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Sarita S. Hardas University of Kentucky, saritash135@gmail.com

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Sarita S. Hardas, Student Dr. D. Allan Butterfield, Major Professor Dr. John Anthony, Director of Graduate Studies

INVESTIGATIONS OF OXIDATIVE STRESS EFFECTS AND THEIR MECHANISMS IN RAT BRAIN AFTER SYSTEMIC ADMINISTRATION OF CERIA ENGINEERED NANOMATERIALS

ABSTRACT OF DISSERTATION

A dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in the College of Arts and Sciences at the University of Kentucky

> By Sarita S. Hardas Lexington, Kentucky Director: Dr. D. Allan Butterfield, Professor of Chemistry University of Kentucky Lexington, KY 2012

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ABSTRACT OF DISSERTATION

INVESTIGATIONS OF OXIDATIVE STRESS EFFECTS AND THEIR MECHANISMS IN RAT BRAIN AFTER SYSTEMIC ADMINISTRATION OF CERIA ENGINEERED NANOMATERIALS

Advancing applications of engineered nanomaterials (ENM) in various fields create the opportunity for intended (e.g. drug and gene delivery) or unintended (e.g. occupational and environmental) exposure to ENM. However, the knowledge of ENMtoxicity is lagging behind their application development. Understanding the ENM hazard can help us to avoid potential human health problems associated with ENM applications as well as to increase their public acceptance. Ceria (cerium [Ce] oxide) ENM have many current and potential commercial applications. Beyond the traditional use of ceria as an abrasive, the scope of ceria ENM applications now extends into fuel cell manufacturing, diesel fuel additives and for therapeutic intervention as a putative antioxidant. However, the biological effects of ceria ENM exposure have yet to be fully defined. Both pro-and anti-oxidative effects of ceria ENM exposure are repeatedly reported in literature. EPA, NIEHS and OECD organizations have nominated ceria for its toxicological evaluation. All these together gave us the impetus to examine the oxidative stress effects of ceria ENM after systemic administration.

Induction of oxidative stress is one of the primary mechanisms of ENM toxicity. Oxidative stress plays an important role in maintaining the redox homeostasis in the biological system. Increased oxidative stress, due to depletion of antioxidant enzymes or molecules and / or due to increased production of reactive oxygen (ROS) or nitrogen (RNS) species may lead to protein oxidation, lipid peroxidation and/or DNA damage. Increased protein oxidation or lipid peroxidation together with antioxidant protein levels and activity can serve as markers of oxidative stress.

To investigate the oxidative stress effects and the mechanisms of ceria-ENM toxicity, fully characterized ceria ENM of different sizes (~ 5nm, 15nm, 30nm, 55nm and nanorods) were systematically injected into rats intravenously in separate experiments. Three brain regions (hippocampus, cortex and cerebellum) were harvested from control and ceria treated rats after various exposure periods for oxidative stress assessment. The levels of oxidative stress markers viz. protein carbonyl (PC), 3-nitrotyrosine (3NT), and protein bound 4-hydroxy-2-trans-nonenal (HNE) were evaluated for each treatment in each control and treated rat organ. Further, the levels and activities of antioxidant

proteins, such as catalase, glutathione peroxidase (GPx), glutathione reductase (GR), super oxide dismutase (SOD), were measured together with levels of heat shock proteins heme oxygenase -1 and 70 (HO-1 and Hsp-70). In addition, the levels of proinflammatory cytokines IL-1β, TNF-α, pro-caspase-3, and autophagy marker LC-3A/B were measured by Western blot technique. In agreement with the literature-proposed model of oxidative stress hierarchy mechanism of ENM-toxicity, the statistical analysis of all the results revealed that the ceria ENM-induced oxidative stress mediated biological response strongly depends on the exposure period and to some extent on the size of ceria ENM. More specifically, a single intravenous injection of ceria ENM induced tier-1 (phase-II antioxidant) response after shorter exposure periods (1 h and 20 h) in rat brain. Upon failure of tier-1 response after longer exposure periods (1 d to 30 d), escalated oxidative stress consequently induced tier-2 and tier-3 oxidative stress responses. Based on our observations made at chronic exposure period (90 d) after the single i.v. injection of ceria ENM, we could extend the model of oxidative stress hierarchy mechanisms for ceria-ENM-induced toxicity. Considering the evaluation of all the oxidative stress indices measured in 3-brain regions, oxidative stress effects were more prominent in hippocampus and the least in cerebellum, but no specific pattern or any significant difference was deduced.

Keyword: Ceria, cerium oxide, nanomaterial, nanoparticles, nanotoxicity, oxidative stress, phase-II enzymes.

Sarita S. Hardas

May 2, 2012

INVESTIGATIONS OF OXIDATIVE STRESS EFFECTS AND THEIR MECHANISMS IN RAT BRAIN AFTER SYSTEMIC ADMINISTRATION OF CERIA ENGINEERED NANOMATERIALS

By

Sarita S. Hardas

Dr. D. Allan Butterfield, PhD

Director of Dissertation

Dr. John Anthony

Director of Graduate Studies

May 2, 2012

Date

To my parents and my teachers

तया सर्वात्मका ईक्वरा | स्वकर्मकुसुमांन्धी वीरा | पूजा केली होय अपारा | तोषालागी || -ज्ञानेक्वरी अ १८- ૯९७

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CHAPTER 1

INTRODUCTION

The rapid growth of nanotechnology has given rise to vast array of engineered nanomaterials (ENM) that vary in size, shape, charge, chemistry, coating, and solubility. The present generation ENMs are designed to accomplish one type of task, but next generation ENM are envisioned to accomplish multiple tasks such as smart drug delivery devices, sensors, actuators. Due to the unique physical, chemical, electrical, optical, and magnetic properties, ENMs could accomplish variety of applications in various field including electronics, electrical, medicinal, chemical industry etc. The significantly different properties of ENM compared to constituent bulk material are attributed to the size- surface area, chemical composition, crystallinity, and surface functionalization of ENM. These very properties also dictate chemical and biological behavior of ENM and hence their toxicity (Nel et al. 2006; Buzea et al. 2007).

According to U.S. National Nanotechnology Initiative (NNI), an intentionally manufactured material with one or more dimensions in the range of 1 to 100 nm is referred to as engineered nanomaterial (ENM) (NSET). ENM are compatible in size to viruses, and due to their small sizes ENM can enter, and translocate within with circulatory system, penetrate biological barriers, and gain access to different biological compartments, as well as organelles. Therefore, although impressive from useful application point of view, the novel properties of ENM raise concern about detrimental effects on biological systems. There are about 40,000 publications discussing the usefulness of nanomaterials, however, only 500 discuss the potential toxic effects of the same (Buzea et al. 2007). As much as it is important to give attention to the health effects

related to ENM, at the same time it is important to remember that although by virtue of their unique physico-chemical properties all the ENM have potential to have toxic biological effects, not all the ENM are actually toxic to biological system (Oberdorster et al. 2009). Therefore, the major challenge before toxicologists is not to test every variation of new nanoparticles generated but to identify key factor andor tests that can be used to predict toxicity of ENM (Stone et al. 2006). Nel and co-worker and some other researchers are proposing that the biological hazards associated with ENM are best predicated by examining the oxidative stress effects within cells (Nel et al. 2006; Stone et al. 2006; Xia et al. 2006; Buzea et al. 2007; Meng et al. 2009).

One such metal oxide ENM is ceria (a.k.a. Cerium oxide, CeO₂, CAS# 1306-38-3), it has wide range of commercial applications including, abrasive for chemical-mechanical planarization, of advanced integrated circuits, as a diesel fuel catalyst ceria it is used to improve combustion, reduce fuel consumption, and decrease exhaust emission, solid oxide fuel cells solar cells (Murray et al. 1999; Esposito et al. 2008), (Corma et al. 2004) gas sensors (Izu et al. 2003), and UV screens (Gilliss et al. 2005). The popularity of ceria has drawn attention of NIEHS and OECD. Both these organization have nominated nanoscale ceria for toxicological consideration (NIEHS 2006; Oberdorster et al. 2009).

The primary anticipated routes of human exposure to ENMs intended for industrial or environmental applications include inhalation exposure, dermal uptake, and oral ingestion with possible subsequent absorption of ENMs into systemic circulation. Translocation from the lung to systemic organs, particularly the liver, has been observed before (Yokel et al. 2009). There is considerable interest in ENMs to enhance drug delivery to the brain (Koziara et al. 2006; Nazem et al. 2011). Although some data exist on the absorption properties and associated toxicities of ENMs after exposure via the pulmonary, oral, and topical routes, little is known about their distribution into the brain once they reach systemic circulation.

We selected ceria for the present dissertation work because; ceria has been reported to have both anti- and pro-oxidant effects. Ceria ENMs have toxic effects associated with increased oxidative stress (Brunner et al. 2006; Thill et al. 2006). However, numerous studies reported ceria ENM to be neuroprotective, suggesting that it has utility in medical disorders caused by reactive oxygen species (ROS) (Chen et al. 2006; Schubert et al. 2006; Das et al. 2007; Xia et al. 2008). Many of these results were attributed to antioxidant effects caused by defects (vacancies) in the ENM lattice structure and/or cerium (Ce) ion redox cycling. All these studies of the oxidative stress of ENMs were conducted in cell culture.

In addition to this, ceria is a very good candidate to characterize ENM biodistribution from blood and its effect on oxidative stress points because 1) It is an insoluble metal oxide that can be readily observed in situ by electron microscopy (EM), making it a useful in vivo tracer, 2) it is redox active (Zhang et al. 2004), 3) it can be functionalized [e.g. (Qi et al. 2008)], 4) it is available in sizes relevant to for up take across the blood brain barrier, one of the most limiting mammalian membranes, and it can be produced in a variety of different shapes by many different methods (Yokel et al. 2009).

The interest in ceria as a potential therapeutic agent with anti-oxidant properties is also increasing and there is possibility of future research for medical applications probability that it will be further studied for medical applications (Celardo et al. 2011). Therefore, understanding of its distribution from the central compartment (blood) and resultant

effects is important. The current study is the first report of the distribution and effects of ceria from the central compartment, after introduction into blood. As stated in a review of the risks of industrial nanomaterials, 'The effect of nanomaterials on organs ''inside'' the body (e.g., liver and brain) and the blood have been studied from the few publications on the permeation of nanomaterials through the lung, skin, or intestinal barrier . . .' (Wolfgang 2004). 2004). However, the form of ceria employed in the present study would not be effective as a vehicle for drug delivery to the brain.

The objective of this work is;

- To determine oxidative stress end-points in rat brain after its biodistribution from blood;
 - a. Measure marker of cellular redox status GSH:GSSG ratio
 - Measure levels and activities of phase II enzymes GPx, GR, catalase, SOD, GST etc.
 - c. Measure levels of protein oxidation, protein nitration and lipid peroxidation markers, as well as heat shock proteins.
 - d. Measure levels of cytokines, pro-apoptotic proteins, marker of autophagy.
- Influence of size, shape and exposure period on the oxidative stress effects of ceria ENM
 - a. Sizes: ~5nm, 15 nm, 30 nm, 55 nm
 - b. Aspect ratio / Shapes: cubic (aspect ratio-1), nanorod (aspect ratio- 5-60)
 - c. Exposure periods: 1 h, 20 h, 1 d, 7 d, 30 d, and 90 d
- 3) To understand the mechanism of oxidative stress effects induced by ceria ENM

Obtain different oxidative stress end-points from different exposure period after 30 nm ceria ENM administration.

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CHAPTER 2

BACKGROUND

The various new technologies are continuously exploring new avenues for applications of different nanoscale products and materials in optoelectronics, electronics, magnetic, medicine imaging, drug delivery, catalysis, dental bonding, corrosion-resistance, coating applications, consumer goods, motor vehicles, personal care products, and cosmetics (O'Brien et al. 2008). These applications capitalize on the physico-chemical properties of engineered nanomaterials (ENMs) such as strength, stiffness, weight reduction, stability, anti-fogging, scratch resistance, as well as optical, electromagnetic, catalytic, and pharmacokinetic. ENMs such as carbon based single walled (SWCNTs) and multiwall (MWCNTs) nanotubes, metal and metal oxide based nanoparticles, and nanofilaments, and quantum dots are building block for various current technological application. There are ~2500 commercial nanomaterials have been identified by Nanowrek, which includes ~27% metal oxides, 24% CNTs, 18% elements, 7% quantum dots and 5% fullerenes (Yokel et al. 2011).

2.1. What are engineered nanomaterials (ENMs)?

According to U.S. National Nanotechnology Initiative (NNI), nanoscale materials that are intentionally manufactured with at least one dimension in the range of 1 to 100 nanometers (nm) and have properties that are often unique owing to their dimensions (Yokel et al. 2011) (Figure 2.1a) can be termed as engineered nanomaterials (ENMs).



Figure 2.1a: The size of nanomaterials plays key role in determining their physicochemical and biological behavior. Typical nanoparticles size can vary from 1-100 nm and could be as small as glucose molecules or DNA or as big as a virus and can get access to the cellular compartments. Due to the smaller size of a nanomaterial the quantum effects becomes dominant, so similar to atoms and molecules nanomaterials may behave according to lows of quantum mechanics instead of laws of classical mechanics. [Adapted from (Yokel et al. 2011) with the copyright permission from BioMed Central publishing groups]

ENMs exhibit properties which differ in fundamental and valuable ways from those of individual atoms, molecules and bulk matters. There are two main size-dependent factors that govern the properties of nanomaterials: surface effects (related to the fraction of atoms or molecules present on the surface), and quantum effects (related to the materials with delocalized electrons) (Roduner 2006). Compare to micromaterials or bulk materials, for a given mass or volume nanomaterials have very large surface areas and a

high particle number per unit mass.. The specific surface area (surface area/ mass) and fraction of atoms or molecules on the surface of nanomaterial are inversely proportional to the size of nanomaterials (Figure 2.1b). Due to availability of larger specific surface area, chemical reactivity of nanomaterials may enhance by multiple -folds (Buzea et al. 2007). Thus the surface effects are a key to define the chemical and biological properties of nanomaterials.



Figure 2.1b: Specific surface area (surface area/ mass) (left) and the percent molecules present on the surface of ENMs (Bhabra et al.) are inversely proportional to the size of ENM. At 100 nm of size ~2% of molecules are present on the surface, but when size decreases to 10 nm or 2 nm, number of surface molecules increases up to 20% and 60%. As molecules present on surface are involved in the chemical interaction with surroundings, % surface molecules determines the chemical and biological reactivity of nanomaterials. [Courtesy (Roduner 2006)and (Oberdörster et al. 2005)]

2.2. Physico-chemical properties of ENMs

The differential chemical as well as biological reactivity of ENMs than their bulk materials is by virtue of physico-chemical properties such as size, shape or aspect ratio, aggregation, composition, and surface functionalization etc.

2.2.1. Size and surface area: Due to their small size, ENMs can be translocated from their point of entry to circulatory or lymphatic systems and gain access to various remote cellular compartments like the cardiovascular system or even brain, which are usually inaccessible to larger particles. Studies have demonstrated that small ENMs (<100 nm) cause adverse respiratory health effects compare to larger ENM of same material (Oberdörster et al. 1994; Donaldson et al. 2003; Oberdörster et al. 2005; Buzea et al. 2007). As the size of ENMs decreases, their specific surface area and fraction of atoms or molecules present on the ENM-surface increases exponentially (Figure 2.1b). With decreasing size, surface effects and quantum effects also become dominant, which are primary factors that render the physico-chemical properties of ENM significantly different than bulk materials. Especially ENM with one or more dimensions in the range of ~15 nm to 30 nm, exhibits differential chemical behavior and potential for more profound biological effects than either smaller or larger ENM with similar composition (Jiang et al. 2008; Auffan et al. 2009; Yokel et al. 2011). Therefore, size and specific surface area, are important factors to determine the toxicity of ENMs.

2.2.2. Aspect ratio: The morphology of ENMs can be sub- categorized as high aspect ratio and low aspect ratio ENMs. The classification of aspect ratio (length to diameter) is a relative terminology, typically ENMs with length $\leq 1 \mu m$ is considered as low aspect ratio materials, length $\geq 1 \mu m$ is considered as high aspect ratio materials (Yokel et al.

2011). Low aspect ratio morphology includes spheres, ovals, cubes, prisms, helices, pillar, wires or rods (Buzea et al. 2007). High aspect ratio morphology includes nanotubes and nanowires, with various shapes like helices, zigzags, belts, with varing diameters and lengths (Buzea et al. 2007). Depending up on the aspect ratio (L-length / D-diameter) of nanofilament, the toxicity of ENM varies, generally higher the aspect ratio, the more toxic the particle is (Lippmann 1990). Due to low clearance of long length fibers or high aspect ratio ENM (> $20 \mu m$) by alveolar microphages, their accumulated lead to various degrees of pulmonary toxicity ranging from asbestosis, mesothelioma to lung cancer (Lippmann 1990; Buzea et al. 2007). High aspect ratio material with length > $5\mu m$ and diameter $\geq 100 \text{ nm}$ is considered highly toxic, regardless of its chemical composition (Buzea et al. 2007; Lewinski et al. 2008). However, studies with long SWCNT's and MWCNT's with diameter < 100 nm are reported to produce significant pulmonary toxicity compared to short length or spherical nanomaterials (Lam et al. 2004; Warheit et al. 2004; Muller et al. 2005; Bottini et al. 2006; Kostarelos 2008; Kim et al. 2010). Similarly, even low aspect ratio material (D < 100 nm, $L < 1 \mu m$) which can escape phagocyte clearance entering into vascular system, might end up in various organs including brain, liver, kidney etc., causing adverse health effects (Buzea et al. 2007; Oberdorster et al. 2009; Yokel et al. 2011).

2.2.3. Agglomeration: Depending upon chemical and electromagnetic properties on ENMs, they can exist as dispersed aerosols, suspension or colloidal solution or in the form of aggregates. ENMs composed of single constituent material like metal, metal oxide, or carbon have a tendency to agglomerate; similarly, ENMs with magnetic properties tend to form clusters. In an agglomerated state, ENM lose its high-surface area

property and may behave as large particle depending upon the size of agglomerate. To prevent agglomeration, ENM are either coated with suitable materials or mixed with some other nanoscale material. For example magnetic ENM are coated with nonmagnetic materials (Buzea et al. 2007), metal oxide ENMs are coated with citrates (Hardas et al. 2010), whereas mixing nanopowders of silica (SiO2) or titania (TiO2) with CNTs can reduce agglomeration of CNTs (Davé et al. 2006).

2.2.4. Chemical composition: As stated on physico-chemical properties of ENM and their toxicity relation, "....Therefore, the toxic potential (of ENM) may change by reducing the size of particles, but the threshold value depends upon the chemical nature of (nano) particles...." (Fubini et al. 2010). ENM can be composed of single constituent materials like metal, metal oxides, inorganic material or carbon or composites of several materials as a mixture or doping of one material with other. The chemical properties of constituent materials play important roles in chemical reactivity of ENM as well as in the interaction of ENM with biological matter. For example, transition or redox active metal/ metal-oxide based ENMs also exhibit high catalytic behavior and often facilitate biological reactions or generate free radicals (Nel et al. 2006). This point is exemplified by the finding that zero valent iron ENM induced ROS levels in human bronchial epithelial cells owing to the redox properties of iron (Keenan et al. 2009). On other hand, TiO₂ responding to UV radiation can generate electron-hole pairs which can further induce reactive oxygen species (ROS) levels in biological system (Xia et al. 2008), whereas zinc metal is important for mammalian cells and therefore exposure to ZnO ENM can interfere with various metabolic reactions; in addition, the zinc ions that leach out from the ENM can induce ROS levels in cells (Xia et al. 2008).

2.2.5. Surface coating or functionalization: The surface of ENM is a key in determining the toxicity of ENM, as the surface makes direct contact with biological matter. Surface modification employed in drug development research, usually prolong ENM circulation in the blood, enhance its uptake at target site, affect its translocation, and alter the excretion of ENM. Surface coating can dramatically change the magnetic, electric, optical properties and chemical reactivity ultimately manipulating the biological toxicity. Researchers have shown that the presence of oxygen, ozone (Risom et al. 2005), oxygen radicals (Lioy et al. 2002), and transition metals on surface of ENM (Fubini et al. 2003), lead to generation of ROS and induction of inflammation. Studies on hamsters showed that formation of blood clots was increased when the surface of polystyrene ENM was functionalized by amine groups (Nemmar et al. 2002). Nickel ferrite ENM showed differential cytotoxic effects with and without surface coating of oleic acid (Yin et al. 2005). The cytotoxicity of fullerenes was systematically correlated with the chemical functionalization on the surface of ENM, and with decreased cell viability in human (skin and liver) carcinoma cells caused by increased lipid peroxidation mediated by ROS production (Sayes et al. 2004). Gold ENMs with CTAB coating were rendered nontoxic when the coating was replaced by benign polyethylene glycol moieties (Goodman et al. 2004; Connor et al. 2005). Cytotoxicity of quantum dots of CdS was dramatically reduced after application of proper surface coating material (Derfus et al. 2003).

2.3. Interactions at nano-bio interface

Currently our understanding of bio-physicochemical interactions at the nano-bio interface is far from clear; however, some pockets of knowledge available can guide us to develop

an understanding of this matter. As explained in detailed in Section 2.2., chemical activity as well as biological reactivity of ENMs depend on factors like size, surface area, chemical composition, crystallinity and surface coating, etc. Due to the size, which is 1000 to 10,000 smaller than a white blood cell, ENMs can travel with blood flow, potentially pass through cell membranes and can gain access to the various cellular compartments. However, once suspended in biological medium, it is the surface of the ENMs that directly interacts with biological environment. For a given size or specific surface area, the surface properties of ENMs are shaped by the material's inherent properties like, chemical composition, surface functionalization, shape and angle of curvature, porosity, charge, crystallinity, heterogeneity, roughness, and hydrophobicity or hydrophilicity (Vertegel et al. 2004; Oberdörster et al. 2005; Nel et al. 2006). On the other hand, by virtue of characteristic like the ionic strength, pH, temperature, presence of large organic molecules of the surrounding media, ENMs may acquire some properties such as effective surface charge, particle aggregation, and state of dispersion, stability / biodegradability, dissolution characteristics, hydration and valence of the surface layer. With the combined contribution of the inherent and acquired properties, ENMs can interact with bio-medium through (i) promoting the adsorption of ions, biomolecules, and natural organic materials, (ii) double layer formation, (Owens lii et al.) dissolution, or (Owens Iii et al.) minimizing free surface energy by surface reconstructuring.

In biological fluid, blood or plasma, by virtue of original or pre-existing surface properties (hydrophobicity, size, radius of curvature, charge, coating that exert steric or electrostatic effects, etc.) of ENMs, proteins interact and form a corona around the ENM constituting a primary nano-bio interface. The dynamic nature of protein corona comprise of characteristic protein adsorption and desorption, competitive binding interactions, steric hindrance offered by pre-adsorbed polymer or presence of detergent (from ENM suspension), and the protein profile of the biological fluid. The nature of corona also changes as ENM moves from one biological compartment to another.



Figure 2.3a: Formation of protein corona is dictated by pre-existing properties of ENMs. The colored symbols represent various types of proteins and biomolecules with different physico-chemical properties. [Adapted from (Nel et al. 2009) with copyright permission from Nature Publishing Groups]

The primary nano-bio interface will shape the fate of ENMs as well as they have influence on the structural conformation of proteins forming the corona. For instance, binding of proteins like complement and immunoglobulin to ENM, promotes receptormediated phagocytosis clearance of ENMs; polyethylene glycol (Moreira et al.)-coating hinders ENM interaction with plasma proteins which increases the circulation of PEGylated ENMs and decrease the clearance from body (Owens Iii et al. 2006). The presence of proteins and organic substances facilitates the leaching and solubalization of metal ions from ZnO, CdSe, iron oxide, and aluminum oxide based ENMs (Xia et al. 2008). On the other hand ENM and nano-bio interface may also alter conformations of proteins that form a corona on ENM leading to exposure of new epitopes, alterations in protein function or enzymatic activities (Nel et al. 2009) (Figure 2.3a). An abiotic experiment has shown that ceria, copolymer ENM and CNTs act as a catalyst, exposing protein interaction domains that induce human β -microglobulin fibrillation (Baca et al. 2006). There is no biological evidence available yet; however repetition of same process in the brain could contribute to the neurodegenerative process in Alzheimer disease (Nel et al. 2009). Similarly, possible formation of electron-hole pair or electron confinement on ENM's surface could oxidatively damage covalent bonding and cross-linking of protein-SH domains, e.g. SiO₂ ENMs induces unfolding of α -helix, and cause loss of enzyme activity in chicken egg lysosomes (Vertegel et al. 2004). On a similar note, if cryptic epitopes of proteins are exposed on ENM surface, then newly exposed antigen sites may induce auto-immune response (Figure 2.3b) (Nel et al. 2009).



Figure 2.3b: Impact of nano-bio interactions on protein structure and function. The colored symbol represents various types of proteins with different physico-chemical properties. [Adapted from (Nel et al. 2009) with copyright permission from Nature Publishing Groups]

2.4. Physico-chemical properties and toxicity of ENM

With burgeoning technological growth, intentional or unintentional exposure to any material used in industrial, consumer, medical or research application has always been a major topic of concern. Similarly, from the past decade, researchers are putting efforts in investigation of potential health risk associated with nanomaterial usage. However, compared to the exponential growth of nanotechnology, the efforts in the field of toxicity of nanomaterials are negligible. For example, compare to the number of publications on nanotechnology from year 1990 to 2005, the research publications on nanotoxicity during that period is less than 0.002% (Buzea et al. 2007; Yokel et al. 2009).

Nanometer sized particles generated from natural activities such as dust storms, forest fires, volcanic eruptions, ocean and water evaporation, organisms-viruses, or as byproducts of human activities, like diesel and engine exhaust nanoparticles, cigarette smoke, burning coal or wood, building construction, and cosmetic or other consumer products etc., are known as ambient ultrafine particles (Buzea et al. 2007). A short- or long-term exposure to any of these nano-sized materials is shown to have adverse health effects. This knowledge about toxicity of ambient nanomaterials has helped to form a basis to understand the potential adverse health effects of ENMs (Oberdörster et al. 2005; Buzea et al. 2007). The critical point to consider with ENMs is that they can be manufactured in almost any size and shape with variety of combinations of chemical compositions and surface coatings. These very properties of ENMs that are exploited for diverse applications of ENMs can have detrimental side-effects on health and environment. Therefore, while evaluating the nanotoxicity, it is important to consider the role of physico-chemical properties of ENMs in their toxicity (Oberdörster et al. 1994; Nel et al. 2006; Buzea et al. 2007; Fubini et al. 2010). In this dissertation research we have explored influence of size- and shape or aspect ratio as well as exposure period on the toxicity of ceria ENMs.

The possible conformational and structural changes in protein corona leading to their functional disability can cause potential molecular mechanism of injury that could contribute to disease pathogenesis. The primary nano-bio interactions at lysosome are a key to use ENM in the drug delivery as well as for nanomaterial toxicity, however only
toxicity related points are discussed here. Amino-labeled polystyrene, cationic dendrimers and polymers bind with high affinity to the surface lipid-groups on lysosome, which then is endocytosed in the crowded vesicle. Once entered, amino groups from ENM keep the proton pumps on the lysosome surface in continuously active state, flooding the vesicle with chloride ions and water molecules. Eventually the lysosome swells beyond its capacity and bursts open expelling internal content, triggering intracellular Ca²⁺ release, ultimately causing cytotoxicity (Xia et al. 2007). In another experiment, uptake of ZnO ENM in lysosome accelerates its dissolution due to acidic environment and release of excess zinc ions into the cell can induce cytokine production and cytotoxicity (Xia et al. 2008).

Another review article has summarized possible mechanisms by which ENMs can induce oxidative stress mediated cytotoxicity (Nel et al. 2006) (Figure 2.4). Fullerene or TiO₂ based or any other metal oxides based ENMs with discontinuous crystal planes and defects or with semiconductor type compositions or with electron donor groups can reduce biomolecules on their surface. Ambient ultrafine particles, carbon nanotubes or metal based ENMs (Fe) or organic materials (quinone) can facilitate Fenton type chemical reactions or enzymatic reaction. TiO₂ based ENMs can act as photo-catalyst and generate electron and hole pair in presence of UV light, so they have applications in cosmetic industries, solar cells, etc. However, when ENM came in contact with biomaterial in UV or natural light, they may cause reduction of biological molecules (Xia et al. 2008). Zinc, cadmium and selenium ions leaching out from ZnO or CdSe or CdTe based ENMs can disrupt cellular metabolism (Kirchner et al. 2004; Zhang et al. 2007; Xia et al. 2008).





2.5. Free radicals, antioxidants and oxidative stress

Oxidative stress is one of the important mechanisms of nanoparticles toxicity. Nanoparticles with metal atoms or ions, or electron donor or acceptor groups, any other redox active groups present on their surface can generate free radicals on their surface in presence of oxygen or ozone (Nel et al. 2006). Further the free radicals can impart toxic effects by reacting with biological molecules and damage them.

2.5.1. Free radicals: Free radicals are atoms, molecules or ions with one or more unpaired electrons that render them very labile. To counteract this labile condition, free radicals are strongly impelled to reacts with electron donor or acceptor groups. At the end

of the reaction, free radicals gain or lose an electron and become stable, while the other group or species become labile. This newly form secondary radical then react with some other group to regain the stability and thus a free radical chain reaction propagates. When a radical chain reaction occurs in biological system, it leaves biological molecules proteins, lipids and DNA oxidatively damaged. In aerobic organisms free radicals are generated in and through normal physiological processes. The major source of free radicals is electron transport chain in mitochondria, and some other sources are NADPH oxidase, xanthine oxidase, cytochrome P450 and Haber-Weiss reactions with transition metals (Shigenaga et al. 1994). Although free radicals are highly reactive and potentially damaging, they are also integral part of some cellular processes. Extracellular secretion of free radicals by leucocytes and microphages evoke immune response against bacteria, viruses, degenerated cells and other foreign substances (Simko 2007; Simkó et al. 2011). Intracellular secretion of free radicals stimulates different cell signaling pathways, trigger oxidative stress defense response, as well as apoptosis (Simko 2007; Simkó et al. 2011). Apoptosis or programmed cell death mediated by free radicals is thought to be one of the tumor suppression mechanisms (Simko 2007; Simkó et al. 2011). Because of perilous nature of free radicals, cells have a counter mechanism know as antioxidant defense, to keep the free radical levels under check. Unfortunately, when certain conditions promote the excess production of free radicals or deplete the antioxidant defense that leads the cell to oxidative damage, oxidative stress is said to exist. This can be one consequence of exposure to nanoparticles.

Some of the examples of free radicals are given in the Table 2.5.1. Free radicals much often are reactive oxygen species (ROS), or reactive nitrogen species (RNS) but all ROS

or RNS are not free radicals. Some of the well known ROS and RNS that are particularly discussed in the present dissertation work are superoxide (O_2^{\bullet}) , hydrogen peroxide (H_2O_2) , hydroxyl radical (OH[•]), nitric oxide radical (NO[•]), and peroxynitrite anion (ONOO⁻).

Radical species		Non-Radical Species
Superoxide, O ₂ Hydroxyl, ·OH Peroxyl, RO ₂ · Alkoxyl, RO· Hydroperoxyl, HO ₂ ·	ROS	Hydrogen peroxide, H_2O_2 Hypochlorous acid, HOCl Ozone, O_3 Singlet oxygen, ${}^1\Delta g$ Hypobromous acid, HOBr
Nitric oxide, NO Nitrogen dioxide, NO ₂	RNS	Nitrous acid, HNO ₂ Nitrosyl cation, NO ⁺ Nitrosyl anion, NO ⁻ Dinitrogen tetroxide, N ₂ O ₄ Dinitrogen trioxide, N ₂ O ₃ Peroxynitrite, ^d ONOO ⁻ Nitronium cation, NO ₂ ⁺ Nitryl chloride, NO ₂ Cl Alkyl peroxynitrates, ROONO ⁻

Table 2.5.1: Examples of reactive oxygen (ROS) and reactive nitrogen species (RNS)

2.5.1.1. ROS: Superoxide radical is formed by one electron reduction of oxygen. Although electron-transport chain at mitochondrial membrane is a highly efficient electron transporter, some electrons can leak and reduce oxygen molecule to form O_2^{\bullet} , and mitochondria serves as a major source of O_2^{\bullet} . Another location of electron-transfer is endoplasmic reticulum, where O_2^{\bullet} can generate by leakage of electrons from NADPH cytochrome P450 reductase. Some additional sources of O_2^{\bullet} are xanthine oxidase, phagocytic NADPH oxidase, as well as UV- γ radiation etc. Superoxide radical is further converted into H_2O_2 and oxygen by enzyme superoxide dismutase (SOD). Although both O_2^{\bullet} and H_2O_2 can damage biomolecules, they are only moderately reactive with biomolecules (Kamata et al. 1999). However, both superoxide and hydrogen peroxide can further generate highly potent and notoriously reactive hydroxyl radical. Hydrogen peroxide alone undergoes Fenton type reaction to generate OH[•] radical in presence of transition metals Fe^{2+} or Cu^{1+} (Kehrer 2000). Whereas, O_2^{\bullet} and H_2O_2 react together in presence of transition metals Fe^{2+} or Cu^{1+} , to produce OH[•] radical, and reaction is known as Haber-Weiss process (Kehrer 2000).

$$H_2O_2 + Fe^{2+} \text{ or } Cu^{1+} \longrightarrow OH^{\bullet} + OH^{-} + Fe^{3+} \text{ or } Cu^{2+} \dots Fenton \text{ reaction}$$
$$O_2^{\bullet} + H_2O_2 \xrightarrow{Fe/Cu} OH^{\bullet} + OH^{-} + O_2 \dots Haber-Weiss \text{ process}$$

All the above mentioned ROS can oxidize biomolecules proteins, lipid and DNA's and functionally damage them.

2.5.1.2. RNS: Nitric oxide (NO' or simply NO) and peroxynitrite (ONOO⁻) are reactive nitrogen species (RNS). NO is produced from amine acid L-arginine by enzymatic action of nitric oxide synthase (NOS) enzymes, as shown below.



NO exhibits neuroprotective as well neurotoxic effects, depending on cellular redox status (Halliwell et al. 1999; Calabrese et al. 2006; Calabrese 2007). In the presence of O_2^{\bullet} , nitric oxide form peroxynitrite anion, which get rapidly protonated under physiological condition to peroxynitrous acid, a very reactive RNS. Peroxynitrous acid itself can oxidize and nitrate proteins, lipids and DNA's (Radi et al. 1991; Alvarez et al. 2003), as well as it can undergo homolytic fission to form even more reactive OH[•] (Halliwell 2006).

NO' +
$$O_2^{\bullet}$$
 \longrightarrow ONOO⁻Formation of peroxynitrite anion
ONOOH \longrightarrow NO₂' + OH[•]Fission of peroxynitrous acid

Peroxynitrite anion can react with carbon dioxide to form nitrogen dioxide radical, which can attack tyrosine amino acid residue of proteins to form protein nitration product known as 3-nitrotyrosine (3NT).

 $ONOO^- + CO_2 \longrightarrow ONOOCOO^- \longrightarrow NO_2^+ CO_3^- \dots$ Nitrogen dioxide formation

2.5.2. Antioxidants: To protect biomolecules from detrimental effects of free radicals, the cell produces different antioxidant molecules and enzymes, which together they are known as the antioxidant defense system. According to Halliwell (Halliwell 2007), "antioxidant is any substance that delay, prevents, or remove oxidative damage to a target molecule".

Four very important antioxidant enzymes are superoxide dismutase (SOD), glutathione peroxidase (GPx), glutathione reductase (GR), and catalase and various other low molecular weight antioxidant molecules such as glutathione, ascorbic acid, vitamin-E,

vitamin-C, etc. constitute the cellular defense system. Considering the scope of this dissertation work, this discussion is limited to GPx, GR, SOD, catalase and glutathione antioxidants.





2.5.2.1. Superoxide dismutase (SOD) converts superoxide radical into hydrogen peroxide and oxygen. Eukaryotic cells have two major isoforms of SOD, copper-zinc (CuZn SOD) localized in cytosol and manganese (MnSOD) localized in mitochondria. The importance of SOD has been exemplified by experiments with MnSOD-knockout mice (Murakami et al. 1998). As well as removing the O_2^{\bullet} radicals, SOD also generates another ROS- H_2O_2 . SOD usually works in conjunction with H_2O_2 - removing enzymes such as GPx or catalase (Evans et al. 1999).

$$O_2^{\bullet} \xrightarrow{\text{SOD}} H_2O_2 + O_2$$
SOD reaction

2.5.2.2. Glutathione peroxidase (GPx) reduces various intracellular peroxides such as H_2O_2 and lipid peroxides, using glutathione (GSH) as electron donor. GPx has eight isoforms, identified in humans and GPx1 is the most abundant isoform, localized in cytosol (Muller et al. 2007). GPx has selenium at its active site. A mini review summarizes various oxidative stress effects caused by inactivation of GPx and cellular adaptive response to GPx inactivation (Miyamoto et al. 2003).

 $H_2O_2 + 2GSH \longrightarrow 2H_2O + GSSG \qquad \dots GPx reaction$

2.5.2.3. Glutathione reductase (GR) is another GSH related enzyme, which reduces oxidized glutathione GSSG to its reduced form GSH. GR uses NADPH as reducing equivalent to carryout reduction of GSSG. GR is highly conserved in all the kingdoms, including animal, bacteria, yeast, and plants. Age-related decrease in GR activity has seen in mouse erythrocytes, kidney, heart and liver (Hazelton et al. 1980) as well as rat liver, lung, heart, spleen and brain (Blakytny et al. 1992).

 $GSSG + NADPH + H^+ \longrightarrow 2GSH + NADP^+ \dots GR$ reaction

2.5.2.4. Catalase (**Cat**) is a very efficient enzyme that scavenges potent ROS hydrogen peroxide. Majority of catalase in localized in peroxisomes. Inhibition of catalase activates compensatory cellular response in goldfish liver in kidney and induces GPx and GST activities (Bagnyukova et al. 2005). Overexpression of catalase HepG2 cells, rescued the cells from cytochrome P450 induced oxidative stress injury (Bai et al. 2001). As well as reduced catalase expression and activity make the cells more susceptible to become carcinogenic (Khan et al. 2010).

 $H_2O_2 \xrightarrow{Catalase} 2H_2O + O_2$ Catalase reaction

2.5.2.5. Glutathione (GSH) an endogenous abundant antioxidant tri-peptide (Figure 2.5.3.5a) that acts as an antioxidant due to virtue of its amino acid cysteine residue. GSH contains the amino acids glutamate, cysteine, and glycine, with glutamate and cysteine connected via a γ -linkage (Figure 2.6), therefore making the bond less susceptible to protease degradation.



Figure 2.5.2.5a: Structure of Glutathione (GSH).

GSH is a versatile antioxidant and its primary role is to provide electrons or H for detoxification of peroxides carried out by GPx. During this reaction GSH forms disulphide bond with another GSH molecule, oxidized glutathione GSSG is recycled back by another enzyme GR, using NADPH as a reducing equivalent (Figure 2.5.2.5b). The ratio of GSH: GSSG is indicative of oxidative stress; ~10:1 is what healthy cells usually have. A decrease in this ratio or depletion in GSH levels triggers various cellular defense responses, known as phase II antioxidant response (Lee et al. 2008; Speciale et al. 2011). In addition to the redox activity GSH can scavenge alpha-beta unsaturated aldehyde or ketone though Michael addition and can form nitroso-thiol to protect against nitrosative stress. As well as GSH can chelate heavy metal ions such as mercury, probably to prevent the metal toxicity mediated by ROS generation (Patrick 2002).



Figure 2.5.2.5b: Oxidation and reduction cycle of glutathione. GSH is the reduced form of glutathione which oxidized into GSSG, while scavenging peroxides by glutathione peroxidase (GPx) and then reduced back to original GSH by enzymatic action of glutathione reductase (GR).

2.5.3. Heat shock proteins: Heat shock protein (HSPs) is the family of proteins that are of different molecular weight and structure but all functionally related. HSPs are named according to their molecular weight except ubiquitine, whose molecular weight is 8 kDa.

The important function of HSPs is to chaperon other proteins. HSPs play important roles in protein-protein interactions such as protein folding, assisting other proteins in attending proper conformation, and preventing unwanted protein aggregation. HSPs also help to stabilize partially unfolded proteins and escort them across membranes or through organelles within a cell for proteasome degradation. Some house-keeping HSPs are expressed under normal physiological conditions, but as their name suggests, HSPs are induced by temperature, exposure to heavy metals, cytotoxic drugs and other stress including oxidative stress (Calabrese et al. 2000; Calabrese et al. 2000). The HSPs studied in this dissertation work, Hsp70 and Hsp32 (a.k.a. Heme oxygenase-1 or HO-1) can be induced by oxidative stress (Calabrese et al. 2000; Calabrese et al. 2000).

2.5.4. Oxidative stress: Is defined as "A disturbance in the pro-oxidant-anti-oxidant balance in favor of the former, leading to potential damage" (Halliwell 2007). Under normal physiological conditions although ROS and RNS are generated, their levels are efficiently regulated by antioxidant-enzymes and -molecules to maintain the cellular redox balance Figure 2.5.4. The imbalance in pro- and anti-oxidant can be caused by increased levels of free radical generation and/ or could be result of antioxidant depletion, failure of repair or replacement system (Halliwell et al. 2004; Halliwell et al. 2007). In any case, either both circumstances occurring together or separately, eventually will lead to deleterious modifications of biomolecules, and multitude of downstream consequences. Oxidative stress has been implicated in vast array of conditions including cancer, arthritis, cardiovascular diseases, diabetes, aging, and neurodegenerative disorders.



Figure 2.5.4: Different endogenous sources for ROS/ RNS, antioxidant defense and clearance of HNE. Where, GST stands for glutathione s-transferase, MRP1 stands for multidrug resistance protein 1 and HNE is one of the end-product of lipid peroxidation. Antioxidant enzymes are shown in blue and antioxidant molecules glutathione in green color. ROS and RNS are in red color.

2.5.4.1. Oxidation of biomolecules- Protein carbonyls (PC): The functions of proteins are highly dependent on their tertiary structures and any disruption of this spatial arrangement can have serious consequences on the activities of enzymes and proteins. Increased oxidative stress induces oxidative modification of biological molecules such as

proteins, lipids and nucleic acids. Oxidative modifications such as carbonylation, nitration, s-nitrosylation, glycosylation etc. can severely disrupt spatial arrangement of proteins damaging their functions partially or completely.

Protein carbonylation is one of the most common post-translational oxidative modifications, resulting from and direct cleavage of protein backbone (Figure 2.5.4.1a) and β -scission of the amino-acid side chains (Figure 2.5.4.1b) by ROS or RNS (Butterfield 1997; Stadtman 2006). Protein carbonyls (PC) can also arise from adduction of alkenals like HNE and acrolein to Cys, His, and Lys residues by Michael addition (Figure 2.5.4.1c) and glycoxidation reactions (Butterfield 1997; Stadtman 2006). Studies have shown that protein carbonylation does not depend on amount of proteins but some proteins are more susceptible to carbonylation than the other (Cabiscol et al. 2000; Jana et al. 2002; Choi et al. 2005; Dalle-Donne et al. 2006). Such selective susceptibly is likely due to protein structure and presence of transition metal binding-site (Stadtman 1990; Stadtman 2006). Since protein carbonylation is an irreversible form of oxidative modification, immunochemical detection of protein carbonyl (PC) levels (described in Chapter 3) is a widely recognized way to index global oxidative stress and damaged to proteins in a given biological sample.

Initial studies discovered that primary site of hydroxyl radical attack is alpha carbon of amino acids (Swallow 1960; Garrison et al. 1962; Schuessler et al. 1984; Garrison 1987) as well as aliphatic amino acid side chain (Stadtman et al. 2003). As shown in Figure 2.5.4.1a, abreaction of H• from alpha carbon by hydroxyl radical produces a C-C cross linking in absence of oxygen and peroxyl radical (-COO•) in aerobic conditions. This peroxyl radical can be neutralized by abstraction H• from alpha carbon of adjacent

protein, thus propagating the radical chain reaction. In the presence of transition metal ions like Fe²⁺ or HO₂• radical, the alkyl peroxide (ROO•) radical is converted into alkoxyl radical, which will be then lead to protein carbonylation either by α -amidation pathway or by diamide pathway (Figure 2.5.4.1a). In the α -amidation pathway, the alkoxyl radical is reduced to a hydroxyl, which will be eventually forming an N-terminal amide derivative and C-terminal alkyl carbonyl derivative. Alternatively alkoxyl radical can undergo diamide pathway and can form diamide derivative of N-terminal cleavage product and isocyanides derivative of C-terminal of protein after undergoing β -scission. As shown in Figure 2.5.4.1b [adapted from (Bader Lange 2010)], peptide bond of an amino acid residue say valine can directly undergo β -scission after attack of •OH (Stadtman 2006).



Figure 2.5.4.1a: Oxidative cleavage of peptide backbone.



Figure 2.5.4.1b: Oxidative cleavage of amino acid side chain- β -scission of value.

2.5.4.2. 3-Nitrotyrosine (3NT) and S-nitrosylation: Nitric oxide (NO•) is an extremely important biological radical, particularly because of its role as a second messenger in vasodilatation (Calabrese 2007).However, in conditions of oxidative stress where excessive production of NO is induced and significant amounts of $O_2^{-\bullet}$ are present, RNS resulting from their interaction can damage proteins. Proteins with tyrosine residue are especially susceptible to RNS attack. The interaction NO and $O_2^{-\bullet}$ forms highly reactive peroxynitrite anion, which in presence of CO₂ produces nitrite radical (NO₂•) [described in section 2.5.1.2a, taken from (Bader Lange 2010)]. As shown in Figure 2.5.4.2, NO₂• attacks the meta- or '3'-position of the tyrosine residue, because of the ortho- and paradirecting electron-donating resonance effect of –OH group. The addition of nitrite group on tyrosine can disrupt protein structure as well as bulky nitrite group imposes steric

hindrance to the substitution of –OH group, interfering with the protein function and metabolic processes (Halliwell et al. 1999; Greenacre et al. 2001; Alvarez et al. 2003). Similar to PC, immunochemical detection of 3-nitrotyrosine (3NT) (described in Chapter 3) is another index of protein oxidation and global oxidative stress in a given biological sample.

Nitric oxide can also react with sulfur atom of cysteine and methionine amino acid residues in aerobic conditions (Broillet 1999; Fernhoff et al. 2009), to form S-nitrosylation derivatives of corresponding proteins as shown in the Figure 2.5.4.2b.



Figure 2.5.4.2a: Formation 3-nitrotyrosine (3NT)



Figure 2.5.4.2b: Formation S-nitrosylate derivatives of cysteine in aerobic conditions.

2.5.4.3 Lipid peroxidation and 4-hydroxy-2-trans nonenal: Lipids with polyunsaturated fatty acid chains (PUFA's) are more susceptible to ROS/RNS attack compare to the saturated ones. The brain contains extremely high levels of PUFA, in addition to it brain also consumes high amounts of O₂, combining this together makes nervous system extremely vulnerable to oxidative stress. The majority of lipid peroxidation event that occurs within the cell are result of free radical chain reaction. In which allylic hydrocarbons undergo arrack of free radical, forming alkoxyl radical (ROO•) in aerobic conditions, alkoxyl radical can then further propagate the chain reaction unless quenched (Spiteller 2001) as shown below.



 $R_1OO \bullet + R_2OO \bullet \longrightarrow$ non-radical form $+ O_2$ Quenching of radical chain reaction.

Oxidative damage to lipids generally results in formation cytotoxic aldehyde and ketone derivatives. Typically free radicals have very short half life; therefore damage caused by them is localized. Unlike free radicals, lipid peroxidation products have longer half life and so they can diffuse into bilayer and can cause oxidative damage away from their site of production. For a given fatty acid, multiple aldehydic or ketonic products can arise as result of lipid peroxidation, depending upon which allylic carbon gets attacked to initiate the chain reaction. 4-Hydroxy-2-trans nonenal (HNE) is such very reactive product of lipid peroxidation. HNE is a product of oxidation of arachiodonic acid an important fatty acid abundantly present in brain. Abstraction of labile H• from 13^{th} allylic carbon or oxidation of 11^{th} carbon, followed by peroxyl and alkoxyl formation in presence of O₂ and transition metal ion Fe²⁺; then cyclization and β-scission results into formation of HNE (Figure 2.5.4.3a). It is also interesting to note that abstraction of same H• from arachidonic caid my yield multiple end products.



Figure 2.5.4.3a: HNE formation from Arachidonic acid.

Although formation of HNE itself is indicative of lipid peroxidation, the downstream products of interaction of HNE with other biomolecules can have serious consequences. HNE and other lipid peroxidation products, although less reactive than free radicals, are capable of migrating long distance from point of origin to attack biomolecules. HNE can covalently modify amino acid residues, such as histidine, cysteine, and lysine, by undergoing Michael addition (Esterbauer et al. 1991; Subramaniam et al. 1997; Schaur 2003; Siems et al. 2003) (Figure 2.4.4.3b). Similar to PC, and 3NT, immunochemical detection of HNE (described in Chapter 3) is an index of lipid peroxidation and overall oxidative stress in a given biological sample (Waeg et al. 1996).



Figure 2.5.4.3b: Michael addition of HNE to protein residue.

2.6. Oxidative stress paradigm for evaluation of ENM-toxicity

When ENM of different size, shape, aspect ratio, aggregation, crystallinity, surface functionalization and so on come in contact with biological fluids, proteins, lipids, DNA, cell membrane, mitochondria, and lysosomes, can generate vast numbers of biochemical interactions. Such interactions could be biologically inert, beneficial for improvement of biological function or process or detrimental to biological system and may or may not pose long term adverse health effects. Although many researchers have studied ENMtoxicity, their research is very much case specific and there is a need to have a standard and reliable model or algorithm or template that can be followed to evaluate the toxicity of any ENM or ENM based consumer product (Meng et al. 2009).

The knowledge about induction of oxidative stress and related biological responses such as phase II response, inflammation, apoptosis, necrosis etc. has been around for decades and well studied in case of various disease conditions and disorders. As various in-vitro and in-vivo studies reported that free radical generation can be triggered by ENMs, researcher are now accepting the induction of oxidative stress as a prominent mechanism of ENM-toxicity [as described in section 2.4.]. Based on the basic understanding of oxidative stress mediated biological responses Nel's research group has proposed a hierarchy oxidative stress model for evaluation of ENM-toxicity in cell culture model. Some studies have successfully evaluated ENM-and UFP-toxicity in cell culture media, employing this oxidative stress paradigm.

According to Nel's research (Nel et al. 2006; Nel et al. 2009), as shown in Figure 2.6., at lower levels of oxidative stress (Tier-1), phase-II antioxidant enzymes are induced by nuclear factor (Nrf-2)-signaling pathways to restore cellular redox homeostasis. At intermediate levels of oxidative stress (Tier-2) or up on failure of Tier-1 response, yield to pro-inflammatory response by activation of redox-sensitive mitogen-activated protein kinase (MAPK) and nuclear factor-kappa B (NF- κ B) cascade. At the highest levels (Tier-3) of oxidative stress perturbation of mitochondrial inner membrane electron transfer and opening of permeability transition pore can trigger cellular apoptosis and cytotoxicity.



Figure 2.6: Hierarchy oxidative stress model proposed by Andre Nel's research group

2.7. Susceptibility of brain to oxidative stress and blood brain barrier

Although all aerobic cells are susceptible to oxidative damage, mammalian brain is especially vulnerable (Halliwell 1992). As well reviewed by Halliwell (Halliwell 2006), adult human brain consumes ~20% of basal levels of oxygen due to high turnover of ATP molecules, neuronal membranes are constitutes lipids with highly poly-unsaturated fatty acids side-chains as well as ~60 mg of non-heme iron present within various iron containing proteins, any damage to brain can release iron (as well as copper) ions which may initiate radical formation being the main reasons of vulnerability. Due to the high susceptibility and functional importance, brain and entire central nervous system is somewhat-isolated and protected from harmful xenobiotics and endogenous molecules by blood brain barrier (Bhaskar et al. 2010). 2.7.1. Blood Brain Barrier (BBB): Circulating blood is separated from the brain extracellular fluid in central nervous system by the presence of intracellular tight junction endothelial cellular lining inside blood vessels (Reese et al. 1967), Figure 2.7.1. The tight junction endothelial cell lining is present only in brain parenchyma and not the normal circulatory system. The BBB strictly regulates influx or efflux of biomolecules, protects brain from invasion of foreign substances- including viruses, bacteria, drug molecules, and thus help to maintain brain homeostasis (Ghersi-Egea et al. 1996; Shibata et al. 2000; Tanzi et al. 2004; Zlokovic 2005). The cells of adult brain parenchyma are nondegenerative; any damage to these cells including oxidative damage can lead to nonreversible consequences such as neurodegenerative disorders. The BBB provides the brain with privileged immune environment shielding against any inappropriate immune response (Niederkorn 2006; Arck et al. 2008).



Figure 2.7.1: Over simplified presentation of cross-section of brain capillary and bloodbrain barrier.

2.8. Exposure to ENM and neurological toxicity concerns

With increasing applications of ENMs and ENM-based products in various sectors, eventually these ENMs will come in contact with biological world. The primary anticipated routes of human exposure to ENMs intended for industrial or environmental applications include inhalation exposure, dermal uptake, and oral ingestion with possible subsequent absorption of ENMs into systemic circulation. Although some data exist on the absorption properties and associated toxicities of ENMs after exposure via the pulmonary, oral, and topical routes, little is known about their distribution into the brain once they reach systemic circulation (Luther 2004). Apart from unintentional exposure to ENMs and thereafter the translocation to brain, there is also considerable interest in

ENMs to enhance drug delivery to the brain, as reviewed by Koziara et al. (Koziara et al. 2006). Overcoming the BBB is often a major challenge in designing a suitable drug, therapeutic agents or imaging molecule targeted towards brain for medicinal or medicine related technological applications. ENMs have the potential to revolutionize filed of medicine with their ability to gain access to otherwise inaccessible biological compartments such as cardiovascular, mitochondrion, as well as brain. However, while major medical and pharmacological research is focused on beneficial applications of ENMs, the secondary negative effects are often neglected (Oberdörster et al. 2005; Thomas et al. 2005). It has been shown that ENMs from blood circulation may influence endothelial cell membrane integrity and / or BBB and may gain access to CNS (Chen et al. 2008; Simko et al. 2010). Metal- and metal oxide-based ENMs reviewed in Simko et al. (Simko et al. 2010) did translocate from the point of applications (respiratory tract, skin, and circulatory system) to the brains of the animals. It is well accepted that ENMinduced oxidative stress leading to generations of ROS can disrupt BBB. Some in-vitro studies reviewed in the same report supported the concept that under specific in-vitro conditions, several types of ENMs can influence neuronal redox homeostasis.

Formation of extra-and intra-cellular protein aggregates is one of the hallmarks for several neurodegenerative diseases and some ENMs may enhance this process. TiO_2 ENM is shown to trigger and promote formation of beta-amyloid aggregates in solution, which are associated with progression of Alzheimer disease (Wu et al. 2008). As well as some other ENMs [ceria, quantum dots, and carbon nanotubes] are able to stimulate faster formation of fibrils of β_2 -microglobulin protein in solution (Linse et al. 2007).

In-vivo animal studies suggest that although very low but definitely possible translocation of ENMs to brain can occur even after substantial instillation or inhalation (Sharma et al. 2007; Oberdorster et al. 2009; Simko et al. 2010). Therefore, it is feasible that in humans also some such degree of translocation of ENM can occur as a consequence of environmental and / or occupational exposure. Over the time, nonbiodegradable and non-excreted ENMs may accumulate in remote biological compartments and can exert hazardous health effects including oxidative stress effects; chronic exposure may also aggravate the ongoing pathological processes (Simko et al. 2010). However, at this point these are only speculations as there is no long term data is available which could stimulate chronic exposure effects.

2.9. Why ceria (cerium oxide) ENM?

Cerium (atomic number 58) a member of lanthanide series has electronic configuration [Xe] 4f 5d 6s², is a radox active metal with 4+ and 3+ are most stable oxidative states. Ceria (a.k.a.: CeO2, ceric oxide, Ce dioxide, Ce oxide, CAS # 1306-38-3) is a cerium metal oxide based ENM. Many ENMs are comprised primarily of metals and oxides of Al, Ce, Cu, Au, Fe, Pd, Si, Ag, Ti and Zn. Ceria was selected for this dissertation work to characterize ENM bio-distribution from blood and its effects on oxidative stress endpoints because: (1) It is an insoluble metal oxide that can be readily observed in situ by electron microscopy (EM), making it a useful as an in vivo tracer, (2) it is redox reactive (Zhang et al. 2004), (3) it can be functionalized [e.g. (Qi et al. 2008)], (4) it is available in sizes relevant for uptake across the BBB, one of the most limiting mammalian membranes, (5) it can be produced in a variety of shapes by many different methods, including nanodisks, nanoplates, nanotubes, nanocubes, nanorods, nanopolyhydra, and

tadpole, comet, and prism shapes (Bai et al. 2001; Mai et al. 2005; Si et al. 2005; Yu et al. 2005; Gao et al. 2006; Han W-Q et al. 2006; Yang et al. 2006), and (6) it has current commercial applications. A major commercial application of ceria is its use as an abrasive for chemical-mechanical planarization of advanced integrated circuits. This application of ENMs accounted for 60% of the \$1 billion market for nanomaterials in 2005 (Feng et al. 2006). Another significant application is its use as a catalyst in ENMs that have very small size, large surface area, and thermal stability to 6508C and are stable in humid air (Trovarelli 1996). For example, ceria (10 nm primary particles coated with a dispersant to facilitate its dispersion in fuel liquids) is marketed by Oxonica Ltd. as Envirox[®], a diesel fuel catalyst to improve combustion, reduce fuel consumption and decrease exhaust emissions (UK MNT Network). Ceria was also considered to be a good candidate for these studies because it has been reported to have both pro-oxidant and antioxidant properties. These properties represent the conundrum enveloping the development of ENMs: There is evidence for both beneficial and toxic effects to the same organ, the brain. Both the ILSIRF/RSI expert working group and the National Cancer Institute Nanotechnology Characterization Laboratory highlighted three key elements for a toxicity screening strategy of ENMs: (1) Physico-chemical characteristics, (2) cellular and non-cellular in vitro assays, and (3) in vivo assays. 'Tier 1 evaluations' of these groups included markers of inflammation and oxidant stress in selected remote organs and tissues such as the nervous system (Oberdörster et al. 2005). Nanoscale ceria has been nominated by the NIEHS for toxicological consideration, including toxicokinetic

studies, due to its widespread and expanding industrial uses, limited toxicity data, and a lack of toxicological studies for nanoscale ceria (Inc. 2006).

The interest in ceria as a potential therapeutic agent with SOD- and catalase-enzymemimetic properties increases the probability that it will be further studied for medical applications (Celardo et al. 2011). The regenerative redox cycling ability of ceria has shown promising future as radical scavengers and antioxidant therapeutics (Karakoti et al. 2008; Karakoti et al. 2009; Karakoti et al. 2009)as well as its ability to generate ROS can be employed in fight against cancer (Lin et al. 2006; Babu et al. 2010). Ceria has shown to improve the culture of mesenchymal stem cells and growth of cardiac progenitors in biodegradable polymer matrix (Mandoli et al. 2010) and additionally proved to be effective drug carriers for treatment against-tumor (Vincent et al. 2009). Thus the understanding of distribution of ceria ENM from the central compartment (blood) and resultant effects is important. This dissertation research is the first report of the distribution and effects of ceria from the central compartment, after introduction into blood. However, the form of ceria employed in the present study would not be effective as a vehicle for drug delivery to the brain.

Nonetheless we had focused our research on understanding the relationship between physico-chemical properties of ENM and ENM induced oxidative stress mediated biochemical response in rat brain after one-time intravenous injection of ceria ENMs, for both short term as well as chronic exposure with Nel's oxidative stress model as a central guideline.

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CHAPTER 3

METHODS

3.1. Nanomaterial

<u>Ceria ENM</u>: Citrate coated ceria nanomaterials of different sizes and shapes were synthesized and obtained from our collaborators Dr. Eric Grulke (Department of Chemical Engineering, University of Kentucky) and Dr. Uschi Graham (Center for Applied Energy Research, University of Kentucky). A hydrothermal approach was used to synthesize ceria ENM (Schäf et al. 2004) using analytical grade inorganic salts of cerium, viz. cerium chloride or cerium nitrate as starting material. The reaction conditions such as temperature, pH, and time duration for heating cycle were varied to obtain the desired size and shape of the nanomaterial. The ceria ENM was citrate coated (capped) to prevent agglomeration seen with uncoated ceria that occurs in high ionic strength solutions, such as in blood (Xia et al. 2008).

<u>Silver NP:</u> The different sized silver metal nanoparticles (NP) were purchased commercially and provided by our collaborator Dr. Jason Unrine (Department of Plant and Soil Sciences, University of Kentucky).

3.2. Nanomaterial characterization

<u>Ceria ENM</u>: The morphology, crystallinity, and particle size distribution of highly diluted samples of the citrate-coated ceria ENM were determined by our collaborators Dr. Eric Grulke (Department of Chemical Engineering, University of Kentucky), Dr. Uschi Graham (Center for Applied Energy Research, University of Kentucky) and Dr. Jason Unrine (Department of Plant and Soil Sciences, University of Kentucky). The ceria nanoparticles were crystalline and highly pure as determined by X–ray diffraction and scanning transmission electron microscopy (Inc.). The potential presence of contaminating elements/metals was determined by inductively coupled plasma mass spectrometry (ICP-MS). Particle size distribution in aqueous dispersion was determined using dynamic light scattering (DLS). To indicate the stability of the ceria dispersion when infused into the rat, the zeta potential was measured. Analysis with electron energy loss spectroscopy (EELS) was concurrently conducted on the freshly synthesized ceria particles *in vitro* and after its iv infusion in *in vivo*, to determine its M4/M5 or Ce (Owens Iii et al.) vs. Ce(IV) ratio as a measure of its original oxidative signature. <u>Silver NP:</u> All the characterization was carried out by Dr. Jason Unrine's laboratory members (Department of Plant and Soil Sciences, University of Kentucky).

3.3. Ceria administration and BBB integrity assessment

The ceria ENM and saline administration and blood brain barrier (BBB) integrity assessment was performed in our collaborator, Dr. Robert Yokel's research laboratory (College of Pharmacy, University of Kentucky). Ceria dispersion was administered to the male Sprague-Dawley rats prepared with two cannulae, surgically inserted into femoral veins, which terminated in the vena cava. Five minutes before termination, the rats were given BBB integrity markers, anesthetized with ketamine, and decapitated to enable rapid harvest of the brain. The tissue samples were collected rapidly and weighed before freezing them in liquid nitrogen.

The research was conducted in accordance with the Guiding Principles in the Use of Animals in Toxicology.

3.4. Light and electron microscopic assessment

<u>Ceria ENM:</u> Light and electron microscopic assessment was carried on thin sections of brain tissue by Dr. Michael Tseng (Department of Anatomical Sciences and Neurobiology, University of Louisville) using TEM and high-resolution transmission electron microscopy (HRTEM).

<u>Silver NP:</u> All the light and electron microscopic assessments were carried out in Dr. Jason Unrine's laboratory (Department of Plant and Soil Sciences, University of Kentucky).

3.5. Silver NP administration

Silver NP were first suspended in DI water and then mixed with Yeager sandy loam artificial soil to which young adult earthworms were exposed (Shoults-Wilson et al. 2011). All the preparatory work was carried out in Dr. Jason Unrine's laboratory.

3.6. Biological sample preparation

For ceria ENM projects, three brain regions, namely, hippocampus, cortex and cerebellum, were used from each ceria ENM or saline treated rat brain. Frozen brain samples obtained from our collaborator, Dr. Robert Yokel, were separately homogenized using a manual Wheaton glass homogenizer in Media 1 (300-500 μ L) buffer containing: 0.32 M sucrose, 0.10 mM Tris-HCl- pH 8.0, 0.10 mM MgCl₂, 0.08 mM EDTA, 10 μ g/ml leupeptin, 0.5 μ g/ml pepstatin, 11.5 μ g/ml aprotinin and PMSF 40 μ g/ml; pH 8.0. After sample homogenization, protein concentration in brain homogenates was determined by the Pierce Bicinchoninic acid (BCA) assay. However, a small portion of un-homogenized frozen brain tissue from each region of each rat was saved for GSH assay.

For silver NP treated earthworm samples, control and silver NP treated whole earthworms were frozen in liquid nitrogen and then ground using a porcelain mortar and pestle. The frozen powder formed tissue was obtained from our collaborator (Dr. J. Unrine). The powdered samples were thawed, mixed with 300- 400 µL Media 1 buffer and sonicated with a Fischer Scientific 550 Sonic Dismembrator (Fischer Scientific, Pittsburgh, PA) for 10 s at 20 % power. After sample homogenization, samples were centrifuged at 5000 x g for 10 min in a Hettich Mikro 22R Microcentrifuge (Hettich, Beverly, MA) to remove debris. Protein concentration in the supernatant was determined by the BCA assay.

3.7. Bicinchoninic acid (BCA) protein estimation assay

All the protein concentrations in this dissertation were determined using the Pierce BCA Protein Estimation Assay (Pierce, Rockford, IL). The Pierce method combines the biuret reaction, chemical reaction used for detection of peptide bonds, by subsequent chelation of Cu¹⁺ with BCA molecules results in a violet-colored complex (Smith et al. 1985). In this reaction Cu²⁺ ions are reduced by peptide bonds under basic condition and the presence of cysteine, tryptophan, and tyrosine residues aide the reaction. The resultant Cu¹⁺- bicinchoninic acid complex has absorbance maximum at 562 nm (Figure 3.7). This assay follows Beer- Lambert law (A = ξ l c); therefore, protein concentration is directly proportional to the intensity or absorbance of the sample. The bovine serum albumin (BSA) was used as a standard and loaded with 3 µL sample on 96-well plate (Evergreen Scientific, Los Angeles, CA). The mixture of solution A and B from Pierce BCA assay kit in the ratio (49:1) was added to standards and protein samples to make an equal final volume 100 µL in each well. The plate was then incubated in oven at 37 °C for 10 min and shacked gently before reading the absorbance in a μ -Quant UV plate reader (Bio-Tek, Winooski, VT) at 562 nm.



Figure 3.7: Formation of Cu⁺-BCA complex upon BCA interaction with Cu⁺ as a result of protein interaction.

3.8. Detection of Oxidatively Modified Proteins

3.8.1. Protein Carbonyls (PC)

Protein carbonyls are a global index of protein oxidation. The oxidized proteins contain an abundance of carbonyl groups in the form of conjugated aldehydes or ketones. Addition of carbonyl groups on protein back-bone could be result of either cleavage of protein back-bone or covalent attachment with HNE or acrolein or lipid or sugar oxidation products. As a result the oxidative modification changes the three-dimensional conformation of the protein, subsequently affecting its catalytic activity (Subramaniam et al. 1997). In this dissertation research a sensitivetest used for identification of protein carbonyls is derivatization of carbonyl groups by 2, 4,-dinitrophenylhydrazine (DNPH) to form a hydrazone (Figure 3.8.1), where under acidic conditions carbonyl groups of aldehyde or ketone, react with DNPH to form a Schiff base. The protein bound 2, 4dinitrophenyhydrazone can be detected using an antibody directed at the hydrazone adduct, which is then detected by immunochemical or fluorescent techniques.


Protein-bound 2,4-dinitrophenylhydrazone

Figure 3.8.1: Derivatization of protein carbonyls by DNPH

In brief, each brain sample (5 μ L) is derivatized at room temperature by incubation with 5 μ L of 12% SDS and 10 μ L of 10 mM DNPH solution in 2N HCl for 20 min. After 20 min the sample is neutralized by adding 7.5 μ L of neutralization buffer supplied with the OxyBlot Protein Oxidation Detection Kit (Chemicon International Millipore, Temecula, CA). Further, a derivatized sample is diluted with phosphate saline buffer (10 mM, pH 8 with 0.88% NaCl) to obtain a final concentration of 1 μ g/ml. A 250 μ L aliquot from the

diluted sample is loaded in duplicate onto a nitrocellulose membrane under vacuum pressure using a BioRad slot blot apparatus (BioRad, Hercules, CA).

3.8.2. Protein resident 3NT and protein-bound HNE

Protein-resident 3NT is a global index for nitrated proteins, whereas protein-bound HNE is a global index for lipid peroxidation. The biological samples are denatured in sodium dodecyl sulfate (SDS) containing buffer and then 3NT and HNE modifications are detected using separate antibodies directed at the respective 3NT and HNE modification epitopes.

In brief, each brain sample (5 μ L) is incubated at RT with 5 μ L of 12% SDS and 10 μ L of Laemmli buffer (0.125 M Tris pH 6.8, 4% v/v SDS, 20% v/v glycerol) for 20 min. The sample mixture is further diluted with phosphate saline buffer (10 mM, pH 8 with 0.88% NaCl) to obtain a final concentration of 1 μ g/ml. A 250 μ L aliquot from diluted sample is loaded in duplicate onto a nitrocellulose membrane under vacuum pressure using a BioRad slot blot apparatus (BioRad, Hercules, CA).

3.8.3. Slot Blot Technique

Slot blot technique or in some cases known as Dot blot technique, get its name from the shape of the loading wells on the sample template part of the apparatus (Figure 3.8.3). This technique is very useful tool for detection of global levels of a protein modification such as PC or 3NT or HNE. Protein sample is solubalized in SDS containing buffer (in case of PC, later incubated with DNPH) and loaded in equal concentration on the nitrocellulose membrane under vacuum. Nitrocellulose membrane binds to negatively charged protein, owing to sulfate groups on SDS, and any uncharged material is passed though the sub-micron sized pores of nitrocellulose membrane. The protocol is further

standardized so that equal amount of protein is loaded for control as well as treated groups and resulting color intensities after development of membrane by immunochemical technique will be reflective of the global levels of specific protein modification. The advantage of this technique is that very small amount of sample is required ($< 1 \mu g$) and multiple samples can be analyzed simultaneously.



Figure 3.8.3: Slot blot apparatus, adapted from Bio-Rad product instruction manual

3.9. Enzyme assays

To determine the activity of various antioxidant enzymes, enzyme assays were performed using ready-to-use specific enzyme assay kits from Cayman Chemical Company (Ann Arbor, MI). Briefly, 10-20 µg of homogenized sample was loaded along with standards provided on a 96-well plate and mixed with the assay buffer provided in the kit. Along with other particular assay related specific reagents, reaction initiator is added to the mixture, such as cumene hydroperoxide used for glutathione peroxidase (GPx) assay, NADPH used for glutathione reductase (GR) assay, hydrogen peroxide used for catalase assay, and xanthine oxidase used for superoxide dismutase (SOD) assay. Typically an initiator is a substrate used during enzymatic reaction. The change in absorbance of the substrate is correlated with the change in concentration of the substrate and the enzyme activity. The change in absorbance can be measured directly or indirectly (using some other chromophore dye) using spectrophometrically in a μ -Quant UV 96-Well plate reader (Bio-Tek, Winooski, VT).

3.10. Reduced and oxidized glutathione assay

The ratio of reduced glutathione (GSH) to oxidized glutathione (GSSG) is measure of cellular redox status. GSH and GSSG levels can be measured simultaneously in a fresh tissue using the Hissin-Hiff (Hissin et al. 1976) fluorescence spectroscopic method. In brief, freshly thawed brain tissue is weight rapidly on a weighing balance and homogenized in 25% metaphosphoric acid (HPO₃) and 0.1 M sodium phosphate-0.005 EDTA buffer (pH 8.0). The homogenized mixture is centrifuged at 14,000 rpm (10 min, 4 °C) and supernatant is kept for the assay. For GSH levels, 50 μ L of supernatant is diluted with 450 μ L of phosphate-EDTA buffer. A 10 μ L aliquot of this diluted mixture is loaded along with the 10 μ L GSH standard solutions on a 96-well plate. An additional 180 μ L of phosphate-EDTA buffer is added followed by 10 μ L of o-phthalaldehyde (OPT) solution in methanol. The plate is incubated for 15 min and fluorescence is measure at 420 nm (excited 350 nm). For GSSG levels, 50 μ L of supernatant is incubated with 20 μ L of 0.04

M N-ethylmaleimide solution in phosphate-EDTA buffer (30 min, RT). After 30 min, mixture is diluted by adding 430 μ L of 0.1 N NaOH. A 10 μ L of this diluted mixture and 10 μ L of GSSG standards are loaded on a 96-well plate and after these same steps are followed as described above for determination of GSH level, except 0.1 N NaOH added instead of phosphate-EDTA buffer.

The GSH to GSSG ratio was determined using GSH and GSSG calibration curve. Student's t-test was used to determined significant difference between normalized GSH: GSSG ratios from treated and control samples with p < 0.05.

3.11. One-dimensional SDS-PAGE

One-dimensional sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) separate proteins based on their relative migration rates (Abdelmoez et al.). The sample (75 µg) is solubilized in 4X SDS-containing buffer composed of 0.5 M Tris (pH 6.8), glycerol (40% v/v), SDS (8% wt/v), β -mercaptoethanol (20% v/v), and bromophenol blue (0.01% wt/v). The samples are then denatured by boiling ~100 °C in water bath for 5 min and cooled immediately on ice before loading on polyacrylamide gel (12% Bis-Tris). Precision Plus Protein TM All Blue Standards (~4 µL) are loaded in a separate lane along with actual samples on polyacrylamide gel. SDS imparts a net negative charge on the samples, so that samples can migrate from negatively charged anode to positively charged cathode, under the influence of applied electric field (90 V for first 30 min and 120 V till the end). The migration rate M_r is depend on size, molecular weight and shape of the protein, generally proteins with low M_r migrate further in the gel towards the cathode than proteins with higher Mr (Figure 3.11).



Figure 3.11: Separation of protein mixture by SDS-PAGE technique

3.12. Isoelectric focusing and two-dimensional gel electrophoresis

Isoelectric focusing (IEF) is a technique in which proteins loaded on an immobilized pH gradient strip (IPG) migrates under the influence of an electric field to a pH in the strip at which they reach their respective isoelectric point (pI) (Figure 3.12). The isoelectric point is the pH where the net charge on a protein is zero.

IEF is also the first dimension of separation of proteins in a 2-D-seperation process, in which 200 μ g homogenized protein samples are precipitated by addition of trichloroacetic acid (TCA). Samples are then centrifuged at 14,000 rpm (23,700 x g) for 5 min at 4 °C in a microcentrifuge (Hettich, Beverly, MA). The pellets are re-suspended in

ethanol-ethyl acetate solution (1:1 v/v) and vortexed to equilibrate. Suspended pellets are re-centrifuged at 14,000 rpm for 1 min at 4 °C. The cycle of suspension and centrifugation is repeated for 3 more times to ensure removal of any additional salts that may interfere with IEF. After the final cycle, the supernatant is discarded, and pellets are dried at RT for ~7-10 min. After drying, pellets are rehydrated in 200 μ L of rehydration buffer composed of 1:1 (v/v) ratio of the Zwittergent solubilization buffer solution (7 M urea, 2 M thiourea, 2% CHAPS, 65 mM DTT, 1% zwittergent, 0.8% 3-10 ampholytes or biolytes, bromophenol blue) and ABS-14 solubilization buffer (7 M urea, 2 M thiourea, 5 mM TCEP, 1% ABS-14, 1% Triton X 100, 0.5% CHAPS, 0.5% 3-10 ampholytes or biolytes) for 2 h, while continuously vortexing at RT (Opii et al. 2007).

The IEF process is begun by actively rehydrating IEF ready strip (pH 3-10) for 17-18 hr at 50 V and 20 °C. After rehydration IEF is begun at constant temperature 20 °C and a linearly increasing voltage starting from 300 V going up to 8000 V over the next 24 h. After completion of IEF, the resolved IPG strip can stored at -80 °C or can be used immediately. For the present work, IPG strops were used immediately without ever freezing them.

To begin 2-D gel or second dimension process, the IPG strip is equilibrated in two different buffer solutions for 15 min each. The first buffer was composed of: 50 mM Tris-HCl (pH 6.8), 6 M urea, 1% SDS w/v, 30% glycerol v/v, and 0.5% dithiothreitol, and the second buffer was composed of everything in the first buffer except 4.5% iodacetamide was used instead of dithiothreitol. Criterion precast linear gradient 8-16% Tris-HCl gels from BioRad are used to perform second dimension electrophoresis, in which proteins are

further resolved based upon their relative M_r (Figure 3.12). Precision Protein Standards (BioRad, CA) are run along with the samples at 200 V for 65 min.





3.13. Gel fixation and Sypro-Ruby[©] in-gel protein staining

After 2D SDS-PAGE, gels are incubated in a fixing solution containing acetic acid (7% v/v) and methanol (10% v/v) in DI water for 45 min- 1 h. Later the gels are transferred into ~50 ml Sypro Ruby Protein Gel Stain (BioRad, Hercules, CA) for overnight incubation on gentle rocking platform. Excess stain is removed by rocking gels in DI water for overnight. The stained gels are visualized using a STORM860 Phosphoimager (GE Healthcare, Piscataway, NJ) (excitation 470 nm and emission 618 nm), and the scanned image is imported and converted into tiff format using Adobe Photoshope 6.0 to be used in either PD-Quest image analysis software (Bio-Rad, Hercules, CA) or ImageQuant image analysis software (GE, Healthcare, Piscataway, NJ).

In-Gel fixation prevents proteins from diffusing out of the gel and sharpens protein band or spots on the gel as well it removes unwanted leftover components from SDS-PAGE. Sypro Ruby is a fluorescent protein stain composed of a proprietary ruthenium-based organometallic compound, which is highly sensitive and does not interfere with mass spectrometry analysis of proteins.

3.14. Western blotting

In-gel fixation steps are avoided before Western blotting; instead, after 1D- or 2D-SDS-PAGE gels are directly transferred onto nitrocellulose membrane (0.45 µm). Using a Semi-dry Transfer Cell System (BioRad, Hercule, CA) proteins are transferred from a gel to nitrocellulose membrane in the presence of electric field (20 V). The resultant nitrocellulose membrane blot with protein bound to it can be probed with antibodies raised against specific proteins and can be quantified. To achieve this result, the blot is incubated with a primary antibody for the specific protein and subsequently in with a secondary antibody raised against IgG antibodies (Figure 3.14a). The secondary antibody is conjugated to an enzyme that produces a chemiluminescent or colorimetric product, which can be quantified using ImageQuant image analysis software (GE Healthcare, Piscataway, NJ).



Figure 3.14a: Western blotting

Two types of secondary antibodies were used in this dissertation research, one conjugated with alkaline phosphatase (ALP), which reacts with two substrates, 5-bromo-4-chloro-3-indolyl phosphate dipotassium (BCIP) and nitroazolithium blue chloride (NBT) to produce a colorimetric product (Figure 3.14b). The secondary antibody with horseradish peroxidase (HRP) reacts with a substrate luminal PS-3 to form a chemifluorescent product (Figure 3.14c)



Figure 3.14b: Colorimetric method of detection



Figure 3.14c: Chemifluorescent method of detection

3.15. PD-Quest Image analysis software

PD-Quest software is used to compare spot intensities densitometrically from Sypro Ruby stained control and silver NP treated gels. Briefly, a master gel is selected followed by normalization of all the control and treated gels according to the total spot density. Differential expression analysis of protein was then initiated by manually matching common spots that could be visualized in the gels. After obtaining a significant number of matched spots automated matching of all spots is initiated. Automated matching is based on user-defined parameters of the "master" gel, the faintest spot, the largest spot and the largest spot cluster. Based on these parameters the software defines spot centers for the gel. If the software "misses" spots that are obvious to the naked eye, the user can manually assign a spot center. This process generates a large pool of data, however, only spots showing computer-determined significant differential levels between the groups being analyzed are considered for identification. The analysis sets of significant differential levels of proteins are created by the software, which is then compared statistically. A Student's t-test is used to identify significant difference in differential levels of proteins spots from control and treated group with p < 0.05. These spots were selected for subsequent mass spectrometric analysis.

3.16. ImageQuant software analysis

The densitometric analysis of slot blots and 1D Western blots are performed using ImageQuant software (GE Healthcare, Piscataway, NJ). The nitrocellulose membrane blots are scanned using Microtech Scanmaker 4900 scanner and converted into tiff file format. The ImageQuant software quantified number of pixels present in the desired bands from a tiff image of the blot, which corresponds to an increase/ decrease in protein

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level or extent of post-translational modification as PC, 3NT or HNE level. A Student's ttest is used to identify significant difference in intensities of the bands from control and treated group with p < 0.05.

3.17. In-gel trypsin digestion

Protein spots that are identified as significantly changed from controls were excised from selected gels using a clean micro-pipette tip and placed in separate eppendrof tubes. Spots then are washed with 0.1 M ammonium bicarbonate (NH₄HCO₃, 15 min, RT) and incubated with acetonitrile (15 min). The solvent mixture is then removed and gel pieces dried. The dried spots are incubated with 30 μ L of 20 mM DTT in 0.1 M NH₄HCO₃ (45 min, 56 °C). The DTT solution is then removed and gel pieces incubated with 30 μ L of 55 mM iodacetamide in 0.1 M NH₄HCO₃ (30 min, RT). The iodacetamide is removed and replaced with 0.2 ml of 50 mM NH₄HCO₃ (15 min, RT), which is again replaced by acetonitrile (15 min). After 15 min the solvent is removed and gel pieces are dried in a flow hood for 30 min. The gel pieces are then hydrated with 10 μ L of (20 ng/ μ L) modified trypsin (Promega, Madison, WI) in 50 mM NH₄HCO₃. The gel pieces are incubated overnight at 37 °C in a shaking incubator. After overnight incubation, the tryptic digest is cleaned with the Zip-Tip (Millipore, Billerica, MA) method to remove any traces of salts, which could interfere with mass spectrometric analysis.

Trypsin is a protease that specifically cleaves proteins at the C-terminal side of lysine and arginine amine acid residue.

3.18. Mass Spectrometry

All the mass spectrometric results reported in this dissertation were obtained in the University of Louisville Mass Spectrometry Facility in collaboration with the Department of Pharmacology.

A mass spectrometer (MS) is a mass analyzer that can detect masses of peptides by their respective movements in an electric field. Any MS has three basic components, ionization source, a mass analyzer, and a detector. A Zip-Tip cleaned tryptic digest solution is introduced into a fine capillary containing a high voltage tip in nano-spray or electron spray ionization (ESI) source. While passing through high voltage the peptides become positively charged, eventually the solvent evaporates and subsequently very small charged peptides creates aerosol in the shape of a Taylor cone. The positively charged peptides get pulled into the evaporation chamber by the negative charge imposed on the chamber inlet.

After ionization, positively charged peptides are introduced to orbitrap mass analyzer mass analyzer. An orbitrap type mass analyzer has a spindle shaped central electrode surrounded by a concentric outer electrode. The charged species revolve around the central electrode in various oscillation frequencies. The attraction toward the central electrode is balanced by the centrifugal force of the rotation of the ion. Unlike radial frequency, the axial frequency is independent of energy, angle and position, and it creates an image the form of current that can be detected in order to determine m/z ratio.

The tandem mass spectra generated are searched against Caenorhabditis elegans (*C. elegans*) data base using SEQUEST. This is because there is no existing database for the earthworm proteome. Exact peptide masses (known from the mass spectrum) of the

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digested protein are compared with theoretical peptide masses in the database, producing a list of peptide that may possibly match the peptide of interest. The database contains a theoretical MS/MS spectrum of the peptides that have similar masses to the unidentified peptides. The unidentified peptide is then subjected to MS/MS fragmentation and resultant spectrum is then compared with the database. The overlap in fragmentation pattern between the unidentified peptide and database spectra of possible matches is compared using cross correlation analysis, where proteins receive scores based on the quality of overlap. Finally from this data, a protein is identified based on uniqueness of identity and probability of theoretical spectral match from selected organism database.

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CHAPTER 4

IN VIVO OXIDATIVE STRESS EFFECTS OF A SYSTEMICALLY-INTRODUCED COMMERCIAL CERIA ENGINEERED NANOMATERIAL

4.1. Overview of Study

An engineered nanomaterial (ENM) that solves an important problem in the engineering or environmental fields (i.e., ENMs for bioremediation) may have serious consequences after unintended uptake by humans. Inadequate identification of ENM hazards and management of risks from exposure could lead to serious human health problems (Nel et al. 2006). The primary anticipated routes of human exposure to ENMs intended for industrial or environmental applications include inhalation exposure, dermal uptake, and oral ingestion with possible subsequent absorption of ENMs into systemic circulation. Although some data exist on the absorption properties and associated toxicities of ENMs after exposure via the pulmonary, oral, and topical routes, little is known about their distribution into the brain once they reach systemic circulation. There is a need to determine the influence of the physico-chemical properties of ENMs on their distribution into and across the blood-brain barrier (BBB) and into brain cells and their beneficial and/or hazardous effects. Indeed the US Environmental Protection Agency (Shvedova et al.) has nominated ceria ENM as on priority for future study to determine its safety and awarded a STAR Grant RD-833772 to UK to conduct the research.

The objective of this study was to evaluate the effects of nanoscale ceria on oxidative stress endpoints. The intravenous route of exposure was used to assess the potential for the model ENM, after being absorbed by any route and entering systemic circulation, to distribute into organs and produce toxicity. A commercial 5% crystalline ceria dispersion

in water (average particle size \sim 31 ± 4 nm) was infused intravenously into rats (0, 50, 250 and 750 mg/kg), which were terminated 1 or 20 h later. Biodistribution in rat tissues was assessed by microscopy and ICP-AES/MS. Oxidative stress effects were assessed by protein-bound 4-hydroxy 2-trans-nonenal (HNE), 3-nitrotyrosine (3-NT), and protein carbonyls.

4.2 Materials and methods

4.2.1. Nanomaterial:

A 5% ceria dispersion in water at pH 4.2 (Aldrich #639648; USA) was used. The specifications for this product were: <150 nm and 4.5- 5.5% dispersion. The physical properties of nanoparticles reported by the manufacturer are not always the actual properties of a particular lot. The characterization methods used for research in this chapter HR-SEM, TEM, FTIR XRDICP-MS, Zeta potentiometer, and DLS techniques were performed by our collaborators (Dissertation Section 3.2).

4.2.2. Animals:

Nineteen rats were infused with 0 (n=4; 1 infused 0.5 h, 2 infused 2.5 h and 1 infused 7.5 h), 50 (n=5), 250 (n=5) or 750 (n=5) mg ceria/kg and terminated 1h after completion of the infusion and 32 rats were infused with 0 (n=10; two infused 0.5 h, 4 infused 2.5 h and 4 infused 7.5 h), 50 (n=7), 250 (n=7) or 750 (n=8) mg ceria/kg and terminated 20 h after completion of the infusion (Dr. Yokel's lab).

Blood brain barrier integrity assessment (Section 3.3, Dr. Yokel's lab), light and electron microscopic assessment (Section 3.4, Dr. Tseng's lab), tissue homogenization (Section

3.6), BCA protein estimation (Section 3.7), PC, 3NT, protein-bound HNE assays (Section3.8) were carried out.

4.3. Results

Light scattering particle size determinations suggested the mean ceria ENM size was ~30 nm, which correlated with HR-STEM imaging of the dosing material (Figure 4.1). FTIR results showed several peaks between 1300 and 1700 cm⁻¹ indicating the ceria ENM was modified with organic molecules, probably either an intentionally added stabilizer or an unintentional contaminant. The exact nature of this organic matter was not determined. The XRD results showed the presence of pure ceria in a cubic shape.

Tissue Ce concentration was ceria dose-dependent. The Ce concentration was highest in the spleen, which was higher than the liver, which was higher than the brain (Table 4.1). In contrast to the significant accumulation of ceria agglomerations in reticuloendothelial organs, much less ceria was seen in the brain (Figure 4.2), consistent with the much lower Ce concentration in this organ compared to the spleen, liver and kidney (Table I). No microscopic evidence of disruption of the BBB was observed.



Figure 4.1: HRTEM results for the ultra-sonicated 5% ceria ENM dispersion used in this study. Panel A: Lower magnification showing particle size distribution. The upper limit of the particle size range was ~100 nm. Panel B: Higher magnification illustrating that the lower limit of the particle size ranges was ~5 nm. All size fractions of the ceria ENM were highly crystalline. Panel C: The volume-based particle size distribution was bimodal. Panel D: FTIR scan of the powder surface showed the presence of carboxylate groups.



Figure 4.2: Left image: Electron micrograph of hippocampus of a rat that received 750 mg ceria/kg and was terminated 20 h after the infusion. Ceria was seen in the vascular lumen (red arrows) and an astrocyte (a). The BBB appeared intact (yellow circles). Scale bar =1 mm. Right image: Electron micrograph of hippocampus of a rat that received 250 mg ceria/kg and was terminated 20 h after the infusion. There is an apparent absence of ceria. Scale bar =1 mm.

Table 4.1: Blood and tissue [Ce] concentrations were measured1 and 20 h after

 completion of intravenous ceria infusion. *All the values were below detection.

Ceria dose (mg/kg)	Blood	Brain	Liver
1 h Termination			
0	0.032 ± 0.045	0.12 ± 0.09	0.25 ± 0.31
50	0.56 ± 0.50	0.087 ± 0.067	390 ± 137
250	1.3 ± 1.4	0.15 ± 0.10	1632 ± 941
750	41 ± 11	1.1 ± 0.3	6327 ± 725
20 h termination			
0	*	*	*
50	1.2 ± 0.1	1.0 ± 0.3	610 ± 131
250	13 ± 0	3.4 ± 1.0	3139 ± 1451
750	354 ± 45	7.4 ± 1.2	9335 ± X

Cerium [mg/L or mg/kg wt weight]^a

There were no significant changes in the oxidative stress markers (protein-bound HNE, protein-bound 3-NT and protein carbonyls) in brains from rats terminated 1 h after completion of the ceria infusion (Figure 4.3., upper panel). Rats terminated 20 h after the ceria infusion showed a significant increase of protein-bound HNE in the hippocampus and a decrease of protein carbonyls in the cerebellum (Figure 4.3., lower panel).



Figure 4.3: Protein-bound HNE, protein-bound 3-NTand protein carbonyls were measured in the hippocampus, cortex and cerebellum 1 h (upper panels) and 20 h (lower panels) after completion of intravenous ceria ENM infusion. Results are mean \pm SD of 4, 5, 5, and 5 rats that received 0, 50, 250 and 750 mg ceria/kg and were terminated 1 h after the infusion and 8, 5, 5 and 7 rats that received 0, 50, 250 and 750 mg ceria/kg and were terminated 20 h after the infusion. *=Significantly different from control, p<0.05.

4.4. Discussion

Material characterization results obtained were not fully consistent with the properties of this commercial ENM provided by its supplier. FTIR results suggested it had an unknown, and not revealed (for proprietary purposes), surface stabilizer(s). Surface stabilizers are commonly added to ENM to provide ease of dispersion. However, in an adverse effects assessment, as in this study, the presence of unknown components of the study material is not desired. To avoid this confound in future studies, we are preparing materials to have precise control over surface coating effects in our future studies.

The commercial ceria ENM used in this study was quite pure in regards to other metals. Sonication added little metal to the ceria. The ratio of non-cerium metals to ceria suggests that oxidative stress effects seen in this work are due to the ceria ENM (data not shown). The lack of profound effects on the oxidative stress endpoints in the brain may relate to the limited ceria distribution into the brain. No great BBB disruption was seen 1 and 20 h after completion of the infusion. Much less ceria ENM entered the brain than peripheral organs, suggesting an intact BBB during the infusion and that the BBB is an effective barrier for this crystalline ceria ENM. As it is unknown whether the ceria ENM that did enter the brain did so as administered, or after associating with proteins or other circulating factors in blood, we cannot glean from this study the properties that did enable its limited brain distribution.

Oxidative injury is a common, primary endpoint of ENM toxicity (Unfried et al. 2007). Ceria has been reported to produce toxicity attributed to increased oxidative damage (Brunner et al. 2006; Lin et al. 2006; Thill et al. 2006; Park et al. 2008). On the other hand, ceria ENM were reported to be protective against oxidative-induced injury in cells from many origins, including the nervous system (Tarnuzzer et al. 2005; Chen et al. 2006; Schubert et al. 2006; Das et al. 2007; Niu et al. 2007; Singh et al. 2007; Xia et al. 2008). This may be a direct anti-oxidant effect of ceria owing to its ability to reversibly change from Ce (Owens Iii et al.) to Ce (IV). However, that effect was only pronounced in acidic environments (Asati et al. 2009). The interest in ceria as a potential therapeutic agent with anti-oxidant properties increases the probability that it will be further studied for medical applications, making the understanding of its distribution from the central compartment (blood) and resultant effects important. The current study is the first report of the distribution and effects of ceria from the central compartment, after introduction into blood. However, the form of ceria employed in the present study would not be effective as a vehicle for drug delivery to the brain.

In this study, indices of protein oxidation (protein carbonyls and protein-bound 3-NT) and lipid peroxidation (protein-bound HNE) were determined. We observed no alterations in any of these oxidative stress markers in brain isolated from rats 1 h after exposure to ceria ENM. Twenty h after exposure to ceria by intravenous infusion, a dose-dependent increase of protein-bound HNE in the hippocampus was observed. The hippocampus is one of the most vulnerable brain regions, and in the most common neurodegenerative disorder, Alzheimer's disease, the hippocampus, the brains area through which initial aspects of memory are formed, is severely damaged by free radicals early in the disease (Butterfield et al. 2007). The highly reactive alkenal HNE can bind to proteins, thereby inducing conformational and functional changes (Aksenov et al. 1997; Subramaniam et al. 1997; Aksenov et al. 2000; Reed et al. 2008). Hence, ceria induced

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hippocampal lipid peroxidation could lead to deficits in learning and memory, and consequently dementia (Butterfield 1997; Butterfield et al. 1997; Lauderback et al. 2001).

In the cerebellum, protein carbonylation was decreased compared to controls. Cerebellum is devoid of oxidative damage and other pathology in Alzheimer's disease, and though no explanation for this observation is yet known, speculation suggests that elevated levels of heme oxygenase-1 (which leads to low levels of bilirubin that are highly neuroprotective), coupled to both a different neuronal type than in hippocampus and less amyloid β -peptide (that leads to free radical oxidative stress) accounts for this protection against oxidative stress and neurodegeneration (Poon et al. 2004). Elevated levels of heme oxygenase conceivably might be induced by ceria that lead to neuroprotection against oxidative stress as assessed by protein carbonyl levels. The commercial ceria used in this study was not obviously accumulated in brain, perhaps because of its size as well as its propensity for agglomeration. That, nevertheless, elevated lipid peroxidation was observed in vulnerable hippocampus may reflect two possibilities: (a) Brain-resident ceria ENM induced lipid peroxidation directly, which involves a chain reaction and requires only a modest initiation step to greatly amplify its effect; or (b) ceria-induced pro-inflammatory cytokines, e.g., TNF-a, in the periphery that are well-known to cross the BBB and induce oxidative stress in brain, including lipid peroxidation (Tangpong et al. 2006; Joshi et al. 2007). Further studies will be necessary to distinguish between these or other alternatives. It is interesting to speculate that ceria ENM <10 nm in size, and of defined shape and surface properties, in marked contrast to what was used in the current study, might have accumulated more in brain and led to either more oxidative or more neuroprotective effects. Studies to test this notion are in progress.

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In summary, much less ceria entered the BBB cells or the brain. The commercial ceria studied induced oxidative stress and a stress response in the brain. Ceria provides an inert core ENM enabling the study of the effects of size, shape and surface chemistry on the biodistribution, and neurotoxic or neuroprotective potential of metal oxide ENMs. These results provide a foundation to study the impact of physico-chemical properties of ENMs on their brain entry and neurotoxicity or neuroprotection activity.

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CHAPTER 5

IN VIVO OXIDATIVE STRESS EFFECTS OF A SYSTEMICALLY-INTRODUCED IN-HOUSE ENGINEERED 5 NM CERIA NANOMATERIAL

5.1. Overview of Study

Ceria ENM (a.k.a. cerium oxide; CeO_2), which is one of the most used ENM employed in different industrial applications (Yokel et al. 2009; Hardas et al. 2010), has been shown to have both anti-inflammatory properties as well as potent toxicity. However, there is no clear understanding of what exactly controls ceria's pro-or anti-oxidant effects. A recently published report summarizes findings of in vitro and in vivo studies conducted with ceria ENM under basal and induced oxidative stress conditions (Celardo et al. 2011). Ceria exhibited antioxidant properties by scavenging free radicals, by reducing levels of peroxides, iNOS, TNF- α , NF- $\kappa\beta$, and interleukin, promoted cell viability or protected organelles from diesel exhaust and cigarette smoke-induced oxidative stress, ROS generating chemical agents, or side effects of radiation treatment. Ceria is reported to have potential use in the treatment of diabetic cardiomyopathy, cancer, stroke, retinal degradation and Alzheimer's disease as well as to prolong life span (Chen et al. 2006; Rzigalinski et al. 2006; Das et al. 2007; Xia et al. 2008; D'Angelo et al. 2009; Hirst et al. 2009; Babu et al. 2010; Colon et al. 2010; Younce et al. 2010; Estevez et al. 2011; Niu et al. 2011). Antioxidant properties of ceria may be related to its SOD- and catalasemimetic activity (Korsvik et al. 2007; Pirmohamed et al. 2010) attributed to Ce3+/ Ce4+ redox coupling (Celardo et al. 2011). In contrast, there are reports of ceria induced prooxidant effects under basal conditions. Ceria ENM mediated ROS injury, elevation of the lipid peroxidation marker MDA, as well as the membrane damage marker LDH,

cytokines, IL-8 mRNA levels, GSH depletion, and reduced cell viability (Brunner et al. 2006; Lin et al. 2006; Park et al. 2008; Auffan et al. 2009).

To utilize ceria for therapeutic and non-therapeutic applications, it is important to know the long term effects of intended and un-intended ceria exposure on mammals. Most reports on effects of ceria ENM were on non-mammalian organisms or in cell culture, and they do not address long-term effects or the fate of ceria. In addition to our own previous studies (Yokel et al. 2009) (Yokel et al. 2009; Hardas et al. 2010), a few ceria ENM studies were conducted in intact animals (Chen et al. 2006; Niu et al. 2007; Hirst et al. 2009; Amin et al. 2011; Choi et al. 2011; Hirst et al. 2011; Srinivas et al. 2011; Zhou et al. 2011).

We have shown that iv administration of a commercial ceria ENM induced oxidative stress in rat brain (Yokel et al. 2009). However, this ENM was of larger size than advertised, tended to agglomerate, and had an unknown surface coating. The objectives of the present research were (1) to test the hypothesis that the size of metal oxide ENMs inversely relates to their ability to; cross the BBB and produce immediate (1 h) and later (20 h) pro- and/or antioxidant effects in the brain using ceria as a model metal oxide ENM; and (2) evaluate residual effects of long-term ENM exposure (30 days) on oxidative stress parameters in brain. Toward these goals, the iv route was used to assess the potential for this ENM, after being absorbed by any route and entering systemic circulation, to distribute into the brain and produce effects. Quite large doses were initially studied to likely produce significant effects.

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In the current study, 5-nm ceria ENM was synthesized and characterized, and its toxic effects were determined in the cerebellum, cortex, and hippocampus. The levels and activities of the antioxidant enzymes catalase, manganese superoxide dismutase (Mn-SOD), glutathione peroxidase (GPx), and glutathione reductase (GR) were measured along with the ratio of reduced glutathione (GSH) to its oxidized form (GSSG). To understand the extent of changes in cellular redox status, the levels of oxidative stress endpoints, protein carbonyl (PC), 3-nitrotyrosine (3NT), and protein-bound 4- hydroxyl-2-trans-nonenal (HNE), were measured along with heat shock protein (Hsp70) levels.

5.2 Materials and Methods

5.2.1. Nanomaterial:

Ceria ENM was obtained from our collaborator, which was synthesized in in-house facility (Section 3.1). Nanomaterial characterization was carried out by our collaborators, prior to ceria ENM treatment to the animals (as described in Section 3.2).

5.2.2. Animals:

Seven rats were infused with 0 and 11 rats with 100 mg ceria/kg and terminated 1 h after completion of infusion. Eight rats were infused with 0 and 12 rats with 100 mg ceria/kg and terminated 20 h after completion of infusion. Seven rats received 0 and nine rats received 85 mg ceria/kg and terminated 30 days after completion of infusion. After ceria ENM treatments, the BBB integrity assessment (Section 3.3), light and electron microscopic assessment (Section 3.4) and EELS analysis (Section 3.2) were carried out by our collaborators. The brain homogenates were prepared from control and ceria treated rat brain samples (Section 3.6). Total protein content was determined using BCA

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assay (Section 3.7) and various biochemical assays were carried out on all the samples (Section 3.8, 3.9, 3.10, 3.11, and 3.14) in our lab.

5.3. Results

Ceria composition

HR-TEM/HR-STEM showed the ceria ENM was polyhedral shape (Figure 5.1). The XRD patterns demonstrated the ceria were highly crystalline. Evaluation of a number of TEM images showed that the ceria had a number-average primary particle size of ~ 5 nm. Analysis of the ceria dispersion by ICP-MS showed that the ceria content of the assynthesized dispersion was $4.35 \pm 0.20\%$.



Figure 5.1: Ceria ENM imaged using HRTEM and HRSTEM. The ceria were dispersed on a carbon film. Visually they have a narrow size distribution ranging from 4 to 6 nm, the majority 5 nm. The magnified TEM insert at the lower left illustrates that the ceria ENMs are highly crystalline. The insert at the top right is a STEM image showing the morphology of the ceria ENM (taken by Dr. Peng Wu).

Ceria concentration in brain and electron microscope

ICP-MS analysis suggested that a very small amount of ceria was present in the brain parenchyma compared to the peripheral organ liver (Table 5.1). Electron microscopy studies suggested that ceria ENM was not found in the brain, but located on the luminal side of the BBB endothelium. The hippocampus and cerebellum tissues did not show obvious ceria induced injury as no necrotic neurons or elevated gliosis were observed and the BBB was visibly intact (data not shown).

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Table 5.1: Ceria concentration in blood, brain, and liver expressed as concentration and as a percentage of the 5 nm ceria ENM dose 1h, 20 h and 30 d after the treatment. % dose was based on blood volume of the rat of 7% body weight and brain, and liver ceria concentration x organ weights. *All values were below minimum detection limit.

% dose	Blood	Brain	Liver
1 h termination			
0 (n=7)	0.02 ± 0.03	0.09 ± 0.10	0.30 ± 0.54
100 (n=11)	27 ± 8	0.020 ± 0.007	22 ± 14
20 h termonation			
0 (n=8)	*	*	*
100 (n=12)	1 ± 1	0.0046 ± 0.0011	51 ± 11
30 d termination			
100 (n=9)	0.01 ± 0.02	0.008 ± 0.009	44 ± 27

Cerium (mg/l or mg/kg) wet weight and [% of dose]^a

EELS results

Electron energy loss spectroscopic measurements on liver tissue were performed as a representative organ. The ratio of Ce(Owens Iii et al.) to Ce(IV) in the aged ceria was evaluated using EELS measurements after locating agglomerates of the 5 nm ceria in tissue 20 h and 30 days after infusion into rat and comparing with synthesized ceria. The high Ce^{3+}/Ce^{4+} ratio that was obtained in the as-synthesized, fresh 5 nm ceria particles seems to have only been altered slightly in individual ceria measured in liver after 30 days *in vivo*. However, this difference was not significant after 20 h as well as 30 d (data not shown).

Oxidative Stress Indices

Ceria treatment affected catalase levels and activities

Previously ceria ENM was reported to have H_2O_2 -producing ability (Korsvik et al. 2007); therefore, the effect of 5 nm ceria ENM on levels and activity of a primary H_2O_2 reducing enzyme (catalase) was determined. Catalase activity was significantly increased 20 h later in the hippocampus (~28%, p<0.05, Table 5.2a) but, decreased after 1 h in cerebellum (~16%, p<0.05, Table 5.2b) and 30 d later in the hippocampus (~18%, *p<0.05, Figure 5.2b). Catalase levels were significantly increased 1 h after ceria infusion in the hippocampus (~41%, *p<0.05, Table 5.2) and decreased 30 d after in the cerebellum (~16%, *p<0.05, Figure 5.4a). To determine the influence of ceria treatment on the SOD enzyme or contribution to H_2O_2 levels produced from SODs, if any, the level of MnSOD and SOD activity were measured after 1 h, 20 h and 30 d. There were no significant changes observed in the levels and activity of Mn-SOD (data not shown). **Table 5.2a:** Oxidative stress indices measured in hippocampus after 1 and 20 h of 5 nmceria treatment. All values are expressed as mean of percentage of controls \pm SEM, whichwere concurrently assayed. The control mean was normalized to 100%. *Statisticallysignificant difference with (p < 0.05).</td>

	1 h after treatment		20 h after treatment			
	Control	Ceria	Control	Ceria		
		treated		treated		
(a) Hippocampus						
Oxidative stress markers						
PC	100 ± 2	93 ± 3	100 ± 8	103 ± 3		
3NT	100 ± 3	101 ± 3	100 ± 5	103 ± 3		
Protein-bound HNE	100 ± 7	103 ± 6	100 ± 3	101 ± 3		
The GSH antioxidant defense system						
GR levels	100 ± 4	99 ± 13	100 ± 3	109 ± 7		
GR activity	100 ± 7	93 ± 5	100 ± 6	106 ± 5		
GPx levels	100 ± 3	97 ± 4	100 ± 2	102 ± 3		
GPx activity	100 ± 21	97 ± 18	100 ± 13	77 ± 10		
Antioxidant enzymes						
MnSOD levels	100 ± 8	93 ± 8	100 ± 6	98 ± 9		
SOD activity	100 ± 10	93 ± 8	100 ± 8	76 ± 5		
Catalase levels	100 ± 11	141 ± 10*	100 ± 4	91 ± 5		
Catalase activity	100 ± 3	101 ± 5	100 ± 7	128 ± 7*		

Table 5.2b: Oxidative stress indices measured in cortex and cerebellum after 1 and 20 hof 5 nm ceria treatment. All values are expressed as mean of percentage of controls \pm SEM, which were concurrently assayed. The control mean was normalized to 100%.*Statistically significant difference with (p < 0.05).</td>

	1 h after treatment		20 h after treatment	
	Control	Ceria treated	Control	Ceria treated
(a) Cortex				
Oxidative stress markers				
PC	100 ± 3	102 ± 3	100 ± 6	102 ± 3
3NT	100 ± 3	104 ± 4	100 ± 6	97 ± 4
Protein-bound HNE	100 ± 16	110 ± 9	100 ± 4	106 ± 5
Antioxidant enzymes				
Catalase levels	100 ± 4	104 ± 2	100 ± 7	109 ± 6
Catalase activity	100 ± 7	93 ± 4	100 ± 6	105 ± 2
(b) Cerebellum				
Oxidative stress markers				
PC	100 ± 3	102 ± 3	100 ± 5	85 ± 3
3NT	100 ± 4	104 ± 2	100 ± 1	98 ± 2
Protein-bound HNE	100 ± 7	103 ± 6	100 ± 4	104 ± 2
Antioxidant enzymes				
Catalase levels	100 ± 6	105 ± 6	100 ± 10	91 ± 9
Catalase activity	100 ± 6	84 ± 3*	100 ± 8	104 ± 5

Ceria treatment decreases GPx levels, activity and the GSH-GSSG ratio after 30 d GPx reduces H₂O₂ along with other peroxides using glutathione (GSH) as a source of reducing equivalents. The activity of GPx was significantly decreased in the hippocampus (~69%, **p<0.001, Figure 5.2b, 30 d) and in cerebellum (~23 %, *p<0.05, Figure 5.4b, 30 d). GPx levels showed a decreasing trend in all brain regions but was significantly decreased only in cerebellum (~27 %, *p<0.05, Figure 5.4a, 30 d). GR levels were significantly decreased (~24%, *p<0.05, Figure 5.3a, 30 d) in the cortex. GR activity did not show any significant change in any of the three brain regions of 30 d samples. A marker of overall cellular redox status was evaluated by comparing the GSH: GSSG ratio of ceria-treated samples. The GSH: GSSG ratio was significantly decreased in the hippocampus (~13%, *p<0.05, Figure 5.2b, 30 d) and cerebellum (~15%, **p<0.01, Figure 5.4b, 30 d) consistent with an increase in oxidative stress seen in brain from ceria ENM-treated animals after 30 d.

GPx and GR activities and levels remained unchanged in 1 h and 20 h samples, whereas GSH and GSSG levels were not measured for these early time points.

Hsp-70 levels increased 30 d after ceria treatment

Hsp-70 is a member of the heat shock protein family and inducible by oxidative stress. Hsp-70 levels were significantly increased in the hippocampus (~49%, *p<0.05, Figure 5.2a) and in the cerebellum (~40% *p<0.05, Figure 5.4a), which are consistent with depletion of GSH levels indicated by the decreased GSH: GSSG ratio in those regions. The levels of Hsp70 were not measured in 1 h and 20 h early time-points.


Figure 5.2: Antioxidant levels and activities in hippocampus 30 d after 5 nm ceria ENM administration. a) The histograms showing GR, GPx, catalase, antioxidant enzymes and HO-1, Hsp70 heat shock proteins levels and corresponding Western blots showing protein levels in control [C] and treated [T] samples. The intensity of each band was normalized with intensity of corresponding band of β -actin-loading control, and b) GR, GPx catalase antioxidant activities, and GSH: GSSG ratio measured in control and ceria treated samples. The values are calculated as % control for each measurement expressed as mean ± SEM, control n = 7, treated n = 9, *p <0.05, **p < 0.01, compared to control.



Figure 5.3: Antioxidant levels and activities in cortex 30 d after 5 nm ceria ENM administration. a) histograms showing GR, GPx, catalase, antioxidant enzymes and HO-1, Hsp70 heat shock proteins levels and corresponding Western blots showing protein levels in control [C] and treated [T] samples. The intensity of each band was normalized with intensity of corresponding band of β -actin-loading control, and b) GR, GPx catalase antioxidant activities, and GSH: GSSG ratio measured in control and ceria treated samples. The values are calculated as % control for each measurement expressed as mean \pm SEM, control n = 7, treated n = 9, *p <0.05, **p < 0.01, compared to control.



Figure 5.4: Antioxidant levels and activities in cerebellum 30 d after 5 nm ceria ENM administration. a) histograms showing GR, GPx, catalase, antioxidant enzymes and HO-1, Hsp70 heat shock proteins levels and corresponding Western blots showing protein levels in control [C] and treated [T] samples. The intensity of each band was normalized with intensity of corresponding band of β -actin-loading control, and b) GR, GPx catalase antioxidant activities, and GSH: GSSG ratio measured in control and ceria treated samples. The values are calculated as % control for each measurement expressed as mean \pm SEM, control n = 7, treated n = 9, *p <0.05, **p < 0.01, compared to control.

Ceria treatment induced protein oxidation after 30 d

Thirty days after ceria ENM treatment, the levels of PC showed a significant increase in the hippocampus (~ 19%, *p<0.05, Figure 5.5a) and cerebellum (~12 %, *p<0.05, Figure 5.5b) in treated vs. control samples. 3NT levels were significantly increased in the cortex (~20%, *p<0.05, Figure 5.5c). There was no significant change in protein-bound HNE levels in any of the three brain regions. Consistent with increased 3NT levels in cortical region, iNOS levels were increased significantly (~27%, *p<0.05, Figure 5.5d), and there was a positive correlation between 3NT and iNOS levels (r= 0.67, p < 0.05, Figure 5.5d).

Measurement of oxidative stress parameters (PC, 3NT, and protein-bound HNE) in the hippocampus, cortex, and cerebellum of adult rat brain 1 and 20 h after administration of 100 mg/kg ceria ENM did not reveal any significant difference in control versus treated samples (Table 5.3).



Figure 5.5a and b: Protein oxidation and lipid peroxidation markers in hippocampus and cerebellum 30 d after 5 nm ceria administration. a) Oxidative stress markers PC, 3NT and HNE levels in hippocampus, and b) oxidative stress markers PC, 3NT and HNE levels in cerebellum. The values are calculated as % control for each measurement expressed as mean \pm SEM, control n = 7, treated n = 9, *p <0.05, **p < 0.01, compared to control.



Figure 5.5c and d: Protein oxidation and lipid peroxidation markers in cortex 30 d after 5 nm ceria administration. c) Oxidative stress markers PC, 3NT and HNE levels, and d) iNOS levels and correlation between 3NT levels and iNOS levels in ceria treated samples, n=9, r=0.67, p < 0.05. The values are calculated as % control for each measurement expressed as mean \pm SEM, control n = 7, treated n = 9, *p <0.05, **p < 0.01, compared to control.

5.4. Discussion

A decline in the GSH: GSSG ratio (in hippocampus and cerebellum) after 30 d indicates oxidative status of the cellular environment. A similar observation was reported in Park et al. in which 30 nm ceria depleted GSH levels in human lung epithelial cells in a dosedependent manner (Park et al. 2008). Hydroxylated derivatives of fullerenes decreased the GSH: GSSG ratio and induced MDA-lipid peroxidation marker levels (Nakagawa et al. 2011). At the cellular level the GSH: GSSG ratio is dependent on GPx and GR enzymes, and we observed decreased GPx activity (in hippocampus and cerebellum), decreased GPx levels (in cerebellum) and decreased GR levels (cortex) after 30 d. Similarly, 15 nm silver and 90 nm copper ENM down-regulated GPx gene expression (Wang et al. 2009), 25 nm silver ENM (Rahman et al. 2009) and SWCNT (Wang et al. 2011) inhibited GPx activity, and silica ENM reduced GR activity (Akhtar et al. 2010). Thus it may be that after 30 days post exposure, ENM have deleterious effects on brainrendant enzymes needed for maintenance of the reduced thiol status of the cells. Further inhibition of catalase activity (after 30 d) along with GPx activity, may lead to accumulation of H_2O_2 and ultimately increased production of hydroxyl radicals (OH•). Activity of catalase can be inhibited by hydroxyl radicals (OH•) and that of GPx by H₂O₂ and hydroperoxides (Pigeolet et al. 1990). Ceria ENM can produce H₂O₂ under abiotic conditions (Korsvik et al. 2007; Xia et al. 2008), and H₂O₂ has high membrane permeability (Halliwell 1992). Further H₂O₂ can undergo a Fenton-type reaction to produce highly potent OH• radicals as noted above. A lack of change in SOD activity and level in the current study may imply that endogenous SOD does not account for elevated

oxidative stress in brain in this study. Therefore, ceria ENM treatment may have caused induction in ROS that lead to oxidative inhibition of antioxidant enzyme activities, decreased GSH: GSSG ratio and increased in PC and 3NT levels observed after 30 d. Similar augmentation of oxidative stress was seen after exposure to various other ENM such as TiO₂ (Hao et al. 2009; Liang et al. 2009; Xiong et al. 2011), SWCNT (Wang et al. 2011), MWCNT (Guo et al. 2011), hematite (Radu et al. 2010), ZnO (Xia et al. 2008).

On the other hand increased levels (1 h) and activity (20 h) of catalase in hippocampus indicates initial oxidative stress defense response to ceria ENM treatment observed at early exposure time-points, which was reinforced by lack of increase in PC, 3NT or HNE levels at 1 and 20 h.

After 30 d, elevated 3NT levels, a marker for increased nitrosive stress, are consistent with elevated levels of inducible nitric oxide synthase (iNOS). iNOS exists at extremely low levels under normal physiological conditions, but is inducible by endotoxin, and inflammatory cytokines among other stresses (Calabrese et al. 2000; Calabrese 2007). We found a correlation between 3NT levels and iNOS levels in the cortical region of rats examined 30 d after ceria ENM treatment. It may indicate that increased 3NT levels are due to increased NO production in the cortex and iNOS levels concurrently may be induced following ceria treatment via increased cytokine production. Electron microscopic analysis showed an intact BBB and an absence of any significant amount of ceria in the brain. However, cobalt-chromium ENMs have damaged DNAs without crossing cellular membranes (Bhabra et al. 2009), and the chemotherapeutic drug doxorubicin lead to neurotoxic effects without ever crossing the BBB (Tangpong et al. 2006). Similarly, it is conceivable that the observed oxidative stress response in brain

regions could be due to the accumulation of 5 nm ceria in peripheral organs and subsequent inflammatory cytokines. Studies to test this notion will be carried out in the future in our laboratory.

Induction of heat shock protein Hsp-70 levels as seen after 30 d is in agreement with other literature reports. Similar to effects of silver ENM (Ahamed et al. 2010) and fullerene C60 (Usenko et al. 2008) treatments, Hsp-70 levels and other oxidative stress markers were induced with concomitant decrease in the GSH: GSSG ratio. In a transgenic mouse model for cardiomyopathy, Hsp-70 levels were increased as an oxidative stress marker of ER stress. Ceria ENM treatment rescued these cells from ER stress and as a result Hsp-70 expression was down regulated (Niu et al. 2007).

These pro-oxidant effects in the brain after 30 days exposure in vivo to ceria ENM indicate some sort of effect of time after long-term retention of ceria ENM in peripheral organs compared to its short term (1 and 20 h) retention, where moderate antioxidant effects were observed. The EELS data suggests that mechanisms other than the switching between Ce^{4+} and Ce^{3+} oxidation states and the possibility to absorb and release oxygen by inducing oxygen vacancies may play a critically important role in pro-oxidant effects of ceria ENM. Therefore, under the conditions of the present study we propose that 5 nm ceria ENM induces in brain initial oxidative stress defense response and upon longer exposure, pro-oxidant effects without crossing the BBB. Ceria ENM indirectly induced ROS production in brain caused depletion of GSH, which initially induced antioxidant levels (1 and 20 h) but over 30 days eventually inhibits H_2O_2 -scavenging catalase and GPx enzyme activities. This may increase H_2O_2 levels and therefore OH• production via Fenton reaction, which may cause protein oxidation and induction of Hsp 70, levels

(Figure 5.6). We speculate that ceria ENM may cause increased iNOS levels in a similar fashion, which leads to increased 3NT levels.



Figure 5.6: In this proposed pathway 5 nm ceria ENM induces pro-oxidant effects after 30 d in rat brain without crossing the BBB. Ceria ENM indirectly induces ROS production as indicated by thick downward arrow leading to GSH depletion and inhibition of catalase and GPx enzymes. This inhibition of H₂O₂ reducing enzyme activity can induce H₂O₂ levels and therefore hydroxyl radicals (OH•) production mediated by Fenton reaction. Increased OH• can further oxidize the proteins and may hamper their regular function. Hydroxyl radicals may also inhibit H₂O₂ reducing catalase and GPx activity by way of oxidative modification. These bio-chemical reactions could make cellular environment more oxidizing, triggering a cellular stress response to induce Hsp-70 levels. Hsp-70 is a chaperone protein that can shepherd oxidized protein to proteosomes for degradation for further cellular clearance (shown as dotted arrow). If

timely clearance of oxidized protein takes place then there may not be any change in cellular PC levels. As there was no evidence of the presence of ceria ENM inside the brain, it is further proposed that ceria ENM exert its pro-oxidant effect in the brain secondary to its peripheral effects.

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CHAPTER 6

SYSTEMIC ADMINISTRATION OF 15 NM CERIA ENM INDUCES PHASE II ANTIOXIDANTRESPONSE IN RAT BRAIN

6.1. Overview of the Study

According to the U.S. National Nanotechnology Initiative (NNI) nanoscale material is one which has at least one dimension in the range of 1 to 100 nanometers, with properties that are often unique due to their dimensions (Yokel et al. 2011). As size of particle decreases, its surface area to mass ratio increases concomitantly, the fraction of atoms present on the surface also increases. Due to these factors, nanoparticles behave significantly different than bulk materials of microparticles. The size of nanoparticles not only influences the physico-chemical properties of nanomaterial but also, it's the biological behavior. Upon exposure to biological materials or biological systems, nanomaterials can reach to various small cellular compartments, which are otherwise inaccessible to microparticles. In addition to this, the large surface area of nanaoparticles can be used as a platform or anchor by bio-molecules for different biological processes (Nel et al. 2009; Fubini et al. 2010). As well as due to the high fraction of atoms present on the surface, nanoparticles can take active part and catalyze biological reactions efficiently (Das et al. 2007; Karakoti et al. 2009). Therefore, size becomes an important factor to be considered in determining the nanotoxicity of nanomaterials.

The toxicological studies conducted over past decade have demonstrated that small ENMs (< 100nm), cause adverse respiratory effects, by mainly inducing inflammation than larger particles with same material composition (Donaldson et al. 2003; Oberdörster et al. 2005; Buzea et al. 2007; Kang et al. 2009; Thomassen et al. 2009). Based on

literature findings, ~ 15 to 30 nm is a critical width or diameter for ENMs to have properties different from the solution and bulk chemistry of their components (Jiang et al. 2008; Yokel et al. 2011). The significant change in the physico-chemical properties of ENM is strongly related to the exponential increase in the number of atoms localized on the surface of ENM, making ~ 15 to 30 nm ENM to stand out from microparticles and bulk materials (Auffan et al. 2009; Yokel et al. 2011). Pulmonary toxicity studies with TiO2 ENMs compared two sizes 20 nm and 250 nm (Oberdörster et al. 1994; Oberdörster et al. 2005). A comparison of ultrafine- and fine-TiO2 particle induced revealed increased cytotoxic effects upon untrafine-TiO2 treatment (Kang et al. 2009) as well silica ENM showed size-dependent cytotoxicity (Thomassen et al. 2009). In spite of having same crystal structure, smaller size ENM induced high inflammatory response, showed significantly prolonged retension (up to 1 year), and increased translocation to pulmonary intestitium and persistence. In addition to it, small ENM had adverse effect on cell proliferation, and it impaired alveolar microphage functions (Oberdörster 1988; Buzea et al. 2007).

As explained earlier, oxidative stress effects of ceria ENM are topic of discrepancy. In order to understand the influence of size on the oxidative stress effects of ceria ENM, ceria ENM with different sizes were synthesized. Each of the proceeding chapters focused on one size of ceria ENM and its oxidative stress effects on rat brain evaluated at different time points. In this chapter we discuss oxidative stress effects of 15 nm ceria ENM on rat brain upon systemic administration. Five% dispersion of the material was administered to male rats intravenously (250 mg/kg and 100 mg/kg). The rats that received 250 mg/kg dose were terminated after 1 h and the rat that received 100 mg/kg

dose were terminated after 30d. Three brain regions, hippocampus, cortex and cerebellum from each treatment were harvested from each ceria and saline-control treated rats and frozen rapidly in liquid nitrogen. The levels and activities of the antioxidant enzymes catalase, manganese superoxide dismutase (Mn-SOD), glutathione peroxidase (GPx), and glutathione reductase (GR), were measured along with the ratio of reduced glutathione (GSH) to its oxidized form (GSSG). To understand the extent of changes in cellular redox status, the levels of oxidative stress endpoints, protein carbonyl (PC), 3-nitrotyrosine (3NT), and protein bound 4- hydroxyl-2-trans nonenal (HNE), were measured along with heat shock protein (Hsp70) levels.

6.2. Materials and methods

6.2.1. Nanomaterial:

Ceria ENM was obtained from our collaborator, which was synthesized in in-house facility (Section 3.1). Nanomaterial characterization was carried out by our collaborators, prior to ceria ENM treatment to the animals (Section 3.2).

6.2.2. Animals:

The male Sprague-Dawley rats received either saline or 5% ceria dispersion intravenously. Five rats were infused with 0 and three rats with 250 mg ceria/kg and terminated 1 h after completion of infusion. Five rats were infused with 0 and 5 rats with 100 mg ceria/kg and terminated 30 d after completion of infusion. After ceria ENM treatments, the BBB integrity assessment (Section 3.3), light and electron microscopic assessment (Section 3.4) and EELS analysis (Section 3.2) was carried out by our collaborators. The brain homogenates were prepared from control and ceria treated rat brain samples (Section 3.6). Total protein content was determined using BCA assay (Section 3.7) and various biochemical assays were carried out on all the samples (Sections 3.8, 3.9, 3.10, 3.11, and 3.14) in our lab.

6.3. Results

Ceria composition

HR-TEM/HR-STEM showed the ceria ENM was polyhedral shape (Figure 1). The XRD patterns demonstrated the ceria are highly crystalline. Evaluation of a number of TEM images showed that the ceria had a number-average primary particle size of 15 nm.



Figure 6.1: Ceria ENM imaged using HRTEM and HRSTEM. The ceria were dispersed on a carbon film. Visually they have a size distribution ranging from X to X nm and the majority diameter around 15 nm. The magnified TEM insert at the lower left illustrates that the ceria ENMs are highly crystalline (taken by Dr. Peng Wu).

Ceria concentration in brain and electron microscopy

ICP-MS analysis suggested that a very small amount of ceria was present in the brain parenchyma compare to peripheral organ liver (Table 1). Electron micrographic studies suggested that ceria ENM was not found in the brain, but located on the luminal side of the BBB endothelium. The hippocampus and cerebellum tissues did not show obvious ceria induced injury as no necrotic neurons or elevated gliosis were observed and the BBB was visibly intact (data not shown).

Table 6.1: Cerium concentrations in blood, brain, liver, and spleen, expressed as a percent change of 15 nm ceria ENM dose. a) Based on reference volume of blood in the rat (7% of body weight) or weight of the brain, liver x ceria concentration.

Cerium [% of dose] ^a				
	Blood	Brain	Liver	
% dose (1 h)	0.59 ± 0.15	0.006 ± 0.004	32 ± 14	
% dose (30 d)	0.0048 ± 0.0011	0.0013 ± 0.001	22.6 ± 12	

EELS results

Electron energy loss spectroscopic measurements on liver tissue were performed as a representative organ. The ratio of Ce(Owens Iii et al.) to Ce(IV) in the aged ceria was evaluated using EELS measurements after locating agglomerates of the ceria nanorods in tissue 1 h and 30 days after infusion into rat and comparing with synthesized ceria. The

high Ce^{3+}/Ce^{4+} ratio that was obtained in the as-synthesized, fresh 15 nm ceria seems to have only been altered slightly in individual ceria measured in liver after 30 days *in vivo* (data not shown).

Oxidative Stress Indices

GSH: GSSG ratio increases in a time dependant manner

One hour after 250 mg/kg dose of 15 nm ceria, brain redox status was affected as indicated by declined in GSH: GSSG ratios in hippocampus (~ 16%, $\xi p < 0.01$, Figure 6.2), and cortex as well as in cerebellum, although the latter was not significant. Thirty days after administration of 100 mg/kg dose of 15 nm ceria ENM, GSH: GSSG ratio was not significantly changed; however, an increasing trend was observed when compared with the respective controls. The declined trend in GSH: GSSG ratio suggests more oxidized cellular status after 1 h, while the ascending trend of GSH: GSSG ratio may suggest the more reduced redox status of brain after 30 d.



Figure 6.2: GSH: GSSG ratios in 3-brain regions 1 h after 250 mg/kg dose and 30 d after 100 mg/kg dose of 15 nm ceria ENM. The histograms represent % control for saline treated controls and ceria treated samples. The values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and θ p < 0.001, compared to mean of respective control samples.

SOD level and activity spiked up after ceria treatment

SOD, superoxide dismutase antioxidant enzyme, which converts superoxide radical into H_2O_2 and O_2 , was significantly affected after 15 nm ceria treatment. MnSOD levels were increased significantly in hippocampus (~ 40%, *p < 0.05), cortex (~ 53%, $\xi p < 0.01$), and cerebellum (~ 28%, *p < 0.05) 1 h after receiving 250 mg/kg dose of 15 nm ceria ENM (Figure 6.3a). However, MnSOD levels remained unaffected 30 days after receiving 100 mg/kg dose of 15 nm ceria. The levels of catalase, H_2O_2 reducing antioxidant enzyme, showed slight increase (H and CB) 1 h after administration of higher dose of ceria, and slight decrease (H and CB), 30 d after lower dose of ceria; but neither of the changes were significant (Figure 6.3b). Among two glutathione dependant

enzymes, only GPx levels were significantly elevated in cortex (~ 33%, *p < 0.05, Figure 6.3c) 1 h after ceria ENM treatment. GPx levels were decreased in hippocampus, almost unchanged in cortex and slightly increased in cerebellum, 30 d after 100 mg/kg ceria treatment, but none of the changes were significant. GR levels were slightly increased in cortex and decreased in cerebellum after 1 h, and showed no change after 30 d (Figure 6.3d).



Figure 6.3a, b, c and d: The antioxidant enzyme levels in 3-brain regions 1 h after 250 mg/kg dose and 30 d after 100 mg/kg dose of 15 nm ceria ENM. a) The histograms represent % control MnSOD levels measured in saline treated controls and ceria treated samples, b) the histograms represent % control catalase levels measured in saline treated controls and ceria treated samples, c) the histograms represent % control GPx levels measured in saline treated control GR levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 6.3e: Separate Western blots were used to estimate antioxidant protein levels in hippocampus, cortex and cerebellum. However, only representative examples from hippocampus are shown. Protein levels in control [C] n=5, and treated [T] n=3 (1 h, left, consider only labeled bands) and [T] n=5 (30 d, right) 15 nm ceria ENM treatment. The intensity of each antioxidant protein band was normalized with intensity of corresponding band of β -actin-loading control. Similar blots were obtained for cortex and cerebellum regions.

Similar to the antioxidant levels, antioxidant enzyme activities were heavily affected 1 h after 250 mg/kg dose treatment of 15 nm ceria ENM. SOD activity was significantly increased after 1 h in hippocampus (~ 30%, *p < 0.05), cortex (~ 26%, *p < 0.05), and cerebellum (~ 24%, *p < 0.05) but remained unaffected after 30 d (Figure 6.4a). Catalase activities were significantly elevated in hippocampus (~ 24%, $\xi_p < 0.01$) and in cerebellum (~ 16%, *p < 0.05) after 1 h, cortex region catalase activity was slightly increased (Figure 6.4b). 30 d after 100 mg/kg ceria treatment, catalase activity was slightly declined in cortex, while elevated in cerebellum, but remained unaffected in hippocampus (Figure 6.4b). GPx activity was increased after 1 h in hippocampus (~ 28%, $\xi_p < 0.01$) and cortex (~ 33%, *p < 0.05), and remained unchanged in cerebellum, while after 30 d GPx activity did not change in any of the brain region (Figure 6.4c). While GR

activity showed some decreasing trend after 1 h (C and CB) and somewhat increasing trend after 30 d (C and CB), the changes were not statistically significant (Figure 6.4d).



Figure 6.4: The antioxidant enzyme activities in 3-brain regions 1 h after 250 mg/kg dose and 30 d after 100 mg/kg dose of 15 nm ceria ENM. a) the histograms represent % control SOD activities measured in saline treated controls and ceria treated samples, b) the histograms represent % control catalase activities measured in saline treated controls and ceria treated samples,c) The histograms represent % control GPx activities measured in saline treated control GPx activities measured in saline treated control s and ceria treated controls and ceria treated samples, d) the histograms represent % control GR activities measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

PC, 3NT and HNE levels decreased after 1h however, HSP levels increased

PC, 3NT and HNE levels were affected only after 1 h. PC levels were decreased in hippocampus (~ 30%, *p < 0.05) and cerebellum (~ 29%, *p < 0.05) (Figure 6.5a). 3NT levels were decreased significantly in hippocampus (~ 69%, $\xi p < 0.01$) and showed decreasing trend in cortex (Figure 6.5b). HNE levels were decreased significantly in hippocampus (~ 38%, $\xi p < 0.01$) and in cerebellum (~ 28%, $\xi p < 0.01$) (Figure 6.5c). There was no effect on the PC, 3NT or HNE levels after 30 d in 3-brain regions (Figure 4a, b and c). The reduction in protein oxidation and lipid peroxidation markers (1 h) is consistent with elevated antioxidant protein levels and activities after 1 h.

The heat shock proteins function as intra-cellular chaperones for other proteins. As a chaperon, they assist in the establishment of proper protein conformation and prevent unwanted protein aggregation. HSPs also help in stabilizing partially folded proteins and transporting proteins across membranes within the cell. The upregulation of heat shock protein is an important cellular stress response and can be induced by oxidative stress. Unlike observed in our other studies, heat shock protein HO-1 and Hsp70 levels were induced after 1 h, even though protein oxidation and lipid peroxidation markers were significantly decreased. Induction in Hsp70 levels was observed in all 3-brain regions; hippocampus (~ 36%, *p < 0.05), cortex (~ 33%, *p < 0.05) and cerebellum (~ 38%, *p < 0.05) after 1 h (Figure 6.6a). Induction of HO-1 levels was seen after 1 h in hippocampus (~ 25%, *p < 0.05) and in cerebellum (~ 27%, *p < 0.05), whereas decreased in cortex but this change was not significant (Figure 6.6b).



Figure 6.5: The oxidative stress marker levels in 3-brain regions 1 h after 250 mg/kg dose and 30 d after 100 mg/kg dose of 15 nm ceria ENM. a) The histograms represent % control PC levels measured in saline treated controls and ceria treated samples, b) the histograms represent % control 3NT levels measured in saline treated controls and ceria treated samples, c) the histograms represent % control HNE levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples



Figure 6.6a and b: The heat shock protein levels in 3-brain regions 1 h after 250 mg/kg dose and 30 d after 100 mg/kg dose of 15 nm ceria ENM. a) The histograms represent %control HO-1 levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control Hsp70 levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 6.6c: Separate Western blots were used to estimate HSPs levels in hippocampus, cortex and cerebellum. However, only representative examples from hippocampus are shown. Protein levels in control [C] n=5, and treated [T] n=3 (1 h, left, consider only labeled bands) and [T] n=5 (30 d, right) 15 nm ceria ENM treatment. The intensity of each antioxidant protein band was normalized with intensity of corresponding band of β -actin-loading control. Similar blots were obtained for cortex and cerebellum regions.

6.4. Discussion

The toxicity of nanomaterials is governed by various factors including chemical composition, size, morphology, and surface modifications. According to the ENM toxicity studies from past decade, the smaller the size of ENM, the higher is the toxicity (Lippmann 1990; Buzea et al. 2007). Additionally, based on correlation between surface area and fraction of atoms present on the surface of a particle, ENM with one or more dimensions in the range of ~ 15 to 30 nm exhibits distinctly different physico-chemical behavior (Jiang et al. 2008; Yokel et al. 2011). Therefore, to evaluate the influence of size on the oxidative stress effects of ceria ENM, we synthesized 5 nm, 15 nm 30 nm and 55 nm size ceria ENM. 5% dispersion of each ceria ENM was administered intravenously to the separate groups of male rats. The tissues were harvested at different time intervals

after ceria administration from each saline and ceria ENM treated rats. The oxidative stress effects of ceria ENM on three rat brain regions were evaluated separately.

In the current study the three rats that received higher dose (250 mg/kg) of 15 nm ceria ENM were terminated after 1 h along with five saline-treated rats. Antioxidant enzyme SOD levels were dramatically induced in all the brain regions of ceria treated rats, along with SOD enzyme activity. GPx levels (C) with its activity (H and C) were increased, catalase levels were elevated and activity was increased (H and CB). Such coordinated upregulation of phase II enzymes can be induced by minor increase in oxidative stress caused by external or internal stimuli (Li et al. 2004; Kim et al. 2009). Induction of phase II antioxidant enzymesalso can be seen as a response to GSH depletion or decreased GSH: GSSG ratio (Limón-Pacheco et al. 2007; Lee et al. 2008; Speciale et al. 2011), as observed in the current study after 1 h ceria ENM treatment. Similar cellular response was observed where ceria ENM rescued rat liver from monocrotaline mediated ROS injury (Amin et al. 2011), protected H9c2 cardiomyocytes exposed to cigarette smoke (Niu et al. 2011), human colon cells exposed to radiation (Colon et al. 2010) from induced ROS injury by restoring phase II enzyme activities and / or levels.

The phase II antioxidant enzyme induction is a cellular defense mechanism against increased oxidative stress and to protect protein, lipids and DNA from any further oxidative damage (Li et al. 2004; Limón-Pacheco et al. 2007; Lee et al. 2008; Speciale et al. 2011). Although increased levels and activity of SOD can effectively degenerate super oxide radicals ($O_2^{-\bullet}$), it can also increase levels of H_2O_2 (Poon et al. 2004), which can generate OH• radical if not reduced in time by catalase or GPx enzymes. The OH• radical is highly reactive and notorious for causing oxidative damage to proteins and lipids.

However, decreased levels of PC, 3NT and HNE levels suggest additional cellular defense responses that may have protected proteins and lipids from any further ROS injury. HSPs, also known as chaperon proteins; assist the establishment of proper protein conformation and prevents unwanted protein aggregation. HSPs also helps in stabilizing partially folded proteins and transporting proteins across membranes within the cell. The upregulation of heat shock protein is an important cellular stress response and can be induced by heat, chemical toxins or oxidative stress. Inductions of HSPs have shown protection against oxidative stress as well as it prevented protein and lipid peroxidation (Broome et al. 2006; Wang et al. 2006; Shrivastava et al. 2008). In current study, HO-1 (H and CB) and Hsp70 (H, C and CB) levels were significantly induced after 1 h concurrent with increased levels of phase II enzymes and decreased levels of PC (H and CB), 3NT (H) and HNE (H and CB).

Thirty days retention of 15 nm ceria ENM (100 mg/kg dose) in the body did not have any adverse effect on rat brain redox status. The slightly elevated GSH: GSSG suggests favorable reduced status of cellular environment, which was not significantly different than that of saline treated controls. Unlike our pervious study with 5 nm ceria ENM, lack of significant change in levels or activities of phase II enzymes, HSPs and protein or lipid oxidative stress markers (PC, 3NT, HNE) delineate absence of any profound anti- or pro-oxidant effects of 15 nm ceria ENM on rat brain after 30 d. Considering the antioxidant cellular response induced by milder levels of oxidative stress mediated by high dose of 15 nm ceria ENM after 1 h, presumably same 15 nm ceria ENM would have induced similar antioxidant cellular responses after 1 h also at lower dose (100 mg/kg). Thus, absence of any profound anti- or pro-oxidant effect after 30 d, may be due to decreased oxidative

stress or compensation of ceria induced oxidative stress by initial antioxidant defense response at 1 h.

Considering the ENM size-effect on its oxidative stress related effects, smaller sized (5 nm) ceria likely to have more adverse effects on rat brain compared to larger ENMs.

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CHAPTER 7

MODULATION OF PHASE-II ANTIOXIDANT RESPONSE BY 30 NM CERIA ENM IN RAT BRAIN IN TIME-DEPENDENT MANNER

(30 nm-Size and exposure period – prolong persistence of ceria ENM)

7.1. Overview of the study

Engineered nanomaterials (ENMs) have potential to contribute to applications that could produce intended (e.g., drug and gene delivery) or unintended (e.g. occupational and environmental) exposure. Understanding potential ENM toxicity lags behind application development, as is usually the case for new technologies. Insufficient understanding of ENM hazards could lead to human health problems and decreased public acceptance.

Ceria (cerium [Ce] oxide) ENMs have many current and potential commercial applications. Ceria is highly insoluble, including in phagolysosomal fluid at pH 4.5 (He et al. 2010) and abrasive, enabling its use in chemical-mechanical planarization (integrated circuit manufacture) (Feng et al. 2006). Most ceria applications capitalize on its redox activity, including as an oxygen sensor (Molin et al. 2008), diesel fuel catalyst (increases combustion and converts carbon monoxide to carbon dioxide) ((HEI) ; Park et al. 2007), 2001 #659;Cassee, 2011 #177), in fuel cells (Yuan et al. 2009), and for potential medical applications.

Therapeutic ceria ENM applications are generally based on its ability to reduce reactive oxygen species (Dalle-Donne et al. 2006). Using many different cells in culture ceria ENMs have been shown to reduce levels of H_2O_2 , the superoxide radical, i-NOS, NF- κ B, TNF- α , interleukins, and other ROS endpoints. It has been suggested that ceria ENMs have utility in the prevention and/or treatment of cancer, diabetic cardiomyopathy, diesel

exhaust- and cigarette smoke-induced oxidative stress, radiation therapy side effects, retinal degeneration, stroke, and neurodegenerative disorders (Chen et al. 2006; Das et al. 2007; Xia et al. 2008; D'Angelo et al. 2009; Hirst et al. 2009; Babu et al. 2010; Colon et al. 2010; Younce et al. 2010; Estevez et al. 2011; Niu et al. 2011). Ceria mediated ROS reduction may relate to its properties as a SOD mimetic and possessing catalase-like activity (Kagan et al. 1992; Korsvik et al. 2007; Pirmohamed et al. 2010) and is perhaps attributed to Ce (Owens Iii et al.) rather than Ce(IV) (Celardo et al. 2011). Most of these studies were conducted in models of induced oxidative stress.

On the other hand, there are reports of ceria-induced toxicity, indicated by decreased cell viability, lutathione, and DNA content; and increased LDH release, malondialdehyde, and apoptosis (Brunner et al. 2006; Lin et al. 2006; Park et al. 2008; Auffan et al. 2009). Most of these studies were conducted in non-ROS stimulated cells. The reported effects of ceria ENM on non-mammalian organisms have generally been detrimental, including decreased growth, fertility, and survival; and increased lipofuscin accumulation and susceptibility to oxidative stress, shown in *Pseudokirchneriella subcapitata* (green algae), Synechocystis PCC6803 and Anabaena CPB4337 (cyanobactreria), E. coli, Daphnia magna, and C. elegans (Roh et al.; Thill et al. 2006; VanHoecke et al. 2009; Zeyons et al. 2009; Zhang et al. 2010; Rodea-Palomares et al. 2011). All of these studies do not inform about the long-term effects and fate of ceria ENM in the intact mammal, the target of medical applications and a concern about unintended exposures. Nanoscale ceria was identified as an important material for toxicity evaluation by the NIEHS (ILS), 2006 #668) and OECD Environment Directorate ((OECD)), 2010 #669). The need for in vivo studies that examine its biokinetics and toxicity w as recently identified (Cassee et al.

2011). A few studies with ceria ENM have been conducted in the intact mammal. Reduced myocardial oxidative stress was seen in transgenic mice that display ischemic cardiomyopathy (Niu et al. 2011). Granulomatous inflammation was seen after pulmonary instillation and inhalation (Cho et al. 2010; Srinivas et al. 2011). Intravitreal injection reduced retinal vascular lesions (Zhou et al. 2011).

Most therapeutic applications of ceria ENM will require systemic or pulmonary administration as its oral absorption is poor (~0.001%) and better from the lung (~0.1 to 1%) (He et al. 2010; Yokel et al. 2012). Translocation can occur from the lung (and potentially other sites of uptake) to blood and ultimately all organs (He et al. 2010). Therefore the fate of ceria that is introduced into, or reaches, blood needs to be better understood.

Our previous research described in this dissertation has shown that, although ceria ENM does not cross BBB and enter brain parenchyma, accumulation and retention of ceria ENM in peripheral organs exerts oxidative stress effects on brain even after 30 d of administration of ENM. The present study was conducted to evaluate the oxidative stress effects on rat brain induced by retention of ceria ENM in peripheral organs for 90 d. In addition we set up our experimental design to test the model for oxidative stress hierarchy model for nanomaterial toxicity proposed by Nel (Nel et al. 2006).

7.2. Materials and methods

7.2.1. Nanomaterial:

Ceria ENM was obtained from our collaborator, which was synthesized in in-house facility (Section 3.1). Nanomaterial characterization was carried out by our collaborators, prior to ceria ENM treatment to the animals (Section 3.2).

7.2.2. Animals:

The male Sprague-Dawley rats received either saline or 5% ceria dispersion intravenously. Five rats were infused with 0 and 5 rats with 100 mg ceria/kg and terminated 1 h after completion of infusion. Five rats were infused with 0 and 5 rats with 100 mg ceria/kg and terminated 20 h after completion of infusion. Three rats with 0 and 3 rats were infused with 100 mg ceria/kg and terminated 1 d after, 3 rats with 0 and 3 rats were infused with 100 mg ceria/kg and terminated 7 d after, 10 rats with 0 and 11 rats were infused with 100 mg ceria/kg and terminated 30 d after, and 6 rats with 0 and 7 rats were infused with 100 mg ceria/kg and terminated 90 d after completion of infusion. After ceria ENM treatments, the BBB integrity assessment (Section 3.3), light and electron microscopic assessment (Section 3.4) and EELS analysis (Section 3.2) was carried out by our collaborators. The brain homogenates were prepared from control and ceria treated rat brain samples (Section 3.6). Total protein content was determined using BCA assay (Section 3.7) and various biochemical assays were carried out on all the samples (Sections 3.8, 3.9, 3.10, 3.11, and 3.14) in our laboratory.

7.3. Results

Ceria composition

HR-TEM/HR-STEM showed the ceria ENM was cubic shape (Figure 7.1). The XRD patterns demonstrated the ceria are highly crystalline. Evaluation of a number of TEM images showed that the ceria had a number-average primary particle size of \sim 31.2 ± 17.1 nm.

Ceria concentration in brain and Electron micrograph

ICP-MS analysis suggested that very small amount of ceria was present in the brain parenchyma compare to peripheral organ liver (Table 7.1). Electron micrograph studies suggested that ceria ENM was not found in the brain, but located on the luminal side of the BBB endothelium. The hippocampus and cerebellum tissues did not show obvious ceria induced injury as no necrotic neurons or elevated gliosis were observed and the BBB was visibly intact (data not shown).



Figure 7.1: Ceria ENM imaged using HRTEM and HRSTEM. The ceria were dispersed on a carbon film. Visually they have average size distribution 31.2 ± 17.1 nm (taken by Dr. Peng Wu).

Table 7.1: Cerium concentrations in blood, brain, liver, and spleen, expressed as apercent change of the ceria ENM dose. a) Based on reference volume of blood in the rat(7% of body weight) or weight of the brain, liver x ceria concentration.

Cerium [% of dose] ^a				
	Blood	Brain	Liver	
% dose (1 h)	0.01 ± 0.009	0.0003 ± 0.001	40 ± 10	
% dose (30 d)	0.0056 ± 0.003	0.0056 ± 0.004	20.29 ± 6	

EELS results

Electron energy loss spectroscopic measurements on liver tissue were performed as a representative organ. The ratio of Ce(Owens Iii et al.) to Ce(IV) in the aged ceria was evaluated using EELS measurements after locating agglomerates of the ceria nanorods in tissue 1 h and 30 days after infusion into rat and comparing with synthesized ceria. The high Ce^{3+}/Ce^{4+} ratio that was obtained in the as-synthesized, fresh ceria nanorods seems to have only been altered slightly in individual ceria measured in liver after 30 days *in vivo* (data not shown).

Oxidative Stress Indices

GSH: GSSG ratio was decreased at all time points- marker of increased oxidative stress Glutathione (GSH) is an abundant antioxidant is a part of primary cellular defense against ROS or RNS stress. GSH provides H or electrons for detoxification of free radicals carried out by GPx and in the process get oxidized to form disulfide bond with another GSH molecule. Oxidized glutathione (GSSG) is recycled back to reduced GSH by action of GR enzyme. GSH: GSSG ratio reflects the status of cellular redox state and a decline in GSH: GSSG ratio indicates an oxidative state of the cellular environment, which may activate various downstream signaling pathways. The GSH: GSSG ratio was significantly decreased in hippocampus after 1 h (*p < 0.05), 20 h (*p < 0.05), 1 d (*p < 0.05), 7 d ([§]p < 0.01), and 30 d (*p < 0.05), in cortex after 1 h (*p < 0.05), and in cerebellum after 1 d (*p < 0.05), 7 d (*p < 0.05), and 30 d (*p < 0.05) after 30 nm ceria administration (Figure 7.2). However, there was sudden a spike was observed in GSH: GSSG ratio in 90 d brain samples indicating restoration of cellular redox status.


Figure 7.2: GSH: GSSG ratios measured in 3-brain regions after 1 h, 20 h, 1 d, 7 d, 30 d and 90 d- 30 nm ceria ENM treatment. The histograms represent %control GSH: GSSG ratio for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Induction of Tier 1 oxidative stress response: Phase II antioxidant activities were increased after 1h, 20 h and after 90 d chronic exposure

An oxidative insult, generation of free radicals, or decline in cellular redox state can activate cellular antioxidant defense response and induction of phase II enzymes. Decline in GSH: GSSG ratio can activate Nrf-2 pathway, which regulates transcription of phase II enzymes like GPx, GR, GST catalase, and SOD (Xiao et al. 2003; Li et al. 2004; Lee et al. 2008; Chia et al. 2010; Speciale et al. 2011). At earlier time points GPx levels were induced in hippocampus (*p < 0.05, 1 & 20 h) and cerebellum (*p < 0.05, 1 h); Figure 7.3a, and catalase levels in hippocampus (*p < 0.05, 1 & 20 h), in cortex (*p < 0.05, 1 & 20 h), and in cerebellum ($\xi p < 0.01$, 1 h & $\theta p < 0.001$, 20 h); Figure 7.4a. However, GR levels were decreased significantly in hippocampus ($^{\Theta}p < 0.001$, 1 h & *p < 0.05) Figure 7.5a. Concomitantly activities of phase II enzymes were also increased; GPx activities in hippocampus (*p < 0.05, 1 & 20 h), in cortex (*p < 0.05, 1 h) and in cerebellum (*p <0.05, 1 h & $\xi p < 0.01$, 20 h); Figure 7.3b, GR activities in cerebellum (*p < 0.05); Figure 7.5b, and catalase activities in hippocampus (*p < 0.05, 1 & 20 h), in cortex ($\xi p < 0.01$, 20 h), and in cerebellum (*p < 0.05, 1 h & $\xi p < 0.01$, 20 h); Figure 7.5b. Glutathione Stransferase (GST) activities remained unaffected at these earlier time points Figure 7.6.

While after 1 d and 7 d although phase II enzyme levels were increased (not all significantly), their activities were reduced. GPx and GR levels showed a increasing trend in hippocampus, cortex and cerebellum after 7 d (Figure 7.3a and 7.5a, respectively) and catalase levels were significantly increased in hippocampus (*p < 0.05, 1 d, Figure 7.5a) alone. Exhibiting a inverse trend compare to earlier time points a significant decrease was observed in GPx activities in hippocampus (*p < 0.05, 1 & 7 d), in cortex (*p < 0.05, 1 d)

and in cerebellum (*p < 0.05, 1 d); Figure 7.3b, GR activities in cerebellum (*p < 0.05, 1 and 7 d) alone; Figure 7.5b, and catalase activities in hippocampus ($\xi p < 0.01$, 1 d & *p < 0.05, 7 d), in cortex ($\xi p < 0.01$, 1 d & *p < 0.05, 7 d), and in cerebellum (*p < 0.05, 1 & 7 d); Figure 7.5b.

After 30 d, the levels and activities of some phase II enzymes were selectively inhibited. GPx and GR levels were significantly increased in hippocampus ($\xi p < 0.01 \& p < 0.05$; respectively) while decreased in cortex (p < 0.05); Figure 7.3a and 7.5a, respectively. Catalase levels were increased in all the brain regions (p < 0.05), Figure 7.5a. Along the line of 1 and 7 days, phase II enzyme activities were significantly decreased; GPx activities in all brain regions (p < 0.05); Figure 7.5b, as well as GST activities were inhibited in all brain regions ($\xi p < 0.01$, H and p < 0.05, C and CB); Figure 7.6. Conversely, GR activities were not inhibited but increased in all brain regions (p < 0.05), Figure 7.5b.

After 90 d, all three phase II enzymes levels were restored to that of control levels and no significant changes were observed in either of brain region, Figure 7.3a, 7.5a, & 7.5a, while activities of phase II enzymes were selectively elevated. GR activities were selectively increased in cerebellum (*p < 0.05); Figure 7.3b, catalase activities were increased in all brain regions (*p < 0.05, H and CB, $^{\Theta}p$ < 0.001, C); Figure 7.5b, while GST activities were selectively increased in hippocampus (*p < 0.05) and in cortex (*p < 0.05); Figure 7.6. Conversely, GPx activities alone were significantly reduced in hippocampus (*p < 0.05), Figure 7.3b.



Figure 7.3a and b: The GPx antioxidant enzyme levels and activities in 3-brain regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. a) The histograms represent %control GPx levels for ceria treated samples normalized with mean of their respective controls, b) The histograms represent %control GPx activities for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 7.4a and b: The GR antioxidant enzyme levels and activities in 3-brain regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. a) The histograms represent %control GR levels for ceria treated samples normalized with mean of their respective controls, b) The histograms represent %control GR activities for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 7.5a and b: The catalase antioxidant enzyme levels and activities in 3-brain regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. a) The histograms represent %control catalase levels for ceria treated samples normalized with mean of their respective controls, b) The histograms represent %control catalase activities for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, $\xi_p < 0.01$, and $\Theta_p < 0.001$, compared to mean of respective control samples.



Figure 7.6: The GST antioxidant enzyme activities in 3-brain regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. The histograms represent %control GST activities for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Failure to Tier 1 response: Inhibition of phase II enzyme activities, increased PC, 3NT, HNE levels and HSP levels

Following the administration of ceria ENM, markers of protein oxidation and lipid peroxidation were decreased at earlier time points were increased later (up to 30 d), suggesting protection against oxidative stress at earlier time points (1 h and 20 h) and failure to antioxidant defense against oxidative stress at later time points (1 d -30 d). Heat

shock proteins are induced under increased oxidative stress conditions, increase in their levels at later time points (1 d to up to 30 d) supports the PC, 3NT and HNE data.

After 1 and 20 h, PC levels were significantly decreased in all brain regions (*p < 0.05, 1 & 20 h); Figure 7.7a, 3NT levels decreased in hippocampus (*p < 0.05, 1 & 20 h); Figure 7.7b and HNE levels showed a decreasing trend, Figure 7.7c. Along the same line, HO-1 levels were significantly decreased in hippocampus (*p < 0.05, 1 h and $\xi p < 0.01$, 20 h), and in cortex ($\xi p < 0.01$, 1 h); Figure 7.9a. Similarly Hsp70 levels were decreased in hippocampus (*p < 0.05, 1 h and in cerebellum (*p < 0.05, 1 h); Figure 7.9b.

After 1 and 7 d, PC levels were induced in all brain regions (*p < 0.05. 1 d) and (*p < 0.05, H & C, $\xi p < 0.01$, CB, 7 d) respectively; Figure 7.7a. 3NT levels were significantly elevated in hippocampus (*p < 0.05, 1 d); Figure 7.7b and HNE levels showed some increasing trend, Figure 7.7c. Following the same trend, HO-1 levels were significantly increased in hippocampus (*p < 0.05, 1 & 7 d), in cortex (*p < 0.05, 1 & 7 d) and in cerebellum (*p < 0.05, 1 d); Figure 7.9a. Similarly Hsp70 levels were significantly elevated in cerebellum (*p < 0.05, 7 d), Figure 7.9b.

After 30 d, PC levels were induced in all brain regions (*p < 0.05. 1 d) and (*p < 0.05, H & C, $\xi p < 0.01$, CB, 7 d) respectively; Figure 7.8a. 3NT levels were significantly elevated in hippocampus (*p < 0.05, 1 d); Figure 7.8b and HNE levels showed some increasing trend, Figure 7.8c. Following the same trend, HO-1 levels were significantly increased in hippocampus (*p < 0.05, 1 & 7 d), in cortex (*p < 0.05, 1 & 7 d) and in cerebellum (*p < 0.05, 1 & 7 d).

0.05, 1 d); Figure 7.8a. Similarly Hsp70 levels were significantly elevated in cerebellum (*p < 0.05, 7 d), Figure 7.8b.

After 90 d, however, PC levels not only restored by significantly decreased all brain regions (*p < 0.05); Figure 7.8a. 3NT levels decreased in hippocampus (*p < 0.05) and restored in cortex and cerebellum along with HNE levels; Figure 7.8b & 7.8c. Along the same line, HO-1 levels were restored in hippocampus and significantly decreased in cortex and cerebellum (*p < 0.05), Figure 7.8a. Similarly Hsp70 levels were restored in all brain regions, Figure 7.8b.



Figure 7.7: The oxidative stress marker levels in 3-brain regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. a) The histograms represent %control PC levels; b) the histograms represent %control 3NT levels, and c) the histograms represents %control protein-bound HNE levels for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 7.8: The heat shock protein levels in 3-brain regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. a) The histograms represent %control HO-1 levels, and b) the histograms represent %control Hsp70 levels, for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Induction of Tier 2 oxidative stress response: Activation of pro-inflammatory response after 1 d exposure

In case of failure to phase II antioxidant defense to restore the cellular redox status, elevated oxidative stress further activates cascade of pro-inflammatory response via NF- κ B signaling pathway (Hiura et al. 1999; Li et al. 2003; Xiao et al. 2003; Chia et al. 2010). Due to observed inhibition of phase II antioxidant activities concomitant with increased PC, 3NT and HSPs levels at 1 d, 7 d and 30 d time points, hippocampus samples were selected to explore the downstream effects of escaladed oxidative stress. Levels of inflammatory cytokines IL-1 β and TNF- α were measured in hippocampus sample for all the time points employing Western blot technique. IL-1 β levels did not show any change at earlier time point (1 h and 20 h), but increased after 1 d (*p < 0.05, H) and 30 d (ξ p < 0.01, H); Figure 7.9a. TNF- α were not significantly increased at either of the time point, but decreased levels of TNF- α after 1 h (ξ p < 0.01, H), Figure 7.9b.



Figure 7.9: The inflammatory cytokine levels in hippocampus regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. a) The histograms represent %control IL-1 β levels, and b) the histograms represent %control TNF- α levels, for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Induction of Tier 3 oxidative stress response: Activation of pro-apoptotic response at 30d Downstream consequences of activated pro-inflammatory cytokines, activates caspase-3 pro-apoptotic proteins, which can lead cell towards apoptotic pathway (Nesic et al. 2004; Shakibaei et al. 2007). Pro-caspase-3 protein is precursor for caspase-3 and its levels were measured in hippocampus to estimate possibility of activation of caspase-3. Levels of pro-caspase-3 were increased only after 30 d (*p < 0.05), suggesting possible increase in caspase-3 levels, Figure 7.10.



Figure 7.10: The apoptosis marker levels in hippocampus regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. The histograms represent %control procaspase-3 levels, for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Autophagy marker appears at 7 d, 30 d and at 90 d

Escaladed oxidative stress can activate autophagy (Gottlieb et al. 2010; Marambio et al. 2010; Hariharan et al. 2011) to recycle or restored defected macromolecules and organelles. Autophagy marker LC-3AB levels were increased after 7 d (*p < 0.05), 30 d ($\xi p < 0.0$), and 90 d (*p < 0.05) in hippocampus, Figure 7.11.



Figure 7.11: The autophagy marker levels in hippocampus regions 1 h, 20 h, 1 d, 7 d, 30 d and 90 d after 30 nm ceria ENM treatment. The histograms represent %control LC-3AB levels, for ceria treated samples normalized with mean of their respective controls. The values are expressed as mean \pm SEM of ceria treated samples from, 1 h (n = 5), 20 h (n=5), 1 d (n=3), 7 d (n=3), 30 (n=11), 90 d (n=7). Statistical difference was estimated as *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

7.4. Discussion

There are some in vivo and in vitro studies are available on oxidative stress effects of ceria ENM, however they are far from uniform on whether ceria is pro-oxidant or antioxidant (Yokel et al. 2009). This dissertation research is among the first comprehensive in vivo study that addresses mechanisms of oxidative stress effects induced by ceria ENM. This study demonstrates that 30 nm ceria ENM administration induces oxidative stress response and secondary oxidative stimulus in time dependant manner in the brain, without ever crossing BBB.

A review summarizes the evidence available on free radical scavenging ability of ceria ENM under pre-induced oxidative stress condition (Karakoti et al. 2009). However, 30 nm ceria was shown to induce ROS in BEAS-2B cell culture after 24 h incubation in dose-dependent manner in absence of any other external source of ROS. In the same experiment ceria ENM also decreased cell viability in size-independent manner (Park et al. 2008). GSH an important cellular thiol and antioxidant, is known to buffer free radicals and ROS; thus GSH maintains cellular redox balance (Meister et al. 1983; Forman et al. 2003; Rahman et al. 2005). However, in the process GSH gets oxidized to GSSG and decreased levels of this ratio can activate various downstream signaling pathways. We also evaluated cellular redox status by measuring GSH: GSSG ratio in three brain regions. The GSH: GSSG ratio measurements showed continuous decrease in GSH: GSSG ratio at all the time points except after 90 d.

According to the hierarchy of oxidative stress model proposed by Nel's research group (as explained in section 2.6), a mild decrease in GSH: GSSG ratio activates phase II antioxidant response (Tier-1) via Nrf-2 and ARE pathway (Li et al. 2004; Nel et al. 2006;

Lee et al. 2008). In the current study, 30 nm ceria also launched similar Tier-1 response at earlier time points and phase II antioxidant enzymes GPx, GR and catalase levels as well as activities were increased significantly after 1 h and 20 h to protect cell from possible oxidative insult. Concomitantly, the protein oxidation marker-PC, protein nitration marker-3NT, and the lipid peroxidation marker-protein-bound HNE levels, were decreased. As well as heat shock protein HO-1 and Hsp70 levels were decreased after 1 h and 20 h. PC, 3NT and HNE are product of oxidative degradation of biomolecules and both HSPs are induced by elevated oxidative stress (Calabrese et al. 2000; Calabrese et al. 2000), therefore concomitant declined in these parameters indicates cytoprotective environment and success to phase II antioxidant (Tier-1) defense response.

However, unlike earlier time points, phase II antioxidant activities were decreased after 1 d and 7 d, although some of the antioxidant levels were up. As well as after 1 d and 7 d, PC, 3NT and HNE levels were concurrently elevated with HO-1 and Hsp70 levels. Increased levels of oxidative stress suggested by decreased GSH: GSSG ratio after 1 d and 7 d, which may further oxidatively modify phase II antioxidant enzymes (Butterfield 1997; Butterfield 2006). Oxidative modification can disrupt protein- spatial structure and consequently protein function or enzyme activities often get altered either partially or completely (Stadtman et al. 2003; Stadtman 2006). Failure of phase II antioxidant (Tier-1) defense and escaladed oxidative stress, activates NF- κ B cascade further inducing pro-inflammatory cytokine levels in the current study for all the exposure time periods after ceria ENM treatment. Observed decrease in TNF- α level after 1 h suggested inactivated pro-inflammatory response. Along the same line, decline in cytokine IL-1 β levels at

earlier time points and then induction after 1 d, and 30 d suggests activation of proinflammatory signaling pathways (Tier-2) at later time points of ceria ENM exposure.

According to Nel, downstream consequences of deactivation or failure of Tier-1 response induces pro-inflammatory Tier-2 response through activation of NF-kB signaling pathway and Tier-3 response by activation of pro-apoptotic signaling cascade (Xiao et al. 2003; Nel et al. 2006). In current study oxidative stress remained elevated up to 30 d as GSH: GSSG ratio remained decreased and phase II antioxidant enzyme activities were inhibited after 1 d; further causing oxidative damage to proteins and lipids. Induction of HSPs did not seem to protect against this elevated oxidative stress at 1 d- 30 d. Therefore to evaluate the possible occurrence of pro-apoptotic / cytotoxic Tier-3 response, levels of pro-caspase-3 were measured. Pro-caspase-3 is the precursor protein for caspase-3 and induction of pro-caspase-3 is definitive marker of activated apoptotic cellular death pathway (Walters et al. 2009). Increased levels of cytokine IL-1 β caused transcription of caspase-3 in pig's heart, which further induced pro-caspase-3 levels (Zitta et al. 2010). In addition IL-1 β antagonist inhibited activation of caspase-3 and consequent apoptotic pathway in rat spinal cord injury model (Nesic et al. 2004). In current study pro-caspase-3 levels were induced after 30 d alone, concomitant with induction of IL-1 β , fostering activation of Tier-3 response. So up to this point all the oxidative stress related observations made in the current study very well fits the oxidative stress hierarchy model of ENM toxicity, proposed by Nel's research group (Li et al. 2003; Xiao et al. 2003; Nel et al. 2006) and ours is the first comprehensive study that has mapped the oxidative stress mechanism of ENM toxicity in animal model for sub-chronic exposure period (30 d).

As we continued pursuing measurement of oxidative stress indices for chronic-exposure period of 90 d, very interesting observations were revealed. Along the line of hierarchy oxidative stress model, we were expecting profound deleterious effects on cellular redox status after 90 d. But quite contrary GSH: GSSG ratio was restored back, phase II antioxidant levels and activities increased again after 90 d, and PC, 3NT and HNE levels were suppressed along with HSP levels; as shown in Figure 7.12. In brief, after 90 d, there was no sign of oxidative stress, let alone damage caused by it. To understand such dramatic change we looked for autophagy marker. Autophagy is a cellular process for bulk degradation of cytosolic proteins and organelles carried out by lysosome (Hariharan et al. 2011). Measurement of autophagy marker LC-3AB levels by Western blot technique revealed increased levels of LC-3AB after 7 d and 30 d, whereas LC-3AB levels were decreased after 90 d; Figure 7.12. Seven days and 30 d are the time points at which possibly ascended oxidative stress induced Tier-2 and Tier-3 response and consecutive oxidation of biomolecules; Figure 7.12.



Figure 7.12: This demographical presentation summarizes changes in the key oxidative stress indices as observed at different exposure periods in hippocampus, after systemic injection of 30nm ceria ENM. The variation in oxidative stress indices were in good agreement with the hierarchy oxidative stress model proposed by Nel's research group (Nel et al. 2006).

Similar to HSPs (Calabrese et al. 2000; Calabrese et al. 2002), autophagy response can be induced by increased oxidative stress (Marambio et al. 2010; Hariharan et al. 2011). HSPs, especially Hsp70 is a well known chaperon for other proteins and which assist the establishment that refolds the aggregated proteins as well as aid in transporting damaged proteins across intracellular membranes probably for degradation (Hartl et al. 2002; Young et al. 2003; Calabrese et al. 2004; Mayer et al. 2005; Calabrese et al. 2007). Therefore, observed changes after 90 d are may be a result of early activation of autophagy process (7 d) or induction of HSPs (30 d in H), which might have cleared damaged macromolecules and organelles, and helped the cell to restore its redox balance. We propose that this current trend of data to be termed as Tier-4 response (Figure 7.13 and 7.14), which also fits the description of hormesis (defined as total response of an organism to external stimulus, which includes initial reaction and later adaption).



Figure 7.14: Modified model for hierarchy oxidative stress mechanism of nanomaterial toxicity based on our finding from 30 nm ceria ENM induced oxidative stress in hippocampus, at different exposure time points.

At this moment we do not have any data in between 30 d to 90 d period, which is a very large time gap for any biochemical or bio-molecular event to occur. Filling some gap of this 60 d period will able to shade some more light on autophagy process or induction of HSPs and their presumable relationship with restoration of redox balance after 90 d in rat brain after 30 nm ceria exposure. Nonetheless, the significance of these observations lies in the choice of the biological model i.e. multicellular organism (rat), which allows expression of adaptation mechanism such as Tier-4 or hormesis unlike cell culture models. These results also emphasize importance of use of *in vivo* models for evaluation of toxicity of nanomaterials as oppose completely relying on *in vitro* models.

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CHAPTER 8

VARIATIONS OF OXIDATIVE STRESS EFFECTS INDUCED BY 55 NM CERIA ENM IN RAT BRAIN IN TIME-AND DOSE-DEPENDENT MANNER

8.1. Overview of the Study

The material and chemical properties of ENMs are highly dependent upon two main factors: surface effects related to the fraction of atoms or molecules present on the surface, and quantum effects related to delocalized electrons (Buzea et al. 2007). Both these effects are therefore related to size of the ENMs, as size decreases surface and quantum effects become more predominant (Oberdörster et al. 1994; Nel et al. 2006; Buzea et al. 2007). The size of ENMs also hold key to its biological behavior as due to small size ENMs can get distributed rapidly and may get an easy access to various cellular compartments which are otherwise inaccessible. Due to high surface area, ENMs can interact with or harbor different biomolecules, as well as facilitate bio-reactions with great efficiency (Nel et al. 2009; Fubini et al. 2010). Thus the very properties that make the ENMs popular in various applications may also determine their toxicity. The reports available on size-dependent nanotoxicity are in vitro studies with BEAS-2B human lung epithelial cells, which have explored ENM sizes up to 45 nm (Park et al. 2008; Eom et al. 2009) and to our best knowledge, the work presented in this dissertation will be the first study to explore size-dependant toxicity of ceria ENM in multicellular organism. The current chapter of dissertation study focuses on the oxidative stress effects of ~55 nm ceria ENM on rat brain, which will be compared with the findings from Chapters 5 (5 nm ceria ENM), Chapter 6 (15 nm ceria ENM) and Chapter 7 (30 nm ceria ENM) to better understand the role of ENM size in its toxicity.

Apart from size, toxicity of ENM also depends upon composition, aggregation, crystallinity, surface functionality, dose of ENM, exposure period, etc. Dose of ENM is usually defined as the amount or quantity of ENM that will reach a biological system (Buzea et al. 2007). The dose is directly related to exposure or concentration of material in the medium like air, water or food, multiply by the duration of contact (Buzea et al. 2007). Most commonly in a dose-metric study, dose of a substance is determined on the basis of its mass or concentration (Yokel et al. 2011). However, in case of nanomaterials, the choice is not such simple (Oberdörster et al. 1994; Wittmaack 2006; Jiang et al. 2008; Oberdorster et al. 2009; Rushton et al. 2010; Yokel et al. 2011), as nanotoxicity is also co-depend upon matrices like size, surface area, and number of particles etc. Most of the time toxicity mechanism is taken into consideration while determining the appropriate dose matrix and since one is likely to see different mechanism for different materials there will not be a unique choice (Fubini 1997). Nonetheless most studies of ENM have expressed exposure based on dose or concentration.

In current study, the role of dose was evaluated by intravenous administration of two types of bolus doses 50 mg/kg (10 male rats) and 100 mg/kg (5 male rats) of 55 nm ceria ENM. Five rats that received 50 mg/kg ceria ENM were terminated after 1 h with five saline treated control rats. The remaining five rats from 50 mg/kg dose group were terminated with the five rats that received 100 mg/kg of ceria ENM and five saline treated control rats, 20 h after ceria administration. Three brain regions, hippocampus, cortex and cerebellum from each treatment were harvested from each ceria- and saline-control treated rat and frozen rapidly in liquid nitrogen. The levels and activities of the antioxidant enzymes catalase, manganese superoxide dismutase (MnSOD), glutathione

peroxidase (GPx), and glutathione reductase (GR), were measured. To understand the extent of changes in cellular redox status, the levels of oxidative stress endpoints, protein carbonyl (PC), 3-nitrotyrosine (3NT), and protein bound 4- hydroxyl-2-trans nonenal (HNE), were measured along with heat shock protein (Hsp70) levels.

8.2. Materials and methods

8.2.1. Nanomaterial:

Ceria ENM was obtained from our collaborator, which was synthesized in in-house facility (Section 3.1). Nanomaterial characterization was carried out by our collaborators, prior to ceria ENM treatment to the animals (Section 3.2).

8.2.2. Animals:

The male Sprague-Dawley rats received either saline or 5% ceria dispersion intravenously. Five rats were infused with 0 and five rats with 50 mg ceria/kg and terminated 1 h after completion of infusion. Five rats were infused with 0 and 5 rats with 50 mg/kg and 5 more with 100 mg ceria/kg and terminated 20 h after completion of infusion. After ceria ENM treatments, the BBB integrity assessment (Section 3.3), light and electron microscopic assessment (Section 3.4) and EELS analysis (Section 3.2) was carried out by our collaborators. The brain homogenates were prepared from control and ceria treated rat brain samples (Section 3.6). Total protein content was determined using BCA assay (Section 3.7) and various biochemical assays were carried out on all the samples (Sections 3.8, 3.9, 3.10, 3.11, and 3.14) in our laboratory.

8.3. Results

Ceria composition

High resolution-TEM/HR-STEM showed the ceria ENM was polyhedral shape (Figure 8.1). The XRD patterns demonstrated the ceria are highly crystalline. Evaluation of a number of TEM images showed that the ceria had a number-average primary particle size of 55 nm.



Figure 8.1: 55 nm ceria ENM imaged using HRTEM and HRSTEM. The ceria were dispersed on a carbon film. Visually they have a size distribution ranging from X to X nm and the majority diameter around 55 nm. The magnified TEM insert at the lower left illustrates that the ceria ENMs are highly crystalline (taken by Dr. Peng Wu and as published in Dan et.al. 2012).

Ceria concentration in brain and Electron micrograph

ICP-MS analysis suggested that very small amount of ceria was present in the brain parenchyma compare to peripheral organ liver (Table 8.1). Electron micrograph studies suggested that ceria ENM was not found in the brain, but located on the luminal side of the BBB endothelium. The hippocampus and cerebellum tissues did not show obvious ceria induced injury as no necrotic neurons or elevated gliosis were observed and the BBB was visibly intact (data not shown).

Table 8.2: Cerium concentrations in blood, brain, liver, and spleen, expressed as a percent change of 55 nm ceria ENM dose. a) Based on reference volume of blood in the rat (7% of body weight) or weight of the brain, liver x ceria concentration.

Cerium [% of dose]^a

	Blood	Brain	Liver
% dose (1 h)	0.0053 ± 0.005	0.014 ± 0.01	11.6 ± 9

EELS results

Electron energy loss spectroscopic measurements on liver tissue were performed as a representative organ. The ratio of Ce(Owens Iii et al.) to Ce(IV) in the aged ceria was evaluated using EELS measurements after locating agglomerates of the ceria nanorods in tissue 1 h and 30 days after infusion into rat and comparing with synthesized ceria. The high Ce^{3+}/Ce^{4+} ratio that was obtained in the as-synthesized, fresh 55 nm ceria seems to have only been altered slightly in individual ceria measured in liver after 20 h *in vivo* (data not shown).

Oxidative Stress Indices

Phase II antioxidant activities were increased after 1 and 20 h

Similar to our observation with 15 nm and 30 nm ceria ENM, induction of phase II antioxidant enzymes was observed in different brain regions 1 and 20 h after 55 nm ceria ENM administration. Amongst two glutathione related antioxidant enzymes GPx and GR; only GPx activities and levels were induced after 55 nm ceria ENM treatment at both 1 h and 20 h. GPx activities were induced in hippocampus (~ 19%, *p < 0.05, 50 mg/kg) and in cortex (~ 27%, *p < 0.05, 50 mg/kg,) after 1 h as well as strongly hiked up after 20 h in hippocampus (~ 43%, *p < 0.05, 100 mg/kg) and cortex (~ 49%, *p < 0.05, 50 mg/kg); Figure 8.2a. Catalase activities were strongly induced in all 3-brain regions after 1 h; in hippocampus (~ 24%, *p < 0.05, 50 mg/kg), in cortex (~ 21%, *p < 0.05, 50 mg/kg), and in cerebellum (~ 21%, *p < 0.05, 50 mg/kg); Figure 8.2c. After 20 h catalase activity followed a trend similar to that as GPx activity and increased only in hippocampus (~ 17%, *p < 0.05, 100 mg/kg) and cortex (~ 21%, *p < 0.05, 50 mg/kg) and cortex (~ 21%, *p < 0.05, 50 mg/kg), for the second strongly induced only in hippocampus (~ 17%, *p < 0.05, 100 mg/kg); Figure 8.2c.

A huge upsurge in GPx and catalase levels was observed only after 20 h in hippocampus (~ 56%, $^{\Theta}p < 0.0001$) and (~ 50%, $^{\xi}p < 0.001$) respectively, after administration of 100 mg/kg dose of ceria, Figure 8.3a. GPx and catalase levels in hippocampus measured after 100 mg/kg dose of ceria were significantly increased compared to corresponding levels after 50 mg/kg dose ($^{\Theta}p < 0.001$); Figure 8.3a and c respectively. On the other hand GR and SOD activities and levels remained fairly unaffected from post-treatment effect of ceria administration at smaller and larger doses (Figure 8.2b -8.3b and 8.2d-8.3d, respectively).



Figure 8.2: The antioxidant enzyme activities in 3-brain regions 1 h and 20 h after i.v administration of 50 mg/kg and 100 mg/kg dose 55 nm ceria ENM. a) The histograms represent %control GPx activities measured in saline treated controls and ceria treated samples, b) the histograms represent %control GR activities measured in saline treated controls and ceria treated samples, c) the histograms represent %control catalase activities measured in saline treated controls and ceria treated samples, d) the histograms represent %control SOD activities measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 8.3a, b, c and d: The antioxidant enzyme levels in 3-brain regions 1 h and 20 h after i.v administration of 50 mg/kg and 100 mg/kg dose 55 nm ceria ENM. a) The histograms represent %control GPx levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control GR levels measured in saline treated control and ceria treated samples, c) the histograms represent %control catalase levels measured in saline treated controls and ceria treated controls and ceria treated samples, d) the histograms represent %control MnSOD levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



3e

Figure 8.3e: Separate Western blots were used to estimate antioxidant protein levels in hippocampus, cortex and cerebellum. However, only representative examples from hippocampus are shown. Protein levels in control [C] n=4, and treated [T] n=5 samples for 1 h (left, consider only labeled bands) and control [C] n=4, treated [T] n=6- black for 50 mg/kg dose and treated [T] n=6- blue for 100 mg/kg dose samples for 20 h 55 nm ceria treatment. The intensity of each antioxidant protein band was normalized with intensity of corresponding band of β -actin-loading control. Similar blots were obtained for cortex and cerebellum regions.

Reduction in protein oxidation after 1 and 20 h

Protein oxidation marker-PC levels were decreased in all three brain regions after 1 h, in hippocampus (~ 25%, *p < 0.05, 50 mg/kg), in cortex (~ 39%, *p < 0.05, 50 mg/kg), and in cerebellum (~ 26%, *p < 0.05, 50 mg/kg) Figure 8.4a. PC levels were also decreased after 20 h in hippocampus (~ 13%, *p < 0.05, 100 mg/kg) and in cortex (~ 18%, $\xi p < 0.001$, 50 mg/kg and ~ 17%, *p < 0.05, 100 mg/kg) Figure 8.4a. PC levels in hippocampus measured after 100 mg/kg dose of ceria were significantly decreased compared to that after 50 mg/kg dose ($\xi p < 0.01$). Protein nitrotyrosine marker-3NT levels were decreased after 1 h in hippocampus (~ 21%, *p < 0.05, 50 mg/kg), in cortex

(~ 30%, *p < 0.05, 50 mg/kg) and again after 20 h only in cortex (~ 17%, *p < 0.05, 50 mg/kg and ~ 18%, *p < 0.05, 100 mg/kg) Figure 8.4b.

Both the doses, 50 mg/kg and 100 mg/kg of 55 nm ceria ENM did not have any effect on lipid peroxidation marker-HNE levels in rat brain. HNE levels were unchanged in all three brain regions after 1 and 20 h, Figure 8.4c.

Reduction in HSPs 20 h after high dose

HO-1 and Hsp70 levels were fairly unchanged in all three brain regions 1 h after administration of 50 mg/kg dose of 55 nm ceria ENM peripherally, Figure 8.5a and b. Further HO-1 levels were declined after 20 h with significant decrease in hippocampus (~ 21%, *p < 0.05, 100 mg/kg) and in cortex (~ 17%, *p < 0.05, 50 mg/kg and ~ 26%, $\xi p <$ 0.001, 100 mg/kg), both after high dosage of ceria, Figure 8.5a. Hsp70 levels were also declined after 1 h with significant decrease in hippocampus (~ 13%, *p < 0.05, 50 mg/kg), and after 20 h in cortex (~ 17%, *p < 0.05, 100 mg/kg), and in cerebellum (~ 23%, *p < 0.05, 100 mg/kg), but decrease in Hsp70 levels was not dose specific, Figure 8.5b. Hsp70 levels in hippocampus measured after 50 mg/kg dose of ceria were significantly decreased compared to that after 100 mg/kg dose (*p < 0.05), Figure 8.5b.



Figure 8.4: The oxidative stress marker levels in 3-brain regions 1 h and 20 h after i.v administration of 50 mg/kg and 100 mg/kg dose 55 nm ceria ENM. a) The histograms represent %control PC levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control 3NT levels measured in saline treated controls and ceria treated samples, c) the histograms represent %control HNE levels measured in saline treated controls and ceria treated controls and ceria treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 8.5a and b: The heat shock protein levels in 3-brain regions 1 h and 20 h after i.v administration of 50 mg/kg and 100 mg/kg dose 55 nm ceria ENM. a) The histograms represent %control HO-1 levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control Hsp70 levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 8.5c: Separate Western blots were used to estimate HSPs levels in hippocampus, cortex and cerebellum. However, only representative examples from hippocampus are shown. Protein levels in control [C] n=4, and treated [T] n=5 samples for 1 h (left, consider only labeled bands) and control [C] n=4, treated [T] n=6- black for 50 mg/kg dose and treated [T] n=6- blue for 100 mg/kg dose samples for 20 h 55 nm ceria treatment. The intensity of each antioxidant protein band was normalized with intensity of corresponding band of β -actin-loading control. Similar blots were obtained for cortex and cerebellum regions.

8.4. Discussion

The fraction of atoms or molecules present on the surface and therefore the size of ENM, are key to determine not only the material properties but also chemical and biological properties of ENM. In order to understand the role play of size in determining the biological effects of ceria ENM, we prepared the ENMs from size ~ 5nm to ~ 55 nm, with aspect ratio-1. The current study focuses on the oxidative stress effects of 55 nm ceria ENM on rat brain after its systemic administration. The oxidative stress effects were evaluated in terms of changes in the levels of phase II antioxidant enzymes, protein and lipid oxidation markers, heat shock protein response, and phase II antioxidant enzyme activities, when compared with saline treated rats from control groups. In addition to the

size effect, we also examined the dose effect with 50 mg/kg and 100 mg/kg dose of 55 nm ceria ENM.

The 50 mg/kg dose of 55 nm ceria ENM induced cellular antioxidant defense response in time-dependent manner in hippocampus and cerebellum region. The phase II antioxidant enzymes, GPx and catalase activities were elevated after 1 h of 50 mg/kg dose and reduced back to the normal levels after 20 h (H and CB). The induction of phase II enzymes is usually seen at low levels of oxidative stress caused by internal or external stimuli (Talalay et al. 2003; Li et al. 2004; Kim et al. 2009; Speciale et al. 2011) as 50 mg/kg dose of 55 nm ceria ENM administration in current case. The elevated GPx and catalase activities may have caused reduction in PC and 3NT levels after 1 h, although PC and 3NT levels were resorted back to the control levels (H and CB) after 20 h. Various other studies have reported protection against oxidative stress by induction of phase II antioxidant response (Cerutti et al. 1994; Hayes et al. 1999; Talalay et al. 2003; Kim et al. 2009; Speciale et al. 2011). Increased oxidative stress induces HSP levels, therefore lack of change in HO-1 and Hsp70 levels after 1 h and decreasing trend in HO-1 (H, and CB) levels after 20 h suggest reduction in oxidative stress. Decline in oxidative stress can be achieved by either scavenging ROS or by inducing cellular antioxidant enzyme levels and activities. In the current case it was achieved by strong induction of phase II enzyme response, which reduced oxidative stress and oxidative stress indices at initial stage (1 h) and then restored the delicate balance in ROS levels and antioxidant molecules and enzymes, reflected in terms of lack of change in oxidative stress indices as seen after 20 h of 50 mg/kg dose of 55 nm ceria. Also the cortical region showed similar protective antioxidant defense response after 50 mg/kg dose of 55 nm ceria, but levels of
oxidative stress indices did not varyied much with time. Thirty nm ceria treatment also induced similar antioxidant defense-Tier-1 response at 1 and 20 h, but again the variation in the magnitude of oxidative stress indices were not dramatically time dependant (Chapter 7). A time-dependent decrease in cell viability mediated by oxidative stress has been reported by Park in two independent studies with 30 nm ceria and 21 nm TiO₂ ENMs (Park et al. 2008; Park et al. 2008).

In addition to time-dependent changes, 55 nm ceria ENM treatment also mediated changes in oxidative stress indices in dose-dependent manner. The dose-dependent changes in the levels and activities of GPx and catalase was observed only in hippocampus region after 20 h where magnitude of change was higher at higher dose of 55 nm ceria ENM (100 mg/ kg). Concomitantly, PC (H), HO-1 (H and C), and Hsp70 (C and CB) levels were decreased to greater magnitude after 100 mg/kg dose of 55 nm ceria ENM compared to 50 mg/kg dose. In slight contrary, Hsp70 levels were decreased to greater magnitude after 50 mg/kg dose of 55 nm ceria ENM compared to 100 mg/kg dose in hippocampus alone. Similar dose-dependency was reported with after treatment of 30 nm ceria (Park et al. 2008) and 21 nm ENM (Park et al. 2008) to human lung epithelial cells, where cell viability was decreased and ROS generation was increased in a dosedependent manner. A dose-dependent correlation was observed in brain-HNE and PC levels, where hippocampal HNE levels were increased and cerebral PC levels were decreased after 30 nm commercial ceria ENM i.v injections (Yokel et al. 2009). Similarly, some positive dose-dependent correlations in oxidative stress effects were observed in mice brain after systemic delivery of TiO_2 (Ma et al. 2010), in lung after inhalation of cobalt containing MWCNTs (Pauluhn 2010). Concentration-dependent

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inhibition of murine microphage cell proliferation and lipopolysaccharide-induced COX-2 expression (up to 20 μ g/ml), was observed after *in vitro* SWCNT exposure (Dutta et al. 2007). A dose-dependent increase in LDH activity and total protein was seen after intratracheal instillation of MWCNTs (L ~6 μ m) and after ground MWCNTs (L ~0.7 μ m) in rat lung bronchoalveolar lavage fluid (Muller et al. 2005).

In the current study, 55 ceria ENM selectively induced the activity of H_2O_2 reducing catalase and GPx phase II enzymes. The induction of catalase and GPx is an adaptive cellular response against various ROS insults, which can facilitate clearance of oxidative stress markers (Cerutti et al. 1994; Hayes et al. 1999; Miyamoto et al. 2003; Bagnyukova et al. 2005; Margonis et al. 2007) and exposure to H_2O_2 can selectively induce the transcription/ mRNA levels of catalase and GPx gene expression in a feed-back mechanism manner (Wang et al. 2011). Studies in the past have reported the SOD mimetic activity of ceria ENM where it can reduce superoxide radicals to H_2O_2 and oxygen (Korsvik et al. 2007; Heckert et al. 2008). Thus may be by causing some increase in cellular oxidative stress, 55 nm ceria ENM induced a strong antioxidant defense response in the brain hippocampal and cortical region. The cellular defense response in hippocampus brain region was varied in the time- as well as dose-dependent manner.

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CHAPTER 9

ROLE OF ASPECT RATIO (SHAPE) OF ENM IN THE MODULATION OF TOXICITY OF CERIA ENM IN THE RAT BRAIN

9.1. Overview of the Study

At emergent of new technologies, creation of nanometer scale functional devices requires the production and handling of component of submicron sizes, which necessitates exploration of development of various nanostructure especially nanofilament (carbon or inorganic nanotubes or nanowires). Nanofilaments (NFs) are envisioned as building blocks of new technologies such as flat panel screens, composite materials, or catalyst supports, expected to be applied in medical diagnosis and imaging (Magrez et al. 2009). NFs are also foreseen as second generation (active) ENMs used in applications like targeted control-release drug delivery systems with some of the popular examples are carbon nanotubes (CNT) and gold nanorods (Lewinski et al. 2008; Yokel et al. 2011). In spite of the promising nature of these NFs, the knowledge on the health effects related to the production, handling and exposure of these materials is essential.

Depending on the aspect ratio (L-length / D-diameter) of a nanofilament, its toxicity is affected, with the higher the aspect ratio, the more toxic the particle (Lippmann 1990). Particles with a length $\leq 1 \mu m$ has a low aspect ratio material, while a particle with a length > 5 μm and aspect ratio ≥ 3 :1 are high aspect ratio materials or conventionally known as fibers (WHO/EURO et al. 1985; Lippmann 1990). The high aspect ratio morphology includes nanotubes and nanowires, with various shapes like helices, zigzags, belts, with varying diameters and lengths (Buzea et al. 2007). The morphology of low aspect ratio nanomaterials morphology includes spheres, ovals, cubes, prisms, helices,

pillar, wires or rods (Buzea et al. 2007). The long length fibers or high aspect ratio materials (> 20 μ m) are cleared less effectively compared to the low aspect ratio material or broken fibers, by alveolar macrophages, therefore leading to pulmonary toxicity ranging from asbestosis, mesothelioma to lung cancer (Lippmann 1990; Buzea et al. 2007). However, even low aspect ratio material, (D < 100 nm, L < 1 μ m) may escape phagocyte clearance entering into the vascular system, some of which may end up in various organs including brain, liver, kidney etc., causing adverse health effects (Buzea et al. 2007; Oberdorster et al. 2009; Yokel et al. 2011).

There have been some efforts made to answer the questions on the toxicity of NFs either during the manufacturing process or after short-or long-term exposure. However, as early development was a focus of carbon-based NFs (Iijima 1991) most of the toxicity studies have also exclusively focused on carbon based NFs (Shvedova et al. 2003; Lam et al. 2004; Maynard et al. 2004; Warheit et al. 2004; Monteiro-Riviere et al. 2005; Panessa-Warren et al. 2006; Helland et al. 2007). The toxicity of inorganic materials (Soenen et al. 2011) such as iron oxides, titanium oxides, zinc oxide, gold, silver, has been investigated, but is limited to their isotropic forms (aspect ratio 1) with a few exceptions as asbestos fibers and gold nanorods (Lewinski et al. 2008; Stern et al. 2008). Unfortunately, toxicity of NFs cannot be predicted from their known toxicity in different structural forms for example, "benine" silicates renders highly toxic effects in their fiber form known as asbestos (Magrez et al. 2009). There is only one study available on metal oxide, where TiO₂ based nanotubes and nanowires exposed to human lung tumor cells strongly affected cell proliferation and caused cell death (Magrez et al. 2009).

Considering the increasing applications of ceria ENM in various fields including biomedical applications and discrepancy in the oxidative stress related effects (as explained in earlier chapters), the current study was designed to evaluate the influence of aspect ratio on the neuro-nanotoxicity of ceria ENM.

To fulfill the objective, 10 nm ceria nanorods with an aspect ratio 5 to 60, were synthesized, and 5% dispersion of the material was administered to male rats intravenously. The rats were terminated 1 h and 30 d after the ceria treatment. Three brain regions, hippocampus, cortex and cerebellum, were harvested from each ceria- and saline-treated rats and frozen rapidly in liquid nitrogen. The levels and activities of the antioxidant enzymes catalase, manganese superoxide dismutase (Mn-SOD), glutathione peroxidase (GPx), and glutathione reductase (GR), were measured along with the ratio of reduced glutathione (GSH) to its oxidized form (GSSG). To understand the extent of changes in cellular redox status, the levels of oxidative stress endpoints, protein carbonyl (PC), 3-nitrotyrosine (3NT), and protein bound 4- hydroxyl-2-trans nonenal (HNE), were measured along with heat shock protein (Hsp70) levels.

9.2. Materials and methods

9.2.1. Nanomaterial:

Ceria ENM was obtained from our collaborator, which was synthesized in in-house facility (Section 3.1). Nanomaterial characterization was carried out by our collaborators, prior to ceria ENM treatment to the animals (Section 3.2).

9.2.2. Animals:

The male Sprague-Dawley rats received either saline or 5% ceria dispersion intravenously. Five rats were infused with 0 and 5 rats with 20-50 mg ceria/kg and terminated 1 h after completion of infusion. Five rats were infused with 0 and 5 rats with 100 mg ceria/kg and terminated 30 d after completion of infusion. After ceria ENM treatments, the BBB integrity assessment (Section 3.3), light and electron microscopic assessment (Section 3.4) and EELS analysis (Section 3.2) was carried out by our collaborators. The brain homogenates were prepared from control and ceria treated rat brain samples (Section 3.6). Total protein content was determined using the BCA assay (Section 3.7), and various biochemical assays were carried out on all the samples (Section 3.8, 3.9, 3.10, 3.11, and 3.14) in our laboratory.

9.3. Results

Ceria composition

HR-TEM/HR-STEM showed the ceria ENM was polyhedral shape (Figure 9.1). The XRD patterns demonstrated the ceria are highly crystalline. Evaluation of a number of TEM images showed that the ceria had a number-average primary particle size of ~ 10 nm with aspect ratio (length/ diameter) 5-60.



Figure 9.1: Ceria ENM nanorods were imaged using HRTEM and HRSTEM. The ceria nanorods were dispersed on a carbon film. Visually they have a size distribution ranging from 50 to 600 nm in length and the majority diameter around 10 nm. The magnified TEM insert at the lower left illustrates that the ceria ENMs are highly crystalline (taken by Dr. Peng Wu).

Ceria concentration in brain and Electron micrograph

ICP-MS analysis suggested that very small amount of ceria was present in the brain parenchyma compare to peripheral organ liver (Table 9.1). Electron micrograph studies suggested that ceria ENM was not found in the brain, but located on the luminal side of the BBB endothelium. The hippocampus and cerebellum tissues did not show obvious ceria induced injury as no necrotic neurons or elevated gliosis were observed and the BBB was visibly intact (data not shown). **Table 9.1:** Cerium concentrations in blood, brain, liver, and spleen, expressed as a percent change of the ceria ENM dose. a) Based on reference volume of blood in the rat (7% of body weight) or weight of the brain, liver x ceria concentration.

Cerium [% of dose] ^a							
	Blood	Brain	Liver				
% dose (1 h)	$0.47 \pm X$	$0.013 \pm X$	$42 \pm X$				
% dose (30 d)	$0.018 \pm X$	$0.001 \pm X$	7.1 ± X				

EELS results

Electron energy loss spectroscopic measurements on liver tissue were performed as a representative organ. The ratio of Ce(Owens Iii et al.) to Ce(IV) in the aged ceria was evaluated using EELS measurements after locating agglomerates of the ceria nanorods in tissue 1 h and 30 days after infusion into rat and comparing with synthesized ceria. The high Ce^{3+}/Ce^{4+} ratio that was obtained in the as-synthesized, fresh ceria nanorods seems to have only been altered slightly in individual ceria measured in liver after 30 days *in vivo* (data not shown).

Oxidative Stress Indices

Decrease in GSH: GSSG ratio in time dependant manner

One hour after treatment of ceria nanorods, GSH: GSSG ratio showed a decreasing trend in cortex. However, decline in the ratio was statistically significant after 30 d in the hippocampus (~ 28%, $\xi p < 0.01$, Figure 9.2), in the cortex (~ 18%, *p < 0.05, Figure 9.2) and in the cerebellum (~ 17%, *p < 0.05, Figure 9.2), when compared with the respective control samples. GSH: GSSG ratio is a reflector of the cellular redox status, thereby a decline in the ratio suggest the oxidative nature of the cellular environment, which can affect various signal transduction pathways.



Figure 9.2: GSH: GSSG ratios in 3-brain regions measured 1 h and 30 d after ceria ENM nanorod treatment. The histograms represent %control for saline treated controls and ceria treated samples. The values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

After initial increase, antioxidant enzyme activities were decreased at 30 d

Glutathione (GSH) dependant antioxidant enzymes GPx and GR activities showed a trend for increased values after 1 h in the hippocampus, the cortex and the cerebellum as an initial response to ceria nanorods treatment. However, after 30 d GPx activity was significantly decreased in the hippocampus (~ 22%, *p < 0.05, Figure 9.3a), in the cortex

(~ 28%, *p < 0.05, Figure 9.3a), and in the cerebellum (~ 19%, *p < 0.05, Figure 9.3a). Similarly, a non-significant trend was seen in GR activity in three brain regions, Figure 9.3b. Following the trend of GPx and GR, catalase activity was increased significantly in the hippocampus (~ 23%, *p < 0.05, Figure 9.3c), the cortex (~ 17%, $\xi p < 0.01$, Figure 9.3c), and the cerebellum (~ 20%, *p < 0.05, Figure 9.3c) at 1 h, it was significantly decreased after 30 d in the hippocampus (~ 17%, *p < 0.05, Figure 9.3c). In similar way, SOD activity was significantly increased after 1 h in the hippocampus (~ 19%, $\xi p < 0.01$, Figure 9.3d) and in the cerebellum (~ 21%, *p < 0.05, Figure 9.3d) and significantly decreased after 30 d in the hippocampus (~ 19%, $\xi p < 0.01$, Figure 9.3d) and in the cerebellum (~ 21%, *p < 0.05, Figure 9.3d) and significantly decreased after 30 d

GPx, GR, catalase and SOD levels were affected by ceria nanorods treatment; however, the change in the levels was not statistically significant when compared with the respective controls for both the time points, Figure 9.4.



Figure 9.3: The antioxidant enzyme activities in 3-brain regions 1 h and 30 d after ceria nanorod treatment. a) The histograms represent %control GPx activities measured in saline treated controls and ceria treated samples, b) the histograms represent %control GR activities measured in saline treated controls and ceria treated samples, c) the histograms represent %control catalase activities measured in saline treated controls and ceria treated samples, d) the histograms represent %control SOD activities measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 9.4a, b, c and d: The antioxidant enzyme levels in 3-brain regions 1 h and 30 d after ceria nanorod treatment. a) The histograms represent %control GPx levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control GR levels measured in saline treated controls and ceria treated samples, c) the histograms represent %control catalase levels measured in saline treated controls and ceria treated samples, d) the histograms represent %control MnSOD levels measured in saline treated control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 9.4e: Separate Western blots were used to estimate antioxidant protein levels in hippocampus, cortex and cerebellum. However, only representative examples from hippocampus are shown. Protein levels in control [C] n=5, and treated [T] n=5 samples for 1 h (left) and control [C] n=5, treated [T] n=5 for 30 d (Bhabra et al.) ceria nanorod treatment. The intensity of each antioxidant protein band was normalized with intensity of corresponding band of β -actin-loading control. Similar blots were obtained for cortex and cerebellum regions.

Ceria nanorods reduce protein oxidation at early time point but increases later, similar effect on HSP's

An inverse correlation was seen in between antioxidant activities and that of PC levels at 1 h and 30 d time points. Concomitant with increased antioxidant activities 1 h after Ceria nanorods treatment, PC levels were significantly decreased in the hippocampus (~ 27%, *p < 0.05, Figure 9.5a) and in the cortex (~ 22%, *p < 0.05, Figure 9.5a) regions. On the other hand, PC levels were significantly increased after 30 d in the hippocampus (~ 36%, *p < 0.05, Figure 9.5a) and in the cortex (~ 27%, ξ p < 0.01, Figure 9.5a) concomitant

with significant decrease in the antioxidant activities. 3NT and HNE levels did not show any significant change after 1 h or 30 d.



Figure 9.5: The oxidative stress marker levels in 3-brain regions 1 h and 30 d after ceria nanorod treatment. a) The histograms represent %control PC levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control 3NT levels measured in saline treated controls and ceria treated samples, c) the histograms represent %control HNE levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples

Furthermore, induction of HSP levels was directly related with changes in PC levels and inversely related with changes in antioxidant enzyme activities. HO-1 levels showed a decreasing trend in all 3-brain regions after 1 h, however, HO-1 was significantly induced after 30 d in the hippocampus (~ 30%, *p < 0.05, Figure 9.6a), and in the cortex (~ 23%, *p < 0.05, Figure 9.6b). Hsp70 followed a decreasing trend after 1 h and an increasing one after 30 d; however, the changes were not significant in either of the three brain regions.



Figure 9.6a and b: The heat shock protein levels in 3-brain regions 1 h and 30 d after ceria nanorod treatment. a) The histograms represent %control HO-1 levels measured in saline treated controls and ceria treated samples, b) the histograms represent %control Hsp70 levels measured in saline treated controls and ceria treated samples. All the values are expressed as mean \pm SEM, control n = 5, treated n = 5, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure 9.6c: Separate Western blots were used to estimate HSPs levels in hippocampus, cortex and cerebellum. However, only representative examples from hippocampus are shown. Protein levels in control [C] n=5, and treated [T] n=5 samples for 1 h (left) and control [C] n=5, treated [T] n=5 for 30 d (Bhabra et al.) ceria nanorod treatment. The intensity of each antioxidant protein band was normalized with intensity of corresponding band of β -actin-loading control. Similar blots were obtained for cortex and cerebellum regions.

9.4. Discussion

The toxicity of nanomaterials is governed by various factors including chemical composition, size, morphology, and surface modifications of nanomaterials. The morphology of nanomaterials can be sub-categorized as high aspect ratio nanomaterials and low aspect ratio nanomaterials. The classification of aspect ratio (length to diameter) is a relative terminology. Typically, nanomaterials with length $\leq 1 \ \mu m$ is consider as low aspect ratio material, length $\geq 1 \ \mu m$ is considered as high aspect ratio material (Yokel et al. 2011). High aspect ratio material with length $\geq 5 \ \mu m$ and diameter $\geq 100 \ nm$ is considered highly toxic, regardless of its chemical composition (Buzea et al. 2007; Lewinski et al. 2008). However, studies with long SWCNT's and MWCNT's with

diameter < 100 nm were reported to produce significant pulmonary toxicity compared to short length or spherical nanomaterials (Lam et al. 2004; Warheit et al. 2004; Muller et al. 2005; Bottini et al. 2006; Kostarelos 2008; Kim et al. 2010). The ceria nanorods used in present work were highly crystalline with average diameter 10 nm and length has wide distribution over 50-600 nm range, thus the aspect ratio is 5-60. This aspect ratio is much lower compare to most of the SWCNTs and MWCNTs used in various applications. SWCNTs and MWCNTs have high aspect ratio owing to their long length (>1 – 5 μ m).

Compared to CNTs, not much work has been done on toxicological evaluation of metal oxide-based nanotubes or nanowires. Only one such study has been done, which is on pulmonary toxicity of TiO₂ nanofilaments to human lung tumor cells (Magrez et al. 2009). Four days after exposure to TiO₂ based nanotubes (D-12 nm) and nanowires (D-75 nm), the fragments from both the nanofilaments were found around and inside the nucleus. Both nanotubes and nanowires affected cell proliferation and cell death in dose-dependent manner (Magrez et al. 2009). However, in the current study, although a very small fraction of ceria nanorods was seen in the vascular compartment of brain, brain redox status had profound impact of the ceria treatment.

After earlier exposure (1 h) to ceria nanorods, a significant increase in catalase (3regions) and SOD (hippocampus and cerebellum) activities and an increasing trend in GPx and GR activities (3-regions) indicated activation of phase II enzymes. A slight decline in GSH: GSSG ratio in 3-regions suggested slight increase in oxidative stress. Induction of phase II enzymes was seen as an antioxidant response induced by small increment of oxidative stress, such as decline in GSH: GSSG ratio (Li et al. 2008; Xia et al. 2008; Xia et al. 2009). Similar augmentation of phase II antioxidant enzymes was reported after exposure to ceria ENM with aspect ratio one. Catalase levels and activities were increased in hippocampus 1 h after 5 nm ceria exposure (Chapter 5), GPx, GR and catalase activities and levels were increased in hippocampus, and cerebellum concomitant with declined GSH: GSSG ratio; 1 -20 h after 30 nm ceria exposure (Chapter 7), GPx, SOD, catalase, GR and GST activities were increased with decreased GSH levels; 48 and 72 h after hematite NP exposure to human lung cell line (Radu et al. 2010) (also increased MDA and Lipid perx). Concurrent administration of >25 nm ceria ENM (multiple doses before and after) with monocrotaline (MCT, single dose) rescued rat liver from MCT induced ROS injury by restoring GSH levels and GR-GPx activities (Amin et al. 2011).

Unlike hematite NP (24 h, 48 h and 72 h) and hydroxylated fullerene (2-3 h, rat liver) exposure where lipid peroxidation markers MDA and LDH levels were increased (Radu et al. 2010; Nakagawa et al. 2011), PC, 3NT or HNE levels were decreased in hippocampus and cortex 1 h after the treatment in the current study. Additionally absence of any significant change in oxidative stress induced heat shock proteins HO-1 and Hsp70 suggests that there was no oxidative damage to cellular proteins. In a separate study with different metal oxide based ENM, 8 nm ceria ENM exhibited antioxidant behavior and HO-1 levels were not induced in RAW 264.7 and BEAS-2B cells after ceria treatment (Xia et al. 2008).

Unlike initial oxidative stress response after 1h, dramatic changes in the oxidative stress indices 30 d after ceria nanorod exposure were observed. Concurrent decrease in GSH: GSSG ratio (H, C, and CB), GPx (H, C and CB), catalase (H, CB) and SOD (H) activities with increased PC (H, C) and HO-1 levels (H, C) indicated failure of phase II antioxidant

defense response due to increased or persistent oxidative stress. Similar augmentation of oxidative stress is reported by toxicity studies on high aspect ratio materials such as SWCNTs and MWCNTs as well as time-dependent toxicity studies with ceria ENM, aspect ratio-1 (Chapter 5 and 9). In a comparison study, both short-SWCNTs ($D \sim 1-2$ nm, L~ 0.5-2 μ m) and long-SWCNTs (D~ 1-2 nm, L~ 20 μ m) reduced GSH levels, induced lipid peroxidation marker levels, inhibited catalase, SOD and GPx activity, after 24 and 48 h in PC-12 cells in dose-dependent manner. Although both decreased cell viability and cell proliferation, the long-SWCNTs were more toxic (Wang et al. 2011). MWCNTs exposure to human umbilical vein endothelial cells decreased cell viability in dose- and time-dependant manner (up to 24 h) (Guo et al. 2011). MWCNTs promoted ROS levels just after 2 h exposure, inhibited SOD and GPx activity as well as induced lipid peroxidation (MDA), thus causing cytotoxicity (Guo et al. 2011). Another report on comparative toxicity of MWCNTs (D~ 10-15 nm) with low aspect ratio (L~ 192 nm) and high aspect ratio (L \sim 0.5-1 µm), showed that both MWCNTs inhibited cell growth and cell proliferation of normal human embryonic cell at 24 h, 48 h and 72 h after exposure, as well as increased LDH levels in dose-dependent manner (Kim et al. 2010). Comparison of TiO_2 based aspect ratio-1, low and high aspect ratio ENM revealed, aspect ratio-dependant cytotoxicity and induction of inflammatory cytokines at high aspect ratio in alveolar microphages (Hamilton et al. 2009).

As with ceria ENM with aspect ratio-1, changes in oxidative stress indices 30 d after exposure to ceria nanorods are analogous with that observed 30 d after exposure to 5 nm as well 30 nm ceria ENM (Chapter 5 and 9). In a separate study, 24 h after exposure to 15 and 100 nm silver ENM depleted GSH levels, decreased mitochondrial membrane potential and increased lipid peroxidation levels (LDH) in a dose-dependent manner (Hussain et al. 2005). In a similar fashion, ceria ENM with different sizes and with aspect ratio-1 decreased cell viability in time- and dose-dependent manner (Park et al. 2008). Further ceria and TiO_2 ENM with aspect ratio-1 ceria induced ROS under *in vitro* condition, reduced GSH levels, and induced pro-apoptosis marker (Park et al. 2008; Park et al. 2008).

Thus ceria nanorods, a low aspect ratio metal based material, behave as aspect ratio-1 material at the initial exposure period; however at longer exposure it has oxidative stress effects similar to high aspect ratio CNTs. In addition, ceria nanorods have similar oxidative stress impact as that of 5 nm and 30 nm ceria ENM, than do 15 nm ceria ENM. Thus, based on findings from our lab it may propose that aspect ratio of ENM plays a major role in modulation of ENM toxicity compared to its aspect ratio. However, similar experiments should be repeated with a high aspect ratio ceria ENM to solidify this hypothesis.

CHAPTER 10

CONCLUSIONS AND FUTURE STUDIES

10.1 Conclusions

The work presented in this dissertation examined the ceria-ENM induced oxidative stress effects in hippocampus, cortex and cerebellum of the rat brain. Specifically the influence of size, aspect ratio (shape) and exposure period on ceria ENMs induced oxidative stress was determined *in vivo*. Furthermore, a mechanism of oxidative stress in the brain, induced by systemically injected ceria ENMs was elucidated.

Overall results of oxidative stress analysis of brain revealed a pattern (Figure 10.1) where at early exposure time points (1 h and 20 h) after systemic administration of ceria ENM, showed either no effect (Chapter 5) on oxidative stress end points or showed anti-oxidant effects (Chapter 6-9). At 1 h and 20 h, levels and activities of the phase II antioxidants were induced and markers of protein oxidation and lipid peroxidation were suppressed. However, at later exposure time points (1 d, 7 d, and 30 d), ceria ENM showed prooxidant effects (Chapter 5, 7, and 9), except after treatment of 15 nm ceria ENM (Chapter 6). The phase II antioxidant activities were inhibited after 1 d-30 d, although their levels were increased; concomitantly markers of protein oxidation, lipid peroxidation and HSP levels were induced in almost all three brain regions. Interestingly enough, at very late exposure time point (90 d), levels and activities of phase II antioxidant enzymes were restored and there was no sign of protein oxidation or lipid peroxidation was left, as well as HSPs levels were lowered to below control-levels.



Figure 10.1: a) Upper left figure represents the demographic depiction of the pattern followed by a representative oxidative stress end point, protein carbonyl levels after treatment of different size ceria ENM at various exposure time points. Red and green color areas represent antioxidant effects, while purple and light blue areas represent prooxidant effects. Dark blue color (50-70) covers the points where no data was collected for the specific ceria ENM treatment. b) The table inserted bellow the chart shows the corresponding values expressed as mean % control protein carbonyl levels, taken from corresponding chapters.

Furthermore, the results of oxidative stress end-points measured at earlier time points such as 1 h and/or 20 h and after 30 d, revealed the size-effect of ceria ENM treatment. As shown (as a representative example) in Figure 10.1, 5 nm ceria ENM did not have any significant impact on oxidative stress at 1 h and 20 h (Chapter 5), as opposed to 15 nm, 30 nm, and 55 nm which induced significant tier-1 protective effects in the brain (Chapters 6, 7, and 9). After 30 days, 15 nm ceria did not show any pro-oxidant effects suggesting success of tier-1 effects (Chapter 6). Whereas, 5 nm, and 30 nm lead to failure of tier-1 defense and induced downstream pro-oxidant effects in the brain (Chapters 5, 7). A comparison of ultrafine-and fine-TiO₂ particles revealed increased cytotoxic effects upon untrafine-TiO₂ treatment (Kang et al. 2009) as well as silica ENM showed sizedependent cytotoxicity (Thomassen et al. 2009). On contrary, literature studies have also reported lack of any size-effect on ceria ENM induced toxicity and nickel-ferrite ENM induced toxicity (in absence of oleic acid coating) (Yin et al. 2005; Park et al. 2008; Eom et al. 2009). As for the shape-effect or more precisely the aspect ratio-effect, it is more logical to compare nanorod ceria ENM with 5 nm and 15 nm ceria ENM (aspect ratio-1) as diameter of nanorod was ~10 nm, although nanorod were of varied length (50 nm- 600 nm). The oxidative stress results of 5 nm, 15 nm and nanorod ceria ENM treatments, followed a trend which was very similar at the initial (1 h) time point. All three ceria ENMs induced antioxidant (Tier-1) effects in the brain (Chapter 5, 6, 9). On the other hand, both nanorod and 5 nm ceria treatment lead to failure of tier-1 defense response after 30 d (Chapter 9 and 5 resp.), however, ceria nanorod induced pro-oxidant effects at much smaller dose (20-50 mg/kg) compare to 5 nm or 30 nm ceria ENM. Additionally, the oxidative stress effects induced by nanorod were also in complete agreement with the

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findings of 30 nm (1 h and 30 d) and 55 nm (1 h) ceria ENM treatments (Chapter 7 and 8).

In Chapter 7, we examined the dose-effect of 55 nm ceria ENM induced oxidative stress at 20 h. The larger dose 100 mg/kg of 55 nm ceria ENM induced more significant tier-1 antioxidant effects after 20 h compared to the half of dose (50 mg/kg). Similarly, 30 nm ceria and 21 nm TiO₂ ENM induced antioxidant tier-1 and apoptotic tier-3 effects in dose-dependent manner in BEAS-2B (Park et al. 2008; Park et al. 2008). In addition to the dose and aspect ratio-effects, we had selected three different brain regions in order to deduce any region-effects of ceria treatment. However, no such differential effects were observed, although overall the hippocampus showed oxidative stress for most of the time points and cerebellum showed for the least, there were no distinct preferences observed.

The major findings of this dissertation work, includes elucidation of the mechanism of oxidative stress based on an oxidative stress hierarchy model of nanomaterial toxicity. Additionally, as we could extend and modify the existing model for in vivo toxicity of ceria ENM (Figure 10.2). The systemic injection of ceria ENM induced phase II antioxidant – tier-1 response in the brain without crossing or disrupting BBB and decreased GSH: GSSG ratio at 1 h and 20 h- exposure time points. In all the cases, except 15 nm ceria ENM, with increasing exposure period (≥ 1 d), the loss of GSH: GSSG ratio was not recovered and as a consequence of escalading oxidative stress activated downstream signaling pathways. These signaling pathways induced tier-2 and tier-3 oxidative stress response in the brain. However, after 90 d, GSH: GSSG ratio improved favorably with phase II antioxidant levels and activities. Thus, the brain redox imbalance was reversed to normal by 90 d. At present we are not sure about what happened in the

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last 30 d period where redox balance was restored, but it may be that HSPs or autophagy response together or separately might have cleared damaged macromolecules and thus helped to restored cellular redox status.





This work was the first attempt where the literature-proposed oxidative stress model was tested on multicellular organism. As well as this was the first attempt where the "Tier" responses explained in the model were tested and connected with ENM treatment exposure period. Thus, this work also helps us to understand and clear the fog of discrepancy around oxidative stress effects of ceria ENM treatment. Based on our experience it can be hypothesized that, the antioxidant effects of ceria ENM (in absence

of pre-existing or external source ROS or oxidative stress) reported in literature are result of Tier-1 response induced by initial oxidative stress stimuli generated by ceria ENM. Depending upon this initial oxidative stress insult and "strength" of Tier-1 response, the secondary oxidative stress represented as Tier-2 and Tier-3 may or may not be seen.

10.2. Future studies

Based on the results in this dissertation, the following experiments may warrant future investigation:

- After examining the oxidative stress effects of ceria ENM at considerably high dose, it will be useful to study the pharmacokinetics and corresponding oxidative stress effects at lower dosage curve (10 and 100 times) and at different exposure period.
- The oxidative stress effects of ceria treatment observed in this dissertation work were induced by ceria ENM in brain but without crossing or disrupting BBB. Therefore, it is important to investigate the mechanism of peripheral effects of ceria ENM and measurement of circulatory cytokine levels in blood or plasma might shade some light.
- After deducting the size and time-effect of ceria ENM treatment, current work can be extended to investigate role more surface properties like surface charge, surface coating, hydrophobicity etc.
- 4. Based on the finding of results of nanorod ceria ENM treatment, where ceria nanorods behaved as aspect ratio-1 ENM at initial exposure period (1 h), and similar to high aspect ratio ENM such as CNT's at longer exposure (30 d) time

points. Therefore, it will be interesting to investigate the role of aspect ratio in detail with high aspect ratio ceria ENM.

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APPENDIX A

OXIDATIVE STRESS EFFECTS INDUCED BY SILVER NANOPARTICLES IN EARTHWORMS (*Eisenia fetida*) AND PROTEOMICS ANALYSIS OF DIFFERENTIALLY EXPRESSED PROTEINS

With the rapid growth of nanotechnology, there is a constant demand for research and production of new engineered nanomaterials (ENM). However, once used in consumer, industrial and medical goods, these ENM will be released into the environment intentionally or accidentally. After released into ecosystem ENMs can enter into wastewater stream and eventually end up in the terrestrial ecosystem-an ultimate sink. Silver metal is known for its antibacterial properties and by virtue it Ag-ENMs are used in medical devices, food-storage containers, soap and sanitizers, wound dressings, and fabrics (Luoma 2008). Thus increasing use of Ag-ENM will contribute to the anthropogenic accumulation of ENM in ecosystem (Blaser et al. 2008). Although some studies are available on aquatic toxicity as reviewed by (Shoults-Wilsona et al. 2010; Shoults-Wilson et al. 2011) however, very little is known about their environmental fate, potential effects especially on terrestrial environment (Klaine et al. 2008; Unrine et al. 2008). The previous studies conducted primarily in cell culture, have reported that smaller Ag-ENMs have greater toxicological effects than larger Ag-ENMs; summarized in (Shoults-Wilson et al. 2011). However, there is very little known about Ag-ENM sizeeffect toxicity towards fully developed multicellular organisms in terrestrial environmentally realistic exposure scenarios (Shoults-Wilson et al. 2011).

The objective of present study was to compare 1) oxidative stress effects of Ag-ENMs with two different sizes and with toxicity of Ag ions exposed to earthworm *Eisenia fetida* at different exposure period, 2) identify the differential expressed proteins in earthworms

after exposure to Ag-ENM and Ag ions, through artificial soil media. This is a very first proteomics (described in Chapter 3) study on earthworm *Eisenia fetida* conducted ever.

200 C % Control PC levels Ag+ 150 10 nm 30 nm 100 50 0 nn nn C onn nn C onnonn 3 d 7 d 1 d

a] PC levels

Figure A.1a: The oxidative stress marker levels measured in whole earthworm 1 d, 3 d and 7 d after exposure to AgNO₃, 10 nm Ag-ENM and 30-50 nm Ag-ENM. a) The histograms represent % control PC levels measured in control and treated samples. All the values are expressed as mean \pm SEM, control n = 4, treated n = 4 for each group and each exposure period, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

b] 3NT levels



c] HNE levels



Figure A.1b and c: The oxidative stress marker levels measured in whole earthworm 1 d, 3 d and 7 d after exposure to AgNO₃, 10 nm Ag-ENM and 30-50 nm Ag-ENM. b) The histograms represent % control 3NT levels measured in control and treated samples, c) the histograms represent % control HNE levels measured in control and treated samples. All the values are expressed as mean \pm SEM, control n = 4, treated n = 4 for each group and each exposure period, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure A.2: The antioxidant catalase activity measured in whole earthworm 1 d, 3 d and 7 d after exposure to AgNO₃, 10 nm Ag-ENM and 30-50 nm Ag-ENM. a) The histograms represent % control catalase activity measured in control and treated samples. All the values are expressed as mean \pm SEM, control n = 4, treated n = 4 for each group and each exposure period, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Table A.1: Description of proteins identified by MS/MS in four treatment groups,

continue on next page......

		SwissProt			Peptid
Protein	Description	No.	MWt	pI	e
			kDa		hits
	Transitional endoplasmic	C41C4.8	89.6	5.39	
P54812	reticulum ATPase homolog 2				3(5)
Q9XXK 1	ATP synthase subunit alpha, mitochondrial	H28O16.1c	54.1	9.06	2(3)
P91427	pgk-1/ phosphoglycerate kinase	T03F1.3	44.1	7.02	3(4)
P91427	pgk-1/ phosphoglycerate kinase	T03F1.3	44.1	7.02	3(4)
	Fructose-bisphosphate	F01F1.12a	38.8	7.88	
P46563	aldolase 2				3(11)
Hsp-70A	Heat shock 70 kDa protein A	F26D10.3	69.7	5.64	2(4)
	embryo development ending in	T25C8.2	41.8	5.68	
Actin-5	birth or egg hatching				5 (14)
Actin-4c	ATP-binding	M03F4.2c	40.4	5.83	7 (15)
	embryo development ending in	T25C8.2	41.8	5.68	
Actin-5	birth or egg hatching				3 (9)
Actin-4b	ATP-binding	M03F4.2b	37.3	5.55	4 (7)
Actin-2	cytokinesis after mitosis, embryo development	T04C12.5	41.8	5.48	13 (76)
Actin-5	embryo development ending in birth or egg batching	T25C8.2	41.8	5.68	10 (64)
Actin-4c	ATP-hinding	M03F4 2c	40.4	5 83	6(14)
	embryo development ending in	T25C8 2	41.8	5 68	0(11)
Actin-5	birth or egg hatching				5(11)
Hsp-70A	Heat shock 70 kDa protein A	F26D10.3	69.7	5.64	2 (5)
Actin-4b	ATP-binding	M03F4.2b	37.3	5.55	3 (4)
Actin-4c	ATP-binding	M03F4.2c	40.4	5.83	3 (4)
Actin-4c	ATP-binding	M03F4.2c	40.4	5.83	3 (5)
Hsp-70A	Heat shock 70 kDa protein A	F26D10.3	69.7	5.64	2 (7)
Actin-4c	ATP-binding	M03F4.2c	40.4	5.83	5 (9)
	pgk-1/ phosphoglycerate	T03F1.3	44.1	7.02	
P91427	kinase				3 (9)
Hsp-70A	Heat shock 70 kDa protein A	F26D10.3	69.7	5.64	2 (4)
Hsp-70A	Heat shock 70 kDa protein A	F26D10.3	69.7	5.64	2 (5)

 Table A.1 continued: Description of proteins identified by MS/MS in four treatment groups.

D ()		SwissProt		-	
Protein	Description	No.	MWt kDa	pl	Peptide
Actin 10	ATD hinding	M03E4.2c	KDa 40.4	5.83	$\frac{11118}{2(2)}$
Actini-4C	ATP-officing	E26D10.2	40.4 60.7	5.63	3(3)
HSP-70A	Heat shock /0 kDa protein A	F20D10.3	26.4	7.04	2(2)
GAPDH-4	Glyceraldehyde-3-phosphate dehydrogenase 4	гээп1.2	30.4	/.00	2 (6)
GAPDH-4	Glyceraldehyde-3-phosphate dehydrogenase 4	F33H1.2	36.4	7.88	2 (5)
Aldose-isoform b	Aldolase (Fructose bisphosphate aldolase) protein 2	F01F1.12b	28.0	7.05	2 (4)
mec-7 beta tubulin		ZK154.3	49.2	5.01	2 (3)
Actin-4c	ATP-binding	M03F4.2c	40.4	5.83	7 (29)
Actin-5	embryo development ending in birth or egg hatching	T25C8.2	41.8	5.68	5 (17)
Actin-4b	ATP-binding	M03F4.2b	37.3	5.55	3 (4)
Actin-4b	ATP-binding	M03F4.2b	37.3	5.55	5 (21)
Actin-5	embryo development ending in birth or egg hatching	T25C8.2	41.8	5.68	4 (21)
Actin-5	embryo development ending in birth or egg hatching	T25C8.2	41.8	5.68	2 (6)
Actin-4b	ATP-binding	M03F4.2b	37.3	5.55	2 (3)
FTT-2	14-3-3-like protein 2	F52D10.3a	28.0	4.91	2 (3)
Actin-4b	ATP-binding	M03F4.2b	37.3	5.55	4 (6)
ATP-2	ATP synthase subunit beta, mitochondrial	C34E10.6	57.5	5.77	2 (3)



b]



Figure A.3a and b: 2-D gel map of proteins identified from control and AgNO₃ treated groups using proteomics among the four groups at 3 d exposure period. a) Representative gel from control group of earthworm samples, and d) Representative gel from AgNO₃ treated group of earthworm samples. Protein spots were identified by MS/MS and labeled with their corresponding identification.

c]



d]



Figure A.3c and d: 2-D gel map of proteins identified using proteomics among 10 nm AgNP and 30-50 nm AgNP treated groups at 3 d exposure period, c) Representative gel from 10 nm Ag-ENM treated groups of earthworm samples, and d) Representative gel from 30-50 nm Ag-ENM treated group of earthworm samples. Protein spots were identified by MS/MS and labeled with their corresponding identification.

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APPENDIX B

OXIDATIVE MODIFICATION OF LIPOIC ACID IN ALZHEIMER DISEASE BRAIN Lipoic acid is an important cofactor for multi-enzyme complexes such as α -ketoglutarate dehyede (KGDH), pyruvate dehydrogenase (PDH), branched oxo acid or α -ketoacid dehydrogenase complex, and glycine decarboxylase complex or glycine cleavage system (Kagan et al. 1992). Lipoic acid is also unique endogenous antioxidant, which can scavenge free radicals in aqueous as well as in lipid or membrane phase (Kagan et al. 1992). Lipoic acid is endogenously present in its native form in the body and remains inactive unless reduced to dihydrolipoic acid (DHLA). Lipoamide dehydrogenase is one of the main enzyme that catalyzes this reaction in the presence of a reducing equivalent NADPH (Biewenga et al. 1996) Figure B.1. The antioxidant and functional activity of lipoic acid as a cofactor is attributed to its reduced form DHLA (Kagan et al. 1992). The sulfhydryl groups on DHLA act similar to -SH group from glutathione or cysteine and help in reduction of free radicals by providing -H or an electron.



Figure B.1: Reduction of Lipoic acid (LA) to dihydrolipoic acid (DHLA) by enzymatic action of lipoamide dehydrogenase in presence of reducing equivalent.

Apart from acting as an antioxidant itself, lipoic acid can also reinforce other water-or lipid-soluble antioxidants such as ascorbate and vitamin E by scavenging their radicals in DHLA form (Kagan et al. 1992). Treatment with lipoic acid can reduce lipid peroxide marker-HNE and apoptotic markers in patients with Alzheimer disease. This was noted in a study that investigated fibroblast in cell culture. In the same study, co-treatment of cells with N-acetyl cysteine showed much better protection from oxidative stress than lipoic acid or N-acetyl cysteine alone (Moreira et al. 2007). A study from our lab has shown that pretreatment of cortical neurons with acetyl L-carnitine and lipoic acid, plays a protective role against HNE-mediated oxidative stress and neurotoxicity by inducing GSH levels and reducing protein and lipid oxidation (Hafiz et al. 2007). When rat mitochondria were treated with HNE, selective inhibition of KGDH and PDH was observed. The same study further reported that loss of enzyme activity of KGDH and PDH in mitochondria in presence of a reducing equivalent such as NADPH was closely related to the HNE modification of lipoic acid. These findings were also confirmed by results from the experiments with purified enzymes (Humphries et al. 1998); Figure B.2. Similarly inactivation of KGDH and PDH was observed in human HepG2 cells after HNE treatment. However, successive treatment with lipoic acid and other thiol supplements protected these complexes from HNE modification. Further the same study reported that inhibition of PDH was observed when sulfahydryl groups on lipoic acid were in reduced form (Korotchkina et al. 2001). Inhibition was observed at its subunit E2E3-BP and the damage was more in presence of subunit E3-dihydrolipoamide dehydrogenase and NADPH.



Figure B.2: HNE can form Michael adduct with only reduced form of lipoic acid i.e. DHLA.

In this dissertation research, levels of HNE-bound lipoic acid (HNE-LA) were detected using specialized antibody against HNE-LA modification (courtesy to Dr. Szweda's Lab) in IPL region of Alzheimer disease (AD). Brain samples from AD-IPL groups were compared with group of age matched controls for the purpose of this study. We observed significant decrease in HNE-LA levels in AD samples (Figure B.3.).



Figure B.3: HNE-LA levels were measured in IPL brain region of control (n=10) and AD (n=12) samples by dot blot technique. All the values are expressed as mean \pm SEM, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

Alzheimers disease is characterized by increased free radical production and HNE modification to proteins (Beal 1995; Markesbery 1997; Markesbery et al. 1998). However we found lower levels of HNE bound lipoic acid in AD-IPL samples compared to age-matched controls. HNE can only react with a reduced form of lipoic acid DHLA (Humphries et al. 1998). As the enzyme responsible for reduction LA to DHLA is lipoamide dehydrogenase, we measured activities and levels of lipoamide dehydrogenase (LADH) in AD and control brain-IPL region. Both levels and activities of LADH were significantly reduced in AD-IPL as compared to age-matched controls (Figure B.4 a-b). Similar reduction in LADH levels and activity was observed in the temporal cortex, parietal cortex and in hippocampus of AD patients (Mastrogiacomo et al. 1996). Similar to LA, LADH is also shared by four multi-enzyme complexes α-keto- glutaraldehyde dehydrogenase (KGDH), pyruvate dehydrogenase (PDH), the branched oxo acid or αketoacid dehydrogenase complex, and the glycine decarboxylase complex or glycine cleavage system (Hunter et al. 1986; Lindsay 1989; Mastrogiacomo et al. 1996). Inhibition of the levels and activity of LADH may reflect on the loss of enzyme activity of the multi-enzyme complexes in AD.



Figure B.4a] The histograms represent %control Lipoamide dehydrogenase (LADH) levels measured in IPL region from AD and age-matched control samples. The histograms are accompanying Western blot band of LADH and actin, which was used as loading control and intensity of each band was normalized to loading control. All the values are expressed as mean \pm SEM for control (n=6) and AD (n=10), statistical significance was considered at *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.



Figure B.4b] The lipoamide dehydrogenase (LADH) activity in IPL-brain regions. The histograms represent % control LADH activity measured in control and AD samples. All the values are expressed as mean \pm SEM, control n = 10, treated n = 12, *p <0.05, ξ p < 0.01, and Θ p < 0.001, compared to mean of respective control samples.

APPENDIX C DATA TO SUPPLEMENT FIGURES

Figure 5.5 Hippocamp %Control	ous-PC Avg	Stdev	Cortex-PC %Control	Avg	Stdev
99 111 111 107 71 125	100	17 8	123 134 125 56 63	100	37 17
122 126 110 111	119	8 4	132 133 91 113 106	115	18 8
	0.050874			0.439208	5

Cerebellum-PC

%Control	Avg	Stdev
89		
97		
101		
111		
101	100	8
		3
102		
104		
116		
116		
120	112	8
		4

H-3NT			C-3NT			CB-3NT		
%Control	Avg	Stdev	%Control	Avg	Stdev	%Control	Avg	Stdev
96.8966			104 9575			90 13022		
99.8012			106.2048			105.2564		
102.235			100.4067			101.115		
101.436			80.73482			104.2953		
99.6300	100	2.053695	107.6961	100	11.10878	99.20306	100	6.028484
		0.91844			4.967997			2.69602
103.6729			121.6649			104.0808		
102.0335			115.0669			100.3457		
96.67728			108.2204			99.04425		
104.9948			124.887			105.7906		
108.7716	103.23	4.426903	130.3733	120.0425	8.621867	111.7635	104.205	5.029635
		1.979771		TTEST	3.855816 0.012861			2.249321

H-HNE			C-HNE			CB-HNE		
%Control	Avg	Stdev	%Control	Avg	Stdev	%Control	Avg	Stdev
100.7464			123.1721			89.28452		
100.5379			104.0495			99.65474		
104.4698			84.92688			111.2736		
93.73723			98.4252			99.131		
100.5087	100	3.882874	89.42632	100	14.95682	100.6562	100	7.797761
		1.736474			6.688895			3.487265
105.3371			125.9843			119.8924		
106.1794			119.2351			112.8197		
103.9445			109.1114			102.8475		
104.3656			107.1429			83.24255		
99.24113	103.8135	2.699412	118.1102	115.9168	7.753578	94.03178	102.5668	14.59152
		1.207214			3.467506			6.525528
				0.067601				

					Catalase			
Sr.No	Catalase	Actin	Ratio	Avg	%Control	Avg	Stdev	SEM
L1	13681.58	21964.27	0.622902		87.84458			
L3	36604	33025.11	1.108369		156.3075			
L4	39430.56	34293.52	1.149796		162.1498			
L6	29967.62	38255.5	0.783355		110.4724			
L7	17670.41	34466	0.512691		72.30215			
L11	17388.95	36866	0.47168		66.51856			
L16	13688.05	43471.5	0.314874	0.709095	44.40506	100	45.22081	17.09186
L2	30136.5	31811.84	0.947336		133.5979			
L5	26170.13	32677.08	0.800871		112.9427			
L8	25145.16	34859.5	0.721329		101.7252			
L9	35506.64	36212.13	0.980518		138.2773			
L10	27623.02	38022.04	0.7265		102.4545			
L12	22143.07	38681	0.572453		80.73012			
L13	20972	37297.5	0.56229		79.29679			
L14	13676.63	40731.5	0.335775		47.35264			
L15	7581.49	50010.5	0.151598	0.644297	21.37907	90.86181	38.35993	12.78664

Figure 5.2a hippocampus antioxidant levels

1

36647

43415.46

36212.13

38022.04

1.012009

1.14185

				GPx			
GPx	Actin	Ratio	Avg	%Control	Avg	Stdev	SEM
29513.1	21964.27	1.343687		126.2357			
49330	33025.11	1.493712		140.3302			
39309	34293.52	1.146252		107.6872			
41564.2	38255.5	1.08649		102.0727			
42076	34466	1.220797		114.6906			
24195	36866	0.656296		61.6572			
21899	43471.5	0.503755	1.064427	47.32644	100	33.7607	12.76035
45952.5	31811.84	1.444509		135.7077			
40434.5	32677.08	1.237396		116.25			
36264.5	34859.5	1.040305		97.73378			

1

95.07548

17226.44	38681	0.445346		41.83907			
20949	37297.5	0.561673		52.76765			
32630	40731.5	0.8011		75.26115			
22816.91	50010.5	0.456242	0.904492	42.86273	84.97458	33.68938	11.22979
					TTEST	0.391521	

GR	Actin	Ratio	Avg	%Control	Avg	Stdev	SEM	
15363 61	57683 42	0 266344		91 68718				
20787.17	51144.96	0.406436		139.9133				
12595.34	44782.05	0.281259		96.82161				
10346.35	43472	0.238		81.93018				
20064.59	38364.11							
13143.73	42877.61	0.306541		105.5248				
11320.45	46324.99	0.24437	0.290492	84.12299	1	00 21.3	6069 8.07	7358

20372.63	47699.69	0.427102		147.0273			
22120.91	50910	0.43451		149.5775			
13233.13	50594.93	0.261551		90.03719			
10316.63	48622.94	0.212176		73.04038			
10939.73	43952.91	0.248897		85.68116			
18597.96	41693.21	0.446067		153.5558			
17612.52	44140.17	0.399013		137.358			
10879.04	44062.79	0.246899		84.99335			
10921.2	38497.22	0.283688	0.328878	97.65789	113.2143	32.8321	10.94403

MnSOD	Actin	Ratio	Avg	%Control	Avg	Stdev	SEM
102129.2	57683.42	1.770511		68.18338			
131354	51144.96	2.568269		98.90546			
138627	44782.05	3.095593		119.213			
129830.9	43472	2.986541		115.0134			
93277.9	38364.11	2.431384		93.63397			
114608.5	42877.61	2.672922		102.9357			
122836	46324.99	2.651614	2.596691	102.1151	100	16.64159	6.289929
116981.7	47699.69	2.452463		94.4457			
123949	50910	2,434669		93 76046			

133487	50594.93	2.638347		101.6042			
137423	48622.94	2.8263		108.8424			
129562	43952.91	2.947746		113.5193			
105851.1	41693.21	2.538808		97.77091			
97393	44140.17	2.206448		84.97155			
113757.5	44062.79	2.581714		99.42322			
115608.5	38497.22	3.003035	2.625503	115.6485	101.1096	9.989166	3.329722

Hsp-32	Actin	Ratio	Avg	%Control	Avg	Stdev	SEM
64318.07	46962.56	1.369561		92.86544			
81797.02	42429.5	1.927834		130.7201			
66480.24	48021.2	1.384394		93.87121			
60135.11	43563.62	1.380397		93.60025			
72071.98	34717.35	2.075964		140.7644			
58150.94	41854.14	1.389371		94.20874			
40857.7	51332.85	0.795937	1.47478	53.96987	100	28.54001	10.78711

66455.43	39487	1.68297		114.1167			
80521.2	37894.21	2.124895		144.0822			
74043.96	45481.09	1.628016		110.3905			
66760.29	46810.58	1.42618		96.70458			
63037.95	46179.25	1.365071		92.56101			
65311.18	42108.44	1.551024		105.1698			
69778.09	41433	1.684119		114.1946			
45166.6	48268.71	0.935732		63.44897			
58018.87	46069.5	1.259377	1.517487	85.39425	102.8958	22.41296	7.470986

Hsp-70	Actin	Ratio	Avg	%Control	Avg	Stdev	SEM
10102 50	22055.24						
10183.79	22055.34						
8749.68	25421.34						
5107.65	27733.84	0.184167		91.53341			
4120.04	29507.65	0.139626		69.39614			
5355.29	24279.2	0.220571		109.6269			
7204.17	29934.98	0.240661		119.6117			
6965.96	31522.53	0.220984	0.201202	109.8319	100	19.88869	7.517217
9116.13	22969.78	0.396875		197.2524			

10272.81	23865.39	0.430448		213.9386			
7268.11	22543.74	0.3224		160.2375			
9445.25	26047.45	0.362617		180.2257			
5975.45	27634.01	0.216235		107.472			
6612.86	23623.12	0.279932		139.1299			
9829.99	28663.66	0.342943		170.4472			
7416.05	35386.02	0.209576		104.162			
4455.05	31021.72	0.143611	0.300515	71.3765	149.3602	47.38579	15.79526
					TTEST	0.048768	

Figure 5.2b hippocampus an	ntioxidant	activities
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Catalase	e activit	у						
Нірро	blank	Formaldehyde	Catalase	Avg	%Control	Avg	STDEV	SEM
Abs	minus	uM	activity			%Cotrol		
0.243	0.143	13.25	2.76		118			
0.241	0.141	13.06	2.72		117			
0.217	0.117	10.86	2.26		97			
0.184	0.084	7.83	1.63		70			
0.245	0.145	13.43	2.80		120			
0.22	0.12	11.14	2.32		99			
0.195	0.095	8.84	1.84	2.33	79	100	20	7
0.205	0.105	9.76	2.03		87			
0.194	0.094	8.75	1.82		78			
0.217	0.117	10.86	2.26		97			
0.224	0.124	11.50	2.40		103			
0.19	0.09	8.39	1.75		75			
0.195	0.095	8.84	1.84		79			
0.184	0.084	7.83	1.63		70			
0.188	0.088	8.20	1.71		73			
0.187	0.087	8.11	1.69		72	82	12	4
					TTEST	0.035		

Нірро	Sample	Slope	Backgd	GPx					
5nm, 30d		-	minus	activity					
	Backgd	0.004							
	Positive C	0.059			Avg	%Control			
c	128	0.006	0.0017	4.457		72			
c	133	0.007	0.0028	7.004		113			
с	135	0.0075	0.0033	8.277		133			
с	138	0.006	0.0018	4.457		72			
с	141	0.007	0.0028	7.004		113			
с	191	0.006667	0.0024	6.155		99			
c	197	0.006667	0.0024	6.155	6.216	99	100	22	
	130	0.005	0.0008	1.910		31			
	137	0.005	0.0008	1.910		31			
	142	0.0055	0.0013	3.184		51			
	146	0.0055	0.0013	3.184		51			
	147	0.005	0.0008	1.910		31			
	192	0.0045	0.0003	0.637		10			
	193	0.0045	0.0003	0.637		10			
	195	0.005	0.0008	1.910		31			
	196						31 8.85284E-	15	
						TTEST	06		

SOD ac	tivity								
Нірро	SOD activity No Inhibitor	SOD activity LR (NInh)	in 230 ul (U/ml)	in 1ml (U/ml)	Avg	%Control	Avg %Cotrol	STDEV	SEM
128	0.107	3.70	6.57	28.55		85			
133	0.105	3.77	6.73	29.28		87			
135	0.099	3.99	7.28	31.66		94			
138	0.081	4.88	9.41	40.92		122			
141	0.107	3.70	6.57	28.55		85			
191	0.09	4.39	8.24	35.83		107			
197	0.082	4.82	9.27	40.30	33.58	120	100	16	6
130	0.097	4.08	7.48	32.52		97			
137	0.09	4.39	8.24	35.83		107			
142	0.093	4.25	7.90	34.35		102			
146	0.096	4.12	7.58	32.96		98			
147	0.087	4.55	8.60	37.41		111			
192	0.084	4.71	8.99	39.10		116			

193	0.08	4.94	9.56	41.56	124			
195	0.083	4.77	9.13	39.70	118			
196	0.086	4.60	8.73	37.96	113	110	9	3

Figure 6.5	5 PC, 3NT	and HNE	levels at 1h				
H-PC %				H-3NT %			
Control	Avg	Stdev	SEM	Control	Avg	Stdev	SEM
115.2389				138.1351			
113.9401				125.5992			
86.81741				70.34177			
84.00359	100	16.89395	8.446974	65.92392	100	37.19496	18.59748
67.04916				24.59238			
61.015				36.12666			
83.09716	70.38711	11.41323	6.589431	31.61503	30.77802	5.812512	3.355855
	0.048579				0.026238		
C-PC %				C-3NT %			
Control	Avg	Stdev	SEM	Control	Avg	Stdev	SEM
126.6366				104.3041			
95.59929				89.52255			
77.76407	100	24.73169	12.36585	106.1733	100	9.121743	4.560871
91.04401				54.66773			
66.5392				72.42078			
121.0881	92.89045	27.3213	15.77396	96.7018	74.59677	21.10135	12.18287
					0.128156		

CB-PC %				CB-3NT %			
Control	Avg	Stdev	SEM	Control	Avg	Stdev	SEM
88.27506							
121.7598				110.3297			
90.23623				90.60685			
99.72892	100	15.34457	7.672283	99.06349	100	9.8947	4.94735
70.94958				91.46195			
59.25218				76.20689			
84.46077	71.55418	12.61517	7.28337	117.0125	94.89377	20.61812	11.90388
	0.04815				0.718651		

H-HNE % Control Avg Stdev SEM 117.5759 86.32789 95.08233 101.0139 100 13.17891 6.589455 56.68427 70.17125 60.33173 62.39575 6.976373 4.027811 0.006846 C-HNE % Control Avg Stdev SEM 93.18681 103.8506 102.9626 100 5.917079 2.95854 94.83874 100.9569 101.612 99.13587 3.735813 2.156873 CB-HNE % Control Stdev SEM Avg 112.3505 99.7939 97.59298 90.26259 100 9.186744 4.593372 76.70967 68.18113 70.36443 71.75174 4.430293 2.557831 0.004731

30d				30d			
H-PC % Control	Avg	Stdev	SEM	H-3NT % Control	Avg	Stdev	SEM
103.0257				90.67567			
104.6668				107.3649			
93.38355				89.88203			
95.68135				105.8369			
103.2426	100	5.095991	2.278996	106.2405	100	8.896228	3.978514
102 4429				100 5348			
102.4429				100.3348			
109.0037				92.58814			
109.4238				96.2243			
102.6856				81.5213			
95.63705	103.8386	5.666042	2.533931	92.68926	92.71157	7.049481	3.152624

Figure 6.5 PC, 3NT	and HNE	levels at 30	d
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C-PC %				C-3NT %			
Control	Avg	Stdev	SEM	Control	Avg	Stdev	SEM
111.1513				85.30942			
92.03296				95.5334			
100.5166				99.91671			
90.18315				103.1536			
106.1159	100	8.970342	4.011659	116.0869	100	11.23057	5.022463
104.8909				89.79133			
108.7897				94.36081			
95.6071				103.3282			
99.91757				99.07351			
94.4798	100.737	6.087709	2.722506	106.5021	98.61118	6.719106	3.004876

CB-PC % Control	Avg		Stdev	SEM	CB-3NT % Control	Avg		Stdev	SEM
108.7496					112.8306				
104.866					92.64751				
100.4875					84.24907				
89.87346					89.24858				
96.02347	1	00	7.396463	3.307799	121.0243		100	16.00303	7.156771

107.3743 106.2667 107.271 99.91896 107.6843	105.703 3.	276818 1.46	55438	88.48933 119.3624 100.3695 82.95852 70.49614	92.33517	18.53831	8.290586
30d H-HNE % Control 90.32718 116.5991	Avg	Stdev	SEM				
91.74345 87.93135	100	13.80623	6.174332				
96.71498 104.3548 107.3411 117.1061	107.7854	7.953531	3.556927				
C-HNE							
% Control 89.00226 98.57678 98.25274	Avg	Stdev	SEM				
120.3212	100	12.00876	5.370479				
75.34036 88.14213 81.80681 91.84791			- (0)(0)00				
119.6234	91.35213	17.00961	7.606928				

CB-HNE

%	Avg	Stdev	SEM

Control			
134.7228			
90.22144			
82.48256			
78.26838			
114.3048	100	23.90107	10.68888
72.75657			
128.8882			
122.2757			
76.64967			
57.20455	91.55495	31.98842	14.30566

Figure 6.3 antioxidant enzyme levels at 30 d H1- H1-

H1- catalase	HI- actin			%			
		Ratio	Avg	Control	Avg	Stdev	SEM
H1-							
catalase	H1-actin						
19670	130863	15		147			
26923	101779						
10988	121446	9		88			
7876	118909	7		65			
3926	129694		10		100	42	19
15404	117794	13		128			
11628	118020	10		96			
7911	129505	6		60			
11075	121904	9		89			
5970	118711	5		49	84	31	14

H1- GPx	H1- actin						
				%			
		Ratio	Avg	Control	Avg	Stdev	SEM
H1-	H1-						
GPx	actin						
6792	130863	5		35			
14095	101779	14		94			
27588	121446	23		155			
20139	118909	17		115			
40846	129694		15		100	50	22
14619	117794	12		85			
7767	118020	7		45			
7564	129505	6		40			
20108	121904	16		112			
17300	118711	15		99	76	32	15

0.436519

MnSOD	actin							
				%				
		Ratio	Avg	Control	Avg	Stdev	SEM	
H2-	H2-							
MnSOD	actin							
81463	192625	42		90				
84606	166926	51		108				
97480	186581	52		111				
91883	211039	44		92				
102766	219221	47	47	99	100	9	4	
81019	170587	47		101				
96632	190440	51		108				
84821	188020	45		96				
103873	223648	46		99				
103823	241744	43		91	99	6	3	

H2-

H2-

H3- Hsp-32	H3- actin						
-				%			
		Ratio	Avg	Control	Avg	Stdev	SEM
Н3-	Н3-		_		_		
Hsp-32	actin						
28022	108615	26		97			
22931	106205	22		81			
38787	122781	32		119			
31097	114136	27		103			
50144	120262		27		100	16	7
40857	111974	36		137			
27796	125820	22		83			
36577	133465	27		103			
37600	120378	31		118			
38450	112232	34		129	114	22	10

0.53582

H4- Hsp-70	H4- actin						
F 10				%			
		Ratio	Avg	Control	Avg	Stdev	SEM
H4-	H4-						
Hsp-70	actin						
9626	63828	15		98			
5361	59015	9		59			
10031	66722	15		98			
13620	68227	20		130			
11889	67272	18	15	115	100	26	12
		#DIV/0!					
7358	57312	13		84			
9499	59346	16		104			
13092	71472	18		119			
10346	70949	15		95			
16362	76815	21		139	108	21	10

Figure 6.4 enzyme activities

Catalase activity at 1h

%Control	Avg %Cotrol	STDEV	SEM	
96				
104				
100	100	4	2	
129				
118				
125	124	6	3	0.003198
112				
93				
87				
108	100	12	5	
95				
125				
107	109	15	9	0.42176
92				
109				
96				
103	100	8	3	
115				
125				
109	116	8	5	0.041831

Catalase activity at 30 d

%Control	Avg %Cotrol	STDEV	SEM
101			
101			
96			
101			
101	100	2	1
100			
96			
110			
104			
87	99	8	4
	0.897349		
81			
109			
97			
104			
109	100	12	5
84			
76			
78			
81			_
112	86	15	7
	0.1428		
111			
99			
99			
99			
91	100	7	3
141			
120			
126			
100			
94	116	19	9
	0.118556		

GPx activity at 1h

%Control	Avg %Control	Stdev	SEM	
106				
83				
106				
106	100	11	6	
128				
128				
128	128	0	0	0.008282
72				
111				
107				
111	100	19	9	
150				
130				
120	133	15	9	0.0536
88				
106				
106	100	11	5	
-	-	11	5	
106				
125				
88	106	19	11	0.64333

GPx activity at 30 d

%Control	Avg %Control	Stdev	SEM	
106				
106				
96				
86				
106	100	9	4	
106				
96				
96				
96				
96	98	5	2	0.667
100				
111				
89				
100				
100	100	8	3	
111		-	-	
100				
89				
89				
89	96	10	4	0.455
108				
98				
87				
98				
108	100	9	4	
98				
108				
108				
108				
87	102	9	4	0.724

SOD activities at 1 h

%Control	Avg %Cotrol	STDEV	SEM	
80				
114				
112				
94	100	16	7	
128				
128				
135	130	4	3	0.025484
90				
110				
108				
92	100	10	4	
116				
118				
143	126	15	9	0.040366
88				
88				
107				
116	100	14	6	
133				
116				
123	124	9	5	0.049328

SOD activities at 30d

%Control	Avg	STDEV	SEM
	%Cotrol		
99			
99			
99			
107			
97	100	4	2
97			
99			
93			
92			
104	97	5	2
109			
90			
101			
100			
100	100	7	3
104			-
104			
93			
100			
112	102	7	3
07			
97			
93 07			
97 104			
104	100	5	2
101	100	5	4
106			
89			
87			
97	96	8	3

20hr	Codes	H1- Catalase	H1- Actin	Ratio	Avg	% Control	Avg	Stdev	SEM
с	199	14928	38257	39	.6	92	.0		
с	200	19385	38411	50		119			
c	203	18543	40074	46		109			
c	206	15584	45407	34	43	81	100	17	8
c	207	15935	40413	39		100	100	15	7
	108	10621	20076	51		110			
	201	157021	20586	52		117			
	201	13792	25664	32 40		121			
	202	1/490	27226	49		115	110	2	1
	204	10200	57220	49 51		120	110	5	1
	205	23017	45428	51		129	120	5	Z
						TTEST	0.050943		
1hr									
с	216	19207	42322						
с	217	15667	44542	35		108			
c	220	14967	49775	30		93			
c	223	14943	46553	32	32	99	100	8	4
c	224	32362	42359	76		100	100	6	3
	218	23802	44910	53		163			
	210	26011	42670	61		188			
	219	23504	50064	46		143			
	221	20394	30904 47046	40		145	157	24	10
	222	20401	4/040	43 77		101	137	24 22	12
	223	27931	30310	//		101	140	22	15

Figure 7.3a, 7.4a, 7.5a western blot data- antioxidant levels and HSP levels

Catalase

TTEST 0.029872

GR

		Н3-	Н3-			%			
20hr	Codes	GR	actin	Ratio	Avg	Control	Avg	Stdev	SEM
С	199	33258	32964	101		94			
c	200	35646	32477	110		103			
c	203	43340	29045						
c	206	33658	30558	110	107	103	100	5	2
c	207	34292	40374	85		100	100	4	2
	198	24270	33495	72		68			
	201	27094	29127	93		87			
	202	29722	33659	88		83			
	204	25887	25310	102		96	83	12	6
	205	31824	40712	78		92	85	11	5
						TTEST	0.0355		
с	216	27321	18087	151		91			
c	217	35469	19713	180		109			
c	220	32238	19469	166		100			
c	223	21756	13174	165	165	100	100	7	4
c	224	29376	26663	110		100	100	6	3
	218	21546	18258	118		71			
	219	23008	19660	117		71			
	221	29361	26895	109		66			
	222	29950	26933	111		67	69	3	1
	225	22581	24194	93		85	72	7	3

TTEST 0.0002

		GPx						
	Н3-	Н3-			%			
20hr	GPx	actin	Ratio	Avg	Control	Avg	Stdev	SEM
	11290	32964	34		66			
	20523	32477	63		122			
	23162	39045	59		115			
	15314	30558	50	52	97	100	25	12
	40374	40374	100		100	100	22	10
	19854	33495	59		115			
	21151	27127	78		151			
	24946	30659	81		157			
	14953	25310	59		114	134	23	12
	48121	40012	120		120	131	21	9
								0.047
1hr	I							
	4296	18087						
	6288	9713	65		102			
	11125	19469	57		90			
	9007	13174	68	63	108	100	9	5
	26663	26663	100		100	100	7	3
	15570	18258	85		134			
	8163	9660	85		133			
	11960	17895	67		105			
	13861	16933	82		129	126	14	7
	24194	24194	100		100	120	16	7
								0.039

Hsp-32

	Н5-	H5-Hsp-32-			%			
	Hsp-32	actin	Ratio	Avg	Control	Avg	Stdev	SEM
199	10059	28491	35	_	79	-		
200	13441	25957	52		116			
203	12100	27988	43		97			
206	13163	27610	48	44	107	100	16	8
207	14977	34776	43		100	100	14	6
198	11886	32728	36		82			
201	6788	20216	34		75			
202	8887	29757	30		67			
204	13402	37733	36		80	76	6	3
205	11251	37791	30		69	75	6	3
					TTEST	0.0056		
216	11167	30369	37		116			
217	11121	40483	27		86			
220	11753	41532	28		89			
223	13356	38477	35	32	109	100	15	7
224	15059	43345	35	31	100	100	10	5
218	9125	43973	21		65			
219	7388	39392	19		59			
221	13205	43174	31		96			
222	11159	38509	29		91	78	18	9
225	14060	48145	29		84	79	17	8

TTEST 0.0526

117	H7- Hsp-			0/			
H/-	70-	D-4-		% C 4 1	A	C4.J	CEM
Hsp-70	actin	Katio	Avg	Control	Avg	Stdev	SEM
4669	27940	17		100			
6472	42600	15		91			
8831	44079	20		120			
5792	38394	15	17	90	100	14	7
10648	19484	55		100	100	12	5
4691	44506	11		63			
5799	40041	14		86			
6431	42894	15		89			
7183	45798	16		94	83	14	7
7131	19892	36		66	80	14	6
			TTEST	0.039847			
5456	27872	20		102			
4623	33931	14		71			
6917	31024	22		116			
5555	25852	21	19	112	100	20	10
7909	22833	35		100	100	18	8
6043	37004	16		85			
4357	35381	12		64			
5051	23296	22		113			
5895	25686	23		119	95	26	13
6405	18300	35		101	96	22	10
			TTEST	0 781933	~ ~		- •

H-2-GR	H-2- actin	Ratio	Ανσ	% Control	Ανσ	Stdev	SEM
11 2 010	uotini	itutio	11,8	control	1108	Study	5EM
33083	26839	123					
27868	20566	136		123			
27374	32726	84		76			
27344	26131	105		95			
41734	37510	111		101			
41710	39238	106		96			
34116	28376	120		109			
44094	21272		110		100	16	6
29218	19029	154		139			
31437	23302	135		122			
33345	26343	127		115			
25483	22147	115		104			
34177	28920	118		107			
39114	36281	108		98			
33421	23302	143		130			
34320	21028	163		148	120	18	6

30nm- 30d western blot data- antioxidant levels and HSP levels

Н-2-	Н-2-			%			
GPx	actin	Ratio	Avg	Control	Avg	Stdev	SEM
10141	26839	38					
19430	20566	94		107			
36011	32726	110		124			
17984	26131	69		78			
20818	27510	76		86			
36603	39238	93		105			
25102	28376	88		100			
35287	21272		88		100	17	6
42960	29029	148		167			
45420	33302	136		154			
25621	26343	97		110			
22843	22147	103		117			
29781	28920	103		116			
43039	36281	119		134			
29266	23302	126		142			
21751	21028	103		117	132	21	7
					0.009038		

H-3- catalase	H-3- actin	Ratio	Avg	% Control	Avg	Stdev	SEM
24964	66890	37					
17247	59313	29		87			
17917	55328	32		97			
20332	66801	30		91			
21747	62742	35		104			
25387	57725	44		132			
23842	66560	36		107			
18691	67562	28	33	83	100	16	6
20109	59565	34		101			
23821	72268	33		99			
30866	66330	47		139			
21517	64175	34		100			
31732	59959	53		158			
24870	47017	53		158			
21512	34274	63		188			
25155	39959	63		188	141	38	13

H-3- Hsp- 32	H-3- actin	Ratio	Avg	% Control	Avg	Stdev	SEM
15120	66890	23					
15578	59313	26		90			
19970	65328	31		105			
16297	66801	24		84			
22153	69742	32		109			
21213	69725	30		105			
21132	66560	32		109			
19232	67562	28	29	98	100	10	4
19598	59565	33		113			
19506	60268	32		111			
21996	66330	33		114			
19632	61175	32		110			
19078	53959	35		122			
21059	57017	37		127			
21606	54274	40		137			

7851 19979 39 135 121 11	51 1997) 39	135	121	11
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0.001615
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H-4- Hsp-	H-4-			%			
70	actin	Ratio	Avg	Control	Avg	Stdev	SEM
2969	31558	9					
5562	49740	11		101			
4563	37606	12		110			
5778	42027	14		125			
4108	39522	10		94			
2067	26267	8		71			
2234	20518	11		99			
4152	21532		11		100	18	7
5689	45097	13		114			
4631	36545	13		115			
4226	31020	14		123			
5126	40319	13		115			
4655	35785	13		118			
5198	21886	24		215			
2677	16348	16		148			
2968	20506	14		131	135	34	12

	H-1-1 catalase	H-1-1- actin	Normalized ratio	Avg			Stdev	SEM	
1dc	21762	34858	81		105				
1dc	25230	40256	81		106				
1dc	33046	43472	68	77	89	100	10	6	
1d	22494	33718	86		113				
1d	20143	31519	83		108				
1 d	43168	53930	72	80	94	105	10	6	0.58808
1wc	14852	24141	80		93				
1wc	21697	23849	118		138				
1wc	32067	48353	59	86	69	100	35	20	
1 w	21136	27506	99		116				
1 w	32322	37540	111		130				
1w	36104	43382	75	95	87	111	22	13	0.660974
1mc	23773	26841	115		116				
1mc	10409	15822	85		86				
1mc	37970	35367	96	99	98	100	15	9	
1m	26351	26730	128		129				
1m	19682	19633	130		131				
1m	41900	45424	119	126	121	127	6	3	0.042585
3mc	11061	13984	102		131				
3mc	17845	25030	92		118				
3mc	38958	47191	74		95				
3mc	32964	44334	67		86				
3mc	25873	33119	70		90				
3mc	21860	31768	62	78	79	100	20	8	
3m	17298	18634	120		154				
3m	13410	21940	79		102				
3m	46125	37445	110		142				
3m	37995	42820	80		102				
3m	21436	30365	63		81				
3m	18660	33593	50		64				
3m	21005	30778	61	81	79	103	33	13	0.831894

30nm-90d western blot data- antioxidant levels and HSP levels H-1-1 H-1-1- Normalized

	H-1-1- Hsp-32	H-1-1- actin	Normalized ratio	Avg			Stdev	SEM	
1dc	29175	34858	63		97				
1dc	42725	44256	73		112				
1dc	24701	43472	59	65	90	100	7	4	
1d	40086	33718	90		138				
1d	42293	31519	102		156				
1 d	43102	53930	83	92	127	140	9	5	0.018671
1wc	34349	24141	108		102				
1wc	35294	23849	112		107				
1wc	44695	48353	96	105	91	100	8	5	
1w	46553	27506	128		122				
1w	46066	27540	127		120				
1w	50099	43382	120	125	114	119	5	3	0.023561
1mc	28856	26841	81		100				
1mc	15941	15822	76		94				
1mc	51173	45367	85	81	105	100	5	3	
1m	35273	26730	100		123				
1m	22696	15633	110		136				
1m	49084	49424	103	104	127	129	5	3	0.004255
3mc	19560	13984	106		96				
3mc	38131	25030	115		104				
3mc	50783	47191	112		101				
3mc	47198	44334	110		100				
3mc	39820	33119	125		112				
3mc	29707	31768	97	111	87	100	9	4	
3m	17334	18634	70		64				
3m	30257	21940	105		94				
3m	56415	47445	123		111				
3m	42872	42820	104		94				
3m	35955	32365	115		104				
3m	40063	34593	120		108				
3m	35955	32778	114	107	103	97	18	7	0.671076
	H-2-1- Hsp-70	H-2-1- actin	Normalized ratio	Avg			Stdev	SEM	
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1dc	1632	31815	72		92				
1dc	2287	42450	76		97				
1dc	1949	28017	88	79	111	100	10	6	
1 d	2446	36724	94		119				
1d	1927	42621	64		81				
1d	2279	28575	101	86	128	109	25	14	0.575769
1wc	2647	41727	90		103				
1wc	1138	27580	58		67				
1wc	2171	24110	114	87	130	100	32	18	
1w	2783	34777	113		130				
1w	2539	35534	101		116				
1w	2558	38915	83	99	95	114	17	10	0.553244
1mc	2902	35595	115		131				
1mc	1723	30856	79		89				
1mc	2248	40271	70	88	80	100	27	16	
1m	2412	39939	85		97				
1m	2387	34456	98		111				
1m	3428	37923	128	104	145	118	25	14	0.453024
3mc	2331	41283	80		101				
3mc	2676	44052	86		109				
3mc	2127	44714	60		76				
3mc	2468	35959	87		110				
3mc	3000	50045	76		96				
3mc	2434	36438	84	79	107	100	13	5	
3m	2134	36381	83		105				
3m	1918	33652	80		102				
3m	2291	42142	69		87				
3m	2284	37399	77		98				
3m	2950	39611	94		119				
3m	2102	34382	77		98				
3m	1334	47213	36	74	45	94	23	9	0.566166

	H-2-1- GPx	H-2-1- actin	Normalized ratio	Avg			Stdev	SEM	
1dc	13844	31815	49		109				
1dc	9590	42450	25		57				
1dc	17123	28017	60	45	134	100	18	10	
1d	15011	36724	46		103				
1d	15646	42621	41		92				
1 d	20934	28575	72	53	161	118	16	10	0.586023
1wc	6650	41727	18		42				
1wc	9041	27580	37		86				
1wc	18267	24110	74	43	173	100	29	17	
1w	25125	34777	81		189				
1w	15781	35534	50		116				
1w	11152	38915	28	53	65	123	27	15	0.681157
1mc	15665	35595	49		102				
1mc	16899	30856	61		127				
1mc	14308	40271	35	48	72	100	13	8	
1m	20973	39939	59		121				
1m	12846	34456	42		86				
1m	19097	37923	49	50	102	103	9	5	0.878345
3mc	25987	41283	62		104				
3mc	16142	44052	36		61				
3mc	19442	44714	43		72				
3mc	28768	35959	78		132				
3mc	29270	50045	57		97				
3mc	29579	36438	79	59	134	100	18	7	
3m	25184	36381	68		114				
3m	24863	33652	72		122				
3m	33027	42142	77		130				
3m	25200	37399	66		111				
3m	32496	39611	80		136				
3m	23144	34382	66		111				
3m	23389	41213	56	69	94	117	8	3	0.208601

	H-3-1- GR	H-3-1- actin	Normalized ratio	Avg			Stdev	SEM	
1dc	113799	15566	112		104				
1dc	109647	16934	99		92				
1dc	67516	33766	112	108	104	100	7	4	
1d	108693	15174	110		102				
1d	92973	13550	105		97				
1 d	82482	37854	122	112	114	104	8	5	0.537041
1wc	104577	19243	83		111				
1wc	86084	19603	67		89				
1wc	66552	46821		75		100	15	9	
1 w	89815	15324	90		119				
1 w	97441	13183	113		150				
1w	69514	43100	91	98	121	130	18	10	0.144608
1mc	102412	13587	115		121				
1mc	98470	15744	96		101				
1mc	54874	41443	74	95	78	100	22	12	
1m	101519	15961	97		102				
1m	107597	17761	93		97				
1m	72377	39430	103	98	108	103	5	3	0.843167
3mc	76842	48167	90		86				
3mc	95886	46047	117		112				
3mc	77152	42386	102		98				
3mc	82273	42043	110		105				
3mc	80615	44295	102		98				
3mc	75505	39677	107	105	102	100	9	4	
3m	75847	30867	138		132				
3m	74341	36240	115		110				
3m	88186	47780	104		99				
3m	80396	45155	100		96				
3m	76353	41196	104		99				
3m	76366	40511	106		101				
3m	77710	37647	116	112	111	107	12	5	0.28211

30nm	Catalase	Avg	%Control	Avg	STDEV	SEM	
1-20h	activity			%Cotrol			
216	14.04		109				
217	11.60		90				
220	11.11		87				
223	13.55		106				
224	13.88	12.84	108	100	11	5	
218	22.65		176				
219	14.36		112				
221	13.88		108				
222	20.86		163				
225	14.69		114	135	32	14	0.051775
199	12.41		120				
200	9.16		89				
203	9.33		90				
206	10.14		98				
207	10.63	10.33	103	100	13	6	
198	13.55		131				
201	10.46		101				
202	12.90		125				
204	12.90		125				
205	11.76		114	119	12	5	0.038111

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- H1	$\sigma ure / 43$	/4h / h	h / h antiov	udant enzum	A ACTIVITIES
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	0 .	, ,	/	2	

30nm 30 days	Catalase activity	Avg	%Control	Avg %Cotrol	STDEV	SEM
209	12.58		88			
225	15.66		110			
227	13.39		94			
228	14.69		103			
231	13.88		98			
233	15.34		108			
235	14.04	14.22	99	100	8	3
210	12.90		91			
211	12.09		85			
212	13.06		92			
213	12.90		91			
214	12.41		87			
230	11.60		82			
232	11.93		84			
234	12.74		90	87	4	2
				0.001278		

	30nm 90	Catalase	Avg	%Control	Avg	STDEV	SEM	
	days	activity			%Cotrol			
1dc	260	13.39		102				
1dc	261	13.06		100				
1dc	270	12.74	13.06	98	100	2	1	
1d	259	11.93		91				
1d	271	11.76		90				
1d	272	11.60		89	90	1	1	0.003448
1wc	268	12.09		98				
1wc	269	13.39		109				
1wc	281	11.52	12.33	93	100	8	4	
1 w	278	10.63		86				
1 w	279	10.30		84				
1w	280	8.03		65	78	11	7	0.053226
1mc	263	15.18		101				
1mc	265	14.20		95				
1mc	282	15.53	14.97	104	100	5	3	
1m	264	13.71		92				
1m	266	13.39		89				
1m	267	13.55		91	91	1	1	0.025606
3mc	246	13.06		89				
3mc	248	14.85		101				
3mc	251	14.85		101				
3mc	254	16.47		112				
3mc	256	15.01		102				
3mc	258	14.04	14.71	95	100	8	3	
3m	247	15.01		102				
3m	249	18.59		126				
3m	250	16.80		114				
3m	252	15.66		106				
3m	253	15.66		106				
3m	255	18.42		125				
3m	257	16.15		110	113	10	4	0.022437

Hippo	
30nm	GPx
1-20h	activity

		Avg	%Control	Avg	Stdev	SEM
				%Control		
216	25.47		88			
217	33.96		118			
220	25.47		88			
223	25.47		88			
224	33.96	28.87	118	100	16	7
218	36.38		126			
219	36.38		126			
221	36.38		126			
222	33.96		118			
225	33.96		118	123	5	2
				0.016355		
199	25.47		115			
200	16.98		77			
203	25.47		115			
206	16.98		77			
207	25.47	22.07	115	100	21	9
198	8.49					
201	25.47		115			
202	25.47		115			
204	33.96		154			
205	33.96		154	135	22	10
				0.047945		

Hippo 30nm GPx 30 days activity

		Avg	%Control	Avg	Stdev	SEM
				%Control		
209	25		98			
225	17		65			
227	28		107			
228	25		98			
231	25		98			
233	36		137			
235	25	26	98	100	21	8
210	15		59			
211	15		59			
212	15		59			
213	25		98			
214	23		88			
230	25		98			
232	25		98			
234	18		68	78	19	7
				0.053098		

	Hippo 30nm 90	GPx						
	days	activity						
			Avg	%Control	Avg %Control	Stdev	SEM	
1dc	260	38		93				
1dc	261	38		93				
1dc	270	47	41	114	100	12	7	
1d	259	25		62				
1d	271	34		83				
1d	272	30		72	72	10	6	0.039021
1wc	268	47		106				
1wc	269	47		106				
1wc	281	38	44	87	100	11	6	
1 w	278	21		48				
1w	279	21		48				
1w	280	38		87	61	22	13	0.055041
1 mc	263	38		100				
1mc	265	38		100				
1mc	282	38	38	100	100	0	0	
1m	264	30		78				
1m	266	25		67				
1m	267	30		78	74	6	4	0.002192
3mc	246	25		66				
3mc	248	42		113				
3mc	251	42		113				
3mc	254	30		81 112				
2	250	42	27	113	100	21	0	
3mc	258	42	37	113	100	21	9	
3m	247	47		125				
3m	249	42		113				
3m	250	30		79				
3m	252	30		79				
3m	253	30		79				
3m	255	30		79				
3m	257	30		79	91	20	7	0.425164

30nm 1-20h	GR activity nmol/min/ml	Avg	% Control	Avg	Stdev	SEM
216	110		123			
217	84		94			
220	97		109			
223	84		94			
224	71	89	80	100	16	7
218	97		109			
219	122		137			
221	59		66			
222	84		94			
225	71		80	97	27	12
199	84		86			
200	84		86			
203	110		113			
206	97		99			
207	112	97	115	100	14	6
198	102		105			
201	88		91			
202	88		91			
204	97		99			
205	84		86	94	7	3
				0.4485	15	

30nm 30 days	GR activity nmol/min/ml	Avg	% Control	Avg	Stdev	SEM
209	97		98			
225	84		85			
227	110		111			
228	110		111			
231	110		111			
233						
235	84	99	85	100	13	5
210	148		149			
211	122		124			
212	135		136			
213	88		89			
214	102		103			
230	122		124			
232	109		110			
234	122	119	124	120	19	6

0.047001

	GR activity	Avg	% Control	Avg	Stdev	SEM	
	nmol/min/ml			U			
1.d.a	71		02				
1dc	/ I 71		93				
1dc	88	77	115	100	13	7	
1d	84		109	100	19	,	
1d	97		126				
1d	71		93	109	17	10	0.488251
1wc	84		105				
1wc	71		89				
1wc	84	80	105	100	9	5	
1w	71		89				
1w	71		89				
1w	71		89	89	0	0	0.116117
1mc	84		111				
1mc	71		94				
1mc	71	76	94	100	10	6	
1m	71		94				
1m	97		128				
1m	97		128	117	19	11	0.250815
3mc	110		124				
3mc	84		95				
3mc	97		110				
3mc	59		66				
3mc	71		81				
3mc	110	88	124	100	24	10	
3m	84		95				
3m	84		95				
3m	110		124				
3m	97		110				
3m	97		110				
3m	71		81				
3m	97		110	103	14	5	

Hippo- PC 1h	% Control	Avg	Stdev	SEM	Hippo- PC-20h	% Control		Avg	Avgavg
216	103				199	96			
217	104				200	102			
220	95				203	103			
223	98				206	94			
224	101	100	4	2	207	105	100	5	2
218					198	90			
219	78				201	96			
221	77				202	96			
222	94				204	79			
225	98	87	11	5	205	65	85	13	6
	TTEST	0.037				TTEST	0.0501		
Hippo- 3NT	% Control	Avg	Stdev	SEM	Hippo- 3NT	% Control	Avg	Stdev	SEM
216	99				199	84			
217	104				200	88			
220	95				203	103			
223	104				206	112			
224	99	100	4	2	207	113	100	13	6
218	92				198	90			
219	96				201	86			

TTEST

0.049

TTEST

0.0435

Hippo- HNE	% Contro l	Avg	Stdev	SEM	Hippo- HNE	% Contro l	Avg	Stdev	SEM
216	93				199	102			
217	101				200	117			
220	112				203	99			
223	97					206	83		
224	96	100	7	3	207	99	100	12	5
218	105				198	98			
219	99				201	93			
221		99				202	91		
222		67				204	81		
225	25123	75	89	17	8	205	74	87	10
		TTEST	0.212				TTEST	0.1018	

Hippo-PC

PC 30nm- 30d	% Control	Avg	Stdev	SEM
C	126			
C	120			
C	113			
c	11/			
c	125			
c	80			
c	61			
c	78	100	26	10

143 128 159 114			
147 121			
129	134	16	6
	0.012216		

Hippo-3NT		30nm-30d	
% Control	Avg	Stdev	SEM
99			
82			
92			
90			
126			
110	100	16	6
126			
118			
99			
126			
124			
119			
151			
128	124	14	5

0.011772

1.702703

Hippo-HNE			
Control	Avg	Stdev	SEM
115			
88			
65			
108			
117			
106	100	20	8
107			
106			
119			
152			
128			
127			
113			
126	122	15	5

0.032935

H-PC

	%Control	Avg	Std	SEM	TTEST
1dc	100				
1dc	92				
1dc	108	100	8	5	
1d	126				
1d	129				
1 d	119	125	5	3	0.010266
1wc	89				
1wc	121				
1wc	89	100	19	11	
1w	149				
1 w	137				
1w	129	138	10	6	0.033998
1mc	85				
1mc	93				
1mc	122	100	19	11	
1m	135				
1m	128				
1m	142	135	7	4	0.041428
2	107				
3mc	107				
3mc	98				
3mc	93				
3mc	112				
3mc	87				
3mc	104	100	9	4	
3m	82				
3m	100				
3m	76				
3m	62				
3m	81				
3m	102				
3m	90	85	14	5	0.045624

H-3NT	%Control	Avg	Std	SEM	TTEST
1dc	104	-			
1dc	102				
1dc	94	100	5	3	
1d	111				
1d	116				
1 d	115	114	3	2	0.016201
1wc	105				
1wc	96				
1wc	98	100	5	3	
1w	104				
1w	101				
1w	92	99	6	4	0.836039
1mc	100				
1mc	97				
1mc	102	100	3	2	
1m	108				
1m	110				
1m	116	111	4	2	0.017827
3mc	105				
3mc	100				
3mc	110				
3mc	107				
3mc	93				
3mc	84	100	10	4	
3m	97				
3m	89				
3m	87				
3m	85				
3m	89				
3m	83				
3m	88	88	5	2	0.015865

H-					
HNE	%Control	Avg	Std	SEM	TTEST
1dc	94				
1dc	101				
1dc	105	100	6	3	
1 d	101				
1 d	96				
1d	111	103	8	5	0.68127
1wc	93				
1wc	99				
1wc	109	100	8	5	
1w	100				
1w	103				
1w	108	104	4	2	0.520642
1mc	102				
1mc	100				
1mc	98	100	2	1	
1m	108				
1m	111				
1m	111	110	2	1	0.003182
3mc	89				
3mc	104				
3mc	102				
3mc	102				
3mc	106				
3mc	97	100	6	3	
3m	97				
3m	85				
3m	95				
3m	94				
3m	97				
3m	100				
3m	96	95	5	2	0.132053

1h-20h Caspase- 1- 20h	actin	Ratio	Avg	% Control	Avg	Stdev	SEM
1675	38992	0.042958		96			
2707	50631	0.053462		120			
2393	52814	0.045302		101			
1494	40299	0.037073	0.044699	83	100	15	8
3019	49885	0.060519		135			
2486	39947	0.062243		139			
2312	46658	0.049559		111			
2005	48707	0.041172		92	119	22	11
					0.519571		
1895	35058	0.054053		78			
2786	38189	0.072954		105			
2959	39491	0.074937		108			
3140	41075	0.076455	0.0696	110	100	15	8
3287	38654	0.085036		122			
3254	34572	0.094108		135			
3193	38724	0.082462		118			
2930	41400	0.070783		102	119	14	7
					0.10613		

Caspase, IL-1beta, TNF and LC-3AB data

1d- 7d Caspase- 1-				%			
20h	actin	Ratio	Avg	Control	Avg	Stdev	SEM
26202	5817	4.504453		107			
30439	7178	4.240372		101			
25582	6603	3.874365	4.206397	92	100	8	4
25778	5999	4.296842		102			
29044	7643	3.800292		90			
30543	7573	4.033243		96	96	6	3
22902	19435	1 178403		113			
20061	21331	0.940461		90			
25297	24915	1.015334	1.044733	97	100	12	7
21635	25768	0.83961		80			
25427	22446	1.132815		108			
18657	22461	0.83064		80	89	16	10
					0.415384		

		30d-90d Caspase- 1- 20h	actin	Ratio	Avg	% Control	Avg	Stdev	SEM
30d C	209	29829	9895	3.014359		96			
30d C	225	33815	9124	3.706342		118			
30d C	227	31929	9271	3.443959		109			
30d C	228	32649	11518	2.834577		90			
30d C	231	28990	10492	2.762978	3.152443	88	100	13	6
	210	30130	8218	3.666235		116			
	211	39160	9733	4.023479		128			
	212	38131	10382	3.672753		117			
	213	34130	8441	4.04346		128			
	214	39285	8129	4.832478		153			
	230	27578	10930	2.523133			128	15	6
							0.012628		
90d C	246	25438	20253	1.256011		109			
90d C	248	31759	28010	1.133876		98			
90d C	251	28357	26831	1.056875		92			
90d C	254	33308	24282	1.371741		119			
90d C	256	21132	22189	0.952351	1.154171	83	100	14	6
	247	31821	25291	1.258183		109			
	249	32004	23293	1.373964		119			
	250	30173	21843	1.381371		120			
	252	28559	25430	1.123066		97			
	253	28835	31788	0.907103		79			
	255	27630	22583	1.223469		106	105	15	6

				%			
IL-1B	actin	Ratio	Avg	Control	Avg	Stdev	SEM
13890	24786	0.560399		117			
9565	21619	0.442426		93			
10640	30793	0.345533		72			
13851	24749	0.559655	0.477003	117	100	22	11
22147	42987	0.515212		108			
9535	21191	0.449961		94			
1324	34651	0.038215					
8278	28599	0.289452		61	88	24	12
					0.446916		
11273	15363	0.733793		103			
13611	23292	0.584358		82			
12939	19770	0.654467		92			
15099	17247	0.875462	0.71202	123	100	18	9
14726	24415	0.603162		85			
13626	19484	0.699363		98			
9948	18939	0.52526		74			
14917	25926	0.575356		81	84	10	5
					0.175264		

				%			
IL-1B	actin	Ratio	Avg	Control	Avg	Stdev	SEM
28591	13850	2.064267		90			
32641	14744	2.213825		97			
34061	13276	2.565592	2.281228	112	100	11	7
29969	11468	2.613142		115			
36302	11109	3.26767		143			
33245	9473	3.509525		154	137	20	12
					0.05022		
22852	11262	2.02913		87			
23406	10003	2.339798		100			
28929	10874	2.660318	2.343082	114	100	13	8

				%			
IL-1B	actin	Ratio	Avg	Control	Avg	Stdev	SEM
13890	24786	0.560399		117			
9565	21619	0.442426		93			
10640	30793	0.345533		72			
13851	24749	0.559655	0.477003	117	100	22	11
22147	42987	0.515212		108			
9535	21191	0.449961		94			
1324	34651	0.038215					
8278	28599	0.289452		61	88	24	12
					0.446916		
11273	15363	0.733793		103			
13611	23292	0.584358		82			
12939	19770	0.654467		92			
15099	17247	0.875462	0.71202	123	100	18	9
14726	24415	0.603162		85			
13626	19484	0.699363		98			
9948	18939	0.52526		74			
14917	25926	0.575356		81	84	10	5
					0.175264		

IL-1B	actin	Ratio	Avg	% Control	Avg	Stdev	SEM
28591	13850	2.064267		90			
32641	14744	2.213825		97			
34061	13276	2.565592	2.281228	112	100	11	7
29969	11468	2.613142		115			
36302	11109	3.26767		143			
33245	9473	3.509525		154	137	20	12
					0.05022		
22852	11262	2 02913		87			
23406	10003	2.339798		100			
28929	10874	2.660318	2.343082	114	100	13	8
28955	11046	2.621283		112			
27860	12405	2.245818		96			
26749	10060	2.658828		113	107	10	6
					0.502512		

				%			
IL-1B	actin	Ratio	Avg	Control	Avg	Stdev	SEM
33151	10060						
21(50	0247	2 2 4 1 4 2 1		0(
21650	9247	2.341421		90			
21433	9080	2.360593		97			
23267	9092	2.559063		105			
24206	9849	2.457669	2.429686	101	100	4	2
36416	9466	3.847052		158			
34382	9650	3.56275		147			
35201	10518	3.346739		138			
28778	9822	2.930102		121			
13928	8919	1.561626					
23708	8408	2.819819		116	136	18	7
					0.005729		
37548	13554	2.770204		97			
35950	12697	2.831521		99			
35861	11441	3.134454		110			
22892	10725	2.134462		75			
29994	8908	3.367071	2.847542	118	100	16	7
38924	11429	3.405639		120			
14328	10396	1.37826					
23843	10354	2.302882		81			
37385	10367	3.606143		127			
17967	7722	2.326693		82			
26095	7419	3.51754		124	106	23	9

TNF	actin	Ratio	Avg	% Control	Avg	Stdev	SEM
			8	0010101	8	Statt	02112
7654	26485	0.288994		108			
5853	24584	0.238067		89			
5420	21440	0.252777		95			
5527	19223	0.28752	0.26684	108	100	10	5
5949	30688	0.193837		73			
5138	27652	0.185826		70			
5871	26699	0.219902		82			
5096	28392	0.179495		67	73	7	3
					0.003524		
3789	20072	0.18875		77			
4497	22027	0.204158		84			
8425	29047	0.290043		119			
8803	29905	0.294379	0.244332	120	100	23	11
4955	19684	0.251714		103			
3225	21036	0.153296		63			
3475	22704	0.153035		63			
4945	25769	0.191913		79	77	19	10
					0.168244		

		%								
TNF	actin	Ratio	Avg	Control	Avg	Stdev	SEM			
5848	24596	0.237751		117						
5202	26955	0.192982		95						
4772	26681	0.178844	0.203193	88	100	15	9			
8748	23828	0.367144								
4435	26012	0.170482		84						
5836	25349	0.230226		113	99	21	12			
15516	32558	0.476556		113						
11381	30912	0.36817		87						
14530	34443	0.421851	0.422192	100	100	13	7			
15394	31554	0.487871		116						
14024	31131	0.450473		107						
11744	36604	0.320834		76	99	21	12			

	%								
actin	Ratio	Avg	Control	Avg	Stdev	SEM			
26990	0.158878		71						
19119	0.326205		146						
19281	0.19124		86						
20365	0.300931		135						
20309	0.137862	0.223023	62	100	38	17			
24605	0.088598		40						
23698	0.165566		74						
22641	0.185125		83						
21735	0.196272		88						
19618	0.3251								
17646	0.206947		93	76	21	9			
33749	0 414026		81						
38006	0.411409		81						
41479	0.372577		73						
26611	0.692328		136						
26437	0.65144	0.508356	128	100	30	13			
37267	0.382866		75			-			
43169	0.191626		38						
44637	0.547324		108						
33970	0.449915		89						
29877	0.494126		97						
21185	0.739184		145	92	36	15			
	actin 26990 19119 19281 20365 20309 24605 23698 22641 21735 19618 17646 33749 38006 41479 26611 26437 37267 43169 44637 33970 29877 21185	actinRatio269900.158878191190.326205192810.19124203650.300931203090.137862246050.088598236980.165566226410.185125217350.196272196180.3251176460.206947337490.414026380060.411409414790.372577266110.692328264370.65144372670.382866431690.191626446370.547324339700.449915298770.494126211850.739184	actinRatioAvg 26990 0.158878 19119 0.326205 19281 0.19124 20365 0.300931 20309 0.137862 20309 0.137862 226405 0.088598 23698 0.165566 22641 0.185125 21735 0.196272 19618 0.3251 17646 0.206947 33749 0.414026 38006 0.411409 41479 0.372577 26611 0.692328 26437 0.65144 0.508356 37267 0.382866 43169 0.191626 44637 0.547324 33970 0.494126 29877 0.494126 21185 0.739184	actinRatioAvg $%$ Control26990 0.158878 7119119 0.326205 14619281 0.19124 8620365 0.300931 13520309 0.137862 0.223023 6224605 0.088598 4023698 0.165566 7422641 0.185125 8321735 0.196272 8819618 0.3251 9333749 0.414026 8138006 0.411409 8141479 0.372577 7326611 0.692328 13626437 0.65144 0.508356 12837267 0.382866 7543169 0.191626 3844637 0.547324 10833970 0.494126 9721185 0.739184 145	actinRatioAvg $\stackrel{96}{\text{Control}}$ Avg269900.15887871191190.326205146192810.1912486203650.300931135203090.1378620.22302362100246050.08859840236980.16556674226410.18512583217350.19627288196180.325176337490.41402681380060.41140981414790.37257773266110.692328136264370.651440.508356128100372670.38286675431690.19162638446370.547324108339700.44991589298770.49412697211850.739184145	actinRatioAvg $\stackrel{96}{\text{Control}}$ AvgStdev26990 0.158878 7119119 0.326205 14619281 0.19124 8620365 0.300931 13520309 0.137862 0.223023 62 10024605 0.088598 4023698 0.165566 7422641 0.185125 8321735 0.196272 8819618 0.3251 7617646 0.206947 93762133749 0.414026 8138006 0.411409 8141479 0.372577 7326611 0.692328 13626437 0.65144 0.508356 1281003037267 0.382866 7543169 0.191626 3844637 0.547324 10833970 0.449915 8929877 0.494126 9721185 0.739184 14592			

				%			
LC-3	actin	Ratio	Avg	Control	Avg	Stdev	SEM
117260	37652	3.114308		120			
98561	37922	2.599052		100			
100158	35767	2.800323		107			
76871	40317	1.906666	2.605087	73	100	20	10
110951	34521	3.214027		123			
104369	33605	3.105736		119			
78791	36179	2.177778		84			
72595	37930	1.913951		73	100	25	13
88113	39901	2.208278		87			
87676	38778	2.260983		89			
102008	37645	2.709746		107			
112218	37734	2.973935	2.538235	117	100	14	7
88471	40173	2.202253		87			
112048	36152	3.099363		122			
87261	39772	2.194047		86			
99310	37976	2.61509		103	100	17	8

				%			
LC-3	actin	Ratio	Avg	Control	Avg	Stdev	SEM
55534	25391	2.187138		91			
66982	26750	2.504016		104			
70844	27828	2.545786	2.412313	106	100	8	5
50457	25463	1.98161		82			
70318	28795	2.442034		101			
72514	28522	2.542423		105	96	12	7
89504	22613	3.958158		98			
85866	24804	3.461765		86			
130141	27980	4.651209	4.023711	116	100	15	9
102285	20496	4.990466		124			
132599	23949	5.536847		138			
125783	25925	4.851792		121	127	9	5
					0.052244		

	a a t i-	Datio	A - 1 - 2	% Control	A	C4 Jan	CEM
LC-3	acun	Katio	Avg	Control	Avg	Staev	SEM
68398	29027	2.356367		98			
75630	30242	2.500823		104			
77250	33005	2.34058		97			
68316	30083	2.270928		94			
74331	28467	2.611116	2.415963	108	100	6	3
75226	26989	2.7873		115			
72948	23789	3.06646		127			
69009	23461	2.941448		122			
60497	22330	2.709219		112			
72226	26868	2.688168		111			
77475	26288	2.947164		122	118	6	3
					0.000729		
142052	21667	6.556126		115			
132849	23789	5.584601		98			
116759	23207	5.031186		88			
94796	17667	5.365719		94			
92201	15511	5.944285	5.696384	104	100	10	5
133505	25013	5.337411		94			
129169	26110	4.947164		87			
112272	26140	4.295091		75			
95496	23364	4.087251		72			
95948	20566	4.665378		82			
93666	16817	5.569701		98	85	10	4
					0.034094		

Ceria nano H-PC %	prod PC, 3	NT and	HNE at 1 h H-3NT %	L		H-HNE %		
Control	Avg	SEM	Control	Avg	SEM	Control	Avg	SEM
104			73			97		
119			86			105		
96			120			96		
81			140			102		
100	100	6	82	100	13	100	100	2
50			115			98		
55			95			88		
72			131			113		
95			75			82		
95	73	9	71	97	11	103	97	5
	0.045879							

Ceria nanorod PC, 3NT and HNE at 30d

H-PC %			H-3NT %			H-HNE %		
Control	Avg	SEM	Control	Avg	SEM	Control	Avg	SEM
108			78			103		
115			78			114		
74			125			98		
74			119			79		
130	100	11		100	11	106	100	6
133			64			117		
116			105			105		
120			109			106		
153			145			83		
159	136	9	177	120	19	99	102	6
	0.034014							

	Codes	H1- catalase	H1- actin			0/2			
1 h				Ratio	Avg	Control	Avg	Stdev	SEM
С	300	25791	104906	25		101			
С	302	23834	94695	25		103			
С	304	21704	88502	25		100			
С	306	27861	111602	25		102			
С	308	24788	107684	23	24	94	100	3	2
	301	18529	85905	22		88			
	303	22402	105374	21		87			
	305	26600	86898	31		125			
	307	23498	105424	22		91			
	309	21175	70720	30		122	103	19	9
							0.758464		

	Codes	H1- catalase	H1- actin						
30 d				Ratio	Avg	% Control	Avg	Stdev	SEM
С	310	48843	87740	56		106			
С	312	59617	110974	54		102			
С	314	55210	104871	53		100			
С	316	60859	118461	51		98			
С	318	44771	90269	50	53	94	100	4	2
	311	60320	105123	57		109			
	313	54661	104248	52		100			
	315	49007	92481	53		101			
	317	49716	94416	53		100			
	319	51164	78146	65		124	107	11	5
							0.220555		

H1. CPv	H1- actin						
III- 0I X	actin	Datio	A = 1 = 2	% Control	A	C4 Jam	CEM
		Katio	Avg	Control	Avg	Staev	SEM
17960.92	84906.1	21		75			
25988	94694.85	27		97			
31226.06	88501.5	35		125			
34491.29	91602	38		133			
21399.81	107683.7	20	28	70	100	28	13
25702.29	95004 (0	20		100			
23703.38	83904.09	30		100			
31565	105374.3	30		106			
35250.72	96898	36		129			
17657	95423.9	19		65			
34971.33	90720	39		136	108	28	12
					0.647705		

H1- GPx	H1- actin			0/_			
		Ratio	Avg	Control	Avg	Stdev	SEM
26410	87740	30		92			
34173	110974	31		94			
36549	104871	35		107			
38179	118461	32		99			
31650	90269	35	33	108	100	7	3
27632	105123	26		81			
33728	104248	32		99			
39190	102481	38		117			
35343	104416	34		104			
37192	78146	48		146	109	24	11
					0.43114		

H2-GR	H2- actin						
				%			
		Ratio	Avg	Control	Avg	Stdev	SEM
94942.24	65455.89	145		146			
65358.5	71026.85	92		93			
62995.75	67575.25	93		94			
76752.22	78393.14	98		99			
76415.66	111245.6	69	99	69	100	28	13
74610.6	53758.05	139		140			
76930.27	59538	129		130			
74888.29	93177	80		81			
75339	124171.9	61		61			
92995.5	91937.76	101		102	103	33	15
					0.893299		

H2-GR	H2- actin			07			
		Ratio	Avg	Control	Avg	Stdev	SEM
95660	122352	78		109			
84515	131233	64		90			
111991	150220	75		104			
89449	124089	72		101			
81165	116994	69	72	97	100	7	3
121261	132661	91		127			
105956	122771	86		120			
70057	105593	66		93			
75391	112765	67		93			
80259	113755	71		98	106	16	7
					0.44838		

H2- MnSOD	H2- actin			0/2			
		Ratio	Avg	Control	Avg	Stdev	SEM
74571	65455.89	114		101			
83764	71026.85	118		105			
87771	67575.25	130		115			
93895	78393.14	120		107			
89889	111245.6	81	112	72	100	17	7
66235	53758.05	123		110			
83715.5	59538	141		125			
100662.3	93177	108		96			
83528	124171.9	67		60			
90010	91937.76	98		87	96	25	11
					0.743057		

H2- MnSOD	H2- actin			07			
		Ratio	Avg	Control	Avg	Stdev	SEM
58047	122352	47		97			
64360	131233	49		101			
61548	130220	47		97			
63006	124089	51		104			
57538	116994	49	49	101	100	3	1
63843	132661	48		99			
59203	122771	48		99			
48856	95593	51		105			
63124	122765	51		105			
58429	113755	51		105	103	4	2
					0.228172		

H3- Hsp- 32	H3- actin			0/			
		Ratio	Avg	% Control	Avg	Stdev	SEM
34407.75	64501	53		101			
48206.93	73955.29	65		123			
30558.02	82060.62	37		70			
46972.33	68506.54	69		129			
41121.93	100806.4	41	53	77	100	26	12
41208.92	77315.62	53		101			
38618.59	87758.08	44		83			
41328.41	80740.6	51		97			
38309.09	70582.6	54		102			
40822.7	76962.11	53		100	96	8	4
					0.783199		

H3- Hsp- 32	H3- actin	9/						
		Ratio	Avg	Control	Avg	Stdev	SEM	
21063	108660	19		80				
31249	100651							
24146	88341	27		113				
21237	89665	24		98				
22303	83911	27	24	110	100	15	7	
24661	84883	29		120				
29342	82365	36		147				
22284	74691	30		123				
21520	78953	27		112				
26065	72920	36		147	130	16	7	
					0.024616			

H4- Hsp- 70	H4- actin						
				%			
		Ratio	Avg	Control	Avg	Stdev	SEM
22615	28573	79		73			
17471	20315	86		79			
18429.35	17590.5	105		97			
20026	16963	118		109			
19963	13012	153	108	142	100	27	12
17148	24795	69		64			
19246.5	20642.09	93		86			
22355.24	18762.39	119		110			
18095.5	13790.94	131		121			
16390.65	11212.6	146		135	103	28	13
					0.858505		

H4- Hsp- 70	H4- actin	2 /							
		Ratio	Avg	% Control	Avg	Stdev	SEM		
4553	67088	7		123					
5288	69844	8		137					
4420	71980	6		111					
2356	67023	4		64					
2281	63630	4	6	65	100	34	15		
4975	67819	7		133					
4230	72933	6		105					
2629	40768	6		117					
2955	47513	6		113					
2607	44270	6		107	115	11	5		
					0.378849				

Hippo- 1h						
Low	Catalase	Avg	%Control	Avg	STDEV	SEM
aspect	activity			%Cotrol		
Hippo						
310	12.25		88			
312	12.74		91			
314	14.20		102			
316	15.01		107			
318	15.66	13.97	112	100	10	5
311	18.96		136			
313	14.36		103			
315	20.19		144			
317	15.66		112			
319	16.64		119	123	17	8
				0.034		
Cortex						
310	14.36		88			
312	17.29		106			
314	17.94		110			
316	16.15		99			
318	15.50	16.25	95	100	9	4
311	17.61		108			
313	20.21		124			
315	19.72		121			
317	18.59		114			
319	18.59		114	117	6	3
				0.009		
Cereb						
310	16.64		94			
312	16.80		95			
314	19.24		109			
316	16.80		95			
318	18.91	17.68	107	100	7	3
311	22.49		127			
313	22.49		127			
315	18.59		105			
317	19.24		109			
319	23.30		132	120	12	5
				0.013		

Hippo- 30 days						
Low	Catalase	Avg	%Control	Avg	STDEV	SEM
aspect	activity			%Cotrol		
Нірро						
300	16.80		104			
302	14.20		88			
304	16.15		100			
306	15.66		97			
308	17.82	16.13	111	100	8	4
301	13.23		82			
303	11.60		72			
305	13.23		82			
307	12.09		75			
309	16.80		104	83	13	6
	-			0.036		
Cortex						
300	19.07		100			
302	18.42		97			
304	21.22		112			
306	19.24		101			
308	16.96	18.98	89	100	8	4
301	18.75		99			
303	16.31		86			
305	18.10		95			
307	13.55		71			
309	16.47		87	88	11	5
				0.072		
Cereb						
300	19.24		99			
302	22.00		113			
304	20.21		104			
306	18.10		93			
308	17.77	19.46	91	100	9	4
301	11.76		60			
303	18.10		93			
305	18.42		95			
307	15.99		82			
309	16.80		86	83	14	6
				0.052		
1 h GPx	%Control	Avg	Stdev	SEM		
---------	----------	----------	-------	-----		
Нірро		%Control				
С	89					
С	103					
С	103					
С	89					
С	116	100	11	5		
	116					
	116					
	103					
	129					
	103	113	11	5		
		0.095				
Cortex						
С	119					
С	92					
С	92					
С	78					
С	119	100	18	8		
	78					
	119					
	119					
	105					
	132	111	20	9		
		0.403				
Cereb						
С	133					
С	118					
С	103					
C C	73					
C	73	100	27	12		
	118					
	103					
	103					
	133	114	15	6		
		0.374				

days						
Low	GPx					
aspect	activity	Avg	%Control	Avg	Stdev	SEM
				%Control		
Hippo	5 7 72		0.4			
С	57.73		94			
С	49.24		81			
C	66.22		108			
C	66.22	61 12	108	100	10	6
С	32.26	01.15	53	100	12	6
	52.20		93 94			
	57.73		94			
	40.75		67			
	40.75		07 81	78	10	0
	47.24		01	/ 0	18	8
-				0.053		
Cortex						
С	57.73		87			
С	74.71		113			
С	74.71		113			
С	66.22		100			
С	57.73	66.22	87	100	13	6
	40.75		62			
	57.73		87			
	49.24		74			
	57.73		87			
	32.26		49	72	17	7
				0.017		
Cereb						
С	49.24		91			
C	49.24		91			
C	57.73		106			
C	57.73		106			
C	57.73	54.33	106	100	9	4
-	49.24		91			-
	40.75		75			
	49.24		91			
	49.24		91			
	32.26		59	81	14	6
				0.034		

Anti-HNE-LA dot blot

HNE-		%					
LA	Avg	Control	Avg	STDEV	SEM		
18263		80					
26981		118					
29291		128					
25470		111					
22476		98					
24033		105					
21245		93					
19137		84					
21695		95					
20217	22881	88	100	15	5		
10621		46					
18643		81					
19410		85					
18847		82					
18521		81					
18054		79					
13733		60					
21409		94					
15274		67					
20215		88					
14215		62					
16492		72	75	14	4		
			0.000615				
	HNE- LA 18263 26981 29291 25470 22476 24033 21245 19137 21695 20217 10621 18643 19410 18847 18521 18054 13733 21409 15274 20215 14215 16492	HNE- LAAvg18263 26981 29291 25470 22476 24033 21245 19137 21695 20217- 2288110621 18643 19410 18847 18521 18054 13733 21409 15274 20215 14215 16492- Avg	HNE- LAAvg% Control18263802698111829291128254701112247698240331052124593191378421695952021722881106214618643811941085188478218521811805479137336021409941527467202158814215621649272	HNE- LA Avg Control Control Avg 18263 80 18 26981 118 29291 128 25470 111 22476 98 24033 105 21245 93 19137 84 21695 95 20217 22881 88 100 10621 46 18643 81 19410 85 18847 82 18521 81 18054 79 13733 60 21409 94 15274 67 20215 88 14215 62 16492 72 75	HNE- LA Avg Control Avg STDEV 18263 80 118 26981 118 26981 118 29291 128 25470 111 22476 98 24033 105 21245 93 19137 84 21695 95 20217 22881 88 100 15 10621 46 18643 81 19410 85 18847 82 18521 81 18054 79 13733 60 21409 94 15274 67 20215 88 14215 62 16492 72 75 14		

LADH activity

Sl	ope	Blank min	us					
-(0.0022	-0.0014	0.00304		94			
-(0.0028	-0.002	0.00434		134			
-(0.0023	-0.0015	0.00326		101			
-(0.0024	-0.0016	0.00347		107			
-(0.0017	-0.0009	0.00195		60			
-(0.0023	-0.0015	0.00326		101			
-(0.0024	-0.0016	0.00347		107			
-(0.0028	-0.002	0.00434		134			
-(0.0022	-0.0014	0.00304		94			
-(0.0018	-0.001	0.00217	0.00323	67	100	24	8
-(0.0021	-0.0013	0.00282		87			
-(0.0013	-0.0005	0.00109		34			
-(0.0007	0.0001	-0.00022					
-(0.0013	-0.0005	0.00109		34			
-	0.0007	0.0001	-0.00022					
-(0.0023	-0.0015	0.00326		101			
-(0.0017	-0.0009	0.00195		60			
-(0.0016	-0.0008	0.00174		54			
-(0.0017	-0.0009	0.00195		60			
-(0.0019	-0.0011	0.00239		74			
-(0.0017	-0.0009	0.00195		60			
-(0.0017	-0.0009	0.00195	0.00165	60	62	21	3

LADH levels

	Western blot		• •			·	·			
			DHLA	Actin						
Controls	1095	1	40788	8319	490		112			
	1132	2	142398	30834	462		106			
	1142	3	140928	29632	476		109			
	1156	4	135418	30786	440		101			
	1163	6	69563	17866	389		89			
	1170	7	123386	33656	367	437	84	100	11	5
AD	1184	8	122697	49030	250		57			
	1036	11	29476	10410	283		65			
	1053	12	82201	30908	266		61			
	1104	14	83840	29242	287		66			
	1180	17	84308	26852	314		72			
	1185	18	95149	32031	297		68			
	1188	19	74347	22383	332		76			
	1196	20	95777	31417	305		70			
	1202	21	100089	27064	370		85			
	1204	22	69675	21575	323		74	69	8	2
								1.53E-05		

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Sarita S. Hardas was born in Maharashtra, India on June 19, 1980. Sarita obtained her bachelor's degree in chemistry from Fergusson College Pune, India. After completion of bachelor's degree Sarita joined University of Pune, Department of Chemistry, where she obtained here Master's degree in Inorganic chemistry. Immediately after completion of Master's degree Sarita was offered a job as Research assistance in Unilever Research India, Bangalore through on-campus interview. Sarita worked in Unilever for 3-years on instrumental and analytical analysis related projects. While working in Unilever, Sarita applied to universities in USA for higher education in chemistry. To pursue PhD in biological chemistry, Sarita came to University of Kentucky, Lexington. Sarita completed her PhD research in the newly immerging field of neuro-nanotoxicity under the aegis of Dr. D. Allan Butterfield.

SCIENTIFIC PUBLICATIONS

Olga V. Tsyusko, [‡]**Sarita S. Hardas,** W. Aaron Shoults-Wilson, Catherine Starnes, Greg Joice, D. Allan Butterfield, Jason M. Unrine, "Short-term molecular-level effects of silver nanoparticle exposure on the earthworm, Eisenia fetida.", manuscript in preparation. [‡]C-first author

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