

## **Improvement of dynamic range and repeatability in refractive-index-sensing optical comb by combination of saturable-absorber-mirror mode-locking with intracavity multi-mode interference fiber sensor**

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Mode-locked fiber comb equipped with multi-mode-interference fiber sensor functions as high-precision refractive-index (RI) sensor benefitting from precise radio-frequency measurement. However, its dynamic range and repeatability are hampered by inherent characteristics in nonlinear-polarization-rotation mode-locking oscillation. In this article, we

introduce saturable-absorber-mirror mode-locking for RI sensing with wide dynamic range and high repeatability. While the RI dynamic range was expanded to 41.4 dB due to high robustness to cavity disturbance, self-starting capability without the need for polarization control improves the RI sensing repeatability to  $1.10 \times 10^{-8}$  every mode-locking activation. Improved dynamic range and repeatability will be useful for enhanced performance of RI sensing.

Refractive index (RI) is an important physical quantity of materials. Therefore, RI sensing has been widely used for identification or characterization of materials. Among various RI sensors, RI fiber sensor benefits from compactness, simplicity, flexibility, noise-robustness, and availability in various environment, and hence has been used for various applications; examples include ethanol sensing,<sup>1)</sup> glucose sensing,<sup>2)</sup> bio-sensing,<sup>3)</sup> and gas sensing.<sup>4)</sup> In most case, a change of sample RI is converted into a shift of optical spectrum via the surface plasmon resonance (SPR)<sup>5-8)</sup> or the multi-mode interference (MMI).<sup>9-11)</sup> However, the precision of RI sensing is limited by the sharpness of optical spectrum dip or peak and/or instrumental resolution.

If a change of sample RI is transferred into a photonic radio-frequency (RF) signal together with the sharpened spectrum, the RI sensing benefits from high precision, high functionality, convenience, and low cost by making use of frequency standards and precise measurement apparatuses in RF region. Such photonic RF fiber sensor has been effectively applied for strain sensing<sup>12)</sup> and ultrasound sensing<sup>13,14)</sup> by use of multiple-longitudinal-mode or multiple-polarization-mode spacing in a continuous-wave (CW) fiber laser or a CW fiber-Bragg-grating (FBG) laser. However, inherent frequency fluctuation of mode spacing hampers high-precision RI sensing.

Recently, an optical frequency comb (OFC) appeared as a new photonic RF fiber sensor for liquid RI<sup>15)</sup> as well as strain,<sup>16)</sup> acoustic wave,<sup>17)</sup> and ultrasound.<sup>18)</sup> Although OFC has been widely used for an optical frequency ruler secured by a frequency standard,<sup>19-21)</sup> OFC was here used for a photonic RF converter of a sample RI change into an OFC mode spacing  $f_{rep}$  via a combination of RI-dependent tunable bandpass filtering of an intracavity MMI fiber sensor and wavelength dispersion of a cavity fiber. Due to the ultra-narrow linewidth and high stability in the mode-locking oscillation, this MMI-OFC enables us to precisely measure the RI-dependent  $f_{rep}$  shift by an RF frequency counter synchronized with a rubidium frequency standard, leading to an RI resolution of  $4.9 \times 10^{-6}$  refractive index unit (RIU) and an RI accuracy of  $5.4 \times 10^{-5}$  RIU.

One problem of the previous MMI-OFC is in the limited dynamic range and the low repeatability of RI sensing, which are caused by the non-linear polarization rotation (NPR)<sup>22)</sup> used for a mode-locking oscillation in the MMI-OFC. Although NPR has been widely used for the fiber-based optical comb with broad optical bandwidth, it is less robust to the external disturbance to the cavity because of high sensitivity to the fiber birefringence. In the MMI-OFC, a large change of the sample RI causes the change

of the intracavity polarization condition via the intracavity MMI fiber sensor, leading to the non-negligible disturbance to the cavity and the disruption of mode-locking oscillation. This is the reason for the limited dynamic range of RI sensing. On the other hand, NPR-based mode-locking oscillation is activated by polarization adjustment in the fiber cavity with a polarization controller. While such polarization adjustment enables flexible mode-locking oscillation,  $f_{rep}$  changes every mode-locking activation, leading to its low repeatability. If high repeatability of  $f_{rep}$  can be achieved in RI-sensing MMI-OFC, the RI sensing repeatability will be enhanced.

To overcome the limited dynamic range and the low repeatability of RI sensing, robust, less degree of freedom, mode-locking mechanism is required in the RI-sensing MMI-OFC. One possible candidate for such purpose is mode-locking oscillation with saturable absorber mirror (SAM)<sup>23</sup>. SAM enables the easy, stable, and robust mode-locking oscillation without the need for polarization control. While such characteristics in SAM enable us to expand the dynamic range of RI sensing in MMI-OFC, the less degree of freedom mode-locking oscillation will contribute to high repeatability of  $f_{rep}$  and RI sensing. In this article, we evaluate the validity of SAM-based MMI-OFC (SAM-MMI-OFC) by comparing with the previous NPR-based MMI-OFC (NPR-MMI-OFC).

Figure 1(a) shows the principle of operation for MMI-OFC.<sup>15</sup> MMI-OFC has a ring-type fiber cavity containing a mode-locker and a MMI fiber sensor. Such the intracavity MMI fiber sensor functions as an RI-dependent tunable optical bandpass filter (bandpass center wavelength =  $\lambda_{MMI}$ ) via the multi-mode interference and the Goos-Hänchen shift on the surface of the MMI fiber sensor. In other words, the intracavity MMI fiber sensor shifts the optical spectrum ( $\lambda_{MMI}$ ) of the MMI-OFC depending on the sample RI. The wavelength-shifted MMI-OFC spectrum experiences the wavelength dispersion of the cavity fiber, resulting in the conversion from the RI-dependent optical spectral shift to an RI-dependent shift of the optical cavity length  $nL$ . Since  $f_{rep}$  of OFC is given by  $c/nL$ , where  $c$  is the velocity of light in vacuum, RI change of a sample can be read out as RI-dependent  $f_{rep}$  shift ( $\Delta f_{rep}$ ).

We modified a mode-locked Er: fiber laser oscillator for the SAM-MMI-OFC as shown in Fig. 1(b). This oscillator had a ring cavity including a 3.3 m length of single-mode fiber (SMF, SMF-28, Corning, dispersion at 1550 nm = 17 ps/km/nm), a 0.5 m length of erbium-doped fiber (EDF, ER80-4/125, LIEKKI, dispersion at 1550 nm = -65 ps/km/nm), a fiber-coupled saturable absorber mirror (FC-SAM, SAM-1550-4-4ps-FC, BATOP, high reflection band = 1480~1580 nm, absorbance = 4 %, modulation depth = 2.4 %,)

relaxation time constant  $\sim 4$  ps, mounted on a 1 m SMF cable with FC connector), a polarization-insensitive isolator (ISO, PSSI-55-P-I-N-B-I, AFR), a 70:30 fiber coupler (FC, SBC-1-55-30-1-B-1, AFR), a wavelength-division-multiplexing coupler (WDM, WDM-1-9855-1-L-1-F, AFR), a pumping laser diode (pump LD, BL976-PAG900, Thorlabs, wavelength = 980 nm, power = 900 mW), a fiber circulator (FCIR-55-1-B-1-1, AFR), and an intracavity MMI fiber sensor. The intracavity MMI fiber sensor was composed of a clad-less MMF (FG125LA, Thorlabs, core diameter = 125  $\mu\text{m}$ , fiber length = 58 mm) with a pair of SMFs at both ends (core diameter = 6  $\mu\text{m}$ , clad diameter = 125  $\mu\text{m}$ , fiber length = 54 mm), whose detail is given elsewhere.<sup>15)</sup> We here set  $m$  to 4 for use of the intracavity MMI fiber sensor as the RI-dependent tunable band-pass filter. SAM functions as a stable mode-locker via a fiber circulator. The fiber cavity was enclosed in an aluminum box and its temperature was controlled to 26.0°C by a combination of a Peltier heater (TEC1-12708, Kaito Denshi, power = 76 W), a thermistor (PB7-42H-K1, Yamaki), and a temperature controller (TED200, Thorlabs, PID control) [not shown in Fig. 1(b)]. The output light from the oscillator was detected by a photodetector (PD), and  $f_{rep}$  was measured by an RF frequency counter (53230A, Keysight Technologies, frequency resolution = 12 digit/s) and an RF spectrum analyzer (E4402B, Keysight Technologies, frequency resolution = 1 Hz), both of which were synchronized to a rubidium frequency standard (FS725, Stanford Research Systems, accuracy =  $5 \times 10^{-11}$  and instability =  $2 \times 10^{-11}$  at 1 s). Also, its optical spectrum was measured by an optical spectrum analyzer (AQ6315A, Yokogawa Electric Corp., wavelength accuracy = 0.02 nm, wavelength resolution = 0.02 nm). For comparison, we prepared the NPR-MMI-OFC<sup>15)</sup> and enclosed in the same temperature-controlled box. Specification of the NPR-MMI-OFC was set to be similar to the SAM-MMI-OFC as shown later. The same MMI fiber sensor was used in the NPR-MMI-OFC.

Before evaluating the performance of RI sensing, we compared an optical spectrum and an RF spectrum between the SAM-MMI-OFC and NPR-MMI-OFC. Pure water was used here as a liquid sample. Red plot and blue one in Fig. 2 (a) show an optical spectrum of the SAM-MMI-OFC (center wavelength = 1558.8 nm, spectral bandwidth = 0.8 nm, mean power = 1.43 mW) and the NPR-MMI-OFC (center wavelength = 1552.2 nm, spectral bandwidth = 7.7 nm, mean power = 1.46 mW), respectively. The soliton mode-locking oscillation was achieved near the zero-dispersion region of the cavity ( $-0.0338$  ps<sup>2</sup> for the SAM-MMI-OFC and  $-0.0339$  ps<sup>2</sup> for the NPR-MMI-OFC). The spectral bandwidth in the SAM-MMI-OFC was significantly narrower than that in the NPR-MMI-OFC. While the SAM-MMI-OFC can be

easily mode-locked by the narrower spectral light and hence the longer pulse light, the mode-locking oscillation of the NPR-MMI-OFC needs the broader spectral light and the shorter pulse light together with precise polarization control. Such easiness of mode-locking oscillation in the SAM-MMI-OFC will contribute to the robustness to the cavity disturbance caused by the intracavity MMI fiber sensor. Figure 2 (b) compares RF spectrum of  $f_{rep}$  between the SAM-MMI-OFC (red plot) and the NPR-MMI-OFC (blue plot), respectively.  $f_{rep}$  was 54.86 MHz for the SAM-MMI-OFC and 54.79 MHz for the NPR-MMI-OFC, each of which are approximately equal to each other. Both RF spectra have the similar linewidth around 1 Hz, which is limited by the instrumental resolution of the RF spectrum analyzer rather than the actual RF spectrum of  $f_{rep}$ . We also compare the frequency instability of  $f_{rep}$  between the SAM-MMI-OFC and the NPR-MMI-OFC. Red plot and blue one in Fig. 2 (c) show the frequency instability of  $f_{rep}$  with respect to the gate time, which is defined as a ratio of  $f_{rep}$  fluctuation to the mean  $f_{rep}$  value. Little difference was confirmed between them; in other words, use of SAM in MMI-OFC does not degrade the  $f_{rep}$  instability and has a potential to achieve the same RI resolution as the NPR-MMI-OFC.

To confirm the RI sensing capability in optical region, we first investigated the RI-dependent  $\lambda_{MMI}$  shift in the SAM-MMI-OFC and NPR-MMI-OFC. Mixtures of ethanol and pure water were used here as a liquid sample. The sample RI was adjusted by changing the mixture ratio between ethanol and water. Furthermore, the temperature of the sample was controlled to 22 °C by a combination of a K-type thermocouple (TJA-550K, AS ONE), a cord heater (603-60-69-01, Tokyo Glass Kikai, power = 15 W), and a temperature controller (TJA-550, AS ONE, PID control, display resolution = 0.1 °C). The relationship between the ethanol volume concentration EC (unit: EtOH%) and the sample RI (unit: RIU) is given by  $RI = 1.3326 + 4.90 \times 10^{-4} \times EC$ .<sup>24)</sup> The resulting RI-dependent  $\lambda_{MMI}$  shift was determined to be 94.5 nm/RIU for the SAM-MMI-OFC and 67.8 nm/RIU for NPR-MMI-OFC, respectively (not shown). We next evaluated a relation between sample RI and  $f_{rep}$  shift ( $\Delta f_{rep}$ ) in the SAM-MMI-OFC and NPR-MMI-OFC. Figures 3(a) and 3(b) respectively show the RI-dependent RF spectrum shift of  $f_{rep}$  in the SAM-MMI-OFC and the NPR-MMI-OFC for the ethanol/water samples with different mixture ratios (= 0–20 EtOH%, corresponding to 1.333–1.342 RIU), acquired by an RF spectrum analyzer. The amount of spectral shift was significantly larger than the spectral linewidth in both. Then, we measured the RI-dependent  $\Delta f_{rep}$  more precisely by using an RF frequency counter as shown in Fig. 3(c). Although the linear relation was confirmed in the SAM-MMI-OFC and the NPR-MMI-OFC, the former shows the

better linearity than the later. The RF slope coefficient was determined to be -5.82 Hz/EtOH% for the SAM-MMI-OFC and -4.01 Hz/EtOH% for the NPR-MMI-OFC. Since the RF slope coefficient ratio [= (-5.82 Hz/EtOH%)/(-4.01 Hz/EtOH%) = 1.45] significantly agrees with the optical slope coefficient ratio of the SAM-MMI-OFC to the NPR-MMI-OFC [= (94.5 nm/RIU)/(67.8 nm/RIU) = 1.39], difference of the RF slope coefficient between the SAM-MMI-OFC and the NPR-MMI-OFC is mainly due to difference of the optical slope coefficient between. From these slope coefficients and frequency fluctuation of  $f_{rep}$ , the RI resolution was determined to be  $3.37 \times 10^{-6}$  for the SAM-MMI-OFC and  $6.92 \times 10^{-6}$  for the NPR-MMI-OFC at a measurement time of 0.5 s.

We further investigated the RI-dependent  $\Delta f_{rep}$  of water/ethanol samples within the wider range of mixture ratio (0–100 EtOH%, corresponding to 1.333–1.382 RIU). Figure 4(a) shows the RI-dependent  $\Delta f_{rep}$  of the water/ethanol sample in the SAM-MMI-OFC. The SAM-based mode-locking oscillation was maintained in all ethanol concentrations. The resulting slope coefficient in the low concentration was larger than that in the high concentration because the RI value of water/ethanol mixture increased in the low concentration (= 0–80 EtOH%), reached the plateau around 80%, and decreased in the high concentration (= 80–100 EtOH %).<sup>11)</sup> Figure 4(b) shows the RI-dependent  $\Delta f_{rep}$  of the water/ethanol sample in the NPR-MMI-OFC. In contrast to the SAM-MMI-OFC, the NPR-based mode-locking oscillation was lost four times due to the less robustness to the cavity disturbance caused by the intracavity MMI fiber sensor. When we activated the mode-locking oscillation again by fine adjustment of an intracavity polarization controller, the  $f_{rep}$  value was significantly jumped from the  $f_{rep}$  value before losing the mode-locking oscillation. As a result, the RI-dependent  $\Delta f_{rep}$  slope shows several discontinuity points. Such discontinuity points hampers the wide dynamic range of RI sensing in the NPR-MMI-OFC. Dynamic range of RI sensing in the SAM-MMI-OFC and the NPR-MMI-OFC is given by

$$\begin{aligned} DR_{SAM} &= 10 \log [(RI_{max} - RI_{min})/(RI \text{ resolution})] \\ &= 10 \log [(1.3792 - 1.3326)/(3.37 \times 10^{-6})] = 41.4 \text{ dB} \end{aligned} \quad (1)$$

$$DR_{NPR} = 10 \log [(1.3473 - 1.3326)/(6.92 \times 10^{-6})] = 33.3 \text{ dB} \quad (2)$$

where  $RI_{max}$  and  $RI_{min}$  are the maximum and minimum RI values. This comparison clearly indicates the superiority of the SAM-MMI-OFC over the NPR-MMI-OFC for the wide dynamic range of RI sensing. The maximum RI will be limited by the RI of the clad-less MMF (= 1.444 RIU) because the MMI fiber sensor is based on the total reflection at a boundary between the clad-less MMF surface and the sample.

We evaluate the repeatability of  $f_{rep}$  in the SAM-MMI-OFC and the NPR-MMI-OFC. Figure 5(a) shows the temporal change of  $f_{rep}$  in the SAM-MMI-OFC when the mode-locking oscillation was disrupted by turning the pumping LD off. Due to the self-starting of the mode-locking oscillation without the need for additional adjustment of the intracavity component,  $f_{rep}$  values were recovered with high repeatability before and after the disruption. The slow temporal change of  $f_{rep}$  was mainly due to the residual thermal drift of  $nL$ . However, the temporal behavior of  $f_{rep}$  was almost continuous even though the mode-locking oscillation was disrupted. Frequency deviation before and after disruption of mode-locking oscillation was  $0.60 \pm 0.66$  Hz for 4 disruptions, corresponding to the repeatability of  $(1.10 \pm 1.21) \times 10^{-8}$  in  $f_{rep}$ . This  $f_{rep}$  repeatability is equivalent to the repeatability in RI measurement. On the other hand, in NPR-MMI-OFC, it is difficult to activate the mode-locking oscillation as self-starting when the mode-locking oscillation was disrupted by turning the pumping LD off. The NPR-MMI-OFC needs the precise adjustment of the polarization controller for the activation of the mode-locking oscillation. As a result,  $f_{rep}$  was jumped with several tens Hz every disruption point even though the scale of the polarization controller was set to that before the disruption, as shown in Fig. 5(b). Frequency deviation before and after disruption of mode-locking oscillation was  $46.0 \pm 4.67$  Hz for 3 disruptions, corresponding to the  $f_{rep}$  repeatability of  $(8.40 \pm 0.85) \times 10^{-7}$ . In this way, the SAM-MMI-OFC has the better repeatability of  $f_{rep}$  than the NPR-MMI-OFC.

We here discuss a possibility of the absolute measurement of the sample RI based on one-to-one correspondence between sample RI and  $f_{rep}$ . In the previous research of MMI-OFC,<sup>15)</sup> the low repeatability of  $f_{rep}$  in the NPR-MMI-OFC [see Fig. 5(b)] hampers such absolute RI measurement. Therefore, the relative measurement was performed based on one-to-one correspondence between the sample RI and  $f_{rep}$  shift ( $\Delta f_{rep}$ ). Use of SAM in MMI-OFC benefits from high repeatability of  $f_{rep}$  [see Fig. 5(a)] in addition to wide dynamic range of RI sensing. However, the slow temporal change of  $f_{rep}$  was still remained due to the residual thermal drift of  $nL$  even though the temperature control of fiber cavity was activated. This remained change will be the last barrier preventing the absolute measurement of the sample RI. One possible method to get rid of this barrier is to compensate the drifted  $f_{rep}$  by another measured parameter (for example, carrier-envelope-offset frequency of OFC, optical power, optical spectrum, and so on) related with thermal condition of the fiber cavity. Work is in progress to achieve the absolute measurement of the sample RI based on one-to-one correspondence between sample RI and  $f_{rep}$ .



In summary, we proposed use of SAM-based mode-locking, in place of NPR-based mode-locking, in MMI-OFC. Improved dynamic range and repeatability were effectively demonstrated in RI sensing of the ethanol/water sample. While the RI dynamic range was significantly increased due to high robustness to the cavity disturbance caused by the MMI fiber sensor, the self-starting capability without the need for polarization control significantly improves the repeatability of  $f_{rep}$ -based RI sensing every mode-locking activation. RI sensing based on SAM-MMI-OFC will be a powerful tool for quality control of liquid products, biosensing, and gas sensing.

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## Figure Captions

**Fig. 1.** (a) Principle of operation for MMI-OFC. (b) Experimental setup of SAM-MMI-OFC. Pump LD, pumping laser diode; WDM, wavelength-division-multiplexing coupler; SMF, single-mode fiber; EDF, erbium-doped fiber; SAM module, saturable absorbed mirror module; ISO, polarization-insensitive isolator; FC, 70:30 fiber coupler; PD, photodiode.

**Fig. 2.** Comparison of (a) optical spectrum, (b) RF spectrum of  $f_{rep}$ , and (c) frequency instability of  $f_{rep}$  between SAM-MMI-OFC and NPR-MMI-OFC.

**Fig. 3.** RI-dependent  $f_{rep}$  shift in (a) SAM-MMI-OFC and (b) NPR-MMI-OFC. (c) Relation between ethanol concentration or sample RI and  $f_{rep}$  shift ( $\Delta f_{rep}$ ) in SAM-MMI-OFC (red plot) and NPR-MMI-OFC (blue plot).

**Fig. 4.** Relation between ethanol concentration or sample RI and  $f_{rep}$  shift ( $\Delta f_{rep}$ ) in (a) SAM-MMI-OFC and (b) NPR-MMI-OFC.

**Fig. 5.** Temporal change of  $f_{rep}$  when the mode-locking oscillation was disrupted. (a) SAM-MMI-OFC and (b) NPR-MMI-OFC.

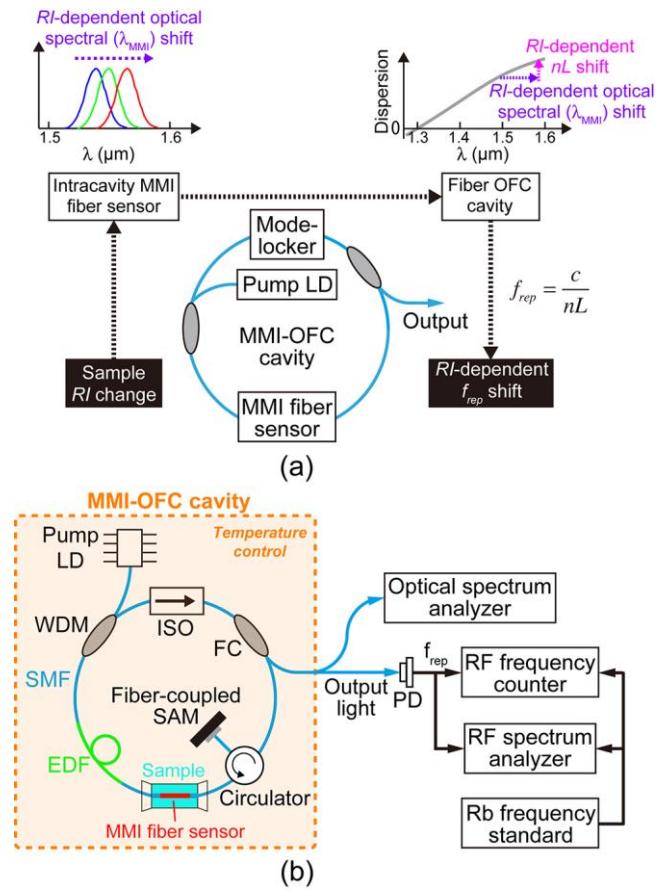


Fig. 1.

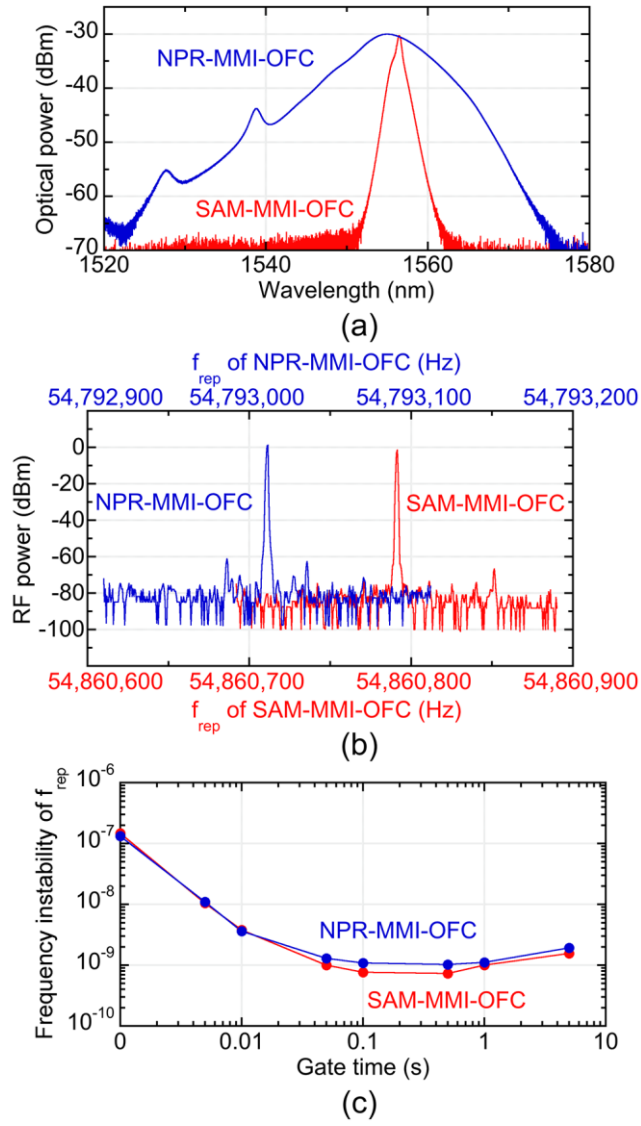


Fig. 2.

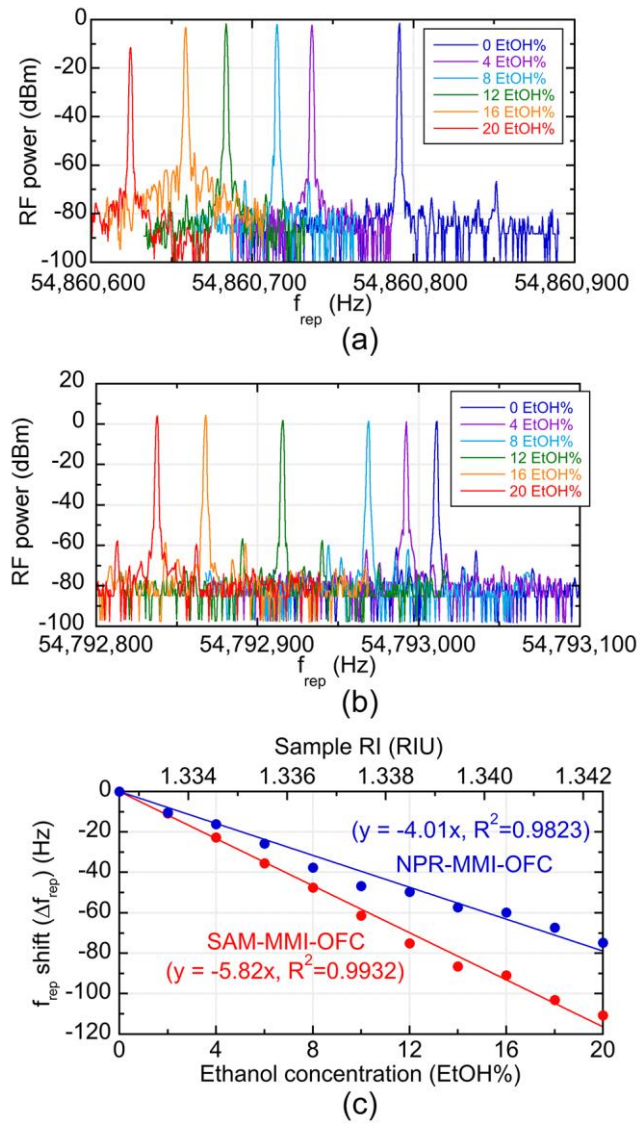
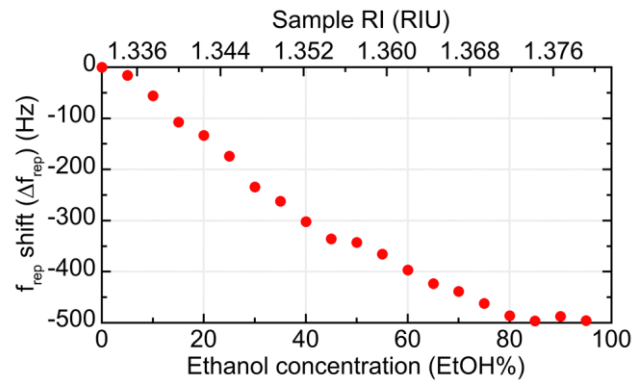
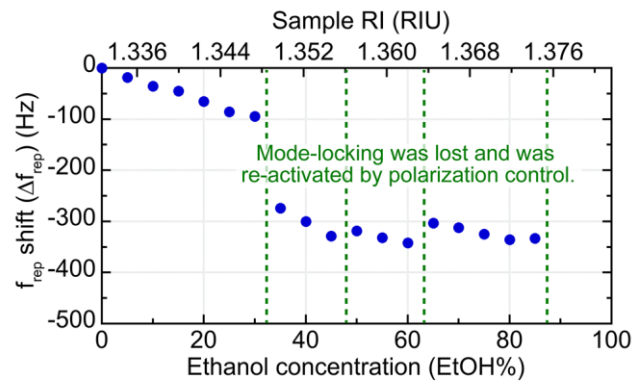


Fig. 3.



(a)



(b)

Fig. 4.



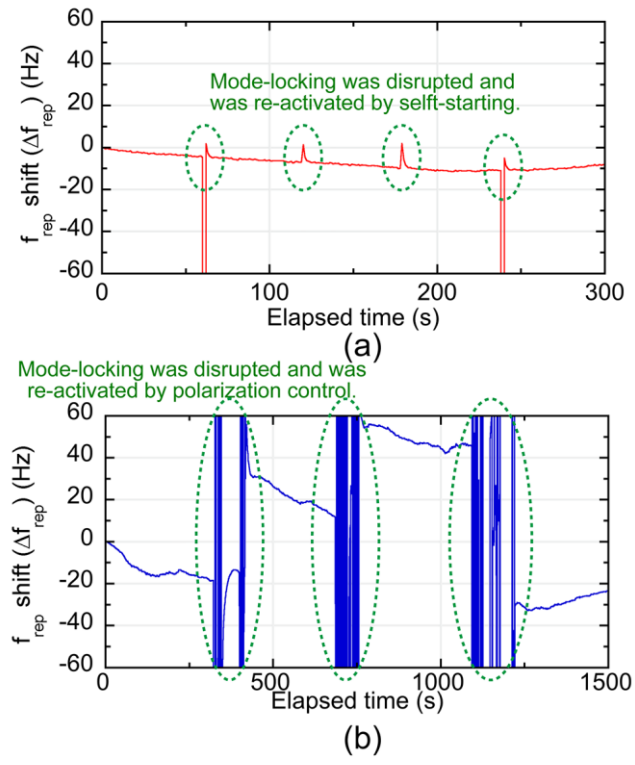


Fig. 5.