

1 **Volumetric absorptive microsampling (VAMS) coupled with high-resolution, accurate-mass**  
2 **(HRAM) mass spectrometry as a simplified alternative to dried blood spot (DBS) analysis for**  
3 **therapeutic drug monitoring of cardiovascular drugs**

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14  
15 **Conflict of interest:** none

16  
17 **Abstract**

18 Here, volumetric absorptive microsampling (VAMS), used for the measurement of cardiovascular  
19 drugs, is compared against conventional dried blood spot (DBS) card sampling to evaluate adherence  
20 to prescribed medication. Volumetric absorptive microsampling (VAMS) is an attractive alternative  
21 to plasma sampling for routine drug monitoring and potentially overcomes haematocrit issues  
22 associated with quantitative bioanalysis of conventional dried blood spots. A quantitative VAMS-  
23 based LC-HRAM MS assay for atenolol, lisinopril, simvastatin and valsartan was developed and  
24 validated. The assay demonstrated acceptable linearity, selectivity, accuracy, precision, recovery and  
25 insignificant matrix effects with no impact of haematocrit on assay accuracy. Volunteers provided  
26 both VAMS and DBS 903 card samples (the current standard) to allow comparison of the two  
27 methods and demonstrate the potential utility of VAMS. Analysis of VAMS samples correctly  
28 identified drugs in volunteers known to be adherent, and found no false positives from volunteers  
29 known to be taking no medication. There was a strong correlation between the two sampling  
30 systems confirming the utility of VAMS. Therapeutic drug monitoring (TDM) can assist clinicians in  
31 deciding how to proceed with treatment in the event of poor improvement in patient health. VAMS  
32 could offer a potentially more efficient method of sample collection, with fewer rejected samples  
33 than the DBS approach.

34  
35 **Key Words**

36 Volumetric absorptive microsampling (VAMS), Dried blood spot (DBS), Liquid Chromatography –  
37 High Resolution Mass Spectrometry (LC-HRMS), Medication adherence, compliance, therapeutic  
38 drug monitoring, Atenolol, Lisinopril, Simvastatin, Valsartan

39

40 Abbreviations: Cardiovascular disease (CVD); Coefficient of variation (CV); Dried blood spot (DBS);  
41 Extracted ion chromatogram (EIC); Haematocrit (Hct); Internal standard (IS); Limit of quantification  
42 (LOQ); Liquid chromatography (LC); Liquid chromatography–high resolution, accurate mass mass  
43 spectrometry (LC-HRAM MS); Liquid chromatography-mass spectrometry (LC-MS); Liquid  
44 chromatography-tandem mass spectrometry (LC-MS/MS); Quadrupole Time of Flight (QTOF); Quality  
45 control (QC); Relative error (RE); Therapeutic drug monitoring (TDM); Volumetric absorptive  
46 microsampling (VAMS)

## 47 **Highlights**

- 48 • VAMS was used for the quantification of four CVD therapy drugs to assess adherence.
- 49 • LC-HRAM MS was used to quantify atenolol, lisinopril, simvastatin and valsartan.
- 50 • VAMS showed no haematocrit effect because there is fixed volume sampling.
- 51 • VAMS and DBS gave comparable drug concentrations for each individual volunteer.
- 52 • The VAMS based assay has good potential for routine TDM for self-collected samples.

53

## 54 **Introduction**

55 Cardiovascular disease (CVD) is a major global killer, responsible for 17.7 million deaths every year  
56 [1]. In the UK, around 7 million people are affected with an estimated 155,000 deaths each year.  
57 CVD is a major economic burden with annual UK healthcare costs estimated at £11 billion [2]. The  
58 current medical care of CVD patients uses a combination of cardiovascular therapy drugs to treat  
59 high blood pressure and lower cholesterol [2]. The drug(s) selected and the dose(s) prescribed are  
60 structured to achieve and maintain a therapeutic drug concentration in the blood, with the goal of  
61 improving patient status [3]. However, it is reported that >50% of CVD patients do not adhere to  
62 their prescribed drug therapy [4, 5]. Medication nonadherence can result in poor clinical outcomes,  
63 hospital readmission with unnecessary additional care costs, and sometimes death. Nonadherence  
64 is, therefore, a growing concern to clinicians, other healthcare professionals and health service  
65 providers [6,7].

66 Determination of therapeutic drug concentration in the blood can indicate the extent of the  
67 patient's adherence to prescribed drug therapy [3]. The quantitative determination of cardiovascular  
68 drugs in plasma and serum using either liquid chromatography (LC) – tandem mass spectrometry  
69 (MS/MS) or LC-MS is documented [8-11]. However, these investigations required large biosample  
70 volumes (e.g., 1 – 10 mL), which can be a challenge to obtain from any patient in repeat routine  
71 testing scenarios, such as for therapeutic drug monitoring [3].

72 Dried blood spot (DBS) microsampling is an alternative approach to conventional liquid blood sample  
73 collection for quantitative bioanalysis and provides numerous advantages, for example, self-  
74 sampling is possible, the sample has long-term stability and is readily transportable [12]. These  
75 advantages, in combination with improved analytical instrument capability, have led to a surge in  
76 the use of DBS in various healthcare applications [13, 14]. Our research group has reported on the  
77 potential for using a DBS-based micro-sampling assay to assess adherence to selected CVD  
78 medications [15-18]. However, it is acknowledged that DBS-based assays present critical issues and  
79 challenges that affect result quality; the primary issue being the influence of haematocrit (Hct) on

80 the accuracy of the quantitative assay [19]. Abu-Rabie et al [19] identified two potential Hct-based  
81 assay biases, namely area bias and recovery bias. The area bias, where blood with high Hct is more  
82 viscous and leads to the formation of smaller blood spots on DBS cards, is well-documented in the  
83 literature [20]. The Hct based recovery bias is less well recognised, but has the potential to cause  
84 significant assay bias, particularly for DBS assays with lower (e.g., 60-40%) recovery [19]. The Hct  
85 range varies with age for adult males and females and is typically 40-54% and 36-48%, respectively  
86 [20]. This Hct-related uncertainty has prompted research into alternative microsampling platforms  
87 and has led to the development and introduction of novel collection devices, including volumetric  
88 absorptive microsampling (VAMS) [21]. VAMS microsampling devices were designed to provide the  
89 advantages of DBS, but circumvent its Hct-driven biases. VAMS facilitates the easy collection and  
90 drying of an accurate volume of blood (i.e., 10  $\mu$ l or 20  $\mu$ l) on an absorbent white tip attached to a  
91 plastic sample handler. This methodology eliminates the volumetric Hct effect associated with  
92 conventional DBS sampling when a punched disk is used, whereas VAMS collects a precise volume  
93 sample and the entire sample is extracted. VAMS has been used successfully in quantitative  
94 bioanalytical assays for therapeutic drug monitoring (TDM) and the determination of  
95 pharmacokinetic parameters [22].

96 Here, we evaluate the performance of VAMS using liquid chromatography – high resolution accurate  
97 mass (LC-HRAM) MS-based quantitative determination of four CVD drugs commonly prescribed in  
98 the UK. Additionally, we quantitatively compared the VAMS method against a previously published  
99 DBS-based [15] method on atenolol, lisinopril, simvastatin, and valsartan. The performance of VAMS  
100 was evaluated over a Hct range of 35-55% to confirm the absence of an Hct effect for each of the  
101 four drugs. This study describes a novel approach based on a VAMS based LC-HRAM MS assay for  
102 the simultaneous quantification of four CVD drugs in human blood with the potential for assessment  
103 of adherence.

104

## 105 **2. Materials & Methods**

### 106 2.1 Chemicals and Materials

107 Atenolol (R-(+), 99%), atenolol d<sub>7</sub>, lisinopril, simvastatin and valsartan were purchased from Sigma–  
108 Aldrich (Poole, UK). LC–MS grade acetonitrile, methanol and water were also obtained from Sigma–  
109 Aldrich (Poole, UK). VAMS (Mitra®) devices (10  $\mu$ l tip size) were purchased from Neoteryx (Torrance,  
110 CA, USA). 903 specimen collection paper, polyethylene bags, microcentrifuge tubes (1.5 mL), pipette  
111 tips and volumetric pipettes were all purchased from Fisher Scientific (Loughborough, UK).  
112 Autosampler vials with 250  $\mu$ l inserts, vial caps and formic acid were obtained from Agilent  
113 Technologies (Cheshire, UK). Heparin-coated blood collection tubes were purchased from  
114 International Scientific Supplies Ltd. (Bradford, UK).

115 Both the collection of fresh blank blood and VAMS and DBS samples from informed volunteers  
116 received ethical approval from the De Montfort University Research Ethics Committee. Informed  
117 consent was obtained from all participants following the provision of participant information  
118 leaflets.

### 119 2.2 Preparation of standard stock and working solutions for the four cardiovascular drugs

120 Standard stock solutions of atenolol, lisinopril, simvastatin and valsartan were prepared at a  
121 concentration of 1mg/mL in methanol. Multicomponent working solutions for each target drug were  
122 freshly prepared by diluting the stock solutions with methanol/water (i.e., 70:30, v/v).

123 Spiked blood standards were prepared by spiking different samples of 950  $\mu$ L fresh blank blood with  
124 50  $\mu$ L of one of each multicomponent working solution to yield final blood target drug  
125 concentrations of 10, 20, 50, 100, 200, 500, 1000 and 1500 ng/mL for atenolol, 0.1, 0.5, 1, 5, 10, 25,  
126 50, 100 ng/mL for lisinopril and simvastatin and 50, 100, 250, 500, 1000, 2000, 3000, 4000 ng/mL for  
127 valsartan. The Hct of the blood was 45%. 50  $\mu$ L of methanol/water (70:30, v/v) was spiked into 950  
128  $\mu$ L of fresh blank blood to produce a zero (blank) blood sample. An internal standard (IS), atenolol  
129 D<sub>7</sub>, stock solution was prepared in methanol at a concentration of 10  $\mu$ g/mL and diluted further with  
130 methanol/water (70:30, v/v) to produce an extraction solvent containing 20 ng/mL of IS.

131

### 132 2.3 Preparation of calibration standards and validation samples

133 VAMS calibration samples were prepared following the manufacturer's instructions using the 10  $\mu$ L  
134 tip size devices. The upper part of the tip was dipped into a volume of spiked whole blood and blank  
135 blood. Care was taken not to completely immerse the tip into the blood in order to prevent  
136 overfilling.

137 DBS calibration samples were prepared as detailed in our previously published work [15].

### 138 2.4 Solvent extraction of analytes from VAMS and DBS

139 Each VAMS tip was separated from the handler and transferred to a 1.5 mL microcentrifuge tube. A  
140 300  $\mu$ L volume of methanol containing IS (20 ng/mL of atenolol D<sub>7</sub>) was used for the extraction of  
141 the target drugs because of its optimum extraction efficiency and reduced interference, as shown in  
142 previously published work using DBS [15]. Tubes were vortexed for 1 minute, sonicated for 30  
143 minutes in a temperature controlled ultrasonic bath at 40°C and centrifuged at 13200 rpm for 10  
144 minutes. 270  $\mu$ L of each supernatant was transferred into a new microcentrifuge tube and dried  
145 under a gentle stream of nitrogen gas. Dried residue was reconstituted with 150  $\mu$ L of  
146 methanol/water (40:60, v/v) containing 0.1% formic acid. The final extracts were transferred into  
147 auto-sampler vials for LC-HRAM MS analyses.

148 For the 903 sampling paper 30  $\mu$ L of each prepared standard was spotted onto the sampling paper  
149 and allowed to dry for at least 3 hours. Solvent extraction of target analytes from an 8 mm punched  
150 disc was carried out using the protocol detailed by Bernieh et al [15].

151

### 152 2.5 LC-HRAM MS conditions

153 LC-HRAM MS analyses were performed on an Agilent 1290 LC on-line to an Agilent G6530A QTOF  
154 mass spectrometer following the previously described conditions with DBS samples [15].

### 155 2.6 Validation studies

156 To demonstrate that the developed bioanalytical method was fit for purpose, validation experiments  
157 were conducted in accordance with international guidelines [23, 24]. The validation process includes  
158 an assessment of the selectivity, linearity, sensitivity, intra and inter-assay accuracy and precision,  
159 limit of quantification (LOQ), matrix effects, and Hct effects for the analytical process. The stability of  
160 atenolol, lisinopril, simvastatin and valsartan for extended periods within the analytical procedure  
161 were also examined. These experiments were carried out using appropriate low, medium and high  
162 concentrations of each analyte in the manner detailed for the DBS samples by Bernieh et al [15].

#### 163 2.6.1 Selectivity

164 Conventionally, in analyses of this type, selectivity is obtained via the use of multiple reaction  
165 monitoring where the characteristic fragmentation pathways have been previously identified. In this  
166 work, the accurate mass of the target analyte ion, measured to within 5 ppm, was used to  
167 demonstrate the necessary selectivity. This was achieved by comparison of data from blank VAMS  
168 tips and tips spiked with the analytes. The extracted ion chromatograms for the protonated species  
169 of atenolol (m/z 267.1703), lisinopril (m/z 406.2336) and valsartan (m/z 436.2343) and the sodium  
170 adduct for simvastatin (m/z 441.2611) were used for this comparison.

#### 171 2.6.2 Linearity and sensitivity

172 Calibration standards were prepared in replicate (n = 6) and analysed on three separate days. A  
173 calibration plot for each Target Analyte/IS peak area ratio against nominal analyte concentration was  
174 produced and an equally-weighted linear regression was applied. A signal-to-noise ratio of  $\geq 10$  was  
175 used in order to determine the limit of quantification (LOQ) for atenolol, lisinopril, simvastatin and  
176 valsartan in the VAMS extracts. The coefficient of variation at the (LOQ) was determined for each  
177 target drug (n = 6) and was shown to be within the  $\leq 20\%$  limit.

#### 178 2.6.3 Accuracy and precision

179 The inter- and intra-day accuracy and precision of the reported methodology was assessed by  
180 replicate (n = 6) analyses of quality control (QC) samples at the low, medium and high  
181 concentrations for each drug. Accuracy was expressed as the relative error (RE%) and precision as  
182 the coefficient of variation (CV%). With reference to international guidelines [23,24], a RE and CV of  
183  $\leq 15\%$  was considered acceptable.

#### 184 2.6.4 Matrix effects

185 The matrix effects on drug detection at appropriate low, medium and high concentrations, due to  
186 constituents within VAMS were assessed in the manner detailed by Bernieh et al [15] using blood  
187 samples collected from three different sources. Replicate (n = 6) samples of the four target analytes  
188 spiked in blank blood extracts from VAMS and 903 DBS sampling paper [15] to represent low,  
189 medium and high concentrations were prepared. The prepared samples were compared with  
190 standards of equal concentration spiked into methanol/water (40:60%, v/v) containing 0.1% formic  
191 acid for the four target analytes. The matrix effect was calculated using the formula  $(B/A - 1) \times 100$ .  
192 Where A represents the ratio of the Target Analyte/IS response from analyte spiked into pure  
193 solvent and B represents the ratio of the Target Analyte/IS response from analyte spiked into  
194 extracted blank whole blood.

195 2.6.5 Determination of the recovery of the four target analytes from VAMS

196 The extraction efficiency, or the recovery, of atenolol, lisinopril, simvastatin and valsartan from  
197 spiked VAMS tips was determined using the DBS-based methods detailed in Bernieh et al [15]. It was  
198 determined using replicate (n=6) samples prepared at the low, medium and high concentrations for  
199 the four target drugs from spiked VAMS. Recovery was determined by comparing the ratios of  
200 analyte to IS response from VAMS extracts with those obtained from blank blood spot extracts  
201 spiked with solution standards of equal concentration. The recovery was calculated using the  
202 formula: % recovery = (analyte-to-IS response of VAMS extract)/(analyte to IS response of post  
203 extraction blank VAMS spiked extract) x 100.

204 2.6.6 Evaluation of the effects of different Hct levels

205 The effects of different Hct levels on the DBS assay performance have been previously evaluated at  
206 the low, medium and high concentrations of each target drug (n = 6) with an adjusted Hct of 35, 45  
207 and 55% [15]. In the present study, the performance of VAMS was evaluated over the same Hct  
208 range for the four target drugs. The Hct reference samples were prepared from blank human whole  
209 blood centrifuged at 10,000 g for 12 minutes and the plasma generated transferred into a clean  
210 microcentrifuge tube. The red blood cell suspension and plasma were mixed in proportions of  
211 (35:65, v/v), (45:55, v/v) and (55:45, v/v) to achieve an adjusted whole blood Hct of 35%, 45% and  
212 55%, respectively [25]. These were used to prepare calibration samples on both 903 DBS sampling  
213 paper [15] and the VAMS device for the four target analytes at the blank, low, medium and high  
214 concentration ranges.

215 2.6.7 Sample stability on VAMS

216 The stability of VAMS samples during storage for eight weeks at room temperature was determined  
217 by analysing replicate (n=6) VAMS extracts spiked with atenolol, lisinopril, simvastatin and valsartan  
218 at low, medium and high concentrations. This was to investigate if batch-wise preparation and  
219 subsequent storage would be possible.

220 2.7 Application of method to volunteer VAMS and DBS samples

221 The developed LC–HRAM MS analytical methodology was applied to a series of both VAMS and DBS  
222 samples collected from two different groups of informed volunteers. The first group of volunteers  
223 were all receiving a course of one or more of the target drugs atenolol, lisinopril, simvastatin and  
224 valsartan. The second group of volunteers were not prescribed any of the target drugs and the  
225 samples collected from these volunteers were used as reference blanks for the analytical process.  
226 Where relevant, volunteers were asked to specify the time delay between taking their medication  
227 and the time the sample was collected. Typically, four DBS samples (i.e., 4 x 30 µL), and four VAMS  
228 samples (i.e., 4 x 10 µL) were collected as quickly as possible from each volunteer. These were  
229 collected from either one or two fingerprick samples per patient, as necessary.

230 Prior to sample collection, it was confirmed that each volunteer had read the information sheet and  
231 was willing to progress by signing the consent documentation.

232 2.8 DBS Summary

233 DBS samples were prepared, collected and analysed as detailed in the previously published work by  
234 Bernieh et al[15].

235

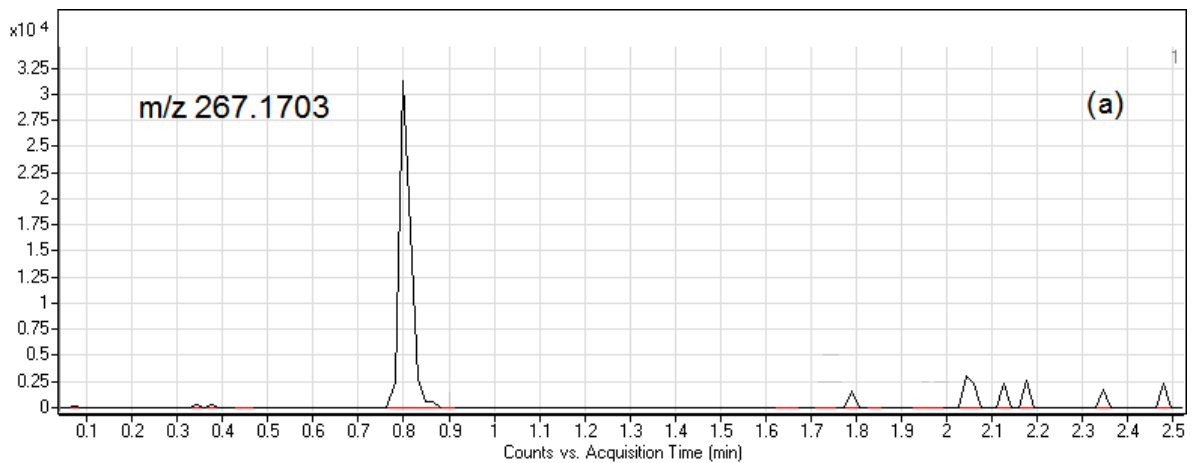
### 236 3. Results and Discussion

#### 237 3.1 Selectivity

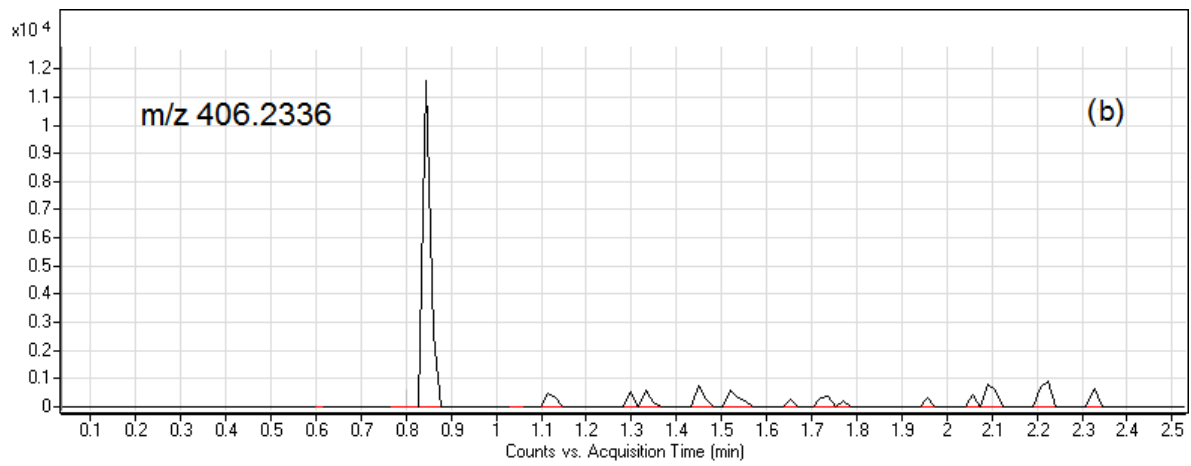
238 Using the accurate masses determined for the four cardiovascular drugs and internal standard,  
239 selectivity was evaluated by comparing extracted ion chromatograms (EICs) derived at the limit of  
240 quantification from a VAMS calibration standard for each target analyte and the internal standard,  
241 with those obtained from blank VAMS samples. Selectivity enhancement was obtained by narrowing  
242 the m/z extraction window, as demonstrated previously [16]. Using a 5 ppm window for each target  
243 drug gave the most intense signal with no other interfering compounds and, therefore, improved  
244 selectivity. Representative EICs at the LOQ for each analyte and internal standard are shown in  
245 Figure 1(a) – (e). The protonated molecule  $[M+H]^+$  gave a high response for atenolol at m/z  
246 267.1703, lisinopril at m/z 406.2336 and valsartan at m/z 436.2343. The sodium adduct ion  $[M+Na]^+$   
247 showed the highest signal intensity for simvastatin at m/z 441.2611. The DBS based LC-HRAM MS  
248 method also showed strong selectivity, as the EICs revealed no interfering peaks at the retention  
249 times for each of the four drugs and IS[15].

250

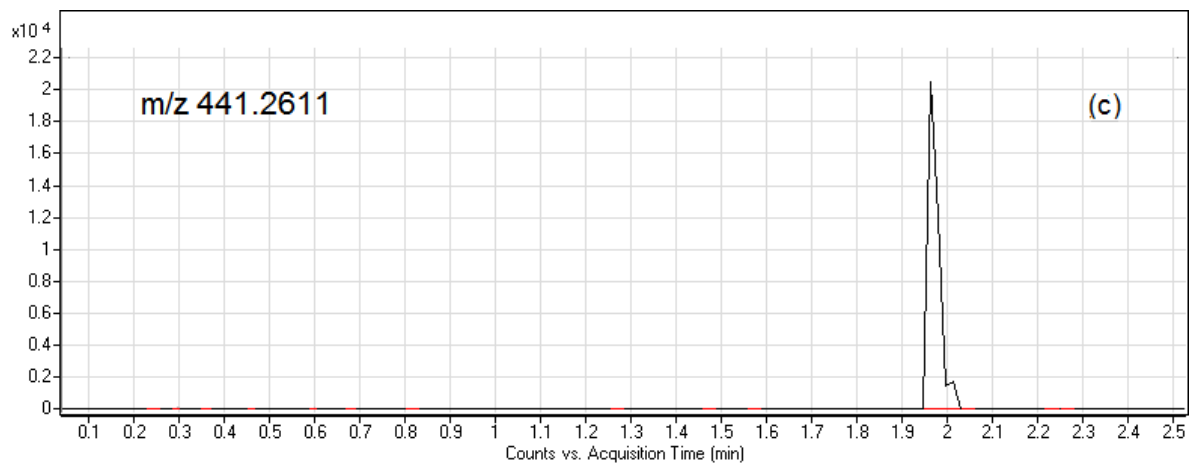
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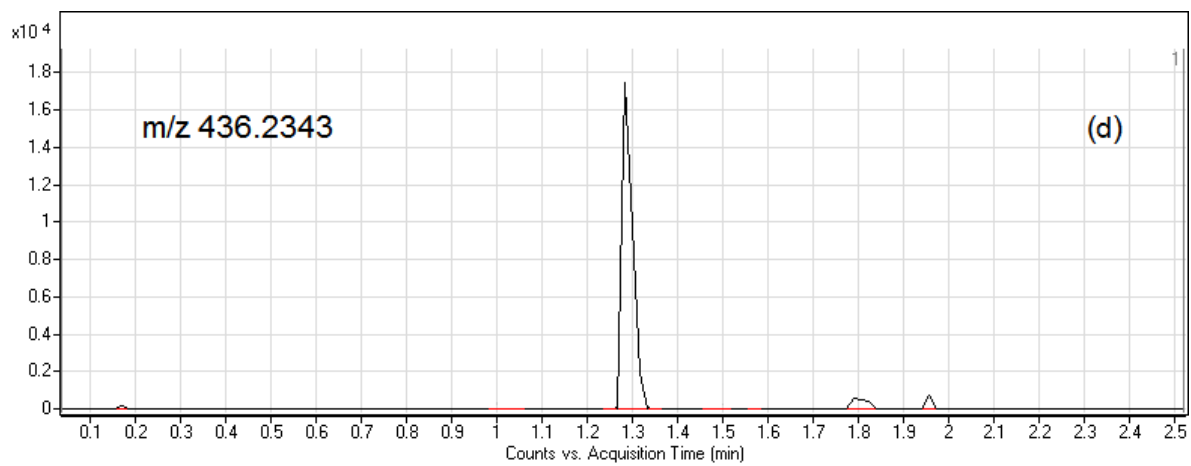
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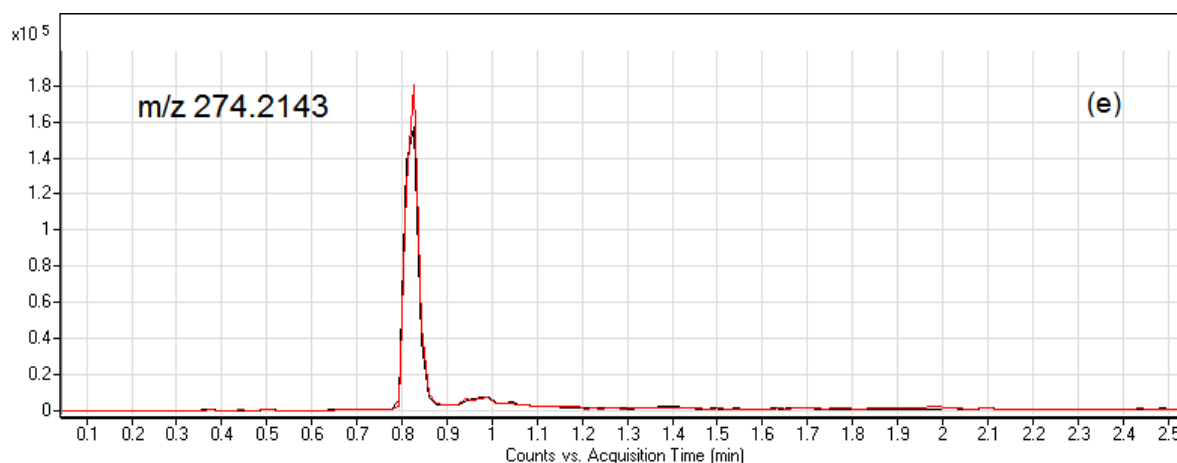
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258

259 **Figure 1.** Representative LC-HRAM MS extracted ion chromatograms of a blank VAMS tip extract (red) and a  
 260 calibration standard at the LLOQ spiked with the four target drugs (black). A narrow mass extraction window (5  
 261 ppm) was used for (a) atenolol at m/z 267.1703 (b) lisinopril at m/z 406.2336 (c) simvastatin at m/z 441.2611  
 262 (d) valsartan at m/z 436.2343 (e) atenolol d7 (internal standard) at m/z 274.2143.

263

### 264 3.2 Linearity and sensitivity

265 The calibration plots for the four target analytes in VAMS were generated in replicate (n = 6) using a  
 266 plot of Target Analyte/IS peak area ratio against nominal analyte concentration. An equally weighted  
 267 linear regression was applied and the data (i.e., slope, intercept and the mean correlation coefficient  
 268  $R^2$ ) is presented in Table 1. Back calculations indicated a relative error of less than 15% (typically  
 269 between 2 and 10%) over the appropriate calibration range for each drug. The limit of quantification  
 270 (LOQ) with a signal to noise ratio of  $\geq 10$  and the required assay accuracy and precision was 10 ng/mL  
 271 for atenolol, 0.1 ng/mL for lisinopril, 0.1 ng/mL for simvastatin and 50 ng/mL for valsartan in whole  
 272 dried blood.

273

274 **Table 1.** Linearity and sensitivity data for the four cardiovascular drugs

Drug	Range (ng/mL)	$y = ax + b$	$R^2$	LOQ (ng/mL)
Atenolol	10 - 1500	$y = 0.0074x - 0.136$	$0.992 \pm 0.001$	10
Lisinopril	0.1 - 100	$y = 0.0013x + 0.021$	$0.985 \pm 0.004$	0.1
Simvastatin	0.1 - 100	$y = 0.016x + 0.215$	$0.988 \pm 0.003$	0.1
Valsartan	50 - 4000	$y = 0.006x + 0.125$	$0.992 \pm 0.001$	50

275

### 276 3.3 Accuracy and precision

277 The accuracy and precision of the developed LC-HRAM MS method were determined by intra- and  
 278 inter-day replicate analyses of six spiked VAMS QC samples containing the four target analytes at the  
 279 low, medium and high concentration levels on three separate days. Accuracy was expressed as the  
 280 mean relative error (RE %) and precision was expressed as the coefficient of variation (CV %) and  
 281 data obtained for both were within the predefined 15% limit [23, 24] for all concentrations in each  
 282 run for all the target drugs. The overall variation in data between runs was also  $\leq 15\%$  for all target  
 283 drugs. A summary of the results is presented in Table 2.

284 **Table 2.** Intra- and inter-day accuracy and precision data for the four target cardiovascular drugs in VAMS  
 285 extracts (n = 6 at each concentration level, for 3 days)

Drug	Nominal conc. (ng/mL)	Measured conc. (ng/mL)	Accuracy (RE) %	Coefficient of variation (%)	
				Intra day	Inter day
Atenolol	50	51.58	3.16	1.71	2.07
	500	499.02	-0.20	6.04	0.97
	1500	1518.72	1.25	2.99	1.71
Lisinopril	1	1.06	4.49	5.66	2.53
	25	25.16	0.63	9.18	1.75
	100	100.32	0.32	7.82	0.75
Simvastatin	1	1.05	5.18	7.28	0.97
	25	25.15	0.59	6.25	1.30
	100	99.84	-0.16	5.16	0.22
Valsartan	250	250.34	0.14	5.27	1.19
	2000	1971.85	-1.41	3.05	1.55
	4000	4086.14	2.15	7.76	0.29

286

287 3.4 Matrix effect

288 Matrix effect caused by blood constituents, as well as from the VAMS device material itself [26], was  
 289 examined to ensure that the sensitivity and precision of the developed method was not  
 290 compromised. The matrix effect data obtained for each target analyte investigated at the low,  
 291 medium and high concentration levels of the calibration curve is presented in Table 3. No significant  
 292 (i.e., >10%) matrix effects on the analyte signal due to endogenous components of blood or the  
 293 VAMS sampling material was observed at the three tested concentrations of each target drug. These  
 294 results demonstrate the robustness of the extraction procedure, and of the ionisation mechanism,  
 295 for these target analytes. Comparison of the results from 903 sampling paper [15] versus VAMS  
 296 revealed mean matrix effects for lisinopril and simvastatin were significantly higher for 903 sampling  
 297 paper. This could be attributed to the extraction of constituents from within the 903 sampling paper  
 298 that cause ionisation competition with lisinopril and simvastatin at the ESI source of the MS.

299

300 **Table 3.** Matrix effect results obtained for the four target drugs studied at the low, medium and high  
 301 concentration levels using VAMS (n = 6 at each concentration level)

Drug	Nominal conc. (ng/mL)	Matrix effect % (mean)	Precision (CV%)
Atenolol	50	0.88	1.34
	500	3.39	2.52
	1500	2.20	3.81
Lisinopril	1	0.99	2.74
	25	1.46	3.52
	100	3.66	4.76
Simvastatin	1	2.70	3.56
	25	0.66	2.86
	100	0.51	2.80
Valsartan	250	-1.17	5.87

2000	1.01	0.02
4000	0.99	0.08

302

### 303 3.5 Recovery

304 Mean extraction recoveries of atenolol, lisinopril and simvastatin, and valsartan at the low, medium  
 305 and high concentration levels of the calibration curve were 102%, 88%, 67% and 47%, respectively,  
 306 from VAMS, and 89%, 88%, 68% and 96%, respectively, from 903 paper reported by Bernieh et al  
 307 [15]. Possible reasons for the difference may be poor extraction of valsartan from the VAMS  
 308 substrate or poor uptake of valsartan on the VAM absorptive tip. Recovery data from VAMS for each  
 309 target analyte at the low, medium and high concentration levels is summarised in Table 4.

310 **Table 4** Recovery data for atenolol, lisinopril, simvastatin and valsartan extracted from VAMS at the low,  
 311 medium and high concentration levels (n = 6 at each concentration level)

Drug	Nominal conc. (ng/mL)	Recovery (%)	Standard Deviation (SD)	Precision (CV)
Atenolol	50	103.31	6.36	5.61
	500	106.17	2.65	2.34
	1500	98.95	1.26	1.28
Lisinopril	1	88.71	11.97	13.40
	25	84.16	11.33	9.92
	100	91.66	9.43	9.46
Simvastatin	1	62.87	13.18	8.09
	25	71.95	6.06	8.42
	100	66.94	1.91	2.85
Valsartan	250	39.89	5.80	3.82
	2000	53.02	3.68	1.47
	4000	48.44	2.55	2.43

312

### 313 3.6 Haematocrit (Hct) evaluation

314 The VAMS device has been reported to be independent of the Hct effect [27-29]. To confirm this, the  
 315 influence of Hct on assay performance for the quantitative analysis of atenolol, lisinopril, simvastatin  
 316 and valsartan was evaluated at the low, medium and high concentrations with an adjusted Hct of 35,  
 317 45 and 55% to cover the range for the target population. Concentrations of extracts were  
 318 determined using a linear regression equation generated from calibration data produced from  
 319 standards prepared with the 45% Hct on VAMS. The results for the Hct investigation are presented in  
 320 Table 5 and show that the VAMS device delivered accuracy (RE%) and precision (CV%) values within  
 321 the pre-defined limit of  $\leq 15\%$  [20] at all Hct levels for each tested analyte concentration. As  
 322 expected for VAMS, the differences between the Hct levels are much reduced in comparison with  
 323 previously published work using DBS [15]. This demonstrates that quantitative analytical data  
 324 collected on the VAMS device is not likely to be affected by inter-individual variability in Hct values  
 325 for the Hct range investigated for the four target analytes.

326 **Table 5.** Influence of Hct on the accuracy (RE %) of analyte quantification for VAMS presented as the difference  
 327 from the Analyte/Internal Standard peak area ratio at the 45% Hct level. Precision (CV %) values for each  
 328 tested concentration are shown in brackets (n = 6 at each concentration and Hct level)

Drug	Nominal conc. (ng/mL)	Haematocrit (Hct)		
		35%	45%	55%
Atenolol	50	-6.52% (4.03)	Normalized (7.15%)	-5.80 (4.13)
	500	5.19% (4.27)	Normalized (4.38%)	5.10 (3.15)
	1500	-1.13 (8.45)	Normalized (4.72%)	-2.19 (4.74)
Lisinopril	1	-0.93% (10.03%)	Normalised (13.44%)	2.93% (13.60%)
	25	4.35% (10.17%)	Normalised (3.37%)	3.65% (8.45%)
	100	-5.90% (9.32%)	Normalised (4.64%)	-0.95% (3.89%)
Simvastatin	1	1.13% (3.68%)	Normalised (8.16%)	-0.21% (9.89%)
	25	5.03% (6.08)	Normalised (3.7%)	-2.70% (6.6%)
	100	-2.42% (2.9%)	Normalised (3.2%)	-5.70% (4.6%)
Valsartan	250	-1.45% (2.28%)	Normalised (1.15%)	-1.05% (2.07%)
	2000	-0.52% (3.37%)	Normalised (3.48%)	-2.81% (3.08%)
	4000	-0.43% (3.97%)	Normalised (2.61%)	-0.98% (2.23%)

329

### 330 3.7 Stability

331 The results shown in Table 6 demonstrate that the spiked samples of the selected drugs were stable  
 332 in VAMS for 8 weeks storage at room temperature. This implies that VAMS microsampling  
 333 methodology could be applicable in resource limited areas where samples may have to be collected  
 334 in remote areas and there is a time delay before analysis can be completed.

335

336 **Table 6.** Accuracy, precision and quantification of the LC-HRAM MS VAMS assay at the low, medium and high  
 337 concentrations for atenolol, lisinopril, simvastatin and valsartan after 8 weeks of storage at room temperature  
 338 (n = 6 at each concentration level)

Drug	Concentration in whole blood (ng/mL)	Mean concentration found $\pm$ SD (ng/mL) (n=6)	Accuracy (RE%)	Precision (CV%)
Atenolol	50	55.71 $\pm$ 2.34	11.42	4.21
	500	523.31 $\pm$ 46.34	4.66	8.85
	1500	1516.29 $\pm$ 82.00	1.09	5.41
Lisinopril	1	0.99 $\pm$ 0.06	-1.01	6.41
	25	27.05 $\pm$ 3.66	8.19	13.55
	100	107.65 $\pm$ 10.07	7.65	9.36
Simvastatin	1	1.08 $\pm$ 0.10	7.96	9.35
	25	25.35 $\pm$ 1.82	1.39	7.19
	100	94.20 $\pm$ 7.30	-5.80	7.75
Valsartan	250	253.57 $\pm$ 30.06	1.43	11.86
	2000	1921.63 $\pm$ 19.91	-3.92	1.04
	4000	4039.78 $\pm$ 173.14	5.99	8.80

339

340 3.8 Application of method to volunteer VAMS samples and comparison with DBS drug  
 341 concentrations

342 The developed and validated LC-HRAM MS method was successfully applied to the quantitative  
 343 analysis of atenolol, lisinopril, simvastatin and valsartan for VAMS samples obtained from volunteers  
 344 undergoing cardiovascular drug therapy treatment with one or more of the target drugs. These  
 345 volunteer VAMS samples were collected opportunistically after a supposedly single dose of the  
 346 target drug(s). A series of blank control VAMS samples were also taken from healthy volunteers not  
 347 prescribed any of the target drugs, and analysed. The requirements for a bioanalytical method to  
 348 monitor adherence to prescribed pharmacotherapy are to be able to determine the residual levels of  
 349 drug up to 24 hours after the initial dose and to ascertain if the calculated drug levels are within the  
 350 therapeutic window [3]. VAMS samples were self-collected from seventeen volunteers and were  
 351 analysed with the developed LC-HRAM MS method; the VAMS concentrations obtained are shown in  
 352 Table 7.

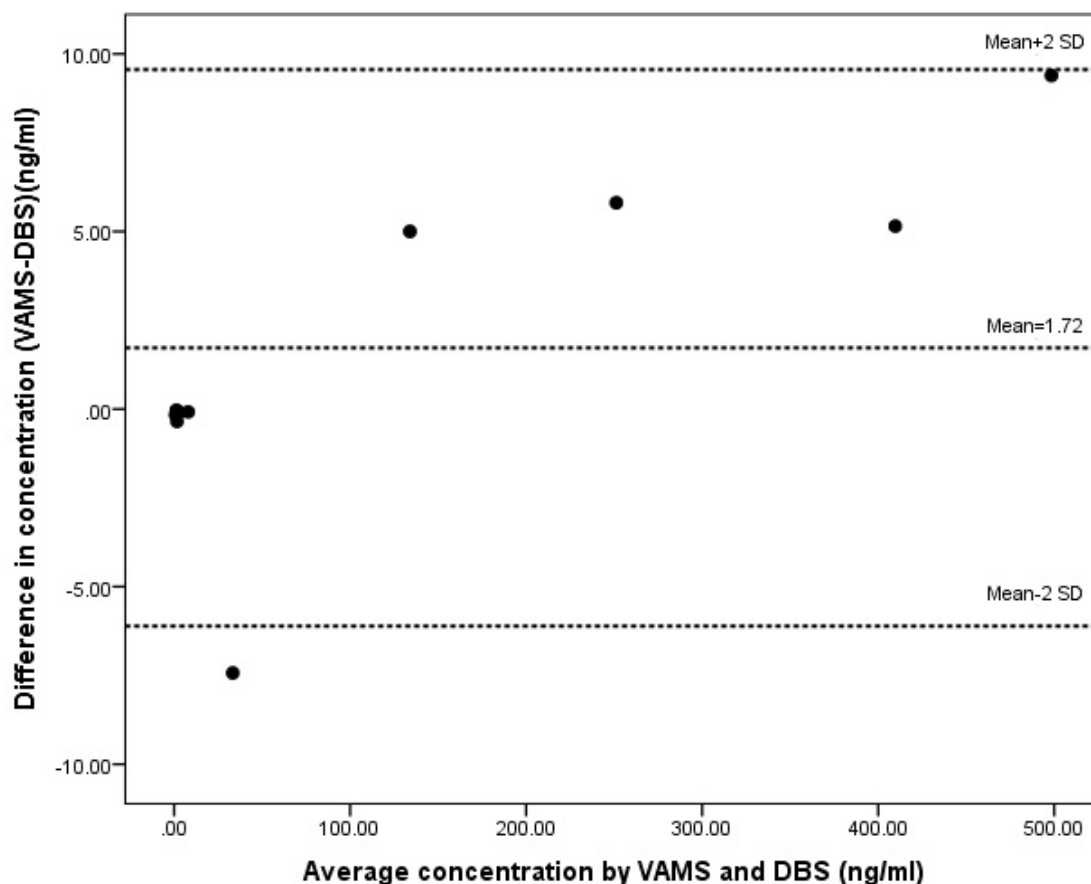
353

354 **Table 7.** VAMS concentrations compared with DBS concentrations [15, 30] of the studied CVD drugs from  
 355 volunteers prescribed one or more of the CVD drugs investigated

N	Sex	Administered Drug	Time after Oral intake (hrs)	VAMS Concentration (ng/mL) ± (s.d)	DBS Concentration (ng/mL) ± (s.d)
1	M	Valsartan 160mg	4	503.11 ± 5.12	493.72 ± 8.78
2	F	Simvastatin 20mg	13	2.81 ± 0.30	2.90 ± 0.77
3	F	Simvastatin 20mg	15	1.44 ± 1.19	1.79 ± 0.74
4	M	Valsartan 160mg	4	412.31 ± 11.68	407.16 ± 14.73
5	M	Simvastatin 40mg	6	< LOQ	< LOQ
6	M	Lisinopril 20mg	?	29.59 ± 5.31	37.02 ± 8.59
7	M	Lisinopril 20mg	3.5	7.94 ± 1.35	8.02 ± 3.68
8	M	Simvastatin 20mg	10	1.29 ± 0.86	1.32 ± 0.42
9	M	Simvastatin 20mg	11	0.69 ± 0.77	0.85 ± 0.55
10	M	Valsartan 80mg	4	136.45 ± 2.62	131.49 ± 0.88
11	M	Atenolol 100mg	13	254.16 ± 5.37	248.30 ± 9.12
12-14	F	Controls - None	N/A	<LOQ	<LOQ
15-17	M	Controls - None	N/A	<LOQ	<LOQ

356

357



358  
 359 **Figure 2.** Bland-Altman plot comparing VAMS and DBS concentrations for atenolol, lisinopril, simvastatin and  
 360 valsartan in volunteer samples.

361  
 362 The drug concentrations found for VAMS were also compared to those obtained by DBS [15, 30]  
 363 from the same volunteers, sampled at approximately the same time. The volunteer samples are  
 364 “dynamic *in vivo*” samples obtained from a constantly changing drug pharmacokinetics environment  
 365 within the body. To compare the two microsampling methods we used a Bland Altman plot (Figure  
 366 2), which showed good concordance in the drug concentrations for VAMS and DBS because the  
 367 difference was less than 2 SD from the mean. The results confirmed acceptable reproducibility  
 368 between the two microsampling methods and demonstrate that the microsampling methodologies  
 369 produce comparable quantitative results. However, significant differences in both patient ease-of-  
 370 use and cost of the sampling device should be considered before use in routine applications.

371 Adherence to a prescribed drug therapy is indicated by the drug level in the blood being between  
 372 the published  $C_{max}$  concentration and 5.25% of  $C_{max}$  i.e. the drug concentration after 5 half-lives,  
 373 when it is considered to be therapeutically inactive. Conversely, non-adherence is indicated by  
 374 absence of the drug in the volunteer’s blood sample or if the level is outside its therapeutic window  
 375 [3]. On this basis, the results showed that for all volunteers, except volunteer 5, the calculated drug  
 376 levels indicated adherence to prescribed drug therapy. For volunteer 5, there was no detectable  
 377 simvastatin after 6 hours following oral intake. *In vivo*, simvastatin hydrolyses to simvastatin acid  
 378 and the collected HRAM MS data for volunteer 5 was therefore re-interrogated for the  $[M+H]^+$  and  
 379  $[M+Na]^+$  ions for simvastatin acid at  $m/z$  437.2898 and  $m/z$  459.2727, which were shown not to be  
 380 present. For volunteer 3 the experiments show that simvastatin can be measured at  $m/z$  441.2611  
 381 directly up to 15 hours after administration, indicating that the levels are within the limit of  
 382 quantification of the assay at this time period.

383 Volunteer feedback was obtained on their experience using self-sampling with VAMS and 903 paper  
384 and particularly regarding ease of use of both microsampling methods. All volunteers commented  
385 that it was easier and quicker to self-collect a micro-volume blood sample with VAMS. This  
386 advantage could translate in the adoption of this methodology and enhance the implementation of  
387 TDM in routine clinical practice [31].  
388

#### 389 **4. Conclusion**

390 The feasibility of a VAMS based LC-HRAM MS method for the simultaneous quantification of  
391 atenolol, lisinopril, simvastatin and valsartan in 10  $\mu$ L of whole has been demonstrated. The LC-  
392 HRAM MS method developed was rapid, in terms of instrument time, and provided the sensitivity  
393 required for the determination of the four target cardiovascular drugs. The method was validated  
394 following international guidelines and has shown to be precise and accurate at all tested  
395 concentrations and also exhibited appropriate specificity and linearity. The VAMS samples were  
396 shown to be stable at room temperature for at least 8 weeks. This offers the possibility of batch-  
397 wise preparation and also allows time for the transport of samples to laboratories with appropriate  
398 analytical facilities. As expected, the target drug concentrations from the VAMS samples were not  
399 influenced by Hct. These advantages indicate VAMS, therefore, has great potential for routine  
400 therapeutic adherence monitoring by home sampling, however, the costs of purchasing these  
401 microsampling devices are an important consideration for healthcare service provision. This method  
402 has the potential to assist clinicians in monitoring patient adherence to prescribed drug therapy, and  
403 with that data, to optimize treatment. However, before implementation for routine therapeutic drug  
404 monitoring it will be necessary to correlate whole blood drug concentrations with plasma levels and  
405 conduct an extensive patient study.  
406

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410

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