

Fall 8-28-2017

# 2016 Annual Compliance Report

Maine Workers' Compensation Board

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# 2016 Annual Compliance Report

## State of Maine Workers' Compensation Board



January 1, 2016—December 31, 2016

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq.  
Executive Director/Chair

27 State House Station  
Augusta, Maine 04333-0027  
(207) 287-3751

**MAINE WORKERS' COMPENSATION BOARD  
2016 ANNUAL COMPLIANCE REPORT**

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## EXECUTIVE SUMMARY

- I. On August 28, 2017, the Maine Workers' Compensation Board of Directors approved the 2016 Annual Compliance Report (**January 1, 2016** through **December 31, 2016**). This report represents the efforts of the Office of Monitoring, Audit and Enforcement (MAE Program) and the workers' compensation community.

### II. HISTORY

In 1997, the Maine Legislature, with the support of Governor Angus S. King, Jr., enacted Public Law 1997, Chapter 486 to establish the Office of Monitoring, Audit, and Enforcement with the goals of: (1) providing timely and reliable data to policymakers; (2) monitoring and auditing payments and filings; and (3) identifying those insurers, self-administered employers, and third-party administrators (collectively "insurers") not complying with minimum standards.

### III. COMPLIANCE OVERVIEW

The 2016 quarterly compliance in Table 1 represents static results based upon data received by the deadline for each quarter. This 2016 Annual Compliance Report represents static results based upon data received by March 3, 2017.

#### A. Lost Time First Report Filings

*The Board's benchmark for lost time first report (FROI) filings within 7 days is 85%.*

**Benchmark Not Met.** Eighty-three percent (83%) of lost time FROI filings were within 7 days.

#### B. Initial Indemnity Payments

*The Board's benchmark for initial indemnity payments within 14 days is 87%.*

**Benchmark Exceeded.** Eighty-nine percent (89%) of initial indemnity payments were within 14 days.

#### C. Initial Memorandum of Payment Filings

*The Board's benchmark for initial Memorandum of Payment (MOP) filings within 17 days is 85%.*

**Benchmark Exceeded.** Eighty-eight percent (88%) of initial MOP filings were within 17 days.

#### D. Initial Indemnity Notice of Controversy Filings

*The Board's benchmark for initial indemnity Notice of Controversy (NOC) filings within 14 days is 90%.*

**Benchmark Exceeded.** Ninety-three percent (93%) of initial indemnity NOC filings were within 14 days.

#### E. Wage Information

Sixty-eight (68%) of Wage Statement(s) and Sixty-eight (68%) of the Fringe Benefit Worksheet(s) that were received in 2016 were filed within 30 days.

#### F. Utilization Analysis

Twenty percent (20%) of all lost time first reports were "denied" and forty-three percent (43%) of all claims for compensation were denied.

## **IV. CAVEATS & EXPLANATIONS**

### **A. General**

- Question marks (“?”) within this report indicate that the insurer did not provide all the data required to measure compliance.

### **B. Lost Time First Report Filings**

- Compliance with the lost time first report filing obligation exists when the lost time first report is filed (accepted Electronic Data Interchange (EDI) transaction, with or without errors) within 7 days of the employer receiving notice or knowledge of an employee injury that has caused the employee to lose a day’s work.
- When a medical only first report was received and later converted to a lost time first report, if the received date minus the date of the employer’s notice or knowledge of incapacity was less than zero, the filing was considered compliant.

### **C. Initial Indemnity Payments**

Compliance with the Initial Indemnity Payment obligation exists when the check is mailed within the later of: (a) 14 days after the employer’s notice or knowledge of incapacity or (b) the first day of compensability plus 6 days.

### **D. Initial Memorandum of Payment Filings**

Compliance with the Initial Memorandum of Payment filing obligation exists when the MOP is received within 17 days of the employer’s notice or knowledge of incapacity.

### **E. Initial Indemnity Notice of Controversy Filings**

- Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).
- Compliance with the Initial Indemnity Notice of Controversy filing obligation exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days of the employer receiving notice or knowledge of the incapacity or death.

**V. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in 2016:

Auditee (alpha order)	Total Penalties
Allianz Insurance Group	\$4,600.00
AmTrust North America, Inc.	\$10,125.00
Broadspire Services, Inc.	\$4,500.00
Church Mutual Insurance Company	\$3,500.00
Frankenmuth Group (Patriot Insurance)	\$3,000.00
Hartford Insurance	\$1,500.00
Lumberman's Underwritings	\$1,200.00*
Maine Automobile Dealers Association Workers' Compensation Trust	\$4,550.00
Matrix Absence Management	\$800.00
MS & AD Insurance Group	\$1,700.00
Ryder Services Corporation	\$0.00
SeaBright Insurance Company	\$5,000.00
Sentry Insurance	\$25,000.00
Zurich Insurance Group	\$13,350.00

\*penalties negotiated, but not collected because the insurer became insolvent

**This 2016 Annual Compliance Report was prepared by the following MAE staff members:**

Name	Position	Annual Report Role
Kimberly Belka	Secretary Associate	Administrative Support
Carrie Ellis	Management Analyst II	Research & Compilation
Seanna Crasnick	Deputy General Counsel	Editor

# Annual Compliance Summary

**Table 1      Quarterly Compliance Reports**

	Benchmark	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
<b>Lost Time First Report Filings</b> Received within 7 Days	85%	86%	86%	81%	82%
<b>Initial Indemnity Payments</b> Made within 14 Days	87%	89%	91%	90%	89%
<b>Initial Memorandum of Payment Filings</b> Received within 17 Days	85%	89%	88%	90%	88%
<b>Initial Indemnity Notice of Controversy Filings</b> Received within 14 Days	90%	93%	94%	91%	93%

**Table 2      Annual Compliance**

	1997[1]	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
<b>Lost Time First Report Filings</b> Received within 7 Days	37%	87%	89%	84%	86%	87%	85%	85%	84%	83%	83%
<b>Initial Indemnity Payments</b> Made within 14 Days	59%	87%	89%	89%	89%	89%	90%	91%	90%	87%	89%
<b>Initial Memorandum of Payment Filings</b> Received within 17 Days	57%	85%	88%	87%	86%	89%	89%	90%	89%	86%	88%
<b>Initial Indemnity Notice of Controversy Filings</b> Received within 14 Days[2]		89%	90%	94%	94%	95%	95%	95%	94%	94%	93%

**Table 3      Percentage Over Time**

	1997[1]	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
<b>Lost Time First Report Filings</b> Received within 7 Days	0%	136%	141%	127%	135%	138%	132%	133%	128%	126%	126%
<b>Initial Indemnity Payments</b> Made within 14 Days	0%	47%	49%	49%	50%	50%	51%	52%	51%	47%	50%
<b>Initial Memorandum of Payment Filings</b> Received within 17 Days	0%	49%	55%	54%	52%	56%	56%	58%	56%	52%	56%
<b>Initial Indemnity Notice of Controversy Filings</b> Received within 14 Days[2]		-3%	-1%	2%	3%	4%	4%	4%	2%	3%	1%

[1] Based on sample data.

[2] Benchmark changed in 2007 from 17 days to 14 days.



## High Compliance Performers

Entities large and small have developed the policies, processes and systems necessary to achieve or exceed the benchmark compliance levels relative to form filing, as well as the initial indemnity payments that put money into injured Maine employees' households. The Board wishes to recognize them for their excellence in these areas.

These high-performing entities run the gamut of size, location and organization type, i.e. insurer, TPA, self-insured self-administered employer.

Benchmark Category:	First Report Filings	Initial Payments	Initial MOP Filings	Initial NOC Filings
---------------------	----------------------	------------------	---------------------	---------------------

Insurers/TPAs				
<b>AIG Insurance</b>	86%	90%	93%	90%
<b>CNA Insurance</b>	89%	100%	100%	100%
<b>Cross Insurance</b>	95%	96%	95%	99%
<b>Electric Insurance</b>	91%	88%	100%	100%
<b>FutureComp</b>	87%	91%	85%	97%
<b>Sedgwick Claims Management Services</b>	89%	88%	91%	95%
<b>Synernet</b>	88%	88%	92%	96%
<b>Zurich Insurance</b>	86%	88%	88%	91%

Self-Insureds				
<b>Maine Automobile Dealers Association</b>	95%	89%	96%	100%
<b>Maine Healthcare Association</b>	87%	90%	90%	100%
<b>Maine Motor Transport Association</b>	94%	87%	100%	98%
<b>Maine Municipal Association</b>	97%	98%	98%	98%
<b>Maine School Management Association</b>	97%	97%	99%	98%
<b>State of Maine Workers' Comp. Trust</b>	89%	99%	95%	97%

**Board Benchmarks:**

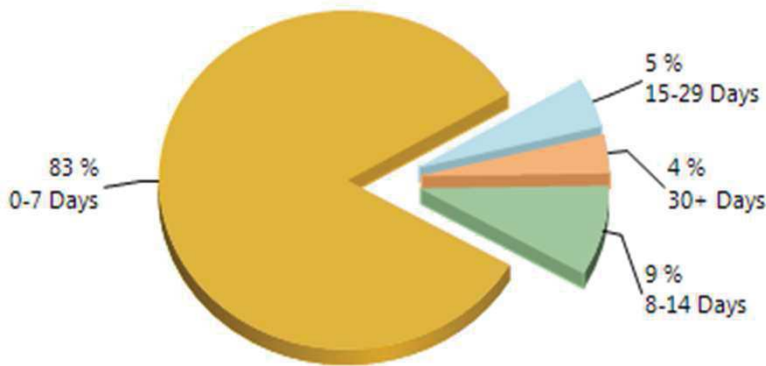
- 85% of Lost Time First Report filings received within 7 days
- 87% of Initial Indemnity Payments made within 14 days
- 85% of Initial Memorandum of Payment filings received within 17 days
- 90% of Initial Indemnity Notice of Controversy filings received within 14 days

**Qualifications:**

- Must have filed more than one MOP in the year.
- Must have filed more than one NOC in the year.

# LOST TIME FIRST REPORT OF INJURY FILINGS

**Chart 1: Timeliness Distribution**



**Table 4: Received Within**

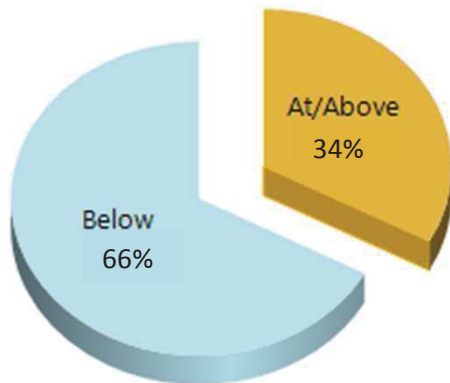
0-7 Days	11,601	83%
8-14 Days	1,198	9%
15-29 Days	656	5%
30+ Days	513	4%
? Days	0	0%
<b>Total</b>	<b>13,968</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Table 5: Above vs Below Benchmark**

At/Above	25	33%
Below	49	66%
<b>Total</b>	<b>74</b>	<b>100%</b>

**Chart 2: % of Insurers At/Above vs. Below 85% Benchmark**



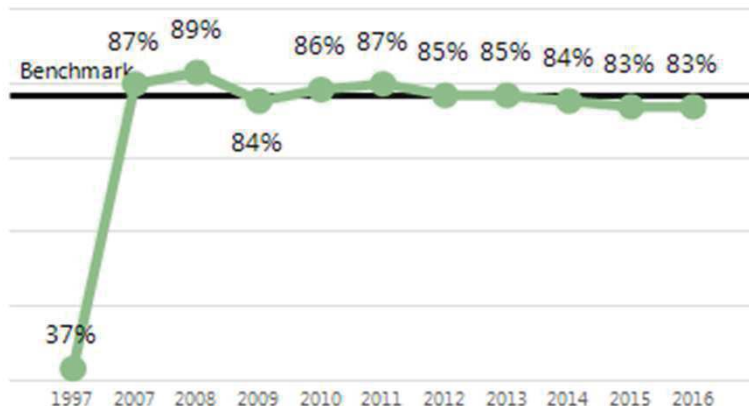
## Summary

The Board received 13,968 lost time first reports. This represents 228 more reports than in 2015

The 2016 compliance rate of 83% for lost time first report filings stayed the same percentage from the 2015 compliance rate. As can be seen on Chart 2, 32% of insurers were at or above the benchmark in 2016, a slight increase over 2015, which had 31% at or above the benchmark.

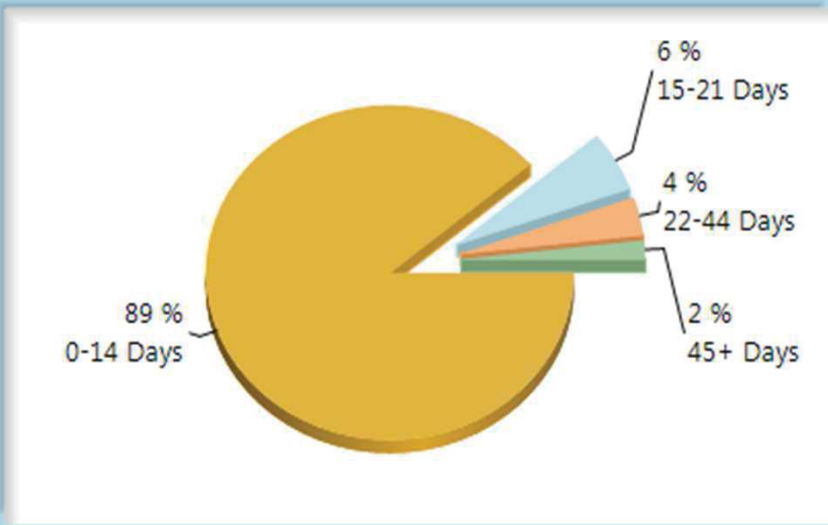
Incorrect or unknown employer UIAN numbers continue to be an issue. Accurate UIAN data ensures that claims received at the Board are linked to the appropriate insurance policy and claim administrator in a timely manner, thus reducing workloads for all parties. Attention will continue to be placed on improving this area.

**Chart 3: Compliance Trend**



# INITIAL INDEMNITY PAYMENTS

**Chart 4: Timeliness Distribution**



**Table 6: Received Within**

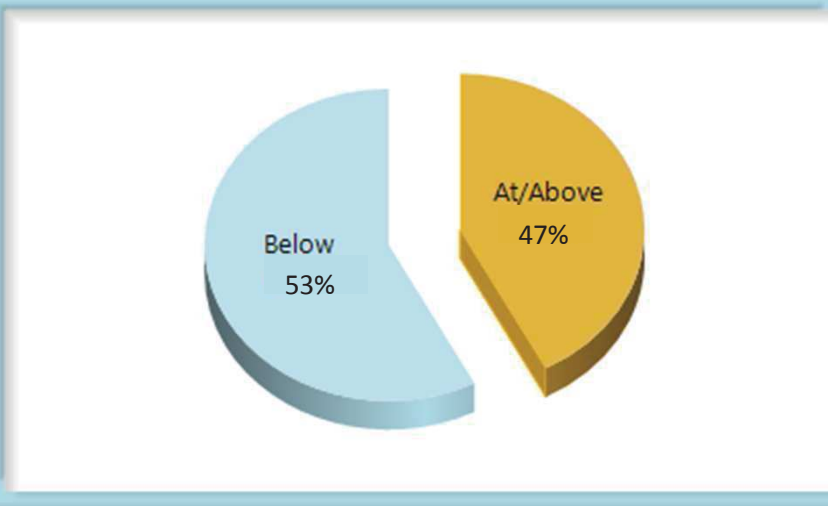
0-14 Days	3,271	89%
15-21 Days	199	6%
22-44 Days	134	4%
45+ Days	66	2%
? Days	0	0%
<b>Total</b>	<b>3,670</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Table 7: Above vs Below Benchmark**

At/Above	33	47%
Below	37	53%
<b>Total</b>	<b>70</b>	<b>100%</b>

**Chart 5: % of Insurers At/Above vs. Below 87% Benchmark**



## Summary

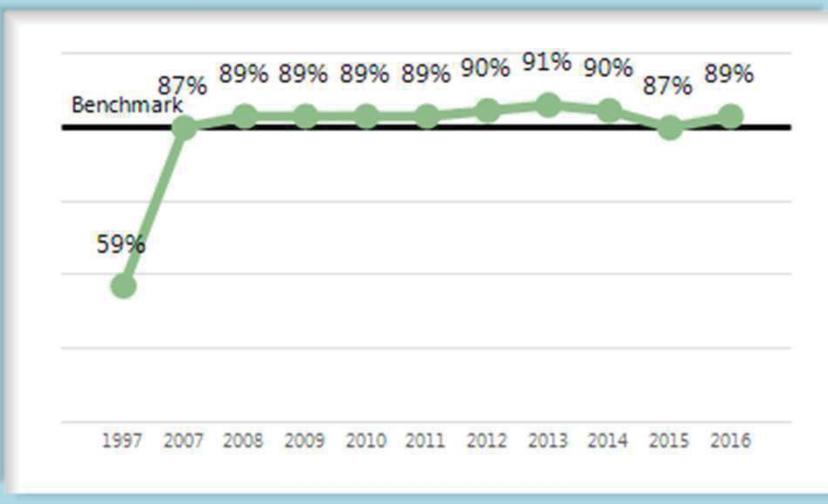
Injured workers in the State of Maine continue to benefit from the high (89%) compliance rate of initial indemnity payments.

In fact, compliance has improved by 30 points since monitoring began, from 59% to 89%, resulting in Maine households receiving much-needed money on time.

On a broader level, timely initial indemnity payments are a key factor in controlling workers' compensation claim costs.

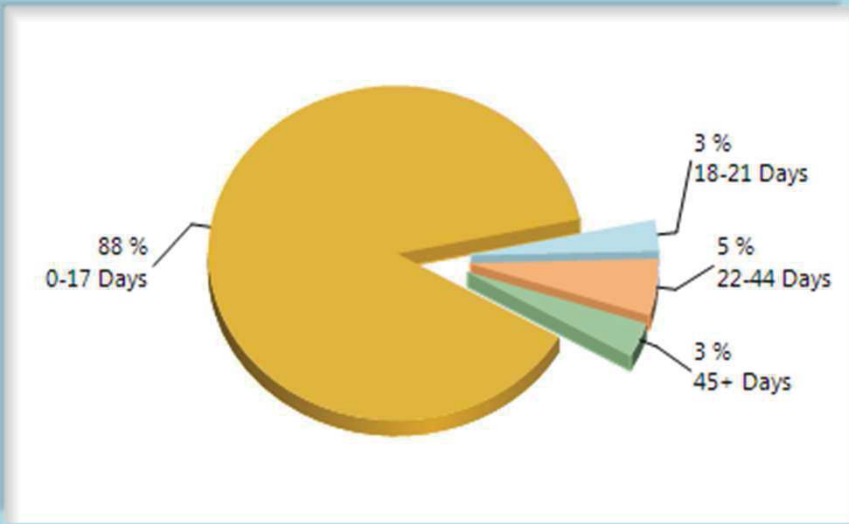
However, as can be seen in Chart 5, work still remains in this area. Analysis will continue to focus on identifying best practices followed by those with high compliance rates in order to identify solutions that might help those at the other end of the

**Chart 6: Compliance Trend**



# INITIAL MEMORANDUM OF PAYMENT FILINGS

**Chart 7: Timeliness Distribution**



**Table 8: Received Within**

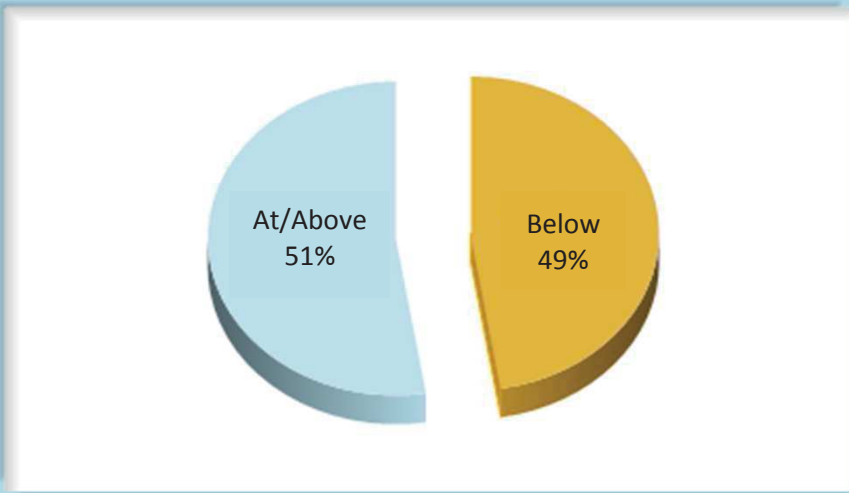
0-17 Days	3,242	88%
18-21 Days	108	3%
22-44 Days	198	5%
45+ Days	122	3%
? Days	0	0%
<b>Total</b>	<b>3,670</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Table 9: Above vs Below Benchmark**

At/Above	36	51%
Below	34	49%
<b>Total</b>	<b>70</b>	<b>100%</b>

**Chart 8: % of Insurers At/Above vs. Below 85% Benchmark**



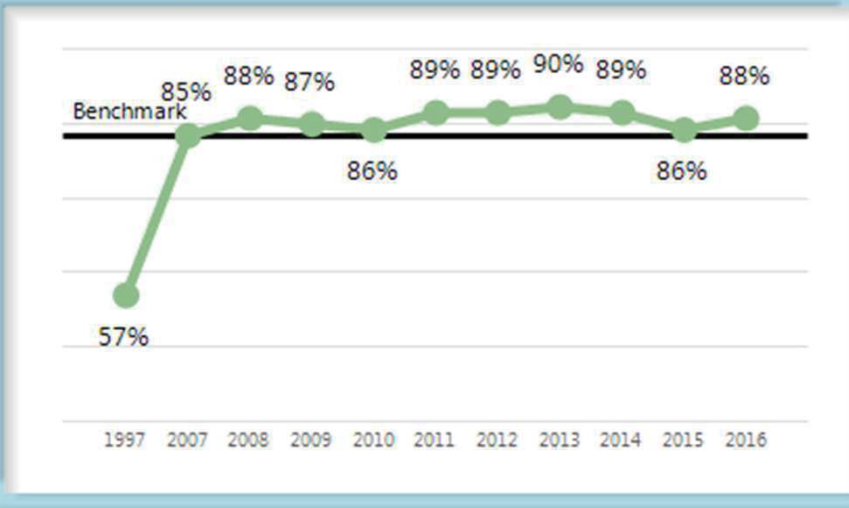
## Summary

Studies by the Workers' Compensation Research Institute indicate that proper claims administration and timely payment of claims reduces both the overall cost of claims and also the time required to process a claim through the dispute resolution system.

As can be seen in Chart 7, the benchmark is being met at an aggregate level, but as Chart 8 indicates, forty percent of all insurers are below benchmark, showing a significant opportunity for improvement going forward.

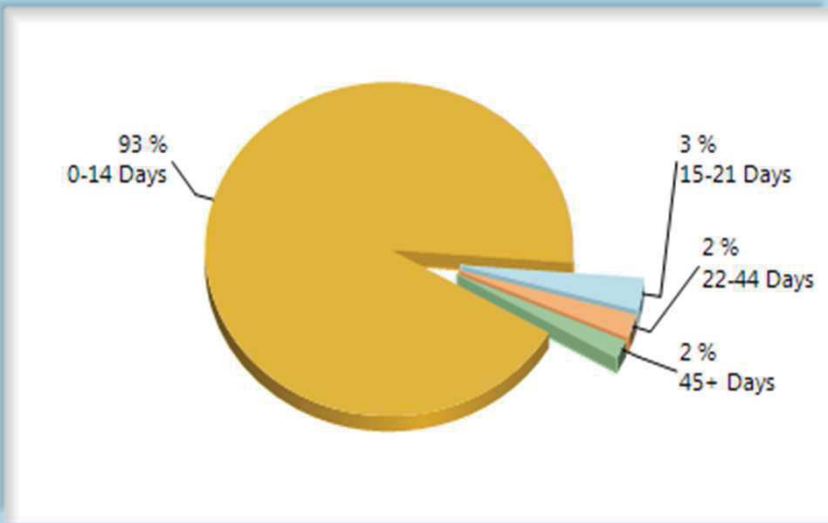
While a Memorandum of Payment filing does not have the same tangible benefit to the injured employee as the payment itself, it is a key indicator of how well insurers are complying with the administrative requirements of the Act.

**Chart 9: Compliance Trend**



# INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

**Chart 10: Timeliness Distribution**



**Table 10: Received Within**

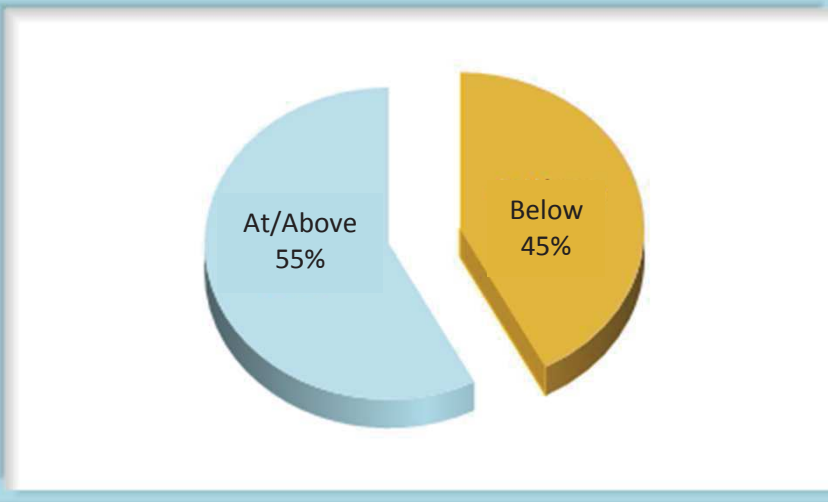
0-14 Days	2,565	93%
15-21 Days	85	3%
22-44 Days	62	2%
45+ Days	49	2%
? Days	1	0%
<b>Total</b>	<b>2,762</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Table 11: Above vs Below Benchmark**

At/Above	33	55%
Below	27	45%
<b>Total</b>	<b>60</b>	<b>100%</b>

**Chart 11: % of Insurers At/Above vs. Below 90% Benchmark**



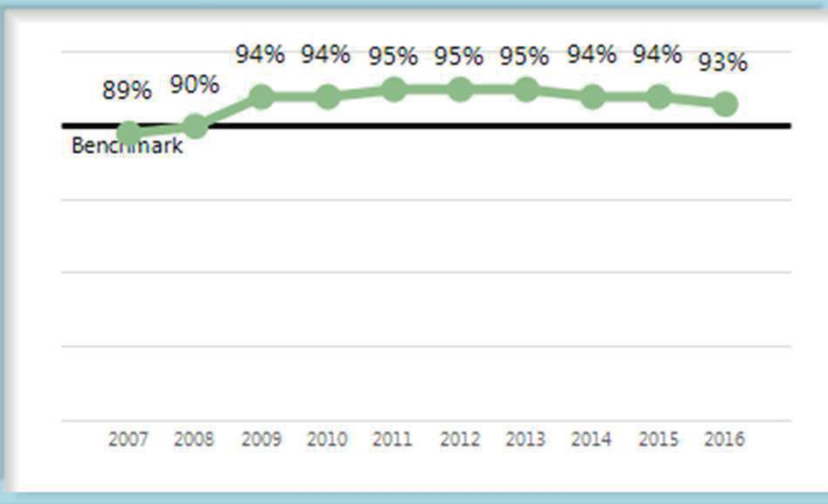
## Summary

The benchmark for the timely filing of the Initial Indemnity Notice of Controversy was changed from 17 to 14 days effective 2007.

In 2016 there were 1% fewer filings in the dispute resolution system at the Board compared to 2015. The compliance fell 1 percentage point for this performance indicator.

64% of insurers were at or above benchmark last year, however that percentage has decreased 9 points to 55% in 2016.

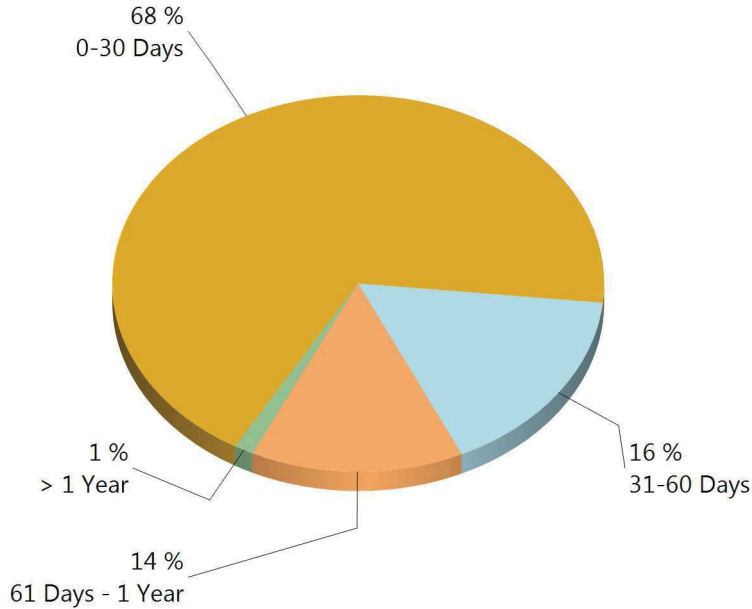
**Chart 12: Compliance Trend**



# WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

**Chart 13: Wage Statement Timeliness Distribution**



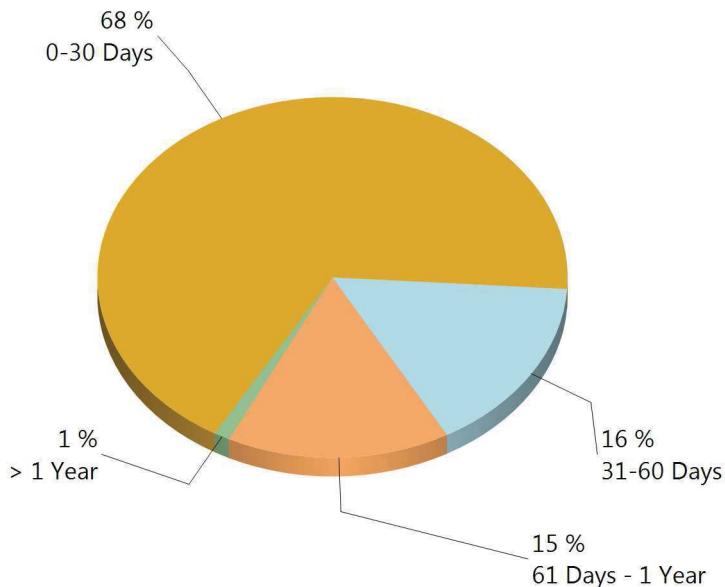
**Table 12: Wage Statements Received**

0-30 Days	5,801	68%
31-60 Days	1,396	16%
61 Days - 1 Year	1,187	14%
> 1 Year	109	1%
<b>Total</b>	<b>8,493</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Wage Statement(s):** 5,705 (68%) of the 8,350 Wage Statement(s) that were due this year were filed timely, 2,458 (30%) were filed late, and 187 (2%) remain outstanding.

**Chart 14: Fringe Benefit Worksheet Timeliness Distribution**



**Table 13: Fringe Worksheets Received**

0-30 Days	5,795	68%
31-60 Days	1,359	16%
61 Days - 1 Year	1,313	15%
> 1 Year	94	1%
<b>Total</b>	<b>8,561</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Fringe Benefit Worksheet(s):** 5,757 (68%) of the 8,350 Fringe Benefit Worksheet(s) due this year were filed timely, 2,400 (91%) were filed late, and 193 (2%) remain outstanding.

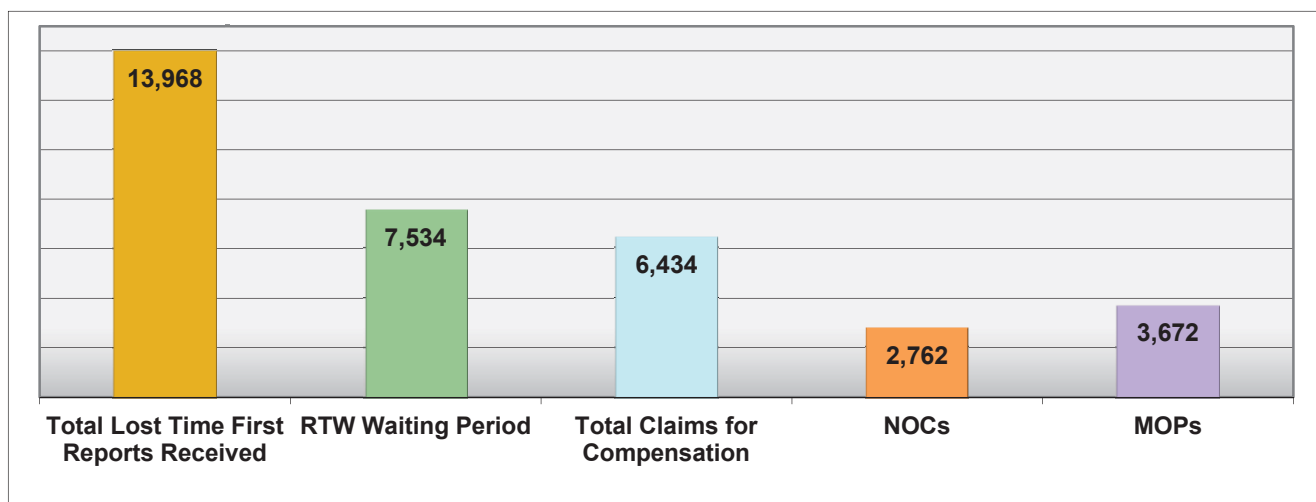
## UTILIZATION ANALYSIS

### Summary

Of the 13,968 lost time First Report filings in 2016, 54% resulted in the employee returning to work within the waiting period. Also, 20% of all lost time First Reports and 43% of all claims for compensation were “denied” in 2016.

The number of initial indemnity NOCs filed by an insurer, contrasted with the number of Initial MOPs filed by the insurer, may be used as one of many claims administration indicators that result in an insurer being referred for audit for possible violations of the Act.

**Chart 15 Distribution of Lost Time First Reports**



**Table 14 % of Lost Time First Reports Denied**

*(Initial Indemnity NOCs / Lost Time First Reports)*

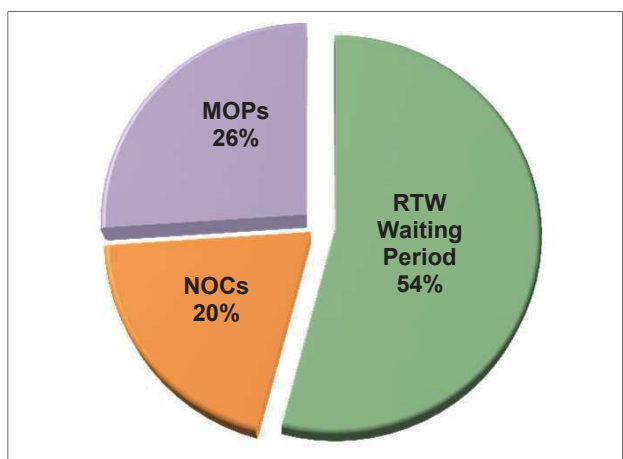
2016	20%
2015	20%
2014	20%

**Table 15 % of Claims for Compensation Denied**

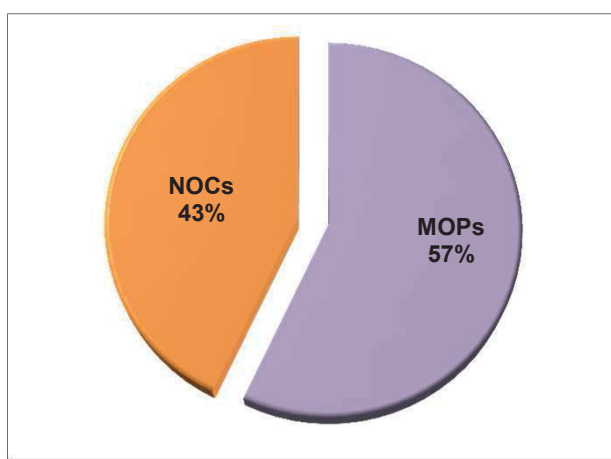
*(Initial Indemnity NOCs / Claims for Compensation)*

2016	43%
2015	43%
2014	41%

**Chart 16 Lost Time First Reports Analysis**



**Chart 17 Claims for Compensation Analysis**

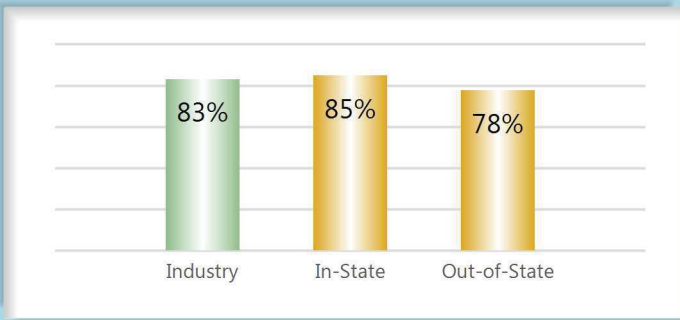




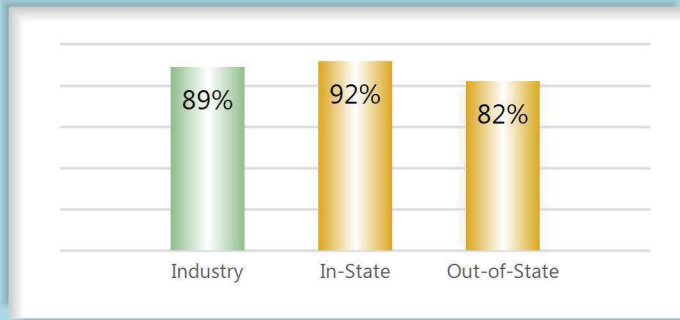
## In-State vs. Out-of-State Comparisons

As can be seen in the charts below, In-State insurers out-perform their Out-of-State counterparts in all four benchmarks. In-State insurers also make up roughly two-thirds of all filings.

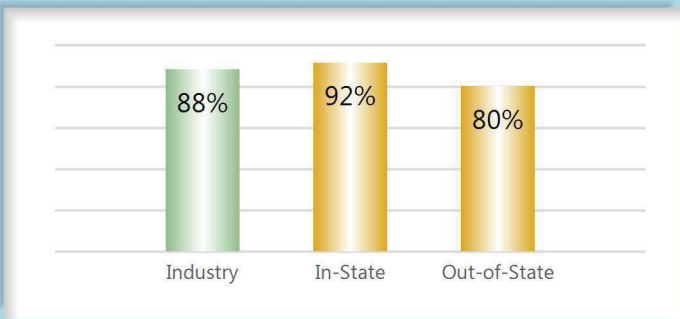
**Lost Time First Report Filings Compliance**



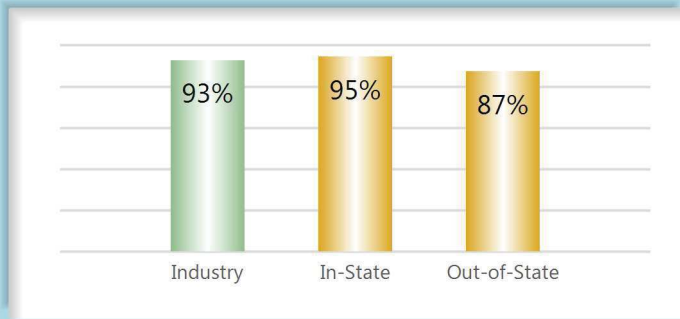
**Initial Indemnity Payments Compliance**



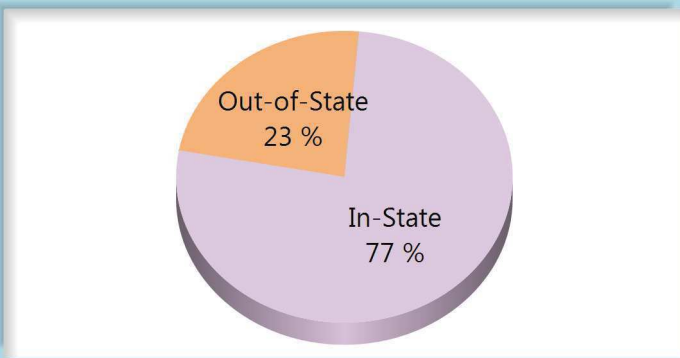
**Initial MOP Filings Compliance**



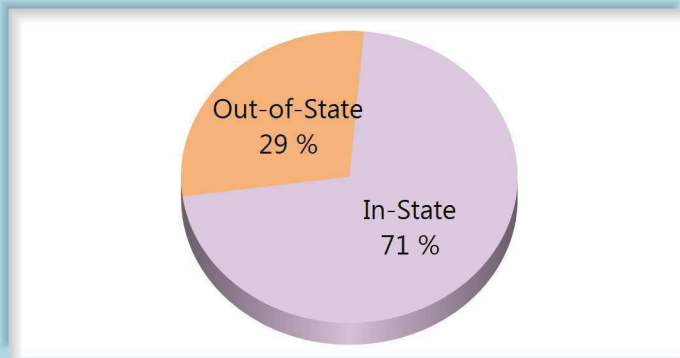
**Initial Indemnity NOC Filings Compliance**



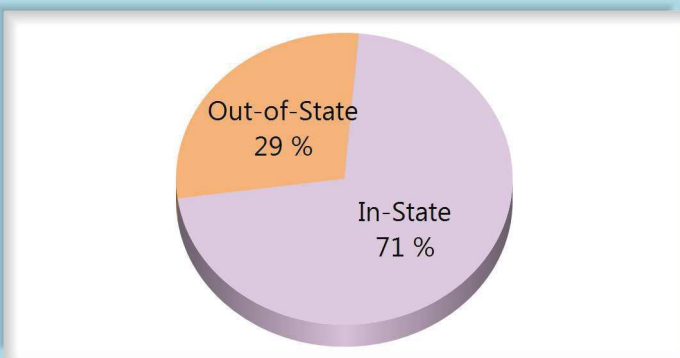
**% of Lost Time First Report Filings**



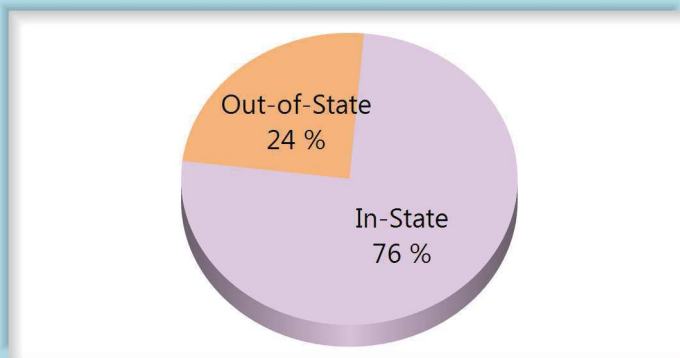
**% of Initial Indemnity Payments**



**% of Initial MOP Filings**



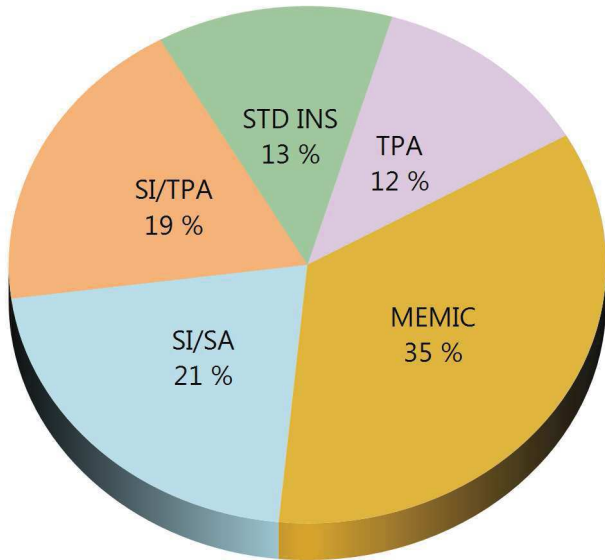
**% of Initial Indemnity NOC Filings**



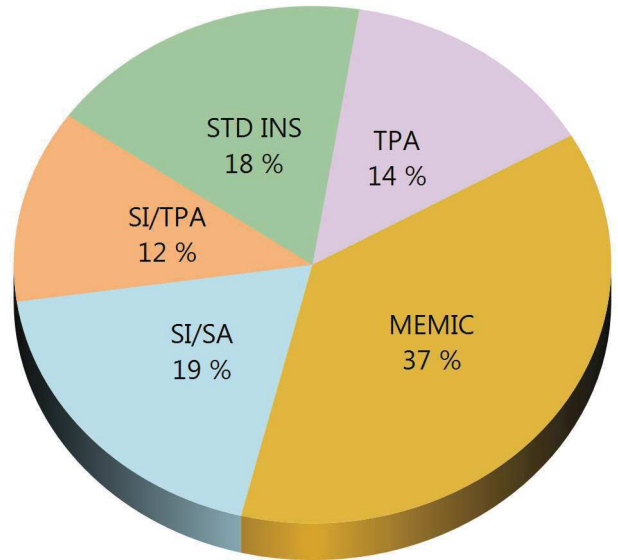


## Volume by Type of Insurer

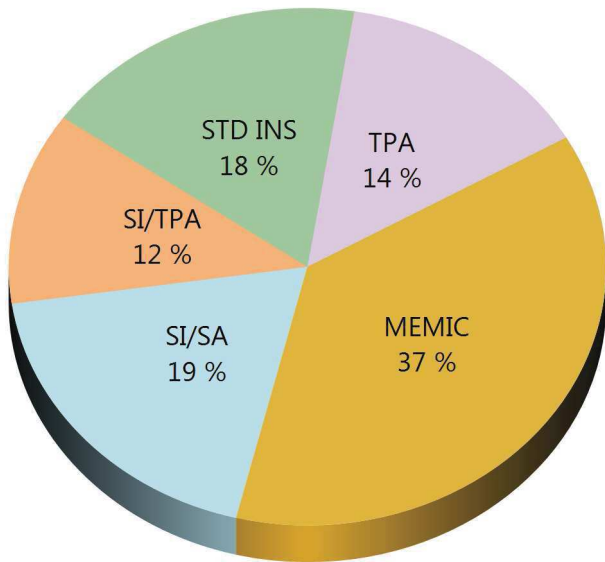
**Lost Time First Report Filings**



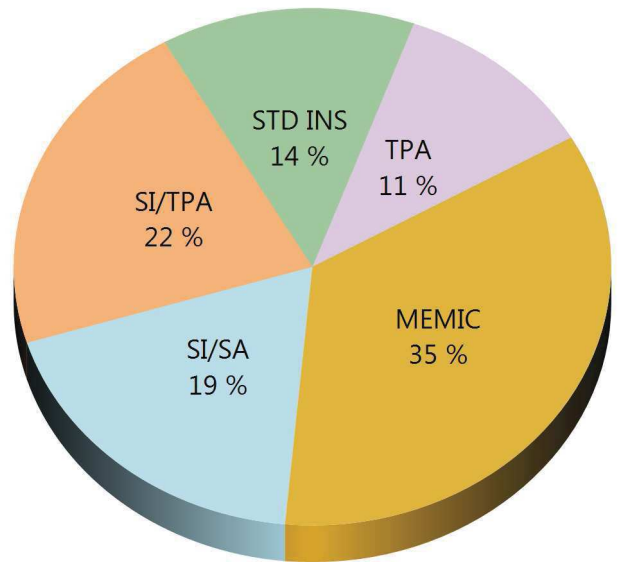
**Initial Indemnity Payments**



**Initial Memorandum of Payment Filings**



**Initial Indemnity Notice of Controversy Filings**

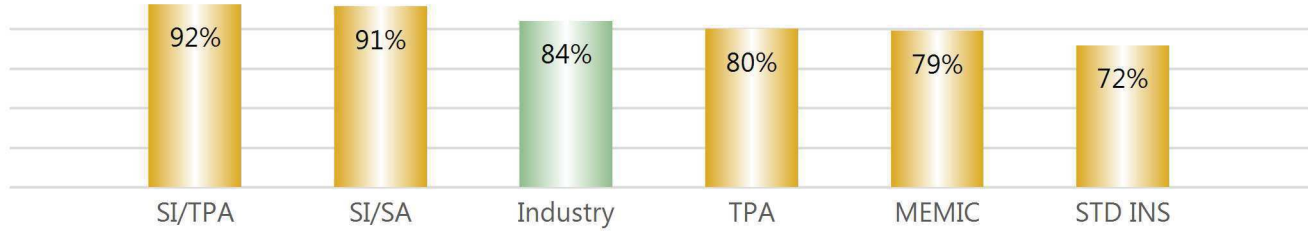


**KEY:**

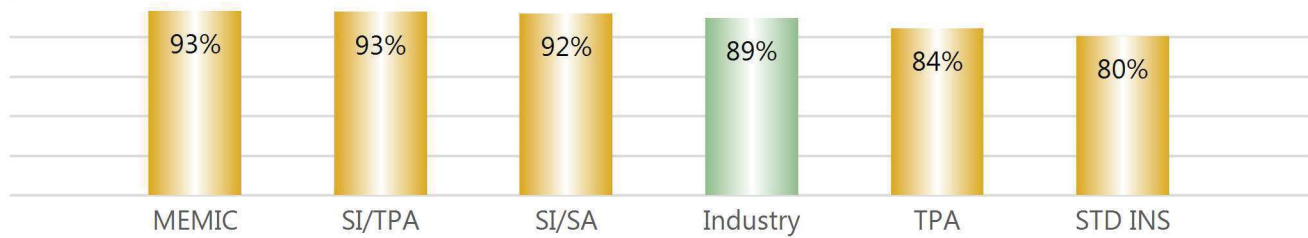
- SI/SA** Self-Insured, Self-Administered Employer
- SI/TPA** Self-Insured, TPA-Administered Employer
- STD INS** Standard Insurer (excluding MEMIC), Self-Administered
- TPA** Standard Insurer (excluding MEMIC), TPA-Administered

## Compliance by Type of Insurer

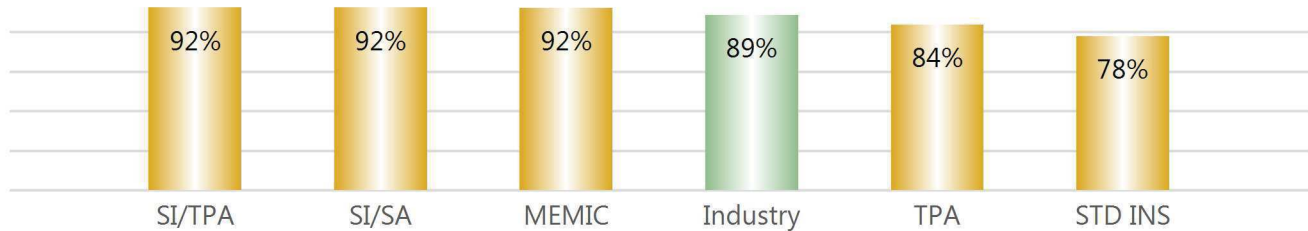
### Lost Time First Report Filings: Benchmark = 85%



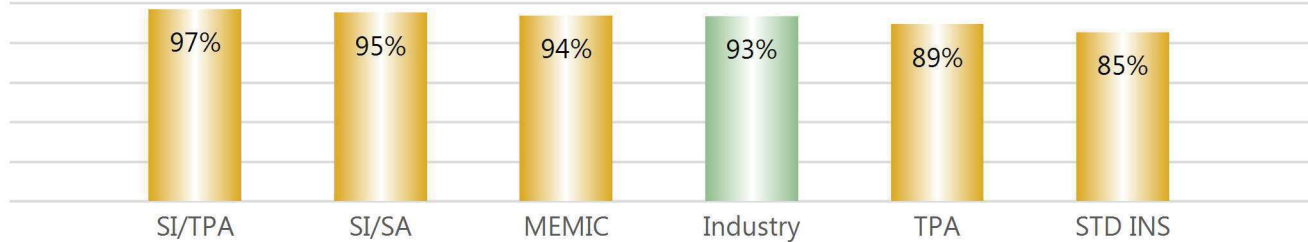
### Initial Indemnity Payments: Benchmark = 87%



### Initial Memorandum of Payment Filings: Benchmark = 85%



### Initial Indemnity Notice of Controversy Filings: Benchmark = 90%



**KEY:**

- SI/SA** Self-Insured, Self-Administered Employer
- SI/TPA** Self-Insured, TPA-Administered Employer
- STD INS** Standard Insurer (excluding MEMIC), Self-Administered
- TPA** Standard Insurer (excluding MEMIC), TPA-Administered

## ENTITY OVERVIEW

Insurance Group	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
ACADIA INSURANCE	75%	83%	88%	93%
ACCIDENT FUND INSURANCE*	67%	100%	100%	No filings
ACE INSURANCE	85%	86%	87%	95%
ACUITY MUTUAL INSURANCE*	100%	100%	100%	No filings
AIG INSURANCE	86%	90%	93%	90%
AMTRUST INSURANCE	55%	68%	49%	71%
ARCH INSURANCE	82%	83%	87%	88%
ATLANTIC SPECIALTY INSURANCE*	38%	67%	67%	0%
BATH IRON WORKS	94%	90%	92%	87%
BERKSHIRE HATHAWAY INSURANCE*	50%	75%	75%	100%
BROADSPIRE SERVICES	78%	89%	89%	100%
CANNON COCHRAN MANAGEMENT SERVICES	81%	84%	85%	89%
CHEROKEE INSURANCE*	0%	100%	0%	No filings
CHUBB INSURANCE	73%	83%	88%	78%
CHURCH MUTUAL INSURANCE*	14%	75%	0%	50%
CIANBRO CORPORATION*	83%	25%	50%	100%
CINCINNATI CASUALTY*	50%	100%	0%	No filings
CLAIMS MANAGEMENT (WALMART)	91%	100%	95%	84%
CNA INSURANCE	89%	100%	100%	100%
CONSTITUTION STATE SERVICES	85%	100%	83%	100%
CORVEL ENTERPRISE COMP	76%	43%	43%	100%
COTTINGHAM & BUTLER CLAIMS SERVICES	82%	80%	80%	86%
CROSS INSURANCE	95%	96%	95%	99%
ELECTRIC INSURANCE	91%	88%	100%	100%
ESIS	59%	93%	93%	93%
EVEREST REINS HOLDINGS GROUP*	0%	100%	100%	No filings
FEDERATED MUTUAL INSURANCE	37%	70%	20%	75%
FIREMAN'S FUND INSURANCE*	50%	33%	33%	No filings
FRANKENMUTH INSURANCE*	17%	67%	67%	0%
FUTURECOMP	87%	91%	85%	97%
GALLAGHER BASSETT SERVICES	77%	81%	81%	83%
GREAT AMERICAN INSURANCE*	0%	No filings	No filings	No filings
GREAT DIVIDE INSURANCE*	0%	100%	0%	No filings
GREAT FALLS INSURANCE	72%	86%	83%	80%
GREAT WEST INSURANCE*	14%	57%	43%	No filings
GUARANTEE INSURANCE*	50%	100%	50%	0%
GUARD INSURANCE	46%	89%	94%	50%
HANNAFORD BROTHERS	67%	75%	74%	66%
HANNOVER INSURANCE*	33%	50%	50%	No filings
HANOVER INSURANCE	63%	74%	84%	100%

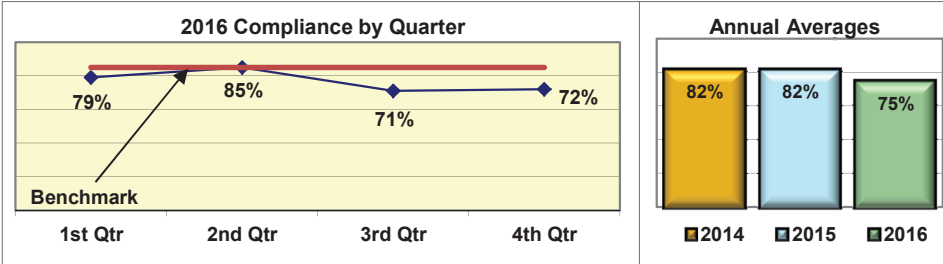
## ENTITY OVERVIEW

Insurance Group	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
HARTFORD INSURANCE	81%	78%	81%	91%
HELMSMAN MANAGEMENT SERVICES	75%	86%	86%	78%
LIBERTY MUTUAL INSURANCE	71%	79%	78%	86%
MACY'S CORPORATE SERVICES*	100%	100%	33%	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION	95%	89%	96%	100%
MAINE EMPLOYERS' MUTUAL INSURANCE	79%	93%	92%	94%
MAINE HEALTHCARE ASSOCIATION	87%	90%	90%	100%
MAINE MOTOR TRANSPORT ASSOCIATION	94%	87%	100%	98%
MAINE MUNICIPAL ASSOCIATION	97%	98%	98%	98%
MAINE SCHOOL MANAGEMENT ASSOCIATION	97%	97%	99%	98%
MEADOWBROOK INSURANCE*	100%	100%	100%	100%
MITSUI SUMITOMO INS CO OF AMERICA*	50%	0%	0%	100%
NATIONAL INTERSTATE INSURANCE*	100%	100%	100%	No filings
NATIONWIDE INSURANCE*	33%	0%	0%	33%
NGM INSURANCE*	60%	50%	100%	0%
NORTH RIVER INSURANCE*	63%	No filings	No filings	100%
OLD REPUBLIC INSURANCE	78%	79%	71%	92%
PENNSYLVANIA MFG ASSN	68%	88%	88%	67%
PROTECTIVE INSURANCE*	100%	No filings	No filings	No filings
PUBLIC SERVICE INSURANCE*	0%	No filings	No filings	No filings
QBE INSURANCE GROUP	82%	83%	89%	100%
RYDER SERVICES*	80%	100%	100%	100%
SAFETY NATIONAL CASUALTY CORP	82%	67%	67%	93%
SEDGWICK CLAIMS MANAGEMENT SERVICES	89%	88%	91%	95%
SENTRY INSURANCE	47%	67%	50%	0%
SOMPO JAPAN INSURANCE*	100%	No filings	No filings	No filings
STARR INDEMNITY INSURANCE*	88%	75%	100%	100%
STATE OF MAINE WORKERS' COMPENSATION TRUST	89%	99%	95%	97%
SYNERNET	88%	88%	92%	96%
THE AMERICAN EQUITY UNDERWRITERS*	75%	0%	0%	0%
TOWER INSURANCE*	0%	50%	0%	No filings
TRAVELERS INSURANCE	66%	82%	74%	89%
VANLINER INSURANCE*	50%	No filings	No filings	0%
XL INSURANCE	79%	76%	71%	86%
YORK RISK SERVICES	36%	50%	25%	50%
ZURICH INSURANCE	86%	88%	88%	91%

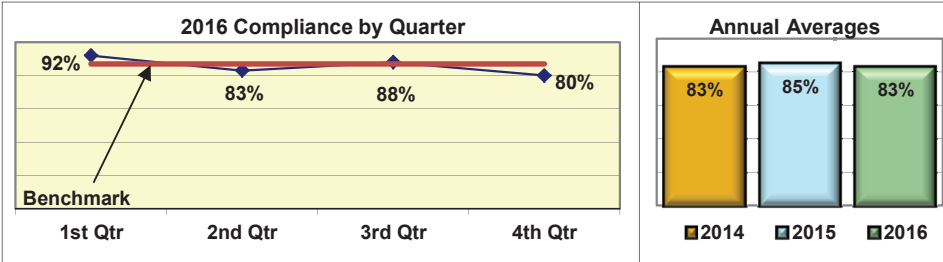
**Annual Compliance Report**  
01/01/2016-12/31/2016

**ACADIA INSURANCE**

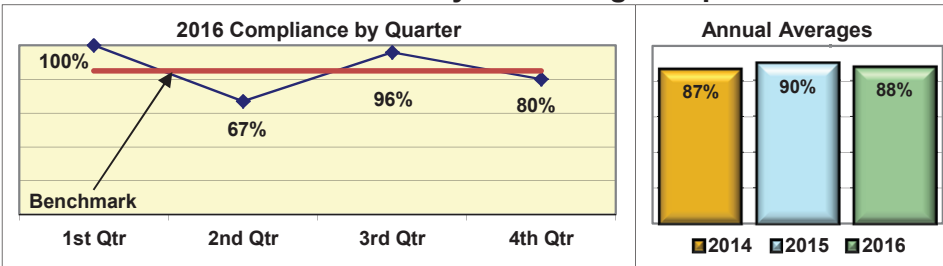
**Lost Time First Report Filing Compliance**



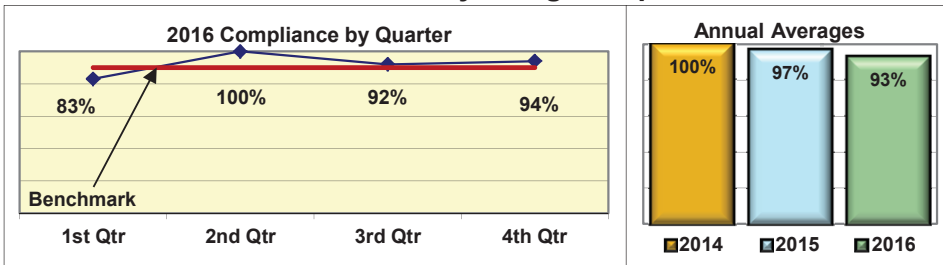
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

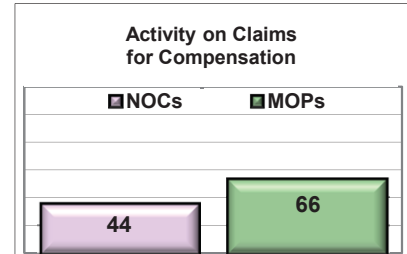
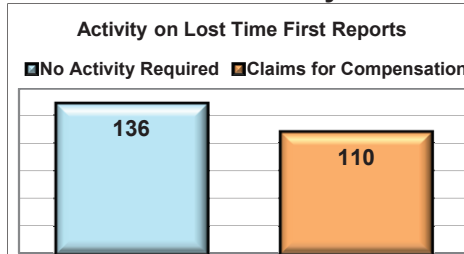
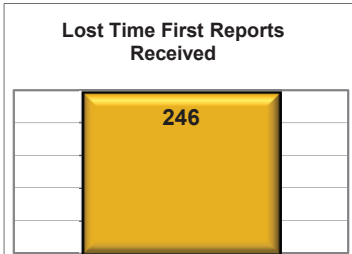


**Summary**

Acadia Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Acadia Insurance  
Continental Western Insurance  
Firemen's Ins. Co. of Wash. DC  
Union Insurance

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**18%**

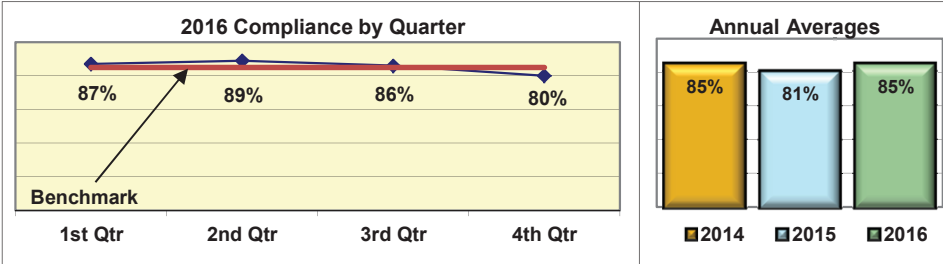
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**40%**

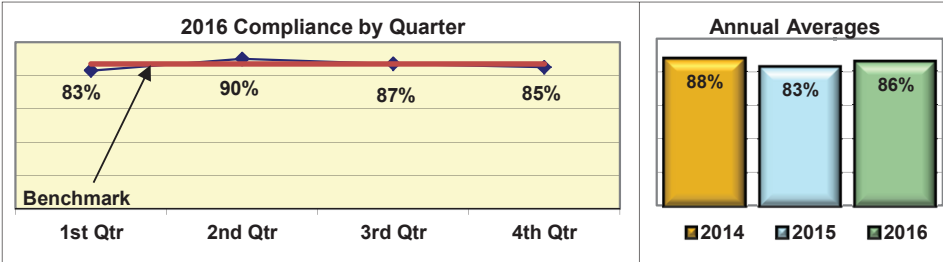
# Annual Compliance Report 01/01/2016-12/31/2016

## ACE INSURANCE

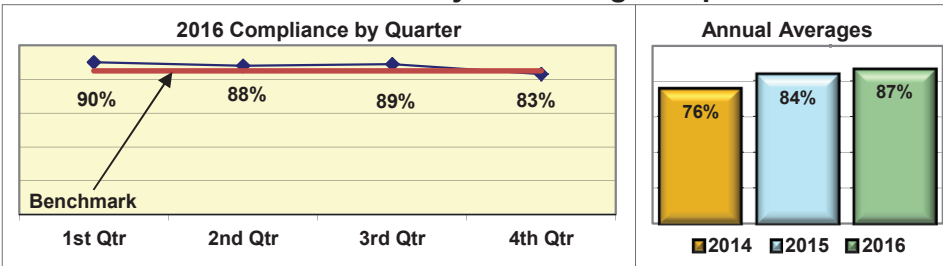
### Lost Time First Report Filing Compliance



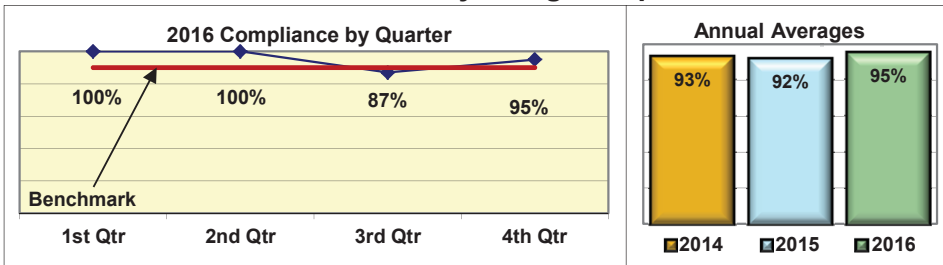
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



### Summary

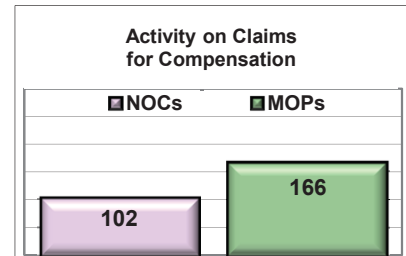
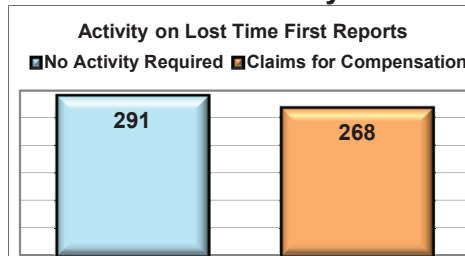
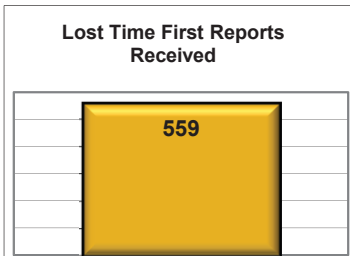
ACE Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

ACE American Insurance  
ACE Fire Underwriters Insurance  
ACE Property & Casualty  
Indemnity Ins. Co. of No. America

ACE Insurance used the following third parties in 2016:

Broadspire Services  
Cannon Cochran Management Svcs.  
Constitution State Services  
CorVel Enterprise Comp.  
Cottingham & Butler Claims Svcs.  
ESIS  
Gallagher Bassett Services  
Helmsman Management Services  
Sedgwick Claims Management Svcs.  
York Risk Services

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

18%

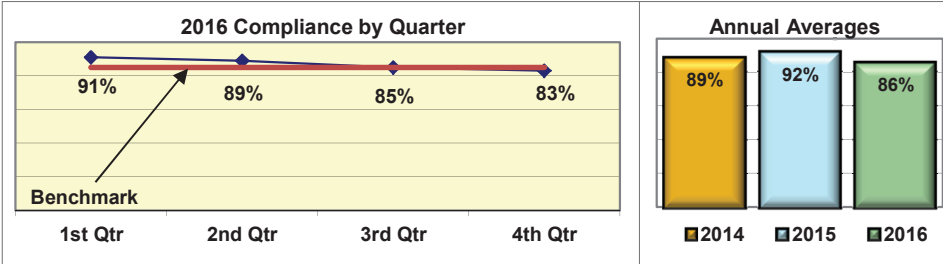
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

38%

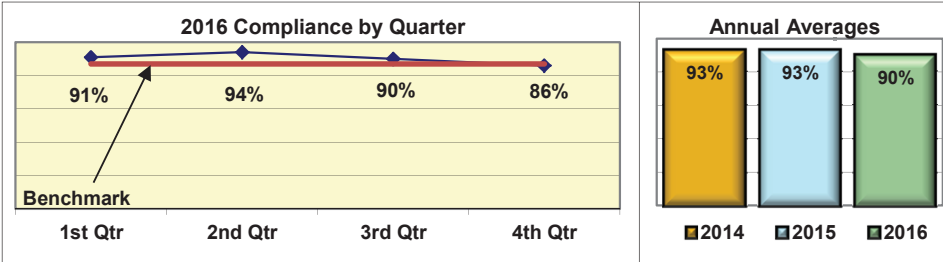
# Annual Compliance Report 01/01/2016-12/31/2016

## AIG INSURANCE

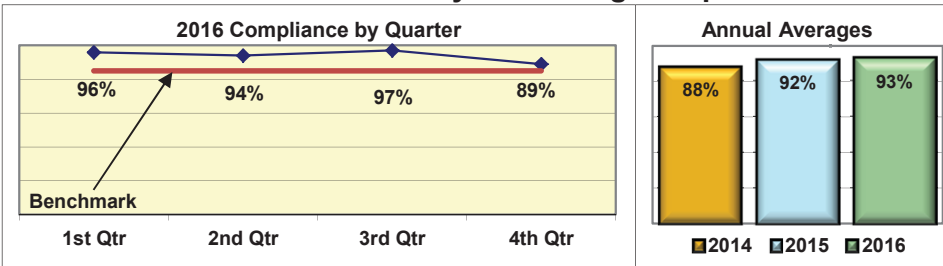
### Lost Time First Report Filing Compliance



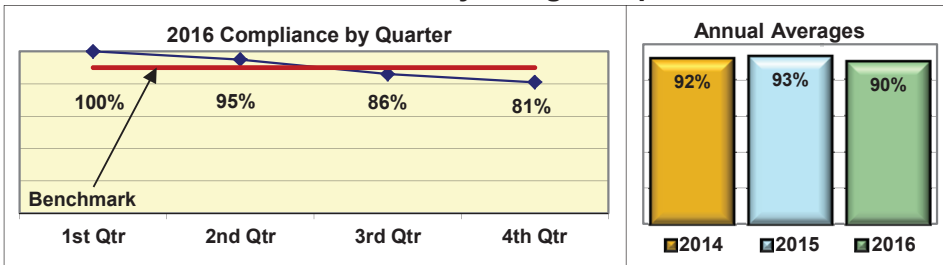
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



### Summary

AIG Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

AIG Property Casualty Co.  
Commerce & Industry Insurance Co.  
Granite State Insurance Co.  
Illinois National Insurance  
Ins. Co. of the State of Pennsylvania  
National Union Fire Ins. Co. of Pitts.  
New Hampshire Insurance

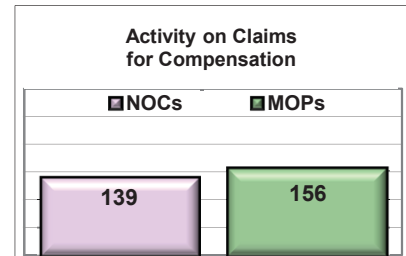
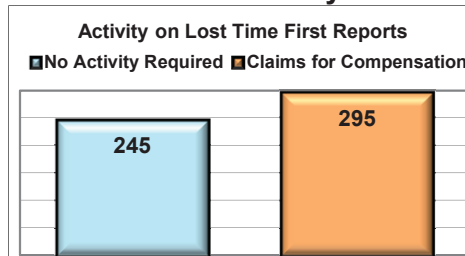
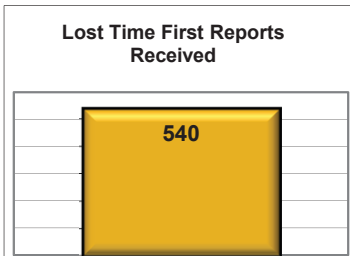
and self-insured employers:

Pratt & Whitney

AIG Insurance used the following third parties in 2016:

Broadspire Services  
Claims Management (Walmart)  
Constitution State Services  
ESIS  
Gallagher Bassett Services  
Sedgwick Claims Management Svcs.

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

26%

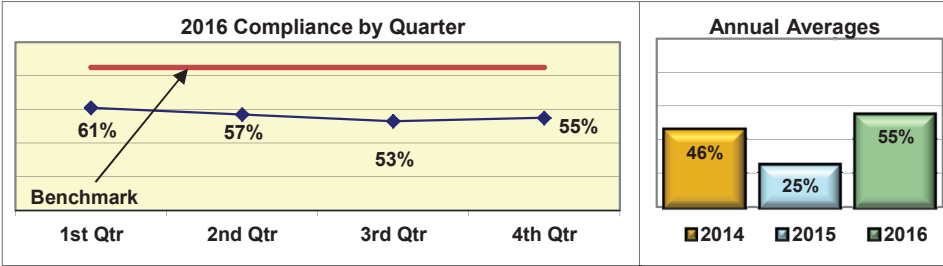
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

47%

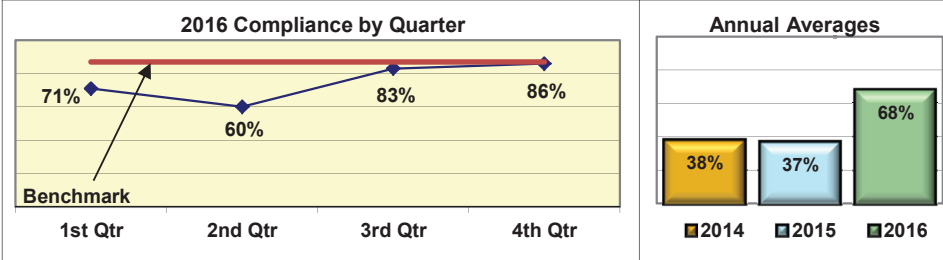
# Annual Compliance Report 01/01/2016-12/31/2016

## AMTRUST INSURANCE

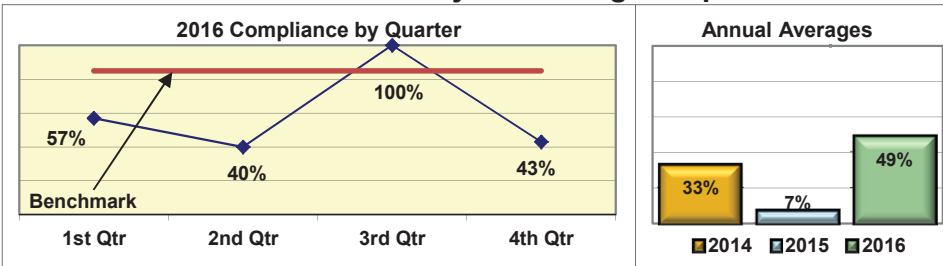
### Lost Time First Report Filing Compliance



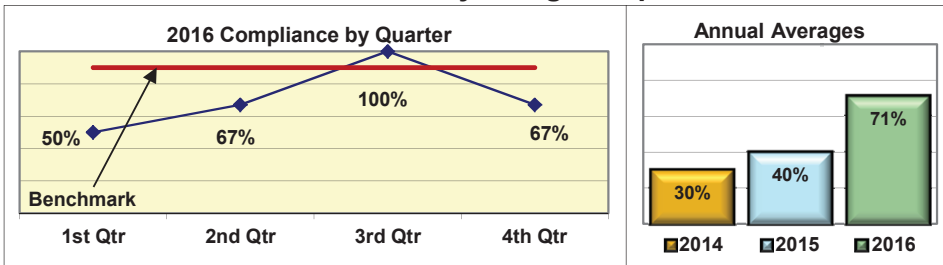
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

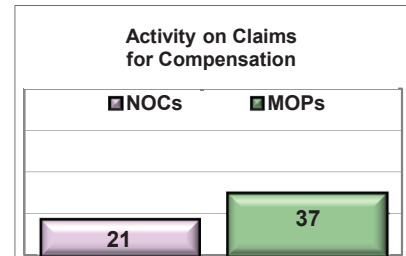
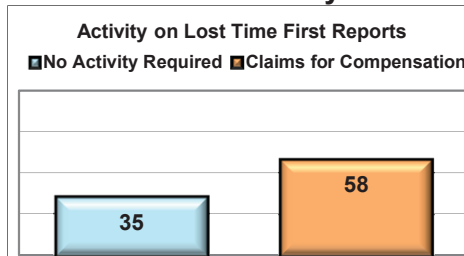
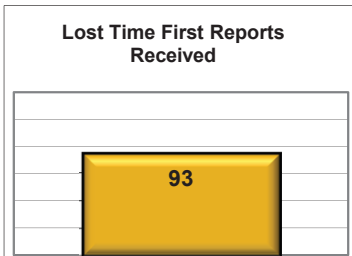


#### Summary

Amtrust North America is an insurer that administered its own claims in 2016 under the following rating companies:

Technology Insurance  
Wesco Insurance

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

23%

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

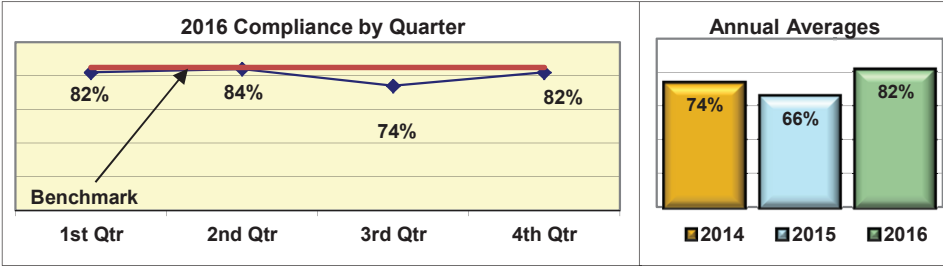
36%



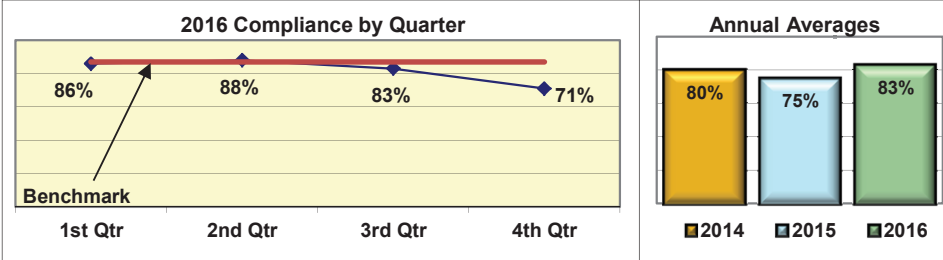
# Annual Compliance Report 01/01/2016-12/31/2016

## ARCH INSURANCE

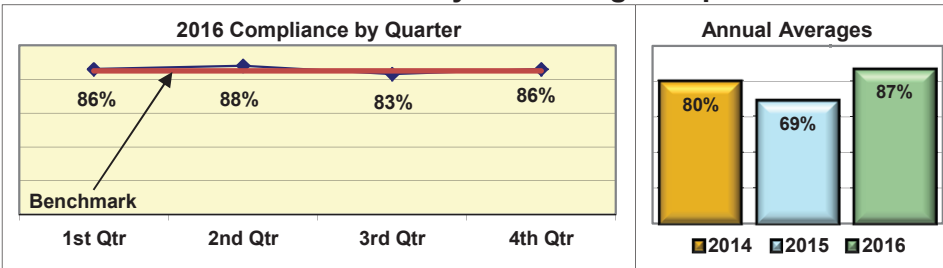
### Lost Time First Report Filing Compliance



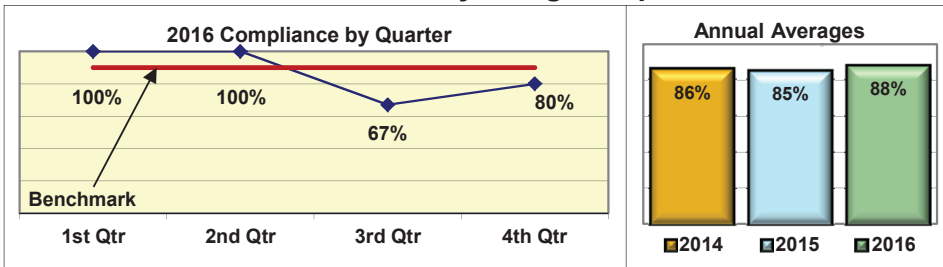
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



### Summary

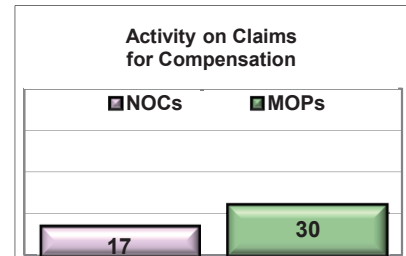
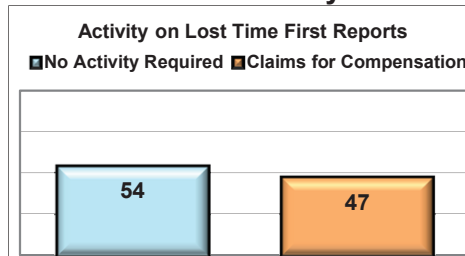
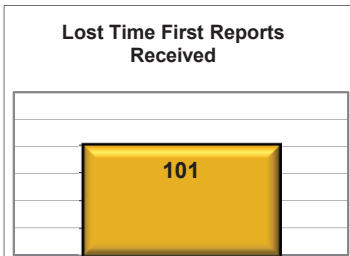
Arch Insurance is an insurer that used third parties to administer claims in 2016 under the following rating company:

Arch Insurance

Arch Insurance used the following third parties in 2016:

- Broadsfire Services
- Cottingham & Butler Claims Services
- Gallagher Bassett Services
- Helmman Management Services
- Sedgwick Claims Management Svcs.
- York Risk Services

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

17%

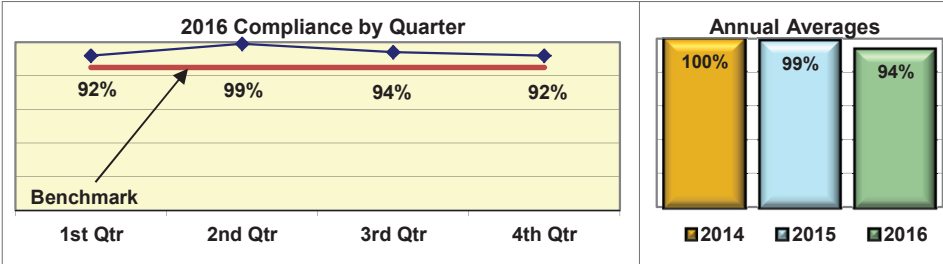
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

36%

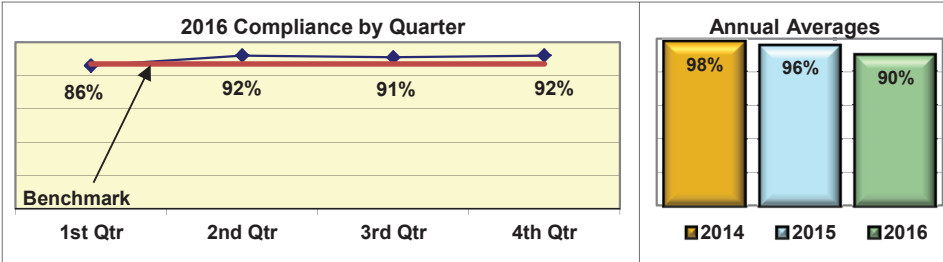
**Annual Compliance Report**  
01/01/2016-12/31/2016

**BATH IRON WORKS**

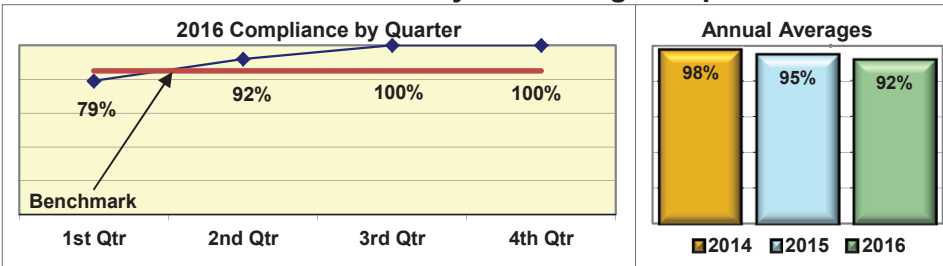
**Lost Time First Report Filing Compliance**



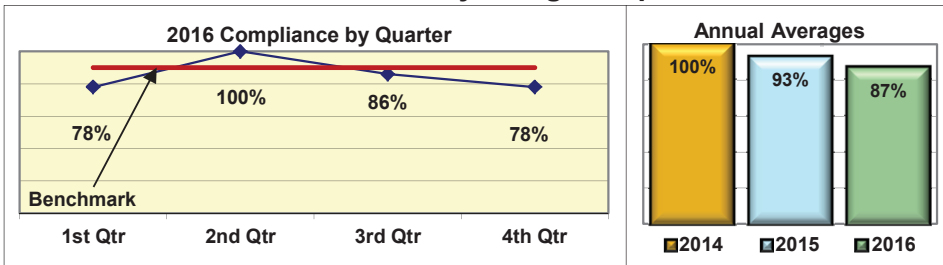
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

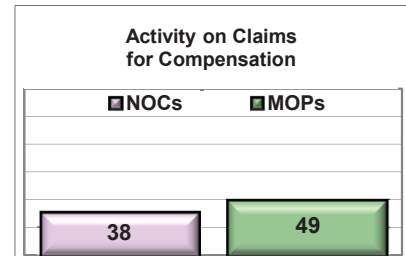
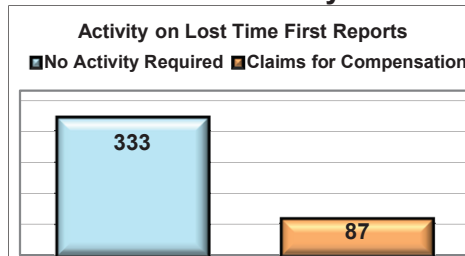
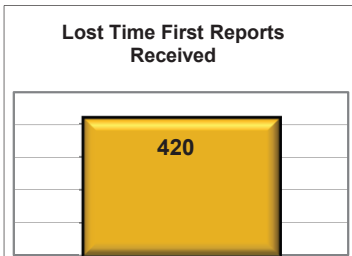


**Summary**

Bath Iron Works is a self-insured employer that administered its own claims in 2016 under the following name:

Bath Iron Works

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**9%**

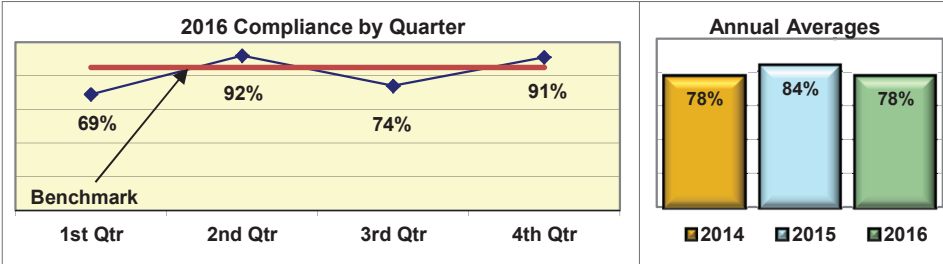
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**44%**

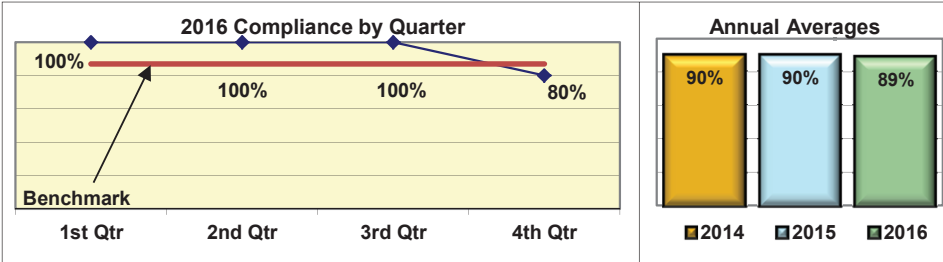
# Annual Compliance Report 01/01/2016-12/31/2016

## BROADSPIRE SERVICES

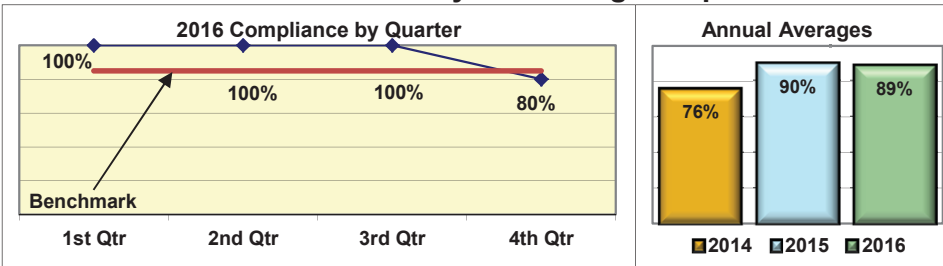
### Lost Time First Report Filing Compliance



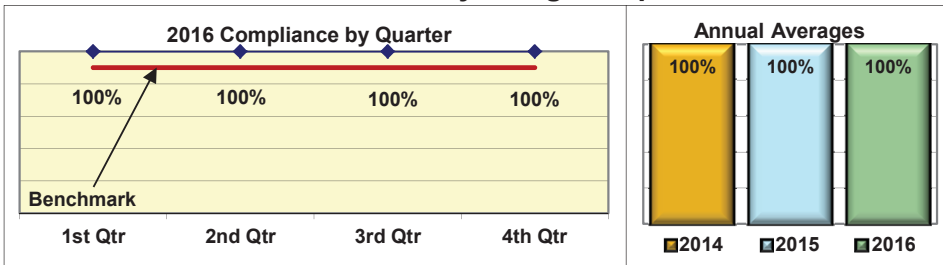
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

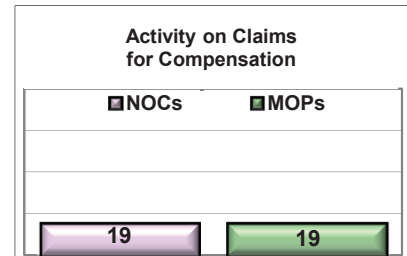
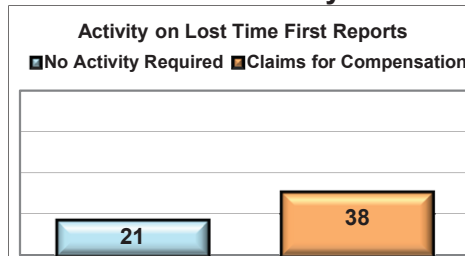
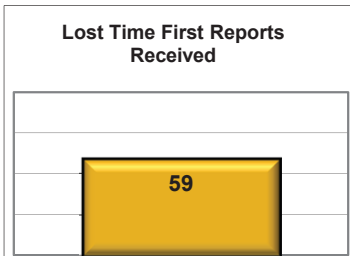


### Summary

Broadspire Services is a third party administrator that administered claims in 2016 for the following rating companies:

- Accident Fund Insurance
- American Home Assurance
- American Zurich
- Arch Insurance
- Indemnity Ins. Co. of No. America
- New Hampshire Insurance
- North River Insurance
- Old Republic Insurance
- Safety National Casualty
- Sompo Japan Insurance
- Standard Fire Insurance
- Trumbull Insurance Company
- XL Insurance

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

32%

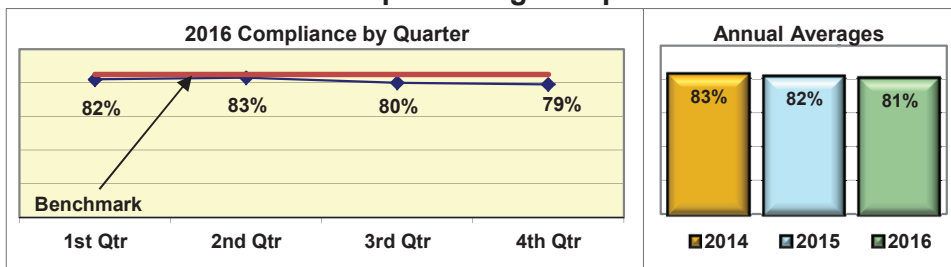
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

50%

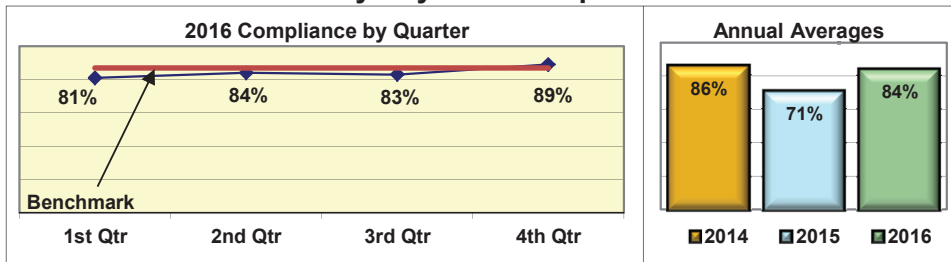
# Annual Compliance Report 01/01/2016-12/31/2016

## CANNON COCHRAN MANAGEMENT SERVICES

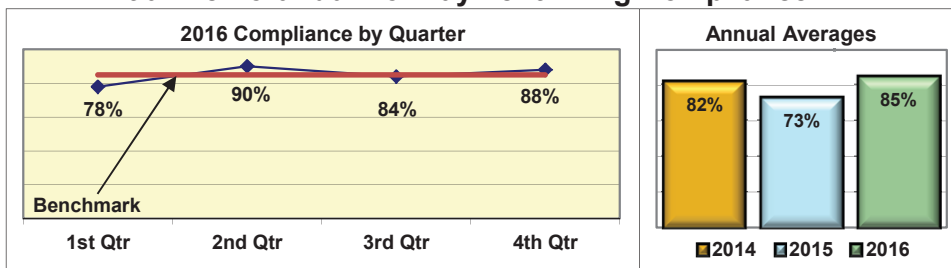
### Lost Time First Report Filing Compliance



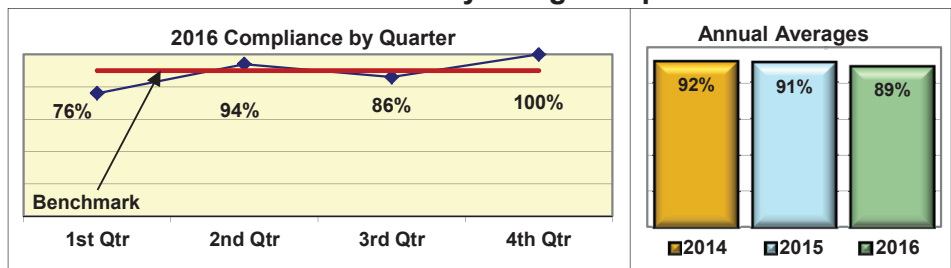
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

Cannon Cochran Management Services is a third party administrator that administered claims in 2016 for the following rating companies:

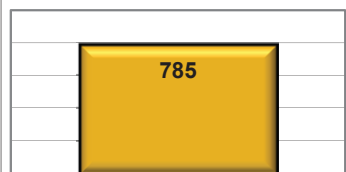
ACE American Insurance  
Great Falls Insurance  
Indemnity Ins. Co. of North America  
Old Republic Insurance  
Safety National Casualty  
Trumbull Insurance

and self-insured employers:

City of Lewiston  
Greater Portland V  
Lepage Bakeries  
Lewiston School Department  
Louisiana Pacific Corporation  
Maine McDonalds Operators  
Maine Turnpike Authority  
S D Warren  
University of Maine System

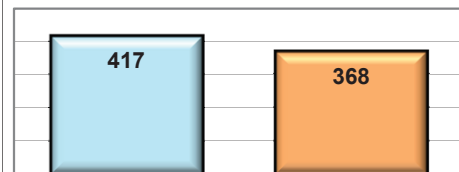
### Utilization Analysis

#### Lost Time First Reports Received



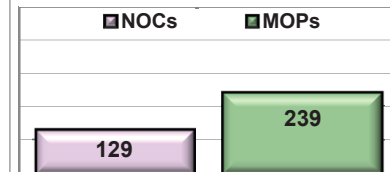
#### Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



#### Activity on Claims for Compensation

■ NOCs ■ MOPs



#### Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

16%

#### Percent of Claims for Compensation Denied

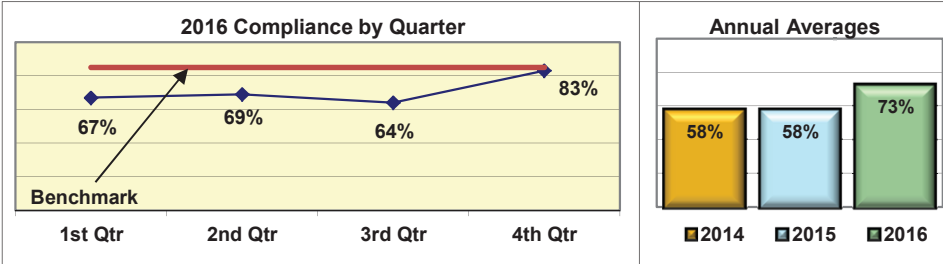
(Initial Indemnity NOCs / Claims for Compensation)

35%

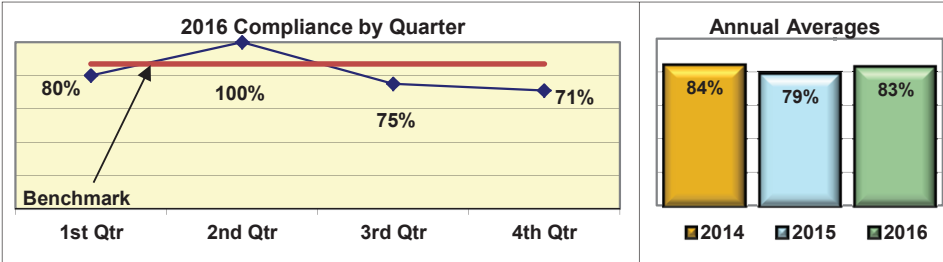
# Annual Compliance Report 01/01/2016-12/31/2016

## CHUBB INSURANCE

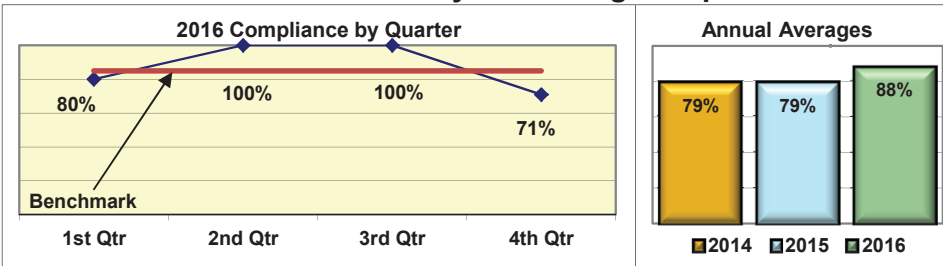
### Lost Time First Report Filing Compliance



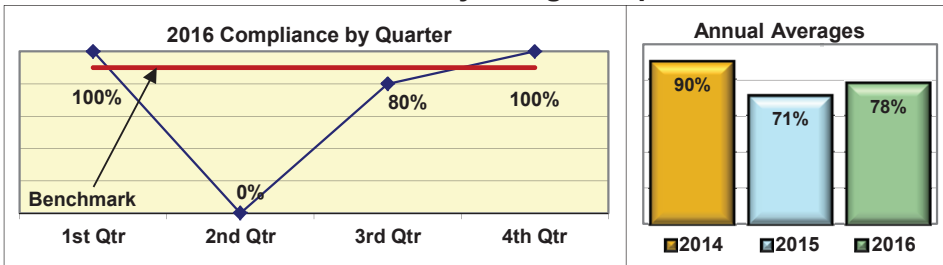
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

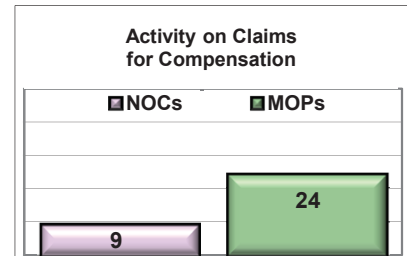
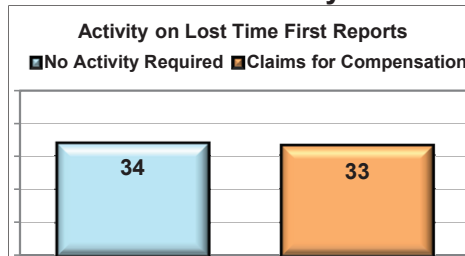
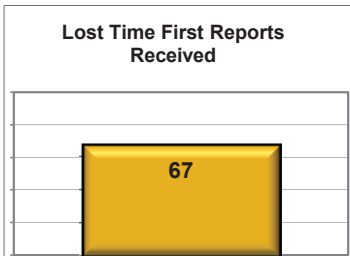
Chubb Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

Chubb Indemnity Insurance  
Federal Insurance  
Pacific Indemnity

Chubb Insurance used the following third parties in 2016:

ESIS  
Gallagher Bassett Services  
Sedgwick Claims Management Svcs.

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

13%

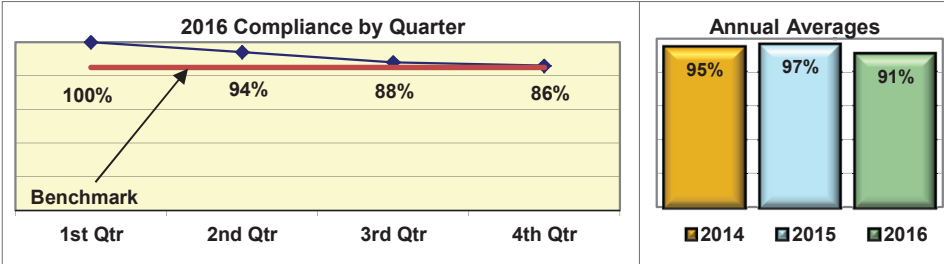
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

27%

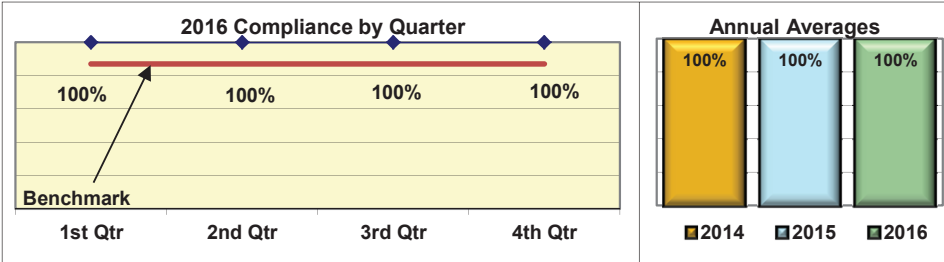
**Annual Compliance Report**  
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**CLAIMS MANAGEMENT (WALMART)**

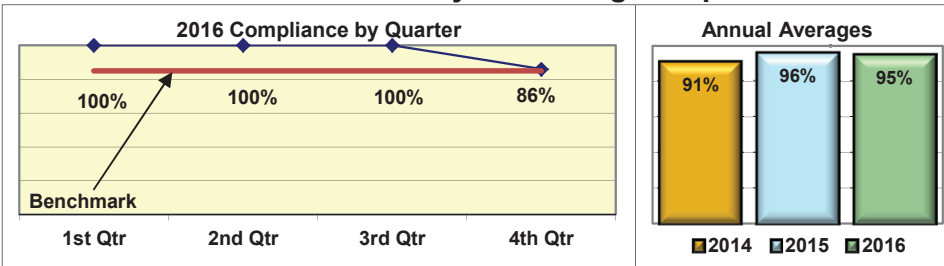
**Lost Time First Report Filing Compliance**



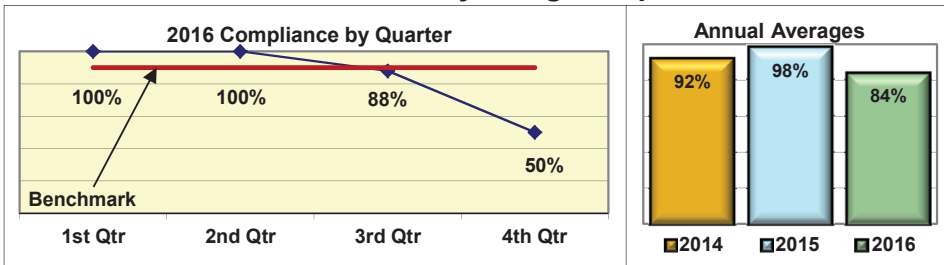
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

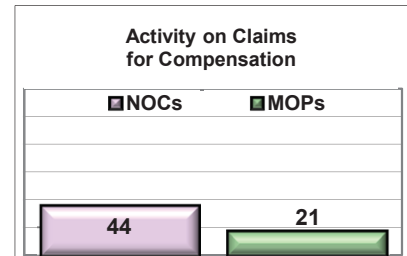
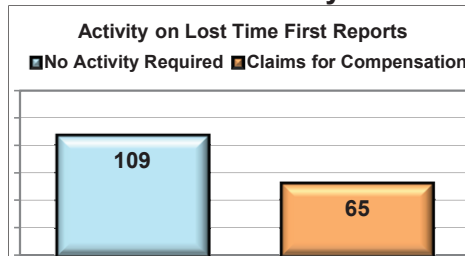
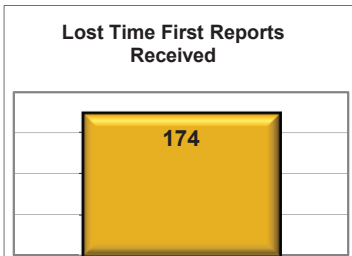


**Summary**

Claims Management (Walmart) is a third party administrator that administered claims in 2016 for the following rating companies:

Illinois National Insurance  
New Hampshire Insurance

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**25%**

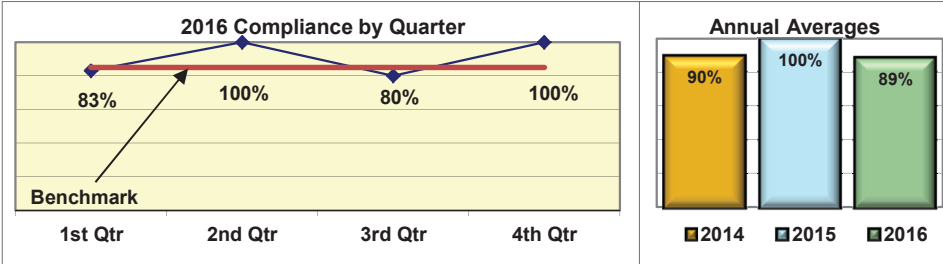
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**68%**

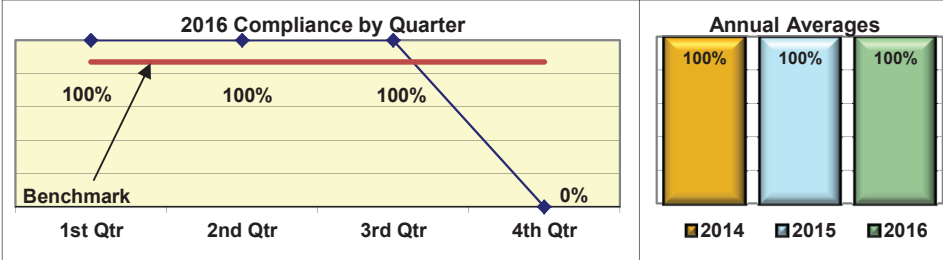
# Annual Compliance Report 01/01/2016-12/31/2016

## CNA INSURANCE

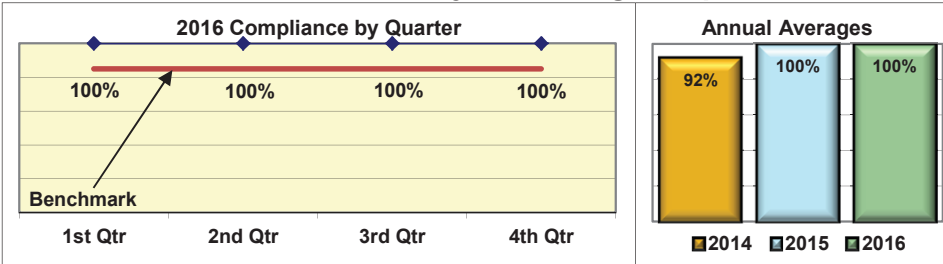
### Lost Time First Report Filing Compliance



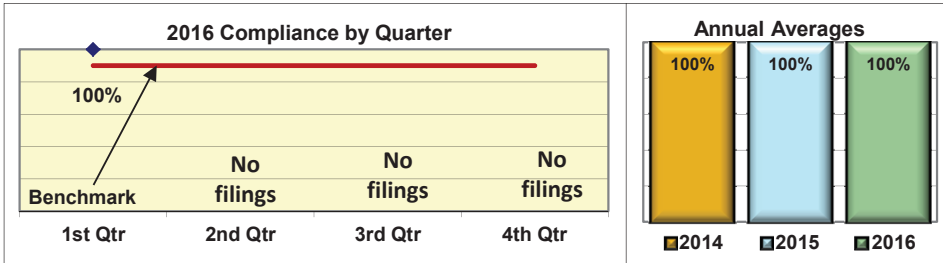
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



### Summary

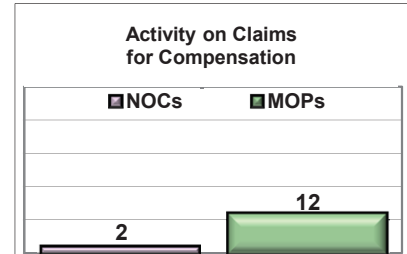
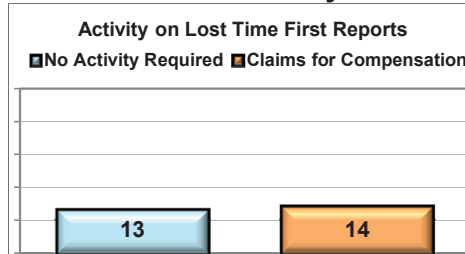
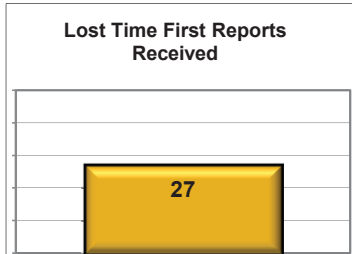
CNA Insurance is an insurer that administered its own claims and used a third party in 2016 under the following rating companies:

American Casualty Co. of Reading  
CNA Claims Plus  
Continental Casualty  
Transportation Insurance Company

CNA used the following third party in 2016:

Gallagher Bassett Services

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

7%

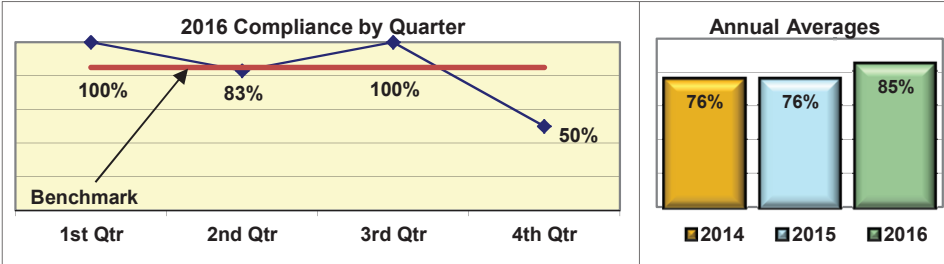
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

14%

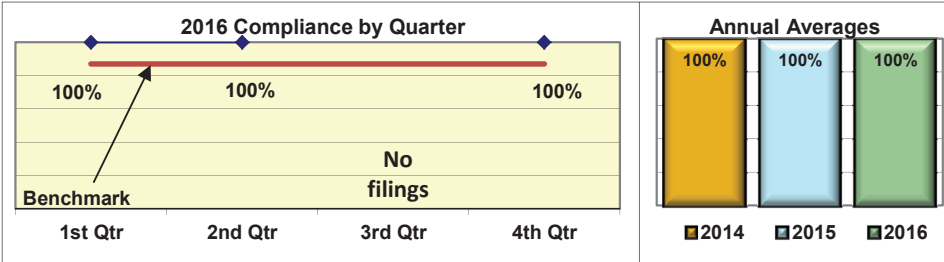
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01/01/2016-12/31/2016

**CONSTITUTION STATE SERVICES**

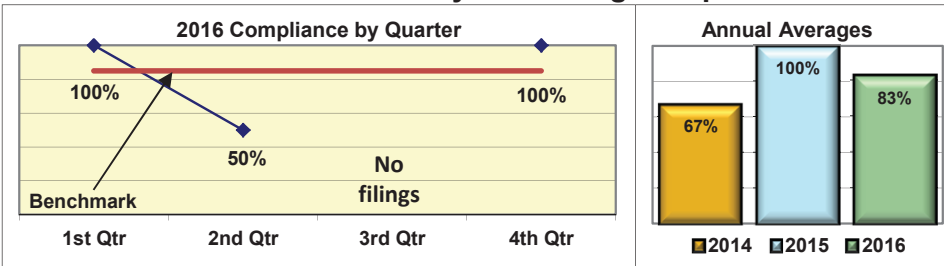
**Lost Time First Report Filing Compliance**



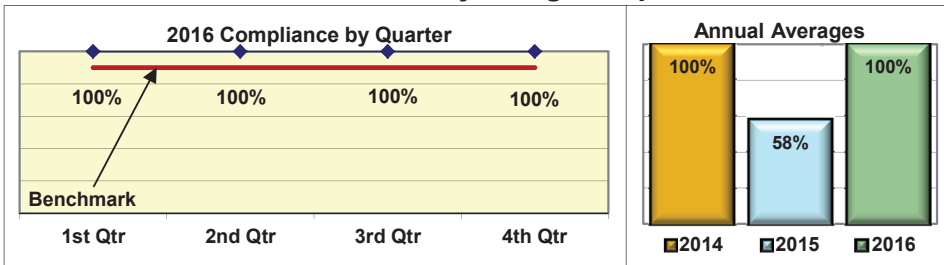
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**



**Summary**

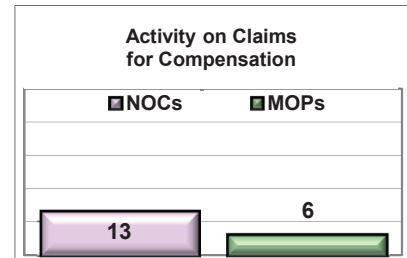
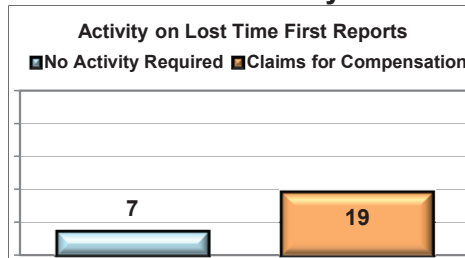
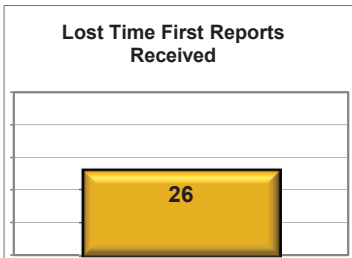
Constitution State Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance  
Indemnity Ins. Co. of No. America  
Insurance Co. of the State of PA

and the following self-insured employer:

S D Warren

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**50%**

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

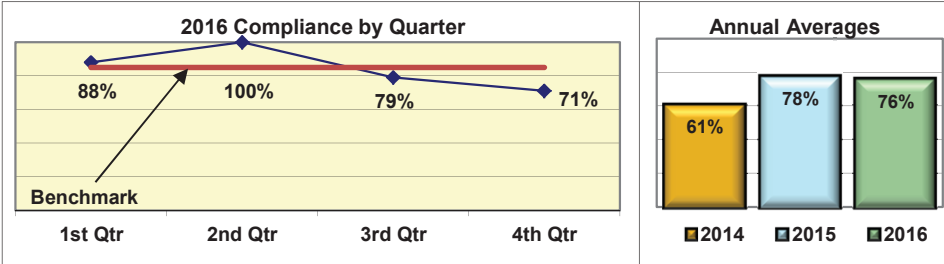
**68%**



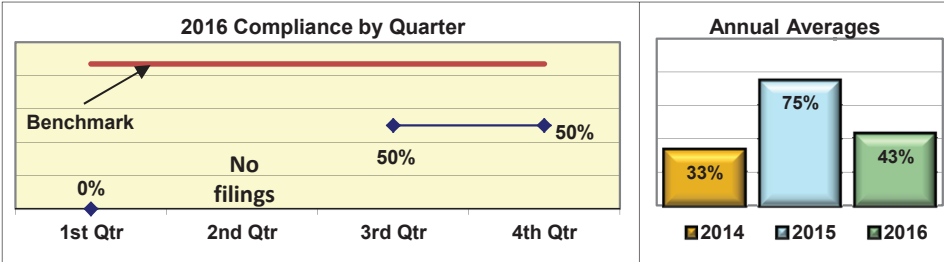
**Annual Compliance Report**  
01/01/2016-12/31/2016

**CORVEL ENTERPRISE CORP**

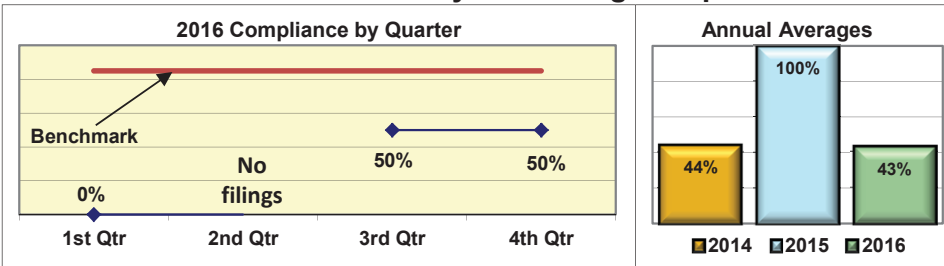
**Lost Time First Report Filing Compliance**



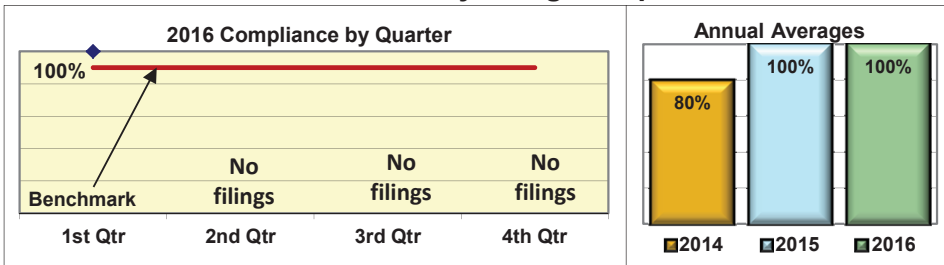
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

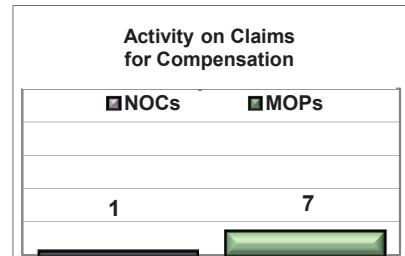
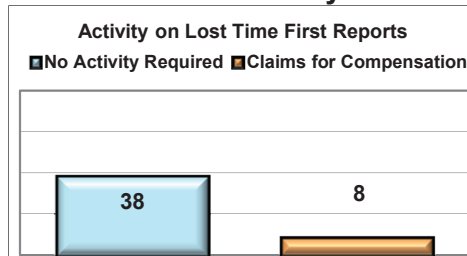
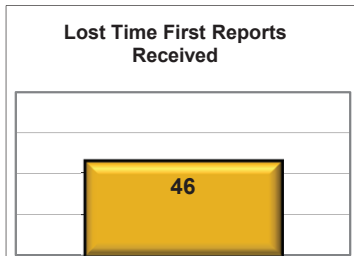


**Summary**

CorVel Enterprise Comp. is a third party administrator that administered claims in 2016 for the following rating companies:

- Indemnity Ins. Co. of North America
- Old Republic Insurance
- Safety National Casualty Corp.
- Trumbull Insurance
- XL Insurance

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**2%**

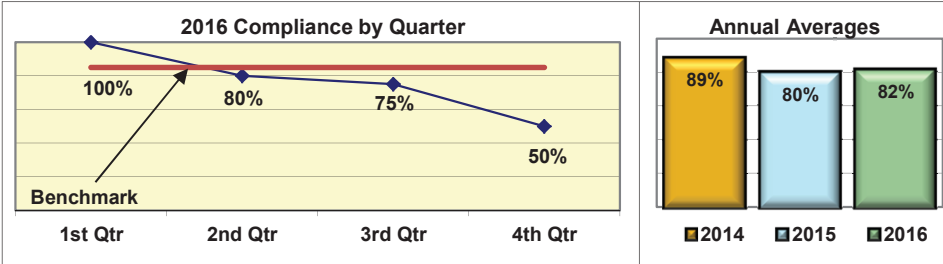
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**13%**

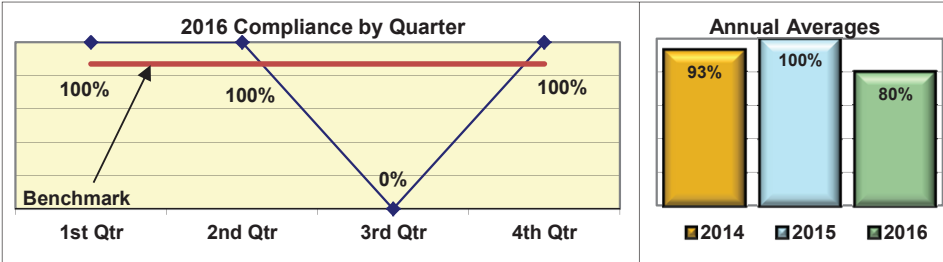
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## COTTINGHAM & BUTLER CLAIMS SERVICES

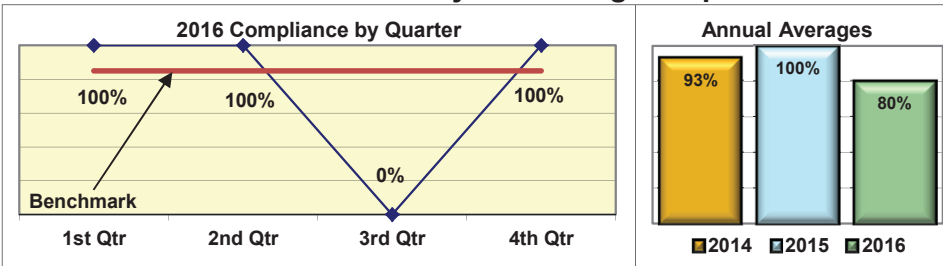
### Lost Time First Report Filing Compliance



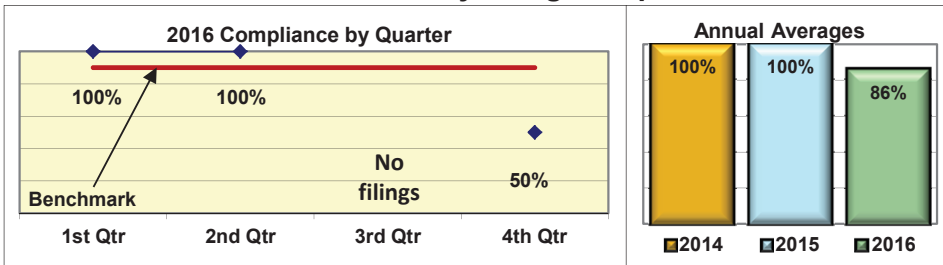
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

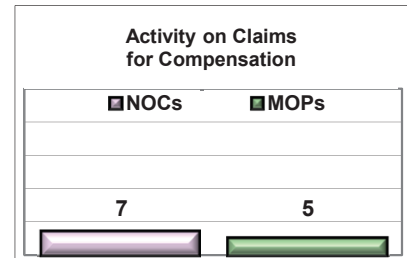
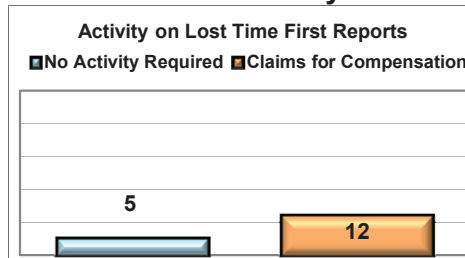
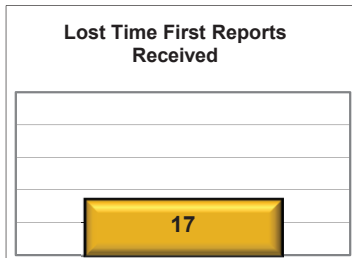


#### Summary

Cottingham & Butler Claims Services is a third party administrator that administered claims in 2016 for the following rating companies:

- ACE American Insurance
- Arch Insurance
- Standard Fire Insurance
- XL Insurance

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

41%

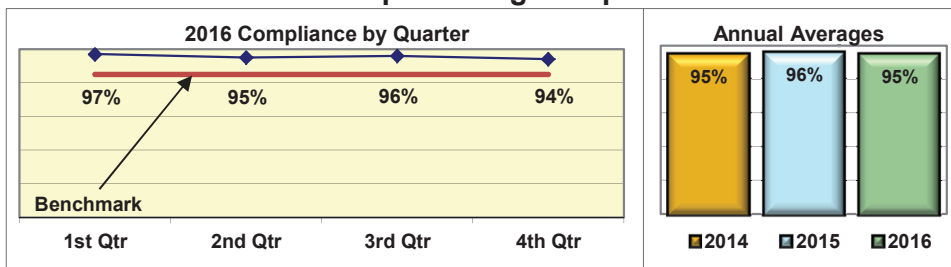
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

58%

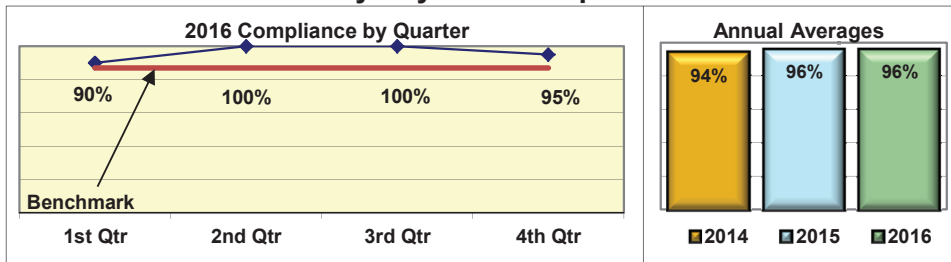
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## CROSS INSURANCE

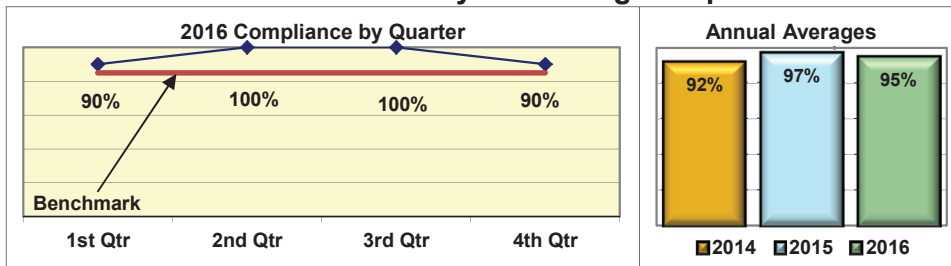
### Lost Time First Report Filing Compliance



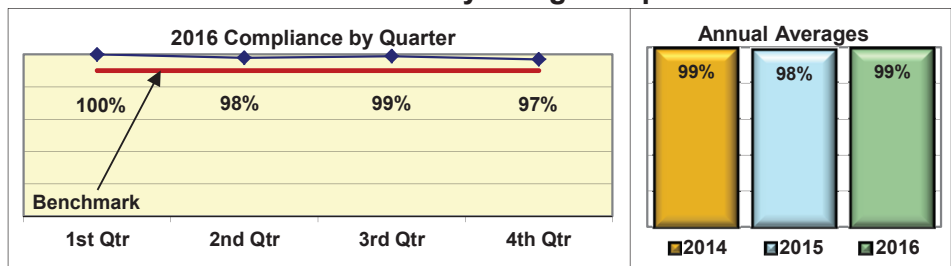
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

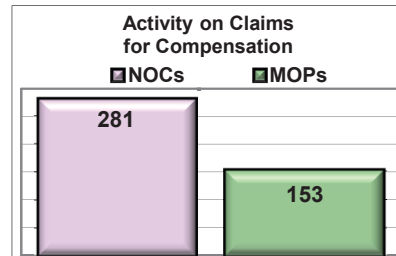
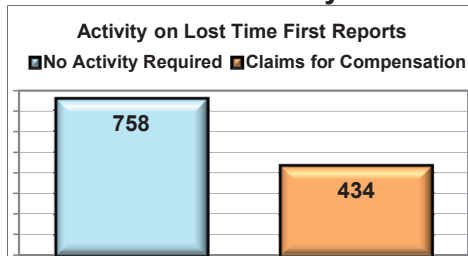
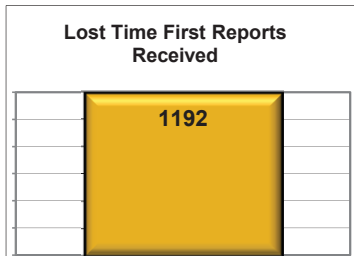


### Summary

Cross Insurance is a third party administrator that administered claims in 2016 for the following self-insured employers:

- Auburn, City of
- Central Maine Power Co.
- Construction Services Group Trust
- Distributors Suppliers Group Trust
- Eastern Maine Group
- Forest Products Group Trust
- Hussey Seating Co.
- Maine Oil Dealers Association
- MaineGeneral Health
- ME Chamber of Comm. & Industry
- Mfg. of Maine Group Trust
- Parker Hannifin Corporation
- Social Services & Education

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

24%

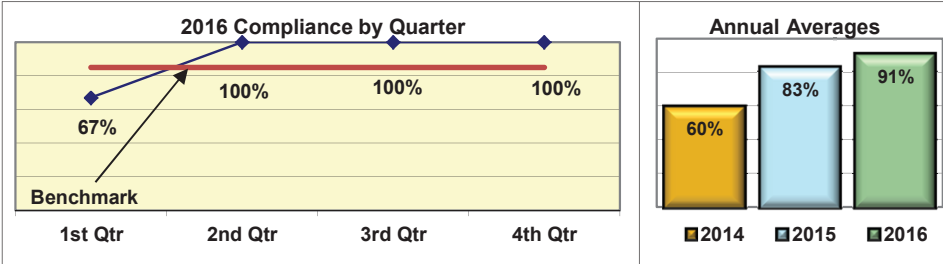
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

65%

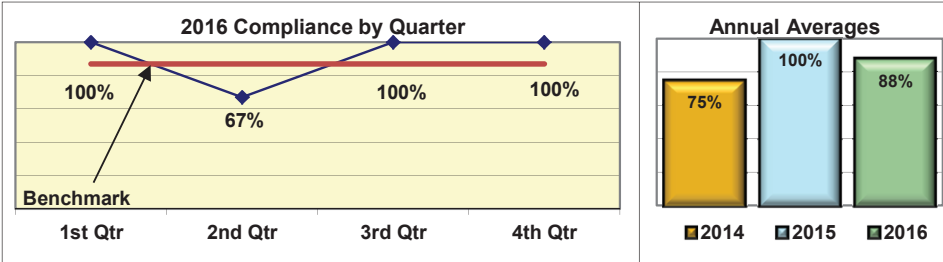
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**ELECTRIC INSURANCE**

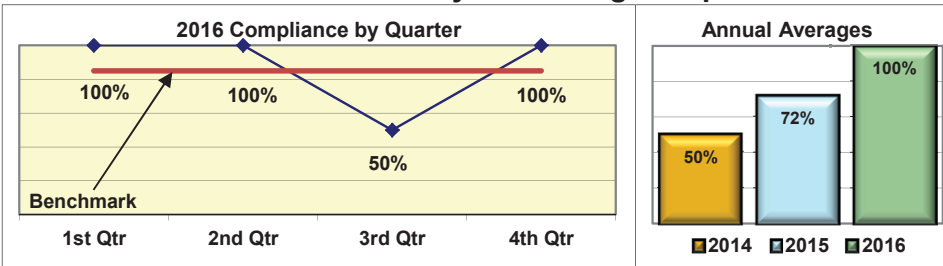
**Lost Time First Report Filing Compliance**



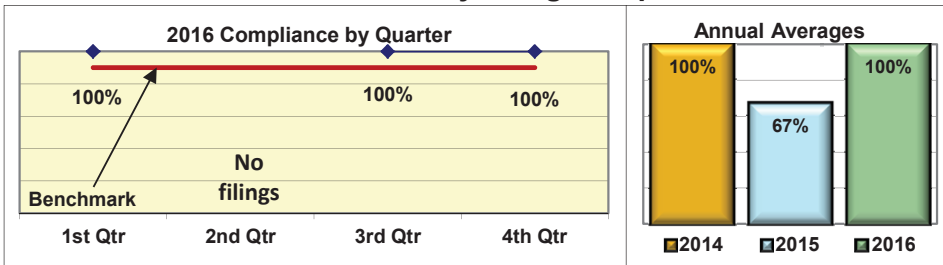
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**



**Summary**

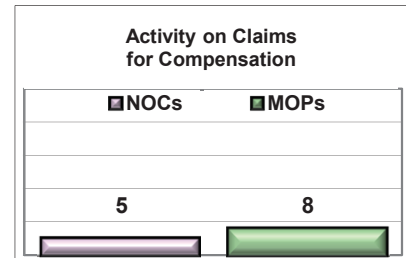
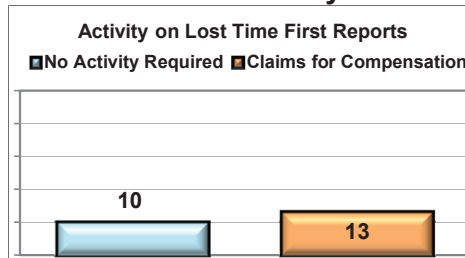
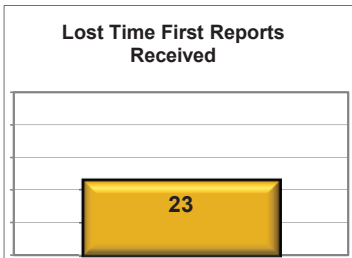
Electric Insurance is an insurer that used a third party to administer claims in 2016 under the following rating company:

Electric Insurance

Electric Insurance used the following third party in 2016:

Sedgwick Claims Management Svcs.

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**22%**

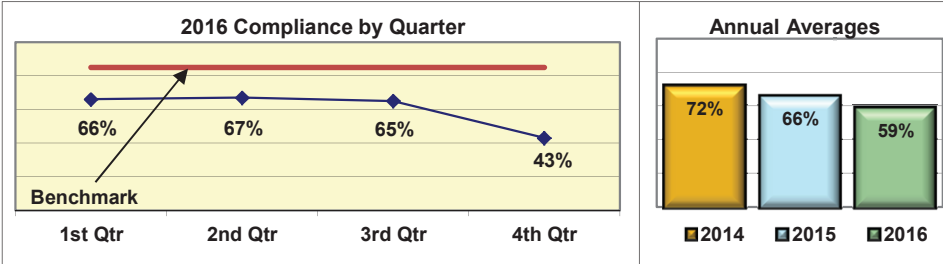
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**38%**

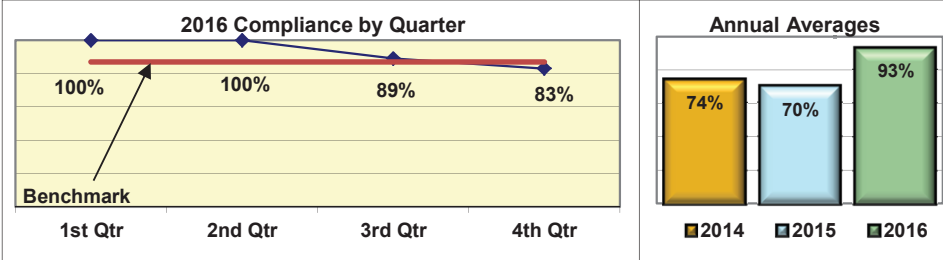
# Annual Compliance Report 01/01/2016-12/31/2016

## ESIS

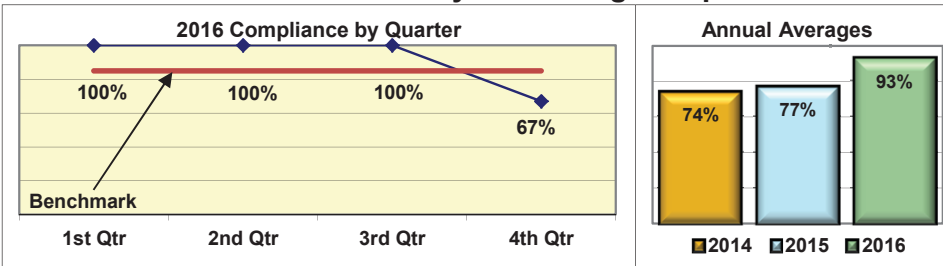
### Lost Time First Report Filing Compliance



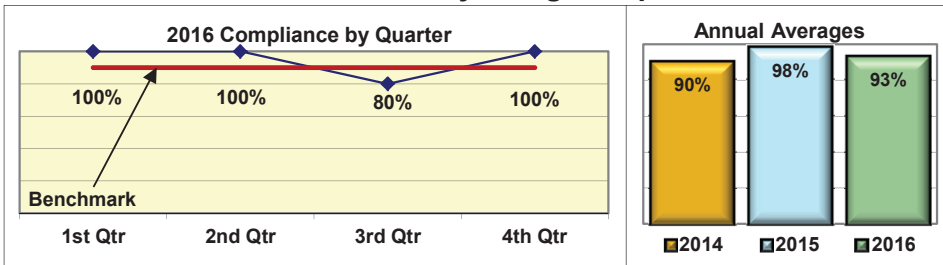
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

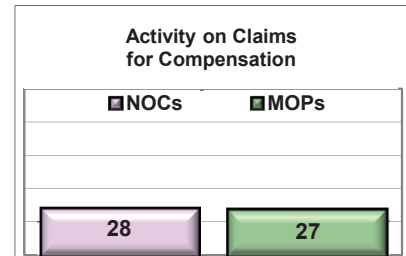
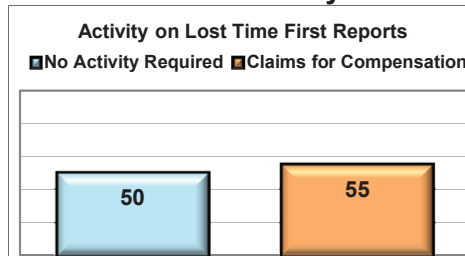
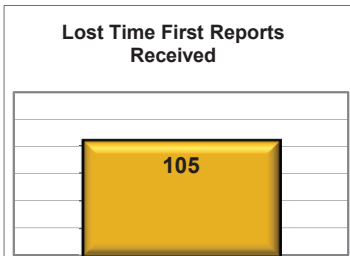
ESIS is a third party administrator that administered claims in 2016 for the following rating companies:

- ACE American Insurance
- ACE Fire Underwriters Insurance
- ACE Property & Casualty Insurance
- American Zurich Insurance
- Commerce & Industry Insurance
- Federal Insurance
- Indemnity Ins. Co. of No. America
- New Hampshire Insurance
- Safety National Casualty Corp.
- Starr Indemnity & Liability
- XL Insurance

and the following self-insured employer:

Unifirst Corporation

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

27%

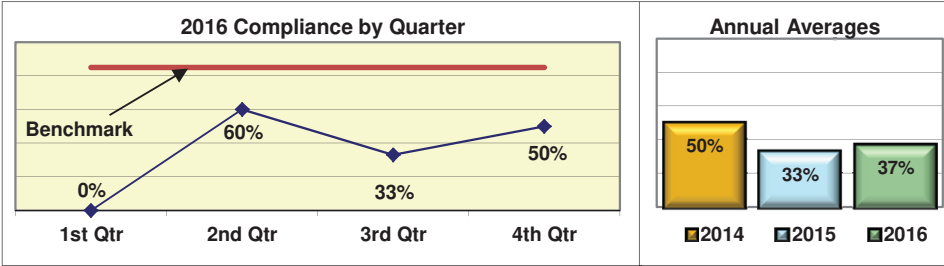
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

51%

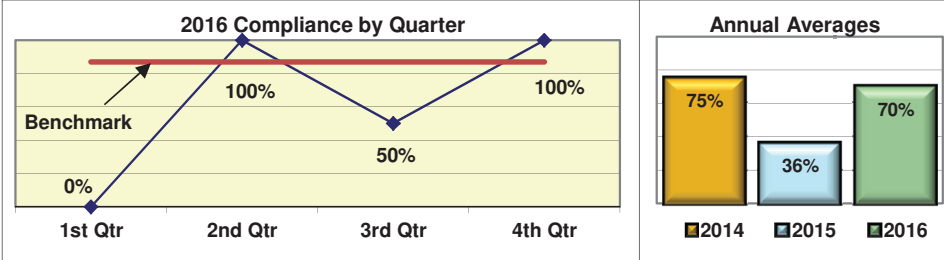
**Annual Compliance Report**  
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**FEDERATED MUTUAL INSURANCE**

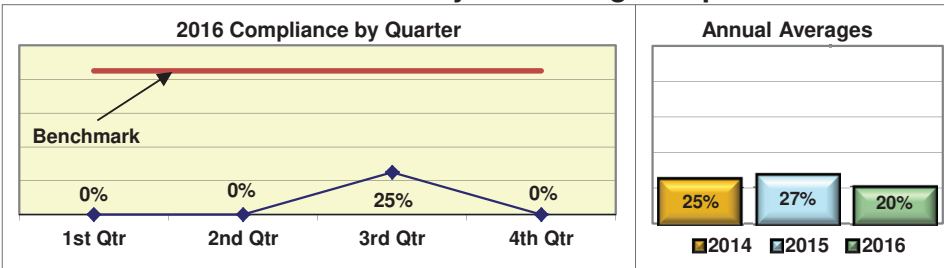
**Lost Time First Report Filing Compliance**



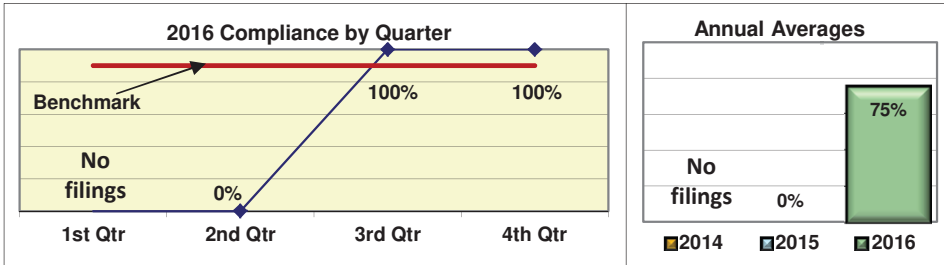
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

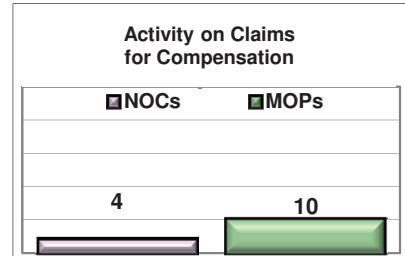
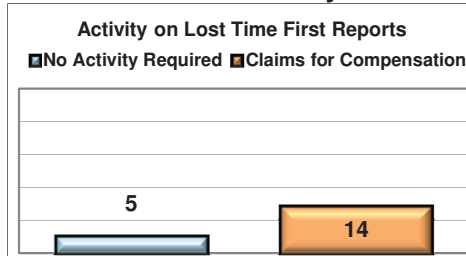
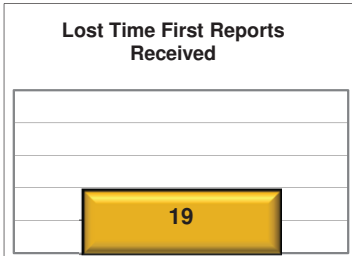


**Summary**

Federated Mutual Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Federated Mutual Insurance  
Federated Service Insurance

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**21%**

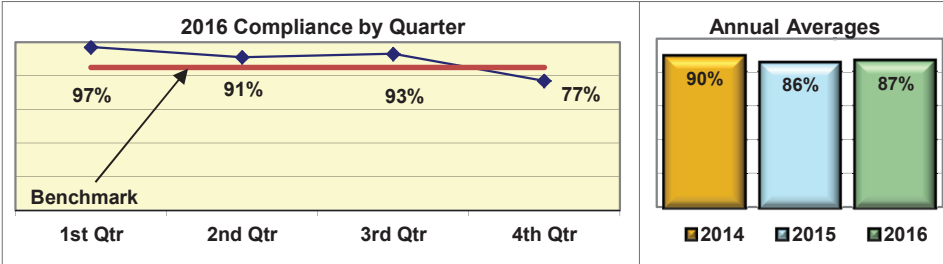
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**29%**

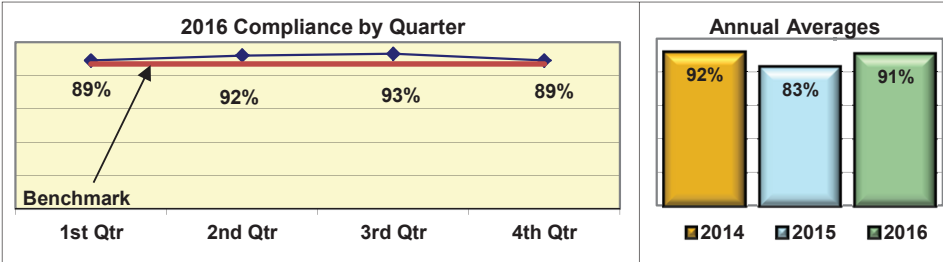
# Annual Compliance Report 01/01/2016-12/31/2016

## FUTURECOMP

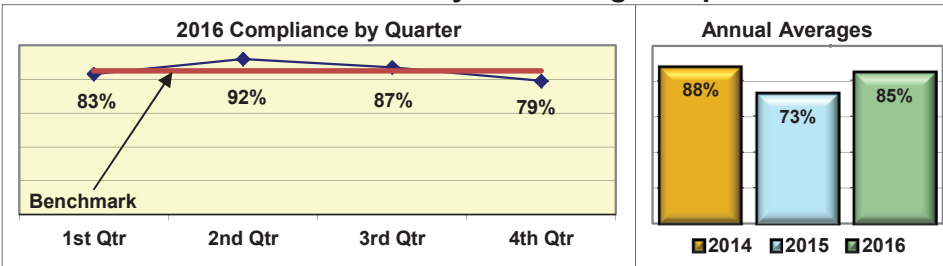
### Lost Time First Report Filing Compliance



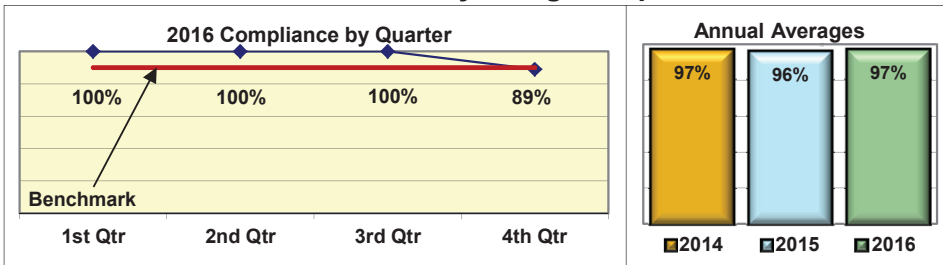
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

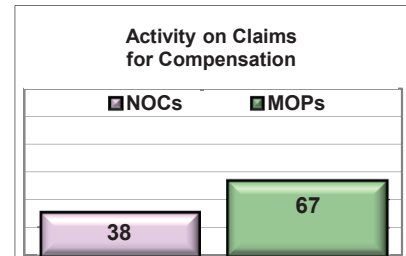
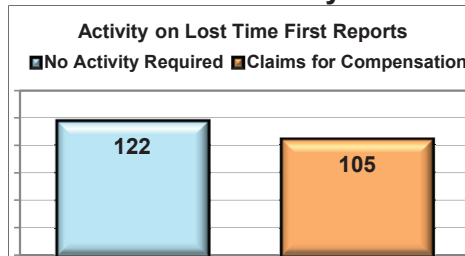
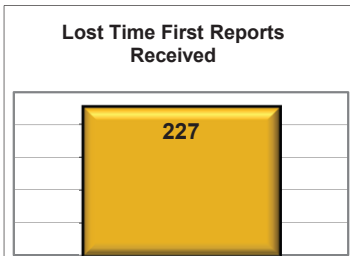


#### Summary

FutureComp is a third party administrator that administered claims in 2016 for the following self-insured employers:

Central Maine Healthcare  
Maine Merchants WC Trust Fund

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

17%

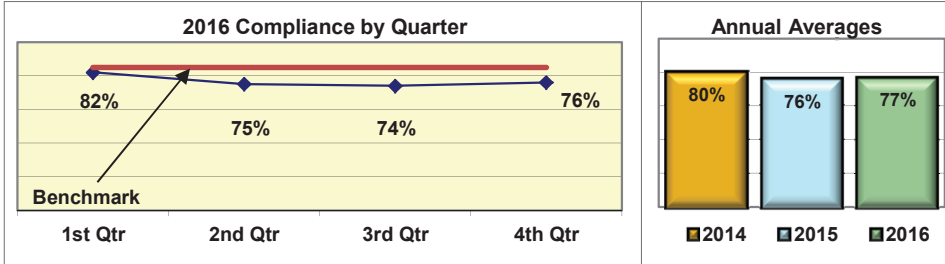
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

36%

# Annual Compliance Report 01/01/2016-12/31/2016

## GALLAGHER BASSETT SERVICES

### Lost Time First Report Filing Compliance

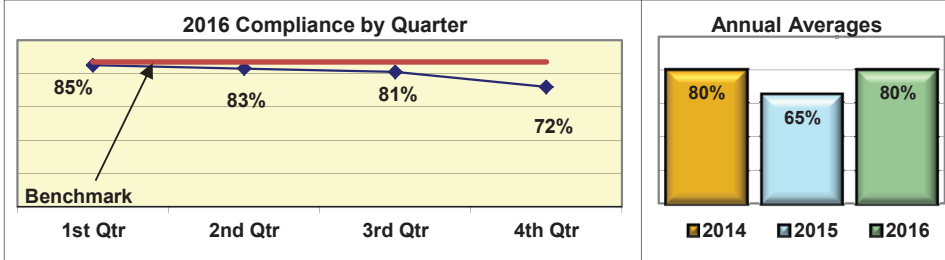


#### Summary

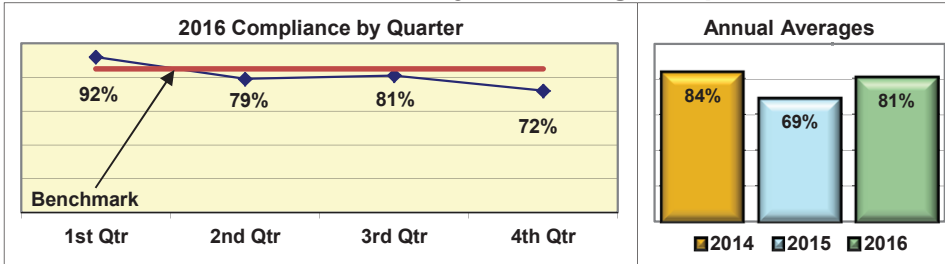
Gallagher Bassett Services is a third party administrator that administered claims in 2016 for the following rating companies:

- ACE American Insurance
- American Casualty Co. of Reading
- American Zurich Insurance
- Arch Insurance
- Atlantic Specialty Insurance
- Chubb Indemnity Insurance
- Everest National Insurance
- Federal Insurance
- Great Northern Insurance
- Indemnity Ins. Co. of No. America
- Manufacturers Alliance Insurance
- Mitsui Sumitomo Insurance
- New Hampshire Insurance
- North Pointe Insurance
- Old Republic General Insurance
- Old Republic Insurance
- Pacific Indemnity
- Phoenix Insurance
- QBE Insurance
- Safety National Casualty
- Standard Fire Insurance
- Starr Indemnity & Liability
- Trumbull Insurance
- XL Insurance
- XL Specialty Insurance
- Zurich American Insurance

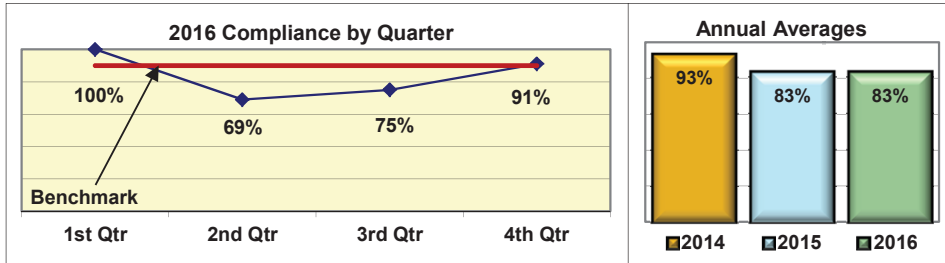
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



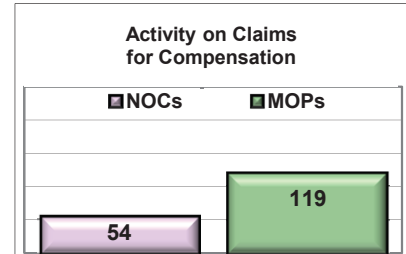
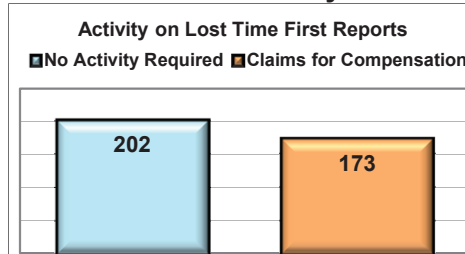
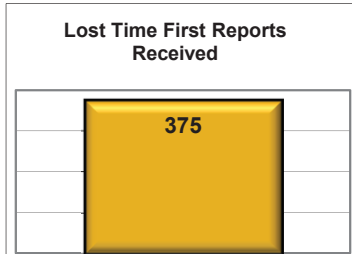
### Initial Notice of Controversy Filing Compliance



and the following self-insured employer:

- Columbia Forest Products

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

14%

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

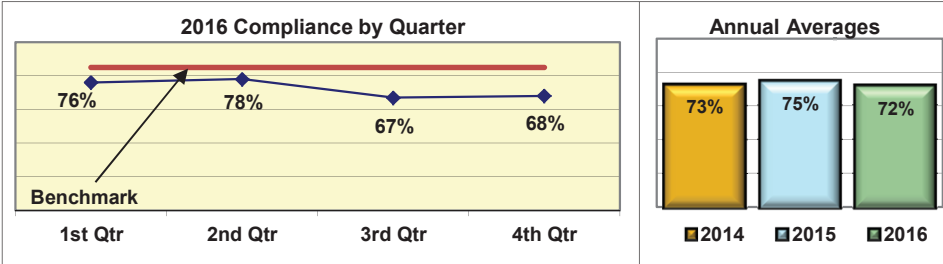
31%



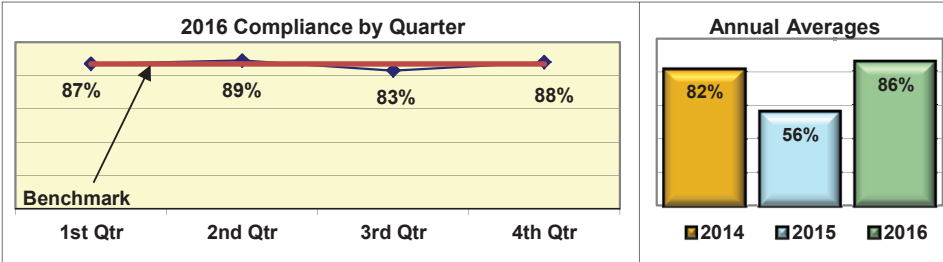
**Annual Compliance Report**  
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**GREAT FALLS INSURANCE**

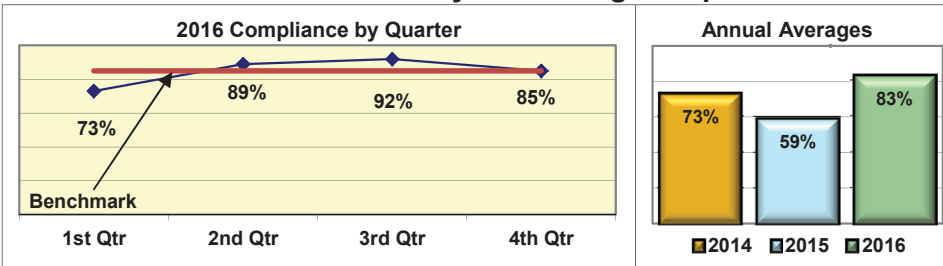
**Lost Time First Report Filing Compliance**



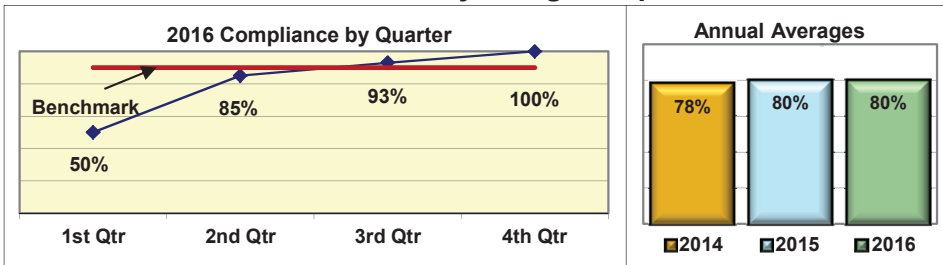
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**



**Summary**

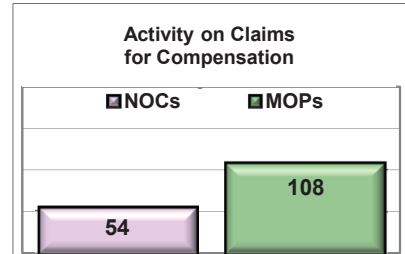
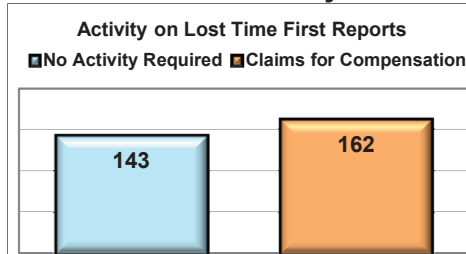
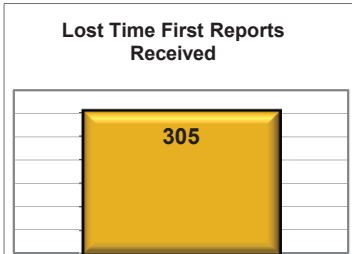
Great Falls Insurance is an insurer that used a third party to administer claims in 2016 under the following rating company:

Great Falls Insurance

Great Falls Insurance used the following third party in 2016:

Cannon Cochran Management Svcs.

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**18%**

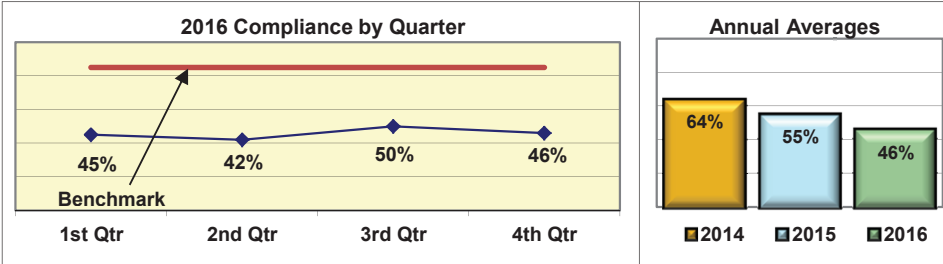
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**33%**

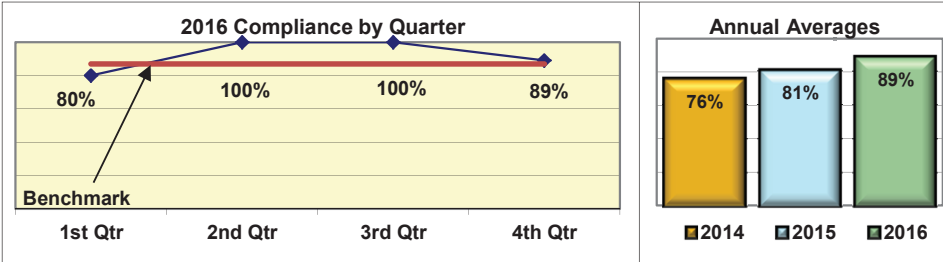
**Annual Compliance Report**  
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**GUARD INSURANCE**

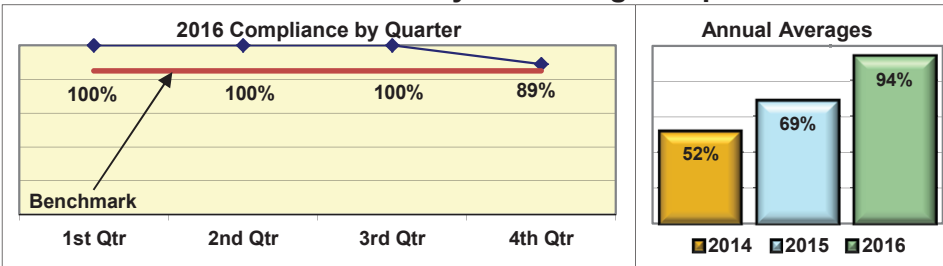
**Lost Time First Report Filing Compliance**



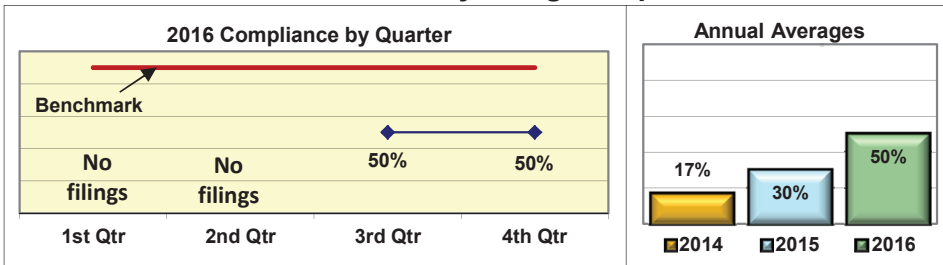
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

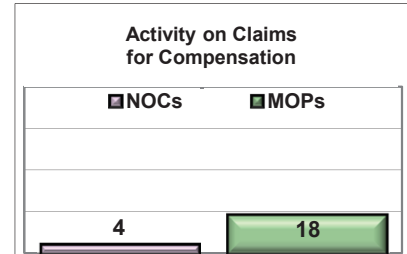
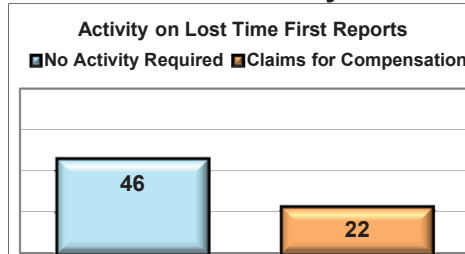
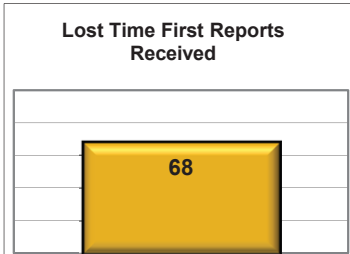


**Summary**

Guard Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

- Amguard Insurance
- Eastguard Insurance
- Norguard Insurance

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**6%**

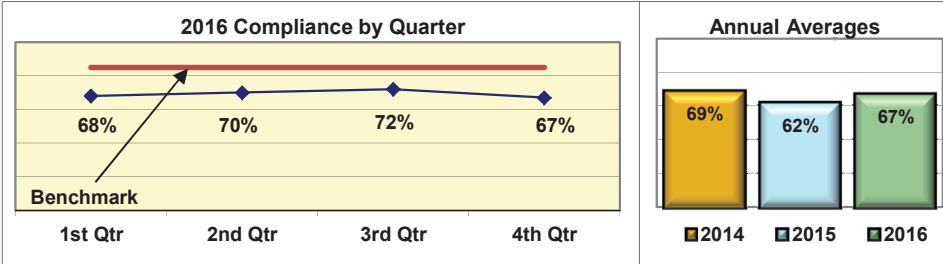
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**18%**

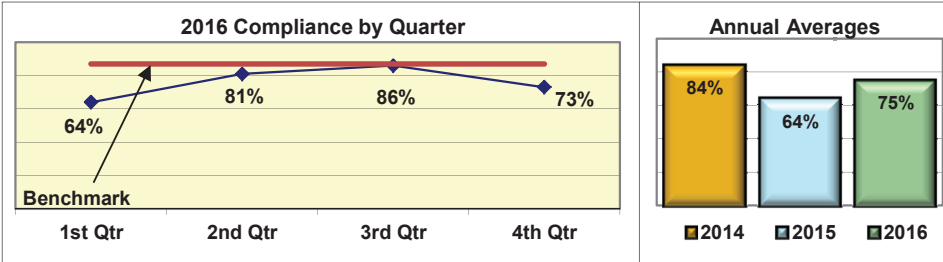
**Annual Compliance Report**  
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**HANNAFORD BROTHERS**

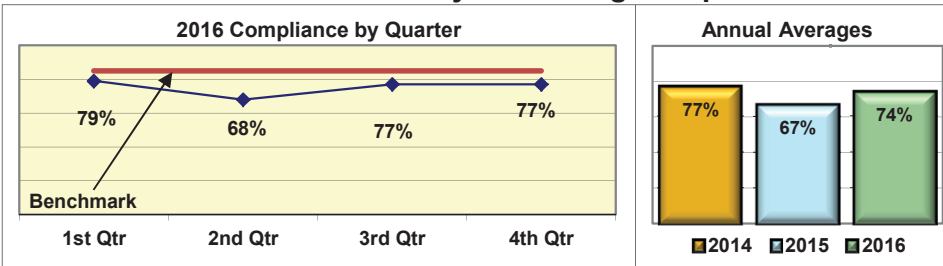
**Lost Time First Report Filing Compliance**



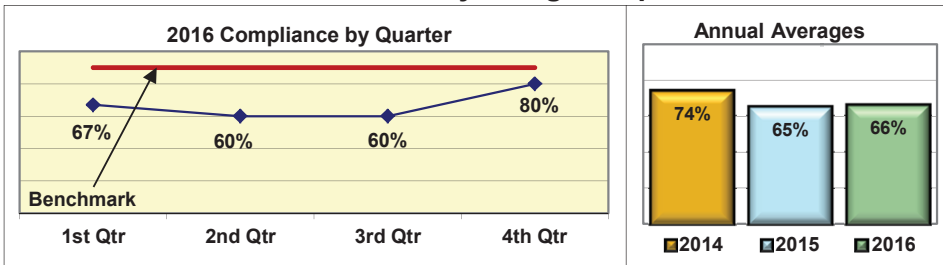
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

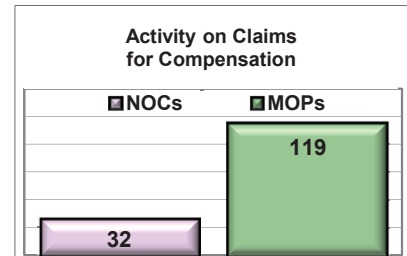
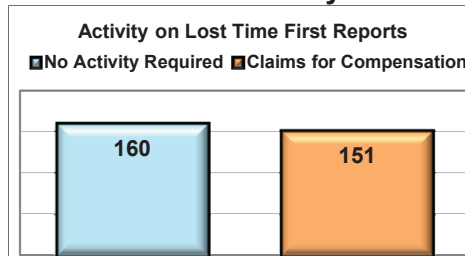
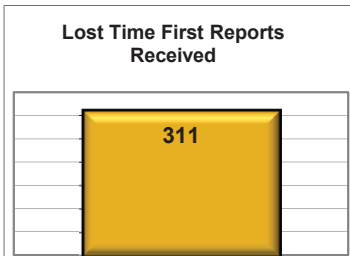


**Summary**

Hannaford Brothers is a self-insured employer that administered its own claims in 2016 under the following name:

Hannaford Brothers

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**10%**

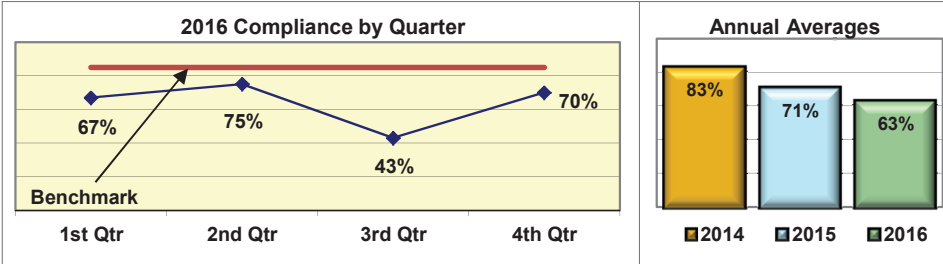
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**21%**

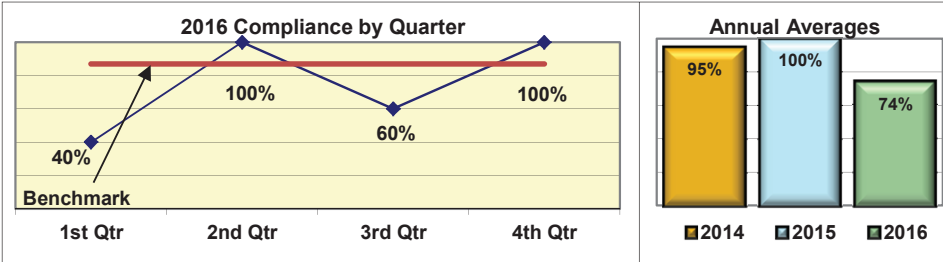
**Annual Compliance Report**  
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**HANOVER INSURANCE**

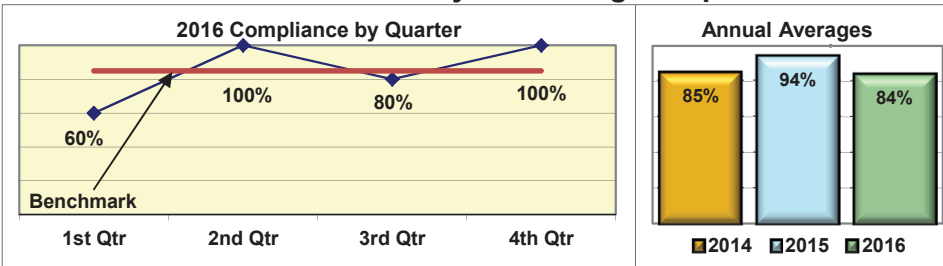
**Lost Time First Report Filing Compliance**



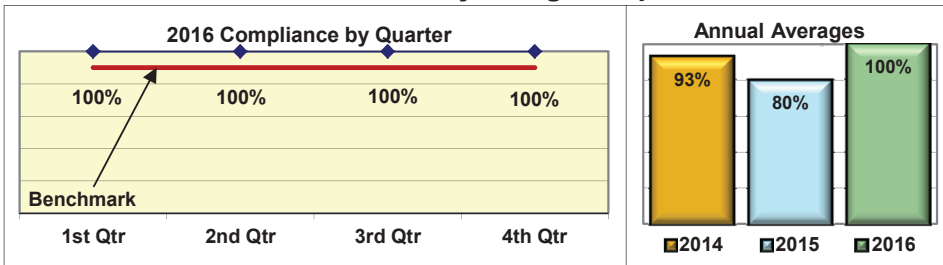
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

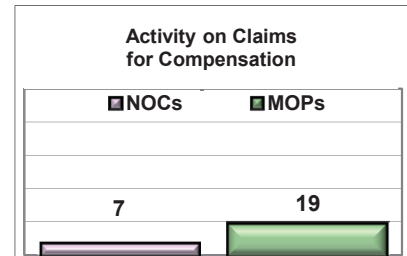
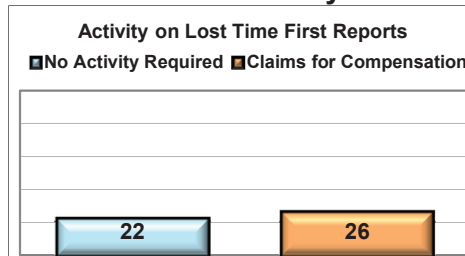
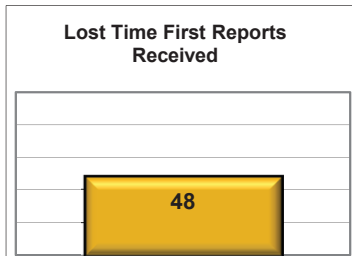


**Summary**

Hanover Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

- Allmerica Financial Benefit Ins.
- Citizens Insurance Co. of America
- Hanover American Insurance
- Hanover Insurance Co.
- Massachusetts Bay Insurance Co.

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**15%**

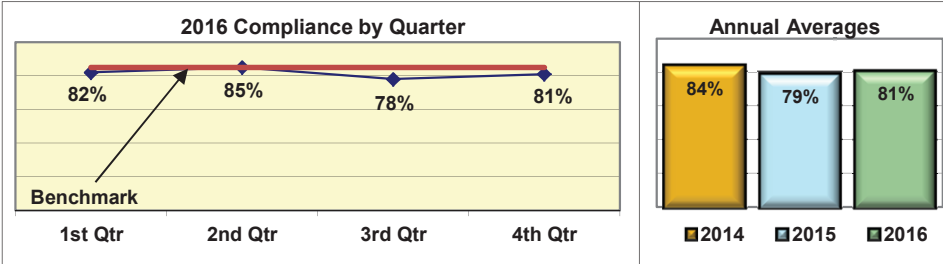
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**27%**

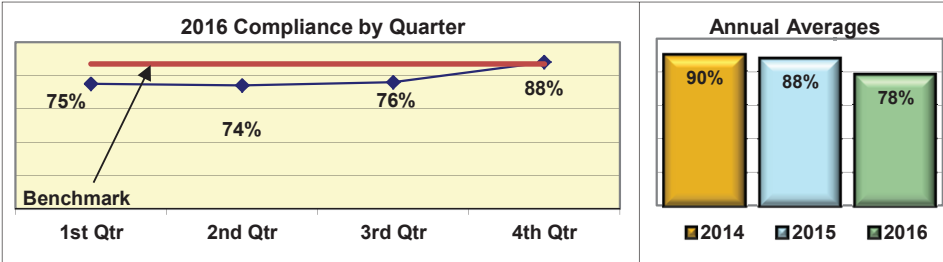
**Annual Compliance Report  
01/01/2016-12/31/2016**

**HARTFORD INSURANCE**

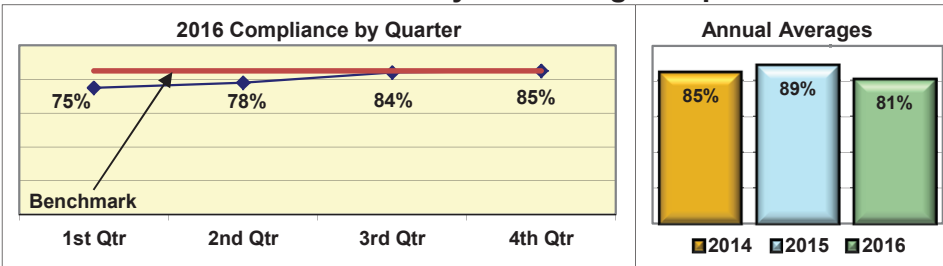
**Lost Time First Report Filing Compliance**



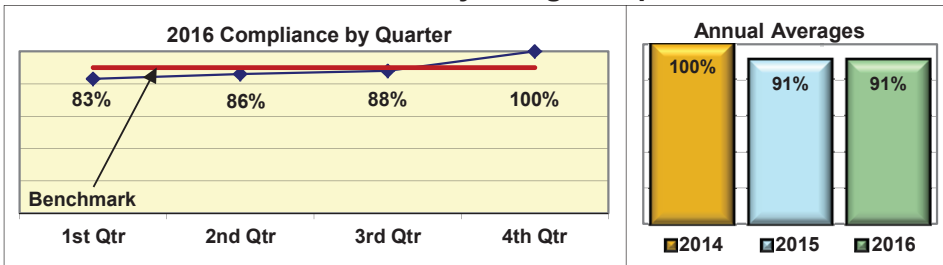
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**



**Summary**

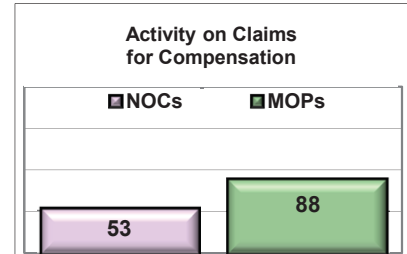
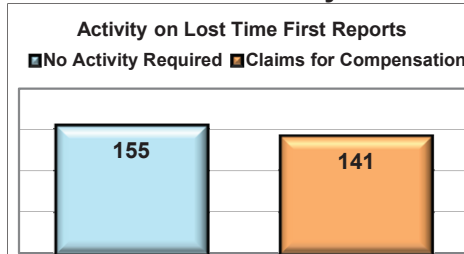
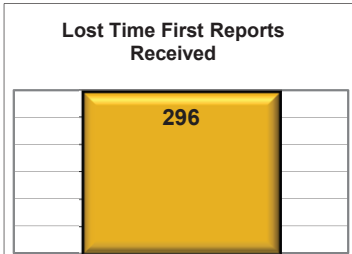
Hartford Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating agencies:

Hartford Accident & Indemnity  
Hartford Casualty Insurance Co.  
Hartford Fire Insurance Co.  
Hartford Ins. Co. of the Midwest  
Hartford Underwriters Insurance  
Property & Casualty Insurance  
Sentinel Insurance  
Trumbull Insurance  
Twin City Fire Insurance

Hartford Insurance used the following third parties in 2016:

Broadspire Services  
Cannon Cochran Management Svcs.  
CorVel Enterprise Comp.  
Gallagher Bassett Services  
Sedgwick Claims Management Svcs.  
York Risk Services

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**18%**

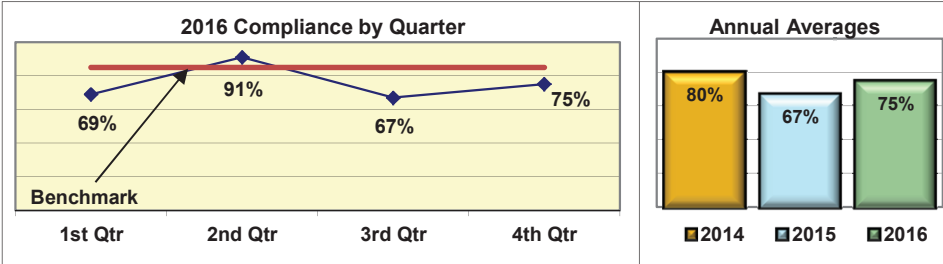
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**38%**

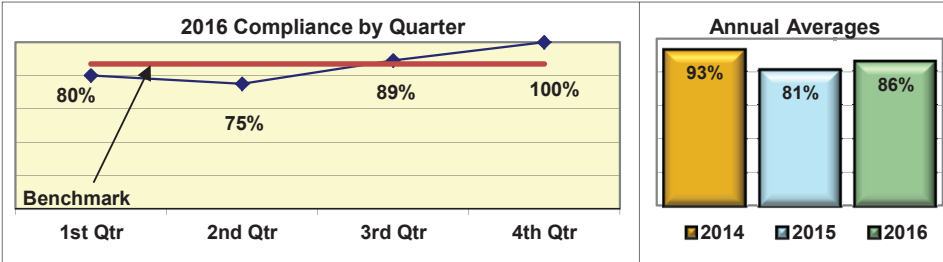
# Annual Compliance Report 01/01/2016-12/31/2016

## HELMSMAN MANAGEMENT SERVICES

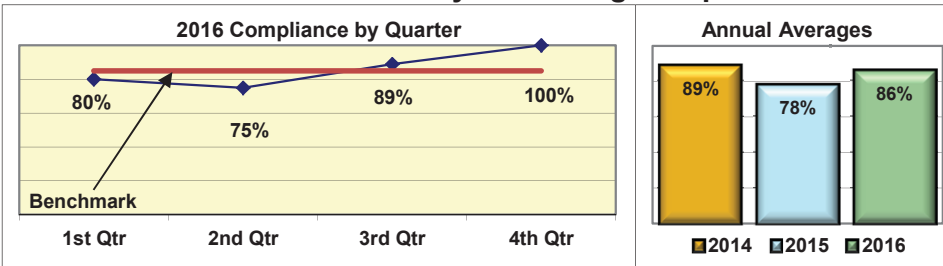
### Lost Time First Report Filing Compliance



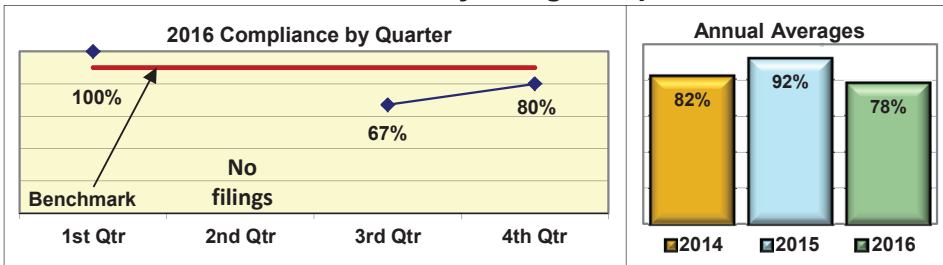
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

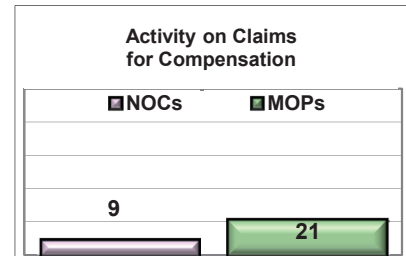
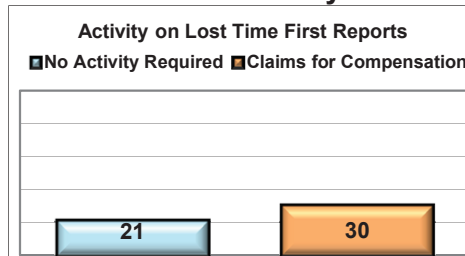
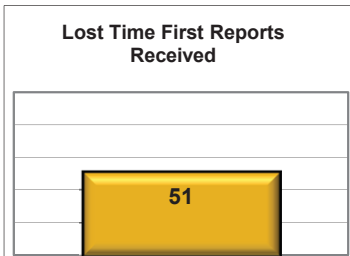
Helmsman Management Services is a third party administrator that administered claims in 2016 for the following rating companies:

Arch Insurance  
Indemnity Ins. Co. of No. America  
Old Republic Insurance  
Safety National Casualty Corp.

and self-insured employers:

Asplundh Tree Expert  
Home Depot USA, Inc.

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

18%

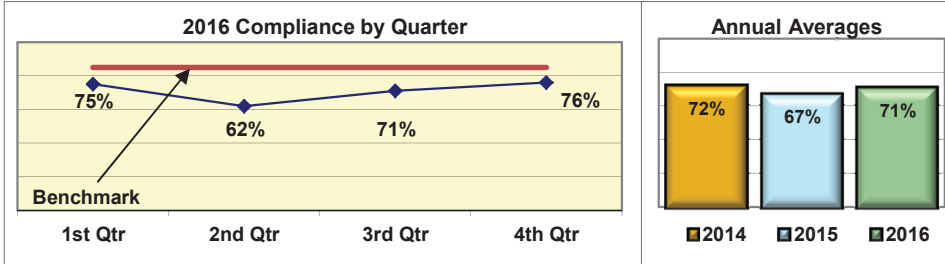
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

30%

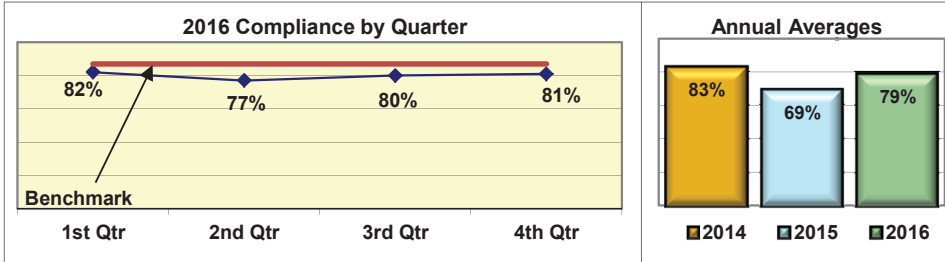
# Annual Compliance Report 01/01/2016-12/31/2016

## LIBERTY MUTUAL INSURANCE

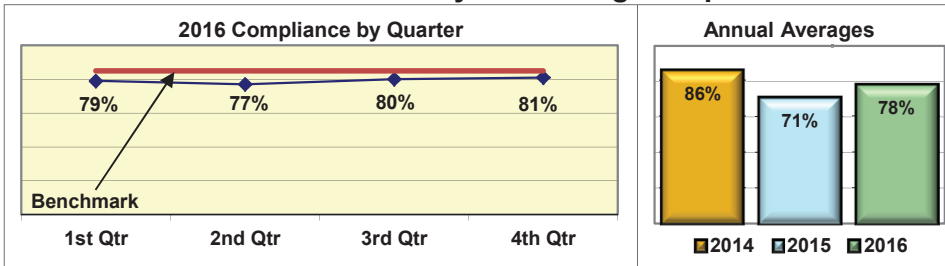
### Lost Time First Report Filing Compliance



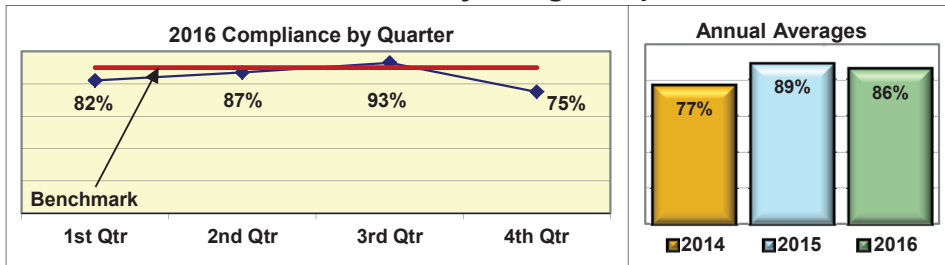
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



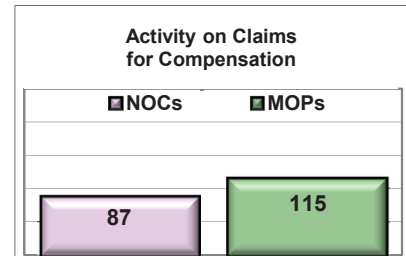
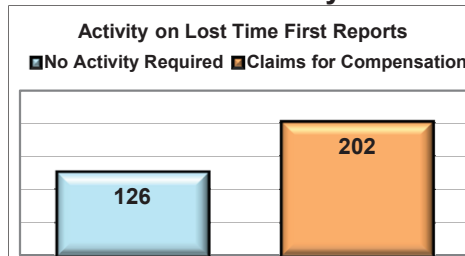
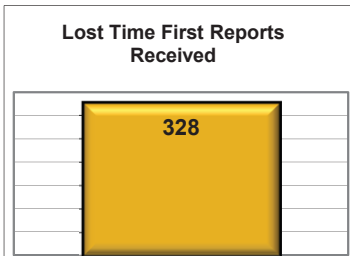
#### Summary

Liberty Mutual Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

- American Fire & Casualty Insurance
- Employers Insurance of Wausau
- Excelsior Insurance
- First Liberty Insurance
- Liberty Insurance Corp.
- Liberty Mutual Insurance
- Liberty Mutual Fire Insurance
- LM Insurance Corp.
- Ohio Casualty Insurance
- Ohio Security Insurance
- Peerless Insurance
- Peerless Indemnity Insurance
- The Netherlands Insurance
- West American Insurance

\*Annual Averages for 2014 do

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

27%

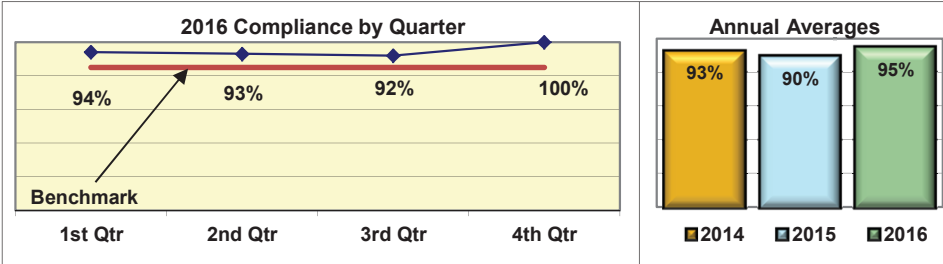
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

43%

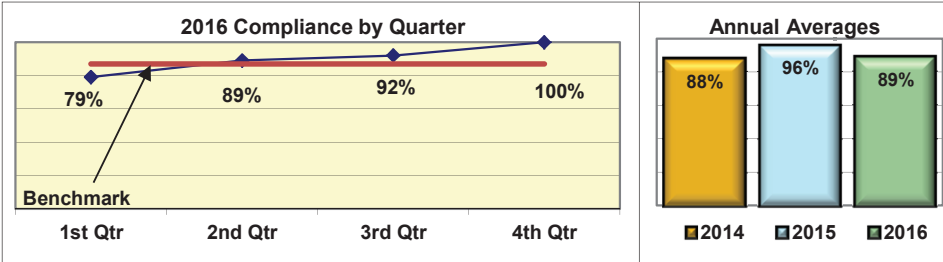
# Annual Compliance Report 01/01/2016-12/31/2016

## MAINE AUTOMOBILE DEALERS ASSOCIATION

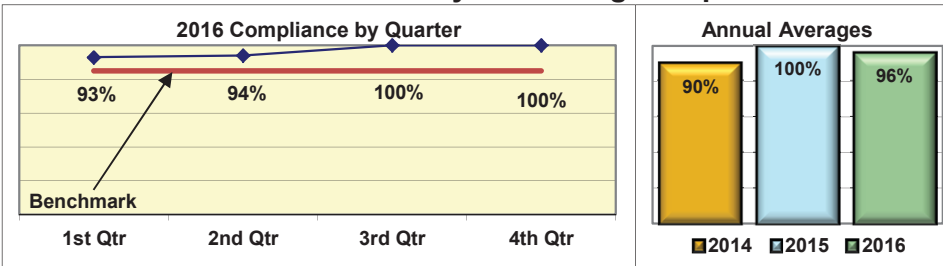
### Lost Time First Report Filing Compliance



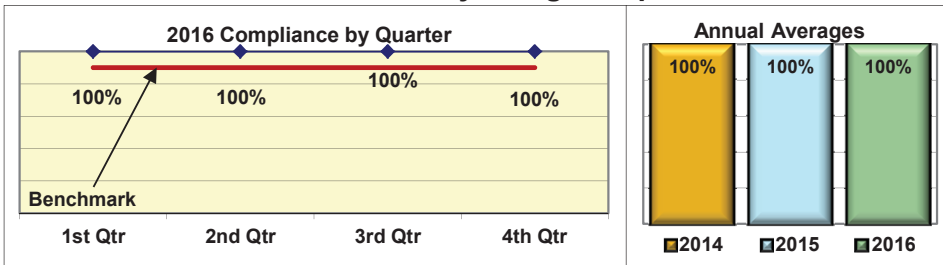
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

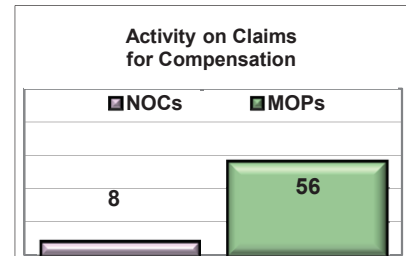
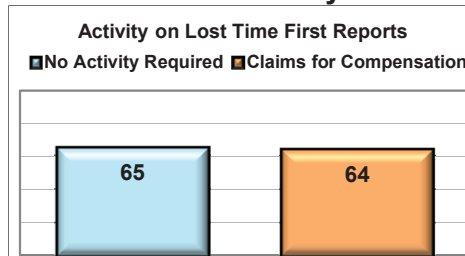
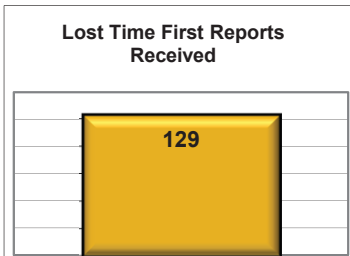


### Summary

Maine Automobile Dealers Association is a trust of self-insured employers that administered claims in 2016 under the following name:

Maine Automobile Dealers Assoc.

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

6%

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

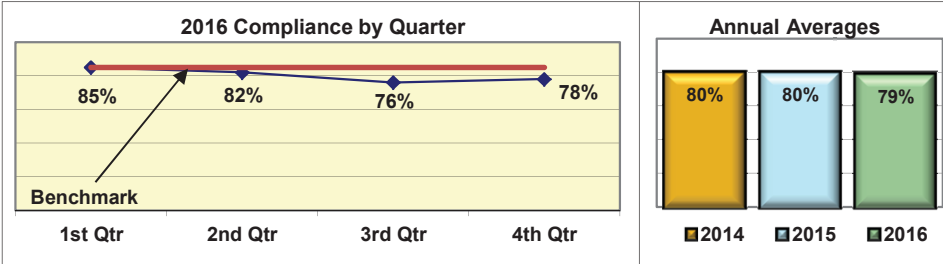
13%



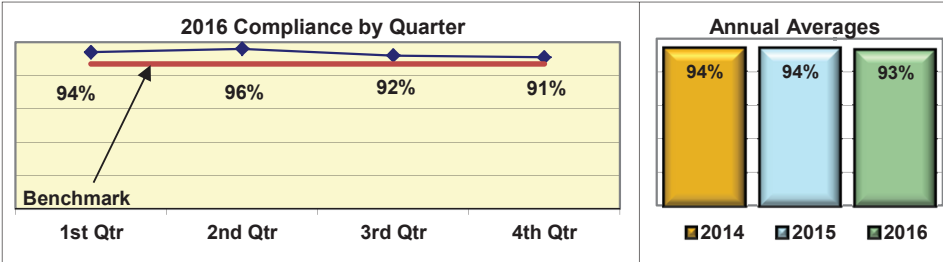
**Annual Compliance Report**  
01/01/2016-12/31/2016

**MAINE EMPLOYERS' MUTUAL INSURANCE**

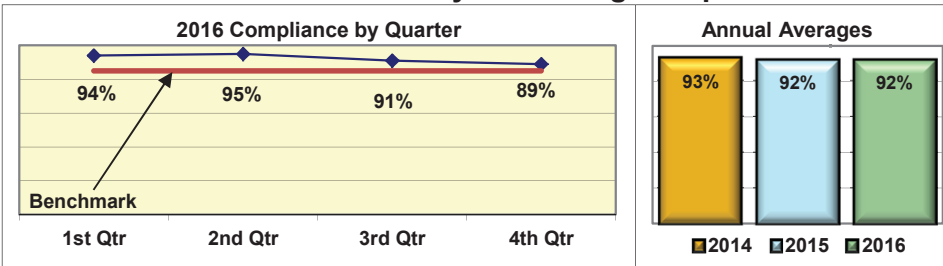
**Lost Time First Report Filing Compliance**



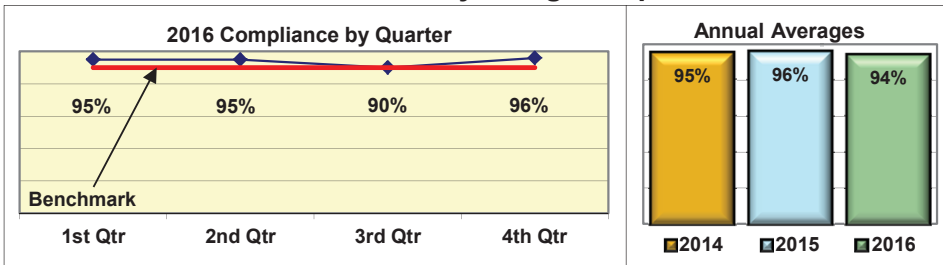
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

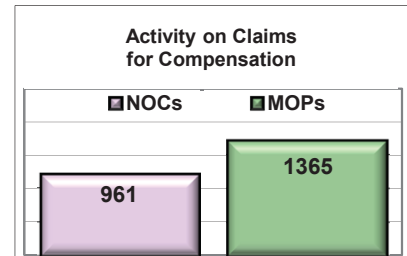
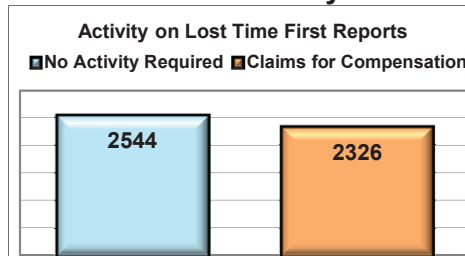
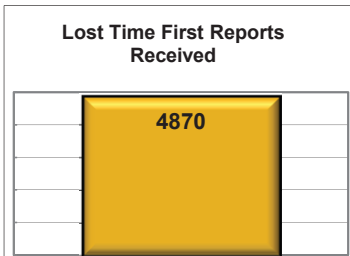


**Summary**

Maine Employers' Mutual Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Maine Employers' Mutual Insurance  
MEMIC Indemnity Company

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**20%**

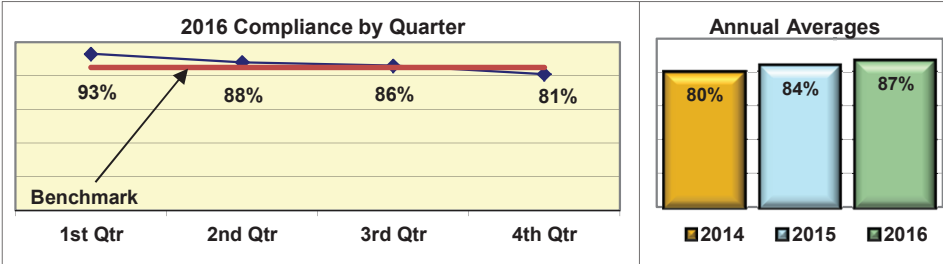
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**41%**

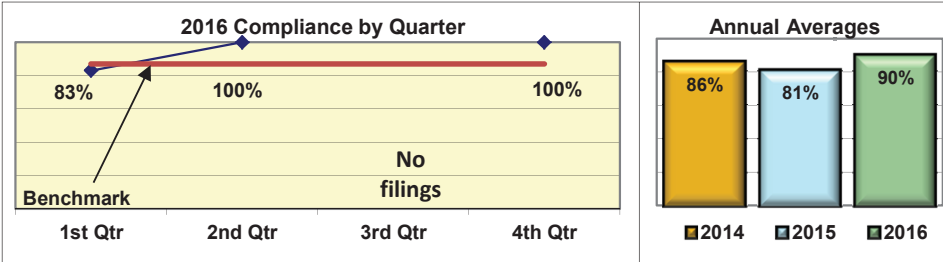
# Annual Compliance Report 01/01/2016-12/31/2016

## MAINE HEALTHCARE ASSOCIATION

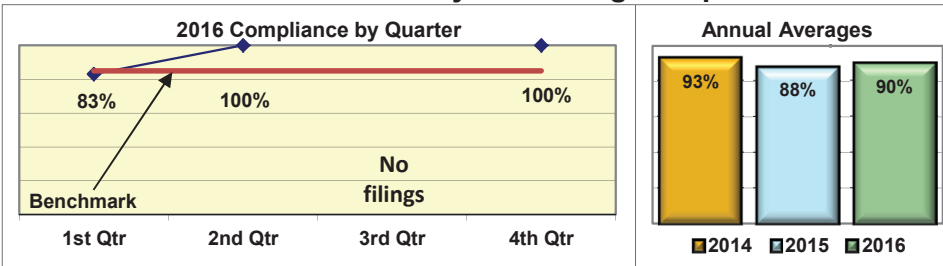
### Lost Time First Report Filing Compliance



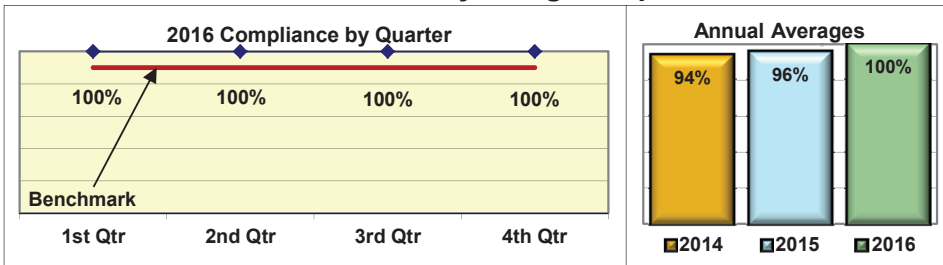
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

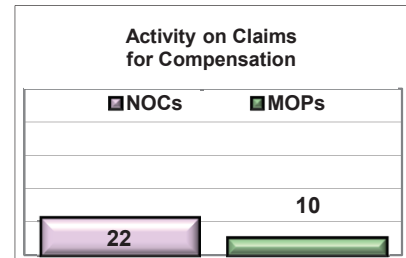
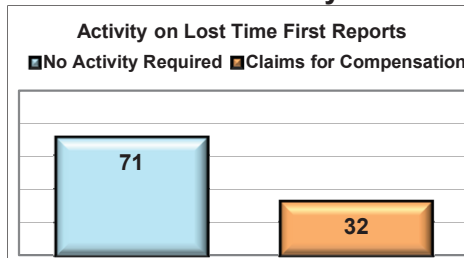
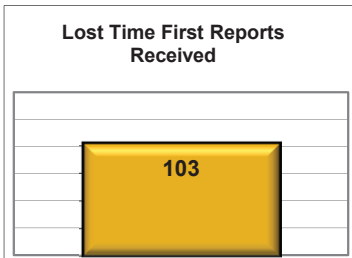


#### Summary

Maine Healthcare Association is a trust of self-insured employers that administered claims in 2015 under the following name:

MHCA Workers' Comp. Fund

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

21%

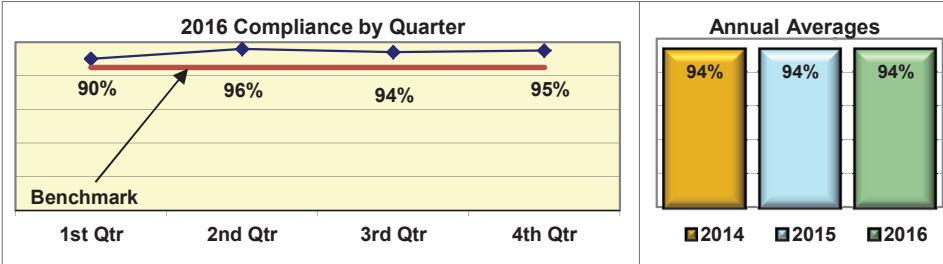
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

69%

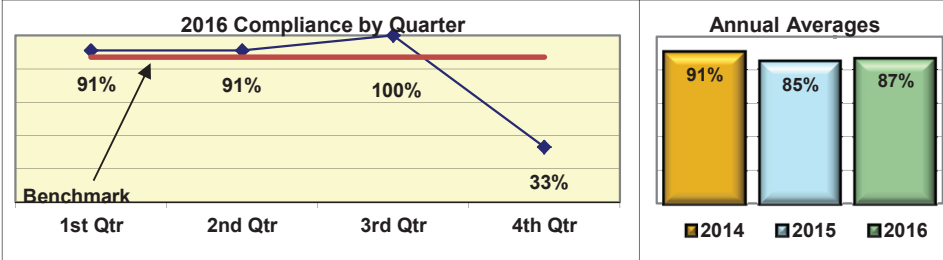
# Annual Compliance Report 01/01/2016-12/31/2016

## MAINE MOTOR TRANSPORT ASSOCIATION

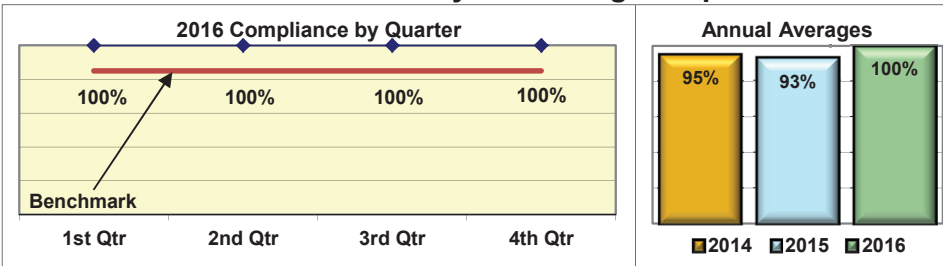
### Lost Time First Report Filing Compliance



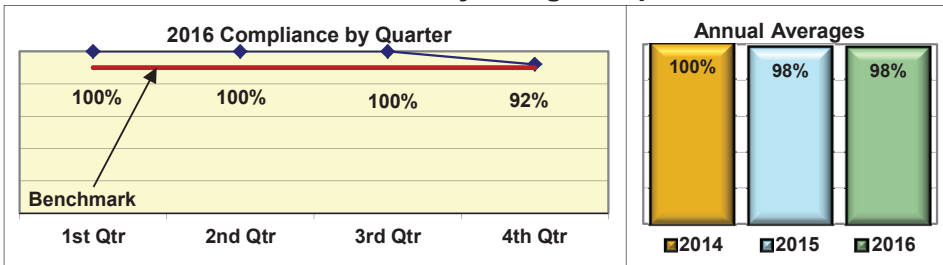
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

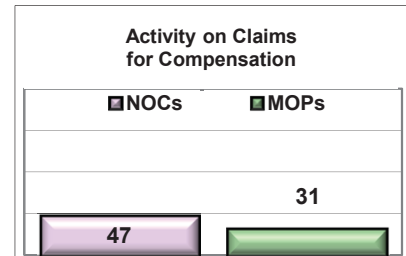
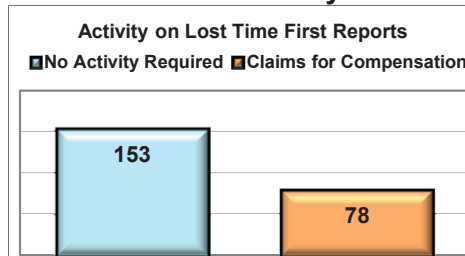
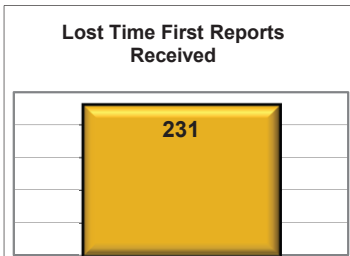


### Summary

Maine Motor Transport Association is a trust of self-insured employers that administered claims in 2016 under the following name:

Maine Motor Transport WC Trust

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

20%

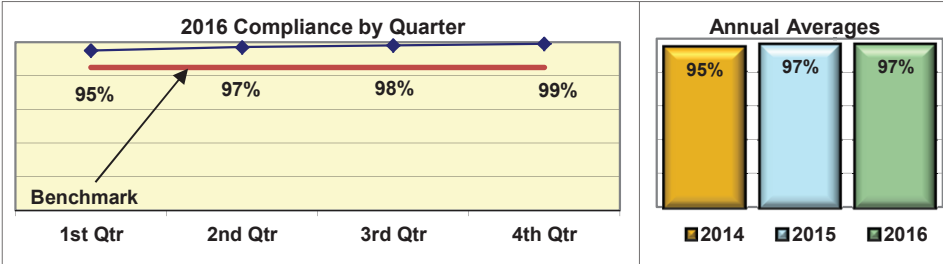
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

60%

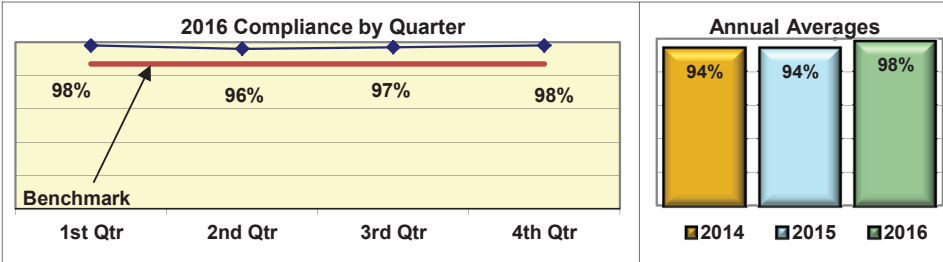
# Annual Compliance Report 01/01/2016-12/31/2016

## MAINE MUNICIPAL ASSOCIATION

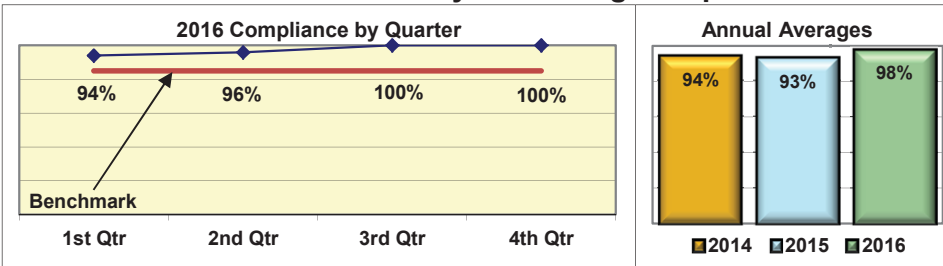
### Lost Time First Report Filing Compliance



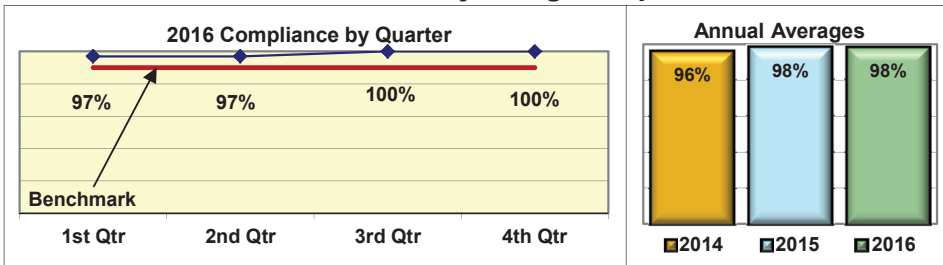
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



### Summary

Maine Municipal Association is a trust of self-insured employers that administered claims in 2016 under the following names:

Bangor, City of  
Maine Municipal Association  
Portland, City of

### Utilization Analysis

#### Lost Time First Reports Received



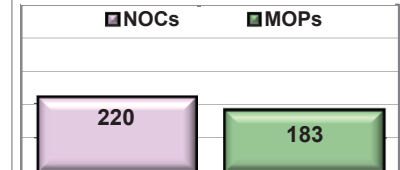
#### Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



#### Activity on Claims for Compensation

■ NOCs ■ MOPs



#### Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

25%

#### Percent of Claims for Compensation Denied

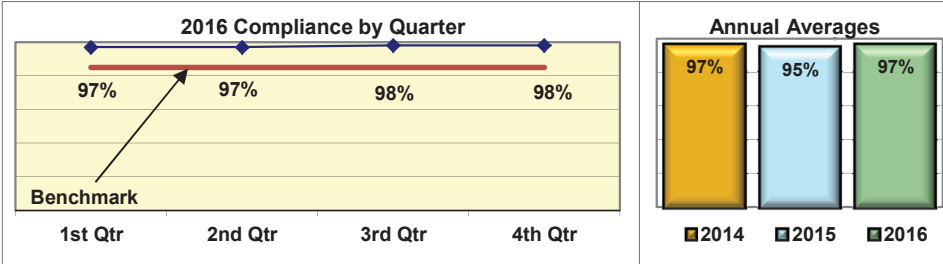
(Initial Indemnity NOCs / Claims for Compensation)

55%

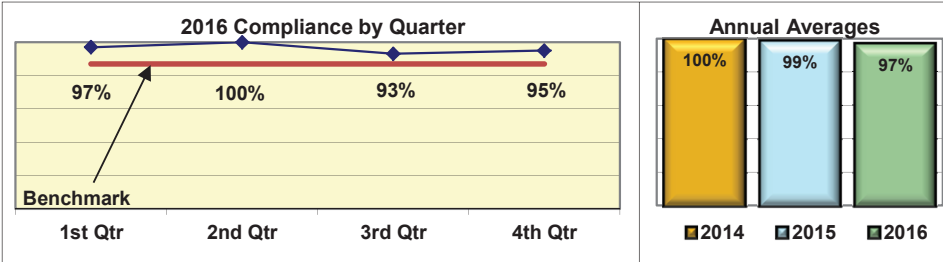
**Annual Compliance Report**  
01/01/2016-12/31/2016

**MAINE SCHOOL MANAGEMENT ASSOCIATION**

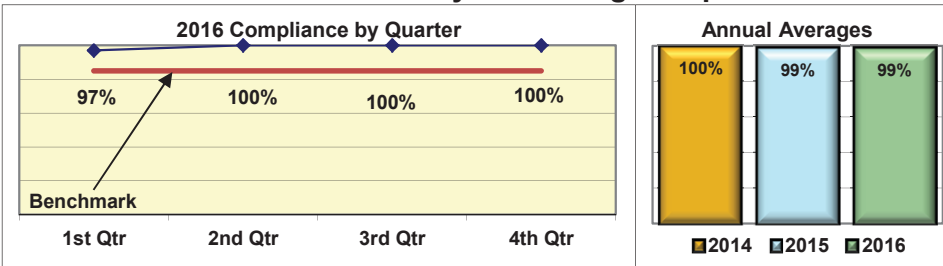
**Lost Time First Report Filing Compliance**



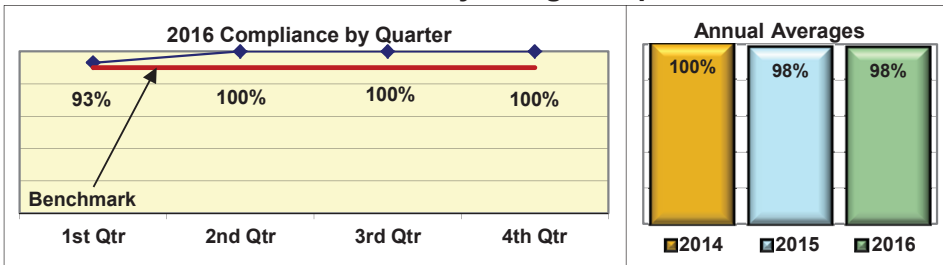
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

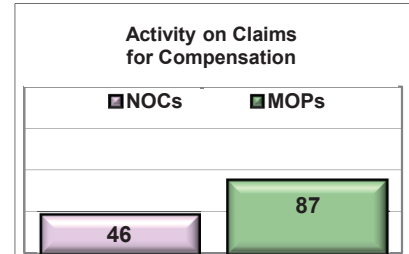
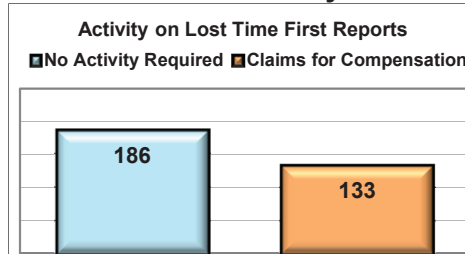
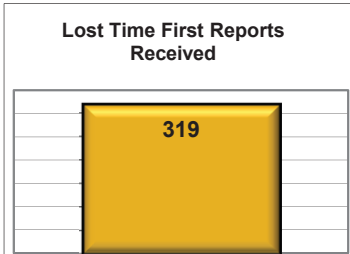


**Summary**

Maine School Management Association is a trust of self-insured employers that administered claims in 2016 under the following name:

Maine School Management Assoc.

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**14%**

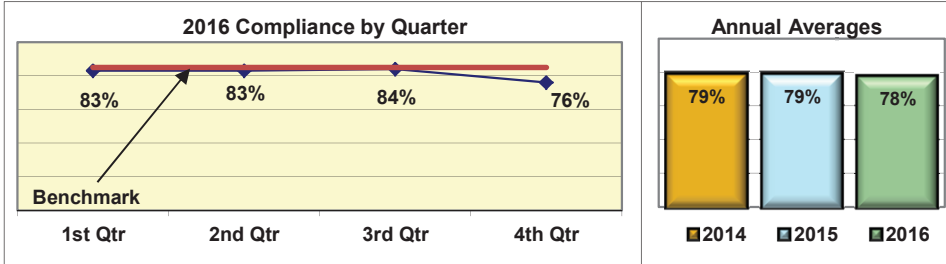
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**35%**

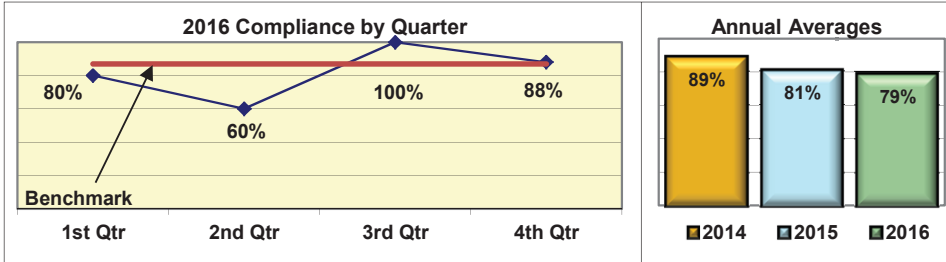
**Annual Compliance Report**  
01/01/2016-12/31/2016

**OLD REPUBLIC INSURANCE**

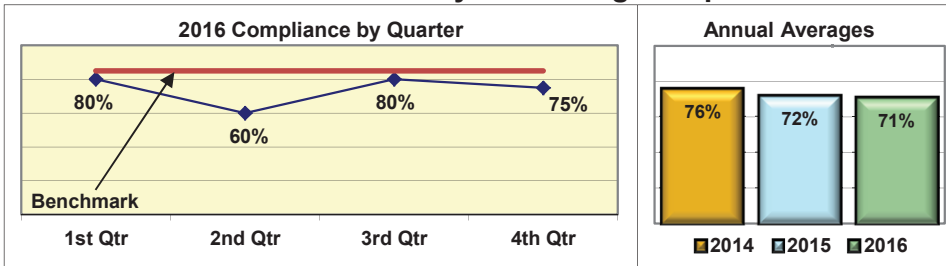
**Lost Time First Report Filing Compliance**



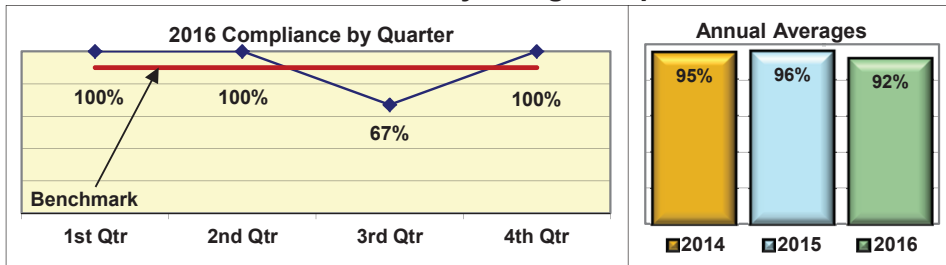
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**



**Summary**

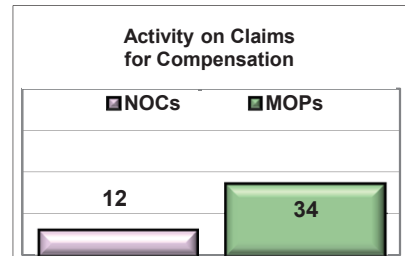
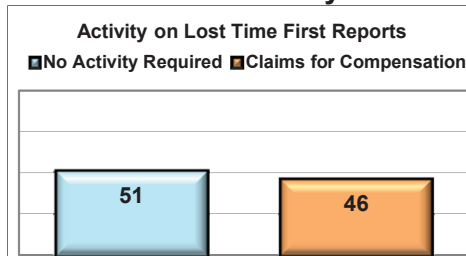
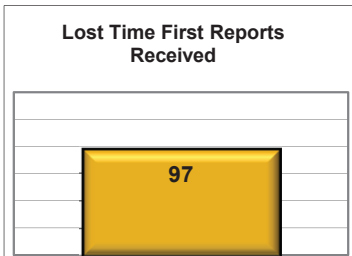
Old Republic Insurance is an insurer that used third parties to administer claims in 2016 under the following rating company:

Old Republic Insurance  
Old Republic General Insurance Corp.

Old Republic Insurance used the following third parties in 2016:

- Broadspire Services
- Cannon Cochran Management Svcs.
- CorVel Enterprise Comp.
- Gallagher Bassett Services
- Helmsman Management Services
- Ryder Services
- Sedgwick Claims Management Svcs.
- Tristar Risk Enterprise Management

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**12%**

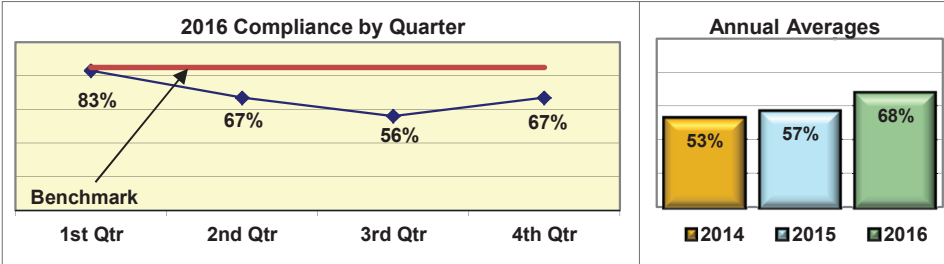
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**26%**

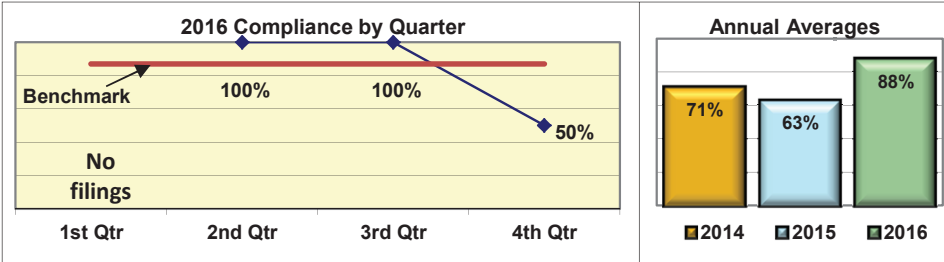
**Annual Compliance Report**  
01/01/2016-12/31/2016

**PENNSYLVANIA MANUFACTURERS' ASSOCIATION**

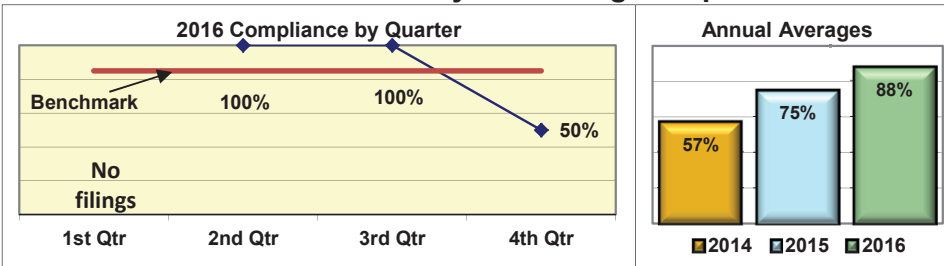
**Lost Time First Report Filing Compliance**



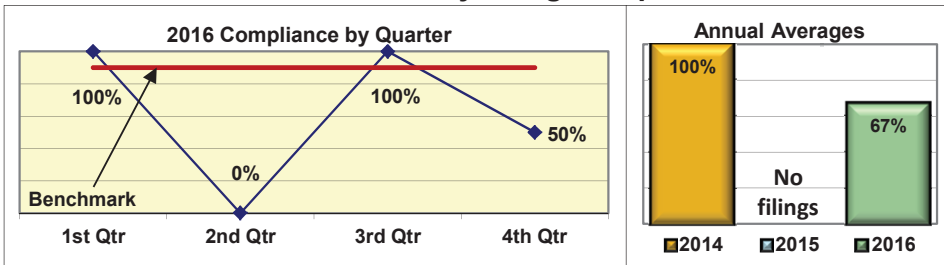
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**



**Summary**

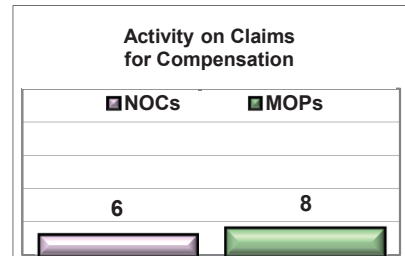
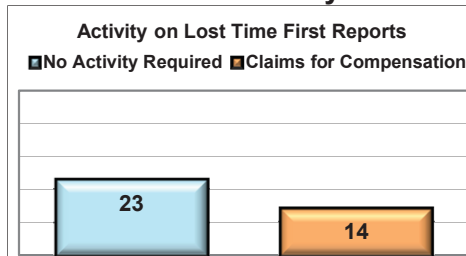
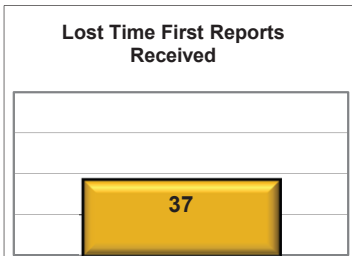
Pennsylvania Manufacturers' Association is an insurer that used third parties to administer claims in 2016 under the following rating companies:

Manufacturers Alliance Insurance  
Pennsylvania Mfg. Assn. Insurance

Pennsylvania Manufacturers' Association used the following third parties in 2016:

Gallagher Bassett Services

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**16%**

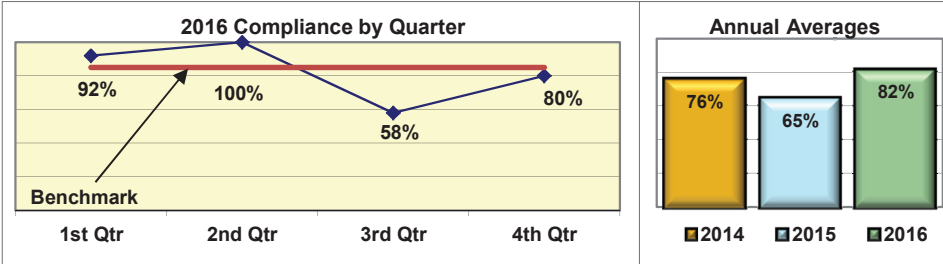
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**43%**

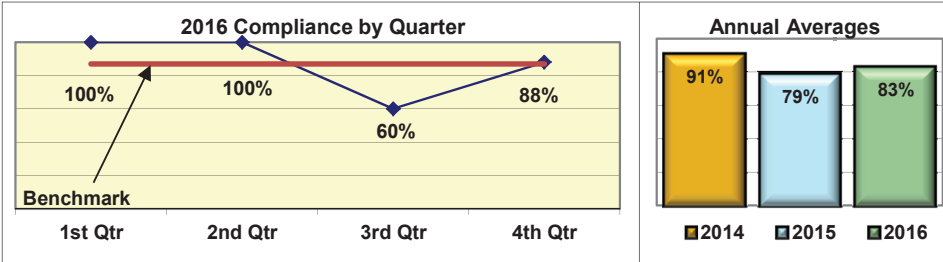
# Annual Compliance Report 01/01/2016-12/31/2016

## QBE INSURANCE

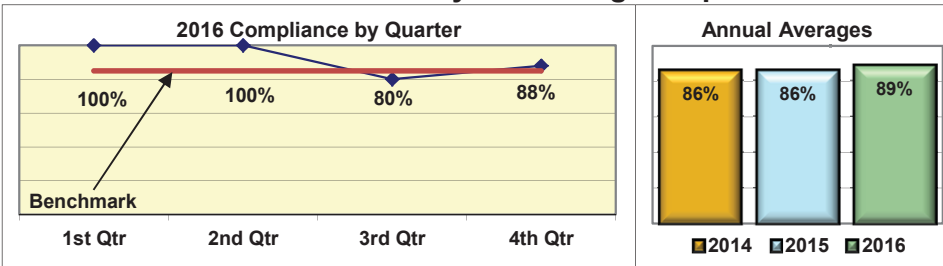
### Lost Time First Report Filing Compliance



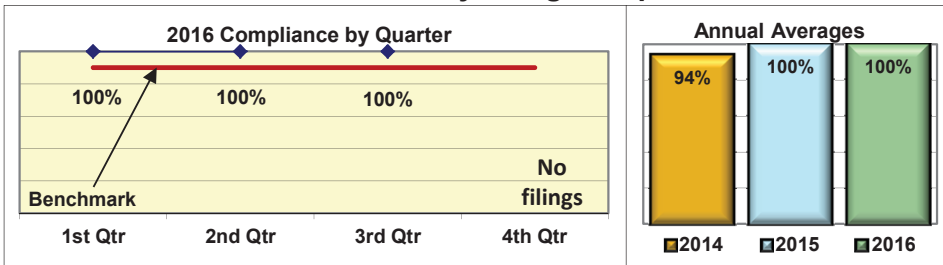
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



### Summary

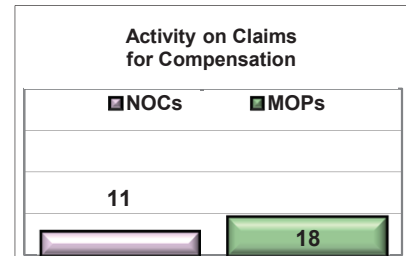
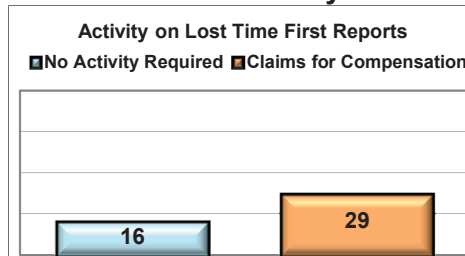
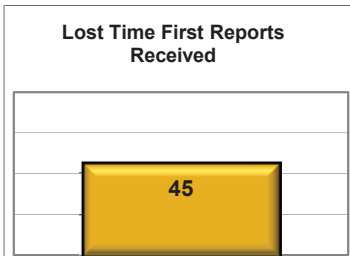
QBE Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

North Pointe  
Praetorian Insurance  
QBE Insurance  
Stonington Insurance

QBE Insurance used the following third parties in 2016:

Gallagher Bassett Services  
Sedgwick Claims Management Svcs.

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

24%

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

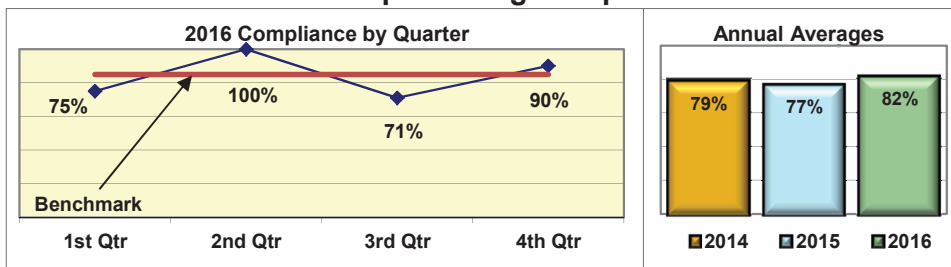
38%



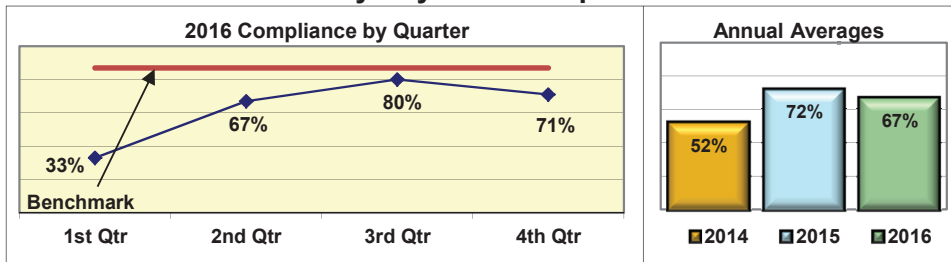
# Annual Compliance Report 01/01/2016-12/31/2016

## SAFETY NATIONAL INSURANCE

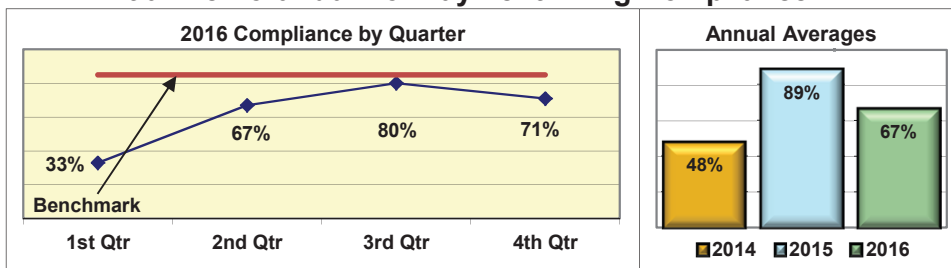
### Lost Time First Report Filing Compliance



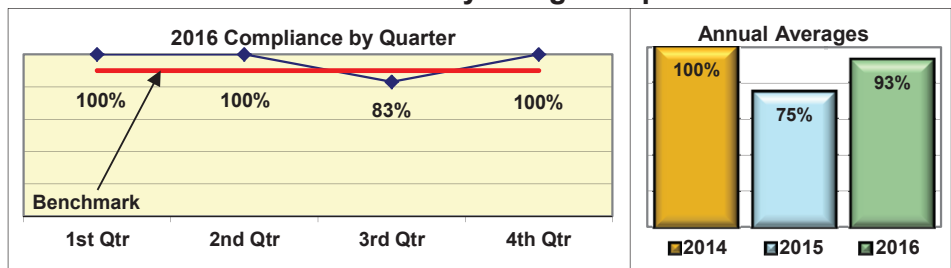
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

Safety National Insurance is an insurer that used third parties to administer claims in 2016 under the following rating company:

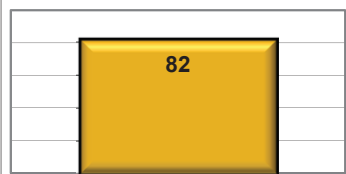
Safety National Casualty Corp.

Safety National Insurance used the following third parties in 2016:

- Broadsire Services
- Cannon Cochran Management Svcs.
- CorVel Enterprise Comp.
- ESIS
- Gallagher Bassett Services
- Helmsman Management Services
- Sedgwick Claims Management Svcs.
- York Risk Services

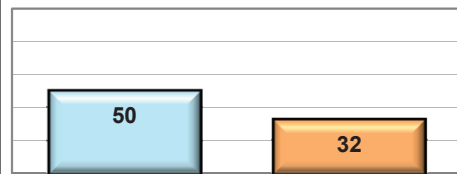
### Utilization Analysis

Lost Time First Reports Received



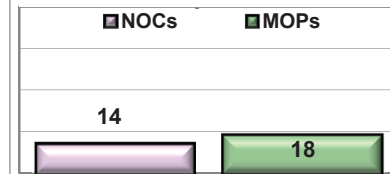
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

17%

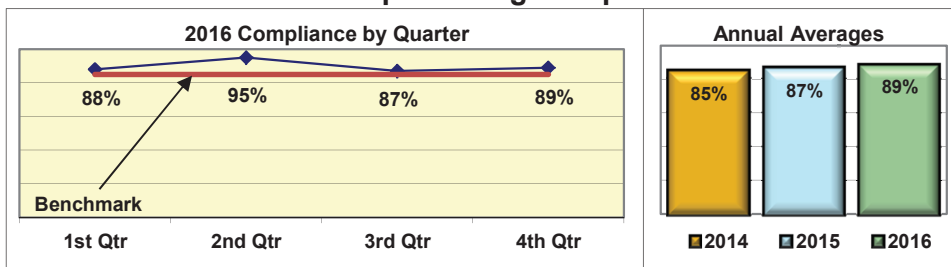
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

44%

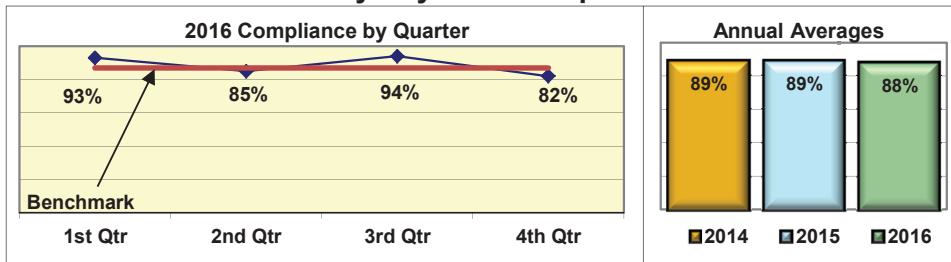
# Annual Compliance Report 01/01/2016-12/31/2016

## SEDGWICK CLAIMS MANAGEMENT SERVICES

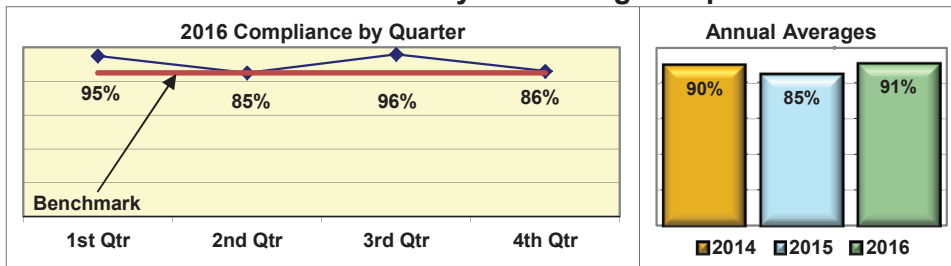
### Lost Time First Report Filing Compliance



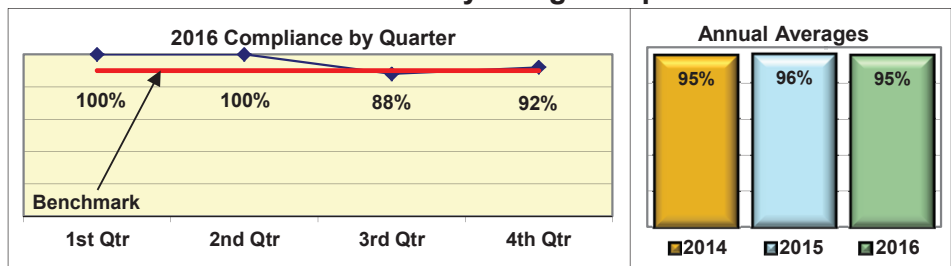
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

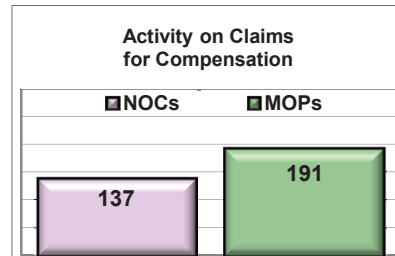
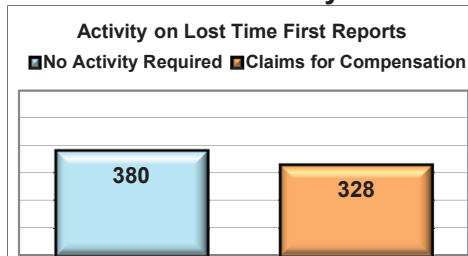
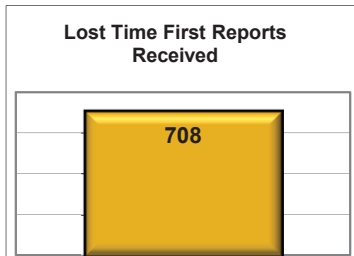
Sedgwick Claims Management Services is a third party administrator that administered claims in 2016 for the following rating companies:

- ACE American Insurance
- American Zurich Insurance
- Arch Insurance
- Electric Insurance
- Indemnity Ins. Co. of No. America
- Ins. Co. of the State of Pennsylvania
- New Hampshire Insurance
- Old Republic Insurance
- Praetorian Insurance
- QBE Insurance
- Safety National Casualty
- Standard Fire Insurance
- Starr Indemnity & Liability
- Stonington Insurance
- Travelers Indemnity Co. of America
- Trumbull Insurance
- XL Insurance
- XL Specialty Insurance
- Zurich American Insurance

and self-insured employers:

- BJME Operating Corp.
- Federal Express Corporation
- FedEx Ground Package
- Great Northern Nekoosa Corp.
- Lowes Home Centers LLC
- Shaw's Supermarkets
- Tambrands Inc.

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

19%

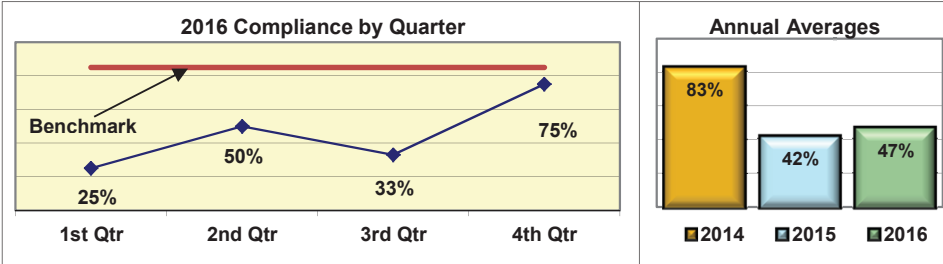
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

42%

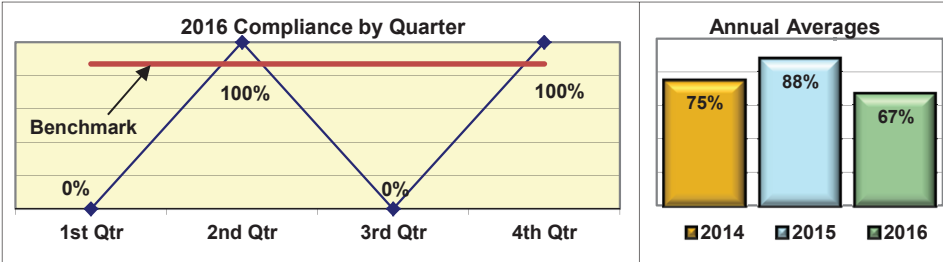
# Annual Compliance Report 01/01/2016-12/31/2016

## SENTRY INSURANCE

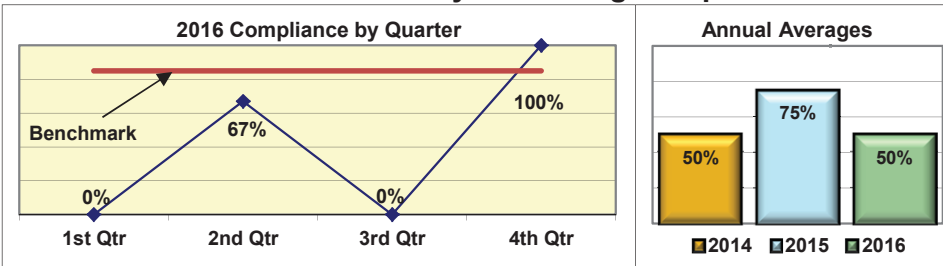
### Lost Time First Report Filing Compliance



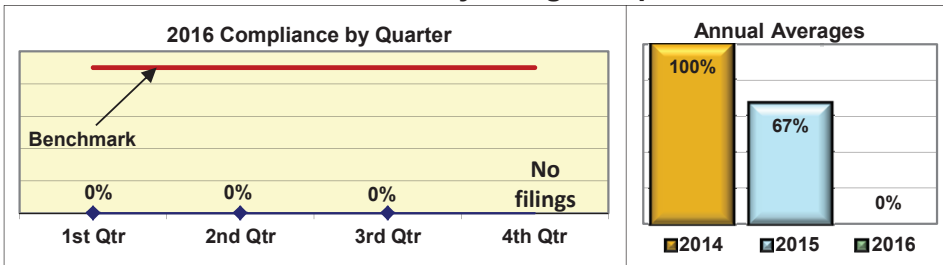
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

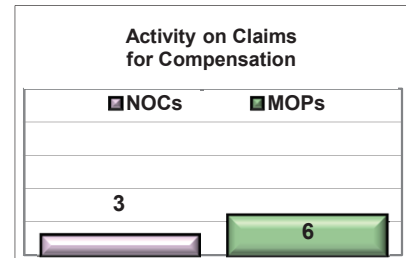
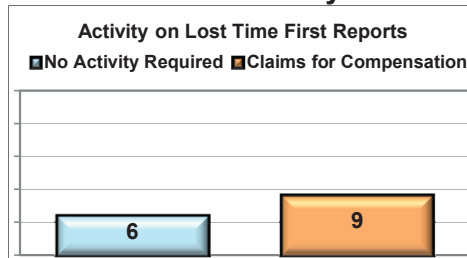
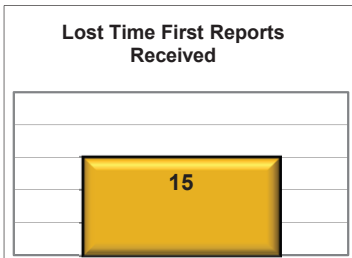


#### Summary

Sentry Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Sentry Insurance  
Sentry Casualty

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

20%

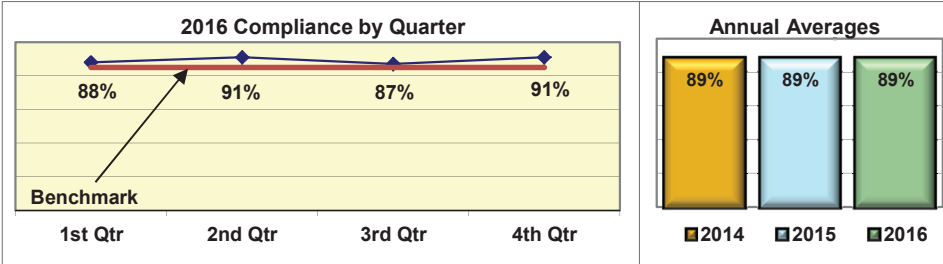
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

33%

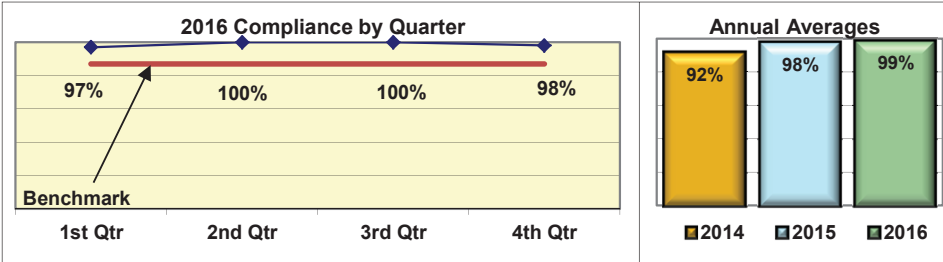
**Annual Compliance Report**  
01/01/2016-12/31/2016

**STATE OF MAINE WORKERS' COMP TRUST**

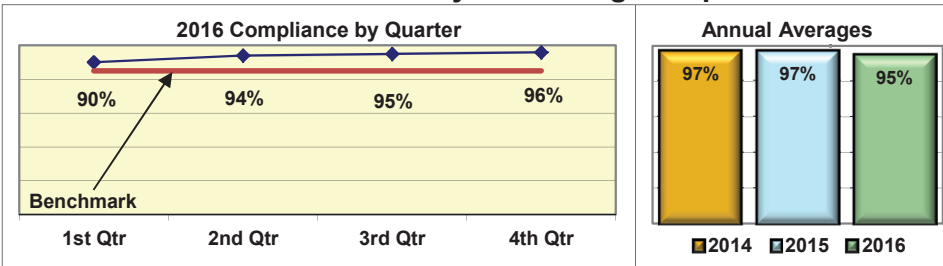
**Lost Time First Report Filing Compliance**



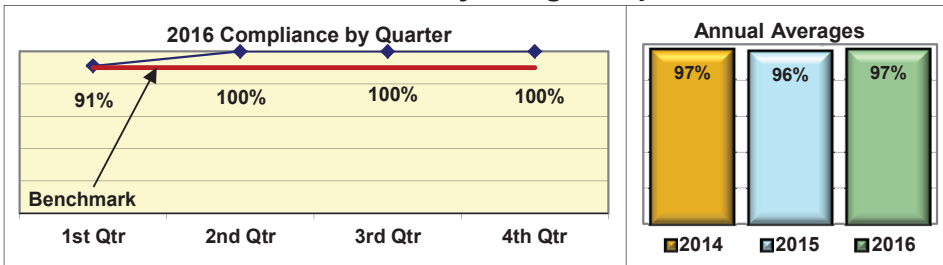
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

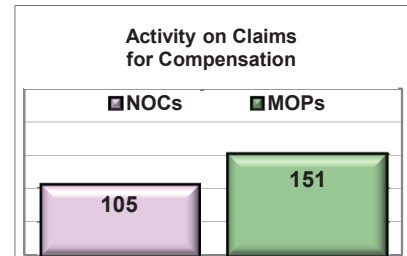
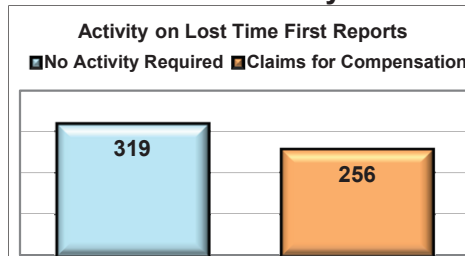
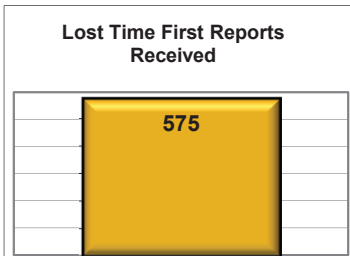


**Summary**

State of Maine Workers' Compensation Trust is a self-insured employer that administered its own claims in 2016 under the following name:

State of Maine Workers' Comp. Div

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**18%**

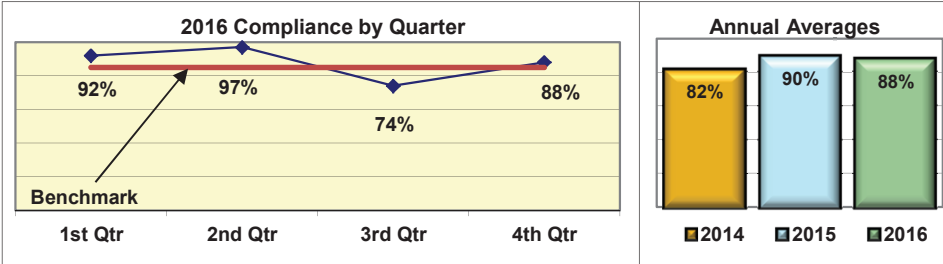
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

**41%**

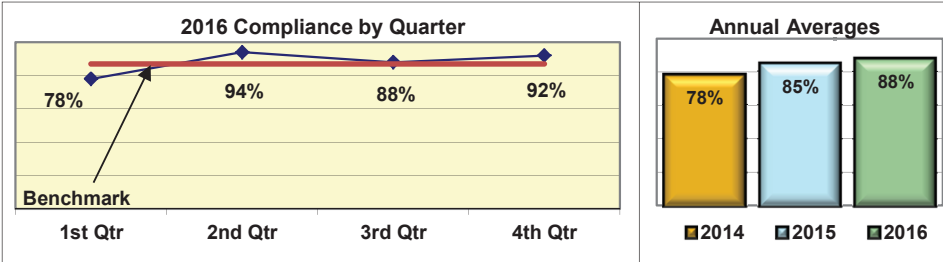
# Annual Compliance Report 01/01/2016-12/31/2016

## SYNERNET

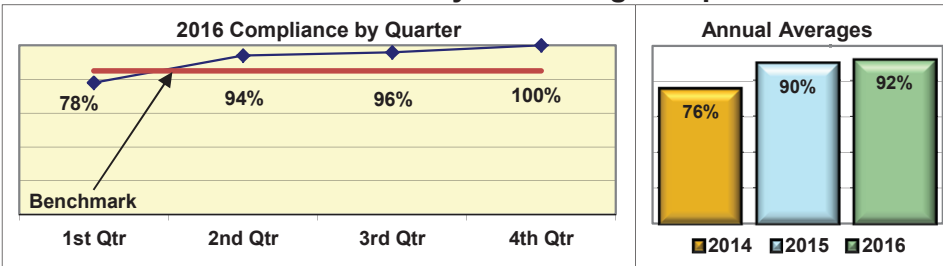
### Lost Time First Report Filing Compliance



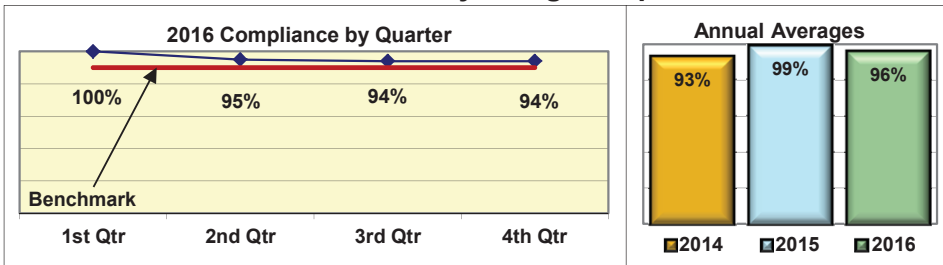
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance

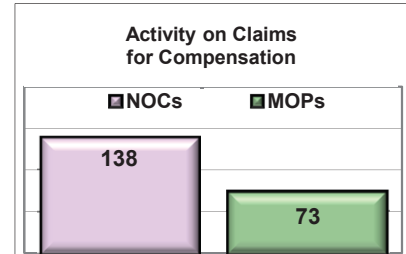
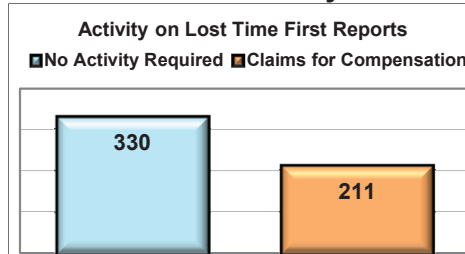
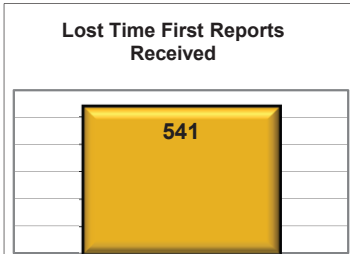


### Summary

Synernet is a third party administrator that administered claims in 2016 for the following self-insured employers:

- MaineHealth Workers' Comp.
- New Page Corporation
- Synernet Workers' Comp Fund

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

26%

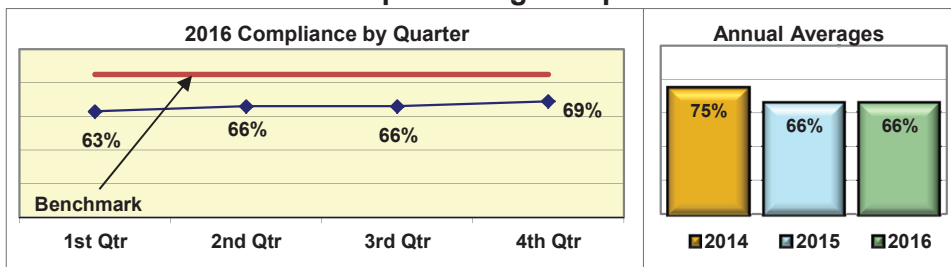
**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

65%

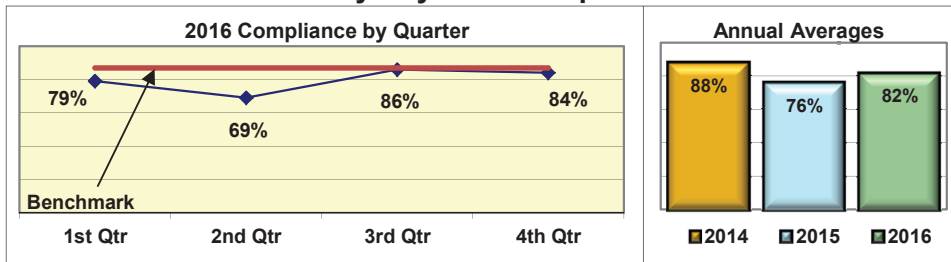
# Annual Compliance Report 01/01/2016-12/31/2016

## TRAVELERS INSURANCE

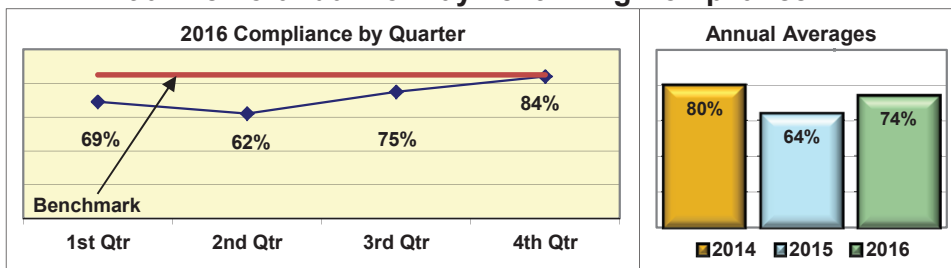
### Lost Time First Report Filing Compliance



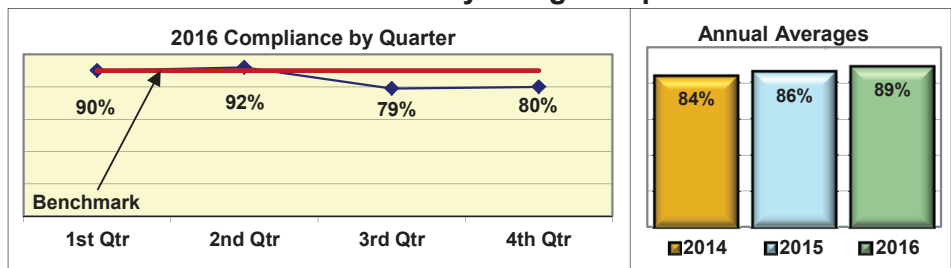
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

Travelers Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

- Charter Oak Fire Insurance
- Farmington Casualty
- Standard Fire Insurance
- The Phoenix Insurance
- Travelers Casualty & Surety
- Travelers Cas. Ins. Co. of America
- Travelers Commercial Casualty
- Travelers Indemnity Co. of America
- Travelers Property Casualty

Travelers Insurance used the following third parties in 2016:

- Broadspire Services
- Cottingham & Butler Claims Svcs.
- Gallagher Bassett Services
- Sedgwick Claims Management Svcs.

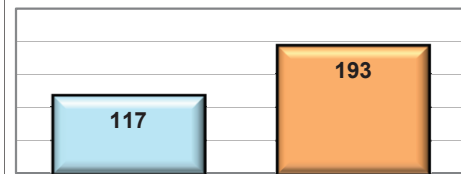
### Utilization Analysis

#### Lost Time First Reports Received



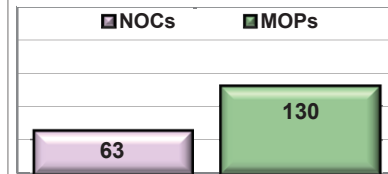
#### Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



#### Activity on Claims for Compensation

■ NOCs ■ MOPs



#### Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

20%

#### Percent of Claims for Compensation Denied

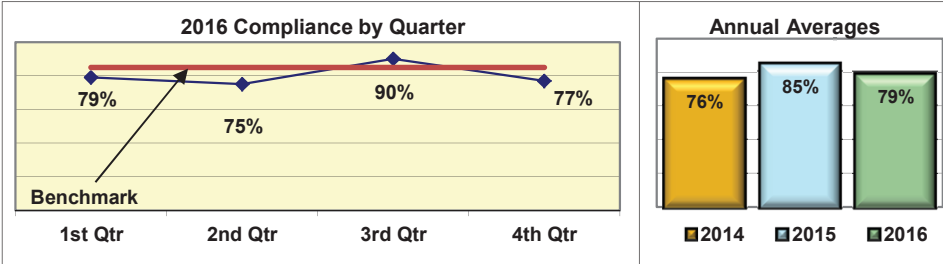
(Initial Indemnity NOCs / Claims for Compensation)

33%

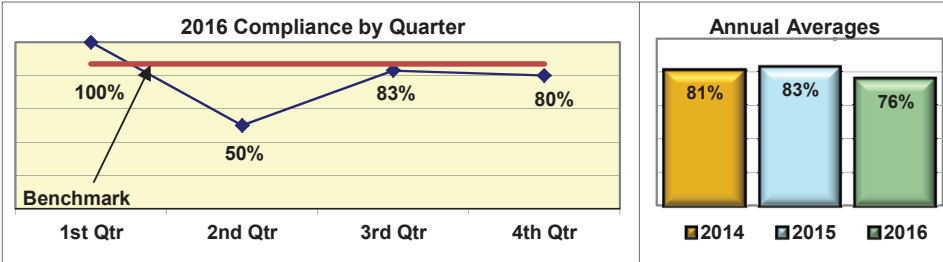
# Annual Compliance Report 01/01/2016-12/31/2016

## XL INSURANCE

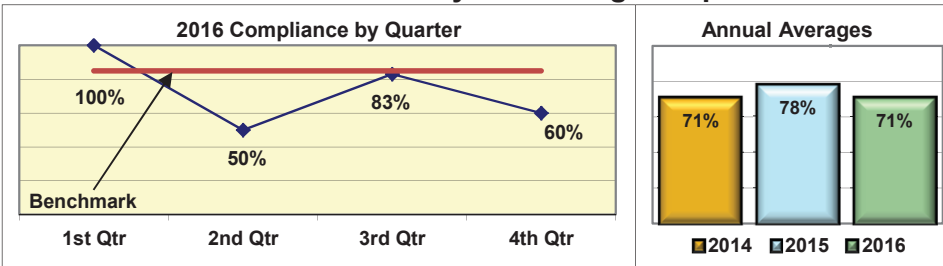
### Lost Time First Report Filing Compliance



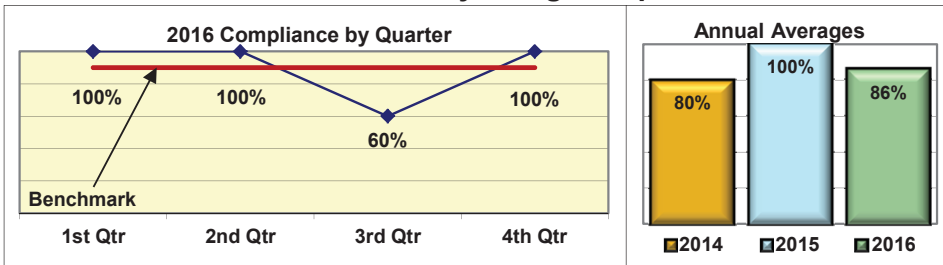
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

XL Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

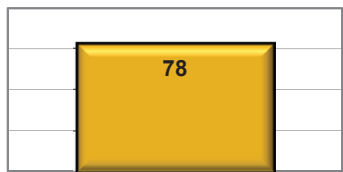
XL Insurance America  
XL Specialty Insurance

XL Insurance used the following third parties in 2016:

Broadsire Services  
CorVel Enterprise Comp.  
Cottingham & Butler Claims Svcs.  
ESIS  
Gallagher Bassett Services  
Sedgwick Claims Management Svcs.

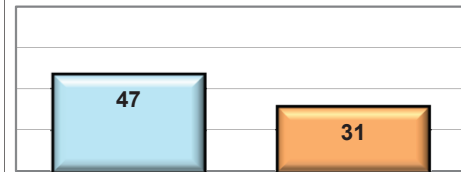
### Utilization Analysis

Lost Time First Reports Received



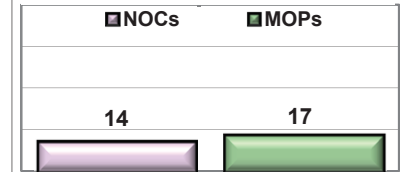
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



#### Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

18%

#### Percent of Claims for Compensation Denied

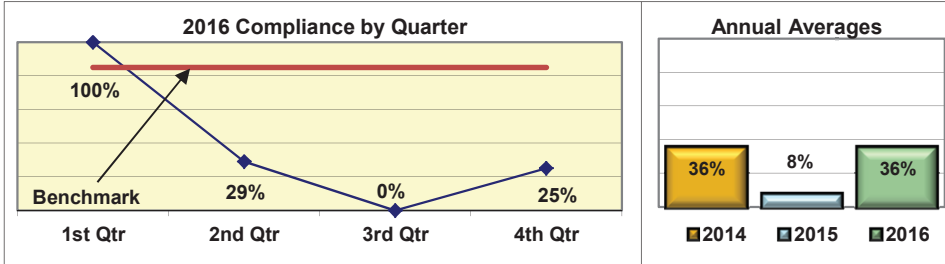
(Initial Indemnity NOCs / Claims for Compensation)

45%

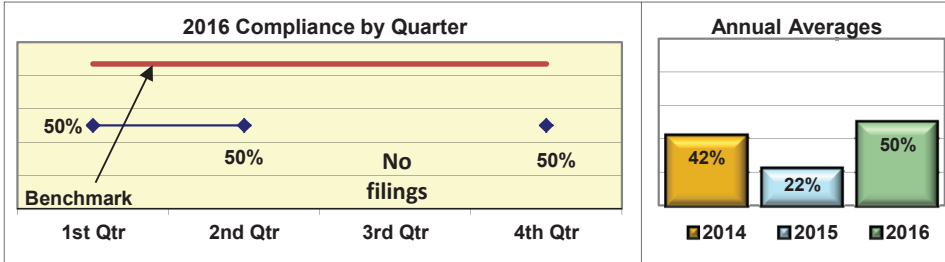
**Annual Compliance Report**  
01/01/2016-12/31/2016

**YORK RISK SERVICES**

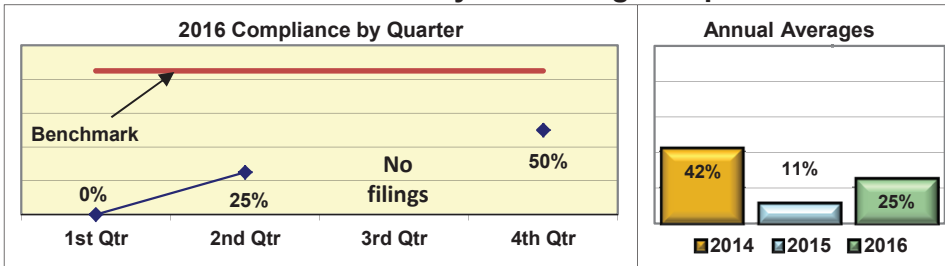
**Lost Time First Report Filing Compliance**



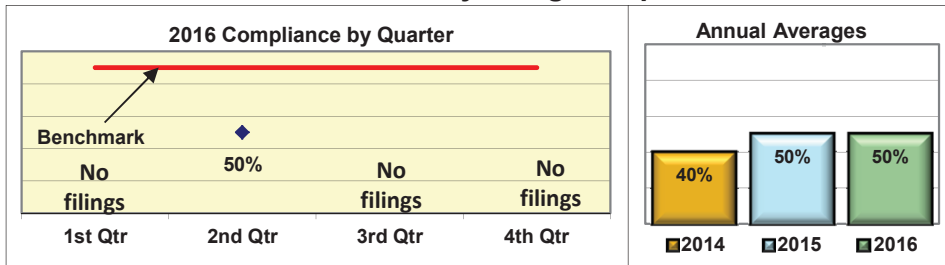
**Initial Indemnity Payment Compliance**



**Initial Memorandum of Payment Filing Compliance**



**Initial Notice of Controversy Filing Compliance**

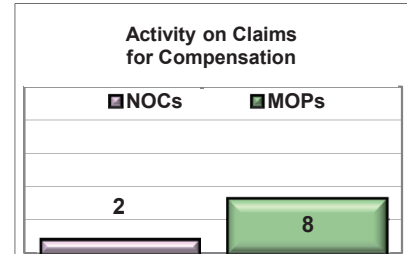
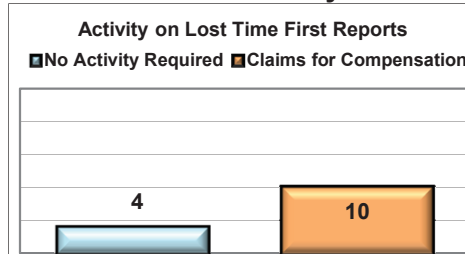
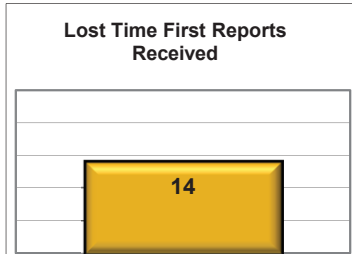


**Summary**

York Risk Services is a third party administrator that administered claims in 2016 for the following rating companies:

- ACE American Insurance
- American Zurich
- Arch Insurance
- Cincinnati Casualty Insurance
- Cincinnati Insurance
- HDI Global Insurance
- Protective Insurance
- Safety National Casualty Corp.
- Tower Insurance
- Twin City Fire Insurance

**Utilization Analysis**



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

**14%**

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

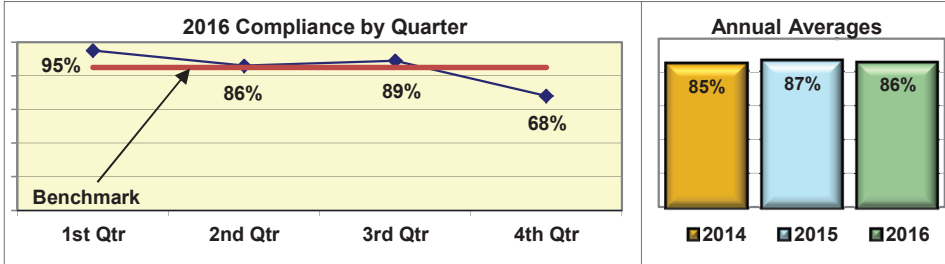
**20%**



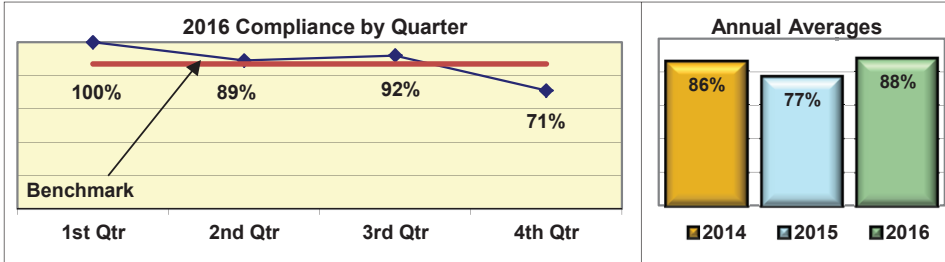
# Annual Compliance Report 01/01/2016-12/31/2016

## ZURICH INSURANCE

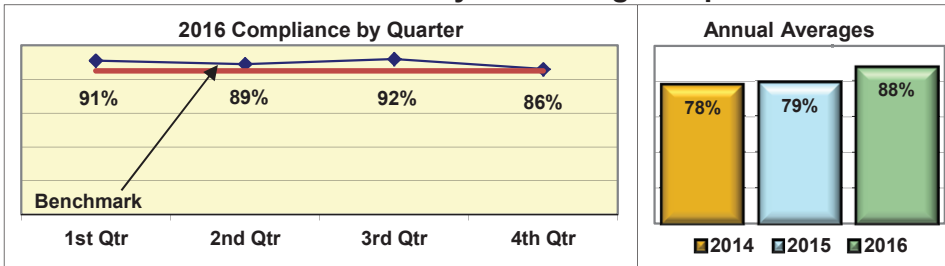
### Lost Time First Report Filing Compliance



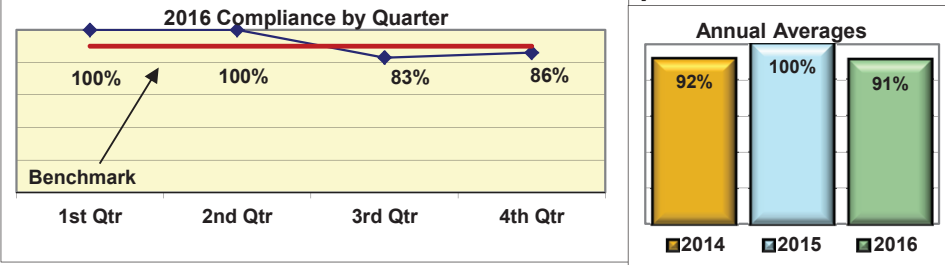
### Initial Indemnity Payment Compliance



### Initial Memorandum of Payment Filing Compliance



### Initial Notice of Controversy Filing Compliance



#### Summary

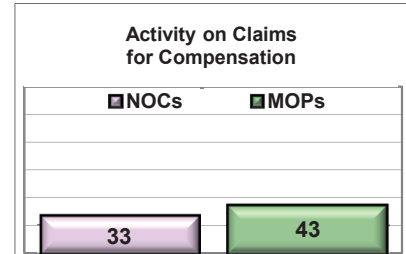
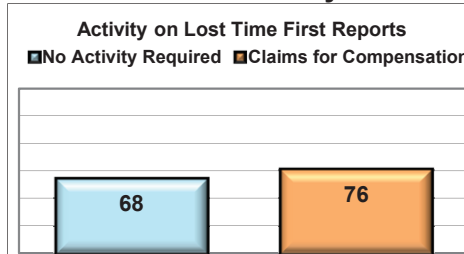
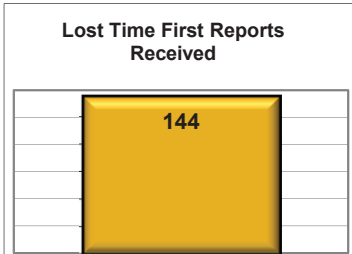
Zurich Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

American Guarantee & Liability  
American Zurich Insurance  
Zurich American Insurance  
Zurich American Ins. of Illinois

Zurich Insurance used the following third parties in 2016:

Broadspire Services  
ESIS  
Gallagher Bassett Services  
Sedgwick Claims Management Svcs.  
York Risk Services

### Utilization Analysis



**Percent of Lost Time First Reports Denied**  
(Initial Indemnity NOCs / Lost Time First Reports)

23%

**Percent of Claims for Compensation Denied**  
(Initial Indemnity NOCs / Claims for Compensation)

43%

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	246	184	75%	66	55	83%
<b>Total</b>	<b>246</b>	<b>184</b>	<b>75%</b> ▼	<b>66</b>	<b>55</b>	<b>83%</b> ▼
<b>ACADIA INSURANCE Group Total</b>	<b>246</b>	<b>184</b>	<b>75%</b> ▼	<b>66</b>	<b>55</b>	<b>83%</b> ▼
<b>ACCIDENT FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	2	67%	1	1	100%
<b>TPA Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ACE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	4	4	100%	3	3	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	56	44	79%	22	18	82%
CA110 CONSTITUTION STATE SERVICES	24	20	83%	6	6	100%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	1	1	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA160 ESIS	64	38	59%	15	14	93%
CA190 GALLAGHER BASSETT SERVICES	90	78	87%	28	20	71%
CA204 HELMSMAN MANAGEMENT SERVICES	19	17	89%	8	8	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	298	274	92%	83	73	88%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>559</b>	<b>476</b>	<b>85%</b> ▲	<b>166</b>	<b>143</b>	<b>86%</b> ▼
<b>ACE INSURANCE Group Total</b>	<b>559</b>	<b>476</b>	<b>85%</b> ▲	<b>166</b>	<b>143</b>	<b>86%</b> ▼
<b>ACUITY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	2	2	100%	1	1	100%
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	349	298	85%	131	117	89%
<b>Total</b>	<b>349</b>	<b>298</b>	<b>85%</b> ▲	<b>131</b>	<b>117</b>	<b>89%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	0	0%	1	0	0%
CA100 CLAIMS MANAGEMENT (WALMART)	174	158	91%	21	21	100%
CA110 CONSTITUTION STATE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	8	5	63%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>191</b>	<b>169</b>	<b>88%</b> ▲	<b>25</b>	<b>23</b>	<b>92%</b> ▲
<b>AIG INSURANCE Group Total</b>	<b>540</b>	<b>467</b>	<b>86%</b> ▲	<b>156</b>	<b>140</b>	<b>90%</b> ▲
<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA342 TECHNOLOGY INSURANCE	29	16	55%	13	8	62%
CA381 WESCO INSURANCE	64	35	55%	24	17	71%
<b>Total</b>	<b>93</b>	<b>51</b>	<b>55%</b> ▼	<b>37</b>	<b>25</b>	<b>68%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>93</b>	<b>51</b>	<b>55%</b> ▼	<b>37</b>	<b>25</b>	<b>68%</b> ▼
<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	8	6	75%	5	4	80%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	12	11	92%	4	3	75%
CA190 GALLAGHER BASSETT SERVICES	32	26	81%	7	6	86%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	44	37	84%	12	10	83%
CA340 YORK RISK SERVICES	4	2	50%	2	2	100%
<b>TPA Total</b>	<b>101</b>	<b>83</b>	<b>82%</b> ▼	<b>30</b>	<b>25</b>	<b>83%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>101</b>	<b>83</b>	<b>82%</b> ▼	<b>30</b>	<b>25</b>	<b>83%</b> ▼
<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	8	3	38%	3	2	67%
<b>TPA Total</b>	<b>8</b>	<b>3</b>	<b>38%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>8</b>	<b>3</b>	<b>38%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	420	396	94%	49	44	90%
<b>Total</b>	<b>420</b>	<b>396</b>	<b>94%</b> ▲	<b>49</b>	<b>44</b>	<b>90%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>420</b>	<b>396</b>	<b>94%</b> ▲	<b>49</b>	<b>44</b>	<b>90%</b> ▲
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	2	1	50%	1	0	0%
CA115 CONTINENTAL INDEMNITY	3	2	67%	3	3	100%
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	59	46	78%	19	17	89%
<b>Total</b>	<b>59</b>	<b>46</b>	<b>78%</b> ▼	<b>19</b>	<b>17</b>	<b>89%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>59</b>	<b>46</b>	<b>78%</b> ▼	<b>19</b>	<b>17</b>	<b>89%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	785	635	81%	239	201	84%
<b>Total</b>	<b>785</b>	<b>635</b>	<b>81%</b> ▼	<b>239</b>	<b>201</b>	<b>84%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>785</b>	<b>635</b>	<b>81%</b> ▼	<b>239</b>	<b>201</b>	<b>84%</b> ▼
<b>CHEROKEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA044 CHEROKEE INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHEROKEE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA046 CHUBB INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160 ESIS	1	0	0%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	66	49	74%	22	20	91%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	0	0%
<b>TPA Total</b>	<b>67</b>	<b>49</b>	<b>73%</b> ▼	<b>24</b>	<b>20</b>	<b>83%</b> ▼
<b>CHUBB INSURANCE Group Total</b>	<b>67</b>	<b>49</b>	<b>73%</b> ▼	<b>24</b>	<b>20</b>	<b>83%</b> ▼
<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	7	1	14%	4	3	75%
<b>Total</b>	<b>7</b>	<b>1</b>	<b>14%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>CHURCH MUTUAL INSURANCE Group Total</b>	<b>7</b>	<b>1</b>	<b>14%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

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Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CIANBRO CORPORATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	6	5	83%	4	1	25%
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>CIANBRO CORPORATION Group Total</b>						
6	5	83% ▼	4	1	25% ▼	
<b>CINCINNATI CASUALTY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CINCINNATI CASUALTY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CINCINNATI CASUALTY TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	2	1	50%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CINCINNATI CASUALTY Group Total</b>						
2	1	50% ▼	1	1	100% ▲	
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	174	158	91%	21	21	100%
<b>Total</b>	<b>174</b>	<b>158</b>	<b>91%</b> ▲	<b>21</b>	<b>21</b>	<b>100%</b> ▲
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>						
174	158	91% ▲	21	21	100% ▲	
<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No Filings	No Filings	No Filings
CA083 CNA CLAIMS PLUS	6	5	83%	5	5	100%
CA050 CONTINENTAL CASUALTY	13	13	100%	5	5	100%
<b>Total</b>	<b>20</b>	<b>19</b>	<b>95%</b> ▲	<b>10</b>	<b>10</b>	<b>100%</b> ▲
<b>CNA INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	7	5	71%	2	2	100%
<b>TPA Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CNA INSURANCE Group Total</b>						
27	24	89% ▲	12	12	100% ▲	
<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	26	22	85%	6	6	100%
<b>Total</b>	<b>26</b>	<b>22</b>	<b>85%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES Group Total</b>						
26	22	85% ▲	6	6	100% ▲	
<b>CORVEL ENTERPRISE COMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	46	35	76%	7	3	43%
<b>Total</b>	<b>46</b>	<b>35</b>	<b>76%</b> ▼	<b>7</b>	<b>3</b>	<b>43%</b> ▼
<b>CORVEL ENTERPRISE COMP Group Total</b>						
46	35	76% ▼	7	3	43% ▼	

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Annual  
 1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	17	14	82%	5	4	80%
<b>Total</b>	<b>17</b>	<b>14</b>	<b>82%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>17</b>	<b>14</b>	<b>82%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	1192	1136	95%	153	147	96%
<b>Total</b>	<b>1192</b>	<b>1136</b>	<b>95%</b> ▲	<b>153</b>	<b>147</b>	<b>96%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>1192</b>	<b>1136</b>	<b>95%</b> ▲	<b>153</b>	<b>147</b>	<b>96%</b> ▲
<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	23	21	91%	8	7	88%
<b>TPA Total</b>	<b>23</b>	<b>21</b>	<b>91%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>23</b>	<b>21</b>	<b>91%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160 ESIS	105	62	59%	27	25	93%
<b>Total</b>	<b>105</b>	<b>62</b>	<b>59%</b> ▼	<b>27</b>	<b>25</b>	<b>93%</b> ▲
<b>ESIS Group Total</b>	<b>105</b>	<b>62</b>	<b>59%</b> ▼	<b>27</b>	<b>25</b>	<b>93%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	16	7	44%	9	6	67%
CA092 FEDERATED SERVICE INSURANCE	3	0	0%	1	1	100%
<b>Total</b>	<b>19</b>	<b>7</b>	<b>37%</b> ▼	<b>10</b>	<b>7</b>	<b>70%</b> ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>19</b>	<b>7</b>	<b>37%</b> ▼	<b>10</b>	<b>7</b>	<b>70%</b> ▼
<b>FIREMAN'S FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170 FIREMAN'S FUND INSURANCE	2	1	50%	3	1	33%
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>FIREMAN'S FUND INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼

Maine Workers' Compensation Board  
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 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Annual  
 1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>FRANKENMUTH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA095 FRANKENMUTH INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA274 PATRIOT INSURANCE	5	1	20%	3	2	67%
<b>Total</b>	<b>6</b>	<b>1</b>	<b>17%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>6</b>	<b>1</b>	<b>17%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175 FUTURECOMP	227	198	87%	67	61	91%
<b>Total</b>	<b>227</b>	<b>198</b>	<b>87%</b> ▲	<b>67</b>	<b>61</b>	<b>91%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>227</b>	<b>198</b>	<b>87%</b> ▲	<b>67</b>	<b>61</b>	<b>91%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	375	290	77%	119	95	80%
<b>Total</b>	<b>375</b>	<b>290</b>	<b>77%</b> ▼	<b>119</b>	<b>95</b>	<b>80%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>375</b>	<b>290</b>	<b>77%</b> ▼	<b>119</b>	<b>95</b>	<b>80%</b> ▼
<b>GREAT AMERICAN INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA193 GREAT AMERICAN INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT AMERICAN INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT DIVIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA189 GREAT DIVIDE INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>GREAT DIVIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	305	220	72%	108	93	86%
<b>TPA Total</b>	<b>305</b>	<b>220</b>	<b>72%</b> ▼	<b>108</b>	<b>93</b>	<b>86%</b> ▼
<b>GREAT FALLS INSURANCE Group Total</b>	<b>305</b>	<b>220</b>	<b>72%</b> ▼	<b>108</b>	<b>93</b>	<b>86%</b> ▼
<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196 GREAT WEST INSURANCE	7	1	14%	7	4	57%
<b>Total</b>	<b>7</b>	<b>1</b>	<b>14%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>GREAT WEST INSURANCE Group Total</b>	<b>7</b>	<b>1</b>	<b>14%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
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Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>GUARANTEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA195 GUARANTEE INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292 PATRIOT RISK SERVICES, INC	2	1	50%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>GUARANTEE INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	44	18	41%	13	12	92%
CA140 EASTGUARD INSURANCE	15	7	47%	3	3	100%
CA272 NORGUARD INSURANCE	9	6	67%	2	1	50%
<b>Total</b>	<b>68</b>	<b>31</b>	<b>46%</b> ▼	<b>18</b>	<b>16</b>	<b>89%</b> ▲
<b>GUARD INSURANCE Group Total</b>	<b>68</b>	<b>31</b>	<b>46%</b> ▼	<b>18</b>	<b>16</b>	<b>89%</b> ▲
<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	311	209	67%	119	89	75%
<b>Total</b>	<b>311</b>	<b>209</b>	<b>67%</b> ▼	<b>119</b>	<b>89</b>	<b>75%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>311</b>	<b>209</b>	<b>67%</b> ▼	<b>119</b>	<b>89</b>	<b>75%</b> ▼
<b>HANNOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	3	1	33%	2	1	50%
<b>TPA Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>HANNOVER INSURANCE Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>HANOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	11	6	55%	6	4	67%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	18	14	78%	6	5	83%
CA429 HANOVER AMERICAN INSURANCE	4	2	50%	1	1	100%
CA202 HANOVER INSURANCE	11	6	55%	3	2	67%
CA228 MASSACHUSETTS BAY INSURANCE	4	2	50%	3	2	67%
<b>Total</b>	<b>48</b>	<b>30</b>	<b>63%</b> ▼	<b>19</b>	<b>14</b>	<b>74%</b> ▼
<b>HANOVER INSURANCE Group Total</b>	<b>48</b>	<b>30</b>	<b>63%</b> ▼	<b>19</b>	<b>14</b>	<b>74%</b> ▼



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<b>HARTFORD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	20	17	85%	4	4	100%
CA185 HARTFORD CASUALTY INSURANCE	10	8	80%	4	3	75%
CA203 HARTFORD FIRE INSURANCE	7	3	43%	2	1	50%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	9	7	78%	1	0	0%
CA187 HARTFORD UNDERWRITERS INSURANCE	29	17	59%	11	7	64%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	3	2	67%	1	1	100%
CA296 SENTINEL INSURANCE	6	5	83%	2	2	100%
CA319 TRUMBULL INSURANCE	117	101	86%	45	37	82%
CA321 TWIN CITY FIRE INSURANCE	19	15	79%	7	4	57%
<b>Total</b>	<b>220</b>	<b>175</b>	<b>80%</b> ▼	<b>77</b>	<b>59</b>	<b>77%</b> ▼
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	7	5	71%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	19	18	95%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	17	13	76%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	7	6	86%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	25	24	96%	6	6	100%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>76</b>	<b>66</b>	<b>87%</b> ▲	<b>11</b>	<b>10</b>	<b>91%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>296</b>	<b>241</b>	<b>81%</b> ▼	<b>88</b>	<b>69</b>	<b>78%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	51	38	75%	21	18	86%
<b>Total</b>	<b>51</b>	<b>38</b>	<b>75%</b> ▼	<b>21</b>	<b>18</b>	<b>86%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>51</b>	<b>38</b>	<b>75%</b> ▼	<b>21</b>	<b>18</b>	<b>86%</b> ▼
<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA380 EMPLOYERS INSURANCE OF WAUSAU	12	10	83%	7	6	86%
CA162 EXCELSIOR INSURANCE	3	0	0%	No Filings	No Filings	No Filings
CA210 LIBERTY MUTUAL INSURANCE	225	165	73%	70	54	77%
CA406 OHIO CASUALTY INSURANCE	1	1	100%	1	1	100%
CA407 OHIO SECURITY INSURANCE	9	8	89%	2	2	100%
CA283 PEERLESS INDEMNITY INSURANCE	4	4	100%	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	63	42	67%	30	25	83%
CA309 THE NETHERLANDS INSURANCE	9	4	44%	5	3	60%
CA408 WEST AMERICAN INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>328</b>	<b>234</b>	<b>71%</b> ▼	<b>115</b>	<b>91</b>	<b>79%</b> ▼
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>328</b>	<b>234</b>	<b>71%</b> ▼	<b>115</b>	<b>91</b>	<b>79%</b> ▼

Maine Workers' Compensation Board  
Lost Time FROI Filing Benchmark: 85%  
Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

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Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MACY'S CORPORATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213 MACY'S CORPORATE SERVICES	4	4	100%	3	3	100%
<b>Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>MACY'S CORPORATE SERVICES Group Total</b>						
4	4	100%	▲	3	3	100%
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	129	122	95%	56	50	89%
<b>Total</b>	<b>129</b>	<b>122</b>	<b>95%</b> ▲	<b>56</b>	<b>50</b>	<b>89%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>						
129	122	95%	▲	56	50	89%
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	4870	3844	79%	1365	1271	93%
<b>Total</b>	<b>4870</b>	<b>3844</b>	<b>79%</b> ▼	<b>1365</b>	<b>1271</b>	<b>93%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>						
4870	3844	79%	▼	1365	1271	93%
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	103	90	87%	10	9	90%
<b>Total</b>	<b>103</b>	<b>90</b>	<b>87%</b> ▲	<b>10</b>	<b>9</b>	<b>90%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>						
103	90	87%	▲	10	9	90%
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	231	216	94%	31	27	87%
<b>Total</b>	<b>231</b>	<b>216</b>	<b>94%</b> ▲	<b>31</b>	<b>27</b>	<b>87%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>						
231	216	94%	▲	31	27	87%
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	888	861	97%	183	180	98%
<b>Total</b>	<b>888</b>	<b>861</b>	<b>97%</b> ▲	<b>183</b>	<b>180</b>	<b>98%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>						
888	861	97%	▲	183	180	98%
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	319	310	97%	87	84	97%
<b>Total</b>	<b>319</b>	<b>310</b>	<b>97%</b> ▲	<b>87</b>	<b>84</b>	<b>97%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>						
319	310	97%	▲	87	84	97%
<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	8	8	100%	5	5	100%
<b>Total</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>MEADOWBROOK INSURANCE Group Total</b>						
8	8	100%	▲	5	5	100%

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▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

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<b>MITSUI SUMITOMO INS CO OF AMERICA</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
MITSUI SUMITOMO INS CO OF AMERICA	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	0	0%
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>MITSUI SUMITOMO INS CO OF AMERICA Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>NATIONAL INTERSTATE INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267 NATIONAL INTERSTATE INSURANCE COMPANY	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NATIONAL INTERSTATE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NATIONWIDE INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	6	2	33%	2	0	0%
<b>Total</b>	<b>6</b>	<b>2</b>	<b>33%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>NATIONWIDE INSURANCE Group Total</b>	<b>6</b>	<b>2</b>	<b>33%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>NGM INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265 NGM INSURANCE	5	3	60%	2	1	50%
<b>Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>NGM INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>NORTH RIVER INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	8	5	63%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	36	27	75%	11	7	64%
CA116 CORVEL ENTERPRISE COMP	3	2	67%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	15	11	73%	9	6	67%
CA204 HELMSMAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA295 RYDER SERVICES	5	4	80%	3	3	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	34	29	85%	8	8	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>97</b>	<b>76</b>	<b>78%</b> ▼	<b>34</b>	<b>27</b>	<b>79%</b> ▼
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>97</b>	<b>76</b>	<b>78%</b> ▼	<b>34</b>	<b>27</b>	<b>79%</b> ▼
<b>PENNSYLVANIA MFG ASSN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	37	25	68%	8	7	88%
<b>TPA Total</b>	<b>37</b>	<b>25</b>	<b>68%</b> ▼	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>37</b>	<b>25</b>	<b>68%</b> ▼	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PROTECTIVE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PUBLIC SERVICE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA282 PUBLIC SERVICE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PUBLIC SERVICE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	43	36	84%	17	14	82%
<b>TPA Total</b>	<b>45</b>	<b>37</b>	<b>82%</b> ▼	<b>18</b>	<b>15</b>	<b>83%</b> ▼
<b>QBE INSURANCE GROUP Group Total</b>	<b>45</b>	<b>37</b>	<b>82%</b> ▼	<b>18</b>	<b>15</b>	<b>83%</b> ▼
<b>RYDER SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295 RYDER SERVICES	5	4	80%	3	3	100%
<b>Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>RYDER SERVICES Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	2	67%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	18	15	83%	5	2	40%
CA116 CORVEL ENTERPRISE COMP	9	7	78%	1	1	100%
CA160 ESIS	9	6	67%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	21	20	95%	6	5	83%
CA204 HELMSMAN MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	19	15	79%	3	2	67%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	0	0%
<b>TPA Total</b>	<b>82</b>	<b>67</b>	<b>82%</b> ▼	<b>18</b>	<b>12</b>	<b>67%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>82</b>	<b>67</b>	<b>82%</b> ▼	<b>18</b>	<b>12</b>	<b>67%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	708	633	89%	191	169	88%
<b>Total</b>	<b>708</b>	<b>633</b>	<b>89%</b> ▲	<b>191</b>	<b>169</b>	<b>88%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>708</b>	<b>633</b>	<b>89%</b> ▲	<b>191</b>	<b>169</b>	<b>88%</b> ▲
<b>SENTRY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA402 SENTRY CASUALTY	7	3	43%	2	1	50%
CA305 SENTRY INSURANCE	8	4	50%	4	3	75%
<b>Total</b>	<b>15</b>	<b>7</b>	<b>47%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>SENTRY INSURANCE Group Total</b>	<b>15</b>	<b>7</b>	<b>47%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

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Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>SOMPO JAPAN INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	4	4	100%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	575	514	89%	151	149	99%
<b>Total</b>	<b>575</b>	<b>514</b>	<b>89%</b> ▲	<b>151</b>	<b>149</b>	<b>99%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>575</b>	<b>514</b>	<b>89%</b> ▲	<b>151</b>	<b>149</b>	<b>99%</b> ▲
<b>SYNERNET</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320 SYNERNET	541	474	88%	73	64	88%
<b>Total</b>	<b>541</b>	<b>474</b>	<b>88%</b> ▲	<b>73</b>	<b>64</b>	<b>88%</b> ▲
<b>SYNERNET Group Total</b>	<b>541</b>	<b>474</b>	<b>88%</b> ▲	<b>73</b>	<b>64</b>	<b>88%</b> ▲
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323 THE AMERICAN EQUITY UNDERWRITERS	4	3	75%	1	0	0%
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>TOWER INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356 TOWER INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TOWER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	0	0%	1	0	0%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>TOWER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Annual  
 1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	140	89	64%	52	43	83%
CA164 FARMINGTON CASUALTY	5	2	40%	2	1	50%
CA284 PHOENIX INSURANCE	22	16	73%	8	7	88%
CA306 STANDARD FIRE INSURANCE	28	19	68%	11	8	73%
CA347 TRAVELERS CASUALTY & SURETY	7	6	86%	2	2	100%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	12	7	58%	7	5	71%
CA349 TRAVELERS COMMERCIAL CASUALTY	7	4	57%	3	3	100%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	12	3	25%	7	4	57%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	19	13	68%	10	7	70%
<b>Total</b>	<b>252</b>	<b>159</b>	<b>63%</b> ▼	<b>102</b>	<b>80</b>	<b>78%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	13	10	77%	7	7	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	9	5	56%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	35	31	89%	18	17	94%
<b>TPA Total</b>	<b>58</b>	<b>46</b>	<b>79%</b> ▼	<b>28</b>	<b>26</b>	<b>93%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>310</b>	<b>205</b>	<b>66%</b> ▼	<b>130</b>	<b>106</b>	<b>82%</b> ▼
<b>VANLINER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA379 VANLINER INSURANCE	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>VANLINER INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>XL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	14	11	79%	2	0	0%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	1	1	100%
CA160 ESIS	7	5	71%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	23	15	65%	5	5	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	31	28	90%	5	3	60%
<b>TPA Total</b>	<b>78</b>	<b>62</b>	<b>79%</b> ▼	<b>17</b>	<b>13</b>	<b>76%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>78</b>	<b>62</b>	<b>79%</b> ▼	<b>17</b>	<b>13</b>	<b>76%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

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1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>YORK RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	14	5	36%	8	4	50%
<b>Total</b>	<b>14</b>	<b>5</b>	<b>36%</b> ▼	<b>8</b>	<b>4</b>	<b>50%</b> ▼
<b>YORK RISK SERVICES Group Total</b>	<b>14</b>	<b>5</b>	<b>36%</b> ▼	<b>8</b>	<b>4</b>	<b>50%</b> ▼
<b>ZURICH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA021 AMERICAN GUARANTEE & LIABILITY	1	1	100%	1	1	100%
CA022 AMERICAN ZURICH	21	16	76%	8	7	88%
CA400 ZURICH AMERICAN INSURANCE	39	36	92%	14	13	93%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	3	3	100%	1	1	100%
<b>Total</b>	<b>64</b>	<b>56</b>	<b>88%</b> ▲	<b>24</b>	<b>22</b>	<b>92%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	9	9	100%	1	1	100%
CA160 ESIS	4	1	25%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	42	37	88%	14	12	86%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	24	21	88%	3	3	100%
CA340 YORK RISK SERVICES	1	0	0%	1	0	0%
<b>TPA Total</b>	<b>80</b>	<b>68</b>	<b>85%</b> ▲	<b>20</b>	<b>17</b>	<b>85%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>144</b>	<b>124</b>	<b>86%</b> ▲	<b>44</b>	<b>39</b>	<b>89%</b> ▲



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Annual  
1/1/2016 - 12/31/2016

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	66	58	88%	44	41	93%
<b>Total</b>	<b>66</b>	<b>58</b>	<b>88%</b> ▲	<b>44</b>	<b>41</b>	<b>93%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>66</b>	<b>58</b>	<b>88%</b> ▲	<b>44</b>	<b>41</b>	<b>93%</b> ▲
<b>ACCIDENT FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	22	19	86%	10	10	100%
CA110 CONSTITUTION STATE SERVICES	6	5	83%	11	11	100%
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	15	14	93%	17	16	94%
CA190 GALLAGHER BASSETT SERVICES	28	19	68%	13	12	92%
CA204 HELMSMAN MANAGEMENT SERVICES	8	8	100%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	83	76	92%	48	46	96%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>166</b>	<b>145</b>	<b>87%</b> ▲	<b>102</b>	<b>97</b>	<b>95%</b> ▲
<b>ACE INSURANCE Group Total</b>	<b>166</b>	<b>145</b>	<b>87%</b> ▲	<b>102</b>	<b>97</b>	<b>95%</b> ▲
<b>ACUITY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	131	122	93%	90	83	92%
<b>Total</b>	<b>131</b>	<b>122</b>	<b>93%</b> ▲	<b>90</b>	<b>83</b>	<b>92%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA100 CLAIMS MANAGEMENT (WALMART)	21	20	95%	44	37	84%
CA110 CONSTITUTION STATE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	1	1	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	2	2	100%
<b>TPA Total</b>	<b>25</b>	<b>23</b>	<b>92%</b> ▲	<b>49</b>	<b>42</b>	<b>86%</b> ▼
<b>AIG INSURANCE Group Total</b>	<b>156</b>	<b>145</b>	<b>93%</b> ▲	<b>139</b>	<b>125</b>	<b>90%</b> ▲
<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA342 TECHNOLOGY INSURANCE	13	7	54%	4	3	75%
CA381 WESCO INSURANCE	24	11	46%	17	12	71%
<b>Total</b>	<b>37</b>	<b>18</b>	<b>49%</b> ▼	<b>21</b>	<b>15</b>	<b>71%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>37</b>	<b>18</b>	<b>49%</b> ▼	<b>21</b>	<b>15</b>	<b>71%</b> ▼
<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	5	4	80%	1	1	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	4	3	75%	3	2	67%
CA190 GALLAGHER BASSETT SERVICES	7	7	100%	5	4	80%
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	12	11	92%	7	7	100%
CA340 YORK RISK SERVICES	2	1	50%	1	1	100%
<b>TPA Total</b>	<b>30</b>	<b>26</b>	<b>87%</b> ▲	<b>17</b>	<b>15</b>	<b>88%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>30</b>	<b>26</b>	<b>87%</b> ▲	<b>17</b>	<b>15</b>	<b>88%</b> ▼
<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	2	0	0%
<b>TPA Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	49	45	92%	38	33	87%
<b>Total</b>	<b>49</b>	<b>45</b>	<b>92%</b> ▲	<b>38</b>	<b>33</b>	<b>87%</b> ▼
<b>BATH IRON WORKS Group Total</b>	<b>49</b>	<b>45</b>	<b>92%</b> ▲	<b>38</b>	<b>33</b>	<b>87%</b> ▼
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	1	0	0%	1	1	100%
CA115 CONTINENTAL INDEMNITY	3	3	100%	No Filings	No Filings	No Filings
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	19	17	89%	19	19	100%
<b>Total</b>	<b>19</b>	<b>17</b>	<b>89%</b> ▲	<b>19</b>	<b>19</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>19</b>	<b>17</b>	<b>89%</b> ▲	<b>19</b>	<b>19</b>	<b>100%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	239	202	85%	129	115	89%
<b>Total</b>	<b>239</b>	<b>202</b>	<b>85%</b> ▲	<b>129</b>	<b>115</b>	<b>89%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>239</b>	<b>202</b>	<b>85%</b> ▲	<b>129</b>	<b>115</b>	<b>89%</b> ▼
<b>CHEROKEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA044 CHEROKEE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHEROKEE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA046 CHUBB INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160 ESIS	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	22	20	91%	9	7	78%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>24</b>	<b>21</b>	<b>88%</b> ▲	<b>9</b>	<b>7</b>	<b>78%</b> ▼
<b>CHUBB INSURANCE Group Total</b>	<b>24</b>	<b>21</b>	<b>88%</b> ▲	<b>9</b>	<b>7</b>	<b>78%</b> ▼
<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	4	0	0%	2	1	50%
<b>Total</b>	<b>4</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>CHURCH MUTUAL INSURANCE Group Total</b>	<b>4</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CIANBRO CORPORATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	4	2	50%	1	1	100%
<b>Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CIANBRO CORPORATION Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CININNATI CASUALTY</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CININNATI CASUALTY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CININNATI CASUALTY TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CININNATI CASUALTY Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	21	20	95%	44	37	84%
<b>Total</b>	<b>21</b>	<b>20</b>	<b>95%</b> ▲	<b>44</b>	<b>37</b>	<b>84%</b> ▼
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>21</b>	<b>20</b>	<b>95%</b> ▲	<b>44</b>	<b>37</b>	<b>84%</b> ▼
<b>CNA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA083 CNA CLAIMS PLUS	5	5	100%	No Filings	No Filings	No Filings
CA050 CONTINENTAL CASUALTY	5	5	100%	1	1	100%
<b>Total</b>	<b>10</b>	<b>10</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CNA INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CNA INSURANCE Group Total</b>	<b>12</b>	<b>12</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	6	5	83%	13	13	100%
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>CORVEL ENTERPRISE COMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	7	3	43%	1	1	100%
<b>Total</b>	<b>7</b>	<b>3</b>	<b>43%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>7</b>	<b>3</b>	<b>43%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	5	4	80%	7	6	86%
<b>Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	153	145	95%	281	277	99%
<b>Total</b>	<b>153</b>	<b>145</b>	<b>95%</b> ▲	<b>281</b>	<b>277</b>	<b>99%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>153</b>	<b>145</b>	<b>95%</b> ▲	<b>281</b>	<b>277</b>	<b>99%</b> ▲
<b>ELECTRIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	5	5	100%
<b>TPA Total</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160 ESIS	27	25	93%	28	26	93%
<b>Total</b>	<b>27</b>	<b>25</b>	<b>93%</b> ▲	<b>28</b>	<b>26</b>	<b>93%</b> ▲
<b>ESIS Group Total</b>	<b>27</b>	<b>25</b>	<b>93%</b> ▲	<b>28</b>	<b>26</b>	<b>93%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	9	1	11%	3	3	100%
CA092 FEDERATED SERVICE INSURANCE	1	1	100%	1	0	0%
<b>Total</b>	<b>10</b>	<b>2</b>	<b>20%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>10</b>	<b>2</b>	<b>20%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170 FIREMAN'S FUND INSURANCE	3	1	33%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FIREMAN'S FUND INSURANCE Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>FRANKENMUTH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA095 FRANKENMUTH INSURANCE	No Filings	No Filings	No Filings	1	0	0%
CA274 PATRIOT INSURANCE	3	2	67%	1	0	0%
<b>Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175 FUTURECOMP	67	57	85%	38	37	97%
<b>Total</b>	<b>67</b>	<b>57</b>	<b>85%</b> ▲	<b>38</b>	<b>37</b>	<b>97%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>67</b>	<b>57</b>	<b>85%</b> ▲	<b>38</b>	<b>37</b>	<b>97%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	119	96	81%	54	45	83%
<b>Total</b>	<b>119</b>	<b>96</b>	<b>81%</b> ▼	<b>54</b>	<b>45</b>	<b>83%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>119</b>	<b>96</b>	<b>81%</b> ▼	<b>54</b>	<b>45</b>	<b>83%</b> ▼
<b>GREAT AMERICAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193 GREAT AMERICAN INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT AMERICAN INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT DIVIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA189 GREAT DIVIDE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT DIVIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	108	90	83%	54	43	80%
<b>TPA Total</b>	<b>108</b>	<b>90</b>	<b>83%</b> ▼	<b>54</b>	<b>43</b>	<b>80%</b> ▼
<b>GREAT FALLS INSURANCE Group Total</b>	<b>108</b>	<b>90</b>	<b>83%</b> ▼	<b>54</b>	<b>43</b>	<b>80%</b> ▼
<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196 GREAT WEST INSURANCE	7	3	43%	No Filings	No Filings	No Filings
<b>Total</b>	<b>7</b>	<b>3</b>	<b>43%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT WEST INSURANCE Group Total</b>	<b>7</b>	<b>3</b>	<b>43%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>GUARANTEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA195 GUARANTEE INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292 PATRIOT RISK SERVICES, INC	1	1	100%	1	0	0%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>GUARANTEE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	13	12	92%	3	2	67%
CA140 EASTGUARD INSURANCE	3	3	100%	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	2	2	100%	1	0	0%
<b>Total</b>	<b>18</b>	<b>17</b>	<b>94%</b> ▲	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>GUARD INSURANCE Group Total</b>	<b>18</b>	<b>17</b>	<b>94%</b> ▲	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	119	88	74%	32	21	66%
<b>Total</b>	<b>119</b>	<b>88</b>	<b>74%</b> ▼	<b>32</b>	<b>21</b>	<b>66%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>119</b>	<b>88</b>	<b>74%</b> ▼	<b>32</b>	<b>21</b>	<b>66%</b> ▼
<b>HANNOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	2	1	50%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANNOVER INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	6	5	83%	3	3	100%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	6	5	83%	2	2	100%
CA429 HANOVER AMERICAN INSURANCE	1	1	100%	1	1	100%
CA202 HANOVER INSURANCE	3	3	100%	1	1	100%
CA228 MASSACHUSETTS BAY INSURANCE	3	2	67%	No Filings	No Filings	No Filings
<b>Total</b>	<b>19</b>	<b>16</b>	<b>84%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>HANOVER INSURANCE Group Total</b>	<b>19</b>	<b>16</b>	<b>84%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲

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<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	4	4	100%	3	3	100%
CA185 HARTFORD CASUALTY INSURANCE	4	3	75%	No Filings	No Filings	No Filings
CA203 HARTFORD FIRE INSURANCE	2	1	50%	1	1	100%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	0	0%	3	2	67%
CA187 HARTFORD UNDERWRITERS INSURANCE	11	7	64%	4	3	75%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	1	1	100%	No Filings	No Filings	No Filings
CA296 SENTINEL INSURANCE	2	2	100%	2	2	100%
CA319 TRUMBULL INSURANCE	45	38	84%	18	16	89%
CA321 TWIN CITY FIRE INSURANCE	7	5	71%	4	4	100%
<b>Total</b>	<b>77</b>	<b>61</b>	<b>79%</b> ▼	<b>35</b>	<b>31</b>	<b>89%</b> ▼
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	4	4	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	4	4	100%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	7	7	100%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	0	0%
<b>TPA Total</b>	<b>11</b>	<b>10</b>	<b>91%</b> ▲	<b>18</b>	<b>17</b>	<b>94%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>88</b>	<b>71</b>	<b>81%</b> ▼	<b>53</b>	<b>48</b>	<b>91%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	21	18	86%	9	7	78%
<b>Total</b>	<b>21</b>	<b>18</b>	<b>86%</b> ▲	<b>9</b>	<b>7</b>	<b>78%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>21</b>	<b>18</b>	<b>86%</b> ▲	<b>9</b>	<b>7</b>	<b>78%</b> ▼
<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA380 EMPLOYERS INSURANCE OF WAUSAU	7	5	71%	1	0	0%
CA162 EXCELSIOR INSURANCE	No Filings	No Filings	No Filings	2	2	100%
CA210 LIBERTY MUTUAL INSURANCE	70	56	80%	65	58	89%
CA406 OHIO CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA407 OHIO SECURITY INSURANCE	2	2	100%	3	3	100%
CA283 PEERLESS INDEMNITY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	30	23	77%	13	10	77%
CA309 THE NETHERLANDS INSURANCE	5	4	80%	2	1	50%
CA408 WEST AMERICAN INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>115</b>	<b>90</b>	<b>78%</b> ▼	<b>87</b>	<b>75</b>	<b>86%</b> ▼
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>115</b>	<b>90</b>	<b>78%</b> ▼	<b>87</b>	<b>75</b>	<b>86%</b> ▼



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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>MACY'S CORPORATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213 MACY'S CORPORATE SERVICES	3	1	33%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MACY'S CORPORATE SERVICES Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	56	54	96%	8	8	100%
<b>Total</b>	<b>56</b>	<b>54</b>	<b>96%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>56</b>	<b>54</b>	<b>96%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1365	1257	92%	961	901	94%
<b>Total</b>	<b>1365</b>	<b>1257</b>	<b>92%</b> ▲	<b>961</b>	<b>901</b>	<b>94%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>1365</b>	<b>1257</b>	<b>92%</b> ▲	<b>961</b>	<b>901</b>	<b>94%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	10	9	90%	22	22	100%
<b>Total</b>	<b>10</b>	<b>9</b>	<b>90%</b> ▲	<b>22</b>	<b>22</b>	<b>100%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>10</b>	<b>9</b>	<b>90%</b> ▲	<b>22</b>	<b>22</b>	<b>100%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	31	31	100%	47	46	98%
<b>Total</b>	<b>31</b>	<b>31</b>	<b>100%</b> ▲	<b>47</b>	<b>46</b>	<b>98%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>31</b>	<b>31</b>	<b>100%</b> ▲	<b>47</b>	<b>46</b>	<b>98%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	183	180	98%	220	216	98%
<b>Total</b>	<b>183</b>	<b>180</b>	<b>98%</b> ▲	<b>220</b>	<b>216</b>	<b>98%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>183</b>	<b>180</b>	<b>98%</b> ▲	<b>220</b>	<b>216</b>	<b>98%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	87	86	99%	46	45	98%
<b>Total</b>	<b>87</b>	<b>86</b>	<b>99%</b> ▲	<b>46</b>	<b>45</b>	<b>98%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>87</b>	<b>86</b>	<b>99%</b> ▲	<b>46</b>	<b>45</b>	<b>98%</b> ▲
<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	5	5	100%	1	1	100%
<b>Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

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<b>MITSUI SUMITOMO INS CO OF AMERICA</b> MITSUI SUMITOMO INS CO OF AMERICA	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims</b> CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	1	0	0% ▼	1	1	100% ▲
<b>MITSUI SUMITOMO INS CO OF AMERICA Group Total</b>	1	0	0% ▼	1	1	100% ▲
<b>NATIONAL INTERSTATE INSURANCE</b> CA267 NATIONAL INTERSTATE INSURANCE COMPANY	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
<b>Total</b>	1	1	100% ▲	No Filings	No Filings	No Filings
<b>NATIONAL INTERSTATE INSURANCE Group Total</b>	1	1	100% ▲	No Filings	No Filings	No Filings
<b>NATIONWIDE INSURANCE</b> CA291 NATIONWIDE AGRIBUSINESS INSURANCE	MOPs Filed 2	Timely MOPs 0	Compliance 0%	NOCs Filed 3	Timely NOCs 1	Compliance 33%
<b>Total</b>	2	0	0% ▼	3	1	33% ▼
<b>NATIONWIDE INSURANCE Group Total</b>	2	0	0% ▼	3	1	33% ▼
<b>NGM INSURANCE</b> CA265 NGM INSURANCE	MOPs Filed 2	Timely MOPs 2	Compliance 100%	NOCs Filed 2	Timely NOCs 0	Compliance 0%
<b>Total</b>	2	2	100% ▲	2	0	0% ▼
<b>NGM INSURANCE Group Total</b>	2	2	100% ▲	2	0	0% ▼
<b>NORTH RIVER INSURANCE</b> CA257 NORTH RIVER INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>NORTH RIVER INSURANCE TPA Administered Claims</b> CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	2	2	100%
<b>TPA Total</b>	No Filings	No Filings	No Filings	2	2	100% ▲
<b>NORTH RIVER INSURANCE Group Total</b>	No Filings	No Filings	No Filings	2	2	100% ▲

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<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	11	6	55%	2	1	50%
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	9	5	56%	3	3	100%
CA204 HELMSMAN MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA295 RYDER SERVICES	3	3	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	7	88%	4	4	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>34</b>	<b>24</b>	<b>71%</b> ▼	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>34</b>	<b>24</b>	<b>71%</b> ▼	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>PENNSYLVANIA MFG ASSN</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	8	7	88%	6	4	67%
<b>TPA Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>PROTECTIVE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PROTECTIVE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PUBLIC SERVICE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA282 PUBLIC SERVICE INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PUBLIC SERVICE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Annual  
1/1/2016 - 12/31/2016

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	17	15	88%	11	11	100%
<b>TPA Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>11</b>	<b>11</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>11</b>	<b>11</b>	<b>100%</b> ▲
<b>RYDER SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA295 RYDER SERVICES	3	3	100%	1	1	100%
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>RYDER SERVICES Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	3	3	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	5	2	40%	3	3	100%
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	1	1	100%	2	2	100%
CA190 GALLAGHER BASSETT SERVICES	6	5	83%	1	1	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	5	4	80%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>18</b>	<b>12</b>	<b>67%</b> ▼	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>18</b>	<b>12</b>	<b>67%</b> ▼	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	191	173	91%	137	130	95%
<b>Total</b>	<b>191</b>	<b>173</b>	<b>91%</b> ▲	<b>137</b>	<b>130</b>	<b>95%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>191</b>	<b>173</b>	<b>91%</b> ▲	<b>137</b>	<b>130</b>	<b>95%</b> ▲
<b>SENTRY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA402 SENTRY CASUALTY	2	1	50%	1	0	0%
CA305 SENTRY INSURANCE	4	2	50%	2	0	0%
<b>Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>3</b>	<b>0</b>	<b>0%</b> ▼
<b>SENTRY INSURANCE Group Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>3</b>	<b>0</b>	<b>0%</b> ▼

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SOMPO JAPAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	3	3	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	151	143	95%	105	102	97%
<b>Total</b>	<b>151</b>	<b>143</b>	<b>95%</b> ▲	<b>105</b>	<b>102</b>	<b>97%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>151</b>	<b>143</b>	<b>95%</b> ▲	<b>105</b>	<b>102</b>	<b>97%</b> ▲
<b>SYNERNET</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320 SYNERNET	73	67	92%	138	132	96%
<b>Total</b>	<b>73</b>	<b>67</b>	<b>92%</b> ▲	<b>138</b>	<b>132</b>	<b>96%</b> ▲
<b>SYNERNET Group Total</b>	<b>73</b>	<b>67</b>	<b>92%</b> ▲	<b>138</b>	<b>132</b>	<b>96%</b> ▲
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>TOWER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA356 TOWER INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOWER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOWER INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	52	41	79%	26	23	88%
CA164 FARMINGTON CASUALTY	2	1	50%	2	2	100%
CA284 PHOENIX INSURANCE	8	7	88%	8	7	88%
CA306 STANDARD FIRE INSURANCE	11	7	64%	5	4	80%
CA347 TRAVELERS CASUALTY & SURETY	2	1	50%	2	2	100%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	7	5	71%	1	1	100%
CA349 TRAVELERS COMMERCIAL CASUALTY	3	2	67%	2	1	50%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	7	2	29%	2	2	100%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	10	5	50%	5	4	80%
<b>Total</b>	<b>102</b>	<b>71</b>	<b>70%</b> ▼	<b>53</b>	<b>46</b>	<b>87%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	7	7	100%	2	2	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	18	16	89%	8	8	100%
<b>TPA Total</b>	<b>28</b>	<b>25</b>	<b>89%</b> ▲	<b>10</b>	<b>10</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>130</b>	<b>96</b>	<b>74%</b> ▼	<b>63</b>	<b>56</b>	<b>89%</b> ▼
<b>VANLINER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA379 VANLINER INSURANCE	No Filings	No Filings	No Filings	2	0	0%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>VANLINER INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	0	0%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	2	2	100%
CA160 ESIS	3	2	67%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	5	5	100%	4	3	75%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	3	60%	5	4	80%
<b>TPA Total</b>	<b>17</b>	<b>12</b>	<b>71%</b> ▼	<b>14</b>	<b>12</b>	<b>86%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>17</b>	<b>12</b>	<b>71%</b> ▼	<b>14</b>	<b>12</b>	<b>86%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	8	2	25%	2	1	50%
<b>Total</b>	<b>8</b>	<b>2</b>	<b>25%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>YORK RISK SERVICES Group Total</b>	<b>8</b>	<b>2</b>	<b>25%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA021 AMERICAN GUARANTEE & LIABILITY	1	1	100%	No Filings	No Filings	No Filings
CA022 AMERICAN ZURICH	8	7	88%	4	4	100%
CA400 ZURICH AMERICAN INSURANCE	14	12	86%	11	10	91%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	1	1	100%
<b>Total</b>	<b>24</b>	<b>21</b>	<b>88%</b> ▲	<b>16</b>	<b>15</b>	<b>94%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	6	6	100%
CA160 ESIS	1	1	100%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	14	12	86%	4	4	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	5	4	80%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>20</b>	<b>17</b>	<b>85%</b> ▲	<b>17</b>	<b>15</b>	<b>88%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>44</b>	<b>38</b>	<b>86%</b> ▲	<b>33</b>	<b>30</b>	<b>91%</b> ▲

## IN-STATE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	246	184	75% ▼	66	55	83% ▼
<b>BATH IRON WORKS</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	420	396	94% ▲	49	44	90% ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	785	635	81% ▼	239	201	84% ▼
<b>CIANBRO CORPORATION</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	6	5	83% ▼	4	1	25% ▼
<b>CROSS INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	1192	1136	95% ▲	153	147	96% ▲
<b>FRANKENMUTH INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA095	Group Total	6	1	17% ▼	3	2	67% ▼
<b>FUTURECOMP</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	227	198	87% ▲	67	61	91% ▲
<b>GREAT FALLS INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	305	220	72% ▼	108	93	86% ▼
<b>HANNAFORD BROTHERS</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	311	209	67% ▼	119	89	75% ▼
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	129	122	95% ▲	56	50	89% ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	4870	3844	79% ▼	1365	1271	93% ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	103	90	87% ▲	10	9	90% ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	231	216	94% ▲	31	27	87% ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	888	861	97% ▲	183	180	98% ▲

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity  
 ▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met



## IN-STATE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	319	310	97% ▲	87	84	97% ▲
<b>PUBLIC SERVICE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	708	633	89% ▲	191	169	88% ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	575	514	89% ▲	151	149	99% ▲
<b>SYNERNET</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	541	474	88% ▲	73	64	88% ▲
<b>TOTAL IN-STATE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
		11,863	10,048	85% ▲	2,955	2,696	91% ▲

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**IN-STATE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Annual  
1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010	Group Total	66	58	88% ▲	44	41	93% ▲
<b>BATH IRON WORKS</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	49	45	92% ▲	38	33	87% ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	239	202	85% ▲	129	115	89% ▼
<b>CIANBRO CORPORATION</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	4	2	50% ▼	1	1	100% ▲
<b>CROSS INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA093	Group Total	153	145	95% ▲	281	277	99% ▲
<b>FRANKENMUTH INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA095	Group Total	3	2	67% ▼	2	0	0% ▼
<b>FUTURECOMP</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	67	57	85% ▲	38	37	97% ▲
<b>GREAT FALLS INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	108	90	83% ▼	54	43	80% ▼
<b>HANNAFORD BROTHERS</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	119	88	74% ▼	32	21	66% ▼
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	56	54	96% ▲	8	8	100% ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	1365	1257	92% ▲	961	901	94% ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	10	9	90% ▲	22	22	100% ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	31	31	100% ▲	47	46	98% ▲

## IN-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>MAINE MUNICIPAL ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225	Group Total	183	180	98% ▲	220	216	98% ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250	Group Total	87	86	99% ▲	46	45	98% ▲
<b>PUBLIC SERVICE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA282	Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300	Group Total	191	173	91% ▲	137	130	95% ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307	Group Total	151	143	95% ▲	105	102	97% ▲
<b>SYNERNET</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320	Group Total	73	67	92% ▲	138	132	96% ▲
		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>TOTAL IN-STATE</b>		2,955	2,689	91% ▲	2,303	2,170	94% ▲

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**OUT-OF-STATE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Annual  
 1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACCIDENT FUND INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	3	2	67% ▼	1	1	100% ▲
<b>ACE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	559	476	85% ▲	166	143	86% ▼
<b>ACUITY MUTUAL INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA418	Group Total	2	2	100% ▲	1	1	100% ▲
<b>AIG INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	Group Total	540	467	86% ▲	156	140	90% ▲
<b>AMTRUST INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA342	Group Total	93	51	55% ▼	37	25	68% ▼
<b>ARCH INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	101	83	82% ▼	30	25	83% ▼
<b>ATLANTIC SPECIALTY INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA035	Group Total	8	3	38% ▼	3	2	67% ▼
<b>BERKSHIRE HATHAWAY INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	6	3	50% ▼	4	3	75% ▼
<b>BROADSPIRE SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	59	46	78% ▼	19	17	89% ▲
<b>CHEROKEE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044	Group Total	1	0	0% ▼	1	1	100% ▲
<b>CHUBB INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA046	Group Total	67	49	73% ▼	24	20	83% ▼
<b>CHURCH MUTUAL INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084	Group Total	7	1	14% ▼	4	3	75% ▼
<b>CINCINNATI CASUALTY</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	1	50% ▼	1	1	100% ▲
<b>CLAIMS MANAGEMENT (WALMART)</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	174	158	91% ▲	21	21	100% ▲

**OUT-OF-STATE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Annual  
 1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CNA INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA017	Group Total	27	24	89% ▲	12	12	100% ▲
<b>CONSTITUTION STATE SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	26	22	85% ▲	6	6	100% ▲
<b>CORVEL ENTERPRISE COMP</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	46	35	76% ▼	7	3	43% ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	17	14	82% ▼	5	4	80% ▼
<b>ELECTRIC INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA150	Group Total	23	21	91% ▲	8	7	88% ▲
<b>ESIS</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	105	62	59% ▼	27	25	93% ▲
<b>EVEREST REINS HOLDINGS GROUP</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0% ▼	1	1	100% ▲
<b>FEDERATED MUTUAL INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	19	7	37% ▼	10	7	70% ▼
<b>FIREMAN'S FUND INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	2	1	50% ▼	3	1	33% ▼
<b>GALLAGHER BASSETT SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	375	290	77% ▼	119	95	80% ▼
<b>GREAT AMERICAN INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
<b>GREAT DIVIDE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189	Group Total	1	0	0% ▼	1	1	100% ▲
<b>GREAT WEST INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA196	Group Total	7	1	14% ▼	7	4	57% ▼
<b>GUARANTEE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195	Group Total	2	1	50% ▼	1	1	100% ▲
<b>GUARD INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	Group Total	68	31	46% ▼	18	16	89% ▲

Maine Workers' Compensation Board  
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 Initial Indemnity Payment Benchmark: 87%

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 ▼ Indicates benchmark not met

**OUT-OF-STATE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Annual  
1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HANNOVER INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	3	1	33% ▼	2	1	50% ▼
<b>HANOVER INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA428	Group Total	48	30	63% ▼	19	14	74% ▼
<b>HARTFORD INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188	Group Total	296	241	81% ▼	88	69	78% ▼
<b>HELMSMAN MANAGEMENT SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	51	38	75% ▼	21	18	86% ▼
<b>LIBERTY MUTUAL INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA003	Group Total	328	234	71% ▼	115	91	79% ▼
<b>MACY'S CORPORATE SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	4	4	100% ▲	3	3	100% ▲
<b>MEADOWBROOK INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	8	8	100% ▲	5	5	100% ▲
<b>MITSUI SUMITOMO INS CO OF AMERICA</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	1	50% ▼	1	0	0% ▼
<b>NATIONAL INTERSTATE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	1	1	100% ▲	1	1	100% ▲
<b>NATIONWIDE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	6	2	33% ▼	2	0	0% ▼
<b>NGM INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	5	3	60% ▼	2	1	50% ▼
<b>NORTH RIVER INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA257	Group Total	8	5	63% ▼	No Filings	No Filings	No Filings
<b>OLD REPUBLIC INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	97	76	78% ▼	34	27	79% ▼
<b>PENNSYLVANIA MFG ASSN</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	37	25	68% ▼	8	7	88% ▲
<b>PROTECTIVE INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings

Maine Workers' Compensation Board  
Lost Time FROI Filing Benchmark: 85%  
Initial Indemnity Payment Benchmark: 87%

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▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**OUT-OF-STATE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Annual  
 1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	45	37	82% ▼	18	15	83% ▼
<b>RYDER SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	5	4	80% ▼	3	3	100% ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	82	67	82% ▼	18	12	67% ▼
<b>SENTRY INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA402	Group Total	15	7	47% ▼	6	4	67% ▼
<b>SOMPO JAPAN INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
<b>STARR INDEMNITY INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	8	7	88% ▲	4	3	75% ▼
<b>THE AMERICAN EQUITY UNDERWRITERS</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323	Group Total	4	3	75% ▼	1	0	0% ▼
<b>TOWER INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	1	0	0% ▼	2	1	50% ▼
<b>TRAVELERS INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	Group Total	310	205	66% ▼	130	106	82% ▼
<b>VANLINER INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA379	Group Total	2	1	50% ▼	No Filings	No Filings	No Filings
<b>XL INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA384	Group Total	78	62	79% ▼	17	13	76% ▼
<b>YORK RISK SERVICES</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	14	5	36% ▼	8	4	50% ▼
<b>ZURICH INSURANCE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	Group Total	144	124	86% ▲	44	39	89% ▲
<b>TOTAL OUT-OF-STATE</b>		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
		3,946	3,044	77% ▼	1,245	1,023	82% ▼

## OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACCIDENT FUND INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
<b>ACE INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	166	145	87% ▲	102	97	95% ▲
<b>ACUITY MUTUAL INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA418	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
<b>AIG INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015	Group Total	156	145	93% ▲	139	125	90% ▲
<b>AMTRUST INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA342	Group Total	37	18	49% ▼	21	15	71% ▼
<b>ARCH INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	30	26	87% ▲	17	15	88% ▼
<b>ATLANTIC SPECIALTY INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA035	Group Total	3	2	67% ▼	2	0	0% ▼
<b>BERKSHIRE HATHAWAY INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	4	3	75% ▼	1	1	100% ▲
<b>BROADSPIRE SERVICES</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	19	17	89% ▲	19	19	100% ▲
<b>CHEROKEE INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
<b>CHUBB INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA046	Group Total	24	21	88% ▲	9	7	78% ▼
<b>CHURCH MUTUAL INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084	Group Total	4	0	0% ▼	2	1	50% ▼
<b>CINCINNATI CASUALTY</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings

Maine Workers' Compensation Board

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\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met



## OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CLAIMS MANAGEMENT (WALMART)</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100	Group Total	21	20	95% ▲	44	37	84% ▼
<b>CNA INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA017	Group Total	12	12	100% ▲	2	2	100% ▲
<b>CONSTITUTION STATE SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110	Group Total	6	5	83% ▼	13	13	100% ▲
<b>CORVEL ENTERPRISE COMP</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116	Group Total	7	3	43% ▼	1	1	100% ▲
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117	Group Total	5	4	80% ▼	7	6	86% ▼
<b>ELECTRIC INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA150	Group Total	8	8	100% ▲	5	5	100% ▲
<b>ESIS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160	Group Total	27	25	93% ▲	28	26	93% ▲
<b>EVEREST REINS HOLDINGS GROUP</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
<b>FEDERATED MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091	Group Total	10	2	20% ▼	4	3	75% ▼
<b>FIREMAN'S FUND INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170	Group Total	3	1	33% ▼	No Filings	No Filings	No Filings
<b>GALLAGHER BASSETT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190	Group Total	119	96	81% ▼	54	45	83% ▼
<b>GREAT AMERICAN INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193	Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>GREAT DIVIDE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA189	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings

Maine Workers' Compensation Board

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Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>GREAT WEST INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA196	Group Total	7	3	43% ▼	No Filings	No Filings	No Filings
<b>GUARANTEE INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195	Group Total	1	1	100% ▲	1	0	0% ▼
<b>GUARD INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	Group Total	18	17	94% ▲	4	2	50% ▼
<b>HANNOVER INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	1	50% ▼	No Filings	No Filings	No Filings
<b>HANOVER INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA428	Group Total	19	16	84% ▼	7	7	100% ▲
<b>HARTFORD INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA188	Group Total	88	71	81% ▼	53	48	91% ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204	Group Total	21	18	86% ▲	9	7	78% ▼
<b>LIBERTY MUTUAL INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA003	Group Total	115	90	78% ▼	87	75	86% ▼
<b>MACY'S CORPORATE SERVICES</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	3	1	33% ▼	No Filings	No Filings	No Filings
<b>MEADOWBROOK INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	5	5	100% ▲	1	1	100% ▲
<b>MITSUI SUMITOMO INS CO OF AMERICA</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	0	0% ▼	1	1	100% ▲
<b>NATIONAL INTERSTATE INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
<b>NATIONWIDE INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	2	0	0% ▼	3	1	33% ▼

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## OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>NGM INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	2	2	100% ▲	2	0	0% ▼
<b>NORTH RIVER INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA257	Group Total	No Filings	No Filings	No Filings	2	2	100% ▲
<b>OLD REPUBLIC INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	34	24	71% ▼	12	11	92% ▲
<b>PENNSYLVANIA MFG ASSN</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	8	7	88% ▲	6	4	67% ▼
<b>PROTECTIVE INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>QBE INSURANCE GROUP</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	18	16	89% ▲	11	11	100% ▲
<b>RYDER SERVICES</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA295	Group Total	3	3	100% ▲	1	1	100% ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	18	12	67% ▼	14	13	93% ▲
<b>SENTRY INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA402	Group Total	6	3	50% ▼	3	0	0% ▼
<b>SOMPO JAPAN INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>STARR INDEMNITY INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	4	4	100% ▲	2	2	100% ▲
<b>THE AMERICAN EQUITY UNDERWRITERS</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	1	0	0% ▼	1	0	0% ▼
<b>TOWER INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	2	0	0% ▼	No Filings	No Filings	No Filings

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## OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	Group Total	130	96	74% ▼	63	56	89% ▼
<b>VANLINER INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA379	Group Total	No Filings	No Filings	No Filings	2	0	0% ▼
<b>XL INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA384	Group Total	17	12	71% ▼	14	12	86% ▼
<b>YORK RISK SERVICES</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	8	2	25% ▼	2	1	50% ▼
<b>ZURICH INSURANCE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	Group Total	44	38	86% ▲	33	30	91% ▲
<b>TOTAL OUT-OF-STATE</b>		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
		1,245	999	80% ▼	804	703	87% ▼

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**TYPE OF INSURER GROUP COMPLIANCE**  
Lost Time FROI Filings and Initial Indemnity Payments  
Annual  
1/1/2016 - 12/31/2016

INSURANCE COMPANY		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	ACADIA INSURANCE	246	184	75%	66	55	83%
CA418	ACUITY MUTUAL INSURANCE	2	2	100%	1	1	100%
CA015	AIG DOMESTIC CLAIMS	349	298	85%	131	117	89%
CA428	ALLMERICA FINANCIAL BENEFIT INSURANCE	11	6	55%	6	4	67%
CA017	AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No filings	No filings	No filings
CA003	AMERICAN FIRE & CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA021	AMERICAN GUARANTEE & LIABILITY	1	1	100%	1	1	100%
CA022	AMERICAN ZURICH	21	16	76%	8	7	88%
CA019	AMGUARD INSURANCE	44	18	41%	13	12	92%
CA114	BERKSHIRE HATHAWAY HOMESTATE INSURANCE	2	1	50%	1	0	0%
CA072	CHARTER OAK FIRE INSURANCE	140	89	64%	52	43	83%
CA044	CHEROKEE INSURANCE	1	0	0%	1	1	100%
CA084	CHURCH MUTUAL INSURANCE	7	1	14%	4	3	75%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	18	14	78%	6	5	83%
CA083	CNA CLAIMS PLUS	6	5	83%	5	5	100%
CA050	CONTINENTAL CASUALTY	13	13	100%	5	5	100%
CA115	CONTINENTAL INDEMNITY	3	2	67%	3	3	100%
CA140	EASTGUARD INSURANCE	15	7	47%	3	3	100%
CA380	EMPLOYERS INSURANCE OF WAUSAU	12	10	83%	7	6	86%
CA162	EXCELSIOR INSURANCE	3	0	0%	No filings	No filings	No filings
CA164	FARMINGTON CASUALTY	5	2	40%	2	1	50%
CA091	FEDERATED MUTUAL INSURANCE	16	7	44%	9	6	67%
CA092	FEDERATED SERVICE INSURANCE	3	0	0%	1	1	100%
CA170	FIREMAN'S FUND INSURANCE	2	1	50%	3	1	33%
CA095	FRANKENMUTH INSURANCE	1	0	0%	No filings	No filings	No filings
CA193	GREAT AMERICAN INSURANCE	1	0	0%	No filings	No filings	No filings
CA189	GREAT DIVIDE INSURANCE	1	0	0%	1	1	100%
CA196	GREAT WEST INSURANCE	7	1	14%	7	4	57%
CA429	HANOVER AMERICAN INSURANCE	4	2	50%	1	1	100%
CA202	HANOVER INSURANCE	11	6	55%	3	2	67%
CA188	HARTFORD ACCIDENT & INDEMNITY	20	17	85%	4	4	100%
CA185	HARTFORD CASUALTY INSURANCE	10	8	80%	4	3	75%
CA203	HARTFORD FIRE INSURANCE	7	3	43%	2	1	50%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	9	7	78%	1	0	0%
CA187	HARTFORD UNDERWRITERS INSURANCE	29	17	59%	11	7	64%
CA210	LIBERTY MUTUAL INSURANCE	225	165	73%	70	54	77%
CA228	MASSACHUSETTS BAY INSURANCE	4	2	50%	3	2	67%
CA255	MEADOWBROOK INSURANCE	8	8	100%	5	5	100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA267	NATIONAL INTERSTATE INSURANCE COMPANY	1	1	100%	1	1	100%
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	6	2	33%	2	0	0%
CA265	NGM INSURANCE	5	3	60%	2	1	50%
CA272	NORGUARD INSURANCE	9	6	67%	2	1	50%
CA406	OHIO CASUALTY INSURANCE	1	1	100%	1	1	100%
CA407	OHIO SECURITY INSURANCE	9	8	89%	2	2	100%
CA274	PATRIOT INSURANCE	5	1	20%	3	2	67%
CA283	PEERLESS INDEMNITY INSURANCE	4	4	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	63	42	67%	30	25	83%
CA284	PHOENIX INSURANCE	22	16	73%	8	7	88%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF	3	2	67%	1	1	100%
CA282	PUBLIC SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
CA293	REDWOOD FIRE AND CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	6	5	83%	2	2	100%
CA402	SENTRY CASUALTY	7	3	43%	2	1	50%
CA305	SENTRY INSURANCE	8	4	50%	4	3	75%
CA306	STANDARD FIRE INSURANCE	28	19	68%	11	8	73%
CA342	TECHNOLOGY INSURANCE	29	16	55%	13	8	62%
CA323	THE AMERICAN EQUITY UNDERWRITERS	4	3	75%	1	0	0%
CA309	THE NETHERLANDS INSURANCE	9	4	44%	5	3	60%
CA356	TOWER INSURANCE	No filings	No filings	No filings	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY	7	6	86%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF	12	7	58%	7	5	71%
CA349	TRAVELERS COMMERCIAL CASUALTY	7	4	57%	3	3	100%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	12	3	25%	7	4	57%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	19	13	68%	10	7	70%
CA319	TRUMBULL INSURANCE	117	101	86%	45	37	82%
CA321	TWIN CITY FIRE INSURANCE	19	15	79%	7	4	57%
CA379	VANLINER INSURANCE	2	1	50%	No filings	No filings	No filings
CA381	WESCO INSURANCE	64	35	55%	24	17	71%
CA408	WEST AMERICAN INSURANCE	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE	39	36	92%	13	12	92%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	3	3	100%	1	1	100%
<b>TOTAL INSURER ADMINISTERED</b>		<b>1,782</b>	<b>1,278</b>	<b>72%</b>	<b>650</b>	<b>523</b>	<b>80%</b>
<b>CA260</b>	<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>4,870</b>	<b>3,844</b>	<b>79%</b>	<b>1,365</b>	<b>1,271</b>	<b>93%</b>
<b>SELF INSURED SELF ADMINISTERED</b>							
CA036	BATH IRON WORKS	420	396	94%	49	44	90%
CA085	CIANBRO CORPORATION	6	5	83%	4	1	25%
CA201	HANNAFORD BROTHERS	311	209	67%	119	89	75%
CA213	MACY'S CORPORATE SERVICES	4	4	100%	3	3	100%
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION	129	122	95%	56	50	89%
CA234	MAINE HEALTHCARE ASSOCIATION	103	90	87%	10	9	90%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	231	216	94%	31	27	87%
CA225	MAINE MUNICIPAL ASSOCIATION	888	861	97%	183	180	98%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	319	310	97%	87	84	97%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	575	514	89%	151	149	99%
<b>TOTAL SELF INSURED SELF ADMINISTERED</b>		<b>2,986</b>	<b>2,727</b>	<b>91%</b>	<b>693</b>	<b>636</b>	<b>92%</b>

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>TPAS ADMINISTERING FOR INSURERS</b>							
CA040	BROADSPIRE SERVICES	59	46	78%	19	17	89%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	438	328	75%	150	124	83%
CA100	CLAIMS MANAGEMENT (WALMART)	2	2	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	25	21	84%	6	6	100%
CA116	CORVEL ENTERPRISE COMP	46	35	76%	7	3	43%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	16	13	81%	5	4	80%
CA093	CROSS INSURANCE	1	0	0%	No filings	No filings	No filings
CA160	ESIS	98	59	60%	25	23	92%
CA190	GALLAGHER BASSETT SERVICES	371	289	78%	117	94	81%
CA204	HELMSMAN MANAGEMENT SERVICES	25	22	88%	11	11	100%
CA292	PATRIOT RISK SERVICES, INC	4	2	50%	4	4	100%
CA295	RYDER SERVICES	5	4	80%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	585	523	89%	164	143	87%
CA320	SYNERNET	1	1	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
CA340	YORK RISK SERVICES	14	5	36%	8	4	50%
<b>TOTAL TPAS ADMINISTERING FOR INSURERS</b>		<b>1,691</b>	<b>1,350</b>	<b>80%</b>	<b>521</b>	<b>438</b>	<b>84%</b>
<b>TPAS ADMINISTERING FOR SELF INSURED</b>							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	347	307	88%	89	77	87%
CA100	CLAIMS MANAGEMENT (WALMART)	172	156	91%	20	20	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA093	CROSS INSURANCE	1,191	1,136	95%	153	147	96%
CA160	ESIS	7	3	43%	2	2	100%
CA175	FUTURECOMP	227	198	87%	67	61	91%
CA190	GALLAGHER BASSETT SERVICES	4	1	25%	2	1	50%
CA204	HELMSMAN MANAGEMENT SERVICES	26	16	62%	10	7	70%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	123	110	89%	27	26	96%
CA320	SYNERNET	540	473	88%	73	64	88%
<b>TOTAL TPAS ADMINISTERING FOR SELF INSURED</b>		<b>3,830</b>	<b>3,538</b>	<b>92%</b>	<b>596</b>	<b>552</b>	<b>93%</b>
<b>Grand Total:</b>		<b>15,159</b>	<b>12,737</b>	<b>84%</b>	<b>3,825</b>	<b>3,420</b>	<b>89%</b>

**TYPE OF INSURER GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Annual  
1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>INSURANCE COMPANY</b>							
CA010	ACADIA INSURANCE	66	58	88%	44	41	93%
CA418	ACUITY MUTUAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA015	AIG DOMESTIC CLAIMS	131	122	93%	90	83	92%
CA428	ALLMERICA FINANCIAL BENEFIT INSURANCE	6	5	83%	3	3	100%
CA017	AMERICAN CASUALTY COMPANY OF READING PA	No filings	No filings	No filings	No filings	No filings	No filings
CA003	AMERICAN FIRE & CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA021	AMERICAN GUARANTEE & LIABILITY	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	8	7	88%	4	4	100%
CA019	AMGUARD INSURANCE	13	12	92%	3	2	67%
CA114	BERKSHIRE HATHAWAY HOMESTATE INSURANCE	1	0	0%	1	1	100%
CA072	CHARTER OAK FIRE INSURANCE	52	41	79%	26	23	88%
CA044	CHEROKEE INSURANCE	1	0	0%	No filings	No filings	No filings
CA084	CHURCH MUTUAL INSURANCE	4	0	0%	2	1	50%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	6	5	83%	2	2	100%
CA083	CNA CLAIMS PLUS	5	5	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	5	5	100%	1	1	100%
CA115	CONTINENTAL INDEMNITY	3	3	100%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE	3	3	100%	No filings	No filings	No filings
CA380	EMPLOYERS INSURANCE OF WAUSAU	7	5	71%	1	0	0%
CA162	EXCELSIOR INSURANCE	No filings	No filings	No filings	2	2	100%
CA164	FARMINGTON CASUALTY	2	1	50%	2	2	100%
CA091	FEDERATED MUTUAL INSURANCE	9	1	11%	3	3	100%
CA092	FEDERATED SERVICE INSURANCE	1	1	100%	1	0	0%
CA170	FIREMAN'S FUND INSURANCE	3	1	33%	No filings	No filings	No filings
CA095	FRANKENMUTH INSURANCE	No filings	No filings	No filings	1	0	0%
CA193	GREAT AMERICAN INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA189	GREAT DIVIDE INSURANCE	1	0	0%	No filings	No filings	No filings
CA196	GREAT WEST INSURANCE	7	3	43%	No filings	No filings	No filings
CA429	HANOVER AMERICAN INSURANCE	1	1	100%	1	1	100%
CA202	HANOVER INSURANCE	3	3	100%	1	1	100%
CA188	HARTFORD ACCIDENT & INDEMNITY	4	4	100%	3	3	100%
CA185	HARTFORD CASUALTY INSURANCE	4	3	75%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	2	1	50%	1	1	100%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	0	0%	3	2	67%
CA187	HARTFORD UNDERWRITERS INSURANCE	11	7	64%	4	3	75%
CA210	LIBERTY MUTUAL INSURANCE	70	56	80%	65	58	89%
CA228	MASSACHUSETTS BAY INSURANCE	3	2	67%	No filings	No filings	No filings
CA255	MEADOWBROOK INSURANCE	5	5	100%	1	1	100%



		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA267	NATIONAL INTERSTATE INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	2	0	0%	3	1	33%
CA265	NGM INSURANCE	2	2	100%	2	0	0%
CA272	NORGUARD INSURANCE	2	2	100%	1	0	0%
CA406	OHIO CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA407	OHIO SECURITY INSURANCE	2	2	100%	3	3	100%
CA274	PATRIOT INSURANCE	3	2	67%	1	0	0%
CA283	PEERLESS INDEMNITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	30	23	77%	13	10	77%
CA284	PHOENIX INSURANCE	8	7	88%	8	7	88%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF	1	1	100%	No filings	No filings	No filings
CA282	PUBLIC SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA293	REDWOOD FIRE AND CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	2	2	100%	2	2	100%
CA402	SENTRY CASUALTY	2	1	50%	1	0	0%
CA305	SENTRY INSURANCE	4	2	50%	2	0	0%
CA306	STANDARD FIRE INSURANCE	11	7	64%	5	4	80%
CA342	TECHNOLOGY INSURANCE	13	7	54%	4	3	75%
CA323	THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	1	0	0%
CA309	THE NETHERLANDS INSURANCE	5	4	80%	2	1	50%
CA356	TOWER INSURANCE	1	0	0%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY	2	1	50%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF	7	5	71%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	2	1	50%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	7	2	29%	2	2	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	10	5	50%	5	4	80%
CA319	TRUMBULL INSURANCE	45	38	84%	18	16	89%
CA321	TWIN CITY FIRE INSURANCE	7	5	71%	4	4	100%
CA379	VANLINER INSURANCE	No filings	No filings	No filings	2	0	0%
CA381	WESCO INSURANCE	24	11	46%	17	12	71%
CA408	WEST AMERICAN INSURANCE	No filings	No filings	No filings	1	1	100%
CA400	ZURICH AMERICAN INSURANCE	13	12	92%	11	10	91%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	1	1	100%
	<b>TOTAL INSURER ADMINISTERED</b>	<b>650</b>	<b>507</b>	<b>79%</b>	<b>379</b>	<b>323</b>	<b>85%</b>
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE	1,365	1,257	92%	961	901	94%
	<b>SELF INSURED SELF ADMINISTERED</b>						
CA036	BATH IRON WORKS	49	45	92%	38	33	87%
CA085	CIANBRO CORPORATION	4	2	50%	1	1	100%
CA201	HANNAFORD BROTHERS	119	88	74%	32	21	66%
CA213	MACY'S CORPORATE SERVICES	3	1	33%	No filings	No filings	No filings
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION	56	54	96%	8	8	100%
CA234	MAINE HEALTHCARE ASSOCIATION	10	9	90%	22	22	100%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	31	31	100%	47	46	98%
CA225	MAINE MUNICIPAL ASSOCIATION	183	180	98%	220	216	98%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	87	86	99%	46	45	98%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	151	143	95%	105	102	97%
	<b>TOTAL SELF INSURED SELF ADMINISTERED</b>	<b>693</b>	<b>639</b>	<b>92%</b>	<b>519</b>	<b>494</b>	<b>95%</b>

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>TPAS ADMINISTERING FOR INSURERS</b>							
CA040	BROADSPIRE SERVICES	19	17	89%	19	19	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	150	121	81%	73	61	84%
CA100	CLAIMS MANAGEMENT (WALMART)	1	1	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	6	5	83%	12	12	100%
CA116	CORVEL ENTERPRISE COMP	7	3	43%	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	5	4	80%	6	5	83%
CA093	CROSS INSURANCE	No filings	No filings	No filings	1	1	100%
CA160	ESIS	25	23	92%	26	24	92%
CA190	GALLAGHER BASSETT SERVICES	117	95	81%	53	44	83%
CA204	HELMSMAN MANAGEMENT SERVICES	11	11	100%	2	1	50%
CA292	PATRIOT RISK SERVICES, INC	4	2	50%	2	0	0%
CA295	RYDER SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	164	147	90%	109	104	95%
CA320	SYNERNET	No filings	No filings	No filings	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	8	2	25%	2	1	50%
<b>TOTAL TPAS ADMINISTERING FOR INSURERS</b>		<b>521</b>	<b>435</b>	<b>84%</b>	<b>309</b>	<b>276</b>	<b>89%</b>
<b>TPAS ADMINISTERING FOR SELF INSURED</b>							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	89	81	91%	56	54	96%
CA100	CLAIMS MANAGEMENT (WALMART)	20	19	95%	43	36	84%
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	No filings	No filings	No filings	1	1	100%
CA093	CROSS INSURANCE	153	145	95%	280	276	99%
CA160	ESIS	2	2	100%	2	2	100%
CA175	FUTURECOMP	67	57	85%	38	37	97%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	10	7	70%	7	6	86%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	27	26	96%	28	26	93%
CA320	SYNERNET	73	67	92%	137	131	96%
<b>TOTAL TPAS ADMINISTERING FOR SELF INSURED</b>		<b>596</b>	<b>550</b>	<b>92%</b>	<b>874</b>	<b>847</b>	<b>97%</b>
<b>Grand Total:</b>		<b>3,825</b>	<b>3,388</b>	<b>89%</b>	<b>3,042</b>	<b>2,841</b>	<b>93%</b>