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Drug-eluting stent shows similar patency results as prosthetic bypass in patients with femoropopliteal occlusion in a randomized trial

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1 Title page

2 **Drug-eluting stent shows similar patency results as prosthetic bypass in patients**  
3 **with femoropopliteal occlusion in a randomized trial**

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30

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32 femoropopliteal disease

33

34

35 **Drug-eluting stent shows similar patency results as prosthetic bypass in patients**  
36 **with femoropopliteal occlusion in a randomized trial**

37

38 **Abstract**

39 *Introduction:* Claudication and critical limb threatening ischemia (CLTI) are  
40 significant causes of mortality in the elderly. The gold standard of superficial femoral  
41 artery (SFA) revascularization is thus far considered to be the femoropopliteal bypass.  
42 The aim of this study was to compare mid-term patency between drug-eluting stents  
43 (DES) and prosthetic bypass grafts (BSX). Studies have reported comparable results  
44 for both methods. *Materials and Methods:* 46 patients with claudication or rest pain  
45 due to a 5-25 cm SFA-occlusion were randomized between DES and BSX. Follow-up  
46 was 24 months, and the primary outcome measure was overall patency. Secondary  
47 outcome measures were primary and primary assisted patency, change in ankle-  
48 brachial index (ABI), as well as amputation-free survival. *Results:* 41 patients were  
49 eventually analyzed. 6 month secondary patency was 91 % (DES) vs. 83 % (BSX)  
50 ( $P=.450$ ). The corresponding numbers at 12-months in the DES and BSX groups were  
51 74 % and 80 % ( $P=.750$ ). At 24 months the respective numbers were 56 % and 71 %  
52 ( $P=.830$ ). There were no statistically significant differences in primary or assisted  
53 primary patency at 1, 6, or 12 months. *Conclusions:* There were no demonstrable  
54 differences in patency rates or clinical outcomes such as ABI or major amputations  
55 between DES and BSX. Although underpowered, the results suggest non-inferiority  
56 of the DES compared to prosthetic bypass surgery. *Trial registration:* The trial was  
57 pre-registered at *ClinicalTrials.org* (NCT01450722)

58

59 **Introduction**

60 Critical limb threatening ischemia (CLTI) due to atherosclerosis causes significant  
61 morbidity especially in the elderly, and, if untreated, leads to limb loss.<sup>1</sup> The  
62 incidence of CLTI is estimated at 500-1000/million annually.<sup>2</sup> The prevalence of its  
63 milder symptomatic manifestation, intermittent claudication among 40-44 year-old  
64 men is about .4 % and 3 % among 65-69 year-olds.<sup>3</sup> In asymptomatic peripheral  
65 artery disease or claudication, the ischemia relatively rarely deepens over time to  
66 threaten the vitality of the limb.<sup>4</sup> Although intermittent claudication has a benign  
67 prognosis and can often be treated conservatively, more severe forms with extensive  
68 arterial obstructions and symptoms that impair the quality of life significantly require  
69 revascularization. Open bypass surgery (BSX) is currently considered the gold  
70 standard for treating long obstructions in the superficial femoral artery (SFA). Up to  
71 81% two-year patency can be appreciated after bypass with a good quality saphenous  
72 vein. If synthetic graft is used, the long-term patency is somewhat lower, up to 67%  
73 according to a systematic review.<sup>5</sup>

74  
75 Treatment of femoropopliteal occlusive disease has shifted dramatically towards  
76 endovascular methods during the last 10 years.<sup>6</sup> Despite this, superiority of  
77 endovascular treatment has not been definitively demonstrated. In 2010, the BASIL  
78 trial concluded that in patients with severe ischemia, femoropopliteal BSX with a vein  
79 graft was superior to primary angioplasty (BA), but that primary BA was superior to  
80 prosthetic BSX.<sup>7</sup> Furthermore, it was concluded that failed BA yielded worse  
81 outcomes for future ipsilateral BSX. This has been supported by subsequent studies.<sup>8</sup>  
82 Comparison of stenting and prosthetic bypass grafting has yielded similar results at 4-  
83 year follow-up in a RCT with 100 revascularizations and in a smaller, retrospective  
84 study.<sup>9,10</sup>

85

86 Drug-eluting stents (DES) have proven their worth in coronary artery lesions and to  
87 some degree in femoral occlusions.<sup>11</sup> While early trials failed to show benefit from  
88 DES vs. bare metal stents<sup>12,13</sup>, subsequent studies have shown improved event-free  
89 survival up to five years.<sup>14</sup> Paclitaxel is a mitotic inhibitor and antiproliferative  
90 agent.<sup>15</sup> It is a widely used, effective agent in drug eluting stents to reduce restenosis  
91 in coronary circulation.<sup>16</sup> Paclitaxel binds specifically to the beta-tubulin subunit of  
92 microtubules and appears to antagonize the disassembly of this key cytoskeletal  
93 protein; this action results in accumulation of microtubule bundles and aberrant  
94 microtubule derived structures in the mitotic phase of the cell cycle.<sup>17,18</sup> The Zilver  
95 PTX (Cook Medical) paclitaxel-eluting stent is designed specifically for use in the  
96 SFA. It is a nitinol stent coated with paclitaxel only, without polymer or binder.

97

98 This trial is a prospective, randomized, multicenter trial comparing outcomes for  
99 prosthetic above-knee (AK) bypass vs. the ZilverPTX drug eluting stent. Bypass with  
100 a synthetic graft, instead of autogenous vein, is used as a reference standard because  
101 of the difficulty to standardize the quality of available vein and because bypasses to  
102 the proximal popliteal artery with the different graft types give comparable results.  
103 The trial was investigator initiated, and did not receive funding from the industry.

104

## 105 **Materials and Methods**

106 Patients were randomized at 6 hospitals in Finland (Helsinki, Oulu, Turku and Kuopio  
107 university hospitals and the central hospitals in Lahti and Joensuu). Patients were  
108 included between 2011 and 2014, follow-up ended in 2016. Patients presented with  
109 rest pain or severe claudication (Rutherford class II-IV), patients with wounds or

110 tissue loss were excluded. 5-25 cm SFA-lesions were eligible for inclusion. The  
111 lesions were diagnosed and measured using magnetic resonance angiography (MRA)  
112 or computed tomography angiography (CTA). Concomitant inflow or outflow  
113 procedures were not allowed. All patients provided written informed consent.  
114 Inclusion and exclusion criteria are listed in table 1. Patients were randomized to  
115 BA+DES or prosthetic AK femoropopliteal bypass. 2:1 (DES:BSX) block  
116 randomization was performed at the ward or outpatient clinic following eligibility and  
117 signed informed consent.

118

#### 119 *Bypass Surgery*

120 Bypass surgery was performed under general anesthesia or spinal blockade from  
121 incisions to the groin and proximal popliteal artery. A 6 mm heparin-bonded  
122 polytetrafluoroethylene (PTFE) graft was used. The graft was tunneled anatomically  
123 or subcutaneously depending on surgeon's preference. Procedures were performed  
124 under systemic heparinization with an activated clotting time (ACT) between 200 and  
125 300 seconds.

126

#### 127 *Balloon Angioplasty and Drug-Eluting Stent*

128 Access was obtained from the ipsilateral or contralateral common femoral artery. The  
129 occlusion was recanalized and crossed intraluminally or subintimally prior to  
130 predilatation and stent deployment. The stent was post-dilated according to  
131 instructions-for-use. Patients received 5000 IU systemic heparin during the procedure.

132

#### 133 *Follow-up and outcome measures*

134 Follow-up was 24 months and the primary outcome measure was overall stent or graft  
135 patency. Secondary outcome measures were primary and assisted patency, change in  
136 ankle-brachial index (ABI), as well as amputation-free survival. Follow-up was  
137 performed by clinical evaluation for symptoms and by duplex ultrasound to assess  
138 patency at 1, 6, 12, and 24 months postoperatively.

139

#### 140 *Antithrombotic regime*

141 Postoperatively, all patients except those on warfarin were started on life-long ASA  
142 treatment in both treatment groups. Patients in the DES group were on dual  
143 antiplatelet therapy (ASA 100 mg + clopidogrel 75 mg daily) for at least three months  
144 postoperatively. DES-patients on warfarin were started on low-dose (50 mg) ASA for  
145 the same period. Dual antiplatelet therapy was not prescribed after bypass surgery.

146

#### 147 *Randomization*

148 Block randomization (2:1) was performed by concealed envelope by the research  
149 nurse at the University of Kuopio. Due to the nature of the study, neither subjects,  
150 providers nor outcomes assessors were blinded.

151

#### 152 *Statistical Analysis*

153 Statistical analysis was performed using SPSS v. 22 (IBM, Armonk, VA, USA)  
154 Continuous variables are expressed as means and range or medians and interquartile  
155 range (IQR) and dichotomous variables as percentages. Continuous variables were  
156 compared using Mann-Whitney test and dichotomous variables using Chi-square.  
157 Patency rates were analyzed with Log-rank testing.

158



159 The study was approved by the ethical boards of Kuopio University Hospital and  
160 Helsinki University Hospital and the study design was declared and preregistered at  
161 ClinicalTrials.org (NCT01450722).

162

### 163 **Results**

164 46 patients were randomized. Baseline characteristics are described in table 2. 5  
165 patients were excluded due to immediate technical failure, i.e. unsuccessful  
166 recanalization. These were salvaged by distal and/or venous bypass, and thus not  
167 eligible for intention-to-treat analysis. There were no deaths or major amputations in  
168 either group during 12-month follow-up, 1 patient in the stent group died at 24  
169 months from procedure due to unrelated disease. The number of patients lost to  
170 follow-up at 6, 12, and 24 months was 0 (0.0 %), 6 (14.2 %) and 11 (26.2 %),  
171 respectively. In the DES-group, the median number of stents was 2 (range 1-4) with a  
172 median diameter of 6 mm.

173 41 patients were eventually analyzed. 6 month primary patency was 82.6 % DES) vs.  
174 72.2 % (BSX) (P=.447) and secondary 91 % vs. 83 % (P=.450). The 12-month  
175 secondary patency in the DES and BSX groups was 74 % compared to 80 % (P=  
176 .750). There were no statistically significant differences in primary, assisted primary,  
177 or secondary patency at 1, 6, 12, or 24 months (table 3, fig 1-2). The median ABI  
178 rose from .54 to .93 in the DES-group and from .65 to 1.02 in the BSX-group after the  
179 procedures and there were no significant differences between the groups at the  
180 baseline nor during the follow-up (Table 4). Relative risk for stenting at 1 year was  
181 .96 (P= .893, any endpoint).

182

### 183 **Discussion**

184 In the current trial, no significant differences between femoropopliteal AK bypass  
185 with PTFE-prosthesis and endovascular recanalization and stenting with Zilver-PTX  
186 stenting could be demonstrated. At 6 months, the primary and secondary patencies  
187 were slightly, but not significantly, higher in the stent group compared to bypass, but  
188 this difference disappeared during the next six months. At 12 months the respective  
189 rates were surprisingly similar: 63.2 % vs. 66.7 % and 74 % vs. 80 %. Indeed, at two  
190 years, the patency rate was better in the BSX group (56 % vs. 71 %,  $P=.397$ ) but at  
191 this stage the number-at-risk is substantially lower than at the earlier follow-ups.  
192

193 For the time being, open femoropopliteal BSX is a first-hand option in many centers  
194 worldwide. Use of prosthetic grafts for AK bypasses remains popular due to many  
195 surgeons' preference to save the saphenous veins for possible future below-knee or  
196 distal bypasses and speed of the procedure. The Zilver PTX DES is designed  
197 specifically for femoropopliteal locations. A prospective, randomized trial reported  
198 significantly better 24-month event-free survival among patient receiving a DES than  
199 among those treated with PTA alone (86.6% vs. 77.6%,  $P<.01$ ).<sup>19</sup> Primary patency at  
200 24 months of the DES group was 74.8% vs. 32.4% for the PTA group. Patency rates  
201 at 5 years further favored the Zilver PTX.<sup>14</sup> The Zilver PTX trials have shown  
202 patency rates in the 80 %-range at 12 months, which are comparable to our results.

203 The Scandinavian Thrupass study demonstrated a clear benefit in favor of bypass  
204 surgery vs. the Gore Thrupass endoluminal PTFE.<sup>20</sup> This trial showed a remarkable  
205 95 % 1-year patency in the bypass treatment group, whereas the corresponding  
206 number was only 48 % for the thrupass group. In 2007, Kedora *et al* demonstrated  
207 comparable 1-year outcomes between the Viabahn covered stent (CS) and prosthetic  
208 AK femoropopliteal bypass.<sup>21</sup> This study included 100 limbs in a prospective setting.

209 In this study, 6 and 12-month patency rates were 82 % (BSX) vs. 81.8 % (CS) and  
210 73.5 % vs. 74.2 %, respectively. In our study the patency rates were somewhat higher  
211 at 6 months and lower at 12 months, but still in comparable figures. The study by  
212 Kedora et al has been criticized for including TASC A lesions.

213

214 It should be noted that 5 cases (5/27, 18.5 %) were excluded from the DES group due  
215 to failed recanalization, whereas the primary technical success rate in the BSX group  
216 was 100 %. In one case the attempted recanalization resulted in severe distal  
217 dissection and acute ischemia, which eventually could be salvaged with a distal  
218 bypass. We did not report the results for patients with technical failures, but no  
219 statistically significant difference was seen in a sensitivity analysis including these  
220 patients. Furthermore, 1 case in the DES group received a bailout covered stent after  
221 perforation and hemorrhage. This did not compromise patency, as the DES in  
222 question was patent at 2 years.

223

224 In this trial, there was a significant difference between the groups in time from  
225 diagnosis to treatment. The time from CT or MR angiography to treatment was 60  
226 days in the DES group and 125 days in the BSX group ( $P < .01$ ). This is likely due to  
227 hospital logistics and the more rigorous medical work-up prior to bypass surgery.  
228 There was no evidence that this delay would have resulted in clinical deterioration in  
229 the BSX patients prior to surgery.

230

231 This trial is limited by the small sample size, and consequently there is a marked risk  
232 for type II error in the patency rates. The primary reason for the slow inclusion and  
233 randomization rate was the quickly somewhat ageing hypothesis and clinically

234 problematic setting for prosthetic bypass surgery; few surgeons would end up  
235 including the shorter SFA-lesions into this trial design, as these are routinely treated  
236 with less invasive endovascular procedures, or, indeed, venous bypass grafting. This  
237 is overall seen in decreasing rates of open AK bypass surgery and quite the opposite  
238 in successful endovascular femoropopliteal revascularizations.

239

240 Despite its limitations, we think our paper gives valuable information on the outcome  
241 after these two procedures and it seems that the DES is not inferior to prosthetic AK  
242 bypass in patients with SFA occlusion 25 cm or less. This is the only prospective trial  
243 to date comparing DES with bypass surgery, and the results do indicate that drug-  
244 eluting stents are comparable to prosthetic grafts with regard to patency. Another  
245 strength of the trial is comprehensive follow-up at 6 months, and acceptable follow-up  
246 rates up to 24 months. In anticipation of larger trials, the results from this trial loosely  
247 favor endovascular revascularization and use of DES for SFA lesions if no vein is  
248 available for grafting.

249

## 250 **Conclusions**

251 This is the first randomized trial comparing the DES to prosthetic bypass in above  
252 knee femoropopliteal occlusion. At 12 and 24 months after the procedure there was no  
253 statistically significant difference in primary patency, assisted primary patency or  
254 secondary patency between the groups. Although underpowered, our study suggests  
255 non-inferiority of the DES compared to PTFE-bypass in this patient group. Larger  
256 studies are needed for more definitive conclusions.

257

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260 Radiology

261

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- 340



**Inclusion Criteria:**

Rutherford class II-IV

5-25 cm SFA occlusion

Eligible for operative treatment

At least 1 vessel crural runoff

Written informed consent

**Exclusion Criteria**

Previous treatment for same lesion

Indication for infrapopliteal treatment

Iodine allergy

Patients undergoing hemodialysis

Pregnancy

	DES (n=23)		BSX (n=18)		P-value
Male sex	17		12		.613
Age	68	48-88	67	50-84	.398
T1 Diabetes	6	26.1	4	22.2	.775
T2 Diabetes	3	13.0	2	11.1	.650
Smoking	9	39.1	6	33.3	.702
Ex-smoker	9	39.1	5	27.8	.230
TIA	2	8.7	2	11.1	.796
Stroke	3	13.0	2	11.1	.851
Coronary disease	6	26.1	5	27.8	.903
Prior AMI	1	4.3	3	16.7	.187
Dyslipidemia	13	56.5	15	83.3	.067
Chronic heart disease	2	8.7	2	11.1	.796
Hypertension	15	65.2	15	83.3	.194
Pulmonary	1	4.5	1	5.6	.884
ASA	21	91.3	14	77.8	.224
Clopidogrel	1	4.3	4	22.2	.083
Warfarin	3	13.0	2	11.1	.851
Other	2	8.6	1	5.6	.653
Statin therapy	12	52.2	14	77.8	.051
ACE/AT2-blockade	9	39.1	9	50.0	.656
Ankle Brachial Index	0.54	0-0.82	0.65	0.47-0.99	.120
SFA occlusion length	13.2	5.0-25.0 (IQR 12.3)	11.3	5.0-19.6 (IQR 7.9)	.424

Rutherford classification

1	4	17.4	3	16.7	.359
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2	7	30.4	8	44.4	
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3	6	26.1	6	33.3	
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4	6	26.1	1	5.6	
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Crural runoff\*

3	8	34.8	5	27.8	.782
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2	8	34.8	4	22.2	
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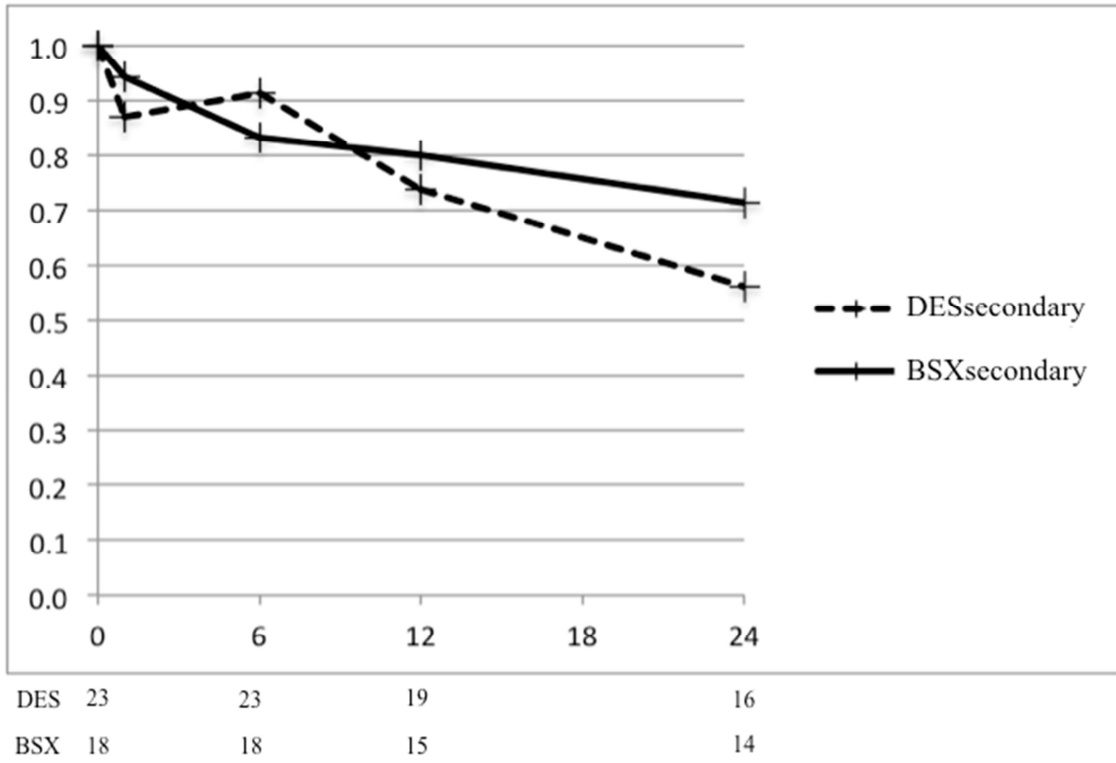
1	7	30.4	2	11.1	
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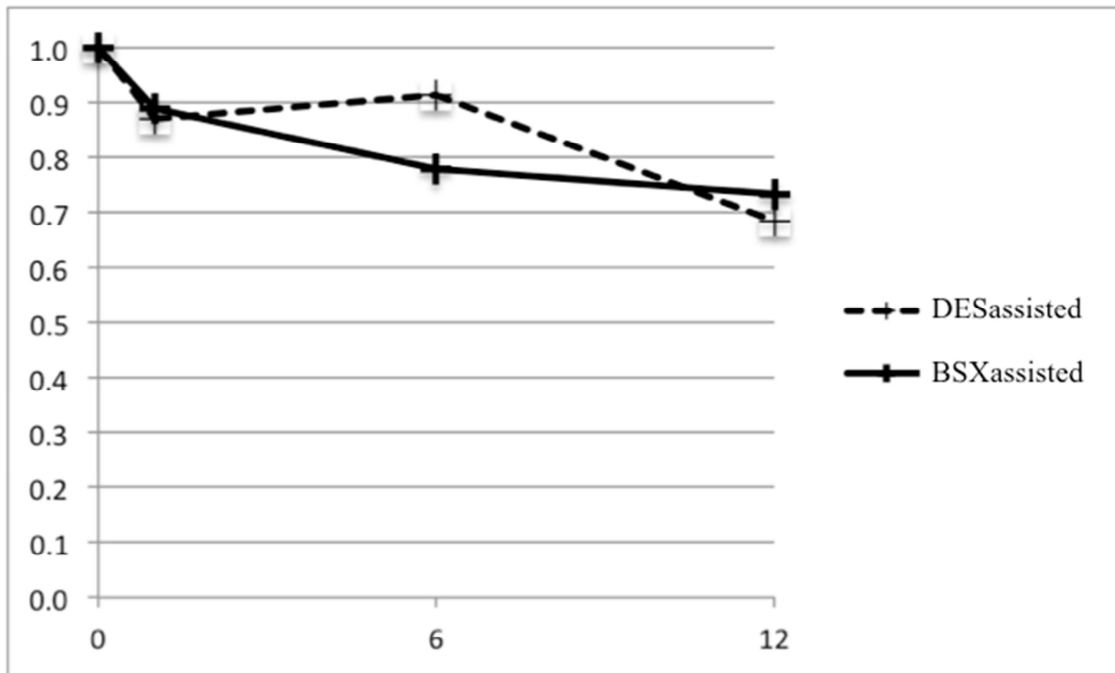
0	0	0	1	5.6	
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ACCEPTED MANUSCRIPT

	DES	BSX	P-value (log-rank)
1 m			
Primary patency (%)	87.0	88.9	.872
Assisted primary patency (%)	87.0	88.9	.872
Secondary patency (%)	87.0	94.4	.454
6 m			
Primary patency (%)	87.0	72.2	.447
Assisted primary patency (%)	91.3	77.8	.247
Secondary patency (%)	91.3	83.3	.450
12 m			
Primary patency (%)	63.2	66.7	.931
Assisted primary patency (%)	68.4	73.3	.840
Secondary patency (%)	73.7	80.0	.750
24 m			
Secondary patency (%)	56.3	71.4	.830

ABI	DES		BSX		P-value
	mean	range	mean	range	
post.op.	.93	.63-1.38	1.02	.76-1.42	.220
1 m	.99	.39-1.85	.94	.78-1.09	.620
6 m	.93	.59-2.00	.80	.31-1.12	.650
12 m	.86	.73-.98	.85	.54-1.05	.791





DES	23	23	19
BSX	18	18	15

ACCEPTED MANUSCRIPT