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# LATERAL LOADING TESTS IN THE PIT FOR A LARGE-DIAMETER DEEP PILE 

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#### Abstract

Although the ground supporting the foundation can be regarded as three-dimensional nonlinear continuous body, in design, grounds are modeled as linear elastic springs. However, in reality, grounds exhibit nonlinear load-displacement ( $\boldsymbol{p} \boldsymbol{-} \boldsymbol{\delta}$ ) characteristics. In Specifications for Highway Bridges (Japan Road Association, 1994), ground reaction cocflicient is defined as the secant slope of noticeable displacement and load intensity on $p-\delta$ curve corrected according to width of foundation. For the purpose of examining the scale effect of large-diameter pilc, this paper presents a study on scale effect of lateral ground reaction coefficient based on results of lateral loading tests performed using large loading plate in the pit of a large-diameter deep pile.


## KEYWORDS

lateral loading test, lateral ground reaction coefficient, scale effect, large-diameter dcep pile, calcareous sandy ground

## INTRODUCTION

In designing pile foundations, the ground is considered as elastic springs and the piles as clastic beams. This means that when ground is subjected to a certain load $p$ the displacement $\delta$ formed and the acting load are linearly proportional with each other. Therefore cvaluation for lateral ground reaction coefficient which expresses this $p-\delta$ curve would be cssential in foundation design. But ground reaction cocflicient cannot be expressed alone by deformation modulus of ground but also in terms of shape. dimensions. and stiffiess of loading surface of foundation. Moreover, there is a need to consider and determine the inconsistency, in the direction of depth, and inelastic property of ground, which arc quite difficult to cvaluate. As indicated in Specifications for Highway Bridges (Japan Road Association, 1994), the lateral ground reaction coefficient for pile foundation is taken as the secant slope on load-displacement curve within range of displacement suitable for foundation structures corrected according to loading width of the foundation. This correction, based on the outcome of studies by Public Works Research Institute (Ministry of Construction, 1967), is proportional to $-3 / 4 \mathrm{th}$ power of
loading width (refer to Japan Road Association, 1994) as indicated in equation (1),

$$
\begin{equation*}
k_{H}=k_{H D}\left(B_{I f} / 30\right)^{-3 / 4} \tag{1}
\end{equation*}
$$

where $k_{H}\left(\mathrm{kgf}^{\mathrm{c}} \mathrm{cm}^{3}\right)$ is the lateral ground reaction coefficient, $k_{\text {ID }}\left(\mathrm{kg} / \mathrm{cm}^{3}\right)$ is the lateral ground reaction coefficient determined from plate bearing test using $30-\mathrm{cm}$-diameter rigid circular plate, and $B_{I I}(\mathrm{~cm})$ is the equivalent loading width of foundation perpendicular to direction of load.

It is clear from cquation (1) that the larger the diameter of pile the smaller the lateral ground reaction cocfficient. Meanwhile, recent foundations are being designed as large-scale structures because of the growing number of huge bridges as well as for labor-saving construction; piles with diameter larger than 2 to 3 meters are also becoming common for pile foundations. This suggests problems about the amount of decrease in lateral ground reaction coefficient due to scale effect in relation to displacement limit for design. Threc-meter-diameter pile corresponds to that of 30 -centimeter-diameter decreased by approximately $18 \%$. On the other hand, according to Technical Standards for Port and Harbour Facilities in Japan (Ports \& Harbour Bureau, Ministry of Transport, 1979), there
is hardly any decrease by load width for 30 -cm-diameter piles or larger. This disagrecment may be due to the difference of ground conditions considered in the experiment.

The actual structure considered in this paper is the foundation of a prestressed concrete rigid-frame bridge (see Fig. 1) with 2.5 -m-diameter decp piles. This bridge is constructed by cantilever method from both ends towards the center. In order to coincide the girder according to plan its deflection during construction must be forecast. Particularly in the case of pile foundation, lateral displacement of pile and rotational displacement of pile head should also be estimated in addition to girder's displacement. This implies the importance of determining lateral ground reaction coefficient $\boldsymbol{k}_{\boldsymbol{H}}$ to execution management. In this experiment, lateral loading tests in the pit for a deep pile is performed to know in-situ lateral ground reaction coefficient $k_{I \text { I }}$ scale effect of $k_{H}$ is examined, and the actual $\boldsymbol{k}_{\boldsymbol{H}}$ used in calculating displacement is determined.


Fig. 1 General view of the bridge

## LOADING TEST APPARATUS

## Loading test site

The location of the loading test is shown in Fig. 2. The borehole of the pile in the second column which is quite away from the slope was selected as test site to eliminate any effects that slope would cause to the tests. Tests were conducted one meter above the bottom of excavation hole after constructing the $14-\mathrm{m}$-long pile. Sample blocks were collected after reaching the calcarcous sand layer at the bottom of deep pile. Table 1 shows the physical properties of the samples, where $W, W_{s} V, \rho_{t}, \rho_{d}, e$, and $w$ represent the wet weight, dry weight, volume, wet density, dry density, void ratio, and water content, respectively.

Table 1 Physical properties of samples

| sample | No.0-1 | No.0-2 | No.0-3 | No.1-2 | No.1-3 |
| :--- | :---: | :---: | :---: | :---: | :---: |
| $W(\mathrm{gf})$ | 412.39 | 385.80 | 401.40 | 486.14 | 490.99 |
| $W_{s}(\mathrm{~g})$ | 398.66 | 351.25 | 370.44 | 463.55 | 455.85 |
| $V\left(\mathrm{~cm}^{3}\right)$ | 214.41 | 208.90 | 198.40 | 214.64 | 220.53 |
| $\rho_{I}\left(\mathrm{~g} / \mathrm{cm}^{3}\right)$ | 1.923 | 1.847 | 2.021 | 2.265 | 2.226 |
| $\rho_{d}\left(\mathrm{~g} / \mathrm{cm}^{3}\right)$ | 1.859 | 1.680 | 1.865 | 2.160 | 2.067 |
| $\boldsymbol{e}$ | 0.519 | 0.680 | 0.514 | 0.307 | 0.366 |
| $w(\%)$ | 3.44 | 9.84 | 8.36 | 4.87 | 7.71 |

The liner plate was removed and shaped into a $2.5-\mathrm{m}-$ diameter circle to clear the gap between the loading plate and loading surface. The loading surface was also flattened.


Fig. 2 Loading test position

## Loading plate

As shown in Fig. 3, there were four types of loading plate and one anchor plate used. Size of loading plate was established, in regards to scale of the field, with $50-\mathrm{cm}$ minimum width. Maximum width was set to 2 m , which is a little bit smaller than pile diameter ( 2.5 m ), for proper installation. Furthermore, to obtain a consistent shape factor for the loading plates, the ratio of lateral width $L$ to longitudinal width $B, L / B$, was fixed to 2.0 . These widths correspond to the dimension of lateral projection plane.

The width in the middle was determined so that the equivalent loading widths are logarithmically at even interval. In designing the loading plates, the design reaction is uniformly distributed throughout the plate. Using SM490 as material for the plate, its allowable stress is similar to that of
temporary structures which is 1.5 times of usual structures, i.e. $\sigma_{c a}=2850 \mathrm{~kg} / \mathrm{cm}^{2}$.


Load and apparatus
The apparatus was set up in such a way that it would not settle at the bottom of the pile as shown in Fig. 4.

Cnse $1 \quad 500 \times 250$


Case $2800 \times 400$


Case $3 \quad 1500 \times 750$


Case $42000 \times 1000$


Side view of loading appratus (the same for all cases)

Concrete was cast placing H-beam scaffold on top of it, then Teflon-lined steel plate was laid with loading plate set over it so that the jack directly acts on the loading surface.

There were three $300-\mathrm{tf}$ jacks prepared which were combined according to strength of load. The order of loading was considered such that previous tests would have no effect on the later as much as possible. As shown in Fig. 4, case 1 and case 2 have the same direction, and case 3 and case 4 were adjusted transversal to it.

Displacement meters were attached to station beams which consist of round pipe and stecl pipe struck into bottom of foundation. Four were fixed for case 1 and case 2, and six for case 3 and case 4 . Their average reading would be the displacement of loading plate. In the detail drawing of loading plate, shown in Fig. 3, big round dots indicate the position of displacement meters.

Figure 5 shows the loading apparatus used for case 3 , where on the left side is the loading plate of case 3 and on the right is that of case 4 used as anchor plate.


Fig. 5 Loading apparatus for case 3
The maximum load considered in the test is calculated, as standard value, according to the formula for passive carth pressure of caissons (sec cq. 2) indicated in Specifications for Highway Bridges, since the form of ground failure is unknown.

$$
\begin{equation*}
K_{P}=\frac{p=K_{P} \gamma h+2 c \sqrt{K_{P}}}{\cos \delta\left[1-\sqrt{\frac{\sin (\phi-\delta) \sin (\phi+\delta)}{\cos \delta \cos \alpha}}\right]^{2}} \tag{2}
\end{equation*}
$$

In equation 2, $K_{P}$ represents cocfficient of passive earth pressure, $\gamma\left(\left(t / \mathrm{m}^{3}\right)\right.$ is density of soil, $\phi$ is angle of internal friction. $\alpha(=0$ degrec) is angle of inclination of ground surface, $\delta(=-\phi / 3)$ is angle of wall friction, $c$ is cohesion of soil, and $h(=10 \mathrm{~m})$ is depth. Table 2 shows the yicld loads
calculated based on $c, \phi$, and $\gamma$ of sample blocks No.0, No. 1 and the block composed of both. Herein, $P$ and $P_{y}$ represent ultimate and yield loads, respectively. Also, the density of soil $\gamma$ is taken as the average value of corresponding wet densities in Table 1.

## Table 2 Yield loads

(a) Case 1

| sample block | No.0 | No.1 | No.0+No.1 |
| :--- | :---: | :---: | :---: |
| $K_{p}$ | 3.565 | 3.316 | 3.244 |
| $p\left(\mathrm{t} / \mathrm{m}^{2}\right)$ | 208.5 | 547.9 | 398.1 |
| $P(\mathrm{t})$ | 26.1 | 68.5 | 49.8 |
| $P_{y}=P / 1.5(\mathrm{t})$ | 17.4 | 45.7 | 33.2 |

(b) Case 2

| sample block | No.0 | No.1 | No.0+No.1 |
| :--- | :---: | :---: | :---: |
| $K_{p}$ | 3.565 | 3.316 | 3.244 |
| $p\left(\mathrm{f} / \mathrm{m}^{2}\right)$ | 208.5 | 547.9 | 398.1 |
| $P^{\prime}(1 \mathrm{f})$ | 66.7 | 175.3 | 127.4 |
| $I_{y}=I / 1.5(1 \mathrm{f})$ | 44.5 | 116.9 | 84.9 |


| (c) Case 3 |  |  |  |
| :--- | :---: | :---: | :---: |
| sample block | No.0 | No. I | No.0 +No .1 |
| $K_{p}$ | 3.565 | 3.316 | $3.2+4$ |
| $p\left(\mathrm{t} / \mathrm{m}^{2}\right)$ | 208.5 | 547.9 | 398.1 |
| $P(\mathrm{tf})$ | 234.6 | 616.4 | 447.9 |
| $P_{y}=P / 1.5(\mathrm{t})$ | 1564 | 410.9 | 298.6 |

(d) Casc 4

| sample block | No.0 | No.1 | No.0+No.1 |
| :--- | :---: | :---: | :---: |
| $K_{p}$ | 3.565 | 3.316 | 3.244 |
| $p\left(\mathrm{t} / \mathrm{m}^{2}\right)$ | 208.5 | 547.9 | 398.1 |
| $P(\mathrm{t})$ | 417.0 | 1095.8 | 796.2 |
| $P_{y}=P / 1.5(\mathrm{t})$ | 278.0 | 730.5 | 530.8 |

Table 3 shows the computed maximum loads. Herein, ultimate loads were found where, for safety assumption, working area of resisting earth pressure is assumed as the area
of loading platc. Maximum loads were obtained by considering half the capacity of the jack as standard, although yield load was basically adopted. The hatched parts in Fig. 4 show the loading plates.

Table 3 Maximum test loads

|  | Case 1 | Case 2 | Case 3 | Case 4 |
| :--- | :--- | :--- | :--- | :--- |
| $L \times B(\mathrm{~cm})$ | $50 \times 25$ | $80 \times 40$ | $150 \times 75$ | $200 \times 100$ |
| $P_{\max }(\mathrm{tf})$ | 35 | 120 | 350 | 520 |

## Loading method

Loading method is based on multi-cycle system (sce Fig. 6 to Fig. 9) indicated in JGS Standard (JGS, 1983). Maximum loads were classified into eight stages where loading was performed by load control system in either third or fourth cycle. Case 2 and case 4 were used temporarily as loading plates of case 1 and case 3. This means that discontinuity with respect to time exists between the current working load and the next load. Nevertheless, continuity in loaddisplacement curve is roughly maintained. Case 4 shows the working load in anchor plate of case 3 and its load cycle following case 3 .


Fig. 6 Loading cycle for case 1


Fig. 7 Loading cycle for case 2


Fig. 8 Loading cycle for case 3


## TEST RESULTS

## Load-displacement curve

Load-displacement curves of case 1 to 4 are shown in Fig. 10 where displacement of loading plate is taken as the average record of all meters installed in the plate. Meters were installed as shown in Fig. 3, that is, 4 for case 1 and 2, and 6 for case 3 and 4 . Yield loads are estimated using the logPlogS curves in JGS Standard (JGS, 1983) as shown in Fig. 11 to Fig. 14. The points indicated in these figures represent the yield loads according to $\log P-\log S$ curves. When yield load is exceeded, ground displacement above loading plate becomes larger than below causing torque in the plate and making it impossible to measure post-yield displacements. It appears that ground failure occurred forming sliding surface under the ground and creating loading plate to expand.

In case 4, the displacement corresponding to maximum load of case 3 is obviously larger than the record observed in anchor plate of case 3 . Since later load-displacement curve was not obtained in case 4, the load-displacement curve of case 3 before its maximum load is also adopted. In case 2, loaddisplacement curve of anchor plate and the one due to later reloading were arranged independently from each other.

Case 1


Case 2


Case 3


Case 4


Fig. 10 Load-displacement curves

The yield loads obtained from Fig. 11 to Fig. 13 are compared with that used as maximum loads of test. It reveals that for case 1 to 3 yield loads calculated from material property of entire ground are nearly equal to values determined from the figures; in case 1 , the former is 33.2 tf and later is 30
 and 250 if. In case 4, the yield load taken from Fig. 14 is 350 tf which lies halfway between the values computed from material property No. $0,278 \mathrm{tf}$, and from material property of entire ground, $530.8 \mathbf{t f}$.


Fig. 11 Yield load for case 1 based on Log P~Log Sgraph


Fig. 12 Yield load for case 2 based on $\log P \sim \log S$ graph

The standard displacement usually used in design of pile foundation, that corresponds to $1 \%$ of pile diameter, is determined so that residual displacement will not occur (Japan Road Association, 1994). In conformity to this, standard displacement at initial stage of loading test used in computing lateral ground reaction coefficient $k_{H}$ is considered to be the displacement which corresponds to $1 \%$ of loading width.

Loading width is regarded as equivalent loading width, i.e. $B_{0}=(L \times B)^{1 / 2}$. Table 4 shows the lateral ground reaction coefficients $k_{H}$ which are calculated from load-displacement curves that correspond to $1 \%$ strain of equivalent loading width.

Table $4 k_{H}$ corresponding to $1 \%$ strain of loading width

Case 1 Case 2 Case 3 Case 4

| Loading surface area, <br> $A\left(\mathrm{~cm}^{2}\right)$ | 1250 | 3200 | 11250 | 20000 |
| :--- | :---: | :---: | :---: | :---: |
| Equivalent loading <br> width, $\mathrm{A}^{12}(\mathrm{~cm})$ | 35.4 | 56.6 | 106.1 | 141.4 |
| Standard displ., <br> $\delta(\mathrm{mm})$ | 3.54 | 5.66 | 10.61 | 14.14 |
| Load (tf) | 7.143 | 30.0 | 160.0 | 240.0 |
| Ground reaction, <br> $\sigma=P / A\left(\mathrm{kgf} / \mathrm{cm}^{2}\right)$ | 5.714 | 9.375 | 14.222 | 12.000 |
| $k_{H}=\sigma / \delta\left(\mathrm{kgf}^{2} / \mathrm{cm}^{3}\right)$ | 16.140 | 16.564 | 13.404 | 8.487 |

It can be recognized that as loading width becomes larger $\boldsymbol{k}_{\boldsymbol{H}}$ bccomes smaller. Suppose that ground displacement follows the theory of clasticity, then $k_{H}$ would be inversely proportional to loading width (Japan Road Association, 1994). According to Specifications for Highway Bridges, this is proportional to $-3 / 4 \mathrm{th}$ power of loading width based on laboratory test results for Kanto loam and wet sandy ground (Public Works Research Institute, 1967). On the other hand, according to Technical Standards for Port and Harbour Facilitics in Japan (Ports \& Harbour Bureau, Ministry of Transport, 1979), scalc effect for loading width of 30 cm or larger, i.c. $k_{H}$ duc to loading width, does not decrease for sandy grounds. These suggest that scale effect varies with respect to ground conditions.

Figure 15 shows the lateral ground reaction coefficient
expressed as function of equivalent loading width based on the test results. The small squares in the Fig. 15 marks the values obtained from test results. Results which correspond to Specifications for Highway Bridges and theory of elasticity are also indicated in the same figure.


Fig. $15 \boldsymbol{k}_{H}$ coefficient of calcareous sandy ground
In the test ground, i.e. calcareous sand bed, the scale effect of lateral ground reaction coefficient $k_{H}$ obtained from large curved loading plate varies, as equivalent loading width increases, in proportion to loading width raised to -0.42 power. Therefore, the decrease of $k_{H}$ becomes smaller compared with that of Specifications for Highway Bridges, where loading width is raised to -0.75 power.

## CONCLUSIONS

Lateral loading tests in the pit for a 2.5 -m-diameter decp pile in calcarcous sand bed were performed. The physical and mechanical characteristics of calcarcous sandy ground were investigated based on sample test results.

The following summarizes the results of sample tests and undisturbed samples of calcarcous sandy ground.

- Undisturbed samples were formed after freezing using core bit for decomposed granite soils.
- Calcarcous sandy grounds have different degree of solidifications as well as plysical and mechanical properties corresponding to location of sampling.

Morcover, below reveals the results concerning the scale effect of lateral ground reaction coefficient $k_{H}$ for the test ground.

- Lateral ground reaction coefficient $k_{H}$ calculated from loaddisplacement curve that corresponds to $1 \%$ strain of equivalent
loading width tends to become smaller as loading width becomes larger.
- By comparing $k_{H}$ with those obtained from the past test results, it is found that scale effect have an inclination to change with ground characteristics.

The performed test presented just one example of scale effect of $k_{H}$ but it is believed that studies on lateral resistance of underground structures having large loading width, like largediameter piles and diaphragm walls, contributes significant informations in the future. Hence, further study will be presented in the future by performing simulations of loading tests and investigating $k_{H}$ for foundations with large loading width.

The lateral resistance observed from the test results is used in examining adjustments of vertical displacements of girder during construction of the rigid-frame bridge. The $k_{H}$ obtained from test results is used in regulating deflections of girder during cantilever installation since it is larger than the prescribed safe value in Specifications for Highway Bridges. Since there is risk of overestimating vertical displacement in using Specifications for Highway Bridges, control value based on $k_{H}$ of test results is applied.

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