

# Two-photon microscopic imaging of neo-vasculature in atherosclerotic plaques and tumors

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**Two-Photon Microscopic Imaging  
of Neo-Vasculature in  
Atherosclerotic Plaques and Tumors**

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Cover illustration: Microvasculature of a LS174T colon adenocarcinoma, subcutaneously induced in a Swiss<sup>nu/nu</sup> mouse, stained *ex vivo* with FITC-conjugated monoclonal anti-CD31 antibody and imaged with two-photon laser scanning microscopy.

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# **Two-Photon Microscopic Imaging of Neo-Vasculature in Atherosclerotic Plaques and Tumors**

PROEFSCHRIFT

ter verkrijging van de graad van doctor  
aan de Universiteit Maastricht,  
op gezag van de Rector Magnificus,  
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volgens het besluit van het College van Decanen,  
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Twenty years from now you will be more disappointed  
by the things you didn't do than by the ones you did do.  
So throw off the bowlines, sail away from the safe harbor.  
Catch the trade winds in your sails.  
Explore. Dream. Discover.

Mark Twain



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# **Chapter 1**

## **General introduction**



Angiogenesis, i.e., sprouting from pre-existent vasculature, contributes to the growth of atherosclerotic plaques and solid tumors by providing conduits for transport of nutrients and oxygen. Advanced atherosclerotic plaques are characterized by an extensive network of microvessels mostly originating from the vasa vasorum that reside within the arterial wall,<sup>1</sup> whereas solid tumors are provided with an internal and peripheral microvascular network.<sup>2</sup> Methods for visualization and quantification of neo-vasculature and angiogenic activity would provide insight in the development of these pathologies and could potentially be an entrance point for therapy. In this thesis, two-photon laser scanning microscopy (TPLSM) and magnetic resonance imaging (MRI) were utilized to visualize and quantify neo-vascular morphology and angiogenic activity in mouse models of atherosclerosis and cancer.

Demarcation of microvasculature from surrounding tissue may be achieved by contrast agents that track with blood plasma<sup>3,4</sup> or interact with the microvascular endothelium.<sup>5</sup> Both allow assessment of microvessel diameter, length, and density. Under conditions of for instance angiogenesis, the endothelium reacts to blood-borne mechanical and chemical stimuli by expressing biologically functional epitopes.<sup>6-8</sup> These epitopes may be specifically targeted by contrast agents for molecular imaging of angiogenic activity<sup>5,9</sup> or other functional expressions of endothelial cells. Bi-modal contrast agents offer the ability to visualize neo-vasculature with two complementary imaging modalities, e.g., TPLSM and MRI.<sup>5,10</sup> TPLSM offers a high spatial resolution within a limited sampling volume; MRI allows whole-body imaging with limited spatial resolution.

Atherosclerosis is a chronic inflammatory disease of the large arteries, characterized by the accumulation of inflammatory cells and lipids in the vascular wall.<sup>11</sup> In The Netherlands, cardiovascular complications, including myocardial infarction and stroke, contributed to about 31% of overall mortality in 2007, a number that

has decreased from 46% over the preceding 12 years ([www.cbs.nl](http://www.cbs.nl)). Atherosclerotic lesions develop from initial fatty streaks to complex structures with high inflammatory content, intraplaque hemorrhage, and a thin fibrous cap.<sup>12-14</sup> Plaque progression is accompanied by an increase in vasa vasorum density<sup>1</sup> and the formation of intraplaque microvasculature.<sup>15</sup> These features are believed to affect plaque stability,<sup>16</sup> thereby rendering the plaque prone to rupture and thromboembolic complications.<sup>17</sup>

Cancer can be defined as the uncontrolled growth, invasion or metastasis of a group of cells.<sup>18</sup> In 2007, cancer accounted for about 31% of all deaths in The Netherlands and has recently overtaken cardiovascular disease as primary cause of death ([www.cbs.nl](http://www.cbs.nl)). Solid tumors require a microvascular network to provide the highly proliferative cancer cells with nutrients and oxygen and remove waste products and metabolites. The rate at which local microvessel density increases is a prognostic indicator of malignancy in various, but not all, tumor types and is the rate-limiting step in solid tumor growth.<sup>19-21</sup> Tumor microvasculature is highly tortuous and morphologically heterogeneous.<sup>22</sup>

TPLSM is a fluorescence technique that allows optical sectioning of intact biological tissue to a depth of up to several hundreds of micrometers.<sup>23</sup> It offers several advantages over histological tissue characterization, including preservation of tissue integrity during preparation.<sup>24</sup> However, tissue sampling volumes are relatively small ( $< 1 \text{ mm}^3$ ) and *in vivo* measurements suffer from artifacts due to cardiac and respiratory movement. Hence, TPLSM measurements have been predominantly performed *ex vivo*.<sup>25</sup> The three-dimensional sub-micrometer resolution ensures visualization of sub-cellular events, e.g., interaction between fluorophore-conjugated ligands and biological epitopes,<sup>10</sup> and allows unprecedented visualization and quantification of microvascular morphology.<sup>26</sup>

MRI is a non-invasive imaging modality that allows functional and anatomical characterization of live organisms. Endogenous contrast enables discrimination between pathological and healthy soft tissue, while contrast may be increased by high-relaxivity exogenous contrast agents.<sup>27</sup> The sub-millimeter spatial resolution of MRI precludes visualization of individual microvessels with diameters smaller than 200  $\mu\text{m}$ . However, MRI enables localization and quantification of effects of contrast agents. This allows assessment of measures for microvessel density, dimensions, and flow, which may serve as surrogate markers for detecting angiogenesis. In addition, targeted contrast agents allow molecular imaging of angiogenic activity.<sup>28</sup> Validation of MRI results requires higher resolution imaging techniques.<sup>29</sup>

As introductory chapter to the experimental sections, **chapter 2** provides an overview on the role of microvasculature in athero- and carcinogenesis. In addition, various MRI and TPLSM methods are presented that are used to visualize and quantify neo-vascular characteristics and angiogenic activity.

**Chapter 3** provides a review of five recently developed contrast-enhancing nanoparticles and targeting ligands for optical and potentially bi-modal molecular imaging of atherosclerosis. One particular nanoparticle, the quantum dot, has been used to detect angiogenic activity in plaque vasa vasorum and tumor vasculature, as presented in chapter 4 and 6, respectively. In addition, several endoscope-compatible optical techniques are discussed that would allow intravascular observation of cardiovascular disease in the clinical setting.

In **chapter 4**, a feasibility study is presented in which TPLSM was used to visualize vasa vasorum microvessels in intact atherosclerotic murine arteries. Moreover, it is shown that these microvessels display (inhomogeneous) angiogenic activity.

Tumor microvessel density may serve as an indicator of malignant potential of several tumors and of their propensity to

metastasize.<sup>30</sup> Image processing was applied to three-dimensional TPLSM datasets to quantify microvessel radius, density, and length of microvasculature. In **chapter 5** this quantification method was applied to evaluate *in vivo* magnetic resonance vessel size imaging (MR-VSI) in determining the fractional blood volume and an index of microvessel radius of murine tumors and muscle tissue.

Whereas in chapter 5 morphological characteristics of tumor microvasculature were quantified with MR-VSI and TPLSM, in **chapter 6** tumor angiogenic activity was visualized with *in vivo* MRI and *ex vivo* TPLSM. To enable bimodal imaging, a targeted contrast agent was developed with combined paramagnetic and luminescent properties.

The general discussion in **chapter 7** provides a critical evaluation of the results presented in the data chapters. In addition, future perspectives of TPLSM are discussed.

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## **Chapter 2**

### **Neo-vasculature in atherosclerosis and cancer: two-photon laser scanning microscopy**





Neo-vasculature contributes to the growth of atherosclerotic plaques and tumors by providing oxygen and nutrients to the metabolically highly active inflammatory cells and tumor cells. In addition, neo-vasculature provides an additional conduit for inflammatory cells to enter the plaque core. In plaques, these inflammatory cells excrete matrix degrading enzymes, thereby causing mechanical destabilization of the plaque. Visualization and quantification of structural and functional vascular features, including vascular morphology and permeability, and of angiogenic activity of vascular endothelial cells (VECs) might therefore allow assessment of vulnerable plaque development. In tumors, the neo-vessels not only sustain growth but may at the same time facilitate cytostatic delivery to the tumor. Visualization of tumor angiogenesis may therefore guide anti-tumor therapy. In addition, the efficacy of anti-angiogenic therapy may be monitored.

### **Neo-vascularization: focus on angiogenesis**

Vascular growth can occur via vasculogenesis (*de novo* formation of vasculature), angiogenesis (sprouting from pre-existing vasculature), and arteriogenesis (collateral growth).<sup>1</sup> This thesis concentrates on angiogenesis, since it is the most common way by which neo-vascularization occurs in pathological tissues. Angiogenesis is involved in physiological processes including embryogenesis and wound healing as well as in pathological conditions such as ischemic heart disease, cancer, diabetic retinopathy, and chronic inflammation including atherosclerosis.<sup>2</sup> The angiogenic cascade roughly involves four distinct processes: formation, maturation, remodeling, and specialization.<sup>1</sup> In the formation stage, the angiogenic cascade may be initiated by hypoxia,<sup>3</sup> metabolic<sup>4</sup> and mechanical stress,<sup>5</sup> immune-inflammatory reactions,<sup>6,7</sup> and genetic mutations,<sup>8</sup> which disrupt the finely tuned balance between expression and activity of pro- and

anti-angiogenic factors. Upon initiation, vascular endothelial growth factor (VEGF) and nitric oxide (NO) cause dilation and increased permeability of the existing vasculature, after which proteolytic enzymes, e.g., matrix metalloproteinases (MMPs), ensure migration of VECs that eventually align into immature tubes under control of pro-angiogenic growth factors.<sup>9-11</sup> During maturation, attraction of pericytes<sup>12</sup> and the generation of a basal membrane stabilize the hyperpermeable endothelial sprouts. Subsequent remodeling of the matured vascular network is governed by survival and apoptosis of VECs and pericytes, thereby regulating vascular expansion and regression. The functionality of the neo-vasculature depends on the specialization stage, involving arterio-venous differentiation.<sup>13</sup>

The hypoxia inducible factor (HIF) is considered the main initiator of the angiogenic cascade and has been correlated to neo-vasculature in both atherosclerotic plaques<sup>7</sup> and various tumor types.<sup>14,15</sup> HIF is composed of subunits HIF-1 $\alpha$ /2 $\alpha$  and HIF-1 $\beta$ , which are oxygen-dependent and constitutively expressed, respectively. The activity and stability of HIF-1 $\alpha$ /2 $\alpha$  is controlled by numerous factors, including factor inhibiting HIF-1 $\alpha$ /2 $\alpha$  (FIH),<sup>16</sup> the macrophage-derived peptide PR39,<sup>17</sup> and the p53 tumor suppressor gene.<sup>18</sup> Under normoxic conditions HIF-1 $\alpha$ /2 $\alpha$  is targeted for proteosomal degradation,<sup>19,20</sup> causing a half-life of less than 5 minutes. Under hypoxic conditions, however, HIF-1 $\alpha$ /2 $\alpha$  dimerizes with HIF-1 $\beta$  to form HIF that functions as a transcription factor for hypoxia responsive genes that are involved in angiogenesis, cell proliferation and survival, and glucose and iron metabolism.<sup>21</sup> These include VEGF and its receptor flt-1, platelet-derived growth factor-A/B (PDGF-A/B) and its receptor PDGFR- $\alpha$ / $\beta$ , interleukin-1 (IL-1), tissue necrotic factor- $\alpha$  (TNF- $\alpha$ ), tissue growth factor- $\beta$  (TGF- $\beta$ ), Ang-1 and -2 and its vascular endothelial receptor Tie-2, glucose transporters 1 and 3 (GLUT1/3), and endothelial nitric oxide synthase (eNOS).<sup>22-24</sup> In addition, HIF-1 $\alpha$  expression may be induced

by non-hypoxic stimuli, including inflammation.<sup>25,26</sup> The exact role of reactive oxygen species (ROS) in neo-vascularization is currently unknown since they were shown to induce both angiogenic<sup>27</sup> and anti-angiogenic effects *in vivo*.<sup>28</sup>

## Atherosclerosis and angiogenesis

### Atherogenesis

Atherosclerosis is well-accepted as a chronic inflammatory disease of large arteries that is characterized by accumulation of inflammatory cells and lipids in the vascular tree.<sup>29</sup> Development of atherosclerotic lesions occurs at predisposed sites in the vasculature that are characterized by low and oscillatory endothelial shear stress.<sup>30,31</sup> Early human atherosclerotic lesions (AHA type I) are characterized by endothelial activation, i.e., endothelial expression of intercellular (ICAM), vascular (VCAM), and platelet endothelial (PECAM) cell adhesion molecules, and the lectin-like oxidized low-density lipoprotein (oxLDL) receptor LOX-1. Supposedly, disruption of the endothelial glycocalyx increases the extravasation of macromolecular structures, e.g., LDL and proteins, to the subendothelial space.<sup>32</sup> Upon accumulation of inflammatory cells and intracellular lipids, an inflammatory response is initiated, thereby increasing the expression of adhesion molecules to attract increased amounts of inflammatory cells and lipids (AHA type II). AHA type III lesions are characterized by small extracellular lipid pools, apoptotic cells, and MMP activity. Due to continued apoptosis of lipid-laden macrophages, a necrotic core develops in AHA type IV lesions. Moreover, these lesions are characterized by intraplaque microvasculature that predominantly originates from the vasa vasorum (VV), a plexus of adventitial microvessels that continues to expand with plaque growth. AHA type V lesions are termed fibroatheroma's since these lesions are characterized

by a subendothelial fibrotic cap formed by deposition of collagen by SMCs. Complicated AHA type VI lesions develop when surface defects arise due to ongoing inflammation and apoptosis and thinning of the fibrous cap by MMPs. These defects initiate haematoma's, plaque instability, and potentially plaque rupture, leading to thrombus formation and embolization.

### Plaque growth and rupture: contribution of vasa vasorum (VV) and intraplaque microvasculature

The contribution of hypoxia and/or inflammation, which both may initiate the angiogenic cascade, to plaque neo-vascularization appears to vary between species. In mouse, rabbit, and human atherosclerotic lesions, hypoxia has been observed and correlated with the presence of inflammatory cells in the plaque.<sup>7,33,34</sup> However, in mouse and rabbit a causative relation between hypoxia and neo-vascularization has not been established. In contrast, in human atherosclerotic plaques, the expression of HIF and VEGF colocalized with the presence of hypoxia<sup>7</sup> and neo-vasculature.<sup>35</sup> These findings indicate that both inflammation and hypoxia may be involved in human plaque neo-vascularization.

Both the VV and intraplaque neo-vasculature are believed to contribute to the development of (vulnerable) atherosclerotic lesions by providing additional conduits for nutrients, oxygen, and inflammatory cells to enter the plaque.<sup>36,37</sup> The vast majority of intraplaque neo-vasculature originates from the vasa vasorum, whereas the remainder is derived from the lumen of the affected blood vessel.<sup>38</sup> In pigs, which show great similarity to humans considering vasculature, a great variation in VV density is present among various vascular beds.<sup>39</sup> This observation may explain the variable propensity of atherogenesis in vascular beds, i.e., the local VV density determines the predilection sites for atherosclerotic plaque development. It has been established that VV density correlates with

plaque progression and several studies suggest a role for VV neo-vascularization in the initial stage of atherogenesis in the coronary vascular bed of pigs.<sup>40,41</sup> However, in carotid arteries of rodents, Khurana *et al.* identified that the initiation of plaque formation is independent of VV neo-vascularization.<sup>42</sup> These seemingly contradicting observations between pig and mouse arteries suggest that vessel wall dimensions influence the contribution of VV neo-vascularization to the initiation of atherogenesis. This hypothesis requires experimental validation.

Intraplaque neo-vasculature is characterized by a paucity of tight junctions, a discontinuous basal membrane, and a lack in smooth muscle cells, indicating that these microvessels have not passed the maturation phase of the angiogenic cascade.<sup>43,44</sup> Considering the fragility and permeability of these neo-vessels and the effect of intramural pressure on neo-vascular perfusion, intraplaque hemorrhage and/or ischemia-induced infarction may easily be initiated. In addition, the process of neo-vascularization itself, which involves proteolytic activity,<sup>45</sup> may affect the mechanical stability of the plaque. Moreover, plaque neo-vasculature provides a vascular network for infiltration of inflammatory cells,<sup>46,47</sup> which have been associated with the presence of proteolytic enzymes in atheromatous plaques.<sup>48</sup> These observations favor the destabilizing effect of neo-vasculature in atherosclerotic plaques.<sup>49,50</sup>

### **Animal models of atherosclerosis and plaque rupture**

Due to the limited availability of non-invasive detection methods for (longitudinal) characterization of human lesions, mechanistic studies on the initiation, progression, and rupture of atherosclerotic lesions have been predominantly performed in (hypercholesterolemic) animals, including mice, rats, and rabbits.<sup>51-55</sup> In atherosclerosis research, predominantly mouse models are being used. This is based on the great diversity in available inbred strains and the ability of

genetic modifications to over- or under-express specific genes involved in atherogenesis.

In the majority of genetically modified mice, lipid metabolism has been altered to induce hypercholesterolemia, one of the main initiators of atherosclerotic plaque formation. Both apolipoprotein-E (ApoE<sup>-/-</sup>) and LDL receptor (LDLR<sup>-/-</sup>) knockout mice exhibit accelerated lesion formation.<sup>56-58</sup> Of these, ApoE<sup>-/-</sup> mice have higher total plasma cholesterol levels and develop more extensive atherosclerotic lesions.<sup>59</sup> High fat diets exacerbate lesion formation in both mice types. In addition, mice deficient in both ApoE and LDLR (ApoE<sup>-/-</sup>/LDLR<sup>-/-</sup> double knockout mice) display similar cholesterol levels as ApoE<sup>-/-</sup> mice.<sup>60</sup> However, the progression of atherosclerotic lesions is usually more marked.<sup>61</sup> In addition, ApoE<sup>-/-</sup> mice deficient in high density lipoprotein (HDL) receptor scavenger receptor class B, type I (SRBI) or endothelial nitric oxide synthase (eNOS) develop occlusive coronary artery disease<sup>62</sup> and peripheral coronary atherosclerosis,<sup>63</sup> respectively. The cellular composition and development of atherosclerotic lesions in these mice resemble those found in humans. Whereas highly developed human atherosclerotic lesions are associated with plaque rupture, spontaneous rupture of murine lesions is limited. However, intraplaque hemorrhage<sup>64,65</sup> and plaque rupture<sup>66-69</sup> have been frequently observed in the brachiocephalic artery of ApoE<sup>-/-</sup> mice. Therefore, this artery is proposed as the preferred site for future systemic intervention studies.<sup>70</sup> Nevertheless, the physiological and clinical relevance of the presented animal models is under debate due to the lack in typical human features such as hemorrhagic lesions and thromboembolic complications.<sup>71</sup>

## Cancer and angiogenesis

### Carcinogenesis

Cancer is considered a complex, dynamic disease with high genetic heterogeneity: more than 100 distinct types of human cancer have been distinguished and various tumor subtypes can be found within specific organs.<sup>72,73</sup> Carcinogenesis is initiated by oncogenesis, i.e., the process by which a normal cell is rendered malignant due to the accumulation of genetic mutations, as well as epigenetic changes that activate pro-oncogenes or downregulate tumor-suppressor genes and lead to uncontrolled cellular division. Mutations of about 300 human genes have been causally associated with oncogenesis. This accounts for more than 1% of the human genome.<sup>74</sup> In addition to heritable changes in the phenotype, carcinogenesis may be initiated by food contaminants and oxidative stress.<sup>75,76</sup>

Despite the high genetic heterogeneity, most tumors share the following characteristics.<sup>72</sup> Self-sufficiency in growth factors and receptors creates a positive feedback in proliferation thereby causing partial independency on host tissue. Disruption of the tumor suppressor proteins retinoblastoma (Rb) and p53 renders cells insensitive to anti-growth factors and apoptosis, respectively. Maintenance of telomere-length in malignant cells above critical threshold allows limitless replication. Growth of tumors beyond diffusion limits (100  $\mu\text{m}$ ) initiates local hypoxia and subsequent sustained angiogenesis. Tissue invasion and metastasis are correlated with the expression of cellular adhesion molecules, which allow intercellular or cell-environment interactions, and of MMPs, which proteolytically degrade the basal membrane. However, due to extensive control of DNA replication at multiple levels, several cooperating oncogenes need to be expressed in order for human cells to become malignant.<sup>77</sup> Taken together, these characteristics allow extensive expansion of

the tumor mass, provided that tumor cells are supplied with oxygen and nutrients to cope with their increased metabolic demands.

### Tumor growth: contribution of microvasculature

Small tumors (less than 1 mm in diameter) are generally avascular and are characterized by extensive hypoxia. Several studies have shown the presence of hypoxic areas<sup>78,79</sup> and HIF-1<sup>80</sup> in tumors, dedicating hypoxia as a primary physiological initiator of angiogenesis by which tumors become vascularized and grow beyond the diffusion limits of oxygen. The hypoxic stress in tumors of more than 2 mm in diameter is significant to initiate production of pro-angiogenic growth factors and trigger neo-vascularization.<sup>81,82</sup> Persistent hypoxia in perinecrotic regions and intrinsic expression of growth factors by tumor cells in larger tumors (more than 5 mm in diameter) ensure permanent angiogenic activity and expansion of the microvascular network. Angiogenesis has been shown to strongly contribute to tumor growth and metastasis in a wide array of tumors, including lung cancer, gastric cancer, prostate cancer, neuroblastoma, breast cancer, and colon cancer.<sup>83-88</sup> Several anti-angiogenic therapies have therefore been developed to inhibit angiogenesis or to impair existent microvasculature in experimental tumors and to attenuate tumor growth and metastasis.<sup>89-91</sup> Normalization of angiogenic tumor microvasculature reduces angiogenesis, thereby inhibiting tumor growth and potentially therapeutic efficacy.<sup>92,93</sup> In addition, microvessel density (MVD) has been recognized as a prognostic indicator for various tumor types<sup>94</sup> and correlates with the extent of hematological dissemination of tumor cells leading to metastasis, e.g., in melanoma.<sup>95</sup> However, tumor MVD cannot be directly used as indicator for the efficacy of anti-angiogenic therapy due to the interdependence of tumor cell proliferation and microvascular expansion.<sup>94</sup>

### Murine models of solid tumor growth

Rodents with genetically induced immune deficiencies, including Swiss<sup>nu/nu</sup> and severe combined immunodeficient (SCID) mice, have been used extensively for their reduced ability to reject transplanted human tumor cells.<sup>96,97</sup> Tumor growth in rodents may be induced by intravenous,<sup>98</sup> left ventricular,<sup>99</sup> intraplenic,<sup>100</sup> and intraperitoneal<sup>82</sup> injection of human tumor cells to yield tumors in the lung, bone, liver, and peritoneum, respectively. However, with respect to visualization of tumor microvasculature, subcutaneously induced tumors offer several advantages: (1) because tissue-invasion is limited, neo-vasculature in implanted tumors can be clearly discriminated from pre-existing vasculature of surrounding tissue; (2) *in vivo* imaging of subcutaneous tumors in the flank of the mouse is not obstructed by cardiac, respiratory, and/or peristaltic movements; (3) subcutaneous tumors are close to the surface and, hence, can be visualized with imaging modalities with limited penetration depth; and (4) a minimally invasive procedure is required for implantation of tumor cells.

### Animal experiments in this thesis

In this thesis, ApoE<sup>-/-</sup> mice, fed a Western type diet, and Swiss<sup>nu/nu</sup> mice, subcutaneously injected with human colorectal adenocarcinoma cells, were used as animal models for atherosclerosis and cancer, respectively. Using ApoE<sup>-/-</sup> mice and two-photon laser scanning microscopy (TPLSM), we developed a method to visualize (angiogenic activity of) the vasa vasorum in intact aortic segments in order to determine the feasibility of this method for future (*in vivo*) experiments. In the tumor-bearing mice, we performed *in vivo* MRI to visualize and quantify angiogenic activity and vascular morphology, i.e., measures for vessel size radius and fractional blood volume. Subsequent *ex vivo* TPLSM was used to evaluate the angiogenic activity as assessed by MRI. Dedicated

image processing was performed on the obtained TPLSM data, in order to yield quantitative measures for the microvessel radius, fractional vessel volume, microvessel length, and the number of branching points to determine the degree of branching.

### Techniques for visualizing neo-vasculature

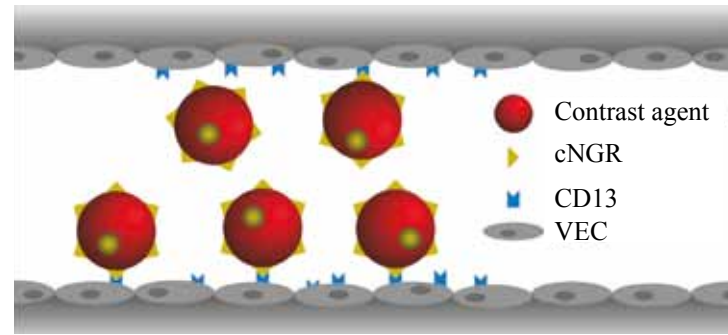
#### Morphology, permeability, and angiogenic activity

Neo-vasculature has distinct features that differentiate it from pre-existing microvessels. Intraplaque and tumor neo-vasculature consists of tortuous microvessels with a high heterogeneity in diameter. The morphology deviates from the strongly organized microvasculature in, for example, muscle tissue. Clinical imaging modalities, including X-ray radiography and micro-computed tomography ( $\mu$ CT), have been applied to directly visualize and quantify microvascular morphology in animals.<sup>101,102</sup> Currently, these methods require excision and processing of the tissue of interest and lack the ability to visualize vessels of less than 20  $\mu$ m in diameter. Similarly, the resolution of MRI does not allow direct visualization of individual microvessels. However, an *in vivo* MRI-based method, i.e., magnetic resonance vessel size imaging (MR-VSI),<sup>103,104</sup> has been developed that yields values related to microvessel density and radius. Studies have shown that MR-VSI displays a systematic overestimation of these morphological measures with a large variation between the different studies (see table 3 of Chapter 5 and references within). The large variation may be attributed to differences in experimental setup and data analysis. The resulting non-physiological values can therefore not be used for absolute quantification. Nevertheless, with MR-VSI the angiogenic rim of tumors may be discriminated from the less vascularized core. Therefore, MR-VSI may allow assessment of the spatial distribution of the microvessel density and radius on a voxel-by-voxel basis. This method is insensitive to vessel orientation and displays the

average microvessel density and radius per voxel (voxel volume of approximately  $0.1 \mu\text{m}^3$ ). Using optical techniques, morphologic parameters such as fractional blood volume, microvessel radius, and microvessel orientation, may be quantified with a sub-micrometer resolution, although these techniques are confined to superficial observations due to the limited tissue penetration depth of light.<sup>105-107</sup>

The increased endothelial permeability of neo-vasculature offers an additional opportunity for visualization. In that case, intravascular contrast agents are able to move out of the vessel compartment into the interstitial space surrounding the neo-vasculature. Quantification of the extravasation rate provides a measure for the endothelial permeability and, hence, angiogenic activity and may be assessed with MRI,<sup>108</sup> ultrasound,<sup>109</sup> and optical techniques.<sup>110</sup>

The endothelium of neo-vasculature displays angiogenic activity, i.e., (over-) expresses biologically functional epitopes. The most widely used epitopes for molecular imaging of angiogenesis are the  $\alpha_v\beta_3$  integrin and the aminopeptidase CD13 (Figure 1). The  $\alpha_v\beta_3$  integrin has been successfully targeted with RGD-conjugated contrast agents for MRI of angiogenic activity in atherosclerosis and cancer.<sup>111-113</sup> Mulder *et al.* developed bi-modal liposomes to visualize angiogenic endothelial cells with *in vivo* MRI and subsequent *ex vivo* fluorescence microscopy.<sup>111</sup> In addition, Cai *et al.* employed whole-body *in vivo* optical imaging to assess angiogenic in subcutaneous tumors.<sup>114</sup> The ligand for CD13, NGR, was initially used for targeted therapy of anti-cancer drugs<sup>115</sup> and later for optical molecular imaging of angiogenic activity in tumors<sup>116</sup> and after myocardial infarction.<sup>117</sup> The tripeptide NGR has not been used for molecular imaging of angiogenesis in atherosclerosis.



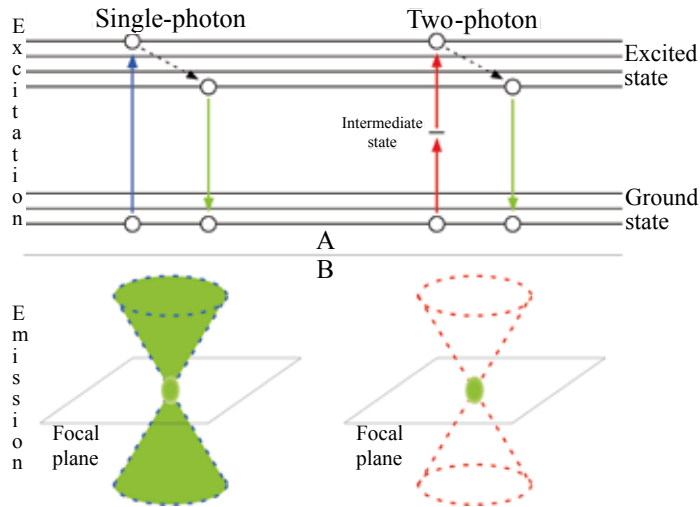
**Figure 1** Molecular imaging of angiogenic endothelial cells lining the vessel wall. The angiogenesis marker CD13, expressed by vascular endothelial cells (VECs), is targeted via the blood flow by a contrast agent bound to the CD13 ligand cyclic NGR (cNGR).

## Two-photon laser scanning microscopy (TPLSM) of neo-vasculature and angiogenesis

### Basic principles of two-photon laser scanning microscopy

In 1990, Denk *et al.* described TPLSM,<sup>118</sup> an optical technique with three-dimensional resolution. The main physical principle of TPLSM was predicted by Maria Göppert-Mayer in 1929 and involves the near-simultaneous (within  $10^{-18}$  s) absorption of two near-infrared (NIR) photons (wavelength about 800 nm) by a fluorophore to reach the excited state (Figure 2).<sup>119</sup> In contrast, in single-photon excited fluorescence microscopy the excited state is reached by absorption of a single photon (wavelength: 350 - 650 nm).

In general, the efficiency of two-photon excitation is low. Therefore, high intensity lasers and high numerical aperture (NA) objective lenses are required to ensure spatiotemporal overlap of ample NIR



**Figure 2** A) Jablonski diagram. For simplicity, the vibrational transitions have been omitted. Electron (O) transitions during single- and two-photon excitation (left and right panel, respectively) and subsequent fluorescence emission. B) In single-photon excitation fluorescence occurs within the whole cone of illumination and contributes to the image (left panel); in two-photon excitation fluorescence is restricted to the focal zone and detected light originates from the focal plane only (right panel).

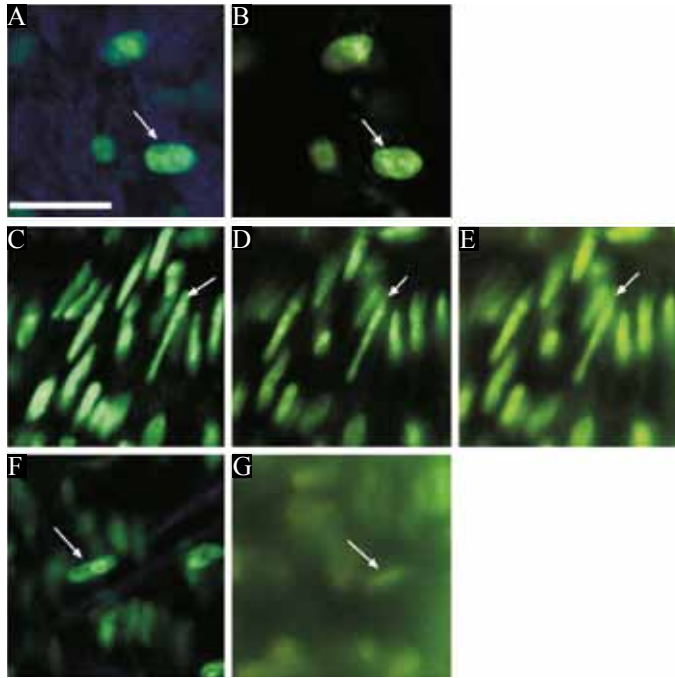
photons and cause excitation. Continuous wave lasers are not suitable since continuous laser illumination with the required high intensity causes photodamage and -bleaching due to concentration of the excitation light in the focal point. In mode-locked, pulsed lasers the high peak power of the pulses suffices for two-photon excitation, but the average output power is lower, thereby decreasing photodamage and -bleaching. Mode-locked lasers refer to laser systems that operate at a limited range of output wavelengths, usually 700 - 1000 nm.<sup>120</sup> The

phase between these frequencies is fixed such that interference between them causes a standing wave between the mirrors in the laser cavity, resulting in a train of light pulses of which the repetition rate depends on the distance between the laser cavity end mirrors and the speed of light.<sup>121</sup> During TPLSM experiments described in chapters 4, 5, and 6, the laser was tuned around a center wavelength of 800 nm and had a pulse repetition rate of 82.5 MHz and a pulse width of 140 fs.

The probability of two-photon excitation is confined to a volume of  $0.1 - 1 \mu\text{m}^3$  centered about the focal point<sup>122</sup> and decreases with the fourth power of distance from that focal point.<sup>123</sup> Thus in TPLSM, fluorescence emission originates exclusively from the focal zone, which, combined with reduced scattering and out-of-focus absorption of NIR photons, enables optical sectioning deep in biological tissue without affecting its integrity.<sup>124,125</sup> The use of NIR excitation limits photodamage as long as a critical threshold, which depends on potential fluorophore phototoxicity, tissue, and laser power, is not exceeded.<sup>126,127</sup> Fluorescence intensity in TPLSM depends on the square of the excitation power; however, two-photon photobleaching is associated with higher-order photon interactions, implying that photobleaching increases highly non-linear with increasing laser power.<sup>128</sup> Whereas in confocal fluorescence microscopy pinholes are used to reject out-of-focus contributions, pinholes are not required in TPLSM. However, pinholes do increase spatial resolution in TPLSM, albeit at the cost of a severe reduction in signal-to-noise ratio (SNR).<sup>129</sup>

In theory, the spatial resolution of TPLSM is approximately 70% of that of CLSM due to the longer-wavelength photons.<sup>130</sup> However, the effective (practical) resolution depends on the SNR and contrast of the sample. Therefore, the spatial resolution of TPLSM deteriorates far less than that of CLSM when tissue penetration depth is increased (Figure 3).<sup>131</sup> Thus, in superficial layers, CLSM is to be preferred, whereas TPLSM is ideal for imaging deeper in intact tissue.



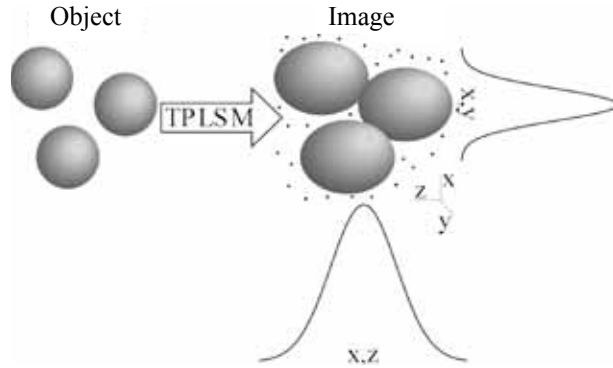


**Figure 3** Effect of penetration depth on the effective spatial resolution obtained with TPLSM (A, C, F) and CLSM (B, D, E, G). TPLSM and CLSM were applied to a mouse carotid artery, which was embedded in agarose gel. Cell nuclei of the artery were labeled with SYTO13 (green). Images are obtained from the tunica adventitia (A-B, 15  $\mu\text{m}$  depth), the tunica media (C-E, 40  $\mu\text{m}$  depth), and the tunica intima (F-G, 80  $\mu\text{m}$  depth). Due to out-of-focus fluorescence in CLSM, the effective resolution deteriorates far more with increasing depths as compared with TPLSM. Confocal images obtained with optimal pinhole settings,<sup>131</sup> except for E in which the pinholes were fully open. Bar indicates 20  $\mu\text{m}$ . Figure is adapted from<sup>131</sup> and used with permission from S. Karger AG, Basel Germany.

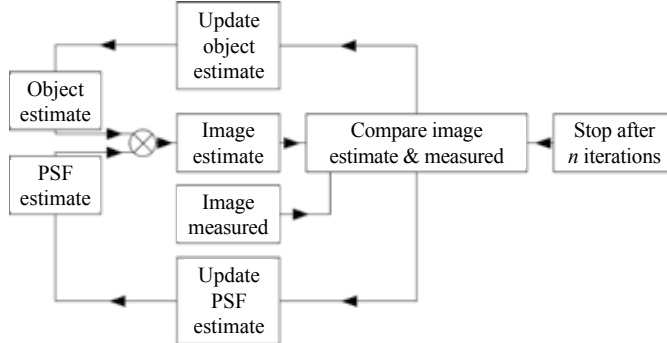
### Two-photon laser scanning microscopy for visualization and quantification of (micro-) vascular characteristics

TPLSM offers a high spatial resolution with limited effect on tissue integrity. However, inherent fluorescence from tissue, i.e., autofluorescence, is generally not sufficient to provide adequate contrast. With fluorophore-conjugated antibodies and other specific fluorophores, molecular expression patterns, cells, and vascular structures can be discriminated, including inflammation and apoptosis related adhesion molecules,<sup>132-134</sup> the endothelial glycocalyx, and elastin and collagen fibers.<sup>131,135,136</sup> In addition, fluorescent labeling of blood-borne macromolecules, e.g., serum albumin, allows *in vivo* quantification of perfusion and diffusion in tumors<sup>62,137,138</sup> and vascular dynamics in the brain cortex and tumors<sup>139-142</sup> at depths of over 200  $\mu\text{m}$ .<sup>105</sup> Moreover, TPLSM may be used for *in vivo* quantification of vascular morphology, e.g., volume density of angiogenic vessels.<sup>143</sup>

Optical systems are characterized by a point spread function (PSF) that describes the response to a point source and, hence, is a measure of the resolving power of the optical system. However, the PSF causes anisotropic deformation of components in the acquired (three-dimensional) datasets (Figure 4). Quantification of vessel diameters and volumes requires restoration of the acquired anisotropic dataset. Therefore, blind and non-blind deconvolution methods have been developed. Blind deconvolution is an iterative procedure during which the initial PSF is estimated based on configurations of the optical system (Figure 5), e.g., magnification, emission wavelength, refractive index, and signal-to-noise ratio, whereas non-blind deconvolution refers to deconvolution using an experimentally obtained PSF. Recently, deconvolution methods were developed with a static and adaptive depth-dependent PSF to correct for decreased intensity and axial resolution with increasing depths, respectively.<sup>144,145</sup> In this thesis commercially available deconvolution software was utilized to perform straightforward blind deconvolution.



**Figure 4** Transformation in optical imaging (optical axis parallel to  $z$ -axis). Due to the point spread function (see text) of the TPLSM setup, which has different lateral ( $x, y$ ) and axial ( $z$ ) dimensions, spheres are visualized as spheroids.



**Figure 5** Schematic representation of blind deconvolution. The initial PSF estimate is based on parameters of the optical system, e.g., magnification, emission wavelength, refractive index, and signal-to-noise ratio. Image estimates are obtained by convolution of the object estimate with the PSF estimate. Deconvolution is performed  $n$  times.

### Two-photon laser scanning microscopy as complementary high-resolution method

The routinely used *in vivo* (molecular) imaging modalities, e.g., MRI, positron emission tomography (PET), and computed tomography (CT), offer a limited spatial resolution. Hence, these methods may fail to discriminate between contrast agents that specifically bind to (angiogenic) endothelial cells and contrast agents that have extravasated from the blood into the perivascular interstitial space. TPLSM offers a sub-micrometer spatial resolution and thus allows visualization and quantification of contrast agent binding and extravasation. Furthermore, immunohistological slices are often associated with cutting artifacts. In contrast, TPLSM allows imaging in intact, unprocessed tissue. However, TPLSM offers a penetration depth limited to several hundreds of micrometers, which inhibits whole-body imaging. The limited sampling volume requires adequate sampling of the tissue of interest to obtain reliable information.

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## **Chapter 3**

# **Nanoparticles for optical molecular imaging of atherosclerosis**

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## **Abstract**

Molecular imaging contributes to future personalized medicine dedicated to treat cardiovascular disease, the leading cause of mortality in industrialized countries. Endoscope-compatible optical imaging techniques would offer a stand-alone alternative and high spatial resolution validation technique to the clinically accepted imaging techniques in the (intravascular) assessment of vulnerable atherosclerotic lesions, which are predisposed to initiate acute clinical events. Efficient optical visualization of molecular epitopes specific for vulnerable atherosclerotic lesions requires targeting of high quality optical contrast-enhancing particles. In this review, we provide an overview of both current optical nanoparticles and targeting ligands for optical molecular imaging of atherosclerotic lesions and speculate on their applicability in the clinical setting.

## Introduction

Cardiovascular disease is the leading cause of death in industrialized countries and places a social and financial burden on Western society by contributing strongly to acute clinical events, such as myocardial infarction. Myocardial infarction typically results from exposure of the plaque's thrombogenic content to the blood upon rupture. It would be of great clinical relevance to non-invasively assess atherosclerotic plaques in the pre-symptomatic phase, including detection of vulnerable plaques and intraplaque hemorrhage, and to classify the plaque's stage of development, thus allowing stratification of patients-at-risk. Assessment of atherogenesis in the early stage of development would direct early treatment to impede this process. The majority of current clinical imaging platforms, including X-ray angiography and computed tomography (CT), focus on post-symptomatic detection of morphological alterations of the arterial wall that affect the lumen diameter. However, the assessment of plaque extent is obscured by outward remodeling and does not provide direct information on plaque vulnerability. Therefore, molecular imaging strategies are being developed for non-invasive diagnosis of coronary artery disease at various stages. Most of these strategies are based on recognizing the stage-specific expression of molecules that allow binding of ligand-conjugated nanoparticles (NPs),<sup>1</sup> which can be detected with non-invasive clinical imaging platforms such as magnetic resonance imaging (MRI), radionuclide-based imaging (positron emission tomography, PET), single photon emission computed tomography (SPECT), CT and ultrasound (US). The routine application of NPs is still far ahead, but it is an actively evolving field of research with encouraging results that hold promise for future clinical use.

Feasibility of molecular imaging studies is based on progress made in three scientific fields: (1) non-invasive imaging platforms, (2) molecular epitope recognition and ligand identification, and (3)

design of high quality contrast agents. As far as the latter is concerned, significant progress is being made in the design of contrast-enhancing NPs for molecular imaging,<sup>2-5</sup> enabling detection of these NPs with clinical imaging platforms, including MRI and PET/SPECT. However, these imaging platforms have either limited sensitivity or low spatial resolution and therefore lack the ability to detect sparsely expressed molecular epitopes. Moreover, discrimination between specific and non-specific binding or even between bound and circulating NPs is often difficult. In contrast, optical techniques combine high sensitivity with high spatial resolution<sup>6</sup> and therefore have gained considerable attention as techniques that allow (sub-) cellular localization of targeted hybrid NPs with additional luminescent properties.

In this review, we explore the feasibility of optical imaging techniques in combination with NPs to visualize and identify vulnerable plaques and discuss the potential clinical applications. Common for all optical imaging techniques is the limited penetration depth, which ranges from sub-millimeter to several centimeters. Penetration depth depends on tissue type, as each type has different absorbance and scattering properties, which depend on composition and density. In order to reduce tissue absorbance, excitation and emission light should match the optical window, which is usually in the 700 - 1200 nm range.<sup>7</sup> Below 650 nm, absorbance of light by hemoglobin increases, while water absorbs light above 1200 nm. Scattering of light may cause an additional reduction in penetration depth. In plaque tissue, cholesterol esters and calcifications are known to scatter light more than other tissue components. Scattering is also wavelength determined and may partially be resolved using long wavelength excitation light and near infrared (NIR) NPs.

Several optical imaging techniques are discussed. Two-photon laser scanning microscopy (TPLSM) allows fluorescence imaging at larger penetration depths than single-photon techniques, such as confocal microscopy. Optical coherence tomography (OCT) is the

optical equivalent of ultrasound, in which the backscatter of emitted light is captured into an image. Raman micro-spectroscopy (RMS) uses the vibration of chemical bonds to identify molecular composition. In photoacoustic tomography (PAT) light is converted into acoustic signals. These techniques will be discussed more extensively in the next paragraph. This review further provides an overview of the physicochemical properties of five optical NPs that have been utilized in *in vitro* and *in vivo* studies. Additionally, various possible targeting strategies are provided that ensure homing of the presented NPs to (vulnerable) atherosclerotic lesions. Subsequently, multi-modal NPs are presented that enable (sequential) visualization of pathological processes with complementary imaging platforms in. Finally, we consider the clinical perspectives of the presented optical NPs.

## Optical imaging platforms for cardiovascular research

Optical imaging techniques offer a spatial resolution superior to conventional clinical imaging platforms and enable simultaneous visualization of multiple molecular epitopes. With optical imaging techniques, contrast can be generated by detecting (differences in) fluorophore emission spectra (fluorescence microscopy and derivatives), fluorescence lifetime (fluorescence lifetime imaging, FLIM), polarization (polarization microscopy), molecular resonances (RMS), backscattering (OCT) or photoacoustic waves (PAT). Due to the limited penetration depth of light, non-invasive whole-body animal luminescence imaging is confined to superficial or subcutaneous events, whereas exposure of tissue is mandatory in other situations, for example atherosclerosis. However, with progress made in (near) real-time endoscope-compatible microscopic systems, including multi-photon laser scanning microscopy (MPLSM),<sup>8,9</sup> RMS,<sup>10</sup> OCT,<sup>11,12</sup> and PAT,<sup>13</sup> state-of-the-art intravascular and real-time optical examination of the morphology of atherosclerotic plaques is within

reach. Additionally, targeted optical NPs would allow assessment of the molecular expression patterns of these lesions (see Table 1 for the specifications of the optical imaging platforms).

TPLSM is the most frequently encountered version of MPLSM and is based on the non-linear absorption of two NIR photons,<sup>14</sup> i.e., photons with wavelengths ranging 700 - 1000 nm. The probability of excitation depends on the spatial and temporal overlap of two NIR photons at the excitable molecule. Even then absorption cross sections are extremely small and consequently excitation only occurs effectively at the objective's focal zone, the probability rapidly decreasing away from focus. Absence of out-of-focus absorption and emission allows optical slicing and additionally limits photobleaching and -damage, allowing vital imaging of intact tissue. Furthermore, NIR photons have a wavelength approximately double that of traditional fluorescence microscopy and are thus less scattered, resulting in increased penetration depths up to 250  $\mu\text{m}$ , the exact value strongly depending on tissue absorbance and scattering properties. In cardiovascular research, TPLSM has primarily been applied *ex vivo*,<sup>15-17</sup> but *in vivo* applications are within reach due to recent developments in imaging speed and optical detection efficiency.

RMS is based on detecting vibrational alterations of chemical bonds in molecules upon interaction with monochromatic light and hence provides detailed information on the molecular composition of tissue. For example, *ex vivo* RMS allows quantification of cholesterol content in arterial walls<sup>18</sup> and recognition of atherosclerotic vasculature.<sup>19,20</sup> Furthermore, *in vivo* studies have shown that RMS is suitable for characterizing arterial wall composition<sup>10</sup> and is applicable in molecular imaging of tumors.<sup>21</sup>

OCT utilizes the echo time delay and intensity of backscattered light to create cross-sectional images of sub-surface tissue structures. The penetration depth 10-fold larger than that of TPLSM, whereas the spatial resolution is 10-fold lower. OCT allows *ex vivo* intravascular

imaging and characterization of plaque morphology<sup>22-24</sup> and *in vivo* visualization of vulnerable atherosclerotic plaques in mice<sup>25</sup> and morphology in human coronary atherosclerotic plaques.<sup>26,27</sup>

In PAT, ultrasonic sources are generated by absorption of pulsed light. Though the application of PAT to cardiovascular disease is in its infancy, PAT allows vascular imaging *in vivo* with<sup>28,29</sup> and without<sup>30,31</sup> exogenous contrast agents. More interestingly, with intravascular PAT atherosclerotic plaques can be identified and characterized *ex vivo*,<sup>32,33</sup> which holds great promise for *in vivo* applications.

Taken together, RMS and OCT allow *in vivo* assessment of the molecular and structural changes of the atherosclerotic arterial wall. Examples of the *in vivo* application of TPLSM and PAT in visualizing atherosclerosis are currently unavailable in scientific literature. However, various *ex vivo* applications have shown their feasibility in atherosclerosis research. Optical NPs, targeted towards molecular epitopes specifically expressed in each stage of plaque development, will increase the sensitivity in the microscopic evaluation of the plaque's stage of development.

## Optical nanoparticles for cardiovascular research

For *in vivo* molecular imaging, optical NPs require good physicochemical properties for efficient optical detection and functionalization by targeting ligands. In addition to NP size and shape, modifications in surface structure, e.g., by altering surface charge and attachment of bio-molecules, affect the pharmacokinetics.<sup>34</sup> Numerous covalent, amphiphilic and electrostatic strategies have been developed to coat optical NPs and adapt circulation half-life, organ accumulation and excretion pathways. However, these coatings may affect luminescence properties, either by increasing<sup>35</sup> or decreasing<sup>36</sup> the NP's brightness. We refer to Niemeyer<sup>37</sup> for additional information on these surface modifications.

**Table 1** Optical imaging platforms suitable for intravascular applications.

Optical platform	Contrast	Penetration depth [a]	Spatial resolution [μm]	Temporal resolution [fps] [b]
TPLSM	Spectral	~ 250 [μm]	Axial	< 1
			Lateral	< 1
OCT	Echo delay & backscatter	1 - 2 [mm]	Axial	~ 20
			Lateral	2 - 4
RMS	Molecular resonance	450 [μm]	Axial	-
			Lateral	25
PAT	Laser-induced ultrasound	several [cm]	Axial	25
			Lateral	100

[a] Penetration depth: maximal depth from which an adequate signal may be acquired.

[b] Temporal resolution: maximal frame-rate (in frames per second, fps) that may be attained.

The optical NPs described here are relatively new (see Figure 1 for graphical representations and Table 2 for physicochemical properties), but some have already been tested *in vivo* in preclinical disease models. Note that the physicochemical properties in Table 2 apply to water-soluble optical NPs without targeting ligands. Quantum dots (QDs) and gold NPs (AuNPs) have proven extremely useful in molecular imaging with optical methods, including TPLSM, OCT and PAT, but presumably have limitations with respect to long term biocompatibility. Three recently synthesized NPs, diamond (DNP), carbon (CNP) and silica (SNP), theoretically have a better biocompatibility and have been tested *in vitro* for imaging purposes and were shown to have characteristics highly suitable for *in vivo* optical imaging. The physical principles behind luminescence from QDs, AuNPs and CNPs are beyond the scope of this paper. Therefore light emitted by these NPs is simply referred to as luminescence.

### Quantum dots

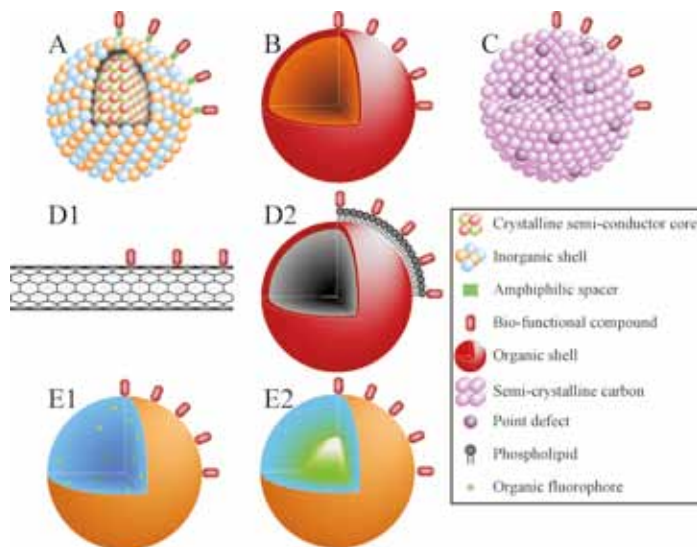
Quantum dots (QDs) are nanometer-sized, semiconductor crystals (Figure 1A) that offer superior photophysical properties over organic dyes,<sup>38,39</sup> including high quantum yields,<sup>40</sup> broad excitation spectra and narrow emission spectra and high photostability (Table 2).<sup>41,42</sup>

Importantly, they allow efficient two-photon excitation, which together with the aforementioned advantageous properties makes them suitable for TPLSM imaging deep in scattering tissue. Moreover, the size-dependent emission wavelength allows simultaneous visualization of differently sized QDs with a single-wavelength excitation source.<sup>43</sup> The photophysical properties of QDs are strongly dependent on regularity in core structure and size-distribution and their synthesis therefore requires stringent conditions.<sup>44,45</sup> The QD quantum yield can reach 70% by encapsulating the QD core with an inorganic shell to obtain core/shell QDs.<sup>46,47</sup> The organic shell furthermore reduces desorption and subsequent oxidation of the toxic core components.<sup>48</sup> Moreover, additional organic coating of core/shell QDs provides both water-solubility and increased core/shell stability,<sup>35</sup> which are required for *in vivo* applications.

In mice, QDs are generally cleared from the blood by accumulation in organs of the reticuloendothelial system and in some cases also the kidneys,<sup>49,50</sup> although this varies depending on the surface chemistry and ligands attached. However, QDs are usually not excreted through urine or feces,<sup>50,51</sup> even for months after intravascular administration, and are therefore assumed to reside in these organs. Hence, accumulated QDs may eventually degrade chemically and release cytotoxic components and are therefore considered to be clinically unsafe. Therefore, several methods were recently developed to produce QDs that are composed of non-toxic components,<sup>52,53</sup> are encapsulated in a silica-shell to increase biocompatibility,<sup>54</sup> and are cleared by the kidneys.<sup>49</sup> These new developments will potentiate the use of QD in future clinical applications.<sup>55</sup>

Targeted QDs are obtained by covalently binding ligands to the shell-surface<sup>56</sup> or via non-covalent strategies,<sup>57</sup> including the streptavidin-biotin bond.<sup>58</sup> The latter is frequently used in biomedical research. Thus far, QDs have been applied to cancer research in animals, including sentinel node mapping<sup>59,60</sup> and *in vivo* molecular imaging of tumors.<sup>57,61</sup> The application of (targeted) QDs to cardiovascular research is advancing. QDs have been used to visualize *ex vivo* the expression of the oxLDL receptor CD36,<sup>62</sup> vascular adhesion molecule-1 (VCAM-1) and intracellular adhesion molecule-1 (ICAM-1),<sup>63</sup> the angiogenic marker CD13,<sup>15</sup> and phosphatidylserine (PS) exposing cells.<sup>64</sup> Recently, ICAM-, VCAM-, as well as platelet endothelial cell adhesion molecule (PECAM-) conjugated QDs have been used for simultaneous targeting and imaging of the endothelial phenotype in retinal vasculature.<sup>65</sup> In addition, the proteolytic activity of matrix metalloproteinases (MMPs) in atherosclerotic plaques, contributing to a mechanically unstable phenotype, may be visualized using QD-based biosensors,<sup>66</sup> which were successfully applied *in vivo* in tumors.<sup>67</sup> However, the approaches mentioned above require external excitation, often implying exposure of the tissue of interest. By chemical excitation of infrared emitting QDs via bio-luminescence resonance energy transfer (BRET),<sup>68</sup> true non-invasive optical imaging may be realized.

The examples above show that QDs are highly suitable for optical imaging in cardiovascular research. However, besides optical properties, local contrast-enhancing effects of targeted NPs depend on ligand-receptor interactions. Various studies have shown that QDs allow quantification of ligand-receptor binding kinetics<sup>69</sup> and monitoring of receptor-mediated endocytosis.<sup>41,58</sup> Therefore, QDs may be applicable to *in vivo* probing of binding affinity and subsequent processing of ligands specific for atherosclerosis-related molecular epitopes.



**Figure 1** Schematic overview of the composition of A) quantum dot, B) gold nanoparticle, C) diamond nanoparticle, D1) carbon nanotube, D2) carbon dot (C-Dot), E1) silica nanoparticle with encapsulated organic fluorophores, E2) silica nanoparticle with fluorescent core. Several chemical methods for surface binding of bio-active compounds are mentioned in the text. See Table 2 for spatial dimensions.

### Gold nanoparticles

Gold particles are used extensively in electron microscopy.<sup>70</sup> However, recent progress in synthesis of gold nanoparticles (AuNPs, Figure 1B) has yielded highly luminescent NPs with emissions ranging from 400 - 1200 nm.<sup>71,72</sup> This NIR emission makes them suitable for optical imaging of biological tissues, since tissue absorption at these wavelengths is limited. AuNPs require an adequate protecting and stabilizing organic shell for their luminescence and display relatively

narrow excitation and broad emission spectra with quantum yields up to 40%.<sup>71</sup> Similar to QDs, the emission spectra of AuNPs are size-dependent.<sup>73</sup> Moreover, AuNPs are strongly luminescent upon two-photon absorption<sup>74</sup> and may display specific surface scattering,<sup>75</sup> indicating that AuNPs can be visualized by both TPLSM and OCT. Furthermore, excitation with NIR photons induces ultrasonic waves, which allow detection with PAT (see Table 2).

*In vitro*, organically stabilized AuNPs are internalized via endocytosis<sup>76,77</sup> without affecting cell viability.<sup>77,78</sup> *In vivo*, AuNPs predominantly accumulate in the liver and spleen of mice,<sup>79</sup> do not initiate (hepatic) toxicity,<sup>80</sup> and are slowly excreted via the feces.<sup>81</sup> AuNPs are clinically approved for treatment of rheumatoid arthritis<sup>82,83</sup> and are considered safe<sup>84</sup> although several studies showed a correlation between AuNP therapy and lung disease.<sup>85,86</sup>

Covalent attachment of amine<sup>87</sup> and thiol<sup>88</sup> groups to the AuNP surface allow functionalization with amino acids<sup>89</sup> and proteins<sup>90</sup> for targeted delivery, e.g., to atherosclerosis-related markers. Moreover, direct capping of the AuNP surface with certain amino acids yields stable, water-dispersible and functionalized particles.<sup>89,91</sup>

Agglutination of AuNPs enhances their detection with reflectance microscopy, including OCT,<sup>92</sup> allowing *in vitro* visualization of cancer cells.<sup>75,76</sup> This phenomenon can be exploited in molecular imaging since surface-expressed markers spatially coincide, thereby bringing targeted AuNPs in close contact with each other. Additionally, TPLSM allows visualization of non-targeted AuNPs *in vivo*<sup>93</sup> and identification of AuNP-labeled cancer cells in tissue phantoms targeted by AuNPs.<sup>94</sup>

### Diamond nanoparticles

Diamond nanoparticles (DNPs) represent a potentially ideal optical label, combining efficient scattering properties (i.e., they can be used for OCT),<sup>95</sup> bright and photostable fluorescence,<sup>96</sup> low cytotoxicity,<sup>97</sup> and chemical stability (Table 2). Fluorescence arises from so-called

point defects (Figure 1C),<sup>98</sup> initiated by high-energy irradiation.<sup>99</sup> Each of the point defects has a fluorescence brightness comparable to a single organic fluorophore, enabling detection of individual DNPs by fluorescence microscopy,<sup>97</sup> including TPLSM.<sup>100</sup> However, current DNPs have broad emission spectra,<sup>96</sup> which significantly hampers multi-color imaging. For functionalization, DNPs are chemically modified to yield carboxylated DNPs for the covalent attachment of bioactive ligands.<sup>96,101</sup> Recent progress in the dispersion of DNPs in aqueous media has facilitated their use in physiological solutions.<sup>102</sup>

Preliminary results yield DNPs non-toxic *in vitro*<sup>97</sup> as well as *in vivo*.<sup>103</sup> Long term follow-up studies on DNP toxicity, however, are currently lacking. The favorable photophysical and potential pharmacodynamic characteristics of DNPs show great promise for biological applications, yet preclinical research on DNPs is still in its infancy. *In vitro*, DNPs were shown to enable single-particle tracking<sup>96</sup> and detection of growth hormone receptor expression.<sup>101</sup>

### Carbon nanoparticles

Carbon nanoparticles (CNPs), which include carbon nanotubes (CNTs, Figure 1D1) and carbon dots (or C-Dots,<sup>104</sup> Figure 1D2), may offer a less cytotoxic alternative to the aforementioned quantum dots. CNTs and C-Dots are photostable,<sup>104,105</sup> but display relatively broad - excitation wavelength dependent - emission spectra (Table 2).<sup>105,106</sup> Therefore, in contrast to QDs, multi-color TPLSM imaging of CNPs in tissue is limited. Non-aggregated CNTs display an inherent NIR luminescence with a tube-length dependent quantum yield,<sup>107</sup> reaching values up to 10%.<sup>108</sup> C-Dots, however, require a large surface-to-volume ratio and surface stabilization with organic polymers, such as PEG<sub>1500</sub>, to become luminescent.<sup>105</sup> Several strategies were developed to obtain individualized and hydrophilic CNTs, including non-covalent functionalization with proteins,<sup>109</sup> dendrimers<sup>110</sup> and phospholipids.<sup>111</sup>

CNPs are internalized via endocytosis *in vitro*,<sup>105,112</sup> but both CNTs and C-Dots display no acute toxicity.<sup>104,113</sup> However, long-term effects of CNPs on cell viability strongly depend on surface-bound (bio-) molecules and dose<sup>112,114</sup> and traces of metal catalysts used during synthesis.<sup>113</sup> In addition, CNTs may penetrate the nuclear membrane physically<sup>115</sup> and elicit DNA damage through ROS generation.<sup>116</sup> After intravenous administration of CNTs, no pathological abnormalities were found in the liver, kidneys and other organs.<sup>117</sup> In rodents, CNTs are cleared by the kidneys,<sup>118</sup> whereas excretion routes of C-Dots are currently unknown. CNPs may be functionalized for molecular imaging by coating with ligand-bound phospholipids<sup>119</sup> and amino-terminated dendrimers.<sup>110</sup>

Until now, few studies have utilized CNPs for *in vivo* optical imaging. Nevertheless, the NIR and Raman signals from CNTs were detected in the blood pool of *Drosophila melanogaster*<sup>106</sup> and in subcutaneously implanted tumors in mice,<sup>21</sup> respectively. These preliminary results indicate the potential of TPLSM in visualizing CNPs in biological tissue.

### Silica nanoparticles

Fluorescent silica nanoparticles (SNPs) are synthesized by trapping fluorophores in a mesoporous silica matrix (Figure 1E1 and 1E2). The spectral properties thus depend on the entrapped fluorophores and can therefore not be specified. The quantum yield has an optimum since both a low concentration and too high concentration (inducing self-quenching<sup>120</sup>) will affect SNP brightness.<sup>121</sup> The brightness of dye-doped SNPs (Figure 1E1) additionally suffers from dye-leakage from the silica pores, hampering accurate assessment of the spatial distribution of SNPs by optical imaging modalities. Leakage can be reduced by covalent<sup>42</sup> or electrostatic interactions<sup>120</sup> between the fluorophore and the silica precursors, by increasing the size of the dye molecule,<sup>120</sup> or by stabilizing the fluorophore-doped silica core

with a dye-free silica shell (Figure 1E2).<sup>42,120</sup> Core/shell SNPs exhibit a relatively high brightness, but still display an approximately 3-fold lower luminescence intensity than QDs.<sup>42</sup>

Reactive silica precursors enable chemical modifications of the SNP surface. For example, maleimide and iodoacetyl groups can be introduced<sup>122</sup> and amino groups<sup>123</sup> can be ionized to enable (covalent) attachment of bioactive ligands.

*In vitro* experiments show cytotoxic responses to SNPs. Upon internalization by endocytosis,<sup>124</sup> SNPs impair proliferative activity,

stimulate the release of the inflammation marker interleukin-8, and reduce cell viability *in vitro* in a dose- and time-dependent manner.<sup>125-127</sup>

In mice, however, intravenous administration of colloidal core/shell SNPs at biologically relevant concentrations do not induce acute or chronic responses, even 60 days post-injection.<sup>128</sup>

Several *in vitro* fluorescence microscopy studies have shown that SNPs allow peripheral labeling of cancer cells by targeting folate receptors.<sup>42,129</sup> In addition, antibody-conjugated SNPs allow multi-target monitoring of bacteria species.<sup>130</sup>

**Table 2** Physicochemical properties of water-soluble non-targeted nanoparticles for optical molecular imaging.

	Quantum Dot	Gold	Diamond	Carbon		Silica
				Dot	Tube	
Quantum yield	< 70% <sup>46,47,131</sup>	< 40% <sup>71</sup>	~ 50% <sup>96</sup>	~ 10% <sup>105</sup>	< 10% <sup>108,132</sup>	Fluorophore & concentration dependent
Fluorescence excitation spectrum [nm]	> 200 [a]	300 ~ 450 <sup>71</sup> [a]	450 ~ 550 <sup>97,133,134</sup> [a]	450 ~ 650 <sup>105</sup> [a]	Unknown	Fluorophore dependent
Fluorescence emission spectrum [nm]	~ 30 [b]	~ 60 [b]	~ 100 <sup>96,133</sup> [b]	~ 100 <sup>105</sup> [b]	~ 500 <sup>106,114,117</sup> [a]	Fluorophore dependent
Hydrodynamic diameter [nm]	< 20	1 - 50	35 - 100	< 5	~ 1 nm (Ø) < 500 (length)	~ 15
Blood clearance	Predominantly spleen and liver	Predominantly spleen and liver	Unknown	Unknown	Kidneys	Spleen, liver, and lung <sup>128</sup>
Excretion	None	Slowly via feces <sup>81</sup>	Unknown	Unknown	Urine <sup>118</sup>	Urine and feces <sup>135</sup>
Optical platform	TPLSM	TPLSM OCT PAT	TPLSM OCT	TPLSM	TPLSM	TPLSM

[a] Total bandwidth

[b] Full-width-at-half-maximum



## Detection of optical nanoparticles

For efficient detection, optical NPs should have favorable luminescence properties, i.e., high quantum yield, broad excitation spectrum and narrow emission spectrum that matches the optical window of tissue. The presented optical NPs share the ability to be detected with TPLSM (Table 1). However, the 250  $\mu\text{m}$  penetration depth of TPLSM in biological tissue restricts its clinical application to early atherosclerotic lesions. The penetration depth of OCT and PAT are 1 and 2 orders larger, respectively, and may therefore be preferred over TPLSM when greater depths should be attained, i.e., intraplaque visualization of developed lesions.

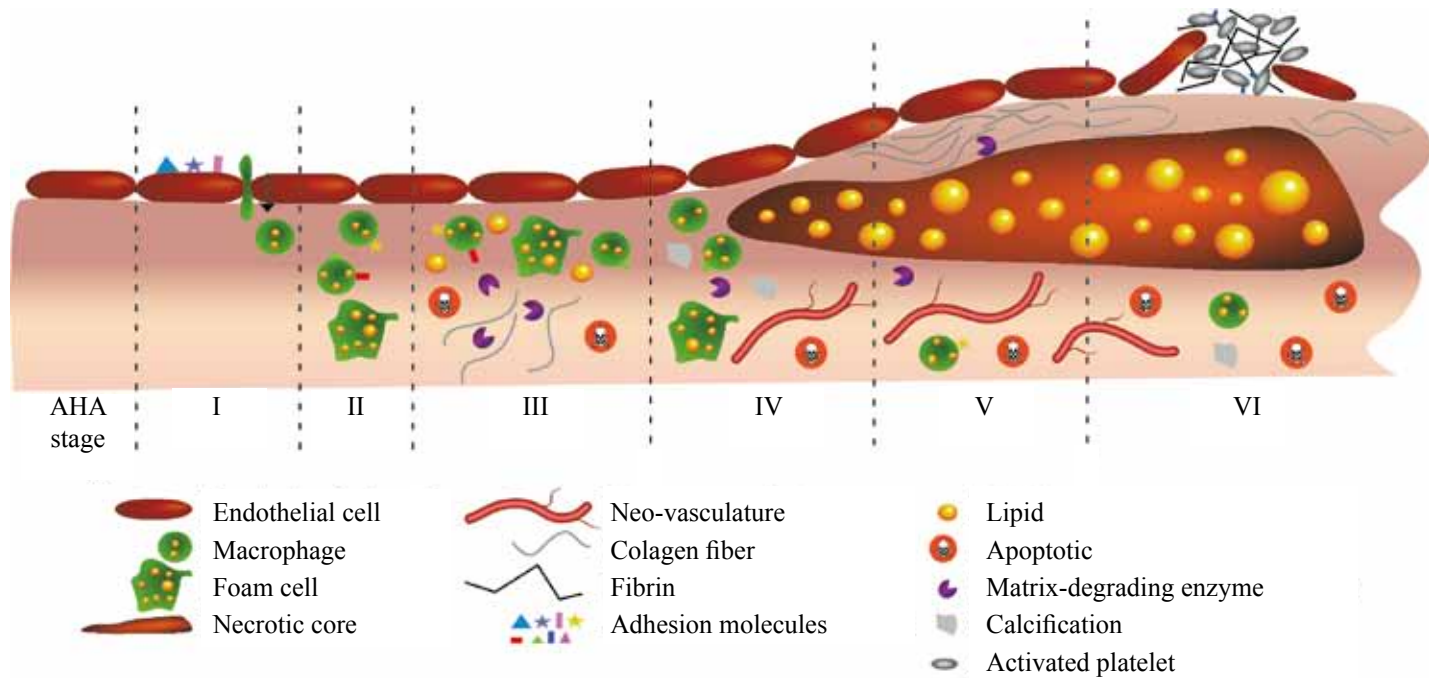
## Targeting nanoparticles to atherosclerotic lesions

The application of molecular imaging in assessing vulnerable atherosclerotic plaques is a challenging task, since these plaques are highly complex and constantly evolving structures. Consequently, these lesions display numerous molecular targets, expressed either at the endothelial surface or subendothelially by cells and extracellular matrix in the vascular wall.

The American Heart Association (AHA) has recognized six states of plaque development in humans (Figure 2), divided in precursor and advanced types. Precursor lesions (types I, II, III) are asymptomatic and do not narrow the lumen;<sup>136</sup> whereas advanced lesions (types IV, V, VI) are hemodynamically and clinically more relevant since they may lead to ischemic complications.<sup>137</sup> Type I plaques are characterized by endothelial activation, which is accompanied by luminal expression of various adhesion molecules;<sup>138</sup> these include intercellular (ICAM), vascular (VCAM), and platelet endothelial (PECAM) cell adhesion molecules, and the lectin-like oxLDL receptor LOX-1. These adhesion molecules initiate the accumulation

of macrophages and lipids in the vascular wall, causing gradual progression to type II lesions. Type III lesions contain extracellular lipid and apoptotic cells and typically show extracellular matrix-degrading enzymes, such as matrix metalloproteinases (MMPs) and cathepsins.<sup>138</sup> In type IV lesions the necrotic core develops, as large amounts of extracellular lipid accumulate in the intima. Capillaries surround the lipid core, mostly in the shoulder of the plaque, and calcifications may also be present. Macrophages, foam cells, and lymphocytes are mainly present in the periphery of the lesion, most notably in the shoulders of the plaque. Type V lesions develop when the subendothelial layer grows into more collagen-rich tissue, forming the fibrous cap. More and larger capillaries are present in these lesions. Type VI lesions closely resemble type IV and V lesions, but have had disruptions of the lesion surface, hematoma or hemorrhages, with subsequent thrombus formation. It is important to stress that vulnerable plaques do not necessarily coincide with rupture-prone plaques. More accurately, vulnerable plaques are at risk for thrombotic complications and consequent rapid progression of plaque instability, as was proposed by Naghavi *et al.*<sup>139</sup>

Each stage of plaque development displays specific features, such as inflammation, activated endothelium, accumulated lipid and macrophages, collagen-rich fibrous cap, angiogenic activity, apoptosis and thrombi. Each of these features is associated with specific molecular targets that may be used for molecular imaging. Available targets and corresponding ligands, which have been used in clinical and pre-clinical studies, are mentioned in Table 3. The NPs in Table 3 include both optical and non-optical NPs. The ligands mentioned in Table 3 can be combined with the optical NPs mentioned earlier, to generate particles for specific and high-resolution visualization of vulnerable plaques using (endoscope-based) optical imaging techniques. Optical techniques described in Table 3 either require exposure of the tissue of interest or served as



**Figure 2** Schematic representation of human plaque progression. The different types of plaque morphology are not necessarily successive and not all plaques progress to ruptured plaques (AHA type VI).

an *ex vivo* validation tool. Optical imaging techniques are currently being developed that would allow *in vivo* endoscopy-based imaging.

A distinction should be made between luminal (e.g., CD13)<sup>15,140</sup> and sub-endothelial targets (e.g., apoptotic cells in atherosclerotic plaques<sup>141</sup>). For sub-endothelial targets the hydrodynamic size of the NP should be considered, as the particle has to translocate

through the endothelial layer. Furthermore, the permeability of the endothelium should be taken into account, as plaque endothelium is more permeable than healthy endothelium, allowing translocation of larger NPs. In advanced plaques, NPs may also reach the intraplaque targets through the vasa vasorum, an adventitial vascular network that often expands into the lesion during plaque growth.

**Table 3** Molecular targets utilized in *in vivo* studies for stage-specific visualization of plaque progression.

AHA stage	Characteristic	Molecular target (location)	Ligand	NP	Imaging modality	Reference
I	Activated endothelium	VCAM-1 (luminal)	Peptide sequence (not specified)	$^{123}\text{I}$ or $^{99\text{m}}\text{Tc}$	Nuclear	142
		VCAM-1 (luminal)	Antibodies	Magneto-optical nanoparticles	Optical	143
				Microbubbles	Ultrasound	144
				Iron oxide particles	MRI	145
		VCAM-1 (luminal)	Peptide sequence VHSPNKK	Magnetofluorescent NPs	Optical and MRI	146
		VCAM-1 (luminal)	Peptide sequence VHPKQHR	Magnetofluorescent NPs	Optical and MRI	147
		P-selectin (luminal)	Antibodies	Iron oxide NPs	MRI	148
				Microbubbles	Ultrasound	149
		II/III	Macrophages/Inflammation	MMPs (sub-endothelial)	Peptide sequence GGPRQITAG	Near infrared probe
Cathepsin K (sub-endothelial)	Peptide sequence GHPGGPQKC			Near infrared probe	Optical	151
Macrophage Scavenger Receptor (CD204) (sub-endothelial)	Antibodies			Immunomicelles	Optical and MRI	152
P2 receptors or adenosine nucleotide receptors (sub-endothelial)	Adenosine nucleotides			$^{18}\text{F}$	Nuclear	153
Glucose transporters (sub-endothelial)	FDG			$^{18}\text{F}$	Nuclear	154,155
III	Apoptosis			Cell surface expressed phosphatidylserine (sub-endothelial)	Annexin A5	$^{99\text{m}}\text{Tc}$
		Iron oxide NPs	MRI			158
		Paramagnetic QDs	Optical and MRI			64

IV	Angiogenesis	$\alpha_v\beta_3$ (luminal)	Peptide sequence RGD	Paramagnetic NPs	MRI	159
		CD13 (luminal)	Peptide sequence cNGR	Paramagnetic QDs	Optical and MRI	140
		Vascular endothelial growth factor recep- tor 2 (luminal)	VEGF <sub>DEE</sub> (VEGF <sub>121</sub> mutant)	<sup>64</sup> Cu	Nuclear	160
		Vascular endothelial growth factor receptor (luminal)	Single chain VEGF	Near infrared probe	Optical	161
				<sup>99m</sup> Tc	Nuclear	161
IV/V	Fibrous cap/ extra- cellular matrix	Collagen types I, III, IV (sub-endothelial)	CNA35	Fluorescent probe	Optical	16
VI	Thrombus	Elastin	BMS753951	MRI contrast agent	MRI	162
		Fibrin (luminal)	EP-1873	Gadolinium	MRI	163
		Fibrin (luminal)	EP-2104R	Gadolinium	MRI	164-167
		Fibrin (luminal)	Peptide sequence GPRPP	<sup>99m</sup> Tc	Nuclear	168
		Activated factor XIII (luminal)	$\alpha 2$ -antiplasmin-based contrast agent	Fluorescent probe and gadolinium	Optical and MRI	169
		Activated platelets (luminal)	Antibody fragment	Microbubbles	Ultrasound	170
		Activated platelets (luminal)	Peptide sequence (not specified)	Microbubbles	Ultrasound	171
		Cell surface expressed phosphatidylserine (luminal)	Annexin A5	<sup>99m</sup> Tc	Nuclear	172

## Hybrid nanoparticles for multimodal imaging

Clinically approved imaging techniques allow non-invasive evaluation of the localization of targeted contrast enhancing NPs, yet lack the sensitivity and resolution to prove target specificity. Therefore, high spatial resolution validation of non-invasive molecular imaging is mandatory and requires NPs suitable for multimodal imaging. Hybrid NPs can be detected by optical imaging methods as well as by non-invasive clinical imaging methods. These hybrid NPs may also be linked to the ligands in Table 3, for imaging of atherosclerosis-related molecular markers. In such a set up, a combination of non-invasive imaging for macroscopic detection, followed by invasive optical imaging, allows determination of distribution and exact location. Hybrid NPs developed so far mostly include MRI and fluorescent labels. Of the hybrid NPs currently available, few have been applied *in vivo*.

Hybrid lipidic structures, such as liposomes and micelles, are composed of natural amphiphilic lipids and are therefore highly biocompatible. They can be easily formulated and adjusted for various purposes. The maximum payload of added moieties, e.g., ligand or contrast agent, depends on the liposome's size, but may be thousands per liposome. The size of liposomes often exceeds 100 nm, which is large compared to the optical NPs presented in Table 2. In recent decades, though, liposomes have been investigated extensively as drug carriers<sup>173,174</sup> and two liposomal cytostatic formulations have been approved for clinical use so far.<sup>175</sup> Incorporation of contrast agents allows their application in imaging purposes, e.g., for *in vivo* MRI detection of intimal thickening.<sup>176</sup> When contrast agents with different functionalities are incorporated in the lipid bilayer, dual detection with for instance MRI and fluorescence microscopy is enabled. Hybrid liposomes have been developed to specifically target and visualize the expression of E-selectin<sup>177</sup> and apoptotic

cells.<sup>178</sup>  $\alpha_v\beta_3$  targeted liposomes with surface-conjugated RGD have been used to detect angiogenic activity in tumors<sup>179</sup> and in atherosclerotic plaques<sup>159</sup> by *in vivo* MRI and subsequent *ex vivo* validation with fluorescence microscopy.<sup>179</sup> Bimodal micelles targeting the macrophage scavenger receptor (MSR) by surface-bound anti-MSR antibodies allow visualization of macrophage-uptake in atherosclerotic plaques of mice with *in vivo* MRI, and by *ex vivo* fluorescence microscopy.<sup>180</sup>

QDs may serve as a highly luminescent scaffold for MR probes, PET/SPECT tracers and CT contrast agents to produce multi-modal imaging probes. The payload of additional contrast agents or ligands is estimated to be 10 - 30 per QD. QDs provided with a paramagnetic lipid-coating were applied to MR and optical visualization of  $\alpha_v\beta_3$ -expression on the membranes of activated HUVECs<sup>181</sup> or for PS exposure of apoptotic cells.<sup>182</sup> A different approach was chosen through silica-coated QDs with a hydrophobic phase between the QD surface and the silica-shell<sup>183</sup> in order to incorporate hydrophobic contrast agents. The drawback of this approach is the rather tedious and stringent synthesis protocol to produce monodisperse NPs containing a single QD. The time-consuming synthesis makes these particles less suitable for PET/SPECT applications, due to decay of radioactive tracers.

A more flexible scaffold is provided by streptavidin-coated QDs, since the QD-surface can easily be functionalized by biotinylated moieties, including ligands and non-optical imaging probes. To further increase detectability, dendritic wedges with 8 DTPA or DOTA compounds were developed to incorporate paramagnetic gadolinium or radioactive PET/SPECT tracers, respectively.<sup>184</sup> Utilizing these dendritic structures, paramagnetic QDs were successfully applied for MR and optical imaging of apoptosis *ex vivo* using Annexin A5.<sup>64</sup> Moreover, cyclic Cys-Asn-Gly-Arg-Cys (cNGR-) coupled QDs were successfully utilized for *in vivo* optical detection of angiogenic activity after myocardial infarction.<sup>15</sup> Recently, a bimodal cNGR-

coupled QD with a dendritic gadolinium wedge was shown to enable the detection of angiogenic activity in tumor-bearing mice by *in vivo* MRI and *ex vivo* TPLSM.<sup>140</sup> A different type of hybrid NP is a QD containing a shell doped with paramagnetic manganese.<sup>185</sup> This NP was shown to be taken up by macrophages *in vitro* as shown by MRI and optical methods.

The mesoporous matrix of silica NPs and coatings allows the incorporation of probes with different functionality. By coating a luminescent ruthenium core with a silylated Gd complex, bimodal NPs were obtained for efficient luminescent and paramagnetic labeling of monocytes *in vitro*,<sup>186</sup> with the potential to extrapolate this to *in vivo* visualization of leukocyte accumulation in atherosclerotic plaques. Also, gadolinium oxide cores may be covered by a fluorophore-containing silica layer.<sup>187</sup> In contrast to the previously discussed NPs, these PEGylated NPs were excreted mainly via the kidney when intravenously injected in mice, as visualized *in vivo* with both fluorescence imaging and MRI. In addition, magnetite ( $\text{Fe}_3\text{O}_4$ ) can be coated with a silica shell, containing luminescent iridium, in order to visualize their passive ingestion *in vitro*.<sup>188</sup>

In contrast to gadolinium, superparamagnetic compounds, such as iron oxide generate negative contrast with MRI. Several different iron oxide-based multi-modal nanoparticles have been developed, but only few have been applied *in vivo* so far. Magnetite particles to which NIR fluorophores were conjugated,<sup>189</sup> were used to load macrophages for *in vivo* imaging applications. In addition, iron oxide particles covered with a phospholipidic layer containing fluorophores were developed to specifically visualize apoptotic cells *in vitro*.<sup>178</sup> Also so-called nanosponges were developed in which the magnetic and luminescent characteristics of their components are maintained.<sup>190</sup> The *in vivo* experience with iron oxide based hybrids is still limited. Small (3 nm) iron oxide NPs coated with fluorescent cyanine 5.5, allowed visualization of atherosclerotic lesions in mice

with *in vivo* MRI and *ex vivo* fluorescence microscopy,<sup>191</sup> apparently by virtue of their macrophages uptake. These results have promising implications for non-invasive assessment of inflammation, and vulnerability to rupture, of an atherosclerotic plaque.

Also, conjugation of CNT to iron oxide nanoparticles resulted in a bimodal NP allowing visualization of the *in vitro* uptake by macrophages with MRI and optical techniques.<sup>192</sup> To increase biocompatibility, this NP was encapsulated in DNA.

## Summary and outlook

In this paper, optical and hybrid contrast enhancing NPs were described that have been applied to *in vitro* and *in vivo* animal studies. As such, these particles may play a role in confirming (sub-) cellular localization following non-invasive imaging in animal models. In the future, NPs may be applied clinically in conjunction with optical imaging techniques, due to fast developments in this field. Ideal NPs for optical molecular imaging in clinical use should bear the following characteristics: (1) highly luminescent upon irradiation, (2) high binding affinity and specificity for the target, (3) resistant to chemical degradation and (4) cleared via kidney or liver upon intravenous injection to prevent organ accumulation. It should be kept in mind that the *in vivo* targeting behavior, as well as the level of toxicity, of the NPs highly depend on geometrical (i.e., NP size), biophysical (i.e., the availability of the target and hemodynamic forces), chemical (i.e., NP stability), and biological (i.e., ligand-target affinity and excretion pathway) parameters. As such, a thorough quantitative analysis of the *in vivo* bio-distribution and pharmacokinetics of each individual construct (i.e., NP and applied surface modifications) should be performed and should result in a well defined and uniform safety and efficacy profile prior to clinical use.

The relatively narrow emission spectra of QDs and AuNPs offer a valuable contribution to the research of complex and multi-factorial diseases, including atherosclerosis, since multiple molecular targets may be visualized simultaneously. However, the current commercially available QDs consist of heavy metals such as cadmium, and are therefore not likely to be suitable for clinical use, despite encapsulation of the core. CNPs offer a potentially non-toxic alternative to QDs, but display a low quantum yield and broad emission spectrum, both hampering multi-color imaging *in vivo*. AuNPs, DNPs and SNPs combine high luminescence with a non-toxic potential *in vivo*, although it is not yet known whether DNPs are excretable. Therefore, based on current knowledge on toxicity and optical properties of the presented NPs, AuNPs and SNPs are the most promising NPs for clinical use in optical molecular imaging of atherosclerosis. The use of AuNPs is clinically approved in treatment of rheumatoid arthritis,<sup>82,83</sup> however, both AuNPs and SNPs have been poorly characterized for *in vivo* imaging applications at this moment.

Many of the presented optical NPs hold a dual-purpose character, i.e., they may be used as contrast agent in optical imaging as well as therapeutic agent to specifically disrupt processes that contribute to plaque formation and destabilization. These processes include MMP expression by macrophages and angiogenic expansion of the vasa vasorum that penetrate the plaque. The use of optical NPs as therapeutic agent is still in initial phase of development, but several approaches can be recognized. First, surface binding or encapsulation of photosensitizers may employ QDs and SNPs, respectively, for targeted photodynamic therapy (PDT) of atherosclerotic lesions.<sup>193-199</sup> In PDT, (indirect) illumination of the photosensitizers induces local formation of reactive oxygen species, which stimulates apoptosis of the surrounding cells. Second, AuNPs<sup>200</sup> and CNTs<sup>119</sup> convert absorbed radiation into heat<sup>200</sup> and hence may allow directed photothermal destruction of cells<sup>76</sup> to which these NPs were targeted. This technique

is referred to as photothermal therapy (PTT). Third, AuNPs,<sup>201,202</sup> CNTs,<sup>119</sup> and SNPs<sup>123</sup> may serve as scaffold for targeted gene therapy or, in the case of SNPs, may be filled with chemotherapeutic drugs<sup>203</sup> to attenuate the progression of atherosclerotic lesions.

Research committed to finding the most suitable target, as well as the accompanying ligand for the assessment of vulnerable atherosclerotic plaques, has increased tremendously in recent years. Molecular targets used in molecular imaging and therapy of tumors show great resemblance to the targets expressed in atherosclerotic plaques, including inflammation,<sup>204</sup> proteolytic activity,<sup>205,206</sup> neo-vascularization,<sup>207,208</sup> and apoptosis.<sup>209,210</sup> Although both pathologies have different origins and progressions, the shared molecular markers may aid in the development and testing of ligands for specific targeting of NPs towards vulnerable plaques, as research committed to targeted tumor treatment is more advanced than targeted atherosclerotic plaque therapy.

In conclusion, current optical techniques and associated nanoparticles are promising for their application in high-resolution and high-sensitivity stand-alone imaging platforms as well as complementary techniques to clinically accepted imaging modalities.

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## **Chapter 4**

# **High resolution vasa vasorum imaging in intact murine atherosclerotic arteries**

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In preparation



## Abstract

Atherosclerotic plaques are associated with an increased density in vasa vasorum (VV), an adventitial plexus of microvessels. The VV potentially contribute to vulnerable plaque formation by providing conduits for inflammatory cells. Therefore, sensitive and high-resolution visualization of the VV may aid in further understanding (vulnerable) plaque development. Vascular endothelial cells (VECs) in atherosclerotic ApoE<sup>-/-</sup> mice were labeled *in vivo* by intravenous injection of fluorescent CD31 antibody. To assess angiogenic activity, quantum dots (QDs) were targeted *in vivo* to CD13, expressed by angiogenic VECs, by binding cyclic Ans-Gly-Arg (cNGR) to the QD surface (cNGR-QDs). The aortic arch and abdominal aorta were embedded in agarose gel and observed with two-photon laser scanning microscopy (TPLSM). C57BL/6J mice served as control. VV were found in aortic abdominal bifurcations of 4 out of 5 ApoE<sup>-/-</sup> mice, but not in other aortic segments. A single adventitial microvessel was observed in 2 control mice (n = 6). In the ApoE<sup>-/-</sup> mice, two groups of axial VV microvessels with different median diameter were recognized: 6.0  $\mu\text{m}$  (2.1 - 16.5  $\mu\text{m}$ ) and 22.7  $\mu\text{m}$  (16.6 - 43.8  $\mu\text{m}$ ). Microvessels, penetrating the media up to 60  $\mu\text{m}$ , could be distinguished from axial VV and had a median diameter of 4.4  $\mu\text{m}$  (2.6 - 6.4  $\mu\text{m}$ ). cNGR-QDs colocalized with VV VECs in 30% of the axial VV of ApoE<sup>-/-</sup> mice, indicating angiogenic activity. We demonstrated visualization and 3D representation of *in vivo* fluorescently labeled VV associated with plaques and of angiogenic activity in excised, but intact aortic adventitia of ApoE<sup>-/-</sup> mice. TPLSM may be employed to evaluate the mechanisms underlying destabilization of atherosclerotic lesions in longitudinal studies.

## Introduction

In 1876, Köster suggested that (advanced) atherosclerotic lesions are associated with an increased density in vasa vasorum (VV), i.e., the adventitial plexus of microvessels providing the arterial wall with oxygen and nutrients and removing “waste” products.<sup>1</sup> Ever since, a growing number of studies imply that both intraplaque vasculature and VV expansion enhance atherosclerotic plaque development.<sup>2,3</sup> Experimental evidence that coronary VV expansion precedes plaque formation and endothelial dysfunction<sup>4,5</sup> favors a regulating function of VV in plaque progression. Thus, VV contribute to plaque development and the observed expansion can be considered not merely a reaction to plaque progression. Intraplaque microvessels predominantly originate from VV,<sup>6</sup> providing additional conduits for inflammatory cells to invade the plaque.<sup>7-9</sup> These cells may affect the stability of the fibrous cap by releasing metalloproteinases (MMPs).<sup>10,11</sup> Moreover, microvessels have been observed in the plaque shoulder,<sup>12</sup> a location considered prone to rupture.<sup>3</sup> Upon rupture, the plaque’s thrombogenic contents is exposed to the blood,<sup>12</sup> leading to acute cardiovascular events, including infarction, stroke, and critical limb ischemia.

Several studies have shown a correlation of VV density with plaque size<sup>13,14</sup> and inflammatory content.<sup>15</sup> However, evidence for a causative relation between VV and plaque vulnerability stems from studies with angiogenesis inhibitors in atherosclerotic mice with low VV density.<sup>16</sup> The effect of anti-angiogenic therapy in these animals is stage-dependent and was shown to reduce macrophage accumulation, intimal vasculature, and plaque growth.<sup>15-17</sup> These features likely contribute to plaque stabilization or regression.<sup>17</sup> However, in an intervention study, statin treatment failed to affect VV density in hypercholesterolemic pigs, although the expression of key players in the angiogenic cascade and neo-vascularization

such as hypoxia inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ), vascular endothelial growth factor (VEGF), and MMPs was reduced.<sup>18</sup> Conversely, VEGF promotes plaque progression and accumulation of inflammatory cells without increasing plaque vasculature in ApoE<sup>-/-</sup> mice.<sup>19</sup> Hence, the causal relation between plaque neo-vascularization and stability remains to be elucidated.<sup>20</sup>

To gain better insight into the role of VV in plaque destabilization, assessment is required of morphological and functional alterations of the arterial wall, and microvessels therein, during lesion development and therapeutic interventions. Several approaches have been employed to visualize plaque microvasculature in animal models of atherosclerosis, including immunohistochemistry (IHC),<sup>3</sup> micro-computed tomography ( $\mu$ CT),<sup>14</sup> magnetic resonance imaging (MRI),<sup>21,22</sup> and intravascular ultrasound (IVUS).<sup>23</sup> However, these methods require tissue processing and affect tissue integrity (IHC and  $\mu$ CT) or lack the spatial resolution necessary to detect functional and structural properties of individual microvessels and to discriminate between angiogenic activity of the endothelial lining of the large vessel and VV endothelium (MRI and IVUS). We have demonstrated previously that two-photon laser scanning microscopy (TPLSM) allows detailed imaging of vessel wall structures with subcellular resolution in intact tissue.<sup>24-26</sup> Therefore, in this study, TPLSM was applied to excised, but intact segments of the aortic arch and abdominal aorta from ApoE<sup>-/-</sup> mice to visualize plaque-associated microvasculature, which was labeled *in vivo* by intravenous injection of FITC-conjugated anti-CD31 antibody ( $\alpha$ CD31-FITC). Moreover, highly luminescent quantum dots (QDs) were targeted *in vivo* to aminopeptidase N/CD13, which is over-expressed by angiogenic endothelium.<sup>27</sup>

## Materials and methods

### Fluorescent probes and preparation of CD13-targeted quantum dots

For *in vivo* fluorescent labeling of vascular endothelial cells (VECs), FITC-conjugated monoclonal rat anti-mouse  $\alpha$ CD31 (3.03  $\mu$ mol/L stock solution; BD Biosciences Pharmingen, Alphen aan de Rijn, The Netherlands) was injected without modification. Unless otherwise indicated, prior to examination with TPLSM the excised vasculature was incubated *ex vivo* for 15 minutes with SYTO41 (5 mmol/L stock solution; Invitrogen, Breda, The Netherlands), diluted to 1  $\mu$ mol/L in Hanks Balanced Saline Solution (HBSS, pH 7.4, Invitrogen). SYTO41 is a vital DNA/RNA fluorescent probe that enables visualization of the nuclei of VECs, smooth muscle cells (SMCs), and fibroblasts.<sup>25</sup>

CD13-targeted quantum dots (QDs) were prepared as follows. Biotinylated cNGR was synthesized as described previously, lyophilized and stored at -20 °C.<sup>24,28</sup> QDs (1  $\mu$ mol/L stock solution, Invitrogen) are composed of a CdSe core with a ZnS shell to yield highly luminescent particles and covered with polyethyleneglycol-2000 for increased circulation half-life. Each QD holds 5 - 8 surface-conjugated streptavidin moieties to allow approximately 20 biotinylated cNGR moieties to bind. For each experiment, cNGR-QDs were prepared freshly by mixing 100  $\mu$ L of QD stock solution with freshly dissolved biotinylated cNGR in a molar ratio of 1:20 to a maximal volume of 140  $\mu$ L.

### Animal model and vessel excision

Animal experiments were carried out in accordance with institutional guidelines and were approved by the local animal welfare committee. Male ApoE<sup>-/-</sup> mice (n = 5, Charles River, Maastricht, The Netherlands) were fed a Western type diet ad libitum for 18 - 19 weeks to yield atherosclerotic lesions at predisposed

sites of the vasculature.<sup>29</sup> At the age of 22 - 23 weeks, the mice were anesthetized by a subcutaneous injection of 100 mg ketamine and 20 mg xylazine per kg of mouse (Nimatek and Sedamun, respectively, Eurovet Animal Health, Bladel, The Netherlands). The tip from a 30G needle was removed from its plastic holder and placed in a 28 mm (inner diameter) polyethylene tube. The other end of the tubing was fitted to a 1 mL syringe by a 30G needle. Next, the left jugular vein was exposed and cannulated and 80  $\mu$ L  $\alpha$ CD31-FITC (n = 1) or 80  $\mu$ L  $\alpha$ CD31-FITC and 140  $\mu$ L of cNGR-QDs (n = 4) was gently administered. After 15 minutes of circulation, the diaphragm was cut and mice were bled to death. Subsequently, to expose the tunica adventitia, connective tissue was removed and the aortic arch and the thoracic and abdominal aorta were excised such that the tunica adventitia remained intact. The lumen of these aortic segments was flushed with HBSS after which the excised vasculature was placed in HBSS prior to examination with TPLSM. In the ApoE<sup>-/-</sup> mice two areas of interest were examined under a bright field microscope for the presence of atherosclerotic plaques: the aortic arch, including the base of the carotid arteries and the left subclavian artery, and the abdominal aorta bifurcation, including the base of the femoral arteries (Figure 2A). If plaques were present, the abluminal media and adventitia were screened systematically with TPLSM 5 mm distally and proximally from the plaque centre.

Male C57BL/6J wild type mice (n = 6, aged 29 - 32 weeks) served as negative control. These mice are free of atherosclerotic lesions when fed normal chow. These mice received similar treatment as the ApoE<sup>-/-</sup> mice and were intravenously injected with  $\alpha$ CD31-FITC (n = 3) or  $\alpha$ CD31-FITC and cNGR-QDs (n = 3). In ApoE<sup>-/-</sup> mice, vasa vasorum (VV) were found abluminal to plaques in the abdominal aorta bifurcation and not the aortic arch of ApoE<sup>-/-</sup> mice (see Results), therefore the abdominal aorta bifurcation of C57BL/6J mice served as control tissue for the presence of VV.

Upon intravenous injection, QDs and  $\alpha$ CD31-FITC are cleared from the blood and accumulate in spleen and kidney. In order to determine whether the intravenous injection was successful, these organs were excised from each mouse and checked with TPLSM for the presence of cNGR-QDs and/or  $\alpha$ CD31-FITC.

### Two-photon laser scanning microscopy of the abdominal aorta

After *ex vivo* labeling, the vascular segments were embedded in 2% (w/v) agarose gel (Invitrogen). TPLSM imaging was performed by using a Nikon Eclipse E600FN upright microscope (Tokyo, Japan), equipped with a Bio-Rad Radiance 2100MP imaging system and operated by Lasersharpp2000 V6.0 software (Bio-Rad, Hemel Hempstead, UK). Fluorescent probes in tissues were excited by a Tsunami Ti:sapphire laser (Spectra-Physics, Mountain View, CA, USA), which was pumped by a Millennia Vs 5 W pump laser (Spectra-Physics) and mode-locked at 800 nm, with a 82.5 MHz repetition rate and 140 fs pulse width. Tissues were observed through a water-dipping 60 $\times$  fluor objective with a 1.00 numerical aperture (Nikon). Photomultiplier tubes (PMTs, Electron Tubes, Ruislip, UK) were used to collect fluorescence emission in three spectral regions: 420 - 470 nm (SYTO41 and autofluorescence), 520 - 560 nm ( $\alpha$ CD31-FITC) and 570 - 600 nm (cNGR-QDs). These spectral regions were tuned such that bleed-through of the fluorescent markers to adjacent PMTs was minimized. The as-obtained images, color-coded blue, green, and red, were subsequently merged into a single image. The in-plane pixel dwell-time was 11.8  $\mu$ s, which together with Kalman averaging ( $K = 2$ ) for reduced noise, resulted in an image acquisition speed of 0.16 Hz. Field of view was 179  $\times$  179  $\mu$ m<sup>2</sup> to which a 512  $\times$  512 matrix was applied, resulting in 0.35  $\times$  0.35  $\mu$ m<sup>2</sup> sized pixels. In-depth scans were obtained by acquisition of consecutive images with a 1.05  $\mu$ m interplanar distance.

### Data analysis

Data were analyzed with Image-Pro V6.2 (MediaCybernetics, Silver Spring, MD, USA) and ImageJ V1.37 software (NIH, Bethesda, MD, USA). Intraluminal diameters of the VV microvessels were measured in between bifurcations and presented as median (minimum - maximum).

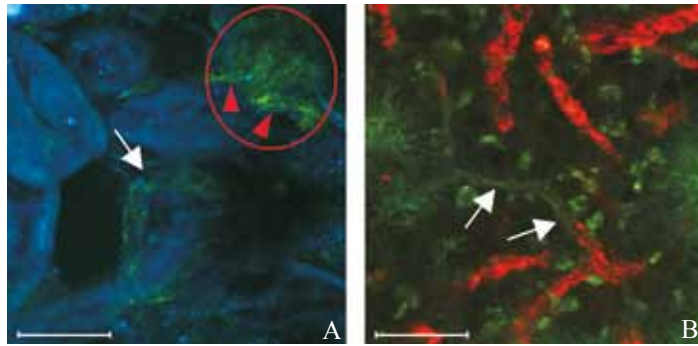
### Results

In all mice, the *in vivo* injection of  $\alpha$ CD31-FITC and/or cNGR-QDs was successful as can be concluded from the presence of the respective fluorescence in kidney and splenic tissue (Figure 1).  $\alpha$ CD31-FITC allowed clear discrimination of vessels within these organs. Moreover, cNGR-QDs were typically observed inside the lumen of spleen (Figure 1B) and kidney vasculature (not shown).

### Luminal endothelium and adventitial microvessels in C57BL/6J mice

The *in vivo* injection of  $\alpha$ CD31-FITC and subsequent *ex vivo* TPLSM observation of the embedded aortic segment allowed visualization of vascular endothelial cells (VECs) in the lumen of healthy aortic segments of control C57BL/6J mice (Figure 2B). Additional labeling of the nuclei of VECs, smooth muscle cells (SMCs), and fibroblasts with SYTO41 allowed orientation, i.e., discrimination between intima, media, and adventitia within the vascular wall (Figure 2B).

In two of the six control C57BL/6J mice a single adventitial CD31-positive (CD31<sup>+</sup>) microvessel was found in the bifurcation of the abdominal aorta (not shown). Both microvessels (diameters 10.2  $\mu$ m and 12.7  $\mu$ m) were oriented axially without visible branches over their observed length ( $\sim$  300  $\mu$ m).



**Figure 1** TPLSM images from C57BL/6J mice obtained from A) kidney and B) spleen. The mice were injected solely with  $\alpha$ CD31-FITC (A-B, green) or co-injected with cNGR-QDs (B, red). The results indicate that the intravenous injection of  $\alpha$ CD31-FITC and cNGR-QDs was successful. In both tissues,  $\alpha$ CD31-FITC allowed detection of vasculature (A-B, white arrows). In the kidneys, CD31<sup>+</sup> vasculature and glomeruli (A, red ellipse), including afferent and efferent arterioles (A, red arrowheads), could be discerned. Blue: autofluorescence of kidney tubuli. Bars indicate 50  $\mu$ m.

#### Plaque-associated adventitial neo-vasculature in ApoE<sup>-/-</sup> mice

Atherosclerotic lesions were present in the aortic arch, innominate artery, carotid and subclavian arteries, and bifurcation of the abdominal aorta of all ApoE<sup>-/-</sup> mice. In atherosclerotic arteries, VECs of the main artery could not be observed due to insufficient penetration depth of TPLSM in atherosclerotic lesions.<sup>30</sup> CD31<sup>+</sup> vasa vasorum (VV) were observed in the adventitia of the abdominal aorta abluminal to the atherosclerotic lesions in 4 out of 5 ApoE<sup>-/-</sup> mice (Figure 2C-E). However, VV were not observed in the adventitia abluminal to plaques in the aortic arch, innominate artery, base of the carotid arteries and subclavian arteries of ApoE<sup>-/-</sup> mice. In the aortic abdominal bifurcation, two groups of axially oriented VV microvessels could be clearly discriminated: one with a median

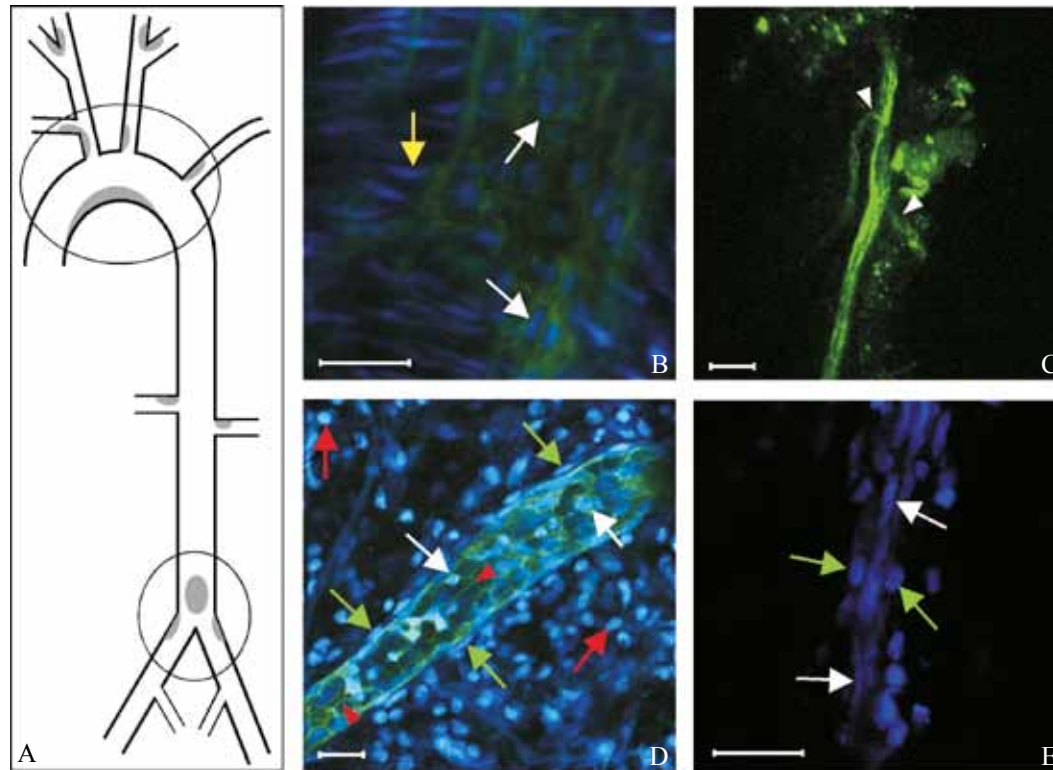
diameter of 6.0  $\mu$ m (2.1 - 16.5  $\mu$ m), which accounted for ~ 80% of the axial microvessels, whereas the other group had a median diameter of 22.7  $\mu$ m (16.6 - 43.8  $\mu$ m). In the latter population, individual endothelial cells could be discriminated by combined CD31 and SYTO41 labeling (Figure 2D). Furthermore, nuclear staining allowed visualization of cells closely associated to the VV (Figure 2E). These cells presumably represent pericytes.

In the adventitia of the abdominal bifurcation of 2 ApoE<sup>-/-</sup> mice, VV microvessels (n = 3) with a median diameter of 4.4  $\mu$ m (2.6 - 6.4  $\mu$ m) were found to originate from the small axial VV microvessels and could be followed penetrating the medial layer to a maximal depth of ~ 60  $\mu$ m, which excluded visualization of microvasculature inside atherosclerotic plaques, whereas the large axial VV microvessels did not display branching over their observed length (~ 500  $\mu$ m).

#### Angiogenic activity of adventitial neo-vasculature

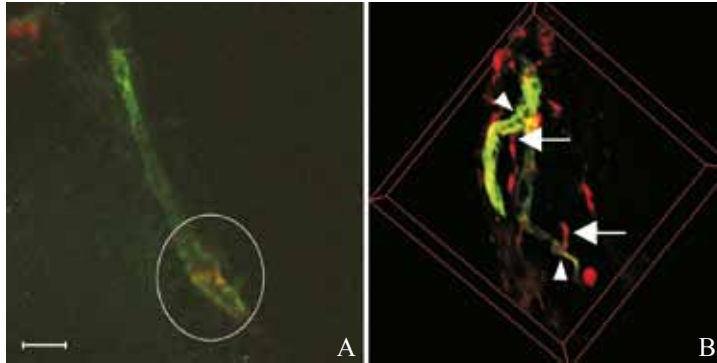
cNGR-QDs colocalized with  $\alpha$ CD31-FITC on endothelial cells of the small axial VV microvessels abluminal to the atherosclerotic plaque in the abdominal bifurcation of ApoE<sup>-/-</sup> mice only (n = 2, Figure 3A-B). This accounted for ~ 30% of the observed axial microvessels. This indicates the presence of angiogenic activity and the potential for angiogenic expansion. Figure 3B displays a three-dimensional reconstruction of 68 consecutive in-depth scans acquired from the adventitia of the abdominal bifurcation. Angiogenic sprouts, originating from CD31<sup>+</sup> microvasculature, can clearly be observed (Figure 3B, arrows).

Atherosclerotic lesions are characterized by an increase in permeability of the endothelium covering the lesion.<sup>31</sup> However, little is known about the permeability of VV that is associated with these lesions.<sup>32</sup> In the present study, cNGR-QDs were found both colocalized with  $\alpha$ CD31-FITC and present outside the endothelial



**Figure 2** A) Schematic overview of the mouse aorta, ranging from the aortic root to the femoral arteries, including its main side-branches, i.e., left and right carotid arteries, left subclavian artery and renal and femoral arteries. Areas of interest are highlighted by grey ellipses. B-E) TPLSM images from control C57BL/6J mice (B) and ApoE<sup>-/-</sup> mice (C-E) obtained at the abdominal bifurcation. In blue nuclei of cells: VECs (B, D and E, white arrows), SMCs (B, yellow arrow), fibroblasts (D, red arrows), and presumably pericytes (D-E, green arrows). The green staining (αCD31-FITC) surrounding the endothelial nuclei confirms the presence of endothelial cells. B) Intimal layer at abdominal bifurcation. C) Vasa vasorum microvessel (diameter 6.6 μm) with media-penetrating side branches (white arrowheads). D) Large vasa vasorum microvessel (diameter 34.8 μm) in the adventitial layer abluminal to the aortic plaque. Endothelial membranes can be distinguished (red arrowheads). E) Vasa vasorum microvessel (diameter 4.7 μm), abluminal to the aortic plaque, with closely associated cells that presumably represent pericytes. Bars indicate 25 μm.

boundaries of the adventitial VV in one of the ApoE<sup>-/-</sup> mice (Figure 3B), which indeed indicates leaky endothelium. However, in all other ApoE<sup>-/-</sup> mice, cNGR-QDs were only found on the luminal side of VV endothelium, suggesting maturation of the observed microvessels and normal endothelial permeability.



**Figure 3** A-B) TPLSM images obtained from the adventitia of the abdominal bifurcation of ApoE<sup>-/-</sup> mice. A) Colocalization of cNGR-QDs (red) with αCD31-FITC (green) in adventitial microvasculature (diameter 6.3 μm) indicates the presence of angiogenic endothelial cells (ellipse). B) Three-dimensional reconstruction of a 200 × 200 × 70 μm<sup>3</sup> dataset shows the presence of angiogenic sprouts (arrows), branching off from non-angiogenic microvasculature (arrowheads), and extravasation beyond the luminal boundaries. Bar indicates 25 μm.

## Discussion

This study shows the feasibility to visualize plaque-associated vasa vasorum (VV) and angiogenic activity thereof in the adventitia of excised, but intact abdominal aortic bifurcations of ApoE<sup>-/-</sup> mice after fluorescent labeling *in vivo*. Two-photon laser scanning microscopy (TPLSM) offered the ability to (1) image intact tissue without affecting its integrity,<sup>33</sup> (2) determine size and distribution of VV microvessels, (3) follow microvessels penetrating the adventitia towards the medial layer (4) detect structural characteristics of the microvessels, e.g., presence of closely associated pericytes, and (5) detect angiogenic activity in adventitial microvasculature with cNGR as targeting ligand. The present results provide direct evidence on the presence of adventitial microvasculature abluminal from atherosclerotic plaques in the abdominal bifurcation and their angiogenic expansion.

The prevalence of VV in the abdominal bifurcation differed between control C57BL/6J and ApoE<sup>-/-</sup> mice. In two of the control mice a single adventitial microvessel was observed in the wall of the abdominal aortic bifurcation, whereas in 4 out of 5 ApoE<sup>-/-</sup> mice small microvascular networks, i.e., vasa vasorum, were observed in the plaque-associated adventitia of the abdominal aortic bifurcation only. These observations comply with Moulton *et al.*, who obtained similar results in ApoE<sup>-/-</sup> mice fed a high-cholesterol diet for 24 weeks.<sup>15</sup> In the aortic arch, VV was not observed abluminal to plaques, which may be related to the extent of plaque size. In a more severe mouse model of atherosclerosis, i.e., ApoE<sup>-/-</sup>/LDL<sup>-/-</sup> mice with AHA type IV or higher atherosclerotic plaques, VV were observed in the aortic arch.<sup>13,14</sup> Therefore, in future TPLSM experiments ApoE<sup>-/-</sup> mice should be fed a Western type diet for a longer period to yield highly developed lesions. Due to practical incompatibility of histology with TPLSM, the observed atherosclerotic plaques could not be classified according to AHA standards. However, based on literature, the atherosclerotic lesions were estimated AHA type III.

In contrast to standard immunohistochemical methods, the *in vivo* injection of contrast agent restricted the visualization of adventitial microvasculature to perfused (micro-) vessels. The minimal cylindrical diameter of mouse erythrocytes is reported to be about 2.65  $\mu\text{m}$ ,<sup>34</sup> which indicates that the diameter of the media-penetrating microvessels is large enough to be designated as functional. Although it is suggested that intraplaque vasculature and the expanding VV are hyperpermeable like tumor vasculature,<sup>22,32</sup> cNGR-QDs were only once found beyond the endothelial microvascular boundaries, which accounts to approximately 7% of the total VV vasculature found in ApoE<sup>-/-</sup> mice and may be related to the relatively short blood circulation time. Therefore, further research is required to investigate hyperpermeability of the VV in a qualitative and quantitative manner. These results indicate that the *in vivo* injection of fluorophore-conjugated anti-CD31 antibody allows visualization of perfused adventitial microvasculature. However, if CD31-negative (CD31<sup>-</sup>) microvasculature was present and/or the CD31-expression pattern of the observed microvessels was discontinuous, as is the case for human arteries,<sup>35</sup> we may have underestimated the number of microvessels. Beside the moderately developed lesions mentioned above, the presence of CD31<sup>-</sup> VECs may also explain the controversial observation that VV were not detected in the aortic arch adventitia of ApoE<sup>-/-</sup> mice, whereas Langheinrich *et al.* did.<sup>13</sup> However, in that study the aorta was perfused with a radiopaque polymer, detecting both CD31<sup>-</sup> and CD31<sup>+</sup> VV with  $\mu\text{CT}$ .

Winter *et al.* showed that targeting of the  $\alpha_v\beta_3$ -integrin, specific of angiogenic endothelium, allows visualization of angiogenic activity<sup>22</sup> and is suitable for anti-angiogenic therapy,<sup>17</sup> as assessed by *in vivo* magnetic resonance imaging (MRI) in a rabbit model of atherosclerosis. The current and previous studies<sup>24,26,36</sup> suggest that targeting of CD13 by cNGR offers an alternative route in visualizing angiogenic activity. In addition, cNGR may be employed therapeutically, as was underscored by the reduction in tumor growth by cNGR-conjugated tissue necrotic

factor- $\alpha$  (TNF- $\alpha$ ),<sup>37</sup> to reduce plaque angiogenesis, decelerate plaque progression, and potentially prevent spontaneous plaque rupture.

A major point of discussion in current literature is the actual contribution of intraplaque vasculature to plaque destabilization. Current concepts are based on guilt-by-association evidence obtained from human endarterectomy specimen.<sup>12</sup> Physiologically relevant animal models of spontaneous vulnerable plaque formation and rupture are currently limited or strongly debated and lack the correlation with the presence of intraplaque microvasculature.<sup>38-40</sup> Further research is therefore required to assess a causative relation between intraplaque vasculature and plaque rupture. In this respect, TPLSM offers the ability to evaluate this putative causal relation. In a first attempt, we show here that TPLSM allows visualization of VV and, hence, may contribute to research that involves assessment of mechanisms underlying destabilization of atherosclerotic lesions. Penetration depth of TPLSM in atherosclerotic lesions, which in this study was limited to approximately 60  $\mu\text{m}$ , may be increased by utilizing stable near-infrared fluorophores, adaptive optics, and pulse-narrowing protocols<sup>41</sup> in order to enable visualization of intraplaque microvasculature. In addition, methods to quantify microvessel characteristics, e.g., microvessel functionality, permeability, and morphology would contribute to functional and longitudinal studies investigating the role of VV expansion and intraplaque vasculature in (vulnerable) atherosclerotic lesion formation.

In conclusion, this study indicates a higher plaque-associated VV prevalence in the abdominal aorta bifurcation of ApoE<sup>-/-</sup> mice than of control C57BL/6J mice. In other arterial segments, VV were absent in both ApoE<sup>-/-</sup> and control mice. Moreover, the VV of ApoE<sup>-/-</sup> mice displayed angiogenic activity, as was assessed by CD13-targeted cNGR-labeled quantum dots. TPLSM proved a feasible tool in visualizing the VV of intact arterial segments.



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## **Chapter 5**

# **Evaluation of magnetic resonance vessel size imaging by two-photon laser scanning microscopy**

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Magnetic Resonance in Medicine

## Abstract

Magnetic resonance vessel size imaging (MR-VSI) is increasingly applied to non-invasively assess microvascular properties of tumors and to evaluate tumor response to anti-angiogenic treatment. MR-VSI provides measures for the microvessel radius and fractional blood volume of tumor tissue, which has not yet been evaluated with 3D microscopy techniques. Therefore, 3D two-photon laser scanning microscopy (TPLSM) was performed to assess microvascular radius and fractional vessel volume in tumor and muscle tissue. TPLSM data displayed a maze-like architecture of the tumor microvasculature and mainly parallel oriented muscle microvessels. For both MR-VSI and TPLSM, a larger vessel radius and fractional blood volume were found in the tumor rim than in the core. On average, the microvessel radius was approximately 6 times larger in tumor and muscle for MR-VSI than for TPLSM. The tumor blood volume was 4-fold lower with MR-VSI than with TPLSM, whereas muscle blood volume was comparable for both techniques. Differences between the tumor rim, core and muscle tissue showed similar trends for both MR-VSI and TPLSM parameters. These results indicate that MR-VSI does not provide absolute measures of microvascular morphology, however, reflects heterogeneity in microvascular morphology. Hence, MR-VSI may be used to assess differences in microvascular morphology.

## Introduction

Tumor growth and metastasis formation are accompanied by the angiogenic expansion of the supporting microvascular network.<sup>1</sup> Clinical studies have shown that the immunohistochemical microvessel density (MVD) is a valuable prognostic indicator for various tumor types.<sup>2</sup> In addition, changes in MVD may provide a rough indication of therapeutic efficacy.<sup>2</sup> However, tumor MVD as assessed on biopsy samples significantly hampers longitudinal evaluation, is prone to sampling errors in heterogeneous tumors, and is discomforting for patients. Magnetic resonance vessel size imaging (MR-VSI) has been proposed as a non-invasive alternative to the histologically determined MVD, as it allows *in vivo* estimation of a vessel radius index and fractional blood volume in whole tissue on a voxel-by-voxel basis.<sup>3</sup>

MR-VSI is based on the different nature of changes in the transverse relaxation rates  $R_2$  and  $R_2^*$  of blood and surrounding tissue as a function of microvascular dimensions. These changes can be induced either by endogenous contrast mechanisms, i.e., a change in blood deoxyhemoglobin concentration<sup>4</sup> or by exogenous intravascular contrast agents such as ultra-small superparamagnetic iron oxide (USPIO) particles.<sup>5</sup> In regions adjacent to large vessels, a strong increase in  $R_2^*$  and only a small increase in  $R_2$  are expected, whereas changes in  $R_2^*$  and  $R_2$  will be about the same near small capillaries.<sup>4</sup> Therefore, the ratio of relaxation rate changes ( $\Delta R_2^*/\Delta R_2$ ) was shown to be related to the average dimensions of the microvessels.<sup>4,5</sup> This principle was extended by Troprès *et al.*, who suggested that MR-VSI allows estimation of a tumor vessel radius index and fractional blood volume.<sup>3</sup>

MR-VSI has been applied to assess vascular development in various pre-clinical tumors, including brain tumors<sup>3,6,7</sup> and subcutaneously induced solid tumors.<sup>8,9</sup> It allowed monitoring of

therapeutic intervention<sup>8-10</sup> and the effects of stimulated pericyte recruitment<sup>11</sup> and hypoxia.<sup>12</sup> However, evaluation of MR-VSI with standard histology showed a systematic overestimation of the MR-derived vessel radius index with a considerable inter-study variability.<sup>6,7,10</sup> Pathak *et al.* developed a histological method based on tissue sectioning, three-dimensional (3D) reconstruction and stereological principles, to yield a fractional blood volume that correlated well with MR-VSI.<sup>13</sup> However, the main drawback of histological methods for MVD and vessel size quantification is (non-) isotropic tissue shrinkage, thereby disturbing the morphological characteristics of the microvasculature.<sup>14,15</sup> In addition, histologic preparation results in loss of the endothelial glycocalyx, which constitutes a significant part of the total vascular volume,<sup>16</sup> and hence, may result in an overestimation of vessel radius and volume.

The goal of the present study was to compare MR-VSI measurements with 3D two-photon laser scanning microscopy (TPLSM). TPLSM enables optical sectioning of intact tissue with high spatial resolution ( $<1\ \mu\text{m}$ ) and penetration depths of more than  $200\ \mu\text{m}$ ,<sup>17</sup> which allows visualization and quantification of individual microvessels.

In addition, MR-VSI has been applied predominantly to assess vascular morphology in brain tumors, in which the microvasculature is disorganized and the blood-brain-barrier may affect the observed contrast between healthy and cancer tissue. Therefore, an additional goal of this study was to apply 3D TPLSM and MR-VSI to both the disorganized and the highly organized microvasculature of subcutaneous tumors and skeletal muscle tissue, respectively.

## Materials and methods

### Contrast agents

The USPIO contrast agent Sinerem® (ferumoxtran-10) was kindly provided by Guerbet (Aulnay-sous-Bois, France). The administered dose was 200  $\mu\text{mol Fe/kg}$  body weight, as described previously.<sup>3</sup> USPIOs were diluted in saline to obtain a total administered volume of 100  $\mu\text{L}$ .

For TPLSM, the vasculature was demarcated from surrounding tissue by fluorescent labeling of vascular endothelial cells. Therefore, excised tissues were incubated for 30 minutes in FITC-conjugated anti-mouse anti-CD31 ( $\alpha\text{CD31-FITC}$ , 0.5 mg/mL, BD Biosciences Pharmingen, Alphen a/d Rijn, The Netherlands), diluted 20 $\times$  in Hanks Balanced Saline Solution (HBSS, pH 7.4).

### Spectrophotometry

The inherent fluorescence of the USPIOs was measured using a NanoDrop ND3300 fluorospectrometer (Thermo Fisher Scientific, Waltham, MA). The potential influence of USPIOs on the fluorescence intensity of  $\alpha\text{CD31-FITC}$  was analyzed in triplicate using an UVIKON 923 spectrophotometer (Bio-Tek, Kontron Instruments, Milan, Italy). The intensity of diluted  $\alpha\text{CD31-FITC}$  (1  $\mu\text{M}$  in HBSS) was measured at wavelengths of 500 - 700 nm (step size 4 nm) with increasing USPIO concentration (0, 2.2, 8.8, and 22 mM Fe). Fluorescence resonance energy transfer from  $\alpha\text{CD31-FITC}$  to USPIO was analyzed by comparing the fluorescence lifetime (SPC-830, Becker&Hickl GmbH, Berlin, Germany) of  $\alpha\text{CD31-FITC}$  (1  $\mu\text{M}$  in HBSS) in the absence and presence of USPIOs (22 mM Fe).

### Animal model

All animal experiments were approved by the institutional ethical review committee on animal experiments. Ten male Swiss<sup>nu/nu</sup> mice (Charles River, Maastricht, The Netherlands) received a subcutaneous

unilateral injection of approximately  $3 \times 10^6$  human colorectal adenocarcinoma cells (LS174T, American Type Culture Collection CL-188, Rockville, MD) in the flank. MRI examinations were performed when tumors reached a volume of about 1  $\text{cm}^3$  (approximately 14 days after tumor induction). For TPLSM imaging, a separate group of eleven LS174T tumor-bearing mice was used because USPIOs reduced the vascular fluorescence intensity (*vide infra*).

Mice were anesthetized using 1-2% isoflurane (Abbott Laboratories Ltd, Queensborough, UK) in medical air. An infusion line of PE-10 tubing (Smiths Medical International Ltd, Hythe, UK) was placed in the jugular vein for contrast agent injection. Subsequently, mice were placed prone in an animal holder with built-in anesthesia mask. Body temperature and respiration rate were continuously monitored via an MR compatible small animal monitoring system (SA Instruments, Stony Brook, NY). Physiological body temperature was maintained using a warm water heating pad placed over the mouse.

### MRI protocol

MRI was performed on a 7 Tesla Bruker Biospec 70/30 USR scanner (Bruker Biospin GmbH, Ettlingen, Germany), using the BGA12-S mini-imaging gradient (maximum gradient strength 720  $\text{mT}\cdot\text{m}^{-1}$ , slew rate 6000  $\text{Tm}^{-1}\cdot\text{s}^{-1}$ ), interfaced to an AVANCE II console. All images were recorded with a 3.5 cm inner diameter quadrature volume resonator.

Tumors were localized using coronal and axial  $T_2$ -weighted spin echo images with a repetition time (TR) of 3000 ms and an echo time (TE) of 36 ms. Pre- and post-contrast  $R_2$  values were determined using a multi-slice multi-echo (MSME) spin echo sequence with increasing echo times (TR 4000 ms, TE 10, 20... 80 ms). A  $4.0 \times 4.0 \text{ cm}^2$  field-of-view was used with a  $128 \times 128$  matrix, resulting in an in-plane resolution of  $0.31 \times 0.31 \text{ mm}^2$ . Slices were recorded in axial direction with a thickness of 1.2 mm. Depending on tumor size

and orientation, 12 slices (range 5 - 17) were recorded. Acquisition time of the MSME sequence was 6.5 minutes.

Pre- and post-contrast  $R_2^*$  values were measured using sequentially recorded multi-slice gradient echo (FLASH) images with increasing echo times (TR 1000 ms, TE 2, 4, 6, 8, 10, 15, 20 ms, flip angle 35°). Spatial parameters were identical to those of the MSME measurements and the total acquisition time of the FLASH series was 15 minutes. Post-contrast image acquisition was started at least 5 minutes after USPIO injection to allow circulation and obtain adequate distribution.

The apparent diffusion coefficient (ADC) was determined using a diffusion-weighted spin echo sequence with 6 orthogonal directions (TR 2000 ms, TE 30 ms,  $b = 0$  and  $572 \text{ s} \cdot \text{mm}^{-2}$ , diffusion gradient duration 8 ms, diffusion gradient separation 13 ms, 4 axial slices positioned around the tumor centre, 1.2 mm thickness with 1.2 mm gap,  $128 \times 64$  matrix, field-of-view  $4.0 \times 4.0 \text{ cm}^2$ , 2 averages, acquisition time 30 minutes). Diffusion-weighted imaging was only performed before contrast administration.

After MRI, mice were sacrificed by cervical dislocation. Tumors were excised and embedded in optimal cutting temperature compound (Sakura Finetek Europe, Zoeterwoude, The Netherlands), snap-frozen in cold 2-methylbutane (Acros Organics, Geel, Belgium) and subsequently transferred to liquid nitrogen. The contralateral quadriceps femoris muscle was excised up to ~1 cm proximal to the knee joint and frozen likewise. Tumor and muscle tissues were stored at -80 °C until TPLSM measurements.

### MRI data analysis

All data processing was performed using customized software programmed in Matlab (The MathWorks, Natick, MA), unless indicated otherwise. Image coregistration was performed in SPM2 (Statistical Parametric Mapping, Wellcome Trust Centre

for Neuroimaging, London, UK) to correct for any animal motion between the MSME and FLASH series. Images were smoothed using an in-plane Gaussian smoothing kernel with a full-width-at-half-maximum of  $0.7 \times 0.7 \text{ mm}^2$  in SPM2. Regions of interest were defined manually for tumor and muscle tissue using MRICro.<sup>18</sup>

Pre- and post-contrast  $R_2$  and  $R_2^*$  values were determined on a voxel-by-voxel basis by non-linear curve fitting of the signal intensity versus TE function, obtained from spin echo and gradient echo acquisitions, respectively:

$$S(\text{TE}) = S_0 \exp(-\text{TE} \cdot R_2^*) \quad [1]$$

using the Levenberg-Marquardt optimization algorithm. Here,  $S_0$  is a scaling factor depending on TR, proton density, and general scanner settings including preamplifier and receiver gain, and pulse profiles. Subsequently,  $\Delta R_2^*$  values were calculated as  $\Delta R_2^* = R_{2,\text{post}}^* - R_{2,\text{pre}}^*$ . The thresholds for significantly positive  $\Delta R_2^*$  values were determined using Monte-Carlo simulations, as described previously.<sup>19</sup>

Mean tumor and muscle ADC values of  $1334$  and  $1718 \text{ } \mu\text{m}^2\text{s}^{-1}$ , respectively, were calculated using Paravision 4.0 (Bruker Biospin). Voxel-based ADC mapping was not performed due to the differences in spatial resolution between the ADC and  $\Delta R_2^*$  measurements.

Next, the vessel radius index  $\text{RI}_{\text{MRI}}$  and fractional blood volume  $\text{fBV}_{\text{MRI}}$  were calculated on a voxel-by-voxel basis according to Troprès *et al.*:<sup>3</sup>

$$\text{RI}_{\text{MRI}} (\mu\text{m}) = 0.424 \sqrt{\frac{\text{ADC}}{\gamma \Delta \chi B_0}} \left[ \frac{\Delta R_2^*}{\Delta R_2} \right]^{3/2} \quad [2]$$

$$\text{fBV}_{\text{MRI}} (\%) = \frac{3}{4\pi} \times \frac{\Delta R_2^*}{\gamma \Delta \chi B_0} \quad [3]$$



Here,  $\gamma$  is the gyromagnetic ratio,  $\Delta\chi$  is the USPIO-induced susceptibility difference between blood and surrounding tissue, and  $B_0$  is the main magnetic field strength.  $\Delta\chi$  was set to 0.571 ppm.<sup>3</sup> Only voxels with a significantly positive  $\Delta R_2^{(*)}$  were included in the calculation. For tumor rim, core and muscle tissue the resulting percentage of significant voxels was  $59.0 \pm 6.1\%$ ,  $57.8 \pm 5.7\%$  and  $86.1 \pm 4.2\%$ , respectively (mean  $\pm$  standard error). An upper boundary of 100  $\mu\text{m}$  was set for  $\text{RI}_{\text{MRI}}$  to exclude unrealistically high values.<sup>6</sup>

To investigate differences in  $\text{RI}_{\text{MRI}}$  and  $\text{fBV}_{\text{MRI}}$  between the tumor rim and core, the rim was defined manually as an approximately 1 mm thick peripheral zone, as described previously.<sup>19</sup> The tumor core was defined as the difference between whole tumor and tumor rim.

### TPLSM protocol

Prior to TPLSM measurements, tumor and muscle tissue were thawed and washed with HBSS. Tumors were cut in half to resemble the central MR slices; muscle tissue was not processed. Tissues were incubated in  $\alpha\text{CD31-FITC}$ , embedded in 2% (w/v) agarose gel (Invitrogen, Breda, The Netherlands) and imaged with TPLSM. Note that due to the *ex vivo* labeling of the vascular endothelial cells, TPLSM detects all vessels resulting in the fractional vessel volume. In contrast, MR-VSI only detects the perfused vessels, resulting in the fractional blood volume.

TPLSM was performed as described previously at randomly selected locations within the tissue.<sup>19</sup> In brief, in-depth images were recorded with an Eclipse E600FN upright microscope (Nikon, Tokyo, Japan) equipped with a Radiance 2100MP optical imaging system (Bio-Rad, Hemel Hempstead, UK). Fluorophores were excited by a mode-locked Tsunami Ti:Sapphire laser (Spectra-Physics, Mountain View, CA, USA) with a central wavelength of 800 nm and a 140 fs pulse width. 3D datasets were acquired using a 60 $\times$  water-dipping objective lens (Nikon) with a numerical aperture of 1.00. Fluorescence (520 - 560 nm) was detected by a

photo-multiplier tube (Electron Tubes, Ruislip, UK) and color-coded in green. A  $512 \times 512$  matrix was applied to the  $196 \times 196 \mu\text{m}^2$  field-of-view, resulting in in-plane pixels of  $0.38 \times 0.38 \mu\text{m}^2$ . The pixel dwell time was 11.8  $\mu\text{s}$ , which together with a two-fold Kalman averaging for noise reduction resulted in an acquisition rate of 0.16 Hz for each of the subsequent images in the 3D dataset. The interplanar distance was 1.05  $\mu\text{m}$  or 0.6  $\mu\text{m}$ .

### TPLSM data analysis

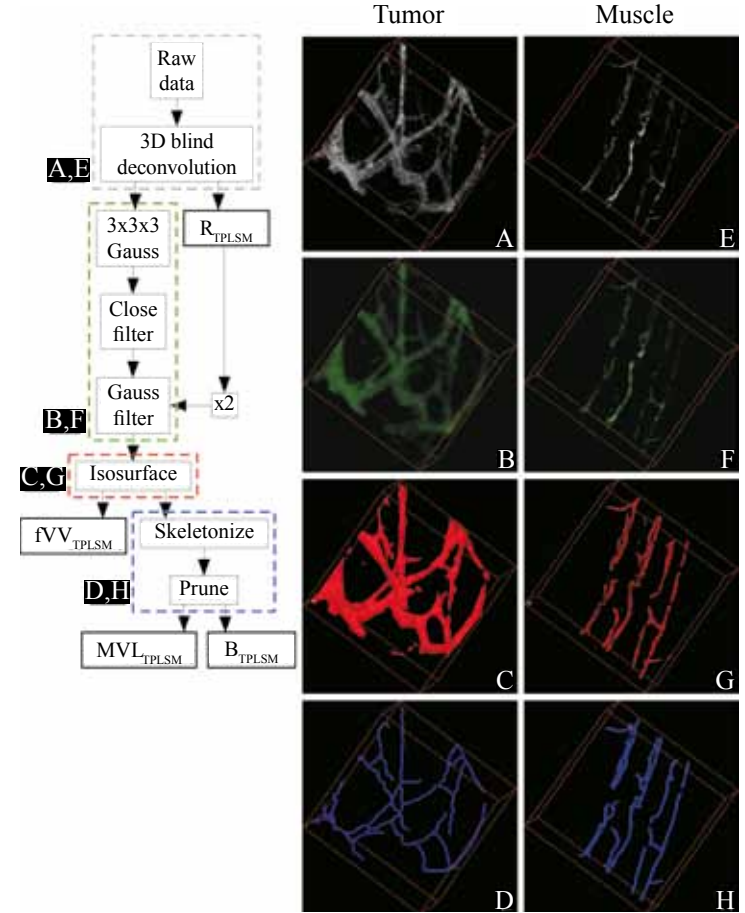
The acquired 3D datasets were deconvolved using AutoQuant X2 – AutoDeblur (MediaCybernetics, Bethesda, MD, USA) in 3D blind deconvolution mode, which required objective lens specifications, refractive index of the medium ( $n = 1.33$ ), spatial parameters, and the emission wavelength maximum of FITC ( $\lambda = 518 \text{ nm}$ ) to estimate the initial point spread function. Deconvolution was optimized using  $4.43 \pm 0.31 \mu\text{m}$  diameter fluorescent microspheres (Polysciences Inc., Warrington, PA, USA), which were diluted 20 $\times$  in 2% (w/v) hot agarose gel, homogenized, solidified at room temperature, and imaged with TPLSM. Using 10 iterations, 3D blind deconvolution reduced the microsphere volume from  $100.11 \pm 3.46 \mu\text{m}^3$  to  $42.41 \pm 4.02 \mu\text{m}^3$  (mean  $\pm$  SD,  $n = 5$ ), which is not different from the theoretical volume ( $45.52 \mu\text{m}^3$ ,  $P = 0.1$ ).

After 3D blind deconvolution of the vasculature datasets, in-plane radiuses ( $R_{\text{TPLSM}}$ ) of the tumor and muscle microvasculature were determined using Image Pro Plus V6.2 (MediaCybernetics).  $R_{\text{TPLSM}}$  values were determined manually between vessel bifurcations at 8 random locations in each 3D dataset to yield the median vessel radius. The fractional vessel volume ( $\text{fVV}_{\text{TPLSM}}$ ) and total microvessel length ( $\text{MVL}_{\text{TPLSM}}$ ) were determined using 3D Constructor 5.1 (MediaCybernetics), as outlined in Figure 1. In short, (1) the deconvolved 3D datasets (Figure 1 A/E) were smoothed using a  $3 \times 3 \times 3$  voxel Gaussian kernel, (2) a 3D closing filter was applied to create massive

cylinder-shaped structures and (3) the dataset was convolved with an isotropic 3D Gaussian filter with dimensions of the dataset's median microvessel diameter (Figure 1 B/F), (4) an isosurface (Figure 1 C/G) was applied by means of intensity thresholding such that the applied isosurface closely matched the raw dataset (visual inspection), (5) the volume of all voxels within the isosurface was integrated and divided by the total dataset volume to yield the  $fVV_{TPLSM}$ , (6) a skeletonization procedure was applied to yield the central lines of the microvasculature, and (7) a pruning filter was set to twice the median microvessel diameter as a restriction for the side branches. Next, the  $MVL_{TPLSM}$  was calculated and expressed per unit of volume (Figure 1 D/H). In addition, the total number of branching points in the skeletonized dataset was expressed per unit of volume to obtain the branching index  $B_{TPLSM}$ .

### Statistical analysis

Statistical analysis was performed using SPSS 15.0 (SPSS, Chicago, Ill). MRI data were tested using a paired non-parametric Wilcoxon signed-rank test. For TPLSM, the datasets from the tumor rim and core originated from different mice. Therefore, these data were analyzed using a non-paired non-parametric Mann-Whitney U-test.

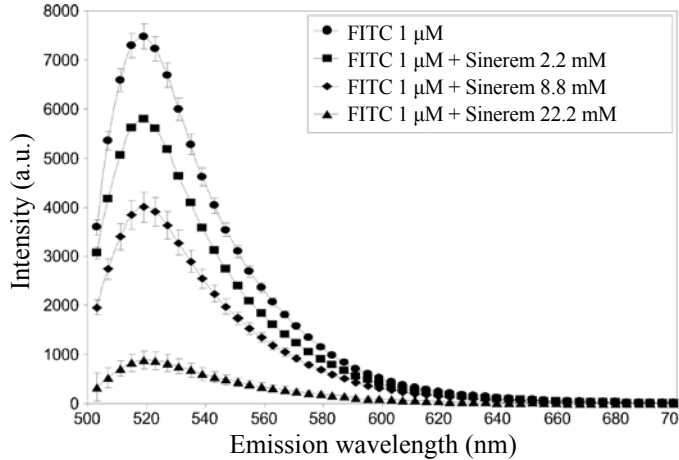


**Figure 1** Schematic representation of the TPLSM data processing steps (left) with corresponding images for representative tumor (panels A-D, box size  $179 \times 179 \times 77 \mu m^3$ ) and muscle (panels E-H, box size  $179 \times 179 \times 52 \mu m^3$ ) datasets. The different post-processing steps are indicated in different colors: Grey: data after 3D blind deconvolution (A/E). The median vessel radius  $R_{TPLSM}$  was measured during this step. Green: Data after application of a  $3 \times 3 \times 3$  Gauss filter, a closing filter, and a second Gauss filter (B/F). Red: Data after isosurface rendering, which was used to determine the fractional vessel volume  $fVV_{TPLSM}$  (C/G). Blue: Skeletonized data (D/H) used to extract the total microvessel length  $MVL_{TPLSM}$  and degree of branching  $B_{TPLSM}$ .

## Results

### Spectrophotometry

Spectrophotometry revealed no inherent fluorescent signal of the USPIOs. However, the fluorescence intensity of  $\alpha$ CD31-FITC decreased with increasing USPIO concentrations (Figure 2). Fluorescence lifetime imaging displayed no alterations in  $\alpha$ CD31-FITC lifetime (not shown), indicating that fluorescence resonance energy transfer was absent. The low intensity of  $\alpha$ CD31-FITC was probably caused by scattering/absorption of  $\alpha$ CD31-FITC fluorescence and/or absorption of excitation light by the USPIOs.



**Figure 2** Negative effect of USPIOs on the fluorescence intensity of  $\alpha$ CD31-FITC. A clearly decreasing signal intensity is observed in the emission spectrum of  $\alpha$ CD31-FITC with increasing USPIO concentration. The concentrations of  $\alpha$ CD31-FITC and USPIO in the tissue were estimated to be approximately 0.7  $\mu$ M and 5 mM, respectively. Values are presented as mean  $\pm$  standard deviation.

### Animal inclusion

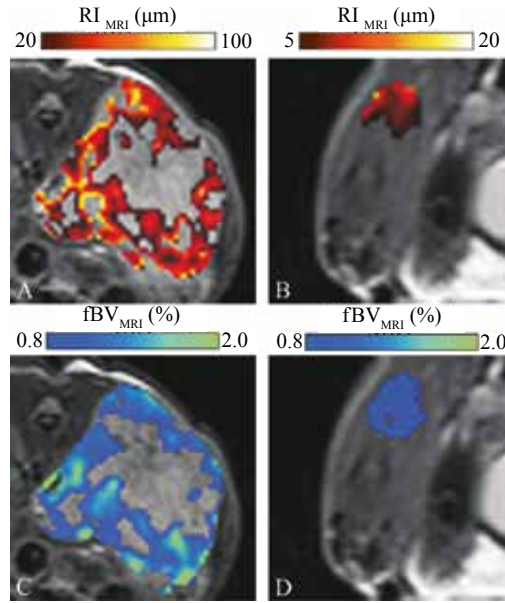
Eight out of ten mice successfully developed a subcutaneous tumor. Therefore, tumor MR-VSI was performed in 8 mice, whereas muscle imaging was performed in 10. For TPLSM, a separate group of 11 tumor-bearing mice was used since a low and discontinuous fluorescent signal of the vasculature was observed in tissue of mice injected with USPIOs (data not shown). The total number of 3D TPLSM datasets for the tumor rim, tumor core, and muscle tissue was 10 (5 mice), 13 (6 mice), and 32 (9 mice), respectively.

### MR-VSI vascular morphology

The mean pre- and post-contrast  $R_2$  and  $R_2^*$  values and the differences  $\Delta R_2$  and  $\Delta R_2^*$  that were used for the MR-VSI calculations are summarized in Table 1. Figure 3 shows  $T_2$ -weighted anatomical images of a tumor with color-coded overlay of the calculated vessel radius index  $RI_{MRI}$  and fractional blood volume  $fBV_{MRI}$ . A heterogeneous distribution of  $RI_{MRI}$  and  $fBV_{MRI}$  was found throughout the tumor, with both parameters showing higher values in the tumor rim than in the tumor core (Figure 3A/C). In healthy muscle tissue, the distribution was more homogeneous and significantly lower values of  $RI_{MRI}$  and  $fBV_{MRI}$  values were found compared with tumor tissue (Figure 3B/D).

**Table 1** Overview of the mean pre- and post-contrast  $R_2$  and  $R_2^*$  values for tumor rim, tumor core and muscle. Values are presented as mean  $\pm$  standard error.

	$R_{2,pre}$ (s <sup>-1</sup> )	$R_{2,post}$ (s <sup>-1</sup> )	$\Delta R_2$ (s <sup>-1</sup> )	$R_{2,pre}^*$ (s <sup>-1</sup> )	$R_{2,post}^*$ (s <sup>-1</sup> )	$\Delta R_2^*$ (s <sup>-1</sup> )
Tumor rim	24.4 $\pm$ 1.0	25.8 $\pm$ 1.1	1.6 $\pm$ 0.2	173.5 $\pm$ 28.9	254.6 $\pm$ 34.8	81.2 $\pm$ 19.7
Tumor core	22.3 $\pm$ 0.9	23.4 $\pm$ 0.9	1.3 $\pm$ 0.1	133.0 $\pm$ 23.9	193.8 $\pm$ 26.3	60.7 $\pm$ 13.3
Muscle	47.9 $\pm$ 2.2	55.8 $\pm$ 2.0	8.2 $\pm$ 0.7	132.0 $\pm$ 140	200.2 $\pm$ 20.4	68.4 $\pm$ 12.3



**Figure 3** Sections of  $T_2$ -weighted images ( $TE = 30$  ms) of tumor (A/C) and muscle (B/D) with color-coded overlay of  $RI_{MRI}$  (A/B) and  $fBV_{MRI}$  (C/D). Note the different scales for  $RI_{MRI}$  for tumor and muscle tissue.

The differences in  $RI_{MRI}$  and  $fBV_{MRI}$  between tumor rim, tumor core, and muscle tissue were further investigated by histogram analysis (Figure 4), which confirmed a wide distribution for the tumor and a narrow distribution centered at relatively low values for muscle (Table 2).  $RI_{MRI}$  values in tumor rim and tumor core were approximately 3-fold higher compared with muscle tissue. Although  $RI_{MRI}$  was slightly higher in the tumor rim than in the tumor core, this difference was not significant. In contrast,  $fBV_{MRI}$  was significantly higher in tumor rim compared with core, and in tumor rim compared with muscle (Table 2).

### TPLSM vascular morphology

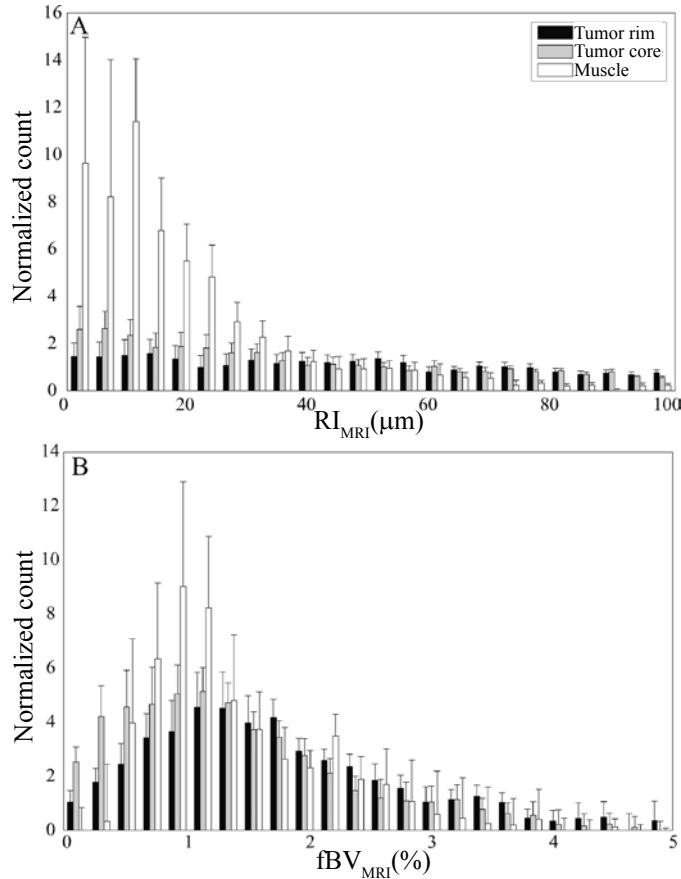
Qualitative comparison of the tumor and muscle tissue datasets showed clear differences in vessel radius and orientation (Figure 1). In muscle tissue, vessels were generally oriented parallel with the muscle fibers with occasional interconnecting side branches, whereas the tumor microvasculature lacked a distinct orientation and displayed a more arbitrary, maze-like architecture. In correspondence with MR-VSI data, tumor tissue displayed a wide distribution in vessel radius (Figure 5).

$R_{TPLSM}$  was significantly larger in the tumor rim and core compared to muscle tissue (Table 2). Similar to MR-VSI, no significant difference was observed in  $R_{TPLSM}$  between tumor rim and core. However, the histogram of microvessel radius displayed a slight left-shift in  $R_{TPLSM}$  in the tumor core compared to the rim, suggesting that the tumor core holds smaller sized microvessels (Figure 5). In accordance, the tumor rim displayed a significantly larger  $fVV_{TPLSM}$  compared with the tumor core and muscle tissue (Table 2). Moreover, the  $fVV_{TPLSM}$  in the tumor core was significantly larger than in muscle tissue. In addition, significantly higher  $MVL_{TPLSM}$  and  $B_{TPLSM}$  values were found in the tumor rim and core compared with muscle tissue.

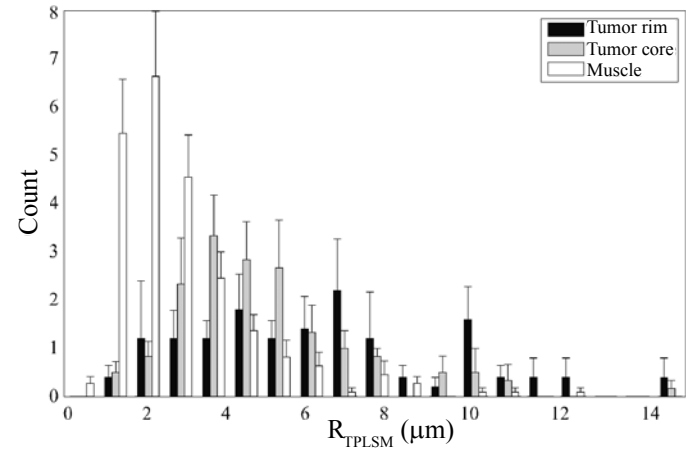
**Table 2** Overview of microvascular morphology parameters for blood vessels in the tumor rim, tumor core and muscle tissue, as determined by MR-VSI and TPLSM. All values are presented as median with their quartile ranges indicated in parentheses. Note that no MRI equivalent is available for the total microvessel length  $MVL_{TPLSM}$  and the degree of vessel branching  $B_{TPLSM}$ .

	Tumor rim	Tumor core	Muscle
$RI_{MRI}$ (μm)	38.0 (33.6 - 49.9) *	34.3 (22.8 - 47.8) *	11.9 (7.0 - 16.7)
$R_{TPLSM}$ (μm)	6.0 (4.5 - 6.6) *	4.3 (3.1 - 4.8) *	2.2 (1.7 - 2.7)
$fBV_{MRI}$ (%)	1.8 (1.2 - 2.2) **	1.2 (0.9 - 1.7)	1.2 (0.9 - 1.5)
$fVV_{TPLSM}$ (%)	8.9 (6.1 - 11.8) **	4.6 (4.0 - 6.5) *	1.5 (1.0 - 1.9)
$MVL_{TPLSM}$ ( $\times 10^{-4}$ μm <sup>2</sup> )	8.1 (5.2 - 11.4) *	7.3 (6.3 - 9.4) *	3.5 (2.7 - 5.3)
$B_{TPLSM}$ ( $\times 10^{-6}$ μm <sup>3</sup> )	7.9 (3.5 - 13.8) *	11.0 (9.8 - 14.9) *	3.3 (1.6 - 5.1)

\*  $P < 0.05$  compared with muscle tissue. †  $P < 0.05$  compared with tumor core.



**Figure 4** Histograms of the vessel radius index (A) and fractional blood volume (B) for the tumor rim (black), tumor core (grey) and muscle tissue (white), as determined using MR-VSI. Values were normalized to tissue volume and are represented as median  $\pm$  standard error.



**Figure 5** Histogram of the vessel radius as determined with TPLSM for the tumor rim (black), tumor core (grey) and muscle tissue (white). Values are presented as mean  $\pm$  standard error. Due to the limited number of available data points for  $fVV_{TPLSM}$ ,  $MVL_{TPLSM}$  and  $B_{TPLSM}$  (1 per animal versus 8 for  $R_{TPLSM}$ ), histogram analysis was impractical for these parameters.

## Discussion

### Current findings

In the present study, the vessel radius index and fractional blood volume, as determined by MR-VSI in subcutaneous tumors, were evaluated using 3D TPLSM. In addition, the performance of MR-VSI was investigated in healthy muscle tissue, which, in contrast to tumors, has a fully matured and highly organized microvasculature. Image processing of the TPLSM datasets resulted in values for the vessel radius and the fractional vessel volume that comply with literature (cf. Table 2 and 3).<sup>20,21</sup> MR-VSI displayed non-physiological vessel radius values for both the tumor and muscle tissue, thereby indicating that

**Table 3** Overview of available literature values for the average tumor vessel radius (index) R and fractional blood volume fBV, measured by MR-VSI, histology, or intravital microscopy. The applied tumor model, tumor location, animal kind, and contrast agent are also presented. The contrast agent dose was 200  $\mu\text{mol Fe/kg}$  body weight, unless indicated otherwise. Values are given as mean  $\pm$  standard deviation.

Tumor model	Location	Animal	Contrast agent [a]	R ( $\mu\text{m}$ )	fBV (%)	Technique	Reference
C6 glioma	Brain	Rat	MION	$12.5 \pm 6.8$	NA [b]	MR-VSI	<sup>5</sup>
			Sinerem <sup>®</sup>	$20.0 \pm 6.3$	NA	MR-VSI	<sup>6</sup>
				$5.8 \pm 4.1$	$2.0 \pm 0.7$	Histology	
			Sinerem <sup>®</sup>	$19.5 \pm 4.8$	$4.0 \pm 1.4$	MR-VSI	<sup>7</sup>
				$9.9 \pm 2.1$	$2.9 \pm 0.6$	Histology	
			Sinerem <sup>®</sup>	$11.0 \pm 2.0$	$5.2 \pm 1.6$	MR-VSI	<sup>7</sup>
RG2 glioma	Brain	Rat	Sinerem <sup>®</sup>	$7.4 \pm 1.3$	NA	Histology	
GH3 prolactinoma	S.c. [c]	Rat	Sinerem <sup>®</sup>	$13 \pm 4$	$2.9 \pm 0.8$	MR-VSI	<sup>8</sup>
Shionogi prostate carcinoma	S.c.	Mouse	Sinerem <sup>®</sup>	$35.2 \pm 25.5$	NA	MR-VSI	<sup>9</sup>
B16 melanoma	S.c.	Mouse	Clariscan 45 $\mu\text{mol Fe/kg}$	$13.5 \pm 1$	$4.1 \pm 0.5$	MR-VSI	<sup>11</sup>
LS174T colorectal adenocarcinoma	Skin-fold chamber	Mouse	Texas-Red labeled bovine serum albumin and FITC-dextran	$6.1 \pm 0.5$	$9.2 \pm 2.9$	Intra-vital microscopy	<sup>20,21</sup>
HaCaT-ras-A-5RT3 skin squamous cell carcinoma	S.c.	Mouse	VSOP C200 (Ferropharm)	$62 \pm 18$ $10 \pm 7$ [d]	NA $1.4 \pm 0.2$	MR-VSI Histology	<sup>10</sup>
A431 skin squamous cell carcinoma	S.c.	Mouse	VSOP C200 (Ferropharm)	$42 \pm 7$ $10 \pm 3$ [d]	NA $1.1 \pm 0.3$	MR-VSI Histology	<sup>10</sup>
Various	Brain	Human	Magnevist <sup>®</sup> 0.2 mmol/kg	$79 \pm 68$	NA	MR-VSI	<sup>24</sup>

[a] MION: Monocrystalline iron oxide nanoparticle; VSOP: Very small superparamagnetic iron oxide particle.

[b] NA: Not available.

[c] S.c.: subcutaneous.

[d] Calculated from reported vessel diameters.

MR-VSI provides an index, and not an absolute measure, of the vessel radius. However, TPLSM and MR-VSI showed similar trends for the vessel radius (index) and the fractional blood volume in the observed tissues, i.e., generally higher values in the strongly vascularized tumor rim compared with the tumor core and muscle tissue.

The MR-derived vessel radius index was significantly higher in the tumor compared with muscle tissue, with no significant difference between tumor rim and core. The latter may be due to the heterogeneous distribution of vessel radius index found over the tumor. The fractional blood volume obtained with MR-VSI indicated that the tumor rim was more vascularized than the tumor core and muscle tissue. This corresponds with the reported higher level of angiogenic activity in the tumor rim<sup>19,22,23</sup> and with more necrosis and higher interstitial pressures in the core.

With TPLSM, a heterogeneous distribution of vessel radius was observed over the tumor, showing higher values in the tumor rim than in the core. However, these differences were not statistically significant. Muscle tissue displayed a significantly smaller radius, which was approximately 2-3 fold smaller compared with the tumor (Table 2). The TPLSM-derived fractional vessel volume was significantly higher in the tumor rim compared with tumor core and muscle tissue, and in the tumor core compared with muscle tissue. The total microvessel length and the degree of vessel branching, which can be uniquely assessed by TPLSM, were also significantly higher in the tumor than in muscle tissue. Although the individual tumor vessels may appear shorter than the muscle vessels (Figure 1), note that TPLSM measures the total, and not the average, microvessel length. Taken together, these results indicate that the tumors were more strongly vascularized than skeletal muscle tissue.

In comparison with TPLSM, MR-VSI showed a 6-8 fold overestimation of the vessel radius index for all tissue types. This may be explained by partial volume effects due to the limited

spatial resolution of MR-VSI, relative to the dimensions of the microvessels in tumor and muscle tissue. In addition, Kiselev *et al.* suggested that an overestimation of vessel caliber can be explained by a deviation from the static dephasing regime of relaxation around capillaries, and by the native paramagnetism of venous blood, which are both neglected in the simplified MR-VSI theory.<sup>24</sup> Interestingly, lower intratumoral blood volumes were found for MR-VSI than for TPLSM, whereas these values for the skeletal muscle were similar. These observations may be related to the heterogeneous perfusion effects observed in tumors.<sup>25</sup> Hence, tumor regions with no or relatively low USPIO concentration will result in a lower detected blood volume. This heterogeneous perfusion may also have contributed to the exclusion of approximately 40% of the tumor voxels in the VSI calculations (see Materials and methods). The good correspondence of the present TPLSM results with literature values<sup>20,21</sup> suggests that the differences in vascular labeling between MR-VSI and TPLSM, i.e., *in vivo* versus *ex vivo*, did not contribute to the observed discrepancies between the two methods.

Although the MR vessel radius index and fractional blood volumes did not correspond with their TPLSM equivalents, the observed differences between tumor rim, tumor core and muscle showed the same trends for both methods. MR-VSI is therefore not suited for absolute quantification of vascular morphology, but does allow detection of differences within tumors and between tissues. This suggest that MR-VSI may be applied for longitudinal *in vivo* evaluation of relative changes in vessel architecture, induced for instance by tumor growth or by anti-angiogenic therapy.

### **Quantitative vascular morphology in current literature**

Table 3 provides an overview of available literature values for tumor vessel radius (index) and blood volume, measured either by MR-VSI, histology, or microscopy techniques, and the applied

experimental setup. Direct comparison with the present results is hampered due to large differences in tumor models. Different tumor locations, stages of tumor growth, and animal species have been studied and a distinction between the tumor rim and core is usually not made. Moreover, tumor vasculature typically harbors unpredictable flow, adding non-systematic variability to MR-VSI measurements as these were shown to depend on the intravascular USPIO concentration.<sup>26</sup> Also,  $\Delta R_2$  and  $\Delta R_2^*$  measurements depend on technical parameters such as field strength, pulse sequence, field homogeneity and shimming, echo times, etc.

Extensive data processing is required to convert contrast agent induced changes in signal intensity into quantitative vessel parameters, and different approaches have been described in literature. For instance, different maximum  $RI_{MRI}$  values were applied, above which vessel radius was considered unrealistic: an upper limit of 100  $\mu m$  was set by Troprès *et al.*,<sup>3</sup> whereas Valable *et al.* used a 50  $\mu m$  threshold.<sup>7</sup> In addition, diffusion-weighted experiments are not always conducted, although the measurement of individual ADC values was shown to be important for accurate MR-VSI.<sup>24</sup>

Taken together, reported literature values are highly variable, indicating that MR-VSI is strongly dependent on experimental setup (Table 3). Also, vessel radius and diameter appear to be used interchangeably, thereby adding a factor 2 to the observed variability.

### Limitations

Direct comparison of the MR-VSI results with 3D TPLSM in the same tumors was unfeasible in the present study due to a reduction in  $\alpha CD31$ -FITC intensity by USPIO. This necessitated the use of two separate animal groups for MR-VSI and TPLSM. Moreover, tissue freezing and thawing may have caused deformation and hence affect TPLSM quantification of microvascular morphology. These problems

may be circumvented by applying long-circulating bimodal, i.e., fluorescent and superparamagnetic, nanoparticles.<sup>27</sup> Combined with *in vivo* TPLSM,<sup>17</sup> this would theoretically provide the optimal validation method for MR-VSI. Nevertheless, the current *ex vivo* TPLSM results are in good agreement with previous *in vivo* studies.<sup>20,21</sup>

During TPLSM post-processing, blind 3D deconvolution was performed iteratively using an initial point spread function based on the configuration of the optical system. Although the validity of this approach was confirmed using fluorescent microspheres, von Tiedemann *et al.* showed that significant improvements may be obtained when using an a depth-dependent point spread function that is automatically estimated from the dataset.<sup>28</sup> However, this method is still under development.

### Conclusions

MR-VSI allows *in vivo* estimation of the microvascular radius index and blood volume in both tumor and healthy muscle tissue. TPLSM offers high-resolution 3D visualization of the microvasculature and provides a useful tool in pre-clinical research. Compared with 3D TPLSM, MR-VSI resulted in a large overestimation of the average vessel radius index, whereas the fractional blood volume was slightly lower. However, for both techniques, morphologic measures were higher for tumor than for muscle tissue, and higher for tumor rim relative to core. Thus, although MR-VSI is not suitable for absolute quantification of vessel radius and blood volume, the image contrast of MR-VSI reflects microvascular morphology and spatial heterogeneity thereof. MR-VSI may therefore be suitable to investigate relative microvascular differences between tissues and the effects of anti-angiogenic therapy in longitudinal studies.



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## **Chapter 6**

# **Quantitative molecular magnetic resonance imaging of tumor angiogenesis using cNGR-labeled paramagnetic quantum dots**

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## Abstract

The objective of this study was to develop and apply cyclic Asn-Gly-Arg-labeled paramagnetic quantum dots (cNGR-pQDs) for the non-invasive assessment of tumor angiogenic activity using quantitative *in vivo* molecular magnetic resonance imaging (MRI). cNGR was previously shown to colocalize with CD13, an aminopeptidase that is highly over-expressed on angiogenic tumor endothelium. Since angiogenesis is important for tumor growth and metastatization, its *in vivo* detection and quantification may allow objective diagnosis of tumor status and evaluation of treatment response.

Intravenous injection of cNGR-pQDs in tumor-bearing mice resulted in increased quantitative contrast, comprising increased longitudinal relaxation rate and decreased proton visibility, in the tumor rim but not in tumor core or muscle tissue. This demonstrated that cNGR-pQDs allow *in vivo* quantification and accurate localization of angiogenic activity. MRI results were validated using *ex vivo* two-photon laser scanning microscopy (TPLSM), which showed that cNGR-pQDs were primarily located on the surface of tumor endothelial cells and to a lesser extent in the vessel lumen. In contrast, unlabeled pQDs were not or only sparsely detected with both MRI and TPLSM, supporting a high specificity of cNGR-pQDs for angiogenic tumor vasculature.

## Introduction

Angiogenesis, the formation of new capillaries from existing blood vessels, is key to tumor growth and metastatization by providing proliferating tumor cells with oxygen and nutrients.<sup>1,2</sup> Moreover, angiogenic activity is related to tumor malignancy.<sup>3,4</sup> Non-invasive detection of angiogenic activity is therefore highly relevant for adequate tumor diagnosis. Quantification of angiogenesis may furthermore allow objective monitoring of tumor progression, for instance in response to treatment.

Currently, molecular imaging techniques are being developed that allow direct visualization and characterization of cellular or molecular activation of angiogenesis-related pathways.<sup>5</sup> More specifically, molecular imaging uses contrast agents that home to upregulated biomolecules (e.g., receptors and enzymes) via interaction with high-affinity ligands coupled to the contrast agent. Ideally, this results in an altered signal intensity at the location of these molecules. Of the different imaging modalities, magnetic resonance imaging (MRI) may be the most desirable for molecular imaging due to its excellent spatial resolution and soft tissue contrast. Moreover, molecular MRI potentially allows direct covisualization of tumor angiogenic activity with anatomy. However, the inherently low sensitivity of MRI is a problem due to the typically low abundance of upregulated biomolecules. This can be overcome by large molecular weight constructs carrying a high payload of gadolinium or iron, and multiple targeting ligands to enhance the particle's relaxivity and targeting efficacy, respectively.<sup>6</sup>

One of the best-defined ligands for molecular imaging of angiogenesis is the cyclic Arg-Gly-Asp (cRGD) peptide, which binds specifically to the  $\alpha_v\beta_3$ -integrin.<sup>7,8</sup> However, for the cyclic Asn-Gly-Arg (cNGR) motif, the tumor-homing capability was shown to be 3-fold higher compared with cRGD.<sup>9</sup> The clinical applicability of cNGR as a tumor-homing ligand was previously demonstrated by conjugating cNGR to tumor necrosis factor  $\alpha$  (TNF $\alpha$ ). Compared

with unlabeled TNF $\alpha$ , cNGR-TNF $\alpha$  displayed a significantly increased anti-tumor activity with similar systemic toxicity.<sup>10-12</sup>

The vascular address of cNGR is a specific isoform of CD13 (aminopeptidase N), a transmembrane glycoprotein involved in cancer angiogenesis, tumor invasion and metastasis, which is overexpressed by activated vascular endothelial cells (VECs) of tumor vasculature.<sup>9,13,14</sup> CD13 is not required for vessel growth during embryonic development and normal adult function, as shown in CD13<sup>-/-</sup> mice.<sup>15</sup> In a model of retinal neo-vascularization, these mice had significantly decreased vessel growth, suggesting that CD13 is important in pathological neovascularization. In addition, fluorophore-conjugated cNGR allowed detection of the *in vivo* expression of CD13 in tumors and infarcted myocardium.<sup>16,17</sup> Competition with unconjugated cNGR significantly decreased the fluorescence signal, indicating high specificity of cNGR for CD13.<sup>16,17</sup>

Despite the aforementioned high tumor-homing capability of cNGR, its potency as a targeting ligand for molecular imaging of tumor angiogenesis is currently unknown. Therefore, the objective of this study was to explore cNGR-labeled paramagnetic quantum dots (cNGR-pQDs) for the non-invasive and selective *in vivo* detection of tumor neovascularization using quantitative molecular MRI. QDs were chosen as contrast agent scaffolds because of their excellent photophysical properties, i.e., broad excitation, small emission spectra and limited photo-bleaching.<sup>18,19</sup> Furthermore, QDs enabled binding of multiple targeting ligands and gadolinium chelates. The particle's bimodal nature (i.e., paramagnetic and fluorescent) allowed validation of the results with *ex vivo* two-photon laser scanning microscopy (TPLSM). With TPLSM, three-dimensional contrast agent localization can be obtained at subcellular resolution with a penetration depth reaching 250  $\mu\text{m}$  in tumors.

MRI data were analyzed via absolute quantification of contrast agent induced changes in the tissue's longitudinal relaxation rate  $R_1$  ( $1/T_1$ ), which is proportional to contrast agent concentration, and

proton visibility. The latter expectedly decreases at high densities of paramagnetic contrast material. Quantitative analysis requires acquisition of a series of images and may provide improved sensitivity of molecular MRI. Theoretically, the employed inversion recovery (IR) technique has an inherent two-fold higher sensitivity than spin echo pulse sequences and by measuring a series of images it potentially allows detection of smaller changes in  $R_1$  than a single image.

Both MRI and TPLSM showed specific binding of cNGR-pQDs to VECs in the angiogenic tumor rim, but not in tumor core or muscle tissue. Furthermore, a significantly lower quantitative contrast was found with unlabeled pQDs, indicating a high specificity of the cNGR-labeled contrast agent for angiogenic VECs. To our knowledge, this is the first non-invasive *in vivo* application of cNGR as a targeting ligand for molecular MRI of tumor angiogenesis.

## Materials and methods

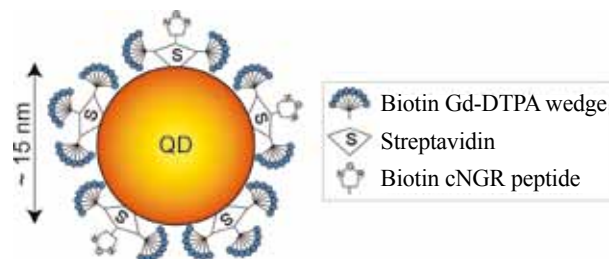
### Preparation of cNGR-labeled paramagnetic quantum dots

NAC-Cys(4MeBzl)-Asn(Xanthyl)-Gly-Arg(Tosyl)-Cys(4MeBzl)-Gly-Gly-Lys(Fmoc)-peptide was synthesized by tBoc solid phase peptide synthesis, as described previously.<sup>16,20</sup> On the resin, the lysine side chain was selectively deprotected by treatment with 20% piperidine/dimethylformamide ( $4 \times 3$  minutes). After covalent coupling of biotin-succinimidyl ester (Molecular Probes, Eugene, OR) to the lysine  $\epsilon$ -amino group to obtain biotinylated peptide-resin, the peptide was deprotected and cleaved from the resin using anhydrous hydrogen fluoride for 1 h at 0 °C with 4% p-cresol as scavenger and lyophilized. Electrospray ionization mass spectrometry (ESI-MS) revealed a mass of 1287.4, corresponding well to the theoretical average mass (1288.7) of the reduced biotinylated Nac-Cys-Asn-Gly-Arg-Cys-Gly-Gly-Lys(biotin)-NH<sub>2</sub> peptide. Oxidative folding of the crude product in 0.1 M Tris, pH 8, 1 M guanidin at 4 °C for 16 hours

yielded the internal disulfide bridged biotin-cyclic NGR, which was HPLC-purified (C18 RP-HPLC) and lyophilized. ESI-MS confirmed a mass decrease of 2, representing the loss of 2 protons from the cystein side chains due to the generation of 1 disulfide bond (S-S). Biotinylated poly(lysine) dendritic wedge, a construct comprising 8 Gd-DTPA moieties, was synthesized and purified similarly.<sup>21,22</sup>

Curnis *et al.* previously showed that cNGR spontaneously converts into *iso*DGR by asparagine deamidation at slightly basic pH, generating an  $\alpha_v\beta_3$ -integrin ligand.<sup>23</sup> Using a combination of HPLC and mass spectrometry up to 24 hours after dissolving cNGR in water (pH 6.0) and 1  $\mu$ M borate buffer (pH 8.3, supplemented with 0.05% NaN<sub>3</sub>), respectively, it was found that this process did not occur in the time-period of the experiments (data not shown).

Bi-modal, multivalent contrast agent was prepared as follows. Streptavidin-conjugated QDs (1  $\mu$ M in borate buffer pH 8.3, emission at 585 nm) were purchased from Invitrogen (Breda, The Netherlands). QDs were composed of a CdSe core with a ZnS shell and covered with polyethyleneglycol-2000. Each QD holds approximately 10 surface-bound streptavidins, allowing 30 biotinylated compounds to bind on average (personal communication with Invitrogen). For each experiment, cNGR-pQDs were prepared freshly at room temperature by sequential mixing of 100  $\mu$ L QD solution with biotin-cNGR and biotin-poly(lysine) dendritic wedge, both dissolved in Hanks Balanced Salt Solution (HBSS, pH 7.4, Invitrogen), in a molar ratio of 1:6:24 to a total volume of approximately 120  $\mu$ L. Samples were mildly vortexed during each preparation step to ensure a homogeneous distribution of biotin-cNGR and biotin-poly(lysine) dendritic wedge over the QD surface. Overall, each QD carried a maximum of 192 Gd ions and 6 cNGR peptides. Unlabeled pQDs carried the same number of Gd constructs but no cNGR. A schematic representation of the cNGR-pQD particle is shown in Figure 1.



**Figure 1** Schematic representation of a cNGR-labeled paramagnetic quantum dot. Each particle carries approximately 10 streptavidin moieties to which 6 cNGR groups and 24 dendritic gadolinium constructs were bound. The total number of gadolinium ions per particle was maximally 192.

### Animal model

All animal studies were approved by the institutional animal welfare committee.  $1.5 - 3 \times 10^6$  human colorectal adenocarcinoma cells (LS174T, American Type Culture Collection CL-188, Rockville, MD) were subcutaneously and unilaterally injected on the flank of ~ 15 week old male Swiss<sup>nu/nu</sup> mice (Charles River, Maastricht, The Netherlands). Mice were subjected to the MRI examination when the tumor diameter was greater than 1.0 cm, which was approximately 16 days after LS174T injection.

For *in vivo* MRI, mice were anesthetized using 1.5 - 2.0% isoflurane (Abbott Laboratories Ltd, Queensborough, UK) in medical air and were placed prone in a dedicated animal holder with built-in mask for anesthesia gas supply. An infusion line was placed in the jugular vein for contrast agent administration during the MRI experiment. A heating pad was placed over the mice to maintain normothermic conditions. Respiration rate and body temperature were continuously monitored via a balloon sensor and rectal temperature probe, respectively, interfaced to an MR compatible small animal monitoring system (SA Instruments Inc, Stony Brook, NY).

Mice were randomly selected for injection with either cNGR- or unlabeled pQDs. Seven mice were included for each contrast agent group. Mice were kept inside the magnet during the entire MRI experiment to preserve their position.

### MRI protocol

All MRI experiments were performed on a 7 T Bruker Biospec 70/30 USR MRI system, interfaced to an AVANCE II console (Bruker Biospin GmbH, Ettlingen, Germany). The BGA12-S mini imaging gradient (maximum gradient strength  $720 \text{ mTm}^{-1}$ , slew rate  $6000 \text{ Tm}^{-1}\text{s}^{-1}$ ) and a 3.5 cm inner diameter quadrature volume resonator were used.

### Molecular MRI

Tumors were localized using  $T_2$ -weighted anatomical images (TR 4200, TE 37.4 ms). Next, pre-contrast  $R_1$  values were determined using a series of IR measurements with increasing inversion times (TR 4000, TE 8.4, TI 500, 1000, 1500, 2000, 2500 and 3500 ms; total scan time 18 minutes). Subsequently, mice were injected with  $120 \mu\text{L}$  of cNGR- or unlabeled pQDs, followed by a  $50 \mu\text{L}$  saline flush. IR experiments were repeated approximately 30 minutes post-contrast to ensure adequate contrast agent circulation and a reduced level of intravascular contrast agent. Images were recorded using a field-of-view (FOV) of  $4.0 \times 4.0 \text{ cm}^2$ , a  $192 \times 192$  acquisition matrix interpolated to  $256 \times 256$  by means of zero-filling, and a slice thickness of 1.2 mm, resulting in  $0.16 \times 0.16 \times 1.2 \text{ mm}^3$  sized voxels. On average, 15 contiguous slices were recorded in multi-slice mode (range 11 - 22 slices, depending on tumor size and orientation). After MRI, mice were euthanized by cervical dislocation.

### Competition experiment

Four tumor-bearing mice were randomly selected for a competition experiment of cNGR-pQDs with unconjugated cNGR, i.e., non-

biotinylated, non-paramagnetic, and non-fluorescent. Imaging was performed as described above, except that 525 µg/mouse of unconjugated cNGR, i.e., a 1000-fold excess as compared to QD-bound cNGR, was injected intravenously 10 minutes after administration of cNGR-pQDs.

#### *Biodistribution*

Healthy Swiss mice (Charles River) were injected with either cNGR-pQDs, unlabeled pQDs or no contrast agent. After approximately 1 hour circulation time, mice were sacrificed and whole body  $T_1$ -weighted spin echo images were recorded (TR 1100, TE 8.5 ms, FOV  $4.0 \times 6.0$  cm<sup>2</sup>, matrix  $256 \times 512$ , resolution  $0.16 \times 0.12 \times 1.2$  mm<sup>3</sup>). Two mice were included per group.

#### *Tissue harvesting*

After MRI, tumor, spleen, liver, kidney, hind limb muscle, heart and lung were excised and embedded in optimal cutting temperature (OCT) compound (Sakura Finetek Europe, Zoeterwoude, The Netherlands). Next, tissues were snap-frozen in cold 2-methylbutane (Acros Organics, Geel, Belgium) for approximately 2 minutes and subsequently transferred to liquid nitrogen. Tissues were stored at -80 °C until TPLSM measurements.

#### *Contrast agent relaxivity*

$T_1$  relaxivity ( $r_1$ ) was determined by diluting cNGR-pQDs in HBSS in 9 steps to concentrations of 0 - 0.001 mM (corresponding gadolinium concentrations: 0 - 0.192 mM). The  $R_1$  of each sample was determined using the IR series as described above. Absolute gadolinium concentrations were measured using inductively coupled plasma mass spectrometry. Longitudinal relaxivity was determined by the slope of a linear fit of  $R_1$  versus gadolinium concentration.

#### **MRI data analysis**

All data processing was performed in Matlab (The Mathworks, Natick, MA), unless stated otherwise. IR images were first spatially coregistered using the mutual information algorithm in the MIRIT software package<sup>24</sup> to correct for possible animal motion in the images with different  $T_1$  contrast, and smoothed with a three dimensional Gaussian kernel with a full-width-at-half-maximum of  $0.4 \times 0.4 \times 3.0$  mm<sup>3</sup>. Regions of interest (ROIs) were drawn manually in MRICro<sup>25</sup> to define tumor and muscle tissue. Both  $T_1$ - and  $T_2$ -weighted images were used to accurately delineate tumors from surrounding tissue and edema.

Pre- and post-contrast  $R_1$  values were determined on a voxel-by-voxel basis by non-linear curve fitting of the IR signal intensity function:<sup>26</sup>

$$S = S_0 (1 - 2\exp(-T_1 \cdot R_1) + \exp(-TR \cdot R_1)) \quad [1]$$

using the Levenberg-Marquardt optimization algorithm.  $S_0$  is a scaling factor including proton density, excitation pulse profile, echo time and pre-amplifier gain.

The detection limit for changes in  $R_1$  ( $\Delta R_1 = R_{1,\text{post}} - R_{1,\text{pre}}$ ) was determined with a Monte Carlo simulation using equation 1, *in vivo* relaxation rates and representative noise levels as derived from the *in vivo* experiments. A voxel was considered significantly enhanced when  $\Delta R_1$  was more than 1.96 (i.e., 95% confidence interval) times higher than the detection limit of  $0.005 \text{ s}^{-1}$ . We defined the quantitative contrast derived from the  $\Delta R_1$  measurements ( $QC_{R_1}$ ) as the product of the mean  $\Delta R_1$  and the percentage of significantly enhanced voxels for each tissue type, i.e., tumor rim and core, and muscle tissue.  $QC_{R_1}$  indicates both the level and spatial extent of contrast agent binding. Changes in  $S_0$  ( $\Delta S_0 = S_{0,\text{post}} - S_{0,\text{pre}}$ ) were also evaluated and the quantitative contrast from  $S_0$  ( $QC_{S_0}$ ) was defined analogously to  $QC_{R_1}$  to yield a quantity that reflects proton

visibility.<sup>27</sup>

#### *Tumor rim/core analysis*

To investigate the differences between tumor rim, i.e., the region with the highest expected angiogenic activity, and core, the tumor rim was first defined as an approximately 1 mm thick peripheral zone with the strongest enhancement in  $R_1$ , in accordance with the approach taken by others.<sup>28,29</sup> Using this thickness, the difference between cNGR- and unlabeled pQDs was maximal (Figure 3C). The rim comprised  $29.0 \pm 5.8\%$  and  $31.6 \pm 3.5\%$  of all tumor voxels for mice injected with cNGR- and unlabeled pQDs, respectively. The tumor core was defined as the difference between whole tumor and tumor rim ROIs. Secondly, a contour was drawn to calculate the number of voxels with a significantly increased  $\Delta R_1$  as a function of the distance to the tumor rim. As an empiric measure of spatial heterogeneity in angiogenic tumor activity, the half-value-depth was defined as the distance from the rim at which the percentage of enhanced voxels has decreased by 50% compared to its value at zero distance, i.e., the rim. The half-value-depth was calculated by fitting the group-averaged data presented in Figure 3C with a mono-exponential decay function.

#### *Biodistribution*

ROIs defining the spleen, liver, kidney, heart, lung and aorta were drawn manually in MRIcro. Signal intensities were averaged over the entire tissue and normalized to hind limb muscle.

#### *Statistical analysis*

Analysis of paired samples was performed using a non-parametric Wilcoxon signed ranks test in SPSS 14.0 (SPSS, Chicago, Ill).

As both  $QC_{R1}$  and  $QC_{S0}$  represent contrast agent presence,  $QC_{R1}$  and  $QC_{S0}$  were combined to a summary value according to O'Brien and L  uter,<sup>30,31</sup> which is more sensitive to contrast effects than the individual measures. Therefore,  $QC_{R1}$  and  $QC_{S0}$  were first standardized

by  $z = (QC - \text{mean}(QC))/\text{sd}(QC)$ . Subsequently, the absolute values of  $z_{QC_{R1}}$  and  $z_{QC_{S0}}$  were averaged per animal. The resulting summary measure was tested using a non-parametric Mann-Whitney U-test.  $P < 0.05$  was considered statistically significant.

#### **TPLSM data acquisition**

Tissue samples were thawed and washed with HBSS to remove OCT compound. Except for the spleen and liver, tissues were incubated with 25-fold diluted  $\alpha$ CD31-FITC (0.5 mg/mL, BD Biosciences Pharmingen, Alphen aan de Rijn, The Netherlands) to fluorescently label VECs. Next, tissues were embedded in 2% (w/v) agarose gel (Invitrogen), with their rim upwards. For measurements in the tumor core, tumors were cut transversally to resemble the slice orientation of the MRI measurements.

TPLSM imaging was performed using a Nikon Eclipse E600FN upright microscope (Tokyo, Japan), incorporated in the Bio-Rad Radiance 2100MP imaging system and operated by Lasersharp2000 V6.0 (Bio-Rad, Hemel Hempstead, UK). Tissue samples were excited by the Tsunami Ti:sapphire laser (Spectra-Physics, Mountain View, CA), which was pumped by a Millennia Vs 5 W pump laser (Spectra-Physics) and mode-locked at 800 nm, with a 82.5 MHz repetition rate and 140 fs pulse width. Tissues were observed through a water dipping 60 $\times$  fluor objective with a 1.00 numerical aperture (Nikon). Photomultiplier tubes (PMTs 9108B02 and 9136B05, Electron Tubes Limited, Ruislip, UK) were used to acquire fluorescence photons in three spectral regions: 420 - 470 nm (autofluorescence), 520 - 560 nm (FITC) and 570 - 600 nm (QDs). Each PMT was tuned for minimal bleed-through of the fluorescent markers to adjacent PMTs. Images, color-coded blue, green and red, respectively, were subsequently merged into a single image. The in-plane pixel dwell time was 11.8  $\mu$ s, which, together with a 2-fold Kalman averaging, resulted in an imaging speed of 0.16 Hz. The FOV was  $179 \times 179 \mu\text{m}^2$  with a matrix



size of  $512 \times 512$ , resulting in  $0.35 \times 0.35 \mu\text{m}^2$  sized pixels.

### TPLSM data analysis

Data were analyzed with Image-Pro Plus 6.0 (MediaCybernetics, Silver Spring, MD) and ImageJ 1.35 (NIH, Bethesda, MD). Image quality was improved by convolution with a  $1.05 \times 1.05 \mu\text{m}^2$  Gaussian filter. Spatial distribution of pQDs was classified into four groups: intravascular, intracellular, colocalized with the EC membrane or extravasated to the interstitium.

## Results

### *In vivo* targeting of activated tumor endothelium

The ability of cNGR to target angiogenic tumor VECs was evaluated in tumor-bearing nude mice by injecting them with cNGR- or unlabeled pQDs. Tumor volumes of cNGR and control groups did not differ on MR images (mean  $\pm$  SD  $1.0 \pm 0.7 \text{ cm}^3$  and  $1.0 \pm 0.6 \text{ cm}^3$ , respectively).

For both cNGR- and unlabeled pQDs, changes in  $R_1$  ( $\Delta R_1$ ) were spatially heterogeneous throughout the tumor and were most pronounced at the tumor rim (Figure 2A). Averaged over all mice, the  $\Delta R_1$  induced by cNGR-pQDs ranged up to approximately  $0.3 \text{ s}^{-1}$ , which was considerably larger than the intrinsic variation in pre-contrast tumor  $R_1$  of  $0.1 \text{ s}^{-1}$ . Furthermore, the range in  $\Delta R_1$  was relatively large compared with the pre-contrast tumor  $R_1$  of  $0.8 \text{ s}^{-1}$ . Administration of unlabeled pQDs resulted in a 3-fold lower response range ( $\Delta R_1 < 0.1 \text{ s}^{-1}$ ) compared with cNGR-pQDs.

Subsequent investigation by TPLSM allowed localization of cNGR- and unlabeled pQDs at a subcellular resolution. cNGR-pQDs were found to colocalize approximately three times more often with tumor VECs than unlabeled pQDs (Figure 2). cNGR- and unlabeled pQDs were also found in the vessel lumen, albeit that cNGR-pQDs were approximately three times more prevalent than unlabeled pQDs. Both contrast agents were only sparsely found to

have extravasated into the tumor interstitium. Although cNGR was previously reported to be an internalizing peptide,<sup>32</sup> cNGR-pQDs were not detected inside VECs with TPLSM.

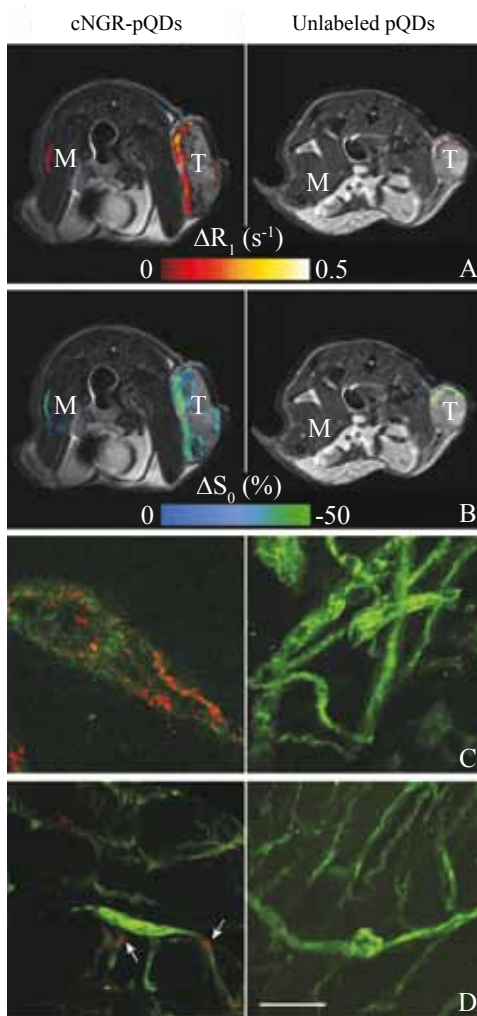
Further evidence for the specificity of cNGR was provided by  $\Delta R_1$  in hind limb muscle. Here, average  $\Delta R_1$  upon administration of cNGR-pQDs was considerably lower than in the tumor and ranged up to  $0.05 \text{ s}^{-1}$ . TPLSM did not display colocalization of cNGR-pQD with VECs of muscle vasculature. However, the incidence of cNGR-pQDs in the muscle vascular lumen was almost two-fold higher than for unlabeled pQDs (Figure 2D).

### $S_0$ -effect

For both cNGR- and unlabeled pQDs, changes in the scaling factor  $S_0$  colocalized strongly with  $\Delta R_1$  (Figure 2B). The  $S_0$ -effect is likely caused by field inhomogeneities ( $T_2^*$  effect) in the vicinity of the contrast agent, induced by the magnetic properties of QDs<sup>33</sup> and the dense gadolinium concentration on the particle. Analogous to iron oxide particles, such properties result in a locally reduced transverse relaxation times  $T_2$  and  $T_2^*$ , a shift in local resonance frequency and a broader water resonance line, which is reflected by a decrease in  $S_0$ , i.e., a reduced proton visibility.<sup>27,34</sup> Therefore,  $\Delta R_1$  and  $\Delta S_0$  both represent contrast agent presence.

### Spatial heterogeneity

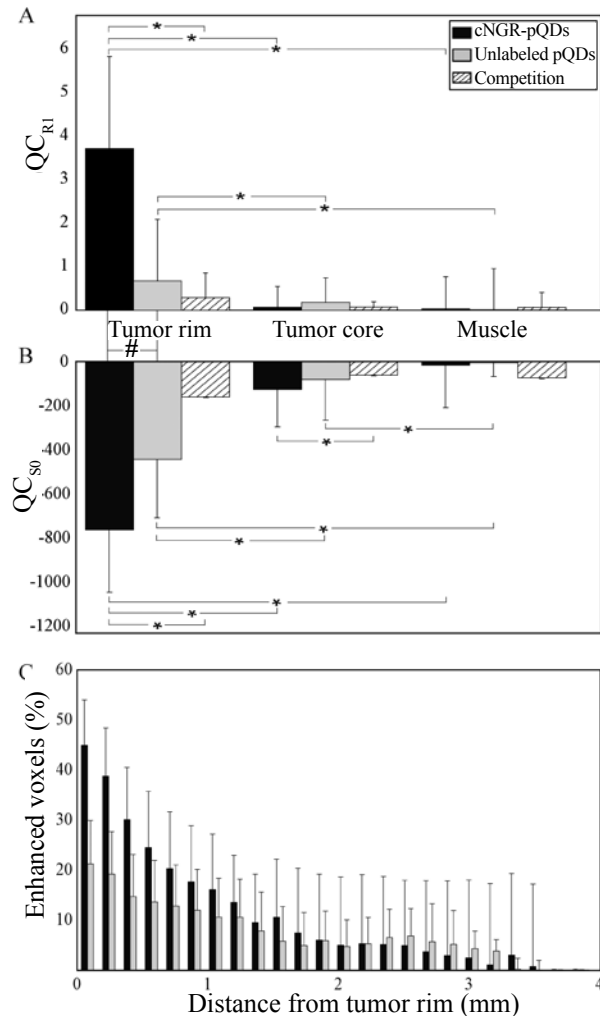
To explore the absolute differences between tumor rim, tumor core and muscle,  $QC_{R1}$  and  $QC_{S0}$  were determined for each tissue type for cNGR- and unlabeled pQDs (Figure 3A/B). Administration of cNGR-pQDs resulted in an approximately 50-fold increase in  $QC_{R1}$  in the angiogenic rim compared with tumor core or muscle tissue. For unlabeled pQDs, significant differences were also found between tumor rim and core, and tumor rim and muscle tissue, although the net increase in  $QC_{R1}$  was lower than for cNGR-pQDs. The decreases



**Figure 2 A-B)**  $T_2$ -weighted anatomical images with color overlay of  $\Delta R_1$  (A) and  $\Delta S_0$  (B) for tumor (T) and muscle (M) tissue of mice injected with cNGR- or unlabeled pQDs. Changes in  $R_1$  were most pronounced at the tumor rim for cNGR-pQDs. Although an  $R_1$  increase in the tumor rim was also observed for unlabeled pQDs, the average response was 3-fold lower when compared with cNGR-pQDs, indicating a high specificity of cNGR for angiogenic tumor endothelium. This is further supported by the low changes in  $R_1$  found in muscle tissue. Changes in  $S_0$  (B) colocalized almost completely with changes in  $R_1$  (A). Representative TPLSM images of tumor (C) and muscle tissue (D) showing pQD signal in red and endothelial cell specific  $\alpha$ CD31-FITC in green. cNGR-pQDs accurately colocalized with tumor endothelial cells, indicating binding of the contrast agent to the tumor endothelium (C). cNGR-pQDs were also detected in muscle tissue with TPLSM (D, arrows), although to a much lesser extent than in tumor tissue. cNGR-pQDs did not display any colocalization with muscle endothelial cells and were only found intraluminally. Unlabeled pQDs were not or only sparsely detected in both tumor and muscle tissue. Bar: 50  $\mu$ m. In  $S_0$  showed the same trend as the increases in  $R_1$  (cf. Figure 3A/B).

For each of the three tissue types, no significant differences in  $QC_{R_1}$  or  $QC_{S_0}$  were found between cNGR- and unlabeled pQDs. Since  $\Delta R_1$  and  $\Delta S_0$  were shown to accurately colocalize (Figure 2),  $QC_{R_1}$  data were combined with  $QC_{S_0}$  to a summary measure as described above. This resulted in a statistically significant difference between cNGR- and unlabeled pQDs for the tumor rim only (Figure 3A/B).

To further investigate the spatial distribution of angiogenic activity in the tumor, the percentage of significantly enhanced voxels was calculated as a function of the distance to the tumor rim (Figure 3C). Although the highest signal increase was found at the tumor rim for both contrast agents, more than twice as many rim voxels were enhanced for cNGR-pQDs than for unlabeled pQDs. In the tumor core, similar enhancements were found for both contrast agents. These findings qualitatively concur with previous findings showing that angiogenic activity is most pronounced at the tumor

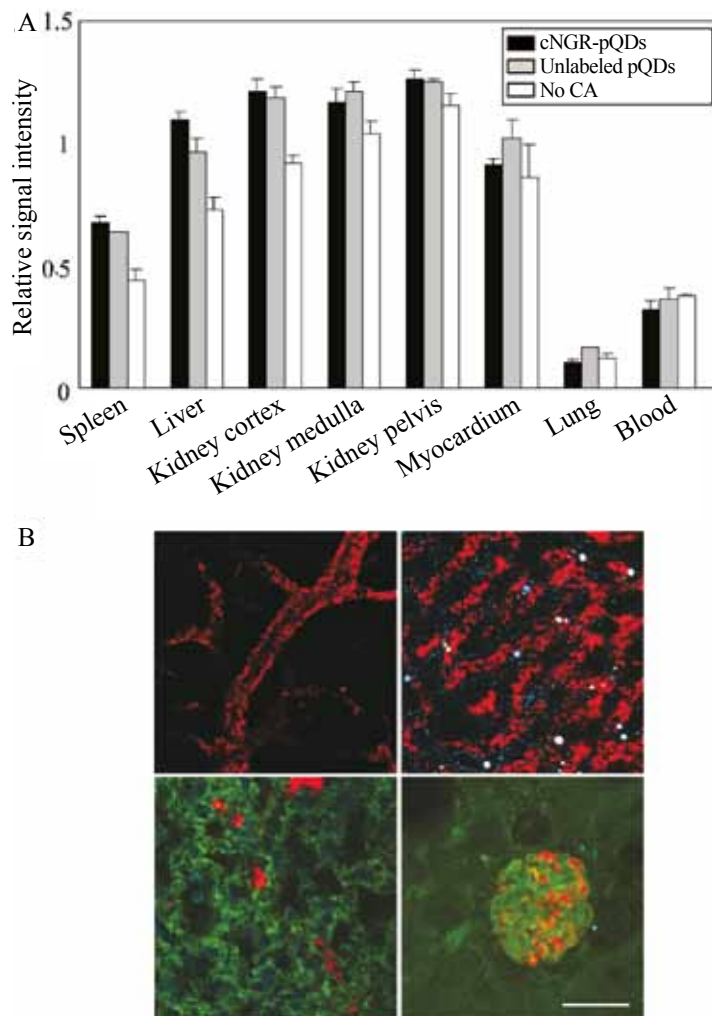


**Figure 3** Spatial distribution of angiogenic activity. A-B) Quantitative contrast as derived from changes in  $R_1$  ( $QC_{RI}$ , A) and from changes in  $S_0$  ( $QC_{S0}$ , B) for tumor rim, tumor core, and hind limb muscle tissue. Data are shown for cNGR-pQDs ( $n = 7$ ), unlabeled pQDs ( $n = 7$ ), and the competition experiment of cNGR-pQDs with excess unconjugated cNGR ( $n = 4$ ). C) Percentage of enhanced voxels at a certain distance versus distance from the tumor rim for cNGR-labeled and unlabeled pQDs. Although enhanced voxels were mostly found at the tumor rim for both contrast agents, more than twice as many rim voxels were enhanced for cNGR-pQDs than for unlabeled pQDs. In the tumor core, similar values were found for both cNGR-labeled and unlabeled pQDs. Values are presented as median  $\pm$  standard error. \*,  $P < 0.05$ ; #,  $P < 0.05$  for the O'Brien–Läuter summary measure of  $QC_{RI}$  and  $QC_{S0}$  (see text). rim for this tumor model.<sup>7,35</sup>

Subsequently, half-value-depths were calculated for both cNGR- and unlabeled pQDs. High values indicate a more homogeneous distribution of enhanced voxels over the entire tumor and thus a low spatial heterogeneity, whereas low values indicate a high spatial variation. For cNGR- and unlabeled pQDs, the half-value-depths were 0.6 and 1.1 mm, respectively, indicating a stronger contrast between tumor rim and core for cNGR-pQDs, which suggests that cNGR-pQDs allow a better differentiation between tumor rim and core than unlabeled pQDs.

### Competition experiment

Intravenous injection of a 1000-fold excess of unconjugated cNGR 10 minutes after administration of cNGR-pQDs resulted in a statistically significant decrease in  $QC_{RI}$  and  $QC_{S0}$  for the tumor rim (Figure 3A/B). With TPLSM, cNGR-pQDs were barely detected in the tumor rim, which confirmed the MRI results (data not shown). These results therefore indicate that binding of cNGR-pQDs to tumor VECs is specific, reversible and can be competed with



**Figure 4** Biodistribution of cNGR- and unlabeled pQDs. *A)* Post mortem  $T_1$ -weighted MRI results. Signal intensities were normalized to hindlimb muscle signal and subsequently averaged. No CA: no contrast agent administration. Both cNGR- and unlabeled pQDs were found to accumulate mainly in the spleen, liver and kidneys. Values are represented as median  $\pm$  standard error. *B)* Representative TPLSM images of spleen, liver, lung and kidney. Since a similar biodistribution was found for cNGR- and unlabeled pQDs, no differentiation was made for the TPLSM results. Red: pQDs, Green: aCD31-FITC, Blue: autofluorescence, Bar: 50  $\mu\text{m}$ . unconjugated cNGR.

### Biodistribution

Figure 4 shows the relative MRI signal intensities for the blood and major organs recorded approximately one hour after the administration of cNGR-pQDs, unlabeled pQDs or no contrast agent. No differences were found between cNGR- and unlabeled pQDs. Both contrast agents accumulated mainly in the spleen, liver and kidneys (Figure 4), which was confirmed by TPLSM and corresponds to previous findings.<sup>36</sup> Due to the intravenous administration, pQDs were also expected to accumulate in the lung. However, MRI has only limited signal sensitivity in the lung due to inherent low signal intensity and air-tissue interfaces. With TPLSM, pQDs could be clearly detected in the lung (Figure 4), although imaging was hampered by tissue movement caused by heating of the sample during excitation, resulting in expansion of air in the pulmonary alveoli.

### Contrast agent relaxivity

The ionic  $T_1$  relaxivity of cNGR-pQDs, i.e., per Gd ion, was  $7.1 \pm 0.4 \text{ mM}^{-1}\text{s}^{-1}$  at 7 T and 20  $^{\circ}\text{C}$ , which lies in the expected range for macromolecular contrast agents and is in correspondence with previously reported values for Annexin A5 conjugated pQDs.<sup>37</sup>

## Discussion

### Current findings

In this study, the ability of cNGR-labeled paramagnetic QDs to visualize and quantify angiogenic activity in LS174T tumors was evaluated using two complementary imaging modalities: *in vivo* MRI and *ex vivo* TPLSM. To our knowledge, this study shows the first results of the application of cNGR for molecular MRI. First, cNGR-pQDs were found to have a 3-fold higher quantitative MRI contrast in the tumor rim, i.e., the tumor region with the highest angiogenic activity, compared with unlabeled pQDs. Second, cNGR-pQDs were barely detectable in muscle tissue, indicating a high specificity of cNGR-pQDs for angiogenic vessels. Third, *ex vivo* TPLSM showed colocalization of cNGR-pQDs, but not unlabeled pQDs, with VECs in tumor vasculature. Fourth, cNGR-pQDs allowed a more accurate assessment of the rim-core heterogeneity in tumor angiogenic activity. Fifth, the competition experiment indicated that the binding of cNGR-pQDs to tumor VECs is specific, reversible and can be competed with excess unconjugated cNGR. Finally, no qualitative differences in biodistribution were found between cNGR- and unlabeled pQDs with MRI and TPLSM. For a full quantitative biodistribution assessment, positron emission tomography (PET) would be better suited since the local relaxivity of the MRI contrast agent, which is required to convert  $R_1$  to concentrations, is unknown. Taken together, the presented results designate cNGR as an effective ligand for discriminating between quiescent and activated endothelium and for quantifying the extent of tumor angiogenic activity.

In correspondence with other studies,<sup>7,35</sup> our results indicate that angiogenic activity is highest at the tumor rim, which was reflected by a high  $QC_{R1}$  and a strongly negative  $QC_{S0}$  for cNGR- pQDs. This was supported by the statistically significant difference between

cNGR- and unlabeled pQDs found using the summary value. Unlabeled pQDs also showed differences between tumor rim versus core and muscle, albeit smaller than for the cNGR-pQDs. This is likely due to the tumor rim's intrinsically higher vascular density and corresponding blood pool fraction, resulting in a larger amount of circulating contrast agent compared with tumor core or muscle tissue.<sup>35</sup> Additionally, heterogeneous blood flow and long wash-in and -out times of macromolecular contrast agents, previously described for dendritic agents,<sup>38</sup> may have contributed to prolonged retention of unlabeled pQDs in the tumor rim.

### Methodological considerations

Non-linear fitting of the IR-signal over a range of inversion times provided a sensitive and reliable method for detecting  $R_1$  changes induced by contrast agent binding. Compared to signal intensity measurements, it is relatively independent of technical settings, e.g., repetition time, echo time and flip angle, thereby allowing objective comparison between different subjects, both spatially and temporally. A disadvantage of the quantitative approach is the lengthy acquisition time since an adequate number of data points is required for accurate fitting of the IR curve. Although prolonged pre- and post-contrast acquisition of a single image at a fixed inversion time may also allow accurate detection of changes in signal intensity, this will not provide quantitative information on  $R_1$  and  $S_0$ . In our quantitative approach, coregistration of these parameters ensured increased sensitivity to detect differences between cNGR- and unlabeled pQDs and is therefore preferred over acquisition of a single image.

Theoretically, the measured longitudinal relaxation rates and contrast agent relaxivity allow estimation of local contrast agent concentration and might be used to gain insight in the density of the molecular target. However, the conditions under which the relaxivity was determined differ strongly from the *in vivo* situation. Not only is

the relaxivity affected by the particle's chemical environment, i.e., aqueous buffer versus blood plasma,<sup>39</sup> specific binding to vascular endothelium reduces its tumbling rate, thereby increasing the relaxivity. Taken together, this would lead to an overestimation of the local concentration *in vivo*. Unfortunately, accurate measurements of *in vivo* relaxivity are currently unavailable.

### Contrast agent

Quantum dots were chosen as scaffold to enable bi-modal, i.e., MRI and TPLSM, visualization of tumor angiogenic activity, which is an essential step in the characterization and validation of cNGR as a targeting ligand. Furthermore, streptavidin-coated QDs provide a suitable and versatile research scaffold to identify and test other potential targeting ligands. In addition, QDs show minimal extravasation, both from healthy and hyperpermeable tumor vasculature (unpublished observations), which is beneficial for VEC targeting. However, QDs may pose serious health limitations due to the potential release of toxic cadmium ions. Although this can be prevented by effective shielding of the core,<sup>40,41</sup> QDs are not cleared from the body and accumulate in spleen, liver and kidneys. Cadmium-based QDs will therefore not be approved for clinical application. Recently developed non-toxic and renally excretable QDs may provide a potential solution for this problem.<sup>42,43</sup> Nevertheless, once a robust MRI method has been accepted in clinical practice, validation with luminescent particles is no longer necessary and clinically more suitable particles may be applied.

The magnetic and semi-conductive properties of QDs give rise to field inhomogeneities when placed inside a magnetic field,<sup>33</sup> which likely result in a local decrease of the transverse relaxation times  $T_2$  and  $T_2^*$ . In addition,  $T_2$  contrast becomes more effective at high field strength, whereas  $T_1$  contrast decreases. Using a standard multi-slice multi-echo spin echo sequence, an average  $R_2$  increase of

$5.7 \text{ s}^{-1}$  upon cNGR-pQD injection was detected in the tumor rim at a mean tumor pre-contrast  $R_2$  of approximately  $27 \text{ s}^{-1}$ . However, the percentage of significantly enhanced voxels in the tumor rim was only 4%, which is considerably lower than the 42% found for  $R_1$ . This demonstrates that  $T_2$  changes did not interfere with the effects on  $T_1$  and  $S_0$ . Consequently, the  $T_1$  and  $S_0$  quantification was more sensitive in discriminating between angiogenic activity in the tumor rim, tumor core and muscle tissue than  $T_2$ .

### Clinical perspectives

Regarding the potential clinical applicability, quantitative molecular MRI with a suitable contrast agent has a number of advantages over the currently used immunohistochemical methods to quantify tumor angiogenic activity. First, molecular MRI is non-invasive and does not interfere with tissue integrity. Second, it can probe the entire tumor, whereas immunohistochemistry requires biopsies at one or multiple selected locations. Third, it allows covisualization of angiogenic activity with local anatomy. Fourth, tumor status or therapeutic response may be objectively monitored over time due to the absolute quantification methodology. Finally, molecular MRI allows direct detection of activated endothelium in functional vasculature, while immunohistochemistry measures both perfused and non-perfused vessels.

With respect to the applied tumor model, a human colorectal adenocarcinoma, MRI is clinically important for local T-staging of rectal cancer and for the identification of tumors close to or invading the mesorectal fascia.<sup>44</sup> On diagnostic  $T_2$ -weighted images however, it remains difficult to differentiate between fibrotic tissue and viable tumor cells. Molecular MRI of angiogenesis may facilitate this demarcation, since only viable tumor cells induce angiogenesis, which may be visualized upon administration of the targeted contrast agent.

Besides the availability of suitable contrast agents, clinical

implementation of quantitative molecular MRI requires rapid imaging sequences, including Look-Locker,<sup>45</sup> IR-true-FISP,<sup>46</sup> and the recently described QRAPTEST.<sup>47</sup> However, these methods are relatively sensitive to subject movement and field inhomogeneities, although the Look-Locker method was recently modified to allow *in vivo*  $T_1$ -mapping of the heart.<sup>48</sup> Thus, the development of fast quantification of relaxation rates may support future clinical application of quantitative molecular MRI.

In summary, we have shown that cNGR-labeled paramagnetic quantum dots are suitable for the non-invasive visualization and quantification of tumor angiogenic activity using *in vivo* molecular MRI. These results provide a promising basis for further developments in contrast agent design and synthesis, data acquisition and post-processing techniques, which may be valuable for future clinical applications to pathologies in which abnormal vessel growth plays a pivotal role.

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# **Chapter 7**

## **General discussion**



Neo-vascularization contributes to development and destabilization of atherosclerotic plaques and to growth and metastazation of solid tumors. The studies presented in this thesis demonstrate that the combination of two-photon laser scanning microscopy (TPLSM) and magnetic resonance imaging (MRI) allows visualization and quantification of microvascular morphology and angiogenic activity in murine models of atherosclerosis and cancer. MRI allows *in vivo* visualization and gross localization of angiogenic activity. Furthermore, our data indicate that MRI is able to detect differences in microvascular morphological quantities and localization. *Ex vivo*, TPLSM permits high resolution assessment of angiogenic activity and accurate visualization and quantification of microvessel morphology and angiogenic activity thereof at the level of the individual microvessel.

However, several biological and technical limitations may apply to the presented studies, including fluorescent labeling of vascular endothelial cell membranes for quantification of vasa vasorum and tumoral microvessels (chapter 5), the lack of an atherosclerosis mouse model with high vasa vasorum density (chapter 4), and the limited tissue penetration depth of TPLSM, relative to MRI (chapter 5 and 6).

### CD31 for demarcation of microvessels

In the studies described in chapters 4, 5, and 6,  $\alpha$ CD31-FITC was used to fluorescently label endothelial cells by *in vivo* injection (chapter 4) and *ex vivo* incubation (chapter 5 and 6). The former allowed visualization of functional, i.e., perfused vasa vasorum microvessels only, whereas the latter presumably allowed visualization of both functional and non-functional tumor microvessels with TPLSM. In future experiments, discrimination of functional from non-functional vasculature may be achieved by systematic administration of fluorophores that reside in the blood phase<sup>1</sup> and subsequent *ex vivo* incubation with spectroscopically distinct  $\alpha$ CD31. Neo-vasculature may exhibit a discontinuous

endothelial layer. Although this may have minimal effect on the visualization of the microvasculature, quantification of microvessel density may lead to underestimation. In chapter 5, this was countered by application of a closing and blurring filter to the acquired dataset in order to obtain solid cylindrical structures.

Endothelial cells of mature and angiogenic (micro-) vasculature express CD31.<sup>2</sup> In addition, CD31 is expressed by endothelial cells of lymphatic vessels as well.<sup>3-5</sup> Since lymphangiogenesis is associated with intimal thickening<sup>6</sup> and tumor development,<sup>7</sup> one might argue that the observed adventitial and tumoral vascular structures, labeled with  $\alpha$ CD31-FITC, are partially lymphatic vessels. However, a recent FACS study showed that mouse lymphatic endothelial cells are only 3% positive for CD31.<sup>8</sup> In that study, the same antibody clone (MEC13.3) was used as in the experiment presented in chapters 4, 5, and 6. These studies indicate that the differential expression pattern of CD31 did not overestimate microvessel density in tumors compared with muscle tissue and the expression of CD31 by lymphatic vessels is too low for imaging purposes.

### Vasa vasorum of atherosclerotic plaques

*In vivo* intravenous injection of fluorophore-conjugated antibodies against vascular endothelial cells allowed visualization of plaque vasa vasorum *ex vivo* with TPLSM (chapter 4). Interestingly, vasa vasorum (an adventitial plexus of microvessels in the wall of larger blood vessels) were observed in the plaque region of the abdominal aorta of ApoE<sup>-/-</sup> mice, fed a Western type diet for approximately 20 weeks, and not in other aortic segments. In murine atherosclerotic plaques, neo-vascularization and hypoxia have both been related to inflammatory content, however, not to intimal thickness.<sup>9,10</sup> This implies that, irrespective of plaque size, angiogenic activity may be present in all atherosclerotic lesions, albeit at different intensities, and lead to the expansion of vasa vasorum and formation of intraplaque

microvasculature. A major future challenge in TPLSM is to extend these studies to specifically and sensitively detect alterations in expression patterns of angiogenesis markers *in vivo*, since this would allow recognition of neo-vascularization in the early stage of development.

The density of plaque vasa vasorum could, unfortunately, not be quantified due to the low prevalence of microvessels in this mouse model of atherosclerosis. In murine models of more extensive atherosclerosis, including long-term high fat diets, collar-induced intimal thickening, and ApoE<sup>-/-</sup>/LDL<sup>-/-</sup> double knockout mice, the vasa vasorum density will be increased.<sup>9,11</sup> This would not only facilitate longitudinal and quantitative correlation of microvessel development to plaque growth, but also facilitate functional studies of these microvessels, e.g., visualization of inflammatory cell transport through the vasa vasorum and of their angiogenic activity. In 30% of the observed, axially orientated vasa vasorum, colocalization of cNGR-QDs with endothelial cells was observed, indicating significant angiogenic activity (chapter 4). Although  $\alpha$ CD31-FITC and cNGR-QDs were injected *in vivo*, TPLSM observations were performed *ex vivo*. Tissue excision and handling may have induced artifacts. Furthermore, future (*in vivo*) experiments, will show whether cNGR, in addition to cRGD,<sup>12,13</sup> is suitable for molecular imaging and therapy of plaque-associated angiogenesis.

### Neo-vasculature of solid tumors

As observed with TPLSM, tumor neo-vasculature was highly tortuous and displayed a wide range in radiuses (1.2 - 14.9  $\mu$ m), whereas the microvasculature of skeletal muscle was highly organized (chapter 5). Using dedicated image processing, values were obtained for microvessel density and radius for LS174T tumors that are in agreement with literature.<sup>14,15</sup> These results indicate that deconvolution of TPLSM data leads to reliable quantitative information. However, TPLSM experiments were

performed *ex vivo*, whereas Leunig *et al.*<sup>14</sup> and Yuan *et al.*<sup>15</sup> used intravital microscopy to obtain the microvessel density and radius under physiological circumstances. Magnetic resonance vessel size imaging (MR-VSI) offered the ability to obtain values related to microvessel density and radius on a voxel-by-voxel basis (voxel volume of approximately 0.1 mm<sup>3</sup>). Although, TPLSM and MR-VSI displayed similar trends in their respective microvessel density and radius data when comparing tumor rim, tumor core, and muscle, MR-VSI displayed non-physiological values for the microvessel density and radius (chapter 5). However, similar values were observed in other MR-VSI studies.<sup>16-18</sup> These results suggest that MR-VSI is, at best, suitable for longitudinal assessment in detecting changes in microvessel density and radius during tumor growth and anti-angiogenic therapy. In addition to the causes addressed in chapter 5, the heterogeneity in microvascular morphology between the tumors observed with MR-VSI and with TPLSM and the increased permeability of angiogenic endothelium, causing extravasation of the intravascular MRI contrast agent to the interstitial space, may have affected the MRI results and thus may have contributed to the discrepancy between TPLSM and MR-VSI.

In chapter 6, cNGR-labeled paramagnetic quantum dots (cNGR-pQDs), specific for the angiogenesis marker CD13, were used to visualize angiogenic activity in tumors with *in vivo* MRI. The competition of the binding of cNGR-pQDs to angiogenic endothelial cells with unconjugated cNGR resulted in decreased quantitative contrast. Moreover, observation of the excised tumor with TPLSM indicated colocalization of cNGR-pQDs with endothelial cells. These findings confirmed the presence of angiogenic activity in tumors. However, since tumors were excised approximately 1 hour after injection of the cNGR-pQDs and the binding of cNGR to the angiogenesis marker CD13 is reversible, detachment of cNGR-pQDs may have caused an underestimation in the angiogenic activity

observed with TPLSM, although this was not quantitatively assessed. Therefore, in order to prevent dynamic influences and accurately evaluate the obtained MRI results, TPLSM experiments should ideally not be performed in succession, but in parallel and *in vivo* under the same experimental conditions with a separate group of animals.

### Neo-vascularization: atherosclerosis versus cancer

Atherosclerosis and cancer share molecular pathways of development and progression, including cell proliferation, cell adhesion molecules, and proteolytic enzymes.<sup>19</sup> Early atherosclerotic lesions and small (less than 1 mm in diameter) solid tumors are typically avascular and their development appears to be angiogenesis-independent.<sup>20,21</sup> The common denominator of advanced atherosclerotic plaques and solid tumors is the presence of an extensive microvascular network. Considering the similarity in morphology, including fragility and permeability, and functionality of plaque and tumor neo-vasculature, similar imaging approaches may be utilized for visualization and/or quantification of microvascular morphology and angiogenic activity. In chapters 4 and 6, the aminopeptidase CD13 was successfully used as target for molecular imaging of angiogenic activity in plaque-associated vasa vasorum with *ex vivo* TPLSM and in tumors with *in vivo* MRI and subsequent *ex vivo* TPLSM. In addition, Buehler *et al.* and von Wallbrunn *et al.* showed that CD13 is a suitable target for optical molecular imaging of angiogenesis after myocardial infarction and in tumors.<sup>22,23</sup> Although there is an increasing interest in the microvasculature as target for anti-angiogenic therapy in atherosclerosis and cancer, and for pro-angiogenic therapy after myocardial infarction, clinical evidence of efficacy has been minimal to date. Winter *et al.* successfully explored the feasibility of the  $\alpha_v\beta_3$  integrin as target for molecular imaging and therapy of neo-vasculature in atherosclerosis and cancer.<sup>12,13,24,25</sup> However, compared to cRGD, the ligand for the  $\alpha_v\beta_3$  integrin, cNGR was

shown to have a 3-fold higher tumor-homing capability.<sup>26</sup> The use of cNGR as ligand for molecular imaging and therapy of angiogenesis in atherosclerosis is therefore promising.

Hypoxia has been accepted as the main initiator of angiogenesis in tumors, whereas in atherosclerotic plaques both inflammation and hypoxia are related to angiogenesis. Despite the similarities in molecular pathways during development of these diseases, differences in risk factors and initiators of angiogenesis may not designate atherosclerosis as “a cancer of the blood vessels”, as was proposed by Ross *et al.*<sup>27</sup>

### Future perspectives

Due to the absorption of excitation light and fluorescence by foam cells and extracellular lipids, the penetration depth of TPLSM in atherosclerotic plaques is limited (chapter 4). However, in tumors a penetration depth of up to 250  $\mu\text{m}$  was obtained with TPLSM (chapters 5 and 6). Penetration depth may be increased in three independent ways. First, narrowing of the excitation pulse width presumably increases the penetration depth up to 800  $\mu\text{m}$ , depending on tissue composition.<sup>28</sup> Second, optimization of the point spread function using adaptive optics. To produce the smallest point spread function, a flexible membrane mirror may be deformed based on the fly variations in refractive index within tissue and between immersion and mounting media.<sup>29,30</sup> This increases fluorescence intensity and resolution, thereby improving the penetration depth. Third, using an optical parametric oscillator (OPO) laser system, operating at wavelengths 1000 - 1500 nm, increased penetration depths can be obtained since tissue absorption is minimal in this spectral region. In addition, by using dedicated (near) infrared fluorophores, such as mCherry,<sup>31</sup> fluorescence may be detected more efficiently. Which of the proposed methods or combinations thereof yields the greatest increase in penetration depth remains to be elucidated for each of the observed tissues.

TPLSM has proven a valuable tool for visualizing and quantifying microvascular characteristics in atherosclerosis and cancer. Although TPLSM is increasingly being applied as stand-alone *in vivo* imaging modality, *in vivo* TPLSM evaluation of molecular MRI would have great benefits over *ex vivo* evaluation. These benefits include measuring under physiological circumstances and avoidance of artifacts induced by tissue excision. The influence of motional artifacts on TPLSM image resolution, induced by respiration and heartbeat, may be reduced by triggering methods and increasing the rate at which images are acquired.<sup>32</sup> In addition, for optimal evaluation of molecular MRI results, multi-modal contrast agents should be optimized to allow efficient detection with both MRI and TPLSM.

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## Summary



Neo-vascularization, more specifically angiogenesis, contributes to growth of atherosclerotic plaques and solid tumors by providing conduits for transport and microvasculature for exchange of nutrients, oxygen, and waste products to the increasing cell mass. The shoulders of advanced atherosclerotic plaques are characterized by a network of microvessels predominantly originating from the vasa vasorum, whereas solid tumors are provided with an internal and peripheral microvascular network. Visualization and quantification of neo-vascular morphology and of angiogenic activity would allow monitoring of the development of (vulnerable) atherosclerotic plaques and (malignant) tumors. Therefore, in this thesis, two-photon laser scanning microscopy (TPLSM) and magnetic resonance imaging (MRI) were utilized to visualize angiogenic activity in atherosclerotic mice and in tumor-bearing mice and to quantify neo-vascular morphology in tumor-bearing mice. The sub-micrometer spatial resolution of TPLSM also enables validation of the MRI observations.

In **chapter 2**, a brief overview is provided on the mechanisms of angiogenesis and hypoxia as its main initiator. The processes of atherogenesis and carcinogenesis are shortly described, followed by the contribution of the vasa vasorum (VV) and intraplaque microvasculature to the growth and rupture of atherosclerotic plaques and the contribution of microvasculature to tumor growth. Animal models for atherosclerosis and cancer are described and methods to visualize morphology, permeability, and angiogenic activity are provided. This chapter concludes with the basic principles of two-photon laser scanning microscopy (TPLSM), its application to visualizing and quantifying neo-vasculature, and the application of TPLSM as high resolution imaging validation method.

Molecular imaging methods currently under development may contribute to future personalized medicine in treating cardiovascular disease. Endoscope-compatible optical techniques, including TPLSM,

Raman microspectroscopy (RMS), optical coherence tomography (OCT), and photoacoustic tomography (PAT) would allow intravascular molecular imaging of vulnerable atherosclerotic lesions. Efficient optical visualization of molecular epitopes specific for vulnerable atherosclerotic lesions requires targeting of high quality optical contrast-enhancing nanoparticles. In **chapter 3**, a review is provided on current optical nanoparticles, confined to quantum dots (QDs), gold nanoparticles (AuNPs), diamond nanoparticles (DNPs), carbon nanoparticles (CNPs), and silica nanoparticles (SNPs), and targeting ligands for optical molecular imaging of atherosclerotic lesions. It is concluded that, based on the limited toxicity and favorable optical properties, AuNPs and SNPs provide the most promising perspective for future optical molecular imaging of atherosclerosis in the clinical environment.

Atherosclerotic plaques are constantly evolving structures, which are associated with an increase in vasa vasorum (VV) density, as shown by several histological and micro-computed tomography (micro-CT) studies of ApoE<sup>-/-</sup> mice. However, these methods require tissue processing, thereby affecting tissue integrity and morphology. Therefore, in **chapter 4** we investigated the feasibility of TPLSM to *ex vivo* image the VV of intact atherosclerotic aortas of ApoE<sup>-/-</sup> mice. Vascular endothelial cells (VECs) were fluorescently labeled via intravenous injection of  $\alpha$ CD31-FITC, whereas angiogenic activity of VECs was assessed by *in vivo* targeting with QDs of CD13, expressed by angiogenic VECs, by binding cyclic Ans-Gly-Arg (cNGR) to the QD surface (cNGR-QDs). VV were observed in the aortic abdominal bifurcation of ApoE<sup>-/-</sup> mice fed a Western type diet for 20 weeks, abluminal from the atherosclerotic lesion, with microvessels penetrating the media up to 60  $\mu$ m. Interestingly, VV were not observed in other aortic segments of these mice. cNGR-QDs colocalized with 30% of the ApoE<sup>-/-</sup> VV, indicating angiogenic activity. The sporadically observed adventitial microvessels of

the abdominal aorta of control C57BL/6J mice displayed no angiogenic activity. This study indicates a higher plaque-associated VV prevalence in the abdominal aorta bifurcation of ApoE<sup>-/-</sup> mice than of control C57BL/6J mice. TPLSM proved a practical tool in visualizing the VV of intact arterial segments and may be employed to evaluate the contribution of the VV and intraplaque microvessels underlying the potential destabilization of atherosclerotic lesions in longitudinal studies.

Non-invasive assessment of microvascular morphology and density would allow estimation of disease progression, i.e., development of vulnerable atherosclerotic plaques and tumor growth and metastasis formation, and the response to anti-angiogenic therapy. Magnetic resonance vessel size imaging (MR-VSI) non-invasively provides measures for the microvessel radius and fractional blood volume of biological tissue. In **chapter 5**, the validity of *in vivo* MR-VSI in assessing these measures for tumor and muscle tissue of mice was evaluated using *ex vivo* 3D TPLSM. Dedicated image processing was applied to the acquired TPLSM datasets to quantify microvessel radius, density, and length of microvasculature of these excised, but intact tissues. TPLSM data displayed a randomly oriented tumor microvasculature, whereas muscle microvessels were mainly oriented parallel to each other. For both MR-VSI and TPLSM, a larger vessel radius and fractional blood volume were found in the tumor rim than in the core. Compared with TPLSM, MR-VSI resulted in a large overestimation of the average vessel radius index, whereas the fractional blood volume was slightly lower. However, the trends for both techniques were the same: morphologic measures were higher for tumor than for muscle tissue, and higher for tumor rim than for the core. Thus, although MR-VSI is not suitable for absolute quantification of vessel radius and blood volume, it may be used to assess the heterogeneity in microvascular morphology within tissue. In addition, MR-VSI may be suitable to

investigate relative inter-tissue microvascular differences and intra-tissue effects of anti-angiogenic therapy in longitudinal studies.

In addition to the morphological characteristics of microvasculature, the angiogenic activity of solid tumors provides information on the expansive capability of their microvascular network. For the study described in **chapter 6**, cNGR-labeled paramagnetic quantum dots (cNGR-pQDs) were developed and applied to non-invasively assess tumor angiogenic activity using quantitative *in vivo* molecular MRI. Intravenous injection of the cNGR-pQDs in tumor-bearing mice resulted in an increased quantitative MRI contrast, comprising both increased longitudinal relaxation and decreased proton visibility, in the tumor rim but not in the tumor core or control muscle tissue. Thus, cNGR-pQDs allow *in vivo* quantification and localization of angiogenic activity with MRI. Validation of the MRI results with *ex vivo* TPLSM showed that cNGR-pQDs colocalized primarily with the tumor VEC membrane, were to a lesser extent found in the vessel lumen, and were not internalized by VECs. In contrast, unlabeled pQDs were not or only sparsely detected with both MRI and TPLSM. These results indicate a high specificity of cNGR-pQDs for angiogenic tumor vasculature.

Numerous studies have established that neo-vasculature affects the development of atherosclerotic plaques and solid tumors and tentatively contributes to plaque destabilization and spread of metastasis. The studies presented in this thesis demonstrate that two-photon laser scanning microscopy (TPLSM) and magnetic resonance imaging (MRI), at different levels of spatial resolution and sensitivity, enable visualization and quantification of microvascular morphology and angiogenic activity in murine models of atherosclerosis and cancer. However, as described in the general discussion (**chapter 7**), several biological and technical limitations may apply to the presented studies, including the use

of CD31 as beacon for demarcation of VECs (chapters 4, 5, and 6), the relatively low incidence of VV microvessels in atherosclerotic mice (chapter 4), and the limited penetration depth of TPLSM in atherosclerotic plaques and tumor tissue (chapter 4, 5, and 6). Nevertheless, TPLSM has offered the ability to visualize and, with dedicated image processing, quantify microvasculature in associated with atherosclerotic plaques and tumors. Recent developments in endoscope-based TPLSM may widen the scope of these optical diagnostics to clinical applications.



## **Samenvatting**





Nieuwvorming van kleine bloedvaten (angiogenese) levert een belangrijke bijdrage aan de ontwikkeling van atherosclerose (“aderverkalking”) en kanker, de twee voornaamste doodsoorzaken in de Westerse maatschappij. Atherosclerotische plaques en tumoren kunnen namelijk groeien doordat nieuw gevormde bloedvaten het weefsel voorzien van voedingsstoffen en zuurstof en afvalstoffen afvoeren. Bloedvaatjes in atherosclerotische plaques ontstaan voornamelijk uit de vasa vasorum, een netwerk van bloedvaten in de (atherosclerotische) vaatwand, terwijl tumoren door zowel een centraal als perifeer netwerk van bloedvaten wordt gevoed. De klinische relevantie van atherosclerotische plaques en tumor kan in kaart worden gebracht door de morfologie, denk aan de straal en dichtheid van de vaten, en de angiogene activiteit van deze bloedvaten af te beelden en te kwantificeren. In dit proefschrift werden twee-foton laser scanning microscopie (TPLSM) en magnetische resonantie beeldvorming (MRI) gebruikt om in atherosclerotische muizen en in tumordragende muizen angiogene activiteit van de nieuw gevormde bloedvaatjes aan te tonen. Vanwege de sub-micrometer resolutie, maakt TPLSM het mogelijk de MRI resultaten met grote nauwkeurigheid te valideren. Daarnaast werden deze technieken gebruikt om in tumor-dragende muizen de morfologie van de nieuw gevormde bloedvaatjes te kwantificeren.

In **hoofdstuk 2** wordt een overzicht gegeven van de mechanismen achter angiogenese en de rol die een lage zuurstofspanning (hypoxie) hierin speelt. Daarnaast wordt de ontwikkeling van atherosclerotische plaques (atherogenese) en tumoren (carcinogenese) beschreven en wordt er aandacht besteed aan de bijdrage die nieuwvorming van bloedvaatjes in en rond atherosclerotische plaques levert aan de mechanische instabiliteit van plaques en de groei van tumoren. Verder worden enkele diermodellen van atherosclerose en kanker beschreven en worden technieken gepresenteerd om morfologie, permeabiliteit en angiogene activiteit van bloedvaten af te beelden.

Het hoofdstuk eindigt met de basis principes van TPLSM, de mogelijkheden die deze techniek biedt om nieuw gevormde bloedvaten af te beelden en te kwantificeren, en om als validatie van andere beeldvormingstechnieken te dienen.

Detectie van moleculaire veranderingen (moleculaire beeldvorming) in weefsel maakt het mogelijk om ziektes in een vroeger stadium te diagnosticeren dan met conventionele middelen. Hierdoor kan mede de behandeling van bijvoorbeeld cardiovasculaire aandoeningen op individueel niveau worden aangepast. Naast de bestaande klinische beeldvormingstechnieken kan de verhoogde ruimtelijke resolutie en gevoeligheid van optische beeldvormingstechnieken hier een aanzienlijke bijdrage aan leveren. Met name de technieken die endoscopisch toepasbaar zijn zoals TPLSM, Raman microspectroscopie (RMS), optische coherentie tomografie (OCT) en fotoakoestische tomografie (PAT) zijn geschikt voor moleculaire beeldvorming van atherosclerotische plaques vanuit het lumen van het atherosclerotische bloedvat. Echter, optische moleculaire beeldvorming vereist contrastverhogende deeltjes (nanopartikels) die specifiek aan het pathologische molecuul binden. In **hoofdstuk 3** wordt een literatuur overzicht gegeven van enkele, mogelijk klinisch toepasbare, optische nanopartikels en liganden, welke specifiek zijn voor atherosclerotische plaques. De nanopartikels zijn quantum dots (QDs), goud nanopartikels (AuNPs), diamant nanopartikels (DNPs), koolstof nanoparticles (CNPs) en silica nanopartikels (SNPs). Op basis van de minimale toxiciteit bij langdurige blootstelling en de gunstige optische eigenschappen zijn AuNPs en SNPs veelbelovend voor toekomstige optische moleculaire beeldvormingstechnieken in de kliniek.

Atherosclerotische ApoE<sup>-/-</sup> muizen worden veelvuldig gebruikt in onderzoek naar atherosclerose. In deze dieren gaat de ontwikkeling van atherosclerotische plaques gepaard met een toename in vasa vasorum dichtheid, zoals gebleken is uit studies met positron emissie

tomografie (PET) en histologische technieken. In **hoofdstuk 4** is onderzocht of middels TPLSM (angiogene activiteit van) de vasa vasorum van intacte atherosclerotische aorta's van ApoE<sup>-/-</sup> muizen afgebeeld kunnen worden. De endotheelcellen van de bloedvaten werden fluorescerend gelabeld door intraveneus  $\alpha$ CD31-FITC toe te dienen. De angiogene activiteit van deze endotheelcellen werd bepaald door QDs specifiek te laten binden aan CD13 door middel van het cyclische tripeptide Ans-Gly-Arg (cNGR) aan het QD oppervlak (cNGR-QDs). Vasa vasorum werden gevonden in de bifurcatie van de abdominale aorta van ApoE<sup>-/-</sup> muizen die 20 weken een hoogcholesterol dieet hadden gekregen. Enkele kleine bloedvaatjes, ontsprongen uit de vasa vasorum, drongen in de media van de vaatwand door tot een diepte van 60  $\mu$ m. Vasa vasorum werden niet gevonden in andere slagaders van deze muizen. De cNGR-QDs hechtten aan de endotheelcellen bij 30% van de vasa vasorum, wat erop duidde dat de endotheelcellen daar angiogene activiteit vertoonden. Bloedvaatjes in de adventitia van bloedvaten waren weliswaar sporadisch aanwezig in de abdominale aorta van controle C57BL/6J muizen, maar vertoonden geen angiogene activiteit. Deze studie wijst daarmee op hogere prevalentie van vasa vasorum in de abdominale aorta van ApoE<sup>-/-</sup> muizen dan in controle C57BL/6J muizen. TPLSM is een goede techniek om de vasa vasorum van intacte arteriën af te beelden en kan mogelijk gebruikt worden in longitudinale studies ter bepaling van de bijdrage van de vasa vasorum aan de mechanische instabiliteit van atherosclerotische plaques.

Een niet-invasieve bepaling van de morfologie en dichtheid van bloedvaatjes zou het mogelijk maken om ziekteverloop te voorspellen, bijvoorbeeld de ontwikkeling van (instabiele) atherosclerotische plaques, tumor groei en vorming van uitzaaiing, en de effecten van anti-angiogene therapie vast te stellen. Met behulp van MRI is het mogelijk om niet-invasief een schatting te maken

van de straal van de bloedvaten en het fractioneel bloedvolume van biologisch weefsel. In **hoofdstuk 5** werd de nauwkeurigheid van deze techniek geëvalueerd door TPLSM. Hiertoe werden de straal en dichtheid van bloedvaten in tumoren en spierweefsel van muizen middels beide technieken bepaald. Digitale beeldbewerking werd toegepast op de verkregen TPLSM gegevens om de straal, dichtheid en de totale lengte van bloedvaten in intact weefsel te bepalen. In tumor weefsel waren de bloedvaten willekeurig georiënteerd, terwijl in spierweefsel de bloedvaatjes sterk parallel georiënteerd waren. Middels beide technieken werd een grotere straal van de bloedvaten en een groter fractioneel bloed volume gevonden in de tumor periferie dan in de kern. In vergelijking met TPLSM, liet de MRI techniek een sterke overschatting zien van de straal van de bloedvaten, maar een lager fractioneel bloed volume. Echter, beide technieken lieten eenzelfde trend zien: de morfologische grootheden in de tumor waren groter dan in de spier en groter in de tumor periferie in vergelijking met de kern. Uit deze studie kan geconcludeerd worden dat de MRI techniek niet geschikt is voor absolute bepaling van de straal van de bloedvaten en het fractioneel bloed volume, maar wel gebruikt worden ter bepaling van de heterogeniteit in bloedvat morfologie en relatieve verschillen tussen weefsels en binnen een weefsel in de tijd.

Naast detectie van de morfologie biedt het afbeelden van de angiogene activiteit van tumoren de mogelijkheid om veranderingen in bloedvat dichtheid vast te stellen. Voor de studie beschreven in **hoofdstuk 6** werden cNGR-QDs zichtbaar gemaakt voor MRI door ze paramagnetisch te maken. Deze paramagnetische cNGR-QDs (cNGR-pQDs) werden toegepast in tumordragende muizen om niet-invasief angiogene activiteit te kwantificeren met *in vivo* MRI. Na intraveneuze toediening zorgden de cNGR-pQDs voor een verandering in contrast in de tumor periferie, maar niet in de kern van de tumor of in het spierweefsel. Validatie van de MRI resultaten met

*ex vivo* TPLSM liet zien dat cNGR-pQDs voornamelijk gebonden waren aan endotheelcellen van de bloedvaatjes, in mindere mate aanwezig waren in het bloed en niet door de endotheelcellen waren opgenomen. Controle pQDs werden nauwelijks tot niet gedetecteerd met MRI en TPLSM, wat wijst op een hoge specificiteit van cNGR-pQDs voor angiogene bloedvaten in tumoren.

Wetenschappelijke studies hebben veelvuldig aangetoond dat nieuw gevormde bloedvaten de groei van atherosclerotische plaques en tumoren beïnvloeden. Daarnaast dragen de bloedvaten bij aan een mechanische instabiliteit van atherosclerotische plaques en vergroten ze de kans op uitzaaiing van tumoren. De in dit proefschrift beschreven studies laten zien dat twee-foton laser scanning microscopie (TPLSM) en magnetische resonantie beeldvorming (MRI) het afbeelden en kwantificeren van de morfologie en angiogene activiteit van nieuw gevormde bloedvaten mogelijk maken in muismodellen van atherosclerose en kanker. Zoals beschreven in de algemene discussie (**hoofdstuk 7**) zijn enkele mogelijke biologische en technische beperkingen van toepassing op de opzet van de experimenten: mogelijk is er een betere marker voor endotheelcellen dan CD31 voor het onderscheiden van bloedvaten in biologisch weefsel (hoofdstukken 4, 5 en 6), moet er naar een diermodel gezocht worden met een hogere vasa vasorum dichtheid om deze te kunnen kwantificeren (hoofdstuk 4), en zal de doordringdiepte van TPLSM in atherosclerotische plaques en tumoren vergroot moeten worden (hoofdstukken 4, 5 en 6). Desalniettemin, TPLSM maakt het mogelijk om, in combinatie met daartoe ontwikkelde beeldbewerkingssoftware, nieuw gevormde bloedvaten rond atherosclerotische plaques en in tumoren af te beelden en te kwantificeren. Recente ontwikkelingen in endoscopische TPLSM maakt deze techniek mogelijk geschikt voor toekomstige wetenschappelijke en klinische *in vivo* toepassingen.



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## **Curriculum vitae**





Kim Douma was born on August 7, 1979 in Apeldoorn, The Netherlands. After completing pre-university education (VWO) at the Anton van Duinkerken College in 1998 (Veldhoven, The Netherlands), he started his study Biomedical Engineering at the Eindhoven University of Technology (Eindhoven, The Netherlands). In November 2004, he obtained his master degree with great appreciation and subsequently commenced his PhD-project entitled "Two-photon microscopic imaging of neo-vasculature in atherosclerotic plaques and tumors" at the Department of Biomedical Engineering of Maastricht University Medical Centre (MUMC<sup>+</sup>, Maastricht, The Netherlands). From March to May 2009, he explored the feasibility of two-photon spectral imaging in discriminating between healthy and diseased arteries in a cooperative pilot-project between MUMC<sup>+</sup> and Utrecht University. As of June 2009, he is working as a post-doctoral researcher at the Department of Radiology at MUMC<sup>+</sup>, exploring the feasibility of nuclear molecular imaging techniques to assess the development of vulnerable atherosclerotic lesions.



## **List of publications**



**Douma K\***, Prinzen L\*, Slaaf DW, Reutelingsperger CPM, Biessen EAL, Hackeng TM, Post MJ, van Zandvoort MAMJ. Nanoparticles for optical molecular imaging of atherosclerosis. *Small* 2009;5(5):544-557

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Oostendorp M\*, **Douma K\***, Hackeng TM, Dirksen A, Post MJ, van Zandvoort MAMJ, Backes WH. Quantitative molecular magnetic resonance imaging of tumor angiogenesis using cNGR-labeled paramagnetic quantum dots. *Cancer Research* 2008;68(18):7676-7683.

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