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4-10-2013

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Aronson, Robert, Kay Lovelace, Mark Smith, Gulzar H. Shah. 2013. "Using Expert Panels to Elicit Potential Indicators and Predictors of EBPH in Local Health Departments." *Health Policy and Management Faculty Presentations*. Presentation 2. https://digitalcommons.georgiasouthern.edu/health-policy-facpres/2

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Using expert panels to elicit potential indicators and predictors of EBPH in local health departments

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Support for this study was provided by a grant from the Robert Wood Johnson Foundation



### Disclosure

Neither we, nor our spouses/partners have had a financial, professional or personal relationship that might potentially bias and/or impact the content of the educational activity/session.

Robert Aronson, Kay Lovelace, Mark Smith, Gulzar Shah

# Today's Objectives

- To distinguish between definitions of EBPH that focus on using data in decision making and adopting tested interventions
- To identify state, LHD and community level factors that influence the use of EBPH strategies by local health departments
- To consider how different views of EBPH and different definitions of evidence may create confusion among PHSSR researchers and public health practitioners

### **Description of Expert Panel Members**

- I4 total participants (I2 completed the oral components of interviews)
- The I2 represented the following
  - Local health departments (n=1)
  - State health departments (n=3)
  - National public health organizations\* (n=6)
  - Academia (n=2)

\*NACCHO, NALBOH, ASTHO, Public Health Foundation, National Network of Public Health Institutes, CDC

# Methods Used to Gather Input

- Written responses to items from interview guide (11 questions)
- Telephone interviews to expand on and explain responses to the written form
- Working definition: "practices and policies of the [public health] field based on data" from sources such as research, surveillance and evaluation (Green et al., 2009)

# Questions Organized around Four Domains

- Defining Evidence-Based Public Health
- What counts as evidence?
- Indicators of EBPH: What does it look like? (related to population health, social determinants of health and health disparities)
- Factors influencing local health departments use of EBPH strategies

## Data Analysis Process

- Interviews recorded, transcribed and imported into NVivo
- Designed a preliminary coding guide.
- Trained team of coders
- Finalized coding
- Completed coding, with each transcript coded by two separate investigators

## Analysis of Interviews

- Summarized responses from each participant for each of the four domains
- Examined each domain for major themes across all participants
- What themes emerged for the domains?
- Created analytic matrix of domains across all participants

### Example of Data Matrix Defining EBPH

Name	Evidence Based Decision Making	Evidence Based Interventions	Differences with our definition of EBPH	
Working definition	Our working definition of evidence-based public health (EBPH) is "practices and policies of the [public health] field based on data" from sources such as research, surveillance, and evaluation (Green et al., 2009)			
PI	process look at literature collect data to inform needs evaluation of programs	"using strategies that have been studied, tested and shown to make a difference"	No difference, but need to define evidence.	
P2	7 steps starting with community assessment and ending in evaluation (he sees these steps as different from evidence based decision making) EBPH is a process or series of steps, evidence based decision making is the thinking and concrete actions (active behavior) leading up to a decision about what to do about a health issue. "Evidence based decision making in my view is when we decide what to do about a health problem. We will employ the steps of EBPH to reach a decision and it means taking into account the context, the resources, stakeholder input, literature and making a decision about what to do."	(he recognizes that others refer mostly to intervention strategies shown to produce desirable behavioral or health outcomes)	Our definition is too narrow, misses best processes. He distinguishes 7 steps from decision making. Decisions should not just be based on "data" but also political realities.	

# Theme: Divergent Expert Opinion about EBPH and Evidence

- What is meant by evidence-based public health? Experts had divergent views on what is meant by the term EBPH
  - Use of tested interventions
  - Decision making for public health based on evidence
- What counts as evidence? Experts had divergent views on what we should consider as evidence
  - Evidence from rigorous scientific studies
  - Evidence from experience

## Indicators of EBPH

- What would you be looking for if trying to find out if a local health department is engaged in evidence-based public health?
- What would you see the local health department doing?

# Engaged in Evidence-based Decision Making when they used:

- Epidemiology and surveillance data
- Theory, frameworks and planning models informed by evidence
- Surveys conducted and administered using scientific design (BRFSS, American Household Survey)
- Expert opinions from people in the field as well as local "community experts"
- Community perspectives, and
- An understanding of political realities

### Engaged in Evidence-based Interventions when they adopted:

- RCT tested interventions
- Interventions supported through systematic reviews (Community Guide)
- Interventions shown to be effective using evaluations that have control groups
- Interventions supported by the experiences of programs that are working
- Promising practices
- Practice-based evidence that is not in peer reviewed journals (e.g., NACCHO model practices)

### Predictors of EBPH

- What factors might influence whether local health departments use EBPH strategies, processes, practices, and activities to address population health?
  - State level predictors
  - Board of health predictors
  - Local health department predictors
  - Community predictors

### Predictors of LHDs use of EBPH

#### Predictors of LHD Use of EBPH to address population health

State	Board of Health	Local Health Department	Community
Incentives	Use core competencies in hiring	Competency of health officer	Education levels
Penalties	Require health dir. use EBPH	Performance reviews	Income levels
Technical assistance	Perf. Review of health director	Epidemiologist	Community demands
Funding/costs	Value evidence	Leadership conveys importance	<b>Concerns about disparities</b>
Infrastructure	Hold health dir. accountable	"quality" culture	Political dynamics
Culture	Awareness of pop. health needs	Accreditation	Economic disparities
Available data sources	Awareness of PH mission	Respond to expect. of funders	Percent below poverty
Programmatic expertise	<b>Relationship to LHD</b>	Quality Improvement process	Community leadership
State mandates to use EBPH	Political concerns	Workforce development	Social capital
Qualifications for health officers	State and local laws for BOH	Public health training of staff	Strong organizations
Business as usual attitude	Composition of board (right	Funding	Health department known and
Politics of state HD appointment	people), representative	Adequate staff	trusted in the community
Who governs state HD	<b>Board development/orientation</b>	Look upstream	History
If state BOH, how representative	Understand EBPH, CQI,	When trained (staff)	Acknowledgement of concerns
or engaged and effective	community engagement	<b>Relationship to BOH</b>	Buy in from beginning
Funding goal EBPH or keep \$\$	Involved in community health	Organizational structure	Sharing progress and challenge
at state level	assessment and strategic	Partners	Celebrating success
View toward what level to	planning	Regulations	
emphasize state or local	Where they get their info	Is health director MD	
Look at county health rankings	Understand community, health	Embracing change	
Centralized or decentralized	disparities, social determinants,	Size of health department	
Coordination with state DOH	community engagement, health	Integration of services	
Replication of work	disparities	Analytic capabilities of staff	
Sustainability across local	Open meetings	Relevant and timely data	
jurisdictions	Collaboration with comm.	Planning process	
Coordination with academic	partners and stakeholders to	CQI culture in place	
partners	tailor EBPH to groups	Ease of implementation	
Trans-sector work		Academic partnerships	
		Cultural competency	

## Example Predictors (State level)

- Incentives/Penalties
- State mandates
- Technical assistance and support\*
- Leadership and Culture of SHD
- Governance structure related to LHDs\*
- Politics surrounding SHD and director
- Funding that supports EBPH
- Quality improvement practices\*

# Example Predictors (LHD level)

- Leadership and culture of LHD
- Relationship to Board of Health\*
- Academic training of health director\*
- Size of the health department\*
- Number and type of professional staff\*
- Relationship and partnering with community
- Funding\*
- Access to relevant and timely data

### Example Predictors (Community level)

- Socio-demographics of county\*
- Presence/absence of social and health disparities\*
- Trust of the health department
- Community concerns/demands
- Partnerships with LHD
- Community leadership
- Strong community organizations
- Social capital

# Combining definitions of EBPH with definitions of "evidence"

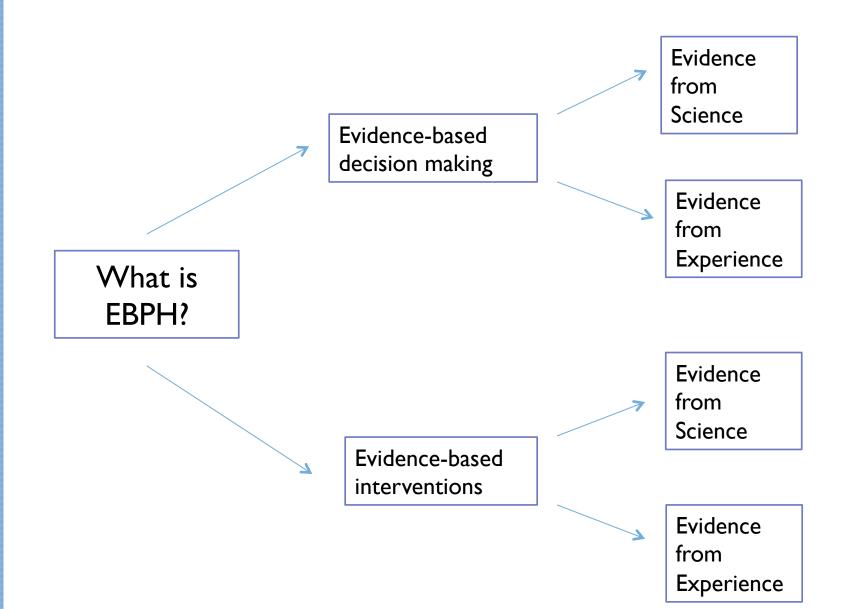
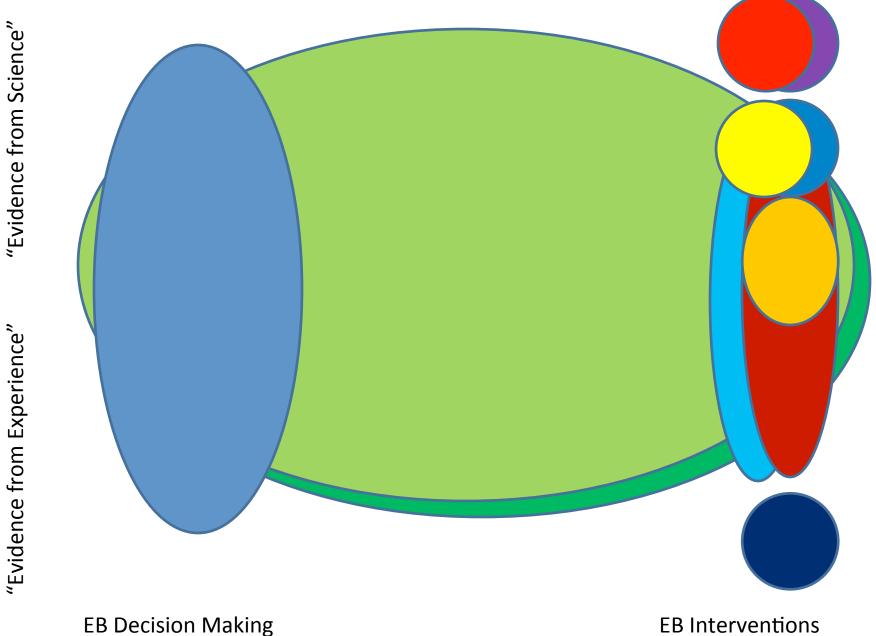


Figure 1: Defining EBPH and Evidence



**EB** Decision Making

# Evidence used in **Evidence-Based Decision Making**

#### "Scientific Evidence" (acontextual)

- Explicit research-based knowledge
- Epidemiology and surveillance data
- Theory and frameworks informed by evidence
- Surveys conducted and administered using scientific design (BRFSS, American Household Survey)

#### "Experiential Evidence" (contextual)

- Tacit and contextual knowledge
- Expert opinions from people in the field as well as local "community experts"
- Community perspectives
- Understanding political realities

### Evidence used when selecting Evidence-Based Interventions

"Scientific Evidence" (acontextual)

- Rigorously tested interventions (RCT or quasiexperimental)
- Interventions supported through systematic reviews (Community Guide)

"Experiential Evidence" (contextual)

- Experiences with programs that are working
- Promising practices
- Practice-based evidence that is not in peer reviewed journals (e.g., NACCHO model practices)

## Implications for practitioners

- If EBPH means using tested interventions
  - What if there is a paucity of tested interventions?
  - What are acceptable sources of interventions?
  - What if these interventions are seen as not appropriate to the context and population?
- If EBPH means using evidence in decision-making
  - What kinds of decisions need to be made?
  - What if there is a paucity of evidence or access to evidence?
  - What are acceptable sources of evidence?

# Implications for the training of practitioners

- Want practitioners to be able to identify and use sources of evidence based interventions
- Do not want practitioners to attempt to use tested interventions uncritically or without considerations of the local context
- Want to develop critical skill set in practitioners that helps them to also become generators of evidence
- Do not want practitioners to ignore important evidence from experience

## Implications for Researchers

- Definitions of EBPH as well as "evidence" influence how we operationalize these constructs
- Questions in routinely available data do not easily map onto these constructs
- Current routinely available data do not include indicators for many of the predictors seen as important by our expert panelists

### Need for more nuanced view

- Using evidence to
  - Determine that something should be done
  - Deciding what should be done
  - Determine how something should be done
- Using interventions and approaches supported by various levels of evidence
- Using administrative practices and processes supported by various levels of evidence



### Thank you!

### Robert Wood Johnson Foundation

### • All of our Expert Panelists