

1 **Multivariate analysis of the effects of age, particle size and landfill depth on heavy**
2 **metals pollution content of closed and active landfill precursors**

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9 **Abstract:** Multivariate analysis of a heavy metal pollution survey of closed and active landfill
10 precursors was carried out in order to compare environmental risk levels in relation to age,
11 particle size and depth of the precursors. Landfill precursors (77) were collected and analyzed
12 for 15 USEPA toxic heavy metals using ICP-MS. Heavy metals concentrations in closed
13 landfill precursors were significantly higher than those in the active landfill for 11 of 15 heavy
14 metals investigated (closed landfill order: Fe > Al > Mn > Cu > Pb > Ba > Co > Cr > Ni > Cd > As > Se > Ti). Cluster analysis and correlation studies indicated the distribution of the
15 metals was more influenced by landfill precursor size than by depth of the sample. Principal
16 component analysis (PCA) showed that 10 of 15 of heavy metals of both landfill precursors
17 were from similar anthropogenic sources. Heavy metals pollution indices ($I_{geo} > 5$, $EF > 40$
18 and $CF > 7$) of both active and closed landfill precursors exceeded limits in the order of Zn >
19 Cd > Pb > Cu > Ag, indicating a major potential health risk influenced by age and particle
20 size of precursor. Zn, Cd, Cu and Pb of both landfill precursors exceeded the USEPA set
21 standard for assessment of human health risk for each of the metals (1×10^{-4} to 1×10^{-3}). This
22 study highlights the need for the integration of a clean-up process for precursors from both
23 types of landfill to reduce possible environmental pollution during a reuse process.

24 **Keywords:** landfill precursor, pollution index, particle size, heavy metals, human health
25 **risk, landfill**

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Introduction

Heavy metal deposition into landfill is of major concern due to the possible complex pathways into the environment and the possible high risk effect on living organism within the landfill areas. Heavy metal contamination from landfills has been attributed to farmland, surface water and underground water pollution (Lu et al., 2010; Chen et al., 2015, Sharifi et al., 2016).

Unlike organic pollutants, heavy metals do not degrade in the landfill and their residual time in a municipal landfill can be for about 150 years if the metal is leached at a rate of 400mm/year (EU, 2002). This indicates that only a small proportion of the possible heavy metals content of a landfill is reflected in its leachate. Major heavy metals content of the landfill is reflected by landfill precursor which is the solid waste formed as result of the heterogeneous interaction between disposed wastes, climatic conditions and the management practice of the landfill. The growing interest in landfill mining and reuse of landfill precursors as compost (Masi et al., 2014; Rong et al., 2017), landfill covering (Jain et al., 2005) and energy recovery (Quaghebeur et al., 2013) requires an evaluation of heavy metals enrichment level and associated health risks of landfill precursors, as part of a strategy to prevent further deposition of the heavy metals into the environment. Exposure to certain concentrations of heavy metal could lead to diverse health challenges especially for vulnerable people (children and aged), e.g. Cd, As, and Pb induces carcinogenesis of organs like lungs, kidney, bladder and skin (Kamunda et al., 2016).

In Nigeria, heavy metal percolation into wells and underground water within 50-100m from an active landfill at Olushosun, Lagos, had been reported (Aboyeji and Eigbokhan, 2016). The rapid urbanization in the commercial capital Lagos has also increased pressure on the government to seek alternative reuse of closed landfill precursors, but heavy metal

50 contamination levels and the possible human health risk involved is essential information
51 needed to make an informed decision. Heavy metal concentrations of the landfill within the
52 Lagos area had been largely determined by the soil/fine components of landfill, while the
53 possible contribution of other component of the landfill has been ignored. Jain et al. (2013)
54 and Kaartinen et al. (2013) have reported size grouping of landfill precursors as important to
55 understanding pollution assessment and possible reuse option. Multivariate analytical tools
56 have been deployed to measure relationship, impact and association within several symmetrical
57 and asymmetrical environmental components (Lu et al., 2010; Singh & Kumar, 2017). There
58 is also a paucity of published report on the effect of landfill depth and age on the heavy metal
59 pollution indices of landfill precursors.

60
61 We report here on a multivariate analysis of heavy metals pollution survey of a closed and
62 active landfill precursors using major pollution indicators (geo accumulation index, I_{geo} ;
63 enrichment factor, EF; contamination factor, CF), in order to compare the environmental risk
64 levels in relationship to the age, particle size and depth of the landfill precursors.

65 **2.0 Material and method**

66 **2.1 Sampling locations**

67 The Olusoshun active landfill site is located in the northern part of Lagos Metropolis within the
68 Ojota area of Ikeja Local Government Council, within a Longitude of $6^{\circ} 35' 50''\text{E}$ to 6°
69 $36' 30''\text{E}$ and Latitude $3^{\circ} 22' 45''\text{N}$ to $3^{\circ} 23' 30''\text{N}$. It has been in operation since November
70 1992 with an area of 42 hectares and receives an average of 8,000 metric tons of waste daily
71 (Lawma, 2012). The Abule-Egba closed landfill is located in the Western part of Lagos, under

72 the Alimosho Local Government Council, with an area of about 10.2 hectares. It started receiving
73 waste in 1984 and has an estimated 1.3 million metric tons of waste with an average height of
74 12.5 m. The site had been closed since 2009 (LAWMA, 2012). Detailed site operational activities
75 of the two sites are reported in Adelopo et al. (2017). The two landfills have similar anthropogenic
76 activities around their vicinity with residential, commercial and industrial settlements bordering
77 different ends of the landfill sites. Figures 1 shows the sampling locations.

78 **** Figure 1 here ****

79 **2.2 Sampling Profile**

80 Sampling for this research was designed to evaluate the first receptor layer (between 5 and 30
81 cm) of the landfills, which reflect the early changes in the composition of the landfill waste. A
82 shallow landfill sampling covering the whole expanse of the landfill was used to reveal the
83 spatial-temporal nature of heavy metal load of waste components within this landfill layer.

84 **2.3 Sampling procedure**

85 The sites were systematically gridded into seven sampling cells using a procedure described by
86 Resource Conservation and Recovery Act (RCRA) waste sampling technical guideline
87 (USEPA, 2002). A sampling cell was approximately 14,571 m² for the closed landfill and
88 52,857 m² for the active landfill. Each cell was located using the GPS and a total of three
89 samples were obtained from each cells at different locations at the following depth: (i)
90 upperdepth between 0-15 cm; (ii) mid-depth between 16–35 cm; and (iii) low-depth between
91 36-50 cm. Sample collection was achieved using a bucket auger and samples were placed in
92 decontaminated plastic containers. An average of 500 g of sample was collected from each
93 sampling point and a total 44 samples was collected from active landfill and 33 samples from

94 the closed landfills. Oven drying, sieving and sorting were carried out in the laboratory. The
95 dried samples were separated by size into composites of less degraded ($S > 6.3\text{mm}$) and more
96 degraded ($S < 6.3\text{mm}$) components. A composite representative sample of 12 samples per each
97 landfill was achieved by combination of all samples of the same depth and particle size before
98 homogenization.

99 Homogenized samples (20 g) were further grinded using a mortar and pestle, and pass through
100 a uniform sieve. The powdered samples (0.5 g) were then digested by AnalaR grade acids (9.0
101 mL HNO_3 and 3.0 mL HCl) using a MARS microwave digestion system (CEM, USA) according
102 to EPA method 3052 (USEPA, 2007). Samples were filtered and diluted with distilled water to
103 the 50 ml mark, then centrifuge at 3000 rpm for 7 minutes. Aliquots of final solutions (5 ml)
104 were analysed for heavy metal content by Inductively Coupled Plasma – Mass Spectrometry
105 (ICP-MS; Agilent 7500, Agilent, USA). The instrument was calibrated prior to each set of
106 measurements. A total of 15 metals were selected based on USEPA carcinogenic potential rating
107 of metals and metalloids pollutants; Ag, Al, As, Ba, Cd, Co, Cr, Cu, Fe Mn, Ni, Pb, Se, Ti and
108 Zn were investigated.

110 **2.4 Quality control samples**

111 Quality control samples were digested alongside each batch of samples: spiked sample with 20
112 mg/l standard solution of Cr, duplicate sample, reagent blank and certified reference standard
113 soil sample, CRM051-50G. Microwave digest power calibration was carried out to determine
114 the optimum digestion power condition. The geochemical background value in average shale
115 was used as reference control values (Turekian and Wedepohl, 1961). The densely populated

116 area around the landfill had different anthropogenic activities contaminating the environment
117 making the area unsuitable for obtaining a control sample; metal air pollution within the Lagos
118 sampling area has previously been reported (Oketola et al., 2007).

119 **2.5 Statistical analysis**

120 Similarity and trends in the concentrations of heavy metals of the closed and active landfill were
121 studied using SPSS 21. Normality test, Mann–Whitney test, cluster analysis and principal
122 component analysis (PCA) were used to investigate the type of relationship between heavy metals
123 concentrations of the landfill samples with the depth and size of precursors. Cluster analysis was
124 used to sort data into groups for better understanding of the relationships between variables, and
125 for informing further analysis.

126 **2.6 Heavy metal pollution assessment**

127 ***2.6.1 Geo-accumulation Index***

128 The geo-accumulation index (I_{geo}) was used to estimate the metal accumulation levels in the
129 landfill precursors. Li et al. (2014) and Aiman et al. (2016) have used this index to determine
130 the extent of the metal accumulation in soil and environmental components above the expected
131 natural level.

132 I_{geo} is expressed as:

133 137 $I_{geo} = \log_2\left(\frac{C_x}{1.5B_x}\right)$ equ. (1)

134 Where C_x is the concentration of the heavy metal x in the landfill precursor, and B_x is the
135 geochemical background value in average shale of element x (Turekian and Wedepohl, 1961).

136 The constant 1.5 is to minimize the effects of lithologic variations and small anthropogenic
137 influences in the background values (Aiman et al., 2016; Ali et al., 2013). I_{geo} classification

138 according to Loska et al. (2004) and Aiman et al. (2016) is given as: unpolluted, $I_{geo} < 0$;
139 unpolluted to moderately polluted, $I_{geo} \leq 1$; moderately polluted, $1 \geq I_{geo} < 2$; moderately polluted
140 to highly polluted, $2 \geq I_{geo} < 3$; highly polluted, $3 \geq I_{geo} < 4$; highly polluted to very highly
141 polluted, $4 \geq I_{geo} < 5$; and very highly polluted, $I_{geo} > 5$.

142

143 **2.6.2 Contamination Factor and Degree of Contamination**

144 The contamination factor (CF) and the degree of contamination (DC) was use for the assessment
145 of landfill precursor contamination. The concentrations of metals in the landfill precursors are
146 compared to the background values of the reference sample. CF is the single metal index, while
147 the sum of contamination factors for all metals evaluated is represented as CD. The equation
148 for CF and CD is given by Chen et al. (2015) and Sharifi et al. (2016) as thus:

149 $CF = \frac{C_s}{C_r}$ equ. (2)

150

151 156 $CD = \sum_{n=1}^n CF_n$ equ. (3)

152 157 Where C_s is the concentration of each metal in the landfill precursor, while C_r is the
153 158 concentration of metal in the reference control sample, as given by Turekian and Wedepohl 159
154 (1961) and Ali et al. (2013). CF values are classified as low degree of contamination ($CF < 1$)
155 160 to very high degree of contamination ($CF \geq 6$).

156

157 **2.6.3 Enrichment factor**

158 The enrichment factors (EFs) of heavy metals were calculated to assess the contributions from
159 anthropogenic sources to the landfill precursor concentrations. The EF is determined by

160 comparing the concentration of metals from the landfill precursors to that of a reference metal
161 (Lu et al., 2010). The EF of each heavy metal in the precursor was evaluated as:

$$162 \quad EF = \frac{\frac{C_i}{C_r}}{(B_i/B_r)} \quad \dots\dots\dots \text{equ. (4)}$$

163 Where: C_i and C_r are the concentrations of the metal of interest in the landfill precursor and the
164 chosen reference metal of the sample, respectively; B_i and B_r are the background
165 concentrations of the metal of interest in the shale and the chosen reference metals of the shale,
166 respectively (Hu et al., 2013). The most common reference metals are Sc, Mn, Ti, Al, and Fe
167 (Schiff and Weisberg 1999; Sutherland, 2000; Ali et al., 2013). Mn was chosen as the reference
168 metal for the landfill precursor due to its prevalence in all samples evaluated. EF is classified
169 as deficiency to minimal enrichment ($EF \leq 2$), moderate enrichment ($2 \leq EF < 5$), significant
170 enrichment ($5 \leq EF < 20$), very high enrichment ($20 \leq EF < 40$) or extremely high enrichment
171 ($EF \geq 40$).

172

173 **2.7 Potential human health risk of metals in the study sites**

174 Heavy metals are classified as either non-carcinogenic or carcinogenic in health risk assessment
175 (USEPA 2002a; Kamunda et al., 2016), and the potential risk procedure is calculated based on
176 these classification. Non-carcinogenic chemicals are presumed to have threshold concentrations
177 below which there are no potential adverse health effects, while carcinogens are assumed to
178 have no concentrations exposure limit. The human health risk

184 effect of landfill precursors were assessed using the procedure provided by USEPA (1989 and
 185 2002a) for risk exposure to heavy metals contamination on children and adults. The 186
 guidelines identify three exposure route: (a) ingestion of substrate dust particles (ADI
 187 ingestion); (b) inhalation of suspended dust particles through mouth and nose (ADI
 188 inhalation); and (c) dermal absorption of heavy metals in particles adhered to exposed skin 189
 (ADI dermal).

190 Average Daily intake for each pathway was calculated using equations 5-7 below:

191

192
$$ADI_{ingestion} = \frac{Cs \times R_{ing} \times EF \times ED \times CF}{BW \times AT} \dots\dots\dots equ. (5)$$

193

194
$$ADI_{inhalation} = \frac{Cs \times R_{hal} \times XEF \times ED \times CF}{BW \times AT \times PEF} \dots\dots\dots equ. (6)$$

195

196
$$ADI_{Dermal} = \frac{Cs \times SA \times AF \times ABS \times EF \times ED \times CF}{BW \times AT} \dots\dots\dots equ. (7)$$

197

198 Where: ADI (mg kg⁻¹ day⁻¹) is average daily intake (ADI) through ingestion (A_{ing}),
 199 inhalation (A_{inh}); dermal contact (ADI dermal); Cs is the concentration of the elements in
 200 the landfill precursor; EF is the exposure frequency (d/y); ED is the exposure duration (years);
 201 BW the body weight (kg); AT is the average time (days); CF is the conversion factor (1 X
 10²⁰² 6); R_{inh} is the ingestion (mg day⁻¹) and inhalation (m³ day⁻¹) rate for children (1- 6 years)
 and
 203 above 30 years for adults; SA is the exposure surface area (cm²/day); ABS is the skin
 204 absorption factor; PEF is the particle emission factor (m³ kg⁻¹); and AF is the soil adherence
 205 factor (mg cm² h⁻¹) for both children and adults.

205 For this study, non-residential evaluation framework data for these parameters were used to
 206 determine ADI (USEPA, 2002a; Li et al., 2014). The daily doses estimated for each metals via
 207 the exposure pathway are divided by the reference dose (RfD, mg/kg-day) of the specific metal
 208 to yield a non-carcinogenic hazard quotient (HQ), which is aggregated together to give the
 209 overall non-carcinogens health risk index (HI, Hazard Index). Whereas, for carcinogens dose,
 210 the corresponding slope factor (SF, per mg/kg-day) for each metal is multiplied by the ADI to
 211 determine the cancer risk level per each pathway and a summation to indicate the overall risk,
 212 as indicated in equation 8-9. (USEPA, 2002a; Li et al., 2014; Chen et al., 2015).

213

214 $HI \text{ (non-carcinogenic)} = \sum HQ = \sum \frac{ADI}{RfD} \dots\dots\dots equ. (8)$

215

216 $Carcinogenic \text{ risk} = \sum ADI \times SF \dots\dots\dots equ. (9)$

217

218

219 **3.0 Result and Discussion**

220 **3.1 Quality control samples**

221 The recovery study of spiked sampled were within 88 to 99% for the three spiked samples used,
 222 while duplicate samples replicated at RPD< 8%. Metal concentrations for the certificated
 223 referenced sample analyzed were within the predicted interval of 80% for all elements except
 224 Ag.

225

226 3.2 Statistical analysis of trends in heavy metals concentrations

227 Tables 1 and 2 present heavy metal concentrations of precursors from the two landfill types
228 with depth of sampling. The landfills had similar types of heavy metals content, but with some
229 variation in the concentration trends of the metals. For the closed landfill the concentration
230 order was Fe> Al > Zn >Mn>Cu>Pb>Ba>Co>Cr>Ni>Cd>As>Ag>Se>Ti, compared to the
231 active landfill order of Fe>Al>Zn>Mn>Cu>Pb>Ba>Cr>Ni > Cd > Co
232 >As>Ag>Se>Ti.

233

234 *****Table 1 and 2 here*****

235

236 The heavy metal concentrations of the landfill precursors were subjected to KolmogorovSmirnov
237 and Shapiro-Wilk normality tests to identify the appropriate SPSS analysis tools for these data.
238 Most of the data (82%) for both landfills showed significant at the $p < 0.05$ level, which indicated
239 that the data sets were not normally distributed. Based on these results, the non-parametric
240 Mann–Whitney U test was used to evaluate similarity between heavy metal concentrations of
241 samples from both landfills. Of the 15 heavy metals determined, 11 (Mn, Co, Ni, Zn, Fe, Cu,
242 Se, As, Cd, Ba and Pb) showed a statistical significant difference ($p < 0.05$) between the median
243 concentrations of these element for active and closed landfill precursors (supplementary table),
244 while there was no such significant statistical difference ($p > 0.05$) for Ti, Al, Ag, Cr.

245 The box plot presented in Figure 2 compares the concentrations of each heavy metal in the
246 active and closed landfill samples.

247 **** Figure 2 ****

248

249 The box plots (Figure 2) indicated higher concentrations of heavy metals in precursors from the
250 closed landfill compared to the active landfill for all metals investigated except Cr. Heavy
251 metals availability in landfills has been associated with the nature of waste disposed, landfill
252 management practice and degradations activities (EU, 2002). The composition
253 characterization studies of these landfills precursors, reported by Adelopo et al. (2017), have
254 shown no statistical significant difference ($p>0.05$) in the composition between the active and
255 closed landfill precursors, but a comparatively high level of degradation in the closed landfill
256 which may cause elevated concentrations of heavy metals in the samples. In older landfills,
257 there is the possibility of heavy metal diffusion into the micro pore of soil and solid matter
258 through the process of (co)precipitation and (co)floculation, and cavity entrapment (USEPA,
259 2007a). Waste degradation may reduce the weight of landfilled waste but heavy metal
260 concentration is not often reduced. Rather the metals are being redistributed by the leaching
261 process within the depth of the landfill (EU, 2002). Tye et al. (2003) and Hamon et al. (1998)
262 suggested that the aging processes could reduce the bioavailability of metals in the soil
263 component due a stronger bonding system formed within this component.

264

265 The heavy metal content of the investigated landfill precursors were compared to previous
266 published report of heavy metals of other mined municipal solid waste landfills. Except for Cu
267 and Zn, the concentrations of heavy metals in the landfill were generally lower compared to
268 reported values of mined landfill studies in other countries: Belgium (Quaghebeur et al., 2013)
269 and United Kingdom (Gutiérrez-Gutiérrez et al., 2015). This suggests that Cu and Zn are high
270 in the content of waste disposed into the Lagos area landfills, or are influenced by the existing
271 management practice which involve the use of clay soil as linking road within the landfill.

272 Conversely, the concentrations of metals of landfill precursor in the present study were higher
273 compared to reported metals in other dumpsite area within Nigeria: Sagamu,
274 Ogun state (Ogunbanjo et al., 2016); Aba, Abia state (Amadi & Nwankwoala, 2013); and
275 Lafia, Nasarrawa state (Opaluwa et al., 2012). Similarly, heavy metals in dust from an
276 Electronic market at Westmestar in Lagos (Adaramodu, *et al.*, 2012) and power station soil in
277 Lagos (Adeyi and Torto, 2014) had lower concentrations than the present study. This indicates
278 that the landfill precursors in the present study have a higher heavy metal pollution potential
279 compared to other anthropogenic sources within the country. The reason for this could be due
280 to the fact that the landfills are the major final disposal for all types of solid waste including
281 electronic waste (e-waste). About 80 per cent of the world's e- wastes end up in landfills across
282 Asia and Africa (Adaramodu, *et al.*, 2012). Lagos being the commercial capital of Nigeria
283 receives over 600,000 tons of unserviceable e-waste (computers and laptops) per year imported
284 from developed countries as donation to organizations and educational institutions but are
285 finally disposed at the landfills (Nnorom and Osibanjo 2008).

286 Longe et al. (2007) reported that the landfill sites' lateritic stratification provides natural
287 attenuation for heavy metal percolation into the ground water. This may be responsible for low
288 percolation of heavy metals into the ground water.

289 **3.3 Depth and size relationships of heavy metal load in precursor**

290 The relationships between heavy metal distribution, depth of sampling and particle size were
291 evaluated using correlations analysis (see supplementary data). There was no significant
292 correlation between most concentrations of heavy metals in both landfill and the depth of
293 samples. Only Cr, Ag and Ni within the closed landfill had a strong negative correlation (-

294 0.86, -0.51, and -0.71 respectively; $p=0.01$) with depth of sampling. This implies that Cr and Ni
295 concentrations significantly decrease as the sampling depth increases from 5 to 50 cm. For the
296 active landfill precursors, a strong positive correlation was observed for Mn and Ba at 0.59 and
297 0.62 respectively ($p < 0.05$). This may have been influenced by metal content of waste dispose
298 and bounding system of these metals within the landfill depths. Fate and transport of metals
299 within solid waste are influence by metals' complexation system with the pore water and by
300 adsorption onto molecules of the waste (EU, 2002; USEPA, 2007a). Correlation analysis
301 between heavy metal content and particle size indicated a strong negative correlation ($p < 0.05$)
302 with increased particle size for Cr (-0.82), Cu (-0.77), Ag (-0.63) and Pb (-0.63) in the active
303 landfill samples and for Co (-0.63), Mn (-0.63), Pb (-0.63) in the closed landfill samples. The
304 negative correlations indicated that the concentrations for these eight heavy metals increased
305 with decrease in the particle size, within the identified landfills. This indicates the prevalence
306 of these metals at higher concentrations in the degraded samples compared to less degraded
307 components.

308

309 ** Figure 3 here **

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312

313 Cluster analysis:

314 For further understanding of the interaction between heavy metal concentrations, depth and
315 particle size (degraded / less degraded) a cluster analysis was carried out. Hierarchical
316 agglomerative cluster analysis was performed using Ward's method, and squared Euclidean

317 distances as a measure of similarity within distribution of heavy metals within each landfill
318 samples. The analysis of the closed and active landfill samples generated similar clusters
319 grouping (Fig. 3). The clusters consist of two main clusters having equal number of cluster
320 member (3) for both landfill precursors. One cluster consisting of more degraded sample (2 of
321 3 cluster member), while the second mainly of less degraded (2 of 3 cluster members). In both
322 landfill, the nature of precursor (more / less degraded) was found to be linearly relate to the
323 concentrations of heavy metals rather than the depth of precursors. More degraded landfill
324 precursors were associated with higher concentrations of metals than the less degraded
325 precursors of both landfills. The degraded component of the landfill precursors have the
326 potential to adsorb more heavy metals due to increased porosity within surface area and the
327 ability to forming a stronger bonding system (EU, 2002). Quaghebeur et al. (2013) also
328 observed elevated concentrations of Cu, Cr, Ni and Zn in fine components of mined landfill in
329 Belgium.

330

331 **3.4 Principal component analysis (PCA)**

332 In order to identify the source trends in heavy metals of the landfill precursors (active and closed
333 landfills), PCA was carried out using the metal concentrations as the independent variables. The
334 Kaiser–Meyer–Olkin test values of 0.56 (for Active landfill) and 0.59 (for closed landfill)
335 indicated that the data were above a fair level of sufficiency. Furthermore, the Bartlett’s test of
336 sphericity with an associated p value of <0.001 indicated that PCA was suitable for the data set.

337

338 The eigenvalues of the matrix was determined using varimax rotation with Kaiser

339 Normalization. Varimax rotation was selected to reduce factors influencing each variable for
340 enhanced result interpretation. The PCA for active landfill precursors indicated there are four
341 major components with eigenvalues of the factors explaining 85% of the variance in the data
342 set, while closed landfill precursors had five factor components and eigenvalues explaining 87%
343 of the variance. The relations among the heavy metals based on the first three principal
344 components are illustrated in Fig. 4 and Fig. 5, while the factor loadings result, eigenvalues and
345 communalities are presented in the supplementary data. The results indicate there are
346 differences in the number of factor components for the precursors in the closed and active
347 landfill. The 1st factor explains 38.2% and 35.6% of the total variance for the closed and active
348 precursor respectively. It loads heavily on four metals (Mn, Ba, Al, Co,) for the closed landfill
349 sample as against seven metals in the active landfill sample (Mn, Ba, Fe, Se, Zn, Ni, and As).
350 The component in the 2nd factor for both landfill samples were mainly of four metals (closed -
351 Ti, As, Fe, Se; active - Ti, As, Al, Cd), accounting for 15.4% and 28.8% of the total variance
352 respectively. The 3rd factor of the landfill samples have the same variance effect of $\approx 12\%$ and
353 consist of 4 and 3 metals for closed (Cu, Cr, Ni, Zn) and active (Cu, Cr, Pb) landfill precursors.
354 From the component grouping it could be inferred that the metal source of the 1st component
355 of the landfill samples (active vs. closed) is the major distinguishing source between the landfill
356 samples (7 metals vs. 4 metals), while the 2nd and 3rd components were similar in terms of
357 numbers and types of metals in the components (4 vs. 4) and (3 vs. 4) for the closed and active
358 landfill samples respectively. The heavy metal source in landfill samples is dependent on the
359 component waste and mineral content of the landfill covering (EU, 2002). The sharp difference
360 in the 1st component may be a result of the metals from the clayey landfill cover used in the
361 active landfill which is absent in the closed landfill (LAWMA 2012;

362 Longe, et al. 2007).

363

364 ****Figures 4 and 5 ****

365

366 **3.6 Pollution indicators**

367 **3.6.1 Geo-accumulation Index**

368 Most (10 of 15) of the heavy metals evaluated (Ti, Cr, Mn, Fe, Co, Ni, As, Se, Pb, Ba and Al)
369 had a geo-accumulation index below zero ($I_{geo} < 0$) for both closed and active landfill
370 precursors (see supplementary data). This indicates that both landfills were uncontaminated in
371 terms of these metals. However, the landfill precursors contained pollution concentrations
372 levels of Zn, Cd, Ag and Cu ($I_{geo} > 2$). The I_{geo} accumulation of Cd for more degraded
373 samples of both landfills indicated heavier pollution than the less degraded sample (MD = I_{geo}
374 > 4 , LD = $1 > I_{geo} \leq 2$). The pollution trend bears no definitive relationship to the depth of
375 samples in both landfill samples ($p > 0.05$). Elevated geo-accumulation of Cd in landfill and
376 dumpsite has been reported for Ogun, Nigeria (Ogunbanjo et al., 2016) and for Pakistan (Aiman
377 et al., 2016). The level of geo-accumulation Cd metals in the landfill samples present a major
378 health risk. Exposure to high concentrations of Cd could damage the reproductive system,
379 lungs, DNA and kidney, and could cause deficit in learning, cognition, behaviour and
380 neuromotor skills in children (Chen *et al.*, 2015). Disposal of e-waste, batteries and painting
381 residual are the likely source of Cd on unregulated municipal landfill (EU, 2002).

382

383 **3.6.2 Contamination factor (CF) and the degree of contamination**

384 CF values of most metals (Ti, Cr, Mn, Fe, Co, Ni, As, Se, Ba and Al) were within the low degree
385 of contamination category for all precursors evaluated ($CF < 1$) (see supplementary data). A

386 serious source of concern is the CF values for Zn, Cd, Cu, Pb and Ag, which fall within a very
387 high degree of contamination ($CF \geq 6$). There was no definite depth relation with the CF, except
388 for Ag which increased down the depth of the active landfill for both MD and LD (MD: 7- 71,
389 LD: 4- 17). CD values for samples in both landfill indicated a very high degree of
390 contamination ($CD > 28$), which is mainly influenced by the CF values of Zn, Cd, Cu, Pb and
391 Ag. For both landfill precursors, the degree of contamination of Zn, Cd, Cu, Pb and Ag in the
392 more degraded samples was higher than in the less degraded sample.

393 **3.6.2 Enrichment factor (EF)**

394 The metal EFs for Ti, Cr, Mn, Fe, Co, Ni, As, Se, Al and Ba were below enrichment level
395 ($EF \leq 2$) for precursors of both landfills. However, extreme high enrichment was observed for
396 Zn, Cd, Cu Pb and Ag for both landfill sample ($EF > 40$). EF values of < 2 are assumed to be an
397 indication that metal are mostly from natural source (Zhang and Liu, 2002), while EF values
398 > 2 suggest a significant contribution from an anthropogenic source. The high EF values for Zn,
399 Cd, Cu, Pb and Ag strongly indicated that the metals are from anthropogenic source, mostly
400 from waste disposed on the landfill.

401

402 The pollution index ($I_{geo} > 5$, $EF > 40$ and $CF > 7$) indicated a similar trend for metals likely to
403 pose major challenge in the reuse options of both landfill precursors, i.e. Zn, Cu, Cd, Pb and
404 Ag. The pollution indexes imply that the landfills' (closed and active) precursors have high
405 concentrations of heavy metal with potential human health risk. It is evident from the results
406 that both less degraded and more degraded pose potential danger to human health.

407 There is an observed similarity in the trend of heavy metal pollution in the present study and
408 previously reported trend in the contamination of metals in soils of e-waste recycling area in
409 China (Chen *et al.*, 2010; Tang *et al.*, 2010; Luo *et al.*, 2011). In all of these studies, Zn, Cu,
410 Cd, and Pb are the major contaminants, although their concentrations varied depending on

411 sampling area. This could suggest the possible accumulation of e-waste at the investigated
412 landfills.

413

414

415 **3.7 Human health risk assessment**

416 Human health risk assessment of the landfill precursors focused on the possible main routes of
417 heavy metal contamination through operations on the landfill and during reuse. Three exposure
418 pathways were identified: (i) ingestion of the metals through water consumption after
419 contamination of underground / surface water or oral ingestion along with food due to poor
420 hygiene; (ii) inhalation of suspended dust particles through mouth and nose (CDI_{inh}) during
421 clearing, spreading, sorting and excavation of waste on the landfill; and (iii) skin absorption
422 through bathing with contaminated water.

423 Five heavy metals (Zn, Cd, Ag, Pb and Cu) identified as having a high pollution index were
424 evaluated. There are no carcinogenic slope factors for Pb, Cu, Ag and Zn; only the carcinogenic
425 risks for Cd was estimated using carcinogenic slope factors, while others were compared based
426 on cumulative intakes of the metals. Table 3 and 4 present the risk factor for carcinogenic and
427 non-carcinogenic metals of landfill precursors. The result indicated that for precursors from
428 both types of landfill the potential risk was in the order dermal > ingestion > inhalation, for both
429 non-carcinogenic and carcinogenic risk factor.

430 *****Tables 3 and 4*****

431 The inhalation risk factor falls below the acceptable range of the carcinogenic risk (1×10^{-6} to
432 1×10^{-5}). However, ingestion and dermal risk factor were mostly above acceptable level ($1 \times$
433 10^{-4} to 1×10^{-3}). According to USEPA guidelines, cancer risk factor is expressed as a unitless
434 probability with a threshold exceeding 1×10^{-5} (USEPA, 1989). Inhalation risk factor is

435 influenced by the aerodynamic size, lifetime in air and behavior of associated composition of
436 metals in the respiratory system of human (EU, 2002). Kamunda et al. (2016) reported similar
437 observations with limited risk contribution via inhalation for heavy metal within a mining site
438 in South Africa. The total cancer risk factor from all pathway indicated that Zn and Cu were the
439 most potent health risk hazards. The hazard quotients (HQs) of Pb, Cu, Zn and Ag were within
440 the acceptable limit ($HQ < 1$) for all precursors. Cd is potentially a major risk especially among
441 the children with HQ above 1 (close landfill precursor, $1 \leq HQ \leq 5$; active landfill precursor,
442 $1 \leq HQ \leq 2$). The MD valued showed higher risk than the LD samples for all metals considered.
443 The risk assessment of both landfill precursors also showed similar potential risk with 90% of
444 samples from both landfill below the acceptable limit. The risk potential of the metals in both
445 landfill precursors were in order $Cd > Pb > Cu > Zn > Ag$.

446

447 The research reported on here provides important information that needs to be considered in
448 development of a policy for environmentally sustainable reuse of landfilled waste. The pollutant
449 indexes (Igeo, > 5 , EF > 40 and CF > 7) of five metals are identified as possible source of
450 contamination. Extractive and recycling processes of landfill waste should be carried out with
451 adequate attention to preventive protective materials for the site workers and the environment.
452 Presently, most recycling activities on both landfill sites are carried out with inadequate
453 attention to potential health risk. Tang et al. (2015) reported considerable contamination of the
454 soil and sediment by Cd through recycling of plastic due to different mechanical recycling
455 processes. Waste spreading and compacting activities could increase aerodynamic size and
456 lifetime period of heavy metals in air. Landfill mining should preferably be carried out during
457 low wind speed periods to limit particulate dispersal, which could affect the air quality. The

458 high concentrations of these metals precludes consideration of these landfill precursor for use
459 as compost for farmland and agricultural purposes. The more degraded (MD) and less degraded
460 (LD) components have pollution index values that indicate a clean-up process is required before
461 possible reuse options are considered. Singh and Lee (2015) reported that an extraction clean-
462 up process of an automobile shredder residues waste was able to reduce high risk factor of Pb,
463 Zn Cu and Cd to tolerant level.

464 The degree of clean-up needed may be under estimated if heavy metals pollution toxicity was
465 estimated based on the more degraded part of landfill waste alone, as the data reported on here
466 indicates that the less degraded component contributed significant pollution to the landfill.

467 **4.0 Conclusion**

468 Heavy metal content of landfill waste is a major challenge in developing a sustainable reuse
469 process for landfilled waste. The heavy metals concentrations in closed landfill precursors were
470 significantly higher than those from active landfill for 11 of 15 heavy metals investigated. The
471 differences were likely due to the age difference between the landfill precursor (closed: 7-8
472 years, active: 1-2years). Though both landfill had similar heavy metals content $Fe > Al > Mn >$
473 $Cu > Pb > Ba > Co > Cr > Ni > Cd > As > Se > Ti$, cluster analysis and correlation studies
474 indicated the distribution of the heavy metals were influenced by precursor size (more degraded
475 vs. less degraded) than by depth of the sample. PCA analysis indicated similar source for 10 of
476 15 of heavy metals investigated for both landfill precursors. The heavy metals pollution index
477 (Igeo, EF, CF) of active and closed landfills indicated a major health risk potential, in the order
478 of $Cd > Cu > Pb > Zn > Ag$.

479 The study showed that landfill precursor of both landfill posed a major human health risk with
480 carcinogenic and non-carcinogenic risk of Zn, Cd, Cu and Pb above the USEPA set standard

481 for each of the metals. In light of previous studies (Chen *et al.*, 2010; Tang *et al.*, 2010; Luo *et*
482 *al.*, 2011), it is possible that e-wastes may be the main source of these elements. It also
483 identified particle size as an essential factor in evaluating the potential pollution risk factor of
484 landfills precursor for reuse. Integration of a clean-up process for the landfill precursor during
485 any reuse process is highly recommended in order to reduce possible environmental pollution.

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Figure 1: Map of the sampling Area

Figure 2: Comparison of heavy metals concentrations in the closed and active landfills.

Figure 3: Dendrograms showing similarity in the active and closed landfill samples based on heavy metals composition.

More degraded samples (MD); less degraded sample (LD); depth of sampling (lower, mid, upper)

Figure 4: Rotation component of heavy metals content in the active landfill precursor

Figure 5: Rotation component of heavy metals content in the closed landfill precursor

Table

Table 1 HEAVY METALS CONCENTRATIONS OF CLOSED LANDFILL PRECURSORS AT EACH DEPTH (mg/kg)

Element	Ag	Al	As	Ba	Cd	Co	Cr	Cu	Fe	Mn	Ni	Pb	Ti	Se	Zn
More degraded samples															
Upper layer															
Mean(N=4)	0.79	3370.08	2.81	117.77	4.48	53.31	26.15	538.84	11910.47	363.37	11.59	253.67	0.03	0.4	4316.81
SD	0.3	1288.51	0.02	87.29	1.1	30.22	6.35	344.33	608.91	66.95	3.05	46.31	0.01	0.2	1593.67
mid layer															
Mean(4)	0.71	4241.25	3.05	118.11	4.58	41.33	32.6	262.17	17488.41	373.44	14.2	184.4	0.03	0.34	3734.83
SD	0.22	1596.8	0.64	52.46	1.13	47.63	11.54	25.87	3992.65	154.95	5.87	18.63	0.01	0.07	2843.2
lower layer															
Mean(4)	1.24	4296.29	4.41	287.56	12.49	8.97	46.63	1250.15	15095.17	472.55	22.74	339.1	0.04	0.36	2443.35
SD	0.21	258.79	1.35	9.29	10.36	3.92	4.93	1334.4	2739.57	113.58	10.78	139.31	0.01	0.03	724.66
Less degraded samples															
upper layer															
Mean(4)	0.6	3245.77	2.71	82.78	3.09	15.4	18.14	170.5	16707.67	236.94	38.07	107.26	0.03	0.46	1221.95
SD	0.42	2259.44	0.98	15.2	3.33	18.39	6.45	9.13	1127.96	124.61	39.88	55.98	0.01	0.38	1198.94
mid layer															
Mean(4)	0.3	2255.58	4.21	96.11	2.66	12.16	17.09	177.68	9558.39	607.76	10.77	88.05	0.03	0.25	2126.14
SD	0.12	888.64	2.98	53.21	0.16	3.48	3.26	160.35	940.33	562.51	4.02	31.8	0	0.04	569.19
lower layer															
Mean(4)	0.39	2796.48	3.73	233.53	5.63	34.08	17.89	141.22	34130.09	7616.55	24.07	198.24	0.03	0.91	13204.81
SD	0.3	132.75	2.68	152.2	2.71	9.84	0.88	64.47	18730.28	9650.75	25.06	175.3	0	0.9	11609.91

SD: standard deviation, N: number of sample

Table 2 HEAVY METALS CONCENTRATIONS OF ACTIVE LANDFILL PRECURSORS AT EACH DEPTH (mg/kg)

Ni	Pb	Ag	Al	As	Ba	Cd	Co	Cr	Cu	Fe	Mn	Ni	Pb	Ti	Se	Zn
Upper layer																
Mean(4)		0.55	2930.86	2.13	68.25	1.83	3.26	29.18	168.88	15091.74	295.50	16.63	83.44	0.03	0.20	1883.31
SD		0.20	573.17	0.04	1.20	0.26	0.96	3.54	171.97	3013.10	89.10	10.78	2.71	0.01	0.02	1734.97
mid layer																
Mean(4)		0.41	2628.61	1.55	54.53	5.16	3.12	30.94	58.30	9644.72	247.86	10.50	105.39	0.02	0.19	663.23
SD		0.04	836.39	0.00	23.14	4.21	1.43	12.56	0.27	258.45	144.63	2.14	41.75	0.01	0.02	321.12
lower layer																
Mean(4)		5.00	2792.73	2.06	73.95	2.97	3.17	21.15	77.94	11184.90	183.84	10.33	82.69	0.03	0.22	965.83
SD		6.53	99.33	0.24	6.73	2.02	0.31	4.13	25.15	38.74	2.96	1.35	11.27	0.00	0.01	256.15
Less degraded samples upper layer																
Mean(4)		0.30	2434.01	1.85	48.65	0.88	1.91	35.63	42.70	10249.65	161.30	7.05	42.41	0.02	0.20	372.89
SD		0.20	1474.46	1.18	24.18	0.65	0.54	13.60	38.63	5401.86	102.41	6.33	11.28	0.01	0.04	268.37
mid layer																
Mean(4)		0.40	3681.89	2.33	59.52	2.96	2.81	22.66	76.87	11263.09	245.59	9.15	93.67	0.03	0.22	563.86
SD		0.16	1707.35	0.42	2.39	1.41	0.47	6.10	41.32	4233.93	84.63	3.60	37.72	0.00	0.07	122.51
lower layer																
Mean(4)		1.22	1828.37	1.55	48.34	2.35	1.37	13.11	97.41	10511.49	105.91	5.34	40.51	0.03	0.17	1454.36
SD		1.38	161.52	0.47	12.39	2.05	0.23	1.78	82.89	6458.32	21.25	1.57	5.22	0.01	0.01	1343.69

SD: standard deviation, N: number of sample

Table 3 POTENTIAL HUMAN HEALTH RISK ASSESSMENT INDEX OF HEAVY METALS OF CLOSED LANDFILL

PRECURSORS (Non-carcinogenic and carcinogenic)

Age group	Element	Cu			Cd			Pb			Ag			Zn		
Adult		mean	mini	max	mean	mini	max	mean	mini	max	mean	mini	max	mean	mini	max
More degraded samples	ingestion	3E-02	1E-02	5E-02	1E-02	5E-02	2E+00	1E-01	8E-02	2E-01	3E-04	2E-04	4E-04	2E-02	1E-01	2E-02
HQnc	inhalation	4E-04	3E-05	9E-04	2E-05	9E-06	3E-05	2E-04	1E-04	2E-04	4E-07	1E-06	2E-06	2E-05	2E-05	3E-05
	dermal	3E-02	1E-02	6E-02	4E-01	3E-01	7E-01	3E-02	2E-02	4E-02	5E-07	4E-07	7E-07	3E-02	2E-02	4E-02
	HI _C	8E-04	6E-14	6E-04	8E-06	2E-14	6E-06	4E-05	8E-15	4E-05	8E-07	5E-16	9E-08	2E-03	2E-12	2E-03
	Less degraded samples															
	ingestion	7E-03	6E-03	7E-03	6E-03	4E-03	9E-03	6E-02	4E-02	9E-02	1E-04	2E-04	1E-04	3E-02	1E-02	7E-02
HQnc	inhalation	1E-04	1E-04	1E-04	8E-06	1E-05	6E-06	8E-05	5E-05	1E-04	2E-07	1E-07	3E-07	4E-05	9E-06	9E-05
	dermal	8E-03	7E-03	8E-03	2E-01	2E-01	3E-01	1E-02	1E-02	2E-02	2E-07	2E-07	3E-07	2E-02	1E-02	5E-02
	HI _C	1E-04	9E-14	1E-04	3E-06	3E-14	3E-06	2E-05	6E-15	2E-05	4E-07	2E-16	3E-07	8E-02	2E-12	6E-03
children	More degraded samples															
	ingestion	1E-01	4E-02	2E-01	5E-02	3E-02	8E-02	5E-01	3E-01	6E-01	1E-03	9E-04	2E-03	7E-02	5E-02	9E-02
HQnc	inhalation	4E-05	6E-05	3E-04	2E-05	9E-06	3E-05	7E-04	5E-04	9E-04	2E-06	1E-06	2E-06	1E-04	8E-05	1E-04
	dermal	2E-01	8E-02	4E-01	3E+00	2E+00	5E+00	2E-01	1E-01	2E-01	3E-06	3E-06	5E-06	2E-01	1E-01	2E-01
	HI _C	4E-03	9E-14	3E-03	4E-05	2E-12	3E-05	2E-04	4E-15	1E-04	4E-05	4E-15	2E-05	1E-02	8E-12	9E-02
	Less degraded samples															
	ingestion	3E-02	2E-02	3E-02	2E-02	2E-02	4E-02	2E-01	2E-01	4E-01	6E-04	4E-04	8E-04	1E-01	5E-02	3E-02
HQnc	inhalation	2E-04	1E-04	1E-04	8E-06	6E-06	1E-05	4E-04	2E-04	6E-04	8E-07	1E-06	8E-07	2E-04	4E-05	4E-04
	dermal	5E-02	4E-02	6E-02	1E+00	1E+00	2E+00	9E-02	6E-02	1E-01	2E-06	1E-06	2E-06	3E-01	9E-02	7E-01
	HI _C	4E-04	6E-13	3E-04	2E-05	9E-15	3E-06	1E-04	3E-14	6E-05	1E-06	1E-15	9E-07	4E-02	7E-12	3E-02

HI_C : Hazard index for Carcinogenic risk HQnc: Hazard Quotient for non-Carcinogenic risk

**Table 4 POTENTIAL HUMAN HEALTH RISK ASSESSMENT INDEX OF HEAVY METALS OF ACTIVE LANDFILL PRECURSORS
(Non-carcinogen and carcinogenic)**

Age group	Element	Cu			Cd			Pb			Ag			Zn		
		mean	mini	max	mean	mini	max	mean	mini	max	mean	mini	max	mean	mini	max
Adult	More degraded samples															
HQnc	ingestion	4E-03	2E-03	7E-03	5E-03	3E-03	8E-03	4E-02	4E-02	5E-02	2E-04	1E-04	2E-04	6E-03	4E-02	5E-02
	inhalation	7E-05	4E-05	1E-04	7E-06	1E-05	6E-06	5E-05	5E-05	6E-05	2E-07	2E-07	2E-07	8E-06	1E-05	7E-06
	dermal	5E-03	3E-03	8E-03	2E-01	1E-01	3E-01	1E-02	9E-03	1E-02	3E-07	2E-07	3E-07	8E-06	1E-05	7E-06
	HIc	1E-04	2E-14	8E-05	3E-06	8E-15	2E-06	1E-05	3E-15	1E-05	3E-07	4E-16	3E-07	6E-04	7E-13	5E-04
	less degraded samples															
	ingestion	3E-03	2E-03	4E-03	3E-03	1E-03	5E-03	3E-02	2E-02	4E-02	2E-04	1E-04	4E-04	4E-03	2E-02	4E-02
HQnc	inhalation	5E-05	3E-05	7E-05	4E-06	2E-06	6E-06	4E-05	2E-05	6E-05	3E-07	1E-07	5E-07	6E-06	3E-06	1E-05
	dermal	3E-03	2E-03	5E-03	1E-01	5E-02	2E-01	6E-03	4E-03	1E-02	4E-07	2E-07	7E-07	6E-06	1E-05	4E-06
	HIc	6E-05	1E-14	5E-05	2E-06	1E-14	1E-06	5E-06	3E-15	1E-05	2E-07	2E-16	7E-07	9E-04	3E-13	7E-04
Children	More degraded samples															
	ingestion	2E-02	7E-04	7E-04	2E-02	1E-02	3E-02	2E-01	2E-01	2E-01	6E-04	5E-04	7E-04	2E-02	1E-03	4E-02
HQnc	inhalation	2E-05	1E-05	4E-05	3E-05	2E-05	5E-05	3E-04	2E-04	3E-04	1E-06	8E-07	1E-06	4E-05	2E-05	6E-05
	dermal	3E-02	2E-02	5E-02	1E+0	7E-01	2E+00	6E-02	6E-02	7E-02	2E-06	2E-06	2E-06	6E-02	3E-02	9E-02
	HIc	5E-05	6E-15	2E-04	1E-05	6E-15	7E-06	5E-05	1E-14	6E-06	1E-06	2E-15	2E-06	4E-03	3E-12	5E-03

Less degraded samples

	ingestion	1E-02	7E-03	2E-02	1E-02	1E-02	6E-03	1E-01	2E-01	8E-02	8E-04	4E-04	2E-03	2E-02	8E-03	3E-02
HQnc	inhalation	2E-05	1E-05	2E-05	2E-05	9E-06	3E-05	2E-04	1E-04	3E-04	1E-06	8E-07	2E-06	3E-05	1E-05	5E-05
	dermal	2E-02	1E-02	3E-02	8E-01	3E-01	1E+00	4E-02	3E-02	7E-02	2E-06	1E-06	5E-06	4E-02	2E-02	7E-02
	HIc	3E-04	3E-13	2E-04	8E-06	8E-15	7E-06	5E-05	6E-15	2E-05	3E-06	4E-15	1E-06	2E-03	5E-12	1E-03

HIc : Hazard index for Carcinogenic risk

HQnc: Hazard Quotient for non-Carcinogenic risk



Figure 1

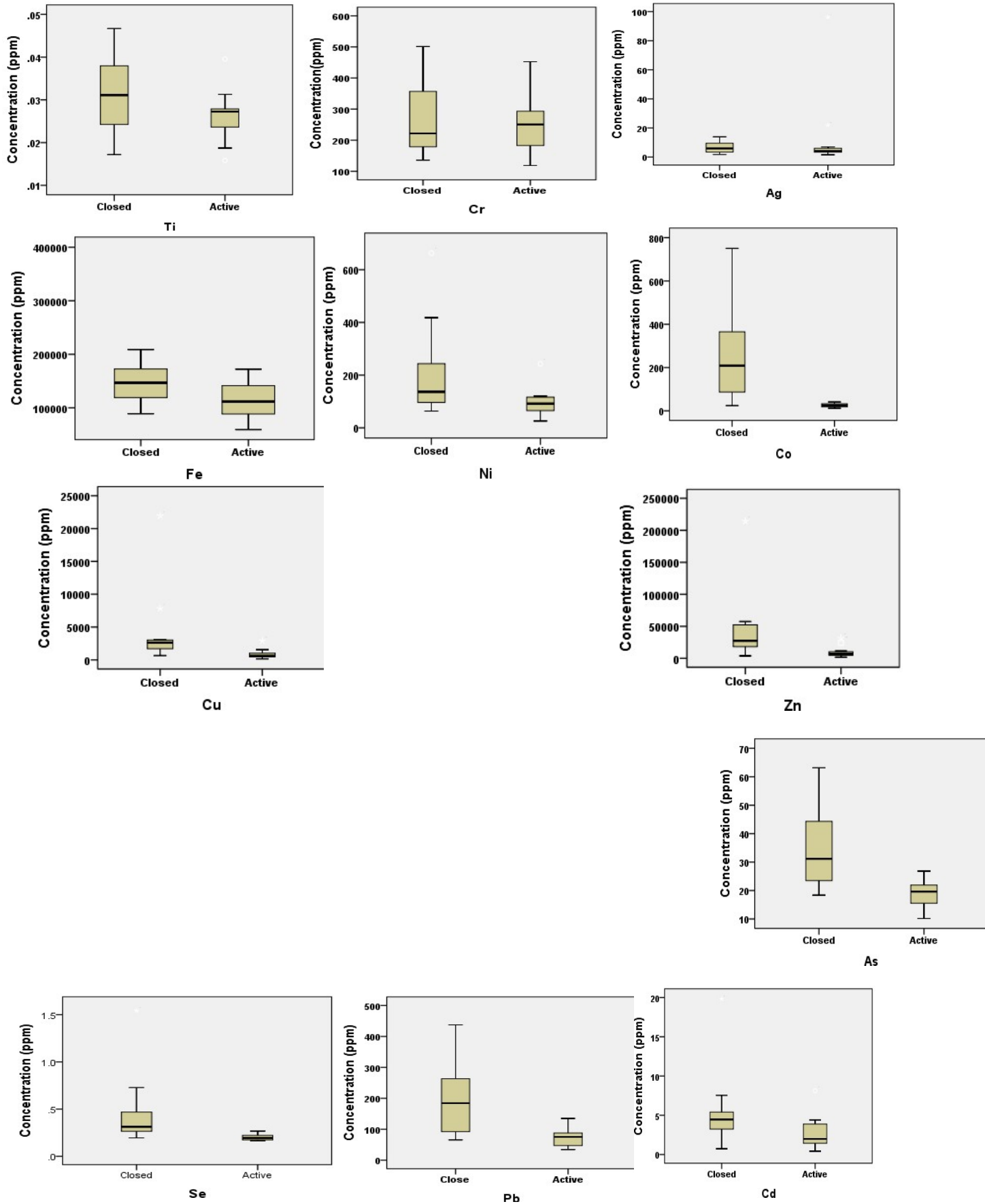
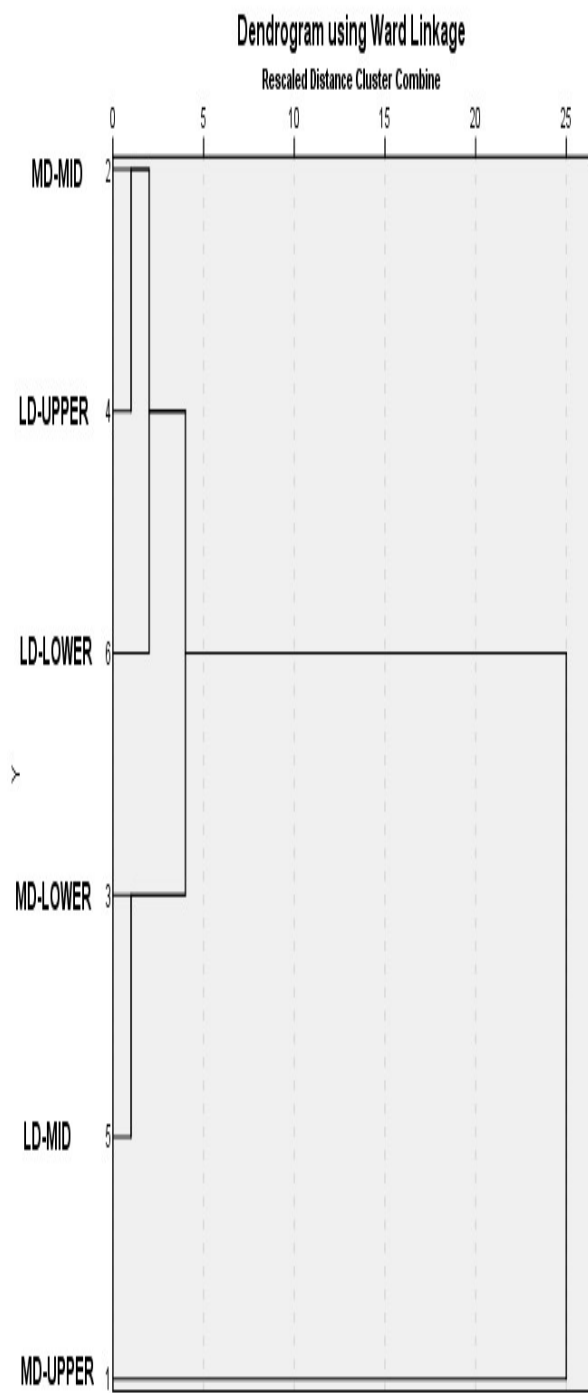
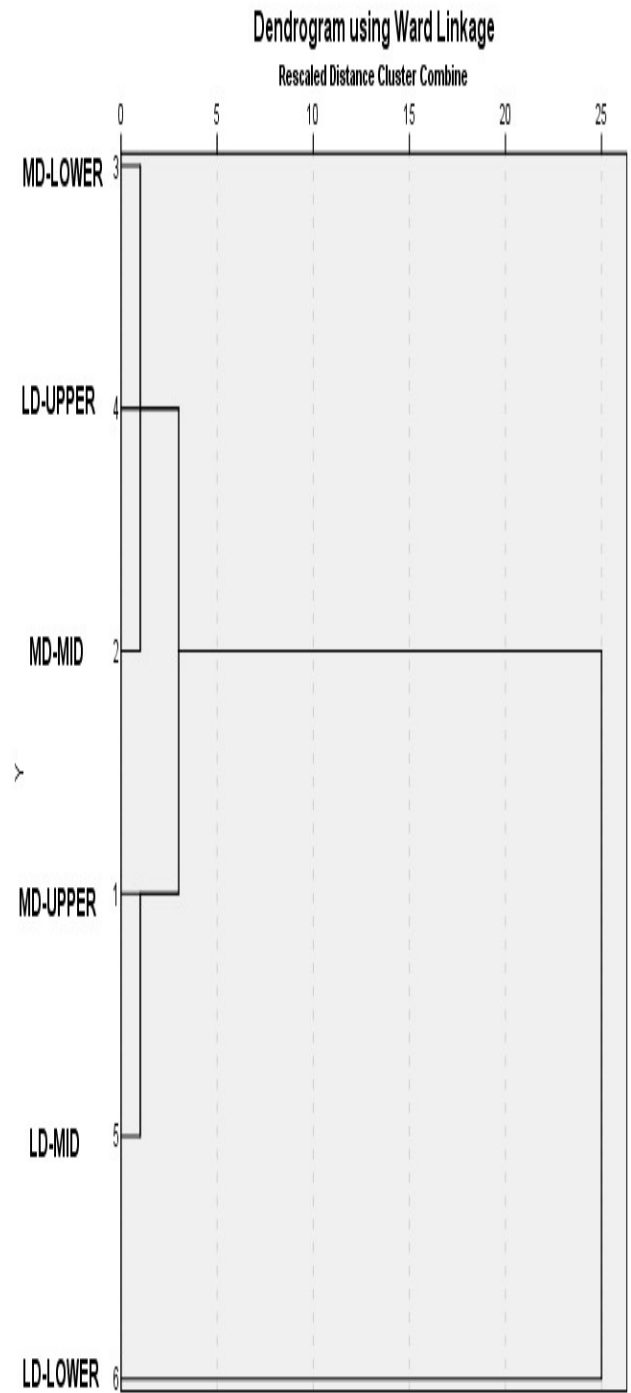


Figure 2



Closed Landfill



Active landfill

Figure 3

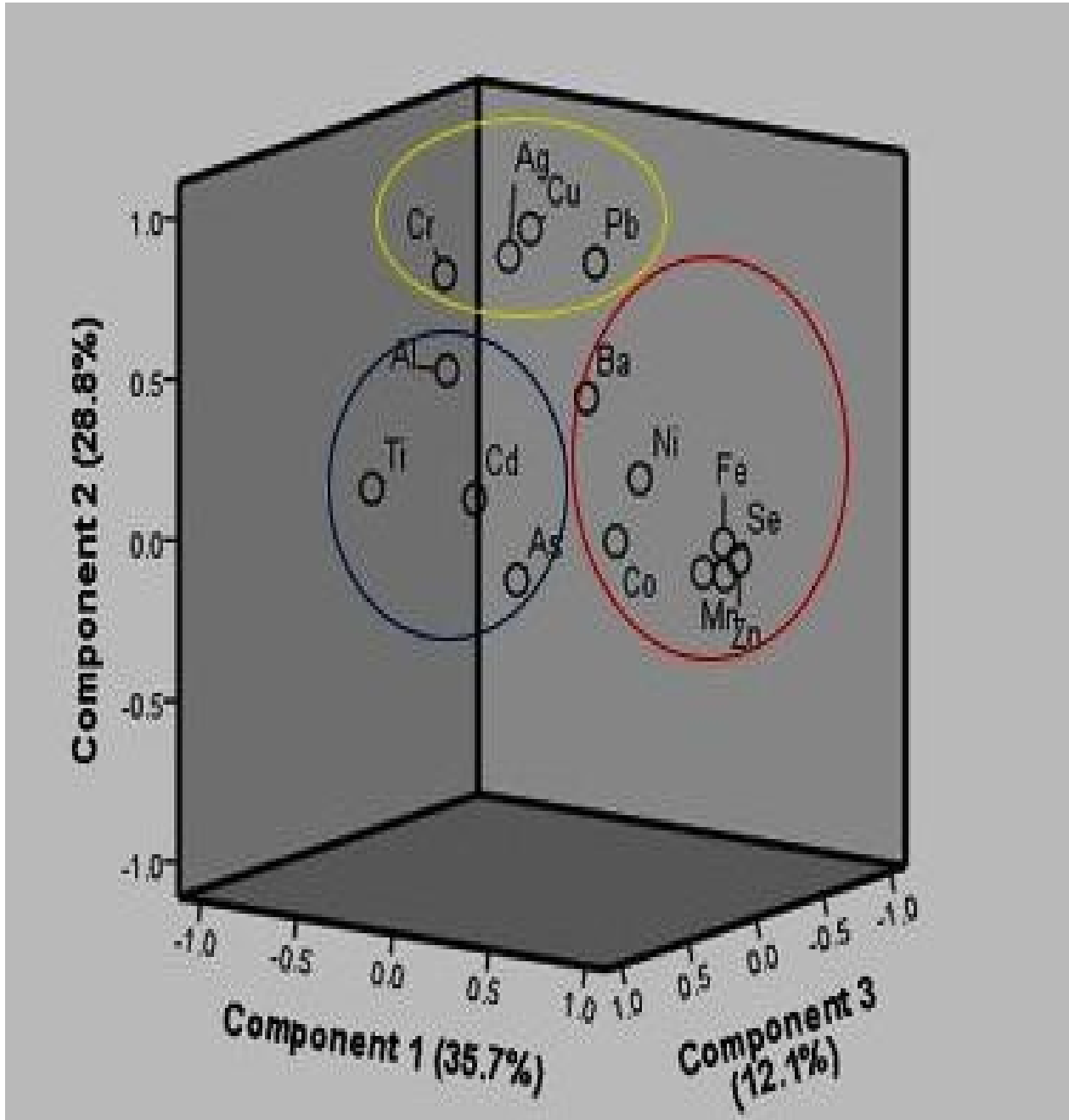


Figure 4

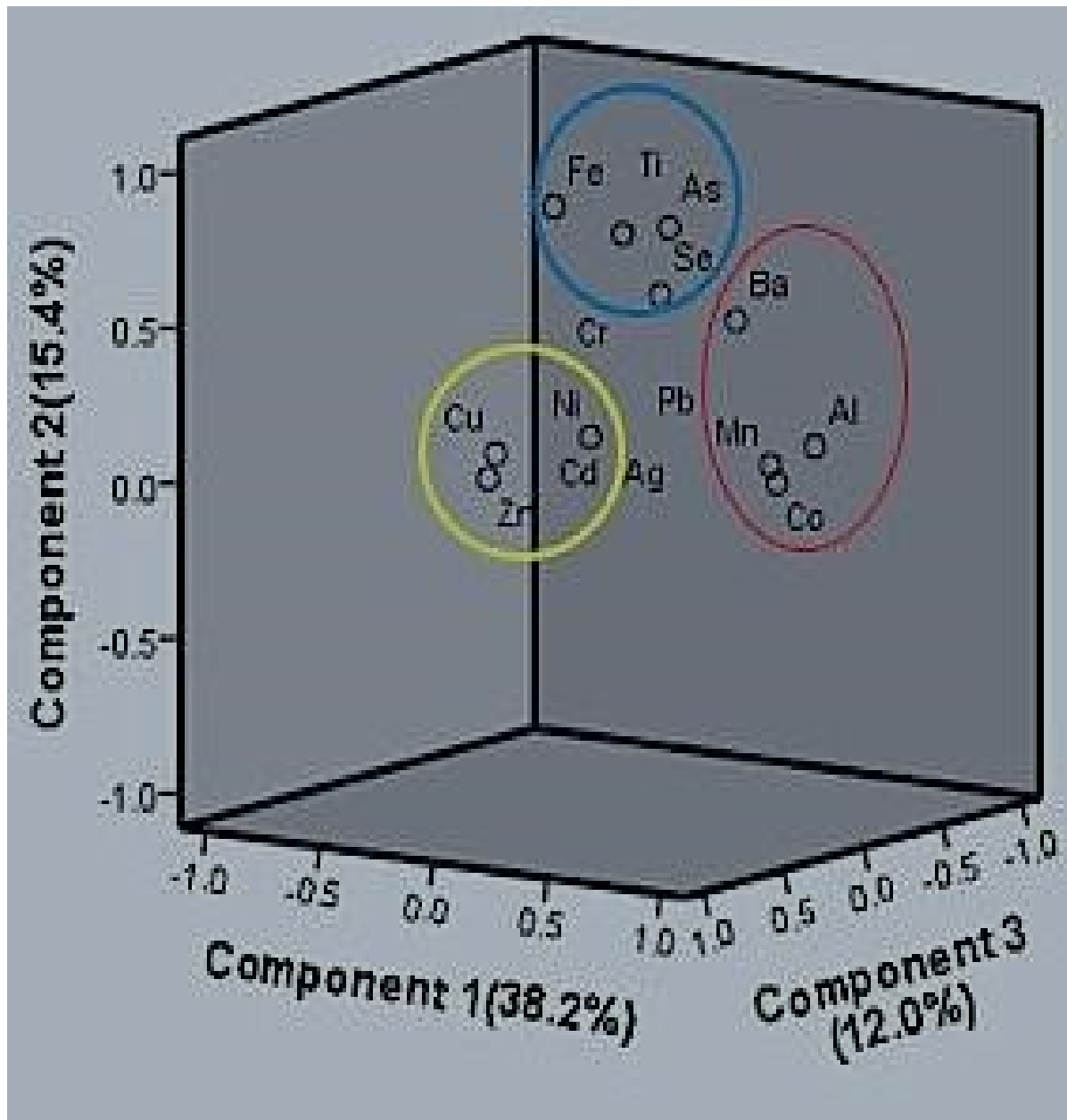


Figure 5