Note di Matematica 20, n. 2, 2000/2001, 35-41.

# Note on strongly Lie nilpotent rings

#### F. Catino and M. M. Miccoli

Dipartimento di Matematica, Università di Lecce Via Prov.le Lecce-Arnesano, P.O. Box 193 I - 73100 Lecce, Italy francesco.catino@unile.it, maddalena.miccoli@unile.it

Received: 18 February 1997; accepted: 24 November 2000.

**Abstract.** This note contains a few introductory results on strongly Lie nilpotent rings and, in particular, an analogue of a well known theorem of P. Hall on nilpotent groups.

Keywords: ring, central chains, strongly Lie nilpotent ring

MSC 2000 classification: 16A22

### 1 Introduction

Let R be an associative ring. For all  $a, b \in R$  we set  $a \circ b = ab - ba$ . It is well-known that  $(R, +, \circ)$  is a Lie ring. For all  $A, B \subseteq R$ , the additive subgroup of R generated by all Lie products  $a \circ b$   $(a \in A, b \in B)$  is denoted by  $A \circ B$ .

Now we put  $\gamma_1(R) = R$  and for any  $n \in \mathbf{N}$ , n > 1,  $\gamma_n(R) = \gamma_{n-1}(R) \circ R$ . If there exists  $c \in \mathbf{N}$  such that  $\gamma_{c+1}(R) = 0$ , then R is called a *Lie nilpotent* ring.

We define the Lie powers  $R^{(n)}(n \in \mathbf{N})$  as follows:  $R^{(1)} = R$ , and for all  $n \in \mathbf{N}$ , n > 1,  $R^{(n)}$  is the ideal of R generated by  $R^{(n-1)} \circ R$ . If there exists  $c \in \mathbf{N}$  such that  $R^{(c+1)} = 0$ , then R is called a *strongly Lie nilpotent ring* (see [7]).

Clearly,  $\gamma_n \subseteq R^{(n)}$  for all  $n \in \mathbf{N}$ , thus a strongly Lie nilpotent ring is Lienilpotent.

There are many results on strongly Lie nilpotent group rings, see for example Bovdi's paper [2].

The 2nd section of this note contains a few developments in the spirit of Jennings' paper [4]. In the 3rd section, an analogue of a well known theorem of P. Hall on nilpotent groups for strongly Lie nilpotent rings is obtained.

# 2 Central series of ideals

We recall that if I and J are ideals of a ring R and  $I \subseteq J$ , then J/I is called a *central factor* if  $J \circ R \subseteq I$  or, equivalently, J/I belongs to the centre Z(R/I) of the ring R/I.

A chain  $(J^{(\lambda)})$  of ideals of a ring R is called a *central series* of R if every factor  $J^{(\lambda+1)}/J^{(\lambda)}$  is central (see [4]).

The lower central series of a ring R is the descending series whose terms  $R^{(\alpha)}$  are defined by setting:  $R^{(1)} = R$  and, for  $\alpha > 1$ ,  $R^{(\alpha)} = \bigcap_{\beta < \alpha} R^{(\beta)}$  if  $\alpha$  is a limit ordinal and  $R^{(\alpha)}$  is the ideal of R generated by  $R^{(\alpha-1)} \circ R$ , otherwise.

Following an idea of Jennings [4], we now define the upper central series of an arbitrary ring.

If B is an additive subgroup of a ring R, then the set  $M := \{x | x \in B, Rx \subseteq B\}$  is the largest left ideal of R which is contained in B. Moreover, the set  $F := \{y | y \in M, yR \subseteq M\}$  is the largest ideal of R which is contained in B.

It is easy to see that  $F(R) = \{y | y \in Z(R), yR \subseteq Z(R)\}$  is the largest ideal of R which is contained in the centre Z(R) of R. The ideal F(R) is called the *strong centre* of R. We remark that the annihilator of a ring R is contained in F(R).

The upper central series of a ring R is the ascending series whose terms  $F^{(\alpha)}(R)$  are defined by setting  $F^{(0)}(R) = \{0\}$  and, for alpha > 0,  $F^{(\alpha)}(R) = \bigcup_{\beta < \alpha} F^{(\beta)}(R)$  if  $\alpha$  is a limit ordinal and  $F^{(\alpha+1)}(R)/F^{(\alpha)}(R) = F(R/F^{(\alpha)}(R))$  otherwise. In particular,  $F^{(1)}(R)$  is the strong centre of R.

Moreover, for any positive integer k

$$F^{(k)}(R) = \{ x | x \in R, \quad \forall r, s \in R \quad x(1+r) \circ s \in F^{(k-1)}(R) \}$$
(1)

The following result gives some relationship between the lower central series and the upper central series of arbitrary ring R.

**Proposition 1.** Let R be a ring, and let k and l be positive integers. (1)  $R^{(k)} \cdot R^{(l)} \subset R^{(k+l-1)}$ 

- (2)  $R^{(k)} \circ R^{(l)} \subseteq R^{(k+l)}$
- (3)  $(R^{(k)})^{(l)} \subset R^{(kl)}$
- (4)  $R^{(k)} \cdot F^{(l)}(R) \subset F^{(l-k+1)}(R)$  se k < l
- (5)  $F^{(l)}(R) \cdot R^{(k)} \subseteq F^{(l-k+1)}(R)$  se  $k \le l$
- (6)  $R^{(k)} \circ F^{(l)}(R) \subseteq F^{(l-k)}(R)$  se  $k \le l$
- (7)  $F^{(k)}(R/F^{(l)}(R)) = F^{(k+l)}(R)/F^{(l)}(R)$

PROOF. For (1), (2) see [4], Theorem 3.3 e Theorem 3.4. We prove our assertions by induction. First, (3) is trivial for l = 1. If l > 1, then, by (2), we have

$$(R^{(k)})^{(l-1)} \circ R^{(k)} \subseteq R^{k(l-1)} \circ R^{(k)} \subseteq R^{(k(l-1)+k)} = R^{(kl)}$$

for all positive integer k. Hence  $(R^{(k)})^{(l)} \subseteq R^{(kl)}$ .

Space curves not contained in low degree surfaces in positive characteristic

(4): If k = 1, then, for all  $l \in \mathbf{N}$ 

$$R^{(k)}F^{(l)}(R) = R^{(1)}F^{(l)}(R) \subseteq F^{(l)}(R) \subseteq F^{(l-k+1)}(R)$$

Now let k > 1. For all  $a \in R^{(k-1)}$ ,  $b \in R$  and  $c \in F^{(l)}(R)$ , the inductive hypothesis implies that

$$(a \circ b)c = ac \circ b - a(c \circ b) \in F^{(l-k+1)}(R),$$

as desired.

- (5): Analogously to (4).
- (6): If k = 1, then, for all  $l \in \mathbf{N}$

$$R^{(k)} \circ F^{(l)}(R) = R \circ F^{(l)}(R) \subseteq F^{(l-1)}(R) = F^{(l-k)}(R)$$

Now let k > 1. For all  $a \in R^{(k-1)}$ ,  $b \in R$ ,  $r \in R$  and  $c \in F^{(l)}(R)$ , inductively we have

$$(a \circ b) \circ c = b \circ (c \circ a) + a \circ (b \circ c) \in F^{(l-k)}(R)$$

Hence, by (5), we have

$$(a \circ b)r \circ c = (a \circ b) \circ rc + r \circ (c(a \circ b)) \in F^{(l-k)}(R)$$

(7): If k = 1, then, for all  $l \in \mathbf{N}$ 

$$F^{(k)}(R/F^{(l)}(R)) = F(R/F^{(l)}(R)) = F^{(l+1)}(R)/F^{(l)}(R) = F^{(k+l)}(R)/F^{(l)}(R)$$

Now let k > 1. For all  $l \in \mathbf{N}$  and for all  $y \in R$  we have

$$y + F^{(l)}(R) \in F^{(k)}(R/F^{(l)}(R)) \iff$$
$$\iff \forall a, b \in R \quad (y(1+a) \circ b) + F^{(l)}(R) \in F^{(k-1)}(R/F^{(l)}(R))$$
$$\iff \forall a, b \in R \quad (y(1+a) \circ b) + F^{(l)}(R) \in F^{(k-1+l)}(R)/F^{(l)}(R)$$
$$\iff \forall a, b \in R \quad y(1+a) \circ b \in F^{(k-1+l)}(R) \iff y \in F^{(k+l)}(R)$$

which completes the proof.

**Corollary 1.** If R is a ring and k is a positive integer, then

$$\operatorname{char} R/F^{(k)}(R) = \operatorname{char} R^{(k+1)}.$$

37

QED

PROOF. Let  $k \in \mathbb{N}$  and let  $m := \operatorname{char} R/F^{(k)}(R) \neq 0$ . For all  $a \in R^{(k)}$ ,  $r \in R$ , we have

$$m(a \circ r) = a \circ mr \in R^{(k)} \circ F^{(k)}(R) = 0,$$

by Prop. 1 (6). Since  $R^{(k+1)}$  is the ideal of R generated by  $R^{(k)} \circ R$ , it follows that char  $R^{(k+1)}$  divides m.

Now let  $n := \operatorname{char} R^{(k+1)} \neq 0$ . For each  $r, r_1, \ldots, r_k, s_1, \ldots, s_1, \ldots, s_k \in R$  we have

$$(\cdots ((((nr(1+r_1) \circ s_1)(1+r_2) \circ s_2) \cdots )(1+r_k) \circ s_k =$$
$$= n((\cdots ((((r(1+r_1) \circ s_1)(1+r_2) \circ s_2) \cdots )(1+r_k) \circ s_k) = 0$$

By (1), it follows that  $nr \in F^{(k)}(R)$ . Hence char  $R/F^{(k)}(R)$  divides n

It follows immediately that char  $R/F^{(k)}(R) = 0$  if and only if char  $R^{(k+1)} = 0$ .

The following proposition gives a relation between the characteristic of the factors of the upper central series of a ring and that of its strong centre.

**Proposition 2.** If R is a ring such that char  $F(R) \neq 0$ , then the characteristic of  $F^{(k+1)}(R)/F^{(k)}(R)$  divides the characteristic of F(R), for each non-negative integer k.

PROOF. Let  $n := \operatorname{char} F(R) \neq 0$ . We show by induction on k that  $nx \in F^{(k)}(R)$ , for all  $x \in F^{(k+1)}(R)$  and  $k \in \mathbf{N}_0$ .

For k = 0, there is nothing to prove. Let  $k \ge 1$  and assume that  $ny \in F^{(k-1)}(R)$  for each  $y \in F^{(k)}(R)$ . Let  $x \in F^{(k-1)}(R)$ . For all  $r, s \in R$  we have  $x(1+r) \circ s \in F^{(k)}(R)$ . Inductively,  $n(x(1+r) \circ s) \in F^{(k-1)}(R)$ . Hence  $(nx)(1+r) \circ s$  belongs to  $F^{(k-1)}(R)$  and  $nx \in F^{(k)}(R)$ , by (1). QED

# 3 Analogue of a theorem of P. Hall

In [4], Jennings proves that a ring is strongly Lie nilpotent if and only if it has a finite central series. Moreover, we have

**Proposition 3.** Let R be a ring. If  $c \in \mathbf{N}$  and  $0 = I_0 \subset \ldots \subset I_c = R$  is a central series of R, then

$$R^{(c-k+1)} \subseteq I_k \subseteq F^{(k)}(R)$$

for each  $k \in \{0, 1, ..., c\}$ 

PROOF. The first inclusion holds by [4] (Theorem 2.1). We prove, by induction on k, that  $I_k \subseteq F^{(k)}(R)$ . For k = 0, there is nothing to prove. Let  $k \ge 1$  Space curves not contained in low degree surfaces in positive characteristic

and assume that  $I_{k-1} \subseteq F^{(k-1)}(R)$ . Let  $z \in I_k$ . Since  $I_k/I_{k-1}$  is a central factor, we have inductively

$$z(1+r) \circ s \in I_{k-1} \subseteq F^{(k-1)}(R)$$

for all  $r, s \in R$ . Hence  $z \in F^{(k)}(R)$ , by (1).

The proposition shows that the lower and upper central series of any strongly Lie nilpotent ring R have the same length c. This length c is called the *strongly* Lie nilpotent class of R

The following result is analogous to one obtained for nilpotent rings (see [5], 1.2.6).

**Proposition 4.** If R is a strongly Lie nilpotent ring, then char R = 0 if and only if char F(R) = 0.

PROOF. If char F(R) = 0, then clearly char R = 0. Conversely, let char R = 0 and assume that char  $F(R) = m \neq 0$ . If c is the strongly nilpotent class of R, then  $R^{(c)} \subseteq F(R)$ . Hence char  $R^{(c)} \neq 0$ . Let  $i := \min\{j | j \in \mathbf{N}, \text{ char } R^{(j)} \neq 0\}$  and let  $n := \operatorname{char} R^{(i)}$ . Then there is an element  $x \in R^{(i-1)}$  such that  $mnx \neq 0$ .

For all  $y, z \in R$ , we have

$$nx(1+y) \circ z = n(x(1+y) \circ z) = n(x \circ z + xy \circ z) = 0$$

By (1),  $nx \in F(R)$ , therefore mnx = 0, a contradiction to the choice of x.

The results above are examples of a strong analogy between the theories of nilpotent groups and strongly Lie nilpotent rings.

In particular, we recall the well-known theorem of P. Hall for nilpotent groups: if N is a normal subgroups of a group G and N, G/N' are nilpotent, then G is nilpotent (see [6]). A version of this theorem for Lie algebras is contained, for example, in [1].

We give a version of the theorem of P. Hall for strongly Lie nilpotent rings.

**Lemma 1.** Let R be a ring, I an ideal of R such that its strong centre F(I) is an ideal of R and M the largest ideal of R contained in  $I \circ I$ .

If there is a finite central series of R between F(I) and I, then there is a finite central series of R between 0 and M.

PROOF. Let  $t \in \mathbf{N}$  and

$$F(I) = I_0 \subset I_1 \subset \dots \subset I_t = I \tag{2}$$

a finite central series of R between F(I) and I.

For each  $i \in \mathbf{N}$ ,  $i \leq 2t$ , let  $B_i$  the additive subgroup of R generated by  $\bigcup_{h+k=i} I_h \circ I_k$ , and let  $\overline{B}_i$  be the ideal R generated by  $B_i$ .

QED

Evidently

$$0 = \overline{B}_1 \subseteq \overline{B}_2 \subseteq \dots \subseteq \overline{B}_{2t} \tag{3}$$

We show that (3) is a central series of R.

It is sufficient to prove that, for all  $a \in I_h$ ,  $b \in I_k$  such that h + k = i and for all  $r, s, v \in R$  we have

$$a \circ b \circ v \in B_{i-1}$$
$$(a \circ b)r \circ v \in \overline{B}_{i-1}$$
$$r(a \circ b) \circ v \in \overline{B}_{i-1}$$
$$r(a \circ b)s \circ v \in \overline{B}_{i-1}$$

Since (2) is a central series, by the Jacobi identity, we have

$$a \circ b \circ v = a \circ v \circ b + v \circ b \circ a \in B_{i-1} \subseteq \overline{B}_{i-1}$$

Moreover (cfr. [3], Lemma 2)

$$\begin{split} (a \circ b)(r \circ v) &= v(a \circ r) \circ b \\ &- r(a \circ b \circ v) \\ &- a \circ r \circ bv + a \circ br \circ v \\ &- a \circ b \circ v \circ r + a \circ r \circ b \circ v \in \overline{B}_{i-1}. \end{split}$$

Hence

$$(a \circ b)r \circ v = (a \circ b \circ v)r + (a \circ b)(r \circ v) \in \overline{B}_{i-1}.$$

It follows that

$$r(a \circ b) \circ v = -(a \circ b \circ r) \circ v + (a \circ b)r \circ v \in \overline{B}_{i-1}.$$

Finally,

$$s(a \circ b)r \circ v = s((a \circ b)r \circ v) + (s \circ v)(a \circ b)r \in \overline{B}_{i-1}.$$

Hence for all  $i \in \mathbf{N}$ ,  $1 < i \le 2t$  we have

$$(\overline{B}_i \cap M) \circ R \subseteq (\overline{B}_i \circ R) \cap (M \circ R) \subseteq \overline{B}_{i-1} \cap M.$$

Therefore

$$0 = \overline{B}_1 \cap M \subseteq \dots \subseteq \overline{B}_{2t} \cap M = M$$

is a finite central series of R between 0 and M.

**Theorem 1.** Let R be a ring, I an ideal of R such that its strong centre F(I) is an ideal of R, and let M be the largest ideal of R contained in  $I \circ I$ .

If I and R/M are strongly Lie nilpotent rings, then R is strongly Lie nilpotent.

40

QED

Space curves not contained in low degree surfaces in positive characteristic

PROOF. We proceed by induction on the strongly Lie nilpotent class c of I. If c = 1, then I = F(I) and  $I \circ I = 0$ . It follows that M = 0. Hence R is strongly Lie nilpotent.

If c = 2, then  $I \circ I \subseteq F(I)$ . Hence  $M \subseteq F(I)$ . As R/M is strongly nilpotent, it follows that R/F(I) is strongly Lie nilpotent. Now, I/F(I) is an ideal of R/F(I), and therefore there is a finite central series of R between F(I) and I. By 1, there is a finite central series of R between 0 and M. It follows that R is strongly Lie nilpotent.

If c > 3 and  $\overline{M}$  is the largest ideal of R/F(I) contained in  $I/F(I) \circ I/F(I)$ , then  $F(I) \subseteq M$  and  $\overline{M} = M/F(I)$ . Since  $(R/F(I))/\overline{M} \cong R/M$ , we have that  $(R/F(I))/\overline{M}$  is strongly Lie nilpotent. Now I/F(I) is strongly Lie nilpotent of class c - 1 and, inductively R/F(I) is strongly Lie nilpotent.

Proceeding as in the case of c = 2, we complete our proof. QED

#### References

- [1] YU. A. BAHTURIN: Identical Relations in Lie Algebra, VNU Science Press, Utrecht, 1987
- [2] A. A. BOVDI: The group of units of a group algebra of characteristic p, Publ. Math. Debrecen 52 (1998), 193-244.
- [3] H. LAUE, On the associated Lie ring and the adjoint group of a radical ring, Canad. Math. Bull. 27 (1984), 215–222
- [4] S. A. JENNINGS, Central chains of ideals in an associative ring, Duke Math. J. 9 (1942), 341–355.
- [5] R. L. KRUSE, D. T. PRICE, Nilpotent Rings, Gordon and Breach, New York, 1969
- [6] D. J. S. ROBINSON, A Course in the Theory of Groups, Springer, New York, 1982
- [7] S. K. SEHGAL, Topics in group ring, Marcel Dekker, New York, 1978