded patients need to regain greater wrist range of motion
291	than what is necessary to perform activities of daily living, to be satisfied with treatment
292	outcomes. ³⁶
293	Limitations
294	Patient baseline status and patient demographics can significantly affect MCID scores. ³⁷
295	In our study there were significant baseline QDASH differences for surgical distal radius
296	fracture, $P = .006$; and gender for carpal tunnel release, $P = .04$. Therefore, the MCID should be

- 297 interpreted with caution. It is important to note the MCID will fluctuate based on what is
- important to the patient, as it is not a fixed value,³⁷ and will vary based on the method chosen to
- determine the MCID, as well as the type of population.²⁹ For this reason, the results of this study

- 300 can only be generalized to those groups of patients and individuals with similar characteristics to
- 301 this sample.³¹ In addition, the use of the GROC may have introduced recall bias and the use of a
- 302 retrospective sample, without pre-existing controls, may explain the large MDC₉₀ obtained for
- 303 each diagnosis as above indicated.

304 CONCLUSION

- 305 This study proposes the specific MCID values for the surgical distal radius fracture, non-
- 306 surgical lateral epicondylitis, and carpal tunnel release diagnoses, based on a comprehensive
- 307 triangulation of anchor-based and distribution-based approaches.¹¹ Based on triangulation
- 308 rules,^{1,16,20,21} we selected MCID values of 25.8 points for surgical distal radius fracture, 15.8
- 309 points for non-surgical lateral epicondylitis, and 18.7 points for carpal tunnel release. The
- 310 respective MDC₉₀ values can serve as margins of error²⁰ for surgical distal radius fracture
- 311 (25.28), non-surgical lateral epicondylitis (22.49) and carpal tunnel release (27.63) points for the
- 312 QDASH. We agree with other studies noting a need of the standardization of the MCID
- 313 methodology.^{11,20,29}

314 Clinical Implications

315 Clinicians can use these MCID scores for the surgical distal radius fracture, non-surgical 316 lateral epicondylitis and carpal tunnel release to understand how much change represents a 317 meaningful change to a patient with these specific diagnoses. Previously reported QDASH MCID values ranged from 8- 20 points.⁷⁻¹¹ The results from this study indicate a MCID range of 318 319 16 to 26 points represents the minimal clinical change meaningful to patients presenting with 320 three specific elbow and wrist conditions. Specifically, post-surgical distal radius fracture 321 patients may need to have a larger improvement (25.8 points) than previously reported using a 322 pool of conditions (up to 20 points). These diagnoses specific MCID's can help guide decision-

- 323 making during the course of treatment. The selected MCID's serve as a gauge on how much
- 324 change a patient may need to undergo to experience a true change during the course of treatment,
- 325 while the MDC_{90} 's serve as error margins to the MCID's.

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Table 1

Baseline Statistics for improved patients and the not improved (scores represent means and standard deviations unless otherwise indicated)

Descriptor	Surgical	Distal		Nonsurgical	Lateral		Carpal		
	Radius	Fracture		Epicondylitis			Tunnel	Release	
	IP $(n = 114)$	NP (n = 37)	Р	IP (n = 69)	NP $(n = 68)$	P	IP $(n = 84)$	NP (n = 34)	P
Age	56(14.1)	52(12.6)	.16 ^a	47(9.2)	46(8.0)	.47 ^c	53(12.0)	53(11.9)	.93°
Gender, (% male)	31(27%)	9(24%)	.73 ^b	35(51%)	31(46%)	.55 ^b	23(27%)	16(47%)	.04 ^b
Initial QDASH	60(19.8)	70(22.3)	.006 ^c	39(17.8)	43(19.8)	.23 ^c	56(23.6)	55(22.7)	.93 ^c
Duration of treatment									
(days of care)	35(12.3)	35(13.4)	.73 ^a	41(12.6)	38(10.1)	.22 ^a	26(10.0)	26(10.1)	.77 ^a

IP: Improved Patients; NP: Not-improved Patients; P: Significance

a: Wilcoxon (Mann Whitney-U); b: Chi-square tests; c: t-test

QDASH: The Quick Disabilities of The Arm Shoulder and Hand

Table 2

Scores of the QDASH and GROC

Descriptor	Surgical Distal	Nonsurgical	Surgical Carpal	
	Radius Fracture	Lateral Epicondylitis	Tunnel Syndrome	
Initial QDASH	63 ± 20.7	41 ± 18.8	56 ± 23.3	
Last visit QDASH	29 ± 20.5	24 ± 15.6	30 ± 17.6	
Last visit GROC	3.4 ± 2.0	3.4 ± 2.1	4.8 ± 1.7	
Cutoff treatment sessions	12	12	8	
Duration of treatment, d*	$35 \pm 13(21-97)$	39 ± 11(24-92)	$25 \pm 9(14-56)$	

d*: Days of care, values are mean \pm *SD* (range).

QDASH: The Quick Disabilities of The Arm Shoulder and Hand.

GROC: Global Rate of Change Scale.

Table 3 Mean score changes for the QDASH questionnaire according to each GROC scale grade

	Nonsurgical		Surgical Carpal				
	Lateral Epicondylitis	Tunnel Syndrome					
QDASH	n(%)	QDASH	n(%)	QDASH			
9.7	11(8%)	2.6	7(6%)	15.9			
25.8	57(42%)	15.3	27(23%)	18.7			
29.6	52(38%)	17.6	57(48%)	26.6			
44.3	17(12%)	33.5	27(23%)	34.3			
es of The Arr Scale.	n Shoulder and Hand.	A					
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CER *							
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	QDASH 9.7 25.8 29.6 44.3 2s of The Arr Scale.	Lateral Epicondylitis QDASH n(%) 9.7 11(8%) 25.8 57(42%) 29.6 52(38%) 44.3 17(12%) es of The Arm Shoulder and Hand. Scale.	QDASH n(%) QDASH 9.7 11(8%) 2.6 25.8 57(42%) 15.3 29.6 52(38%) 17.6 44.3 17(12%) 33.5 2s of The Arm Shoulder and Hand. Scale.	Lateral Epicondylitis Tunnel Syndrome QDASH n(%) QDASH n(%) 9.7 11(8%) 2.6 7(6%) 25.8 57(42%) 15.3 27(23%) 29.6 52(38%) 17.6 57(48%) 44.3 17(12%) 33.5 27(23%) es of The Arm Shoulder and Hand. Scale. Scale.			

Figure 1 Flow of charts meeting inclusion criteria



Inclusion criteria: have values for QDASH initial, visits 4,8 and 12, and GROC visit 12. *= last visit for QDASH and GROC is visit 8 instead of 12.



Figure 2 QDASH Area Under The Curve (AUC) for surgical distal radius fracture.





Figure 3 QDASH Area Under The Curve (AUC) for nonsurgical lateral epicondylitis.





Figure 4 QDASH Area Under The Curve (AUC) for surgical carpal tunnel syndrome.

Highlights

- 406 participants, three diagnoses were assessed using triangulation methods
- For surgical distal radius fracture the MCID=25.8, MDC₉₀=25.28, and AUC=.66
- For non-surgical lateral epicondylitis the MCID=15.8, MDC₉₀=22.49, and AUC=.64
- For carpal tunnel release the MCID=18.7, MDC₉₀=27.63, and AUC=.66