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APPROXIMATE CONTROLLABILITY OF IMPULSIVE NON-LOCAL NON-LINEAR FRACTIONAL DYNAMICAL SYSTEMS AND OPTIMAL CONTROL

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Abstract. We establish existence, approximate controllability and optimal control of a class of impulsive non-local non-linear fractional dynamical systems in Banach spaces. We use fractional calculus, sectorial operators and Krasnoselskii fixed point theorems for the main results. Approximate controllability results are discussed with respect to the inhomogeneous non-linear part. Moreover, we prove existence results of optimal triplets of corresponding fractional control systems with Bolza cost functionals.

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1. INTRODUCTION

We are concerned with an impulsive non-local non-linear fractional control dynamical system of form

$$\begin{cases} C D_t^q x(t) = Ax(t) + f(t, x(t), (Hx)(t)) + Bu(t), t \in (0, b] \setminus \{t_1, t_2, \dots, t_m\}, \\ x(0) + g(x) = x_0 \in X, \quad \Delta x(t_i) = I_i(x(t_i^-)) + Dv(t_i^-), \quad i = 1, 2, \dots, m, \end{cases}$$
(1.1)

where ${}^{C}D_{t}^{q}$ is the Caputo fractional derivative of order 0 < q < 1, the state $x(\cdot)$ takes its values in a Banach space X with norm $\|\cdot\|$, and $x_{0} \in X$. Let $A : D(A) \subset X \to X$ be a sectorial operator of type (M, θ, q, μ) on X, $H : I \times I \times X \to X$ represents a Volterra-type operator such that $(Hx)(t) = \int_{0}^{t} h(t, s, x(s)) ds$, the control functions $u(\cdot)$ and $v(\cdot)$ are given in $L^{2}(I, U)$, U is a Banach space, B and D are bounded linear operators from U into X. Here, one has $I = [0, b], 0 = t_{0} < t_{1} < \cdots < t_{m} < t_{m+1} = b$, $I_{i} : X \to X$ are impulsive functions that characterize the jump of the solutions at impulse points t_{i} , the non-linear term $f : I \times X \times X \to X$, the non-local function $g : PC(I, X) \to X$, with PC defined later, $\Delta x(t_{i}) = x(t_{i}^{+}) - x(t_{i}^{-})$, where $x(t_{i}^{+})$ and $x(t_{i}^{-})$ are the right and left limits of x at the point t_{i} , respectively.

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Derivatives and integrals of arbitrary order, the main objects of Fractional Calculus (FC), have kept the interest of many scientists in recent years, since they provide an excellent tool to describe hereditary properties of various materials and processes. During the past decades, FC and its applications have gained a lot of importance, due to successful results in modelling several complex phenomena in numerous seemingly diverse and widespread fields of science and engineering, such as heat conduction, diffusion, propagation of waves, radiative transfer, kinetic theory of gases, diffraction problems and water waves, radiation, continuum mechanics, geophysics, electricity and magnetism, as well as in mathematical economics, communication theory, population genetics, queuing theory and medicine. For details on the theory and applications of FC see [9]. For recent developments in non-local and impulsive fractional differential problems see [1, 2, 8, 10] and references therein.

The problem of controllability is one of the most important qualitative aspects of dynamical systems in control theory. It consists to show the existence of a control function that steers the solution of the system from its initial state to a final state, where the initial and final states may vary over the entire space. This concept plays a major role in finite-dimensional control theory, so that it is natural to try to generalize it to infinite dimensions [14]. Moreover, exact controllability for semi-linear fractional order systems, when the non-linear term is independent of the control function, is proved by assuming that the controllability operator has an induced inverse on a quotient space. However, if the semi-group associated with the system is compact, then the controllability operator is also compact and hence the induced inverse does not exist because the state space is infinite dimensional [17]. Thus, the concept of exact controllability is too strong and has limited applicability, while approximate controllability is a weaker concept completely adequate in applications.

On the other hand, control systems are often based on the principle of feedback, where the signal to be controlled is compared to a desired reference, and the discrepancy is used to compute a corrective control action. Fractional optimal control of a distributed system is an optimal control problem for which the system dynamics is defined with fractional differential equations. Recently, attention has been paid to prove existence, approximate controllability and/or optimal control for different classes of fractional differential equations [4–7].

In [11], optimal control of non-instantaneous impulsive differential equations is studied. Qin et al. investigate approximate controllability and optimal control of fractional dynamical systems of order 1 < q < 2 in Banach spaces [13]. Debbouche and Antonov established approximate controllability of semi-linear Hilfer fractional differential inclusions with impulsive control inclusion conditions in Banach spaces [3]. Motivated by the above works, here we construct an impulsive non-local non-linear fractional control dynamical system and prove new sufficient conditions to treat the questions of approximate controllability and optimal control.

The paper is organized as follows. In Section 2, we recall some facts from fractional calculus, q-resolvent families, and useful versions of fixed point techniques that are used for obtaining our main results. In Section 3, we form appropriate sufficient conditions and prove existence results for the fractional control system (1.1). In Section 4, we investigate the question of approximate controllability. We end with Section 5, where we obtain optimal controls corresponding to fractional control systems with a Bolza cost functional.

2. PRELIMINARIES

Here we present some preliminaries from fractional calculus [9], operator theory [12] and fixed point techniques [1], which are used throughout the work to obtain the desired results.

Definition 1. The left-sided Riemann–Liouville fractional integral of order $\alpha > 0$, with lower limit *a*, for a function $f : [a, +\infty) \to \mathbb{R}$, is defined as

$$I_{a+}^{\alpha}f(t) = \frac{1}{\Gamma(\alpha)} \int_{a}^{t} (t-s)^{\alpha-1} f(s) ds,$$

provided the right side is point-wise defined on $[a, +\infty)$, where $\Gamma(\cdot)$ is the Euler gamma function. If a = 0, then we can write $I_{0+}^{\alpha} f(t) = (g_{\alpha} * f)(t)$, where

$$g_{\alpha}(t) := \begin{cases} \frac{1}{\Gamma(\alpha)} t^{\alpha-1}, & t > 0\\ 0, & t \le 0 \end{cases}$$

and * denotes convolution of functions. Moreover, $\lim_{\alpha \to 0} g_{\alpha}(t) = \delta(t)$, with δ the delta Dirac function.

Definition 2. The left-sided Riemann–Liouville fractional derivative of order $\alpha > 0$, $n - 1 \le \alpha < n$, $n \in \mathbb{N}$, for a function $f : [a, +\infty) \to \mathbb{R}$, is defined by

$${}^{L}D_{a+}^{\alpha}f(t) = \frac{1}{\Gamma(n-\alpha)}\frac{d^{n}}{dt^{n}}\int_{a}^{t}\frac{f(s)}{(t-s)^{\alpha+1-n}}ds, \quad t > a,$$

where function f has absolutely continuous derivatives up to order n-1.

Definition 3. The left-sided Caputo fractional derivative of order $\alpha > 0$, $n - 1 < \alpha < n$, $n \in \mathbb{N}$, for a function $f : [a, +\infty) \to \mathbb{R}$, is defined by

$${}^{C}D_{a+}^{\alpha}f(t) = \frac{1}{\Gamma(n-\alpha)} \int_{a}^{t} \frac{f^{(n)}(s)}{(t-s)^{\alpha+1-n}} ds = I_{a+}^{n-\alpha}f^{(n)}(t), \quad t > a,$$

where function f has absolutely continuous derivatives up to order n-1.

Throughout the paper, by PC(I, X) we denote the space of X-valued bounded functions on I with the uniform norm $||x||_{PC} = \sup\{||x(t)||, t \in I\}$ such that $x(t_i^+)$ exists for any i = 0, ..., m and x(t) is continuous on $(t_i, t_{i+1}], i = 0, ..., m, t_0 = 0$ and $t_{m+1} = b$.

Definition 4 (See [16]). Let $A : D \subseteq X \to X$ be a closed and linear operator. We say that A is *sectorial* of type (M, θ, q, μ) , if there exists $\mu \in \mathbb{R}$, $0 < \theta < \frac{\pi}{2}$ and M > 0 such that the q-resolvent of A exists outside the sector

$$\mu + S_{\theta} = \{\mu + \lambda^q : \lambda \in \mathbb{C}, |\operatorname{Arg}(-\lambda^q)| < \theta\}$$

and

$$\|(\lambda^q I - A)^{-1}\| \le \frac{M}{|\lambda^q - \mu|}, \lambda^q \notin \mu + S_{\theta}.$$

Remark 1. If *A* is a sectorial operator of type (M, θ, q, μ) , then it is not difficult to see that *A* is the infinitesimal generator of a *q*-resolvent family $T_q(t)_{t\geq 0}$ in a Banach space, where $T_q(t) = \frac{1}{2\pi i} \int_c e^{\lambda t} R(\lambda^q, A) d\lambda$.

Definition 5 (Motivated by [3, 16]). A state function $x \in PC(I, X)$ is called a mild solution of (1.1) if it satisfies the following integral equations:

$$x(t) = S_q(t)(x_0 - g(x)) + \int_0^t T_q(t - s)(f(s, x(s), (Hx)(s)) + Bu(s))ds$$

if $t \in [0, t_1]$, and

$$\begin{aligned} x(t) &= S_q(t-t_i)[x(t_i^-) + I_i(x(t_i^-)) + Dv(t_i^-)] \\ &+ \int_{t_i}^t T_q(t-s)[f(s,x(s),(Hx)(s)) + Bu(s)]ds \end{aligned}$$

if $t \in (t_i, t_{i+1}], i = 1, ..., m$, where

$$S_q(t) = \frac{1}{2\pi i} \int_c e^{\lambda t} \lambda^{q-1} R(\lambda^q, A) d\lambda \quad \text{and} \quad T_q(t) = \frac{1}{2\pi i} \int_c e^{\lambda t} R(\lambda^q, A) d\lambda$$

with c being a suitable path such that $\lambda^q \notin \mu + S_\theta$ for $\lambda \in c$.

Let $x_{t_k}(x(0), \triangle x(t_{k-1}); u, v), k = 1, ..., m+1$, be the state value of (1.1) at time t_k , corresponding to the non-local initial value x(0), the impulsive values $\triangle x(t_{k-1}) = x(t_{k-1}^+) - x(t_{k-1}^-)$ and the controls u and v. For every x(0) and $\triangle x(t_{k-1}) \in X$, we introduce the set

$$\Re(t_k, x(0), \triangle x(t_{k-1})) = \left\{ x_{t_k} \left(x(0), \triangle x(t_{k-1}); u, v \right) : u(\cdot), v(\cdot) \in L^2(I, U) \right\},\$$

which is called the *reachable set* of system (1.1) at time t_k (if k = m + 1, then t_k is the terminal time). Its closure in X is denoted by $\overline{\Re(t_k, x(0), \Delta x(t_{k-1}))}$.

Definition 6. The impulsive control system (1.1) is said to be approximately controllable on *I* if $\overline{\Re(t_k, x(0), \Delta x(t_{k-1}))} = X$, that is, given an arbitrary $\epsilon > 0$, it is possible to steer from the points x(0) and $\Delta x(t_{k-1})$ at time t_k all points in the state space *X* within a distance ϵ .

Consider the linear impulsive fractional control system

$$\begin{cases} {}^{C} D_{t}^{q} x(t) = A x(t) + B u(t), \\ x(0) = x_{0} \in X, \\ \Delta x(t_{i}) = D v(t_{i}^{-}), \quad i = 1, \dots, m. \end{cases}$$
(2.1)

Approximate controllability for the linear impulsive control fractional system (2.1) is a natural generalization of the notion of approximate controllability of a linear firstorder control system (q = 1 and $t_i = D = 0$, i = 1, 2, ..., m, i.e., $t \in [t_m, t_{m+1}] =$ [0, b]). The controllability operators associated with (2.1) are

$$\Psi_{t_{k-1},1}^{t_k} = \int_{t_{k-1}}^{t_k} T_q(t_k - s) BB^* T_q^*(t_k - s) ds, \quad k = 1, \dots, m+1,$$

$$\Psi_{t_{k-1},2}^{t_k} = S_q(t_k - t_{k-1}) DD^* S_q^*(t_k - t_{k-1}), \quad k = 2, \dots, m+1,$$
(2.2)

where $T_q^*(\cdot)$, $S_q^*(\cdot)$, B^* and D^* denote the adjoints of $T_q(\cdot)$, $S_q(\cdot)$, B and D, respectively. Moreover, for $\lambda > 0$, we consider the relevant operator

$$\mathcal{R}(\lambda, \Psi_{t_{k-1}, i}^{t_k}) = \left(\lambda I + \Psi_{t_{k-1}, i}^{t_k}\right)^{-1}, \quad i = 1, 2.$$
(2.3)

It is easy to verify that $\Psi_{t_{k-1},1}^{t_k}$ and $\Psi_{t_{k-1},2}^{t_k}$ are linear bounded operators.

Lemma 1 (See [3]). The linear impulsive control fractional system (2.1) is approximately controllable on I if and only if $\lambda \mathcal{R}(\lambda, \Psi_{t_{k-1},i}^{t_k}) \to 0$ as $\lambda \to 0^+$, i = 1, 2, in the strong operator topology.

Lemma 2 (Krasnoselskii theorem [15]). Let X be a Banach space and E be a bounded, closed, and convex subset of X. Let Q_1, Q_2 be maps of E into X such that $Q_1x + Q_2y \in E$ for every $x, y \in E$. If Q_1 is a contraction and Q_2 is compact and continuous, then equation $Q_1x + Q_2x = x$ has a solution on E.

3. EXISTENCE OF A MILD SOLUTION

We prove existence for system (1.1). Define $K_i^* = \sup_{t \in I} \int_{t_{i-1}}^{t_i} m(t,s) ds < \infty$, $i = 1, \dots, m+1$. For any r > 0, let $\Omega_r := \{x \in PC(I, X) | \|x\| \le r\}$. We make the following assumptions:

- (H₁) The operators $S_q(t)_{t\geq 0}$ and $T_q(t)_{t\geq 0}$, generated by A, are bounded and compact, such that $\sup_{t\in I} ||S_q(t)|| \leq M$ and $\sup_{t\in I} ||T_q(t)|| \leq M$.
- (H₂) The non-linearity $f: I \times X \times X \to X$ is continuous and compact; there exist functions $\mu_i \in L^{\infty}(I, \mathbb{R}^+)$, i = 1, 2, 3, and positive constants α_1 and α_2 such that $||f(t, x, y)|| \le \mu_1(t) + \mu_2(t)||x|| + \mu_3(t)||y||$ and $||f(t, x, Hx) f(t, y, Hy)|| = \alpha_1 ||x y|| + \alpha_2 ||Hx Hy||$.
- (H₃) Function $g: PC(I, X) \to X$ is completely continuous and there exists a positive constant β such that $||g(x) g(y)|| \le \beta ||x y||, x, y \in X$.

- (H₄) Associated with $h: \Delta \times X \to X$, there exists $m(t,s) \in PC(\Delta, \mathbb{R}^+)$ such that $||h(t,s,x(s))|| \le m(t,s)||x||$ for each $(t,s) \in \Delta$ and $x, y \in X$, where $\Delta = \{(t,s) \in \mathbb{R}^2 | t_i \le s, t \le t_{i+1}, i = 0, ..., m\}.$
- (H₅) For every $x_1, x_2, x \in X$ and $t \in (t_i, t_{i+1}], i = 1, ..., m, I_i$ are continuous and compact and there exist positive constants d_i, e_i such that

$$\|I_i(x_1(t_i^-)) - I_i(x_2(t_i^-))\| \le d_i \sup_{t \in (t_i, t_{i+1}]} \|x_1(t) - x_2(t)\|$$

and
$$||I_i(x(t_i^-))|| \le e_i \sup_{t \in (t_i, t_{i+1}]} ||x(t)||.$$

Theorem 1. Let $x_0 \in X$. If conditions $(H_1)-(H_5)$ hold, then the impulsive nonlocal fractional control system (1.1) has a fixed point on I provided $M\beta < 1$ and $M(1 + d_i) < 1$, i = 1, ..., m, that is, (1.1) has at least one mild solution on $t \in [0,b] \setminus \{t_1,...,t_m\}$.

Proof. Define the operators Q_1 and Q_2 on Ω_r as follows:

$$(Q_1x)(t) = \begin{cases} S_q(t)(x_0 - g(x)), & t \in [0, t_1] \\ S_q(t - t_i)[x(t_i^-) + I_i(x(t_i^-)) + Dv(t_i^-)], & t \in (t_i, t_{i+1}], \end{cases}$$

$$(Q_2x)(t) = \begin{cases} \int_0^t T_q(t - s))(f(s, x(s), (Hx)(s)) + Bu(s))ds, & t \in [0, t_1], \\ \int_{t_i}^t T_q(t - s))(f(s, x(s), (Hx)(s)) + Bu(s))ds, & t \in (t_i, t_{i+1}], \end{cases}$$

 $i = 1, \ldots, m$. We take the controls

$$u = B^* T_q^*(t_k - t) \mathcal{R}(\lambda, \Psi_{t_{k-1},1}^{t_k}) P_1^k(x(\cdot)),$$

$$v = D^* S_q^*(t_k - t_{k-1}) \mathcal{R}(\lambda, \Psi_{t_{k-1},2}^{t_k}) P_2^k(x(\cdot)),$$
(3.1)

where

$$P_{1}^{k}(x(\cdot)) = \begin{cases} x_{1} - S_{q}(t_{1})(x_{0} - g(x)) \\ -\int_{0}^{t_{1}} T_{q}(t_{1} - s) f(s, x(s), (Hx)(s)) ds, & k = 1, \\ x_{k} - S_{q}(t_{k} - t_{k-1})[x(t_{k-1}^{-}) + I_{k-1}(x(t_{k-1}^{-}))] \\ -\int_{t_{k-1}}^{t_{k}} T_{q}(t_{k} - s) f(s, x(s), (Hx)(s)) ds, & k = 2, \dots, m+1, \end{cases}$$

$$P_2^k(x(\cdot)) = \begin{cases} x_k - S_q(t_k - t_{k-1})[x(t_{k-1}^-) + I_{k-1}(x(t_{k-1}^-)))] \\ -\int_{t_{k-1}}^{t_k} T_q(t_k - s) f(s, x(s), (Hx)(s)) ds, & k = 2, \dots, m+1. \end{cases}$$

For any $\lambda > 0$, we shall show that $Q_1 + Q_2$ has a fixed point on Ω_r , which is a solution of system (1.1). According to (3.1), together with (2.2) and (2.3), we have

$$||u(t)|| \le \frac{1}{\lambda} M ||B|| ||P_1(x(\cdot))|| \text{ and } ||v(t)|| \le \frac{1}{\lambda} M ||D|| ||P_2(x(\cdot))||.$$
 (3.2)

Using assumptions (H_1) – (H_5) , we get

$$\begin{split} \|P_{1}^{1}(x(\cdot))\| &\leq \|x_{1}\| + \|S_{q}(t_{1})\| \|(x_{0} - g(x))\| \\ &+ \int_{0}^{t_{1}} \|T_{q}(t_{1} - s)\| \|f(s, x(s), (Hx)(s))\| ds \\ &\leq \|x_{1}\| + M(\|x_{0}\| + \|g(x)\|) \\ &+ Mt_{1}(\|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{1}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})}) \\ &\leq \|x_{1}\| + M\|x_{0}\| + M\beta\|x\| + M\|g(0)\| \\ &+ Mt_{1}(\|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{1}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})}) \\ \text{and, for } k = 2, \dots, m + 1, \\ \|P_{1}^{k}(x(\cdot))\| &\leq \|x_{k}\| + \|S_{q}(t_{k} - t_{k-1})\|[\|x(t_{k-1}^{-})\| + \|I_{k-1}(x(t_{k-1}^{-}))\|] \\ &+ \int_{t_{k-1}}^{t_{k}} \|T_{q}(t_{k} - s)\|\|f(s, x(s), (Hx)(s))\| ds \\ &\leq \|x_{k}\| + M(\|x(t_{k-1}^{-})\| + e_{i}\|x\|) + M(t_{k} - t_{k-1}) \\ &\quad \times (\|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{k}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})}) \\ &\leq \|x_{k}\| + M(\|x(t_{k-1}^{-})\| + re_{i}) + M(t_{k} - t_{k-1}) \\ &\quad \times (\|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{k}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})}). \end{split}$$

Similarly, we get

$$\begin{aligned} \|P_2^k(x(\cdot))\| &\leq \|x_k\| + M(\|x(t_{k-1}^-)\| + re_{k-1}) \\ &+ M(t_k - t_{k-1}) \left(\|\mu_1\|_{L^{\infty}(I,\mathbb{R}^+)} + r\|\mu_2\|_{L^{\infty}(I,\mathbb{R}^+)} + K_k^*r\|\mu_3\|_{L^{\infty}(I,\mathbb{R}^+)} \right), \\ k &= 2, \dots, m+1. \text{ For any } x \in \Omega_r, \text{ we obtain} \end{aligned}$$

$$\begin{split} \| (Q_1 x)(t) + (Q_2 x)(t) \| \\ &\leq M \left(\| x_0 \| + \| g(x) \| \right) \\ &+ Mt_1 \left(\| \mu_1 \|_{L^{\infty}(I,\mathbb{R}^+)} + r \| \mu_2 \|_{L^{\infty}(I,\mathbb{R}^+)} + K_1^* r \| \mu_3 \|_{L^{\infty}(I,\mathbb{R}^+)} + \| B \| \| u \| \right) \\ &\leq M (\| x_0 \| + \beta r + \| g(0) \|) \\ &+ Mt_1 (\| \mu_1 \|_{L^{\infty}(I,\mathbb{R}^+)} + r \| \mu_2 \|_{L^{\infty}(I,\mathbb{R}^+)} + K_1^* r \| \mu_3 \|_{L^{\infty}(I,\mathbb{R}^+)} + \| B \| \| u \|) \\ \text{for } t \in [0, t_1], \text{ and} \end{split}$$

$$\begin{aligned} \|(Q_{1}x)(t) + (Q_{2}x)(t)\| \\ &\leq M\left(\|x(t_{k-1}^{-})\| + e_{k-1}\|x\| + \|D\|\|v(t_{k-1}^{-})\|\right) + M(t_{k} - t_{k-1}) \\ &\times \left(\|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{k}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})} + \|B\|\|u\|\right) \\ &\leq M(\|x(t_{k-1}^{-})\| + e_{k-1}r + \|D\|\|v(t_{k-1}^{-})\| + M(t_{k} - t_{k-1}) \\ &\times \left(\|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{k}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})} + \|B\|\|u\|\right) \end{aligned}$$

for $t \in (t_{k-1}, t_k]$. By the inequalities (3.2), we can find $\xi_1, \xi_2 > 0$ such that

$$\|(Q_1x)(t) + (Q_2x)(t)\| \le \begin{cases} \xi_1, t \in [0, t_1], \\ \xi_2, t \in (t_{k-1}, t_k], k = 2, \dots, m+1. \end{cases}$$

Hence, $Q_1x + Q_2x$ is bounded. Now, let $x, y \in \Omega_r$. We have

$$\|(Q_1x)(t) - (Q_1y)(t)\| \le \|S_q(t)\| \|g(x) - g(y)\| \le M\beta \|x - y\|$$

for $t \in [0, t_1]$ and

$$\begin{aligned} &\|(Q_1x)(t) - (Q_1y)(t)\| \\ &\leq \|S_q(t-t_{k-1})\|[\|x(t_{k-1}^-) - y(t_{k-1}^-)\| + \|I_{k-1}(x(t_{k-1}^-)) - I_{k-1}(y(t_{k-1}^-))\|] \\ &\leq M\left[\|x(t_{k-1}^-) - y(t_{k-1}^-)\| + d_{k-1}\|x - y\|\right] \end{aligned}$$

for $t \in (t_{k-1}, t_k]$, k = 2, ..., m + 1. Since $M\beta < 1$ and $M(1 + d_{k-1}) < 1$, k = 2, ..., m + 1, it follows that Q_1 is a contraction mapping. Let $\{x_n\}$ be a sequence in Ω_r such that $x_n \to x \in \Omega_r$. Since f and g are continuous, i.e., for all $\epsilon > 0$, there exists a positive integer n_0 , such that for $n > n_0 || f(s, x_n(s), (Hx_n)(s)) - f(s, x(s), (Hx)(s))|| \le \epsilon$ and $|| g(x_n) - g(x)|| \le \epsilon$, the continuity of $I_i(x)$ on $(t_i, t_{i+1}]$ gives $|| I_i(x_n(t_i^-)) - I_i(x(t_i^-))|| \le \epsilon$, i = 1, ..., m. Now, for all $t \in [0, t_1]$,

$$\begin{split} \|(Q_{2}x_{n})(t) - (Q_{2}x)(t)\| \\ &\leq \int_{0}^{t_{1}} \|T_{q}(t-\tau)\| \|BB^{*}T_{q}^{*}(t_{1}-\tau)\mathcal{R}(\lambda,\Psi_{t_{0},1}^{t_{1}})\| \Big[\|S_{q}(t_{1})(g(x_{n}) - g(x))\| \\ &+ \int_{0}^{t_{1}} \|T_{q}(t_{1}-s)\| f(s,x_{n}(s),(Hx_{n})(s)) - f(s,x(s),(Hx)(s))\| ds \Big] d\tau \\ &+ \int_{0}^{t_{1}} \|T_{q}(t-s)\| \|f(s,x_{n}(s),(Hx_{n})(s)) - f(s,x(s),(Hx)(s))\| ds \\ &\leq \frac{\epsilon}{\lambda} M^{3} \|B\|^{2} t_{1}(2t_{1}+1). \end{split}$$

Moreover, for all $t \in (t_i, t_{i+1}]$, $i = 1, \dots, m$, one has

$$\begin{split} \|(Q_{2}x_{n})(t) - (Q_{2}x)(t)\| \\ &\leq \int_{t_{i}}^{t} \|T_{q}(t-\tau)\| \|BB^{*}T_{q}^{*}(t_{i+1}-\tau)\mathcal{R}(\lambda,\Psi_{t_{i},1}^{t_{i+1}})\| \\ &\times \left[\|S_{q}(t_{i+1}-t_{i})[x_{n}(t_{i}^{-}) - x(t_{i}^{-}) + I_{i}(x_{n}(t_{i}^{-})) - I_{i}(x(t_{i}^{-}))]\| \right] \\ &+ \int_{t_{i}}^{t_{i+1}} \|T_{q}(t_{i+1}-s)\| f(s,x_{n}(s),(Hx_{n})(s)) - f(s,x(s),(Hx)(s))\| ds \right] d\tau \\ &+ \int_{t_{i}}^{t} \|T_{q}(t-s)\| \|(f(s,x_{n}(s),(Hx_{n})(s)) - f(s,x(s),(Hx)(s))\| ds \end{split}$$

$$\leq \frac{2\epsilon}{\lambda} M^3 \|B\|^2 (t_{i+1} - t_i) (t_{i+1} - t_i + 1)$$

Therefore, Q_2 is continuous. Next, we prove the compactness of Q_2 . For that, we first show that the set $\{(Q_2x)(t) : x \in \Omega_r\}$ is relatively compact in PC(I, X). By the assumptions of our theorem, we have

$$\|(Q_2 x)(t)\| \le M t_1(\|\mu_1\|_{L^{\infty}(I,\mathbb{R}^+)} + r\|\mu_2\|_{L^{\infty}(I,\mathbb{R}^+)} + K_1^* r\|\mu_3\|_{L^{\infty}(I,\mathbb{R}^+)} + \|B\|\|u\|),$$

for $t \in [0, t_1]$, and

$$\begin{aligned} \|(Q_2 x)(t)\| &\leq M(t_k - t_{k-1}) \\ &\times \left(\|\mu_1\|_{L^{\infty}(I,\mathbb{R}^+)} + r\|\mu_2\|_{L^{\infty}(I,\mathbb{R}^+)} + K_k^* r\|\mu_3\|_{L^{\infty}(I,\mathbb{R}^+)} + \|B\|\|u\| \right), \end{aligned}$$

for $t \in (t_{k-1}, t_k]$, which gives the uniformly boundedness of $\{(Q_2x)(t) : x \in \Omega_r\}$. We now show that $Q_2(\Omega_r)$ is equicontinuous. Functions $\{(Q_2x)(t) : x \in \Omega_r\}$ are equicontinuous at t = 0. For any $x \in \Omega_r$, if $0 < r_1 < r_2 \le t_1$, then

$$\begin{split} \|(Q_{2}x)(r_{2}) - (Q_{2}x)(r_{1})\| \\ &\leq \int_{0}^{r_{1}} \|T_{q}(r_{2} - s) - T_{q}(r_{1} - s)\|[\|Bu(s)\| + \|f(s, x(s), (Hx)(s))\|]ds \\ &+ \int_{r_{1}}^{r_{2}} \|T_{q}(r_{2} - s)\|[\|Bu(s)\| + \|f(s, x(s), (Hx)(s))\|]ds \\ &\leq [r_{1}\|T_{q}(r_{2} - s) - T_{q}(r_{1} - s)\| + M(r_{2} - r_{1})] \\ &\times (\|B\|\|u\| + \|\mu_{1}\|_{L^{\infty}(I, \mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I, \mathbb{R}^{+})} + K_{1}^{*}r\|\mu_{3}\|_{L^{\infty}(I, \mathbb{R}^{+})}) \end{split}$$

Similarly, if $t_i < r_1 < r_2 \le t_{i+1}$, then

$$\begin{split} \|(Q_{2}x)(r_{2}) - (Q_{2}x)(r_{1})\| \\ &\leq \int_{t_{i}}^{r_{1}} \|T_{q}(r_{2}-s) - T_{q}(r_{1}-s)\|[\|Bu(s)\| + \|f(s,x(s),(Hx)(s))\|]ds \\ &+ \int_{r_{1}}^{r_{2}} \|T_{q}(r_{2}-s)\|[\|Bu(s)\| + \|f(s,x(s),(Hx)(s))\|]ds \\ &\leq [(r_{1}-t_{i})\|T_{q}(r_{2}-s) - T_{q}(r_{1}-s)\| + M(r_{2}-r_{1})] \\ &\times (\|B\|\|u\| + \|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{i+1}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})}). \end{split}$$

From (H_1) , it follows the continuity of operator $T_q(\cdot)$ in the uniform operator topology. Thus, the right hand side of the above inequality tends to zero as $r_2 \rightarrow r_1$. Therefore, $\{(Q_2x)(t) : x \in \Omega_r\}$ is a family of equicontinuous functions. According to the infinite dimensional version of the Ascoli–Arzela theorem, it remains to prove that, for any $t \in [0,b] \setminus \{t_1,\ldots,t_m\}$, the set $V(t) := \{(Q_2x)(t) : x \in \Omega_r\}$ is relatively compact in PC(I,X). The case t = 0 is trivial: $V(0) = \{(Q_2x)(0) : x(\cdot) \in \Omega_r\}$ is compact in PC(I, X). Let $t \in (0, t_1]$ be a fixed real number and h be a given real number satisfying $0 < h < t_1$. Define $V_h(t) = \{(Q_2^h x)(t) : x \in \Omega_r\},\$

$$(Q_2^h x)(t) = \int_0^{t-h} T_q(t-s) Bu(s) ds + \int_0^{t-h} T_q(t-s) f(s, x(s), (Hx)(s)) ds$$

= $T_q(h) \int_0^{t-h} T_q(t-s-h) Bu(s) ds$
+ $T_q(h) \int_0^{t-h} T_q(t-s-h) f(s, x(s), (Hx)(s)) ds$
= $T_q(h) y_1(t, h).$

We use same arguments, we fix $t \in (t_i, t_{i+1}]$, and let h be a given real number satisfying $t_i < h < t_{i+1}$, we define $V_h(t) = \{(Q_2^h x)(t) : x \in \Omega_r\},\$

$$(Q_2^h x)(t) = \int_{t_i}^{t-h} T_q(t-s) Bu(s) ds + \int_{t_i}^{t-h} T_q(t-s) f(s, x(s), (Hx)(s)) ds$$

= $T_q(h) \int_{t_i}^{t-h} T_q(t-s-h) Bu(s) ds$
+ $T_q(h) \int_{t_i}^{t-h} T_q(t-s-h) f(s, x(s), (Hx)(s)) ds$
= $T_q(h) y_2(t, h).$

The compactness of $T_q(h)$ in PC(I, X), together with the boundedness of both $y_1(t,h)$ and $y_2(t,h)$ on Ω_r , give the relativity compactness of the set $V_h(t)$ in PC(I, X). Moreover, for all $t \in [0, t_1]$,

$$\begin{aligned} \|(Q_{2}x)(t) - (Q_{2}^{h}x)(t)\| \\ &\leq \int_{t-h}^{t} T_{q}(t-s)Bu(s)ds + \int_{t-h}^{t} T_{q}(t-s)f(s,x(s),(Hx)(s))ds \\ &\leq hM\left(\|B\|\|u\| + \|\mu_{1}\|_{L^{\infty}(I,\mathbb{R}^{+})} + r\|\mu_{2}\|_{L^{\infty}(I,\mathbb{R}^{+})} + K_{1}^{*}r\|\mu_{3}\|_{L^{\infty}(I,\mathbb{R}^{+})}\right). \end{aligned}$$

Also, for all $t \in (t_i, t_{i+1}]$,

$$\begin{aligned} \|(Q_2 x)(t) - (Q_2^h x)(t)\| \\ &\leq \int_{t-h}^t T_q(t-s) B u(s) ds + \int_{t-h}^t T_q(t-s) f(s, x(s), (Hx)(s)) ds \\ &\leq h M \left(\|B\| \|u\| + \|\mu_1\|_{L^{\infty}(I,\mathbb{R}^+)} + r\|\mu_2\|_{L^{\infty}(I,\mathbb{R}^+)} + K_{i+1}^* r\|\mu_3\|_{L^{\infty}(I,\mathbb{R}^+)} \right) \end{aligned}$$

Choose *h* small enough. It implies that there are relatively compact sets arbitrarily close to the set V(t) for each $t \in [0,b] \setminus \{t_1,\ldots,t_m\}$. Then, $V(t), t \in [0,b] \setminus \{t_1,\ldots,t_m\}$, is relatively compact in PC(I,X). Since it is compact at t = 0, we

have the relatively compactness of V(t) in PC(I, X) for all $t \in [0, b] \setminus \{t_1, \ldots, t_m\}$. Hence, by the Arzela–Ascoli theorem, we conclude that Q_2 is compact. From Lemma 2, we ensure that the control system (1.1) has at least one mild solution on $t \in [0, b] \setminus \{t_1, \ldots, t_m\}$.

4. APPROXIMATE CONTROLLABILITY

In this section, with help of the obtained existence theorem of mild solutions, we show an approximate controllability result for system (1.1).

Theorem 2. If (H_1) – (H_5) are satisfied and $\lambda \mathcal{R}(\lambda, \Psi_{t_{k-1},i}^{t_k}) \to 0$ in the strong operator topology as $\lambda \to 0^+$, i = 1, 2, then the impulsive non-local fractional control system (1.1) is approximately controllable on $t \in [0,b] \setminus \{t_1,\ldots,t_m\}$.

Proof. According to Theorem 1, $Q_1^{\lambda} + Q_2^{\lambda}$ has a fixed point in Ω_r for any $\lambda > 0$. This implies that there exists $\overline{x}^{\lambda} \in (Q_1^{\lambda} + Q_2^{\lambda})(\overline{x}^{\lambda})$ such that

$$\overline{x}^{\lambda}(t) = \begin{cases} S_q(t)(x_0 - \overline{g}^{\lambda}(\overline{x}^{\lambda})) \\ + \int_0^t T_q(t-s)[\overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) + B\overline{u}^{\lambda}(s)]ds, \ t \in [0, t_1], \\ \\ S_q(t-t_{k-1})[\overline{x}^{\lambda}(t_{k-1}^-) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}^-)) + D\overline{v}^{\lambda}(t_{k-1}^-)] \\ + \int_{t_{k-1}}^t T_q(t-s)[\overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) + B\overline{u}^{\lambda}(s)]ds, \ t \in (t_{k-1}, t_k] \end{cases}$$

where for $t \in [0, t_1]$ we have

$$\overline{u}^{\lambda} = B^* T_q^*(t_1 - t) \mathcal{R}(\lambda, \Psi_{0,1}^{t_1}) \bigg[x_1 - S_q(t_1)(x_0 - \overline{g}^{\lambda}(\overline{x}^{\lambda})) \\ - \int_0^{t_1} T_q(t_1 - s) \overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) ds \bigg]$$

while for k = 2, ..., m + 1

$$\begin{split} \overline{u}^{\lambda} \\ &= B^* T_q^*(t_k - t) \mathcal{R}(\lambda, \Psi_{t_{k-1}, 1}^{t_k}) \bigg[x_k - S_q(t_k - t_{k-1}) [\overline{x}^{\lambda}(t_{k-1}^-) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}^-))] \\ &- \int_{t_{k-1}}^{t_k} T_q(t_k - s) \overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) ds \bigg] \end{split}$$

and

$$\overline{v}^{\lambda} = D^* S_q^*(t_k - t_{k-1}) \mathcal{R}(\lambda, \Psi_{t_{k-1}, 2}^{t_k})$$
$$\times \left[x_k - S_q(t_k - t_{k-1}) [\overline{x}^{\lambda}(t_{k-1}) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}))] \right]$$

$$-\int_{t_{k-1}}^{t_k} T_q(t_k-s)\overline{f}^{\lambda}(s,\overline{x}^{\lambda}(s),(H\overline{x}^{\lambda})(s))ds\bigg].$$

Furthermore,

$$\overline{x}^{\lambda}(t_1) = S_q(t_1)(x_0 - \overline{g}^{\lambda}(\overline{x}^{\lambda})) + \int_0^{t_1} T_q(t_1 - s)[\overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) + B\overline{u}^{\lambda}(s)]ds,$$

$$\overline{x}^{\lambda}(t_k) = S_q(t_k - t_{k-1})[\overline{x}^{\lambda}(t_{k-1}) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1})) + D\overline{v}^{\lambda}(t_{k-1})] + \int_{t_{k-1}}^{t_k} T_q(t_k - s)[\overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) + B\overline{u}^{\lambda}(s)]ds,$$

k = 2, ..., m + 1, with

$$\begin{split} x_{t_{1}} - \overline{x}^{\lambda}(t_{1}) &= x_{1} - \Psi_{0,1}^{t_{1}} \mathcal{R}(\lambda, \Psi_{0,1}^{t_{1}}) \bigg\{ x_{1} - S_{q}(t_{1})(x_{0} - \overline{g}^{\lambda}(\overline{x}^{\lambda})) \\ &\quad - \int_{0}^{t_{1}} T_{q}(t_{1} - s) \overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) ds \bigg\} \\ &\quad - S_{q}(t_{1})(x_{0} - \overline{g}^{\lambda}(\overline{x}^{\lambda})) - \int_{0}^{t_{1}} T_{q}(t_{1} - s) \overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) ds, \\ x_{t_{k}} - \overline{x}^{\lambda}(t_{k}) &= x_{k} - \Psi_{k-1,2}^{t_{k}} \mathcal{R}(\lambda, \Psi_{k-1,2}^{t_{k}}) \\ &\quad \times \bigg\{ x_{k} - S_{q}(t_{k} - t_{k-1}) \bigg[\overline{x}^{\lambda}(t_{k-1}^{-}) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}^{-}))) \bigg] \\ &\quad - \int_{t_{k-1}}^{t_{k}} T_{q}(t_{k} - s) \overline{f}^{\lambda} \bigg(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s) \bigg) ds \bigg\} \\ &\quad - S_{q}(t_{k} - t_{k-1}) \bigg[\overline{x}^{\lambda}(t_{k-1}^{-}) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}^{-}))) \bigg] \\ &\quad - \int_{t_{k-1}}^{t_{k}} T_{q}(t_{k} - s) \overline{f}^{\lambda} \bigg(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s) \bigg) ds \bigg\} \\ &\quad - \Psi_{k-1,1}^{t_{k}} \mathcal{R}(\lambda, \Psi_{k-1,1}^{t_{k}}) \bigg\{ x_{k} - S_{q}(t_{k} - t_{k-1}) \bigg[\overline{x}^{\lambda}(t_{k-1}^{-}) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}^{-})) \bigg] \\ &\quad - \int_{t_{k-1}}^{t_{k}} T_{q}(t_{k} - s) \overline{f}^{\lambda} \bigg(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s) \bigg) ds \bigg\}, \quad k = 2, \dots, m+1. \end{split}$$
From (2.3) we have $I - \Psi_{t_{k-1},i}^{t_{k}} \mathcal{R}\left(\lambda, \Psi_{t_{k-1},i}^{t_{k}}\right) = \lambda \mathcal{R}\left(\lambda, \Psi_{t_{k-1},i}^{t_{k}}\right), i = 1, 2, \text{ and }$

$$x_{t_1} - \overline{x}^{\lambda}(t_1) = \lambda \mathcal{R}\left(\lambda, \Psi_{0,1}^{t_1}\right) \left\{ x_1 - S_q(t_1)(x_0 - \overline{g}^{\lambda}(\overline{x}^{\lambda})) \right\}$$

$$-\int_0^{t_1} T_q(t_1-s)\overline{f}^{\lambda}(s,\overline{x}^{\lambda}(s),(H\overline{x}^{\lambda})(s))ds\bigg\},\quad(4.1)$$

$$\begin{aligned} x_{t_k} - \overline{x}^{\lambda}(t_k) &= \lambda \left[\mathcal{R} \left(\lambda, \Psi_{k-1,1}^{t_k} \right) + \mathcal{R} \left(\lambda, \Psi_{k-1,2}^{t_k} \right) \right] \\ & \times \left\{ x_k - S_q(t_k - t_{k-1}) \left[\overline{x}^{\lambda}(t_{k-1}^-) + \overline{I_{k-1}}^{\lambda}(\overline{x}^{\lambda}(t_{k-1}^-)) \right] \right. \\ & \left. - \int_{t_{k-1}}^{t_k} T_q(t_k - s) \overline{f}^{\lambda}(s, \overline{x}^{\lambda}(s), (H\overline{x}^{\lambda})(s)) \right] ds \right\}, \ k = 2, \dots, m+1. \quad (4.2) \end{aligned}$$

Since compactness of both $S_a(t)_{t>0}$ and $T_a(t)_{t>0}$ hold, and also boundedness of $\overline{f}^{\lambda}, \overline{g}^{\lambda} \text{ and } \overline{I_{k-1}}^{\lambda}, \text{ we can use on } (4.1)-(4.2) \text{ the fact that } \lambda \mathcal{R}(\lambda, \Psi_{t_{k-1}, i}^{t_k}) \to 0 \text{ in the}$ strong operator topology as $\lambda \to 0^+$, i = 1, 2. This gives $||x_{t_k} - \overline{x}^{\lambda}(t_k)||_{\alpha} \to 0$ as $\lambda \to 0^+$, i = 1, 2. Hence, the impulsive non-local fractional control system (1.1) is approximately controllable on $t \in [0, b] \setminus \{t_1, \ldots, t_m\}$. \square

5. Optimality

Let Y be a separable reflexive Banach space and $w_f(Y)$ represent a class of nonempty, closed and convex subsets of Y. The multifunction $w: I \longrightarrow w_f(Y)$ is measurable and $w(\cdot) \subset E$, where E is a bounded set of Y. We give the admissible control set as follows:

$$U_{ad} = \{(u, v) \in L^{1}(E) \times L^{1}(E) | u(t), v(t) \in w(t) \ a.e.\} \neq \emptyset.$$

Consider the following impulsive nonlocal fractional control system:

$$\begin{cases} {}^{C}D_{t}^{q}x(t) = Ax(t) + f(t, x(t), (Hx)(t)) + \mathcal{B}u(t), t \in (0, b] \setminus \{t_{1}, t_{2}, \dots, t_{m}\}, \\ x(0) + g(x) = x_{0} \in X, \\ \Delta x(t_{i}) = I_{i}(x(t_{i}^{-})) + \mathcal{D}v(t_{i}^{-}), i = 1, 2, \dots, m, \quad (u, v) \in U_{ad}, \end{cases}$$

$$(5.1)$$

where $\mathcal{B}, \mathcal{D} \in L^{\infty}(I, L(Y, X))$. It is clear that $\mathcal{B}u, \mathcal{D}v \in L^{1}(I, X)$ for all $(u, v) \in$ U_{ad} . Let $x^{u,v}$ be a mild solution of system (5.1) corresponding to controls $(u, v) \in$ U_{ad} . We consider the Bolza problem (*BP*): find an optimal triplet $(x^0, u^0, v^0) \in PC(I, X) \times U_{ad}$ such that $\mathcal{J}(x^0, u^0, v^0) \leq \mathcal{J}(x^{u,v}, u, v)$, for all $(u, v) \in U_{ad}$, where

$$\mathcal{J}(x^{u,v}, u, v) = \sum_{i=1}^{m+1} \left[\Phi(x^{u,v}(t_i)) + \int_{t_{i-1}}^{t_i} \mathcal{L}(t, x^{u,v}(t), u(t), v(t)) dt \right],$$

 $i = 1, \dots, m + 1$. The following extra assumptions are needed:

(H₆) The functional $\mathcal{L}: I \times X \times Y^2 \to \mathbb{R} \cup \{\infty\}$ is Borel measurable.

- (H₇) $\mathcal{L}(t,\cdot,\cdot,\cdot)$ is sequentially lower semi-continuous on $X \times Y^2$, a.e. on *I*. (H₈) $\mathcal{L}(t,\cdot,\cdot)$ is convex on Y^2 for each $x \in X$ and almost all $t \in I$.

- (H₉) There is a non-negative function $\varphi \in L^{\infty}(I, \mathbb{R})$ and $c_1, c_2, c_3 \ge 0$ such that $\mathscr{L}(t, x, u, v) \ge \varphi(t) + c_1 \|x\| + c_2 \|u\|_Y^p + c_3 \|v\|_Y^p$.
- (H₁₀) The functional $\Phi : X \to \mathbb{R}$ is continuous and non-negative.

Theorem 3. If (H_6) – (H_{10}) hold together with the assumptions of Theorem 1, then the Bolza problem (BP) admits at least one optimal triplet on $PC \times U_{ad}$.

Proof. Assume $\inf \{ \mathcal{J}(x^{u,v}, u, v) | (u, v) \in U_{ad} \} = \delta < +\infty$. From (H₆)–(H₁₀),

$$\begin{aligned} \mathcal{J}(x^{u,v}, u, v) \\ &\geq \sum_{i=1}^{m+1} \left[\Phi(x^{u,v}(t_i)) + \int_{t_{i-1}}^{t_i} \left\{ \varphi(t) + c_1 \| x(t) \| + c_2 \| u(t) \|_Y^p + c_3 \| v(t) \|_Y^p \right\} dt \right] \\ &\geq -\eta > -\infty, \ i = 1, \dots, m+1. \end{aligned}$$

Here, η is a positive constant, i.e., $\delta \ge -\eta > -\infty$. By the definition of infimum, there exists a minimizing sequence of feasible triplets $\{(x^n, u^n, v^n)\} \subset A_{ad}$, where $A_{ad} \equiv \{(x, u, v) \mid x \text{ is a mild solution of system (5.1) corresponding to <math>(u, v) \in U_{ad}\}$, such that $\mathcal{J}(x^n, u^n, v^n) \to \delta$ as $m \to +\infty$. As $\{(u^n, v^n)\} \subseteq U_{ad}$ and $\{u^n, v^n\}$ is bounded in $L^1(I, Y)$, then there exists a subsequence, still denoted by $\{(u^n, v^n)\}$, and $u^0, v^0 \in L^1(I, Y)$, such that $(u^n, v^n) \xrightarrow{\text{weakly}} (u^0, v^0)$ in $L^1(I, Y) \times L^1(I, Y)$. Since the admissible control set U_{ad} is convex and closed, by Marzur lemma, we have $(u^0, v^0) \in U_{ad}$. Suppose that x^n is a mild solution of system (5.1), corresponding to u^n and v^n , that satisfies

$$x^{n}(t) = S_{q}(t)(x_{0} - g(x^{n})) + \int_{0}^{t} T_{q}(t - s)(f(s, x^{n}(s), (Hx^{n})(s)) + \mathcal{B}u^{n}(s))ds,$$

for $t \in [0, t_1]$, and

$$x^{n}(t) = S_{q}(t - t_{i})[x^{n}(t_{i}^{-}) + I_{i}(x^{n}(t_{i}^{-})) + \mathcal{D}v^{n}(t_{i}^{-})] + \int_{t_{i}}^{t} T_{q}(t - s)[f(s, x^{n}(s), (Hx^{n})(s)) + \mathcal{B}u^{n}(s)]ds$$

for $t \in (t_i, t_{i+1}]$, i = 1, ..., m. From (H₂), the non-linear function f is bounded and continuous. Then, there exists a subsequence (with the same notation)

 $\{f(s, x^n, (Hx^n)(s))\}$ and $f(s, x^0, (Hx^0)(s)) \in L^1(I, X)$ such that $f(s, x^n, (Hx^n)(s))$ converges weakly to $f(s, x^0, (Hx^0)(s))$. Also, the same arguments on (H₃) and (H₅) yield other weak convergences of $g(x^n)$ and $I_i(x^n)$ to $g(x^0)$ and $I_i(x^0)$, respectively. Let us denote

$$(P_1x)(t) = S_q(t)g(x) + \int_0^t T_q(t-s)(f(s,x(s),(Hx)(s)) + \mathcal{B}u(s))ds, \quad t \in [0,t_1],$$

$$(P_2x)(t) = S_q(t-t_i)[x(t_i^-) + I_i(x(t_i^-)) + \mathcal{D}v(t_i^-)]$$

$$+\int_{t_i}^t T_q(t-s)[f(s,x(s),(Hx)(s)) + \mathcal{B}u(s)]ds, \ t \in (t_i,t_{i+1}], \ i = 1,\dots,m.$$

Obviously, $(P_1x)(t)$ and $(P_2x)(t)$ are strongly continuous operators. Thus, $(P_1x^n)(t)$ and $(P_2x^n)(t)$ strongly converge to $(P_1x)(t)$ and $(P_2x)(t)$, respectively. Next, we consider the system

$$x^{0}(t) = S_{q}(t)(x_{0} - g(x^{0})) + \int_{0}^{t} T_{q}(t - s)(f(s, x^{0}(s), (Hx^{0})(s)) + \mathcal{B}u^{0}(s))ds,$$

 $t \in [0, t_1]$, and

$$\begin{aligned} x^{0}(t) &= S_{q}(t-t_{i})[x^{0}(t_{i}^{-}) + I_{i}(x^{0}(t_{i}^{-})) + \mathcal{D}v^{0}(t_{i}^{-})] \\ &+ \int_{t_{i}}^{t} T_{q}(t-s)[f(s,x^{0}(s),(Hx^{0})(s)) + \mathcal{B}u^{0}(s)]ds, \end{aligned}$$

 $t \in (t_i, t_{i+1}], i = 1, \dots, m$. It is not difficult to check that $||x^n(t) - x^0(t)|| \to 0$ as $n \to \infty$. Therefore, we can infer that x^n strongly converges to x^0 in PC(I, X) as $n \to \infty$. From assumptions (H₆)–(H₁₀) and Balder's theorem, we get

$$\eta = \lim_{n \to \infty} \sum_{i=1}^{m+1} \left[\Phi(x^{n}(t_{i})) + \int_{t_{i-1}}^{t_{i}} \mathcal{L}(t, x^{n}(t), u^{n}(t), v^{n}(t)) dt \right]$$

$$\geq \sum_{i=1}^{m+1} \left[\Phi(x^{0}(t_{i})) + \int_{t_{i-1}}^{t_{i}} \mathcal{L}(t, x^{0}(t), u^{0}(t), v^{0}(t)) dt \right]$$

$$= \mathcal{J}(x^{0}, u^{0}, v^{0}) \geq \eta, \ i = 1, \dots, m+1,$$

which implies that \mathcal{J} attains its minimum at $(x^0, u^0, v^0) \in PC(I, X) \times U_{ad}$.

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