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2 **GEOCHEMICAL ASSESSMENT OF METAL TRANSFER FROM ROCK AND SOIL**  
3 **TO WATER IN SERPENTINE AREAS OF SABAH (MALAYSIA)**

4  
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12 **ABSTRACT**

13 The mobility of metals in ultramafic rock–soil systems and metal contamination in serpentine soils were  
14 investigated from the Ranau area in Sabah, East Malaysia. Metal concentrations were analysed after division into  
15 seven operationally defined fractions by selective sequential extraction (SSE). Geochemical studies showed that  
16 the soils are exceptionally high in Cr (19,000 mg kg<sup>-1</sup>), Ni (4800 mg kg<sup>-1</sup>) and Co (170 mg kg<sup>-1</sup>), about 140,  
17 16 and 10 times higher than global soil averages, respectively. Thus, the soil can be categorized as unusually  
18 contaminated in comparison with relevant guidelines. Nevertheless, despite expectations, low concentrations of  
19 Cr, Ni and Co were found in surface waters flowing over the serpentine massifs (14, 94 and 7 µg L<sup>-1</sup>,  
20 respectively), indicating mobile ingress into river waters is low or, alternatively, diluted in the tropical  
21 environment resulting in minimal decline in their quality ascribed to the regional ultramafic geology of the area.  
22 The main reason is revealed by the SSE finding of very low (1%) mobile metal abundances in available fractions  
23 of Ranau soils. While this study is one of the first to use a comprehensive SSE in tropical serpentine soils, the  
24 major SSE finding highlights the majority of metals (95%) residing in refractory residual fractions. Metal  
25 speciation studies will shed further light on toxicities in the Malaysian ultramafic tropical environment, reconciled  
26 against elemental metal tenure, adopted by common standards.

27 **Keywords:** Serpentinite, lateritic soil, surface water, heavy metal, mobility

## 28 **1. Introduction**

29 Serpentinites are hydrothermally altered ultramafic rocks with heavy metal enrichment compared to many other  
30 rock types, which cover about 1% of the earth's surface (Tur-ekian and Wedepohl 1961; Brooks 1987; Proctor  
31 2003). It is well documented that a substantial quantity of side-rophile elements including chromium (Cr), nickel  
32 (Ni) and cobalt (Co) in these rocks are exceptionally high compared to the Earth's crust. The concentrations,  
33 fractionation and availability of metals in soils over ultramafic rocks throughout the world have been extensively  
34 studied (Tur-ekian and Wedepohl 1961; Schwertmann and Latham 1986; Graham et al. 1990; Bonifacio et al.  
35 1997; Dinelli et al. 1997; Shallari et al. 1998; Godard et al. 2000; Quantin et al. 2002b; Proctor 2003; Lee et al.  
36 2004; Brearley 2005; Skordas and Kelepertsis 2005; Becquer et al. 2006; Kierczak et al. 2007; Rashmi et al. 2009;  
37 Alves et al. 2011; Tashakor et al. 2014a). The concentrations up to 125,000 mg kg<sup>-1</sup> Cr (Adriano 2001) and more  
38 than 10,000 mg kg<sup>-1</sup> Ni (Hseu 2006) were observed in ser-pentinite soils, while the concentration of these metals  
39 in other soils commonly ranges up to 100 mg kg<sup>-1</sup> (Kabata-Pendias 2000). Heavy metals in the environment are  
40 receiving increasing attention among soil scientists and biologists (Ashraf et al. 2015) due to growing scientific  
41 and public awareness of their polluting and potential carcinogenic role, with heavy metal contamination repre-  
42 senting a significant challenge due to their persistence in the environment. While metals from anthropogenic  
43 origins are often considered to be more of an environmental problem, lithogenic metal release should be  
44 considered in certain areas, such as those over ultramafic rocks. Soils over ultramafic rocks represent sources of  
45 natural geogenic contamination (Oze et al. 2004; Hseu et al. 2007; Kierczak et al. 2008), particularly in areas  
46 where there are significant human populations. It is not surprising that parent rock metal content is transferred to  
47 soils, though their geo-chemical and mineralogical characteristics have the potential to alter metal tenure and  
48 mobility, and therefore influence the environment with possible knock-on effects on human health. These soil  
49 quality issues are particularly important in South-East Asia given the presence of large area of ultramafic rocks  
50 (Repin 1998; Proctor 2003; Tashakor et al. 2014b; van der Ent et al. 2016). The natural metal sources can be  
51 traced back to geological and pedo-genic processes through which metals are liberated from parent materials and  
52 may enter the wider environment. However, it is more important to understand the stocks and fluxes within and  
53 between different fractions in which such metals are held in rocks and soils if we are to gain a full understanding  
54 of the importance of ultramafic lithologies in potential geogenic contamination. Though emphasis is often placed  
55 on the role of pH in mobility and solubility of metals, the presence of soil organic matter, oxides and hydroxides  
56 plays an inhibiting role in release of metallic elements. In fact, our findings and others (Kaasalainen and Yli-Halla  
57 2003) show the affinity of heavy metals to bind with different soil fractions, which may vary significantly,

68 determines how strongly metals are retained in soils and how easily they might be released into soil solution and  
69 subsequently to adjacent surface waters (Vardaki and Kelepertsis 1999; Cance's et al. 2003). As soil is composed  
60 of a heterogeneous mixture of organic and inorganic constituents with varying ability to interact physically and  
61 chemically with heavy metals, metals present in soils can be associated with several reactive components. Soil  
62 metal mobility is limited largely by initial mineralogical speciation and subsequent metal binding forms  
63 associated with soil constituents and/or speciation that may partition into various soil fractions. Based on the  
64 affinity of metals to bind with different soil constituents, they are defined representing a decreasing degree of  
65 metal availability, ranging from ions in soil solution to ions in rock crystal lattices that can be assessed using  
66 sequential extraction procedures (Tessier et al. 1979; Silveira et al. 2006). Few studies have addressed potential  
67 pollutants in water resources and agricultural or natural soils resulting from the large regional extent of ultramafic  
68 rocks in the Malaysian tropical setting, particularly with methods revealing species contributions such as the  
69 selective sequential extraction (SSE) method. This is one of the first studies using a combination of mineralogical  
70 analysis (XRD), elemental content (XRF) and soil physical and chemical characteristics, in which we aim to  
71 determine the availability of metals in rocks, soils and waters in such environments. It therefore contributes to our  
72 understanding of potential health effects on populations living on or near ultramafic lithologies.

## 73 **2. Materials and methods**

### 74 **2.1. Study area and sampling**

75 Sabah is one of the two Malaysian states located in north-west Borneo. Ultramafic peridotite outcrops extend  
76 widely over about 3500 km<sup>2</sup> (Repin 1998). The current study focuses on the Ranau area in north-west Sabah  
77 between the latitudes of 5°57'0" – 6°02'0" N and longitudes of 116°40'0" – 116°45'0" E (Fig. 1) where the ultramafic  
78 series forms a broad expanse of land covering about 41 km<sup>2</sup> (Hing 1969). The common rock types in the area are  
79 tremolite peridotites and spinel lherzolites (Hutchison 2005). However, peridotites of Ranau are partially to totally  
80 altered through intense serpentinization and weathering and are difficult to recognize in the field. Serpentinites  
81 are characterized by their blue colour with small ill-defined greenish lenses. Their appearance is waxy, although  
82 some specimens appear to be crystalline. Associated metamorphic rocks are greenschists and amphibolites. The  
83 serpentinites are in fault contacts associated with hornblende gabbro. Diabase dikes intrude the ultramafic  
84 formations in some areas. The sedimentary rocks of the Ranau area are mainly associated with the north-west  
85 Borneo eugeosyncline of late Cretaceous to Tertiary age. These sedimentary rocks (Trusmadi or Crocker  
86 formations) consist of thick successions of sandstones and shale.

87 Four rock and ten soil samples (20 cm depth including A and upper B horizons) were taken from the environs of  
88 the villages of Kinaratuan, Lohan Skim 1 and 2, Lohan Ulu and Tuhan (Fig. 1). Soils were taken from upper  
89 horizons after removal of debris and vegetation. Water sampling (from c. 10 cm depth) included samples taken  
90 from rivers flowing over serpentinites, namely Bongkud, Liwagu, Lohan, Napatau and Takorek. The focus of this  
91 study is on the surface water composition, though additional seepage water from Lohan Ulu, collected by hand  
92 pumping through a plastic pipe, was also analysed for comparison. Samples of 150 mL were kept in pre-washed  
93 polyethylene containers, and 2 mL of concentrated high-purity HNO<sub>3</sub> was added to each of them to preserve the  
94 samples and avoid precipitation of oxyanions. They were analysed elementally by ICP-MS (Perkin-Elmer ELAN  
95 9000).

## 96 **2.2 Soil physical and chemical characterization**

97 Particle size distribution of soil samples was determined using the hydrometer method (British Standard Institution  
98 1990). The pH was measured in a 1:2.5 (w:v) soil:distilled water suspension. The cation exchange capacity (CEC)  
99 was calculated by summation of Ca, Mg, K, Na and titratable acidity, all extracted with 1 M ammonium acetate  
100 (CH<sub>3</sub>COONH<sub>4</sub>). The solutions were filtered through cellulose nitrate membrane filters (45 μm) and were  
101 analysed elementally by ICP-MS (as above).

## 102 **2.3 Mineralogical analyses**

103 X-ray diffraction (XRD) analysis of ten air-dried, pulverised and sieved (< 2 mm) soil samples was performed on  
104 a Bruker D8 Advance diffractometer. The analysing radiation was CuKα with a wavelength of 1.5406 Å (0.15406  
105 nm). X-ray diffractograms were collected on powder samples within the 2θ range [5L–60L], with 0.02L 0.1 s  
106 step.

## 107 **2.4 Bulk elemental analyses**

108 The bulk chemical composition of rock and soil samples was determined by X-ray fluorescence spectrometry  
109 (XRF) using a Bruker S8 Tiger X-ray with the exciting energy source of Rhodium K-α line. In order to measure  
110 10 major oxides (SiO<sub>2</sub>, TiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, Fe<sub>2</sub>O<sub>3</sub>, MnO, MgO, CaO, Na<sub>2</sub>O, K<sub>2</sub>O, P<sub>2</sub>O<sub>5</sub>), the powdered soils (< 30 μm)  
111 were made into 32 mm diameter fused-beads, by igniting 0.5 g of sample with 5.0 g of Johnson-Matthey  
112 Spectroflux 110, giving a dilution ratio of 1:10 by igniting. To measure trace elements (Appendix A1), pressed-  
113 powder pellets were prepared by applying a pressure of 20 tonnes for one minute to 1 g of sample with 6 g of pure  
114 boric acid powder.

## 115 **2.5 Elemental speciation**

116 Chromium, Ni and Co were fractionated with the seven-step extraction procedure scheme proposed by Tessier et  
117 al. (1979) and modified by Silveira et al. (2006) (Table 1). This method is adapted for tropical Oxisols in which  
118 the mineralogy is dominated by Fe and Mn oxides and provides data on operationally defined soil fractions.  
119 Unlike many extraction procedures, the extraction scheme of Silveira et al. (2006) is capable of differentiating  
120 metals trapped in amorphous or poorly crystalline oxides from crystalline forms. Selective sequential extraction  
121 was performed using 1 g of air-dried and sieved soil samples (2 mm) in 50-mL polycarbonate centrifuge tubes.  
122 Reagents were added in a stepwise fashion to digest the soils, and the suspensions were equilibrated as described  
123 in Table 1. The supernatants were separated from the solid phases by centrifugation for 18–24 h at 12,880 RCF.  
124 They were then filtered through 45- $\mu$ m cellulose nitrate membrane filters and analysed by ICP-MS (as above)  
125 following each stage of extraction.

## 126 **2.6 Data quality**

127 All samples were analysed in duplicate to verify the precision of analysis; the relative percentage difference  
128 between duplicate measurements was always less than 10%. Precision of the ICP-MS was shown by analysing  
129 the international reference standard STD 125 ppb-1 five times giving a relative standard deviation of less than  
130 1.6% in all cases. Detection limits for Cr, Ni and Co were 0.05  $\mu$ g g<sup>-1</sup> and 0.1  $\mu$ g L<sup>-1</sup> for soil and water samples,  
131 respectively. The international standard for corundum was used as a reference material for the XRD analysis,  
132 whereas several certified reference materials with varying SiO<sub>2</sub> content (USGS-BCR-2, SO-2, W-2a, CANMET  
133 SY-2 and CRPG-GSN) were analysed by XRF. There are no reference materials certified for all steps of the SSE,  
134 so, in order to evaluate the extraction efficiency, the concentrations of the various forms of Cr, Ni and Co were  
135 summed after extraction from each sample and compared to their total concentration obtained by XRF  
136 determination of the bulk sample.

## 137 **3. Results and discussion**

### 138 **3.1 Mineralogical composition of rocks and soils**

139 The rock mineral assemblage is characteristic for ultramafics containing antigorite, chrysotile and lizardite (Figure  
140 2). Different types of spinel, especially chromite and magnetite also occur in the rocks. The studied serpentinite-  
141 derived soils typically showed representative feature of tropical soils with major contents of oxides and hydrous  
142 oxides dominated by hematite and including goethite (that are weathering products of magnetite) (Figure 2). The  
143 serpentine soils typically contain chromite and minor amounts of chlorite and ilmenite and trace amounts of

144 inherited serpentine minerals are also recognized. The dominant clay mineral is kaolinite. Allochthonous quartz  
145 is present in almost all the analysed soil samples, probably related to their eolian origin.

### 146 **3.2 Bulk composition of serpentinite rocks and soils**

147 The mean SiO<sub>2</sub> content in the rocks was 43.2 % (by weight) (Table 3). The mean content of MgO was 35.5 % and  
148 Fe<sub>2</sub>O<sub>3</sub> showed a mean content of 8.8 % (Table 3). The mean CaO content was very low (2.5 %) as were Na<sub>2</sub>O  
149 (0.18 %), K<sub>2</sub>O (0.09 %) and P<sub>2</sub>O<sub>5</sub> (0.01 %). Analyses of major oxides of rocks from Ranau accords with the  
150 chemical compositions of numerous ultramafic massifs throughout the world listed by Brooks (1987). Among the  
151 twenty trace metals analysed, Cr, Ni and Co are considered further (Table 3). The total concentrations of Cr and  
152 Ni range from 2622 to 2781 mg kg<sup>-1</sup> and 1602 to 1840 mg kg<sup>-1</sup>, respectively and the observed range of Co was  
153 between 61 and 64 mg kg<sup>-1</sup>. The former two elements are notably higher compared to the average Earth's crust  
154 (Cr: 100 mg kg<sup>-1</sup> and Ni: 25 mg kg<sup>-1</sup>) (Mason and Moore 1982). The most striking result with regard to the soils  
155 was, again, notably high concentrations of Cr, Ni and Co (Table 3). Chromium in Ranau soils had a high mean  
156 value of 14,208 mg kg<sup>-1</sup> (range: 2,427 to 27,863 mg kg<sup>-1</sup>). The World Cr concentrations in soils range up to 200  
157 mg kg<sup>-1</sup> (Bourelleir & Berthelin 1998); however, in the presence of ultramafic bedrocks, such as serpentinites, the  
158 Cr concentration is up to 10,000 mg kg<sup>-1</sup> (Stueber and Goles 1967; Schwertmann and Latham 1986). This study  
159 showed a mean Ni concentration of 1,647 mg kg<sup>-1</sup> (range: 850 to 4753 mg kg<sup>-1</sup>). The common background average  
160 for Ni is less than 100 mg kg<sup>-1</sup> (Kabata-Pendias 2000) while numerous studies have reported Ni concentrations of  
161 more than 10,000 mg kg<sup>-1</sup> in ultramafic soils (Brooks 1987; Hseu 2006). Cobalt had a mean of 112 mg kg<sup>-1</sup> and  
162 ranged from 35 to 167 mg kg<sup>-1</sup>. According to Kabata-Pendias and Mukherjee (2007), surface soil Co ranges from  
163 4.5 to 12 mg kg<sup>-1</sup>. Chromium, Ni and Co concentrations in serpentinite rocks and soils of Sabah were also in  
164 agreement with the reported values from serpentinites of India (Rashmi et al. 2009), Oman (Godard et al. 2000),  
165 Greece (Skordas and Kelepertsis 2005), and Iran (Ghaderian and Baker 2007). Nevertheless, serpentinite soils of  
166 New Caledonia contained much higher concentrations of Cr (31,000 mg kg<sup>-1</sup>) and Ni (12,000 mg kg<sup>-1</sup>) (Massoura  
167 et al. 2006). SiO<sub>2</sub> shows strong positive correlation with CaO (0.81), Na<sub>2</sub>O (0.82) and K<sub>2</sub>O (0.87) (Appendix)  
168 and these alkali and alkali earth elements also indicate the same correlation with each other, whereas Fe<sub>2</sub>O<sub>3</sub> forms  
169 another very strongly correlated pair with MnO having a correlation coefficient of 0.80. Cobalt and Cr correlate  
170 with this group showing strong coefficients: Fe<sub>2</sub>O<sub>3</sub>-Co (0.66), Fe<sub>2</sub>O<sub>3</sub>-Cr (0.80), MnO-Co (0.61) and MnO-Cr  
171 (0.67). There is a strong positive correlation between Cr and Co (0.96). However, Ni presents a different behaviour  
172 and shows moderate association with MgO with a correlation coefficient of 0.60 due to its calcophile nature.

### 173 **3.3 Physico-chemical characteristics of soils**

174 The soils are slightly acidic, showing a mean pH value of  $5.8 \pm 0.6$  (Table 2). The pH ranges from 5.2 to 7.2 in  
175 line with soils derived from mafic and ultramafic rocks in lowland tropical regions (Brearley 2005; Massoura et  
176 al. 2006; Garnier et al. 2009). Silt is the predominant fraction in all of the soils except for sample S12, which is  
177 mainly composed of clay particles (Table 2). The soils are thus classified as silty loam to silty clay loam and clay  
178 (Soil Survey Division Staff 1993). Cation exchange capacity (CEC) shows a broad range with a mean of  $12.0 \pm$   
179  $10.4 \text{ cmol}(+) \text{ kg}^{-1}$  (Table 2); the CEC was dominated by exchangeable  $\text{Mg}^{2+}$  with the mean value of  $11.3 \pm 10.2$   
180  $\text{cmol}(+) \text{ kg}^{-1}$ , over 90 % of the mean CEC. The highest CEC was shown by sample S3 ( $33.9 \text{ cmol}(+) \text{ kg}^{-1}$ ),  
181 followed by clay sample S12 ( $23.7 \text{ cmol}(+) \text{ kg}^{-1}$ ). Sample S4 showed the lowest CEC ( $1.2 \text{ cmol}(+) \text{ kg}^{-1}$ ), near a  
182 regional contact inflexion, and possible faulting, approximately midway between the highest S3 and S12 CEC  
183 samples.

### 184 **3.4 Enrichment and depletion of elements from rocks to soils**

185 During chemical weathering and pedogeological processes, the concentrations of certain elements from the parent  
186 rocks may significantly increase or decrease in the resultant soils (Osama 2007).  $\text{Al}_2\text{O}_3$  and MnO were minor  
187 components of bulk rock composition, while the amounts of these elements showed a three to five-fold increase  
188 in derived soils along with  $\text{Fe}_2\text{O}_3$  (Table 3). The concentrations of Cr and Co were also enriched five and two  
189 times, respectively in the soils compared to parent materials. The concentration of Ni in the soils was equal to that  
190 in the parent rocks whereas MgO showed a ten-fold depletion. The observed enrichment and depletion pattern in  
191 this study is similar to that proposed by Kostić et al. (1998) for wet environments. In general, tropical climates,  
192 by inducing intense and complete hydrolysis, lead to the immobilization of poorly leachable metals in the  
193 secondary minerals and oxides and accumulation in soil profiles, especially toward the surface. During the  
194 pedogenesis of serpentinite, highly mobile elements such as Mg and Si leach from the soil profile at the early  
195 stages of weathering, while newly formed clay minerals become enriched in less mobile elements such as Fe and  
196 Al.

### 197 **3.5 Distribution of Cr, Ni and Co in various soil fractions**

198 The difference between the total metal concentrations (by XRF) and the sum of the concentration of metals  
199 extracted from each step of the SSE analysis, was less than 20% in all cases indicating a reliable extraction (Tessier  
200 et al. 1979). The SSE indicated the main incorporation of Cr and Ni was within the residual fractions of soils  
201 (Figure 3); this was  $12256 \text{ mg kg}^{-1}$  for Cr and  $1233 \text{ mg kg}^{-1}$  representing 92.3% and 89.8% of the total extracted  
202 metals (assuming the XRF analyses represent the total concentration of the metals). Combining this result with  
203 mineralogical observation, we conclude Cr and Ni are bound in serpentine minerals and chromite spinels that are



204 highly resistant to weathering, extracted only at the last stage using strong acidic reagents. This is in agreement  
205 with other research in New Caledonia (Quantin et al. 2002a), Brazil (Garnier et al. 2009), Poland (Kierczak et al.  
206 2008) and Taiwan (Cheng et al. 2011). The use of microscopic (e.g. synchrotron, EDX, electron microprobe)  
207 techniques would aid further research to determine the co-location of these metals with certain primary or  
208 secondary minerals. Amorphous (poorly-crystalline) and crystalline Fe-oxide and Mn-oxide fractions were the  
209 most abundant metal pools among the non-residual fractions, as predicted for 'lateritic' tropical soils. About 3.3%  
210 of the total extracted Cr ( $432 \text{ mg kg}^{-1}$ ) was 'trapped' in the crystalline Fe-oxide fraction and the concentration of  
211 Cr in the Mn-oxide fraction ( $371 \text{ mg kg}^{-1}$ ; 2.8%) was higher than that in the poorly-crystalline Fe-oxide fraction  
212 ( $135 \text{ mg kg}^{-1}$ ; 1.0 %). With respect to Ni, a preferential association with crystalline Fe-oxide ( $73 \text{ mg kg}^{-1}$ ; 5.0%)  
213 rather than with poorly-crystalline Fe-oxide ( $25 \text{ mg kg}^{-1}$ ; 1.6%) or Mn-oxide ( $\text{mg kg}^{-1}$ ; 3.3%) was observed. This  
214 result is in agreement with the work of Garnier et al. (2009) who found Ni to be preferentially associated with  
215 crystalline Fe-oxide over poorly-crystalline Fe-oxide and Alves et al. (2011) who found comparable amounts of  
216 Ni in crystalline Fe-oxides compared with poorly-crystalline Fe-oxide plus Mn-oxides. However, it contrasts with  
217 the work of Massoura et al. (2006) who suggested that in highly weathered tropical soils, a higher proportion of  
218 the Ni should be found in the poorly-crystalline Fe-oxide fraction. Van der Ent et al. (2016) found higher Ni in  
219 the amorphous Fe-oxides (< 30 %) and crystalline Fe-oxides (< 10 %). However these soils were under Ni-  
220 hyperaccumulator plants with > 0.01 % Ni in plant parts that may recycle and concentrate Ni in upper soils preferring  
221 to grow in highly weathered deep soil. In comparison to Cr and Ni, Co revealed a much higher affinity for the Mn-  
222 oxide fraction of soils ( $65.6 \text{ mg g}^{-1}$ ; 58%) in addition to its abundance in the residual fraction (mean =  $24.8 \text{ mg g}^{-1}$ ;  
223 23%). The non-resistant components including the first three fractions of soluble-exchangeable, surface  
224 adsorbed and organic matter contained very small quantities (less than 1.5 % in total) of Cr, Ni and Co of the total  
225 soil extracted in all the samples. This suggests that the metals of concern are not easily leachable and thus not  
226 readily transferable within the environment under ambient conditions. This is also influenced by the nature of  
227 these soils being low in organic matter as soils with greater organic matter content are likely to have more Ni in  
228 organic fractions (Hseu et al. 2016).

### 229 **3.6 The 'quality' of serpentinite soils**

230 The mean concentrations of Cr, Ni and Co in the serpentine soils are about 130, 40 and 10 times higher than  
231 average global soil composition (Table 4). Using the geoaccumulation index (*I<sub>geo</sub>*) of Müller (1969) and the  
232 background values for relevant soil Fe concentrations from Hamon et al. (2004), we determined that the soils were  
233 moderately to strongly contaminated (*I<sub>geo</sub>* class 3 on a six-point scale) by Ni and strongly contaminated (*I<sub>geo</sub>*

234 class 4) by Cr. Based on the concentration of Cr and Ni, all the soils were attributed to group C in the Dutchlist  
235 (2009) standard (indicating significant pollution and a serious toxic threat to the environment), while the Co  
236 concentration corresponds to group B (referring to soils with pollution that may lead to possible harmful effects  
237 (Table 4). Comparing with soil quality guidelines developed by Australian and New Zealand Environment and  
238 Conservation Council (ANZECC/NHMRC 1992), the concentration of Cr in the studied soils is about two orders  
239 of magnitude higher than the maximum background value for Cr (110 mg kg<sup>-1</sup>). Similarly, Ni in the investigated  
240 soils exceeds the higher background concentration level (400 mg kg<sup>-1</sup>) given by ANZECC/NHMRC (1992). Thus,  
241 the metals in the surveyed serpentinite soils are also considered pollutants on this standard. Using the Greater  
242 London Council (2001) guidelines: with regard to Ni and Cr, the soils are categorised as ‘unusually heavily  
243 contaminated’, which is point 5 on a five-point scale; these guidelines do not furnish any classification for Co  
244 contamination. It should, of course, be noted that these standards don't necessarily relate to the specifics of tropical  
245 soil characteristics as they were largely and obscurely adopted from European standards (McLaughlin et al. 2000).  
246 In a comprehensive study, Zarcinas et al. (2004), suggested the 95th percentile values of the randomly 241 selected  
247 soils sampled from Peninsular Malaysia as “Investigation Level” (Table 4); this would apply to all soils sampled  
248 from Ranau in this study. Nevertheless, the 95th percentile via random sampling does not reconcile differing  
249 parent geology, mineralogy and climate or the interactions between them to either mitigate or accelerate potential  
250 bio-toxicity.

### 251 **3.7 Metal concentrations in waters**

252 Surprisingly, few studies have examined metal concentrations in water samples over ultramafic areas; those found  
253 here were comparable to those observed by Vardaki & Kelepertsis (1999) in Greece and Migon et al. (2011) in  
254 New Caledonia although Cr was, in general, lower. The maximum concentrations of Cr (14 µg l<sup>-1</sup>), Ni (94 µg l<sup>-1</sup>)  
255 and Co (7 µg l<sup>-1</sup>) in river samples (Table 5) were lower than the maximum permissible values (Cr: 50 µg l<sup>-1</sup>, Ni:  
256 200 µg l<sup>-1</sup>, Co: 50 µg l<sup>-1</sup>) proposed by Interim National Water Quality Standards of Malaysia (INWQS 2006). The  
257 metal concentrations in Ranau rivers also compared reasonably, with the World Health Organization (WHO 2006)  
258 standard of 50 µg l<sup>-1</sup> Cr and 70 µg l<sup>-1</sup> Ni and the United States drinking water quality guideline (EPA 2009) of 100  
259 µg l<sup>-1</sup> Cr . It was found that Cr, Ni and Co in all of the rivers flowing over serpentine soils fell within these  
260 'permitted' INWQS thresholds and the majority, with exception of peak Ni, were within the WHO threshold.  
261 Hence, according to these published standards, these rivers, limited by sampling constraints presented, appear safe  
262 both for drinking water and crop production. It must be noted, however, this does not address ionic complexation,  
263 speciation factors, for Cr in particular, and the potential bio-toxicity thereof. The seepage water sample analysed,

264 however, had a Ni concentration considerably higher than in surface waters (Table 5) and clearly in excess of  
265 standards for Ni. It is conceivable that more extensive seep water sampling and more local fluvial waters will  
266 reveal analyses in excess of threshold standards. Possible reasons include low volume of seepage water relative  
267 to larger rivers subject to high flow surface run-off, regional outlier geological provenance dilution and local to  
268 regional metal precipitation through oxyanion species. Whilst sampling was limited, evidently local seepage  
269 waters that ingress and flow through serpentine soil profiles do become enriched in these elements. Lottermoser  
270 (1997) believed almost all seepage and groundwater associated with ultramafic formations have elements beyond  
271 environmental quality guidelines in accordance with findings of this study showing, concentration of Ni up to 9  
272 times higher than INWQS standards, though Cr and Co in the seepage water are still within the 'safe' limits, albeit  
273 with the potential caveats aforementioned.

### 274 **3.8 The relationship between Cr, Ni and Co in soil and surface water**

275 This study showed that the geochemistry of the ultramafic parent materials has a minor effect on the chemical  
276 composition of regional flowing surface waters. This may be due to various factors, as noted above, though, this  
277 study reveals the low contribution of the metals in the easily leachable and exchangeable fractions of soils is the  
278 main factor responsible. The metals concerned are held mainly in the residual fraction of soils as refractory latticed  
279 minerals and secondly sorbed in the oxide and hydroxides of Fe and Mn with relatively high affinity as liganded  
280 species. Therefore, in accordance with previous studies (Becquer et al. 2003; Massoura et al. 2006), Cr, Ni and  
281 Co in the studied soils have low mobility with little tendency to readily migrate into the environment. On the other  
282 hand, the solubility of Cr and Ni in surface water is pH dependent to some degree. Chromium is soluble at pH  
283 values of less than 4 and the solubility of Ni occurs only at pH value of less than 5.5 (Rahim et al. 1996). The pH  
284 values of the investigated soils ranged from slightly acidic to circumneutral preventing significant metal solubility.  
285 In addition, the soils displayed a fine granular structure and a silty loam to silty clay loam texture. Since silt and  
286 clay grain size fractions, by having a larger specific surface area, are capable of adsorbing more metals, it is  
287 assumed that these soils bound higher concentrations of metals and low propensity to release them into the  
288 environment. In addition, the aerobic status of soils may affect metal release as waterlogged conditions may lead  
289 to the release of metals by reductive dissolution of Fe- and Mn-oxides (Rinklebe et al. 2016).

290

## 291 **4. Conclusions and prospects**

292 Malaysian serpentinites studied here showed a ferromagnesian mineralogy with high concentrations of Cr, Ni and  
293 Co suggesting they are capable of inducing serious natural pollution. However, surface waters flowing over

294 serpentinite soils revealed minimal notable concentration of Cr, Ni and Co, and are considered 'safe' as defined  
295 by relevant threshold standards. Consequently, for the most part, chemical composition of surface water is  
296 concluded to ineffectively reflect bedrock and soil geochemistry of regional expanses of serpentinites. The main  
297 reason for this is concluded to be the very low proportion of metals associated with the easily leachable and ion  
298 exchangeable fractions of the soils. Being largely immobile, ingress of these metals into surface waters via  
299 leaching is minimized and subsequent dilution may be expected to further minimize wider fluvial metal transport  
300 and contamination. Therefore, despite their anomalously high natural concentrations of certain metals, these  
301 serpentine soils are not considered to pose a health or environmental risk. However, further work should examine  
302 metal speciation and soil Cr is of particular interest, given Cr<sup>3+</sup> is considered an essential nutrient and Cr<sup>6+</sup>  
303 carcinogenic.

304

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309

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- 446
- 447

448 **Table 1.** Sequential extraction procedure for metals in tropical soils as proposed by Silveira et al. (2006)

449

<b>Fraction</b>	<b>Solution</b>	<b>Equilibrium conditions</b>
Soluble-exchangeable	15 ml 0.1M CaCl <sub>2</sub> .2H <sub>2</sub> O	2 h, room temperature
Surface adsorbed	30 ml 1 M NaOAC (at pH 5 with CH <sub>3</sub> CO <sub>2</sub> H)	5 h, room temperature
Organic matter	5 ml NaOCl (at pH 8.5 with HCl)	30 min, 90–95 °C
Mn-oxides	30 ml 0.05 M NH <sub>2</sub> OH.HCl (at pH 2 with HCl)	30 min, room temperature
Poor crystalline Fe-oxides	30 ml 0.2 M H <sub>2</sub> C <sub>2</sub> O <sub>2</sub> + 0.2 M (NH <sub>4</sub> ) <sub>2</sub> C <sub>2</sub> O <sub>4</sub> (at pH 3 with NH <sub>4</sub> OH)	2 h, dark
Crystalline Fe-oxides	40 ml 6 M HCl	24 h, room temperature
Residual	HNO <sub>3</sub> –HCl.HF + 1.5 % H <sub>3</sub> BO <sub>3</sub> digestion	3050b

455 Source: Silveira et al. (2006)



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**Table 2.** Physical and chemical characteristics of serpentine soils from Ranau, Sabah (Malaysian Borneo)

	S1	S3	S4	S5	S6	S7	S8	S10	S12	S13	Mean ± SD
pH	5.6	7.2	5.8	5.7	5.4	5.8	5.2	5.3	5.9	6.4	5.8 ± 0.6
> 2 mm (%)	1	0	0	0	0	0	1	2	0	1	0.5 ± 0.7
< 2 mm											
Sand (%)	25	20	33	19	30	30	22	18	20	19	23.6 ± 5.5
Silt (%)	58	52	54	50	52	55	59	48	25	51	50.4 ± 9.6
Clay (%)	16	28	13	31	18	15	18	32	55	29	25.5 ± 12.6
Texture	Silt loam	Silt clay loam	Silt loam	Silt clay loam	Silt loam	Silt loam	Silt loam	Silt clay loam	Clay	Silt clay loam	
Exchangeable cations (cmol(+) kg <sup>-1</sup> )											
CEC	5.3	33.9	1.2	11.8	7.3	3.7	3.2	12.0	23.7	17.8	12.0 ± 10.4
Mg <sup>2+</sup>	4.9	33.5	0.9	11.2	7.0	3.5	2.4	11.0	21.4	17.4	11.3 ± 10.2
Ca <sup>2+</sup>	0.3	0.3	0.1	0.6	0.2	0.1	0.4	0.3	0.4	0.2	0.3 ± 0.1
K <sup>+</sup>	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.2	0.0	0.0 ± 0.1
Na <sup>+</sup>	0.2	0.1	0.1	0.1	0.1	0.1	0.4	0.5	1.7	0.2	0.4 ± 0.5

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**Table 3.** The concentration of major oxides and trace elements in serpentinite rocks and soils of Ranau, Sabah (Malaysian Borneo), Enrichment or depletion factors are the ratio between the concentration of the element of interest in the rock compared to the soil; significant differences ( $p < 0.05$ ) are marked by an asterisk

		Major oxides (wt%)										Trace metals ( $\mu\text{g/g}$ )		
		SiO <sub>2</sub>	TiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	MnO	MgO	CaO	Na <sub>2</sub> O	K <sub>2</sub> O	P <sub>2</sub> O <sub>5</sub>	Co	Cr	Ni
<b>Rock</b>	<b>R.1</b>	43.20	0.14	3.23	8.74	0.12	35.45	2.75	0.23	0.09	0.01	63	2743	1609
	<b>R.3</b>	42.60	0.08	2.29	8.53	0.12	37.32	1.80	0.10	0.09	0.00	64	2622	1840
	<b>R.4</b>	43.70	0.17	3.71	9.17	0.13	35.32	3.20	0.23	0.09	0.01	62	2641	1602
	<b>R.7</b>	42.50	0.14	3.41	8.92	0.11	34.07	2.40	0.14	0.10	0.00	61	2781	1658
	<b>Mean <math>\pm</math> SD</b>	43.20 $\pm$ 0.50	0.13 $\pm$ 0.03	3.20 $\pm$ 0.60	8.84 $\pm$ 0.30	0.12 $\pm$ 0.01	35.54 $\pm$ $\pm$ 1.34	2.54 $\pm$ 0.59	0.18 $\pm$ 0.07	0.09 $\pm$ 0.01	0.01 $\pm$ 0.01	62 $\pm$ 1	2697 $\pm$ 77	1677 $\pm$ 111
<b>Soil</b>	<b>S1</b>	60.50	0.63	16.84	11.35	0.08	0.76	0.36	0.09	1.95	0.12	136	13929	1675
	<b>S3</b>	26.40	0.25	9.77	41.04	0.73	8.47	0.10	0.05	0.00	0.05	122	14720	2237
	<b>S4</b>	14.50	0.47	17.75	38.82	0.59	2.83	0.03	0.01	0.00	0.03	146	16381	1573
	<b>S5</b>	5.10	0.94	22.89	42.69	0.29	0.31	0.04	0.03	0.00	0.05	112	14029	850
	<b>S6</b>	17.60	0.24	12.85	42.90	0.75	7.97	0.17	0.07	0.00	0.04	167	19025	4753
	<b>S7</b>	13.60	0.61	19.34	45.47	0.81	0.86	0.02	0.02	0.00	0.04	127	17233	1219
	<b>S8</b>	58.00	0.61	10.18	11.47	0.17	3.70	0.13	0.16	0.63	0.02	35	2427	865
	<b>S10</b>	19.10	0.99	22.63	42.05	0.40	1.31	0.09	0.04	0.01	0.06	114	15807	1311
	<b>S12</b>	25.30	0.29	5.87	25.62	0.12	0.29	0.10	0.00	0.01	0.04	93	11277	972
	<b>S13</b>	54.60	0.57	11.88	12.78	0.18	8.71	0.33	0.11	1.26	0.02	46	2828	1418
	<b>Mean <math>\pm</math> SD</b>	29.47 $\pm$ 20.42	0.56 $\pm$ 0.26	15.00 $\pm$ 5.76	31.42 $\pm$ 14.52	0.41 $\pm$ 0.28	3.52 $\pm$ 3.52	0.10 $\pm$ 0.11	0.06 $\pm$ 0.05	0.40 $\pm$ 0.70	0.04 $\pm$ 0.02	109 $\pm$ 41	12766 $\pm$ 5736	1687 $\pm$ 1156
<b>Enrichment &amp; depletion factors</b>		0.68	4.3 *	4.69*	3.55*	3.42*	0.10*	0.04*	0.33*	4.32	8.00*	1.76*	4.73*	1.01

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464 **Table 4.** The mean concentration of chromium, nickel and cobalt in serpentine soil samples from Ranau, Sabah (Malaysian Borneo) and their  
 465 geoaccumulation index enrichment (*Igeo*) in comparison with the average Earth composition, the 95% ‘Investigation Levels’  
 466 determined for Malaysia, ANZECC/NHMRC and Greater London Council guideline (all values are mg kg<sup>-1</sup>)  
 467

	Ranau soil	<i>Igeo</i> <sup>1</sup>	Average Earth soil composition <sup>2</sup>	Malaysian Investigation Levels <sup>3</sup>	ANZECC/NHMRC <sup>4</sup>		Greater London Council guidelines <sup>5</sup>				
					Background level	Environmental investigation	I	II	III	IV	V
<b>Cr</b>	12,766	3.29	100	60	0.5-110	50	100	200	500	2500	>2500
<b>Ni</b>	1687	2.39	40	45	2-400	60	20	50	200	1000	>1000
<b>Co</b>	109	-	10	10	2-170	-	-	-	-	-	-

468 <sup>1</sup>  $I_{geo} = \log_2 (M / 1.5 \times B_n)$  where M is the concentration of metal in soil and B<sub>n</sub> is the background concentration of metal (Müller 1969).

469 <sup>2</sup> Average soil composition from Siegel (1975).

470 <sup>3</sup> The 95% ‘Investigation Levels’ determined for Peninsular Malaysia (n=241 soils) (Zarcinas et al. 2004).

471 <sup>4</sup> Soil quality guideline developed by Australian and New Zealand Environment and Conservation Council (ANZECC/NHMRC 1992).

472 <sup>5</sup> Greater London Council guidelines (G.L.C (2001) definitions of contaminated soils (I = Typical values for uncontaminated soils, II = Slight contamination, III = Contaminated,  
 473 IV = Heavy contamination, V = Unusually heavy contamination)

474 **Table 5.** Concentrations of chromium, nickel and cobalt in river and seeping water traversing serpentinites of Ranau, Sabah (Malaysian Borneo)

	Sample ID	Cr ( $\mu\text{g l}^{-1}$ )	Ni ( $\mu\text{g l}^{-1}$ )	Co ( $\mu\text{g l}^{-1}$ )
Surface water	W3	14	42	2
	W5	7	23	1
	W8	5	67	1
	W9	8	18	2
	W12	6	11	2
	W13	9	42	5
	W14	7	20	4
	W20	11	94	7
	Mean $\pm$ SD	8.3 $\pm$ 2.7	39.7 $\pm$ 26.6	3.0 $\pm$ 2.0
Seeping water	W4	23	936	22
<b>Threshold Standards</b>	WHO <sup>1</sup>	50	70	-
	INWQS <sup>2</sup>	50	200	50
	EPA <sup>3</sup>	100	-	-

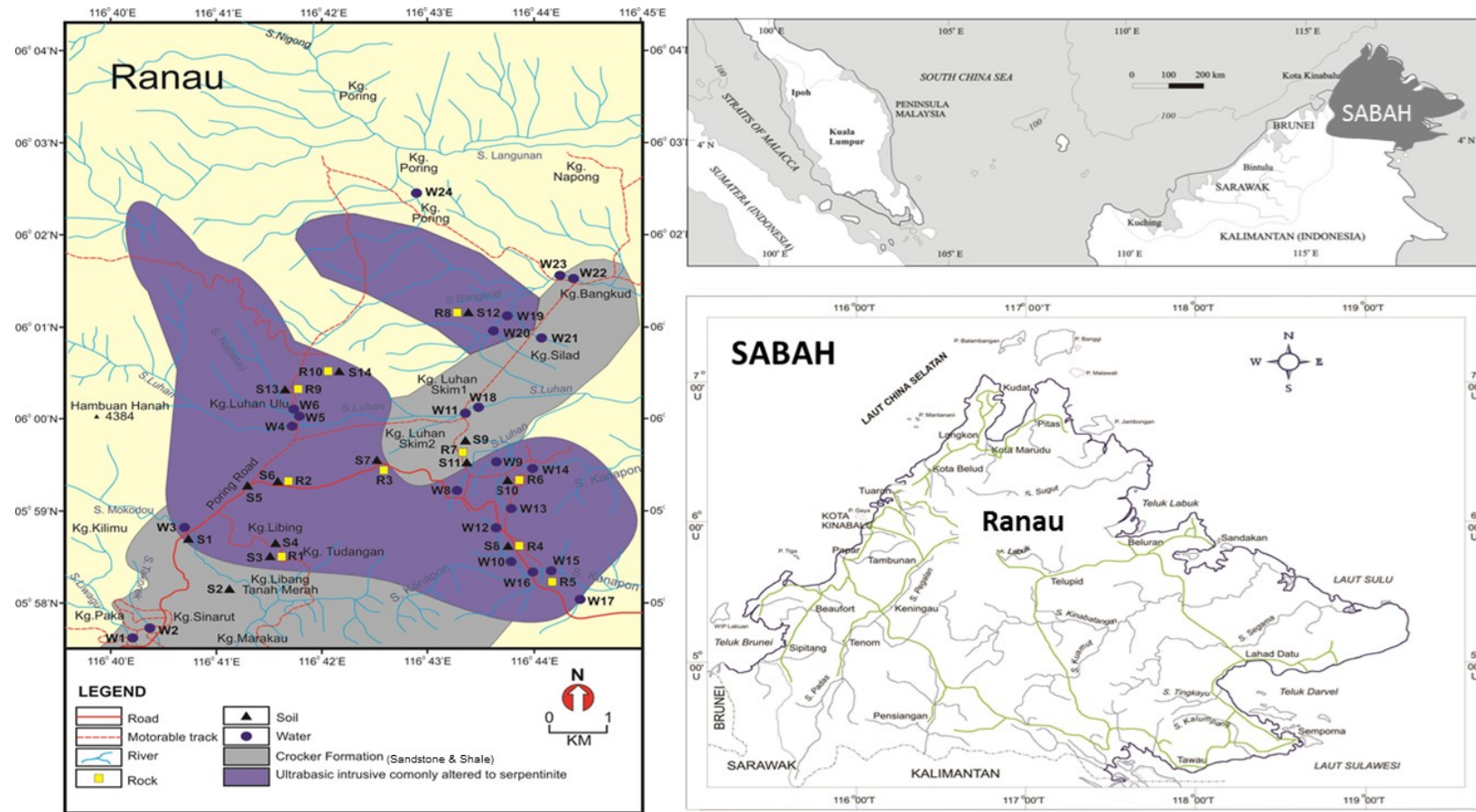
475 <sup>1</sup> World Health Organization (WHO 2006)

476 <sup>2</sup> Interim National Water Quality Standards for Malaysia (INWQS 2006)

477 <sup>3</sup> United States drinking water quality guideline (EPA 2009)

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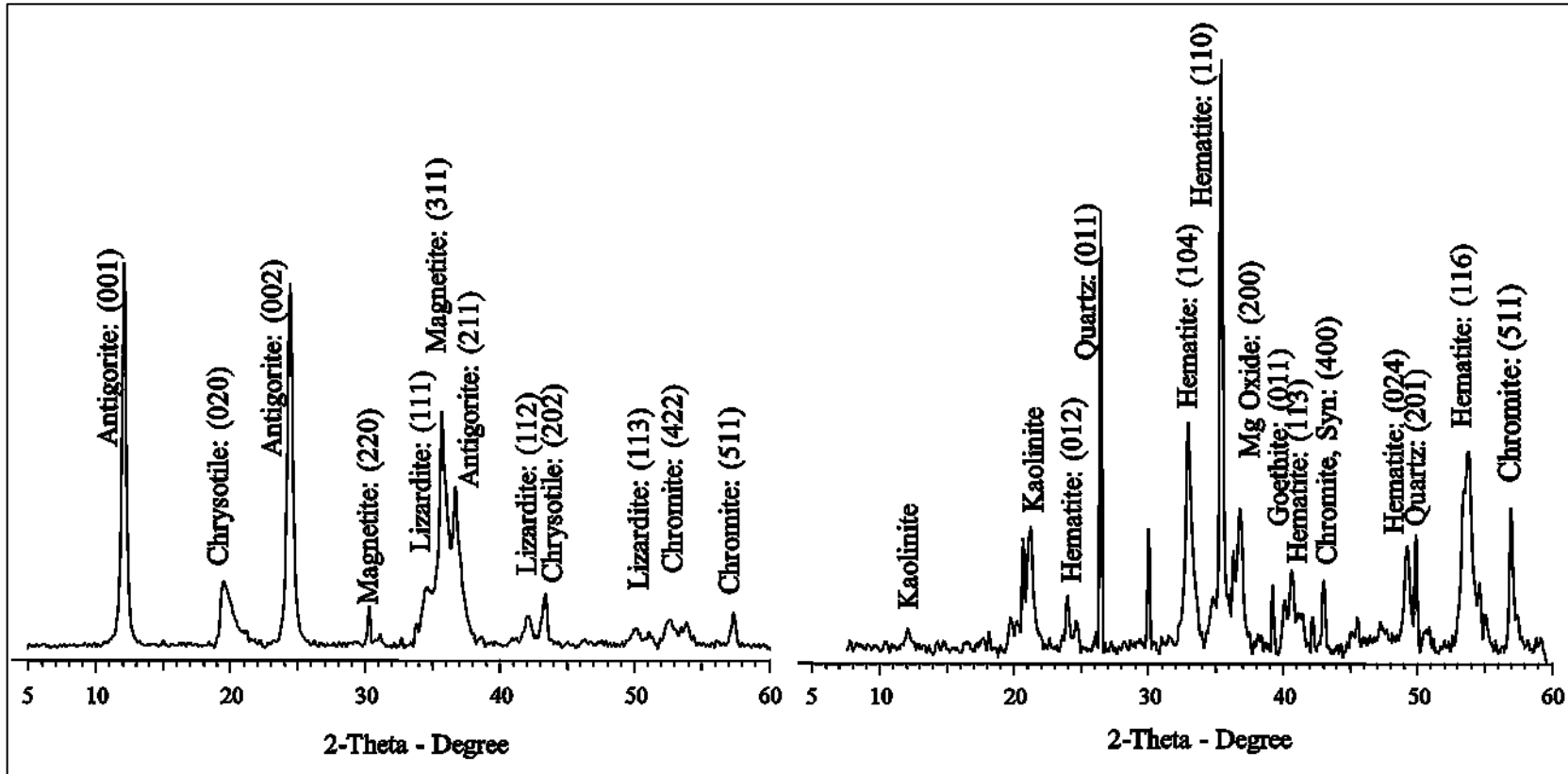
**Fig 1.** Map of Ranau area (Sabah, Malaysian Borneo) studying on the geochemistry of metal transfer between rocks, soils and water. Sampling locations are noted as rock (R), soil (S) and water (W)



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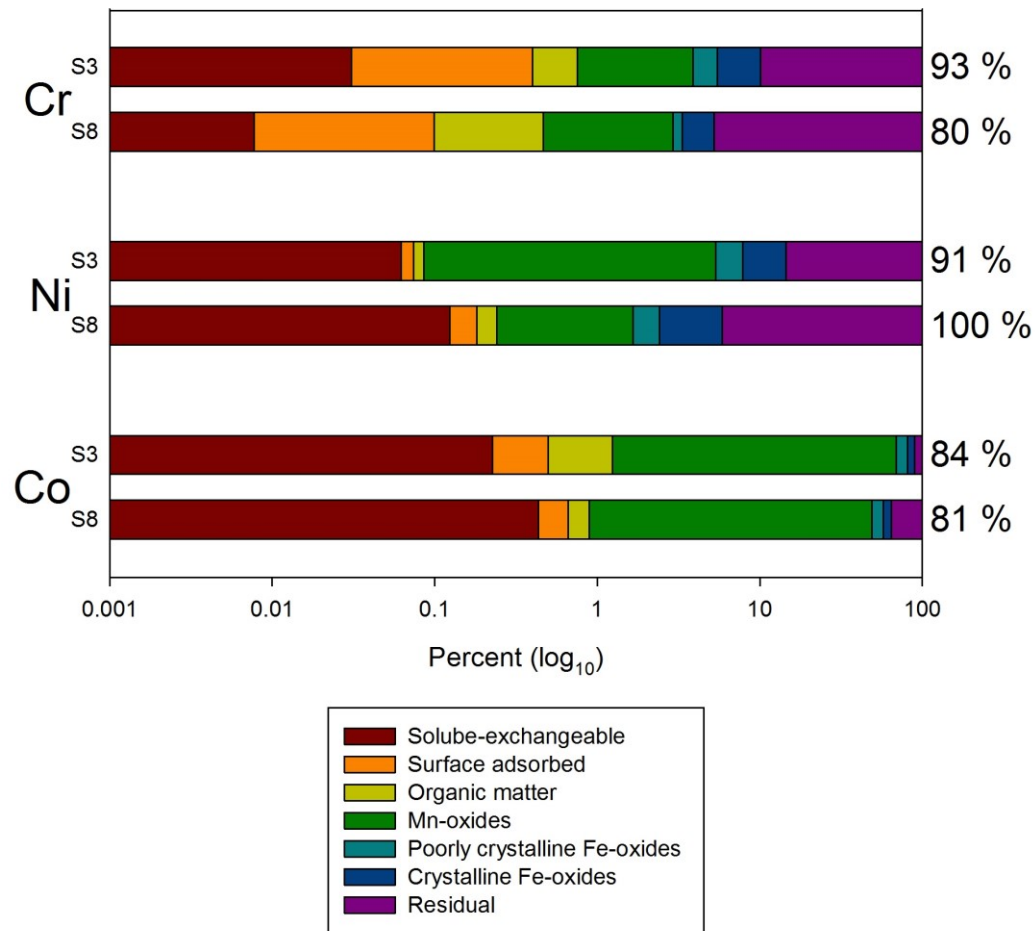
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**Fig 2.** X-ray diffractograms of representative serpentinite rock (left) and soil (right) samples in the vicinity of Ranau, Sabah (Malaysian Borneo)



484

485 **Fig 3.** Percentage of chromium, nickel and cobalt found in seven soil fractions following selective sequential extraction (see Table 1) for  
 486 serpentinite soils in the vicinity of Ranau, Sabah (Malaysian Borneo). Percentage recovery (in relation to total determination by XRF)  
 487 is noted on the right-hand-side of the figure



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489

## APPENDIX

490 **Table A1.** The concentration of trace elements ( $\mu\text{g g}^{-1}$ ) in serpentinite rocks and soils from Ranau, Sabah, Malaysia. bdl = below detection limits

	As	Ba	Ce	Co	Cr	Cu	Ga	Hf	La	Nb	Ni	Pb	Rb	Sr	Th	U	V	Y	Zn	Zr
R1	bdl	493	636	63	2743	20	bdl	6	38	32	1609	22	8	18	bdl	9	78	bdl	53	21
R3	bdl	466	616	64	2622	14	bdl	6	38	28	1840	23	7	9	bdl	9	62	bdl	59	16
R4	bdl	491	699	62	2641	25	bdl	6	38	37	1602	26	9	17	bdl	9	85	bdl	59	22
R7	bdl	552	600	61	2781	25	bdl	6	39	36	1658	24	9	19	bdl	9	84	bdl	51	22
Mean $\pm$		501	638	63 $\pm$	2697	21 $\pm$		6 $\pm$	38 $\pm$	33 $\pm$	1677	24 $\pm$	8 $\pm$	16 $\pm$		9 $\pm$	77 $\pm$		56 $\pm$	20 $\pm$
SD	-	$\pm$ 36	$\pm$ 43	1	$\pm$ 77	5	-	0	1	4	$\pm$ 111	2	1	5	-	0	11	-	4	3
S1	3	bdl	176	136	13929	54	3	4	25	10	1675	bdl	3	bdl	bdl	9	134	bdl	89	54
S3	2	bdl	159	122	14720	45	3	5	21	9	2237	bdl	2	bdl	bdl	9	189	bdl	88	14
S4	bdl	80	244	146	16381	67	5	5	22	12	1573	bdl	2	bdl	bdl	9	240	bdl	75	21
S5	2	bdl	144	112	14029	52	8	4	21	12	850	bdl	2	bdl	bdl	9	357	bdl	68	30
S6	bdl	29	237	167	19025	49	3	5	21	11	4753	bdl	3	bdl	bdl	9	205	bdl	139	16
S7	bdl	136	295	127	17233	49	5	4	23	15	1219	bdl	3	bdl	bdl	9	277	bdl	79	20
S8	4	597	523	35	2427	55	8	6	28	29	865	16	32	6	bdl	9	132	bdl	86	62
S10	1	158	319	114	15807	59	8	5	23	17	1311	bdl	3	bdl	bdl	9	279	bdl	85	30
S12	3	201	332	93	11277	26	6	5	23	18	972	bdl	4	bdl	bdl	9	238	bdl	71	30
S13	4	557	506	46	2828	33	10	6	26	28	1418	18	40	22	bdl	9	120	bdl	80	96
Mean $\pm$	3 $\pm$	251 $\pm$	294	110	12766	49 $\pm$	6 $\pm$	5 $\pm$	23 $\pm$	16 $\pm$	1687	17 $\pm$	9 $\pm$	14 $\pm$		9 $\pm$	217	-	86 $\pm$	37 $\pm$
SD	1	230	133	$\pm$ 42	5736	12	3	1	2	7	1156	1	14	11	-	0	$\pm$ 76		20	26

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495 **Table A2.** Correlation coefficients between elements in serpentine soil samples in of Ranau, Sabah (Malaysian Borneo). Significant correlations  
 496 ( $p < 0.01$ ) are marked in bold

	SiO <sub>2</sub>	TiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	MnO	MgO	CaO	Na <sub>2</sub> O	K <sub>2</sub> O	P <sub>2</sub> O <sub>5</sub>	Co	Cr
TiO <sub>2</sub>	-0.07											
Al <sub>2</sub> O <sub>3</sub>	-0.44	<b>0.80</b>										
Fe <sub>2</sub> O <sub>3</sub>	<b>-0.94</b>	0.04	0.46									
MnO	-0.63	-0.32	0.18	<b>0.80</b>								
MgO	0.23	-0.54	-0.46	-0.06	0.32							
CaO	<b>0.81</b>	-0.09	-0.26	-0.75	-0.53	0.34						
Na <sub>2</sub> O	<b>0.82</b>	0.05	-0.27	-0.71	-0.38	0.42	0.60					
K <sub>2</sub> O	<b>0.87</b>	0.11	-0.09	<b>-0.83</b>	-0.62	0.06	<b>0.91</b>	0.61				
P <sub>2</sub> O <sub>5</sub>	0.20	0.22	0.31	-0.13	-0.23	-0.38	0.42	-0.06	0.51			
Co	-0.58	-0.20	0.37	0.66	0.61	-0.10	-0.23	-0.59	-0.29	0.44		
Cr	-0.73	-0.08	0.46	<b>0.80</b>	0.67	-0.22	-0.42	-0.70	-0.47	0.37	<b>0.96</b>	
Ni	-0.15	-0.55	-0.17	0.30	0.54	0.60	0.18	0.06	-0.12	0.04	0.59	0.47

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