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## Assessing the Influence of Coronary Heart Disease Knowledge, Perception of Personal Risk, and Delay Discounting of Future Health on Diet and Physical Activity

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Assessing the Influence of Coronary Heart Disease Knowledge, Perception of Personal Risk, and Delay Discounting of Future Health on Diet and Physical Activity

Kimberly Bosworth Blake

Dissertation Submitted to the School of Pharmacy  
at West Virginia University  
in partial fulfillment of the requirements for the degree of

Doctor of Philosophy

in

Pharmaceutical & Pharmacological Sciences

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2010

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## ABSTRACT

### Assessing the Influence of Coronary Heart Disease Knowledge, Perception of Personal Risk, and Delay Discounting of Future Health on Diet and Physical Activity

Kimberly Bosworth Blake

Although modifiable risk factors for coronary heart disease (CHD) can be favorably impacted by healthful diet and physical activity, health care providers face a population that generally exhibits unhealthy eating habits and sedentary lifestyles. Identifying strategies to improve the effectiveness of health care provider guidance is urgently needed to reduce CHD risk. The objective of this series of studies was to determine the association between CHD knowledge, perceived risk, and delay discounting and diet and physical activity (PA) levels in adults. The research design was cross-sectional and the methods included an online survey to obtain information regarding CHD knowledge, perceived risk, and preventive behaviors and a binary choice discounting procedure to elicit degree of discounting for hypothetical monetary and health rewards in an Appalachian population. The specific aims of the studies were: (1) To determine the association between knowledge and perceived risk of CHD and diet and PA in Appalachians, and (2) To evaluate the association between the degree of discounting of future health and diet and PA. In the first two studies, overall knowledge of CHD was positively correlated with both healthfulness of diet and PA levels, but these associations were no longer significant after controlling for demographic factors and other components of the HBM, including perceived risk of CHD, perceived severity of CHD, perceived benefits and barriers to preventive behaviors, self-efficacy, and cue to action. Contrary to the direction of association predicted by the HBM, perceived risk was negatively associated with diet and PA behaviors. Age, perceived barriers, self-efficacy and physician recommendations for lifestyle changes may also play a role based on their significance as predictors of dietary or PA behaviors. In the third study, degree of delay discounting was not associated with CHD preventive behaviors, specifically diet and PA. Perceived risk was negatively associated with preventive behaviors, but no association with degree of discounting was shown. When associations between value of the future and preventive behaviors were explored by BMI category, a positive correlation was demonstrated between value of the future and dietary behavior in underweight/healthy participants, but no association was found in overweight/obese participants.

## DEDICATION

I dedicate this dissertation to my mom, Gwen, my dad, Johnny, and my brother, Rick, who have made me who I am today, and to my best friend, Jake, without whom this would not have been possible.

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## CHAPTER 1

## **CHAPTER 1:**

### **BACKGROUND AND SIGNIFICANCE**

#### **Introduction**

In February of 2010, the American Heart Association (AHA) published its Strategic Impact Goal for 2020, which is to improve the cardiovascular health of all Americans by 20% while reducing deaths from cardiovascular diseases (CVD) and stroke by 20%.<sup>1</sup> CVD includes coronary heart disease (CHD) as well as congenital heart disease and venous thromboembolic disease. Cardiovascular health is defined by the AHA as absence of clinical CVD, combined with simultaneous presence of 4 favorable health factors and 4 favorable health behaviors. The health factors considered in this definition include abstinence from smoking in the past 12 months, total cholesterol <200 mg/dL, blood pressure <120/<80 mm Hg, and fasting blood glucose < 100 mg/dL. It is important to note that the definition does not include those who achieve ideal levels of these health factors through drug therapy, recognizing the benefit of having maintained or achieved ideal levels of these health factors throughout the individual's lifetime. The specific health behaviors considered in the definition of cardiovascular health include abstinence from smoking in the past 12 months, ideal body mass index (< 25 kg/m<sup>2</sup>), physical activity (PA) levels at goal (at least 150 minutes of moderate intensity or 75 minutes of vigorous intensity PA per week), and a dietary pattern that promotes cardiovascular health. Abstinence from smoking is considered both as a health behavior and a health factor due to its importance as a significant contributor to cardiovascular health.

## **Modifiable Risk Factors for Coronary Heart Disease**

As the leading cause of death in both men and women in industrialized nations, coronary heart disease (CHD) carries with it substantial negative clinical, economic, and humanistic consequences.<sup>2-4</sup> Fortunately, many risk factors for CHD are modifiable with lifestyle changes and drug therapy. Modifiable risk factors have been shown to account for over 90% of the risk of initial acute myocardial infarction.<sup>5</sup> Lowering the prevalence of these modifiable risk factors would lead to a substantial decrease in morbidity and mortality from coronary heart disease.<sup>1</sup> Behavioral risk factors are often the target of individual interventions (patient counseling delivered by health care providers and other health educators), as well as population-level interventions (through policy implementation or environmental change) with the purpose of producing changes in behavior necessary to reduce risk of CHD. Despite these efforts, lifestyle modifications can be difficult to adopt and even more difficult to maintain. A report by the Centers for Disease Control and Prevention states that maintenance of recommended lifestyle changes, including smoking cessation, medication adherence, diet, and exercise, was only 25-40% six months after initiation.<sup>6</sup>

## **CHD in the Appalachian Population**

The Appalachian region of the United States has been associated with a history of underdevelopment, leading to rurality, lower levels of socioeconomic status and education, and greatly increased rates of premature death.<sup>7</sup> Although economic

conditions have improved over the last several decades, the Appalachian region still fares worse than other regions of the United States in health outcomes related to coronary heart disease.<sup>8</sup> A culture of unhealthy lifestyle, including poor diet and sedentary behavior, are contributors to this trend.<sup>9, 10</sup>

## **Health Belief Model**

### *History of the Model*

Individual behavior change theories, such as the Health Belief Model (HBM), propose that factors such as individual perceptions of disease and costs vs. benefits of adopting health promoting behaviors together influence the likelihood an individual will make the desired behavior change.<sup>11</sup> The HBM was first described in the 1950s by Hochbaum, Rosenstock, Leventhal and Kegeles, researchers for the United States Public Health Service, and was expanded in 1974 by Marshall Becker of Johns Hopkins University.<sup>12</sup>

### *Components of the Model*

There are 6 main components to the model: *perceived severity* is the degree to which an individual believes the consequences of the health problem in question will be severe if left unchecked; *perceived susceptibility* (or perceived risk) is the degree to which an individual believes he or she is personally at risk for having the health problem; *perceived benefits* are the positive outcomes an individual expects will result

from taking the proposed action; *perceived barriers* are both the negative outcomes an individual believes may result from the action (costs), and any roadblocks he or she may face when attempting to adopt the behavior; *cues to action* are any external events or messages that motivate an individual to take preventive action; and *self-efficacy* is an individual's confidence in his or her ability to adopt the proposed behavior. According to a review of HBM research by Janz and Becker,<sup>13</sup> the most important components of the model in explaining health behavior are, in order of importance, perceived barriers, perceived risk, perceived benefits, and perceived severity. Factors demonstrated to modify the influence of these components on health behaviors include demographic (age, gender, ethnicity), sociopsychological (personality, social class, peer groups), and structural factors (knowledge about the health problem and prior experience).<sup>11</sup>

#### *Association between CHD Knowledge and Preventive Behaviors*

According to the HBM, an individual's knowledge of CHD (risk factors, clinical consequences, and positive effects of lifestyle changes) can improve the likelihood of adopting preventive behaviors by modifying perceptions of susceptibility, severity, benefits and barriers.<sup>11</sup> Studies assessing the relation between knowledge of CHD and preventive behaviors have demonstrated a positive association. Two studies in women with no prior history of CHD demonstrated a significant association between CHD knowledge and health promoting behaviors, including diet and PA.<sup>14, 15</sup> Another study in rural African-American men and women showed a significant association between knowledge of dietary risk factors of heart disease and healthy dietary practices.<sup>16</sup>

However, an association between CHD knowledge and preventive behavior was not demonstrated in a study in women with a current diagnosis of CHD, suggesting a possible lack of influence of knowledge once an individual has been diagnosed.<sup>17</sup> In addition, no association between reported levels of physical activity and knowledge of the relevance of physical activity to the development of heart disease was found in a study of college students.<sup>18</sup> The relative youth of the study population (ages ranged from 17 to 30 years) may help explain the lack of association. These studies demonstrate a potential association between CHD knowledge, diet and physical activity, but more research is needed to fully elucidate the relative strength of these associations and the factors which modify the relationships.

#### *Association between Perceived Risk of CHD and Preventive Behaviors*

The HBM also states that perception of personal risk of CHD is influential in the adoption of risk-reducing behaviors. The association between perceived personal risk of CHD and health promoting behavior has not been extensively studied, and the few existing studies show conflicting results. A significant correlation between perceived susceptibility and preventive behavior was demonstrated in women without prior history of heart disease, with perceived susceptibility alone accounting for more than half of the variance in preventive behavior.<sup>15</sup> In contrast, another study showed an increased likelihood of visiting a health care provider in the past year in women who perceived themselves at high risk for heart disease, but no association between high perceived risk and actions to improve diet or physical activity.<sup>19</sup> A study in women with CHD



demonstrated similar findings, with no significant correlation between perceived risk and diet, PA, and other risk-reducing behaviors.<sup>17</sup> No significant association was demonstrated between perceived risk of CHD and session attendance in a CHD exercise program.<sup>20</sup> In a prospective study assessing readiness for exercise adoption, a significant negative correlation was demonstrated between perceived heart disease risk and exercise adoption in men between the ages of 20 and 40, but no significant correlation in men over 40.<sup>21</sup> Perceived risk of CHD was assessed among college students and was shown to be significantly positively correlated with diet regulation in students identified as having Type B personality, whereas no significant correlation was found in students identified as having Type A personality.<sup>22</sup> These studies suggest that other factors, such as prior diagnosis of CHD and age, modify the influence of perceived risk on health promoting behaviors in individuals.

## **Delay Discounting**

### *Concept of Delay Discounting*

Delay discounting refers to the idea that individuals will discount the future to varying degrees depending on how far into the future rewards are received. This phenomenon is also known as time preference. A high rate of discounting indicates an individual's preference toward more immediate rewards and a lower value placed on the future. Traditional Discounted Utility Theory states that individuals discount the future at a constant rate per unit of delay (exponential discounting function).<sup>23</sup> With exponential discounting, relative preference for future outcomes will not change as the timing of the

choice of outcome moves in closer proximity to the receipt of the outcome. Contrary to this theory, research has demonstrated that actual behavior follows a more hyperbolic discounting function, where rewards are discounted more steeply in the near future, leveling off as delay to reward increases.<sup>24</sup> The implication of this discrepancy is that a preference reversal can occur, in which an individual changes his or her preference from the smaller, sooner reward (SSR) to the larger later reward (LLR) as delay to the SSR increases.

### *Biases in Delay Discounting*

Several biases related to how people discount health and money have been identified based on an accumulated body of literature incorporating both real and hypothetical rewards, and should be considered when applying delay discounting to health behaviors. Chapman and Elstein highlight four such biases: magnitude effect refers to the finding that individuals tend to discount small rewards to a greater degree than large rewards; sign effect refers to the tendency for individuals to discount gains more than losses; sequence effect describes the tendency for discount rates to be lower when outcomes are framed as a series of sequential outcomes, rather than a single outcome; and lastly, domain effect indicates that individuals tend to discount health to a greater degree than money.<sup>25</sup>

### *Delay Discounting in Addictive Behaviors*

Degree of delay discounting has been examined in regard to several negative health behaviors, and has been found to be greater in smokers,<sup>26</sup> alcohol abusers,<sup>27</sup> and illicit drug users<sup>28</sup> compared to controls. For example, one study demonstrated that current smokers have a significantly higher rate of discounting of monetary rewards compared to ex- and never-smokers.<sup>26</sup> Neuroimaging studies have investigated the association between delay discounting and activation of specific portions of the brain involved in impulsive choice. A study in abstinent alcoholics and non-substance abusing controls demonstrated a significant positive correlation between impulsive choice and activity in particular portions of the brain (the dorsal prefrontal cortex, the posterior parietal cortex, and the anterior parahippocampal gyrus), suggesting a possible biological mechanism for this behavior.<sup>29</sup> In addition to these effects in addictive disorders, discount rates have also been shown to be greater in children with Attention Deficit/Hyperactivity Disorder compared to controls.<sup>30</sup>

### *Delay Discounting in Preventive Health Behaviors*

Despite a growing body of literature on delay discounting in addictive behaviors, there have been relatively few studies of delay discounting in preventive health behaviors. In an early exploratory study by Fuchs, rate of delay discounting was not found to be associated with seat belt use, exercise frequency, being overweight, or frequency of dental visits.<sup>31</sup> However, the author suggested that the method used to

elicit discount rates in this study was flawed, leading to inconsistent results and the suggestion to refine survey methods, specifically, increasing the number of binary choices in future research. A more recent investigation by Chapman with 60 community-members in Chicago found a significant association between exercise frequency and discount rate, but in the counter-predicted direction.<sup>32</sup> In this research, participants completed discounting procedures for both health and monetary rewards, and were asked how many times per week they exercised, and how long they exercised during each session. Approximately half of the participants were recruited from an exercise class, possibly biasing the sample. Chapman suggested that the discrepancy in significance of association between discount rate and addictive behaviors compared to other health behaviors may be explained by the effect of addiction on time preferences, rather than vice versa.<sup>33</sup> In other words, an addictive substance itself may increase tendency to make impulsive choices (and thus produce a higher discount rate), due to biological effects on the brain. This idea is reinforced by research that has shown a decrease in discount rate with prolonged abstinence from addictive substances.<sup>34</sup>

In a large, nationally-representative sample of adults, degree of time preference explained more of the variance in diet quality than market or socio-cultural factors and was found to be a significant predictor of healthfulness of diet.<sup>35</sup> However, time preference was not measured directly, but assessed using proxy variables, including education, smoking, exercise, nutrition knowledge, and regular use of nutrition labels. Selection of these variables was based on their theoretical association with time

preference, and the authors suggest that studies utilizing more direct measures of future discounting are needed.

A pilot study of patients with hypertension revealed a significant association between discount rate and likelihood of altering diet and exercise behaviors.<sup>36</sup> In this study, implicit discount rates were inferred using five binary choice questions and imputed using interval regression. Individuals with an imputed discount rate in the highest quintile were compared to those with rates in the four lowest quintiles. However, likelihood of diet- and exercise-related behavior change was assessed indirectly using a single item that asked whether the individual would rather eat, drink, and live life the way they want and have poorer health in 5 years, or would rather forgo these habits and enjoy better health in 5 years. A more recently published study, conducted in a sample of adults 50 years of age or older and their spouses or partners, utilized a similar method to assess discount rates and demonstrated a significant association between high discount rate and lower rates of healthy behaviors, including weekly vigorous physical activity.<sup>37</sup> Health maintenance behaviors were assessed using data from the Health and Retirement Survey, and included mammograms, breast examinations, Pap smears, prostate examinations, dental visits, cholesterol testing, flu shots, and non-smoking status, in addition to physical activity. Higher discount rates were associated with significantly lower rates of all healthy behaviors, except for breast examination and Pap smears in women.

Several studies have also demonstrated a significant association between time preference and obesity.<sup>38-41</sup> Other studies have not found a significant association between time preference and obesity.<sup>42, 43</sup> While these studies may suggest that delay

discounting is associated with diet and physical activity behaviors, they did not look specifically at these behaviors. More research is needed to better understand the influence of delay discounting on preventive behaviors and obesity.

Axon, Bradford, and Egan suggest that degree to which individuals value the future relative to the present is an important attitudinal factor that should be incorporated into frameworks for health promotion.<sup>36</sup> Health behavior models, such as the HBM and others, have been criticized because they do not incorporate the concept of time preference.<sup>33</sup> Decisions regarding adoption of health behavior may involve a mental cost-benefit analysis.<sup>44</sup> According to the concept of delay discounting, delay to receipt of benefit is a salient factor in decision-making. Behaviors to prevent CHD lend themselves well to the theory of delay discounting because benefits of the behavior are delayed (decreased risk of future heart disease) and the opportunity costs of adopting the behavior are immediate (deprivation of pleasurable foods, increased meal preparation time, less time for sedentary activities, discomfort from physical exertion, etc). Among the most critical lifestyle changes needed to reduce CHD risk are adoption of a healthful diet and regular physical activity, as indicated by the AHA's new definition of cardiovascular health.<sup>1</sup> A better understanding by health care providers and health educators of the factors that influence likelihood to adhere to lifestyle change recommendations could positively impact health behavior, thereby decreasing the societal burden of CHD.

## Significance of the Study

### *Methods to Incorporate Time Preference into Patient Education*

Demonstration of an association between degree of delay discounting and likelihood of participation in preventive health behaviors would suggest that patient education strategies to counteract preference for immediate rewards would result in more successful attempts at behavior change. Bradford suggests that health messages that focus on proximal rather than long-term benefits may be more effective at motivating behavior change in individuals who are less future-oriented.<sup>37</sup> Ortendahl and Fries suggest that framing of risk can influence degree of discounting, thus improving adherence to lifestyle changes.<sup>45</sup> These same authors recommend that framing future health outcomes as large or important (utilizing magnitude effect) and framing health decisions as losses rather than gains (utilizing sign effect) may result in the individual choosing the course of action that improves long-term health.<sup>46</sup>

Given the tendency for preference reversal, any action which increases temporal distance between decision-making and receipt of the SSR (e.g., ice cream sundae) will improve the likelihood that an individual will forgo the SSR in favor of the LLR – in this case, long-term health. For instance, encouraging patients to shop at the grocery store for food that will be consumed in the upcoming week will result in choosing their food items days prior to consumption. This will decrease the chance that a tempting, unhealthy food item will be chosen over a more healthful alternative if presented immediately prior to consumption. Another method to encourage adherence to healthy lifestyle changes is the use of precommitment devices. Ariely and Wertenbach suggest

that precommitment strategies can assist individuals in adhering to intended lifestyle modifications rather than giving in to immediate gratification.<sup>47</sup> Precommitment to a desired behavior lengthens the temporal distance from the time of decision to the receipt of the SSR. This leads to a preference reversal, resulting in an increased likelihood that the individual will choose the more self-controlled option, i.e., long-term health. Monterosso and Ainslie suggest such strategies as (1) removal of the opportunity to engage in unwanted behavior (e.g., avoiding fast food restaurants), (2) making the unwanted behavior less desirable (e.g., announcing a resolution to a friend, which, if broken, would lead to embarrassment), or (3) partial precommitment, such as directing attention away from activities that prompt the unwanted behavior (e.g., avoiding watching too much television, which encourages sedentary behavior and snacking).<sup>48</sup>

#### *Methods to Incorporate Time Preference into Health Policy and Environmental Change*

It has been suggested that knowledge of mean discount rates for a specific population may be useful to inform the most effective means of health promotion intervention for that particular population.<sup>36</sup> Based on a potentially negative impact of delay discounting on health behavior, one-size-fits-all public health education messages that do not address differences in individual time preferences may not be effective in people with high rates of future discounting. Messages that focus more on short-term benefits or increase the salience of future benefits may be more effective at motivating behavior change.



Policy change at the level of employer-sponsored health plans could also utilize the concept of delay discounting to promote healthy behaviors. Changing the structure of financial incentives may increase the likelihood of adherence to health programs. For example, providing incentives with regular, frequent payouts rather than a single payout at some future time point may utilize bias toward immediate gains to counteract the impulse to engage in unhealthy behaviors. This strategy has been adopted by companies such as General Electric as part of their smoking cessation program.<sup>49</sup> Environmental changes that reduce immediate “costs” of healthy choices may also have a positive impact on health behavior. These might include increased affordability of fruits and vegetables, access to fitness centers in the workplace, or improved walkability in communities.

### *Impact of the Study*

This project is significant because it will contribute to our understanding of the factors that influence preventive behaviors, such as healthful diet and physical activity. Determining the factors that influence the association between CHD knowledge, perceived risk, degree of discounting of future health and diet and physical activity will address the gap in knowledge in these areas. The impact of this study is the development of more effective patient education strategies that can be used by healthcare providers and health educators to motivate changes necessary to reduce future health risks, as well as implementation of health policy and environmental changes that encourage healthful diet and physical activity behaviors.

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## CHAPTER 2

## **CHAPTER 2:**

# **ASSOCIATION AMONG CORONARY HEART DISEASE KNOWLEDGE, PERCEIVED RISK OF CORONARY HEART DISEASE AND DIETARY BEHAVIOR**

### **Introduction**

As the leading cause of death in both men and women in industrialized nations, coronary heart disease (CHD) carries with it substantial negative clinical, economic, and humanistic consequences.<sup>1-3</sup> Fortunately, many risk factors for CHD are modifiable with lifestyle changes and drug therapy. Modifiable risk factors have been shown to account for over 90% of the risk of initial acute myocardial infarction.<sup>4</sup> Such risk factors include behavioral factors (smoking, sedentary lifestyle, low fruit and vegetable consumption), physiologic factors (abdominal obesity, hypercholesterolemia, hypertension, diabetes), and psychosocial factors (depression, locus of control, perceived stress, life events). Lowering the prevalence of these modifiable risk factors would lead to a substantial decrease in morbidity and mortality from coronary heart disease.<sup>5</sup>

The Appalachian region of the United States has been associated with a history of underdevelopment, leading to rurality, lower levels of socioeconomic status and education, and greatly increased rates of premature death.<sup>6</sup> Although economic conditions have improved over the last several decades, the Appalachian region still fares worse than other regions of the United States in health outcomes related to coronary heart disease.<sup>7</sup> A culture of unhealthy lifestyle, including poor diet and sedentary behavior, are contributors to this trend.<sup>8, 9</sup>

Behavioral risk factors are often the target of individual interventions (patient counseling delivered by health care providers and other health educators), as well as population-level interventions (through policy implementation or environmental modifications) with the purpose of producing changes in behavior necessary to reduce risk of CHD. For example, modification of diet to include adequate amounts of fruits, vegetables, fish and whole grains and reduced amounts of saturated fat, sodium, and sugar-sweetened beverages is recommended by the American Heart Association to achieve cardiovascular health.<sup>5</sup> An emphasis has been placed on the effects of the whole diet and the importance of overall diet quality, given the multiple dietary factors that influence CHD risk.<sup>10</sup> Among these effects are weight status, lipid profile, blood pressure, and blood glucose levels. The primary goals of lifestyle change recommendations are to achieve energy balance and adequate nutrition, thus avoiding these deleterious effects that impact heart disease risk. Barriers to these goals include environmental factors that contribute to excess caloric intake, such as larger portion sizes, decreased access to healthy food options, and easy access to high-fat, high-calorie foods. This emphasizes the importance of both individual changes in dietary behaviors as well as environmental changes to maximize the impact on risk of CHD.

Despite efforts to improve behavioral risk factors for CHD, lifestyle modifications can be difficult to adopt and even more difficult to maintain. A report by the Centers for Disease Control and Prevention states that maintenance of recommended lifestyle changes, including smoking cessation, medication adherence, diet, and exercise, was only 25-40% six months after initiation.<sup>11</sup> Individual behavior change theories, such as the Health Belief Model (HBM), propose that factors such as individual perceptions of

disease and costs vs. benefits of adopting health promoting behaviors together influence the likelihood an individual will make the desired behavior change.<sup>12</sup> Components of the HBM include: *perceived severity, perceived susceptibility, perceived benefits, perceived barriers, cues to action, and self-efficacy*. Factors demonstrated to modify the influence of these components on health behaviors include demographic (age, gender, ethnicity), sociopsychological (personality, social class, peer groups), and structural factors (knowledge of the health problem and prior experience).<sup>12</sup> (Fig. 2.1) Research using the HBM has indicated associations between model components and dietary behaviors, and specifically that knowledge<sup>13-17</sup> and perceived personal risk of CHD<sup>14</sup> are positively correlated with these behaviors. These findings have not been consistent across populations, however, suggesting that other factors, such as age and health status, may influence the associations.

According to the HBM, an individual's knowledge of CHD (risk factors, clinical consequences, and positive effects of lifestyle changes) can improve the likelihood of adopting preventive behaviors by modifying perceptions of susceptibility, severity, benefits and barriers.<sup>12</sup> Studies assessing the relation between knowledge of CHD and preventive behaviors have demonstrated a positive association. Two studies in women with no prior history of CHD demonstrated a significant association between CHD knowledge and health promoting behaviors, including diet and physical activity.<sup>13, 14</sup> Another study in rural African-American men and women showed a significant association between knowledge of dietary risk factors of heart disease and healthy dietary practices.<sup>15</sup> A population-based study in Romania found a significant association between dietary preventive actions and nutrition knowledge (awareness of

diet/disease relationships, principals of nutrition, and food nutrient density).<sup>16</sup> A study in urban black men found that knowledge of fruit and vegetable recommendations was associated with greater consumption of fruits and vegetables, but level of awareness of recommendations was low.<sup>17</sup> However, an association between CHD knowledge and preventive behavior, including healthy diet, was not found in a study in women with a current diagnosis of CHD, suggesting a possible lack of influence of knowledge once an individual has been diagnosed.<sup>18</sup> These studies demonstrate a potential association between CHD knowledge and diet, but more research is needed to fully elucidate the relative strength of these associations and the factors which modify this relationship.

The HBM also states that perception of personal risk of CHD is influential in the adoption of risk-reducing behaviors.<sup>12</sup> The association between perceived personal risk of CHD and health promoting behavior has not been extensively studied, and the few existing studies show conflicting results. A significant correlation between perceived susceptibility and preventive behaviors, including diet and physical activity, was demonstrated in women without prior history of heart disease, with perceived susceptibility alone accounting for more than half the variance in preventive behavior.<sup>14</sup> In contrast, another study showed an increased likelihood of visiting a health care provider in the past year in women who perceived themselves at high risk for heart disease, but no association between high perceived risk and actions to improve diet or physical activity.<sup>19</sup> A study in women with CHD demonstrated similar findings, with no significant correlation between perceived risk and diet, physical activity, and other risk-reducing behaviors.<sup>18</sup> Perceived risk of CHD was assessed among college students and was shown to be significantly positively correlated with diet regulation in students

identified as having Type B personality, whereas no significant correlation was found in students identified as having Type A personality.<sup>20</sup> These studies suggest that other factors, such as a diagnosis of CHD and age, modify the influence of perceived risk on health promoting behaviors in individuals.

The primary objective of this study was to determine the association between CHD knowledge, perceived risk of CHD and dietary behavior in an Appalachian population. The rationale for the study is to inform communication strategies for health care providers in order to improve patient adoption of recommended dietary modifications to reduce risk of CHD. Our working hypothesis is that CHD knowledge and perceived risk of CHD will be associated with dietary behavior.

## **Methods**

### *Study Population*

The study population consisted of individuals who work or reside in a small college town within the Appalachian region, home to about 26,800 residents, 51% of whom are male and 90% are white.<sup>21</sup> Median household income is \$20,650 and 69% have greater than high school education. Participants were recruited using electronic postings and listserves, newspaper advertisements, and postings on community bulletin boards. Surveys were administered online and completed either off-site or in the research center. Inclusion criteria were age  $\geq$  18 years and ability to read and understand English. Individuals were excluded if they had a prior history of heart disease based on self-report (if they answered affirmatively to either of the following

questions: (1) “Have you ever been told by your healthcare provider that you have coronary heart disease, angina or have suffered a heart attack?” or (2) “Have you ever had coronary bypass surgery, coronary stent placement, or angioplasty?”) Approval was obtained from the university’s Institutional Review Board.

### *Questionnaire Battery*

The study design was cross-sectional and employed the use of an online questionnaire battery. The questionnaire battery contained items pertaining to demographic information, including gender, age, education, marital status, household income, height and weight, as well as instruments to measure each of the following constructs that comprise the HBM.

- The 16-item Food Behavior Checklist (FBC) was used to assess current dietary behaviors.<sup>22</sup> It contains 15 items regarding diet quality in terms of fruit and vegetable, fat/cholesterol, milk/dairy and sugar-sweetened beverage consumption, and one item that measures self-rating of diet quality. Published Cronbach’s alpha coefficients for this measure are 0.8 for fruit and vegetable intake, 0.61 for diet quality, and Spearman’s correlation was 0.47 for milk consumption (two items).<sup>23</sup>
- CHD knowledge was assessed by the 20-item modified Coronary Heart Disease Knowledge Test, which contains multiple-choice questions pertaining to CHD risk factors, diet, exercise, and stress.<sup>18, 24</sup> Published internal-

- consistency reliability for this scale is 0.84 for the original 40-item measure, assessed using the Kuder-Richardson formula 20 (KR-20).<sup>24</sup>
- Perception of risk of CHD was assessed using the 20-item Perception of Risk of Heart Disease Scale.<sup>25</sup> This measure consists of statements of risk, such as, “I feel sure I will get heart disease,” rated by the respondent on a four-point Likert-type scale, where 1=strongly disagree and 4 = strongly agree. Published Cronbach’s alpha for this scale is 0.80.<sup>25</sup>
  - Perceived severity was assessed using five items from the Perceived Seriousness of Coronary Heart Disease Scale.<sup>26</sup> It consists of statements such as, “The thought of coronary heart disease scares me,” and is rated by the respondent on a five-point Likert-type scale, where 1=strongly disagree and 5=strongly agree. Published Cronbach’s alphas for this scale are 0.71 to 0.73.<sup>26</sup>
  - Perceived benefits and barriers for CHD preventive behaviors, such as diet, exercise, and smoking cessation, were assessed by the 12-item Benefits Scale and 12-item Barriers Scale, respectively.<sup>27</sup> The benefits measure consists of statements such as, “Lowering salt in my diet may lessen my chance of high blood pressure,” and the barriers measure consists of statements such as, “I enjoy eating too much to change my diet.” For both measures, statements are rated by respondents on a four-point Likert-type scale, where 1=strongly disagree and 4=strongly agree. Internal consistency reliability coefficients (Cronbach’s alphas) for these measures are 0.72-0.79 for the benefits scale and 0.72-0.76 for the barriers scale.<sup>28</sup>



- Self-efficacy for healthful diet was assessed using the Eating and Exercise Confidence Scale, which contains 20 diet-related items.<sup>29</sup> These measures include statements describing behavioral changes (e.g., “Eat smaller portions at dinner”) and ask the respondent to rate his or her level of confidence in adopting and maintaining the behavior for at least six months, based on a five-point Likert-type scale where 1=I know I cannot and 5=I know I can. Published internal consistency reliability, assessed using Cronbach’s alpha, ranges from 0.85-0.93 for the five factors of the eating confidence scale.<sup>29</sup>
- Cue to action was assessed using the question, “Has your healthcare provider recommended that you change your diet to be healthier?”

Permission to use all instruments was obtained prior to the study.

### *Data Analysis*

Body Mass Index (BMI) (weight in kg/height in m<sup>2</sup>) was calculated using self-reported height and weight, and used to categorize participants as underweight (<18.5), healthy weight (18.5-24.5), overweight (25-29.5), or obese (≥ 30). Scores for the instruments were calculated using standard scoring mechanisms, when available, such that higher scores indicated higher levels of the constructs (e.g., higher diet score = healthier diet). These scores, along with gender, age (years), education (≤ high school, > high school), total annual household income (< \$70,000, \$70,000 or more), marital status (single, married, widowed/divorced/separated) and cue to action (yes, no) were analyzed using hierarchical linear regression to determine the association between

knowledge of CHD, perceived CHD risk and dietary behavior. To examine effect on dietary behavior, the score for diet quality was utilized as the dependent variable, while scores for the remaining instruments related to diet were utilized as independent variables. Dummy variables for gender, income, education, marital status, and cue to action, and a continuous variable representing age were also entered as independent variables. Demographic variables were entered in the first step, followed by variables representing perceived seriousness, perceived benefits, perceived barriers, self-efficacy and cue to action in the second to determine the unique variance in dietary behavior explained by the model, after accounting for demographic factors. Perceived risk and CHD knowledge were entered in the third and fourth steps, respectively, to determine additional variance in behavior explained by each. Standardized beta coefficients were used to assess direction of association between each independent variable and behavior, holding other factors constant. PASW (version 18.0.0) was used for data analysis.<sup>30</sup>

## **Results**

### *Demographics of the Sample*

373 participants completed the online questionnaire. Demographic characteristics of the sample appear in Table 2.1. Of the respondents, the majority was female, currently married, had greater than high school education, and had a total household income < \$70,000. Mean age was 42 years  $\pm$  12.77. More than half (55%)

of participants were categorized as either overweight or obese based on self-reported height and weight.

### *Dietary Behavior and HBM Component Scales*

Reliability and descriptive statistics for the measures appear in Table 2.2. Slightly more than one third (35.7%) of participants met the national guidelines for fruit and vegetable consumption, reporting at least 2 servings of fruit and 3 servings of vegetables daily. A greater percentage of participants met the guidelines for fruit consumption than met the guidelines for vegetable consumption (64.3% vs. 43.4%). Internal consistency reliability for each of the HBM component scales was examined using Cronbach's alpha coefficients. Results indicated good reliability ( $\alpha > 0.7$ ) for most scales, with the exception of Perceived Severity ( $\alpha = 0.621$ ) and Knowledge ( $\alpha = 0.463$ ). Average percent correct for the knowledge test was 68.8% (69.8% for risk factor knowledge, 77.5% for diet knowledge, 68.8% for exercise knowledge, and 65.2% for stress knowledge.) On a scale of 20-80, mean score for perceived risk was  $54.7 \pm 6.05$ , indicating a moderate perception of risk among participants. Mean score for perceived severity of CHD was  $16.5 \pm 2.59$  on a scale of 5-25. There was a much greater perception of benefits of preventive behavior among respondents compared to barriers, with mean scores of  $41.8 \pm 4.40$  and  $22.4 \pm 4.81$ , respectively (on a scales of 12-48). Respondents also indicated a high degree of diet-related self-efficacy, with a mean score of  $4.0 \pm 0.57$  on a scale of 1-5. About a third (31.4%) of participants reported having received a healthcare provider recommendation to improve their diet. Mean self-

rating of diet quality was  $6.3 \pm 1.95$  on a scale of 1 to 10, with 10 being the highest quality rating.

### *Examination for Outliers and Normality of Distributions*

Data were examined for outliers and to ensure normality of distribution for variables used in the model. Calculating z scores and using  $> 3$  standard deviations from the mean as the criterion for exclusion, eight cases were excluded from the regression model. Final sample size for the regression, after removal of outliers and listwise deletion for missing values, was  $n=359$ . Skewness and kurtosis were in the acceptable range for all variables, except for perceived benefits and age, which had bimodal distributions, and knowledge, which was slightly negatively skewed.

### *Correlations and Mean Comparisons*

Pearson product-moment bivariate correlation coefficients were calculated for all continuous variables in the model (Table 2.3). Knowledge, perceived benefits, self-efficacy for diet, and self-rating of diet quality were significantly positively correlated with healthfulness of diet (all  $p < .01$ ); and perceived risk ( $p < .001$ ), perceived severity ( $p = .04$ ) and perceived barriers ( $p < .001$ ) were significantly negatively correlated with healthfulness of diet. Mean diet score was significantly lower for those respondents who reported receiving a recommendation to improve diet from their healthcare provider ( $24.5 \pm 7.40$ ) compared to those who did not ( $28.5 \pm 7.28$ ) [ $t(367) = 4.77$ ,  $p < .001$ ].

Mean diet score was significantly lower for participants identified as being overweight or obese ( $25.7 \pm 7.48$ ) compared to those categorized as underweight or at a healthy weight ( $29.2 \pm 7.18$ ) [ $t(360) = 4.41, p < .001$ ].

### *Hierarchical Regression*

Results of the hierarchical regression are shown in Table 2.4. The final model explained 31.4% of the variance in dietary behaviors, using adjusted  $R^2$ . Demographic variables alone explained only 4% of the variance in dietary behaviors. There was a significant improvement over Step 1 with the addition of perceived severity, perceived benefits, perceived barriers, self-efficacy, and cue to action, which explained an additional 25.5% of the variance in dietary behavior. The  $R^2$  change for Step 3 was .021 ( $p = .001$ ), indicating that perceived risk explained an additional 2% of variance over the model that contained other HBM components as well as demographic variables. Finally, when overall CHD knowledge was added (in Step 4) the additional variance explained was insignificant. In the final model, low perceived risk, low perceived barriers, high perceived self-efficacy and having received no cue to action from a healthcare provider were significant predictors of increased healthfulness of diet. Durbin-Watson test statistic for the model was 1.997, which is in the acceptable range of 1.5 to 2.5, indicating there were no issues with autocorrelation in residuals. Variance inflation factor (VIF) and tolerance values for all variables in the model fell within the acceptable ranges of  $< 4$  and  $> 0.2$ , respectively, indicating no concerns with multicollinearity. To test for heteroskedasticity, a histogram of standardized residuals

was inspected for normality of distribution. Skewness and kurtosis were found to be acceptable, indicating no major issues with heterogeneity of variance.

Because overall score on the knowledge test was not a significant predictor of healthfulness of diet, the regression was repeated, using the domain-specific knowledge subscale score, diet knowledge. Greater knowledge pertaining to diet became a significant predictor of increased healthfulness of diet (Beta=.173,  $p<.001$ ). This model explained 33.4% of the variance in dietary behavior.

## **Discussion**

In this sample of adults residing in an Appalachian community, healthfulness of diet was found to be associated with greater diet-specific CHD knowledge, lower perceived risk of CHD, lower perceived barriers to CHD-preventive behavior, higher self-efficacy for diet modification and not having been advised by a healthcare provider to improve diet.

As hypothesized, knowledge and perceived risk were correlated with dietary behavior, with knowledge demonstrating a weaker association than perceived risk. Although overall knowledge about CHD was not a significant predictor of healthfulness of diet after adjusting for demographic variables and other components of the HBM, diet-specific knowledge was significant, indicating that individuals with greater knowledge about benefits of dietary modifications to reduce risk of CHD are more likely to consume a healthier diet. This supports other studies that have found a significant association between CHD knowledge and preventive dietary behaviors.<sup>13-17</sup> A

qualitative study assessing determinants of fruit and vegetable consumption demonstrated that most participants were knowledgeable of the health benefits associated with consuming fruits and vegetables, but cited high cost and perceived lack of time as barriers to adhering to guidelines.<sup>31</sup> While education alone is not sufficient to change behavior, it is important to provide individuals with proper education regarding the association between diet and CHD risk in order to lay a foundation for motivating behavior change.

As hypothesized, perceived risk of CHD was associated with healthfulness of diet, but in the counter-predicted direction, so that lower perceived risk was associated with increased healthfulness of diet. This may be due to the fact that individuals who practice healthy dietary habits accurately assess that they are at lower risk for CHD because of their behavior. This explanation is in agreement with the risk reappraisal hypothesis, which states that individuals who perceive themselves at high risk for disease may adopt preventive behavior, and subsequently reassess their risk as lower after adoption of the behavior.<sup>32</sup> This hypothesis was tested and supported in a longitudinal study that assessed Lyme disease vaccination and risk perception.<sup>32</sup> Individuals who perceived themselves to be at higher risk for Lyme disease at Time 1 were more likely to get vaccinated. Those who subsequently received the vaccine were found to have a lower perceived risk at Time 2 compared with Time 1. In addition, those who received the vaccine more accurately assessed their risk, having a lower perception of risk compared to those who did not receive the vaccine. Depending upon the time at which perceived risk and behavior are measured, results may demonstrate an association between perceived risk and preventive behavior in the direction opposite

that predicted by the HBM, which holds that a higher perception of risk of disease leads to increased likelihood of participation in preventive behaviors. Unfortunately, this phenomenon cannot always be avoided in cross-sectional studies such as this one.

Cue to action (report that an individual had received advice from his or her health care provider to improve diet) was also significant in the counter-predicted direction, possibly indicating that individuals who already practice healthy dietary habits are less likely to be advised by their healthcare provider to improve their habits. This may also indicate that such advice does little to motivate those who practice unhealthy behaviors to improve. Further research that captures time course of recommendations and behavior change are needed to determine the true nature of this association.

As predicted by the HBM, lower perceived barriers and higher perceived self-efficacy were significant predictors of healthfulness of diet. This emphasizes the importance of improved access to healthy food options in order to reduce barriers to healthy eating. Self-efficacy has been included in several health promotion models to explain adoption of preventive behavior, and seems to be a key motivating factor. A study in college students demonstrated that self-efficacy directly impacts nutrition and physical activity preventive behavior in the positive direction when threat of disease is perceived as low.<sup>33</sup> However, when perceived threat is high, perceived barriers moderated this relationship. The strong relationship between self-efficacy and preventive behavior suggests a need for patient counseling which assists individuals in developing confidence and skills to avoid temptation to indulge in unhealthy foods.



The model predicted only about one-third of the variance in behavior, indicating that other factors, such as family history, may also play a role. We did not collect information on family history. Experiencing heart disease in a loved one could serve as a cue to action to motivate adoption of preventive behavior, especially given the influence of family history on actual risk of CHD. Incorporating family history of CHD in future studies could shed more light on its influence on preventive behavior.

As is true for cross-sectional survey research in general, these results must be interpreted with caution in light of several limitations. First, the cross-sectional nature of the study design does not allow for establishment of causation. Second, all measures were based on self-report, introducing the potential for recall or social desirability bias. Third, the sample tended to be highly educated and mostly female, limiting generalizability to other populations or settings. Although the intent was to capture a sample that was representative of an Appalachian population, the resulting sample did not display the demographic characteristics most often associated with Appalachians. Fourth, results should be interpreted with caution given violations of the assumption of normality for some variables used in the model. Lastly, reliability of the instruments to measure CHD knowledge and perceived severity were lower than the generally accepted threshold of 0.7.<sup>34</sup> The perceived severity instrument consisted of only 5 items, and was therefore susceptible to lower reliability. The decreased reliability of the knowledge instrument could be due to the fact that the various subscales (risk factors, diet, exercise, and stress reduction) were unrelated to one another, and it would not be expected that an individual who is knowledgeable about dietary factors related to CHD would necessarily be knowledgeable about exercise-related factors. However, reliability

for the larger 40-item measure was shown to be adequate in prior research.<sup>24</sup> Low reliability of the knowledge instrument may have influenced the lack of association between overall CHD knowledge and behavior demonstrated in this study, but this is unlikely due to the significant zero-order correlation found between these two constructs, without adjustment for other variables.

### *Conclusion*

Dietary knowledge is positively associated with healthfulness of diet, while perceived risk is negatively associated. Other components of the HBM also play a role, such as perceived barriers, self-efficacy and cue to action. A better understanding of the factors that are associated with healthfulness of diet can inform patient education, as well as environment and policy change to help motivate healthy behavior.

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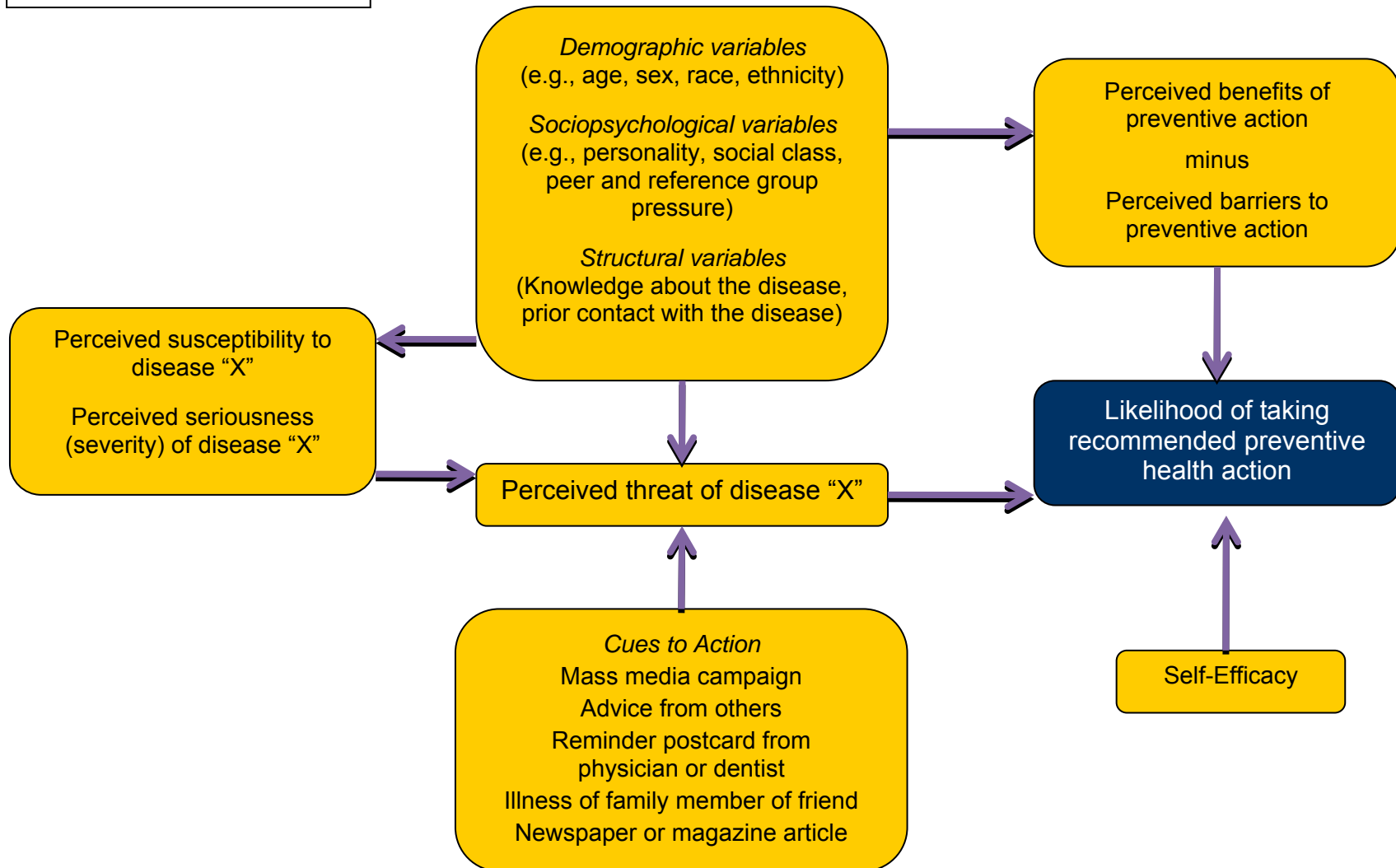
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**Figure 2.1: The Health Belief Model**  
(adapted from Rosenstock, 1974)



**Table 2.1: Demographic Characteristics of the Sample**

Demographic Category		Percent
Age (years)	18-29	23.8
	30-54	59.5
	55 and up	16.7
Gender	Male	15.3
	Female	84.7
Education	High School	8.6
	Some College or College Degree	91.4
Marital Status	Single	27.6
	Married	59.8
	Separated/Divorced/Widowed	12.6
Annual Household Income	< \$20,000	8.3
	\$20,000 - \$69,999	46.9
	\$70,000 - \$139,999	38.1
	\$140,000 or more	6.7
BMI Category	Underweight	1.6
	Healthy Weight	43.5
	Overweight	30.9
	Obese	24.0



**Table 2.2: Components of the Health Belief Model and Instruments Used for Measurement**

<b>Component of HBM</b>	<b>Instrument/Measure</b>	<b>Scale</b>	<b>Mean Score (SD)</b>	<b>Internal consistency reliability*</b>
Dietary Behavior	Food Behavior Checklist	0-48	27.3 (7.53)	0.739
Knowledge of CHD	Modified Coronary Heart Disease Knowledge Test	0-20	13.8 (2.25)	0.463
Perceived Risk of CHD	Perception of Risk of Heart Disease Scale	20-80	54.7 (6.05)	0.790
Perceived Severity of CHD	Perceived Seriousness of Coronary Heart Disease Scale	5-25	16.5 (2.59)	0.621
Benefits of Behavior	Benefits Scale	12-48	41.8 (4.40)	0.864
Barriers to Behavior	Barriers Scale	12-48	22.4 (4.81)	0.821
Self-efficacy for Diet	Eating and Exercise Confidence Scale – Nutrition portion	1-5	4.0 (0.57)	0.856
Cue to Action for Diet	“Has your health care provider recommended that you change your diet to be healthier?”	Yes/No	NA	NA

\*Cronbach’s alpha coefficients, except for Knowledge of CHD, which was assessed using Kuder-Richardson formula 20

**Table 2.3: Pearson Correlations**

	Diet	Knowledge	Risk	Severity	Benefits	Barriers	Self-efficacy_diet	Age	Self-rating of diet quality
<b>Diet</b>	1								
<b>Knowledge</b>	.139**	1							
<b>Risk</b>	-.271**	-.038	1						
<b>Severity</b>	-.107*	-.142**	.224**	1					
<b>Benefits</b>	.227**	.033	-.042	.000	1				
<b>Barriers</b>	-.409**	-.129*	.193**	.150**	-.593**	1			
<b>Self-efficacy_diet</b>	.473**	-.021	-.186**	-.161**	.250**	-.496**	1		
<b>Age</b>	.033	-.038	.204**	-.092	-.141**	.044	.015	1	
<b>Self-rating of diet quality</b>	.623**	.142**	-.459**	-.228**	.239**	-.517**	.488**	-.003	1

\*Significant at the 0.05 level (two-tailed)

\*\*Significant at the 0.01 level (two-tailed)

**Table 2.4: Hierarchical Regression – Food Behavior Checklist Score as Dependent Variable**

Predictor	Step 1		Step 2		Step 3		Step 4	
	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p
Female Dummy	.114*	.030	.081	.075	.073	.103	.073	.104
Married Dummy	-.059	.393	.043	.477	.056	.349	.058	.330
Separated/Divorced/ Widowed Dummy	-.083	.189	-.024	.664	-.010	.851	-.013	.805
> High School Dummy	.177**	.001	.105*	.026	.114*	.015	.089	.067
Income ≥ \$70K Dummy	.085	.155	.053	.301	.051	.315	.042	.408
Age	.080	.176	.070	.179	.097	.064	.097	.063
Perceived Severity			.026	.571	.054	.252	.064	.174
Perceived Benefits			.002	.973	.026	.640	.031	.587
Perceived Barriers			-.183**	.005	-.161*	.012	-.147*	.022
Self-Efficacy – Diet			.343***	<.001	.326***	<.001	.339***	<.001
Cue to Action Dummy			-.160**	.001	-.120*	.015	-.114*	.021
Perceived Risk					-.162**	.001	-.165**	.001
CHD Knowledge							.089	.062

\*p<.05, \*\*p<.01, \*\*\*p<.001

Model Fit Statistics: Step 1:  $R^2 = .057$ ,  $Adj R^2 = .041$ ,  $F(6,352) = 3.54^{**}$ ,  $R^2$  change =  $.057^{**}$ ; Step 2:  $R^2 = .312$ ,  $Adj R^2 = .290$ ,  $F(11,347) = 14.28^{***}$ ,  $R^2$  change =  $.255^{***}$ ; Step 3:  $R^2 = .332$ ,  $Adj R^2 = .309$ ,  $F(12,346) = 14.35^{***}$ ,  $R^2$  change =  $.021^{**}$ ; Step 4:  $R^2 = .339$ ,  $Adj R^2 = .314$ ,  $F(13,345) = 13.61^{***}$ ,  $R^2$  change =  $.007$

## CHAPTER 3

**CHAPTER 3:**  
**USE OF THE HEALTH BELIEF MODEL TO EXPLAIN PHYSICAL ACTIVITY**  
**BEHAVIOR: WHAT IS THE ASSOCIATION WITH CORONARY HEART DISEASE**  
**KNOWLEDGE AND PERCEIVED PERSONAL RISK?**

**Introduction**

The prevalence of coronary heart disease (CHD) is estimated at 7.9% in US adults 20 years of age and older.<sup>1</sup> West Virginia has the highest rate of self-reported history of myocardial infarction (MI) (7.7%) and of self-reported angina or CHD (8.1%) in the US.<sup>2</sup> CHD is associated with substantial negative clinical, economic, and humanistic consequences.<sup>3-5</sup> Modifiable risk factors account for much of the risk of heart disease, providing opportunities to decrease this societal burden.<sup>6</sup> Sedentary lifestyle is among these modifiable risk factors, and is associated with several other chronic diseases, including obesity, type 2 diabetes, osteoporosis, depression, and breast and colon cancers.<sup>7</sup> In a large, international case-control study, physical inactivity alone accounted for 12.2% of the risk of initial acute MI, after adjusting for other risk factors, including smoking, diabetes, hypertension, abdominal obesity, fruit and vegetable consumption, alcohol intake, lipid profile, and psychosocial factors.<sup>6</sup> In 2001, the estimated direct expenditure for cardiovascular disease associated with physical inactivity was \$23.7 billion.<sup>1</sup>

The Appalachian region of the United States has been associated with a history of underdevelopment, leading to rurality, lower levels of socioeconomic status and

education, and greatly increased rates of premature death.<sup>8</sup> Although economic conditions have improved over the last several decades, the Appalachian region still fares worse than other regions of the United States in health outcomes related to coronary heart disease.<sup>9</sup> A culture of unhealthy lifestyle, including poor diet and sedentary behavior, are contributors to this trend.<sup>10, 11</sup>

The benefits of physical activity (PA) to prevent heart disease are well-documented.<sup>7</sup> There appears to be a dose-dependent relationship, with studies demonstrating decreasing rates of CHD with increasing levels of PA.<sup>12</sup> Regular PA has been shown to modify risk factors for CHD by increasing high-density lipoprotein-C levels, decreasing triglyceride and low-density lipoprotein-C levels, lowering systolic and diastolic blood pressure, reducing insulin resistance and glucose intolerance, and helping to achieve and maintain weight loss.<sup>7</sup> The American Heart Association recommends that, for maintenance of heart health, individuals should get 150 minutes or more per week of moderate physical activity or 75 minutes or more per week of vigorous physical activity (or an equivalent combination of the two).<sup>13</sup>

Despite efforts to improve behavioral risk factors for CHD, lifestyle modifications can be difficult to adopt and even more difficult to maintain. A report by the Centers for Disease Control and Prevention states that maintenance of recommended lifestyle changes, including smoking cessation, medication adherence, diet, and exercise, was only 25-40% six months after initiation.<sup>14</sup> Individual behavior change theories, such as the Health Belief Model (HBM), propose that factors such as individual perceptions of disease and costs vs. benefits of adopting health promoting behaviors together influence the likelihood an individual will make the desired behavior change.<sup>15</sup>

Components of the HBM include: *perceived severity, perceived susceptibility, perceived benefits, perceived barriers, cues to action, and self-efficacy.* (Fig. 3.1)

Factors demonstrated to modify the influence of these components on health behaviors include demographic (age, gender, ethnicity), sociopsychological (personality, social class, peer groups), and structural factors (knowledge of the health problem and prior experience).<sup>15</sup> Research using the HBM has indicated associations between model components and physical activity behaviors, and specifically that knowledge<sup>16, 17</sup> and perceived personal risk of CHD<sup>17</sup> are positively correlated with these behaviors. These findings have not been consistent across populations, however, suggesting that other factors, such as age and health status, may influence the associations.

According to the HBM, an individual's knowledge of CHD (risk factors, clinical consequences, and positive effects of lifestyle changes) can improve the likelihood of adopting preventive behaviors by modifying perceptions of susceptibility, severity, benefits and barriers.<sup>15</sup> Studies assessing the relation between knowledge of CHD and preventive behaviors have demonstrated a positive association. Two studies in women with no prior history of CHD demonstrated a significant association between CHD knowledge and health promoting behaviors, including diet and PA.<sup>16, 17</sup> However, an association between CHD knowledge and preventive behavior, including physical activity, was not found in a study in women with a current diagnosis of CHD, suggesting a possible lack of influence of knowledge once an individual has been diagnosed.<sup>18</sup> In addition, no association between reported levels of physical activity and knowledge of the relevance of physical activity to the development of heart disease was found in a study of college students.<sup>19</sup> The relative youth of the study population (ages ranged

from 17 to 30 years) may help explain the lack of association. These studies demonstrate a potential association between CHD knowledge and physical activity, but more research is needed to fully elucidate the relative strength of these associations and the factors which modify this relationship.

The HBM also states that perception of personal risk of CHD is influential in the adoption of risk-reducing behaviors.<sup>15</sup> The association between perceived personal risk of CHD and health promoting behavior has not been extensively studied, and the few existing studies show conflicting results. A significant correlation between perceived susceptibility and preventive behavior, including diet and physical activity, was demonstrated in women without prior history of heart disease, with perceived susceptibility alone accounting for more than half the variance in preventive behavior.<sup>17</sup> In contrast, another study showed an increased likelihood of visiting a health care provider in the past year in women who perceived themselves at high risk for heart disease, but no association between high perceived risk and actions to improve diet or physical activity.<sup>20</sup> A study in women with CHD demonstrated similar findings, with no significant correlation between perceived risk and diet, PA, and other risk-reducing behaviors.<sup>18</sup> No significant association was demonstrated between perceived risk of CHD and session attendance in a CHD exercise program.<sup>21</sup> In a prospective study assessing readiness for exercise adoption, a significant negative correlation was demonstrated between perceived heart disease risk and exercise adoption in men between the ages of 20 and 40, but no significant correlation in men over 40.<sup>22</sup> These studies suggest that other factors, such as prior diagnosis of CHD and age, modify the influence of perceived risk on health promoting behaviors in individuals.



The primary objective of this study was to determine the association between knowledge and perceived risk of CHD and PA levels in an Appalachian population. The rationale for the study is to inform communication strategies for health care providers in order to improve patient adoption of recommended levels of PA to reduce risk of CHD. Our working hypothesis is that CHD knowledge and perceived risk of CHD will be associated with level of PA.

## **Methods**

### *Study Population*

The study population consisted of individuals who work or reside in a small college town within the Appalachian region, home to about 26,800 residents, 51% of whom are male and 90% are white.<sup>23</sup> Median household income is \$20,650 and 69% have greater than high school education. Participants were recruited using electronic postings and listserves, newspaper advertisements, and postings on community bulletin boards. Surveys were administered online and completed either off-site or in the research center. Inclusion criteria were age  $\geq$  18 years and ability to read and understand English. Individuals were excluded if they had a prior history of heart disease based on self-report (if they answered affirmatively to either of the following questions: (1) "Have you ever been told by your healthcare provider that you have coronary heart disease, angina or have suffered a heart attack?" or (2) "Have you ever had coronary bypass surgery, coronary stent placement, or angioplasty?") Approval was obtained from the university's Institutional Review Board.

### *Questionnaire Battery*

The study design was cross-sectional and employed the use of an online questionnaire battery. The questionnaire battery contained items pertaining to demographic information, including gender, age, education, marital status, household income, height and weight, as well as instruments to measure each of the following constructs that comprise the HBM.

- Physical activity levels were assessed using six physical activity items from the Behavioral Risk Factor Surveillance System Survey (BRFSS).<sup>24</sup> These items assessed self-reported levels of moderate and vigorous physical activity in a usual week in terms of minutes per day and days per week and were used to calculate minutes of moderate PA per week (or the equivalent, counting each minute of vigorous PA as two minutes of moderate PA).
- CHD knowledge was measured using the 20-item modified Coronary Heart Disease Knowledge Test, which contains multiple-choice questions pertaining to CHD risk factors, diet, exercise, and stress.<sup>18, 25</sup> Published internal-consistency reliability for this scale is 0.84 for the original 40-item measure, assessed using the Kuder-Richardson formula 20 (KR-20).<sup>25</sup>
- Perception of risk of CHD was assessed using the 20-item Perception of Risk of Heart Disease Scale.<sup>26</sup> This measure consists of statements of risk, such as, “I feel sure I will get heart disease,” rated by the respondent on a four-point Likert-

type scale, where 1=strongly disagree and 4 = strongly agree. Published Cronbach's alpha for this scale is 0.80.<sup>26</sup>

- Perceived severity was assessed using five items from the Perceived Seriousness of Coronary Heart Disease Scale.<sup>27</sup> It consists of statements such as, "The thought of coronary heart disease scares me," and is rated by the respondent on a five-point Likert-type scale, where 1=strongly disagree and 5=strongly agree. Published Cronbach's alphas for this scale are 0.71 to 0.73.<sup>27</sup>
- Perceived benefits and barriers for preventive behavior were assessed by the 12-item Benefits Scale and 12-item Barriers Scale, respectively.<sup>28</sup> The benefits measure consists of statements such as, "Regular exercise may decrease my chances of a heart attack," and the barriers measure consists of statements such as, "Family can often get in the way when I want to make healthy changes." For both measures, statements are rated by respondents on a four-point Likert-type scale, where 1=strongly disagree and 4=strongly agree. Internal consistency reliability coefficients (Cronbach's alphas) for these measures are 0.72-0.79 for the benefits scale and 0.72-0.76 for the barriers scale.<sup>29</sup>
- Self-efficacy for physical activity was assessed by the Eating and Exercise Confidence Scale, which contains 12 exercise-related items.<sup>30</sup> These measures ask the respondent to rate his or her level of confidence with statements such as, "Get up early, even on weekends, to exercise," based on a five-point Likert-type scale where 1=I know I cannot and 5=I know I can. Published internal consistency reliabilities, assessed using Cronbach's alpha, are 0.83 and 0.85 for the two factors of the exercise confidence scale.<sup>30</sup>

- Cue to action for physical activity was assessed using the question, “Has your healthcare provider recommended that you increase your level of physical activity to be healthier?”

Permission to use all instruments was obtained prior to the study.

### *Data Analysis*

Body Mass Index (BMI) (weight in kg/height in m<sup>2</sup>) was calculated using self-reported height and weight, and used to categorize participants as underweight (<18.5), healthy weight (18.5-24.5), overweight (25-29.5), or obese (≥ 30). Scores for the instruments were calculated using standard scoring mechanisms, when available, such that higher scores indicated higher levels of the constructs (e.g., higher perceived risk score = greater perception of risk). These scores, along with gender, age (years), education (≤ high school, > high school), total annual household income (< \$70,000, \$70,000 or more), marital status (single, married, widowed/divorced/separated) and cue to action (yes,no) were analyzed using hierarchical linear regression to determine the association between knowledge of CHD, perceived CHD risk and behavior. The score for physical activity was utilized as the dependent variable, while scores for the remaining measures related to PA were utilized as independent variables. Dummy variables for gender, income, education, marital status, and cue to action, and a continuous variable representing age were also entered as independent variables. Demographic variables were entered in the first step, followed by variables representing perceived severity, perceived benefits, perceived barriers, self-efficacy and cue to

action in the second to determine the unique variance in PA behavior explained by the model, after accounting for demographic factors. Perceived risk and CHD knowledge were entered in the third and fourth steps, respectively, to determine additional variance in behavior explained by each. Standardized beta coefficients were used to assess strength of association between knowledge and behavior, and perceived risk and behavior, holding other factors constant. PASW (version 18.0.0) was used for data analysis.<sup>31</sup>

## **Results**

### *Demographics of the Sample*

373 participants completed the online questionnaire. Demographic characteristics of the sample appear in Table 3.1. Of the participants, the majority was female, currently married, had greater than high school education, and had a total household income < \$70,000. Mean age was 42 years  $\pm$  12.77. More than half (55%) of participants were categorized as either overweight or obese based on self-reported height and weight.

### *Physical Activity and HBM Component Scales*

Reliability and descriptive statistics for the measures appear in Table 3.2. Using the physical activity guidelines for adults<sup>32</sup> (at least 150 minutes per week of moderate or 75 minutes per week of vigorous physical activity, or an equivalent combination of the

two) 69.4% of participants met the guidelines. Internal consistency reliability for each of the HBM component scales was examined using Cronbach's alpha coefficients. Results indicated good reliability ( $\alpha > 0.7$ ) for most scales, with the exception of Perceived Severity ( $\alpha = 0.621$ ) and Knowledge ( $\alpha = 0.463$ ). Average percent correct for the knowledge test was 68.8% (69.8% for risk factor knowledge, 77.5% for diet knowledge, 68.8% for exercise knowledge, and 65.2% for stress knowledge.) On a scale of 20-80, mean score for perceived risk was  $54.7 \pm 6.05$ , indicating a moderate perception of risk among participants. Mean score for perceived severity of CHD was  $16.5 \pm 2.59$  on a scale of 5-25. There was a much greater perception of benefits of preventive behavior among respondents compared to barriers, with mean scores of  $41.8 \pm 4.40$  and  $22.4 \pm 4.81$ , respectively (on a scales of 12-48). Respondents also indicated a moderately high degree of PA-related self-efficacy, with a mean score of  $3.6 \pm 0.89$  on a scale of 1-5. Almost half (43.4%) of participants reported having received a healthcare provider recommendation to increase their physical activity levels.

#### *Examination for Outliers and Normality of Distributions*

Data were examined for outliers and to ensure normality of distribution for variables used in the model. Calculating z scores and using  $> 3$  standard deviations from the mean as the criterion for exclusion, eleven cases were excluded from the model as outliers. After exclusion of these outliers, skewness and kurtosis for all continuous variables fell within the desired range except for PA score, (which was positively skewed), perceived benefits and age, which had bimodal distributions, and

knowledge, which was slightly negatively skewed. PA score was transformed using a square root transformation, which resulted in a distribution that more closely approximated normal, with skewness and kurtosis within the acceptable range.

### *Correlations and Mean Comparisons*

Pearson product-moment bivariate correlation coefficients were calculated for all continuous variables in the model (Table 3.3). Overall CHD knowledge was significantly positively correlated with PA level ( $p=.014$ ). Perceived benefits and self-efficacy for exercise were also significantly positively correlated with PA level, and perceived risk, perceived barriers and age were significantly negatively correlated with PA level (all  $ps<.01$ ). Mean square root-transformed PA score was significantly lower for those respondents who reported receiving a recommendation to increase their levels of PA from their healthcare provider ( $12.8 \pm 7.28$ ) compared to those who did not ( $19.7 \pm 8.70$ ) [ $t(354) = 8.14, p<.001$ ]. Mean square root-transformed PA score was significantly lower for participants identified as being overweight or obese ( $14.6 \pm 8.37$ ) compared to those categorized as underweight or at a healthy weight ( $19.4 \pm 8.51$ ) [ $t(348) = 5.26, p<.001$ ].

### *Hierarchical Regression*

Results of the hierarchical regression are show in Table 3.4. One additional case was found to be an outlier based on a standardized residual of -3.164 and was removed, resulting in a final  $n=345$ . The final model explained 41.9% of the variance in

PA behaviors, using adjusted  $R^2$ . Demographic variables alone explained only 4.7% of the variance in PA behaviors. There was a significant improvement over Step 1 with the addition of perceived severity, perceived benefits, perceived barriers, self-efficacy, and cue to action, which explained an additional 37.1% of the variance in PA behavior. The  $R^2$  change for Step 3 was .005 ( $p=.083$ ), indicating that perceived risk did not explain any additional variance over the model that contained other HBM components as well as demographic variables. Likewise, when overall CHD knowledge was added (in Step 4) the additional variance explained was insignificant ( $p=.324$ ). In the final model, younger age, high perceived self-efficacy and having received no cue to action from a healthcare provider were significant predictors of increased levels of PA. Durbin-Watson test statistic for the model was 1.983, which is in the acceptable range of 1.5 to 2.5, indicating there were no issues with autocorrelation in residuals. Variance inflation factor (VIF) and tolerance values for all variables in the model fell within the acceptable ranges of  $< 4$  and  $>0.2$ , respectively, indicating no concerns with multicollinearity. To test for heteroskedasticity, a histogram of standardized residuals was inspected for normality of distribution. Skewness and kurtosis were found to be acceptable, indicating no major issues with heterogeneity of variance.

Given that the overall score on the knowledge test was not a significant predictor of level of physical activity, the regression was repeated, using the domain-specific knowledge subscale score, exercise knowledge. Greater knowledge pertaining to exercise became a significant predictor of increased levels of PA (Beta=.092,  $p=.032$ ). This model explained 42.8% of the variance in PA behavior.



## Discussion

As predicted by the HBM, higher levels of disease-related knowledge, perceived benefits of preventive behaviors, and self-efficacy, and lower levels of perceived barriers to preventive behaviors were correlated with increased levels of PA in this Appalachian population. When these factors were included in a multivariable model, along with demographic variables, however, only increased self-efficacy, younger age, and not having been advised by a healthcare provider to increase levels of PA were significant predictors of behavior.

As hypothesized, knowledge and perceived risk were correlated with PA levels, with knowledge demonstrating a weaker association than perceived risk. Interestingly, higher perceived risk of CHD and cue to action were associated with lower levels of physical activity, contrary to the direction of association predicted by the HBM. The likely cause of the counter-predicted direction may be the temporal relationship with behavior. For perceived risk, this may indicate that those individuals who participate in high levels of physical activity have subsequently, and accurately, assessed their risk as being lower. This is in agreement with the risk-reappraisal hypothesis, postulated by Brewer, et al.<sup>33</sup> Likewise, those individuals who are already physically active would then be less likely to receive recommendations from their healthcare provider to increase PA levels (cue to action), as findings from the current study demonstrate. The fact that younger age was associated with higher levels of PA is in accordance with recent findings in the US adult population.<sup>1</sup> It is important to note that, when adjusted for demographic variables and other elements of the HBM, knowledge and perceived risk

were no longer significant predictors of behavior, indicating that these constructs are less important in explaining PA behavior.

It is not surprising that high self-efficacy for exercise was a significant predictor of PA levels. Self-efficacy, a critical component of Bandura's Social Cognitive Theory, is defined as an individual's belief in his or her ability to carry out a specific course of action in order to accomplish a goal, and has been shown to be highly correlated with preventive health behaviors.<sup>34, 35</sup> Not explicitly included in the original HBM, self-efficacy was added later due to its demonstrated importance in explaining preventive health behavior.<sup>36</sup> The HBM was originally developed to explain simple, often one-time behaviors, such as immunization and screening, and thus did not require the concept of self-efficacy. However, as the model has been increasingly used to explain preventive behaviors that require more sustained effort, such as smoking cessation, improved diet and increased PA levels, there has been a greater need to account for this concept. Self-efficacy has indeed been demonstrated as a significant predictor of physical activity behavior in previous research. A study in over 1200 men and women aged 18-62 demonstrated a significant positive association between self-efficacy for daily physical activity and intention to engage in PA, actual PA behavior, and physical fitness.<sup>37</sup> Another study in boys and girls in grades 8 and 9 found that self-efficacy was a strong predictor of intentions to participate in PA.<sup>38</sup>

Over two-thirds of the study sample met the guidelines for physical activity, based on self-report. This finding is similar to results from the 2007 BRFSS, which demonstrated that 65% of US adults met the PA guidelines, with highest prevalence in young adults (ages 18-24), white non-Hispanics, and college graduates.<sup>39</sup> The current

study sample consisted of residents of a community with a mostly white, non-Hispanic population, and containing a large university. Many of the study participants were faculty, staff, or students of the university, and represent a highly educated demographic, as indicated by the fact that over 90% of participants reported having some college education. In addition, advertisements for the study contained language such as “free from heart disease” and “healthy heart study”, which could have been more likely to discourage participation by individuals with sedentary lifestyles, who may have assessed themselves as ineligible. It has been suggested that the BRFSS may overestimate the level of physical activity by asking for any activity that causes increased breathing or heart rate (e.g., vacuuming), which could lead to inclusion of activities that do not meet the requirement for moderate intensity.<sup>40</sup> In addition, asking respondents to sum minutes and frequencies for moderate-intensity and vigorous-intensity activities separately may lead to overestimation of the totals. In response to these issues, beginning in 2011, the BRFSS will measure aerobic and leisure-time PA only, and include the types of physical activities in which individuals participate, as well as adding an item related to muscle strengthening activities.

As is true for cross-sectional survey research in general, these results must be interpreted with caution in light of several limitations. First, the cross-sectional nature of the study design does not allow for establishment of causation. Second, all measures were based on self-report, introducing the potential for recall or social desirability bias. Third, the sample tended to be highly educated and mostly female, limiting generalizability to other populations or settings. Although the intent was to capture a sample that was representative of an Appalachian population, the resulting sample did

not display the demographic characteristics most often associated with Appalachians. Fourth, results should be interpreted with caution given violations of the assumption of normality for some variables used in the model. Lastly, reliability of the instruments to measure CHD knowledge and perceived severity were lower than the generally accepted threshold of 0.7.<sup>41</sup> The perceived severity instrument consisted of only 5 items, and was therefore susceptible to lower reliability. The decreased reliability of the knowledge instrument could be due to the fact that the various subscales (risk factors, diet, exercise, and stress reduction) were unrelated to one another, and it would not be expected that an individual who is knowledgeable about dietary factors related to CHD would necessarily be knowledgeable about exercise-related factors. However, reliability for the larger 40-item measure was shown to be adequate in prior research.<sup>25</sup> Low reliability of the knowledge instrument may have influenced the lack of association between overall CHD knowledge and behavior demonstrated in this study, but this is unlikely due to the significant zero-order correlation found between these two constructs, without adjustment for other variables.

### *Conclusion*

CHD-related and exercise-specific knowledge was positively associated with levels of physical activity, while perceived risk was negatively associated, although neither were significant predictors when adjusted for other components of the HBM. Exercise-related self-efficacy was the strongest predictor of physical activity levels. A better understanding of the factors that are associated with physical activity behavior

can inform patient education, as well as environment and policy change to help motivate healthy behavior.

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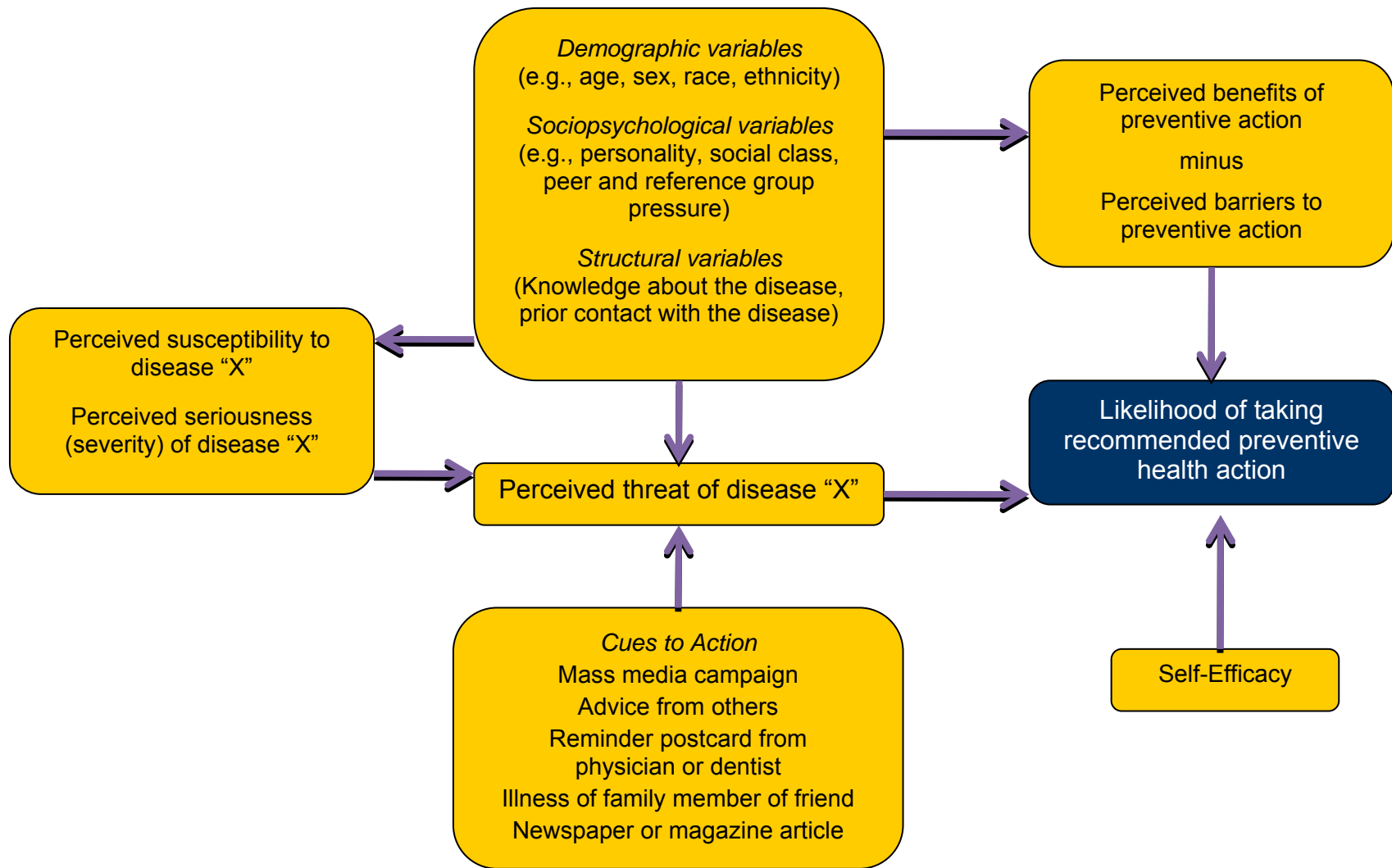
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**Figure 3.1: The Health Belief Model**  
(adapted from Rosenstock, 1974)



**Table 3.1: Demographic Characteristics of the Sample**

Demographic Category		Percent
Age (years)	18-29	23.8
	30-54	59.5
	55 and up	16.7
Gender	Male	15.3
	Female	84.7
Education	High School	8.6
	Some College or College Degree	91.4
Marital Status	Single	27.6
	Married	59.8
	Separated/Divorced/Widowed	12.6
Annual Household Income	< \$20,000	8.3
	\$20,000 - \$69,999	46.9
	\$70,000 - \$139,999	38.1
	\$140,000 or more	6.7
BMI Category	Underweight	1.6
	Healthy Weight	43.5
	Overweight	30.9
	Obese	24.0

**Table 3.2: Components of the Health Belief Model and Instruments Used for Measurement**

<b>Component of HBM</b>	<b>Instrument/Measure</b>	<b>Scale</b>	<b>Mean Score (SD)</b>	<b>Internal consistency reliability***</b>
Physical Activity	BRFSS PA items	0-20,160*	16.6 (8.80)**	NA
Knowledge of CHD	Modified Coronary Heart Disease Knowledge Test	0-20	13.8 (2.25)	0.463
Perceived Risk of CHD	Perception of Risk of Heart Disease Scale	20-80	54.7 (6.05)	0.790
Perceived Severity of CHD	Perceived Seriousness of Coronary Heart Disease Scale	5-25	16.5 (2.59)	0.621
Benefits of Behavior	Benefits Scale	12-48	41.8 (4.40)	0.864
Barriers to Behavior	Barriers Scale	12-48	22.4 (4.81)	0.821
Self-efficacy for PA	Eating and Exercise Confidence Scale – Exercise portion	1-5	3.6 (0.89)	0.940
Cue to Action for PA	“Has your health care provider recommended that you increase your level of PA be healthier?”	Yes/No	NA	NA

\*The maximum possible score for PA is based on 60 minutes per hour x 24 hours per day x 7 days per week x 2 (for time spent in vigorous PA)

\*\*Mean score and SD calculated using square root-transformed values

\*\*\*Cronbach’s alpha coefficients, except for Knowledge of CHD, which was assessed using Kuder-Richardson formula 20

**Table 3.3: Pearson Correlations**

	<b>Square Root PA</b>	<b>Knowledge</b>	<b>Risk</b>	<b>Severity</b>	<b>Benefits</b>	<b>Barriers</b>	<b>Self-efficacy – PA</b>	<b>Age</b>
<b>Square Root PA</b>	1							
<b>Knowledge</b>	.132*	1						
<b>Risk</b>	-.289**	-.036	1					
<b>Severity</b>	-.049	-.140**	.218**	1				
<b>Benefits</b>	.190**	.035	-.042	.001	1			
<b>Barriers</b>	-.387**	-.131*	.188**	.145**	-.593**	1		
<b>Self-efficacy – PA</b>	.594**	.075	-.266**	-.142**	.239**	-.541**	1	
<b>Age</b>	-.161**	-.034	.210**	-.090	-.151**	.059	-.018	1

\*Significant at the 0.05 level (two-tailed)

\*\*Significant at the 0.01 level (two-tailed)

**Table 3.4: Hierarchical Regression – Square Root of Physical Activity Score as Dependent Variable**

Predictor	Step 1		Step 2		Step 3		Step 4	
	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p
Female Dummy	-.068	.205	-.067	.110	-.071	.090	-.070	.094
Married Dummy	-.113	.107	-.002	.969	.003	.959	.003	.963
Separated/Divorced/ Widowed Dummy	-.051	.432	-.023	.647	-.017	.735	-.019	.702
> High School Dummy	.114*	.040	.070	.111	.074	.093	.061	.179
Income ≥ \$70K Dummy	.104	.085	.053	.264	.051	.278	.046	.331
Age	-.121*	.045	-.112*	.021	-.100*	.042	-.100*	.042
Perceived Severity			.049	.254	.062	.152	.066	.130
Perceived Benefits			-.028	.598	-.019	.722	-.017	.749
Perceived Barriers			-.029	.642	-.024	.696	-.021	.731
Self-Efficacy – Exercise			.523***	<.001	.511***	<.001	.513***	<.001
Cue to Action Dummy			-.186***	<.001	-.166**	.001	-.159**	.001
Perceived Risk					-.081	.083	-.083	.075
CHD Knowledge							.044	.324

\*p<.05, \*\*p<.01, \*\*\*p<.001

Model Fit Statistics: Step 1:  $R^2 = .064$ ,  $Adj R^2 = .047$ ,  $F(6,338) = 3.83^{**}$ ,  $R^2$  change =  $.064^{**}$ ; Step 2:  $R^2 = .434$ ,  $Adj R^2 = .416$ ,  $F(11,333) = 23.24^{***}$ ,  $R^2$  change =  $.371^{***}$ ; Step 3:  $R^2 = .439$ ,  $Adj R^2 = .419$ ,  $F(12,332) = 21.68^{***}$ ,  $R^2$  change =  $.005$ ; Step 4:  $R^2 = .441$ ,  $Adj R^2 = .419$ ,  $F(13,331) = 20.09^{***}$ ,  $R^2$  change =  $.002$

## CHAPTER 4



**CHAPTER 4:**  
**ASSOCIATION BETWEEN DELAY DISCOUNTING AND DIETARY AND PHYSICAL**  
**ACTIVITY BEHAVIORS**

**Introduction**

As the leading cause of death in both men and women in industrialized nations, coronary heart disease (CHD) carries with it substantial negative clinical, economic, and humanistic consequences.<sup>1-3</sup> Fortunately, many risk factors for CHD are modifiable with lifestyle changes and drug therapy. Modifiable risk factors have been shown to account for over 90% of the risk of initial acute myocardial infarction.<sup>4</sup> Such risk factors include behavioral factors (smoking, sedentary lifestyle, low fruit and vegetable consumption), physiologic factors (abdominal obesity, hypercholesterolemia, hypertension, diabetes), and psychosocial factors (depression, locus of control, perceived stress, life events). Lowering the prevalence of these modifiable risk factors would lead to a substantial decrease in morbidity and mortality from coronary heart disease.<sup>5</sup>

The Appalachian region of the United States has been associated with a history of underdevelopment, leading to rurality, lower levels of socioeconomic status and education, and greatly increased rates of premature death.<sup>6</sup> Although economic conditions have improved over the last several decades, the Appalachian region still fares worse than other regions of the United States in health outcomes related to coronary heart disease.<sup>7</sup> A culture of unhealthy lifestyle, including poor diet and sedentary behavior, are contributors to this trend.<sup>8,9</sup>

Behavioral risk factors are often the target of individual interventions (patient counseling delivered by health care providers and other health educators), as well as population-level interventions (policy implementation or environmental modifications) with the purpose of producing changes in behavior necessary to reduce risk of CHD. For example, modifying diet to include adequate amounts of fruits, vegetables, fish and whole grains and reduced amounts of saturated fat, sodium, and sugar-sweetened beverages, and participating in an adequate amount of physical activity (150 minutes or more per week of moderate physical activity or 75 minutes or more per week of vigorous physical activity or an equivalent combination of the two) is recommended by the American Heart Association to achieve cardiovascular health.<sup>5</sup> Despite these efforts, lifestyle modifications to improve diet and increase physical activity can be difficult to adopt and even more difficult to maintain. A report by the Centers for Disease Control and Prevention states that maintenance of recommended lifestyle changes, including smoking cessation, medication adherence, diet, and exercise, was only 25-40% six months after initiation.<sup>10</sup>

Individual behavior change theories, such as the Health Belief Model (HBM), propose that factors such as individual perceptions of disease and costs vs. benefits of adopting health promoting behaviors together influence the likelihood an individual will make the desired behavior change.<sup>11</sup> Components of the HBM include: *perceived severity, perceived susceptibility (risk), perceived benefits, perceived barriers, cues to action, and self-efficacy*. Factors demonstrated to modify the influence of these components on health behaviors include demographic (age, gender, ethnicity), sociopsychological (personality, social class, peer groups), and structural factors

(knowledge of the health problem and prior experience).<sup>11</sup> According to a review of HBM research by Janz and Becker, the most important components of the model in explaining health behavior are, in order of importance, perceived barriers, perceived risk, perceived benefits, and perceived severity.<sup>12</sup> A significant correlation between perceived risk and preventive behaviors, including diet and physical activity, was demonstrated in women without prior history of heart disease, with perceived risk alone accounting for more than half the variance (50.7%) in preventive behavior.<sup>13</sup>

One criticism of the HBM is that it does not include a time component.<sup>14</sup> In other words, the time course of taking the preventive action and later reaping the benefits of decreased disease risk is not taken into account. The HBM and other models like it are value-expectancy theories, meaning that behavior is influenced by what the individual expects will result from behavior change and the value the individual places on that outcome.<sup>11</sup> Given that value placed on an outcome differs with respect to time, and there is a trade-off between current costs and future benefits, the temporal relation between behavior change and receipt of reward becomes a relevant factor. One concept from economics literature that does incorporate a time component is delay discounting. Delay discounting refers to the idea that individuals will discount the future to varying degrees depending on how far into the future rewards are received. This phenomenon is also known as time preference. A high rate of discounting indicates an individual's preference toward more immediate rewards and a lower value placed on the future. Traditional Discounted Utility Theory states that individuals discount the future at a constant rate per unit of delay (exponential discounting function).<sup>15</sup> With exponential discounting, relative preference for future outcomes will not change as the timing of the

choice of outcome moves in closer proximity to the receipt of the outcome. Contrary to this theory, research has demonstrated that actual behavior follows a more hyperbolic discounting function, where rewards are discounted more steeply in the near future, leveling off as delay to reward increases.<sup>16</sup> The implication of this discrepancy is that a preference reversal can occur, in which an individual changes his or her preference from the smaller, sooner reward (SSR) to the larger later reward (LLR) as delay to the SSR increases.

Degree of delay discounting has been examined in regard to several negative health behaviors, and has been found to be greater in smokers,<sup>17</sup> alcohol abusers,<sup>18</sup> and illicit drug users<sup>19</sup> compared to controls. For example, one study demonstrated that current smokers have a significantly higher rate of discounting of monetary rewards compared to ex- and never-smokers.<sup>17</sup> Neuroimaging studies have investigated the association between delay discounting and activation of specific portions of the brain involved in impulsive choice. A study in abstinent alcoholics and non-substance abusing controls demonstrated a significant positive correlation between impulsive choice and activity in particular portions of the brain (the dorsal prefrontal cortex, the posterior parietal cortex, and the anterior parahippocampal gyrus), suggesting a possible biological mechanism for this behavior.<sup>20</sup> In addition to these effects in addictive disorders, discount rates have also been shown to be greater in children with Attention Deficit/Hyperactivity Disorder compared to controls.<sup>21</sup>

Despite a growing body of literature on delay discounting in addictive behaviors, there have been relatively few studies of delay discounting in preventive health behaviors. In an early exploratory study by Fuchs, rate of delay discounting was not

found to be associated with seat belt use, exercise frequency, being overweight, or frequency of dental visits.<sup>22</sup> However, the author suggested that the method used to elicit discount rates in this study was flawed, leading to inconsistent results and the suggestion to refine survey methods, specifically, increasing the number of binary choices in future research. A more recent investigation by Chapman with 60 community-members in Chicago found a significant association between exercise frequency and discount rate, but in the counter-predicted direction.<sup>23</sup> In this research, participants completed discounting procedures for both health and monetary rewards, and were asked how many times per week they exercised, and how long they exercised during each session. Approximately half of the participants were recruited from an exercise class, possibly biasing the sample. Chapman suggested that the discrepancy in significance of association between discount rate and addictive behaviors compared to preventive health behaviors may be explained by the effect of addiction on time preferences, rather than vice versa.<sup>14</sup> In other words, an addictive substance itself may increase tendency to make impulsive choices (and thus produce a higher discount rate), due to biological effects on the brain. This idea is reinforced by research that has shown a decrease in discount rate with prolonged abstinence from addictive substances.<sup>24</sup>

In a large, nationally-representative sample of adults, degree of time preference explained more of the variance in diet quality than market or socio-cultural factors and was found to be a significant predictor of healthfulness of diet.<sup>25</sup> However, time preference was not measured directly, but assessed using proxy variables, including education, smoking, exercise, nutrition knowledge, and regular use of nutrition labels.

Selection of these variables was based on their theoretical association with time preference, and the authors suggest that studies utilizing more direct measures of future discounting are needed.

A pilot study of patients with hypertension revealed a significant association between discount rate and likelihood of altering diet and exercise behaviors.<sup>26</sup> In this study, implicit discount rates were inferred using five binary choice questions and imputed using interval regression. Individuals with an imputed discount rate in the highest quintile were compared to those with rates in the four lowest quintiles. However, likelihood of diet- and exercise-related behavior change was assessed indirectly using a single item that asked whether the individual would rather eat, drink, and live life the way they want and have poorer health in 5 years, or would rather forgo these habits and enjoy better health in 5 years. A more recently published study, conducted in a sample of adults 50 years of age or older and their spouses or partners, utilized a similar method to assess discount rates and demonstrated a significant association between high discount rate and lower rates of healthy behaviors, including weekly vigorous physical activity.<sup>27</sup> Health maintenance behaviors were assessed using data from the Health and Retirement Survey, and included mammograms, breast examinations, Pap smears, prostate examinations, dental visits, cholesterol testing, flu shots, and non-smoking status, in addition to physical activity. Higher discount rates were associated with significantly lower rates of all healthy behaviors, except for breast examination and Pap smears in women.

Several studies have also demonstrated a significant positive association between obesity and time preference for immediate rewards.<sup>28-31</sup> Other studies have

not found a significant association between time preference and obesity.<sup>32, 33</sup> While these studies may suggest that delay discounting is associated with energy balance, and thus with diet and physical activity behaviors, they did not examine these behaviors specifically, and methods to measure time preference vary widely among these studies. While it may appear that the association between delay discounting and body mass index (BMI) reflects the association between delay discounting and behaviors that impact BMI, specifically diet and physical activity, some suggest a more complex relationship.<sup>29</sup> More research is needed to better understand the influence of delay discounting on preventive behaviors and obesity.

The primary objective of this study was to describe the association among rate of discounting and diet and physical activity behaviors, using both health and monetary rewards, in an Appalachian population. A secondary objective was to determine how perceived risk of CHD influences this association, given the theoretical importance of this variable in explaining preventive behaviors. The third objective was to explore the relation between BMI, delay discounting, and dietary and physical activity behaviors for possible interactions. Our working hypothesis is that individuals with higher rates of discounting will exhibit less healthy dietary behaviors and lower levels of physical activity. In addition, we hypothesize that this association will hold true after controlling for perceived risk of CHD.

## **Methods**

### *Study Population*

The study population consisted of individuals who work or reside in a small college town within the Appalachian region, home to about 26,800 residents, 51% of whom are male and 90% are white.<sup>34</sup> Median household income is \$20,650 and 69% have greater than high school education. Participants were recruited using electronic postings and listserves, newspaper advertisements, and postings on community bulletin boards. Online questionnaires were completed either off-site or in the research center, and the delay discounting procedure was administered via computer on-site. Inclusion criteria were age  $\geq 18$  years and ability to read and understand English. Individuals were excluded if they had a prior history of heart disease based on self-report (if they answered affirmatively to either of the following questions: (1) “Have you ever been told by your healthcare provider that you have coronary heart disease, angina or have suffered a heart attack?” or (2) “Have you ever had coronary bypass surgery, coronary stent placement, or angioplasty?”). The study design was cross-sectional. Approval was obtained from the university’s Institutional Review Board.

### *Questionnaire Battery*

The questionnaire battery contained items pertaining to demographic information, including gender, age, education, marital status, household income, height and weight, as well as instruments to measure dietary and physical activity behaviors and perceived risk of CHD.



- The 16-item Food Behavior Checklist (FBC) was used to assess current dietary behaviors.<sup>35</sup> It contains 15 items regarding diet quality in terms of fruit and vegetable, fat/cholesterol, milk/dairy and sugar-sweetened beverage consumption, and one item that measures self-rating of diet quality. Published Cronbach's alpha coefficients for this measure are 0.8 for fruit and vegetable intake, 0.61 for diet quality, and Spearman's correlation was 0.47 for milk consumption (two items).<sup>36</sup>
- Physical activity (PA) levels were assessed using six physical activity items from the Behavioral Risk Factor Surveillance System Survey (BRFSS).<sup>37</sup> These items assessed self-reported levels of moderate and vigorous physical activity in a usual week in terms of minutes per day and days per week and were used to calculate minutes of moderate PA per week (or the equivalent, counting each minute of vigorous PA as two minutes of moderate PA).
- Perception of risk of CHD was assessed using the 20-item Perception of Risk of Heart Disease Scale.<sup>38</sup> This measure consists of statements of risk, such as, "I feel sure I will get heart disease," rated by the respondent on a four-point Likert-type scale, where 1=strongly disagree and 4 = strongly agree. Published Cronbach's alpha for this scale is 0.80.<sup>38</sup>

Permission to use all instruments was obtained prior to the study.

### *Delay Discounting Procedure*

To determine degree of delay discounting, we employed a widely used binary choice delay discounting procedure that elicits self-reported preferences for money and health at varying values and delays.<sup>39, 40</sup> The procedure was carried out via computer-administered survey, using a decreasing adjustment algorithm.<sup>41</sup>

#### *Binary Choice Discounting Procedure for Hypothetical Monetary Rewards:*

Participants were given a hypothetical choice of a smaller, sooner reward (SSR) or a larger, later reward (LLR) after a delay (D). Commonly used starting points and delays were utilized. The starting point was \$1000 for the LLR and 1 month for the delay. The starting point of the SSR was half of the LLR (\$500). For each subsequent choice, the value of the SSR was adjusted by half of the previous adjustment. Six trials for each delay were presented. The indifference point was defined as the value of the SSR that would have been presented in the seventh trial. Indifference points represent the subjective present value of the reward for that particular delay. The process was repeated for delays of 1 year, 2 years, 5 years, 10 years, and 20 years, allowing for calculation of indifference points at each of the six delays.

#### *Binary Choice Discounting Procedure for Hypothetical Health Rewards:*

Participants were given a hypothetical health scenario, depicting the health status of a patient with CHD, and asked to imagine themselves in that state of health for the remainder of their lives (adapted from Chapman and Elstein, 1995).<sup>40</sup>

*“Imagine that for the past two years your state of health has fit this description:*

*Because of your doctor’s instructions, you need to take multiple medications each day. To monitor the effects of these medications, you must get blood drawn at your doctor’s office at least once per month. You must also be very careful about what you eat and drink. You have to limit the amount of salt you eat and fluids you drink. You often have swollen ankles. You sometimes have chest pain, for which you must take nitroglycerin tablets. You have to visit the bathroom often to urinate. You often feel tired and cannot walk more than 20 feet without getting short of breath. You often do not have the energy for sexual activity. Sometimes, you feel depressed about your health.”*

After presenting this scenario, the participant was given a choice of a smaller, sooner reward (SSR) or a larger, later reward (LLR) after a delay (D). These rewards were in terms of hypothetical treatments, each of which would return the participant to full health for X number of years, but not taking effect until after a particular delay. The starting point for X was 10 years for the LLR and 1 month for the delay. The starting point of the SSR was half of the LLR (5 years). For each subsequent choice, the value of the SSR was adjusted by half of the previous adjustment. Six trials were presented. The indifference point was defined as the value of the SSR that would have been presented in the seventh trial. The process was repeated for delays of 1 year, 2 years, 5 years, 10 years, and 20 years, allowing calculation of indifference points at each of six delays.

For each participant, area under the curve (AUC) was calculated for both monetary rewards and health rewards to represent degree of discounting, using the method proposed by Myerson et al.<sup>42</sup> An advantage to using AUC, rather than calculating discount rate to measure degree of discounting, is the avoidance of the need to fit the curve to a hyperbolic form. AUC is considered theory-neutral, and is also less likely to produce a skewed distribution. To obtain AUCs between 0 (steepest discounting) and 1 (no discounting), delays and indifference points were normalized for each data point. To do this, each delay was divided by the maximum delay (20 years) and each indifference point was divided by the LLR (representing nominal value of the reward). The graph of subjective value (ordinate) vs. delay (abscissa) was then subdivided into a series of trapezoids. Area of each trapezoid was calculated using the formula:  $(x_2 - x_1) [(y_1 + y_2) / 2]$ , and areas were summed to obtain AUC. AUC is inversely related to degree of discounting (the higher the degree of discounting, the lower the AUC), and thus directly related to the individual's value of the future.

The procedure for the decreasing adjustment algorithm described by Du et al was utilized.<sup>41</sup> The participant was offered an initial choice between the starting LLR and a SSR whose amount is half the amount of the LLR. If the SSR was chosen, the SSR in the subsequent choice was decreased. If the LLR was chosen, the subsequent SSR was increased. The amount of increase or decrease was equal to half the amount of the previous adjustment. This process continued until six choices were made. This entire process was repeated for each of six delays, resulting in a total of 36 trials per participant for each of the two procedures (monetary and health rewards).

## *Data Analysis*

Scores for the instruments were calculated using standard scoring mechanisms, when available, such that higher scores indicated higher levels of the constructs (e.g., higher diet score = healthier diet). Descriptive statistics were calculated for the sample, including demographic variables and instrument scores. Pearson product-moment bivariate correlation coefficients were calculated for all continuous variables to determine the association between degree of discounting and diet and PA behaviors (objective 1).

Instrument scores, along with gender, age (years), education ( $\leq$  high school,  $>$  high school), total annual household income ( $<$  \$70,000, \$70,000 or more), and marital status (single, married, widowed/divorced/separated) were analyzed using hierarchical linear regression to determine the association between degree of delay discounting, perceived CHD risk and behavior (objective 2). To examine effect on dietary behavior, the score for current dietary habits was utilized as the dependent variable, while scores for the delay discounting procedure and perceived risk instrument were utilized as independent variables. Dummy variables for gender, income, education, and marital status, and a continuous variable representing age were also entered as independent variables. Demographic variables were entered in the first step. AUC as a measure of degree of discounting was entered in the second step, using AUC for monetary rewards in one regression model and AUC for health rewards in a second regression. Lastly, perceived risk of CHD was entered in the third step to determine unique variance explained by this variable. A similar pair of regressions utilizing physical activity as the dependent variable was performed to examine effect of discounting and perceived risk

on physical activity levels. Standardized beta coefficients were used to assess strength of association between degree of discounting and dietary and PA behaviors, holding other factors constant. The goal sample size was 150, to achieve 80% power to detect an effect size of .075 for the hierarchical regression ( $\alpha=.05$ ).

Chi square, Pearson's correlations and Student's t tests were used to explore the relation between discounting, BMI, and diet and PA behaviors (objective 3). PASW (version 18.0.0) was used for data analysis.<sup>43</sup>

## **Results**

### *Demographics of the Sample*

172 participants completed both the online questionnaire and delay discounting task. Demographic characteristics of the sample appear in Table 4.1. Of the respondents, the majority was female, currently married, had greater than high school education, and had a total household income < \$70,000. Mean age was 43 years  $\pm$  13.68. More than half (53.6%) of participants were categorized as either overweight or obese based on self-reported height and weight, and mean BMI was 26.1  $\pm$  5.81.

### *Examination for Outliers and Normality of Distribution*

Data were examined for outliers and to ensure normality of distribution for variables used in the model. Calculating z scores and using > 3 standard deviations from the mean as the criterion for exclusion, five cases were excluded from the models

as outliers. After exclusion of these outliers, skewness and kurtosis for all continuous variables fell within the desired range except for PA score, AUC for money and AUC for health, (which were all positively skewed), indicating adequate normality of distributions for these variables. PA score and AUCs were transformed using a square root transformation, which resulted in distributions that more closely approximated normal, with skewness and kurtosis within the acceptable range.

### *Descriptive Statistics*

Mean scores for each component of the models are shown in Table 4.2. Mean diet score was  $27.7 \pm 7.04$ , on a scale of 0-48. Internal consistency reliability, assessed using Cronbach's alpha for the diet scale was 0.688. Using the physical activity guidelines for adults<sup>44</sup> (at least 150 minutes per week of moderate or 75 minutes per week of vigorous physical activity, or an equivalent combination of the two), 70.9% of participants met the guidelines. On a scale of 20-80, mean score for perceived risk was  $54.5 \pm 6.48$ , indicating a moderate perception of risk among participants. Internal consistency reliability, assessed using Cronbach's alpha for the perceived risk scale was 0.814. There was no significant difference between mean square root-transformed AUC for monetary rewards (0.547) and mean square root-transformed AUC for health rewards (0.553) [ $t(171) = .289, p = .773$ ]. There were also no significant differences in degree of discounting for either monetary or health rewards based on gender, marital status, or income. Mean square root-transformed AUC for monetary rewards was significantly lower for participants with  $\leq$  high school education ( $0.399 \pm .221$ ) compared

to those with > high school education ( $0.563 \pm .224$ ) [ $t(170) = 2.89, p=.004$ ]. There was no significant difference in degree of discounting of health rewards based on education level.

Consistency of responses in the delay discounting procedure was examined for both monetary and health rewards. A consistent response is defined as one that produced indifference points that are greater than or equal in subjective value to those for longer delays. In other words, a curve plotted with value of indifference points on the ordinate and delay on the abscissa would be either monotonically decreasing or level, and never turn upward. Three-fourths (76%) of participants gave consistent responses for monetary rewards and 62% of participants gave consistent responses for health rewards.

### *Correlations*

Pearson product-moment bivariate correlation coefficients were calculated for all continuous variables (Table 4.3). AUC for monetary rewards was significantly correlated with AUC for health rewards ( $r=.253, p=.001$ ). Contrary to prediction, neither diet score nor PA score was significantly correlated with either AUC for monetary rewards or AUC for health rewards. Perceived risk of CHD was not significantly correlated with either AUC for monetary rewards or AUC for health rewards. Age was significantly negatively correlated with AUC for health rewards ( $r= -.292, p<.001$ ) but not with AUC for monetary rewards.



### *Hierarchical Regressions – Diet*

Results of the hierarchical regressions using diet score as the dependent variable are shown in Tables 4.4 and 4.5. Two additional cases were found to be outliers based on standardized residuals  $< -3$  and were removed, resulting in a final  $n=168$  after listwise exclusion for missing values. The final model incorporating discounting of monetary rewards explained 21.4% of the variance in dietary behavior, using adjusted  $R^2$  (Table 4.4). Demographic variables alone explained 13.4% of the variance in diet behaviors. The  $R^2$  change for Step 2 was .011 ( $p=.148$ ), indicating that degree of discounting of monetary rewards did not explain any additional variance over the model that contained only demographic variables. There was a significant improvement over Step 2 with the addition of perceived risk of CHD, which explained an additional 7.6% of the variance in dietary behavior. In the final model, female gender,  $>$  high school education, increased age, and decreased perceived risk were associated with increased diet score. Durbin-Watson test statistic for the model was 2.020, which is in the acceptable range of 1.5 to 2.5, indicating there were no issues with autocorrelation in residuals. Variance inflation factor (VIF) and tolerance values for all variables in the model fell within the acceptable ranges of  $< 4$  and  $> 0.2$ , respectively, indicating there were no concerns with multicollinearity. When the regression was repeated using only consistent responses for discounting of monetary rewards ( $n=127$ ), the full model explained only 17.9% of the variance in dietary behavior; otherwise results were unaffected.

Similar results were demonstrated when AUC for health rewards was included, with no significant contribution of degree of discounting of health rewards to explain

dietary behavior (Table 4.5). When only consistent responses for discounting of health rewards were included (n=105), the final model explained 23.8% of the variance in dietary behavior, but was otherwise unaffected.

### *Hierarchical Regressions – Physical Activity*

Results of the hierarchical regressions using square root-transformed PA score as the dependent variable are shown in Tables 4.6 and 4.7. No outliers were detected using standardized residuals, leaving a final n=163 after listwise exclusion for missing values. The final model incorporating discounting of monetary rewards explained only 8.8% of the variance in PA behavior, using adjusted  $R^2$  (Table 4.6). Demographic variables alone explained only 2.3% of the variance in PA behavior. The  $R^2$  change for Step 2 was .007 ( $p=.295$ ), indicating that degree of discounting of monetary rewards did not explain any additional variance over the model that contained only demographic variables. There was a significant improvement over Step 2 with the addition of perceived risk of CHD, which explained an additional 6.7% of the variance in PA behavior. Durbin-Watson test statistic for the model was 2.202, which is in the acceptable range of 1.5 to 2.5, indicating there were no issues with autocorrelation in residuals. Variance inflation factor (VIF) and tolerance values for all variables in the model fell within the acceptable ranges of  $< 4$  and  $> 0.2$ , respectively, indicating there were no concerns with multicollinearity. When the regression was repeated using only consistent responses for discounting of monetary rewards (n=122), the full model explained 11.3% of the variance, but was otherwise unaffected.

Similar results were demonstrated when AUC for health rewards was included, with no significant contribution of degree of discounting of health rewards to explain PA behavior (Table 4.7). When only consistent responses for discounting of health rewards were included (n=102), the final model explained only 6.4% of the variance in PA behavior, but was otherwise unaffected.

#### *Association with Body Mass Index*

Neither AUC for monetary rewards nor AUC for health rewards was significantly correlated with BMI as a continuous variable. However, when the sample was dichotomized as underweight/healthy weight vs. overweight/obese, associations were found. Mean square root-transformed AUC for monetary rewards was significantly lower in overweight/obese individuals ( $0.516 \pm 0.219$ ) compared to underweight/healthy weight individuals ( $0.590 \pm 0.236$ ) [ $t(166) = 2.11, p=.037$ ]. Mean square root-transformed AUC for health rewards was lower in overweight/obese individuals ( $0.535 \pm 0.242$ ) compared to underweight/healthy weight individuals ( $0.581 \pm 0.233$ ), but this did not reach statistical significance [ $t(166) = 1.25, p=.214$ ]. Mean square root-transformed PA score was significantly lower for participants identified as overweight or obese ( $14.7 \pm 8.43$ ) compared to those categorized as underweight or healthy weight ( $20.7 \pm 8.29$ ) [ $t(159) = 4.52, p<.001$ ]. Fewer overweight or obese participants met the guidelines for weekly PA (62%) compared to underweight or healthy weight participants (84%) ( $X^2=9.96, p=.002$ ). Diet score was significantly lower for participants identified as overweight or obese ( $25.8 \pm 7.04$ ) compared to those categorized as underweight or

healthy weight ( $29.9 \pm 6.27$ ) [ $t(166) = 3.95, p < .001$ ]. Square root-transformed AUC for monetary rewards was significantly correlated with diet score in underweight/healthy weight individuals ( $r = .255, p = .024$ ), but not in overweight/obese individuals. Square root-transformed AUC for health rewards was not significantly correlated with diet score in either BMI group. Square root-transformed PA score was not significantly correlated with square root-transformed AUC for monetary rewards or square root-transformed AUC for health rewards in either BMI group.

## **Discussion**

Degree of delay discounting, assessed using a binary choice discounting procedure, was not shown to be associated with CHD preventive behaviors, specifically diet and PA. A positive association was found between AUC for monetary rewards and education level, with participants with > high school education showing a greater value of the future compared to less educated participants. Age was negatively associated with value of the future in terms of discounting of health rewards. Perceived risk was found to be negatively associated with preventive behaviors, but no association with degree of discounting was shown. When associations between value of the future and preventive behaviors were explored in terms of BMI category, a positive correlation was demonstrated between AUC and dietary behavior in underweight/healthy participants, but no association was found in overweight/obese participants. In addition, overweight/obese individuals discounted the future to a significantly greater degree than underweight/healthy weight individuals.

In accordance with these findings, the well-documented association between degree of discounting and addictive behaviors<sup>17-19</sup> has not been universally found in preventive health behaviors. Given the complexity of diet and PA behaviors, there may truly be a lack of direct association. It is possible that the environment is a stronger predictor of diet and PA behaviors than individual characteristics, such as value of the future. Recently, there has been an increasing focus on environmental factors that lead to obesity,<sup>45</sup> as well as a public health focus on environmental policy change to improve nutrition and physical activity.<sup>46-48</sup> Some have suggested that improvements in food technology, decreased time cost and real cost of food, reductions in strenuous labor, and urban sprawl have created an environment that promotes unhealthy food consumption and decreased PA, contributing to rising obesity rates.<sup>30</sup>

In contrast, there may be an association between degree of discounting and diet and PA behaviors, but the magnitude of effect is so small that it would require a much larger sample size to detect it. It is also possible that these associations were not demonstrated in this relatively physically active, highly educated study population, but may be demonstrated in other populations. With more than 70% of the study sample reporting meeting the guidelines for PA, there may not have been enough variation in behavior to reveal an association. More research in large, more diverse populations are needed to determine if such an association truly exists.

Perceived risk was negatively associated with both dietary and PA behaviors, counter to the direction of association postulated by the HBM. This may be due to the fact that individuals who practice healthy dietary habits and get adequate amounts of PA accurately assess that they are at lower risk for CHD because of their behavior.

This explanation is consistent with the risk reappraisal hypothesis, which states that individuals who perceive themselves at high risk for disease may adopt preventive behaviors, and subsequently reassess their risk as lower after adoption of the behavior.<sup>49</sup> This hypothesis was tested and supported in a longitudinal study that assessed Lyme disease vaccination and risk perception.<sup>49</sup> Individuals who perceived themselves to be at higher risk for Lyme disease at Time 1 were more likely to get vaccinated. Those who subsequently received the vaccine were found to have a lower perceived risk at Time 2 compared with Time 1. In addition, those who received the vaccine more accurately assessed their risk, having a lower perception of risk compared to those who did not receive the vaccine. Depending upon the time at which perceived risk and behavior are measured, results may demonstrate an association between perceived risk and preventive behavior in the direction opposite that predicted by the HBM, which holds that a higher perception of disease risk leads to increased likelihood of participation in preventive behaviors. Unfortunately, this phenomenon cannot always be avoided in cross-sectional studies such as this one.

When associations between diet and PA behaviors and degree of discounting were analyzed in terms of BMI category, interesting findings were uncovered. Not surprisingly, greater delay discounting was demonstrated in overweight/obese individuals compared to underweight/healthy weight individuals. Similar results have been demonstrated in other studies.<sup>28-31</sup> What was unexpected is the finding that better diet quality was associated with greater value of the future in underweight/healthy weight individuals, but not in overweight/obese individuals. This may indicate a more complex association between self-controlled choice and behavior in overweight/obese

individuals. It has been suggested that differences in activation of certain portions of the brain in overweight/obese individuals may lead to lesser inhibitory response to hedonic food cues, resulting in impaired weight management.<sup>31</sup> Further research using larger sample sizes is needed to untangle these complex associations.

As is true for cross-sectional survey research in general, these results must be interpreted with caution in light of several limitations. First, the cross-sectional nature of the study design does not allow for establishment of causation. Second, all measures were based on self-report, introducing the potential for recall or social desirability bias. Third, the sample tended to be highly educated and mostly female, limiting generalizability to other populations or settings. Although the intent was to capture a sample that was representative of an Appalachian population, the resulting sample did not display the demographic characteristics most often associated with Appalachians.

### *Conclusion*

In this Appalachian population, degree of delay discounting was shown to be significantly associated with dietary behaviors, but only in underweight/healthy weight individuals. No association was found between degree of discounting and PA behaviors. More research is needed to fully understand the nature of these associations.

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**Table 4.1: Demographic Characteristics of the Sample**

Demographic Category		Percent
Age (years)	18-29	23.4
	30-54	55.6
	55 and up	21.0
Gender	Male	17.4
	Female	82.6
Education	High School	9.9
	Some College or College Degree	90.1
Marital Status	Single	32.0
	Married	57.6
	Separated/Divorced/Widowed	10.4
Annual Household Income	< \$20,000	13.4
	\$20,000 - \$69,999	39.5
	\$70,000 - \$139,999	39.5
	\$140,000 or more	7.6
BMI Category	Underweight	1.8
	Healthy Weight	44.6
	Overweight	32.7
	Obese	20.9

**Table 4.2: Components of the Health Belief Model and Instruments Used for Measurement**

<b>Component of HBM</b>	<b>Instrument/Measure</b>	<b>Scale</b>	<b>Mean Score (SD)</b>	<b>Internal consistency reliability***</b>
Dietary Behavior	Food Behavior Checklist	0-48	27.7 (7.04)	.688
Physical Activity Behavior	BRFSS Moderate/Vigorous PA Items	0-20,160*	17.2 (9.00)**	NA
Perceived Risk of CHD	Perception of Risk of Heart Disease Scale	20-80	54.5 (6.48)	.814
AUC Monetary Rewards	Binary Choice Discounting Procedure using Monetary Rewards	0-1	0.547 (0.23)**	NA
AUC Health Rewards	Binary Choice Discounting Procedure using Health Rewards	0-1	0.553 (0.24)**	NA

\*The maximum possible score for PA is based on 60 minutes per hour x 24 hours per day x 7 days per week x 2 (for time spent in vigorous PA)

\*\*Mean score and SD calculated using square root-transformed values

\*\*\*Cronbach's alpha coefficients

**Table 4.3: Pearson Correlations**

	<b>Square Root AUC_ money</b>	<b>Square Root AUC_ health</b>	<b>Diet</b>	<b>Square Root PA</b>	<b>Perceived Risk</b>	<b>Age</b>	<b>BMI</b>
<b>Square Root AUC_ money</b>	1						
<b>Square Root AUC_ health</b>	.253**	1					
<b>Diet</b>	.128	.080	1				
<b>Square Root PA</b>	.125	.061	.271**	1			
<b>Perceived Risk</b>	-.084	-.080	-.303**	-.296**	1		
<b>Age</b>	-.093	-.292**	.157*	-.171*	.120	1	
<b>BMI</b>	-.102	-.081	-.220**	-.406**	.456**	.211**	1

\*Significant at the 0.05 level (two-tailed)

\*\*Significant at the 0.01 level (two-tailed)



**Table 4.4: Hierarchical Regression – Association between Discounting of Monetary Rewards and Diet Score (n=168)**

Predictor	Step 1		Step 2		Step 3	
	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p
Female Dummy	.223**	.003	.231**	.002	.199**	.006
Married Dummy	-.083	.372	-.078	.404	-.034	.701
Separated/Divorced/ Widowed Dummy	-.158	.073	-.155	.079	-.134	.112
> High School Dummy	.292***	<.001	.272**	.001	.259**	.001
Income ≥ \$70K Dummy	-.049	.547	-.056	.491	-.059	.452
Age	.221**	.007	.227**	.006	.246**	.002
Square Root AUC Monetary Rewards			.108	.148	.081	.256
Perceived Risk					-.283***	<.001

\*p<.05, \*\*p<.01, \*\*\*p<.001

Model Fit Statistics: Step 1:  $R^2 = .165$ ,  $Adj R^2 = .134$ ,  $F(6,161) = 5.29^{***}$ ,  $R^2$  change =  $.165^{***}$ ; Step 2:  $R^2 = .176$ ,  $Adj R^2 = .140$ ,  $F(7,160) = 4.90^{***}$ ,  $R^2$  change =  $.011$ ; Step 3:  $R^2 = .251$ ,  $Adj R^2 = .214$ ,  $F(8,159) = 6.67^{***}$ ,  $R^2$  change =  $.076^{***}$

**Table 4.5: Hierarchical Regression – Association between Discounting of Health Rewards and Diet Score (n=168)**

Predictor	Step 1		Step 2		Step 3	
	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p
Female Dummy	.223**	.003	.216**	.004	.186*	.010
Married Dummy	-.083	.372	-.085	.364	-.039	.664
Separated/Divorced/ Widowed Dummy	-.158	.073	-.151	.089	-.130	.125
> High School Dummy	.292***	<.001	.288***	<.001	.270***	<.001
Income ≥ \$70K Dummy	-.049	.547	-.050	.538	-.055	.485
Age	.221**	.007	.246**	.004	.264**	.001
Square Root AUC Health Rewards			.080	.298	.069	.344
Perceived Risk					-.288***	<.001

\*p<.05, \*\*p<.01, \*\*\*p<.001

Model Fit Statistics: Step 1:  $R^2 = .165$ ,  $Adj R^2 = .134$ ,  $F(6,161) = 5.29^{***}$ ,  $R^2$  change =  $.165^{***}$ ; Step 2:  $R^2 = .170$ ,  $Adj R^2 = .134$ ,  $F(7,160) = 4.69^{***}$ ,  $R^2$  change =  $.006$ ; Step 3:  $R^2 = .249$ ,  $Adj R^2 = .212$ ,  $F(8,159) = 6.61^{***}$ ,  $R^2$  change =  $.079^{***}$

**Table 4.6: Hierarchical Regression – Association between Discounting of Monetary Rewards and Physical Activity Score (n=163)**

Predictor	Step 1		Step 2		Step 3	
	Standardized Beta (B)	p	Standardized Beta (B)	p	Standardized Beta (B)	p
Female Dummy	-.068	.396	-.059	.464	-.092	.238
Married Dummy	-.115	.261	-.111	.276	-.070	.479
Separated/Divorced/ Widowed Dummy	-.025	.794	-.023	.813	-.003	.972
> High School Dummy	.094	.270	.078	.366	.072	.390
Income ≥ \$70K Dummy	.081	.364	.077	.388	.069	.425
Age	-.132	.138	-.126	.155	-.107	.213
Square Root AUC Monetary Rewards			.085	.295	.058	.457
Perceived Risk					-.266**	.001

\*p<.05, \*\*p<.01, \*\*\*p<.001

Model Fit Statistics: Step 1:  $R^2 = .059$ ,  $Adj R^2 = .023$ ,  $F(6,156) = 1.64$ ,  $R^2$  change = .059; Step 2:  $R^2 = .066$ ,  $Adj R^2 = .024$ ,  $F(7,155) = 1.56$ ,  $R^2$  change = .007; Step 3:  $R^2 = .133$ ,  $Adj R^2 = .088$ ,  $F(8,154) = 2.96^{**}$ ,  $R^2$  change = .067\*\*

**Table 4.7: Hierarchical Regression – Association between Discounting of Health Rewards and Physical Activity Score (n=163)**

Predictor	Step 1		Step 2		Step 3	
	Standardized Beta (B)	P	Standardized Beta (B)	p	Standardized Beta (B)	p
Female Dummy	-.068	.396	-.068	.396	-.098	.206
Married Dummy	-.115	.261	-.115	.262	-.071	.472
Separated/Divorced/ Widowed Dummy	-.025	.794	-.025	.798	-.005	.957
> High School Dummy	.094	.270	.093	.275	.083	.314
Income ≥ \$70K Dummy	.081	.364	.081	.366	.071	.409
Age	-.132	.138	-.130	.160	-.113	.207
Square Root AUC Health Rewards			.005	.951	-.008	.921
Perceived Risk					-.272**	.001

\*p<.05, \*\*p<.01, \*\*\*p<.001

Model Fit Statistics: Step 1:  $R^2 = .059$ ,  $Adj R^2 = .023$ ,  $F(6,156) = 1.64$ ,  $R^2$  change = .059; Step 2:  $R^2 = .059$ ,  $Adj R^2 = .017$ ,  $F(7,155) = 1.40$ ,  $R^2$  change = .000; Step 3:  $R^2 = .130$ ,  $Adj R^2 = .085$ ,  $F(8,154) = 2.88^{**}$ ,  $R^2$  change = .071\*\*

## CHAPTER 5

## **CHAPTER 5:**

### **GENERAL DISCUSSION**

#### **Rationale and Objective**

Although modifiable risk factors for coronary heart disease (CHD) can be favorably impacted by healthful diet and physical activity, health care providers face a population that generally exhibits unhealthy eating habits and sedentary lifestyles. Motivating patients to adopt heart healthy behaviors is a difficult task, and that task is even more challenging in populations with significant economic, environmental, and health disparities, such as those in Appalachia.<sup>1</sup> Identifying strategies to improve the effectiveness of health care provider guidance is urgently needed to reduce CHD risk. The Health Belief Model (HBM) and behavioral economic theories have demonstrated that framing of future risk can impact intentions. Together these theories provide a promising new framework for the identification of strategies to improve provider communication. HBM research has shown that knowledge<sup>2-4</sup> and perceived risk of CHD<sup>3, 5</sup> are correlated with diet and physical activity. These findings have not been consistent across populations, however, suggesting that factors such as age and health status may be influential.<sup>6-10</sup> Similarly, behavioral economics research has found that the value individuals place on future health influences current health behaviors. Because CHD is generally asymptomatic and negative consequences may not be evident for years, the value placed on future health likely impacts the adoption of heart healthy behaviors. The degree to which future health is discounted has been

associated with addictive behaviors,<sup>11-13</sup> but has not been widely examined in preventive behaviors, such as diet and physical activity.<sup>14-18</sup>

The objective of this series of studies was to determine the association between CHD knowledge, perceived risk, and delay discounting and diet and physical activity levels in adults, in order to identify strategies to improve the effectiveness of health care provider communication. The research design was cross-sectional and the methods included an online survey to obtain information regarding CHD knowledge, perceived risk, and preventive behaviors and a binary choice discounting procedure to elicit degree of discounting for hypothetical monetary and health rewards in an Appalachian population. The specific aims of the studies were: (1) To determine the association between knowledge and perceived risk of CHD and diet and physical activity in Appalachians, and (2) To evaluate the association between the degree of discounting of future health and diet and physical activity.

## **Summary of Findings**

### *CHD Knowledge, Perceived Risk of CHD, and Diet and Physical Activity (PA) Behaviors*

In this cross-sectional study of CHD preventive behaviors in an Appalachian population, overall knowledge of CHD was positively correlated with both healthfulness of diet and PA levels, but these associations were no longer significant after controlling for demographic factors and other components of the HBM, including perceived risk of CHD, perceived severity of CHD, perceived benefits and barriers to preventive behaviors, self-efficacy, and cue to action. Contrary to the direction of association

predicted by the HBM, perceived risk was negatively associated with diet and PA behaviors. Age, perceived barriers, self-efficacy and physician recommendations for lifestyle changes may also play a role based on their significance as predictors of dietary or PA behaviors. Self-efficacy was the strongest predictor of both healthfulness of diet and PA levels, after adjusting for demographic variables and the other components of the HBM.

#### *Degree of Delay Discounting and Diet and PA Behaviors*

Degree of delay discounting (a measure of how an individual values the future), assessed using a binary choice discounting procedure, was not associated with CHD preventive behaviors, specifically diet and PA. A positive association was found between AUC for monetary rewards and education level, with participants who reported > high school education demonstrating greater value of the future compared to less educated participants. Age was negatively associated with value of the future assessed through discounting of health rewards, but no such association was found using discounting of monetary rewards. Perceived risk was negatively associated with preventive behaviors, but no association with degree of discounting was shown.

When associations between value of the future and preventive behaviors were explored by body mass index (BMI) category, a positive correlation was demonstrated between AUC and dietary behavior in underweight/healthy participants, but no association was found in overweight/obese participants. In addition, overweight/obese



individuals discounted the future to a significantly greater degree than underweight/healthy weight individuals.

### **Significance of the Studies**

These findings suggest that, while education to improve disease-related knowledge is an important tool for health promotion and chronic disease prevention, it may not be sufficient for a significant impact on health outcomes, a concept that is well-supported by previous research.<sup>19</sup> In a randomized, controlled trial in over 500 men and women hospitalized with cardiovascular disease, the effectiveness of patient-centered lifestyle counseling was compared to a control intervention consisting of a brief prevention message.<sup>20</sup> The study demonstrated a significant improvement in dietary and exercise behaviors, as well as increased HDL cholesterol levels in the group that received lifestyle counseling compared to the control group. A similar study showed fewer physician visits and decreased healthcare costs in the group that received lifestyle counseling compared to the one that received general health messages.<sup>21</sup> In order to produce meaningful behavior change and improve clinical outcomes in patients at risk for CHD, educational interventions should be designed to do more than simply provide disease-related knowledge.

While perception of risk of disease does seem to predict preventive dietary and PA behaviors, the temporal association is complex and evaluating the effect of risk perceptions can be difficult in cross-sectional research.<sup>22</sup> It has been suggested that inaccurate perceptions of risk may not only discourage participation in preventive health

behaviors, inappropriate risk perceptions may also lead to unnecessarily increased anxiety about chronic diseases, such as CHD.<sup>23, 24</sup> This emphasizes the importance of appropriate education regarding risk factors and lifestyle changes to decrease risk of CHD, as well as conducting accurate risk assessments.

The construct that displayed the greatest influence on diet and PA behaviors in this series of studies was self-efficacy. Long recognized as an important component of individual behavior change theories, self-efficacy has been shown to be significantly positively associated with adoption of preventive health behaviors.<sup>25, 26</sup> Providing means of boosting self-efficacy for lifestyle changes for those at highest risk of CHD could positively impact morbidity and mortality, decreasing the societal burden of this disease. This would entail skill-building interventions on an individual level, as well as interventions to reduce perceived barriers on a population level, stressing the role of environment and policy change to encourage preventive behaviors. Individuals who live in environments that support healthful diet and PA would likely have higher self-efficacy for these behaviors.<sup>27, 28</sup> It has been suggested that interventions that impact the environment may be far more effective in reducing obesity, overeating and physical inactivity than those using educational efforts alone.<sup>29</sup> Efforts are needed to increase access to healthful food and opportunities for PA, and reduce the financial and behavioral costs of such lifestyle changes, in conjunction with educational interventions.

Although the predicted relationships were not demonstrated when assessing degree of delay discounting, diet and PA behaviors, this research extends the literature in regard to time preference and preventive health behaviors. The lack of significant findings could be due to little diversity in the study sample in terms of gender, education,

and participation in preventive behaviors. On the other hand, the association that exists between delay discounting and addictive behaviors<sup>11-13</sup> may simply not exist with preventive health behaviors, or the magnitude of effect may be very small. This lack of direct relationship could be due to the complexity of factors that influence behaviors to prevent chronic diseases, including individual factors and environmental factors. The associations between degree of delay discounting and behavior in regard to BMI category were interesting and may point to differences in influence of self-controlled choice on behavior in overweight/obese, compared to underweight/healthy weight individuals.

The intent of these studies was to examine the concepts of CHD knowledge, perceived risk, delay discounting, and diet and physical activity levels in an Appalachian sample. Although Appalachia is defined by geography, and recruitment occurred within the Appalachian region, the resulting sample displayed greater levels of education, higher incomes, and more healthful lifestyle habits than are generally considered typical of Appalachians. This is likely due to recruitment activities, which took place in a university community whose residents tend to be of higher socioeconomic status, and the study methodology, which required computer skills and a visit to the research center. These requirements may have differentially encouraged individuals with greater levels of education to participate.

## Strengths and Limitations

Strengths of this series of studies include sound methodology using validated measures and a relatively large sample size for the questionnaire. The delay discounting methodology used has been well-documented in the literature.<sup>30-32</sup> The study is innovative because it is, to the best of the authors' knowledge, the first to address delay discounting of the future and its association with diet and physical activity in an Appalachian population at risk for CHD. Adaptation of a general health scenario to one more specific to CHD was a novel application of an established procedure for discounting the future using health rewards.<sup>31</sup> Although the sample size for the delay discounting study was smaller than sample sizes for the other two studies, it still exceeded those for the large majority of research related to time preference and health behaviors, as reported in a review of this literature by Chapman.<sup>33</sup>

Despite many strengths of this series of studies, there were several limitations which should be recognized. First, the cross-sectional nature of the study design does not allow for establishment of causation. Prospective cohort studies would be needed to evaluate causal relationships between preventive behaviors and individual knowledge, perceptions, and value of the future. Second, all measures were based on self-report, introducing the potential for recall or social desirability bias. Third, the sample tended to be highly educated, physically active and mostly female, limiting generalizability to other populations or settings. Although the intent was to capture a sample that was representative of an Appalachian population, the resulting sample did

not display the demographic characteristics most often associated with Appalachians. Recruitment for the study was community-wide, but many participants were university employees or students, potentially biasing the sample toward a greater level of education among participants. Also, advertisements for the study included the phrase “healthy heart study,” which may have differentially encouraged individuals with healthier lifestyle habits to participate.

### **Ideas for Future Study**

Given the strength of association between self-efficacy and diet and PA behaviors, more research is needed to examine the effect of self-efficacy boosting interventions on adoption and maintenance of preventive behaviors. This should entail both individual skill-building interventions, as well as environmental change interventions. Studies should be longitudinal and designed to assess effects of these interventions separately and track changes in behavior over time.

The current literature assessing the association between perception of CHD risk and preventive behaviors consists mostly of studies with cross-sectional designs.<sup>3, 5-7, 9</sup> To better explain this association, a longitudinal study should be conducted, wherein perceived risk of CHD and diet and PA behaviors are measured at baseline and again at regular intervals over a multi-year period of time. This would better allow the assessment of change in behavior over time and the influence of risk perception on behavior change.

In addition, delay discounting studies using similar methodology in larger, more behaviorally and demographically diverse populations are needed to determine if associations between degree of delay discounting and diet and PA behaviors truly exist. These studies should be powered to detect differences among individuals of various weight categories, using measured height, weight, and waist circumference to avoid self-report bias. Given that the current literature regarding delay discounting and obesity shows conflicting results, more studies are needed to examine what factors may modify the influence of time preference on obesity or vice versa.<sup>34-39</sup>

## **Conclusion**

Self-efficacy, rather than knowledge or perceived risk, was found to be the strongest predictor of diet and PA behaviors. Value of the future was positively associated with healthfulness of diet in underweight/healthy weight individuals, but was not associated with diet or PA behaviors in the overall sample. A better understanding of the factors that are associated with healthfulness of diet and level of PA can inform patient education, as well as environment and policy change to encourage preventive behaviors.

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## **APPENDICES**

## **Appendix A: Online Questionnaire Conducted using Survey Monkey**

# Coronary Heart Disease, Diet and Physical Activity

## 1. Introduction and Informed Consent

Researchers at the West Virginia University Health Sciences Center are conducting a study to test the association between knowledge of coronary heart disease, perceived risk of coronary heart disease, and diet and physical activity behaviors. The purpose of the study is to shed light on effective communication techniques that health care providers can use when talking to their patients about coronary heart disease prevention. The study is part of a PhD dissertation project.

We would appreciate your help in this study by answering the questions in this survey. Participation in this study is voluntary, and in no way will affect your class standing, grades, or status on an athletic team if you are a student at WVU, or job standing if you are an employee of WVU. Although there are no sensitive questions, or questions that are likely to cause discomfort, you may elect to quit at any time without penalty. Your name will not be used in publications or presentations that result from this survey. There are no known risks or direct benefits from participating in this study. The survey takes about 30 minutes to complete. If you have any questions about this study contact Kimberly Blake, PharmD, MBA, at 304-293-2306. If you complete the survey and provide your contact information, you will be entered in a drawing for one of ten \$200 gift cards to Giant Eagle.

Thanks for your help!

**1. By choosing "I accept" you acknowledge that you have read and understand the information given above, and agree to proceed with the questionnaire.**

I accept

I do not wish to continue

# Coronary Heart Disease, Diet and Physical Activity

## 2. Study Inclusion Criteria

This survey is part of a study to test the association between knowledge of coronary heart disease, perceived risk of coronary heart disease, and diet and physical activity behaviors. Please answer the following two questions to determine your eligibility to participate in the study.

### 1. Are you 18 years of age or older?

Yes

No

### 2. Have you ever been told by your health care provider that you have coronary heart disease, angina, or have suffered a heart attack?

Yes

No

### 3. Have you ever had coronary bypass surgery, coronary stent placement, or angioplasty?

Yes

No

# Coronary Heart Disease, Diet and Physical Activity

## 3. HIPAA

### **Confidentiality**

We know that information about your health is private. We are dedicated to protecting the privacy of that information. Because of this, we must get your authorization (permission) before we may use or disclose your protected health information or share it with others for research purposes.

You can decide whether or not to give your permission. However, if you choose not to permit the use or disclosure of this health information, you will not be able to take part in the research study. Whatever choice you make, it will not have an effect on your access to medical care.

### **Persons/Organizations receiving the information:**

- WVU Health Research Center, the research site carrying out this study.
- The United States Department of Health and Human Services (which includes the National Institutes of Health, Food and Drug Administration (FDA)) and other groups that have the right to use the information as required by law.
- The members and staff of any Institutional Review Board (IRB) that oversee this research study.
- West Virginia University Office of Research Compliance and Office of Sponsored Programs.

### **The following information will be used:**

Your height and weight, gender and date of birth.

### **The information is being disclosed for the following reasons:**

- Review of your data for quality assurance purposes.
- Publication of study results (without identifying you).
- Other research purposes such as reviewing the effects of knowledge and perceived health risk.

### **You may cancel this authorization at any time by writing to the Principal Investigator:**

Carole Harris, PhD, WVU Health Research Center, POB 9136, Morgantown, WV 26506-9136

- If you cancel this authorization, any information that was collected already for this study cannot be withdrawn. Once information is disclosed, according to this authorization the recipient may redisclose it and then the information may no longer be protected by federal privacy regulations.
- This authorization will not expire unless you cancel it.

**1. I have read this form and all of my questions about this form have been answered. By choosing "I accept", I acknowledge that I have read and accept all of the above.**

jm I accept



## 4. Contact Information

1. I would like to receive a copy of the HIPPA information on the previous page.

Yes

No

2. Please enter the following contact information. This information is necessary in order for us to enter your name in the lottery and to contact you in the event that you win. You may also be contacted in the next six months to participate in a follow-up study.

Name:	<input type="text"/>
Address:	<input type="text"/>
Address 2:	<input type="text"/>
City/Town:	<input type="text"/>
State:	<input type="text" value="6"/>
ZIP/Postal Code:	<input type="text"/>
Email Address:	<input type="text"/>
Phone Number:	<input type="text"/>

## 5. Online Survey Instructions

Thank you for participating in this survey addressing coronary heart disease prevention.

It should take you about thirty minutes to complete the survey.

Below are some items to keep in mind as you complete the survey:

- To move through the survey pages, use the "Next" and "Previous" buttons at the bottom of each page. **Do not use your browser's "Back" button, as this may result in the loss of data.**
- Once the "Next" button is clicked, the survey will advance to the next page unless there are error messages for questions on the current page. The error messages will appear in **red** above the questions that need to be addressed. **You may need to scroll down on the page to locate the questions with error messages.**
- All questions require an answer. This is to ensure we have complete data to evaluate the impact of knowledge and perceived risk on diet and physical activity behaviors.
- Please be assured that your responses will be confidential. Data from this survey will only be reported in summary form; individual responses will not be identified.
- The survey completion bar at the top of each page indicates the percentage of questions completed.

If you have any questions, please email us at [kblake@hsc.wvu.edu](mailto:kblake@hsc.wvu.edu) or call 304-293-2306.

Thank you again for your participation in this survey!

# Coronary Heart Disease, Diet and Physical Activity

## 6. Dietary Behavior

UC Davis Food Behavior Checklist (Townsend et al, 2008)

These questions are about the ways you plan and fix foods. Think about how you usually do things. Choose one answer for each question.

### 1. Do you eat fruits or vegetables as snacks?

- No
- Yes, sometimes
- Yes, often
- Yes, everyday

### 2. Do you drink fruit drinks, sport drinks, or punch?

- No
- Yes, sometimes
- Yes, often
- Yes, everyday

### 3. Do you drink regular soda?

- No
- Yes, sometimes
- Yes, often
- Yes, everyday

### 4. Do you drink milk?

- No
- Yes, sometimes
- Yes, often
- Yes, everyday

### 5. Did you drink milk or use milk on cereal during the past week?

- Yes
- No

## Coronary Heart Disease, Diet and Physical Activity

6. Did you have citrus fruit or citrus juice during the past week?

Yes

No

7. How many servings of fruit do you eat each day?

8. Do you eat more than one kind of fruit each day?

No

Yes, sometimes

Yes, often

Yes, always

9. Do you eat more than one kind of vegetable each day?

No

Yes, sometimes

Yes, often

Yes, always

10. How many servings of vegetables do you eat each day?

11. Do you take the skin off chicken?

No

Yes, sometimes

Yes, often

Yes, always

12. Did you have fish during the past week?

Yes

No

## Coronary Heart Disease, Diet and Physical Activity

**13. Do you eat two or more vegetables at your main meal?**

- No
- Yes, sometimes
- Yes, often
- Yes, everyday

**14. When shopping, do you use the "Nutrition Facts" on the food label to choose food?**

- No
- Yes, sometimes
- Yes, often
- Yes, always

**15. Do you run out of food before the end of the month?**

- No
- Yes, sometimes
- Yes, often
- Yes, always

**16. How would you rate your eating habits?**

- 1=Poor
- 2
- 3
- 4=Fair
- 5
- 6
- 7=Good
- 8
- 9
- 10=Excellent

# Coronary Heart Disease, Diet and Physical Activity

## 7. Physical Activity Behaviors

Behavioral Risk Factor Surveillance System Survey (CDC, 2009)

We are interested in two types of physical activity - vigorous and moderate. Vigorous activities cause large increases in breathing or heart rate while moderate activities cause small increases in breathing or heart rate.

**1. Now, thinking about the moderate activities you do in a usual week, do you do moderate activities for at least 10 minutes at a time, such as brisk walking, bicycling, vacuuming, gardening, or anything else that causes some increase in breathing or heart rate?**

Yes

No

Don't know / Not sure

# Coronary Heart Disease, Diet and Physical Activity

## 8. "Yes" to Moderate Physical Activity

**1. How many days per week do you do these moderate activities for at least 10 minutes at a time?**

1

2

3

4

5

6

7

Don't know / Not sure

**2. On days when you do moderate activities for at least 10 minutes at a time, how much total time per day (in minutes) do you spend doing these activities?**

# Coronary Heart Disease, Diet and Physical Activity

## 9. Physical Activity Behaviors - Vigorous Activity

Behavioral Risk Factor Surveillance System Survey (CDC, 2009)

**1. Now, thinking about the vigorous activities you do in a usual week, do you do vigorous activities for at least 10 minutes at a time, such as running, aerobics, heavy yard work, or anything else that causes large increases in breathing or heart rate?**

Yes

No

Don't know / Not sure



# Coronary Heart Disease, Diet and Physical Activity

## 10. "Yes" to Vigorous Physical Activity

**1. How many days per week do you do these vigorous activities for at least 10 minutes at a time?**

1

2

3

4

5

6

7

Don't know / Not sure

**2. On days when you do vigorous activities for at least 10 minutes at a time, how much total time per day (in minutes) do you spend doing these activities?**

# Coronary Heart Disease, Diet and Physical Activity

## 11. Coronary Heart Disease Knowledge

Modified Coronary Heart Disease Knowledge Test (Smith et al, 1991)

### 1. A risk factor of coronary heart disease that you cannot change is

- Lack of exercise
- Heredity
- Obesity
- Stress

### 2. The single most preventable cause of death and disease in the United States is

- Drug abuse
- Environmental pollution
- Poor nutrition
- Smoking

### 3. Which of the following blood fats is thought to lower risk of coronary heart disease?

- High-density lipoprotein
- Low-density lipoprotein
- Cholesterol
- Triglycerides

### 4. The major cigarette-smoke contributors to the development of coronary heart disease are carbon monoxide and

- Carbon dioxide
- Coal tar
- Nicotine
- Dioxin

### 5. Which of the following is a direct benefit of exercise?

- Reduced work of heart for a given workload
- Reduction of fat cells
- Enlarged lungs
- Increased resting heart rate

# Coronary Heart Disease, Diet and Physical Activity

**6. The best type of physical activity to maintain cardiovascular fitness is \_\_\_\_\_ exercise.**

- Anaerobic
- Aerobic
- Non-aerobic
- Dynamic

**7. Warming up**

- Allows the body to return to normal functioning
- Assists in reducing strain on the heart
- Results from increased perspiration
- Allows muscles to become firmer

**8. Which of the following is a sign of overexertion?**

- A perceived exertion rating of 14 on a 20-point scale
- A heart rate of 100 beats per minute upon finishing a workout
- Persistent tiredness the day following exercise
- Shortness of breath upon finishing an exercise routine

**9. The symptoms of angina pectoris after physical exertion include**

- Numbness of the legs
- Prolonged, severe chest pain
- Pain in the right arm
- Temporary chest pain

**10. Most Americans could benefit from diets**

- Lower in complex carbohydrates and higher in protein
- Lower in complex carbohydrates and lower in fat
- Higher in complex carbohydrates and higher in fat
- Higher in complex carbohydrates and lower in fat

# Coronary Heart Disease, Diet and Physical Activity

**11. The type of fat that is solid at room temperature is called**

- Saturated
- Monosaturated
- Polyunsaturated
- Unsaturated

**12. A reasonable weight-loss goal is**

- 1 pound a day
- 2 pounds a day
- 2 pounds a week
- 5 pounds a week

**13. Stress may be described as**

- Abnormal responsive reactions to change
- The pattern-specific response of the body to any disturbance
- The non-specific response of the body to any demand
- The responses of the body to an unpleasant situation

**14. What is the relationship between stress and atherosclerosis?**

- Atherosclerosis is the major cause of stress
- Elasticity of the arterial walls will increase with atherosclerosis
- A single stress, by itself, is both necessary and sufficient to cause atherosclerosis
- The stress response causes cholesterol to be circulated in the blood stream to aid in muscle activity

**15. The stress response begins with**

- Adaptation to the stressor
- Exposure to the stressor
- Identification of the stressor
- Physical symptoms of stress

## Coronary Heart Disease, Diet and Physical Activity

**16. Which of the following is a physiologic response to stress?**

- Feeling hungry
- Slower heart rate
- Decreased metabolism
- Increased blood pressure

**17. To successfully control a new stressful environment one must**

- Seek assistance
- Alleviate the cause
- Adapt to the situation
- Change to a pleasant environment

**18. The condition in which the heart rate slows, blood pressure decreases and muscle tension reduces is known as**

- Stress
- Relaxation response
- Concentration
- Alpha activity

**19. Which of the following is an element of relaxation?**

- Breathing slowly and rhythmically
- Control of alpha waves
- Concentrating on muscle tension
- Planned recreational activities

**20. Meditation is used during**

- Transactional analysis
- Relaxation training
- Time management
- General adaptation syndrome

# Coronary Heart Disease, Diet and Physical Activity

## 12. Perception of Risk of Heart Disease

Perception of Risk of Heart Disease Scale (Ammouri and Neuberger, 2008)

### 1. Please indicate how much you agree or disagree with the following statements.

	Strongly disagree	Disagree	Agree	Strongly agree
There is a possibility that I have heart disease.	10	10	10	10
There is a good chance I will get heart disease during the next 10 years.	10	10	10	10
A person who gets heart disease has no chance of being cured.	10	10	10	10
I have a high chance of getting heart disease because of my past behaviors	10	10	10	10
I feel sure that I will get heart disease.	10	10	10	10
Healthy lifestyle habits are unattainable.	10	10	10	10
It is likely that I will get heart disease.	10	10	10	10
I am at risk for getting heart disease.	10	10	10	10
It is possible that I will get heart disease.	10	10	10	10
I am not doing anything now that is unhealthy to my heart.	10	10	10	10

### 2. Please indicate how much you agree or disagree with the following statements.

	Strongly disagree	Disagree	Agree	Strongly agree
I am too young to have heart disease.	10	10	10	10
People like me do not get heart disease.	10	10	10	10
I am very healthy so my body can fight off heart disease.	10	10	10	10
I am not worried that I might get heart disease.	10	10	10	10
People my age are too young to get heart disease.	10	10	10	10
People my age do not get heart disease.	10	10	10	10
My lifestyle habits do not put me at risk for heart disease.	10	10	10	10
No matter what I do, if I am going to get heart disease, I will get it.	10	10	10	10
People who don't get heart disease are just plain lucky.	10	10	10	10
The causes of heart disease are unknown.	10	10	10	10

# Coronary Heart Disease, Diet and Physical Activity

## 13. Perceived Seriousness of CHD

Perceived Seriousness of Coronary Heart Disease (Katz et al, 2009)

### 1. To what extent do you agree or disagree with the following statements:

	Strongly disagree	Disagree	Neutral	Agree	Strongly agree
The thought of having coronary heart disease scares me.	jn	jn	jn	jn	jn
If I had coronary heart disease, I would be disabled or would die.	jn	jn	jn	jn	jn
It would be very costly if I got coronary heart disease.	jn	jn	jn	jn	jn
When I think about coronary heart disease, I get depressed.	jn	jn	jn	jn	jn
It would be very serious if I got coronary heart disease.	jn	jn	jn	jn	jn

# Coronary Heart Disease, Diet and Physical Activity

## 14. Perceived Benefits

Benefits Scale (Murdaugh and Verran, 1987)

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**1. The following questions ask about your beliefs. Please indicate how strongly you agree or disagree to each statement. There are no right or wrong answers as the statements measure beliefs. Please answer according to your actual beliefs and not how you think you should believe or how you think others want you to answer.**

	Strongly disagree	Disagree	Agree	Strongly agree
Regular exercise may decrease my chances of a heart attack.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Even if I eat a low fat diet I will not reduce my chance of heart disease.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regular exercise helps reduce tension and stress.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regular exercise can help me maintain a normal weight.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Lowering salt in my diet may lessen my chance of high blood pressure.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Annual check ups will help me learn my risk for heart disease.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regular exercise may help prevent high blood pressure.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Research now shows that it is probably okay to eat a high fat diet.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Losing weight may help control high blood pressure.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regular exercise can make me feel I have more energy.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
If I stopped smoking I will lower my chance of heart disease.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
If I have smoked for many years it is too late to stop now.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>



# Coronary Heart Disease, Diet and Physical Activity

## 15. Perceived Barriers

Barriers Scale (Murdaugh and Verran, 1987)

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**1. The following questions ask about your beliefs. Please indicate how strongly you agree or disagree to each statement. There are no right or wrong answers as the statements measure beliefs. Please answer according to your actual beliefs and not how you think you should believe or how you think others want you to answer.**

	Strongly disagree	Disagree	Agree	Strongly agree
Family can often get in the way when I want to make healthy changes.	jn	jn	jn	jn
I enjoy eating too much to change my diet.	jn	jn	jn	jn
Even though it is a good idea, I don't take time to exercise.	jn	jn	jn	jn
A low fat diet takes too much time to prepare.	jn	jn	jn	jn
If I feel healthy there is no need to change my diet.	jn	jn	jn	jn
In the long run I will die anyway so I need not bother to change my habits.	jn	jn	jn	jn
Low fat diets are too unappetizing to follow for long periods.	jn	jn	jn	jn
I am not convinced of the benefits of regular exercise.	jn	jn	jn	jn
I do not exercise because it is not safe in my neighborhood.	jn	jn	jn	jn
I am too busy with my family to exercise regularly.	jn	jn	jn	jn
If I stopped smoking I will gain weight, so I may as well smoke.	jn	jn	jn	jn
It will be too stressful for me to stop smoking.	jn	jn	jn	jn

# Coronary Heart Disease, Diet and Physical Activity

## 16. Self-Efficacy for Diet

Eating Habits Confidence Survey (Sallis et al, 1998)

Below is a list of things people might do while trying to change their eating habits. We are mainly interested in salt and fat intake, rather than weight reduction. Whether you are trying to change your eating habits or not, please rate on a scale of 1 - 5 (1 = I know I cannot and 5 = I know I can) how confident you are that you could really motivate yourself to do things like these consistently, for at least six months.

### 1. Rate your confidence on a scale of 1 to 5.

	1 (I know I cannot)	2	3 (Maybe I can)	4	5 (I know I can)	Does not apply
Stick to your low fat, low salt foods when you feel depressed, bored, or tense.	jq	jq	jq	jq	jq	jq
Stick to your low fat, low salt foods when there is high fat, high salt food readily available at a party.	jq	jq	jq	jq	jq	jq
Stick to your low fat, low salt foods when dining with friends or co-workers.	jq	jq	jq	jq	jq	jq
Stick to your low fat, low salt foods when the only snack close by is available from a vending machine.	jq	jq	jq	jq	jq	jq
Stick to your low fat, low salt foods when you are alone, and there is no one to watch you.	jq	jq	jq	jq	jq	jq
Eat smaller portions at dinner.	jq	jq	jq	jq	jq	jq
Cook smaller portions so there are no leftovers.	jq	jq	jq	jq	jq	jq
Eat lunch as your main meal of the day, rather than dinner.	jq	jq	jq	jq	jq	jq
Eat smaller portions of food at a party.	jq	jq	jq	jq	jq	jq
Eat salads for lunch.	jq	jq	jq	jq	jq	jq

### 2. Rate your confidence on a scale of 1 to 5.

	1 (I know I cannot)	2	3 (Maybe I can)	4	5 (I know I can)	Does not apply
Add less salt than the recipe calls for.	jq	jq	jq	jq	jq	jq
Eat unsalted peanuts, chips, crackers, and pretzles.	jq	jq	jq	jq	jq	jq
Avoid adding salt at the table.	jq	jq	jq	jq	jq	jq
Eat unsalted, unbuttered popcorn.	jq	jq	jq	jq	jq	jq
Keep the salt shaker off the kitchen table.	jq	jq	jq	jq	jq	jq
Eat meatless (vegetarian) entrees for dinner.	jq	jq	jq	jq	jq	jq
Substitute low or non-fat milk for whole milk at dinner.	jq	jq	jq	jq	jq	jq
Cut down on gravies and cream sauce.	jq	jq	jq	jq	jq	jq
Eat poultry and fish instead of red meat at dinner.	jq	jq	jq	jq	jq	jq
Avoid ordering red meat (beef, pork, ham, lamb) at restaurants.	jq	jq	jq	jq	jq	jq

# Coronary Heart Disease, Diet and Physical Activity

## 17. Self-Efficacy for Exercise

Exercise Confidence Survey (Sallis et al, 1998)

Below is a list of things people might do while trying to increase or continue regular exercise. We are interested in exercises like running, swimming, brisk walking, bicycle riding, or aerobics classes. Whether you exercise or not, please rate on a scale of 1 - 5 (1 = I know I cannot and 5 = I know I can) how confident you are that you could really motivate yourself to do things like these consistently, for at least six months.

### 1. Rate your confidence on a scale of 1 to 5.

	1 (I know I cannot)	2	3 (Maybe I can)	4	5 (I know I can)	Does not apply
Get up early, even on weekends, to exercise.	jñ	jñ	jñ	jñ	jñ	jñ
Stick to your exercise program after a long, tiring day at work.	jñ	jñ	jñ	jñ	jñ	jñ
Exercise even though you are feeling depressed.	jñ	jñ	jñ	jñ	jñ	jñ
Set aside time for a physical activity program; that is, walking, jogging, swimming, biking, or other continuous activities for at least 30 minutes, 3 times per week.	jñ	jñ	jñ	jñ	jñ	jñ
Continue to exercise with others even though they seem too fast or too slow for you.	jñ	jñ	jñ	jñ	jñ	jñ
Stick to your exercise program when undergoing a stressful life change (e.g., divorce, death in the family, moving).	jñ	jñ	jñ	jñ	jñ	jñ
Attend a party only after exercising.	jñ	jñ	jñ	jñ	jñ	jñ
Stick to your exercise program when your family is demanding more time from you.	jñ	jñ	jñ	jñ	jñ	jñ
Stick to your exercise program when you have household chores to attend to.	jñ	jñ	jñ	jñ	jñ	jñ
Stick to your exercise program even when you have excessive demands at work.	jñ	jñ	jñ	jñ	jñ	jñ
Stick to your exercise program when social obligations are very time consuming.	jñ	jñ	jñ	jñ	jñ	jñ
Read or study less in order to exercise more.	jñ	jñ	jñ	jñ	jñ	jñ

## 18. Cues to Action

**1. Has your health care provider recommended that you change your diet to be healthier?**

Yes

No

**2. Has your health care provider recommended that you increase your level of physical activity to be healthier?**

Yes

No

# Coronary Heart Disease, Diet and Physical Activity

## 19. Demographics

### 1. What is your gender?

Male

Female

### 2. What is your date of birth?

Enter your date of birth in MM/DD/YYYY format

MM    DD    YYYY

/  /

### 3. What is your marital status?

Single (never married)

Currently married

Separated, divorced or widowed

### 4. What is your highest level of education?

Less than high school

High school

Some college or college degree

### 5. What is your total annual household income?

Less than \$20,000 per year

\$20,000 to \$69,999 per year

\$70,000 to \$139,999 per year

\$140,000 or more per year

### 6. Approximately how much do you weigh without shoes (in pounds)?

### 7. Approximately how tall are you without shoes?

Feet

Inches

## 20. Request a Summary of Results

### 1. Would you like to receive a summary of the results of the study after it is completed?

Yes, please mail a summary to me

Yes, please e-mail a summary to me

No thanks

## 21. Exit the Survey

Thank you for participating in our study!

## Appendix B: Delay Discounting Procedure

### Part 1 – Monetary Rewards

Conducted using Labview

What follows are screen shots of the survey. The participant will read each box and click on the response option of his or her choice.

#### Introduction:

Thank you for agreeing to participate. This study involves making choices between two imaginary rewards. In the first exercise, the rewards are in terms of money received now or sometime in the future. In the second exercise, the rewards are in terms of a treatment that gives you full health, and may take effect right away, or may take effect sometime in the future.

You will choose the option you prefer.

#### Money trial instructions:

On the following screens, you will be given two choices. They will have different dollar values. One choice will be to receive a smaller dollar amount now; the other choice will be to receive a larger dollar amount in the future. Imagine that you are given the option to choose one or the other, and click on the box with the choice you would prefer. Keep in mind that the rewards are imaginary and you will not actually receive them.

Here are a few trial choices to familiarize you with the task.



Practice trials:

Imagine that you have a choice between two dollar amounts: one you would receive now and one you would receive later.

Which of the following choices would you prefer?

\$5.00 now

\$10.00 in 1 hour

(Participant would be presented with two such practice trials)

Be sure to read each choice very carefully. The “now” reward may be on the left OR the right side of the screen. The time you have to wait for the reward will also change.

You cannot change your choice after it has been clicked.

[next screen]

Do you understand the task?

If so, please click OK to continue. If you do not understand, please stop now and ask the researcher for further assistance.

OK

Imagine that you have a choice between two dollar amounts: one you would receive now and one you would receive later.

Which of the following choices would you prefer?

\$500.00 now

\$1000.00 in 1 month

The participant will be presented with 6 different choices at each of 6 delays, for a total of 36 choices for monetary rewards. The amounts offered in each subsequent choice will vary based on the participant's answer to the preceding question. All rewards are hypothetical. The side of presentation of the button representing the immediate reward (right- or left-hand side) is chosen at random. An example interview might go as follows:

Practice Trial:

\$5.00 now or \$10.00 in 1 hour

\$7.50 now or \$10.00 in 1 hour

Delay = 1 month

\$500.00 now or \$1000.00 in 1 month

\$750.00 now or \$1000.00 in 1 month

\$625.00 now or \$1000.00 in 1 month

\$687.50 now or \$1000.00 in 1 month

\$718.75 now or \$1000.00 in 1 month

\$703.12 now or \$1000.00 in 1 month

Delay = 1 year

\$500.00 now or \$1000.00 in 1 year

\$750.00 now or \$1000.00 in 1 year

\$625.00 now or \$1000.00 in 1 year

\$562.50 now or \$1000.00 in 1 year

\$593.75 now or \$1000.00 in 1 year

\$609.37 now or \$1000.00 in 1 year

Delay = 2 years

\$500.00 now or \$1000.00 in 2 years

\$250.00 now or \$1000.00 in 2 years

\$375.00 now or \$1000.00 in 2 years

\$437.50 now or \$1000.00 in 2 years

\$468.75 now or \$1000.00 in 2 years

\$484.37 now or \$1000.00 in 2 years

Delay = 5 years

\$500.00 now or \$1000.00 in 5 years

\$250.00 now or \$1000.00 in 5 years

\$375.00 now or \$1000.00 in 5 years

\$437.50 now or \$1000.00 in 5 years

\$406.25 now or \$1000.00 in 5 years

\$390.62 now or \$1000.00 in 5 years

Delay = 10 years

\$500.00 now or \$1000.00 in 10 years

\$250.00 now or \$1000.00 in 10 years

\$125.00 now or \$1000.00 in 10 years

\$62.50 now or \$1000.00 in 10 years

\$31.25 now or \$1000.00 in 10 years

\$15.62 now or \$1000.00 in 10 years

Delay = 20 years

\$500.00 now or \$1000.00 in 20 years

\$750.00 now or \$1000.00 in 20 years

\$875.00 now or \$1000.00 in 20 years

\$812.50 now or \$1000.00 in 20 years

\$781.25 now or \$1000.00 in 20 years

\$765.62 now or \$1000.00 in 20 years

## Appendix C: Delay Discounting Procedure

### Part 2 – Health Rewards

Health trial instructions:

On the next screen, you will see a health scenario. Read the scenario and imagine yourself in that condition.

On the following screens, you will be given two choices of treatments for this imaginary health condition.

Both treatments return you to full health. One treatment will return you to full health starting now. The other treatment will return you to full health for a longer period of time – but will not take effect until sometime in the future.

Click on the box with the choice you would prefer.

[next screen]

Imagine that for the past two years your state of health has fit this description:

Because of your doctor's instructions, you need to take multiple medications each day. To monitor the effects of these medications, you must get blood drawn at your doctor's office at least once per month. You must also be very careful about what you eat and drink. You have to limit the amount of salt you eat and fluids you drink. You often have swollen ankles. You sometimes have chest pain, for which you must take nitroglycerin tablets. You have to visit the bathroom often to urinate. You often feel tired and cannot walk more than 20 feet without getting short of breath. You often do not have the energy for sexual activity. Sometimes, you feel depressed about your health.

Practice trials:

Imagine that you have a choice between two treatments which return you to full health for different amounts of time and will take effect starting now or at some point in the future.

Which of the following choices would you prefer?

Treatment that gives you full health for 5 years, starting now

Treatment that gives you full health for 10 years, starting 1 hour from now

(Participant would be presented with two such practice trials)

Be sure to read each choice very carefully. The “now” treatment may be on the left OR the right side of the screen. The time you have to wait for the treatment to take effect will also change.

You cannot change your choice after it has been clicked.

Do you understand the task?

If so, please click OK to continue. If you do not understand, please stop now and ask the researcher for further assistance.

OK



Imagine that you have a choice between two treatments which return you to full health for different amounts of time and will take effect starting now or at some point in the future.

Which of the following choices would you prefer?

Treatment that gives you full health for 5 years, starting now

Treatment that gives you full health for 10 years, starting 1 month from now

The participant would be presented with 6 different choices at each of 6 delays, for a total of 36 choices for health rewards. The amounts offered in each subsequent choice will vary based on the participant's answer to the preceding question. All rewards are hypothetical. The side of presentation of the button representing the immediate reward (right- or left-hand side) is chosen at random. An example interview might go as follows:

Practice Trial: (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 1 hour from now

2 years and 6 months starting now or 10 years starting 1 hour from now

Delay = 1 month (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 1 month from now  
7 years and 6 months starting now or 10 years starting 1 month from now  
8 years and 9 months starting now or 10 years starting 1 month from now  
9 years and 4 months starting now or 10 years starting 1 month from now  
9 years and 1 month starting now or 10 years starting 1 month from now  
8 years and 11 months starting now or 10 years starting 1 month from now

Delay = 1 year (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 1 year from now  
2 years and 6 months starting now or 10 years starting 1 year from now  
1 year and 3 months starting now or 10 years starting 1 year from now  
1 year and 10 months starting now or 10 years starting 1 year from now  
2 years and 2 months starting now or 10 years starting 1 year from now  
2 years and 4 months starting now or 10 years starting 1 year from now

Delay = 2 years (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 2 years from now  
2 years and 6 months starting now or 10 years starting 2 years from now  
3 years and 9 months starting now or 10 years starting 2 years from now  
4 years and 4 months starting now or 10 years starting 2 years from now  
4 years and 8 months starting now or 10 years starting 2 years from now  
4 years and 10 months starting now or 10 years starting 2 years from now

Delay = 5 years (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 5 years from now  
2 years and 6 months starting now or 10 years starting 5 years from now  
3 years and 9 months starting now or 10 years starting 5 years from now  
4 years and 4 months starting now or 10 years starting 5 years from now  
4 years and 8 months starting now or 10 years starting 5 years from now  
4 years and 6 months starting now or 10 years starting 5 years from now

Delay = 10 years (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 10 years from now  
7 years and 6 months starting now or 10 years starting 10 years from now  
8 years and 9 months starting now or 10 years starting 10 years from now  
9 years and 4 months starting now or 10 years starting 10 years from now  
9 years and 8 months starting now or 10 years starting 10 years from now  
9 years and 6 months starting now or 10 years starting 10 years from now

Delay = 20 years (choices are in terms of length of time in full health)

5 years starting now or 10 years starting 20 years from now  
7 years and 6 months starting now or 10 years starting 20 years from now  
8 years and 9 months starting now or 10 years starting 20 years from now  
8 years and 1 month starting now or 10 years starting 20 years from now  
7 years and 10 months starting now or 10 years starting 20 years from now  
8 years starting now or 10 years starting 20 years from now