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## Inclusive charged-current neutrino-nucleus reactions calculated with the relativistic quasiparticle random-phase approximation

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Inclusive neutrino-nucleus cross sections are calculated using a consistent relativistic mean-field theoretical framework. The weak lepton-hadron interaction is expressed in the standard current-current form, the nuclear ground state is described with the relativistic Hartree-Bogoliubov model, and the relevant transitions to excited nuclear states are calculated in the relativistic quasiparticle random-phase approximation. Illustrative test calculations are performed for charged-current neutrino reactions on  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{56}\text{Fe}$ , and  $^{208}\text{Pb}$ , and results compared with previous studies and available data. Through the use of the experimental neutrino fluxes, the averaged cross sections are evaluated for nuclei of interest for neutrino detectors. We analyze the total neutrino-nucleus cross sections and the evolution of the contribution of the different multipole excitations as a function of neutrino energy. The cross sections for reactions of supernova neutrinos on  $^{16}\text{O}$  and  $^{208}\text{Pb}$  target nuclei are analyzed as functions of the temperature and chemical potential.

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### I. INTRODUCTION

Neutrino-nucleus reactions at low energies play an important role in many phenomena in nuclear and particle physics, as well as astrophysics. These reactions present extremely subtle physical processes, not only because they involve the weak interaction but also because they are very sensitive to the structure of nuclear ground states and excitations, i.e., to the solution of the nuclear many-body problem that includes the strong and electromagnetic interactions. The use of microscopic nuclear structure models in a consistent theoretical framework is therefore essential for a quantitative description of neutrino-nucleus reactions [1]. Detailed predictions of neutrino-nucleus cross sections are crucial for the interpretation of neutrino experiments and the detection of neutrinos produced in supernova explosions [2]. Neutrino-nucleus reactions that occur in a type II supernova could also contribute to the nucleosynthesis [3,4], but more data on cross sections are necessary for a more complete understanding of this process, as well as the supernova dynamics.

Data on neutrino-nucleus cross sections have been obtained by the LSND [5,6] and KARMEN [7–9] Collaborations and at LAMPF [10,11], but only for  $^{12}\text{C}$  and  $^{56}\text{Fe}$  target nuclei. New experimental programs are being planned that will provide essential data on the neutrino-nucleus reactions and also help to improve the reliability of present cross-section calculations. These include the spallation neutron source (SNS) at ORNL, where measurement of cross sections for a wide range of target nuclei will be possible with neutrinos produced by pion decay at rest [12], and the promising “ $\beta$  beams” project for the production of pure electron neutrino-beams by using the  $\beta$  decay of boosted radioactive ions [13,14]. These facilities could be used for measurement of cross sections for neutrino energies in the range of tens of MeV, which are particularly interesting for supernova studies [15].

A variety of microscopic approaches have been employed in the evaluation of neutrino-nucleus reaction rates at low energies [16]. These include the nuclear shell model [17–20], the random-phase approximation (RPA) [20–23], continuum RPA (CRPA) [24–29], hybrid models of CRPA and the shell model [21,30–32], and the Fermi gas model [33–36]. The shell model provides a very accurate description of ground state wave functions. The description of high-lying excitations, however, necessitates the use of large model spaces and this often leads to computational difficulties, making the approach applicable essentially only to light- and medium-mass nuclei. For systematic studies of weak interaction rates throughout the nuclide chart, microscopic calculations must therefore be performed using models based on the RPA.

Hybrid models combine the shell model and CRPA in such a way that occupation probabilities of single-particle states in a specific nucleus are determined by shell model calculations and then inserted into the CRPA [31]. In general the CRPA employs different interactions for the calculation of the nuclear ground state (for instance, the Woods-Saxon potential), and in the residual CRPA interaction (G matrix from the Bonn potential, or the Landau-Migdal interaction), and thus additional parameters are required to adjust the calculated rates to data. A more consistent approach, based on the quasiparticle RPA with Skyrme effective interactions, has been employed in calculations of weak interaction rates [20]. Although in this framework the residual RPA interaction is derived from the same energy functional that determines the nuclear ground state, some terms are usually omitted, and pairing correlations require the adjustment of additional factors. A fully consistent theoretical framework for the description of charge-exchange excitations in open-shell nuclei, based on Skyrme effective interactions, has only recently been developed [37], but not yet employed in the analysis of neutrino-nucleus reactions.

Neutrino-nucleus cross sections have also been calculated using the *ab initio* no-core shell model based on realistic  $NN$  and three-body interactions [38] and the shell model with an improved Hamiltonian that properly takes into account the spin-isospin interactions [39]. The importance of improved calculations of neutrino-nucleus cross sections for neutrino-oscillation studies has been demonstrated in the recent reanalysis of the LSND experiment [40], using the particle-number-projected quasiparticle RPA [41], which has shown an enhancement of the neutrino-oscillation probability when compared to previous studies.

Although the general expressions for the transition matrix elements relevant for the calculation of neutrino-nucleus cross sections have been known for many years [42,43], it is only more recently that systematic calculations have been performed in open-shell nuclei by making use of modern effective interactions in the description of both nuclear ground states and excitations [20]. Reliable prediction of weak interaction rates in nuclei necessitates a fully consistent description of the structure of ground states and multipole excitations. Among the relevant charge-exchange excitations, the isobaric analog state (IAS) and Gamow-Teller resonance (GTR) have been the subject of extensive experimental and theoretical studies. Much more limited are the data and theoretical predictions for properties of excitations of higher multiplicities at finite momentum transfer.

The nuclear input for astrophysics calculations includes properties of thousands of nuclei far from stability, including the characteristics of strong, electromagnetic, and weak interaction processes. Most of these nuclei are not accessible in experiments and therefore calculations of stellar nucleosynthesis, nuclear aspects of supernova dynamics, and neutrino-induced reactions crucially depend on microscopic global predictions for the nuclear ingredients. At present the only viable approach to a microscopic description of properties of medium-heavy and heavy nuclei with a large number of active valence nucleons is self-consistent mean-field methods based on universal energy density functionals or global effective interactions. With a small set of universal parameters adjusted to data, self-consistent mean-field models have achieved a high level of accuracy in the description of structure properties over the whole chart of nuclides, from relatively light systems to superheavy nuclei, and from the valley of  $\beta$  stability to the particle drip-lines. For astrophysics applications in particular, it is important to perform calculations based on self-consistent mean-field models, rather than on empirical mean-field potentials, e.g., the Woods-Saxon potential. In a self-consistent framework both ground state properties and multipole excitations of nuclei are calculated from the same energy density functional or effective nuclear interaction. This approach ensures the consistency of the nuclear structure input for astrophysical modeling and allows reliable extrapolations of the nuclear spin-isospin response to regions of very neutron-rich nuclei.

In this work we analyze charged-current neutrino-nucleus reactions by employing a fully consistent microscopic approach based on relativistic energy density functionals and also including pairing correlations in the description of open-shell target nuclei [44]. Relativistic mean-field models have been

successfully applied in the description of a variety of structure phenomena, not only in stable nuclei but also in systems far from  $\beta$  stability. In comparison to the nonrelativistic mean-field framework in particular, the spin-orbit interaction arises naturally from the scalar-vector Lorenz structure of the effective Lagrangian, and relativistic models reproduce the empirical spin-orbit splittings without any adjustment of the strength of the spin-orbit potential. Based on the relativistic Hartree-Bogoliubov model, the quasiparticle random-phase approximation (RQRPA) [45] has been used to study dynamical aspects of exotic nuclear structure [46]. The proton-neutron relativistic QRPA [47] provides a natural framework for the description of spin and isospin excitation in open-shell nuclei. Interesting results have been obtained for the relation between the neutron skin of nuclei and the excitation energies of Gamow-Teller resonances and isobaric analog states [48], and in the calculation of  $\beta$ -decay rates of  $r$ -process nuclei [49,50]. In these applications, however, only allowed transitions in the charge-exchange channel were considered. In the present study the relativistic mean-field framework is applied for the first time in the analysis of contributions of first- and higher-order forbidden transitions. An essential advantage of this approach is that, by describing the nucleus as a collection of Dirac particles that interact through meson-exchange, it provides a fully consistent microscopic framework in which excitations in the spin-isospin channel can be analyzed. In addition, by employing a single universal effective interaction in the description of both ground state properties and multipole excitations in various mass regions of the chart of nuclides, the calculation of neutrino-nucleus cross sections is essentially parameter free and can be extended to regions of nuclei far from stability, including those on the  $r$ -process path.

Of particular interest for the present study are rates for neutrino-nucleus reactions in the low-energy range below 100 MeV, which play an important role in many astrophysical processes, including stellar nucleosynthesis. A quantitative description of nucleosynthesis of heavy elements during the  $r$  process necessitates accurate predictions of neutrino-nucleus cross sections not only in stable nuclei but also in nuclei away from the valley of  $\beta$  stability. Because nuclei are used as detectors for solar and supernovae neutrinos, as well as in neutrino oscillation experiments, it is important to describe the neutrino detector response in a consistent and fully microscopic theory. Finally, a quantitative estimate of neutrino-nucleus reaction rates will provide information relevant for feasibility studies and simulations of a low-energy  $\beta$  beam facility, which could be used to produce neutrino beams of interest for particle physics, nuclear physics, and astrophysics [14].

In Sec. II we outline the basic formalism used in the evaluation of neutrino-nucleus cross sections. In Sec. III the relativistic Hartree-Bogoliubov model (RHB) and the proton-neutron relativistic quasiparticle random-phase approximation (PN-RQRPA) are briefly reviewed. Section IV includes several test cases, and the calculated cross sections are compared with results of previous theoretical studies and the available data. Cross sections for supernova neutrinos are analyzed in Sec. V, and finally Sec. VI summarizes the results of the present investigation and ends with an outlook for future studies.

## II. NEUTRINO-NUCLEUS CROSS SECTIONS

In the present study we consider the charged-current neutrino-nucleus reactions:

$$\nu_l + {}_Z X_N \rightarrow {}_{Z+1} X_{N-1}^* + l^-, \quad (1)$$

where  $l$  denotes the charged lepton (electron, muon). Detailed expressions for the reaction rates and the transition matrix elements can be found in Refs. [34] and [42]. The charged-current neutrino-nucleus cross section reads

$$\left(\frac{d\sigma_\nu}{d\Omega}\right) = \frac{1}{(2\pi)^2} V^2 p_l E_l \sum_{\text{lepton spins}} \frac{1}{2J_i + 1} \sum_{M_i M_f} |\langle f | \hat{H}_W | i \rangle|^2, \quad (2)$$

where  $p_l$  and  $E_l$  are the momentum and energy of the outgoing lepton, respectively. The Hamiltonian  $\hat{H}_W$  of the weak interaction is expressed in the standard current-current form, i.e., in terms of the nucleon  $\mathcal{J}_\lambda(\mathbf{x})$  and lepton  $j_\lambda(\mathbf{x})$  currents

$$\hat{H}_W = -\frac{G}{\sqrt{2}} \int d\mathbf{x} \mathcal{J}_\lambda(\mathbf{x}) j^\lambda(\mathbf{x}), \quad (3)$$

and the transition matrix elements read

$$\langle f | \hat{H}_W | i \rangle = -\frac{G}{\sqrt{2}} l_\lambda \int d\mathbf{x} e^{-iqx} \langle f | \mathcal{J}^\lambda(\mathbf{x}) | i \rangle. \quad (4)$$

The multipole expansion of the leptonic matrix element  $l_\lambda e^{-iqx}$  determines the operator structure for the nuclear transition matrix elements [34] and the expression for the neutrino-nucleus cross section. In the *extreme relativistic limit* (ERL), in which the energy of the outgoing lepton is considered much larger than its rest mass, the differential neutrino-nucleus cross section takes the form

$$\begin{aligned} \left(\frac{d\sigma_\nu}{d\Omega}\right)_{\text{ERL}} &= \frac{2G_F^2 \cos^2 \theta_c}{\pi} \frac{E_l^2}{2J_i + 1} \left\{ \left( \frac{q^2}{2q^2} \cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2} \right) \right. \\ &\times \sum_{J \geq 1} [ |\langle J_f | \hat{T}_J^{\text{MAG}} | J_i \rangle|^2 + |\langle J_f | \hat{T}_J^{\text{EL}} | J_i \rangle|^2 ] \\ &- \sin \frac{\theta}{2} \sqrt{\frac{q^2}{q^2} \cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2}} \\ &\times \sum_{J \geq 0} 2\text{Re} \langle J_f | \hat{T}_J^{\text{MAG}} | J_i \rangle \langle J_f | \hat{T}_J^{\text{EL}} | J_i \rangle^* \\ &\left. + \cos^2 \frac{\theta}{2} \sum_{J \geq 0} \left| \left\langle J_f \left| \hat{\mathcal{M}}_J - \frac{q_0}{|q|} \hat{\mathcal{L}}_J \right| J_i \right\rangle \right|^2 \right\}, \quad (5) \end{aligned}$$

where  $G_F$  is the Fermi constant for the weak interaction,  $\theta_c$  is the Cabbibo's angle,  $\theta$  denotes the angle between the incoming and outgoing leptons, the energy of the lepton in the final state is  $E_l$ , and the four-momentum transfer is  $q \equiv (q_0, \mathbf{q})$ . The nuclear transition matrix elements between the initial state  $|J_i\rangle$  and final state  $|J_f\rangle$  correspond to the charge  $\hat{\mathcal{M}}_J$ , longitudinal  $\hat{\mathcal{L}}_J$ , transverse electric  $\hat{T}_J^{\text{EL}}$ , and transverse magnetic  $\hat{T}_J^{\text{MAG}}$

multipole operators. These are expressed in terms of spherical Bessel functions, spherical harmonics, and vector spherical harmonics:

$$M_J^M \equiv j_J(\kappa x) Y_J^M(\Omega_x) \quad (6)$$

$$\mathbf{M}_{JL}^M \equiv j_L(\kappa x) \mathbf{Y}_{JL1}^M(\Omega_x), \quad (7)$$

where we use the standard notation  $\kappa = |\mathbf{q}|$ . There are four transition operators in Eq. (5):

(i) the Coulomb multipole operator

$$\begin{aligned} \hat{\mathcal{M}}_{JM}(\mathbf{x}) &= F_1^V M_J^M(\mathbf{x}) - i \frac{\kappa}{m_N} \left[ F_A \Omega_J^M(\mathbf{x}) \right. \\ &\left. + \frac{1}{2} (F_A - q_0 F_P) \Sigma_J^{\prime\prime M}(\mathbf{x}) \right], \quad (8) \end{aligned}$$

(ii) the longitudinal operator

$$\begin{aligned} \hat{\mathcal{L}}_{JM}(\mathbf{x}) &= -\frac{q_0}{\kappa} F_1^V M_J^M(\mathbf{x}) + i \left[ F_A - \frac{1}{2} \frac{\kappa^2}{m_N} F_P \right] \Sigma_J^{\prime\prime M}(\mathbf{x}), \quad (9) \end{aligned}$$

(iii) the transverse electric operator

$$\begin{aligned} \hat{T}_{JM}^{\text{EL}}(\mathbf{x}) &= \frac{\kappa}{m_N} \left[ F_1^V \Delta_J^M(\mathbf{x}) + \frac{1}{2} \mu^V \Sigma_J^M(\mathbf{x}) \right] \\ &+ i F_A \Sigma_J^{\prime M}(\mathbf{x}), \quad (10) \end{aligned}$$

(iv) and the transverse magnetic operator

$$\begin{aligned} \hat{T}_{JM}^{\text{MAG}}(\mathbf{x}) &= -i \frac{\kappa}{m_N} \left[ F_1^V \Delta_J^M(\mathbf{x}) - \frac{1}{2} \mu^V \Sigma_J^{\prime M}(\mathbf{x}) \right] \\ &+ F_A \Sigma_J^M(\mathbf{x}), \quad (11) \end{aligned}$$

where all the form factors are functions of  $q^2$ . These multipole operators contain seven fundamental operators expressed in terms of spherical Bessel functions, spherical harmonics, and vector spherical harmonics [42]:

$$M_J^M(\mathbf{x}) \quad (12)$$

$$\Delta_J^M(\mathbf{x}) = \mathbf{M}_{JJ}^M(\mathbf{x}) \frac{1}{\kappa} \nabla \quad (13)$$

$$\begin{aligned} \Delta_J^{\prime M}(\mathbf{x}) &= \left[ -\sqrt{\frac{J}{2J+1}} \mathbf{M}_{JJ+1}^M(\mathbf{x}) + \sqrt{\frac{J+1}{2J+1}} \mathbf{M}_{JJ-1}^M(\mathbf{x}) \right] \\ &\times \frac{1}{\kappa} \nabla \quad (14) \end{aligned}$$

$$\Sigma_J^M(\mathbf{x}) = \mathbf{M}_{JJ}^M(\mathbf{x}) \boldsymbol{\sigma} \quad (15)$$

$$\begin{aligned} \Sigma_J^{\prime M}(\mathbf{x}) &= \left[ -\sqrt{\frac{J}{2J+1}} \mathbf{M}_{JJ+1}^M(\mathbf{x}) + \sqrt{\frac{J+1}{2J+1}} \mathbf{M}_{JJ-1}^M(\mathbf{x}) \right] \boldsymbol{\sigma} \\ &\quad (16) \end{aligned}$$

$$\begin{aligned} \Sigma_J^{\prime\prime M}(\mathbf{x}) &= \left[ \sqrt{\frac{J+1}{2J+1}} \mathbf{M}_{JJ+1}^M(\mathbf{x}) + \sqrt{\frac{J}{2J+1}} \mathbf{M}_{JJ-1}^M(\mathbf{x}) \right] \boldsymbol{\sigma} \\ &\quad (17) \end{aligned}$$

$$\Omega_J^M(\mathbf{x}) = M_J^M(\mathbf{x}) \boldsymbol{\sigma} \frac{1}{\kappa} \nabla. \quad (18)$$

By assuming conserved vector current (CVC), the standard set of form factors reads [36,42]

$$F_1^V(q^2) = \left(1 + \frac{q^2}{(855 \text{ MeV})^2}\right)^{-2} \quad (19)$$

$$\mu^V(q^2) = 4.706 \left(1 + \frac{q^2}{(855 \text{ MeV})^2}\right)^{-2} \quad (20)$$

$$F_A(q^2) = -1.23 \left(1 + \frac{q^2}{(855 \text{ MeV})^2}\right)^{-2} \quad (21)$$

$$F_P(q^2) = \frac{2m_N F_A(q^2)}{q^2 + m_\pi^2}. \quad (22)$$

The neutrino-nucleus cross section is evaluated using Eq. (5), with the transition matrix elements between the initial and final states determined in a fully microscopic theoretical framework based on the RHB model for the nuclear ground state, and excited states are calculated using the RQRPA. More details about the RHB + RQRPA framework are presented in the next section.

### III. THE PROTON-NEUTRON RELATIVISTIC QUASIPARTICLE RANDOM-PHASE APPROXIMATION

Here we outline the essential features of the theoretical framework that we used to evaluate inclusive neutrino-nucleus cross sections: the proton-neutron (PN)-RQRPA based on the RHB model. The detailed formalism, as well as recent applications of these models in the description of exotic structure phenomena in nuclei far from stability, has been reviewed in Refs. [44] and [46].

The RQRPA has been formulated in the canonical single-nucleon basis of the RHB model in Ref. [45] and extended to the description of charge-exchange excitations (PN-RQRPA) in Ref. [47]. In the RHB framework the ground state of a nucleus can be written either in the quasiparticle basis as a product of independent quasiparticle states or in the canonical basis as a highly correlated BCS state. By definition, the canonical basis diagonalizes the density matrix and is always localized. It describes both the bound states and the positive-energy single-particle continuum. The QRPA equations in the canonical basis include the matrix elements  $V_{\kappa\lambda'\kappa'\lambda}^{ph}$  of the residual particle-hole ( $ph$ ) interaction and the matrix elements  $V_{\kappa\kappa'\lambda\lambda'}^{pp}$  of the particle-particle ( $pp$ ) (pairing) interaction, as well as certain combinations of occupation factors  $u_\kappa, v_\kappa$  of the canonical single-nucleon states. In addition to the configurations built from two-quasiparticle states of positive energy, the relativistic QRPA configuration space must also include pair configurations formed from the fully or partially occupied states of positive energy and the empty negative-energy states from the Dirac sea. The inclusion of configurations built from occupied positive-energy states and empty negative-energy states is essential for current conservation, decoupling of spurious states, and a quantitative description of excitation energies of giant resonances [45].

The RHB + RQRPA model is fully consistent: in the particle-hole channel, effective Lagrangians with density-

dependent meson-nucleon couplings are employed, and pairing correlations are described by the pairing part of the finite range Gogny interaction [51]. In both the  $ph$  and  $pp$  channels, the same interactions are used in the RHB equations that determine the canonical quasiparticle basis, and in the matrix equations of the RQRPA. This is very important because the energy weighted sum rules are fulfilled only if the pairing interaction is consistently included both in the static RHB and in the dynamical RQRPA calculation. In the present work all RHB plus QRPA calculations have been performed using one of the modern and most accurate meson-exchange density-dependent relativistic mean-field effective interactions—DD-ME2 [52]—in the  $ph$  channel and using the finite range Gogny interaction D1S [51] in the  $pp$  channel.

Charge-exchange excitations have been analyzed with the PN-RQRPA using effective Lagrangians characterized by density-dependent meson-nucleon couplings [47]. The RHB plus PN-RQRPA framework has been successfully employed in studies of isobaric analog resonances, Gamow-Teller resonances [47], the relation between the excitation energies of IAS and GTR and the evolution of neutron skin in neutron-rich nuclei [48], and  $\beta$ -decay rates of  $r$ -process nuclei [49,50]. The model includes both the  $T = 1$  and  $T = 0$  pairing channels and represents a relativistic extension of the fully consistent proton-neutron QRPA introduced in Ref. [53].

The matrix equations of the PN-RQRPA read

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X^\lambda \\ Y^\lambda \end{pmatrix} = E_\lambda \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} X^\lambda \\ Y^\lambda \end{pmatrix}, \quad (23)$$

with the matrices  $A$  and  $B$  defined in the canonical basis

$$\begin{aligned} A_{pn,p'n'} &= H_{pp'}^{11} \delta_{nn'} + H_{nn'}^{11} \delta_{pp'} + (u_p v_n u_{p'} v_{n'} + v_p u_n v_{p'} u_{n'}) \\ &\quad \times V_{pn'n p'}^{ph} + (u_p u_n u_{p'} u_{n'} + v_p v_n v_{p'} v_{n'}) V_{pn p'n'}^{pp} \\ B_{pn,p'n'} &= (u_p v_n v_{p'} u_{n'} + v_p u_n u_{p'} v_{n'}) V_{pp'nn'}^{ph} \\ &\quad - (u_p u_n v_{p'} v_{n'} + v_p v_n u_{p'} u_{n'}) V_{pn p'n'}^{pp}. \end{aligned} \quad (24)$$

The proton and neutron quasiparticle canonical states are denoted by  $p, p'$  and  $n, n'$ , respectively.  $V^{ph}$  is the proton-neutron particle-hole residual interaction, and  $V^{pp}$  denotes the particle-particle interaction. The canonical basis diagonalizes the density matrix, and the corresponding eigenvalues are the occupation probabilities  $v_{p(n)}^2$ . Because on the other hand the canonical basis does not diagonalize the Dirac single-nucleon mean-field Hamiltonian  $\hat{h}_D$ , nor the pairing field  $\hat{\Delta}$ , the off-diagonal matrix elements  $H_{nn'}^{11}$  and  $H_{pp'}^{11}$  appear in Eq. (24):

$$H_{\kappa\kappa'}^{11} = (u_\kappa u_{\kappa'} - v_\kappa v_{\kappa'}) \hat{h}_{\kappa\kappa'} - (u_\kappa v_{\kappa'} + v_\kappa u_{\kappa'}) \Delta_{\kappa\kappa'}. \quad (25)$$

The solution of the RQRPA matrix equation (23) determines the excitation energies  $E_\lambda$  and the corresponding forward- and backward-going amplitudes  $X^\lambda$  and  $Y^\lambda$ , respectively. In the evaluation of the neutrino-nucleus cross section [(Eq. (5))], for each transition operator  $\hat{O}^J$  the matrix elements between the ground state of the even-even ( $N, Z$ ) target nucleus and the final state are expressed in terms of single-particle matrix elements between quasiparticle canonical states, occupation

probabilities, and the corresponding RQRPA amplitudes:

$$\langle J_f || \hat{O}^J || J_i \rangle = \sum_{pn} \langle p || \hat{O}^J || n \rangle (X_{pn}^J u_p v_n - Y_{pn}^J v_p u_n). \quad (26)$$

In the calculations performed in this work we have considered transitions between the  $|0^+\rangle$  ground state of a spherical even-even target nucleus and excited states in the odd-odd nucleus with multiplicities  $J_f^\pi = 0^\pm - 7^\pm$ .

The spin-isospin-dependent interaction terms are generated by the exchange of  $\pi$  and  $\rho$  mesons. Although the direct one-pion contribution to the nuclear ground state vanishes at the mean-field level because of parity conservation, the pion nevertheless must be included in the calculation of spin-isospin excitations that contribute to the neutrino-nucleus cross section. The corresponding particle-hole residual interaction of the PN-RQRPA is derived from the Lagrangian density

$$\mathcal{L}_{\pi+\rho}^{\text{int}} = -g_\rho \bar{\psi} \gamma^\mu \vec{\rho}_\mu \vec{\tau} \psi - \frac{f_\pi}{m_\pi} \bar{\psi} \gamma_5 \gamma^\mu \partial_\mu \vec{\pi} \vec{\tau} \psi, \quad (27)$$

where arrows denote vectors in isospin space. The residual two-body interaction reads

$$\begin{aligned} V(\mathbf{r}_1, \mathbf{r}_2) &= \vec{\tau}_1 \vec{\tau}_2 (\beta \gamma^\mu)_1 (\beta \gamma_\mu)_2 g_\rho [\rho_v(\mathbf{r}_1)] g_\rho [\rho_v(\mathbf{r}_2)] D_\rho(\mathbf{r}_1, \mathbf{r}_2) \\ &\quad - \left( \frac{f_\pi}{m_\pi} \right)^2 \vec{\tau}_1 \vec{\tau}_2 (\boldsymbol{\Sigma}_1 \nabla_1) (\boldsymbol{\Sigma}_2 \nabla_2) D_\pi(\mathbf{r}_1, \mathbf{r}_2), \end{aligned} \quad (28)$$

where  $D_{\rho(\pi)}$  is the meson propagator

$$D_{\rho(\pi)} = \frac{1}{4\pi} \frac{e^{-m_{\rho(\pi)} |\mathbf{r}_1 - \mathbf{r}_2|}}{|\mathbf{r}_1 - \mathbf{r}_2|}, \quad (29)$$

and

$$\boldsymbol{\Sigma} = \begin{pmatrix} \boldsymbol{\sigma} & 0 \\ 0 & \boldsymbol{\sigma} \end{pmatrix}. \quad (30)$$

For the  $\rho$ -meson density-dependent coupling strength we choose the functional form used in the DD-ME2 effective interaction [52]:

$$g_\rho(\rho_v) = g_\rho(\rho_{\text{sat}}) e^{-a_\rho(x-1)}, \quad (31)$$

where  $x = \rho_v/\rho_{\text{sat}}$  and  $\rho_{\text{sat}}$  is the nucleon density at saturation in symmetric nuclear matter.

For the pseudovector pion-nucleon coupling we use the standard values:  $f_\pi^2/4\pi = 0.08$  and  $m_\pi = 138$  MeV. The derivative type of the pion-nucleon coupling necessitates the inclusion of the zero-range Landau-Migdal term, which accounts for the contact part of the nucleon-nucleon interaction

$$V_{\delta\pi} = g' \left( \frac{f_\pi}{m_\pi} \right)^2 \vec{\tau}_1 \vec{\tau}_2 \boldsymbol{\Sigma}_1 \cdot \boldsymbol{\Sigma}_2 \delta(\mathbf{r}_1 - \mathbf{r}_2), \quad (32)$$

with the parameter  $g'$  adjusted in such a way that the PN-RQRPA reproduces the experimental values of GTR excitation energies [47]. For the DD-ME2 effective interaction the value of  $g' = 0.52$  has been adjusted to the position of the GTR in  $^{208}\text{Pb}$ .

In most applications of the RHB and RQRPA models [44, 46], the pairing part of the Gogny force has been used in the

the  $pp$  channel:

$$\begin{aligned} V^{pp}(1, 2) &= \sum_{i=1,2} e^{-[(r_1-r_2)/\mu_i]^2} \\ &\quad \times (W_i + B_i P^\sigma - H_i P^\tau - M_i P^\sigma P^\tau), \end{aligned} \quad (33)$$

with the set D1S [51] for the parameters  $\mu_i$ ,  $W_i$ ,  $B_i$ ,  $H_i$ , and  $M_i$  ( $i = 1, 2$ ). This force has been very carefully adjusted to pairing properties of finite nuclei all over the periodic table. In particular, the basic advantage of the Gogny force is the finite range, which automatically guarantees a proper cutoff in momentum space.

#### IV. ILLUSTRATIVE CALCULATIONS: CROSS SECTIONS FOR NEUTRINO DETECTOR RESPONSE

The theoretical framework described in the previous two sections can systematically be applied in studies of charged-current neutrino reaction rates with target nuclei of arbitrary mass. We have performed several illustrative calculations of cross sections for reactions with nuclei of interest for neutrino detector response:  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{56}\text{Fe}$ , and  $^{208}\text{Pb}$ . The inclusive cross sections, which sum the contributions from transitions to all possible final states, are given as functions of neutrino energy and can be averaged over the experimental neutrino flux when available, e.g., from the decay at rest of  $\mu^+$  and the decay in flight of  $\pi^+$  [6–11]. The flux-averaged cross sections provide a crucial test for the validity of the theoretical approach used for modeling neutrino-nucleus reactions.

For charged-current reactions the cross section Eq. (2) must be corrected for the distortion of the outgoing lepton wave function by the Coulomb field of the daughter nucleus. To be able to compare our results with previous studies [20,32], we use the same prescription for the Coulomb correction. The cross section can either be multiplied by a Fermi function obtained from the numerical solution of the Dirac equation for an extended nuclear charge distribution [32] or, at higher energies, the effect of the Coulomb field can be described by the effective momentum approximation (EMA) [54–56], in which the lepton momentum  $p_l$  and energy  $E_l$  are modified as

$$p_l^{\text{eff}} = \sqrt{E_l^{\text{eff}} - m_l^2} \quad (34)$$

$$E_l^{\text{eff}} = E_l - V_C^{\text{eff}}, \quad (35)$$

where  $V_C^{\text{eff}}$  is the effective Coulomb potential, the nuclear charge radius is denoted by  $r_c$ , and  $m_l$  is the mass of the outgoing lepton. In a recent study using exact Dirac wave functions, it has been shown that an accurate approximation for the effective electron momenta is obtained by using the mean value of the Coulomb potential:  $V_C^{\text{eff}} = 4V_C(0)/5$ , where  $V_C(0) = -3Z\alpha/(2r_c)$  corresponds to the electrostatic potential evaluated at the center of the nucleus [57,58]. In calculations with EMA, the original lepton momentum  $p_l$  and energy  $E_l$  appearing in the expression for the cross section are replaced by the above effective quantities.

The two prescriptions for the Coulomb correction are tested in the calculation of the inclusive cross section for neutrino scattering on a high- $Z$  nucleus:  $^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$ . In Fig. 1 we display the corresponding cross sections either

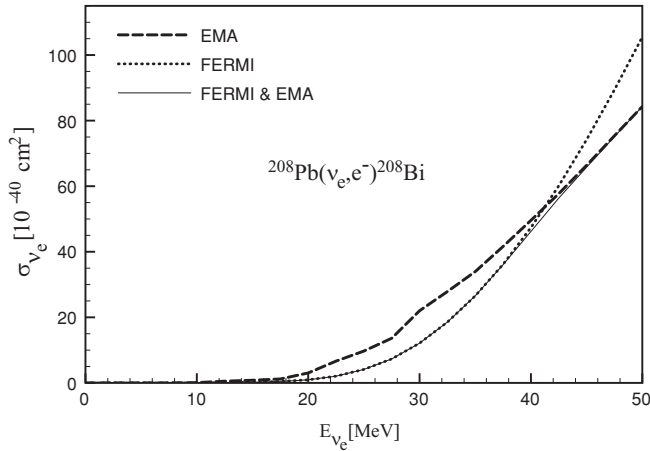


FIG. 1. Inclusive neutrino-nucleus cross sections for the  $^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$  reaction, evaluated using the effective momentum approximation (dashed), the Fermi function correction (dotted), and their combination (solid). The PN-RQRPA calculation is performed with the DD-ME2 effective interaction.

corrected with the Fermi function or calculated with the EMA. As already shown in previous studies, the Fermi function correction overestimates the cross sections at higher neutrino energies, where the EMA provides a more reliable correction. Therefore, following the prescription from Ref. [20], we use the Fermi function correction in the range of neutrino energies for which the cross section is below the corresponding EMA value, whereas the EMA is employed at higher energies (cf. the solid curve in Fig. 1).

One of the most extensively studied neutrino-nucleus reactions is  $^{12}\text{C}(\nu_e, e^-)^{12}\text{N}$ . This reaction is particularly important because  $^{12}\text{C}$  is used in liquid scintillator detectors, and data on the cross section are available from the LSND [5,6] and KARMEN [7–9] Collaborations, as well as from LAMPF [10,11]. To illustrate the contributions of different multipole excitations, in Fig. 2 we plot the inclusive cross section for the

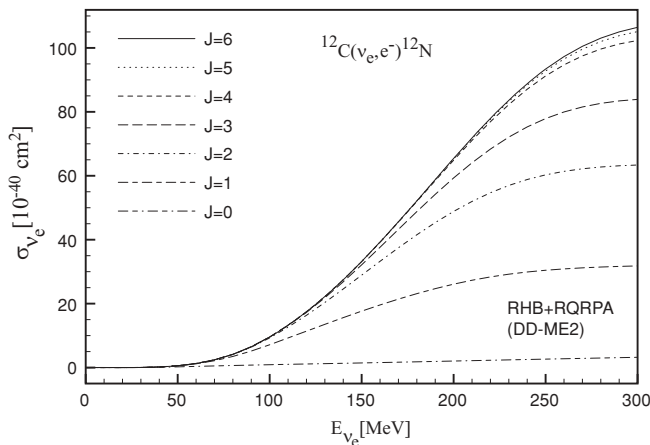


FIG. 2. The RHB plus PN-RQRPA inclusive neutrino-nucleus cross sections for the  $^{12}\text{C}(\nu_e, e^-)^{12}\text{N}$  reaction. The different curves correspond to cross sections evaluated by successively increasing the maximal allowed angular momentum in the sum over  $J$  in Eq. (5): from  $J_{\text{max}} = 0^\pm$  to  $J_{\text{max}} = 7^\pm$ .

$^{12}\text{C}(\nu_e, e^-)^{12}\text{N}$  reaction as a function of the neutrino energy, obtained by successively increasing the maximal allowed angular momentum in the sum over  $J$  in Eq. (5): from  $J_{\text{max}} = 0^\pm$  to  $J_{\text{max}} = 7^\pm$ . One notices that the largest contributions arise from  $J = 1^\pm$  and  $J = 2^\pm$  and that the contribution of higher multiplicities gradually decreases. In fact, in this figure one cannot distinguish the cross sections calculated with  $J_{\text{max}} = 6^\pm$  and  $J_{\text{max}} = 7^\pm$  for the whole interval of neutrino energies.

The results of model calculations can be compared with available data by averaging the cross section over the neutrino flux  $f(E_\nu)$ , which depends on the specific neutrino source

$$\langle \sigma_\nu \rangle = \frac{\int dE_\nu \sigma_\nu(E_\nu) f(E_\nu)}{\int dE'_\nu f(E'_\nu)}. \quad (36)$$

For  $\nu_e$ , the Michel flux from the decay at rest (DAR) of  $\mu^+$  is used [10],

$$f(E_{\nu_e}) = \frac{96 E_{\nu_e}^2}{m_\mu^4} (m_\mu - 2E_{\nu_e}), \quad (37)$$

whereas for  $\nu_\mu$  we employ the polynomial fit to the experimental flux obtained from the decay in flight (DIF) of  $\pi^+$  [59]. In Table I the values of the muon and electron neutrino-nucleus cross sections for the reactions  $^{12}\text{C}(\nu_e, e^-)^{12}\text{N}$  and  $^{12}\text{C}(\nu_\mu, \mu^-)^{12}\text{N}$ , calculated with the PN-RQRPA and averaged over the empirical neutrino fluxes, are compared with the results of previous theoretical studies based on the nonrelativistic random-phase approximation and the shell model, and with the experimental values: LAMPF [10], KARMEN [8], and LSND [6,59–61].

For the  $(\nu_\mu, \mu^-)$  reaction the PN-RQRPA cross section is in agreement with the (Q)RPA results of Ref. [20] and the CRPA value of Ref. [31]. These values are, however, by almost a factor of two, larger than the experimental cross section. The  $^{12}\text{C}(\nu_\mu, \mu^-)^{12}\text{N}^*$  anomaly, i.e., the fact that the measured flux-averaged cross section is significantly lower than most calculated values (cf. Table I), has been the subject of a number of theoretical studies, but it is still not fully understood. Within the QRPA framework in particular, a recent study by Krmpotić, Samana, and Mariano [41] has emphasized the importance of performing particle-number projection to bring the theoretical value in agreement with the data. We notice that their best result is, in fact, only  $\approx 20\%$  larger than the experimental cross section.

The PN-RQRPA cross section for the  $^{12}\text{C}(\nu_e, e^-)^{12}\text{N}$  reaction is in better agreement with experiment when compared with the results of the QRPA calculations [20,41]. We notice that a very good agreement with the data has been obtained in the shell model calculations of Refs. [19,20,62] and with the RPA based on the Skyrme interactions SGII and SIII [21].

Although in the present study we do not discuss the results for the exclusive transitions  $^{12}\text{C}(\nu_e, e^-)^{12}\text{N}_{\text{gs}}$  and  $^{12}\text{C}(\nu_\mu, \mu^-)^{12}\text{N}_{\text{gs}}$  to the ground state  $I^\pi = 1^+$ , in general the theoretical cross sections (shell model, continuum RPA, particle-number-projected QRPA) are in excellent agreement with the data [16]. To have a meaningful comparison with the data, however, a particular model must reproduce not only the charged-current neutrino-nucleus exclusive cross section but also the rates for the related weak processes among the

TABLE I. Flux-averaged neutrino-nucleus cross sections for the  $^{12}\text{C}$  target nucleus. The results of several shell model and QRPA based calculations, including the present PN-RQRPA (DD-ME2), are compared with available data.

	$(\nu_\mu, \mu^-)(\sigma)(10^{-40} \text{ cm}^2)$	$(\nu_e, e^-)(\sigma)(10^{-42} \text{ cm}^2)$
RPA (SIII) [20]	19.23	55.10
QRPA (SIII) [20]	20.29	52.0
CRPA (WS + LM) [31]	18.18	19.28
PQRPA (PIII) [41]	13.51	17.54
RPA [64]		13.60
RPA (SGII) [21]	13.5	12.9
RPA (SIII) [21]	14.5	16.5
SM (WS(0 + 1 + 2 + 3) $\hbar\omega$ ) [19]	13.2	12.3
SM (0 $\hbar\omega \times 0.64$ ) [62]	19.2	15.1
SM (HF(0 + 1 + 2 + 3) $\hbar\omega$ ) [20]	15.18	16.42
PN-RQRPA (DD-ME2)	19.59	12.14
Exp. (KARMEN) [8]		14.0 $\pm$ 1.2
Exp. (LSND) [59,60]	12.4 $\pm$ 0.3 $\pm$ 1.8	13.2 $\pm$ 0.4 $\pm$ 0.6
Exp. (LSND) [61]	10.6 $\pm$ 0.3 $\pm$ 1.8	
Exp. (LAMPF) [10]		14.1 $\pm$ 2.3

triplet of nuclei:  $^{12}\text{B}$ ,  $^{12}\text{C}$ ,  $^{12}\text{N}$  ( $\beta$  decays,  $\mu$  capture, and neutrino-induced reactions).

In Fig. 3 the calculated inclusive cross sections are plotted up to 100 MeV neutrino energy for three reactions including also heavier nuclei:  $^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$ ,  $^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$ , and  $^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$ . The results of the RHB plus PN-RQRPA calculations are compared with those of a very recent analysis performed with the nonrelativistic QRPA and using the Skyrme interaction SIII [63]. First we notice the pronounced enhancement of cross sections for neutrino reactions on heavier nuclei: from  $^{16}\text{O}$  to  $^{208}\text{Pb}$  the reaction cross sections increase by more than two orders of magnitude. For the reaction on  $^{16}\text{O}$  the present results are in excellent agreement with the QRPA-SIII cross sections [63] at all neutrino energies. This is also the case

for the reactions on  $^{56}\text{Fe}$  and  $^{208}\text{Pb}$  at neutrino energies above 40 MeV, whereas at lower energies the RHB plus RQRPA cross sections appear somewhat below the QRPA results.

In Table II we compare various theoretical results of flux-averaged cross sections for the electron neutrino reactions with  $^{16}\text{O}$ ,  $^{56}\text{Fe}$ , and  $^{208}\text{Pb}$  target nuclei. For the neutrino flux the DAR spectrum Eq. (37) is used, and values obtained with the shell model [62], the tensor model [65], Tamm-Dancoff [66], and various RPA based calculations are included. For the  $^{16}\text{O}$  target, the present PN-RQRPA (DD-ME2) cross section agrees with the shell model and (Q)RPA results. For heavier targets the differences between various calculations are more pronounced. Particularly important is the reaction  $^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$ , because it is the only case in which data are available for a medium-heavy target nucleus [9,67]. The two self-consistent calculations, the QRPA [63] and the present RHB plus PN-RQRPA, which do not require any additional adjustments of the model parameters to the specific target nucleus, predict values for the cross section:  $352 \times 10^{-42} \text{ cm}^2$  (QRPA [63]) and  $140 \times 10^{-42} \text{ cm}^2$  (PN-RQRPA), which differ considerably, but are still within the uncertainties of the experimental result  $(256 \pm 108 \pm 43) \times 10^{-42} \text{ cm}^2$ .

Obviously various theoretical approaches differ significantly in the predicted neutrino-nucleus cross sections, and this will require more detailed studies of the underlying nuclear structure that contributes to the neutrino reaction rates. On the other hand, the only data for a medium-heavy target nucleus are from the KARMEN Collaboration [9], and this result has not yet been confirmed by independent measurement. One hopes that future experiments will provide additional constraints for theoretical models that are used in the description of weak interaction rates. At the same time the nuclear structure input for neutrino-nucleus cross sections must be further analyzed and checked by improving the description of nuclear ground state properties and spin-isospin excitations relevant for the calculation of the reaction rates.

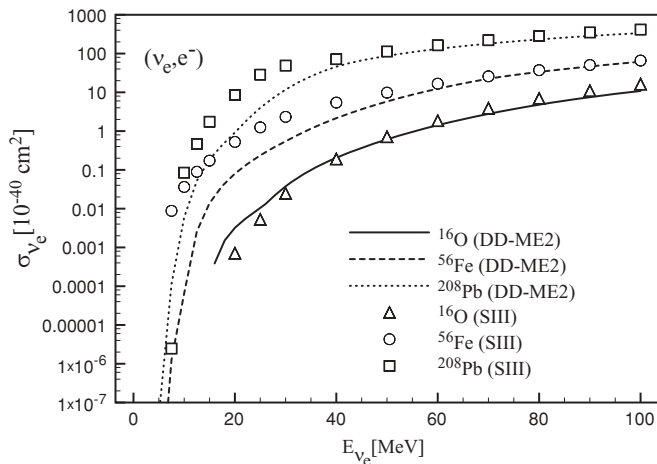


FIG. 3. Inclusive cross sections for the  $^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$ ,  $^{40}\text{Ar}(\nu_e, e^-)^{40}\text{K}$ , and  $^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$  reactions. The RHB plus PN-RQRPA(DD-ME2) results are compared with those obtained in the QRPA with the Skyrme interaction SIII [63].

TABLE II. Flux-averaged cross sections for the  $\nu_e$  reaction on  $^{16}\text{O}$ ,  $^{56}\text{Fe}$ , and  $^{208}\text{Pb}$  target nuclei.

	$^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$ ( $\sigma$ )( $10^{-42}$ cm $^2$ )	$^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$ ( $\sigma$ )( $10^{-42}$ cm $^2$ )	$^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$ ( $\sigma$ )( $10^{-42}$ cm $^2$ )
SM ( $0\hbar\omega \times 0.64$ ) [62]	10.8		
TM [65]		214	
TDA (SKIII) [66]			2954,3204
RPA [64]	14.55	277	2643
CRPA (WS+LM) [67]		240	3620
(Q)RPA (SIII,SGII)	16.90,17.20 [21]	352 [63]	4439 [23]
PN-RQRPA (DD-ME2)	13.18	140	2789
Exp. (KARMEN) [9,67]		$256 \pm 108 \pm 43$	

Next we consider in more detail the contributions from excitations of various multipolarities to the neutrino-nucleus cross sections evaluated within the RHB plus PN-RQRPA framework. Figure 4 displays the calculated cross sections for the reaction  $^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$ . The solid curve represents the inclusive cross section as a function of the neutrino energy calculated by including all multipole transitions with  $J \leq 7^\pm$ , whereas the dashed curve corresponds to the cross section evaluated only for transitions to the isobaric analog state (IAS) and Gamow-Teller (GT) resonance. The difference between these two cases is represented by the dotted curve, which, as one notes, rises sharply with energy. Below an incident neutrino energy of  $E_{\nu_e} \approx 30$  MeV the dominant contribution to the cross section originates from the two basic charge exchange modes: IAS and GTR. With increasing  $E_{\nu_e}$ , however, contributions from other multipole transitions become even more important. This result clearly shows that models that take into account only the IAS and GTR transitions [68] cannot provide quantitative predictions of cross sections at neutrino energies  $E_{\nu_e} \geq 30$  MeV.

The contribution of different multipole transitions to the cross section for the reaction  $^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$ , at increasing

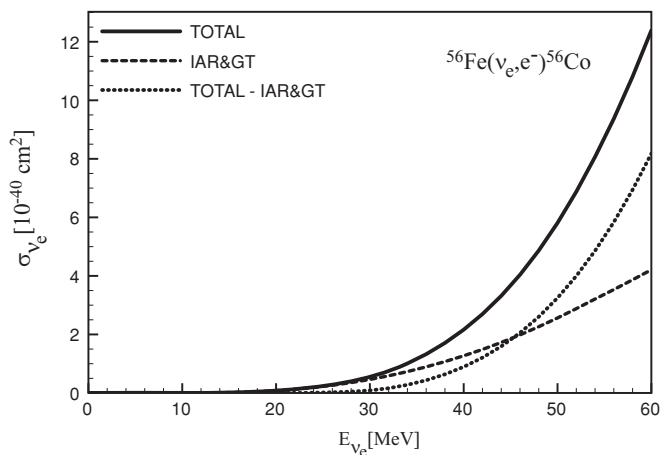


FIG. 4. Inclusive cross section for the  $^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$  reaction. The solid curve corresponds to the full calculation including all transitions with multipolarity  $J \leq 7^\pm$ , whereas the cross section evaluated for the IAS and GT transitions only is represented by the dashed curve. The dotted curve is the difference between the two calculations.

neutrino energies, is illustrated in Fig. 5. For the neutrino energies  $E_{\nu_e} = 20, 40, 60,$  and  $80$  MeV, we display the partial contributions to the total cross section of multipoles from  $J = 0^\pm$  to  $J = 5^\pm$ . At  $E_{\nu_e} = 20$  MeV the reaction is dominated by transitions to the IAS and GTR. At higher energies, however, other multipolarities start to play an important role and one notices, in particular, the dominant contribution of the  $1^-$  transitions at  $E_{\nu_e} = 60$  and  $80$  MeV. This distribution of multipole transitions, calculated in the RHB plus PN-RQRPA model, is similar to the one obtained in the QRPA with Skyrme effective interactions [63]. The differences in the contributions of higher multipoles can be attributed to the different effective interactions employed in the two models.

## V. CROSS SECTIONS FOR SUPERNOVA NEUTRINOS

An important application of microscopic models of neutrino-nucleus reactions is the calculation of cross sections for supernova neutrinos. Accurate modeling of reaction rates on nuclei that can be used as targets for the supernova neutrino detectors is, of course, essential for studies of supernova dynamics and, in particular, of weak interaction processes that determine the evolution of a supernova explosion. In this section we compare the results of illustrative RHB plus PN-RQRPA calculations of charged-current reaction rates with those obtained in the shell model [17] and with the CRPA [32]. The supernova neutrino flux is usually described by the Fermi-Dirac spectrum:

$$f(E_\nu) = \frac{1}{T^3} \frac{E_\nu^2}{\exp[(E_\nu/T) - \alpha] + 1}. \quad (38)$$

At typical supernova neutrino energies one expects the total cross section for the charged current reaction ( $\nu_e, e^-$ ) to be dominated by the allowed transitions to the IAS and the Gamow-Teller resonance states in the daughter nucleus. In Fig. 6 we display the flux-averaged cross sections for the reaction  $^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$ , evaluated at different temperatures in the interval  $T = 2\text{--}10$  MeV, and for the chemical potential  $\alpha = 0$ . The results are in remarkable agreement with those obtained in the shell model calculation of Ref. [17]. This is a very interesting result, because the two models are based on entirely different concepts and use different effective interactions. An important advantage of the present approach,



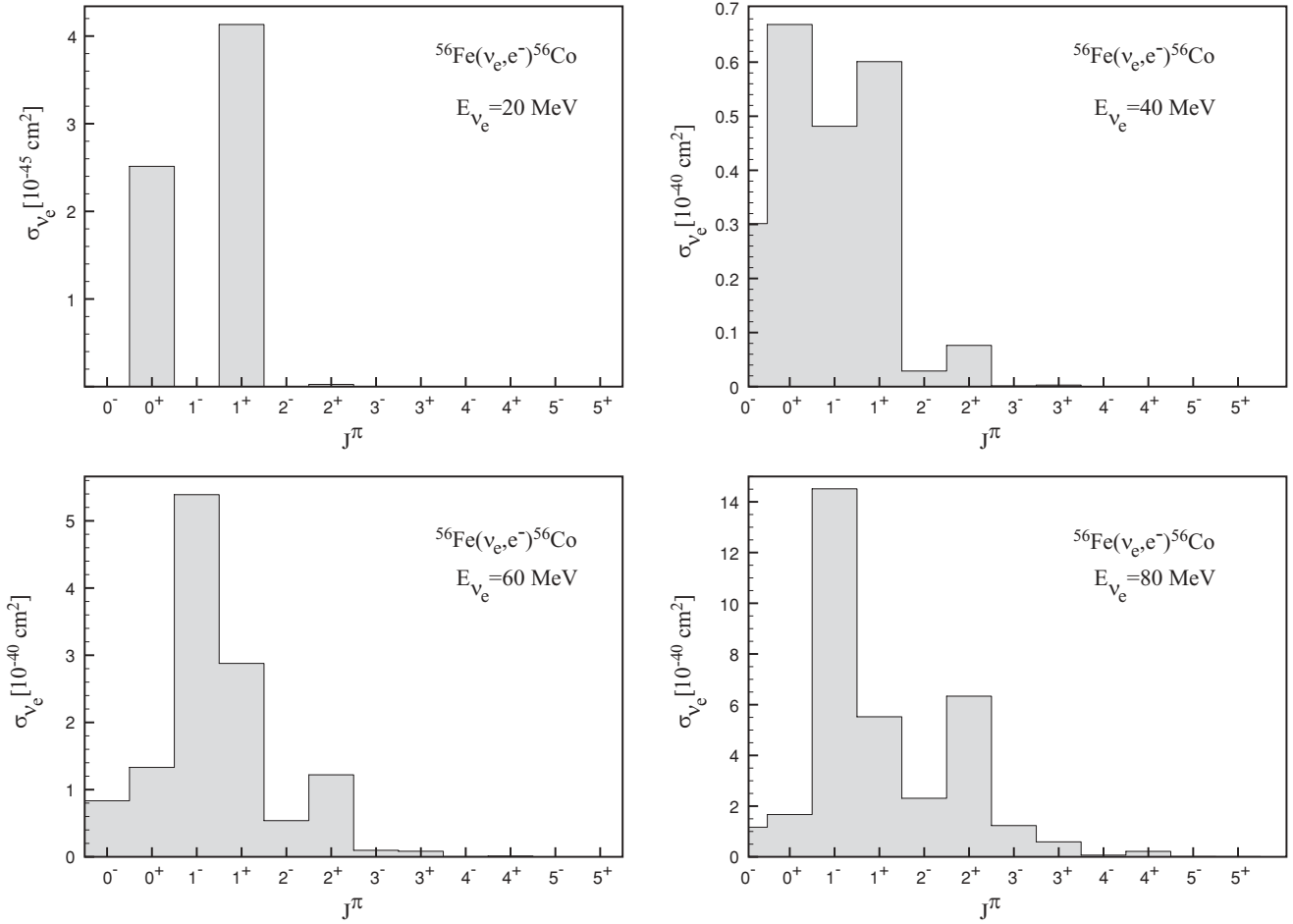


FIG. 5. Contributions of different multipole transitions to the RHB plus PN-RQRPA inclusive cross section for the  $^{56}\text{Fe}(\nu_e, e^-)^{56}\text{Co}$  reaction at the incoming electron neutrino energies  $E_{\nu_e} = 20, 40, 60,$  and  $80$  MeV.

however, is that it can easily be extended to heavier nuclei and to systems far from stability, and therefore also applied in the description of the *r*-process nucleosynthesis.

We have further tested the model in the case of the heavy target:  $^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$ . For the same neutrino flux as in the previous example, the corresponding cross sections are shown in Fig. 7 and compared, at  $T = 6, 8,$  and  $10$  MeV, with the results from Refs. [20] [32], and [69]. Because at higher temperatures the neutrino flux extends toward higher

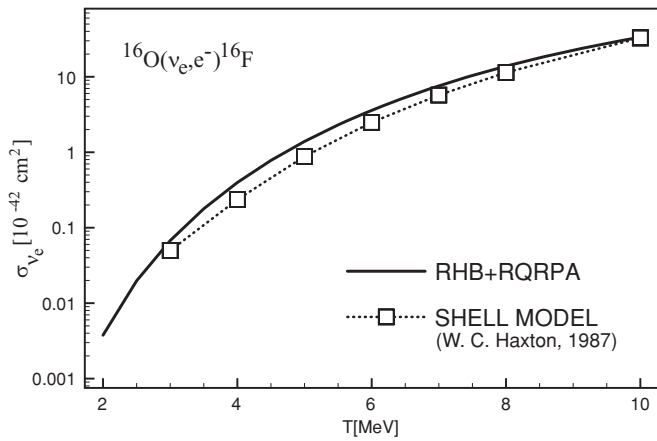


FIG. 6. Inclusive cross section for the  $^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$  reaction, averaged over the supernova neutrino flux and plotted as a function of temperature. The PN-RRPA results obtained with the DD-ME2 effective interaction are compared with the shell model cross sections from Ref. [17].

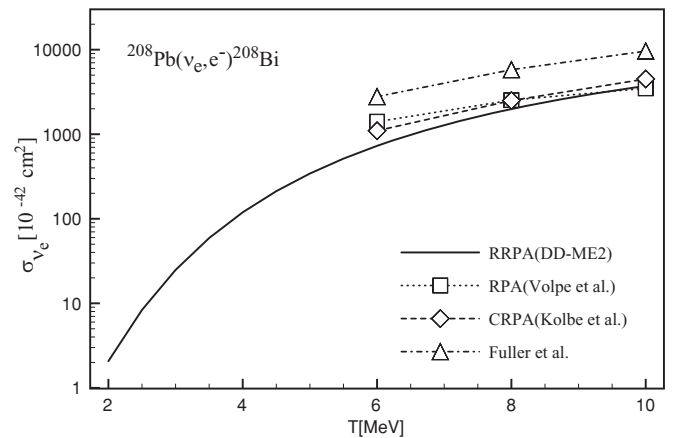


FIG. 7. Same as in Fig. 6 but for the  $^{208}\text{Pb}(\nu_e, e^-)^{208}\text{Bi}$  reaction, in comparison with the results from Refs. [20,32], and [69].

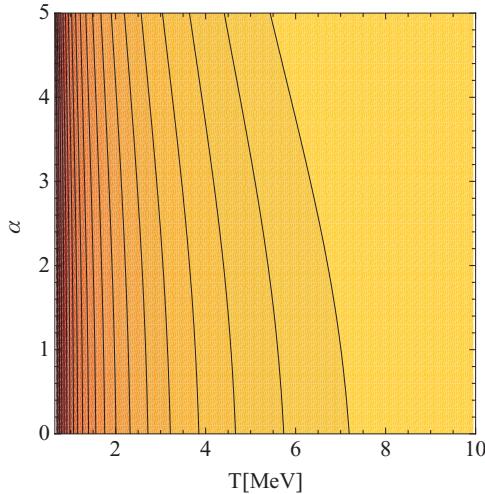


FIG. 8. (Color online) Contour plot of supernova neutrino-nucleus cross sections for the  $^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$  reaction as functions of the temperature  $T$  and chemical potential  $\alpha$  that determine the Fermi-Dirac neutrino spectrum Eq. (38). The solid curves in the plot correspond to constant values of the cross section, and lighter shading denotes the increase of cross sections.

neutrino energies, the calculated cross sections display a pronounced enhancement with temperature (cf. Sec. IV). In comparison with the RPA based results from Refs. [20,32], the present PN-RQRPA calculation predicts a slightly lower value for the flux-averaged cross section at  $T = 6$  MeV, whereas an excellent agreement is obtained at  $T = 8$  and 10 MeV. Note that the calculation of Ref. [69], which includes the IAS, the GT, and the first-forbidden transitions computed in the Goldhaber-Teller model, consistently predicts higher values for the flux-averaged cross section at all considered temperatures. As has already been discussed in Ref. [23], a possible origin of this difference could be the use of the Fermi function for the Coulomb correction at higher neutrino energies, instead of the EMA.

Although most calculations of neutrino-nucleus cross sections consider only the case where the chemical potential  $\alpha = 0$ , in astrophysical applications it might be important to perform studies of reaction rates for other values of  $\alpha$  [32]. We have therefore explored the sensitivity of the neutrino reaction cross sections to  $\alpha$ . In Fig. 8 the contour plot is shown for the  $^{16}\text{O}(\nu_e, e^-)^{16}\text{F}$  cross sections as functions of  $T$  and  $\alpha$  in the Fermi-Dirac spectrum of Eq. (38). The curves in the plot correspond to constant values of the cross sections. At lower temperatures the cross sections depend only very weakly on  $\alpha$ . However, already above  $T = 2$  MeV, the cross sections increase much faster for higher values of the chemical potential  $\alpha$ .

## VI. CONCLUDING REMARKS

Detailed microscopic calculations of charged-current and neutral-current neutrino-nucleus reaction rates are of crucial importance for models of neutrino oscillations, detection of supernova neutrinos, and studies of the  $r$ -process nucleosynthesis. In this work we have introduced a consistent microscopic method for calculating neutrino-nucleus cross

sections, based on the relativistic mean-field framework. In this approach the ground state of a nucleus is described with the RHB model, and the neutrino-induced transitions to excited nuclear states are computed in the relativistic quasiparticle random phase approximation. Because it is based on the self-consistent mean-field approach to nuclear structure, the model can be applied to neutrino reactions with target nuclei of arbitrary mass throughout the chart of nuclides. By employing universal effective interactions, with parameters adjusted to global nuclear properties, the calculation of neutrino-nucleus cross sections is essentially parameter free. In the particular model introduced in this work, once the ground state of a target nucleus is calculated with the RHB model, the only additional parameter in the PN-QRPA calculations of excited states is the strength  $g'$  of the zero-range Landau-Migdal term, which accounts for the contact part of the nucleon-nucleon interaction. The value of this parameter depends on the isovector properties (symmetry energy) of the effective interaction in the particle-hole channel and is adjusted to reproduce the excitation energy of the GTR in  $^{208}\text{Pb}$ . The same value of  $g'$  is then used in calculations of charge-exchange excitations in all other nuclei. Because it does not contain parameters adjusted to specific target nuclei, or even to a particular mass region, the model can be extended to regions of nuclei far from stability for which no data on ground and/or excited states are available, including those on the path of the  $r$  process.

We have performed a number of illustrative test calculations for charged-current neutrino reactions on  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{56}\text{Fe}$ , and  $^{208}\text{Pb}$  in the low-energy range below 100 MeV neutrino energy. The results have been compared with those obtained in previous theoretical studies based on the shell model and the nonrelativistic random-phase approximation and with the available experimental values for flux-averaged cross sections. In addition to the total neutrino-nucleus cross sections, we have also analyzed the evolution of the contributions of different multipole excitations as a function of neutrino energy. It has been shown that except at relatively low neutrino energies  $E \leq 30$  MeV, for which the reactions are dominated by transitions to IAS and GTR states, at higher energies the inclusion of spin-dipole transitions, and also excitations of higher multiplicities, is essential for a quantitative description of neutrino-nucleus cross sections. Finally, we have also investigated the cross sections for reactions of supernova neutrinos on  $^{16}\text{O}$  and  $^{208}\text{Pb}$  target nuclei as functions of the temperature and chemical potential.

The results for the test cases are in good agreement with the few available data and with the cross sections calculated in the shell model for reactions on light nuclei. The advantage of the RHB plus PN-RQRPA model over the shell model approach is, of course, the possibility of performing calculations for reactions on heavier nuclei and in regions of nuclei far from stability. The differences between the results of various RPA based calculations, especially in heavier nuclei and at low neutrino energies, can most probably be attributed to the different effective interactions used in modeling the structure of target nuclei, but they also indicate that more detailed experimental and theoretical studies of the transitions that contribute to the neutrino reaction rates must be performed.

After the present test study, future applications of the RHB plus PN-RQRPA model will include a more extensive investigation of charged-current neutrino-nucleus reaction rates as well as processes induced by neutral-current neutrino reactions and neutrino nucleosynthesis.

### ACKNOWLEDGMENTS

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