

# Elliptic flow splitting as a probe of the QCD phase structure at finite baryon chemical potential

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Using a partonic transport model based on the 3-flavor Nambu-Jona-Lasinio model and a relativistic hadronic transport model to describe, respectively, the evolution of the initial partonic and the final hadronic phase of heavy-ion collisions at energies carried out in the Beam-Energy Scan program of the Relativistic Heavy Ion Collider, we have studied the effects of both the partonic and hadronic mean-field potentials on the elliptic flow of particles relative to that of their antiparticles. We find that to reproduce the measured relative elliptic flow differences between nucleons and antinucleons as well as between kaons and antikaons requires a vector coupling constant as large as 0.5 to 1.1 times the scalar coupling constant in the Nambu-Jona-Lasinio model. Implications of our results in understanding the QCD phase structure at finite baryon chemical potential are discussed.

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The main purpose of the experiments involving collisions of heavy nuclei at relativistic energies is to study the properties of produced quark-gluon plasma (QGP) and its phase transition to hadrons. It is known from the lattice Quantum Chromodynamics (QCD) that for QGP of small baryon chemical potential, such as that formed at top energies of the Relativistic Heavy Ion Collider (RHIC) and the Large Hadron Collider (LHC), the hadron-quark phase transition (HQPT) is a smooth crossover [1, 2]. For QGP of finite baryon chemical potential produced at lower collision energies, studies based on various theoretical models have indicated, however, that the HQPT is expected to change to a first-order one [3–6]. To determine if the critical point, at which the crossover HQPT changes to a first-order one, exists and where it is located in the QCD phase diagram is important for understanding the phase structure of QCD and thus the nature of the strong interaction. To search for the critical point, the Beam-Energy Scan (BES) program has been carried out at RHIC to look for its signals at lower collision energies of  $\sqrt{s_{NN}} = 7.7 \sim 39$  GeV.

Although there is no definitive conclusion on the existence or the location of the critical point, many interesting phenomena different from those at higher collision energies have been observed [7, 8]. Among them is the increasing splitting between the elliptic flow ( $v_2$ ) of particles, i.e., the second Fourier coefficient in the azimuthal distribution of their momenta in the plane perpendicular to the participant or reaction plane, and that of their antiparticles with decreasing collision energy [9]. This result also indicates the breakdown of the number of constituent quark scaling of  $v_2$  [10] at lower collision energies. The latter states that the scaled elliptic flow, which is obtained from dividing the hadron elliptic flow by its

number of constituent quarks as a function of a similarly scaled transverse kinetic energy, is similar for all hadrons, and this has been considered as an evidence for the existence of QGP in heavy-ion collisions at higher energies. Various explanations have been proposed to account for the observed splitting of particle and antiparticle  $v_2$ . In Ref. [11], it was suggested that in the presence of the strong magnetic field in non-central heavy-ion collisions, the chiral magnetic wave induced by the axial anomaly in QCD can generate an electric quadrupole moment in the produced baryon-rich QGP. This can then lead to the splitting of the  $v_2$  of oppositely charged particles and antiparticles, particularly that of  $\pi^+$  and  $\pi^-$  due to their similar final-state interactions in the hadronic matter. The  $v_2$  splitting of particles and antiparticles may also be attributed to different  $v_2$  of transported and produced partons [12], different rapidity distributions of quarks and antiquarks [13], and the conservation of baryon charge, strangeness, and isospin [14].

On the other hand, we have shown in our previous studies that the different mean-field potentials for hadrons and antihadrons [15] or quarks and antiquarks [16] in the baryon-rich matter produced at lower collision energies can describe qualitatively the  $v_2$  splitting of particles and their antiparticles. This is due to the fact that particles with attractive potentials are more likely to be trapped in the system and move in the direction perpendicular to the participant plane, while those with repulsive potentials are more likely to leave the system and move along the participant plane, thus reducing and enhancing their respective elliptic flows. However, the relative  $v_2$  difference between  $p$  and  $\bar{p}$  was underestimated in both our previous studies, and that between  $K^+$  and  $K^-$  was overestimated in Ref. [15] with only hadronic potentials and underestimated in Ref. [16] with only partonic potentials.

In the present study, we include both the partonic potentials from the Nambu-Jona-Lasinio (NJL) model [17]

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and the hadronic potentials that were known in the literature on subthreshold particle production in heavy-ion collisions [18, 19]. To reproduce quantitatively the relative  $v_2$  difference for  $p$  and  $\bar{p}$  as well as  $K^+$  and  $K^-$  in mini-bias Au+Au collisions at  $\sqrt{s_{NN}} = 7.7$  GeV, we find that the ratio  $R_V$  of the vector coupling  $G_V$  to the scalar-pseudoscalar coupling  $G$  in the NJL model is constrained to values between 0.5 and 1.1. It has been shown that this ratio determines the density beyond which the quark matter is formed in hybrid stars [20], which is important in understanding the composition of the recently discovered two-solar-mass neutron star [21]. More importantly, studies based on both the NJL model and the Polyakov-Nambu-Jona-Lasinio (PNJL) model [3–6] have shown that the existence and the location of the HQPT critical point in the QCD phase diagram depends on the value of  $R_V$ . Our results thus suggest that studying the  $v_2$  splitting of particles and their antiparticles provides the possibility of mapping out the QCD phase diagram at finite baryon chemical potential and to better understand the nature of the strong interaction.

We include the mean-field potential effect by extending the string-melting version of a multiphase transport (AMPT) model [22], which has been successfully used to describe the harmonic flows and the di-hadron correlation at both RHIC and LHC [23]. In this model, the initial hadrons, which are generated by the Heavy-Ion Jet Interaction Generator (HIJING) model [24] via the Lund string fragmentation model, are converted to their constituent or valence quarks and antiquarks. Different from previous studies at higher energies, the evolution of partons in time and space is modeled by a 3-flavor NJL transport model [16]. The NJL Lagrangian is written as [6]

$$\begin{aligned} \mathcal{L} = & \bar{\psi}(i \not{\partial} - M)\psi + \frac{G}{2} \sum_{a=0}^8 \left[ (\bar{\psi}\lambda^a\psi)^2 + (\bar{\psi}i\gamma_5\lambda^a\psi)^2 \right] \\ & + \sum_{a=0}^8 \left[ \frac{G_V}{2} (\bar{\psi}\gamma_\mu\lambda^a\psi)^2 + \frac{G_A}{2} (\bar{\psi}\gamma_\mu\gamma_5\lambda^a\psi)^2 \right] \\ & - K \left[ \det_f \left( \bar{\psi}(1 + \gamma_5)\psi \right) + \det_f \left( \bar{\psi}(1 - \gamma_5)\psi \right) \right], \quad (1) \end{aligned}$$

with the quark field  $\psi = (\psi_u, \psi_d, \psi_s)^T$ , the current quark mass matrix  $M = \text{diag}(m_u, m_d, m_s)$ , and the Gell-Mann matrices  $\lambda^a$  in  $SU(3)$  flavor space. In the case that the vector and axial-vector interactions are generated by the Fierz transformation of the scalar and pseudo-scalar interactions, their coupling strengths are given by  $G_V = G_A = G/2$ , while  $G_V = 1.1G$  was used in Ref. [25] to give a better description of the vector meson-mass spectrum based on the NJL model. Other parameters are taken from Refs. [6, 25] as  $m_u = m_d = 3.6$  MeV,  $m_s = 87$  MeV,  $G\Lambda^2 = 3.6$ ,  $K\Lambda^5 = 8.9$ , and  $\Lambda = 750$  MeV is the cut-off value in the momentum integration. In the mean-field approximation, the above Lagrangian leads to an attractive scalar mean-field potential for both quarks and antiquarks [16]. With a nonvanishing  $G_V$ , it

further gives rise to a repulsive vector mean-field potential for quarks but an attractive one for antiquarks in a baryon-rich quark matter.

For the scattering cross sections between quarks and antiquarks, we assume that they are isotropic and have a constant value that is determined from fitting the measured charged-particle elliptic flow. The quark matter then evolves under the influence of both mean-field potentials and two-body scatterings until the chiral symmetry is broken, i.e., the effective mass of light quarks, which is determined by Eq. (4) in Ref. [16], is larger than about 200 MeV.

At hadronization, quarks and antiquarks in the AMPT model are converted to hadrons via a spatial coalescence model by considering the invariant mass of nearest quarks and antiquarks and converting them into a hadron with the closest mass. This is different from the one used in Ref. [16] based on the phase space distribution of quarks and antiquarks and the Wigner functions of produced hadrons. Although the spatial coalescence is more schematic, it has been shown to give a reasonable description of many experimental data.

For the scatterings between hadrons in the hadronic stage, they are described by a relativistic transport (ART) model [26] that has been extended to also include particle-antiparticle annihilations and their inverse reactions. For the hadronic potentials, they are included as in our previous work [15] using the phenomenologically determined relativistic mean-field model [18] for nucleons and antinucleons, and effective chiral Lagrangian [19] for kaons and antikaons. Due to the G-parity invariance, the potential for antinucleons is much more attractive than that for nucleons, and that for kaons is slightly repulsive while that for antikaons is deeply attractive in a baryon-rich hadronic matter.

For the centrality of heavy-ion collisions, we use the empirical formula  $c = \pi b^2/\sigma_{in}$  [27] to determine the relation between the centrality  $c$  used in the experimental analysis and the impact parameter  $b$ , where the total nucleus-nucleus inelastic cross section is  $\sigma_{in} \approx 686$  fm<sup>2</sup> from the Glauber model calculation using the nucleon-nucleon inelastic cross section of about 30.8 mb at  $\sqrt{s_{NN}} = 7.7$  GeV from Ref. [28]. The mean-field potentials in both the partonic phase and the hadronic phase are then calculated using the test particle method [29] with parallel events that correspond to the same impact parameter. We note that although the initial parton distribution obtained from AMPT includes fluctuations, they are largely destroyed when using the test particle method to calculate the mean fields. For the elliptic flow addressed in the present study, this is, however, not an important effect as it is mostly due to the collision geometry and the fluctuation of initial eccentricity is not large.

To fix the parton scattering cross section in the NJL transport model, we compare the transverse momentum ( $p_T$ ) dependence of the elliptic flow of mid-pseudorapidity charged particles measured in mid-central

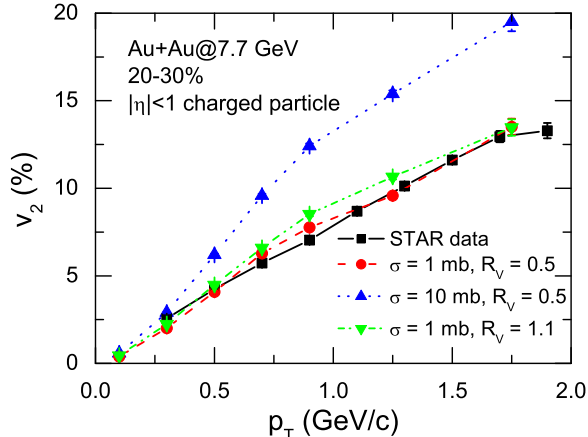


FIG. 1: (Color online) Transverse momentum dependence of the elliptic flow of mid-pseudorapidity charged particles in mid-central Au+Au collisions at  $\sqrt{s_{NN}} = 7.7$  GeV for different values of the parton scattering cross section  $\sigma$  and the ratio  $R_V$  of the vector coupling constant  $G_V$  to the scalar coupling constant  $G$  in the NJL model. The experimental data from the STAR Collaboration is from Ref. [28].

Au+Au collisions at  $\sqrt{s_{NN}} = 7.7$  GeV with results obtained for different values of the parton scattering cross section  $\sigma$ , which is further taken to be isotropic. The elliptic flow is calculated from the average azimuthal anisotropy of particles with respect to the participant plane, i.e.,  $v_2 = \langle \cos[2(\phi - \Psi_2)] \rangle$ , where  $\phi = \text{atan2}(p_y, p_x)$  is the azimuthal angle of the particle momentum at the final stage, and  $\Psi_2 = [\text{atan2}(\langle r_p^2 \sin 2\phi_p \rangle, \langle r_p^2 \cos 2\phi_p \rangle) + \pi]/2$  is the azimuthal angle of the participant plane at the initial stage with  $r_p$  and  $\phi_p$  being the polar coordinates of the participants. As shown in Fig. 1, the  $v_2$  of charged hadrons is larger for a larger parton scattering cross section, and we find that the experimentally measured  $v_2$  of charged particles from the STAR Collaboration [28] can be reasonably reproduced with a parton scattering cross section of  $\sigma = 1$  mb. This value is about half the average of quark-quark and quark-antiquark elastic cross sections calculated from the NJL model [30]. The magnitude of  $v_2$  is, however, rather insensitive to the value of  $R_V$ , as increasing  $R_V$  from 0.5 to 1.1 only increases slightly the value of  $v_2$ . These results remain similar if different methods are used to calculate the hadron  $v_2$ . We note that the small parton cross section required for describing the observed charged particle elliptic flow partially accounts for the fact that treating particles in the corona of a heavy ion collision as a partonic matter overestimates the elliptic flow.

Figure 2 displays the  $p_T$  dependence of initial  $v_2$  for mid-pseudorapidity nucleons and kaons as well as their antiparticles right after hadronization in mini-bias Au+Au collisions at  $\sqrt{s_{NN}} = 7.7$  GeV, with the par-

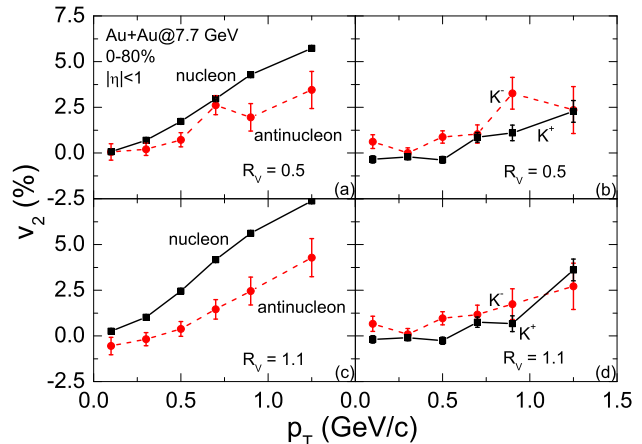


FIG. 2: (Color online) Transverse momentum dependence of the initial elliptic flows of mid-pseudorapidity nucleons and kaons as well as their antiparticles right after hadronization in mini-bias Au+Au collisions at  $\sqrt{s_{NN}} = 7.7$  GeV for different values of  $R_V = G_V/G$  in the NJL model.

ton scattering cross section of 1 mb as determined above and for two values of  $R_V = 0.5$  and 1.1. It is seen that in both cases, the elliptic flow is larger for nucleons than for antinucleons and for  $K^-$  than for  $K^+$ , and the difference is larger for the larger value of  $R_V$ , especially for nucleons and antinucleons. These results are qualitatively consistent with those in Ref. [16], although different parton scattering cross sections and hadronization criteria are used. The larger nucleon than antinucleon elliptic flow can be understood from the opposite effects of the partonic vector potential on quarks and antiquarks, which lead to a larger quark than antiquark elliptic flow. The reason for the larger  $K^-$  ( $\bar{u}s$ ) than  $K^+$  ( $u\bar{s}$ ) elliptic flow is, however, more complicated, as both consist of a quark and an antiquark. As shown in Fig. 3 of Ref. [16], because of the vector potential, which affects light quarks more than strange quarks as a result of the small light quark mass, the light quark elliptic flow increases faster with time than that of the strange quark. However, while the elliptic flow of strange quarks continues to increase with time, that of light quarks decreases at later times due to the stronger attractive scalar potential for light quarks than for strange quarks. As a result, the  $K^-$  elliptic flow can be larger than the  $K^+$  elliptic flow after their production from quark coalescence.

The final differential elliptic flows after the evolution of the hadronic phase are shown in Fig. 3, and they are larger than their corresponding values in the beginning of the hadronic stage. Because of the repulsive potential for nucleons and the attractive potential for antinucleons in the baryon-rich hadronic matter, the  $v_2$  of nucleons, which is larger initially, remains larger than that of antinucleons after hadronic evolution. For the  $v_2$  of  $K^+$  and

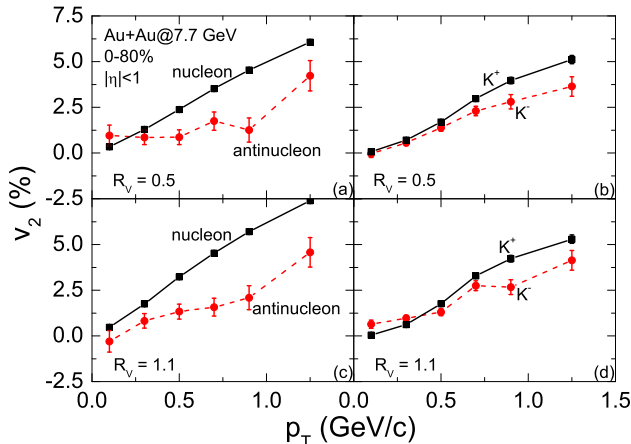


FIG. 3: (Color online) Same as Fig. 2 but for results after hadronic evolution.

$K^-$ , which is initially larger for  $K^-$  than for  $K^+$ , the ordering is, on the other hand, reversed by the repulsive  $K^+$  and attractive  $K^-$  potential in the baryon-rich hadronic matter, resulting in a larger  $v_2$  for  $K^+$  than for  $K^-$  after hadronic evolution. These effects are seen for both values of  $R_V$ .

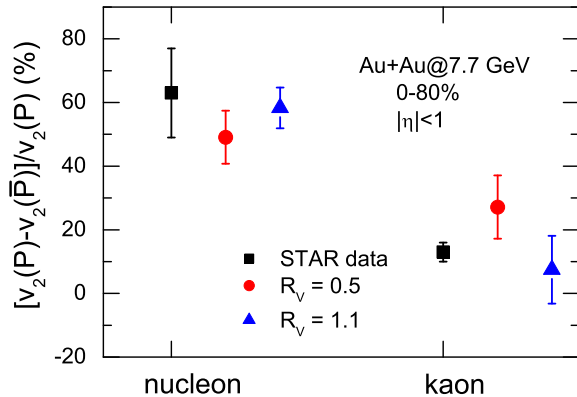


FIG. 4: (Color online) Relative elliptic flow difference between nucleons and antinucleons as well as kaons and antikaons for different values of  $R_V = G_V/G$  in the NJL model compared with the STAR data [8].

Figure 4 compares the  $p_T$ -integrated relative elliptic flow differences  $[v_2(P) - v_2(\bar{P})]/v_2(P)$  between nucleons and antinucleons as well as between kaons and antikaons for different values of  $R_V$  with the experimental results from the STAR Collaboration [8]. The STAR results can now be quantitatively reproduced with both  $R_V = 0.5$  and  $R_V = 1.1$  within the statistical error. Also, we find

that with increasing value of  $R_V$  the relative  $v_2$  difference between nucleons and antinucleons increases, while that between kaons and antikaons decreases, consistent with the results in Ref. [16]. It is thus expected that further reducing the value of  $R_V$  would underestimate the relative  $v_2$  difference between nucleons and antinucleons and overestimate that between kaons and antikaons, while further increasing the value of  $R_V$  would underestimate that between kaons and antikaons. To reproduce both the relative  $v_2$  differences for nucleons and antinucleons as well as that for kaons and antikaons requires the value of  $R_V$  to be within 0.5 and 1.1. According to Refs. [3–6], such values of vector coupling would make the critical point disappear in the QCD phase diagram, and the hadron-quark phase transition would always be a smooth crossover. Furthermore, a larger value of  $R_V$  results in a hadron-quark phase transition at very high densities or even a disappearance of the quark phase in neutron stars [20], leading to a possible explanation for the observed two-solar-mass neutron star [21].

In summary, we have studied the effects of both the partonic and the hadronic potential on the elliptic flow splitting of particles and their antiparticles in relativistic heavy-ion collisions carried out in the BES program at RHIC. With the evolution of the partonic phase described by an NJL transport model, we have obtained a larger  $v_2$  for nucleons and  $K^-$  than antinucleons and  $K^+$ , respectively, right after hadronization. After the hadronic evolution described by a relativistic transport model that includes the empirically determined hadronic potentials for particles and antiparticles, the final  $v_2$  is larger for nucleons and  $K^+$  than antinucleons and  $K^-$ , respectively. The relative  $v_2$  differences from the STAR data can be reproduced if the ratio  $R_V$  of the vector coupling constant  $G_V$  to the scalar coupling constant  $G$  in the NJL model is between 0.5 and 1.1, after taking into account the mean-field potential effects in both the partonic and the hadronic phase. This result is expected to remain unchanged after including the effect of gluons, e.g., using the PNJL model with the Polyakov loop contributions, since it is similar for quarks and antiquarks and can be compensated by using a different parton scattering cross section. Our results therefore suggest that studying the  $v_2$  splitting of particles and their antiparticles in heavy-ion collisions provides the possibility of studying the QCD phase structure at finite baryon chemical potential, thus helping understand the nature of the strong interaction.

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