

**Research Report  
KTC-99-54**

**Procedures for Determining a Working Capacity for Disadvantaged Business Enterprises (DBE) for Highway Construction and Consulting Projects in Kentucky**

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## **EXECUTIVE SUMMARY**

This report documents the working capacity of the Disadvantaged Business Enterprise (DBE) community performing on federal aid highway projects over the last four federal fiscal years 1995-98. This includes the work performed by Minority Business Enterprises (MBE), Minority Women Business Enterprises (MWBE), and Women Business Enterprises (WBE) construction firms serving both as a prime contractors and subcontractors. The work of DBE design consultants was not included as part of this study. The impact of five DBE firms who should be graduating from the program is also discussed. In addition several different scenarios are discussed relating to the adjustment of the current DBE federal goal, and to identify if the DBE community will be able to perform the adjusted volume of work in fiscal year 2000 if the current goal is reduced.

## **ACKNOWLEDGMENTS**

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## I. INTRODUCTION

This study was conducted to help Kentucky Transportation Cabinet personnel quantify and forecast the working capacity of certified Disadvantaged Business Enterprises (DBE) performing federal highway contracted work in the state of Kentucky for fiscal year 2000. In addition to determining the working capacity for the DBE program several different scenarios are discussed related to the adjustment of the current DBE federal goal. However, it should be noted that this study was not performed to set the federal DBE goal for the state of Kentucky for fiscal year 2000. This report should only be used in assisting cabinet personnel in setting the new DBE goal.

In order to achieve the objectives as described above a four step process was used. The first step was to derive a fair and reasonable methodology for identifying the current DBE working capacity for federal highway funded projects, and to quantify what that capacity has been over the last four federal fiscal years. Second, was to identify the working capacity of the contractors projected to graduate from the program, and how losing their working capacity will affect the overall working capacity of the DBE program. The third step was to look at different scenarios for adjusting the current DBE goal. The fourth step was to determine if the current DBE goal was adjusted, would the DBE community still retain the ability to perform this new volume of work for fiscal year 2000.

## II. METHODOLOGY

### A. Identify Current DBE Working Capacity

In order to identify the current working capacity of the DBE community in Kentucky for the Federal Highway Program an extensive effort was taken to quantify the success of the DBE program over the last four federal fiscal years 95-98. Information for calculating past success in the DBE program was taken from two data sources. The first data source used was the quarterly reports (known as the 1405 reports) of DBE awards and commitments provided to the Federal Highway Administration (FHWA) by the Kentucky Transportation Cabinet's (KYTC) office of Minority Affairs (Appendix A). The second data source was the contractors' permanent work records which are maintained by KYTC's Office of Procurement (Appendix B).

The researchers found throughout the course of this study that the information contained in the 1405 reports and the contractors permanent work records gave the best reflection of the volume of work being performed by the DBE community in Kentucky. Summarized values of the 1405 reports can be viewed in (Table 1). However, all values for total award amounts, Minority Business Enterprise (MBE), Minority Women Business Enterprise (MWBE), and Women Business Enterprise (WBE) amounts found in (Table 1) have been adjusted up by a conservative twenty percent to match the state's contribution for federally funded highway projects. This adjustment takes into account that on average federal aid highway projects are 80% federally funded and 20% state funded. One has to make the 20% adjustment to have consistency in comparing the two data bases. Since the additional 20% state funding on federal aid projects has not been recorded on the 1405 reports, but is recorded on the contractors' permanent work record. A note should also be made that there were a few contract awards that were performed by DBEs during the four year period that were not reflected on the 1405 reports. These omissions and their corrections (highlighted in red) can be viewed on Table 1 as well. The source for the corrections can be found in the contractors'

permanent work records (Appendix B).

After the 1405 reports (along with the corrections) were summarized, the total volume of work that has been performed by DBE primes and subcontractors was calculated (Table 1). Based over the four year period the DBE working capacity has been approximately 19.5% of the total federal aid highway work. Of the 19.5% working capacity approximately 6.8% of the work has been performed by DBE prime contractors, which is commonly referred to as race neutral contracts (meaning that the contract award was given to the contractor submitting the lowest bid despite race, ethnicity, or gender). The total amount of DBE work performed from fiscal year 1995 to fiscal year 1998 minus race neutral contracts equals 12.7%. Adjusting the current DBE goal or baseline goal of 11.5% by the amount of race neutral contracts will be discussed in Section C of this report.

Table 1: Summarized Federal Highway Program 1995-98, adjusted up by 20%

Fiscal Year	1995 10/94 - 9/95	1996 10/95 - 9/96	1997 10/96 - 9/97	1998 10/97 - 9/98	Grand Total 4 year period
Total Award Amount	\$ 232,706,791	\$ 161,716,618	\$ 355,621,838	\$ 370,357,032	\$ 1,120,402,280
MBE Prime	\$ 9,387,000	\$ 2,219,089	\$ -	\$ 18,291,268	\$ 29,897,357
MBE Sub	\$ 12,222,808	\$ 10,222,295	\$ 15,182,173	\$ 16,254,547	\$ 53,881,822
MWBE Prime	\$ -	\$ -	\$ -	\$ -	\$ -
MWBE Sub	\$ 344,877	\$ 512,561	\$ 1,983,423	\$ 70,963	\$ 2,911,824
WBE Prime	\$ 9,471,360	\$ 643,048	\$ 15,101,781	\$ 21,262,784	\$ 46,478,973
WBE Sub	\$ 16,919,833	\$ 9,982,951	\$ 38,318,389	\$ 20,150,033	\$ 85,371,206
Total DBE Prime Work (race neutral)	\$ 18,858,360	\$ 2,862,137	\$ 15,101,781	\$ 39,554,052	\$ 76,376,329
Total DBE Sub Work	\$ 29,487,518	\$ 20,717,807	\$ 55,483,985	\$ 36,475,542	\$ 142,164,852
Total DBE work (\$)	\$ 48,345,878	\$ 23,579,944	\$ 70,585,766	\$ 76,029,594	\$ 218,541,182
Total % DBE work	20.8%	14.6%	19.8%	20.5%	19.5%
Total % race neutral	8.1%	1.8%	4.2%	10.7%	6.8%
% of DBE work minus race neutral	12.7%	12.8%	15.6%	9.8%	12.7%

\* adjusted numbers to reflect contractors permanent work record

FY-96 (\$2,219,089) MBE prime adjustment for Matsuda, Inc projects STPS 8267 (4) and STP 41-2 (4). Omitted on FHWA 1405's  
 FY-97 (\$7,016,073) WBE prime adjustment for H & G, Inc and Spartan Inc. Omitted on FHWA 1405's  
 FY-98 (\$1,583,600) MBE prime adjustment for Unicustom, Inc. Omitted on FHWA 1405's

## B. Identify Working Capacity of DBE Program Graduates

The next step in this study was to identify the working capacity of the DBE contractors that are candidates to graduate from the DBE program at the end of FY 1999. Currently there are five DBE contractors that are potential graduates. These five contractors are graduating based on one of two reasons, either the company's primary stock holder has a personal net worth that exceeds \$750,000, or the company itself has performed over 16 million dollars of federal contracted work over the last four fiscal years. The name of the graduating DBE contractors and their working capacity as both a prime and subcontractor over the last four federal fiscal years can be found in Table 2. All information relating to the volume of work performed by these five contractors was taken from the contractor's permanent work records (Appendix B).

After summarizing the information taken from the contractors' permanent work record, it has been calculated that the graduates working capacity has been \$84,963,113 for both prime and subcontractor work over the last four federal fiscal years. This eighty-four million dollar amount equates to approximately 39% of the total amount of work awarded to the DBE program over the last four years (Table 2). Adjusting the current DBE goal or baseline goal of 11.5% by the amount of work performed by the graduates will be discussed in Section C of this report.

Table 2: Volume of Work Performed by DBE Graduates

<b>Prime Work</b>					
Top 5 graduating Contractors	95	96	97	98	Total four year
Spartan	\$ 2,048,801	\$ -	\$ 2,896,866	\$ -	\$ 4,945,667
Matsuda	\$ 9,387,000	\$ 2,219,089	\$ -	\$15,425,493	\$ 27,031,581
H & G	\$ 793,140	\$ 378,670	\$12,204,915	\$ 7,613,563	\$ 20,990,289
Unicustom	\$ -	\$ -	\$ -	\$ 1,583,600	\$ 1,583,600
Volunteer	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Total</b>	<b>\$12,228,941</b>	<b>\$ 2,597,758</b>	<b>\$15,101,781</b>	<b>\$24,622,656</b>	<b>\$ 54,551,137</b>
Percent of race neutral performed by graduates	64.8%	90.8%	100.0%	62.3%	71.4%
* adjusted numbers to reflect contractors permanent work record					
<b>Sub Work</b>					
Top 5 graduating Contractors	95	96	97	98	Total four year
Spartan	\$ 1,272,715	\$ 784,589	\$ 4,667,198	\$ 6,155,924	\$ 12,880,426
Matsuda	\$ 4,675,210	\$ 1,809,651	\$ 1,888,085	\$ 484,266	\$ 8,857,212
H & G	\$ -	\$ -	\$ 630,412	\$ -	\$ 630,412
Unicustom	\$ 170,497	\$ 491,933	\$ -	\$ 951,932	\$ 1,614,362
Volunteer	\$ 1,073,735	\$ 2,515,417	\$ 1,935,671	\$ 904,743	\$ 6,429,565
<b>Total</b>	<b>\$ 7,192,156</b>	<b>\$ 5,601,590</b>	<b>\$ 9,121,366</b>	<b>\$ 8,496,865</b>	<b>\$ 30,411,976</b>
Percent of sub work performed by graduates	24.4%	27.0%	16.4%	23.3%	21.4%
Combined graduate total (prime & sub) 95-98	\$84,963,113				
Percent of total DBE work done by graduates	39%				

### **C. Scenarios for Adjusting DBE Goal**

When making a determination on how to adjust the DBE goal, one must first establish a baseline goal. During the course of this study the researchers looked at two different methods for establishing the baseline goal. The first method was to look at the current DBE goal of 11.5%, set by the KYTC, and see if the DBE community has been successful in performing that percentage of federal funded highway contracts over the last four years. It has been documented on the 1405 reports and contractors permanent work records that the DBE community has actually outperformed the current goal by approximately eight percentage points over the last four federal fiscal years. Another way to determine a baseline goal would be set the goal based on DBE contractor availability. In this case the baseline goal would be approximately 9.5% taking into account that there are 635 pre-qualified contractors in Kentucky in which 60 are certified as DBE contractors. For the purpose of evaluating the different scenarios of adjusting the DBE goal the researchers used the current DBE goal of 11.5% as the baseline goal.

In the event that the new DBE goal is set by adjusting for race neutral contracts, one would take the baseline goal of 11.5% and subtract the amount of work performed under race neutral contracts (6.8%) thus equaling 4.7%. Another way to adjust the baseline goal would be to subtract out the amount of work performed by the five graduating contractors. As mentioned above the graduates performed approximately 39% of the DBE work volume over the four year period. If the baseline goal is discounted by 39% the new goal would be approximately 7%.

### **D. Determining DBE Capacity for Fiscal Year 2000 for Potential Goal Adjustments**

When making an adjustment to the DBE goal based on either scenario mentioned above, consideration has to be given to the remaining DBE capacity. Given the total federal award over the four year period totaling \$1,120,402,280, the DBE volume of work performed based on the current goal of 11.5% should equal \$128,846,262 (Table 3). However, the actual amount of work performed by DBEs over the four year period totaled \$218,541,182. This exceeded the current goal of 11.5% by 70%. Of the \$218,541,182 approximately \$84,963,133 was performed by the potential graduates. If one subtracts out the total amount of work performed by the graduating DBE firms from 1995-1998 total DBE award amount, the remaining DBE community has been able to perform \$133,578,069 of the federal highway contracted work. This amount still exceeds the current goal of \$128,846,262 (or 11.5% of the federal highway program by) by a rate of 3.7%. If one only looks at the latest fiscal year 1998, the DBE community under the 11.5% goal should have been able to perform \$42,591,059. In actuality the DBE community was able to perform \$76,029,594 which exceeds the current goal by 79%. Of the \$76,029,594, approximately \$33,119,521 was performed by the graduates, leaving \$42,910,073 of federally funded highway work in fiscal year 1998 being achieved by the remaining DBE community. This amount still exceeds the current goal of 11.5% by an approximate 1%.

In regards to the DBE capacity for fiscal year 2000, the researchers first looked at the projected federal funding for FY 2000. Once the federal funding for FY 2000 was projected, an analysis was made to determine if the DBE community would retain the capacity to perform the volume of work for FY 2000 based on the scenarios for adjusting the baseline goal. It has been estimated that the projected federal funding for FY 2000 will be \$400,000,000. Table 4 depicts the projected volume of DBE work for FY 2000 based on the adjusted DBE goal of 5%, 6%, and 7%.



In addition this table shows the amount of work expected to be achieved by the DBE community based on the DBE community's historical rate of success both with and without the graduates. Given the scenarios for adjusting the DBE goal, the projected FY 2000 federal aid, the DBE community's rate of success above the current goal, and determining the impact that the graduating DBE firms have on the total DBE capacity, it is felt that the remaining DBE firms have the ability to perform the capacity of work under either scenario for adjusting the baseline goal for fiscal year 2000.

Table 3: Federal Highway Program DBE Capacity Calculations

	4-Year	FY-98
Current DBE federal goal (%)	11.5%	11.5%
Federal DBE goal (\$)	\$128,846,262	\$ 42,591,059
Achieved DBE federal volume (\$)	\$218,541,182	\$ 76,029,594
Achieved DBE federal volume minus graduates	\$133,578,069	\$ 42,910,073
Rate achieved above current goal with graduates (%)	70%	79%
Rate achieved above current goal without graduates (%)	3.7%	0.7%

Table 4: Projected Federal Funding DBE Capacity & Goal Calculations for FY 2000

Projected federal funding for FY 2000		\$ 400,000,000		
Projected FY 2000	DBE Goal	Projected Goal (\$)	Projected Goal (\$) Without Graduates additional 3.7%	Projected Goal (\$) With Graduates additional 70%
	5%	\$ 20,000,000	\$ 20,740,000	\$ 34,000,000
	6%	\$ 24,000,000	\$ 24,888,000	\$ 40,800,000
	7%	\$ 28,000,000	\$ 29,036,000	\$ 47,600,000

### III. OBSERVATIONS

Throughout the course of this study, the researchers found several areas of concern in determining DBE capacity that the KYTC (or FHWA) might want to consider revising in the event the DBE goal is adjusted in the coming years. (1) The first area of concern is determining the company's primary stock-holder's personal net worth. Although many of the DBE firms returned their personal net worth survey, much of the collected data was unusable for this study. It is felt that the DBE community needs to be educated on how to fill out the surveys, and to understand what to count and what not to count as a personal asset and a personal liability as outlined in the Federal Register.<sup>1</sup> (2) The second concern is the question of a graduated firm returning to the DBE program if their future dollar volume of work falls below the threshold amount. It would seem prudent to

exclude these graduates from re-entry since they should now compete in the open market as any other contractor is expected to do. (3) Although this study did not document the volume of work the DBE firms performed on state only projects, significant work was in fact done. The question that arises - should this volume of work be counted toward the race neutral part of the federal program? (4) Another area to consider is the amount of work the DBE firms are performing on change-orders. Currently it is believed that change-orders have not been accurately tracked and therefore the volume of work performed under a change-order has not been reflected on the contractors' permanent work record. (5) Lastly, some consideration should be given to developing a comprehensive data base for the DBE program that would be easily managed, and would provide consistent and reliable reporting data.

This study also did not fully address the process of setting a DBE goal for consultants due to the lack of information on professional engineering services contracts. In the event that the professional services goal was set differently from the construction services goal, one could possibly use the consultants availability factor. Currently there are 150 certified and pre-qualified professional service firms in Kentucky in which 13 are certified and pre-qualified DBE firms.<sup>2</sup> If this measure of setting a professional services goal deemed inappropriate, the issue of setting a DBE goal for consultants could possibly be addressed by a set aside program.

#### **IV. CONCLUSIONS**

Over the last four federal fiscal years the DBE community has had a working capacity of approximately 19.5% of the total federal aid highway funded projects in Kentucky. This four year working capacity outperforms the 11.5% DBE working capacity goal set by the KYTC for federal highway projects by a rate of approximately 70%. Of the 19.5% working capacity approximately 6.8% of the work has been performed under a race neutral contract, and approximately 39% of the DBE work has been performed by the five potential graduating DBE contractors.

If the baseline line DBE goal of 11.5% is adjusted by race neutral contracts, a new working capacity goal of 4.7% could be justified. If the baseline goal is discounted for the graduating DBE contractors, a new working capacity goal of 7% could be justified. In either goal setting event, it is felt that the remaining DBE working capacity for fiscal year 2000 based on the range of goals from 5 to 7 percent should be easily obtainable.

The Kentucky Transportation Cabinet's DBE program has been very successful in generating work for economically disadvantaged minority and women operated construction firms.

## **APPENDIX A**

**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: **FHWA** | 4. Name of Recipient: **Ky Transp Cabinet** | 7. Annual DBE Goal: **11.50 %**  
 2. Fiscal Year: **'94-'95** | 5. Address: **501 High Street** | 8. \_\_\_\_\_  
 3. Quarter: **First** | 6. City/State/Zip: **Frankfort, KY 40622**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 25 (b) \$ Value 65,165,808.00

	MBE		MWBE		WWBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	0	0	0	0	2	4,271,516.
11. DBE subcontracts- procurements awarded this quarter	3	8,255,678.37	0	0	30	4,395,517.
12. Subcontracting procurements commitments to DBEs this quarter	3	8,255,678.37	0	0	30	4,395,517.

13. MBE-DBE prime and subcontract-award by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	3	0	0	0	0	0	3
\$ Value	3,255,678.37	0	0	0	0	0	3,255,678.

14. Number of DBE prime and subcontract-awards by type of work:

Type of Work	MBE	MWBE	WWBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering			1
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage			6
(2) Paving			0
(3) Structures/Buildings			3
(4) Materials			6
(5) Equipment			0
(6) Trucking			0
(7) Traffic Control			0
(8) Landscaping			10
(9) Other	2		6
<b>c. Supplies:</b>			
(1) Fuel *	1		
(2) Other *			
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other:</b>			
<b>TOTAL</b>	<b>3</b>	<b>0</b>	<b>32</b>

\* Not Applicable to FHWA

MBE Participation This Quarter	\$ 3,255,678.37	or	5.0%
WBE Participation This Quarter	8,667,033.60	or	13.30%
DBE Participation This Quarter	11,922,711.97	or	18.30%

**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: **FHWA** | 4. Name of Recipient: **KY. TRANSPORTATION CABINET** | Annual DBE Goal: **11.50 %**  
 2. Fiscal Year: **1995** | 5. Address: **501 HIGH STREET** | B.  
 3. Quarter: **SECOND** | 6. City/State/Zip: **FRANKFORT, KY 40601**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 25 (b) \$ Value 27,338,758.19

	MBE		MWBE		WWBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	-0-	-0-			-0-	-0-
11. DBE subcontracts- procurements awarded this quarter	6	1,248,067.74	1	121,320.16	30	1,917,182.00
12. Subcontracting- procurement <del>awarded</del> to DBEs this quarter						

13. MBE-DBE prime and subcontract-  
procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	6	-0-	1	-0-	1	-0-	8
\$ Value	592,438.74	-0-	47,382.76	-0-	729,566.40	-0-	1,369,387.90

14. Number of DBE prime and subcontract-  
awards by type of work:

Type of Work	MBE	MWBE	WWBE
<b>e. Professional / Consultant Services:</b>			
(1) Engineering			
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			1
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	3		1
(2) Paving	1		
(3) Structures/Buildings	1		
(4) Materials		1	11
(5) Equipment			
(6) Trucking			
(7) Traffic Control			
(8) Landscaping			6
(9) Other	2		11
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *			
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>7</b>	<b>1</b>	<b>30</b>

\* Not Applicable to FHWA

MBE Participation This Quarter	5.01%	Year To Date	5.00%
WBE Participation This Quarter	7.01%	Year To Date	11.44%
DBE Participation This Quarter	12.02%	Year To Date	16.44%

**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: <b>FHW</b>	4. Name of Recipient: <b>KY Trans. Cabinet</b>	7. Annual DBE Goal: <b>11.50 %</b>
2. Fiscal Year: <b>1995</b>	5. Address: <b>501 E. High Street</b>	8.
3. Quarter: <b>THIRD</b>	6. City/State/Zip: <b>Frankfort, KY 40622</b>	

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 33 (b) \$ Value 30,927,356.48

	MBE		MWBE		WBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	0	0	0	0	3	\$204,353.60
11. DBE subcontracts- procurements awarded this quarter	7	\$3,291,852.93	1	\$20,277.54	16	\$1,203,979.9
12. Subcontracting-procurement commitments to DBEs this quarter	7	\$3,291,852.93	1	\$20,277.54	16	\$1,203,979.9

13. MBE-DBE prime and subcontract-procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	1	0	0	1	5	0	7
\$ Value	20,277.54	0	0	33,690.60	3,158,162.33	0	\$3,312,130.47

14. Number of DBE prime and subcontract-awards by type of work:

Type of Work	MBE	MWBE	WBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering			3
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	3		3
(2) Paving			
(3) Structures/Buildings	2		2
(4) Materials		1	5
(5) Equipment			
(6) Trucking			
(7) Traffic Control			
(8) Landscaping			4
(9) Other			2
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *	1		
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>6</b>	<b>1</b>	<b>19</b>

\* Not Applicable to FHW

	This Quarter	Y-T-D
MBE	10.71	6.73
WBE	4.55	9.71
DBE	15.26	17.44

**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: FHWA | 4. Name of Recipient: KY TRANSPORTATION CABINET | 7. Annual DBE Goal: 11.50 %  
 2. Fiscal Year: 1995 | 5. Address: 501 E. High Street | 8.  
 3. Quarter: Fourth | 6. City/State/Zip: Frankfort, KY 40622

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 45 (b) \$ Value 62,733,510.45

	MBE		MWBE		WWBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	1	7,509,600.00	0	0	2	3,101,218.0
11. DBE subcontracts- procurements awarded this quarter	6	1,982,647.05	2	34,304.07	60	6,019,187.5
12. Subcontracting-procurement commitments to DBEs this quarter						

13. MBE-DBE prime and subcontract-procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	2	1	0	1	5	0	9
\$ Value	73,523.65	132,257.70	0	1,330,256.72	8,090,513.05	0	9,626,551.1

14. Number of DBE prime and subcontract awards by type of work

Type of Work	MBE	MWBE	WWBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering			4
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			1
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	4	1	10
(2) Paving			
(3) Structures/Bridges	2		6
(4) Materials			2
(5) Equipment			
(6) Trucking			2
(7) Traffic Control			2
(8) Landscaping			10
(9) Other	1		16
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *		1	9
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>7</b>	<b>2</b>	<b>62</b>

\* Not Applicable to FHWA



**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: **FHWA**      4. Name of Recipient: **KY Transportation**      7. Annual DBE Goal: **11.5%**  
 2. Fiscal Year: **1996**      5. Address: **501 High Street**      8.        
 3. Quarter: **FIRST**      6. City/State/Zip: **Frankfort KY 40622**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number **36**      (b) \$ Value **\$65,628,973.14**

	MBE		MWBE		WWBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	- 0 -	- 0 -	- 0 -	- 0 -	2	204,302.40
11. DBE subcontracts- procurements awarded this quarter	10	4,152,320.98	1	7,280.59	39	3,738,745.21
12. Subcontracting- procurement commitments to DBEs this quarter						

13. MBE-DBE prime and subcontract- procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	8	- 0 -	- 0 -	1	1	- 0 -	10
\$ Value	4,054,783.78	- 0 -	- 0 -	85,575.60	11,961.60	- 0 -	4,152,320.98

14. Number of DBE- prime and subcontract- awards by type of work:

Type of Work	MBE	MWBE	WWBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering			2
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage			
(2) Paving			
(3) Structures/ Buildings	5		12
(4) Materials	4	1	11
(5) Equipment			
(6) Trucking			2
(7) Traffic Control			6
(8) Landscaping			8
(9) Other			
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *			
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>9</b>	<b>1</b>	<b>41</b>

\* Not Applicable to FHWA

MBE Participation This Quarter	\$4,152,320.98	or	6.17%
DBE Participation This Quarter	8,095,368.59	or	12.02%
WWBE Participation This Quarter	3,738,745.21	or	5.69%



**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: **FHWA** | 4. Name of Recipient: **KY TRANSPORTATION** | 7. Annual DBE Goal: **11.50%**  
 2. Fiscal Year: **1996** | 5. Address: **501 HIGH STREET** | 8.  
 3. Quarter: **SECOND** | 6. City/State/Zip: **FRANKFORT KY 40622**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number **39** (b) \$ Value **38,999,666.00**

	MBE		M.WBE		W.WBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter		0		0	1	310,136.00
11. DBE subcontracts- procurements awarded this quarter	13	2,709,506.00		0	37	2,780,480.00
12. Subcontracting- procurement commitments to DBEs this quarter	13	2,709,506.00		0	37	2,780,480.00

13. MBE-DBE prime and subcontract- procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	7		2		4		13
\$ Value	902,414.00	0	136,243.00	0	1,670,849.00	0	2,709,506.00

14. Number of DBE- prime and subcontract- awards by type of work:

Type of Work	MBE	M.WBE	W.WBE
<b>a. Professional / Consultants Services:</b>			
(1) Engineering			
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	5		3
(2) Paving	1		
(3) Structures/Buildings	5		4
(4) Materials	1		5
(5) Equipment			
(6) Trucking	1		
(7) Traffic Control			
(8) Landscaping			16
(9) Other			10
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *			
<b>d. Equipment:</b>			
(1) Lease *			
(2) Purchase *			
(3) Other *			
<b>e. Other:</b>			
<b>TOTAL</b>	<b>13</b>	<b>0</b>	<b>38</b>

\* Not Applicable to FHWA

	THIS QUARTER	YEAR TO DATE
WBE	7.92Z	6.53Z
MBE	6.94Z	6.56Z
DBE	14.86Z	13.09Z

**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: **FRWA** | 4. Name of Recipient: **KY Transportation** | 7. Annual DBE Goal: **11.50 %**  
 2. Fiscal Year: **1996** | 5. Address: **501 High Street** | 8.  
 3. Quarter: **Third** | 6. City/State/Zip: **Frankfort, KY 40622**  
 9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number **40** (b) \$ Value **12,998,070.71**

	MBE		MWBE		WWBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter						
11. DBE subcontracts- procurements awarded this quarter	9	818,152.57	2	58,090.40	24	823,756.18
12. Subcontracting- procurement commitments to DBEs this quarter	9	818,152.57	2	58,090.40	24	823,756.18

13. MBE-DBE prime and subcontract- procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	6		2		1		9
\$ Value	254,406.40	- 0 -	58,090.40	- 0 -	563,746.17	- 0 -	876,242.97

14. Number of DBE prime and subcontract-awards by type of work:

Type of Work	MBE	MWBE	WWBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering	1		
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	5	2	2
(2) Paving			
(3) Structures / Buildings			
(4) Materials			1
(5) Equipment			
(6) Trucking	1		1
(7) Traffic Control			
(8) Landscaping			12
(9) Other			8
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *			
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>7</b>	<b>2</b>	<b>24</b>

\* Not Applicable to RWA

	<u>THIS QUARTER</u>	<u>YEAR TO DATE</u>
WBE	6.34	6.60
MBE	6.74	6.44
<b>TOTAL</b>	<b>13.08</b>	<b>13.04</b>

**U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments**

1. Administration: **FHWA** | 4. Name of Recipient: **KY TRANSPORTATION** | 7. Annual DBE Goal: **11.5%**  
 2. Fiscal Year: **1996** | 5. Address: **501 HIGH STREET** | 8.  
 3. Quarter: **FOURTH** | 6. City/State/Zip: **FRANKFORT KY 40622**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 31 (b) \$ Value 11,746,584.76

	MBE		MWBE		WWBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter						
11. DBE subcontracts- procurements awarded this quarter	5	497,856.20	1	344,678.40	17	643,379.04
12. Subcontracting-procurement commitments to DBEs this quarter	5	497,856.20	1	344,678.40	17	643,379.04

13. MBE-DBE prime and subcontract-procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	2			1	2		5
\$ Value	218,915.00			307,970.84	14,753.36		497,856.20

14. Number of DBE prime and subcontract-awards by type of work:

Type of Work	MBE	MWBE	WWBE
<b>e. Professional / Consultant Services:</b>			
(1) Engineering			1
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	1		
(2) Paving			1
(3) Structures/Buildings	3	1	3
(4) Materials			2
(5) Equipment			
(6) Trucking			
(7) Traffic Control			1
(8) Landscaping			1
(9) Other	1		2
<b>c. Supplier:</b>			
(1) Fuel *			
(2) Other *			
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>5</b>	<b>1</b>	<b>17</b>

\* Not Applicable to FHWA

**DBE THIS QUARTER** 13.01Z  
**WBE** 5.84Z  
**W/MBE** 4.24Z  
**MBE** 2.93Z

**DBE YEAR TO DATE** 13.06Z  
**WBE** 6.54Z  
**MBE** 6.52Z

U.S. Department of Transportation  
Quarterly Report of OBE Awards and Commitments

1. Administration: 4 Name of Recipient: KY Transportation 7. Annual OBE Goal: 11.5 %  
 2. Fiscal Year: 2007 5. Address: 501 High Street 8.  
 3. Quarter: FIRST 6. City/State/Zip: Frankfort, KY 40622  
 9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 49 (b) \$ Value 101,482,670.99

	MBE		M/WBE		W/WBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. OBE prime contracts- procurements awarded this quarter						
11. OBE subcontract- procurements awarded this quarter	10	1,808,396.88	5	921,009.05	56	18,749,777.75
12. Subcontracting- procurement commitments to OBEs this quarter	10	1,808,396.88	5	921,009.05	56	18,749,777.75

13. MBE-OBE prime and subcontract- procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	8	-0-	-0-	-0-	2	-0-	10
\$ Value	1,699,032.32	-0-	-0-	-0-	54,686.78	-0-	1,808,396.88

14. Number of OBE-prime and subcontract-awards by type of work:

Type of Work	MBE	M/WBE	W/WBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering		1	1
(2) Architectural			
(3) Accounting "			
(4) Right-of-Way			
(5) Other Insurance-Bonding		1	1
<b>b. Construction:</b>			
(1) Grading & Drainage	4	1	14
(2) Paving			
(3) Structures/Buildings	2		3
(4) Materials		2	13
(5) Equipment			
(6) Trucking			2
(7) Traffic Control	1		
(8) Landscaping			16
(9) Other	3		6
<b>c. Supplier:</b>			
(1) Fuel "			
(2) Other "			
<b>d. Equipment:</b>			
(1) Leasing "			
(2) Purchase "			
(3) Other "			
<b>e. Other:</b>			
<b>TOTAL</b>	<b>10</b>	<b>5</b>	<b>56</b>

Not Applicable to RWA

DBE 21.17%  
 WBE 18.48%  
 M/WBE 0.91%

U.S. Department of Transportation  
Quarterly Report of DBE Awards and Commitments

1. Administration: **FHWA** | 4. Name of Recipient: **KY TRANSPORTATION CABINET** | 7. Annual DBE Goal: **11.50**  
 2. Fiscal Year: **1997** | 5. Address: **501 E HIGH STREET** | 8.   
 3. Quarter: **SECOND 1997** | 6. City/State/Zip: **FRANKFORT KY**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number **122** (b) \$ Value **117,524,211.60**

	MBE		M.WBE		W.WBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	0	0	0	0	5	1,368,697.41
11. DBE subcontracts- procurements awarded this quarter	12	6,849,745.53	2	611,353.52	61	8,289,899.51
12. Subcontracting- procurements commitments to DBEs this quarter	12	6,849,745.53	2	611,353.52	61	8,289,899.51

13. MBE-DBE prime and subcontract- procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	8	1	0	0	3	0	12
\$ Value	4,265,328.60	226,707.67	0	0	2,357,709.26	0	6,849,745.53

14. Number of DBE prime and subcontract- awards by type of work:

Type of Work	MBE	M.WBE	W.WBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering			5
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	4		11
(2) Paving			
(3) Structures / Buildings	3		11
(4) Materials			7
(5) Equipment			
(6) Trucking			5
(7) Traffic Control	2		
(8) Landscaping			13
(9) Other	2		13
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *	1	2	1
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>12</b>	<b>2</b>	<b>66</b>

**U.S. Department of Transportation  
Quarterly Report of OBE Awards and Commitments**

1. Administration: **FHWA** | 4. Name of Recipient: **KY TRANSPORTATION** | 7. Annual OBE Goal **11.5 %**  
 2. Fiscal Year: | 5. Address: **501 HIGH STREET** | 8. |  
 3. Quarter: **THIRD** | 6. City/State/Zip: **FRANKFORT, KY 40622**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number 48 (b) \$ Value \$48,971,075.75

	MBE		M.WBE		WBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. OBE prime contracts- procurements awarded this quarter	-0-	-0-	-0-	-0-	5	3,634,390.89
11. OBE subcontracts- procurements awarded this quarter	11	3,420,084.14	-0-	-0-	48	2,450,774.33
12. Subcontracting-procurement commitments to OBEs this quarter	11	3,420,084.14	-0-	-0-	48	2,450,774.33

13. MBE-OBE prime and subcontract-procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	5	-0-	1	-0-	5	- 0 -	11
\$ Value	1,449,822.15	-0-	4,245.52	-0-	1,966,016.47	-0-	3,420,084.14

14. Number of OBE-prime and subcontract-awards by type of work:

Type of Work	MBE	MWBE	WBE
<b>a. Professional / Consultant Services:</b>			
(1) Engineering		0	6
(2) Architectural			
(3) Accounting *			
(4) Right-of-Way			
(5) Other			
<b>b. Construction:</b>			
(1) Grading & Drainage	7		10
(2) Paving			
(3) Structures / Buildings	4		3
(4) Materials			1
(5) Equipment			
(6) Trucking			18
(7) Traffic Control			
(8) Landscaping			
(9) Other			7
<b>c. Supplies:</b>			
(1) Fuel *			
(2) Other *			8
<b>d. Equipment:</b>			
(1) Leasing *			
(2) Purchase *			
(3) Other *			
<b>e. Other *</b>			
<b>TOTAL</b>	<b>11</b>	<b>0</b>	<b>53</b>

\* Not Applicable to FHWA

DBE this quarter 19.41 %  
 Year to Date 15.36 %

U.S. Department of Transportation  
Quarterly Report of OBE Awards and Commitments

1. Administration: **FHWA** | 4. Name of Recipient: **Transportation Cabinet** | 7. Annual DBE Goal: **11.50 %**  
 2. Fiscal Year: **1997** | 5. Address: **501 High Street** | 8.  
 3. Quarter: **4th** | 6. City/State/Zip: **Frankfort, KY 40622**

9. Total prime contracts/procurements awarded this quarter to all contractors: (a) Number **275** (b) \$ Value **16,519,512.3**

	MBE		M.WBE		W.WBE	
	Number	\$ Value	Number	\$ Value	Number	\$ Value
10. DBE prime contracts- procurements awarded this quarter	-- 0 --	- 0 -	- 0 -	- 0 -	5	1,465,477.
11. DBE subcontracts- procurements awarded this quarter	2	67,512.00	2	54,375.83	23	1,164,259.
12. Subcontracting procurements commitments to OBEs this quarter	2	67,512.00	2	54,375.83	23	1,164,259.

13. MBE-OBE prime and subcontract-procurement awards by ethnic group:

	Black American	Hispanic American	Native American	Asian-Indian American	Asian-Pacific American	Other	TOTAL
Number	- 0 -	- 0 -	- 0 -	- 0 -	2	- 0 -	2
\$ Value	- 0 -	- 0 -	- 0 -	- 0 -	67,512.00	- 0 -	67,512.00

14. Number of OBE prime and subcontract-awards by type of work

Type of Work	MBE	M.WBE	W.WBE
<b>a. Professional / Consulting Services:</b>			
(1) Engineering			2
(2) Architectural			
(3) Accounting "			
(4) Right-of-Way			
(5) Other			1
<b>b. Construction:</b>			
(1) Grading & Drainage			6
(2) Paving			
(3) Structures / Buildings	2		2
(4) Materials		2	7
(5) Equipment			1
(6) Trucking			
(7) Traffic Control			
(8) Landscaping			7
(9) Other			2
<b>c. Supplies:</b>			
(1) Fuel "			
(2) Other "			
<b>d. Equipment:</b>			
(1) Leasing "			
(2) Purchase "			
(3) Other "			
<b>e. Other "</b>			
<b>TOTAL</b>	<b>2</b>	<b>2</b>	<b>28</b>

\* Not Applicable to FHWA

MBE	0.41%	4.79%
W.MBE	0.33%	0.56%
WBE	15.92%	13.05%
DBE	16.66%	18.40%

**M/WDBE Participation**

~~Quarterly Report~~



	First Quarter (OCT,NOV,DEC.)	Second Quarter (JAN,FEB,MAR.)	Third Quarter (APR,MAY,JUNE)	Fourth Quarter (JULY,AUG,SEPT.)	Year To Date (END OF FY)
<b>Total \$ Awards</b>	119,680,984.32	46,087,673.00	27,939,785.00	102,577,183.06	298,285,825.38
<b>Total DBE \$ Awards</b>	21,835,065.46	7,667,485.00	5,810,276.00	24,210,828.33	59,523,654.79
<b>% DBE Subcontracts</b>	18.24%	16.64%	20.80%	23.60%	20.09%
<b>WBE:</b>					
<b>PRIME</b>	3,708,925.88	2,612,888.00	1,077,534.00	9,610,879.25	17,010,227.13
<b>SUB</b>	<u>4,303,061.15</u>	<u>2,806,993.00</u>	<u>1,964,144.00</u>	<u>7,045,828.00</u>	<u>16,120,026.15</u>
<b>WBE \$</b>	8,011,987.03	5,419,881.00	3,041,678.00	16,656,707.25	33,130,253.28
<b>% WBE</b>	6.69%	11.76%	10.89%	16.24%	11.18%
<b>MBE:</b>					
<b>PRIME</b>	6,152,708.86	0.00	1,528,659.00	5,684,766.49	13,366,134.35
<b>SUB</b>	<u>7,670,369.57</u>	<u>2,225,581.00</u>	<u>1,239,939.00</u>	<u>1,867,747.91</u>	<u>13,003,637.48</u>
<b>MBE \$</b>	13,823,078.43	2,225,581.00	2,768,598.00	7,552,514.40	26,369,771.83
<b>% MBE</b>	11.55%	4.83%	9.91%	7.36%	8.90%
<b>AFR. AMER.:</b>					
<b>PRIME</b>	0.00	0.00	0.00	0.00	0.00
<b>SUB</b>	<u>6,693,493.87</u>	<u>1,404,748.00</u>	<u>155,186.00</u>	<u>257,900.06</u>	<u>8,511,327.93</u>
<b>African-American \$</b>	6,693,493.87	1,404,748.00	155,186.00	257,900.06	8,511,327.93
<b>% African American</b>	5.59%	3.05%	0.56%	0.25%	2.87%
<b>HISPANIC:</b>					
<b>PRIME</b>	0.00	0.00	0.00	0.00	0.00
<b>SUB</b>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
<b>Hispanics \$</b>	0.00	0.00	0.00	0.00	0.00
<b>% Hispanic</b>	0.00%	0.00%	0.00%	0.00%	0.00%
<b>ASIAN PACIFIC:</b>					
<b>PRIME</b>	4,727,469.04	0.00	1,528,659.00	5,684,766.49	11,940,894.53
<b>SUB</b>	<u>976,875.70</u>	<u>820,833.00</u>	<u>304,714.00</u>	<u>1,609,847.85</u>	<u>3,712,270.55</u>
<b>Asian-Pacific American \$</b>	5,704,344.74	820,833.00	1,833,373.00	7,294,614.34	15,653,165.08
<b>% Asian Pacific American</b>	4.77%	1.78%	6.56%	7.11%	5.28%
<b>ASIAN INDIAN AMER.:</b>					
<b>PRIME</b>	1,425,239.82	0.00	0.00	0.00	1,425,239.82
<b>SUB</b>	<u>0.00</u>	<u>0.00</u>	<u>780,039.00</u>	<u>0.00</u>	<u>780,039.00</u>
<b>Asian-Indian American \$</b>	1,425,239.82	0.00	780,039.00	0.00	2,205,278.82
<b>% Asian-Indian American</b>	1.19%	0.00%	2.79%	0.00%	0.74%
<b>NATIVE AMERICAN:</b>					
<b>PRIME</b>	0.00	0.00	0.00	0.00	0.00
<b>SUB</b>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
<b>Native American \$</b>	0.00	0.00	0.00	0.00	0.00
<b>% Native American</b>	0.00%	0.00%	0.00%	0.00%	0.00%
<b>Other</b>	0.00	0.00	0.00	0.00	0.00
<b>M/WBE</b>	21,238.23	22,023.00	0.00	13,508.92	56,770.15
<b>% M/WBE</b>	0.02%	0.05%	0.00%	0.01%	0.02%



## **REFERENCES**

1. Federal Register, 49 CFR Parts 23 and 26, "Participation by Disadvantaged Business Enterprises in Department of Transportation Programs; Final Rule" February 2, 1999.
2. Personal interview, Mr. Steve Williams, Acting Director of Division of Professional Services KYTC. July 6, 1999.

## **APPENDIX B**

# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Volunteer Highway Supply Co., Inc.  
Name of Contractor

Knoxville, Tn.  
Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Madison	STP421-3(9)	29,523.20		Rifle Coal Co.	11-11-94
Kenton	IM 275-9(B4) 14	634,352.45		The W.L. Harper Co.	11-15-94
Fayette	CM B615(5)	3,660.00		The Allen Co.	12-7-94
Madison	NH 421-3(7)(8)	61,860.75		Central Rock Mineral	12-7-94
Boone	FDD4-B-338-4-B	19,544.76	S	Central Rock Mineral	2-3-95
Perry	STPS 5375(19)	2,890.00		Mountain Enterprises	2-9-95
Robertson	FDD4-101-42-10-11	7,200.00	S	Hinkle Contr. Corp	2-13-95
Breathitt	STPS 5286(8)	1,608.75		Walker Const. Co.	2-24-95
Powell	BRD 5260(26)	2,829.60		Walker Const. Co.	2-24-95
Powell	BRZ 1003(81)	1,736.00		Walker Const. Co.	2-24-95
Whitley-Knox	DSA 3022(5)	4,675.00		Kay & Kay Contr.	2-24-95
Franklin	STPR 127-1(73)	25,540.00		Elmo Greer & Sons	2-27-95
Anderson	BRD 511B(25)	3,073.00		Walker Const. Co.	2-28-95
Fayette	TM 64-4(76) 71	65,324.52		L-M Asphalt Partner.	3-1-95
Clark	BRD 5211(57)	1,417.50		The Allen Co.	3-2-95
Scott	TM 75-6(B0) 130	12,543.00		Judy Const. Co.	3-9-95
Johnson	APD-HWID 23-1(113)	21,948.00		Mountain Enterprises	3-21-95
Fayette	CB GR 94 — 9B	10,535.00	S	L-M Asphalt Partners	4-10-95
Estill	FD07-33-89-13-14	5,181.50	S	Hinkle Contr. Corp	4-11-95
Boone	FDD4-B-842-4-7	23,665.89	S	Hale Contr. Co.	4-17-95
Jessamine	CB06-57-1980-0-4	678.00	S	Lexington Quarry	4-24-95
Anderson	FD39-3-44-13-14	1,904.00	S	Randle-Daviess Const.	5-8-95
Pulaski	FD05-100-1247-0-9	39,060.00	S	Hinkle Contr. Corp	6-7-95

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Jefferson	FD GR 94 — 33	7,995.55	State	Hinkle Contr. Corp	6-8-95
Morgan	FD 05-BB-7-2-12	48,438.00	State	Hinkle Contr. Corp	6-8-95
Morgan	FD GR 95 — 6	28,290.00	State	Hinkle Contr. Corp	6-8-95
Scott	FD 05-105-227-6-16	48,826.80	State	Hamilton, Hinkle & Ruth	6-12-95
Clark-Montgomery	FD AR 95 — 23	40,490.00	State	Walker Const. Co.	6-14-95
Casey	CB GR 95 — 52	42,338.75	State	Hinkle Contr. Corp.	6-20-95
McCreary-Pulaski	CB GR 95 — 50	106,531.11	State	Hinkle Contr. Corp	6-21-95
Franklin-Woodford	FD GR 95 — 11	62,275.60	State	H.G. Mays Corp.	7-11-95
Franklin	FD 06 032 0676 001-006	74,485.00	State	H.G. Mays Corp	7-11-95
Estill-Lee-Breathitt-Etc.	CB GR 95 — 37	24,509.00	State	Hinkle Contr. Corp	7-12-95
Pendleton-Harrison-Various	FD GR 95 — 8	47,172.00	State	Hinkle Contr. Corp	7-13-95
Manion-Casey	FD GR 95 — 24	123,470.84	State	Hinkle Contr. Corp	7-17-95
Carroll	CB GR 95 — 61	14,411.29	State	Ohio Valley Asphalt	7-5-95
Laurel	STPS 30-1(6)	3,000.00		Elmo Greer & Sons	7-17-95
BATH	FD 05 006 0060 017-020	10,470.00	State	The Walker Co.	8-4-95
Washington	MA 150-1(72)	19,657.68		Hi-View, Fnc.	8-10-95
Floyd	MA 80-5(7)	33,000.00		Mountain Enterprise	8-15-95
Pike	CB 04-98-468-0-14	52,290.90	State	Mountain Enterprise	8-15-95
FRANKLIN	STPS 127-1(76)	3,782.80		Randle-Davies	8-21-95
Menicee / Powell	CB GR 95 — 57	4,727.50	State	The Walker Co	8-24-95
Spencer	CB GR 95 — 71	5,400.78	S	Hinkle Contr.	8-16-95
Powell	CB 06 099 1028 001	10,877.00	S	Walker Co.	8-10-95
Eayette	FD AR 95 — 78	49,043.75	S	L-M Asph Partners	8-18-95
Harrison	FD GR 95 — 40	57,992.00	S	Hinkle Contr. Corp	8-24-95
Knott	FD 06 60 80 12-16	7,185.00	S	Mountain Enterprises	8-30-95
Pike	MA 23-1(118)	72,546.00		Mountain Enterprises	8-30-95
Harrison	CB GR 95 — 63	10,611.97	S	Hinkle Contr. Corp	8-31-95
Scott	CB GR 95 — 68	10,016.04	S	Hamilton, Hinkle & Ruth	9-5-95
Bourbon	CB GR 95 — 77	23,740.83	S	Hinkle Contr. Corp	9-7-95
Kenton	BRZ 0603(126)	3,325.00		Judy Const. Co.	9-7-95

# 7 CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

X

Volunteer Highway Supply Co. Inc. Knoxville, Tn.  
 Name of Contractor Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Boone	FDO4.8.8508	11,500.50	S	Triple J Const	7-27-95
Whitley	FDO5.118.75.23.25	33,959.50	S	Ky Stone Co.	9-8-95
Whitley	FDO4.118.8618	2,000.00	S	Elmo Greer + Sons	9-8-95
Scott	CB GR 95 — 45	52,157.52	S	Hamilton, Hinkle + Ruth	9-11-95
Powell-Estill	FD GR 95 — 70	6,598.00	S	The Walker Co.	9-20-95
Mason	STPR 5216(7)	3,525.00	S	Judy Const. Co.	9-22-95
Bath	FDO5.6.64.120.121	8,215.00	S	The Walker Co.	9-22-95
Montgomery	CB GR 95 — 69	13,876.80	S	The Walker Co.	9-25-95
Jefferson	FDO4.56.2050.0-1	14,820.10	S	Flynn Brothers Contr.	10-4-95
Casey	CBO6.23.501.2-9	34,638.78	S	Hinkle Contr. Corp.	10-6-95
Pulaski	CB GR 95 — 131	31,949.50	S	Hinkle Contr. Corp.	10-6-95
Elliott	CB GR 95 — 85	22,625.75	S	Hinkle Contr. Corp.	10-6-95
Jessamine	DPR-STPR.0099(001)	60,666.25	S	The Allen Co. Lexington Quarry	9-9-95
Rockcastle	FDO5.102.75.51-59	77,084.00	S	Elmo Greer + Sons	9-25-95
Menifee	FD39.83.460.7-8	1,330.50	S	The Walker Co.	10-17-95
McCreary	BRZ OAD3(107)	2,250.00	S	Kay + Kay Contr.	9-27-95
Breathitt	CBO6.13.315.1-4	12,434.50	S	Hinkle Contr.	10-17-95
Bath	CB GR 95 — 92	5,597.50	S	The Walker Co.	10-23-95
Nicholas	CBO6.91.386.2-6	11,636.40	S	Hinkle Contr.	10-23-95
Bourbon	CBO6.9.1678.4-10	11,700.00	S	Hinkle Contr.	10-26-95
Fayette	STPH 615(7)	1,161.60	S	Lexington Quarry	10-27-95
Bourbon	CBO6.9.1939.3-4	700.00	S	Hinkle Contr.	10-31-95
Pulaski	FDO4.100.7450	6,000.00	S	Hinkle Contr.	11-8-95

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Pulaski	FD04-100-27-11-16	38,725.00	S	Hinkle Contr.	11-8-95
Whitley	CB GR 95 — 121	25,788.00	S	Hinkle Contr.	11-27-95
Harrison	CB06-49-356-0-4	11,520.00	S	Hinkle Contr.	10-24-95
Grant	FD04-41-2361-0-2	19,443.80	S	Hale Contr.	12-14-95
Mason	NH 546-2 (10)	24,525.00	I	H. B. Mays Corp.	12-28-95
Perry	BRD 5375 (21)	2,200.00	I	Kay+Kay Const.	1-2-95
Fayette	STPS 5211 (66)	4,700.00	I	Bluegrass Contr.	11-17-95
Montgomery	CB GR 95 — 152	11,406.00	S	The Walker Co.	11-21-95
Breathitt	BRD 5286 (9)	1,350.00	I	Kay+Kay Contr.	2-7-96
Menifee	MA 5321 (4)	3,065.00	I	The Walker Co.	2-7-96
Shelby	IM 64-2 (144) 2B	13,251.90	I	Hall Contr. Corp.	2-14-96
Bourbon	CB GR 95 — 248	1,014.00	S	Hinkle Contr Corp.	1-8-96
Montgomery	FD 7401-003	32,592.00	S	The Walker Co.	2-16-96
Breathitt-			I		
Wolfe	STPR 5266 (26)	11,355.04	I	Rifle Coal Co.	2-20-96
Laurel-			I		
Whitley	MA 75-2 (53) 40	32,301.00	I	Pavement Specialists	
Bath	FE01-6-11-0-11	4,660.00	S	The Walker Co.	1-31-96
Boone	IM 75-5 (106)	17,950.60	I	Central Rock Mineral	2-22-96
Scott	DSB 460-1 (15)	13,800.00	I	Hamilton, Hinkle+Ruth	3-25-96
Clark	CB GR 95 — 140	5,497.50	S	The Allen Co.	4-3-96
Wolfe	BRD 5266 (25)	2,345.00	I	The Walker Co.	3-22-96
Wolfe	BRD 5266 (28)	2,715.75	I	The Walker Co.	3-22-96
Bath	CB06-6-1602-2-6	6,622.60	S	The Walker Co.	1-31-96
Magoffin	FD05-77-30-0-6	34,562.50	S	Hinkle Contry. Corp.	5-28-96
Morgan	FD GR 96 0000015	21,850.00	S	Hinkle Contry. Corp.	5-28-96
Casey	FD GR 96 0000013	1,275.00	S	Hinkle Contg. Corp.	5-29-96
Robertson	CB06-101-1504-0-4	16,744.00	S	Hinkle Contry. Corp.	1-31-96
Montgomery	CB GR 95 0000252	21,600.00	S	The Walker Co.	2-5-96
Trimble	CB06-112-1226-1-4	4,373.79	S	Ohio Valley Asphalt	4-30-96
Oldham	CB GR 95 — 261	5,093.88	S	Ohio Valley Asphalt	5-7-96
Henry	FD05-52-389-19-22	6,660.00	S	Ohio Valley Asphalt	6-10-96



COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Madison	STP 421-3 (10)	24,909.06		The Allen Co.	9-17-96
Shelby	STPR 155-1 (23)	42,510.00		Hidden Hollow Const	9-17-96
Pulaski	FDD5-100-808-0-3	18,300.00	S	Hinkle Contr.	10-1-96
Henry, Etc.	FD GR 96 — 58	115,775.46	S	Ohio Valley Asphalt	8-15-96
Jefferson	STPR 150-1 (68)	2,051.25		Rose Const. Co.	8-27-96
Pulaski	CB GR 96 — 23	64,749.85	S	Hinkle Contr.	8-23-96
Trimble	CB GR 96 — 111	11,305.20	S	Ohio Valley Asphalt	9-24-96
Laurel	STPR 5203 (18)	23,350.00		Elmo Greer Sons	10-4-96
Whitley	FDD4-118-8418	15,377.24	S	Elmo Greer Sons	10-4-96
Pike	DPR 0089 (1)	138,706.25		Bizzack, Inc.	11-6-96
Jefferson	FD39-56-22-2-3	5,700.00	S	Mac Const + Excav.	8-1-96
Henry Oldham	FD GR 96 — 59	114,775.35	S	Ohio Valley Asphalt	8-9-96
Gallatin	CB GR 96 — 80	18,807.07	S	Ohio Valley Asphalt	9-23-96
Fayette	TM-NH-MA 75-4 (53)	49,576.76		LM Asphalt Partners	11-25-96
Madison	TM-NH-MA 75-3 (71) 88	272,377.00		The Allen Co.	11-27-96
Shelby	DSB 155-1 (24)	54,106.00		Haydon Brothers Contr.	11-27-96
Henry	BRD 420-1 (54)	5,139.25		Judy Const Co.	12-2-96
Bourbon	FDD5-9-1878-0-4	11,075.00	S	Hinkle Contr. Corp	5-24-96
Montgomery	FDD5-87-1050-0-4	10,375.00	S	The Walker Co.	5-24-96
Bourbon	FDD5-9-68X 0-2	4,760.00	S	Hinkle Contr. Corp.	7-8-96
Clark- Montgomery	FD GR 96 — 47	211,858.96	S	The Walker Co.	7-8-96
Garrard	FD39-40-27-10-11	6,920.00	S	The Allen Co.	7-26-96
Fayette	FD GR 96 — 28	37,485.00	S	LM Asphalt Partners	8-29-96
Fayette	FD GR 96 — 46	40,383.75	S	LM Asphalt Partners	8-29-96
Jessamine	CB GR 96 — 55	6,945.98	S	Lexington Quarry Co.	8-29-96
Bourbon	FDD7-9-68-8-9	10,230.00	S	Hinkle Contr. Corp.	9-10-96
Clark	CB GR 96 — 42	2,148.96	S	The Allen Co.	9-10-96
Madison	BRD 421-2 (18)	5,370.00		Bluegrass Contr. Corp.	9-24-96
Kenton	FEOL-59-75-183-185	131,735.00	S	Spartan Const.	9-26-96
Scott	CB GR 96 — 38	15,298.50	S	Hamilton, Hinkle + Ruth	9-30-96



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# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Volunteer Highway Supply Co., Inc.  
Name of Contractor

Knoxville, Tn.  
Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Scott	FE01105.75.12B.133	11,344.00	S	Hamilton, Hinkle & Ruth	11-27-96
Madison	IM.NH.MA.75.4(54)	351,651.62		The Allen Co.	12-5-96
Elliott	CBGR96 — 72	21,250.40	S	Hinkle Contr. Corp	9-25-96
Bourbon	FDD5.9.627.9-10	2,352.00	S	Hinkle Contr. Corp	6-3-96
McCreary	CB06 GR95 — 267	12,912.00	S	Hinkle Contr. Corp	5-14-96
McCreary	FDD5.74.1673.0-1	2,905.00	S	Hinkle Contr. Corp.	5-22-96
Pulaski	FD GR96 — 45	12,700.00	S	Hinkle Contr. Corp	6-24-96
McCreary	CB GR96 — 21	39,867.50	S	Hinkle Contr. Corp.	7-9-96
McCreary	BRD 5147 (14)	2,237.50		Judy Coast. Co.	7-18-96
Whitley	FDD5.118.90.0-3	23,115.00	S	Hinkle Contr. Corp.	7-19-96
Bath	BRO.5211 (70)	2,732.50		The Walker Co.	7-25-96
Whitley	FD GR96 — 17	27,450.00	S	Hinkle Contr. Corp.	7-31-96
Clay- Leslie	FD GR96 — 55	24,207.50	S	Mountain Enterprises	7-31-96
Fayette	IM.14.5(50)85	92,133.44		LM Asphalt Partners	12-20-96
Mason	FDD6.81.6B.5-12	43,572.00	S	H.G. Mays Corp.	8-16-96
Bath	CBGR96 — 49	4,883.75	S	The Walker Co.	8-29-96
Scott	DSB 460-1 (13)	7,067.50		Hamilton, Hinkle & Ruth	12-20-96
Cumberland	DSB 5106 (6)	29,129.00		Hi View, Inc.	1-24-97
Pulaski	0206.100.800B	14,273.50	S	Hinkle Contr. Corp.	2-24-97
Rowan	IM.NH.64.6 (47)134	244,609.25		Hinkle Contr. Corp.	1-30-97
Fayette	IM.NH.75.4(55)10D	224,864.58		LM Asphalt Partners	2-4-97
Madison	NH75.3(70)8B	38,358.85		Judy Coast. Co.	2-21-97
Whitley	DSB 7551 (4)	39,032.87		Elmo Greer & Sons	2-10-97

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Whitley-Laurel	TM-NH-75-2 (56) 25	110,967.37		Elmo Greer & Sons	3-5-97
Pulaski	FDGR96 — 105	11,375.00	S	Hinkle Contr. Corp.	3-7-97
Clark	FDD4-25-195B-0-2	87,380.00	S	The Allen Co.	2-24-97
Grant	FD39-41-25-14-15	12,471.65	S	Free Contr. Inc.	2-24-97
Kenton	CM B120 (32)	15,576.60		Spartan Const.	3-5-97
Jackson	BRD-5259 (45)	1,606.25		Kay + Kay Contr.	4-10-97
Clay	FDD4-26-421-1B-21	19,099.50	S	Elmo Greer + Sons	4-10-97
Bourbon	NH 27-2 (79)	17,326.00		Hinkle Contr. Corp.	4-10-97
Oldham	CR GR 96 — 12B	21,615.01	S	Ohio Valley Asph.	4-22-97
Wolfe	BRD-5266 (30)	2,983.25		Hinkle Contr. Corp.	4-28-97
Franklin	CR06-37-2B20-0-3	4,831.32	S	Ky. Stone Co.	4-29-97
Shelby	STPR 155-1 (25)	20,404.00		Earth + Energy Const.	1-13-97
Jefferson	BRZ 0503 (131)	7,054.60		Apez Contr.	5-23-97
Scott	BRZ 0703 (192)	1,089.56		Dillion Const. Co.	5-27-97
Casey	FDD5-23-7B-0-7	14,720.00	S	Hinkle Contr.	5-27-97
Breathitt	FDGR97 — 45	156,290.40	S	Hinkle Contr.	5-27-97
Fayette	BRZ 0703 (199)	936.00		Central Bridge Co.	5-30-97
Powell	FDGR97 — 49	11,600.00	S	Hinkle Contr. Corp.	6-1-97
Pulaski	FDGR97 — 37	92,061.00	S	Hinkle Contr. Corp.	6-3-97
Pike	FDGR97 — 69	162,379.00	S	Mountain Enterprises	6-13-97
Wolfe	FDGR97 — 57	10,660.00	S	Hinkle Contry Corp.	6-16-97
Harrison	BRD 27-2 (80)	22,984.00		D.L. Braughler Co.	1-6-97
Morgan	FDD4-88-711-5-7	3,300.00	S	Walker Const. Co.	2-19-97
Lee	FE02-65-11-3-905	1,820.00	S	Kay + Kay Contr.	3-5-97
Wolfe	FDD5-119-205-0-7	42,640.00	S	Hinkle Contr. Corp.	3-14-97
Casey	FDD5-23-2314-0-2	7,675.00	S	Hinkle Contr. Corp.	3-26-97
Bath-Greenup	FE GR 96 — 17	1,348.80	S	The Walker Co.	4-7-97
Boone	FDD6-8-1B-10-14	96,630.74	S	Eaton Asphalt Paving	5-15-97
Harrison	FDGR97 — 10	22,150.00	S	Hinkle Contr. Corp.	5-22-97
Estill	FDD5-33-89-13-17	18,450.00	S	Hinkle Contr. Corp.	6-5-97

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## CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Volunteer Highway Supply Co., Inc.      Knoxville, TN  
 Name of Contractor      Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Mercer	BRZ 0703 (198)	1,543.30	/	Judy Const. Co.	6-10-97
Scott	BRO 5200 (46)	1,125.00	/	Hinkle Contr Corp.	6-10-97
Fayette	STPS 27-1 (57)	26,286.15	/	Lexington Quarry Co.	6-12-97
Montgomery	FD05-87-646-13-16	6,050.00	S	Easyrider Const. Co.	6-12-97
Montgomery	FD05-87-60-5-7	5,550.00	S	Easyrider Const. Co.	6-12-97
Campbell	FD GR 97 — 27	8,497.40	S	Barrett Pav. Matl.	6-12-97
Fayette	FD05-34-4-2-9	162,945.00	S	L. M. Asphalt Partners	6-12-97
Fayette	FD39-34-75-111-112	7,708.00	S	L.M Asphalt Partners	6-12-97
Bath	FD GR 97 — 43	22,853.00	S	Easyrider Const.	6-16-97
Owen	STPR 127-1 (82)	39,087.50	/	Rifle Coal Co.	6-16-97
Rowan	FD05-103-519-0-1	5,500.00	S	Hinkle Contr Corp.	6-16-97
Washington	STBP 150-1 (27)	21,116.70	/	Nally + Haydon Inc.	6-23-97
Menifee	FD05-83-460-8-11	13,750.00	S	Easyrider Const.	6-24-97
Estill	CB GR 97 — 13	7,286.50	S	Hinkle Contr. Corp.	6-24-97
Breathitt	CB GR 97 — 38	9,499.00	S	Hinkle Contr Corp.	6-24-97
Madison	FD GR 97 — 7B	7,657.50	S	The Allen Co.	6-26-97
Morgan	FD GR 97 — 38	25,125.00	S	Hinkle Contr Corp.	7-1-97
Whitley	CB GR 97 — 41	19,370.50	S	Hinkle Contr Corp.	7-1-97
Scott	FD GR 97 — 41	21,181.00	S	Hamilton, Hinkle + Ruth	7-3-97
Madison	FD39-76-75-82-85	13,955.00	S	The Allen Co.	7-3-97
Shelby-Franklin	FD39-121-DW97-27	36,714.00	S	H.G. Mays Corp.	7-3-97
Floyd	FD GR 97 — 88	214,000.00	S	Mountain Enterprises	7-3-97
Rowan	STPR 5320 (14)	4,968.00	/	Rifle Coal Co.	7-8-97

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Powell	CB06-99-1057-2-5	7,552.84	S	Hinkle Contr. Corp.	7-8-97
Rowan	FD05-103-60-10-18	39,350.00	S	Hinkle Contr. Corp.	7-8-97
Nicholas	FD GR 97 — 79	27,465.00	S	Hinkle Contr. Corp.	7-8-97
Bell	BRZ 1103 (122)	2,036.06		Bush + Burchett, Inc.	7-16-97
Whitley	FD05-118-204-11-14	5,200.00	S	Hinkle Contr. Corp.	7-16-97
Jefferson	STPM B707 (22)	35,042.25		Spartan Const.	7-16-97
Montgomery	FD05-87-686-1-2	1,827.00	S	Easyrider Const.	7-17-97
Clark	FED1-25-9000-0-1	2,834.90	S	The Allen Co.	7-17-97
Pulaski	FED1-100-8535-1S	7,772.35	S	Hinkle Contr. Corp.	7-18-97
McCreary	FD GR 97 — 42	30,375.00	S	Hinkle Contr. Corp.	7-24-97
Bath	CB06-6-B26-0-3	14,030.25	S	Easyrider Const.	8-5-97
Franklin	FD GR 97 — 90	24,938.00	S	H. G. Mays Corp.	8-8-97
Gallatin-Boone	FD GR 97 — 70	33,855.00	S	Eaton Asphalt Paving	8-14-97
Pendleton-Harrison	FD GR 97 — 83	14,072.00	S	Hinkle Contr. Corp.	8-14-97
McCreary	CB GR 97 — 18	16,702.00	S	Hinkle Contr. Corp.	8-19-97
Oldham	FD GR 97 — 68	20,575.00	S	Ohio Valley Asphalt	8-22-97
Lincoln	STPS 27-1 (65)	600.00		The Allen Co.	9-3-97
Harrison	CB GR 97 — 103	48,264.00	S	Hinkle Contr. Corp.	9-10-97
Greenup	FD04-45-693-1-3	29,750.00	S	Shannon + Hurd	6-3-97
Mason	BRD 546-2 (12)	22,490.50		Haydon Bros. Contr.	8-29-97
Montgomery	CB06-B7-1331-1-6	11,645.75	S	Easyrider Const.	9-23-97
Garrard	BRZ 0703 (183)	1,100.00		The Allen Co.	9-23-97
Elliott	BRD STPR 7-1 (10)	9,246.00		Hinkle Contr. Corp.	9-26-97
Laurel	FD04-63-75-33-34	83,118.50	S	Kay + Kay Contr.	9-18-97
Casey	CB GR 97 — 105	19,190.00	S	Hinkle Contr. Corp.	9-22-97
Mason	FD GR 97 — 52	23,370.00	S	H. G. Mays Corp.	10-7-97
Bourbon	CB GR 97 — 43	11,075.00	S	Hinkle Contr.	10-8-97
Owen	STPR 127-1 (B7)	31,925.00		Judy Const. Co.	11-19-97
Laurel	STPS 25-2 (14)	22,457.25		Elmo Greer + Sons	11-19-97
Boone	FD04-8-B42-4-7	74,693.52	S	Hale Contr.	11-24-97

# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

01979

Volunteer Highway Supply Co., Inc.

Knoxville, Tr.

Name of Contractor

Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Both	FD05.6.36.13-16	15,900.75		The Walker Co.	11-26-97
Madison					
Reckcastle	STPR 421-2 (23)	25,510.52		Hill & Hill Co.	12-5-97
Pike	DPR-APD 0089(002)	127,503.29		Bizzack, Inc.	12-17-97
Whitley	BRZ 1103 (163)	3,576.00		Apex Contr.	12-16-97
Pulaski	FDD4-100-27-11-16	80,738.82		Hinkle Contr. Corp	12-23-97
Pulaski	FDD4-100-27-11-13	71,626.50		Hinkle Contr. Corp.	1-14-98
	8741 (5)				
Jefferson	STPS 8732 (10)	15,919.90		Highway Structures	1-20-98
Fayette	IM 64-5 (53) B3	143,585.80		L.M. Asphalt Part	2-17-98
Fayette-					
Madison	TM-NH-BRD 75-4(47)	20,809.96		C.J. Mahan Const.	3-3-98
Fayette	STPH B579(6)	44,904.35		Bluegrass Contr.	3-17-98
Breathitt	BRZ 1003 (III)	800.00		Hinkle Contr.	4-13-98
McCreary	BRO 5147 (15)	1800.00		Apex Contr.	4-20-98
Rowan	BRO 5253 (13)	2,840.00		Hinkle Contr. Corp	4-28-98
Wolfe	BRD 191-1(4)	5,102.64		The Walker Co.	5-4-98
Breathitt	FDD5-13-30-0-5	23,256.00		Hinkle Contr. Corp	5-6-98
Breathitt	FD GR 98 — 11	19,269.80		Hinkle Contr. Corp.	5-6-98
McCreary	CDD1-74-1651-0-2	11,511.55		Hinkle Contr. Corp	5-8-98
Nicholas	FDD5-91-36-0-4	15,131.25		Hinkle Contr. Corp	5-19-98
Estill	FDD5-33-499-5-8	15,390.00		Hinkle Contr. Corp	5-15-98
Whitley	FDD5-118-90-2-9	35,700.00		Hinkle Contr. Corp	5-15-98
Whitley	FDD5-118-25W-0-4	21,335.00		Hinkle Contr. Corp.	5-15-98
Wolfe	FDD5-119-1010-11-14	7,178.20		Winkle Contr. Corp.	5-15-98
Woodford	FDD5-120-60-0-9	21,247.80		H. G. Mays Corp	5-28-98

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Morgan	FD05-88-172-19-21	5,700.00	S	Hinkle Contr. Corp	5-28-98
Shelby	STPS 155-7(9)	5,497.75		A.S.L. Excavating	6-2-98
Bath	FD05-6-11-0-4	20,400.00	S	The Walker Co.	6-4-98
Pulaski	FD05-100-192-19-19	26,988.35	S	Hinkle Contr. Corp.	6-8-98
Harrison	BRZ 0603-(140)	3,772.80		Hinkle Contr. Corp	6-10-98
Kenton	FDGR98 — 5	5,035.00	S	Eaton Asph. Pav.	6-10-98
Rowan	FD GR98 — 22	17,522.00	S	Hinkle Contr. Corp.	6-12-98
Madison	FDD4-76-876-4-6	1,160.90	S	Allen Co.	6-15-98
Fayette	FDGR98 — 9	17,171.75	S	L.M. Asphalt Part.	6-15-98
Fayette	FD05-34-418-2-5	7,159.00	S	L.M. Asphalt Part.	6-15-98
Estill	FD05-33-52-0-4	20,640.00	S	Hinkle Contr. Corp	6-18-98
Nicholas	CB GR98 — 7	6,116.80	S	Hinkle Contr. Corp	6-22-98
Estill	CB GR98 — 11	9,539.20	S	Hinkle Contr. Corp	6-22-98
Morgan	FDGR98 — 36	48,941.60	S	Hinkle Contr. Corp	6-24-98
Oldham	FD05-93-329-1-6	10,180.80	S	Ohio Valley Asph	6-25-98
Pulaski	FD05-100-80-0-7	39,376.25	S	Hinkle Contr. Corp.	97 6-25-98
Pulaski	FD05-100-90-0-5	23,077.50	S	Hinkle Contr. Corp	6-26-98
Pike	FD39-98-460-14-23	119,428.75	S	Mountain Enterprises	6-26-98
Henry	FD05-52-1606-06	116,676.00	S	Ohio Valley Asph.	6-29-98
Kenton	FD GR98 — 20	23,163.65	S	Eaton Asph. Pav	6-29-98
McCreary	CB GR98 — 14	41,976.40	S	Hinkle Contr. Corp	6-30-98
Casey	CB GR98 — 9	31,297.00	S	Hinkle Contr. Corp	7-8-98
Fayette	FD GR98 — 7	99,894.50	S	Lexington Quarry	98 7-9-98
Muhlenberg	FDD6-89-9001-50-56	1,867,332.35	S	Westate Const.	6-19-98
Grayson	FDD4-43-9001	617,890.60	S	Scotty's Contr.	6-23-98
Fayette	STPM 8529.(6)	101,025.00		Bluegrass Contr.	6-15-98
Kenton	IM 75-A(79) 183	18,115.05		Eaton Asph. Pav.	7-10-98
Boone	IM STPR 75-7(110) 168	216,339.43		Eaton Asph. Pav.	7-10-98
Laurel-Whitley	FE GR98 — 12	4,270.00	S	Spartan Const.	7-15-98
Wolfe	FD05-119-15-9-16	36,900.00	S	Hinkle Contr.	7-17-98

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98-6-30-99 99-7-2-99 60-30-2000

# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Volunteer Highway Supply Co., Inc. Knoxville, Tn.  
 Name of Contractor Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Bourbon	STPS 5205 (17)	9403.60	98	Hinkle Contr. Corp	7-27-98
Bourbon	FDGR 98 — 29	19,200.00	S	Hinkle Contr. Corp	7-27-98
Gallatin	CBGR 98 — 12	8,713.18	S	Ohio Valley Asph.	7-27-98
Scott	FD04.105.25.2-4	34,115.00	S	Free Contr.	7-27-98
Menifee	FDGR 98 — 52	22,695.00	S	The Walker Co.	7-28-98
Trimble	FD05.112.421.0-7	59,775.00	S	Ohio Valley Asph.	7-31-98
Pike	FD39.98.73.6.15	227,375.00	S	Mountain Entrance	8-4-98
Harrison	FD05.49.27.14-20	34,800.00	S	Hinkle Contr. Rifle Coal Co. w/ Judy Const. Co	8-10-98
Owen	STPR 127-1 (B1)	34,950.00			8-10-98
Pulaski	CBGR 98 — 37	61,732.95	S	Hinkle Contr. Corp	8-12-98
Lawrence	FD39.64.23.18-24	151,022.56	S	Mountain Enterprises	8-13-98
Magoffin	FD05.77.2019.0-6	20,160.00	S	Hinkle Contr. Corp.	8-18-98
Morgan	FD03.88.519.3-11	49,600.00	S	Hinkle Contr. Corp.	8-18-98
Breathitt	CBGR 98 — 38	7,793.28	S	Hinkle Contr. Corp	8-18-98
Franklin	FD05.37.151.2-3	4,634.00	S	H. A. Mays Corp.	8-20-98
Owen-Carroll	FDGR 98 — 62	86,250.00	S	Ohio Valley Asphalt	8-20-98
Perry	BRX 1003 (131)	1,500.00		Bush & Burchett.	8-25-98
Fleming	BRX 0903 (93)	6,444.00		Kay & Kay Contr.	8-28-98
Franklin	FD03.37.60.8-11	15,640.00	S	H. A. Mays Corp.	9-8-98
Jessamine	STPS 0701 (49)	56,485.50		Haydon Bridge Co	7-20-98
Woodford	FED1.120.64.61-62	24,140.00	S	Spartan Const.	7-20-98
Montgomery	FD05.87.713.5-6	3,400.00	S	The Walker Co.	7-28-98
Montgomery	FD05.87.11.0-B	43,125.00	S	The Walker Co.	7-30-98

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Montgomery	FD05-87-213-1-6	16,850.00	S	The Walker Co.	7-31-98
Scott	FD05-105-227-0-4	15,934.80	S	Hamilton, Hinkle & Roth	8-14-98
Bourbon	CB GR 98 — 17	13,408.00	S	Hinkle Contr.	8-14-98
McCreary	FD05-74-92-18-26	42,330.00	S	Hinkle Contr.	9-11-98
Scott	FD05-105460-8-16	33,868.83	S	Citler Repaving, Inc	9-15-98
Bullitt	FD05-13-61-2-8	2,175.00	S	Hinkle Contr. Corp	9-24-98
Magoffin	BRZ 1003(125)(126)	1,575.00		Hinkle Contr. Corp.	9-24-98
Rowan	BR0 5253 (14)	2,900.00		D.L. Braugher	9-28-98
Knott	FD04-60-899-0-1	7,000.00	S	Apex Contr. Inc	10-5-98
Fayette	STP 418-1 (19)	149,110.92		Central Rock Mineral	10-5-98
Boone	STPS 8124 (7)	3,920.25		JPS Const. H.B. Mays w/ Spartan Cons	10-6-98
Franklin	TM 64-4 (80) 53	382,462.01			10-14-98
Pulaski	FD05-100-80-19-22	17,000.00	S	Hinkle Contr.	10-19-98
Spencer	0206-108-8045	7,512.50	S	The Ky Stone Co.	10-21-98
Whitley	CB GR 98 — 72	17,718.25	S	Hinkle Contr.	10-26-98
Montgomery	CB GR 98 — 104	26,511.50	S	The Walker Co.	10-27-98
Fayette	CB06-34-1973-7-11	4,958.24	S	L-M Asphalt Partners	10-20-98
Harrison	CB GR 98 — 56	22,186.74	S	Hinkle Contr.	11-3-98
Madison	BRZ 0703 (218)	1,440.00		Kay & Kay Contr.	11-9-98
Estill	DSB 5259 (51)	27,028.50		Hinkle Contr. Corp.	11-23-98
Trimble	CB06-112-316-3-5	5,490.00		Ohio Valley Asphalt	11-30-98
Scott	STPR 5199 (4)	4,411.52		Hinkle Contr.	12-1-98
Rowan	FD07-103-32-6-7	8,321.00	S	Hinkle Contr.	12-2-98
Laurel	BRZ 1103 (166)	1,100.00		Apex Contr.	12-15-98
Mason	STPS 546-2 (14)	25,199.50		H.B. Mays Corp.	1-7-99
* Fayette	STPR 418-1 (21)	90,632.95	OK	L-M ASPHALT PART	1-8-99
* Jefferson	STEM 8252 (5)	9,138.25	OK	Gohmann ASPHALT	1-14-99
Wolfe	NH 15-1 (37)	3,880.80		Rifle Coal Co.	1-15-99
* Madison	NH 25-3 (81) 87	5,157.98	OK	The Allen Co.	1-20-99
* Estill	STPR MA 5259 (50)	18,222.62	OK	Judy Const Co.	1-27-99





# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

H & G Construction Co., Inc.

Paducah, Ky.

NAME OF CONTRACTOR

ADDRESS

PCN	COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT	LETTING DATE	PRIME CONTRACTOR / DATE SUBCONTRACTOR / AMOUNT
	Graves	SP 42-383	59,404.50	C	Jim B. Smith 13,352.50
880881	Livingston- Crittenden	BRE 60-1(70)	386,017.41	11-18-88	
	McCracken	R55009(9) M 7612(7)	106,300.00	C	Hidden Hollow 7-19-89
890943	Christian	TR 24-3(34) 88	1,426,857.70	10-20-89	
	McCracken	TR 24-1(63) 4	14,150.00	C	Jim Smith Contr 2-20-90
900622	Christian	SRS 24-124-0-1	145,632.69	7-13-90	
901205	McCracken	BRS 5020(9)	1,017,458.81	12-14-90	
	Livingston	TR 24-2(57) 30	26,800.00	C	Jim Smith Contr 3-12-91
910554	McCracken	ED 7001-001	3,212,955.69	4-19-91	
910940	Ballard	BRE 60-1(95)	263,811.89	8-23-91	Jim Smith Contr 145,171.89 Creek Sod Farms 8,508.60
910942	Graves	BRS 5024(23)	431,237.21	"	Jim Smith Contr 99,819.00 N.H. Stone, Inc. 22,261.62
911006	McCracken	BRS 5019(5)	150,812.34	9-13-91	Jim Smith 34,240.04 G.B. Stone 10,610.00
911105	Ballard	BRE 60-1(94)	424,501.08	9-27-91	Jim Smith 176,722.40 N.H. Stone 26,299.80 Triangle Const 7,822.50
911205	Trigg	ED 7101-001	1,779,347.53	12-3-91	Creek Sod Farms 99,453.82
920340	Calloway	SSP 18-94-22-23	784,495.46	5-22-92	George B. Stone 41,109.67 Jim Smith 346,109.67
920828	McCracken	BRZ 0103(135)	112,449.98	9-11-92	N.H. Stone 17,089.75 Jim Smith 27,875.96
920824	Ballard	BRO 60-1(103)	440,665.75	9-25-92	Jim Smith 63,505.25 N.H. Stone 17,702.25
	Trigg	ED 7102-025	1,753,937.07	C	Dixie Pavers, Inc. 5-11-93
930705	McCracken	ED 7001-002	684,498.53	7-23-93	
930936	Graves	BRZ 0103(146)	181,186.22	11-19-93	
940789	McCracken	ED 7001-003	1,526,949.25	2-18-94	Hopper Industries 883,579.60 Mt. Carmel Sand 114,252.25 Jim Smith 244,864.50
940214	Graves	CB 06-42-944-1-963	55,341.13	3-25-94	
940789	McCracken	ED 7001-003	6,744,426.31	8-19-94	Green River Seed 188,857.70
941075	McCracken	BRZ 0103(153)	793,140.22	11-18-94	95
941136	McCracken	FE 02-73-60-19-860	NO 57,905.58	12-16-94	
950474	Calloway	FD 0418-641X-2-3	NO 1,211,979.98	8-18-95	Murray Paving 276,743.00 James Sanders Nursey 277,084.
950699	Graves	FD 04-42-121-8-12	3,313,397.55	10-20-95	Jim Smith 1,286,560.27
960095	McCracken	BRO 60-1(116)	378,669.97	2-23-96	96

PCN	COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT	LETTING DATE	PRIME CONTRACTOR / DATE SUBCONTRACTOR / AMOUNT
960811	McCracken	STP-DSB 7627 (8)	9,902,691.61	11-1-96	Jim Smith Contr. 997,540.0 James Sanders Nursery 170,920.
X	X	X	X	X	Meeks Electric 69,868.50
970146	McCracken	BRD 5009 (13)	1,095,505.96	7-18-97	Jim Smith Contr. 315,821.4 James Sanders Nursery 27,167.46
X	X	X	X	X	Eden Fence 36,329.3
970392	Union	BRD 56-1 (5)	546,249.92	6-27-97	
970460	McCracken	FED 1-73-45-B-9	96,727.30		
970574	Carlisle	BRD 51-1 (40)	660,467.75	8-29-97	
	Christian	NH 41-3 (5)	630,412.00		Rogers Group 10-16-97
970721	Calloway	STPR 5000 (20)	352,088.23	10-24-97	
970726	Ballard	BRD 60-1 (127)	529,241.23		
970729	Graves	BRD 5002 (8)	839,617.69		
970806	Hickman	BRZ 0103 (197)	1,025,767.38	12-19-97	
980002	Calloway	STPR 5000 (20)	342,481.85	1-30-98	
980031	Hickman	BRZ 0103 (198)	668,235.53	2-20-98	
980038	Livingston	BRZ 0103 (199)	1,346,758.25		
	Trigg	12206-111-B036	13,630.00		Murry Paving Co. 3-25-98
	Graves	FDD 4-42-121-B-12	134,079.40		Jim Smith Contr. 6-2-98
980485	Christian	STP 7207 (5)	1,464,286.04	7-24-98	Sub. Rogers Group 548,618.30 JV. Westate Const.
980490	Graves	BRZ 0103 (202)	517,018.62		
980502	Graves	FDD 4-42-121-B-12	3,102,576.84		Jim Smith 201,255.53 James Sanders Nurs 51,929.00
	X	X	X	X	Meeks Electric 34,171.95
980570	Hopkins	BRZ 0203 (241)	528,068.58	8-28-98	
980859	Livingston	BRZ 0103 (206)	841,448.85	12-18-98	
990508	McCracken	BRZ 0103 (214)	617,674.97	6-18-99	

# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Spartan Construction, Inc.

Name of Contractor

Burlington, Ky.

Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Pendleton	F 27-2 (33)	26,066.75	C S	Hale Contr.	5-21-85
Pendleton	F 27-2 (34)	14,070.00	C S	Ranklin & Gaffney	6-24-85
Boone	IR 75-7 (70) 179	97,776.40	C	Eaton Asph. Pav.	7-19-85
Pendleton	F 27-2 (35)	26,640.00	C	R & B Contr.	8-22-85
Anderson	SSP 3 9002 47-52	108,423.00	C S	Mago Const.	7-8-86
Washington	SSP 115 9002 39-42	75,125.68	C S	Mago Const.	8-25-86
Simpson, etc	IR 65-1 (52) 0	733,176.40		Apex Contr.	11-26-86
Kenton	M B 160 (8)	167,231.15		Lawrence Const & Leasing	7-21-87
Barren Hart	IR 65-2 (38) 52	294,391.30		Scotty's Contr.	7-23-87
Bracken Mason	ED 01-13 ED 01-14	218,331.00	S	H.G. Mays	8-20-87
Lewis	ED 01-19	80,369.80	S	H.G. Mays Judy Const.	9-1-87
Pendleton	F 27-2 (52)	26,968.50	S	Shannon & Hurd	9-9-87
Campbell	F B-1 (7)	56,976.00	S	Hale Contr.	9-15-87
Franklin Shelby	IR 64-3 (32) 43	51,720.00		H.G. Mays	9-23-87
Boone	ED 18	524,162.20	S	Eaton Asph. Pav.	10-7-87
Pendleton	F 27-2 (51)	17,588.00	S	Hale Contr.	11-11-87
Franklin Shelby	IR 64-3 (32) 43	215,469.60		H.G. Mays	2-25-88
Rockcastle	IR 75-3 (58) 65	218,518.20		Elmo Greer & Sons Leasing	5-4-88
Jeff.	M 9070 (3)	241,409.00		Lawrence Const & Leasing	6-1-88
Simpson, Etc.	IR 65-1 (52) 0	207,750.00		Apex Contr.	6-14-88
Powell	SSP 99 402 22-27	183,087.30	S	Hinkle Contr. Walker Const.	6-27-88
Powell	SSP 99 402 26-30	166,839.40	S	Hinkle Contr. Walker Const.	8-16-88
Kenton	M B 120 (22) 0	960,935.30	-	Interstate Asphalt	8-31-88

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Campbell, et	ED 01-75 85	42,742.90	S	Elmo Greer & Sons	8-18-88
Powell	SSP 99 402 29-33	152,320.20	S	Hinkle Contr. Walker Const	9-1-88
Powell	SSP 99 402 26-30	58,795.66	S	Hinkle Contr. Walker Const	11-1-88
Bracken	ED 01-9 10	158,037.00	S	Eaton Asph Paving	2-28-89
Campbell	ED 01-6A	121,129.23	S	Mago Const. Co.	3-27-89
Powell	SSP 99 402 19-23	130,388.76	S	Hinkle Contr Walker Const	5-23-89
Fleming- Mason	F 32-1 (15)	113,830.00	S	H.G. Mays	6-14-89
Carroll- Gallatin	IR 71-2 (44) 45	201,574.23		D.M.C. Trucking	7-14-89
Fayette	SSP 34-68-3-6	15,840.00	S	Central Rock Min. Lexington Quarry	7-26-89
Carroll, Etc	IR 71-2 (45) 48	317,692.93		Hale Contr., Inc.	7-27-89
Bourbon	SSP 9-27-8-16	11,880.00	S	Hinkle Contr.	7-27-89
Powell	SSP 99-402-16-20	139,849.00	S	Hinkle Contr. Walker Const Co	8-8-89
Powell	SSP 99-402-32-36	165,488.50	S	Hinkle Contr. Walker Const Co	8-17-89
Boone	IR 71-3 (37) 73	119,469.72		DMC Trucking	9-15-89
Fayette	IR 75-4 (41) 100	499,651.01	C 9-22-89		
Anderson	SSP 3-127-8-12 SSP 3-127B-0-7	64,512.00	S	Mago Const Co.	2-5-90
Kenton	HES 8/22 (8)	35,531.95		Eaton Asph. Pav.	2-15-90
Campbell	ED-01 B3-B4	54,602.15	S	Mago Const	3-30-90
Boyle	SSP 11-127B-0-6	86,000.00	S	The Allen Co. Inc	5-1-90
Kenton	IR 75-8 (63) 191	803,633.77		INCISA USA Inc.	5-7-90
Campbell	SSP 19-546-4-7	92,967.70	S	Eaton Asphalt Paving	6-27-90
Campbell	SSP 19-546-7-10	62,719.00	S	Mago Const Co.	6-27-90
Wolfe	SSP 119-402-36-40	257,544.00	S	Hinkle Contr. Corp	7-10-90
Scott	IDB 75-5 (26) 122	70,289.00		Hamilton-Hinkle DMC Cadell	8-17-90 9-28-90
Boone	IR 75-7 (100) 177	463,201.50			
Wolfe	SSP 119 402 39-44	226,997.00	S	Hinkle Contr	9-28-90
Campbell	SSP 19-546 3-5	105,952.79	S	Eaton Asph. Interstate Asph	10-25-90
Boyle	SSP 11 127B 0-6	123,388.00	S	The Allen Co.	11-2-90
Franklin	SSP 37-127-0-4	183,078.50	S	H.G. Mays, Inc.	1-17-91
Boone- Kenton	IR 275-9 (77) 9	142,238.50		W.L. Harper Co.	1-18-91

# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Spartan Construction, Inc.  
Name of Contractor

Burlington, Ky  
Address

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Kenton	SSP 59-7250	123,183.00	S	Lawrence Const + Leasing	1-18-91
Kenton	SSP 59 17 18-21	33,396.00	S	Lawrence Const + Leasing	3-5-91
Boone	SSP 8-8508	123,552.00	S	Hale Contr. Co.	4-1-91
Franklin	SSP 37 127 3-5	160,439.25	S	H. G. Mays Corp / Shannon & Hurd Const	5-1-91
Scott	IR 75-6 (72) 126	75,041.34		Hamilton, Hinkle, Ruth	5-7-91
Knox	SSP 61-25E-0-4	212,660.50	S	Elmo Greer & Sons	5-13-91
Nelson	SSP 90 9002 24-39	2,433,564.05	CS 6-21-91		
Kenton	M 8167 (4)	24,108.00		Lawrence Const & Leasing	7-15-91
Boone - Kenton	FIR 75-B (70) 183	632,410.38		Eaton Asph Pav.	7-23-91
Scott	TDR 75-6 (73) 130	99,135.00		Hamilton, Hinkle & Ruth	7-24-91
Campbell	SSP 19-27-0-11	269,000.00	S	Mago Const Co.	8-9-91
Mercer	ED 7209-001 SSP 84-127-11-14	95,912.00	S	Mago Const. Co.	7-30-91
Kenton	SSP 59-371-1-2	115,207.00	CS 9-13-91		
Breckinridge	F 60-3 (54)	167,839.20	S	E. H. Hughes Co.	12-16-91
Harrison	BRZ 0603 (112)	29,800.00		Apex Contr.	1-27-92
Scott	TDR-IR 75-6 (74) 134	93,746.20		Hamilton, Hinkle & Ruth Central Rock Mineral	12-16-91
Carroll, Etc.	IM 71-2 (49) 44	478,192.20		Lawrence Const + Leasing	3-6-92
Kenton	BRM 8161 (4)	48,408.00		Hale Contr. Corp.	2-20-92
Rowan	IR-RS 64-6 (43) 133	109,746.80		Shannon & Hurd Const	3-23-92
Mercer	ED 7209 002	138,873.80	S	Mago Const Co.	3-31-92
Johnson	BR 27 3-1	204,330.50	S	Bizzock, Inc.	5-13-92
Johnson	BR 27-6	17,862.50	S	Bizzock, Inc.	5-29-92
Campbell	SSP 19-1998-2-6	93,326.00	S	Interstate Asphalt	7-13-92

COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT OR SUBCONTRACT	LETTING DATE	NAME OF PRIME IF SUBCONTRACT	SUBCONTRACTOR OR APPROVAL DATE
Henry, Etc.	TM 71-2 (54) 37	504,185.00	C 9-11-92		
Knott	SSP 60-80-16-20	169,736.25	S	Mountain Enterprises	8-5-92
Hardin-Meade	MP 121 DW 92-66	29,211.90	C 11-13-92		
Mason	MA 68-1 (10)	57,108.70		H.G. Mays Corp	12-4-92
Boone	SSP 8-212-0-2	119,110.00	S	Eaton Asph Pav. Co.	11-13-92
Kenton	BRO 8202 (4)	27,800.00		Hale Contracting Co	1-11-93
Kenton	TM-NH 75-B (71) 184	366,419.55		Central Rock Mineral Ambrosius Erecting W.L. Harper Co	3-11-93
Kenton	IR 75-8 (63) 191	34,477.26		INCISA U.S.A., INC	6-14-93
Boone	TM-NH 75-7 (102) 173	121,580.00		EATON ASPHALT PAVING	6-14-93
Floyd	SSP 0360080000-005	198,127.50	S	Mountain Enterprises	6-14-93
Bell	ED 7601 001	132,269.90	S	Elmo Greer & Sons	6-23-93
Anderson	SSP GB 93-42	294,952.23	S	Mago Const Co.	6-29-93
Pike	FDD 6-98-119-0-4	84,765.00	S	Mountain Enterprises	7-26-93
Boone-Kenton	NH 75-7 (104) 181	158,794.75		Interstate Asphalt	8-11-93
Hardin	FE 01-47-65-98-104	77,372.75	S	Scotty's Contr & Stone	8-24-93
Carroll	TM 71-2 (55) 39	11,928.50		Hale Contr. Co.	8-24-93
Bullitt-Jefferson	FE 01-121-DW 93-83	132,073.83	S C 11-19-93		
Fayette	TM-NH 75-4 (48) 105	98,073.75		L-M Asphalt Partners	12-10-93
Boone	FE 01-8-20-20-21	80,400.00	S C 12-17-93		
Bowen	FDD 4-103-60-7-10	903,861.15	S	Hinkle Contr. Corp	2-18-94
Fayette	NH 27-1 (51)	60,725.55		The Allen Co. w/ Lexington Quarry	6-28-94
Pendleton	FD 05-096-27-6-9	35,000.00	S	Mago Const. Co.	7-25-94
Grant	FD 05-41-75-143-150	257,364.50	S	Barrett Paving Matl.	8-19-94
Pike	FDD 6-98-23-27-32	162,000.00	S	Mountain Enterprises	9-23-94
Nelson	FD 06-90-9002-20-21	7,175.00	S	Bluegrass Elect. Cons	11-15-94
Bell-Knox	ED 7601-025	99,803.60	S	Elmo Greer & Sons	12-7-94
Kenton	STR 5 8203 (4)	36,909.00	X	Hale Contr. Co.	2-3-95
Campbell	FDD 4-19-10-0-3	77,291.00	S	Central Rock Mineral	2-7-95
Fayette	TM 64-4 (76) 71	88,696.67	A	L-M Asphalt Partners	3-1-95
Scott	TM 75-6 (80) 130	22,727.45	A	Judy Const. Co.	3-9-95

# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Spartan Construction, Inc.      Burlington, Ky.  
 NAME OF CONTRACTOR      ADDRESS

PCN	COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT	LETTING DATE	PRIME CONTRACTOR / DATE SUBCONTRACTOR / AMOUNT
	Johnson	APD-HWID 23-1(113)	176,336.00	X C	Mountain Enterprises 3-21-96
	Boone	ED04-B-842-4-7	230,725.30	S C	Hale Contr. Co. 4-4-95
950188	Kenton	ED GR 95 — 51 CM 3003 (B1)	1,082,785.47	S C 5-26-95	
	Fayette	STPM 268-1(10)	111,873.90	X C	The Allen Co. 7-24-95
950192	Pendleton Harrison	ED GR 95 — 8	63,455.00	S C	Mago Const. Co. 7-24-95
950438	Floyd	MA 80-5 (7)	321,593.50	X C	Mountain Enterprises 8-15-96
950404	Lawrence	MA 23-1 (115)	252,978.00	X C	Mountain Enterprises 8-7-96
950473	Various	CM 3002 (63)	2,048,800.87	X C 8-30-95	N.H. Stone, Inc. 966,497.99 Bluegrass Elect 783,701.17
	Boone	ED04-B-842-2-5	1,191,471.75	S C	Central Rock Mineral 8-24-96
	Knott	FDD6-60-80-12-16	22,186.50	S C	Mountain Enterprises 8-30-96
	Pike	MA 23-1 (118)	237,710.00	X C	Mountain Enterprises 8-30-96
	Clark- Montgomery	ED GR 95 — 23	5,290.00	S C	Walker Const. Co. 9-25-95
950697	Campbell	ED04-19-9-13-14	1,328,000.00	S C 10-20-95	
	Boone- Kenton	BRO 5200 (42)	23,890.00	X C	Apex Contng 9-26-95
	Rockcastle	FDD5-102-75-51-59	234,340.50	S C	Elmo Greer & Sons 9-15-95
	Grant	ED04-41-2361-0-2	263,156.50	S C	Hale Contr. Co. 12-14-95
	Kenton	BBZ 0603 (126)	23,598.00	X C	Judy Const. Co. 12-1-95
	Pulaski	ED04-100-27-11-16	93,312.00	S C	Hinkle Contr Corp. 2-27-96
	Boone	TM 75-7 (106)	45,736.90	X C	Central Rock Min. 3-21-96
960442	Henry- Oldham	FD GR 96 DDCR 59	1,126,214.68	S C 11-21-96	
	Franklin- Owen	DSB MA 127-1 (77)	202,286.87	X C	Mago Const. Co. 5-10-96
960656	Kenton	EED1-59-75-183-185	876,000.00	S C 8-23-96	
	Whitley- Knaz	FD04-118-8618 FD04-61-8561	105,828.00	S C	Elmo Greer & Sons 5-10-96
	Pike	APD 119-2 (48)	321,649.50	X C	Mountain Enterprises 7-15-96
	Madison	STP 421-3 (10)	191,318.00	X C	The Allen Co. 9-17-96
	Warren	TM NH 65-1 (61)	2,004,207.98	X C	Scotty's Contng 11-14-96
	Henry, Etc	ED GR 96 — 5B	765,418.00	S C	Ohio Valley Asphalt 8-9-96
	Fayette	TM-NH-MA-75-4 (53)	88,688.44	X C	LM Asphalt Partners 11-25-96



PCN	COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT	LETTING DATE	PRIME CONTRACTOR / DATE SUBCONTRACTOR / AMOUNT
	Madison	TM.NH.MA75-3(71)BB	414,153.75	X C	The Allen Co. 11-22-96
	Mercer	ED 7214-015	243,428.00	S C	Mago Const Co. 8-27-96
	Mercer	ED 7214-025	161,990.00	S C	Mago Const Co. 8-29-96
	Madison	TM.NH.MA75-4(54)	457,460.50	X C	The Allen Co. 12-5-96
	Pulaski	FD06.100.27.0.7	484,626.60	S C	Hinkle Contr Corp 7-11-96
970002	Kenton	CM B120(32)	508,831.02	X C	11-31-97
	Mason	FD06.B1.6B.5-12	443,487.92	S C	H.G.Moys Corp. 8-13-96
	Christian-Hopkins	MA 9004(1)	39,120.00	X C	Westate Const. 2-12-97
	Rowan	TM.NH.64.6(47)134	470,180.00	X C	Hinkle Contr. Corp. 1-30-97
	Fayette	TM.NH75.4(55)100	117,190.00	X C	LM Asphalt Partners 2-4-97
	Madison	NH 75.3(70)BB	79,845.00	X C	Judy Const. Co. 2-21-97
	Whitley-Laurel	TM.NH 75.2(56)25	505,423.35	X C	Elmo Greer & Sons 3-5-97
970264	Jefferson	STPM B707(22)	2,388,034.81	X C	5-23-97
970348	Clark-Montgomery	FE GR 97 — 9	104,063.60	S C	
	Jefferson	TM.NH 265.2(4)12	248,926.40	X C	Gohmann Asphalt 4-15-97
	Hopkins	MA 9001(1)	4,896.00	X C	Westate Const. 3-17-97
	Boone	FD06.B.18.10-14	344,169.23	S C	Eaton Asphalt Const 5-15-97
	Owen	STPR 127-1(B2)	123,789.00	X C	Rifle Coal Co. 6-16-97
	Washington	STPR 150-1(77)	100,440.00	X C	Nally & Haydon 6-23-97
	Scott	FDGR 97 — 41	46,656.00	S C	Hamilton, Hinkle & Ruth 7-3-97
	Boone	TM 275-9(B6)2	12,878.00	X C	Hale Contr. 7-11-97
	Gallatin-Boone	FDGB 97 — 70	65,800.00	S C	Eaton Asphalt Paving 7-11-97
	Fayette-Madison	TM.BRD.NH 75.4(47)97	26,250.00	X C	Skilton Equipment. 10-8-97
	Owen	STPR 127-1(B7)	105,309.50	X C	Judy Const. Co. 10-31-97
	Gallatin	FDDB.39.3002.0-1	86,369.04	S C	Hale Contrg 9-30-97
	Boone	FD04.B.842.4-7	138,526.35	S C	Hale Contrg 11-24-97
	Kenton-Campbell	TM 275.9(B5)14	? 418,852.00	X C	Unicustom, Inc. 1-6-98
	Pulaski	FD04.100.27.11-16	208,315.00	S	Hinkle Contr. Corp. 1-13-98
	Pulaski	FD04.100.27.11-13	120,227.50	S	Hinkle Contr Corp. 1-30-98
980349	Laurel-Whitley	FE GR 98 — 12	392,182.81	S C	5-29-98
980386	Woodford	FE01.120.64.61-62	595,876.55	S C	6-12-98
	Hopkins	FD06.54.9004.37-46	12,000.00	S	Westate Const 3-5-98





# CONTRACTORS PERMANENT WORK RECORD

(Including Prime Contracts - Joint Ventured Contracts - Subcontracts)

Matsuda, Inc.

Brooks, Ky.

NAME OF CONTRACTOR

ADDRESS

PCN	COUNTY	PROJECT NUMBER	AMOUNT OF CONTRACT	LETTING DATE	PRIME CONTRACTOR / DATE SUBCONTRACTOR / AMOUNT
930704	Breckinridge	BRZ 0403 (95)	252,646.12	C 7-23-93	Mago Const. Co. 21,432.2 National Reinf. Steel 27,758.46
	Daviess	KBD 10-1(2)	1,044,755.70	C	National Engineering 11-19-93
940233	Hardin	FDD4-47-31W-0-2	3,560,002.67	C 4-22-94	
	Jefferson	STPM 8753 (9)	923,664.69	C	Highway Structures 8-12-94
	Daviess	KBD-NH-10-1(5)	1,907,391.89	✓ C 95	Kay+Kay 10-20-94
	Daviess	KBD-NH-10-1(5)	1,588,756.97	✓ C 95	Kay+Kay Contr 3-29-95
	Jefferson	FDD456-907-3-4	3,992.45	C	Hidden Hollow 5-25-95
	Jefferson	STPR 150-1(68)	526,771.90	✓ C 95	Rose Const Co. 6-6-95
950303	Hardin	FDD4-47-7189	2,870,682.30	C 6-23-95	
	Marion	BRZ 0403(106)	94,385.75	✓ C 95	Van Meter Contr 6-15-95
950388	Campbell	STPR-CM 27-2 (76)	9,387,000.00	✓ C 7-21-95	95 <span style="border: 1px solid black; padding: 2px;">CHECK</span>
950282	Jefferson	STPM-9073(4)	418,723.80	✓ C 95	Shamrock Inter. 8-21-95
	Jefferson	STPM 8729 (7)	124,228.02	✓ C 95	Highway Structures 9-25-95
950819	Carroll	FEAR 95 — 31	34,490.33	C 11-3-95	
	Jefferson	STPM 9073 (4)	29,084.00	96 C ✓	Bashco Corp. 10-31-95
	Perry	BRO 5375 (21)	14,952.00	✓ C 95	Kay+Kay Contr. 1-2-95
	Bullitt	FDD4-15-8515	467,678.44	C	Triple J. Const. 1-16-95
960298	Hardin	STPS 8267(4) *	272,088.51	96 C ✓ 5-24-96	
	Ohio	DSB 5075 (31)	1,664,818.10	96 C ✓	Scotty's Con. & Stone Co. 3-22-
	Jefferson	CM 8738 (21)	115,748.55	96 C ✓	Hidden Hollow Const 6-10-9
960583	Christian	STP 41-2(4) *	1,947,000.00	96 C ✓ 8-23-96	
960605	Grayson	CB06-43-1133-6-7	15,123.50	C 8-23-96	
	Todd	ED 7115-001	2,785,302.41	C	Scotty's Contr. 7-22-96
	Ballard	BRO 60-1(122)	1,888,084.79	97 C ✓	Jim Skaggs 6-17-97
	Hardin	FDD4-47-7189	78,200.03	C	Scotty's Contr 5-12-97
970725	Jefferson	DSB 8723 (7)	4,760,711.30	98 C ✓ 10-24-97	
970751	Jefferson	FDD4-56-8556	363,224.77	C	
970781	Jeff, Etc.	TM 64-2(151) 6	1,021,000.00	98 C ✓ 11-21-97	

