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1 **The vertex specific proteins pUL17 and pUL25 mechanically reinforce Herpes**  
2 **Simplex Virus capsids**

3

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26 **Abstract**

27 Using atomic force microscopy imaging and nanoindentation measurements, we  
28 investigated the effect of the minor capsid proteins pUL17 and pUL25 on the structural  
29 stability of the icosahedral Herpes Simplex Virus capsids. pUL17 and pUL25 that form  
30 the capsid vertex-specific component (CVSC) particularly contributed to the capsid  
31 resilience along the 5-fold and 2-fold, but not along the 3-fold icosahedral axes. Our  
32 detailed analyses, including quantitative mass spectrometry on the protein composition  
33 of the capsids, revealed that pUL17 and pUL25 are both required to stabilize the capsid  
34 shells at the vertices. This indicates that herpesviruses withstand the internal pressure  
35 that is generated during DNA genome packaging by locally reinforcing the mechanical  
36 sturdiness of the vertices, the most stressed part of the capsids.

37

38 **Importance**

39 In this study the structural, material properties of Herpes Simplex Virus type 1 were  
40 investigated. The capsid of Herpes Simplex Virus is built up of a variety of proteins and  
41 we scrutinized the influence of two of these proteins on the stability of the capsid. For  
42 this we used a scanning force microscope that makes detailed, topographic images of the  
43 particles and that is able to perform mechanical deformation measurements. Using this  
44 approach we revealed that both studied proteins play an essential role in viral stability.  
45 These new insights support us to form a complete view on viral structure and could  
46 furthermore possibly not only help to develop specific anti-virals, but also to build  
47 protein shells with improved stability for drug delivery purposes.

48 **Main Text**

49 Herpes Simplex Virus type 1 (HSV-1) is an important human pathogen that causes a  
50 variety of diseases ranging from common cold sores to life threatening encephalitis(1-3).  
51 Herpesvirus particles are enveloped virions with  $T = 16$  icosahedral capsids harboring  
52 the dsDNA genomes. After synthesis and nuclear import of the capsid proteins, they  
53 initially assemble into rather spherical immature procapsids (4, 5). Upon proteolytic  
54 cleavage of the internal scaffold, consisting mostly of the protein VP22a, these  
55 procapsids mature into three icosahedral capsid types (6-9). B-type capsids have failed  
56 to expel the protein scaffold, A-type capsids are considered to have aborted DNA  
57 packaging and lack both DNA and the internal scaffold, and C-type capsids, also called  
58 nucleocapsids, result from successfully replacing the internal protein scaffold with the  
59 152 kb dsDNA genome of HSV-1. C capsids then leave the nucleus and undergo  
60 secondary envelopment in the cytoplasm to generate mature, infectious, enveloped  
61 virions (10, 11). Recent nanoindentation experiments using atomic force microscopy  
62 (AFM) have revealed remarkable insights on the mechanical basis of HSV1 genome  
63 packaging and capsid maturation (12-14).

64

65 In AFM-nanoindentation experiments, viral capsids are deposited on a glass surface,  
66 imaged by AFM, and subsequently indented to probe the mechanical resilience of the  
67 particle (15, 16). Such AFM studies have revealed how the structural stability of capsids  
68 depends on environmental conditions, packaged genome length, and the protein  
69 composition of the particle (17-23). Moreover, it has been shown that the mechanical  
70 resilience of viral capsids is directly related to (i) local conformational dynamics (Minute  
71 Virus of Mice) (24), (ii) the virus's infectivity (HIV-1) (25), and (iii) the particle's  
72 propensity for efficient uncoating (Adenovirus) (26, 27).

73 In the case of HSV-1 capsids, we have shown that scaffold expulsion and genome  
74 packaging result in molecular changes that strengthen the particles (12). This is  
75 reflected by an increase in the threshold for the breaking force  $F_{break}$  required for  
76 structural collapse. By treating HSV1 capsids with a moderate, partially denaturing  
77 concentration of guanidine hydrochloride (GuHCl), the penton-fraction of the major  
78 capsid protein VP5, the small capsid protein VP26 located on the tips of the VP5 hexons,  
79 the scaffold protein VP22a, the minor capsid proteins pUL17 and pUL25 as well as the  
80 DNA genomes are extracted (12, 28, 29). Using such penton-less B, A, and C capsids , we  
81 showed that their stiffness is reduced, indicating that the vertex proteins of HSV-1  
82 capsids are especially important for the mechanical resilience of the capsids (12, 13). In  
83 addition, it has been recently reported that the protein pUL25 reinforces the capsid (30).  
84 The two minor capsid proteins pUL25 and pUL17 form heterodimers that are attached  
85 to the capsid vertices (c.f. Fig. 1a), and hence have been called capsid vertex-specific  
86 components (CVSC) (31-44).

87 Next to HSV-1, similar CVSC complexes are present on purified capsids of the swine  
88 alphaherpesvirus pseudorabies virus with even higher occupancy levels (45-47).  
89 Furthermore, homologs of these minor capsid components exist in other  
90 alphaherpesviruses: the betaherpesviruses (e.g. pUL77 and pUL93 in human  
91 cytomegalovirus) (48), and the gammaherpesviruses, (e.g. ORF32 and ORF19 in Kaposi-  
92 sarcoma associated virus) (44), suggesting that functional stabilizing CVSCs are a feature  
93 of all herpesviruses (49).

94 In HSV-1 the CVSCs also mediate interactions with the inner tegument protein pUL36  
95 and the outer tegument protein VP13/14 that link the capsids to envelope components  
96 during assembly (50-52). Previous studies have shown that pUL17 and pUL25 depend  
97 on each other for optimal capsid binding, since capsids derived from either UL17 or

98 UL25 deletion mutants lack most of the CVSC altogether (53). Furthermore, a recent  
99 study using cryo-electron microscopy reconstructions clearly shows that the CVSCs  
100 directly link the pentons to the adjacent triplexes (45). In the current study, we used  
101 AFM to determine at the single particle level how the CVSC contributes to the  
102 mechanical properties of HSV-1 capsids.

103

#### 104 **Materials and Methods**

105 **Capsid purification.** Nuclear capsids were isolated from cells infected with HSV-1 wild-  
106 type (WT HSV-1 strain F, ATCC VR-733), or with the mutants HSV1- $\Delta$ UL17 (derived  
107 from HSV-1 strain F, see ref (38)) or HSV1- $\Delta$ UL25 (HSV-1 strain KUL25NS derived from  
108 strain KOS, see ref (32)) after cell homogenization and purification on a linear 20 to 50  
109 % (w/w) sucrose gradient in 20 mM Tris-HCl, pH 7.5, 500 mM NaCl, 1 mM EDTA  
110 supplemented with 10 mM dithiothreitol as described before (12, 54, 55). While during  
111 WT infection B, A, and C capsids are assembled, B- and A-type capsids are formed in the  
112 absence of pUL25 (32), and only B-type capsids in the absence of pUL17 (35, 38, 56).

113 **AFM imaging and nanoindentation.** The capsids were deposited onto silanized glass  
114 substrates and analyzed at room temperature in 50 mM Tris buffer pH 7.5, 150 mM  
115 sodium chloride, by AFM imaging and nanoindentation as described in detail elsewhere  
116 (12, 57, 58). The experiments were performed with a Nanotec AFM (Tres Cantos, Spain),  
117 using cantilevers with an approximate tip-radius of 15 nm and a spring constant of 0.05  
118 N/m (Olympus OMCL-RC800PSA). Imaging was performed in jumping mode AFM, which  
119 is a very gentle imaging mode where lateral forces are almost absent, and which is  
120 therefore ideally suited to image proteinaceous assemblies such as viral capsids (59).  
121 The probe velocity during nanoindentation was 60 nm/s. The data were analysed with  
122 the WSxM software (Nanotec; Version 4) and a home written Labview programme (58).

123 Capsid absorption to the surface was expected to be random with respect to the  
124 icosahedral orientation; in addition to absorption to the 2-, 3- or 5-fold symmetry axes,  
125 we detected also intermediate positions. As the intermediate positions were difficult to  
126 classify, we focused on particles that adhered to the 2-, 3- or 5-fold symmetry axes.

127 **Protein extraction and LC-MS/MS and data analysis:** HSV-1 capsids were resuspended  
128 in 50 mM ammonium bicarbonate, 5% (w/v) sodium deoxycholate and heated at 90 °C  
129 for 5 min. For each reaction, 100 µg of protein were reduced using dithiothreitol (DTT)  
130 for 30 min at 56 °C and then alkylated by iodoacetamide for 30 min in the dark. After  
131 dilution to a final concentration of 0.5% sodium deoxycholate, each sample was digested  
132 overnight at 37°C with trypsin at an enzyme to protein ratio of 1:50. The sodium  
133 deoxycholate was precipitated, and the reaction/digestion quenched by adding formic  
134 acid to a final concentration of 2% (v/v). The samples were centrifuged for 20 minutes  
135 at 20,000 x g, and the supernatants were analyzed on a mass spectrometer (Q-Exactive  
136 Plus coupled to an Agilent 1290 Infinity UHPLC system). Briefly, the peptides were  
137 loaded onto the trapping column (Dr Maisch Reprosil C18, 3 µm, 2 cm × 100 µm) with a  
138 flow rate of 5 µl/min for 10 min with reversed-phase solvent A, whereas peptide  
139 separation was performed at a column flow rate of ~300 nl/min (Agilent Poroshell 120  
140 EC-C18, 2.7 µm, 50 cm × 75 µm). Nanospray was achieved with an in-house pulled and  
141 gold-coated fused silica capillary (360 µm outer diameter, 20 µm inner diameter, 10 µm  
142 tip inner diameter) and an applied voltage of 1.9 kV. Full-scan MS spectra (from m/z 350  
143 to 1500) were acquired in the Orbitrap with a resolution of 35,000. HCD fragmentation  
144 was performed with a data dependent mode, as previously described(60).

145 Peak lists were generated (Proteome Discoverer; version 1.4, Thermo Scientific,  
146 Bremen, Germany) and searched against a database containing the Human Herpes Virus  
147 1 strain 17 sequences (77 protein entries) using Mascot (version 2.4 Matrix Science,

148 London, UK) and a mass tolerance of 50 ppm for precursor masses and  $\pm 0.05$  Da for  
149 fragment ions. Enzyme specificity was set to trypsin with 2 missed cleavages allowed.  
150 Carbamidomethylation of cysteines was set as fixed modification while oxidation of  
151 methionine, was used as variable modification. False discovery rate was set to  $<1\%$ . To  
152 further filter for high quality data we used the following parameters: high confidence  
153 peptide spectrum matches, minimal Mascot score of 20, minimal peptide length of 6, and  
154 only unique rank 1 peptides. The mass spectrometry proteomics data have been  
155 deposited to the ProteomeXchange Consortium via the PRIDE partner repository with  
156 the dataset identifier PXD005104(61).

157

158

## 159 **Results**

160 From AFM images taken immediately prior to the nanoindentation experiments, we  
161 determined the orientation of each capsid based on its capsomer morphology and the  
162 orientation of the triangular facets on the capsid surface. Figure 1b shows a projection of  
163 the facets on the AFM images. UL17- and UL25-null capsids that adhered to the surface  
164 in different orientations were compared to similarly oriented B-, A- and C- type capsids  
165 of the WT strain. There was a marked decrease in the spring constants  $k$  of the capsids  
166 from both deletion strains (Figure 2a).

167 We then stratified these data into B, A, and C capsids, and based on our AFM images  
168 further into measurements along the 2-fold, the 3-fold, or the 5-fold axes. The deposition  
169 onto the 2-, 3- or 5-fold axes occurred at a ratio of 61:63:47 (Fig. 2b). In an icosahedral  
170 particle, there are 30 2-fold axes, 20 3-fold axes and 12 5-fold axes. A similar ratio of  
171 deposition was determined previously using Hepatitis B Virus (HBV) capsids (62). In the  
172 current study, there was roughly the same number of particles deposited on the 2- or



173 the 3-fold axis. Thus, compared to the T=3 and T=4 HBV capsids of ~30 nm diameter,  
174 the larger T=16 HSV-1 capsids of 125 nm likely elicit additional surface interaction  
175 effects that slightly favor a stable deposition on a 3-fold axis over a 2-fold axis. The  
176 spring constant analysis revealed that the reduction in stiffness was particularly  
177 prominent for certain icosahedral orientations (Figure 2b). Capsids that had been  
178 deposited on a triangular facet of the icosahedral shell, and thus probed along the 3-fold  
179 icosahedral symmetry axis of the capsid, exhibited no significant loss of stiffness for the  
180 UL25-null or UL17-null mutants as compared to WT capsids. However, there was a  
181 significant decrease in the stiffness of UL25- or UL17-null capsids compared to WT  
182 capsids when the particle had been deposited on the edge between two facets (i.e. 2-fold  
183 icosahedral symmetry axis), or deposited on a vertex (5-fold icosahedral symmetry  
184 axis).

185 We then determined the protein composition of the different capsid type of the  
186 wildtype and the two deletion mutants by quantitative mass spectrometry using a label-  
187 free approach in which the number of peptide-spectrum matches (PSM's) serve as a  
188 proxy for the relative protein amounts (see Supplementary Table S1). We used the  
189 major capsid protein VP5 (pUL19) that forms the pentons and hexons in each capsid for  
190 normalization since it is considered to be present in constant amounts among different  
191 capsid types (54) (see Figure 3). Based on this normalization, we then determined the  
192 amount of the other capsid proteins in the different samples. As expected, the  
193 abundance of two triplex proteins VP19c (pUL38) and VP23 (pUL18) were also similar  
194 in the different samples, indicating that the different preparations from the HSV-1 wild-  
195 type and the mutants indeed contained capsids with an identical backbone architecture  
196 In contrast neither of the CVSC proteins pUL17 and pUL25 could be detected in either of  
197 the deletion mutants. This indicates that none of the CVSC components was recruited or

198 maintained on the capsids if one of them had been missing. This analysis of the protein  
199 composition of all capsid types fits to our measurements of the capsid stability, since  
200 both deletion mutants displayed identical mechanics of their HSV capsids.

201

## 202 **Discussion**

203 Our results on the B and A capsids of the UL25-null mutant corroborate and extend the  
204 recent finding by Sae-Ueng *et al.* (30) who also reported a reduced stability of HSV-1  
205 capsids upon deletion of pUL25. However, they did not detect any changes in the  
206 mechanical resilience of the B capsids upon deletion of UL17. In contrast, we measured  
207 a significant decrease in the stiffness for the B capsids of the UL17-null mutant (dark  
208 blue columns in Fig. 2b). Furthermore, we have been able for the first time for  
209 herpesviruses to separately analyze the spring constants along the different icosahedral  
210 axes. As our data show that the spring constants  $k$  along the 3-fold axis remain largely  
211 unaffected by deletion of either UL17 or UL25, it is possible that Sae-Ueng *et al.* (30)  
212 predominantly measured the spring constants of the UL17-null mutant upon probing  
213 the triangular sides, but not capsids with their 2-fold or 5-fold axes oriented towards the  
214 AFM tip. Moreover, using quantitative mass spectrometry analysis we have  
215 corroborated earlier findings that the capsid levels of pUL17 and pUL25 largely depend  
216 on each other for stable capsid association (42, 53). In contrast, the immunoblot of Sae-  
217 Ueng *et al.* (30) and Huet *et al.* (45) revealed residual amounts of pUL17 on the capsids  
218 of the UL25-null mutant. The reasons for this difference are unclear; it may be due to the  
219 presence of dithiothreitol in our purification buffers to generate a similar reducing  
220 environment as in the nucleoplasm or the cytoplasm.

221 Our new data and Sae-Ueng *et al.* (30) support the notion that the CVSCs provide  
222 substantial mechanical resilience to HSV-1 capsids, and here we also show that pUL17

223 and pUL25 are both required to increase vertex resilience. Our finding that deletion of  
224 either pUL17 or UL25 result in a reduced strength of capsids corroborates the recent  
225 report of the structure of the CVSC that clearly shows how both proteins are intimately  
226 linked to each other in the CVSC (45). As the CVSC is located at the 5-fold vertices and  
227 oriented along the 2-fold symmetry axis, it is very likely to impact the capsid resilience  
228 along these symmetry axes, which is exactly what we find. The three-fold axis on the  
229 other hand, does not appear to be affected by the presence or absence of the CVSC (45).  
230 This also correlates with our findings, explaining the differences in observed impact of  
231 CVSC removal for the different icosahedral orientations. The vertices are removed from  
232 the capsid first when the particles are stressed, e.g. nanoindentation or partial  
233 denaturation with urea or GuHCl (12, 28). Moreover, in the absence of the capsid  
234 stabilizing CVSCs, e.g. in mutants lacking UL25, the capsids cannot maintain the viral  
235 genomes in their lumina, presumably because the capsids are not stably sealed (32).  
236 Actually, herpesviruses depend on the DNA terminase complex consisting of pUL15,  
237 pUL28 and pUL33 and ATP hydrolysis to package their genomes into capsids, and to  
238 work against the repulsive force of the highly confined, negatively charged DNA (63-65).  
239 Thus, one major function of the CVSCs could be to reinforce the vertices of the  
240 nucleocapsids to ensure retention of the genome inside the particle. Recent  
241 experimental and theoretical studies of virus capsid nanoindentation have  
242 demonstrated that the mechanical response of a capsid is basically a local property of  
243 the capsid structure (24, 66). The local reinforcement of the capsid vertices by the CVSC  
244 is therefore an example of a virus specifically adapting to mechanical limitations  
245 imposed by packaging large genomes to near liquid crystalline density.  
246

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255

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474 **Figure Legends**

475 **Figure 1. Atomic force microscopy imaging of HSV-1 capsids.** A) Schematic of the  
476 HSV-1 capsid vertex region; modified from ref. (41, 44). The UL25 part of the CVSC is  
477 proposed to be closest to the vertex and likely touching it (45). B) AFM images of HSV-1  
478 capsids. Based on the facet orientation and capsomer morphology, particles deposited  
479 on the 2-, 3- and 5-fold icosahedral symmetry axis can be distinguished. Scale bar is 50  
480 nm.

481

482 **Figure 2. Both CVSC components pUL17 and pUL25 contribute to the mechanical**  
483 **vertex stabilization of HSV-1 capsids.** A) Frequency distributions of particle spring  
484 constants ( $k$ ) from particle with or without the CVSC, showing the shift to lower  $k$  values

485 for the latter particles. B) The average spring constant ( $k$ ) for each orientation is shown  
486 for all three capsid types, comparing capsids from UL17- or UL25-null backgrounds to  
487 WT capsids. Error bars represent standard error of the mean (SEM), the numbers of  
488 particles per type/orientation are indicated in white on each bar.

489

490 **Figure 3. Protein copy numbers on capsids.** Quantitative Mass Spectrometry results  
491 on the abundancy of pUL38, pUL18, pUL25 and pUL17 on the different capsids. On the y-  
492 axis the relative number of peptide-spectrum matches (PSM's) (67) is indicated.







