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PROPERTY OF KELLEY FOR THE CARTESIAN PRODUCTS AND HYPERSPACES

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ABSTRACT. A continuum X having the property of Kelley is constructed such that neither $X \times [0, 1]$, nor the hyperspace $C(X)$, nor small Whitney levels in $C(X)$ have the property of Kelley. This answers several questions asked in the literature.

A metric continuum X is said to have the *property of Kelley* provided that for each point $x \in X$, for each subcontinuum K of X containing x and for each sequence of points x_n converging to x there exists a sequence of subcontinua K_n of X containing x_n and converging to the continuum K (see e.g. [8, Definition 16.10, p. 538]).

The property, introduced by J. L. Kelley as property 3.2 in [7, p. 26], has been used there to study hyperspaces, in particular their contractibility (see e.g. Chapter 16 of [8] and Chapter V of [4], where references for further results in this area are given). Now the property, which has been recognized as an important tool in the investigation of various properties of continua, is interesting by its own right and has numerous applications to continuum theory. Many of them are not related to hyperspaces. In [9, Theorem 2.5, p. 293] Wardle has proved that homogeneous continua have the property of Kelley. In [3] the second-named author has generalized the property of Kelley to the non-metric case, and constructed an example showing that, unlike for metric continua, the homogeneity of non-metric ones does not imply the property of Kelley.

The property of Kelley for product spaces and for hyperspaces of a continuum was a subject of studies by a number of topologists for many years. Here we recall some of them. In [9, Corollary 4.6, p. 297] it is proved that if a product of two continua has the property of Kelley, then each factor space has the property of Kelley. The converse is not true: the union X of two opposite spirals in the plane approaching the unit circle has the property of Kelley, while $X \times X$ does not; see [9, Example 4.7, p. 297]. Presenting this example in his book ([8, Example 16.35, p. 558]), Nadler writes, “It seems quite surprising that the Cartesian product of two continua, each having the property of Kelley, may fail to have the property of Kelley.” Further investigations in the area lead to formulate some basic questions: If a continuum X has the property of Kelley and a continuum Y is locally connected, does $X \times Y$ have the property of Kelley (see [5, Question 1, p. 173])? Or even, Does

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$X \times [0, 1]$ have the property of Kelley (see [6, Problem 3.4, p. 1148] and compare [4, Question 50.2, p. 277])? Both of these questions are answered in the negative by our Theorem 1.

The notion of the property of Kelley is important in hyperspace theory. In [8, Questions 16.37, p. 558] Nadler asks about relations between the following assertions: (a) the continuum X has the property of Kelley, (b) the hyperspace 2^X has the property of Kelley, (c) the hyperspace $C(X)$ has the property of Kelley. In [2] the second-named author showed that there is a curve X such that X and $C(X)$ have the property of Kelley while 2^X does not (in fact, X is the circle with two spirals mentioned above). Here we show that the implication from (a) to (c) does not hold in general.

In [9, p. 295] Wardle asks whether the property of Kelley is a Whitney property, that is, if X has the property of Kelley, then for each Whitney map $\mu : C(X) \rightarrow [0, \infty)$ each Whitney level $\mu^{-1}(t)$ also has the property. Recalling the question in [4, Question 50.1, p. 277] Illanes and Nadler comment: "This problem has turned out to be very difficult." Trying to solve the question, Kato has proved in [6, Corollary 3.3, p. 1147] that in order to obtain an affirmative answer to the question (i.e., to show that the property of Kelley is a Whitney property) it is enough to show that if X has the property of Kelley, then $X \times [0, 1]$ has the property. Thus problems concerning the property of Kelley for hyperspaces are tied with ones for product spaces. It is shown in Theorem 1 below that the property of Kelley for X does not imply the property for $X \times [0, 1]$ or for Whitney levels.

Let us recall the needed definitions and notation.

All considered spaces are assumed to be metric. We denote by \mathbb{N} the set of all positive integers, and by \mathbb{R} the space of reals. A *continuum* means a compact connected space, and a *mapping* means a continuous function. Given a subset A of a space X and a positive real number ε , we denote by $N(A, \varepsilon)$ an ε -neighborhood of A in X .

Given a continuum X , we let 2^X denote the hyperspace of all non-empty closed subsets of X equipped with the Hausdorff metric H (see e.g. [8, (0.1), p. 1 and (0.12), p. 10]). Further, we denote by $C(X)$ the hyperspace of all subcontinua of X , i.e., of all connected elements of 2^X .

A *Whitney map* for $C(X)$ is a mapping $\mu : C(X) \rightarrow [0, \infty)$ such that:

- (0.1) $\mu(A) < \mu(B)$ for every two $A, B \in C(X)$ such that $A \subset B$ and $A \neq B$;
- (0.2) $\mu(A) = 0$ if and only if A is a singleton.

For the concept and existence of a Whitney map see [4, Section 13, pp. 105-110]. For each $t \in [0, \mu(X)]$ the preimage $\mu^{-1}(t)$ is called a *Whitney level*. It is known that each Whitney level is a continuum; see [4, p. 159].

The reader is referred to monographs [4] and [8] for definitions and basic properties of other notions used in the paper.

The main result of this paper is the following theorem.

Theorem 1. *There exists a continuum X having the following properties.*

- (1.1) X has the property of Kelley;
- (1.2) $X \times [0, 1]$ does not have the property of Kelley;
- (1.3) the hyperspace $C(X)$ does not have the property of Kelley;
- (1.4) for each Whitney map $\mu : C(X) \rightarrow [0, \infty)$ there exists a number $s > 0$ such that for each $t \in (0, s)$ the Whitney level $\mu^{-1}(t)$ does not have the property of Kelley.

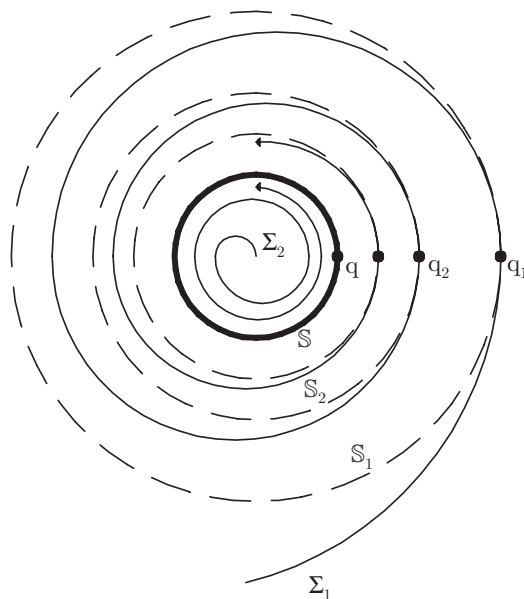


FIGURE 1.

Proof. In the polar coordinates (r, φ) in the plane consider the circles

$$S = \{(r, \varphi) : r = 1\} \quad (\text{the thick circle}),$$

$$S_n = \{(r, \varphi) : r = 1 + \frac{1}{2\pi n}\} \quad \text{for } n \in \mathbb{N} \quad (\text{the dashed circles})$$

and two spirals

$$\Sigma_1 = \{(r, \varphi) : r = 1 + \frac{1}{\varphi} \text{ and } \varphi \in [1, \infty)\},$$

$$\Sigma_2 = \{(r, \varphi) : r = 1 - \frac{1}{\varphi} \text{ and } \varphi \in [1, \infty)\}$$

pictured in Figure 1 as solid lines.

Thus Σ_1 and Σ_2 go in the same direction and approach the unit circle S from outside (Σ_1) and from inside (Σ_2).

The constructed continuum X is defined as

$$X = \Sigma_1 \cup \Sigma_2 \cup S \cup \bigcup \{S_n : n \in \mathbb{N}\}.$$

For each $n \in \mathbb{N}$ define $q_n = (1 + \frac{1}{2\pi n}, 0)$ and observe that $S_n \cap \Sigma_1 = \{q_n\}$ and $\lim q_n = q = (1, 0)$.

Further, denote by $p : X \rightarrow S$ the central projection defined by $p((r, \varphi)) = (1, \varphi)$.

1) To show that X has the property of Kelley consider a point $x \in X$, a sequence of points $x_n \in X$ tending to x and a continuum $K \subset X$ containing the point x . We have to show that there are continua K_n such that $x_n \in K_n$ for each $n \in \mathbb{N}$ and $K = \text{Lim } K_n$.

If $x \in X \setminus S$, then X is locally connected at x , and we may take as K_n the union of K and the shortest arc in X joining x_n and x . If $x \in S$ we need to consider two cases.

CASE 1. $K \subsetneq S$. For each $n \in \mathbb{N}$, let P_n be an irreducible arc in S from $p(x_n)$ to K . Note that $\lim \text{diam}(P_n) = 0$. Then it is enough to define K_n as the component of $p^{-1}(K \cup P_n)$ containing x_n .

CASE 2. $S \subset K$. Then for each $n \in \mathbb{N}$ there is a spiral Σ'_n having x_n as its end point and approaching S . Indeed, if $x_n \in \Sigma_1$, then Σ'_n is a subspiral of Σ_1 ; if $x_n \in \Sigma_2$, then Σ'_n is a subspiral of Σ_2 ; and if $x_n \in S_k$ for some $k \in \mathbb{N}$, then Σ'_n is the union of an arc joining x_n to Σ_1 contained in S_k and a subspiral of Σ_1 . Finally put $K_n = K \cup \Sigma'_n$. Since the spirals Σ'_n tend to S , we have $K = \text{Lim } K_n$. So, we have shown that X has the property of Kelley.

2) To show that $X \times [0, 1]$ does not have the property of Kelley, define (in the cylindrical coordinates (r, φ, z) in the 3-space) an arc

$$A = \{(1, 2\pi z, z) : z \in [0, 1]\} \subset S \times [0, 1].$$

Further, for each $n \in \mathbb{N}$, define

$$A_n = \{(r, \varphi, z) : r = 1 + \frac{1}{\varphi + 2\pi n}, \varphi = 2\pi z, \text{ and } z \in [0, 1]\}.$$

Thus A_n is an arc from $(q_n, 0)$ to $(q_{n+1}, 1)$ lying in $\Sigma_1 \times [0, 1]$.

Similarly, for each $n \in \mathbb{N}$, define

$$B_n = \{(r, \varphi, z) : r = 1 + \frac{1}{2\pi n}, \varphi = 2\pi z \text{ and } z \in [0, 1]\}.$$

Thus B_n is an arc with end points $(q_n, 0)$ to $(q_n, 1)$ lying in $S_n \times [0, 1]$.

Note that

$$A_n \cap B_n = \{(q_n, 0)\} \text{ and } A_n \cap B_{n+1} = \{(q_{n+1}, 1)\}.$$

Finally put

$$K = A \cup \bigcup \{A_n \cup B_n : n \in \mathbb{N}\}, \quad x = (1, 0, 0),$$

$$x_n = (1 - \frac{1}{2\pi n}, 0, 0) \text{ for each } n \in \mathbb{N},$$

and observe that $x \in K$, $x_n \in \Sigma_2 \times [0, 1]$ and $x = \lim x_n$.

We will show that there are no continua $K_n \subset X \times [0, 1]$ converging to K and containing the points x_n . To this aim suppose on the contrary that there are such continua and observe that $(p \times \text{id})(K) = A$. Then for all but finitely many n we must have $K_n \subset (p \times \text{id})^{-1}(N(A, \varepsilon))$ for arbitrary $\varepsilon > 0$. Note that, however, for $\varepsilon < 1$ the component of $(p \times \text{id})^{-1}(N(A, \varepsilon))$ that contains x_n is a subset of $\Sigma_2 \times [0, 1]$, so $K_n \subset \Sigma_2 \times [0, 1]$. Hence, if d denotes the metric in $X \times [0, 1]$ and H denotes the Hausdorff metric, we have

$$H(K, K_n) \geq d((q, 0), (q_1, 0)) = \frac{1}{2\pi} \text{ for each } n \in \mathbb{N},$$

whence it follows that K is not the limit of continua K_n . This completes the proof that $X \times [0, 1]$ does not have the property of Kelley.

3) To show that the hyperspace $C(X)$ does not have the property of Kelley, accept the following notation, where $n \in \mathbb{N}$:

$$D_\alpha = \{(1, \varphi) : \varphi \in [\alpha, \alpha + \pi]\} \subset S, \text{ where } \alpha \in \mathbb{R},$$

$$\mathcal{A} = \{D_\alpha : \alpha \in [0, \pi]\} \subset C(S).$$

Thus D_α is an arc in the circle S and \mathcal{A} is an arc in the hyperspace $C(S)$. Define

$$\mathcal{K} = \{Q \in C(X \setminus \Sigma_2) : p(Q) \in \mathcal{A}\}$$

and observe that \mathcal{K} is the union of a ray in $C(X)$ approximating the arc \mathcal{A} and of \mathcal{A} . Take $D_0 \in \mathcal{K}$. Let D'_n be a sequence of arcs in the inner spiral Σ_2 tending to D_0 .

Then arguing as previously one can show that there is no sequence of subcontinua \mathcal{K}_n of $C(X)$ such that $D'_n \in \mathcal{K}_n$ and having \mathcal{K} as its limit. Thus $C(X)$ does not have the property of Kelley.

4) Let $\mu : C(X) \rightarrow [0, \infty)$ be an arbitrary Whitney map, and define $s = \mu(S)$. To show (1.4) we may modify the above proof of (1.3) in such a way that for each number t with $0 < t < s$ the continua D_α are arcs in the Whitney level $\mu^{-1}(t)$; similarly the arc \mathcal{A} and the ray \mathcal{K} can be taken as subsets of $\mu^{-1}(t)$. Moreover, we may consider arcs D'_n in $C(\Sigma_2)$ such that $\mu(D'_n) = t$ and $\text{Lim } D'_n = D_0$. Then there are no continua $\mathcal{K}_n \subset \mu^{-1}(t)$ containing D'_n such that $\mathcal{K} = \text{Lim } \mathcal{K}_n$.

This finishes the proof. \square

Theorem 1 implies the following corollaries.

Corollary 2. *If a continuum X has the property of Kelley, then the product $X \times [0, 1]$ need not have the property of Kelley.*

Corollary 2 answers in the negative a question of Kato in [6, Problem 3.4, p. 1148] (compare also [4, Question 50.2, p. 277]).

Corollary 3. *If a continuum X has the property of Kelley, then the hyperspace $C(X)$ need not have the property of Kelley.*

Corollary 3 answers in the negative a question of Nadler in [8, Questions 16.37, p. 558] (repeated in [4, Questions 78.27, p. 405]).

A topological property \mathcal{P} is said to be a *small weak Whitney property* provided that for each continuum X there are a Whitney map $\mu : C(X) \rightarrow [0, \infty)$ and a number $s \in (0, \mu(X))$ such that if X has the property \mathcal{P} , then for each $t \in [0, s)$ the Whitney level $\mu^{-1}(t)$ has the property \mathcal{P} , [1].

Corollary 4. *The property of Kelley is not a small weak Whitney property, and thus it is not a Whitney property.*

Corollary 4 answers in the negative the question of Wardle in [9, p. 295].

We close the paper with some open questions related to the subject.

In [8, Questions 16.37, p. 558] Nadler asks several questions concerning implications between the property of Kelley for a continuum X and the hyperspaces 2^X and $C(X)$. All these questions have been already answered except one.

Question 5 (Nadler). Let X be a continuum. If 2^X has the property of Kelley, then does $C(X)$ have the property?

In a conversation with the second-named author, J. R. Prajs asked the following two questions.

Questions 6 (Prajs). Let X be a continuum. a) If $X \times [0, 1]$ has the property of Kelley, then does $C(X)$ have the property? b) If $C(X)$ has the property of Kelley, then does $X \times [0, 1]$ have the property?

Question 7. Let X be a continuum and let $\mu : C(X) \rightarrow [0, \infty)$ be a Whitney map. If, for each $t > 0$, the Whitney level $\mu^{-1}(t)$ has the property of Kelley, then does $C(X)$ have the property?

A topological property \mathcal{P} is said to be a *sequential strong Whitney reversible property* provided that whenever X is a continuum such that there is a Whitney map μ for $C(X)$ and a sequence $\{t_n : n \in \mathbb{N}\}$ such that $\lim t_n = 0$ and $\mu^{-1}(t_n)$ has

property \mathcal{P} for each n , then X has property \mathcal{P} , [4, Definition 27.1 (d), p. 232 and 233].

It is known that the property of Kelley is a sequential strong Whitney reversible property; see [4, Theorem 50.4, p. 277]. The next question is related to this result.

Question 8. Let X be a continuum. If there are a Whitney map μ for $C(X)$ and a sequence $\{t_n : n \in \mathbb{N}\}$ such that $\lim t_n = 0$ such that $\mu^{-1}(t_n)$ has the property of Kelley for each n , then does $C(X)$ have the property?

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