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Pierluigi Tricoci

Duke Clinical Research Institute

Denise M. D'Andrea

CSL Behring

Paul A. Gurbel

Johns Hopkins University

Zhenling Yao

CSL Behring

Marina Cuchel

University of Pennsylvania

See next page for additional authors

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Authors

Pierluigi Tricoci, Denise M. D'Andrea, Paul A. Gurbel, Zhenling Yao, Marina Cuchel, Brion Winston, Robert Schott, Robert Weiss, Michael A. Blazing, Louis Cannon, Alison L. Bailey, Dominick J. Angiolillo, Andreas Gille, Charles L. Shear, Samuel D. Wright, and John H. Alexander

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Infusion of Reconstituted High-Density Lipoprotein, CSL112, in Patients With Atherosclerosis: Safety and Pharmacokinetic Results From a Phase 2a Randomized Clinical Trial

Pierluigi Tricoci, MD, PhD; Denise M. D'Andrea, MD; Paul A. Gurbel, MD; Zhenling Yao, MD, PhD; Marina Cuchel, MD, PhD; Brion Winston, MD, MPH; Robert Schott, MD, MPH; Robert Weiss, MD; Michael A. Blazing, MD; Louis Cannon, MD; Alison Bailey, MD; Dominick J. Angiolillo, MD, PhD; Andreas Gille, MD, PhD; Charles L. Shear, DrPH; Samuel D. Wright, PhD; John H. Alexander, MD, MHS

Background—CSL112 is a new formulation of human apolipoprotein A-I (apoA-I) being developed to reduce cardiovascular events following acute coronary syndrome. This phase 2a, randomized, double-blind, multicenter, dose-ranging trial represents the first clinical investigation to assess the safety and pharmacokinetics/pharmacodynamics of a CSL112 infusion among patients with stable atherosclerotic disease.

Methods and Results—Patients were randomized to single ascending doses of CSL112 (1.7, 3.4, or 6.8 g) or placebo, administered over a 2-hour period. Primary safety assessments consisted of alanine aminotransferase or aspartate aminotransferase elevations $>3\times$ upper limits of normal and study drug-related adverse events. Pharmacokinetic/pharmacodynamic assessments included apoA-I plasma concentration and measures of the ability of serum to promote cholesterol efflux from cells *ex vivo*. Of 45 patients randomized, 7, 12, and 14 received 1.7-, 3.4-, and 6.8-g CSL112, respectively, and 11 received placebo. There were no clinically significant elevations ($>3\times$ upper limit of normal) in alanine aminotransferase or aspartate aminotransferase. Adverse events were nonserious and mild and occurred in 5 (71%), 5 (41%), and 6 (43%) patients in the CSL112 1.7-, 3.4-, and 6.8-g groups, respectively, compared with 3 (27%) placebo patients. The imbalance in adverse events was attributable to vessel puncture/infusion-site bruising. CSL112 resulted in rapid ($T_{max}\approx 2$ hours) and dose-dependent increases in apoA-I (145% increase in the 6.8-g group) and total cholesterol efflux (up to 3.1-fold higher than placebo) ($P<0.001$).

Conclusions—CSL112 infusion was well tolerated in patients with stable atherosclerotic disease. CSL112 immediately raised apoA-I levels and caused a rapid and marked increase in the capacity of serum to efflux cholesterol. This potential novel approach for the treatment of atherosclerosis warrants further investigation.

Clinical Trial Registration—URL: <http://www.ClinicalTrials.gov>. Unique identifier: NCT01499420. (*J Am Heart Assoc.* 2015;4:e002171 doi: 10.1161/JAHA.115.002171)

Key Words: apolipoprotein • atherosclerosis • clinical trial • coronary disease • plaque

Atherosclerotic coronary disease is caused by the growth and subsequent instability of cholesterol-rich plaques in the artery wall.¹ Current pharmacologic strategies to reduce recurrent events after acute coronary syndromes (ACS) have

placed emphasis on antithrombotic agents and reduction of low-density lipoprotein cholesterol (LDL-C) with statins.² Despite the use of these therapies, patients with ACS continue to experience a substantial rate of recurrent

From the Duke Clinical Research Institute, Durham, NC (P.T., M.A.B., J.H.A.); CSL Behring, King of Prussia, PA (D.M.D., Z.Y., C.L.S., S.D.W.); Sinai Center for Thrombosis Research, Sinai Hospital of Baltimore and Johns Hopkins University School of Medicine, Baltimore, MD (P.A.G.); University of Pennsylvania, Philadelphia, PA (M.C.); Black Hills Cardiovascular Research, Rapid City, SD (B.W.); diaDexus, Inc, San Francisco, CA (R.S.); Maine Research Associates, Auburn, ME (R.W.); Cardiac and Vascular Research Center of Northern Michigan, Petoskey, MI (L.C.); Gill Heart Institute, University of Kentucky, Lexington, KY (A.B.); University of Florida College of Medicine-Jacksonville, Jacksonville, FL (D.J.A.); CSL Limited, Parkville, Victoria, Australia (A.G.).

An accompanying Data S1 is available at <http://jaha.ahajournals.org/content/4/8/e002171/suppl/DC1>

Correspondence to: Pierluigi Tricoci, MD, PhD, Duke Clinical Research Institute, Box 3850, 2400 Pratt St, Durham, NC 27705. E-mail: pierluigi.tricoci@duke.edu

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ischemic complications. Moreover, strategies with increased potency of antithrombotic therapies have been limited by risk of severe bleeding.³⁻⁵

Abundant evidence documents the association of low levels of high-density lipoprotein cholesterol (HDL-C) with increased risk of atherosclerosis and suggests that elevation of HDL-C may be a novel target.⁶⁻⁸ However, recent large-scale clinical trials have failed to demonstrate a clinical benefit of HDL-C-raising therapies.⁹⁻¹¹ Nevertheless, HDL-C level itself may not be an adequate marker of antiatherosclerotic activity and may not reflect HDL function.^{12,13} Thus, increasing HDL function is now considered to be the goal of HDL-targeting therapies.

It is widely accepted that apolipoprotein A-I (apoA-I), the dominant protein of HDL, selectively promotes cholesterol efflux from arterial wall macrophages via the ABCA1 transporter (ATP-binding cassette transporter A1), and this may account for the antiatherosclerotic effect of HDL.¹³ Higher cholesterol efflux capacity has been recently shown to be independently correlated with a reduction in risk of cardiovascular events.¹⁴

Unfortunately, robust elevations of apoA-I have been difficult to achieve by pharmacotherapy. Fibrates and niacin typically achieve <10% elevation,¹⁵ while dalcetrapib and torcetrapib achieved only 10% to 25% elevation.^{10,11} Additionally, the predominant change caused by these agents is an increase in HDL particle size, and larger HDL particles do not efficiently interact with the ABCA1 transporter.¹³

An alternative approach to elevate the functional activity of plasma HDL is the direct infusion of lipid-poor apoA-I particles designed to favor interaction with the ABCA1 transporter.¹⁶ This approach may be particularly attractive for the prevention of recurrent acute ischemic events in patients with unstable disease.^{17,18} Infusion of HDL-like particles has been shown in 3 separate studies to modify plaque characterization on intravascular ultrasonography (IVUS).¹⁹⁻²¹ One of these studies used a prototype formulation termed CSL111, which was discontinued from development due to the occurrence of transient elevations of hepatic enzymes.²¹

CSL112 is a novel formulation of human apoA-I. The apoA-I is reconstituted with phosphatidylcholine to form disc-shaped HDL particles, each bearing 2 molecules of human apoA-I and ≈110 molecules of phosphatidylcholine.²² CSL112 preparations contain sucrose as a stabilizing agent. In ex vivo studies, CSL112 was an efficient acceptor of cholesterol from J774 macrophages.²² In the presence of plasma, CSL112 preferentially supported ABCA1-dependent cholesterol efflux, an activity attributed to active remodeling in plasma.²² The ability of CSL112 to promote ABCA1-dependent cholesterol efflux has recently been reported in healthy adults.²³ CSL112 has also been

shown to be safe and well tolerated in healthy adults with predictable and robust pharmacokinetic and pharmacodynamic responses.²⁴

The present phase 2a randomized clinical trial is the first experience with CSL112 in a stable patient population with atherosclerosis. The aim was to assess the safety and pharmacokinetic and pharmacodynamic effects of a single intravenous infusion of CSL112.

Methods

Objectives, Study Rationale, and Design

The main objective of the study was to assess the safety of CSL112 after a single intravenous infusion in patients with stable atherosclerotic disease who were receiving standard-of-care therapy, including aspirin and either clopidogrel or prasugrel. The primary safety evaluations were study drug-related adverse events (AEs) and liver safety. Risk of renal toxicity has been described with intravenous immunoglobulin containing high doses of intravenous sucrose, and we assessed renal function following infusion of low-sucrose-containing preparations of CSL112.²⁵ Finally, the trial further characterized the pharmacokinetics and pharmacodynamics of CSL112.

The current study was a phase 2a, randomized, multicenter, parallel-group, double-blind, placebo-controlled, single-infusion, ascending-dose study (ClinicalTrials.gov identifier NCT01499420) conducted at 11 centers in the United States. It targeted 40 patients for randomization into 3 ascending-dose groups: 1.7 g (n=8), 3.4 g (n=16), and 6.8 g (n=16) (Figure 1). Within each dose group, patients were randomized 3:1 to receive a single infusion of CSL112 or placebo. Randomization was stratified by renal function: normal renal

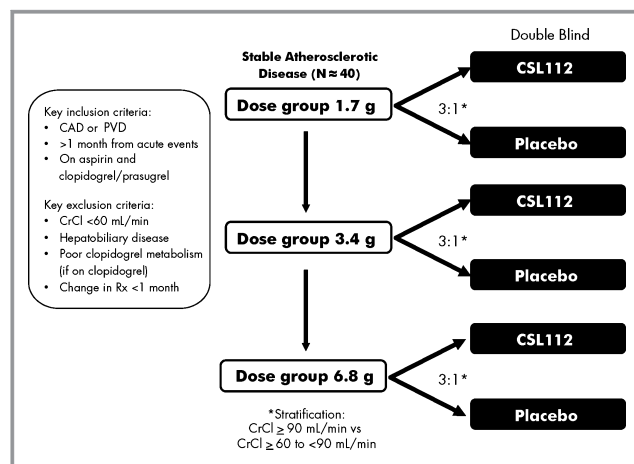


Figure 1. Trial design. CAD indicates coronary artery disease; CrCl, creatinine clearance; PVD, peripheral vascular disease; Rx, prescription.

function (creatinine clearance ≥ 90 mL/min) or mild renal insufficiency (creatinine clearance ≥ 60 to < 90 mL/min), with at least 50% of patients in each group having mild renal insufficiency.

The study consisted of 3 periods: the screening period, the active study period, and the follow-up period (Figure 2). Patients were screened between 3 and 50 days before randomization and infusion. Eligible patients provided written informed consent and were admitted to the study unit 2 days before drug administration (day -2). Eligibility was reassessed and local laboratory measurements were repeated before randomization. Once eligibility was confirmed, patients began the active treatment period. On day 1, patients received a 2-hour infusion of the allocated study drug via an indwelling catheter through a peripheral vein. Patients remained in the study unit until at least day 3 (ie, ≈ 48 hours after study drug administration) to perform additional safety and laboratory assessments. After discharge, patients returned for safety and laboratory assessments at days 3, 4, 5, 6, 7, and 9. An additional clinical follow-up for AE assessment was performed at day 14, which completed the active study period. A standard plasma-based product serology follow-up visit included nucleic acid testing for a virus panel and was performed 90 days after administration of study product.

Standard medium-fat ($\approx 30\%$ fat) meals (typical of a Western diet; at ≈ 2020 calories [8457 kJ] per day) were served, according to the clinic’s schedule, to maintain body weight while the subjects were in the study unit. During nonfasting periods, fluids were allowed ad libitum. To reduce variability in pharmacokinetic and pharmacodynamic assessments, patients were required to fast overnight starting 8 hours before study drug administration and ending not before 8 hours after the end of the infusion. There was also an 8-hour fasting period before pharmacokinetic assessments on other days. Alcohol was prohibited from 48 hours before randomization until day 9. Smoking was prohibited from 48 hours before randomization until discharge from the study unit.

The study was approved by institutional review boards governing participating study sites.

CSL112

CSL112 is an investigational, reconstituted HDL product containing apoA-I purified from human plasma and formulated with phosphatidylcholine.²² Lyophilized CSL112 was reconstituted with sterile water for injection and was dosed based on total protein content. The placebo consisted of 0.9% sodium chloride solution for intravenous injection. Both CSL112 and placebo were administered as an intravenous 2-hour infusion.

Patients

A detailed list of inclusion and exclusion criteria is provided in Data S1. Briefly, the study included male and female patients, aged 18 to 80 years, with a history of atherosclerotic coronary artery disease or peripheral vascular disease. All patients were clinically stable, which was defined as a minimum of 1 month without any acute event, including ACS, or hospitalization for chest pain and revascularization procedures. Patients were receiving a stable medical regimen for the past month that was expected to continue during the active study period. Dual antiplatelet therapy was required for at least 1 month before randomization; the regimen had to include aspirin and either clopidogrel or prasugrel. No other antiplatelet medications were permitted, including those with potential antiplatelet effects such as nonsteroidal anti-inflammatory drugs. Concomitant medications required to treat chronic medical conditions were continued. Key exclusion criteria were (1) moderate and severe renal disease (creatinine clearance < 60 mL/min), (2) evidence of hepatobiliary disease, (3) any unstable medical condition within 30 days, (4) poor clopidogrel metabolism in patients taking clopidogrel as indicated by rapid genotype testing, and (5) concomitant omeprazole and clopidogrel therapy within 1 month.

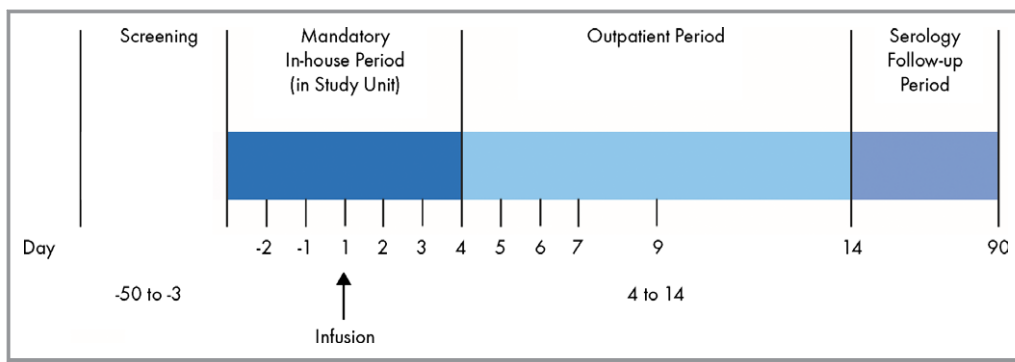


Figure 2. Study design.

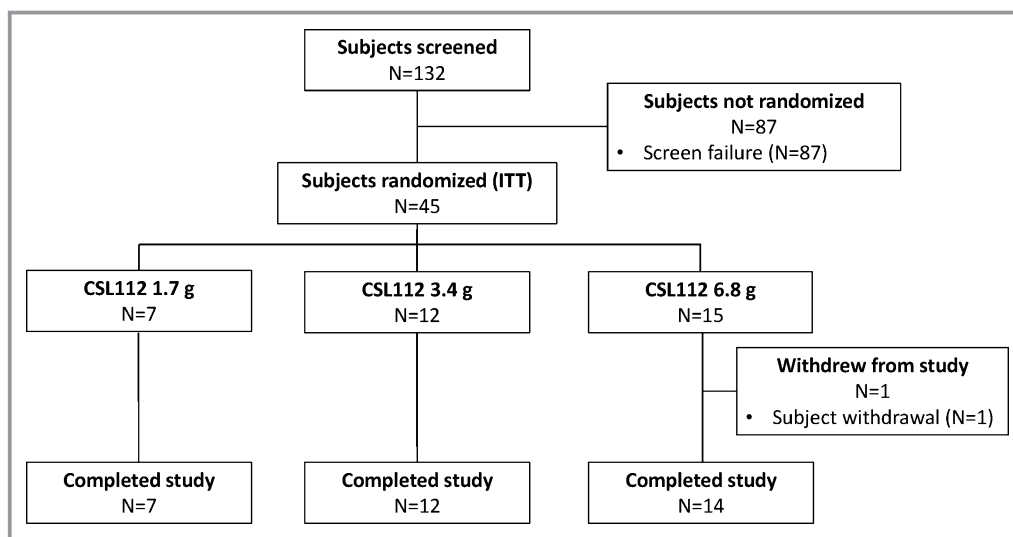


Figure 3. Subject disposition. ITT indicates intention-to-treat.

Study Procedures

Safety measurements and end points

The primary safety end points were postrandomization frequency of study drug–related AEs and clinically significant elevation ($>3\times$ the upper limit of normal [ULN]) of alanine aminotransferase (ALT) or aspartate aminotransferase (AST) based on central laboratory determinations. Additional hepatic function assessments included total bilirubin, direct bilirubin, indirect bilirubin, alkaline phosphatase, and γ -glutamyl transferase. Standard enzymatic assays were used for laboratory determinations and were performed centrally (Eurofins Scientific Laboratories). Several additional laboratory safety assessments were performed, including cystatin C, creatinine, blood urea nitrogen, and kidney injury molecule-1. The immunogenicity of CSL112 was also determined by measurement of serum antibodies to CSL112 and to apoA-I.

Study drug–related AEs were reported by investigators and defined as (1) an AE that began or worsened during study product infusion up to 72 hours after the end of infusion and/or (2) any AE considered possibly, probably, or definitely related to treatment by the local investigator or (3) an AE that occurred during the active study period for which the investigator’s causality assessment was missing or indeterminate.

Pharmacokinetics and pharmacodynamics

The pharmacokinetic profile of CSL112 was determined by measuring apoA-I in plasma samples obtained at study-specified time points before and after the intravenous infusion of study product (Pacific Biomarkers). Pharmacokinetic assessments were performed at the screening visit, on the

day of admission to the study unit (day -2), before study product administration (to determine baseline endogenous levels), and at the following times after start of the infusion: 2, 4, 6, 8, 12, 24, 36, 48, 72, 96, 120, and 144 hours. The following pharmacokinetic parameters were calculated as follows: AUC_{0-last} , area under the plasma concentration time curve from time point 0 (start of infusion) to the last quantifiable time point before the analyte first returns to baseline; $AUC_{0-\infty}$, area under the plasma concentration time curve from time 0 to infinity; C_{max} , observed maximum concentration in plasma; T_{max} , time to reach maximum concentration in plasma; and $t_{1/2}$, plasma half-life. The geometric mean of screening, day -2 , and the pre-study drug administration concentrations were calculated and set as the baseline. For calculation of baseline-corrected parameters, the time 0 measurement was set to the calculated baseline. This baseline was then subtracted from all postdose concentrations, and time 0 became 0 for the baseline-corrected values.

Several exploratory biomarkers were assessed as part of this study to investigate the pharmacodynamics of CSL112. Time points of collections were before dosing and the following postdosing times: 2, 4, 8, 24, 48, 72, 96, and 144 hours. Standard lipid panels were performed. Here, we report total cholesterol efflux capacity measured by incubation of serum from study participants with ABCA1-expressing macrophages in vitro as previously described.²³ Key pharmacodynamic parameters assessed were $AUEC_{0-last}$, area under the effect curve from time point 0 (baseline) to the last time point above baseline; $AUEC_{0-x}$, area from time point 0 to a meaningful time after infusion (x); R_{max} , maximum efflux capacity biomarker response (concentration or activity); and T_{max} , time to reach maximum efflux capacity.

Table 1. Baseline Characteristics

	Placebo (n=11)	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	CSL112 Overall (n=33)	P Value
Demographic						
Age, y; median (min, max)	56 (47, 71)	65 (54, 77)	57 (40, 73)	60 (41, 76)	60 (40, 77)	0.839
Male sex	7 (63.6)	4 (57.1)	9 (75.0)	12 (85.7)	25 (75.8)	0.434
Weight, kg; median (min, max)	91.6 (68.8, 120.4)	82.7 (53.2, 101.5)	99.5 (63.0, 145.0)	83.9 (70.1, 117.5)	84.0 (53.2, 145.0)	0.871
BMI, kg/m ² ; median (min, max)	28.5 (21.7, 45.5)	29.3 (20.8, 34.4)	31.8 (24.8, 54.8)	28.7 (23.6, 40.0)	30.5 (20.8, 54.8)	0.464
Race and ethnicity						
White	8 (72.7)	6 (85.7)	9 (75.0)	12 (85.7)	27 (81.8)	0.517
Not Hispanic or Latino	10 (90.9)	7 (100.0)	11 (91.7)	12 (85.7)	30 (90.9)	1.000
Baseline HDL <40 mg/dL	5 (45.5)	1 (14.3)	6 (50.0)	8 (57.1)	15 (45.5)	1.000
Baseline apoA-I						0.223
<1.32 g/L	7 (63.6)	3 (42.9)	6 (50.0)	5 (35.7)	14 (42.4)	
≥1.32 g/L	4 (36.4)	4 (57.1)	6 (50.0)	9 (64.3)	19 (57.6)	
Baseline CrCl, mL/min; median (min, max)	89.0 (65.0, 118.0)	70.0 (42.0, 119.0)	85.0 (64.0, 249.0)	92.0 (55.0, 170.0)	84.0 (42.0, 249.0)	0.626
Medical history* (ITT population)						
Coronary artery disease	11 (100)	5 (71.4)	10 (83.3)	11 (73.3)	26 (76.5)	0.096
Prior myocardial infarction	6 (54.5)	4 (57.1)	4 (33.3)	5 (33.3)	13 (38.2)	0.380
Prior stenting	6 (54.5)	3 (42.9)	3 (25.0)	5 (33.3)	11 (32.4)	0.211
Prior coronary artery bypass graft	3 (27.3)	3 (42.9)	3 (25.0)	1 (6.7)	7 (20.6)	0.678
Peripheral artery disease	1 (9.1)	2 (28.6)	1 (8.3)	1 (6.7)	4 (11.8)	0.784
Hypertension	7 (63.6)	6 (85.7)	11 (91.7)	11 (73.3)	28 (82.4)	0.131
Type 2 diabetes mellitus	5 (45.5)	2 (28.6)	3 (25.0)	1 (6.7)	6 (17.6)	0.070
Hyperlipidemia	5 (45.4)	3 (42.9)	6 (50.0)	5 (33.3)	14 (41.2)	0.861
Concomitant medications						
Aspirin	10 (90.9)	7 (100)	12 (100)	14 (100)	33 (100)	0.080
Clopidogrel	10 (90.9)	6 (85.7)	11 (91.7)	9 (64.3)	26 (78.8)	0.367
Prasugrel	0 (0)	1 (14.3)	1 (8.3)	5 (35.7)	7 (21.2)	0.096
Angiotensin-converting enzyme inhibitors	4 (36.34)	5 (71.4)	9 (75.0)	7 (50.0)	21 (63.6)	0.114
Angiotensin receptor blockers	3 (27.3)	1 (14.3)	2 (16.7)	1 (7.1)	4 (12.1)	0.234
β-Blockers	5 (45.5)	5 (71.5)	11 (91.7)	10 (71.4)	26 (78.8)	0.036
Statins	10 (90.9)	6 (85.7)	10 (83.3)	13 (92.9)	29 (87.9)	0.7839
Other lipid-modifying agents	2 (18.2)	2 (28.6)	3 (25.0)	1 (7.1)	6 (18.2)	1.0000

Data presented as N (%), unless otherwise noted. ApoA-I indicates apolipoprotein A-I; BMI, body mass index; CrCl, creatinine clearance; HDL, high-density lipoprotein; ITT, intention-to-treat. *n=15 in 6.8-g dose group.

Statistical Analysis

Because the study was not designed to test specific hypotheses, the safety data analysis was descriptive. No formal sample-size calculation was conducted. The target sample size of the study was to have at least 40 subjects

enrolled, with 10 subjects in the placebo group and 30 in the active treatment groups. We estimated that with 30 active subjects enrolled and observed for 14 days, the chances of observing AEs were as follows: 36% for events with 1% incidence, 59% for events with 2% incidence, and 99% for events with 10% incidence.

The predefined population for safety analyses consisted of all randomized patients who received any amount of study drug. For purposes of the primary safety analysis, a clinically significant elevation of AST/ALT was defined as test results that were >3× ULN in any 2 consecutive blood samples collected during the active study period and ≥24 hours apart.

The pharmacokinetic analysis population consisted of all patients who received an infusion of CSL112 with ≥1 quantifiable concentration of apoA-I during the active study period. All subjects randomized to a CSL112 treatment group contributed to the analysis. Noncompartmental pharmacokinetic analyses were performed using model 202 (constant infusion) in WinNonlin version 5.2 (or higher) from the concentration-time data.

Statistical comparisons for the pharmacokinetic/pharmacodynamic parameters were performed using ANOVA with statistical significance set at *P*<0.05.

Results

Patient Allocation and Baseline Characteristics

A total of 45 patients were randomized. One patient in the 6.8-g CSL112 group withdrew from the study before receiving

study product and, therefore, was not considered in further analyses. Of the 44 remaining patients, 11 were randomly assigned to receive placebo; of the 33 patients randomized to receive CSL112, 7 received 1.7 g, 12 received 3.4 g, and 14 received 6.8 g. Complete treatment administration and follow-up through the active study period (day 14) were achieved in all 44 patients (Figure 3).

The median age was 60 years (range 40 to 77 years) in CSL112 patients and 56 years (range 47 to 71) in placebo patients (Table 1). The majority of randomized patients were male and white. The majority of patients in all treatment groups were overweight or obese; the median body mass index in the treatment groups ranged from 28.5 to 31.8 kg/m². Baseline HDL-C and apoA-I were comparable among all treatment groups. Creatinine clearance was similar between placebo (median 89, range 65 to 118 mL/min) and CSL112 (median 84.0, range 42 to 249 mL/min) groups.

There was no indication of a major imbalance of prevalent diseases or concurrent medications among the treatment groups. The population was composed of patients with coronary artery disease or peripheral artery disease with high prevalence of cardiovascular risk factors. All patients were on dual antiplatelet therapy during the active treatment period. The use of statins was high in the placebo and overall CSL112 groups.

Table 2. Summary of AEs Reported

	Placebo (n=11)	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	CSL112 Overall (n=33)
No. (%) Patients With Event					
Primary end point: study product–related AE*	3 (27.3)	5 (71.4)	5 (41.7)	6 (42.9)	16 (48.5)
Nonserious AE	3 (27.3)	5 (71.4)	5 (41.7)	6 (42.9)	16 (48.5)
Serious AE	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)
Any AE	3 (27.3)	5 (71.4)	6 (50.0)	8 (57.1)	19 (57.6)
Most frequent AEs					
Infusion- site–related AE [†]	1 (9.1)	0 (0)	2 (16.7)	4 (28.6)	6 (18.2)
Vessel puncture-site hematoma	0 (0)	1 (14.3)	0 (0)	1 (7.1)	2 (6.1)
Fatigue	0 (0)	1 (14.3)	1 (8.3)	0 (0)	2 (6.1)
Headache	0 (0)	0 (0)	1 (8.3)	1 (7.1)	2 (6.1)
Nausea	0 (0)	1 (14.3)	1 (8.3)	0 (0)	2 (6.1)
Types of AE					
Causally related AE	1 (9.1)	1 (14.3)	1 (8.3)	5 (35.7)	7 (21.2)
Maximum intensity [‡]					
Mild	2 (18.2)	5 (71.4)	6 (50)	8 (57.1)	19 (57.6)
Moderate	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)

AE indicates adverse event.

*Occurring within 72 hours of infusion or considered related by investigator (independent of time).

[†]Includes intravenous infusion-site ecchymosis/hematoma, erythema, coldness, and phlebitis.

[‡]Common Terminology Criteria for Adverse Events v.4 grade at any time point.

Table 3. Summary of Study Product-Related SAEs/AEs

Preferred Term	Placebo (n=11)	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	CSL112 Overall (n=33)
	No. (%)				
Subjects with ≥1 SAE	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)
Atrial fibrillation	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)
Subjects with ≥1 AE	3 (27.3)	5 (71.4)	5 (41.7)	6 (42.9)	16 (48.5)
Fatigue	0 (0)	1 (14.3)	1 (8.3)	0 (0)	2 (6.1)
Headache	0 (0)	0 (0)	1 (8.3)	1 (7.1)	2 (6.1)
Infusion-site hematoma	0 (0)	0 (0)	1 (8.3)	1 (7.1)	2 (6.1)
Nausea	0 (0)	1 (14.3)	1 (8.3)	0 (0)	2 (6.1)
Vessel puncture site hematoma	0 (0)	1 (14.3)	0 (0)	1 (7.1)	2 (6.1)
Alanine aminotransferase increased	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Aspartate aminotransferase increased	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Blood creatinine increased	1 (9.1)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
Blood amylase increased	0 (0)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
Blood glucose increased	0 (0)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
Blood pressure increased	1 (9.1)	0	0 (0)	1 (7.1)	1 (3.0)
Blood urea increased	1 (9.1)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
Constipation	0 (0)	0	0 (0)	1 (7.1)	1 (3.0)
Creatinine renal clearance decreased	0 (0)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
Dermatitis contact	0 (0)	0 (0)	1 (8.3)	0 (0)	1 (3.0)
Diarrhea	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Dizziness	1 (9.1)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Dysgeusia	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Glucose urine	0 (0)	0 (0)	1 (8.3)	0 (0)	1 (3.0)
Infusion-site coldness	0 (0)	0 (0)	1 (8.3)	0 (0)	1 (3.0)
Injection-site hematoma	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Injection-site phlebitis	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Rash	0 (0)	0 (0)	1 (8.3)	0 (0)	1 (3.0)
Urine output decreased	0 (0)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
Vessel-puncture-site reaction	0 (0)	0 (0)	0 (0)	1 (7.1)	1 (3.0)
Catheter-site erythema	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)
Flatulence	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)
Muscular weakness	1 (9.1)	0 (0)	0 (0)	0 (0)	0 (0)

AE indicates adverse event; SAE, serious adverse event.

Safety Analyses

Adverse events

During the active treatment period, 3 (27.3%) of 11 patients in the placebo group and 16 (48.5%) of 33 in the combined CSL112 group experienced a study drug-related AE (Table 2). All AEs were mild in intensity except 1 AE of moderate intensity (recurrence of atrial fibrillation) observed with

placebo. There was a numerical increase of causally related AEs in the 6.8-g CSL112 group (n=5, 35.7%) compared with the other CSL112 groups (n=1 in each, 8.3% to 14.3%) and placebo (n=1, 9.1%). About half of all AEs observed in the CSL112 groups were infusion-site-related (hematomas reported as bruising or local reactions at the administration site, including coldness, phlebitis, and erythema), accounting for the numerical difference between placebo and CSL112. In

Table 4. Summary of Clinical Laboratory Results for Hepatic and Renal Toxicity

	Placebo (n=11)	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	CSL112 Overall (n=33)
Liver safety laboratory					
ALT					
No elevation	10 (90.9)	7 (100)	11 (91.7)	12 (85.7)	30 (90.9)
>1 × ULN	1 (9.1)	0 (0)	1 (8.3)	2 (14.3)	3 (9.1)
>3 × ULN	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
AST					
No elevation	10 (90.9)	6 (85.7)	12 (100)	14 (100)	32 (97.0)
>1 × ULN	1 (9.1)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
>3 × ULN	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Bilirubin >2 × ULN	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Renal safety laboratory					
Overall					
Clinically significant deterioration of S-creatinine	7 (63.6)	6 (85.7)	7 (58.3)	12 (85.7)	25 (75.8)
S-Creatinine increase					
No increase	0 (0)	0 (0)	1 (8.3)	0 (0)	1 (3.0)
>1 to 1.5 × baseline	11 (100)	6 (85.7)	11 (91.7)	14 (100)	31 (93.9)
>1.5 to 3.0 × baseline	0 (0)	1 (14.3)	0 (0)	0 (0)	1 (3.0)
>3.0 × baseline	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
CrCl ≥90 mL/min					
Clinically significant deterioration of S-creatinine	5 (100.0)	3 (100.0)	4 (80.0)	7 (100.0)	14 (93.3)
S-Creatinine increase					
No increase	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
>1 to 1.5 × baseline	5 (100)	2 (66.7)	5 (100)	7 (100)	14 (93.3)
>1.5 to 3.0 × baseline	0 (0)	1 (33.3)	0 (0)	0 (0)	1 (6.7)
>3.0 × baseline	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
CrCl ≥60 to <90 mL/min					
Clinically significant deterioration of S-creatinine	2 (33.3)	2 (100.0)	3 (42.9)	5 (83.3)	10 (66.7)
S-Creatinine increase					
No increase	0 (0)	0 (0)	1 (14.3)	0 (0)	1 (6.7)
>1 to 1.5 × baseline	6 (100)	2 (100)	6 (85.7)	6 (100)	14 (93.3)
>1.5 to 3.0 × baseline	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
>3.0 × baseline	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
CrCl ≥30 to <60 mL/min					
Clinically significant deterioration of S-creatinine	0 (0)	1 (50.0)	0 (0)	0 (0)	1 (33.3)
S-Creatinine increase					
No increase	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
>1 to 1.5 × baseline	0 (0)	2 (100)	0 (0)	1 (100)	3 (100)
>1.5 to 3.0 × baseline	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
>3.0 × baseline	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)

Data presented as n (%). ALT indicates alanine transaminase; AST, aspartate transaminase; CrCl, creatinine clearance; ULN, upper limit of normal.

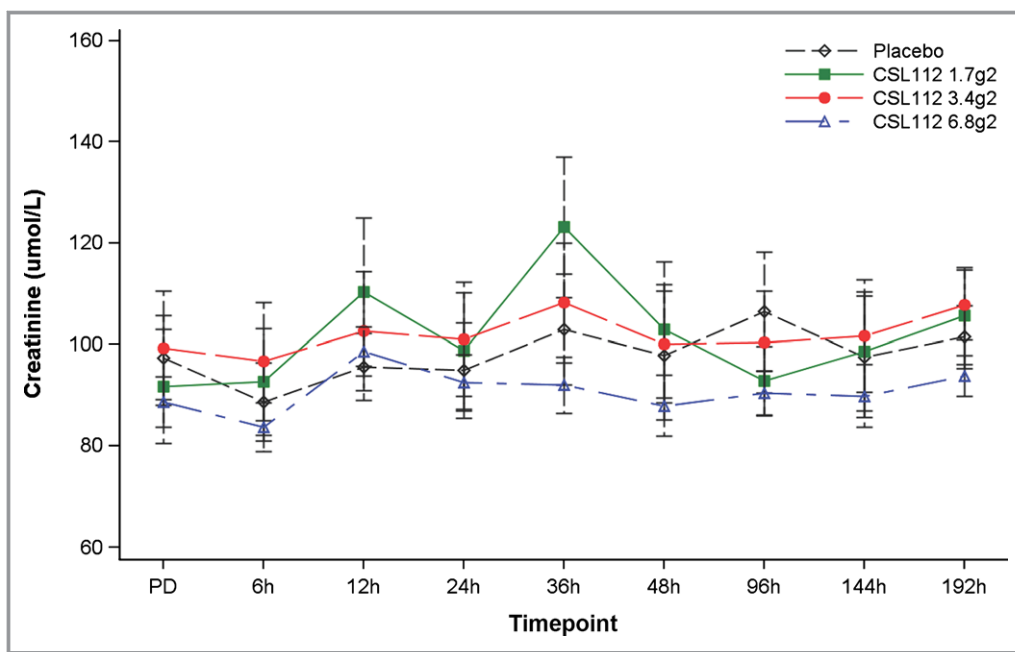


Figure 4. Variation in serum creatinine before and after study drug administration.

addition, mild transient headache, fatigue, nausea, and vessel puncture-site reactions not at the infusion site (including bruising/hematoma and erythema due to venipuncture) were reported more frequently with CSL112. All other AEs, excluding infusion-site-related AEs, reported in the CSL112 groups occurred in a single subject (Table 3).

During the serology follow-up period, a second serious AE was reported for a patient in the 6.8-g CSL112 group. This AE was an episode of unstable angina occurring ≈11 weeks after the infusion and was considered to not be related to the study product by the investigator. There was no pattern of higher frequency of study drug-related AEs in patients with mild or

moderate renal insufficiency compared with those with normal renal function (data not shown).

Laboratory abnormalities

No patient had an increase from baseline in ALT or AST >3× ULN (Table 4). There were no increases >2× ULN in bilirubin observed. Similar increases in the mean serum creatinine from baseline were seen in all groups, including placebo, with peaks observed between 12 and 36 hours after study product infusion and without sustained changes (Figure 4). A transient mild (1 to 1.5× baseline) elevation (peak 12 to 36 hours after infusion) in serum creatinine was common and occurred in

Table 5. Change From Baseline at 24 Hours in Lipid Profile and Biomarkers

	Placebo (n=11)	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	CSL112 Overall (n=33)
Lipids					
LDL cholesterol, mmol/L	-0.142 (0.180)	-0.143 (0.140)	-0.008 (0.263)	-0.230 (0.295)	-0.131 (0.270)
HDL cholesterol, mmol/L	-0.085 (0.095)	0.056 (0.186)	0.153 (0.134)	0.439 (0.150)	0.254 (0.222)
Total cholesterol, mmol/L	-0.240 (0.271)	-0.216 (0.319)	0.047 (0.307)	0.089 (0.441)	0.009 (0.381)
Triglycerides, mmol/L	-0.120 (0.353)	-0.406 (0.456)	-0.070 (0.656)	-0.111 (0.538)	-0.159 (0.567)
Other renal biomarkers					
BUN, mmol/L	-0.01 (0.829)	0.67 (2.191)	0.30 (0.842)	0.13 (1.496)	0.31 (1.448)
Cystatin-C, mg/L	0.005 (0.058)	0.031 (0.148)	0.049 (0.057)	0.064 (0.093)	0.052 (0.094)
KIM-1, pg/mL	101.1 (649.3)	95.7 (394.3)	144.9 (615.7)	283.1 (1169.0)	196.2 (859.7)

Data presented as mean (SD). BUN indicates blood urea nitrogen; HDL, high-density lipoprotein; KIM-1, kidney injury molecule-1; LDL, low-density lipoprotein.

Table 6. Summary of Baseline-Corrected Pharmacokinetic Parameters of Apolipoprotein A-I

Pharmacokinetic Parameter	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	P Value (ANOVA)
C_{max} , g/L				<0.001
Mean (CV %)	0.34 (26.9)	0.77 (16.9)	1.84 (19.1)	
Median (range)	0.33 (0.23 to 0.49)	0.79 (0.51 to 0.92)	1.82 (1.26 to 2.38)	
T_{max} , h				0.12
Mean (CV %)	2.70 (57.8)	2.03 (4.4)	1.93 (13.5)	
Median (range)	2.00 (2.0 to 6.2)	2.00 (1.9 to 2.3)	2.00 (1.0 to 2.0)	
AUC _{0-last} , g·h/L				0.005
Mean (CV %)	8.69 (85.3)	20.44 (41.3)	53.31 (33.9)	
Median (range)	5.72 (1.22 to 19.93)	20.83 (7.92 to 37.59)	53.21 (17.78 to 87.41)	
AUC _{0-∞} , g·h/L				0.45
Mean (CV %)	4.02 (56.9)	23.49 (49.5)	63.78 (43.0)	
Median (range)	4.47 (1.54 to 6.05)	23.69 (8.57 to 48.16)	58.85 (22.79 to 120.66)	
$t_{1/2}$, h*				0.48
Mean (CV %)	13.6 (81.6)	29.6 (55.7)	49.1 (62.1)	
Median (range)	12.5 (3.2 to 25.3)	23.2 (8.4 to 59.1)	45.4 (15.9 to 123)	

ANOVA indicates analysis of variance; AUC, area under the curve; C_{max} , observed maximum concentration in plasma; CV, coefficient of variance; $t_{1/2}$, plasma half-life; T_{max} , time to reach maximum concentration in plasma.

*n=3, 9, and 13 in CSL112 1.7-, 3.4-, and 6.8-g groups, respectively.

both placebo (n=11, 100%) and CSL112 (n=31, 93.9%) groups (Table 4). A clinically significant increase in serum creatinine (defined as serum creatinine >2× baseline or shift in Common Terminology Criteria for Adverse Events [CTCAE] v.4 creatinine grade) was observed in 7 (63.6%) placebo patients and 25 (75.8%) CSL112 patients. No consistent increases in other renal biomarkers were observed, including blood urea nitrogen, cystatin-C, and kidney injury molecule-1 (Table 5). Because blood samples for clinical laboratory tests were obtained in the fasting state, the observed increases in serum creatinine observed in both placebo and active treatment groups are most likely due to mild volume depletion as a result of fasting.

No seroconversion to any virus was detected after infusion. No patient developed anti-CSL112/apoA-I antibodies, and no trends were observed in other biochemistry, coagulation, or hematology parameters assessed.

Pharmacokinetic parameters of apoA-I

CSL112 infusion resulted in a rapid increase in plasma apoA-I concentration (Table 6 and Figure 5). At peak, the apoA-I level was 25% of the baseline level in the 1.7-g dose group and 145% of the baseline level in the 6.8-g dose group. The C_{max} occurred at the end of the infusion at ≈2 hours in all CSL112 dose groups. Both C_{max} and AUC increased in a dose-proportional manner.

Changes in the lipid profile

After infusion of CSL112, there was a dose-dependent increase in plasma HDL-C that correlated with the CSL112 dose. Peak plasma concentrations of baseline-corrected total HDL-C were observed at 8 hours after infusion of CSL112 (Figure 6A and Table 5).

Infusion of CSL112 also caused a time-dependent and dose-related elevation in total cholesterol, with a peak in plasma concentration also observed at 8 hours (Table 5). The change was attributable to HDL-C, because CSL112 did not cause changes in non-HDL-C concentration (Figure 6B), nor were changes in LDL-C and triglyceride levels observed (Table 5).

Serum capacity to promote cholesterol efflux

CSL112 caused a rapid, intense, and dose-dependent increase of the total capacity of serum to cause cholesterol efflux from macrophages that was up to 3.1-fold higher at the peak than at baseline (ie, reflecting effect of native apoA-I only) (Table 7 and Figure 7).

Discussion

In this study of patients with stable atherosclerotic vascular disease, CSL112 had a favorable safety profile and predictable dose-proportional pharmacokinetics. CSL112

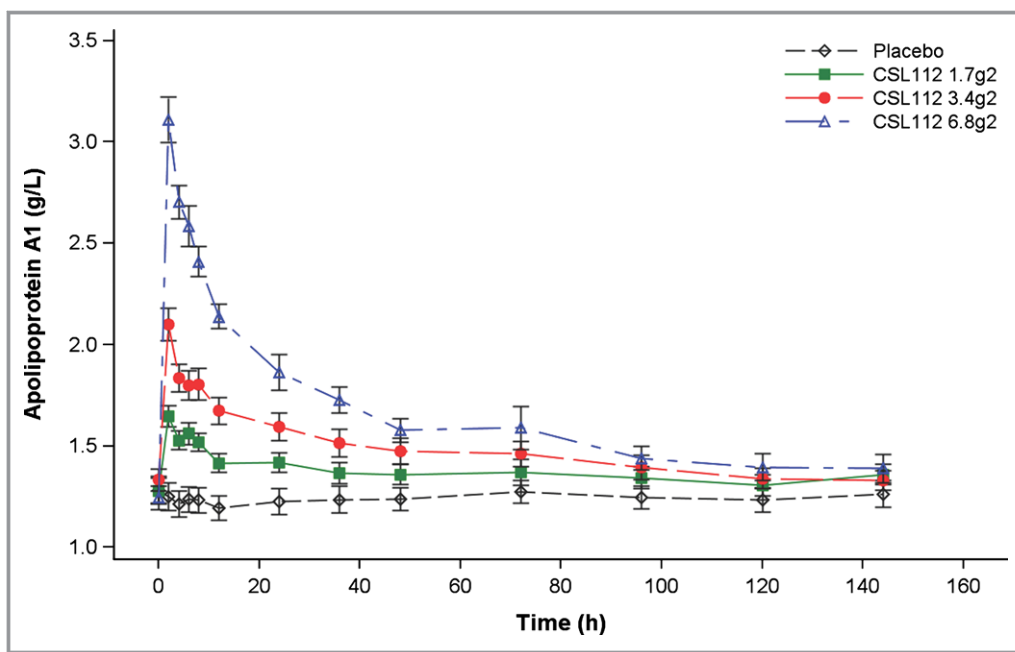


Figure 5. Change in mean apolipoprotein A-I concentration after infusion of ascending doses of CSL112. Error bars represent the 95% CI for the mean.

infusion caused a rapid and marked increase in cholesterol efflux capacity, which was >3-fold higher than in preexposure native serum in the high-dose group.

There was no trend suggesting increased AEs with CSL112, except for an excess in mild infusion-site reactions, mostly bruising, and an excess of mild transient headache, fatigue, and nausea. These results are consistent with prior studies of CSL112 in healthy adults.²⁴ There was no signal of liver toxicity. Renal function and other biochemical, hematologic, or immunologic markers did not show evidence of drug-related changes. In addition, there was no evidence that CSL112 or infused apoA-I was immunogenic.

Notably, this study showed no evidence of elevation in ALT or AST within the dose range of CSL112 studied. Development of the predecessor compound CSL111 was halted after transient asymptomatic elevations in serum transaminase levels were observed.²¹ Animal studies suggest that these increases were due to cholate and phosphatidylcholine rather than to the active apoA-I component (Samuel Wright, PhD, Personal communication, 2015). CSL112 has been reformulated with reduced amounts of phosphatidylcholine and cholate for improved safety while maintaining its ability to promote reverse cholesterol transport.^{22,23} The lack of effect of the reformulated product (CSL112) on transaminases in this study and prior phase 1 studies²⁴ indicates that the reduction in excipient levels has provided enhanced safety.

In this study, we did not observe any signal of drug-related renal toxicity with the dose range of CSL112 studied, including in patients with mild renal insufficiency.

The pharmacokinetic analysis of this study indicates that a single intravenous infusion of CSL112 produces a rapid, dose-proportional increase in plasma apoA-I with a maximum concentration reached in ≈2 hours in all dose groups. Notably, the apoA-I levels after infusion with CSL112 were increased up to 244%, which is much higher than that with other apoA-I-enhancing treatments.²⁶ This suggests a higher potential of CSL112 to rapidly act on reverse cholesterol transport.

The recent failure of HDL-C-raising agents to reduce cardiovascular events^{9–11} has reset thinking on the development of new agents directed at atherosclerotic plaque with a new focus on the role of HDL function.^{12,13} In particular, recent work has shown that measurement of plasma cholesterol efflux capacity offers a very strong and independent risk marker for atherosclerotic cardiovascular disease.^{14,27,28} Importantly, the failed HDL-C-raising agents (torcetrapib, dalcetrapib, niacin) have shown negligible effects on cholesterol efflux.^{29,30} Here, we show that the infusion of CSL112 rapidly and strongly increases cholesterol efflux capacity of plasma sampled from patients with stable atherosclerotic disease. As such, CSL112 may be the first agent available to test the “HDL function hypothesis.”

Prior work suggests that infusion of apoA-I-based products, which elevate cholesterol efflux, may withdraw cholesterol from atherosclerotic plaque. Patients with claudication undergoing percutaneous superficial femoral artery revascularization with plaque excision received 1 intravenous infusion of a reconstituted HDL or placebo 5 to 7 days

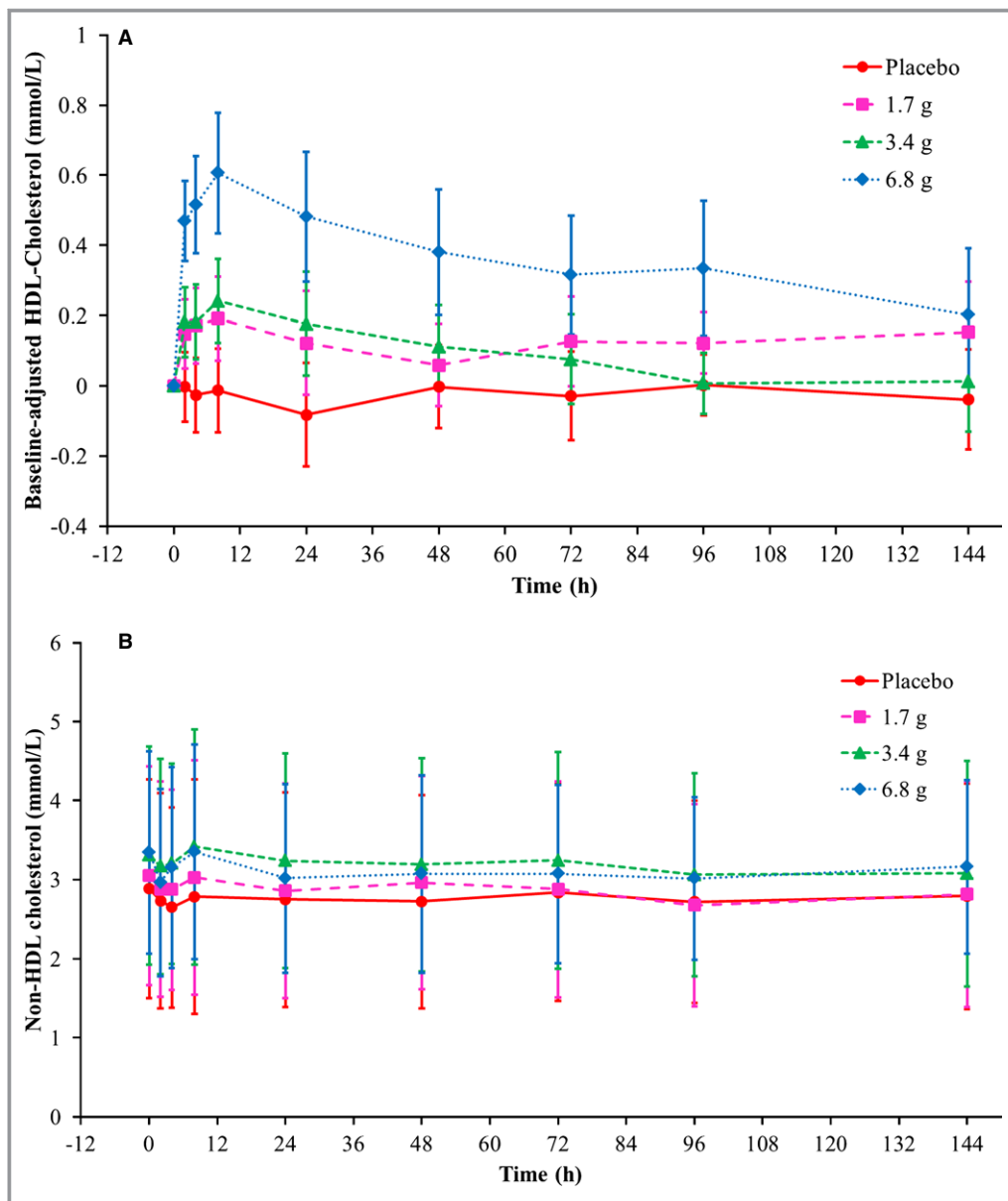


Figure 6. Cholesterol concentrations in lipoprotein fractions following infusion of CSL112 by time and dose group. Shown are means and SD. (A) Baseline-corrected high-density lipoprotein (HDL)-cholesterol, (B) non-HDL cholesterol.

before the procedure.³¹ A significant reduction in the cholesterol content of the excised plaque was observed in patients treated with the HDL infusion.³¹ Prior IVUS studies have also shown that infused apoA-I-based products may promote a remodeling of coronary atherosclerotic plaques within a few weeks after an ACS event.^{19–21} One IVUS study with 4-times weekly infusion of the predecessor compound CSL111 indicated a significant reduction in atheroma volume from baseline, although this was not significantly different from placebo.²¹ Nonetheless, significant differences between CSL111 and placebo (with background statin treatment) were observed in the plaque characteristic indices, suggest-

ing a relatively rapid effect on atherosclerotic plaque composition. An apparent exception to this pattern was seen in the CHI-SQUARE trial in which infusion of a recombinant apoA-I product failed to affect IVUS parameters.³² Notably, the doses tested in CHI-SQUARE were substantially lower than the doses found to be effective in reducing femoral artery cholesterol³¹ and lower than the doses tested in the present study. In the present study, we show that CSL112 doses yield increments in levels of both apoA-I and efflux that are dose proportional through the entire dose range. An ongoing phase 2b study (AEGIS I) will explore the safety and efficacy of doses of CSL112 in

Table 7. Summary of Pharmacodynamic Parameters of Total Serum Cholesterol Efflux Capacity

Pharmacodynamic Parameter	Placebo (n=11)	CSL112 1.7 g (n=7)	CSL112 3.4 g (n=12)	CSL112 6.8 g (n=14)	P Value (ANOVA)
R_{max} , % Efflux/4 h	12.43 (3.22)	20.21 (4.45)	23.75 (4.00)	23.93 (5.51)	NA
T_{max} , h	35.53 (32.31)	2.91 (2.25)	2.51 (1.68)	2.22 (0.79)	<0.001
AUEC _{0-last} , % Efflux/4 h	68.21 (74.34)*	285.02 (265.24)	464.93 (364.05)	721.29 (229.46) [†]	<0.001
AUEC ₀₋₂₄ , % Efflux/4 h	221.37 (47.6)	298.56 (66.18)	349.52 (75.17)	362.45 (119.12)	<0.001

Data are presented as uncorrected mean (SD). ANOVA indicates analysis of variance; AUEC, area under the effect curve; R_{max} , maximum efflux capacity biomarker response; T_{max} , time to reach maximum efflux capacity.

*N=7.

[†]N=13.

this range (ClinicalTrials.gov, NCT02108262). A preliminary assessment of efficacy events in AEGIS I will be used instead of a surrogate endpoint, such as the IVUS used in the CHISQUARE trial.³³

This study has limitations. In particular, the sample size is small, and therefore findings do not establish final evidence but rather serve to inform the design of larger randomized clinical trials of CSL112. While the study was randomized, because of a relatively small number of patients in each group, some unbalance in baseline characteristics (eg, use of β -blocker, prevalence of diabetes mellitus) was observed, which we do not think affects the overall conclusion of the study.

Taken together, overall evidence—including data from the current study—supports infusion of CSL112 as a means to increase reverse cholesterol transport, modify plaque lipid composition, and potentially reduce plaque vulnerability. These premises support the development of CSL112 as potential therapy to reduce recurrent atherosclerotic events.

Larger studies will need to define the clinical safety profile and explore clinical benefits of CSL112, in particular in patients with ACS who have a high risk of ischemic outcomes, have a higher risk of adverse events, and receive aggressive concomitant medical treatment and invasive procedures.

Conclusion

CSL112 is a novel apoA-I formulation under development for the treatment of patients with high-risk cardiovascular disease, including ACS. In this phase 2a trial among patients with stable atherosclerotic vascular disease, CSL112 had an overall favorable safety profile and there was no evidence of hepatic or renal toxicity. CSL112 markedly increased apoA-I and total cholesterol efflux capacity, supporting the biological mechanisms for potential clinical efficacy. Our results support the continued assessment of the safety and efficacy of CSL112 as a new therapy for patients with high-risk coronary artery disease.

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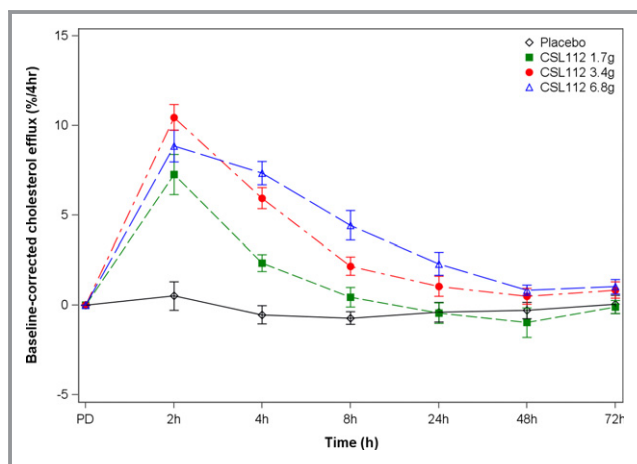


Figure 7. Change in serum total cholesterol efflux capacity after infusion of ascending doses of CSL112 or placebo. Error bars represent the 95% CI for the mean.

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3. Subjects must be taking 2 antiplatelet medications (with appropriate medical indication) with a stable dose for a minimum of 1 month before randomization. These antiplatelet medications must comprise:
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4. Subjects must be on a stable medication regimen for chronically administered therapy for a minimum of 2 weeks or 5 half-lives (whichever is longer) before randomization and must remain on this stable medication regimen throughout the active study period.
5. Body weight 50 kg or greater at screening.

6. Capable of understanding the purposes and risks of the study and able to provide written informed consent before any study-specific screening procedures are performed.
7. Willing and able to adhere to all protocol requirements.
8. Female subjects with a negative urine or serum pregnancy test or who are post-menopausal (menopause is defined as over the age of 60 years, or women between the ages 45 and 60 years who are amenorrheic for at least 1 year and have a follicle-stimulating hormone level >30 IU/L). Females of childbearing potential must be practicing adequate birth control during the study and for 3 months after receipt of the study product to be eligible. Acceptable methods of birth control are oral contraceptives, intrauterine device, female and male condoms with foam or spermicidal jelly, diaphragm, contraceptive medication patch, contraceptive medication implant, contraceptive medication injection, abstinence, or surgical sterilization more than 3 months prior to randomization.
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