



Detection of anthropogenic dust using CALIPSO lidar measurements

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# Detection of anthropogenic dust using CALIPSO lidar measurements

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## Abstract

Anthropogenic dusts are those produced by human activities on disturbed soils, which are mainly cropland, pasture, and urbanized regions and are a subset of the total dust load which includes natural sources from desert regions. Our knowledge of anthropogenic dusts is still very limited due to a lack of data on source distribution and magnitude, and on their effect on radiative forcing which may be comparable to other anthropogenic aerosols. To understand the contribution of anthropogenic dust to the total global dust load and its effect on radiative transfer and climate, it is important to identify them from total dust. In this study, a new technique for distinguishing anthropogenic dust from natural dust is proposed by using Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) dust and planetary boundary layer (PBL) height retrievals along with a land use dataset. Using this technique, the global distribution of dust is analyzed and the relative contribution of anthropogenic and natural dust sources to regional and global emissions are estimated. Results reveal that local anthropogenic dust aerosol due to human activity, such as agriculture, industrial activity, transportation, and overgrazing, accounts for about 25% of the global continental dust load. Of these anthropogenic dust aerosols, more than 53% come from semi-arid and semi-wet regions. Annual mean anthropogenic dust column burden (DCB) values range from  $0.42 \text{ gm}^{-2}$  with a maximum in India to  $0.12 \text{ gm}^{-2}$  with a minimum in North America. A better understanding of anthropogenic dust emission will enable us to focus on human activities in these critical regions and with such knowledge we will be better able to improve global dust models and to explore the effects of anthropogenic emission on radiative forcing, climate change and air quality in the future.

## 1 Introduction

Dust accounts for some of the highest atmosphere mass loadings in the atmosphere and plays an important role in modulating radiative forcing and climate via a num-

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ber of complex processes. While mineral dust has a wide distribution and relatively large optical depths, the existing atmospheric dust load cannot be explained by natural sources alone (Tegen and Fung, 1995). The atmosphere dust load that originates from disturbed soils by human activities, which can be interpreted as “anthropogenic” dust (Tegen and Fung, 1995), such as land use practices, can increase dust loading which, in turn, affects the radiative forcing. It is critical to quantify the relative importance of the different types of dust sources and the factors that affect emissions to understand the global dust cycle and historical and possible future changes in dust emissions, as noted by Okin et al. (2011) and Bullard et al. (2011).

Generally, anthropogenic dust originates mainly from agricultural practices (harvesting, ploughing, overgrazing), changes in surface water (e.g., shrinking of the Caspian and Aral Sea, Owens Lake), and also from urban practices (e.g., construction), and industrial practices (e.g., cement production, transport) (Prospero et al., 2002). Over the last few decades, a combination of more frequent warmer and dryer, winters and springs in semi-arid and semi-wet regions and changes in vegetated land cover due to human activity have likely increased anthropogenic dust emissions (Mahowald and Luo, 2003; Moulin and Chiapello, 2004; Tegen et al., 2004). Mulitza et al. (2010) demonstrated that the development of agriculture in the Sahel corresponded to a very large increase of dust emission and deposition in the region. The current consensus is that up to half of the modern atmospheric dust load originates from anthropogenically-disturbed soils (Tegen et al., 2004). In additional, Sokolik and Toon (1996) revealed that the direct solar radiative forcing by anthropogenic dust has a wide range of uncertainty and the forcing by anthropogenically-generated dust aerosols may be comparable to the forcing by other anthropogenic aerosols. Therefore, a clear understanding of anthropogenic dust emission is critical for predicting how changes in land usage (and thus changes in land use policies) will influence dust emission, loading, and deposition in the future (Okin et al., 2011).

However, the assessment of the role of anthropogenic activity in the atmospheric dust cycle is limited by the accuracy of the available data sets (Mahowald et al., 2002).



(Winker et al., 2007; Hu et al., 2007a, b, 2009). CALIPSO can provide new insight into the detection of global anthropogenic dust emission due to its measurement of vertical resolution and polarization ratio. In this study, we develop a new technique for detection of anthropogenic dust emissions by using CALIPSO lidar measurements and use this to analyze its global distribution. Section 2 presents the data used in this study, while the method for separating anthropogenic dust from natural dust is outlined in Sect. 3. Section 4 discusses the calculation of anthropogenic dust column burden. Section 5 presents the global distribution of anthropogenic dust. Finally, the conclusions are presented in Sect. 6.

## 2 Data

### 2.1 CALIPSO data

This study relies on the CALIPSO Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) for dust detection. CALIOP acquires vertical profiles of elastic backscatter at two wavelengths (532 and 1064 nm) and linear depolarization at 532 nm from a near nadir-viewing geometry during both day and night (Winker et al., 2007; Hu et al., 2007a, b, 2009). This study uses Level 1 backscatter, depolarization ratio, and color ratio profiles along with the Level 2 Vertical Feature Mask (VFM) products and 5 km Aerosol Profile Products. The depolarization ratio is a useful indicator for identifying non-spherical particles, and it can distinguish between atmospheric dust and spherical aerosols (Liu et al., 2004). The CALIPSO algorithm classifies aerosol layers that have volume depolarization ratio ( $\delta_v$ ) greater than 0.075 as dust (Omar et al., 2009; Mielonen et al., 2009). Mielonen et al. (2009) also confirmed that classification of dust is more reliable than classification of fine aerosols because depolarization ratio can be used to distinguish non-spherical aerosols from spherical ones while the color ratio is sensitive mainly to particle size.

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The CALIPSO Level 2 lidar VFM product (Liu et al., 2004; Vaughan et al., 2004) provides information about cloud and aerosol layer boundaries and positions. In CALIPSO Version 3 VFM data, the cloud aerosol discrimination (CAD) algorithm separates clouds and aerosols based on multi-dimensional histograms of scattering properties (e.g., intensity and spectral dependence), that is, the altitude-and latitude-dependent feature integrated color ratio,  $\chi'$ , the layer-integrated volume depolarization ratio,  $\delta_v$ , and the feature mean attenuated backscatter coefficient,  $\beta'_{532}$  (Liu et al., 2010). The CAD score reflects their confidence that the feature under consideration is either an aerosol or a cloud, on a scale from  $-101$  to  $105$ . The larger the magnitude of the CAD score, the higher our confidence that the classification is correct. Liu et al. (2010) revealed that the confidence in the classification is high with  $|\text{CAD}| \geq 70$  in Version 3. Based on this, we only include features with absolute values of CAD score greater than 70 in this study.

The Level 2 Aerosol Profile Product (Young and Vaughan, 2009) provides profiles of particle extinction coefficient and backscatter and additional profile information. In addition, the CALIPSO extinction quality control (QC) flags were also provided. Extinction QC = 0 (the lidar ratio is unchanged during the extinction retrieval) and QC = 1 (if the retrieval is constrained) are chosen in this paper, which are used to calculate optical depth by integrating extinction coefficients. Chen et al. (2013) noted that the impact of the screening procedure in this specific case is negligible.

## 2.2 Land cover data

The Collection 5.1 MODIS global land cover type product (MCD12C1) from 2011 is used in this study to provide anthropogenic dust source types. The MCD12C1 product has  $0.05^\circ$  spatial resolution, includes 17 different surface vegetation types, and was developed by the International Geosphere-Biosphere Programme data (IGBP) (Love-land and Belward, 1997; Friedl et al., 2010). It provides the dominant land cover type as well as the sub-grid frequency distribution of land cover classes within each  $0.05^\circ$  cell. Because we are focusing on sources of anthropogenic dust in this paper, we limit

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our study to three agricultural surface types: Croplands, Grasslands, and Cropland Mosaics. Cropland Mosaics are lands with a mosaic of croplands less than 60 % of the landscape (Friedl et al., 2002). Because urban environments can also be sources of anthropogenic dust, we get information about the extent of urban areas from the Global Rural-Urban Mapping Project (GRUMP) v1 (Schneider et al., 2010) dataset. In Fig. 1, we summarize the geographical distribution of the anthropogenic dust source types described above. The colors indicate the locations of the four different anthropogenic dust source types: red represents urban areas, orange represents grassland, yellow represents cropland, and green represents cropland mosaics. The four black rectangles denote four regions that will be emphasized later: East China, India, North America, and Africa.

### 2.3 Precipitation data

Anthropogenic dust emissions depend on soil moisture content and therefore on precipitation and climate state regime. In this study, we use precipitation as a proxy for climate state regime. The University of East Anglia Climate Research Unit (CRU) Global Climate Dataset provides the monthly mean precipitation climatologies for global land areas, excluding Antarctica (New et al., 1999), which is used in this study. The data set is based on analysis of over 4000 individual weather station records and is provided at 0.5° latitude and longitude resolution. The CRU Global Climate Dataset temperature and precipitation, estimates were made for 80–100 % of the land surface (Mitchell and Jones, 2005). In this study the monthly mean climatology was calculated relative to the average for the period 1961–1990.

### 3 Dust detection and identification methods

It is a challenge to distinguish the anthropogenic dust component from natural dust (Sagan et al., 1979; Sokolik and Toon, 1996) due to the indirect nature of the satellite-







CALIPSO, which is better than radiosonde estimates of space/time average PBL depth (Angevine et al., 1994).

We modified the maximum standard technique developed by Jordan et al. (2010) and derived global PBL heights using this method, which are consistent with results of McGrath-Spangler and Denning (2012). And, we found that this technique compared favorably to the ground-based lidar at the Semi-Arid Climate and Environment Observatory of Lanzhou University (SACOL) with a correlation coefficient of 0.73 (Liu et al., 2014).

### 3.4 Step 4: identification of anthropogenic dust within PBL

The final step is to identify the anthropogenic dust within the PBL. Two parameters, the layer integrated depolarization ratio  $\delta'$  and the layer integrated attenuated backscatter coefficient  $\gamma'$ , can be used to explore the difference in optical properties between natural dust and anthropogenic dust. As an illustration of the process and resulting output of this step, we chose two typical areas based on dust optical depth ( $\tau$ ), population density, and land cover distribution, to represent sources of anthropogenic dust (North China: 35.0–39.0° N, 114.0–118.0° E) and natural dust source (Taklimakan: 38.0–40.0° N, 78.0–83.0° E). Because spring (March to May) is the most active season for dust emission in the Taklimakan region, 4 years (2007 through 2010) of spring, daytime CALIPSO measurements were used to look at the optical properties of natural dust aerosol. Because anthropogenic dust has little seasonal dependence and natural dust is at its minimum in autumn, we used 4 years of autumn measurements to look at the optical properties of anthropogenic dust. For these two seasons, the statistical distribution of the layer-integrated  $\delta'$  and  $\gamma'$  for both anthropogenic dust and natural dust from the entire profile and within the PBL, respectively was constructed by summing occurrences within grid boxes of  $\Delta\delta' - \Delta\gamma'$  measuring 0.01-by-0.001  $\text{sr}^{-1}$ .

In Fig. 3a, we can see that a threshold of  $\delta' = 0.25$  can be used to discriminate dust based on the entire profiles from the Taklimakan and North China. Figure 3b shows that a lower threshold of  $\delta' = 0.23$  can be used to separate anthropogenic dust from

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After calculating global total DOD ( $\tau_t$ ) and anthropogenic DOD ( $\tau_a$ ) from the CALIPSO profile products between January 2007 and December 2010, we were able to calculate dust column burdens. The conversion from dust optical depth ( $\tau$ ) to dust column mass burden ( $M$ ) was calculated following Ginoux et al. (2001):

$$M = \frac{4}{3} \frac{\rho r_{\text{eff}}}{Q_{\text{ext}}} \tau = \frac{1}{\varepsilon} \tau \quad (1)$$

Where,  $r_{\text{eff}}$  is the dust effective radius,  $\rho$  is the density of dust,  $Q_{\text{ext}}$  is the dust extinction efficiency, and  $\varepsilon$  is the mass extinction efficiency. Ginoux et al. (2012) used daily global DOD from MODIS deep blue aerosol products and converted it into column burden. In this study, we follow those empirical values taken by Ginoux et al. (2012) and assume  $r_{\text{eff}} = 1.2 \mu\text{m}$ ,  $\rho = 2600 \text{ kg m}^{-3}$ ,  $Q_{\text{ext}} = 2.5$ ,  $\varepsilon = 0.6 \text{ m}^2 \text{ g}^{-1}$ , and  $\tau$  is the dust optical depth derived from the CALIPSO retrievals.

## 5 Results

The global distribution of seasonal mean, total DOD with  $1.25^\circ \times 1.25^\circ$  resolution derived from CALIPSO measurements for 2007 through 2010 are presented in Fig. 5, which shows that dust covers a larger area in the Northern Hemisphere than Southern Hemisphere. The Taklimakan and Gobi deserts in China (Qian et al., 2002) and the deserts on the Indian Subcontinent (Middleton, 1986) are major dust source regions, subordinate only to North Africa and the Arabian Peninsula (Prospero et al., 2002; Liu et al., 2008). These major dust sources are located in the broad “dust belt” which stretch from the western coast of North Africa to China, covering the Sahara and Sahel regions, the Arabian Peninsula, northern India, the Tarim Basin and Gobi desert (Herman et al., 1997; Prospero et al., 2002; Liu et al., 2008), and are usually associated with topographical basins in these arid regions, on land adjacent to high mountainous or plateau regions or in intermountain basins as discussed in detail by Prospero et al. (2002). In these source regions the annual rainfall is generally low,

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China and natural dust over Africa. Some studies have confirmed that human activities, mainly farming, overgrazing, and water usage, have likely been responsible for the expansion of dust sources in northern China and India (Xuan and Sokolik, 2002; Prasad et al., 2007). Igarashi et al. (2011) add that drought has been also a contributing factor.

Gong et al. (2004) showed that although desertification has increased by only a few percent in China, it has generated disproportionately large areas of enhanced dust emissions. The relationship between population density and anthropogenic DCB from our four study regions further supports the above results. In this paper, anthropogenic dust mainly comes from cropland, urban, and pasture. Anthropogenic dust from intermittent dry lake basins is not considered. A major uncertainty in these results comes from the assumption of a single value for mass extinction efficiency in Eq. (1) that was used in this paper; this parameter probably varies between the different regions. To reduce this uncertainty, it will be necessary to determine different mass extinction efficiency for the natural and anthropogenic dusts from different regions. What's more, the local anthropogenic dusts also make some contribution to local climate, air quality, and human health. Therefore, it is necessary to further investigate the regional interaction among aerosol-cloud-precipitation processes and improve the parameterization of local air pollution effects (Huang et al., 2006a, b, 2010, 2014; Li et al., 2011).

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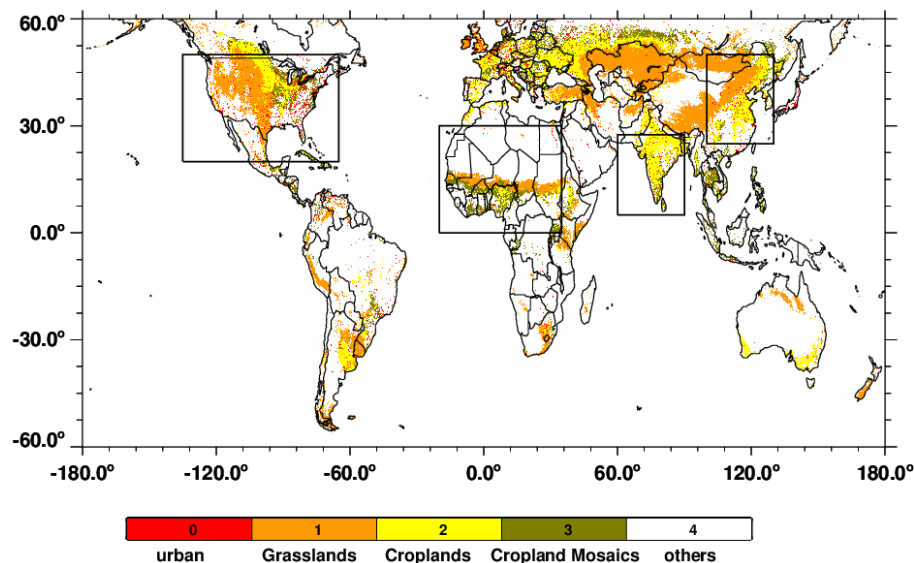


**Table 2.** Description of dust study areas. Latitude and longitude ranges; area and percent of the region considered to contribute to anthropogenic dust emissions; and annual mean anthropogenic dust column burden (ADCB) of the regions considered in this study.

| Region        | Longitude Range | Latitude Range | Anthropogenic area km <sup>2</sup> (%) | Mean ADCB (gm <sup>-2</sup> ) |
|---------------|-----------------|----------------|--|-------------------------------|
| East China    | 100.0–130.0° E  | 25.0–50.0° N   | 3.71 × 10 <sup>6</sup> (63.0)          | 0.17                          |
| India         | 60.0–90.0° E    | 5.0–27.5° N    | 1.98 × 10 <sup>6</sup> (70.2)          | 0.42                          |
| North America | 135.0–65.0° W   | 20.0–50.0° N   | 5.56 × 10 <sup>6</sup> (54.0)          | 0.09                          |
| North Africa  | 20.0° W–35.0° E | 0.0–30.0° N    | 3.40 × 10 <sup>6</sup> (21.5)          | 0.26                          |

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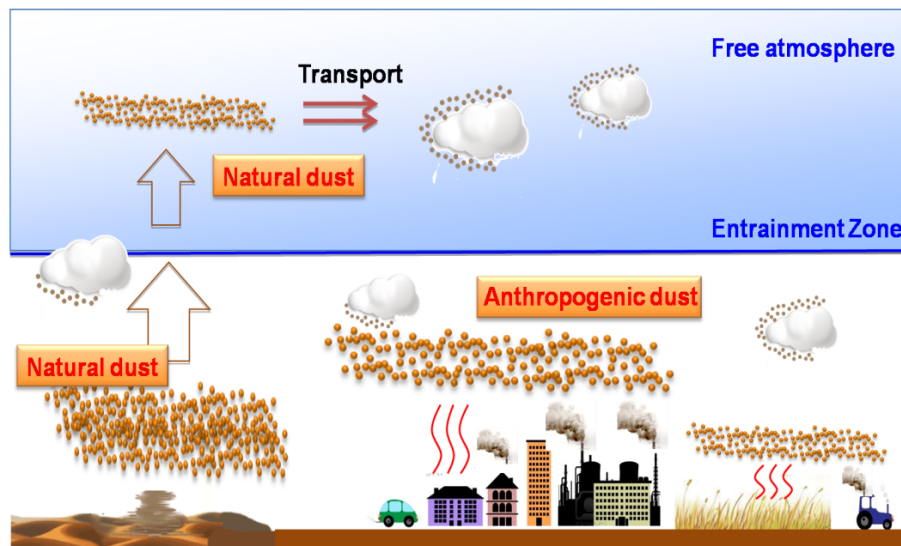


**Figure 1.** Global distribution of the land cover types for anthropogenic dust source types (including urban, cropland and grasslands) retrieved by combing MODIS and GRUMP data. The black rectangles denote four majors source regions studied: East China, India, North America, and Africa.

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**Figure 2.** A conceptual schematic for sources and transport of dusts upon which the detection process of anthropogenic dust is based. The yellow dots represent dust aerosol in the atmosphere; the arrow and red wavy lines represent lifting and turbulence, respectively.

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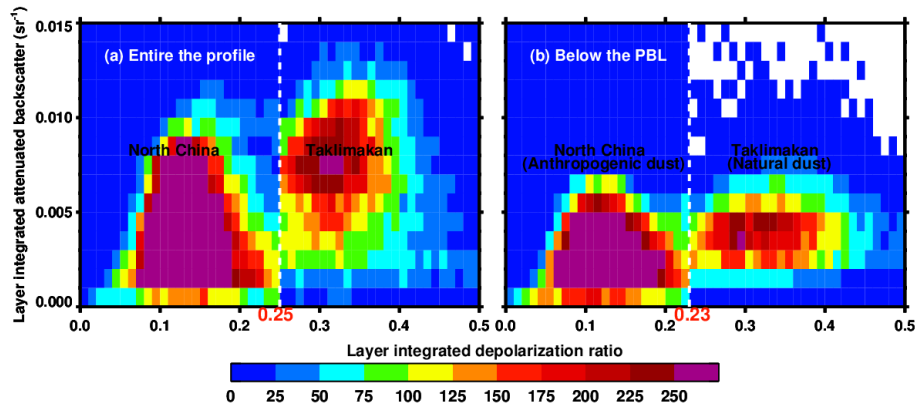
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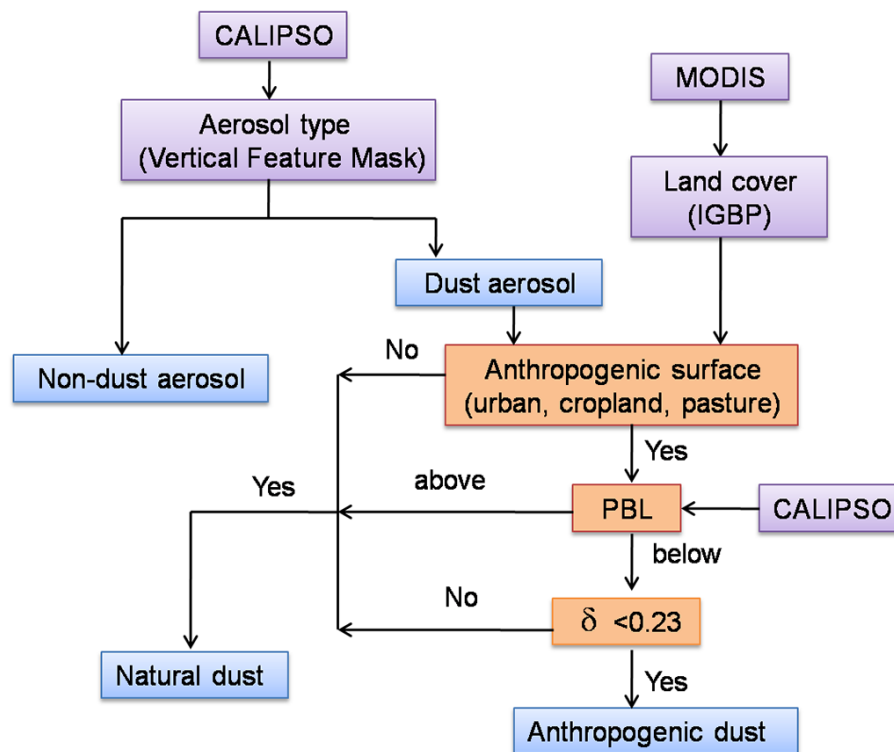


**Figure 3.** The relationship between the layer-integrated depolarization ratio  $\delta'$  and the layer-integrated attenuated backscatter coefficient  $\gamma'$  for North China and Taklimakan from the entire profile **(a)** and within the PBL **(b)**, respectively. The color of each pixel represents the frequency of occurrence for a  $\Delta\delta' - \Delta\gamma'$  box measuring 0.01-by-0.001  $\text{sr}^{-1}$ .

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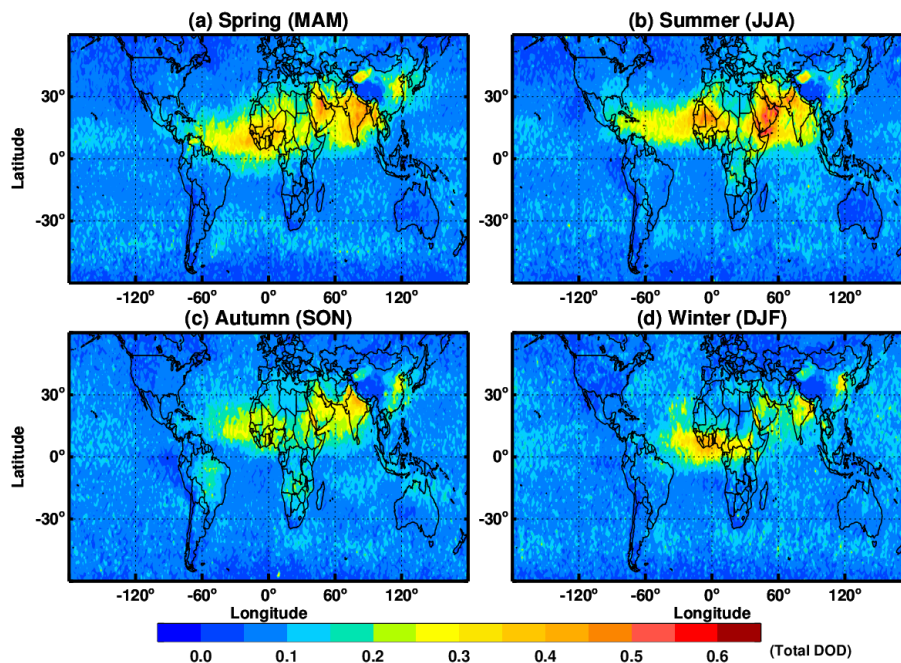


**Figure 4.** Flow chart of anthropogenic dust detection by combining CALIPSO and land cover dataset provided MODIS.

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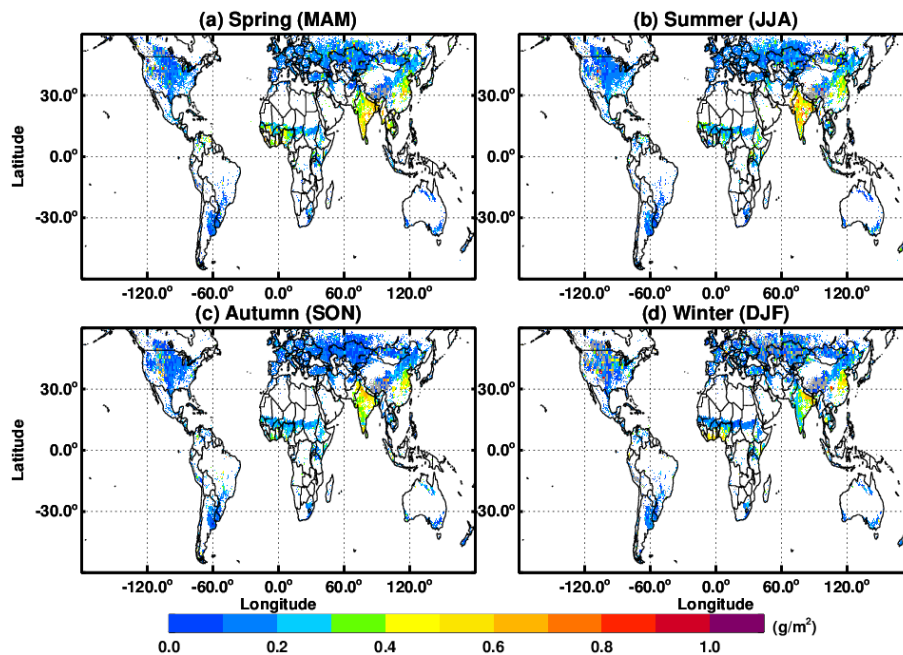


**Figure 5.** Global distributions of seasonal mean for total dust optical depth derived from CALIPSO measurements from 2007 through 2010.

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**Figure 6.** Global distribution of seasonal mean for anthropogenic dust column burden from 2007 through 2010.

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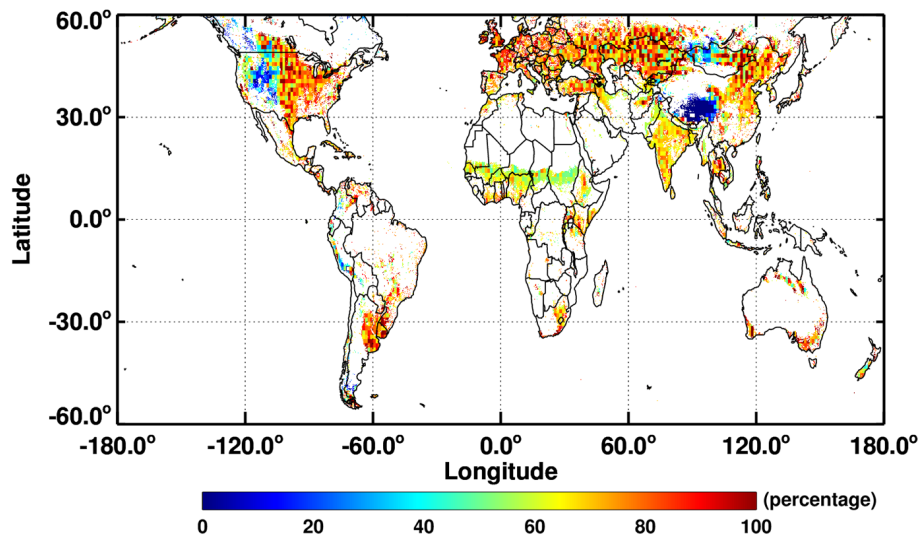
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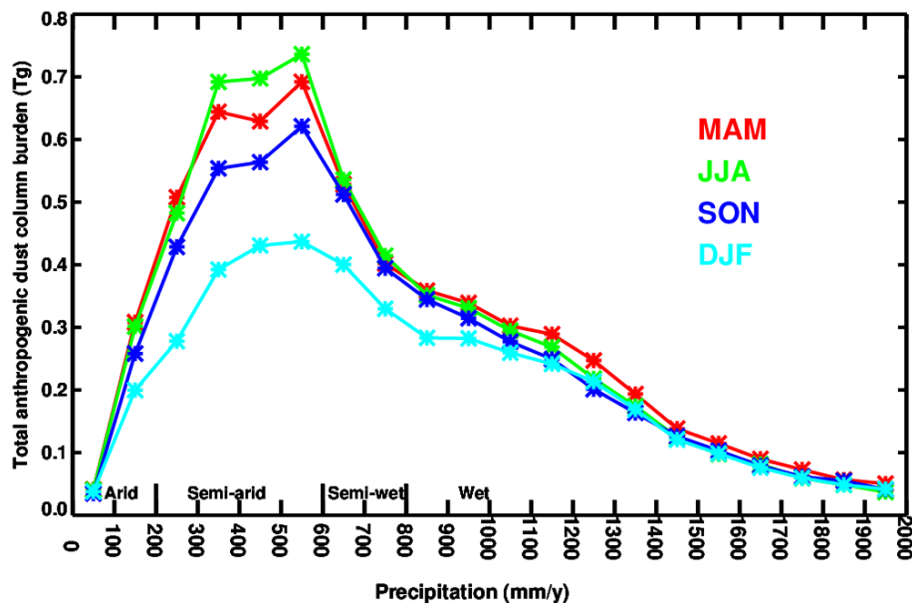
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**Figure 7.** Global distribution of the percentage of anthropogenic dust within the total dust column burden.

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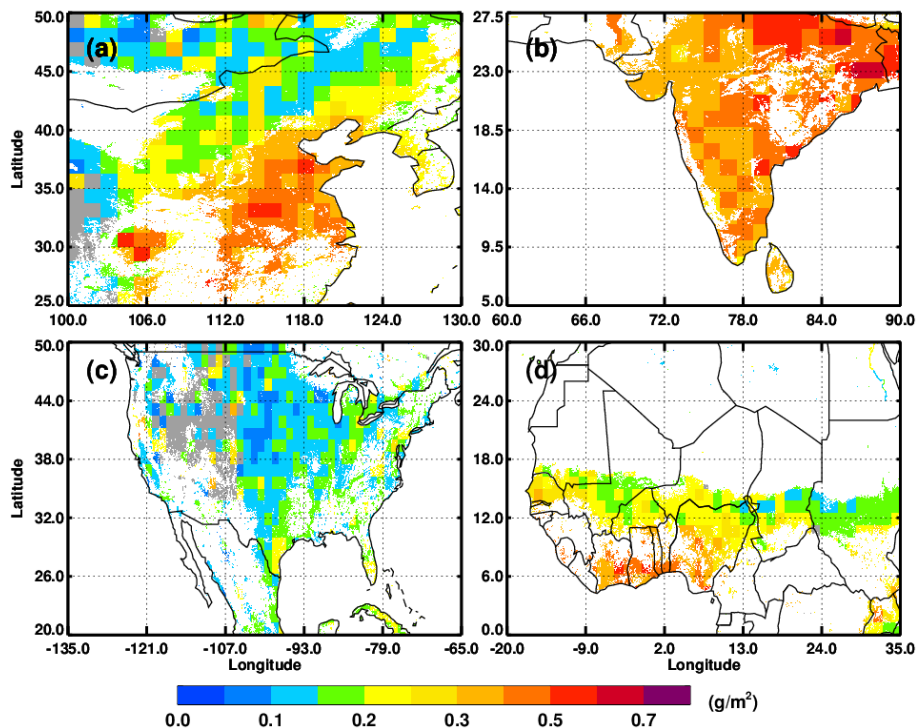
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**Figure 8.** Comparisons of dust column burden over four seasons as a function of climatological mean precipitation. The precipitation interval is  $100 \text{ mm y}^{-1}$ .

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**Figure 9.** Regional distribution of annual mean anthropogenic dust column burden derived from CALIPSO measurements (2007 through 2010) for (a) East China, (b) India, (c) North America, and (d) North Africa.

