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Maximizing Alcohol Yields from Wheat and Maize and their Co-products for Distilling or Bioethanol Production

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10 Abstract

The key to optimising alcohol production from cereals is a full understanding the physiology and 11 12 processing characteristics of different cereals. This study examined the maximum alcohol yields that 13 can be obtained from wheat and maize using different processing technologies. Lower processing 14 temperatures (85°C) resulted in high alcohol yields from wheat (a temperate crop), whereas higher 15 processing temperatures (142°C) gave maximum alcohol yields from maize (a tropical crop). Similar trends were also observed when the spent grains from these cereals were subjected to cellulolysis 16 17 using commercial enzymes. Mill settings were additional factors in influencing alcohol production. 18 Wheat has the potential to produce higher alcohol yields when compared with maize, when residual 19 biomass (i.e. spent grains) saccharification using selected commercial enzymes is taken into account. 20 While this is approach is not applicable for the Scotch whisky industry due to strict legislation 21 forbidding use of exogenous enzymes, this is pertinent for bioethanol production- to increase the 22 alcohol yield obtained from both starch and lignocellulosic components of whole cereal grains. 23 Wheat and maize processing temperatures and the use of processing aids are of potential economic 24 benefit to bioethanol producers and to beverage alcohol producers seeking to understand the 25 factors influencing the processing properties of different cereals.

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29 Keywords

30 Wheat, Maize, Alcohol Yields, Starch processing

32 Introduction

33 The production of grain whisky has many parallels with the technology that is used in some 34 bioethanol distilleries. Both products can be produced from starch-based substrates originating 35 from cereals such as wheat and maize (corn). Many other cereals can be used for both bioethanol 36 and whisky production and at the Scotch Whisky Research Institute (SWRI) work has been carried 37 out on a range of different cereals such as wheat, maize, sorghum and millet to show how their 38 properties can be used to optimize alcohol yield (1; 2). Under the legal definition of Scotch whisky 39 (3), the use of commercial enzymes or other additives to increase alcohol yield and process 40 efficiency are strictly forbidden, and process improvements must be derived from a better understanding of the physiological and processing characteristics of different cereals. However, 41 42 distillers are also interested in the production of neutral spirits (Grain Neutral Spirit (GNS)), which is 43 used for non-Scotch whisky products such as vodka and gin, which are not subject to these 44 constraints. Similarly, the production of bioethanol is free from these requirements and a much 45 wider range of options of both technologies and substrates are available, so that bioethanol 46 producers can use many suitable raw materials and process aids for different alcohol production processes. In the longer term, there is interest from Scotch whisky distillers in evaluating the 47 potential of alternative cereals and residual plant materials or the co-products deriving from them 48 49 for additional production streams, such as biogas production, which may also be relevant to 50 bioethanol production (4; 5). Since such treatment of co-products such as spent (or dark) grains 51 (distillers dark grains with solubles (DDGS)) occurs after they are removed from the Scotch whisky 52 production process, they can be further processed without restrictions, within the requirements of 53 the end-user market for these co-products, which is primarily for animal feeds, but could also potentially be used for bioethanol production. 54

55 Bioethanol is derived from the microbial fermentation of biomass to produce fuel alcohol (6), which 56 is chemically identical to synthetically produced ethanol by the petrochemical industry. Recently,

57 emphasis has been placed on partial or total replacement of energy derived from fossil fuel to 58 bioethanol derived from plant materials (7) and global production is dominated by processes using 59 maize in USA and sugarcane in Brazil (8; 9). Ethical concerns are raised when bioethanol derived 60 from cereals (wheat, corn, sorghum, millet) and tubers (such as cassava, yam and potato) leads to 61 direct conflict with food production (10; 11; 12). In order for a biofuel to be sustainable it should have a net energy gain, provide environmental benefits, be economically competitive and be 62 63 capable of being produced on large scale without disrupting agricultural food crops (13). It is also 64 essential that a sustainable bioethanol process will not upset the balance between the greenhouse 65 gas emissions generated as the carbon released by the burning of plant derived fuels, and those which can be captured by growing plants (i.e. carbon neutral). The production of biofuels from more 66 67 diverse feedstocks, for example, based on co-products such as cellulosic agricultural residues and 68 brewer's and distiller's spent grains will eventually offer more sustainable alternatives to cereals and 69 other food crops, and should help to ameliorate food versus fuel arguments (14). Commercial scale 70 production of cellulosic ethanol is now a reality with, for example, Beta Renewables plant now 71 operational in Italy, and several US plants on stream in 2014 (Lane, 2013). It is estimated that other 72 large scale production plants capable of producing 50,000 – 150,000 tonnes of cellulosic ethanol will 73 be built in 2013/14 (15). In Germany an estimated 22 million tonnes of straw could be used to meet 74 around 25% of Germany's current petrol requirements (15). In the UK, nine bioethanol plants that 75 are likely to use wheat as raw material have been planned, each with a potential total capacity of approximately 2×10^6 tonne bio-ethanol per annum (16; 17). There are at present three bio-ethanol 76 77 plants in the UK - 'British Sugar' in Wissington, 'Ensus' (now owned by Crop Energies) in Teeside and 78 'Vivergo' in Hull. The latter two plants each can potentially produce 400 million litres of bioethanol 79 per annum (18). Although previous studies on the feasibility of bioethanol in the UK concluded that 80 production costs were uncompetitive compared with petrol (17), the economics have been gradually 81 improving over the last two decades with the use of improved commercial enzymes to liberate 82 fermentable sugars.

83 Agu et al. (2) studied the effects of starch liberation and breakdown conditions and observed that 84 wheat can produce a significantly higher spirit yield when processed at a lower temperature of 85°C, 85 compared with the higher cooking temperatures required for other cereals such as maize which will 86 normally produce its maximum alcohol yield when processed at much higher temperatures (typically 87 142°C – 145°C). This observation was in agreement with other studies focussed on bioethanol 88 production (19; 20; 21). These studies confirmed that the processing procedure should be tailored to 89 the cereal being used. The lower processing temperature of wheat also lowered the residue viscosity 90 which causes problems in downstream recovery of the distillery co-products (2). The higher viscosity 91 of wheat is due, in part, to the presence of high levels of pentosan (8% w/w) compared to lower 92 levels (3% w/w) in maize, sorghum and millet (Palmer, 1989). In particular, pentosans such as 93 arabinoxylans and other polymers such as β -glucans are known to cause processing problems (22; 94 23; 24; 25; 26; 27).

95 The present study was undertaken to investigate the effects of process conditions and enzyme 96 processing aids on maximising alcohol yields from wheat and maize starch and lignocellulose (in the 97 form of spent grains).

98 Materials and Methods

99 Cereal samples

Samples of cereals (wheat and maize) were obtained from two sources (Ii) soft, low nitrogen wheat (cv Viscount) from a trial site producing wheat for assessment for Scotch grain whisky production; (II) a commercial yellow maize sample (variety not specified) obtained from a Scotch whisky grain distillery.

104 Alcohol yield from wheat and maize flours (142°C process)

105 The following procedure based is on the work of Brosnan *et al* (28) and described fully by Agu *et al*106 (1) and simulates the production process in a "typical" Scotch whisky grain distillery. Cereal flour

107 (30g), obtained by milling the grains in a Buhler Miag disc mill set at either 2 (0.2mm), or 11 (1.1mm) 108 was transferred into a stainless steel mashing beaker and slurried with water (81ml), and 25µLof 109 Termamyl 120L Type L (a bacterial α -amylase supplied by Novozymes France S.A.) was added. This 110 was slowly heated up to 85° C (temperature rise 2° C /min) in a water bath, before pressure cooking 111 in an autoclave, temperature programmed with a maximum temperature of 142°C for 15 minutes. 112 The cooked slurry was cooled to 85° C and given a second treatment with Termamyl (25µL) for 30 113 min to prevent starch retrogradation. The mash was then transferred to a water bath at 65°C and 114 mashed for 1 hour with a predetermined amount of high enzyme grain distilling malt grist (Miag mill 115 setting 2 (0.2mm) and 11 (1.1mm)), equivalent to a malt inclusion rate of 20% dry weight basis (dwb) 116 to 80% wheat. After cooling to room temperature, the mash was pitched with distiller's yeast 117 (Saccharomyces cerevisiae 'M' type, supplied by Kerry Ingredients & Flavours) at a pitching rate of 118 0.4 (w/w) pressed yeast, transferred to a fermentation vessel and the weight adjusted to 250g with 119 water. The mash was then fermented at 30°C for 68 hours and distilled to collect the alcohol. The 120 alcohol yield was determined from the alcohol strength of the distillate, which was measured using 121 an Anton Paar 5000 density meter. The alcohol yield was quoted as litres of alcohol per tonne (LA/t) 122 on a dry weight basis (dwb).

123 Alcohol yield from wheat and maize flours (85°C process)

The procedure is similar to that described above except that the pressure cooking step where the slurry was transferred to the autoclave, as well as the second treatment with Termamyl was bypassed.

127 Alcohol yield from wheat and maize spent grains (SG) (142°C process)

128 The procedure was similar to that described above for the cereal flour, except that the cereal spent 129 grains (30g), were slurried with acidified water (0.005M H_2SO_4) (130mL) rather than distilled water, 130 and 25µL of Termamyl 120L Type L (a bacterial α -amylase supplied by Novozymes France S.A.) and 131 heated up to 85°C before pressure cooking in an autoclave at 142°C for 15 minutes. The cooked slurry was transferred to a 85°C water bath and given a second treatment with Termamyl (25µL) for 132 133 30 min to prevent starch retrogradation, after which the mash was then transferred to a water bath 134 at 65° C and incubate for 1 hour with the addition of 25μ L each of the commercial enzymes 135 Bioglucanase ME 250, Bioprotease NL 100 and Promalt 4TR (supplied by Kerry Bioscience Ltd). The 136 dose rate for all the enzymes is shown in Table 1. After cooling to room temperature, the mash was pitched with distillers yeast and then fermented as described earlier. The alcohol yield was also 137 138 determined from alcohol strength of the distillate as described above.

139 Alcohol yield from wheat or maize spent grains (SG) using cellulosic enzymes

The alcohol yield from spent grains using cellulosic enzymes is essentially as described for the alcohol yield from milled cereal spent grains except that the commercial enzymes Bioglucanase ME 250, Bioprotease NL 100 and Promalt 4TR were replaced with Cellic HTech and Cellic CTec (cellulosic and xylan hydrolysing enzymes, supplied by Novozymes France S.A.) and the mashing time was extended overnight to 24 hours. The effect of the addition of the commercial enzymes at different stages, before (upstream) and after (downstream) cooking, was also investigated using maize spent grains.

147 Rheological properties of the spent grains using Rapid Visco-Analysis (RVA)

The physiological properties of the spent grains were studied using a Newport Scientific Rapid Visco Analyser (RVA) instrument supplied by Calibre Control. The Rapid Visco-Analyser is a rotational, continuously recording viscometer, with heating, cooling and variable shear capabilities, specifically configured for starch-based materials. The aim was to confirm that limited or no starch was present in the spent grains, and the alcohol yield was obtained from the spent grains. Here, a slurry of milled cereal spent grain (approximately 3.0g spent grain and a measured amount of water or acid total weight 28g) with water or 0.005M sulphuric acid (H_2SO_4) was processed in the RVA analyser using a programme designed for un-malted cereals (*29*; 1).

156 **Results and Discussion**

157 Results shown in Tables 2 and 3 verify the precision and the robustness of a high temperature pressure cook for the determination of alcohol yield from cereals. The results presented in Tables 2 158 159 and 3, were obtained when the Miag mill was set at 2 (0.2mm) to produce finely milled flour from 160 the cereals. Table 2 shows the results obtained when wheat and maize were processed at the higher 161 temperature of 142°C. This represents the traditional, high temperature process used for grain 162 whisky production. Table 3 shows the results obtained when the same wheat and maize samples 163 were processed at a lower temperature of 85°C which represents a potential way of reducing the 164 energy requirement for the process. Alcohol yields obtained from each of the cereals were reproducible whether the cereals were processed at either 142°C or 85°C, and confirm the reliability 165 166 and robustness of the method.

When both cereal types were processed at the higher temperature of 142°C, wheat gave a much 167 168 lower alcohol yield compared with maize. The differential of at least 15 litres alcohol per tonne is 169 fairly typical for wheat and maize. On the other hand, when both cereal types were processed at the 170 lower temperature of 85°C, maize gave a much lower alcohol yield than wheat. This is because maize 171 requires higher temperatures than wheat to fully gelatinise the starch (1; 30). These results are 172 consistent with observations by other researchers (19; 20; 21). In order to establish the maximum 173 extractable alcohol yield potential from wheat and maize, the spent grains (after initial processing of 174 the cereal flours) were re-processed using various commercial enzyme preparations (Bioglucanase 175 ME 250, Bioprotease NL 100 and Promalt 4TR). Table 4 shows alcohol yields obtained from the spent grains when re-processed at temperatures of 142°C or 85°C. The results showed that maize spent 176 177 grains yielded more alcohol than wheat spent grain when they were processed at 142°C in the 178 presence of the enzyme mixture. In contrast, at the lower temperature wheat spent grains gave a

much higher alcohol yield (84 litres of alcohol /tonne), almost 5 orders of magnitude, compared with the maize spent grains. This observation is important because it shows that the additional substrate necessary to generate the increased alcohol yield from wheat and maize is present in the spent grains, although the maize spent grains contain much less available substrate than wheat at 85°C.

183 When the total alcohol yield from maize flour and spent grains processed at 142°C were combined, 184 the overall alcohol yield was much higher than that for the wheat flour and spent grains (Figure 1), 185 by about 24 litres of alcohol per tonne. This would suggest that the high temperature has released 186 the starch more efficiently from the maize kernel (endosperm) reflecting the generally higher starch 187 content of maize. It is well known that maize requires more vigorous processing conditions for 188 optimum performance in the distilling industry, while wheat needs less severe conditions to 189 efficiently extract and solubilise the starch. Again, as well as its starch having higher gelatinization 190 temperature compared with wheat, maize can be prone to developing resistant starch during 191 processing. In contrast, the gelatinization temperature of wheat starch is much lower (30; 1; 2).

192 The opposite trend was seen at the lower processing temperature (85°C), for wheat yielded about 193 80 litres of alcohol per tonne more than for maize (see Figure 1). The difference between the 194 relative contribution of the components of the wheat and maize spent grains (about 70 litres of 195 alcohol per tonne) accounted for a large proportion of the differential between the combined 196 alcohol yields of the flour and spent grains components. This shows that at the lower temperature of 197 85°C wheat has a bigger 'untapped' substrate in the spent grains (e.g. as cell wall materials), 198 compared with maize. In wheat these can be released at the lower temperature by the combination 199 of enzymes that were used. The lower temperature process would also be expected to produce 200 fewer Maillard reaction products, which are known to significantly reduce alcohol yield. Therefore, 201 there is additional potential for wheat to produce more alcohol if the processing conditions are 202 adjusted to take account of both flour and spent grains. This is an important observation because it 203 shows that during "normal" processing of wheat flour, materials residing in the spent grains were

204 limiting alcohol production. Such materials may also be responsible for higher residual viscosities 205 found when processing wheat compared with maize (1; 2; 31). When the spent grains were reprocessed using commercial enzyme preparations at 85°C, over 84 LA/t (dry basis) of extra alcohol 206 207 yield was obtained, which indicates that wheat spent grains have potential for bioconversion to 208 bioethanol. We additionally investigated the effects of using acidified process liquor and adding 209 enzymes at different stages. The results in Table 5 (for maize spent grains) show that the effect of 210 adjusting the process liquor to give a low acid concentration (0.005M H₂SO₄) gave higher alcohol 211 yields from spent grains when the enzymes were added at the downstream process (after cooking) 212 rather than upstream process (before cooking). The higher acid concentration ($0.05M H_2SO_4$) was 213 less effective in this regard

214 Particle size of milled cereal samples also influenced subsequent alcohol yields. The results discussed 215 above were obtained when the 'fine' Miag mill setting 2 (0.2mm) was used to give flours with small 216 particle sizes. However, in many industrial processes, grains are bruised or 'cracked' rather than 217 finely hammer milled. Bruised grains will produce very coarse grists, depending on the mill settings. 218 We evaluated alcohol yields from coarse (1.1mm Miag mill setting) versus finely milled (0.2mm) 219 wheat and maize and the results are shown in Table 6. Average alcohol yields obtained from coarse 220 wheat and maize grist were higher than those obtained for the fine flour when both cereal types 221 were processed at the higher temperature of 142°C. The higher alcohol yields from coarse-ground 222 wheat or maize did lead to lower residual alcohol recoverable from the respective spent grains. A 223 similar effect was also found when the cereals were processed at 85°C. Coarse milling is preferred in 224 industrial bioprocesses to avoid the problem of "balling" when the cereal is slurried prior to cooking 225 in the distillery. This phenomenon can reduce the hydration of the starch and prevent it from being 226 released properly. Whilst, under ideal conditions, fine milling would result in larger surface areas to 227 provide easier access to enzymes, in practice some potentially fermentable material would be lost 228 through Maillard reactions during the cooking process. In contrast, the smaller surface area provided 229 by coarse milling may limit loss of sugars and amino acids by Maillard reactions, and at the same

time release gelatinized starch for enzymolysis during the mashing process to improve yeastfermentation performance.

232 It was important to establish that alcohol obtained from spent grains of processed wheat and 233 maize was not produced from residual starch To confirm this, pasting properties of the spent grains 234 were analysed using a rapid visco-analyser (RVA) using $0.005M H_2SO_4$ which would release some 235 fermentable non-starch polysaccharides (31). The results obtained for maize spent grains are shown 236 in Figure 2 and Figure 3 for wheat spent grains. Both RVA profiles show that the peak and final 237 viscosities were effectively zero, indicating the absence of starchy materials after processing. These 238 results confirm that the additional alcohol yields obtained from the cereal spent grains emanated 239 from lignocellulosic-derived sugars following pretreatment using dilute acids and hydrolysis with 240 cellulolytic enzymes

241 Conclusions

242 This investigation has highlighted that understanding the physicochemical properties of different 243 cereals used in industrial processes is the key in optimising alcohol yields, both from starch in the 244 grains and lignocellulose in the spent grains A relatively low processing temperature (85°C) was 245 found to be sufficient to obtain a high alcohol yield from wheat (a temperate crop), while a higher 246 processing temperature was required to produce optimum yields from maize (a tropical crop). The 247 study further showed that cereal mill settings influenced alcohol yields, which is pertinent for both 248 potable spirits such as Scotch whisky as well as bioethanol. When the spent grains from these 249 cereals were processed using commercial enzymes at the higher and lower temperatures, there 250 were significant differences between wheat and maize. When the residual lignocellulosic material in 251 spent grains is taken into account, then wheat has the potential to a produce a higher alcohol yield 252 compared with maize. However, this necessitates wheat spent grains being treated under more vigorous processing conditions, including acid pretreatment and hydrolysis using commercial 253 254 enzymes. This is currently prohibited for Scotch whisky production but co-products such as spent

grains could be re-processed into neutral spirits or bioethanol that are not directed at the Scotch whisky market. The bioethanol industry is not faced with such restrictions and our findings may prove beneficial with regard to maximizing alcohol yields from whole cereals when correct mill settings, processing temperatures and enzymes are adequately combined.

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339	44.

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341 Table 1

343 Commercial enzyme preparations and dose rate used in this study

Commercial enzyme	Туре	Supplier	Dose rate
Termamyl 120L	Heat-stable α -amylase	Novozymes France S.A.	25 μL
Promalt 4TR	α-amylase	Kerry Bioscience Ltd	25 μL
Bioprotease N100L	Proteolytic enzyme	Kerry Bioscience Ltd	25 μL
Bioglucanase ME 250	Endo-beta glucanase	Kerry Bioscience Ltd	25 μL
Cellic Htech	Cellulase Complex	Novozymes Denmark	25 μL
Cellic Ctec	Endoxylanase	Novozymes Denmark.	25 μL

347 Table 2

Alcohol yield results of wheat cooked at 142°C - High Temperature (HT) (Miag mill setting 2)

Wheat (cv Viscount) /	Weight of sample	Alcohol yield (LA/t)	Average alcohol
Maize (unspecified variety)	flour	dry	yield (LA/t) dry
Wheat 1	29.9999	448.71	
Wheat 2	30.0000	449.39	
Wheat 3	29.9998	448.09	
Wheat 4	29.9999	445.03	
Wheat			447.81
Maize 1	30.0003	463.08	
Maize 2	29.9998	465.49	
Maize 3	30.0003	464.86	
Maize 4	29.9998	463.21	
Maize 5	29.9996	461.67	
Maize			463.66

353 Table 3

Alcohol yield results of wheat cooked at 85°C - Low Temperature (LT) (Miag mill setting 2)

Wheat (cv Viscount) / Maize (unspecified	Weight of sample flour	Alcohol yield (LA/t) dry	Average alcohol yield (LA/t) dry
variety)			
Wheat 1	29.9999	459.13	
Wheat 2	29.9996	454.32	
Wheat 3	30.0000	459.74	
Wheat			457.73
Maize 1	30.0000	443.03	
Maize 2	30.0003	441.06	
Maize 3	30.0003	441.43	
Maize 4	29.9999	441.06	
Maize			441.65

358 Table 6

Alcohol yield (LA/t) dry obtained from spent grains at different processing temperatures (142°C and 85°C)

	Alcohol yield (LA/t) dry		
	142°C	85°C	
Wheat spent grains	36.13	83.93	
Maize spent grains	43.60	17.60	

365 Table 7

- 367 Effect of adding 0.005M and 0.05M Sulphuric Acid (H₂SO₄) at different stages of stage of enzyme
- addition on the alcohol yield (LA/t) from maize spent grains. (Pre-cooking = upsteam; Post cooking
 =downstream)

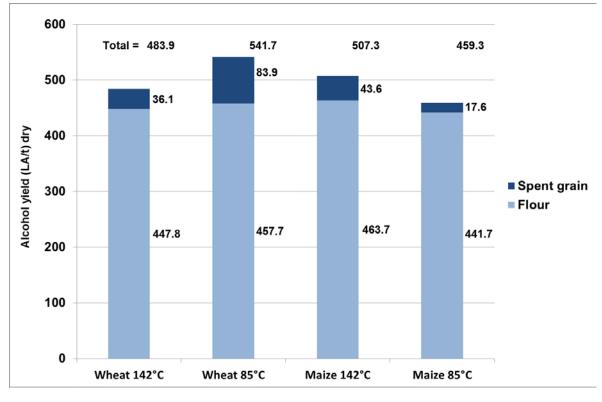
Stage of Enzyme Addition	Weight of spent	Acid concentration	Alcohol yield (LA/t)	
	grain sample (g)		dry	
Upstream	29.9999	0.005M H ₂ SO ₄	8.33	
Downstream	30.0000	0.005M H ₂ SO ₄	23.53	
Upstream and downstream	30.0002	0.005M H ₂ SO ₄	18.53	
Upstream	30.0000	0.05M H ₂ SO ₄	0.00	
Downstream	30.0003	0.05M H ₂ SO ₄	1.53	

373 Table 8

375 Alcohol yield (LA/t) dry obtained from wheat and maize flour and spent grains at different cook

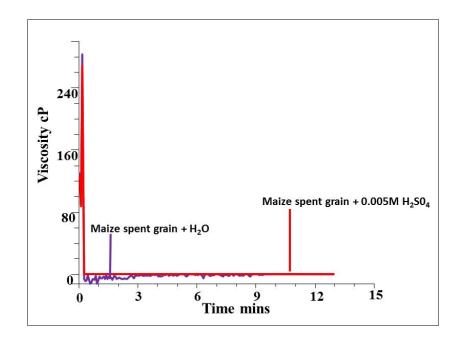
temperatures and a coarse mill setting (Miag setting 1.1 mm)

	Alcohol yield (LA/tonne) dry			Alcohol yield (LA/tonne) dry		
	142°C cooking temperature			85°C cooking temperature		
Sample Fraction	Flour	Spent grain	Total	Flour	Spent grain	Total
Wheat	460.11	15.13	475.24	448.98	11.67	460.65
Maize	474.05	16.27	490.32	429.73	8.20	437.93



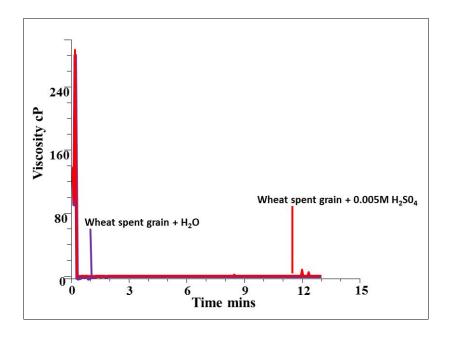
382 Figure 1

Combined spirit yields obtained from wheat and maize flours and respective spent grains (Miag mill
 setting 0.2mm) processed at 142°C and 85°C



389 Figure 2

391 RVA pasting profile of maize spent grain using water or 0.005M H₂SO₄ as process liquor



395 Figure 3

RVA pasting profile of wheat spent grain using water or 0.005M $\rm H_2SO_4$ as process liquor 398