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Heuristic algorithms for the generalized vehicle dispatch problem

Leland Ray Miller

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HEURISTIC ALGORITHMS FOR THE GENERALIZED

VEHICLE DISPATCH PROBLEM

by

LELAND RAY MILLER, 1938-

A DISSERTATION

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Ralph E. Lee

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ABSTRACT

A heuristic algorithm, called the sweep algorithm, is developed for the vehicle dispatch problem with distance and load constraints for each vehicl^e . A mathematical development and a step procedure for the sweep algorithm is given. Also given are eight problems and their solutions derived by the sweep algorithm. The solutions for this algorithm are compared with solutions from other vehicle dispatch algorithms, and the sweep algorithm is found to give better results for almost every problem. Various modifications are also presented for the sweep algorithm.

A mathematical formulation is given for the vehicle dispatch problem with arbitrary cost functions at each location. A branch and bound algorithm is developed, which yields an optimal solution for the problem with one server.

ii

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TABLE OF CONTENTS

v

LIST OF ILLUSTRATIONS

 $\zeta(\mathfrak{p})$

 $\widetilde{\mathcal{A}}$ \sim

LIST OF TABLES

I. INTRODUCTION

There exist many problems that fall into the genera^l category of vehicle dispatch problems; however, there does not exist a simple algorithm which will solve these problems. These problems assume that each of N customers has a given location and demand, and that each location must be serviced by a server. The objective is to determine the minimum number of servers and the routes for each server, so that the total distance that the servers travel is a minimum. Each server is also subject to a load and ^a distance constraint.

Examples of the problem arise in the delivery of people or commodities such as bread and furniture. These problems assume ^aknown demand. Examples of the problem also arise in scheduling routes such as those for school busses and refuse trucks, where people or commodities are picked up.

It is usually very difficult to determine an exact optimal solution for a problem involving many locations, due to the large number of possible routes that must be examined. Hence, heuristic algorithms have been developed which yield solutions which are hopefully close to an optimal solution. One objective of this paper is to determine a good heuristic algorithm for the vehicle dispatch problem.

^Aspecial case of the vehicle dispatch problem is

the traveling salesman problem. This case occurs if there are no load and distance restrictions for the servers. Hence, one server is able to meet all the requirements of the customers. The review of literature presents several algorithms for the traveling salesman problem and how they are generalized for the vehicle dispatch problem.

The vehicle dispatch problem can be generalized to include arbitrary cost functions at each location. This creates an additional cost which must be minimized. An example is the scheduling of delivery trucks where a commodity must be delivered in a given time period. This paper presents an exact algorithm which solves the generalized vehicle dispatch problem for one server.

II. REVIEW OF LITERATURE

A. TRAVELING SALESMAN PROBLEM

If there is only one server with no constraints and no arbitrary cost functions, then the vehicle dispatch problem becomes the well-known traveling salesman problem. This problem is that of finding a permutation, $\mathrm{i}_2^{},\ \mathrm{i}_3^{},\ \cdots^{},\ \mathrm{i}_\mathrm{N}^{}$ of the integers 2 through N, so that the N-1 quantity a_{1i} + $\sum_{k=2}^{\infty} (a_{i_ki_{k+1}})$ + a_{i_N1} is a minimum. The element $a_{1,j}$ could represent either the distance or the time of travel from location i to location j. The name ^given to the problem is derived from the application of a salesman who wishes to visit N - 1 cities, starting from and returning to his home, by means of the shortest route. This problem was first posed by Hassler Whitney in 1934 [1].

1. Complete Enumeration

There exist a finite number of routes for the salesman, namely (N - 1)!. Therefore, it is theoretically possible to solve the problem by calculating the distance for each of the routes and selecting the route with the minimum distance. However, even for ten locations the number of possible routes is very large, which makes it impossible for a computer to calculate all the distances in any reasonable length of time. For this reason, algorithms have been developed which reduce the calculation time.

2. Dynamic Programming

Dynamic programming was applied to the problem in two articles, each developed independently of the other. One is by Held and Carp [2], and the other is by Bellman [3].

The procedure for dynamic programming is as follows: Let N denote the number of locations and $\mathtt{a_{ij}}$ the distance from location i to location j.

For any subset, S, of $\{2, 3, \cdots, N\}$ and $p \in S$, let C(S, p) represent the minimum distance for starting from location one, visiting all cities in S, and ending at location p. Then a recursive formulation can be given by the following equations:

If $n(S) = 1$, then $C(\{p\}, p) = a_{1p}$ for all $p \in S$.

If $n(S) > 1$, then $C(S, p) = min$ $[C(S-p, m) + a_{mp}]$.
 $m \in S-p$

In these equations, n(S) is the cardinality of set S and S-p denotes the set S with the element p omitted. These equations provide a method for calculating $C(S, p)$ inductively, first with $n(S) = 1$, then with $n(S) = 2$, and up to $S = \{2, 3, \cdots, N\}$. The minimum distance of a complete tour, including the return to location 1 is

min $p\epsilon$ {2, 3, \cdots , N} [C({2, 3, ..., N}, p) + a_{p1}].

The route which yields this minimum distance is obtained by a "backward" procedure. The p_1 which gave the minimum value for C({2, 3, \cdots , N}, \mathtt{p}_1) + $\mathtt{a}_{\mathtt{p}_1\mathtt{l}}$ is the last location on the route. The p_2 which minimizes $C({2, 3, \cdots, N} \{ {\tt p}_1 {\tt \}},\ {\tt p}_2 {\tt \}}$ is the next-to-the-last location on the route. By continuing this procedure until $n(S) = 1$, the route that minimizes the total distance is obtained .

The algorithm requires a large amount of core storage which restricts the size of the problem. Bellmore and Nemhauser [4] were able to solve a 15-location problem using auxiliary storage.

3. Branch and Bound Algorithm

The branch and bound algorithm is also an exact procedure, in that if a solution is obtained it is a route which produces the minimum total distance. The algorithm has been known to solve a 68- location problem; however, it does not propose to solve all problems of this size within a reasonable time limit. Two papers that have been presented which employ the branch and bound method are Shapiro [5] and Little, Murty, Sweeney, and Karel [6].

The basic method of the algorithm is to divide the set of all tours into smaller subsets and to calculate a lower bound for all the tours in the subset. A tree is built with nodes which represent the subsets of tours. Each node is a subset of the node from which it branches. For example, referring to figure 1, node A represents the set of all tours. Node B represents the set of all tours which contain the link i to j. Node C represents the set of all

Figure 1. Branches of a tree

tours which do not contain the link i to j. Node D represents the set of all tours containing the links i to j and k to m.

^Amethod similar to the assignment problem is used to calculate lower bounds for each node. The distance matrix, M, is a matrix such that $\mathfrak{m}_{\texttt{i}\,\texttt{j}}^{}$ denotes the distance from location i to location j. The lower bound for node A is calculated from the distance matrix using the following theorem: If a constant, h, is subtracted from each element of a row of the distance matrix, then the distance of any tour under the new matrix is h less than under the old. Let $\mathbf{r_{i}}$ be the smallest element in row i (i = 1, 2, \cdots , N) of the distance matrix. The new distance matrix is obtained by subtracting $r_{\rm i}$ from every element in the ith row for $i = 1, 2, \cdots$, N. The same procedure is used for the columns where c_i is the smallest element in column i N (i = 1, 2, \cdots , N). The lower bound is $\sum_{i=1}^{n} (r_i + c_i)$.

Consider the distance matrix for a five-location problem in figure 2. The numbers 2, 2, 1, 2, and 1 are subtracted from rows 1 through 5 respectively, which gives the distance matrix in figure 3. The number 1 is subtracted from columns 1 and 3, which yields the distance matrix in figure 4. The sum of the numbers subtracted from the rows and columns provides the lower bound for node A, which in this example is 10.

	∞	З	3	2	8	
$\frac{1}{2}$	$\overline{3}$	∞	6			
$\overline{3}$	$\begin{matrix} 8 \\ 3 \end{matrix}$	6	∞		4	
$\begin{array}{c}\n4 \\ 5\n\end{array}$			6	∞		
			8	2	$^\infty$	

Figure 2. Distance matrix

	∞			0		(2)
\overline{c}		∞	$\overline{\mathbf{u}}$	$\overline{2}$	0	(2)
$\mathbf{3}$		5	∞	0	3	(1)
4		0	$0.6 -$	∞	\overline{c}	(2)
5	3			٦.	∞	(1)

Figure 3. Distance matrix with smallest element subtracted from each row

		2	3	ц	5	
	8		0	0	6	
\overline{c}	0	∞	3	2	0	
$\overline{3}$	6	5	∞	0	3	
4	0	0	3	∞	\overline{c}	
5	\overline{c}	0	6	ı	∞	
	(1)		(1)			

Figure 4. Distance matrix with smallest element subtracted from each column

The link i to j for node B is obtained by choosing ^a zero in the new distance matrix, which will provide the largest lower bound for node C. This is accomplished by placing ∞ in a zero slot, and calculating the lower bound by adding the smallest element in that row, the smallest element in that column, and the previous lower hound. In figure 4, there are 8 zeroes that need to be considered. The link (1,3) provides a lower bound of 10 + 3, since there is a zero in column 4 of row 1 and a three in row 2 of column 3. Likewise, the link (1,4) provides a lower bound of 10 + 0. After all zeroes are checked, (1,3) and (2,5) both are found to provide the greatest bound for C, namely 13 .

The link (i,j) which produces the greatest lower bound for node C will be the link used in node B. The lower bound for node B is obtained by omitting row i and column N N j and calculating Σ $r_{\rm k}$ + Σ c_k, where again, $r_{\rm k}$ is the smallest k=l k=l k \sharp i k \sharp i

element in row k , and c_k is the smallest element in column k .

Branching *is* continued from the node with the smallest lower bound until all links are used. The lower bound of the last node *is* the total distance for that particular tour and provides a bound for all other tours. Branching from ^anode ceases *if* the lower bound for the node *is* greater than the smallest bound obtained from the completed tours.

Care must be taken *in* selecting the link *i* to j so as to prevent a subtour. Infinity is placed in the slot to prevent this. For example, if (a,b) and (b,c) are two links in previous nodes, then links (a,c) and (c,a) are assigned a distance of infinity.

Computing time varies with each problem, depending on whether a good lower bound which will eliminate many branches is determined at first.

4. Integer Programming

Bellmore and Nemhauser [4] state a theorem which shows that the traveling salesman problem can be set up as a 0 - 1 integer linear-programming problem. The theorem is as follows:

Let S, \overline{S} be a partition of the integers i = 1, 2, \cdots , N. An optimal tour can be found by solving the integer linear program;

$$
\begin{array}{ll}\n\text{min } z = \sum_{j=2}^{N} \quad \sum_{i=1}^{j-1} a_{ij} x_{ij}\n\end{array}
$$

subject to;

1. $x_{\text{ij}} = 0,1$ (i = 1,2, \cdots , j-1; j = 2,3, \cdots , N), 2. Σ $i\bar{\epsilon}$ S Σ x_{ii} \geq 2 for all nonempty partitions ι
jες ^xij (S, \overline{S}) such that if (S, \overline{S}) is considered, then (\overline{S}, S) is not.

 x_{ij} = 0 if the link (i,j) is not in the tour, and x_{ij} = 1 if the link (i,j) is in the tour. The disadvantage in finding an optimal tour by integer

programming 1s that it requires many variables and many inequalities. Hence, again, the program is only suitable for small N. Several modifications to linear programming have been given with fewer variables and inequalities. Martin [7] claims to have solved a 42-location problem. However, other articles, [2] and [8], have reported discouraging results with integer programming.

All four of the previous algorithms are exact procedures, and since they are inadequate for a problem with a large number of locations, methods have been devised to ^give solutions which compare favorably to the exact solution. Several of these methods are iterative in that they improve initial tours.

5. Partitioning

Held and Karp [2], used partitioning with dynamic programming. This is an iterative procedure, which uses an initial tour. The initial tour is partitioned into ^u ordered sets, each consisting of locations which occur successively in the initial tour. By treating each partition as ^alocation, a u-location traveling salesman problem is created. If (i_1, i_2, \cdots, i_p) and (j₁, j₂, \cdots , j_a) are two ordered sets of a partition, then the distance between the two ordered sets is a_i , j_1 . If u is not too large, then the u-location problem can be solved by an exact scheme. The solution will have placed

each ordered set into the best position, which will have equaled or improved the initial route. In essence, the ordered sets are moved about to produce a better solution.

Different partitions may be used to produce different solutions. Held and Karp defined two types of partitioning, local and global. In a local partition, each of the ordered sets, except one, consists of a single element. This determines the best tour over a local part of the partition. A global partition takes each ordered sets nearly equal in size.

Held and Karp used dynamic programming to solve the sub-traveling salesman problem. They presented several good results on locations of size 42, 20, 48, and 36.

6. R - optimal

Another iterative scheme which uses an initial tour is r-optimal. Lin [9] defines a tour to be r-optimal if it is impossible to obtain a tour with smaller distance by replacing any r of its links by any other set of r links. Figure 5 illustrates 3 links being removed in an 8 location problem. The three removable links are a, b, and c. Two of the routes which can then be formed are 1, 2, 3, 6, 4, 5, 8, 7, 1; and 1, 2, 3, 8, 5, 4, 6, 7, 1.

Lin discovered from experimenting that $r = 3$ gives excellent results with small computation time compared to r = 4. Since all 3-optimal routes are also 2-optimal, he restricted his algorithm to 3-optimal routes.

12

Figure 5. Tour with three removable links

Lin proved a theorem which he used as ^abasis for his algorithm in producing 3-optimal tours. It is as follows: ^Atour is 3- optimal if and only if no section of *k* consecutive locations in the tour can be removed and reinserted (as is, or inverted) between any two consecutive remaining locations to produce a tour with less total distance.

He modified the 3-optimal procedure in two ways. First, he started with an initial tour and then proceeded to find ^a3-optimal tour by successively placing *k* consecutive locations ($k = 1, 2, \dots, N$) between two other consecutive locations. As soon as he found an improvement, he took this new tour and started the procedure over again, after first rotating the locations to the next consecutive locations. The algorithm stops if no improvement can be made by placing *k* consecutive locations between any two other consecutive locations .

This program took a relatively short time on ^a computer, so Lin modified it a second way by calculating ^m3-optimal solutions from m random initial tours. The links that were common to all m 3-optimal tours were then removed , with the premise that any link common to all m 3-optimal tours will also be a link in an optimal tour. This reduces the number of locations, and hence, the size of the problem. The procedure is then repeated. (Lin does not say what he would do if there were no common links).

Bellmore and Nemhauser [4] stated in their summary of the traveling salesman problem that they would use dynamic

14

programming if the number of locations were less than or equal to 13. For symmetric problems up to 40 locations, they recommend the branch and bound algorithm. Then for problems which can not be solved by exact schemes, they suggest Lin's 3-optimal algorithm.

 \sim

 $\sim 10^{-11}$

B. VEHICLE DISPATCH PROBLEM

The vehicle dispatch problem is a generalization of the traveling salesman problem. The difference between the two is that the vehicle dispatch problem may use more than one salesman, and may also have restrictions on the distance that each salesman may travel. The problem may also be applied to a fleet of trucks which must deliver products to various locations when there are restrictions on the number of miles traveled by a single truck and a load capacity for a single truck.

A mathematical formulation of the problem is as follows:

Given;

- l. A set of N locations including the depot,
- $2 \cdot$ A distance matrix $A = (a_{ij})$ which specifies the distance between location i and location j ,
- 3 A demand vector $Q = (q_i)$ which specifies the demand at location i,

4. The truck capacity C,

5. The maximum mileage L that a **truck** may travel. To determine; M routes (i_{ll}, i_{l2}, ..., i_{lk₁}; i_{2l}, i₂₂, ...,

$$
\begin{array}{cccc}\n i_{2k} & \cdots & i_{M1} & i_{M2} & \cdots & i_{Mk} \\
 & k_{j} & & & \\
 1. & \sum_{p=1}^{L} q_{i} & \leq C \text{ for } j = 1, 2, \cdots, M \text{ (load constraint)},\n \end{array}
$$

k--1 ^J 2. D. = L: a. . + a. . <L, ^Jp=l 1jp1J.p+l 1.k 1.1 J j ^J

(distance constraint)

M so that Σ j=l D. (total distance) is a minimum, where

 i_{j} is the depot, and every location is visited once and only once.

1. Conversion into Traveling Salesman Problem

Christofides and Eilon [10] presented a procedure to transform the vehicle dispatch problem into a traveling salesman problem with certain constraints. They augmented the distance matrix with M artificial depots. All of them have the same location, with infinity assigned to the distance between two depots to prevent traveling from one depot to another. This is illustrated in figure 6.

The number of artificial depots augmenting the distance matrix will begin with a small number and increase by one until there exists a feasible solution. Christ-N ofides and Eilon suggest the lower bound of [Σ q_{i/}] + l
i=l N for the first value of M since M \cdot C $>$ Σ q.. --
⁻⁻ י= ז

In building the route, using a traveling salesman algorithm, a check must be made after each location is added to the route, to see if the distance constraint or the load capacity is violated between two artificial depots.

 $\sim 10^7$

Figure 6. Augmented distance matrix

 \bar{z}

Christofides and Eilon used the branch and bound algorithm by Little et al. [6] on several problems. They concluded that this method was inadequate because it could not solve a problem with more than 20 locations, since both the computation time and memory - space requirements became exhaustive. Bellmore and Nemhauser [4]. have reported solutions to problems of size 40.

This procedure is an exact algorithm in that it yields an optimal solution. It is the only exact algorithm for the vehicle dispatch problem known to the author. Vehicle dispatch problems that have a practical application are too large to be solved by means of any known exact algorithm. Hence,heuristic procedures have been developed to handle these large problems.

2. Savings Approach

The vehicle dispatch problem was first presented by Dantzig and Ramser [11] in 1959. The method they employed to solve the problem has become known as the savings approach. Clark and Wright [8] modified the method in an article in 1964 and restricted the load capacity to the same quantity for each vehicle. Gaskell [12] and Christofides and Eilon [10] also gave modifications of the savings approach.

The algorithm begins by linking each location with one vehicle and then returning to the depot. Links are then joined to eliminate vehicles by means of the "savings"

equation, s_{ij} = a_{li} + a_{lj} - a_{ij}. This quantity represents the amount saved by joining location i to location j. (See figure 7.) The depot is represented by 1. The total distance for the two vehicles before they are joined is $2a_{1i}$ + $2a_{1i}$. After the two locations are joined for one route, the total distance is a_{1} ; + a_{1} ; + a_{11} . Hence the a_{ij} . The largest savings, $\mathbf{s}_{\texttt{i}\texttt{j}}^{}$, is selected and checked to see if the constraints are satisfied after locations i and j are joined. If the link i to j is feasible, it is added. Otherwise another link is considered. The solution is obtained when no more links can be added.

Tillman and Cochran [13] further revise Wright and Clark's algorithm by checking the next largest savings after the pair of points is joined. The sum of the two savings is calculated. The second step proceeds as the first, only taking the second largest savings first. ^A new sum is then calculated. The above procedure is repeated for the third highest savings, the fourth highest savings, etc., until all feasible savings have been included. The largest sum, after linking the pair of points, is then used.

3· R-optimal

Christofides and Eilon [10] introduce the implementing

 $\frac{1}{2}$.

Figure 7. Tour before and after joining two locations

of the 3-optimal algorithm to the dispatch problem. They add artificial depots similar to the way the depots are added in the method described in section A. After generating a random tour, they find a 2-optimal tour. This tour is then used as an initial tour for the 3-optimal algorithm. The constraints are checked after a better tour has been generated. The distance and demand constraints are checked between each two successive depots. If the constraints are satisfied, then three more links are changed until a better tour cannot be formed by changing three links.

It appears from Christofides and Eilon's algorithm that they do not use Lin's algorithm [9] to generate a 3-optimal tour. Rather, they use Lin's definition of a 3-optimal tour: A 3-optimal tour is a tour that cannot be improved by removing 3 links and replacing them by 3 other links. However, they do use the method proposed by Lin, which starts a new search for the 3-optimal tour as soon as a better tour is determined.

The conclusion reached by Christofides and Eilon is that the 3-optimal algorithm gives better routes than the savings method. Christofides and Eilon did not include the distance constraint in the 3-optimal algorithm.

4. Hayes' Algorithm

Hayes [14] developed a heuristic approach for the vehicle dispatch problem in much the same way that a dispatcher would dispatch his fleet of trucks. He first estimates the number of routes that he will need and then picks the same number of outside points. The first outside point is the point furthest from the depot. The other outside points are those obtained by maximizing the quantity, $a_{i1} \cdot \prod_{k=2}^{n} a_{j_k i}$, over all locations i that are not already outside points, and where j_k are outside points and 1 is the depot. This is illustrated in figure 8. The algorithm then chooses one outside point, and adds locations to this point until a tour has reached either a distance or a demand restriction. Then a new outside point is chosen and the remaining points serve as candidates for the next tour. The points are added to the tour according to a score which is assigned to each point. This score is com-

prised of a variety of different values;

- a) Its demand, $Q_{\textbf{i}}^{},$
- b) Its distance from the depot, a_{il},
- c) Its distance from the line joining the outside point and the depot, $\mathbf{d}_{\mathbf{i}}^{},$

d) Its distance to the nearest unassigned point, $\boldsymbol{\mathrm{f}_i}.$ These values are shown in figure 9, where x represents the assigned points, o represents the outside point, and z represents the unassigned points.

The score for a location is a linear combination of these quantities, with the coefficients of Q_i , a_{i1} , and $\mathbf{f_{i}}$ being positive and the coefficient of $\mathbf{d_{i}}$ negative;

Figure 8. Determining outside points

Figure 9. Determining score for point z_j

 $\mathbf x$

a point will be added to a route if Q_i , a_{i1} , and f_i are large and d_i is small. Hayes does not advocate any particular values for the coefficients. However, he did run some tests on several problems. The location with the largest score is selected and then the constraints are checked. If the constraints are satisfied, thenthe location is added to the route. If one of the constraints is not satisfied, the location with the next largest score is examined. After two attempts to add a location, the route is closed and a new outside point is chosen. The algorithm is complete when all locations are assigned. If more outside points are needed, thenthe unassigned location whose distance from the depot is the greatest, is assigned as an outside point.

It is difficult to compare Hayes' method with other algorithms, since he did not give any results using the method. Also the method used to obtain the values of the coefficients is vague.

26

III. VEHICLE DISPATCH PROBLEM

A. MATHEMATICAL DEVELOPMENT OF SWEEP ALGORITHM

The purpose of this chapter is to present the mathematical foundations for the vehicle dispatch problem and the sweep algorithm.

DEFINITION 1. The vehicle dispatch location problem (VDLP) is a set of integers, $S = \{1, 2, \cdots, N\}$, containing at least two elements; two positive real numbers, C and D; and the following functions:

a) Q(I), a positive real valued function defined on S' , where $S' = S - \{1\}$,

b) A(I,J), a real valued function defined on S X S,

c) X(I) and Y(I), two real valued functions defined on S,

which satisfy the following constraints:

d) $Q(I) < C$ for all $I \in S'$,

e) $A(I,J) > 0$ for all I and J ϵ S except I = J,

f) $A(1,1) + A(1,1) < D$ for all $I \in S'$,

 g) A(I,I) = 0 for all I ε S.

In the vehicle dispatch problem the set S represents the N locations with 1 as the depot. $Q(I)$ represents the demand for location I, and A(I,J) represents the distance between locations I and J. X(I) and Y(I) are the rectangular coordinates for location I. C and D represent the load and distance capacities respectively for each vehicle.

27

DEFINITION 2. SUM(P) = Σ Q(I) for all PCS'. $I\epsilon P$

DEFINITION 3. DIST(P) = Min $[A(1, \alpha(1))$ + $\alpha \varepsilon$ Per(P) $n(P)$
 $\frac{1}{2}$ $\frac{1}{2}$ $A(\alpha(i-1), \alpha(i))$ + $A(\alpha(n(P)), 1]$ for all PcS' where Per(P) is the set of all permutations of elements of P and n(P) is the cardinality of P.

DIST(P) is the minimum distance for traveling through all locations in P, starting and ending at 1.

DEFINITION 4. An(I) = $arctan((Y(I) - Y(1))/(X(I) - X(1))$ where $-\pi$ <An(I) < 0 if Y(I) - Y(1) < 0, and 0 \le An(I) \le π if $Y(I) - Y(1) > 0$, for all $I \epsilon S'$.

Let us assume that the locations (elements of S') are arranged so that $An(I) < An(I+1)$. (If there exists an I and a J such that $An(I) = An(J)$ then I<J if $A(1, I) < A(1, J)$. This determines a unique ordering).

DEFINITION 5. A P-sect is a nonempty set, P , of elements such that

- a) $P\subset S'$,
- b) If I ϵ P, J ϵ P, K ϵ S', and I<K<J, then K ϵ P,
- c) If N \sharp P then either SUM(P \cup {L+1}) > C or DIST(P θ {L+1}) > D where L is the L.U.B. for P,
- d) $SUM(P) < C$,
- d) DIST(P) < D.

DEFINITION 6. E is a dispersement if and only if $E = {P_1, P_2, \cdots, P_k}$ such that

a) $P_i \cap P_j = \emptyset$ for all i = 1, 2, ..., k; j = 1,2,...,k; and i \neq j,
b) $v_{p} = s'$. $\frac{v}{i=1},\cdots,k^{\binom{P}{i}}$

DEFINITION 7. E = $\{P_1, P_2, \cdots, P_k\}$ is a P-sect dispersement if and only if P_i is a P-sect for i = 1,2, \cdots , k, and E is a dispersement.

DEFINITION 8. The P-sect P_2 follows P_1 if and only if there exists an I ϵ P₁ such that I + 1 ϵ P₂, where P₁ \texttt{CS} '.

THEOREM 1. A P-sect dispersement for a VDLP exists and is unique.

PROOF. To prove existence, we construct a dispersement whose elements are P-sects. Let $P_1 = \{2, 3, \cdots, I_1\}$ CS' such that conditions c,d, and e of definition 5 are satisfied. P_1 \uparrow Ø since 2 ϵ S' by definition of VDLP statements d and e. Therefore P_1 is a P-sect. If $I_1 = N$, then the theorem is complete in that there is only one set in the dispersement. If $I_1 \leq N$, then let $P_2 = {I_1 + 1, I_1 + 2, \cdots, I_2}$ cs' such that conditions c,d, and e of definition 5 are satisfied. Hence P_2 is a P-sect. Likewise define P_3, P_4, \cdots, P_k until P_k contains N. E = $\{P_1, P_2, \cdots, P_k\}$ is a P-sect dispersement since each P_i is a P-sect, the P_i 's are disjoint, and every element in S' is in a P-sect.

To show uniqueness it is sufficient to show that the construction of the P_i's is unique. Since $2 \varepsilon S'$ it must be in one of the P_i 's. P_1 was constructed so as to contain 2. Now P_1 cannot contain any more elements by definition 5 statements d and e, and it cannot contain any fewer elements by definition 5 statement c. Hence P_1 is uniquely determined. Likewise P_2 , P_3 , \cdots , P_k are uniquely determined. Therefore the construction of each P_i is unique and hence the P-sect dispersement for a VDLP is unique.//

DEFINITION 9. Let $\theta = {P_1, P_2, \dots, P_k}$ be a dispersement. The total distance of θ , (TD(θ)), is defined to be $\texttt{TD}(\theta) = \sum_{i=1}^{k} \texttt{DIST}(P_i).$

DEFINITION 10. A dispersement, $\theta = \{P_1, P_2, \cdots, P_k\}$ is an optimal dispersement if and only if $TD(\theta) < TD(R)$ where R is any dispersement.

There exists a VDLP such that the P-sect dispersement is not an optimal dispersement. This can easily be verified by the following example: Let $S = \{1, 2, 3, 4, 5\}$, $C = 2$, and $D = 15$. Let the functions $X(I)$, $Y(I)$, $Q(I)$ and $A(I,J)$ be defined according to figure 10. By examining the functions it is easy to verify that conditions d,e,f, and g of definition 1 are satisfied. Hence, it is a VDLP. Examination of all the X(I) and Y(I) values reveals that $An(M) \leq An(M+1)$, for $M = 1,2,3$ and 4 .

Let P₁ = {2,3} and P₂ = {4,5}. $\theta = {P_1, P_2}$ is a dispersement and the total distance for θ is:

TD(θ) = DIST(P_1) + DIST(P_2) = (1+4+5) + (6+4+3) = 23. θ is the P-sect dispersement.

Another dispersement is $\theta_1 = {T_1, T_2}$ where $T_1 = {2, 5}$ and $T_2 = \{3, 4\}$. The total distance for θ_1 is:

TD(θ_1) = DIST(T₁) + DIST(T₂) = (1+2+3) + (5+1+4) = 16. Since $TD(\theta)$ >TD(θ_1), the P-sect dispersement is not an

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T		2	3		г,
X(I)					
Y(I)	0	0		$\overline{2}$	
Q(I)					

Figure 10. Examples for A, X, Y , and Q

optimal dispersement.//

In an application involving the vehicle dispatch location problem we desire to find an algorithm which will produce an optimal dispersement. However, for large ^N this becomes exceedingly difficult. Hence, we are satisfied with a dispersement with total distance close to the total distance of an optimal dispersement. Since a P-sect dispersement is not necessarily an optimal dispersement ^a P-sect dispersement is changed so as to minimize the total distance. This leads to the definition of a modified P-sect.

DEFINITION 11. Let $\{P_1, P_2, \cdots, P_k\}$ be a dispersement and let P_{i+1} be a P-sect. P_i' is a modified P-sect of P_i if and only if $P_1^{\prime}=(P_1U\{M\})-\{K\}$ where M is the Q_2 and K the Q_1 such that H = DIST($(P_i \nu(Q_2)) - (Q_1)$) + DIST(($P_{i+1} \nu(Q_1)$ $H(Q_2)$) is a minimum for all $Q_1 \varepsilon P_i$ and $Q_2 \varepsilon P_{i+1}$ and with DIST(P¹) $\leq D$, SUM(P¹₁)<D where P₁₊₁ is the P-sect that follows P₁. If $H \geq DIST(P_i) + DIST(P_{i+1})$ then $P'_i = P_i$.

THEOREM 2. In definition 11, $\{P_1, P_2, \cdots, P_{i-1}, P_i\}$ T_{i+1} , P_{i+2} , \cdots , P_k } is a dispersement where T_{i+1} =(P_{i+1} U{K})-{M} if P'_i ^{\neq} P_i otherwise T_{i+1} = P_{i+1} .

PROOF: If $P_i' = P_i$, then $\{P_1, \ldots, P_i', T_{i+1}, \ldots, P_k\}$ is a dispersement since ${P_1, \cdots, P_k}$ is a dispersement.

If $P'_i \neq P_i$, then each of the sets of $\{P_1, \cdots P_i\}$, T_{i+1}, \cdots , P_k } are disjoint and every element in S' is in at least one of the sets, since just two elements of the two sets were interchanged, and since $\{ {\tt P}_{\tt l},\ {\tt P}_{\tt 2}, \cdots, {\tt P}_{\tt k} \}$ is a dispersement. Hence,the set $\{ {\tt P}_{\tt 1}, \cdots, {\tt P}_{\tt i}^{\tt} , {\tt T}_{{\tt i+1}} , \cdots, {\tt P}_{\tt k}^{\tt} \}$ is a dispersement.//

By modifying one set at a time, beginning with \mathtt{P}_1 , a dispersement can be completely modified. Let $\{ {\tt P}_{\tt l}^{\tt I}\,,\; {\tt T}_{\tt 2}\,,\;$ P_3 ,..., P_k } be the dispersement with P'_1 the modified P-sect of P_1 , and T_2 =(P₂-{M}) υ {K}if P_1 ≠ P_1^{\prime} and T_2 = P_2 otherwise. Then let P_2' be the modified P-sect of T_2 with T_3 = (P₃ - {M}) ν {K} if $T_2 \neq P_2'$ and $T_3 = P_3$ otherwise. Continuing this process through P_{k-1} the dispersement ${P_1^1, \dots, P_{k-1}^1, T_k}$ is obtained. This dispersement is called the modified dispersement of the P- sect dispersement ${P_1, P_2, \cdots, P_k}.$

THEOREM 3. TD({P₁', P₂',.., P_{k-1}', T_k})<TD({P₁, \cdots , P_k })

PROOF. By definition ll a P-sect is only changed if the sum of the two DIST values of each set is decreased . Hence, by the definition of the total distance of a dispersement, the total distance of the modified dispersement is less than or equal to the total distance of the P-sect dispersement.//

There may exist a dispersement for which the total distance can be improved by exchanging one location in ^P with two locations in the P-sect which follows P. This leads to the definition of a second modified P-sect.

DEFINITION 12. Let $\{P_1, P_2, \cdots, P_k\}$ be a dispersement and P_{i+1} a P-sect that follows P_i . Then P_i '' is

called a second modified P-sect of P_i if and only if $P_{\texttt{i}}$ ''= ($P_{\texttt{i}}$ U {M}U{L})-{K} where M is the Q_2 , L the Q_3 and K the Q_1 such that H = DIST($(P_1 \cup \{Q_2\} \cup \{Q_3\}) - \{Q_1\}$) + DIST($(CP_{i+1} \cup \{Q_1\}) - \{Q_2\}) - \{Q_3\}$) is a minimum for all $Q_1 \varepsilon P_1$, $Q_2 \varepsilon P_{i+1}$, and $Q_3 \varepsilon P_{i+1}$ and with . . $\texttt{DIST}(P_{\hat{\texttt{i}}^*}) \leq D$, $\texttt{SUM}(P_{\hat{\texttt{i}}^*}) \leq D$.

If H \geq DIST(P_i) + DIST(P_{i+1}), then P_i'' = P_i. THEOREM 4. In definition 12,

 $\{P_1, P_2, \cdots, P_{i-1}, P_i\}'$, $W_{i+1}, P_{i+2}, \cdots, P_k$ is a dispersement where $W_{i+1} = (P_{i+1}U\{K\}) - \{M\}) - \{L\}$ if P_i ^{''} $\neq P_i$, otherwise $W_{i+1} = P_{i+1}$.

PROOF. If P_i' = P_i , then $\{P_1, \cdots, P_i'$ ', $W_{i+1}, \cdots, P_k\}$ is a dispersement since $\{ {\mathtt P}_{1}, {\mathtt P}_{2}, \cdots,$ ${\mathtt P}_{k} \}$ is a dispersement.

If P_i ^{''} \neq P_i , then each of the sets of $\{P_1, \cdots,$ P_i '', $W_{i+1}, \cdots,$ $P_k\}$ are disjoint and every element in S' is in at least one of the sets, since just two elements of P_i were exchanged for one element of P_{i+1} and since the set $\{ {\mathtt P}_{\mathtt 1}, {\mathtt P}_{\mathtt 2}, \cdots, {\mathtt P}_{\mathtt k} \}$ is a dispersement. Hence, $\{P_1, \cdots, P_i$ '', $W_{i+1}, \cdots, P_k\}$ is a dispersement.//

By determining the second modified P- sect beginning with $P_1^{}$, it is possible to completely determine the second modification of a dispersement. Let $\{P_1$ '', W_2 , $P_3, \cdots, P_k\}$ be the dispersement with P_1 '' the second modified P-sect of P_1 and W_2 =((P_2 U {K})-{M})-{L} if P_1 = P_1 '' and W_2 = P_2 other-

wise. Then let P_2 '' be the second modified P-sect of W_2 with $W_3 = ((P_3 \dot{V} (K)) - (M)) - (L)$ if $W_2 = P_2$ '' and $W_3 = P_3$ otherwise. Continuing this through P_{k-1} we obtain the following dispersement: ${P_1'$ ', P_2' '', \cdots , P_{k-1} '', W_k }. This dispersement is called the second modified dispersement of the P-sect dispersement $\{P_1, P_2, \cdots, P_k\}.$

THEOREM 5. $TD{P_1}'', P_2'', \cdots, P_{k-1}'', W_k}) \le$ TD(${P_1$ ', P_2 ', ..., P_{k-1} ', T_k) where ${P_1$ '', P_2 '',..., P_{k-1} '', W_k } is the second modified dispersement and ${P_1}$ ', ${P_2}$ ', \cdots , P_{k-1} ', W_k } is the modified dispersement of the P-sect dispersement ${P_1, P_2, \cdots, P_k}.$

PROOF. First note in definition 12 that Q_2 may equal Q_3 . Hence, K may equal L. But this implies that all possibilities of switching one location of P_i with one location in P_{i+1} are considered. This however, is the definition for a modified P-sect. Hence, $\text{DIST(P}_\text{i}{}')$ + $DIST(T_{i+1}) \geq DIST(P_i'') + DIST(W_{i+1})$. Therefore, the total distance of a second modified dispersement is less than or equal to the total distance of a modified dispersement.//

COROLLARY 1. TD(${P_1}''$, ${P_2}''$, \cdots , ${P_{k-1}}''$, W_k) \leq TD(${P_1, P_2, \cdots, P_k}$).

PROOF. This follows immediately from theorems 3 and 5.//

Examples of a vehicle dispatch location problem can be ^given for which the second modified dispersement is not an optimal dispersement.

B. SWEEP ALGORITHM PROCEDURE

The mathematical development in the previous section provides the basis for the sweep algorithm. The locations are partitioned into a P-sect dispersement and then into a second modified P-sect dispersement. Corollary 1 assures us that a second modified P-sect dispersement has a total distance less than or equal to the total distance of a P-sect dispersement. A second modified P-sect dispersement may be obtained by rotation the X and Y axes counterclockwise so that the first location will become the last location, the second location will become the first location and so forth. This process of rotating the X and Y axes is continued until a new P-sect dispersement cannot be generated. Each time, the total distance of the second modified P-sect dispersement is calculated. The minimum of these total distances provides a good heuristic solution for the vehicle dispatch problem.

The algorithm begins with location 2 and then adds locations 3,4, ... to the route. Recall that the locations were renamed according to the size of the polar coordinate angle; location 1 has the smallest angle; location 2 has the next largest angle, and so forth. This is called the forward procedure. A second method begins with location N and adds locations N-1, N-2, ... to the route. This procedure is called the backward procedure. In most cases the two procedures produce different routes.

A disadvantage of the algorithm is that a traveling

salesman problem must be solved many times in order to determine a second modified P-sect. This is necessary in order to determine the location which is to be eliminated from the route, and the locations which are to be added to the route. Hence, in the sweep algorithm these locations are determined heuristically by the following procedure: The location to be deleted from the route is obtained by minimizing a function of the radius, R(I), and the angle, An(I), of each location in the route. This provides ^alocation that is both close to the depot and also close to the next route. A function of R and An, which seems to work very well, is $R(I) + An(I) \cdot AVR$, where AVR is the average of the radii for all locations. For a modified P-sect, the location, I, which is augmented to the route, is the location nearest to the last location that was added to the route. For the second modified P-sect, the other location added to the route is the location nearest to location I. Choosing these locations in this manner may not give the best locations. However, it provides ^a very fast scheme for selecting the locations, compared to the use of other algorithms, which require solving the traveling salesman problem many times.

If one or two locations are added to the route by this scheme, then the next location is also checked to see if it can be included in the route. This process of adding one or two locations and deleting another location continues until no improvement is found. Hence, an iterative scheme

is established. Figure 11 illustrates this scheme with an example of 21 locations and all possible paths between the locations. For example, it is impossible to go directly from location 4 to location 10. Let the distance between two adjacent houses be 1, then $A(1,2) = 5$, $A(2,3) = 1$, $A(2,6) = 2$, $A(4,10)$ = 5, and so forth. Also, let each location have a demand of l and let the load capacity be 10. The backward sweep would first assign the locations 21, 20, 19, 18, 17, 16, 15, 14, 13, and 12, since each location is selected according to the value of the angle in polar coordinates. These locations are circled in figure 12. This route has ^a distance of 18.

The iterative scheme then selects location ll and deletes location 15, which is shown in figure 13. By applying the iterative scheme two more times, locations 10 and 7 are augmented to the route, while locations 19 and 18 are eliminated. This provides a total distance of 16. This is shown in figure 14.

Another variation involves checking the J + 2 location, where J is the last location added to the route. If the distance and load constraints are satisfied, then the J+2 location is added to the route. This variation will always yield the same number of or fewer routes. However, it may produce a dispersement with greater total distance.

Taking these two variations two at a time gives four possibilities. All four of these possibilities are used in the sweep algorithm.

Figure 11. Example of a vehicle dispatch problem

Figure 12. Initial tour

Figure 13. Tour after one iteration

Figure 14. Tour after three iterations

 $\mathcal{A}^{\mathcal{A}}$

41

The following step procedure for the sweep algorithm presents the forward procedure and does not check the J + 2 location. We shall assume the notation used in the mathematical development, and also that we have a VDLP. Instead of relabeling the locations, we will let K(I) denote the location with the Ith largest angle. Fortran logic is used in explaining the step procedure.

STEP 1.

Evaluate the polar coordinates for each location with the depot at $(0,0)$. Let An(I) represent the angle and R(I) the radius for location I.

STEP 2.

Determine K(I) for $I = 1, \cdots, N$ such that $An(K(I))$ is less than or equal to An(K(I+l)) .

STEP 3 .

Begin the first route with $J = 2$ and SUM = $Q(K(2))$. STEP 4.

Increment the angle by making $J = J + 1$. STEP 5.

If SUM + $Q(K(J)) > C$, then go to step 7. STEP 6.

Augment the route with location $K(J)$ by making SUM = SUM + $Q(K(J))$. If $J = N$, then go to step 16. If $J \neq N$, then go to step 4.

STEP 7 .

Calculate the minimum distance, D_1 , for the route,

by means of a traveling salesman algorithm. Check the distance constraint. If the distance capacity is exceeded then eliminate $K(J-1)$ from the route. Make SUM=SUM-Q($K(J-1)$) and $J = J-1$. Check the distance constraint again. Continue this procedure until the distance constraint is satisfied. STEP 8.

Determine JJX so that K(JJX) is the nearest location to $K(J-1)$ and not in a route. Find JII so that $K(JII)$ is the nearest location to K(JJX) and not in a route. Likewise determine I so that $R(K(I)) = An(K(I)) \cdot AVR$ is a minimum for all locations in the route. Let KII denote this I. Determine the minimum distance, D_2 , for the route with K(JJX) added to the route and K(KII) deleted from the route. STEP 9.

If $D_2 \le D$ and the load constraint is satisfied, then go to step 11. Otherwise go to step 10. STEP 10.

Record the route and start a new route by setting SUM = $Q(K(J))$. Go to step 4.

STEP 11.

Evaluate the minimum distance, D_3 , for starting at 1, traveling through locations K(J), K(J+l),···, K(J+4) and ending at K(J+5). Determine the distance, D_{μ} , for traveling through the same locations, except eliminate K(JJX) and inject K(KII). If K(JJX) is not K(J), K(J+1), \cdots , or K(J+4), then go to step 10. If $D_1 + D_3 < D_2 + D_4$ then go to step 13. Otherwise go to step 12.

STEP 12.

Place K(JJX) in the route and remove location K(KII). Go to step 4.

STEP 13.

Evaluate the minimum distance, D_{5} , for the route with K(JJX) and K(JII) substituted for K(KII). If K(JJX) and K(JII) are not $K(J)$, $K(J+1)$, \cdots , or $K(J+4)$, then go to step 10. If D_5 < D and the load constraint is satisfied then go to step 14. Otherwise go to step 10. STEP 14.

Determine the minimum distance D_{6} for starting at 1; traveling through locations K(J), K(J+l),···, K(J+4); and ending at K(J+5), with K(JJX) and K(JII) excluded and K(KII) included. If $D_1 + D_3 < D_5 + D_6$, then go to step 10. Otherwise go to step 15.

STEP 15.

Place K(JJX) and K(JII) in the route and eliminate K(KII) from the route. Go to step 4. STEP 16.

Evaluate the minimum distance for the route and check the distance constraint. If not satisfied, then go to step 17. If satisfied, then that set of routes is complete. Check to see if another set of routes is needed. If no more are needed, then go to step 19. Otherwise go to step 18.

STEP 17.

Delete one from the route. (J *=* J- 1.) Go to step 10.

STEP 18.

Increment the angle by one location (i.e. start with K(3) for the second set of routes.) Go to step 2. STEP 19.

Stop.

Bellmore and Nemhauser have tested several algorithms for the traveling salesman problem and have reported that Lin's 3-optimal did as well, if not better than, other algorithms [4]. Hence, the 3-optimal algorithm was used in the sweep algorithm to determine the sequence of locations which yields a minimum distance for each route.

An algorithm is also needed to determine the minimum distance of traveling through locations $K(J)$, $K(J+1)$, ..., $K(J+4)$; starting with 1 and ending at $K(J+5)$. This is not a traveling salesman problem, in that it does not begin or end at the same location. Hence, Lin's 3-optimal algorithm does not apply. In chapter IV, section B, a branch and bound algorithm is used with arbitrary cost functions at each location. This algorithm can easily be modified to determine the minimum distance of a location problem which does not begin and end at the same location. Therefore, it was used in the sweep algorithm.

The sweep algorithm is a heuristic procedure which attempts to minimize the number of servers and the total cost. Contradictory as it may seem, minimizing the number of routes does not necessarily minimize the total cost. can best be shown by the example given in figure 15. This Let

Figure 15. Five locations with two and three routes.

location 1 be the depot at the origin, and the coordinates of the four locations be a follows: location 2 at (-2,2) with demand 20; location 3 at (-4,4) with demand 20; location 4 at $(4,2)$ with demand 40; and location 5 at $(0,-5)$ with demand 40. Let theload limit for the servers be 60. It is possible to construct two routes that will service all four locations, namely routes 1,4,2,1 and 1,5,3,1. This yields a total distance greater than the three routes: 1,2,3,1; 1,4,1; and 1,5,1. Hence, an optimal solution may not have the minimum number of routes.

C. MODIFICATIONS OF SWEEP ALGORITHM

There are various ways in which the sweep algorithm may be modified. Several of these variations were tested and have produced better solutions on particular problems. Four modifications which have been considered are as follows:

1. In the sweep algorithm, the location, K(JJX), which replaces a location already in the route, is the location closest to the last location in the route. Figure 16 shows that this procedure may not yield the best location . Let X represent the locations of a route, Z represent the depot and 0 represent the unassigned locations. Since location 6 is the last location in the route and location 8 is the closest location to 6, the sweep algorithm selects location 8 for K(JJX). However, from figure 16 it is seen that location 7 is a better choice for K(JJX) .

Location 7 may be chosen by first requiring R(I) to be large, where I is a location in the route, and then making K(JJX) the M which minimizes A(I,M), where M is an unassigned location. A suggested lower bound for R(I) is AVR (0.7) , where AVR is the average of the R(K)'s for all $K = 2, 3, \cdots, N$.

2. Step 8 in section B uses a function of R and Q to determine the location to be deleted from a route. Several functions were used, but none were found to be superior in all cases. The function $R(I) + Q(I) \cdot AVR$ gave better overall results than other functions that were used.

48

Figure 16. P-sect dispersement and unassigned points

3. Steps 11 and 14 in the sweep algorithm may also be modified to include more locations than K(J+S). This will always provide the same or better solutions. However, as soon as more locations are used, then more time is needed to calculate the minimum distance to traverse the locations.

4. The sweep algorithm examines the second modified P-sect to see if it provided a savings in the total distance. Likewise, a third modified P-sect might also be checked. This involves changing three locations not in the route with one location in the route. Other combinations might also be examined by such means as interchanging two locations for two other locations, or interchanging three locations for two locations. Again the difficulty with checking these possibilities is that it requires many computations since ^atraveling salesman problem must be solved each time. Examples can be given where these combinations can provide ^abetter solution. However, the problems that were solved did not reveal this. (See appendix B).

50

IV. GENERALIZED VEHICLE DISPATCH PROBLEM

A. MATHEMATICAL FORMULATION OF THE PROBLEM

The vehicle dispatch problem with arbitrary cost functions is a generalization of the vehicle dispatch problem and is defined as follows:

Given;

- 1. $\{1,2,\cdots, N\}$, the set which represents N locations,
- 2. $A_{\dot 1 \dot 1}$, the time to travel from location i to location j ,
- 3. $f_i(s_i)$, an arbitrary cost function assigned to location i where $\mathsf{s}_{\mathbf{i}}$ is the time that location i was serviced by a server,
- 4. $Q_{\texttt{i}}^{\texttt{}},$ the demand for location i,
- 5. g(t), a cost function which gives the cost to travel for a length of time t.

The problem is to determine the number of routes and the locations for each route so as to minimize the total cost and still satisfy a time and a load constraint for each server.

A mathematical formulation of the problem is as follows: Determine M finite sequences, $\mathtt{p_{i1}},\mathtt{p_{i2}},\cdots,\mathtt{p_{ik}}_i$ for

$$
i = 1, 2, \cdots, M, \text{ such that}
$$
\n
$$
TC = \sum_{i=1}^{M} \left\{ \sum_{j=2}^{k_i} [f_j(s_j)] + g(s_j + A_{p_{ik_i}})^2 \right\}
$$

j-1 is a minimum, where $s_{p+1} = \sum_{P_1, P_2, \ldots, P_n} s_{p+1}$, for which the ij q=l $Piq Pj' q+1$ following constraints are satisfied:

- 1. $\{p_{\mathbf{i}\mathbf{j}} | \mathbf{i} = 1, 2, \cdots, M \text{ and } \mathbf{j} = 2, 3, \cdots, k_{\mathbf{i}}\} =$ ${2, 3, \cdots, N},$
- 2. $p_{i,j} \neq p_{km}$ for all i, k, j>1, and r>1 except for $i = k$ when $j = r$; $p_{i,j} = 1$ for all i , ki-1
- $3. \sum (A_{n}$ $) + A_{n}$ $\leq D_{n}$ for all i, j=2 $\begin{bmatrix} P \texttt{i} j P \texttt{i} , j+1 \end{bmatrix}$ $\begin{bmatrix} P \texttt{i} k \end{bmatrix}$ $\begin{bmatrix} P \texttt{i} 1 \end{bmatrix}$ k_i-1 l. $4.$ Σ $Q_$ < $C_$ for all i. i=2 Pij ^l

The sequence p_{i1} , p_{i2} , \cdots , p_{ik} represents the route for server i. The j^{on} location that server i serves is $\mathrm{p}_{\mathbf{i}\,\mathbf{j}}\cdot$ TC represents the total cost for all M servers, and seculor is the total cost for all M servers, and set $\Pr_{\textbf{i j}}$ the total time that server i travels through location p_{ij}. The third constraint restricts server i to a time $\mathtt{D_i}$ to complete the route. The fourth constraint restricts the total demand for server i to $\texttt{C}_{\texttt{i}}\texttt{.}$

 $\mathcal{L}^{\text{max}}_{\text{max}}$, where $\mathcal{L}^{\text{max}}_{\text{max}}$

B. BRANCH AND BOUND ALGORITHM.

Let us assume that the number of servers is one and that there are no constraints. This problem is then a generalization of the traveling salesman problem in that there is a cost function at each location. Mathematically the problem may be stated as follows: Given are $A_{i,j}$, $f_i(s_i)$, g(t) and $Q_{\texttt{i}}$. Determine a permutation, $\texttt{p}_\texttt{2}$, $\texttt{p}_\texttt{3}$,..., $\texttt{p}_\texttt{N}$, such that

TC =
$$
g(s_{p_N} + A_{p_N1}) + \sum_{i=2}^{N} f_{p_i}(s_{p_i})
$$

where $s_{p_i} = \sum_{q=1}^{i-1} A_{p_q p_{q+1}}$

is a minimum for all permutations, p_2, p_3, \cdots, p_N , of the set, $\{2,3,\cdots,N\}$.

The branch and bound algorithm can be applied to this problem, but it differs from the algorithm given by Little, et al., in two ways [6]. First, each node represents a location instead of a link, and second, the bound is determined only after a route is completed. These two modifications are necessary since the total time, s_{p_i} , through location p_i is needed in order to obtain the value of the cost function of location p_i . Hence, the algorithm begins at the depot and branches to one of the remaining locations. Figure 17 shows all possible branches for a four-location problem.

After one branch is determined, the total cost for that branch, including returning to the depot, is cal-

Figure 17. Complete tree for a four-location problem

 $\sim 10^{-1}$

culated. This cost serves as a bound until another total cost is calculated which is less than the bound. Then this cost becomes the bound. Assuming that $f_{{\bf{1}}}({\bf{s}_i}) \succeq 0$ and $s_i \geq 0$, for all i, and $g(t) \geq 0$, for all $t \geq 0$, then a branch can be terminated whenever its total cost exceeds the bound. Putting these restrictions on the functions is not too limiting, since applications will normally have these restrictions.

Another restriction, which in turn aids the algoritm, is to require the functions to be monotonic increasing. This permits the algorithm to back off one node before continuing on a different branch, whenever the total cost exceeds the bound. This is the essence of the following theorem.

THEOREM 6.

If $f_2(s_2)$, $f_3(s_3)$, \cdots , $f_N(s_N)$ and $g(t)$ are monotonic increasing functions, $A_{\textbf{i}\, \textbf{i}}$ + $A_{\textbf{i}\, \textbf{k}}$ \geq $A_{\textbf{i}\, \textbf{k}}$, y $E = g(s_*) + \sum f_s(s_*) > B$ P_V i=2 P_1 where B is a positive real number, and p_2, p_3, \cdots, p_N is a permutation of the set $\{2,3,\cdots,N\}$, then z $F = g(s \rightarrow z \quad i=2 \quad i \quad r_i) \geq B$ where r^2, r^3, \cdots, r^N is a permutation of the set $\{2,3,\cdots,N\}$ and r_1 =p. for all i<y and . $j-1$ $r_z = p_y$. (As before $s_{p_j} = \sum_{i=1}^{N} A_{p_i} p_{i+1}$). PROOF.

Let $k = z - y$. Note that $p_y \varepsilon\{r_y, r_{y+1}, \dots, r_N\}$ since

 ${\rm p}_2$, ${\rm p}_3$, \cdots , ${\rm p}_{\rm N}$, and ${\rm r}_{\rm 2}$, ${\rm r}_{\rm 3}$, \cdots , ${\rm r}_{\rm N}$ are permutations of the set $\{2,3,\cdots,N\}$ and $p_i = r_i$ for all i^{ky}. Hence, $z \geq y$ and consequently $k \geq 0$. The method of proof is mathematical induction on k.

If $k = 0$, then $p_y = r_y$ and hence $E = F$. Then it follows that $F \leq B$ since $E \leq B$.

Assume that the theorem is true for $k = k'$, i.e. $F \leq B$ whenever $r_{k'+y} = p_y$.

Now let $k = k' + 1$. Then $z = k' + 1 + y$ and hence, k'+y+1 k'+y k'+y+1 $F' = g(s_n)$) + $\sum_{i=2}^{n} f_i(s_{r_i}) = g(\sum_{i=1}^{n} A_{r_i r_i} + 1 + \sum_{i=2}^{n} f_i(s_{r_i}).$

From this it follows that: $F' = g(\Sigma A_m - A_m + A_m)$ + k ¹ +y-2 i =l r_i 'i+l r_k '+y-2^rk'+y-l $A \qquad \qquad$ $r_{k' + y - 1}$ 'k'+y

k'+y-1 + \sum f.(s) + f_{k'+v} (s)+f_{k'+v+1}(s)and also $\mathbf{r}_{\mathbf{i}=2}$ J. $\mathbf{r}_{\mathbf{i}}$ $\mathbf{r}_{\mathbf{k}}$ +y $\mathbf{r}_{\mathbf{k}}$ + $\mathbf{r}_{\mathbf{k$

since A_{ij} + A_{jk} \geq A_{ik} and f_i and g are monotonic increasing functions, we then have;

$$
F' \geq \begin{array}{cc} k' + y - 2 & k' + y - 1 \\ g(\Sigma A_{r_1 r_1 + 1} + A_{r_1 r_1 + y - 2}r_1 r_1 + y + \Sigma f_1(s_{r_1}) + \\ i = 1 \end{array}
$$

$$
f_{k' + y + 1}(s_{r_{k' + y - 1}}^+ + A_{k' + y - 1}^+ + k' + y + 1}) = G.
$$

But G is the value of F for a permutation that has $k=k^t$. Hence, its value is less than or equal to B since the theorem is true for $k = k'$. Therefore, $F' \geq B$ and the theorem is proved via mathematical induction.//

The branch and bound algorithm can be modified by using different criteria to select the next location. One method is to choose the location which maximizes the value $f_i(T) - f_i(t)$ where t is the time that the next location will be visited, and T is the time that the last location will be visited. This will increase the possibility for a location with a large increasing cost function to be selected first, while a location with a constant cost function will be selected last. The disadvantage of this procedure is that T is not known until the route is completed. However, estimates such as

N
Σ ((2/3) I $\sum_{i=1}^{N} \sum_{j>i} A_{ij}$)/(N²- N)] · 2 · (N + 1)

can be used for symmetric A matrices.

The following is a step procedure for the branch and bound algorithm for one server and arbitrary cost functions: Let $A(I,J)$ denote the time of travel from location I to location J and $f(I,t)$ denote the cost function for location I at time t.

STEP 1.

Begin the accumulated distance and time, $D(1,1) = 0$ and $T(1,1) = 0$. Set $I = 1$ and Bound equal to large N number. Let $Z = [((2/3)\Sigma$ $i=1$ Σ A₂.)/ (N² - N)] · 2 · (N + 1). i^{2 A}ij

STEP 2 .

Set $I = I + I$ and calculate $T(I,J)$ and $D(I,J)$, the total time and cost of the route from depot 1 to location J, where J is the Ith route. Do this for all J not already assigned.

STEP 3.

If $D(I,J)$ Bound, for any unassigned J, go to step 5. STEP 4.

Select J such that $H(I,L) = F(J,Z) - F(J,T(I,J))$ is a minimum for all J not assigned. If there are no unassigned J's,then go to step 5. Otherwise go to step 8. STEP 5.

Set $I = I - 1$. If $I = 1$, then go to step 11. STEP 6.

Find L such that $H(I, L)$ is a minimum for all unassigned L. If there are no unassigned L's, then go to step 5.

STEP 7.

Set $IT(I) = L$. Go to step 9.

STEP 8.

```
Set IT(I)=J.
```
STEP 9.

If I is less than N, then go to step 2. STEP 10.

Calculate the total time and cost to return to 1 for the route IT(K) for $K = 1, 2, \dots, N$. If the total cost is less than Bound then set Bound equal to the total cost. Print out the route. Go to step 5.

STEP 11.

Stop.

V. EXPERIMENTS AND RESULTS

The sweep algorithm was used to solve eight vehicle dispatch problems. Appendix B contains the details of these problems. Problems one through four were proposed by Gaskell [12]. All four of these problems have a load and a distance constraint for each server, and an additional distance of ten units for each location. Christofides and Eilon's 3-optimal algorithm [10] does not apply to these problems, since it does not solve problems with distance constraints. The results of Gaskell's savings approach are compared with the four variationsof the sweep algorithm in Table I. Problem one has 22 locations, including the depot. All four of the variations of the sweep algorithm were able to schedule all of the locations in 4 routes. Two of these had a total distance that was less than the distance given by the savings approach.

Problem two was the only example in which the algorithm did not provide a smaller total distance than the savings approach. Again all the variations had the same number of routes as the savings approach, namely 5. The best answer of 956 was only 0.5% greater than the solution given by the savings approach.

The sweep algorithm gave better results on problems three and four. In problem four, the sweep algorithm was able to reduce the number of routes from 5 to 4, when the J + 2 location was checked after each route was formed.

	Number		Gaskell's Christofides	Sweep Algorithm				
Problem	οf	Savings	and Eilon's		not checking J+2		checking J+2	Best
Number	Locations Approach		3-optimal				Forward Backward Forward Backward Solution	
1	22	598 $R = 4$		589 $R = 4$	608 $R = 4$	602 $R = 4$	592 $R = 4$	586 $R = 4$
\overline{c}	23	949 $R = 5$		969 $R = 5$	956 $R = 5$	962 $R = 5$	995 $R = 5$	956 $R = 5$
3	30	963 $R = 5$		945 $R = 5$	885 $R = 4$	980 $R = 5$	885 $R = 4$	885 $R = 4$
4	33	839 $R = 5$		851 $R = 5$	842 $R = 5$	854 $R = 5$	817 $R = 4$	817 $R = 4$
5	51	585 $R = 6$	556 $R = 5$	574 $R = 5$	553 $R = 5$	575 $R = 5$	546 $R = 5$	524 $R = 5$
6	76	900 $R = 10$	876 $R = 10$	896 $R = 11$	906 $R = 11$	865 $R = 10$	884 $R = 10$	865 $R = 10$
7	101	887 $R = 8$	863 $R = 8$	878 $R = 8$	854 $R = 8$	871 $R = 8$	862 $R = 8$	854 $R = 8$
8	251			5907 $R = 26$	5962 $R = 26$	5794 $R = 25$	5911 $R = 25$	5794 $R = 25$

TABLE I Comparisons of Vehicle Dispatch Algorithms

თ
0

Hence, a greater savings was obtained. Problems five, six, and seven were posed by Christofides and Eilon [10]. These problems do not have a distance constraint for the server, nor do they have an additional distance for the locations. At least one of the variations of the sweep algorithm provided a solution which was better than the 3-optimal and the savings approach. In problem six, checking the J + 2 location was necessary to reduce the number of routes from 11 to 10, and consequently produce a smaller total distance.

The real test for a vehicle dispatch algorithm is its ability to solve a problem involving many locations. Problem eight, in appendix B, has 250 locations and this problem was easily solved by the sweep algorithm.

The sweep algorithm modifications presented in chapter III, section C, were also used. Only two improvements were determined out of the eight problems. These were problems one and five. Their results are included under "Best Solution" in Table I and also in Appendix B.

The disadvantage of the sweep algorithm in solving large problems is the time required to solve the traveling salesman problem. If the number of locations for each route remains approximately the same, then the time to solve the vehicle dispatch problem becomes linear with the number of locations. Other algorithms have an exponential growth rather than linear. Hence, the sweep algorithm is capable of solving larger problems.

Problem eight required approximately 15 minutes of

computer time, including compiling and execution time on an IBM 360/50. In many cases, the cost for computer time is inexpensive compared to the savings in the total cost that a better route may produce. For example, if the total distance for the routes of a school bus were reduced by 25 miles, then this would provide a larger savings in total cost for one year than the cost for a few minutes of computer time.

The problems solved in the appendix defined distance between two points to be $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$. However, the sweep algorithm can be used on other distances. In an application such as the school bus routing, the distance between all locations and the rectangular coordinates for each location must be given. The sweep algorithm uses the same procedure as before, except $A(I,J)$ is now defined according to the actual geographic distance rather than the straight line distance between two locations.

The branch and bound algorithm presented in chapter IV, section B, is an exact scheme. It does have the disadvantageofrequiring a large number of calculations for problems with many locations. A ten-location problem with ten cost functions was solved by the algorithm in 10 minutes on an IBM 360/50. The time required to solve a problem depends upon the cost function which determines the lower bound. If a good lower bound is determined on the first route, then more branches can be eliminated, and hence, fewer calculations are required.

VI . SUMMARY, CONCLUSIONS AND FURTHER PROBLEMS

There are many problems that can be classified as vehicle dispatch problems. However, the algorithms presented in chapter II are generally not satisfactory for practical problems, since these problems usually involve many locations. The purpose of this thesis is to develop an algorithm for solving a large problem.

The sweep algorithm is a heuristic procedure for the vehicle dispatch problem. The basic procedure of the algorithm is to aggregate a set of locations into a P-sect dispersement. Then each of these P-sects are examined to see if one or two locations of a P-sect can be switched with one location of another P-sect so as to reduce the total distance. In chapter III, section A, it was shown that a second modified P- sect dispersement has a total distance which is less than or equal to the total distance of a P- sect dispersement. The sweep algorithm heuristically produces a second modified P-sect dispersement. The elements of a modified P-sect are the elements of a route. A traveling salesman algorithm is used to determine the sequence of locations which will yield the least distance in the route . Four sets of routes are developed by the following procedures:

- 1. . Augment the routes by means of the forward procedure and not check the J + 2 location.
- $2.$ Augment the routes by means of the forward procedure and check the $J + 2$ location.

63

- 3. Augment the routes by means of the backward procedure and not check the J + 2 location.
- 4. Augment the routes by means of the backward procedure and check the J + 2 location.

The algorithm is then repeated with the $X - Y$ axes rotated counterclockwise so that the first location is in the last route. The solution given by the sweep algorithm is the dispersement which gives the smallest total distance.

The sweep algorithm was shown to give better solutions than the savings approach in 6 out of 7 problems, and better solutions than the 3-optimal on all 3 problems which Christofides and Eilon proposed. The sweep algorithm was also able to solve a large problem involving 250 locations.

A mathematical formulation of the vehicle dispatch problem with arbitrary cost functions and a branch and bound algorithm which solves the problem for one server were developed. A theorem proved in chapter IV, section B, permits the branch and bound algorithm to solve problems involving 10 locations.

The vehicle dispatch problem may be generalized into several unsolved problems, which also have practical applications. One generalization is a vehicle dispatch problem with more than one depot. This is applicable to the routing of school busses in a school system which has more than one school. Another generalization is the problem to determine the number of depots necessary to minimize the **total** cost to serve **a** set of locations. This could be

64
used to determine the number of factories needed to deliver their commodity to a set of stores. Neither of these problems has been solved.

The branch and bound algorithm has the disadvantage of requiring a large number of calculations for large problems. Therefore, heuristic approaches are needed to solve larger problems .

The branch and bound algorithm was also restricted to only one server. Hence, there does not exist an algorithm, exact or heuristic, which will solve the generalized vehicle dispatch problem with arbitrary cost functions and with more than one server.

65

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Leland Ray Miller was born on May 20, 1938, in Wooster, Ohio. He received his secondary education at Pandora-Gilboa High School in Pandora, Ohio. After attending Ohio Northern University at Ada, Ohio, for one year, he completed three years at Bluffton College in Bluffton, Ohio, whereupon he received his Bachelor of Science degree with a major in mathematics.

From September 1960 to June 1964, he taught mathematics at fostoria Public High School in Fostoria, Ohio. During the summer of 1961 he was granted a N.S.F. fellowship to attend a Mathematics Institute at Kent State University. In August of 1964, he received his Master of Arts Degree in Mathematics at Bowling Green State University, under a N.S.F. Sequential Institute. The following year he was granted a N.S.F. Academic Institute for Mathematics Supervisors at Bowling Green State University, whereupon he received his Specialist Degree in Mathematics Education.

He taught at Bluffton College from 1965 to 1968 where he was Assistant Professor of Mathematics. During the summers. of 1967, 1968, and 1969, he was granted ^a N.S.F. fellowship to attend a Computer Science Institute at the University of Missouri-Rolla. He has been employed as Instructor of Mathematics at the University of Missouri-Rolla from September 1968 to the present time.

VITA

On August 24, 1963 he was married to the former Judith E. Bowers of Beaverdam, Ohio. They have two children, Craig and Kristen.

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APPENDIX A

SWEEP ALGORITHM COMPUTER PROGRAM

The following data are required for each data set. First data card;


```
C SWEEP ALGORITHM FOR THE VEHICLE DISPATCH PROBLEM 
C FORWARD PROCEDURE 
C A(I,J) IS DISTANCE FROM LOCATION I TO LOCATION J 
C C IS THE LOAD CAPACITY 
C XD IS THE DISTANCE CONSTRAINT 
C XLD IS ADDED DISTANCE PER STOP 
     COMMON A(101,101), IROUT(101) 
     DIMENSION X(101), Y(101), Q(101), R(101), S(101), 
    1 SS (101), MK(101), NT(101), KK(101),K(101) 
     READ (1,255) N, C, XD, XLD
 255 FORMAT (I5 , 3F10.2) 
     AVQ = 0DO 1 I = 1,NAVQ = AVQ + Q(I)1 READ (1,256) X(I), Y(I), Q(I) 
 256 FORMAT (3F10 . 5) 
     AVQ = AVQ/(N-1)XX = X(1)YY = Y(1)KLN = 1KV = 0C CHANGE TO POLAR COORDINATES WITH DEPOT AT ORIGIN 
     WRITE (3,200) 
 200 FORMAT ('1', 18X, 'X(I)', 7X, 'Y(I)', 5X, 'DEMAND', 4X,
    1 'RADIUS ' , 4X, ' ANGLE') 
     RMAX = 0.
     SUMR = 0.
     DO 2 I = 2,NR(I) = SQRT((X(I) - XX)*2 + (Y(I) - YY)*2)S(I) = ATAN2(Y(I) - YY, X(I) - XX)SUMR = SUMR + R(I)WRITE (3,257) I,X(I), Y(I), Q(I), R(I), S(I)
 257 FORMAT (8X,I3,5(4X,13F10 . 3)) 
     IF(RMAX - (R(I))) 66, 2, 266 RMAX = R(I)
```

```
2 CONTINUE 
     AVR = SUMR/(N-1)DO 81 I = 1,N 
     DO 81 J = I,MA(I,J) = SQRT ((X(I) - X(J))**2 + (Y(I) - Y(J))**2)
  81 A(J, I) = A(I, J)K(1) = 1K(N+1) = 1MM = 1C ARRANGE LOCATIONS IN ASCENDING ORDER 
  21 J = NSUMD = 0.DO 67 I = 2, NK(I) = I67 SS(I) = S(I) 
   5 XMAX = -1000000.
     DO 3 I = 2, JIF(SS(I) - XMAX)3,3,44 XMAX = SS(I)
     II = I 
   3 CONTINUE 
     IB = K(II)K(II) = K(J)K(J) = IBB = SS(II) 
    SS(II) = SS(J)SS(J) = BJ = J - 1IF(J-2) 6, 6, 56 CONTINUE 
C FORMING THE FIRST 
ROUTE 
 11 J = 2 
    M = 1KCECK = 0Nl = 0
```

```
N2 = 0LX = 0JJ = 2SUM = Q(K(2))WRITE (3,201) MM 
  201 FORMAT (///30X,'ROUTES NUMBER ',IS) 
      WRITE (3, 258) (K(I), I = 2,N)258 FORMAT (40I3) 
      MM = MM + 112 J = J + 145 IF (SUM+ Q(K(J)) -C) 13, 13,14 
   13 SUM = SUM + Q(K(J))KCECK = 0792 IF(J-N) 12,27,27 
   14 CONTINUE 
  714 JJJ = J - 1 
C CHECKING NEXT LOCATION 
C FINDING TWO NEAREST POINTS 
  328 F = 1000000. 
      DO 40 I = JJ,JJJ 
      EFG = R(K(I)) - S(K(I)) * AVR
      IF (F - EFG) 40,40,48
   48 F = EFG 
      KII = I40 CONTINUE 
      RX = 100000000.
      DO 346 I = 1,4JX = J - IIF(JX .LT.2) GO TO 346 
      IF(R(K(JX))/AVR - .7) 346,346,347347 J5 = J + 5
      IF (JS - N) 363, 363,364 
  364 JS = N 
  363 DO 348 II = J,JS 
      IF (A(K(JX), K(II)) - RX) 349,348,348
```

```
349 RX = A(K(JX), K(II)) 
      JJX = JXJII = II 
  348 CONTINUE 
                  \mathcal{L}^{\text{max}}346 CONTINUE 
C CHECK THE MODIFIED P-SECT DESPERSEMENT 
      IF(KCECK .GT. 0) GO TO 374 
      KOUNT = 1 
      DO 320 I = JJ,JJJ 
      KOUNT = KOUNT + 1 
  320 IROUT(KOUNT) = K(I) 
      IROUT(1) = 1IROUT(KOUNT+1) = 1 
      CALL TRAVS (KOUNT,DIST) 
      DIST = DIST + (KOUNT - 1) *XLD 
      IF (DIST . GT . XD) GO TO 76 
      DO 716 I = 1,KOUNT 
  716 
KK(I) = IROUT(I) 
      SUMQ = SUM 
  374 
CONTINUE 
      IF(RX .GT. 100000.) GO TO 75 
      RRX = R (K (J I I ) ) 
      JIX = JII 
      DO 334 I = J,JIXIF(R(K(I)) - RRX) 334,334,335335 
RRX = R(K(I)) 
      JII = I 
  334 
CONTINJE 
   43 
IF(SUM + Q(K(JII)) - Q(K(KII)) 
- C) 
44, 44,75 44 
JY = 5 
      IF (JY-(N-JJJ)) 324,322,322 
  322 
JY = N - JJJ 
  324 
JZ = JY + 1 
      IF (KCECK . EQ. 1) GO TO 375
      DO 321 I = 2,JZ
```

```
321 IROUT(I) = K(JJJJ+I-1)IROUT(1) = 1CALL BTS (JY, DIST2) 
375 CONTINUE 
    KCECK = 0IF (JII - JJJ + 1 .GT. JY) GO TO 443
    DO 332 I = 2, JZ332 IROUT(I) = K(JJJ+I-1)IROUT(1) = 1IROUT (JII-JJJ+l) = K(KII) 
    CALL BTS (JY, DIST3) 
    KOUNT = 1 
    DO 331 I = JJ,JJJ 
    KOUNT = KOUNT + 1 
331 IROUT(KOUNT) = K(I) 
    IROUT (1) = 1IROUT (KOUNT+1) = 1 
    IROUT(KII - JJ + 2) = K(JII)CALL TRAVS (KOUNT,DIST1) 
    DIST1 = DIST1 + (KOUNT - 1) * XLDIF (DIST1 .GT. XD) GO TO 443 
    EFG = AVR \ast (Q(K(JII)) - Q(K(KII))) / AVQ
    IF (EFG+DIST + DIST2 - DISTl - DIST3) 443,443,326 
326 DIST = DIST1 
    DO 717 I = 1, KOUNT
717 KK(I) = IROUT(I) 
    SUMQ = SUM 
   JJ1 = JJJ - 1 
    SUM = SUM + Q(K(JII)) - Q(K(KII))JI = K(KII)DO 51 I = KII,JJ1 
51 K(I) = K(I+1) 
   IF (JII .NE. JJJ + 1) GO TO 274 
   K(JJJ) = K(JJJ + I)
```

```
K(JJJ + 1) = JI 
      GO TO 275 
  274 K(JJJ) = K(JII) 
      K(JII) = JI 
  275 J = J - 1DIST2 = DIST3 
      KCECK = 1 
      GO TO 12 
C CHECK THE SECOND MODIFIED P-SECT DISPERSEMENT 
  443 MAX = 1000000 
      IF(JS - J .LT. 3) GO TO 75 
      DO 420 I = J, J5IF (I - JII) 421,420,421 
  421 IF (MAX- A(K(I) , K(JII))) 420,422,422 
  422 JKK = I 
      MAX = A(K(I),K(JII)) 
  420 CONTINUE 
      IF (SUM + Q(K(JII)) + Q(K(JKK)) - Q(K(KII))). GT. C)
     1 GO TO 75 
      KOUNT = 1 
      JZ = 6IF(JII - JJJ + 1 .GE. JZ) GO TO 75 
      IF(JKK - JJJ + 1 .GE. JZ) GO TO 75 
      IF(JZ - (N -JJJ + 1)) 435,436,436 
  436 JZ = N - JJJ 
  435 DO 431 I = 2,JZ 
      IF(! . EQ . JKK - JJJ + L) GO TO 431 
      KOUNT = KOUNT + 1 
      IROUT(KOUNT) = K(JJJ + I - 1)431 CONTINUE 
      IROUT(JII - JJJ + 1) = K(KII)IROUT(1) = 1JT = KOUNT - 1 
      CALL BTS (JT,DISTS) 
      KOUNT = 1
```

```
DO 430 I = JJ,JJJ 
    KOUNT = KOUNT + 1 
    IROUT(KOUNT) = K(I) 
430 CONTINUE 
    IROUT(1) = 1 
    KOUNT = KOUNT + 1
    IROUT(KOUNT + 1) = 1 
    IROUT(KII - JJ + 2) = K(JII)IROUT(KOUNT) = K(JKK) 
    CALL TRAVS (KOUNT,DIST4) 
    DIST4 = DIST4 + (KOUNT - 1) *XLD 
    IF(DIST4 . GT . XD) GO TO 75 
    IF (DIST + DIST2 - DIST4 - DIST5) 75 ,4 33,433 
433 DIST = DIST4 
    DO 718 I = 1, KOUNT 
718 KK(I) = IROUT(I)SUM = SUM + Q(K(JII)) + Q(K(JKK)) - Q(K(KII))SUMQ = SUM 
    M5 = JJJ + 4 
    JI = K(KII) 
    JM = K(J)IF(KII . EQ . JJJ) GO TO 794 
    JJ1 = JJJ - 1 
    DO 434 I = KII,JJ1 
434 K(I) = K(I+1) 
    K(JJJ) = K(JII) 
    JJJ = JJJ + 1 
    K(JJJ) = K(JKK)K(JKK) = JIIF(JII .EQ. J) GO TO 793 
    K(JII) = JIK(JKK) = JMGO TO 793 
794 K(J) = K(JII) 
    K(KIT) = K(JKK)
```

```
JJJ = JJJ + 1 
      K(JII) = JMK(JKK) = JI 
  793 CONTINUE 
      KCECK = 2GO TO 12 
c 
DELETING ONE FROM ROUTE 
   76 JJJ = JJJ - 1 
      KOUNT = KOUNT - 1 
\simJ = J - 1 
      SUM = SUM - Q(K(J))GO TO 328 
c 
ACCEPTING THE ROUTE 
   75 SUMD = SUMD + DIST 
      KT = JJJ - JJ + 2WRITE (3,719) M, SUMQ, DIST, (KK(I), I=1, KT)
 719 FORMAT (/' ROUTE'.15,' HAS LOAD', F10.2,' WITH
     1 DISTANCE ', Fl0.2 ,' IS ' /28(1X,I3)) 
      LX = 0 
      M = M + 1SUM = Q(K(J))JJ = J 
  20 IF(KLN-1) 30,31,30 
  31 IF( KV-KOUNT) 32 , 30 , 30 
  32 KV = KOUNT 
  30 CONTINUE 
      IF(J-N) 12 , 27 , 27 
  27 KOUNT = 1 
      JJJ = J 
      IROUT(1) = 1DO 82 I = JJ,J 
      KOUNT = KOUNT + 1 
  82 IROUT(KOUNT) = K(I) 
      IROUT(KOUNT + 1) = 1
```

```
CALL TRAVS (KOUNT, DIST)
```

```
DIST = DIST + (KOUNT - 1) * XLDIF(DIST - XD) 83,83,97 
   97 J = J + 1GO TO 76 
   83 CONTINUE 
      WRITE (3,719) M,SUM,DIST,(IROUT (I), I = 1 ,KOUNT) 
      SUMD = SUMD + DIST 
      WRITE (3,84) SUMD 
   84 FORMAT(//'TOTAL DISTANCE IS',F15.5) 
C INCREMENT THE ANGLE ONE LOCATION 
      KLN = 2IF(MM -KV) 61,50,50 
   61 XMIN = 100000000. 
      DO 62 I = 2,NIF (S(K(T)) - KMIN) 63,62,6263 XMIN = S(K(I)) 
      MI = K(I)62 CONTINUE 
      S( MI ) = 3.14529 - ABS(S( MI )) + 3.14529 
      GO TO 21 
   50 CONTINUE 
  521 CONTINUE 
      STOP 
      END 
      SUBROUTINE TRAVS (N,DIST) 
      COMMON A(101,101), K(101) 
      DIMENSION KK(101), KKK(l01) 
C 3 OPT FOR TRAVELING SALESMAN 
      N1 = N + 1DO 34 I = 1, Nl 
   34 KKK(I) = K(I) 
   51 IF(N-3) 54,54,53 
   53 N1 = N - 1N3 = N - 3
```
 \mathcal{L}

```
5 DO 12 KOUNT = 1,N 
   DO 32 IK = 1, N3K1 = IK + 1 
   DO 32 IJ = K1,N1 
   D1 = A(K(IK),K(IJ+ 1)) + A(K(1) , K(IJ)) 
   D = A(K(1), K(Id+1)) + A(K(IK), K(Id))IF (D1 - D) 6, 6, 76 IA = 8 
   D = D1 
   GO TO 17 
 7 IA = 217 IF(D+A(K(IK+1),K(N))-A(K(1),K(N))-A(K(IK),K(IK+1)) -
  1 A(K(IJ),K(IJ+1)) + .001) 9,32 , 32 
32 CONTINUE 
   IB = K(N)N1 = N - 1 
   DO 13 I = 1 ,Nl 
13 K(N-I+1) = K(N-I) 
   K(1) = IB12 CONTINUE 
   GO TO 2 
 9 DO 19 I = 1,N 
19 KK(I) = K(I)
   IJ2 = IJ+2K1 = IK+1K(N) = KK(1J+1)KO = 0IF(IJ2 - N) 36 ,36, 37 
36 DO 20 I = IJ2, N 
   KO = KO + 120 K(KO) = KK(I) 
37 DO 21 I = K1,IJ 
   KO = KO + 1 
21K(KO) = KK(I) 
   K(N) = KK(IJ+1)\boldsymbol{\kappa}
```

```
IF(IA - 8) 18, 15, 1815 DO 22 I = 1, IKKO = KO + 122 K(KO) = KK(I)GO TO 14 
   18 DO 25 I = 1 , IK 
      KO = KO + 125 K(KO) = KK(IK+1-I)14 CONTINUE 
      DO 35 I = 1,N35 KKK(I) = K(I)GO TO 5 
    2 CONTINUE 
   54 CONTINUE 
      DIST = A(KKK(N), KKK(1))DO 30 I = 2,N30 DIST = A(KKK(I-1 ) , KKK(I)) + DIST 
      RETURN 
      END 
      SUBROUTINE BTS (N,BOUND) 
      COMMON A(101,101), K(101)
      DIMENSION MM(10,10), T(10,10), IT(10), KK(10)
C BRANCH ALGORITHM FOR DETERMINING MINIMUM DISTANCE OF A
c ROUTE BEGINNING AT 
1 AND ENDING AT K(N) 
   22 
MM(!,J) = 0 
   21 
IT( I) = 0 
      DO 21 I = 1,NDO 22 J = 1, NIT(N+1) = N+1T(1,1) = 0IT(1) = 1BOUND = 100000 . 
      JJ = 1 
      I = 11 I = I + 1
```

```
II = I - 1 
   DO 25 L = 1,11IF (IT(L)) 25,25,26 
26 MM(I,IT(L)) = 1 
25 CONTINUE 
12 DX = 100000. 
   DO 2 J = 2,NIF (MM(I,J) .EQ. 1) GO TO 2 
   T(I,J) = T(I-1, JJ) + A(K(JJ), K(J))IF(T(I,J) . GT. BOUND) GO TO 8IF(DX .LT. T(I,J)) GO TO 2
   DX = T(I,J)KZ = J2 CONTINUE 
   IF(DX .GT. 10000J GO TO 24 
11 IT (I) = KZ 
   JJ = KZMM(I,JJ) = 1IF(I.LT. N ) GO TO 1 
   GO TO 28 
24 I = I - 1 
   IF (I .EQ. 1) GO TO 13 
  DX = 100000. 
  DO 27 L = 2,NIf (MM(I,L) .EQ. 1) GO TO 27 
  IF (T(I,L) .GT.DX) GO TO 27 
  DX = T(I,L)JJ = L27 CONTINUE 
  DO 29 L = 1,N 
29 MM(I+1, L) = 0
  IF (DX .GT. 10000) GO TO 24 
  IT (I) = JJMM (I,JJ) = 1IF (I. LT. N ) GO TO 1
```

```
28 I = I + 1T(I,1) = T(I-1,JJ) + A(K(JJ), K(I))IF (T(I,1) .GT. BOUND) GO TO 24 
   J = 1BOUND = T(I,1)IF ( N+1 - I) 36,35,36 
 35 DO 34 L = 1, I
 34 KK(L) = K(IT(L)) 
 36 CONTINUE 
 8 IT(I) = JGO TO 24 
 13 DO 342 I = 1, N342 K(I) = KK(I) 
   RETURN 
   END
```
 \sim

APPENDIX B

EXAMPLE PROBLEMS USING SWEEP ALGORITHM

DETAILS OF PROBLEM 1

THE TOTAL DISTANCE IS 584.60

NUMBER OF LOCATIONS IS 23 DEPOT CO-ORDINATES ARE X = 266 y = 235 LOAD CAPACITY IS 4SOO DISTANCE CAPACITY IS 240 ADDITIONAL DISTANCE PER LOCATION IS 10 THE ROUTES DETERMINED BY THE SWEEP METHOD ARE ROUTE 1 IS 1 19 20 22 ROUTE 2 IS 1 21 23 18 15 ROUTE 3 IS 1 13 7 2 3 4 16 17 ROUTE 4 IS 1 11 14 ROUTE 5 IS 1 12 10 6 5 9 8 THE TOTAL DISTANCE IS 956.40

NUMBER OF LOCATIONS IS 51 DEPOT CO-ORDINATES ARE X = 30 y = 40 LOAD CAPACITY IS 160 NO DISTANCE CAPACITY ADDITIONAL DISTANCE PER LOCATION IS 0

DETAILS OF PROBLEM 5 (Continued)

 $\langle \mathcal{A} \rangle$

THE ROUTES DETERMINED BY THE SWEEP METHOD ARE ROUTE 1 IS 1 48 5 18 43 20 41 42 14 19 ROUTE 2 IS \sim α 1 47 6 50 11 40 34 46 16 45 38 13 ROUTE 3 IS 1 12 3 30 22 17 51 35 31 10 39 ROUTE 4 IS 1 49 27 32 29 4 37 36 21 23 2 33 ROUTE 5 IS 1 7 15 26 25 44 8 24 49 28 THE TOTAL DISTANCE IS 524.60

DETAILS OF PROBLEM 6 (Continued)

DETAILS OF PROBLEM 7 (Continued)

DETAILS OF PROBLEM 7 (Continued) ROUTE 5 IS 1 80 79 35 36 72 66 67 21 52 10 82 34 51 ROUTE 6 IS 1 28 70 2 71 31 33 91 64 65 12 63 11 89 32 ROUTE 7 IS 1 53 8 83 49 20 50 37 48 47 9 46 84 19 ROUTE 8 IS 1 90 61 6 85 18 87 17 62 100 97 7 THE TOTAL DISTANCE IS 854.5

 $\frac{1}{\sqrt{2}}$

 $\sim 10^{11}$

DETAILS OF PROBLEM 8 (Continued)

 \sim 30

DETAILS OF PROBLEM 8 (Continued)

 $\bar{\alpha}$

DETAILS OF PROBLEM 8 (Continued)

DETAILS OF PROBLEM 8 (Continued)

ROUTE 17 IS l 127 181 51 95 152 248 89 78 92 47 217 64 ROUTE 18 IS 1 26 209 145 185 150 87 246 153 ROUTE 19 IS 1 193 219 120 240 36 157 247 142 ROUTE 20 IS 1 105 8 161 29 183 96 35 88 189 5 165 ROUTE 21 IS 1 82 155 77 156 116 146 187 173 ROUTE 22 IS 1 216 22 139 210 100 71 109 245 101 ROUTE 23 IS 1 73 32 72 230 2 140 140 203 232 143 69 235 34 ROUTE 24 IS 1 20 80 206 222 130 62 91 212 134 6 ROUTE 25 IS 1 214 167 208 31 125 131 50 13 192 186 THE TOTAL DISTANCE IS 5794.10

 $\sim 10^{-10}$

100
APPENDIX C

BRANCH AND BOUND ALGORITHM COMPUTER PROGRAM

The computer program uses the following variables. N - number of locations including the depot A(I,J) - time to travel from location I to location ^J IT(I) - Ith location in the route F(I,S) - cost function for location I at time S The following data are required for each data set. First data card columns 1 - 80 N M free format Remaining data cards columns $1 - 80$ ((A(I,J),I=1,N),J=1,N) free format

```
C BRANCH METHOD FOR ARBITRARY COST FUNCTION WITH ONE SERVER 
C A(I,J) IS TIME FROM LOCATION I TO LOCATION J 
C F(I,S) IS THE COST FUNCTION FOR LOCATION I 
   22 
MM(I,J) = 0. 
   21 IT(I) = 0.
      DIMENSION A(10,10),MM(30,30),IT(30),T(30,30),D(30,30) 
     1, G(30,30), XL(50)
     READ, N 
      DO 21 I = 1,N 
      DO 22 J = 1, ND(1,1) = 0T(1,1) = 0IT(1) = 1IT(N+1) = 1BOUND = 100000000.
      READ,A 
C OBTAIN ESTIMATE FOR THE TOTAL TIME FOR THE OPTIMAL ROUTE 
      K = 0SUM = 0JJ = N - 1DO 40 I = 1,JJ 
      II = I + 1 
      DO 40 J = II, NK = K + 140 SUM = SUM + A(I,J)
      TIME = SUM *2.73.*(N+1) / KDO 41 I = 2,N41 XL(I) = F(I, TIME)C CALCULATE TOTAL COST FOR THE I TH LOCATION OF THE ROUTE 
      JJ = 1I = 11 I = I + 1 
      II = I - 1 
      DO 25 L = 1,1I
```
IF (IT(L)) 25,25,26

102

```
26 MM(I,IT(L)) = 1 
   25 CONTINUE 
   12 DX = -100000000. 
      DO 2 J = 2,NIF (MM(I,J) .EQ. 1) GO TO 2 
      T(I,J) = T(I-1,JJ) + A(JJ,J)E = F(J, T(I, J))D(I,J) = D(I -1, JJ) + E + A(JJ,J)IF(D(I,J) .GT. BOUND) GO TO 8 
      G(I,J) = XL(J) - EIF (G(I,J) .LT. DX) GO TO 2
      DX = G(I,J)KK = J2 CONTINUE 
C CHECK IF THERE ARE MORE LOCATIONS TO CONSIDER 
      IF (DX .LT. -10000000.) GO TO 24 
   11 IT(I) = KK
      JJ = KKMM(I,JJ) = 1IF(I.LT. N ) GO TO 1 
      GO TO 28 
C CONTINUE IN A DIFFERENT BRANCH 
   24 I = I - 1 
      IF (I .EQ. 1) GO TO 13 
      DX = -1000000000.
      DO 27 L = 2,NIF (MM(I,L) .EQ.1) GO TO 27 
      IF (G(I,L) .LT.DX) GO TO 27 
      DX = G(I, L)JJ = L27 CONTINUE 
      DO 29 L = 1, N29 MM (I + 1, L) = 0IF (DX .LT.-10000000.) GO TO 24 
      IT(I) = JJ
```

```
MM(I,JJ) = 1IF(I .LT. N ) GO TO 1 
C CALCULATE NEW BOUND 
   28 I = I + 1T(I,1) = T(I-1,JJ) + A(JJ,1)D(I,1) = D(I-1,JJ) + A(JJ,1)IF(D(I,1) .GT. BOUND) GO TO 6BOUND = D(I,1)GO TO 6 
    8 IT(I) = J6 WRITE (3,30) (IT(J), J = 1,I) 
   30 FORMAT (/lX,11Il0) 
      WRITE (3,31) (D(J,IT(J))), J = 1, I)31 FORMAT (1X,11F10 . 2) 
      GO TO 24 
   13 STOP
```
END