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Characteristics of Clinical Shoulder Research Over the Last Decade: A Review of Shoulder Articles in The Journal of Bone & Joint Surgery from 2004 to 2014

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ORTHOPAEDIC FORUM

Characteristics of Clinical Shoulder Research Over the Last Decade: A Review of Shoulder Articles in The Journal of Bone & Joint Surgery from 2004 to 2014

Gary M. Gartsman, MD, Brent J. Morris, MD, R. Zackary Unger, MD, Mitzi S. Laughlin, PhD, Hussein A. Elkousy, MD, and T. Bradley Edwards, MD

Background: The purpose of this study was to determine characteristics and trends in published shoulder research over the last decade in a leading orthopaedic journal.

Methods: We examined all clinical shoulder articles published in *The Journal of Bone & Joint Surgery* from 2004 to 2014. The number of citations, authorship, academic degrees of the authors, country and institution of origin, topic, level of evidence, positive or nonpositive outcome, and inclusion of validated patient-reported outcome measures were assessed for each article.

Results: Shoulder articles that included an author with an advanced research degree (MD [Doctor of Medicine] with a PhD [Doctor of Philosophy] or other advanced degree) increased during the study period (p = 0.047). Level-I, II, and III studies were more likely to have an author with an advanced research degree, and Level-IV studies were more likely to have MDs only (p = 0.03). Overall, there was great variability of outcome measures, with at least thirty-nine different validated or nonvalidated outcome measures reported.

Conclusions: Over the last decade, there was an improvement in the level of evidence of shoulder articles published in *The Journal of Bone & Joint Surgery* that corresponds with recent emphasis on evidence-based medicine. A consensus is needed in shoulder research for more consistent application of validated patient-reported outcome measurement tools.

Peer Review: This article was reviewed by the Editor-in-Chief and one Deputy Editor, and it underwent blinded review by two or more outside experts. The Deputy Editor reviewed each revision of the article, and it underwent a final review by the Editor-in-Chief prior to publication. Final corrections and clarifications occurred during one or more exchanges between the author(s) and copyeditors.

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The Journal of Bone & Joint Surgery · jbjs.org Volume 97-A · Number 5 · March 4, 2015 Characteristics of Clinical Shoulder Research in JBJS Over the Past Decade

There have been several trends in orthopaedic research publications. Authorship proliferation in publications has occurred with more authors per article along with an increase in the proportion of nonclinician scientists^{1,2}. The level of evidence has also improved^{3,4}. Geographic diversity has increased, with more publications from countries outside of the United States^{2,4} and more multinational collaboration². There has also been concern that publication bias exists in orthopaedic journals

toward positive-outcome studies^{5,6}. To our knowledge, no information has assessed whether these trends apply to shoulder research, and a critical assessment of the characteristics and trends of clinical shoulder research has not been published.

Materials and Methods

We completed a PubMed search (http://www.ncbi.nlm.nih.gov/pubmed) of all The Journal of Bone & Joint Surgery (JBJS) publications over a ten-year period,

Year	Article	No. of Citations	Overall JBJS Citation Ranking	First Author	Last Author	Country of Origin
2004	The Outcome and Repair Integrity of Completely Arthroscopically Repaired Large and Massive Rotator Cuff Tears ¹¹	495 (45/yr)	3	Galatz, Leesa	Yamaguchi, Ken	United States
2005	Arthroscopic Repair of Full-Thickness Tears of the Supraspinatus: Does the Tendon Really Heal? ¹⁷	308 (30.8/yr)	9	Boileau, Pascal	Krishnan, Sumant	France
2005	Treatment of Painful Pseudoparesis Due to Irreparable Rotator Cuff Dysfunction with the Delta III Reverse-Ball-and-Socket Total Shoulder Prosthesis ¹⁸	214 (21.4/yr)	14	Werner, Clement	Gerber, Christian	Switzerland
2004	Detection and Quantification of Rotator Cuff Tears: Comparison of Ultrasonographic, Magnetic Resonance Imaging, and Arthroscopic Findings in Seventy- one Consecutive Cases ¹⁹	197 (17.9/yr)	21	Teefey, Sharlene	Yamaguchi, Ken	United State
2006	Risk Factors for Recurrence of Shoulder Instability After Arthroscopic Bankart Repair ²⁰	180 (20/yr)	26	Boileau, Pascal	Neyton, Lionel	France
2005	The Reverse Shoulder Prosthesis for Glenohumeral Arthritis Associated with Severe Rotator Cuff Deficiency: A Minimum Two- Year Follow-up Study of Sixty Patients ²¹	169 (16.9/yr)	28 (tie)	Frankle, Mark	Vasey, Matthew	United State
2006	The Demographic and Morphological Features of Rotator Cuff Disease: A Comparison of Asymptomatic and Symptomatic Shoulders ²²	158 (17.6/yr)	36 (tie)	Yamaguchi, Ken	Teefey, Sharlene	United State
2007	Repair Integrity and Functional Outcome After Arthroscopic Double-Row Rotator Cuff Repair: A Prospective Outcome Study ²³	158 (19.8/yr)	36 (tie)	Sugaya, Hiroyuki	Moriishi, Joji	Japan
2007	Reverse Total Shoulder Arthroplasty: A Review of Results According to Etiology ²⁴	151 (18.9/yr)	42 (tie)	Wall, Bryan	Walch, Gilles	France
2006	Reverse Total Shoulder Arthroplasty: Survivorship Analysis of Eighty Replacements Followed for Five to Ten Years ²⁵	151 (16.8/yr)	42 (tie)	Guery, Jacques	Walch, Gilles	France

CHARACTERISTICS OF CLINICAL SHOULDER RESEARCH IN JBJS OVER THE PAST DECADE

Year	Article	No. of Citations	First Author	Last Author	Country of Origin
2004	The Outcome and Repair Integrity of Completely Arthroscopically Repaired Large and Massive Rotator Cuff Tears ¹¹	786 (71.5/yr)	Galatz, Leesa	Yamaguchi, Ken	United States
2005	Arthroscopic Repair of Full-Thickness Tears of the Supraspinatus: Does the Tendon Really Heal? ¹⁷	477 (47.7/yr)	Boileau, Pascal	Krishnan, Sumant	France
2005	Treatment of Painful Pseudoparesis Due to Irreparable Rotator Cuff Dysfunction with the Delta III Reverse-Ball-and-Socket Total Shoulder Prosthesis ¹⁸	408 (40.8/yr)	Werner, Clement	Gerber, Christian	Switzerland
2005	The Reverse Shoulder Prosthesis for Glenohumeral Arthritis Associated with Severe Rotator Cuff Deficiency: A Minimum Two-Year Follow-up Study of Sixty Patients ²¹	362 (36.2/yr)	Frankle, Mark	Vasey, Matthew	United States
2004	Detection and Quantification of Rotator Cuff Tears: Comparison of Ultrasono- graphic, Magnetic Resonance Imaging, and Arthroscopic Findings in Seventy-one Consecutive Cases ¹⁹	314 (28.5/yr)	Teefey, Sharlene	Yamaguchi, Ken	United States
2007	Repair Integrity and Functional Outcome After Arthroscopic Double-Row Rotator Cuff Repair: A Prospective Outcome Study ²³	304 (38.0/yr)	Sugaya, Hiroyuki	Moriishi, Joji	Japan
2006	Latissimus Dorsi Transfer for the Treatment of Irreparable Rotator Cuff Tears ²⁶	303 (33.7/yr)	Gerber, Christian	Espinosa, Norman	Switzerland
2006	Risk Factors for Recurrence of Shoulder Instability After Arthroscopic Bankart Repair ²⁰	294 (32.7/yr)	Boileau, Pascal	Neyton, Lionel	France
2006	Reverse Total Shoulder Arthroplasty: Survivorship Analysis of Eighty Replacements Followed for Five to Ten Years ²⁵	277 (30.8/yr)	Guery, Jacques	Walch, Gilles	France
2007	Reverse Total Shoulder Arthroplasty: A Review of Results According to Etiology ²⁴	276 (34.5/yr)	Wall, Bryan	Walch, Gilles	France

between January 1, 2004, and December 31, 2013, using the key word shoulder. The PubMed search (completed on January 6, 2014) yielded 575 articles. We completed a separate search on the JBJS web site (http://jbjs.org) (January 6, 2014) under the "Browse by" feature using Shoulder, which yielded 546 articles. A single reviewer (B.J.M.) excluded duplicates and screened all titles and abstracts to determine eligibility. All scientific articles and Evidence-Based Orthopaedics articles were evaluated for inclusion. Exclusion criteria included cadaveric and computer-modeling studies, basic science articles (in vitro studies and nonhuman studies), pediatric studies (studies focused on patients younger than eighteen years of age), articles on clavicle and humeral shaft fractures, and articles from selected Instructional Course Lectures, Case Reports, Current Concepts Reviews, The Orthopaedic Forums, Topics in Training, Specialty Updates, Commentary and Perspectives, Editorials, Ethics in Practice, Surgical Techniques, JBJS Classics, Expressions of Concern, and Book Reviews. A total of 347 articles were excluded, leaving 228 clinical shoulder articles of the 575 articles that met the inclusion criteria. Among the 347 excluded articles were Current Concepts Reviews, Instructional Course Lectures, and reviews (sixty-one articles); articles on cadavers and computer modeling and simulation (forty-six articles); commentaries and author replies (forty-six articles); case reports (thirty-three articles); basic science articles (nine articles); articles on the clavicle, elbow, forearm, wrist, hand, spine, and lower extremity (ninety-nine articles); articles on surgical techniques (thirty articles); and other articles (twenty-three articles).

The articles were assessed by title, year of publication, number of citations (as determined by Thomson Reuters Web of Science and Google Scholar), authorship, academic degrees of the authors, country and institution of origin (including academic or private institution), shoulder topic, level of evidence, positive or nonpositive outcome, and inclusion of patient-reported outcome measurement tools. Two authors (B.J.M. and R.Z.U.) reviewed all 228 eligible articles, and the senior author (G.M.G.) served as a third reviewer and referee for any disagreements.

Determination of the number of citations per article was completed with use of Thomson Reuters Web of Science, a proprietary product⁷. We also determined the number of citations per article with use of Google Scholar (http://scholar.google.com) for comparison. The number of citations was only determined for articles from 2004 through 2011. Articles from 2012 and 2013 were excluded from citation calculations because of the low likelihood of being cited in such a short time period given the publication lag.

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VOLUME 97-A · NUMBER 5 · MARCH 4, 2015

CHARACTERISTICS OF CLINICAL SHOULDER RESEARCH IN JBJS OVER THE PAST DECADE

	en (Including Ties) Most-P rs and Countries of Origin	ublished First
No. of Publications	First Author	Country of Origin
Seven	Robinson, C. Michael	United Kingdom
Four	Boileau, Pascal	France
Four	Gerber, Christian	Switzerland
Four	Namdari, Surena	United States
Four	Wirth, Michael	United States
Three	Cuff, Derek J.	United States
Three	Henn III, R. Frank	United States
Three	lannotti, Joseph	United States
Three	Kim, Kyung Cheon	South Korea
Three	Kim, H. Mike	United States
Three	Scalise, Jason J.	United States
Three	Tashjian, Robert	United States

en e	n (Including Ties) Most-Pub ountry of Origin	lished Last Author
No. of Publications	Last Author	Country of Origin
Eleven	Matsen III, Frederick A.	United States
Ten	Gerber, Christian	Switzerland
Nine	Cofield, Robert	United States
Nine	Yamaguchi, Ken	United States
Seven	Iannotti, Joseph	United States
Six	Frankle, Mark	United States
Six	Warner, Jon J.P.	United States
Five	Green, Andrew	United States
Five	Walch, Gilles	France
Four	McFarland, Edward G.	United States
Four	Murrell, George A.C.	Australia

We determined each author's educational background with use of previously described methods². All degree classifications were converted to American degree equivalents, and Doctor of Osteopathy (DO) degrees were treated as MD (Doctor of Medicine) degrees². Each author was categorized as a clinician only (MD without an advanced research degree), a clinician scientist (MD with an advanced degree such as an MPH [Master of Public Health], MSci [Master of Science], PhD [Doctor of Philosophy], or MBA [Master of Business Administration]), a nonclinician scientist (PhD without an MD or DO), or others.

The level of evidence for each article was determined by JBJS at the time of publication. The level of evidence has been included for JBJS articles since 2003⁸. The evaluation of positive or nonpositive conclusions was based on previously described methods⁹. A positive study was one with a conclusion that favored the experimental method in the study over the standard of care. A negative study was one with a conclusion that favored against the experimental method compared with the standard of care. A neutral study made no judgment, either positive or negative, or found no difference between the experi-

mental method and the standard of care. Negative and neutral findings were considered together for calculations and were referred to as nonpositive.

Patient-reported outcome measurement tools were assessed for studies evaluating clinical outcomes. Radiographic studies and short-term diagnostic studies were not considered eligible for evaluation of patient-reported outcomes. We identified 167 of 228 articles that were eligible for inclusion of patient-reported outcomes. The determination of validated or nonvalidated outcome measures was determined by prior publications that were completed to determine validity of the scoring system ¹⁰.

Statistical Analysis

All analyses were based on yearly totals for number of authors, academic degrees of the authors, level of evidence, and positive results reporting bias. Chi-square tests were utilized to determine whether count data differed between publication years. The significance level was set a priori at p < 0.05.

Source of Funding

There was no external funding source for this investigation.

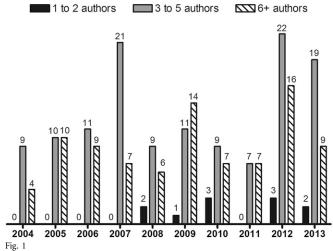
Results

Most-Cited Articles

A total of 228 eligible shoulder articles were identified among 575 articles. The top ten most-cited articles in the last decade according to Web of Science were highlighted (Table I). The most-cited article, by Galatz et al.¹¹, "The Outcome and Repair Integrity of Completely Arthroscopically Repaired Large and Massive Rotator Cuff Tears," was cited 495 times according to Web of Science (forty-five citations per year) and was the third most-cited study among all JBJS articles in the last decade.

The top-ten list for most-cited articles according to Web of Science was compared with the top-ten list for citations according to Google Scholar (Table II). The top-ten lists matched on nine of the top ten articles. The average number of citations for all articles published from 2004 through 2011 was 40.2 per article according to Web of Science compared with 78.3 per article according to Google Scholar.

Manuscripts by Number of Authors per Year



Manuscripts by number of authors per year.

THE JOURNAL OF BONE & JOINT SURGERY · JBJS.ORG
VOLUME 97-A · NUMBER 5 · MARCH 4, 2015

CHARACTERISTICS OF CLINICAL SHOULDER RESEARCH IN JBJS OVER THE PAST DECADE

•	n Most-Published Combined and Country of Origin	l First and Last
No. of Publications	Author	Country of Origin
Fourteen	Gerber, Christian	Switzerland
Twelve	Matsen III, Frederick A.	United States
Ten	Iannotti, Joseph	United States
Ten	Yamaguchi, Ken	United States
Nine	Cofield, Robert	United States
Eight	Robinson, C. Michael	United Kingdom
Seven	Frankle, Mark	United States
Six	Walch, Gilles	France
Six	Warner, Jon J.P.	United States
Six	Wirth, Michael	United States

TABLE VI The Most Common Shoulder To Decade	opics in the Last
Shoulder Topic	No. of Articles* (N = 228)
Rotator cuff	64 (28.1)
Total shoulder arthroplasty	43 (18.9)
Other	37 (16.2)
Instability	31 (13.6)
Reverse shoulder arthroplasty	20 (8.8)
Fracture	16 (7.0)
Hemiarthroplasty	12 (5.3)
Superior labral tear from anterior to posterior (SLAP) or labrum	5 (2.2)

^{*}The values are given as the number of articles, with the percentage in parentheses.

Authorship

The average number of authors per article over the last decade was 5.11. Orthopaedic literature has shown a trend toward an increase in the number of authors per article in the last sixty years², but this trend was not significant over the last decade for JBJS shoulder articles (p = 0.218). The average number of authors per article was lowest in 2007, at 4.68 authors, and highest in 2011, at 5.57 authors, but this was not significant. There were only eleven articles during the decade with one or two authors (Fig. 1).

We identified the first and last author from eligible clinical articles and compiled a list of all first authors, all last authors, and a combination of both first authors and last authors. We provided a top-ten list for each category (Tables III, IV, and V). Prior studies have only determined authorship on the basis of the first author only; however, this likely underreports the impact of senior authors, who are often listed as the last author^{7,12}.

Academic Degrees of Authors and Level of Evidence

The academic degrees of the authors indicated a trend toward more nonclinician scientists and MDs with additional degrees. From 2004 to 2013, shoulder articles that included an author with an advanced research degree (MD with a PhD or other advanced degree) increased (p = 0.047) over articles that included only clinical MDs; in the thirteen articles in 2004, there were six articles (46%) that included an author with an advanced research degree and seven articles (54%) that included only clinical MDs, but in the thirty articles in 2013, there were eighteen articles (60%) that included an author with an advanced research degree and twelve articles (40%) that included only clinical MDs.

Of the 228 studies, over the study period, the percentage of Level-I studies was 18.0% (forty-one studies), with a slight increase in Level-II studies at 18.9% (forty-three) and Level-III studies at 9.2% (twenty-one) and a decrease in Level-IV studies at 53.9% (123). These trends had large year-to-year variations, making the overall changes nonsignificant. Level-I, II, and III studies were more likely to have an author with an advanced research degree (MD with a PhD or other advanced degree) than were Level-IV studies, which were more likely to have authors with MDs only (p = 0.03) (Fig. 2). Overall, the authors had an MD only (74.1%), an MD with additional degrees (8.7%), a PhD (6.0%), or other degrees (11.2%).

Country and Institution of Origin

Of the 228 eligible articles, the country of origin for the articles was most commonly the United States, at 57.5% (131 articles). European countries were the second most common countries of origin, publishing articles at 28.1% (sixty-four articles), with France contributing the largest share, at 8.3% (nineteen articles). Academic departments accounted for the majority of published articles, at 75% (171 articles).

Topics

The most common topic was rotator cuff, at 28.1% (sixty-four articles), and the second most common topic was total shoulder arthroplasty, at 18.9% (forty-three articles) (Table VI).

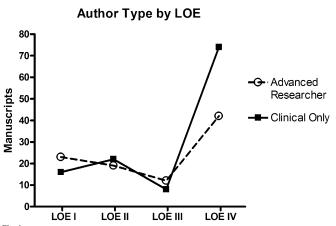


Fig. 2
Author type by level of evidence (LOE). "Advanced researcher" indicates an MD with a PhD or other advanced degree. "Clinical only" indicates an MD only.

THE JOURNAL OF BONE & JOINT SURGERY JBJS.ORG
VOLUME 97-A · NUMBER 5 · MARCH 4, 2015

CHARACTERISTICS OF CLINICAL SHOULDER RESEARCH IN JBJS OVER THE PAST DECADE

Subjective shoulder value Disabilities of the Arm, Shoulder and Hand (DASH) Outcome Measure Modified Neer score Penn Shoulder Score Penn Shoulder Score Shoulder Rating Questionnaire Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Shoulder Score 3 (1.8) Validated	Outcome Measurement	No. of Articles* (N = 167)	Measure Validation
Simple shoulder test Visual analog scale for pain Short Form-36 (SF-36) Health Survey University of California Los Angeles (UCLA) Shoulder Score 17 (10.2) Validated University of California Los Angeles (UCLA) Shoulder Score 17 (10.2) Not validated University of California Los Angeles (UCLA) Shoulder Score 17 (10.2) Not validated University of California Los Angeles (UCLA) Shoulder Score 16 (9.6) Validated University of California Los Angeles (UCLA) Shoulder Score 17 (9.0) Validated University of California Los Angeles (UCLA) Shoulder Score 18 (9.6) Validated University of California Los Angeles (UCLA) Shoulder Score 9 (5.4) Validated Woulder Score 9 (5.4) Validated Rowe score 9 (5.4) Validated Validated Shoulder Rating Questionnaire 4 (2.4) Validated Western Ontario Shoulder Instability Index 4 (2.4) Validated Western Ontario Osteoarthritis of the Shoulder Index 3 (1.8) Validated Western Ontario Rotator Cuff Index Pain level Short Form-12 (SF-12) Health Survey 3 (1.8) Validated Oxford Shoulder Score 2 (1.2) Validated Shoulder Pain and Disability Index Validated	Constant score	60 (35.9)	Validated
Visual analog scale for pain Short Form-36 (SF-36) Health Survey University of California Los Angeles (UCLA) Shoulder Score 17 (10.2) Not validated Subjective shoulder value Disabilities of the Arm, Shoulder and Hand (DASH) Outcome Measure Modified Neer score Rowe score 8 (4.8) Not validated Penn Shoulder Score 8 (4.8) Not validated Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Shoulder Score 2 (1.2) Validated Shoulder Pain and Disability Index Validated Shoulder Score 2 (1.2) Validated Shoulder Pain and Disability Index Validated	American Shoulder and Elbow Surgeons score	53 (31.7)	Validated
Short Form-36 (SF-36) Health Survey University of California Los Angeles (UCLA) Shoulder Score 17 (10.2) Not validated University of California Los Angeles (UCLA) Shoulder Score 16 (9.6) Validated University of California Los Angeles (UCLA) Shoulder Score 16 (9.6) Validated University of California Los Angeles (UCLA) Shoulder Score 16 (9.6) Validated University of California Los Angeles (UCLA) Shoulder Score 17 (9.0) Validated Validated Not validated Not validated Not validated Validated Validated Validated Validated Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Validated	Simple shoulder test	31 (18.6)	Validated
University of California Los Angeles (UCLA) Shoulder Score Subjective shoulder value 16 (9.6) Validated Disabilities of the Arm, Shoulder and Hand (DASH) Outcome Measure Modified Neer score 9 (5.4) Validated Rowe score 8 (4.8) Not validated Shoulder Rating Questionnaire 7 (4.2) Validated Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Shoulder Score 3 (1.8) Validated	Visual analog scale for pain	30 (18.0)	Validated
Subjective shoulder value Disabilities of the Arm, Shoulder and Hand (DASH) Outcome Measure Modified Neer score Penn Shoulder Score Penn Shoulder Score Shoulder Rating Questionnaire Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Shoulder Score 3 (1.8) Validated Short Form-12 (SF-12) Health Survey Validated	Short Form-36 (SF-36) Health Survey	17 (10.2)	Validated
Disabilities of the Arm, Shoulder and Hand (DASH) Outcome Measure Modified Neer score 8 (4.8) Not validated Rowe score 8 (4.8) Penn Shoulder Score 7 (4.2) Validated Shoulder Rating Questionnaire 4 (2.4) Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Short Form-12 (SF-12) Health Survey Oxford Shoulder Score Shoulder Pain and Disability Index Validated	University of California Los Angeles (UCLA) Shoulder Score	17 (10.2)	Not validated
Modified Neer score Rowe score 8 (4.8) Not validated Penn Shoulder Score 7 (4.2) Validated Shoulder Rating Questionnaire 4 (2.4) Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Short Form-12 (SF-12) Health Survey Oxford Shoulder Score Shoulder Pain and Disability Index Validated	Subjective shoulder value	16 (9.6)	Validated
Rowe score Rowe score 8 (4.8) Not validated 7 (4.2) Validated Shoulder Rating Questionnaire 4 (2.4) Western Ontario Shoulder Instability Index Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index 3 (1.8) Validated Pain level Short Form-12 (SF-12) Health Survey Oxford Shoulder Score Shoulder Pain and Disability Index Validated	Disabilities of the Arm, Shoulder and Hand (DASH) Outcome Measure	15 (9.0)	Validated
Penn Shoulder Score 7 (4.2) Validated Shoulder Rating Questionnaire 4 (2.4) Validated Western Ontario Shoulder Instability Index 4 (2.4) Validated Western Ontario Osteoarthritis of the Shoulder Index 3 (1.8) Validated Western Ontario Rotator Cuff Index 3 (1.8) Validated Pain level 3 (1.8) Not validated Short Form-12 (SF-12) Health Survey 3 (1.8) Validated Oxford Shoulder Score 2 (1.2) Validated Shoulder Pain and Disability Index Validated Shoulder Pain and Disability Index	Modified Neer score	9 (5.4)	Validated
Shoulder Rating Questionnaire 4 (2.4) Western Ontario Shoulder Instability Index 4 (2.4) Western Ontario Osteoarthritis of the Shoulder Index Western Ontario Rotator Cuff Index Pain level Short Form-12 (SF-12) Health Survey Oxford Shoulder Score Shoulder Pain and Disability Index Validated	Rowe score	8 (4.8)	Not validated
Western Ontario Shoulder Instability Index4 (2.4)ValidatedWestern Ontario Osteoarthritis of the Shoulder Index3 (1.8)ValidatedWestern Ontario Rotator Cuff Index3 (1.8)ValidatedPain level3 (1.8)Not validatedShort Form-12 (SF-12) Health Survey3 (1.8)ValidatedOxford Shoulder Score2 (1.2)ValidatedShoulder Pain and Disability Index2 (1.2)Validated	Penn Shoulder Score	7 (4.2)	Validated
Western Ontario Osteoarthritis of the Shoulder Index3 (1.8)ValidatedWestern Ontario Rotator Cuff Index3 (1.8)ValidatedPain level3 (1.8)Not validatedShort Form-12 (SF-12) Health Survey3 (1.8)ValidatedOxford Shoulder Score2 (1.2)ValidatedShoulder Pain and Disability Index2 (1.2)Validated	Shoulder Rating Questionnaire	4 (2.4)	Validated
Western Ontario Rotator Cuff Index Pain level Short Form-12 (SF-12) Health Survey Oxford Shoulder Score Shoulder Pain and Disability Index Validated Validated Validated Validated Validated Validated Validated	Western Ontario Shoulder Instability Index	4 (2.4)	Validated
Pain level 3 (1.8) Not validated Short Form-12 (SF-12) Health Survey 3 (1.8) Validated Oxford Shoulder Score 2 (1.2) Validated Shoulder Pain and Disability Index 2 (1.2) Validated	Western Ontario Osteoarthritis of the Shoulder Index	3 (1.8)	Validated
Short Form-12 (SF-12) Health Survey3 (1.8)ValidatedOxford Shoulder Score2 (1.2)ValidatedShoulder Pain and Disability Index2 (1.2)Validated	Western Ontario Rotator Cuff Index	3 (1.8)	Validated
Oxford Shoulder Score 2 (1.2) Validated Shoulder Pain and Disability Index 2 (1.2) Validated	Pain level	3 (1.8)	Not validated
Shoulder Pain and Disability Index 2 (1.2) Validated	Short Form-12 (SF-12) Health Survey	3 (1.8)	Validated
	Oxford Shoulder Score	2 (1.2)	Validated
M 1-1-1-1-T 0-11-1-1-1	Shoulder Pain and Disability Index	2 (1.2)	Validated
Musculoskeletal lumor society score 2 (1.2) Validated	Musculoskeletal Tumor Society score	2 (1.2)	Validated

^{*}The values are given as the number of articles that used each outcome measurement, with the percentage in parentheses. Some articles utilized more than one outcome measurement tool, so the summation of percentages is >100%.

Positive or Nonpositive Studies

The majority of the studies were nonpositive studies, at 57.0% (130 articles). There was no positive-outcome publication bias over the last decade (p = 0.170).

Patient-Reported Outcome Measurements

Overall, there was great variability of outcome measurements utilized, with at least thirty-nine different validated or non-validated outcome measurement tools reported in 167 eligible articles. Of these 167 articles, at least one validated patient-reported outcome measurement was utilized in 139 articles (83.2%), two validated patient-reported outcome measurements were used in fifty-one articles (30.5%), and three or more validated patient-reported outcome measurements were used in twenty-eight articles (16.8%). Outcome measurement tools are noted in Table VII, including the most commonly used outcome measurement tool, the Constant score, in sixty articles (35.9%).

Discussion

Trends in shoulder research published over the last decade in JBJS have indicated an improvement in the level of evidence. Articles with a higher level of evidence were more likely to have an author with an advanced research degree. There was no positive-outcome publication bias over the last decade. Finally, there was great variability in outcome measurement instrument utilization.

Level of Evidence

In 2003, JBJS began requiring authors to provide a level of evidence rating for every clinical article, and the rating is confirmed by the editors⁸. The effort was introduced to help improve quality of clinical research and to allow periodic reporting of trends⁸. Level of evidence has been shown to be an independent predictor of acceptance for publication in JBJS⁹. The percentage of Level-I studies in JBJS increased from 4% in 1975 to 21% in 2005³. Similarly, *The American Journal of Sports Medicine* had an increase in Level-I and II studies, from 9.4% in 1996 to 23.0% in 2011⁴. Trends for journals have indicated a shift toward an increase in the level of evidence, but it not clear whether this trend necessarily applies to orthopaedic subspecialties. The majority of clinical foot and ankle articles over the last decade from six orthopaedic journals were Level-IV studies (70.1%), with only 9.4% Level-I studies¹³.

Our data indicated a high percentage of Level-I shoulder studies (18.1%) over the last decade in JBJS, which is consistent

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VOLUME 97-A · NUMBER 5 · MARCH 4, 2015

CHARACTERISTICS OF CLINICAL SHOULDER RESEARCH IN JBJS OVER THE PAST DECADE

with the recent improvements seen with the number of published Level-I studies among all orthopaedic topics in JBJS as well as the recent increased emphasis on evidence-based medicine³. The percentage of Level-I shoulder studies was also higher than the percentage reported in foot and ankle articles¹³. There was a higher percentage of shoulder publications with Level-I and II evidence (37.1%) than in the recently reported data for *The American Journal of Sports Medicine* publications⁴. To our knowledge, there have been no prior orthopaedic studies showing a correlation between authors with advanced research degrees and an improvement in the level of evidence.

Authorship

Orthopaedic literature has shown a trend toward increased authorship², but our data indicated that this trend has not continued over the last decade in JBJS shoulder articles. Authorship has been labeled as the currency of academic medicine and is used as a way to improve admission to medical school and residency, to obtain research grants, and to gain promotion². Some believe that the increase in authorship, especially the addition of physicians with advanced degrees and nonphysician scientists, may indicate an improvement in the complexity of research questions and study design².

Positive or Nonpositive Outcome

There is a discrepancy regarding positive-outcome publication bias in orthopaedics. A study analyzing 1181 manuscripts submitted for publication to JBJS reported no evidence for publication bias⁹. Positive and nonpositive studies were accepted at similar rates⁹. However, a separate study found that 210 peer reviewers for JBJS and *Clinical Orthopaedics and Related Research* were more likely to recommend publication of a positive version of a fabricated manuscript over an otherwise identical nodifference version⁵. Our data did not find a positive-outcome publication bias in JBJS shoulder articles over the last decade, and the majority of the studies represented nonpositive outcomes.

Most-Cited Articles, Top Authors, and Topics

We utilized both Thomson Reuters Web of Science and Google Scholar to determine the number of citations for each article. Thomson Reuters Web of Science is a proprietary product, but Google Scholar is free. There are critiques of both search tools, with no clear better tool for determination of citations¹⁴. Web of Science has been widely utilized in the orthopaedic literature to determine the number of citations^{7,12,15,16}. Web of Science reported approximately half the number of citations per article compared with Google Scholar in our search; however, both search tools matched on nine of the top ten most-cited articles. Ultimately, both search engines only determine the number of times that an article is cited, which does not necessarily determine the true impact or merit of each individual article.

We highlighted the most-cited shoulder articles and the most-published first and last authors in the last decade in JBJS. There have been several recent publications highlighting similar compilations based on the most-cited articles across mul-

tiple orthopaedic journals for pediatrics, trauma, hand, and shoulder^{7,12,15,16}. The number of citations and the number of publications alone do not completely account for the impact or merits of individual researchers.

The most common shoulder topics in the last decade, rotator cuff and shoulder arthroplasty, were not surprising, especially with growing interest and research regarding reverse shoulder arthroplasty since its approval by the United States Food and Drug Administration (FDA) in 2003. An awareness of shoulder topics published may help to identify areas in which deficiencies exist and growth is needed.

Country of Origin

A recent study showed an increase in publications from outside of the United States in *The American Journal of Sports Medicine*, from 20.3% in 1996 to 53.0% in 2011⁴. Similarly, the number of publications from outside of the United States increased from 40.5% in 1949 to 59.6% in 2009 in JBJS².

Patient-Reported Outcome Measurements

There has been an increase in utilization of patient-reported outcome measurements in orthopaedics, but there continues to be considerable inconsistency in the application of these outcome tools¹³. A study analyzing 878 clinical foot and ankle articles from six orthopaedic journals from 2002 to 2011 showed that 139 unique clinical outcome measurements were used¹³. Our data indicated great variability in the outcome measurements utilized in shoulder articles over the last decade in JBJS. A consensus is needed in shoulder research regarding outcome measurement tool utilization.

Strengths and Limitations

It is possible that important articles were inadvertently omitted or were not identified in our original search. Our strict inclusion and exclusion criteria established a priori may have excluded important shoulder-related articles, including cadaveric, computer-modeling and simulation, and basic science studies. It is also possible that we may have missed some of the trends that occurred one to two decades prior to our study period. Another potential limitation is the classification of academic compared with private. There are private practices that participate in resident and fellowship training. For the purposes of this study, we limited the academic distinction to institutions that lead a residency training program. Furthermore, highlighting articles by the number of citations can be misleading, as authors or institutions may frequently cite their own articles, causing inflation in the number of citations. Another limitation of the study was that only JBJS articles were evaluated and the study may not reflect the overall trends in publications of shoulder research in other journals. The American Journal of Sports Medicine and Arthroscopy: The Journal of Arthroscopic and Related Surgery, among others, also publish shoulder research but do not usually include shoulder arthroplasty or fracture topics. The Journal of Shoulder and Elbow Surgery is a shoulder subspecialty journal that may also have a different focus than JBJS has.

THE JOURNAL OF BONE & JOINT SURGERY JBJS.ORG
VOLUME 97-A · NUMBER 5 · MARCH 4, 2015

CHARACTERISTICS OF CLINICAL SHOULDER RESEARCH IN JBJS OVER THE PAST DECADE

In conclusion, overall trends in clinical shoulder surgery publications over the last decade in JBJS indicate an improvement in the level of evidence corresponding to recent increased emphasis on evidence-based medicine. Articles with a higher level of evidence were more likely to have an author with an advanced research degree, reflecting the complexities of this type of research. A consensus is needed in shoulder research for more consistent application of validated patient-reported outcome measurement tools.

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