

1 **Use of mode shape ratios for pier scour monitoring in two-span integral bridges under**
2 **changing environmental conditions**

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23 **Abstract**

24 In this paper, a novel pier scour indicator is introduced, which uses the ratio between mode
25 shape amplitudes identified at two points on an integral bridge structure to monitor the
26 progression of scour erosion. The Mode Shape Ratio (MSR) is investigated as an additional
27 parameter to complement the use of changes in natural frequency as a scour indicator. The
28 approach is demonstrated using numerical modelling and the MSR is extracted from
29 acceleration signals arising in the structure due to modelled ambient and vehicle-induced
30 vibrations. The MSR shows higher sensitivity to scour erosion than the more commonly
31 researched natural frequency. Furthermore, the variation in MSR under temperature
32 fluctuations is inversely related to that of frequency, in that it increases with increasing
33 temperature whereas frequency decreases with increasing temperature. This inverse
34 relationship potentially enables the separation of the scour effect from the temperature
35 influence on the dynamics of the system.

36

37 **Keywords:** scour; temperature; bridge; mode shape, Frequency Domain Decomposition;
38 SHM.

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40

41 **1. Introduction**

42 The erosive action of water removing soil from around bridge foundations is termed scour
43 (Hamill 1999) and poses a serious problem for many bridge structures. It is the primary cause
44 of bridge failure worldwide (Shirole and Holt 1991, Melville and Coleman 2000, Briaud et al.
45 2001, Wardhana and Hadipriono 2003) and constitutes a significant cost burden for
46 infrastructure managers between inspections, repairs and preventative measures (Prendergast
47 and Gavin 2014). Following recent failures (Maddison 2012), scour monitoring is receiving
48 increasing interest among asset owners. Traditionally, diving inspections were adopted (Avent
49 and Alawady 2005); however these tend to be subjective, labor-intensive and dangerous to
50 undertake during flooding, when the likelihood of scour occurrence is highest. Moreover, as
51 scour holes tend to refill with sediment upon subsidence of floodwaters, this can pose
52 challenges for the success of visual-based assessment procedures. Remote monitoring systems
53 are under increasing development, and often require the installation or operation of a device
54 close to a foundation element to ascertain the time-varying scour condition (De Falco and Mele
55 2002, Hunt 2009, Yu 2009, Briaud et al. 2011, Zarafshan et al. 2012, Fisher et al. 2013,
56 Prendergast and Gavin 2014, Kong et al. 2017). These systems, while broadly effective at
57 detecting scour depths with varying accuracy, often miss the critical effect that scour has on
58 the structural stability and safety. In effect, these systems typically cannot ascertain the distress
59 experienced by a structure due to the presence of a scour hole.

60

61 Recognizing that scour changes the static and dynamic behavior of bridges has given rise to
62 the area of vibration-based bridge scour monitoring (Briaud et al. 2011, Foti and Sabia 2011,
63 Prendergast et al. 2013, 2016a, Elsaid and Seracino 2014, Chen et al. 2014, Klinga and Alipour
64 2015, Fitzgerald et al. 2019b). Dynamically monitoring structures can provide an inference of

65 the system stiffnesses and this can indicate the presence (and sometimes extent) of scour. To
66 date, a significant majority of studies have focused on the effect of scour on the natural
67 frequencies of structures (Briaud et al. 2011, Chen et al. 2014, Klinga and Alipour 2015,
68 Prendergast et al. 2016a, 2017, 2018, Bao et al. 2017). Some studies have investigated other
69 dynamic parameters such as the ratio of root-mean-square accelerations in various directions
70 (Briaud et al. 2011), the variance of accelerations along a foundation to detect asymmetric
71 behavior (Foti and Sabia 2011), mode-shape curvature and flexibility-based deflections (Elsaid
72 and Seracino 2014, Xiong et al. 2018), among other methods. One issue that is often neglected
73 in previous studies is the influence of temperature on the performance of vibration-based scour
74 monitoring approaches. Temperature fluctuations can alter the material properties, which
75 affects the dynamic characteristics. Specifically, a change in bridge temperature alters the
76 natural frequency (Sohn et al. 2004), and since most scour detection methods rely on an
77 analysis of frequency changes, this can pose problems. This effect has been studied in other
78 (non-scour related) damage-detection fields (Limongelli 2010). For example, Farrar *et al.*
79 (1994) investigated the change in measured frequency of the I-40 bridge in New Mexico, USA,
80 when one of the girders was gradually cut (to represent a propagating crack). Theoretically, the
81 bridge frequency should decrease with increasing progression of the cut, since the cut reduces
82 the stiffness of the beam. In reality, the bridge frequency was observed to increase for the first
83 two damage levels. It was subsequently discovered that the ambient temperature of the bridge
84 during the experiment governed the response characteristics, and over-shadowed the changes
85 due to the damage.

86 In this paper, the use of a novel scour-sensitive indicator is investigated, namely the Mode
87 Shape Ratio (MSR), as an additional variable to aid in circumventing temperature influences.
88 The MSR is obtained as the ratio of mode shape amplitudes identified from acceleration signals

89 measured at two points on an integral bridge-type structure. Knowledge of bridge mode shapes
90 can be valuable in dynamic investigations (Malekjafarian and OBrien 2014, 2017) and can be
91 used for damage detection (Chang and Kim 2016, OBrien and Malekjafarian 2016). This study
92 develops on that presented in Prendergast et al. (2016a), which investigated if scour around the
93 central pier of a two-span integral bridge could be detected by analyzing changes in the
94 structure's first natural frequency when excited by a vehicle. This paper uses MSR, obtained
95 from Frequency Domain Decomposition (FDD) analysis (Brincker et al. 2001) on generated
96 acceleration data, to infer the presence of scour in a typical integral bridge - see Fig. 1. Since
97 the same information is used as that required to determine the frequency (i.e. acceleration
98 signals), no further instrumentation requirements arise from this method. MSR is potentially a
99 more scour-sensitive parameter than natural frequency alone, and when combined with
100 frequency, may be capable of assisting in the separation of temperature-induced effects from
101 the effects of scour. Section 2 presents the numerical modelling undertaken to test the approach.
102 Section 3 introduces the MSR concept. Section 4 presents an analysis of the effect of scour on
103 frequency and MSR measurements. Section 5 investigates the influence of a changing
104 temperature environment on the resulting frequency and MSR values. Finally, section 6
105 discusses the applicability of the approach to real structures.

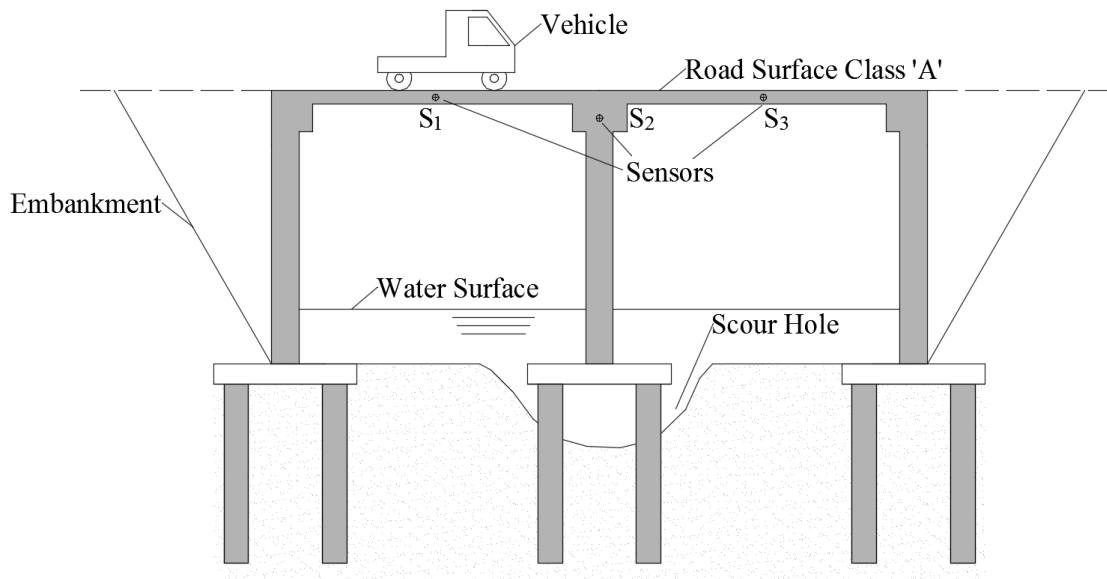


Fig. 1. System schematic with sensor layout, S1 – vertical mid-span acceleration, S2 – horizontal pier top acceleration and S3 – vertical mid-span acceleration

2. Numerical Modelling

The approach is investigated in this paper using numerical modelling, as it is impractical to perform large-scale bridge scour tests on real bridges. The model used in the present study is presented in detail in Prendergast et al. (2016b); however for clarity relevant details of the model are reproduced herein. The model consists of a two-span integral bridge founded on piles, which is loaded by both vehicular and ambient (environmental) loading. The vehicle model incorporates vehicle-bridge interaction effects, to make the responses as realistic as possible for the purpose of testing the MSR approach. The various modelling components are programmed in the MATLAB programming environment using a matrix formulation for the elements. The following sub-sections briefly present the bridge model (section 2.1), the soil-foundation model (section 2.2), scour modelling (section 2.3), and the loading (ambient and vehicle, section 2.4).

123 **2.1 Integral bridge model**

124 A two-span integral bridge model with flexible-support abutments, as presented in (Prendergast
 125 et al. 2016b, 2017), is chosen to test the MSR technique. The reason for choosing an integral
 126 bridge is deliberate as (i) the presence of moment connections between the supports and the
 127 deck enables a mode shape ratio approach, as postulated in this paper, to be applied for scour
 128 monitoring and (ii) integral construction is becoming more prevalent due to construction ease
 129 and the lack of necessity for thermal expansion joints (O'Brien et al. 2015, Prendergast et al.
 130 2016b). The properties of the two-span integral bridge model adopted in this paper are
 131 presented in Table 1 (see Prendergast et al. (2016b) for detailed explanation of modelling
 132 components).

133

134

Table 1. Integral bridge properties

Element	Property	Value
Bridge Deck Elements	EI (kN m ²)	0.1032×10^9
	ρA (kg m ⁻¹)	22.84×10^3
	Span length (m)	25
	Number of spans	2
LHS Abutment Elements	EI (kN m ²)	0.8694×10^6
	ρA (kg m ⁻¹)	4.241×10^3
	Abutment column length (m)	6
	Number of columns	9
Pier Elements	EI (kN m ²)	39 806 550
	ρA (kg m ⁻¹)	17 325
	Pier length (m)	6
	Number of piers (leaves)	2
RHS Abutment Elements	EI (kN m ²)	1.0626×10^6
	ρA (kg m ⁻¹)	4.241×10^3
	Abutment column length (m)	6
	Number of columns	9
Abutment Pile Elements	EI (kN m ²)	2.2266×10^6
	ρA (kg m ⁻¹)	6.7858×10^3
	Pile length (m)	15
	Number of piles	10
Pier Pile Elements	EI (kN m ²)	4.3488×10^6

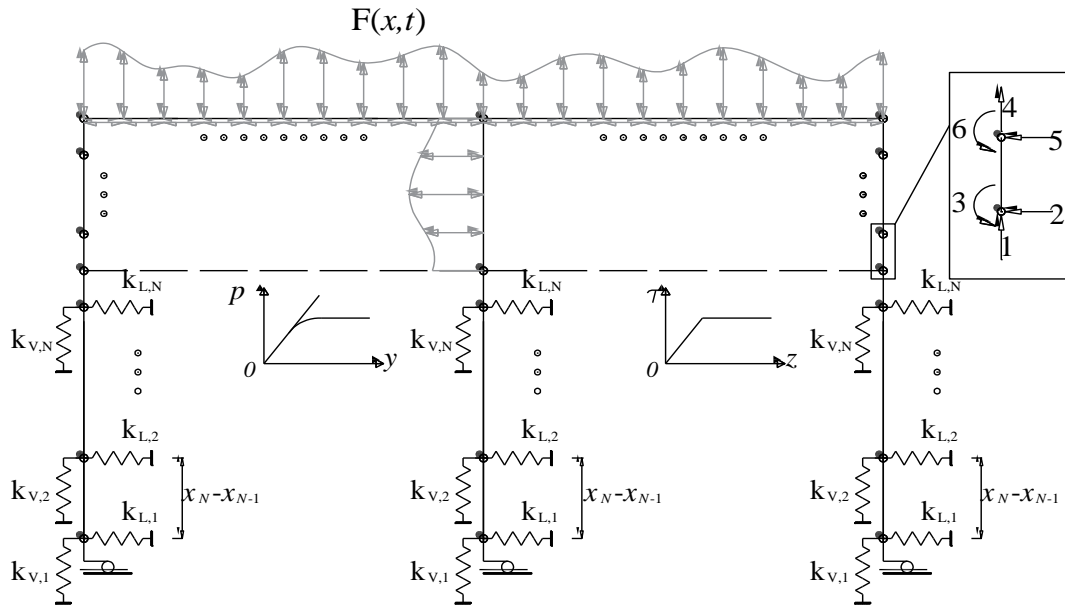
ρA (kg m ⁻¹)	8.4823×10 ³
Pile length (m)	15
Number of piles (per pier)	4

135

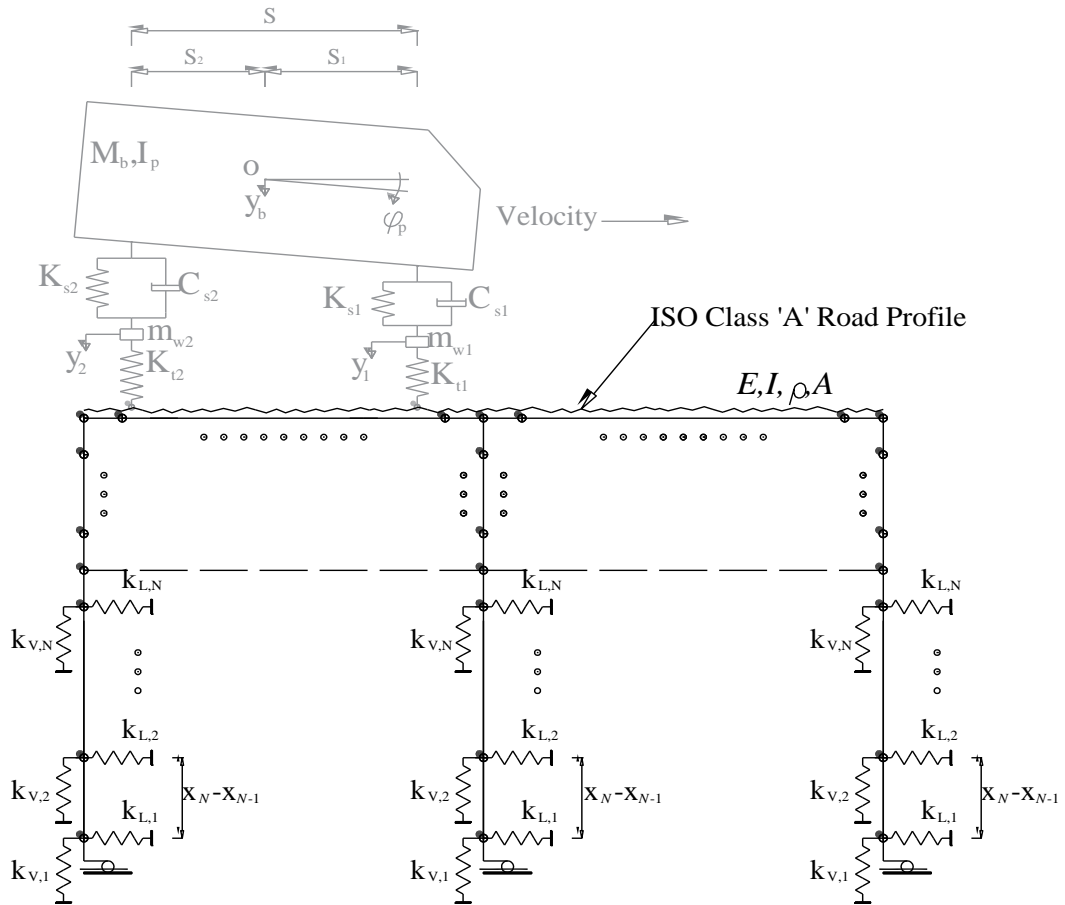
136 The bridge is modelled as a 2D frame enabling longitudinal and vertical motion be considered,
 137 with the limitation that torsional and ‘into the page’ modes are excluded. This simplification is
 138 adopted for ease of programming the vehicle-bridge interaction (described in section 2.4), and
 139 because the relevant modes of interest are considered within a 2D frame-type system. The
 140 model is programmed in MATLAB using 6-degree-of-freedom (DOF) Euler-Bernoulli frame
 141 elements for the deck, abutments, pier and piles (Kwon and Bang 2000) and 2-DOF axial
 142 (spring) elements to model the lateral and vertical soil impedances. The various local mass and
 143 stiffness elements are assembled into global ($n \times n$) mass, \mathbf{M} and stiffness, \mathbf{K} matrices with a
 144 total of $n = 861$ DOFs (unscoured bridge). Damping is incorporated into the model using a
 145 Rayleigh approach (Clough and Penzien 1993, Yang et al. 2004), where the global damping
 146 matrix, \mathbf{C} is assumed to be a linear combination of the \mathbf{M} and \mathbf{K} matrices. A damping ratio of
 147 2% is assumed (Prendergast et al. 2017). The dynamic response of the bridge model can be
 148 obtained by solving Eq. (1), using the Wilson-Theta integration scheme (Tedesco et al. 1999,
 149 Dukkipati 2009).

$$150 \quad \mathbf{M}\ddot{\mathbf{x}}(t) + \mathbf{C}\dot{\mathbf{x}}(t) + \mathbf{K}\mathbf{x}(t) = \mathbf{F}(t) \quad [1]$$

151 where $\mathbf{x}(t)$, $\dot{\mathbf{x}}(t)$ and $\ddot{\mathbf{x}}(t)$ denote the displacement, velocity and acceleration at each DOF for
 152 each time step and $\mathbf{F}(t)$ describes the external forces acting on each degree of freedom. In this
 153 paper, $\mathbf{F}(t)$ is populated using both ambient and vehicle-induced vibration – see Fig. 2. Details
 154 of the loading are presented in section 2.4.



(a)



(b)

155

156 Fig. 2. Numerical schematic of bridge, (a) Ambient loading model, (b) Vehicle loading model

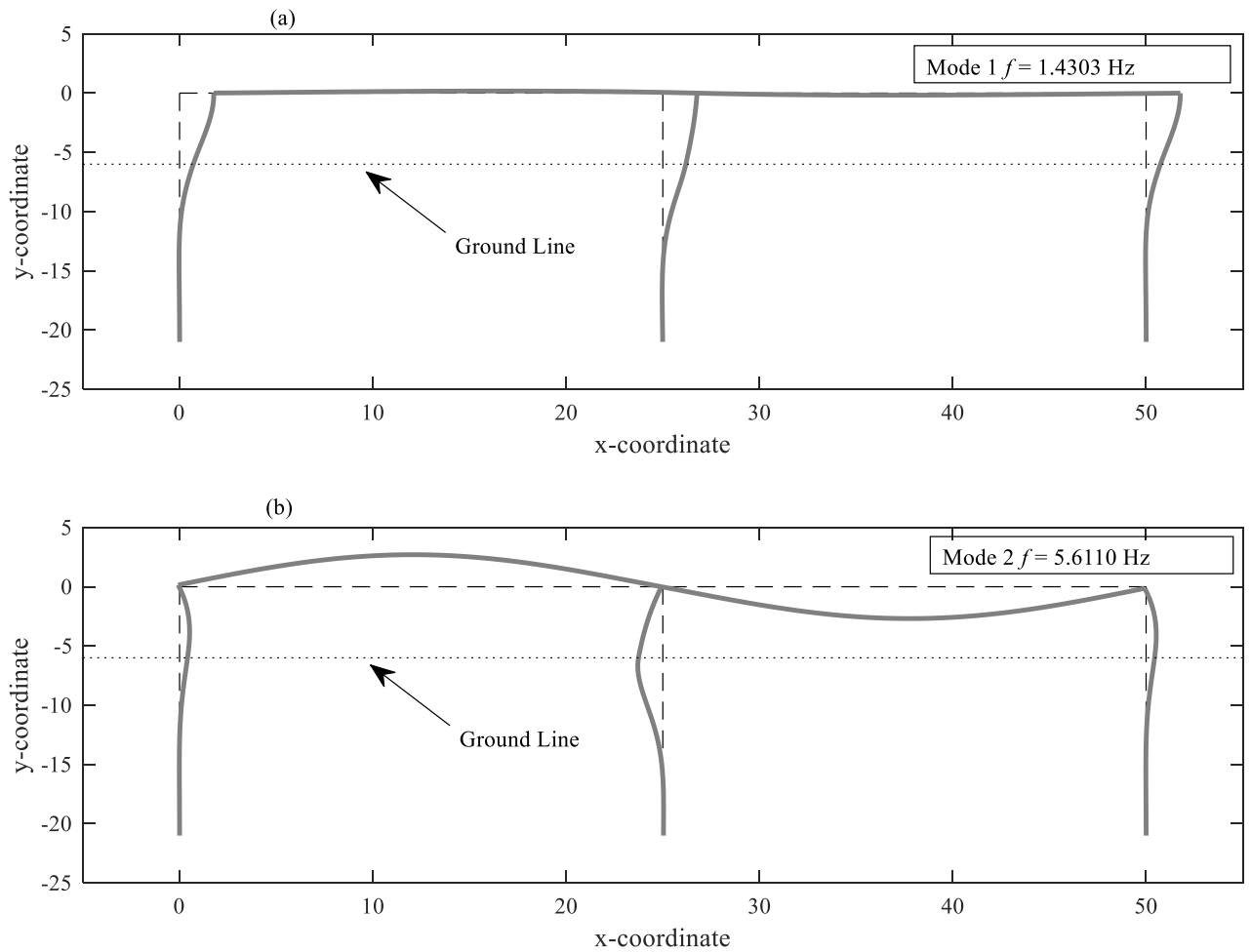
157 **2.2 Soil-foundation model**

158 The soil-structure interaction is modelled using Winkler springs (Winkler 1867, Dutta and Roy
159 2002), whereby the soil impedances are idealised as 2-DOF springs attached to the frame
160 elements for the piles – see Fig. 2. The stiffness of the soil is equivalent to a medium dense
161 sand, the properties of which are derived from the American Petroleum Institute design code
162 (API 2007). Both lateral load-displacement, p - y and vertical shear stress-displacement, τ - z
163 springs are modelled – see Fig. 2. Small-strain soil behavior is assumed in this paper, as it is
164 anticipated that the loading from environmental and vehicular sources will not induce large-
165 strain soil deformations. Therefore only the initial stiffnesses of the respective p - y and τ - z
166 curves are modelled, see Prendergast et al. (2017).

167 An Eigenvalue analysis is carried out using mass and stiffness matrices in the FE model of the
168 whole structure to obtain the bridge modal parameters (Clough and Penzien 1993). The bridge
169 first two natural frequencies are 1.43 Hz and 5.61 Hz. The first two mode shapes of the bridge
170 are shown in Fig. 3.

171

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173

174

Fig. 3. The first two mode shapes of the unscoured bridge.

175 **2.3 Scour modelling**

176 The scour process is modelled by iteratively releasing vertical and horizontal springs (in pairs)
 177 from around the central pier foundation, commencing with those nearest the top. This
 178 corresponds to an increase in scour depth equivalent to the Finite Element (FE) discretization
 179 length, $x_N - x_{N-1}$, taken as 0.5 m in the present study – see Fig. 2.

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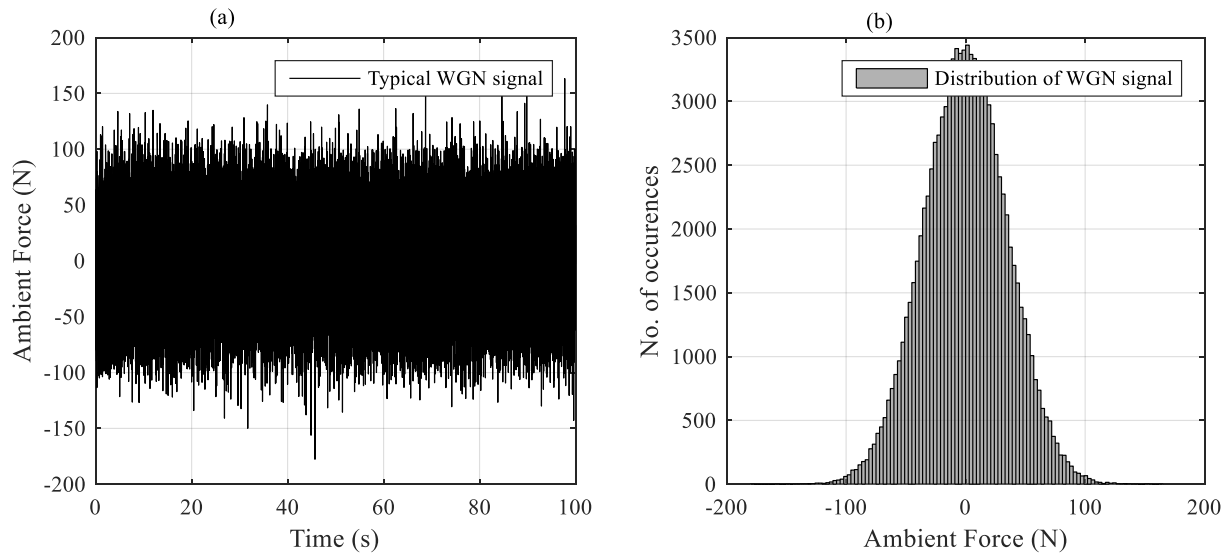
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183 **2.4 Load modelling**

184 Two types of loading are considered in this paper, ambient environmental loading and vehicle
185 induced loading. Both are described separately herein.

186 **2.4.1 Ambient loading**

187 Ambient loading is modelled as White Gaussian Noise (WGN) applied to the vertical and
188 horizontal DOFs of the bridge deck and the horizontal DOFs of the bridge pier. It is assumed
189 that no environmental loading is applied to the abutments as these are taken to be encased in
190 sleeves (Prendergast et al. 2017). A WGN signal is generated using an in-built function in
191 MATLAB and is subsequently scaled so that the majority of the loading occurs between ± 100
192 N. This is undertaken to model low-level ambient excitation to the structure. The premise is
193 that if the approach can work under an arbitrarily low external excitation, then it has a higher
194 chance of success under larger loading incurred during windy conditions. Fig. 2(a) shows a
195 schematic of the ambient loaded model. Fig. 4(a) shows an example of a typical WGN signal
196 and Fig. 4(b) shows the distribution of the generated white noise, applied to one of the model
197 DOFs.



198

199 Fig. 4 Typical WGN signal as applied in bridge model (a) Time-series of signal, (b) Histogram of
 200 signal shown in (a)

201 2.4.2 Vehicular loading

202 The vehicle model, see Fig. 2(b), is a two-axle truck that traverses the bridge deck. The model
 203 is the same as that presented in Prendergast et al. (2016a, 2017) and similar to that in Hester
 204 and González (2012) and González and Hester (2013). The reason for modelling a truck is to
 205 ascertain if sufficient excitation of the relevant modes can be obtained by a passing vehicle and
 206 to further investigate if the method postulated in this paper is adversely influenced by vehicle-
 207 induced effects in the response spectra. In relation to the types of vehicle-induced effects that
 208 can occur, elements of the forcing function may appear in the output signals. For example, the
 209 rate at which a vehicle crosses a bridge may create a frequency peak in the response output,
 210 and this can lead to issues with accurately tracking response features changing as a result of
 211 damage. Fig. 2(b) shows that the vehicle has four DOFs, namely a vertical body bounce (y_b), a
 212 body pitch (φ_p), and both front and rear axle hops (y_1 and y_2). The vehicle body is supported
 213 on a suspension/axle assembly with a mass m_b and a rotational inertia I_p . The axle assemblies
 214 have masses, m_{w1} and m_{w2} for the front and rear axles respectively. The stiffness coefficients of

215 the axle suspension systems are, K_{s1} and K_{s2} , respectively and they have damping coefficients,
 216 C_{s1} and C_{s2} , respectively. The front and rear tyres are modelled using linear springs with
 217 stiffnesses K_{t1} and K_{t2} respectively. The properties of the vehicle are outlined in Table 2.

218 Table 2. Parameters of vehicle model (Prendergast et al. 2017)

Parameter	Property	Value
Dimensions (m)	Wheel base (S)	5.5
	Dist from centre of mass to front axle (S_1)	3.66
	Dist from centre of mass to rear axle (S_2)	1.84
Mass (kg)	Front wheel/axle mass (m_{w1})	700
	Rear wheel/axle mass (m_{w2})	1,100
	Sprung body mass (m_b)	13,300
Inertia (kg m ²)	Pitch moment of inertia of truck (I_p)	41,008
Spring stiffness (kN m ⁻¹)	Front axle (K_{s1})	400
	Rear axle (K_{s2})	1000
Damping (kN s m ⁻¹)	Front axle (C_{s1})	10
	Rear axle (C_{s2})	10
Tyre stiffness (kN m ⁻¹)	Front axle (K_{t1})	1,750
	Rear axle (K_{t2})	3,500

219
 220 By imposing equilibrium of the various forces and moments acting on the vehicle masses, the
 221 equations of motion can be obtained. Expressing these in terms of the vehicle DOFs enables
 222 the formulation of the (4×4) vehicle mass matrix \mathbf{M}_v , damping matrix \mathbf{C}_v and stiffness matrix
 223 \mathbf{K}_v . The dynamic response of the vehicle as it traverses the bridge can be modelled as in Eq.
 224 (2).

$$\mathbf{M}_v \begin{Bmatrix} \ddot{\phi}_p(t) \\ \ddot{y}_b(t) \\ \ddot{y}_1(t) \\ \ddot{y}_2(t) \end{Bmatrix} + \mathbf{C}_v \begin{Bmatrix} \dot{\phi}_p(t) \\ \dot{y}_b(t) \\ \dot{y}_1(t) \\ \dot{y}_2(t) \end{Bmatrix} + \mathbf{K}_v \begin{Bmatrix} \phi_p(t) \\ y_b(t) \\ y_1(t) \\ y_2(t) \end{Bmatrix} = \mathbf{F}_v$$

225 [2]

226 where \mathbf{F}_v is the vector of forces acting on the vehicle degrees of freedom for each time step.
 227 The vehicle is programmed to commence motion over an approach distance of 100 m from the
 228 beginning of the bridge, to ensure that the initial vehicle conditions (pitch, displacements, etc.)
 229 as it enters the bridge are more realistic, and also to reduce the influence of initial transients in
 230 the signal resulting from the Wilson-Theta integration. As the vehicle traverses the bridge, it is
 231 excited by the presence of a road profile, which represents the roughness of the highway
 232 surface. In a vehicle-bridge interaction problem, the vehicle model and the bridge model
 233 interact dynamically through the contact forces between the deck and the vehicle wheels in a
 234 coupled and time-dependent manner (Yang et al. 2004). This means that the vehicle excites the
 235 bridge as it traverses, and the bridge vibration subsequently excites the vehicle. It is necessary
 236 that the contact forces between both sub-systems be the same to ensure compatibility. In this
 237 paper, an iterative approach to solve both dynamic sub-systems and maintain compatibility is
 238 adopted (Yang and Fonder 1996, Green and Cebon 1997). Solving Eq. (2) using the Wilson-
 239 Theta integration scheme enables calculation of the axle displacements y_1 and y_2 due to
 240 excitation from the road surface (including bridge displacements in the iterative solution). This
 241 enables calculation of the contact forces using Eq. (3) to be applied to the bridge. Application
 242 of the axle loads to the bridge is achieved by populating Eq. (1) using the Hermitian shape
 243 functions, which distribute the axles loads $F_1(t)$ and $F_2(t)$ as nodal forces and moments to the
 244 bridge deck elements.

$$245 \quad \begin{Bmatrix} F_1(t) \\ F_2(t) \end{Bmatrix} = \begin{bmatrix} K_{r1} & 0 \\ 0 & K_{r2} \end{bmatrix} \begin{Bmatrix} y_1(t) \\ y_2(t) \end{Bmatrix} \quad [3]$$

246 For the analysis in this paper, the vehicle traverses an ISO Class ‘A’ road surface topography,
247 which is generated according to Cebon (1999). This road surface is representative of a well-
248 maintained highway.

249

250 **3. Scour detection**

251 **3.1 Mode shape ratio (MSR) as a scour indicator**

252 The mode shapes of the bridge contain valuable information about the bridge dynamic
253 behavior. It can be seen in Fig. 3(a) that the first mode shape has high amplitudes in the
254 horizontal direction at the top of the pier, whereas the second mode (Fig. 3b) has no amplitude
255 at this location. For the purpose of detecting changes in modal behavior due to scour of the
256 central pier, meaningful information relating to the pier dynamics can be obtained from the
257 first mode of the bridge alone. This is because in flexible-abutment type two-span integral
258 bridges, the central pier is generally a large stiff element, which provides lateral stability to the
259 structure. Changing the boundary conditions of this element (due to scour at the foundation)
260 alters the dynamic response of the full system and manifests as a change in global modal
261 properties.

262

263 MSR is defined as the ratio of the modal amplitude at two points on the structure. The modal
264 amplitude of the first mode shape is $\Phi_1 = [\phi_{11} \ \phi_{12}]$, where ϕ_{11} and ϕ_{12} are the components
265 (amplitudes) of the first mode shape at two points on the structure. For the purpose of detecting
266 pier scour, the first point is taken as the (vertical) modal amplitude at the mid-span of the left-
267 hand side span, and the second point is taken as the (horizontal) modal amplitude at the top of
268 the pier (S_1 and S_2 in Fig. 1), and MSR is obtained as in Eq. (4).

$$MSR = \frac{\phi_{11}}{\phi_{12}} \quad [4]$$

270 The influence of scour erosion around the central pier on the MSR is investigated to determine
 271 its sensitivity to changes in foundation stiffness in integral-type bridge structures. Fig. 5
 272 provides a visualization of these mode shapes for zero and 5 m scour of the pier, as determined
 273 by solving of the Eigen-problem (Clough and Penzien 1993). Fig. 5(a) shows the first mode
 274 shape of the bridge for zero and 5m scour. Fig. 5(b) shows the zoomed in view at the top of the
 275 pier, which shows the differences in the modal amplitudes more clearly. As is evident by the
 276 differences in (absolute) modal displacements, it can be expected that the MSR for zero scour
 277 will be different to that for the 5 m scour case, due to the difference in magnitude of the deck
 278 mode component between the plots.

279 To demonstrate this, the MSR obtained by implementing scour in the model in steps of 0.5m
 280 at the central pier foundation, is shown in Table 3. Scour is modelled by successively removing
 281 springs from the central pier piled foundation corresponding to increases in scour depth.

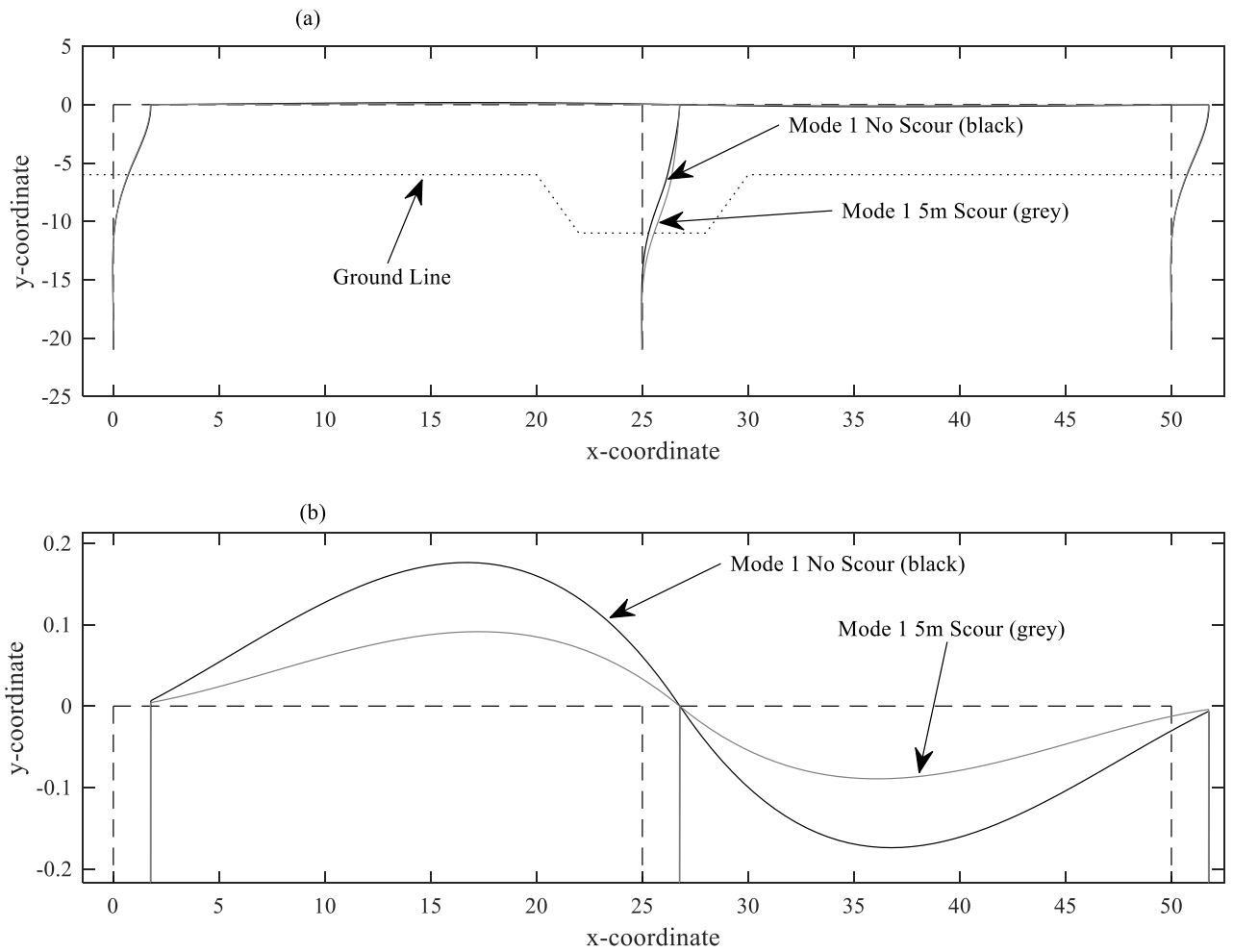
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283 Table 3. Change in MSR under pier scour from eigenvalue analysis on model

Scour (m)	MSR	% Change from zero scour
0	0.095	0
0.5	0.094	-1.1
1	0.091	-4.2
1.5	0.086	-9.5
2	0.082	-13.7
2.5	0.076	-20.0
3	0.071	-25.3
3.5	0.065	-31.6
4	0.059	-37.9
4.5	0.0537	-43.5
5	0.0484	-49.1

284

285



286

287 Fig. 5 The change of the first mode shape due to scour, (a) full view, (b) zoomed view at deck
 288 level.

289

290 3.2 Identification of bridge mode shapes in time-domain

291 The data in Table 3 and Fig. 5 is generated from an eigenvalue analysis in the numerical model
 292 to demonstrate the MSR approach. However, on a real bridge, mode shape information will
 293 have to be obtained using time-domain measurements from a sensor on the structure. In this
 294 paper, ambient and vehicular excitation are modelled and the MSR is derived from mode
 295 shapes obtained by analysis of the resulting dynamic signals, to remain physically in keeping

296 with the placement of accelerometers on a test structure. The method for obtaining the modal
 297 information is outlined herein.

298 Output-only modal identification aims at identifying the modal parameters (frequencies,
 299 damping ratios and mode shapes) of a structure using only the responses from the system where
 300 the excitation forces are unknown (Brincker and Ventura 2015). In this paper, Frequency
 301 Domain Decomposition (FDD) (Brincker et al. 2000) is employed to find the frequencies and
 302 mode shapes of the structure from time-domain data. It should be noted that other output-only
 303 modal identification procedures can also be used. Acceleration responses are calculated at three
 304 virtual node points ('sensors') by solving Eq. (1). Sensor S1 is the vertical acceleration
 305 measured at the mid-point of the left-hand span, sensor S2 is the lateral (horizontal)
 306 acceleration measured near the top of the pier (in the traffic direction) and sensor S3 is the
 307 vertical acceleration measured at the mid-point of the right-hand span – see Fig. 1. FDD begins
 308 by estimating the power spectral density matrix, $\hat{\mathbf{G}}_{yy}(j\omega)$ of the various responses at discrete
 309 frequencies, $\omega = \omega_i$. $\hat{\mathbf{G}}_{yy}(j\omega)$ is decomposed at each discrete frequency by applying Singular
 310 Value Decomposition (SVD) (Brincker et al. 2000) – see Eq. (5):

$$311 \quad \hat{\mathbf{G}}_{yy}(j\omega) = \mathbf{U}_i \mathbf{\Sigma}_i \mathbf{U}_i^H \quad [5]$$

312 where \mathbf{U}_i is the unitary matrix of singular vectors and $\mathbf{\Sigma}_i$ is a diagonal matrix holding the
 313 singular values. The superscript 'H' denotes the complex conjugate of the matrix and $j = \sqrt{-1}$.
 314 A SVD diagram is plotted using the singular values obtained at each discrete frequency.
 315 Dominant peaks of the SVD diagram are natural frequencies and the corresponding singular
 316 vectors are mode shapes.

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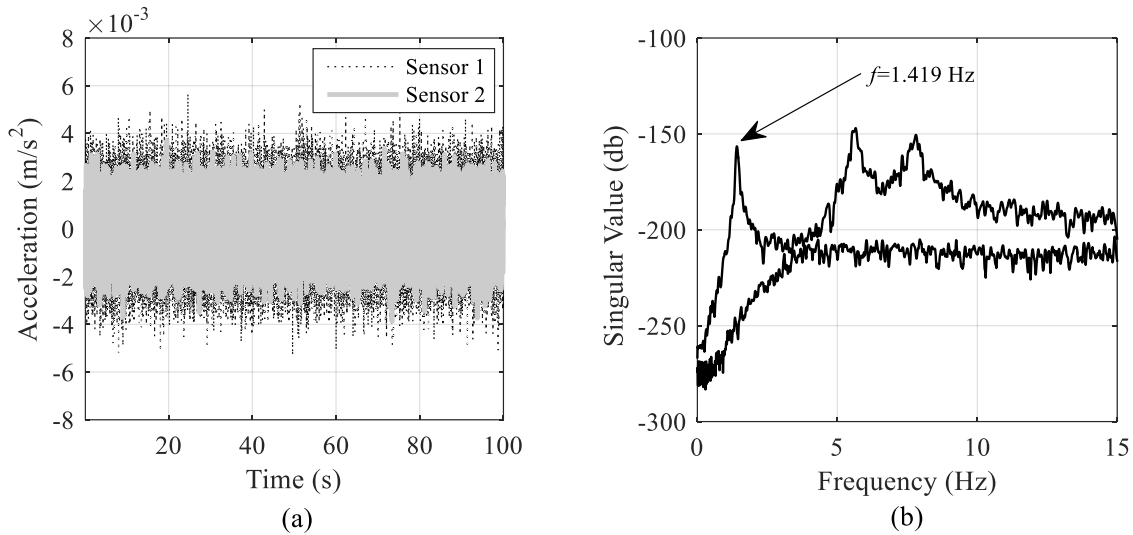
319 **4. Performance of frequency and MSR as scour indicators**

320 In this section, the performance of the MSR technique at monitoring pier scour is investigated
321 and benchmarked against the more established natural frequency approach. Both ambient
322 loading and vehicle-induced loading are studied to test the resilience of the MSR approach. As
323 real sensors will contain noise, artificial noise is also added to study its effect on the accuracy
324 of the method, as discussed herein.

325

326 **4.1 Results under ambient loading**

327 White Gaussian Noise is used to generate low-level forces with peak magnitude of the order of
328 ± 100 N to simulate environmental excitation, and this is applied to the horizontal and vertical
329 DOFs of the bridge deck and the horizontal DOFs of the pier. It is assumed that the flexible
330 abutment columns are not subjected to this ambient loading due to being encased in sleeves
331 (see Prendergast et al. (2017) for modelling information). Acceleration responses due to this
332 excitation are calculated at S1 and S2 by solving Eq. (1) – see Fig. 6(a). FDD is applied to these
333 output acceleration responses and the SVD diagram is plotted in Fig. 6(b). A peak at 1.419 Hz
334 is detected corresponding to the first mode of the bridge (see Fig. 3(a) for a view of the first
335 mode of the bridge – global sway). The MSR is obtained from the singular vector
336 corresponding to this frequency.



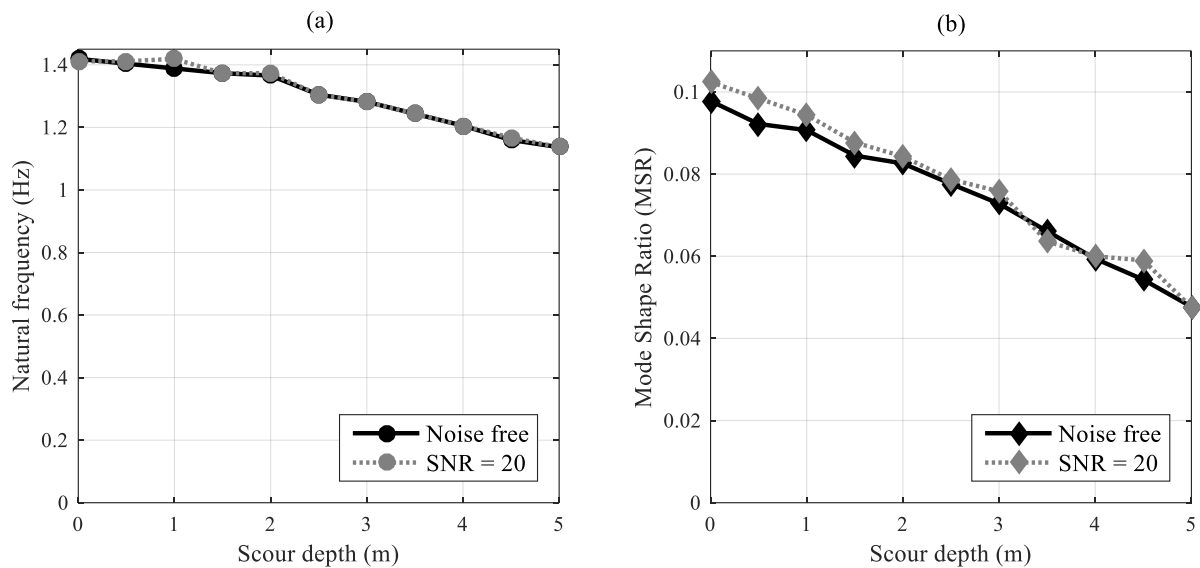
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338 Fig. 6 Responses to ambient loading, (a) Acceleration responses at S1 and S2, (b) SVD
 339 diagram obtained from these accelerations.

340

341 From Table 3, it is expected that 5m central pier scour will lead to approximately 50% reduction
 342 in MSR value (as derived from the Eigenvalue analysis). In this section, the ability for the MSR
 343 to be reliably extracted for the same scour conditions when the bridge is subjected to ambient
 344 loading data is investigated. A series of scour depths affecting the central pier foundation are
 345 modelled, from 0 m to 5 m in increments of 0.5 m. The natural frequencies and MSR for each
 346 case are estimated using FDD applied to the accelerations generated at the sensor nodes. Both
 347 noise-free signals and contaminated signals are examined. The contaminated signals contain a
 348 Signal-to-Noise Ratio (SNR) of 20, equating to 5% noise interference. This noise is added to
 349 the unpolluted signals generated in the numerical model using a procedure described in Lyons
 350 (2011) and Prendergast et al. (2016b). The estimated frequencies of the bridge plotted against
 351 scour depth are shown in Fig. 7(a). The noise-free natural frequency reduces from 1.419 Hz for
 352 zero scour to 1.137 Hz as the scour depth increases to 5m at the pier. The MSR estimated from
 353 FDD for these scour depths are shown in Fig. 7(b). It can be seen that the noise-free MSR

354 reduces from 0.0978 for the healthy bridge to 0.0477 when there is 5m scour. The noisy signals
 355 follow the same trend for both cases.



356

357 Fig. 7 Modal parameters of the bridge in presence of scour from ambient vibrations, (a)
 358 Natural frequency, (b) MSR

359

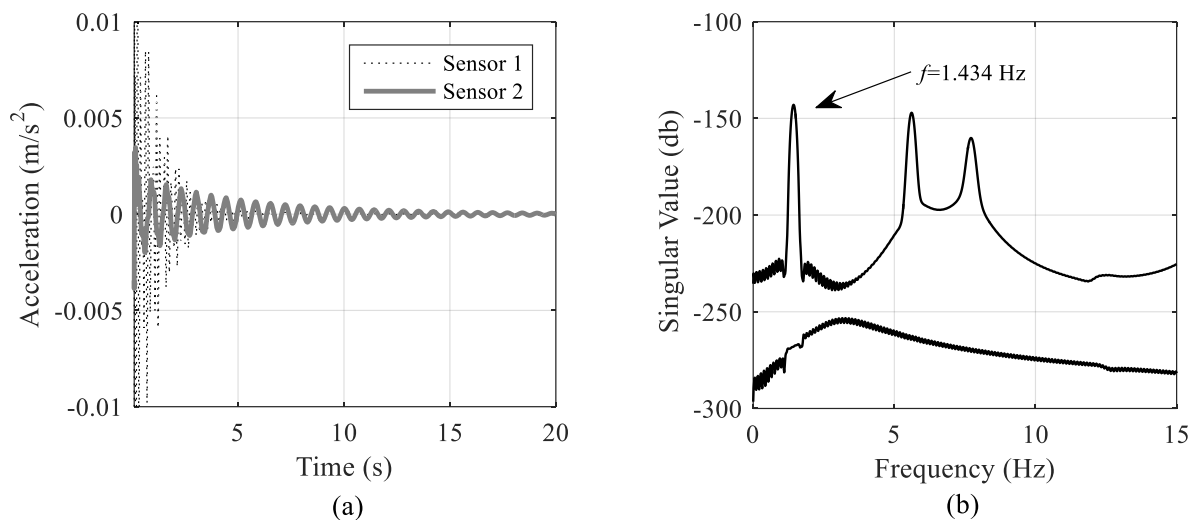
360 The results in Fig. 7 highlight the sensitivity of both the natural frequencies and the MSR to
 361 progressive scour at the central pier. It can be seen that while the natural frequency reduces by
 362 approximately 20% from the healthy (unscoured) case to the 5 m deep scour case, the MSR
 363 decreases by more than 50% for the same level of scour. This suggests that the MSR may be a
 364 more scour-sensitive damage indicator than the commonly adopted natural frequency, for the
 365 case considered here.

366

367 4.2 Results under vehicle loading

368 Although ambient excitation can be obtained from sources such as wind, these sources may not
 369 provide sufficient excitation and hence, resolution accuracy. Vehicle-induced vibration is

370 another source of operational excitation on road/rail bridges (Farrar et al. 1999), though this
 371 type of excitation can induce vehicle and loading related frequencies into the response spectra.
 372 A two-axle vehicle (truck model) is simulated in this paper to pass over a Class ‘A’ road surface
 373 on the bridge at a speed of 30 km/h (Fig. 2b) to create the required input excitation (see Table
 374 2 for vehicle properties). The acceleration responses of the bridge are calculated at sensor
 375 locations S1 (vertical) and S2 (horizontal), see section 2.4.2 for details on modelling. The free
 376 vibration part of the responses when the vehicle leaves the bridge are considered in the analysis
 377 as shown in Fig. 8(a), to reduce the influence of the vehicle forcing frequencies – see
 378 Prendergast et al. (2017) for a study on the type of vehicle-related interference that can occur.
 379 Note, while only 20 seconds worth of signal are shown in Fig. 8(a) for clarity, a signal length
 380 of 40 seconds is actually analyzed. A similar procedure as described previously is applied to
 381 the acceleration responses to obtain the frequencies and MSR at each scour depth. Fig. 8(b)
 382 shows the SVD diagram obtained from FDD. There is a peak detected at 1.434 Hz
 383 corresponding to the first mode of the bridge (global sway – see Fig. 3(a)).

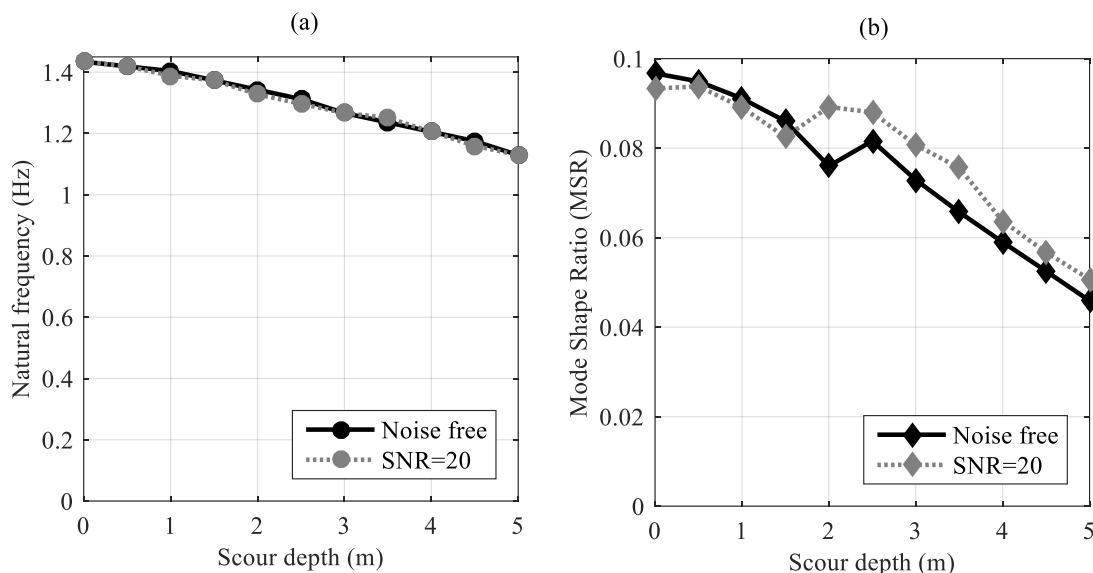


384

385 Fig. 8 (a) Free vibrations at S1 and S2 after vehicle passes at 30km/h, (b) SVD diagram

386

387 Scour depths ranging from 0 to 5 m at the pier are considered, in increments of 0.5 m as
 388 undertaken previously. The natural frequencies and MSRs estimated from FDD are shown in
 389 Figs. 9(a) and (b), respectively, for both noise-free and contaminated signals (SNR=20). The
 390 noise addition process is the same as undertaken previously (Lyons 2011). The percentage
 391 change in natural frequency in Fig. 9(a) follows a similar trend as that obtained for ambient
 392 vibrations, showing approximately 20% change over 5 m scour. The overall change in MSR is
 393 also similar to the previous (ambient) case, but the plot is not as smooth, which may be a
 394 function of the shorter time signals used for the vehicle-loaded case (40 seconds) versus the
 395 ambient case (100 seconds). Also, it has been shown in previous studies that the quality of the
 396 acceleration signals measured on a bridge can be affected by interaction effects between the
 397 vehicle and the bridge such as the variation of vehicle velocity relative to the natural period of
 398 the bridge (Prendergast et al. 2016b). These interaction effects can magnify and diminish the
 399 response amplitude in subsequent free vibration by influencing the initial displacement,
 400 velocity and acceleration conditions at the beginning of the free vibration.



401

402 Fig. 9 Modal parameters of the bridge in the presence of scour from vehicle-induced
 403 vibration, (a) Natural frequency, (b) MSR

404

405 Due to the potential for vehicle-bridge interaction related pollution of the response data, all
 406 subsequent analyses are conducted under ambient vibration conditions only.

407 **4.3. Effect of bridge deck dimensions on MSR technique**

408 It is shown in previous sections that the first mode shape of the two-span integral bridge
 409 investigated in this paper is sensitive to scour at the pier in that the modal amplitude of the deck
 410 changes significantly under scour. To ensure that this is not simply due to its particular
 411 geometry, a brief investigation is conducted herein. The sensitivity of the MSR to the bridge
 412 span length is studied by developing two further bridge models, the first with two spans of
 413 lengths 20m and the second with two spans of length 30m. The procedure to develop these
 414 models is the same as that applied to create the 2 × 25m span model used throughout this paper,
 415 and relevant design considerations have been adhered to in the development (Concast 2014).
 416 The first global mode shape of each model is identified using an Eigenvalue analysis on the
 417 respective mass and stiffness matrices. The MSR values for the healthy and 5m scour cases for
 418 each of the three bridge models are given in Table 4.

419 Table 4. Change in MSR values for three 2-span bridge geometries under 5m pier scour

Span length (m)	20	25	30
	MSR		
No scour	0.063	0.096	0.137
5 m scour	0.032	0.048	0.07
% Change	-49.2	-50	-48.9

420

421 Table 4 shows that the value of MSR decreases when the span length increases for a given
422 scour condition. In all cases, however, there is approximately 50% reduction in the MSR when
423 there is 5m scour at the pier relative to the no scour values. This brief analysis suggests that the
424 proposed MSR method can be successfully employed for two-span integral bridges of various
425 (typical) span lengths.

426 An expansion of the approach to three-span integral bridges did not provide satisfactory results.
427 Three-span bridges have two central piers, and the asymmetry of scour affecting one of the two
428 central piers leads to inconsistent changes in MSR. Furthermore, for symmetrical three-span
429 bridges, the central span exhibits very low modal amplitude at its mid-point meaning that
430 deriving MSR using this point is subject to significant errors.

431

432 **5. Combined frequency and MSR measurements under changing** 433 **temperature conditions**

434

435 Temperature changes in the environment occur naturally on both a diurnal and annual basis,
436 leading to changes in ambient air temperature and therefore, the operational temperature of
437 structures. In this section, the effect of a change in temperature on the performance of the scour
438 monitoring approach is investigated.

439 Changes in environmental temperature can affect the material properties of structures, which
440 can subsequently alter dynamic properties (Sohn 2007). The frequency of vibration of a
441 structure can be significantly affected by temperature changes, which may pose issues for
442 frequency-based SHM regimes. There is significant uncertainty surrounding this topic. For
443 example, the correlation between the temperature of the air and the internal temperature of a
444 bridge element can be uncertain, and is affected by whether the air temperature is constant,
445 rising, or falling. Applying a correction factor for temperature is, therefore, not so trivial, and

446 moreover there is little guidance given in the literature on the magnitude of the influence of
 447 temperature on material stiffness. In fact, what literature does exist gives widely varied results,
 448 which can differ by more than 100% (Žnidarič et al. 2013). In this section, a simple model is
 449 adopted to alter the material properties of a bridge with a view to testing the combined MSR
 450 and frequency approach. The method of accounting for temperature is to alter the elastic
 451 modulus of concrete at various components of the bridge (Limongelli 2010). This is undertaken
 452 using Eq. (6) (Žnidarič et al. 2013).

$$453 \quad E_k = E_0(1 + \beta\Delta T) \quad [6]$$

454 where E_0 is the elastic modulus at reference temperature T_0 (unaltered situation), E_k is the elastic
 455 modulus at temperature T_k (altered situation), β is the thermal hardening coefficient ($^{\circ}\text{C}^{-1}$),
 456 taken as -0.0118 (Kassir et al. 1996) and ΔT is the change in temperature ($T_k - T_0$). The reference
 457 temperature is taken as $T_0=10^{\circ}\text{C}$. Maximum and minimum air temperatures of 28°C and 16°C
 458 respectively are arbitrarily assumed, with effective concrete temperature varying from air
 459 temperature according to the Eurocode (CEN 2003). Note, the calculation for converting air
 460 temperature to effective concrete temperature may only be valid for extreme cases, but is
 461 adopted herein for the sensitivity study. An effective deck and pier temperature of 26.5°C is
 462 assumed (average effective concrete temperature). The abutments are assumed to be at a
 463 temperature between soil temperature (assumed as 12°C) and effective concrete temperature,
 464 giving just over 19°C . The piles are assumed not to change temperature from T_0 . The results of
 465 applying Eq. (6) to the various bridge components yields the modified elastic moduli as
 466 outlined in Table 5.

467

468 Table 5. Modified elastic modulus at various points of bridge model due to temperature

Element	Material	E_0	β	T_0	T_k	ΔT	E_k	Change
		(N m ⁻²)		(°C)	(°C)	(°C)	(N m ⁻²)	%
Deck	Concrete	35×10 ⁹	-0.0118	10	26.5	16.5	28.2×10 ⁹	-19.47
Pier	Concrete	35×10 ⁹	-0.0118	10	26.5	16.5	28.2×10 ⁹	-19.47
Abutment	Concrete	35×10 ⁹	-0.0118	10	19.25	9.25	31.2×10 ⁹	-10.92

469

470 Eq. (6) yields a 19.5% reduction in the elastic modulus of the deck and pier, and an 11%
471 reduction in the elastic modulus of the abutments. Note that these changes may not be realistic
472 but are adopted to represent the case where the bridge is subjected to a temperature gradient
473 and the resulting effect on the extracted dynamic properties for scour detection is evaluated.

474

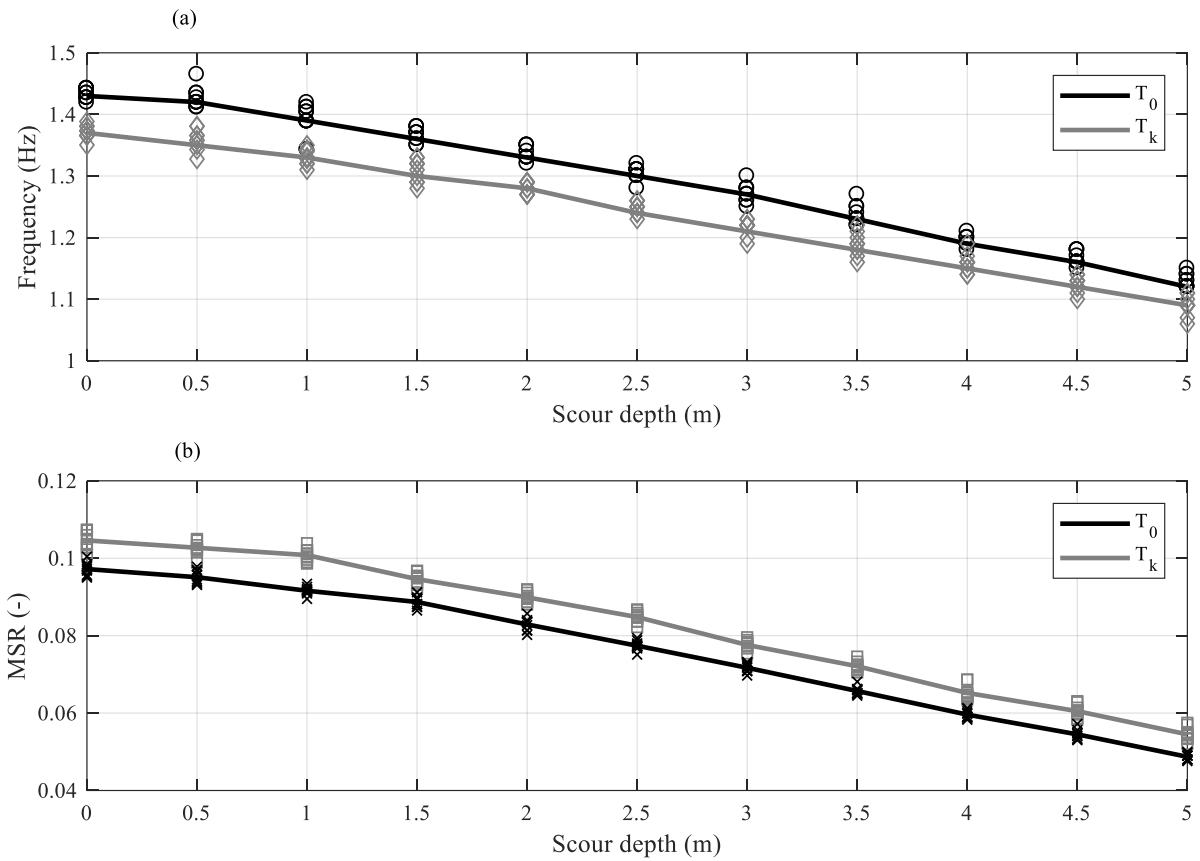
475 An analysis is conducted to quantify the relative effects of the arbitrary increase in temperature
476 described above on ‘measured’ frequency and MSR values for a range of scour conditions.
477 This is carried out under ambient loading only, as the vehicle loading introduces its own natural
478 errors, as shown previously. To check the effect of the change in these material parameters due
479 to temperature, 10 runs of the ambient load model are undertaken (with newly generated WGN
480 ambient loading in each run) for scour depths of the central pier ranging from 0 m to 5 m in
481 0.5 m increments. No noise is considered in this initial trial and the results are presented in Fig.
482 10. Fig. 10(a) shows the average frequency measured at each scour depth, as well as the
483 individual frequency results at each depth for each of the ten runs, shown as circles for the
484 original temperature and diamonds for the altered temperature cases. Fig. 10(b) shows the
485 average MSR measured at each depth for both temperature conditions, as well as the results for
486 individual runs shown as crosses for the unaltered temperature and squares for the altered

487 temperature. The variation in detected frequency and MSR for each run is due to the differences
 488 arising from the random nature of the WGN loading for each run. The degree of this variation
 489 is expressed in Table 6, which displays the coefficient of variation, COV (%) for the ten runs
 490 at each scour depth for both frequency and MSR, under both temperature conditions.

491 Table 6. Variability in frequency and MSR for different runs and temperature conditions – no noise

<i>T</i>	Scour Depth (m)	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	Max
<i>T</i>₀	Avg. <i>f</i> (Hz)	1.434	1.426	1.395	1.367	1.336	1.305	1.272	1.237	1.194	1.166	1.129	
	COV (%)	0.6	1.1	1.5	0.8	0.8	0.8	1.1	1.3	0.7	0.9	1.0	1.5
<i>T</i>_k	Avg. <i>f</i> (Hz)	1.372	1.358	1.331	1.309	1.280	1.248	1.217	1.189	1.158	1.124	1.090	
	COV (%)	0.8	1.2	0.9	1.4	0.7	0.8	1.0	1.5	1.3	1.1	1.4	1.5
<i>T</i>₀	Avg. MSR	0.097	0.095	0.092	0.089	0.083	0.077	0.072	0.066	0.060	0.055	0.049	
	COV (%)	1.7	1.7	1.1	1.6	1.9	1.5	1.6	1.6	1.8	2.3	1.6	2.3
<i>T</i>_k	Avg. MSR	0.105	0.103	0.101	0.095	0.090	0.085	0.078	0.072	0.065	0.061	0.055	
	COV (%)	2.1	1.8	1.6	1.6	1.5	1.7	1.6	1.7	2.9	2.6	3.1	3.1

492



493

494 Fig. 10 Effect of temperature change on scoured parameters (a) average frequency with
 495 individual runs (circles and diamonds), (b) average MSR with individual runs (crosses and
 496 squares)

497

498 Observing Fig. 10 and Table 6, two particular points become evident. Firstly, the degree of
 499 variation in the measured MSR for the ten runs at each scour depth is greater than that of the
 500 detected frequency, with a maximum COV of 3.1% versus 1.5%. This variability suggests that
 501 MSR may be sensitive to the random variations in loading, as this is in effect the only difference
 502 between each analysis run at a given scour level. This was already evident from the somewhat
 503 untidy results from the vehicle-induced loading case (see Fig. 9), which had a moderately
 504 detrimental effect on the MSR approach. Secondly, the effect of a temperature increase is to
 505 *decrease* the measured average frequency, whereas the average MSR experiences an *increase*.
 506 The mean percentage change in average frequency between original and modified temperature

507 for all scour depths equated to -4.1% (with maximum and minimum changes of -4.8% and -
 508 3%), whereas the mean percentage change in average MSR between both temperatures and for
 509 all scour depths equated to +9.2% (with maximum and minimum changes of 11.9% and 6.7%).

510 The analysis in Fig. 10 and Table 6 was conducted in the absence of noise pollution of the
 511 signals. Table 7 shows the same results as Table 6 but for signals containing SNR=20. For
 512 these cases, the degree of variation increases somewhat. For example, the maximum COV in
 513 frequency measurements increases from 1.5% in the absence of noise to 2.3% with added noise.
 514 Similarly, the maximum COV in MSR measurements increases from 3.1% without noise to
 515 16.4% with added noise. The mean percentage change in average frequency between original
 516 and modified temperature including noise for all scour depths equated to -3.8% (with maximum
 517 and minimum changes of -4.6% and -2.9%), and the mean percentage change in average MSR
 518 between both temperatures (with noise) and for all scour depths equated to +11% (with
 519 maximum and minimum changes of 19.6% and 4.8%). These results indicate that although
 520 noise increases the variability in the results, the trend of frequency decreasing and MSR
 521 increasing under increased temperature remains.

522 Table 7. Variability in frequency and MSR for different runs and temperature conditions –
 523 with noise

<i>T</i>	Scour Depth (m)	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	Max
<i>T₀</i>	Avg. <i>f</i> (Hz)	1.441	1.418	1.398	1.373	1.347	1.308	1.273	1.234	1.202	1.167	1.135	
	COV (%)	1.4	0.7	1.2	0.7	0.8	0.8	1.3	1.2	1.0	0.6	0.8	1.4
<i>T_k</i>	Avg. <i>f</i> (Hz)	1.376	1.358	1.349	1.320	1.286	1.262	1.224	1.189	1.167	1.125	1.096	
	COV (%)	2.3	1.0	1.0	0.9	1.4	0.9	1.0	0.6	1.0	1.2	0.8	2.3
<i>T₀</i>	Avg. MSR	0.097	0.096	0.092	0.089	0.081	0.074	0.073	0.064	0.061	0.055	0.050	
	COV (%)	4.0	4.6	3.6	5.6	9.8	7.0	7.4	7.7	13.1	10.4	15.4	15.4
<i>T_k</i>	Avg. MSR	0.104	0.100	0.100	0.096	0.091	0.087	0.080	0.071	0.069	0.065	0.056	
	COV (%)	5.1	5.0	5.4	6.9	7.1	6.2	6.0	10.6	9.2	16.4	8.7	16.4

524

525 **6. Discussion on applicability to real structures**

526 In this section, some practical considerations related to applying the method to real structures
527 is discussed. From a practical standpoint, acceleration measurements can be continuously taken
528 over a period of time, and an average frequency and MSR can be established for a given
529 structure to reduce the variation caused by the random loading and noise. For example, a given
530 run of 1000 seconds can be divided into ten segments and the average values of the frequency
531 and MSR can be established. If average frequency is observed to *decrease* between subsequent
532 readings by a statistically significant amount, with a corresponding *decrease* in MSR, this may
533 indicate the presence of scour. If average frequency is seen to *decrease* with a corresponding
534 *increase* in MSR, this may indicate an increase in the structure's temperature. With relation to
535 how much statistical significance is required, a trigger could be programmed to occur if a
536 deviation exceeds the mean plus a portion of the standard deviation (Kullaa 2003, Fitzgerald
537 et al. 2019b). These conditions are summarised in Table 8. Using the combined measurements
538 in this manner may provide significantly more reliable information to an infrastructure
539 manager, and potentially makes possible the removal of environmental (temperature)
540 influences. Critically, this added benefit comes without a corresponding increase in sensor
541 requirements as all the required information can be obtained from the same sensor network.
542 The approach requires a minimum of two sensors and only output accelerations are used to
543 derive the various parameters. It should be noted that for the case where scour occurrence
544 coincides with an increase in temperature, there is potential for the scour effect to be masked
545 since scour occurrence and temperature increasing both lead to reductions in frequency, but
546 have opposite effects on MSR. Practically speaking this should not present as a major issue,
547 since it is anticipated that changes in temperature will typically occur over relatively long time
548 periods compared to scour, which tends to occur rapidly under flooded conditions.

549

Table 8. Matrix of likely causes of parameter changes

	Avg. MSR	Avg. Frequency	Likely cause
Change	decrease	decrease	scour
	increase	decrease	temperature increase
	increase	increase	scour refilling
	decrease	increase	temperature decrease

550

551 Some practical limitations persist, which require consideration. One issue relates to powering
552 of the sensors. Dynamic measurements are energy-intensive, and this remains a barrier to
553 widespread deployment of these systems. There have been some notable improvements in
554 recent years in sensor technology and energy harvesting, which are becoming practical (Cahill
555 et al. 2018, Fitzgerald et al. 2019a). Furthermore, it is anticipated that the method would work
556 best if a full modal study of the target structure is undertaken at the time of sensor deployment.
557 This would additionally involve a scour survey to understand the initial conditions affecting
558 the structure. This can be a labour-intensive exploit and may practically limit the amount of
559 bridges on a network that can be monitored.

560

561 **7. Conclusions**

562 In this paper, a novel scour detection method based on Mode Shape Ratios between two sensor
563 locations on a two-span integral bridge structure is investigated, as a potential tool to mitigate
564 (in combination with frequency measurements) temperature influences from distorting
565 vibration-based scour results. The study builds on previous work based on natural frequency
566 measurements and proposes this approach which is more sensitive to the stiffness changes
567 induced by scour. The method is illustrated using numerical modelling of an integral bridge

568 loaded by ambient and vehicular loading. The MSR of two points on the deck and pier changes
569 by approximately 50% for 5 m of scour around the central foundation of the case study bridge
570 as opposed to a change in frequency of 20% for the same scour level.

571

572 While promising, the MSR has some drawbacks. It is generally sensitive to loading,
573 particularly to vehicle-bridge interaction effects, which induce some errors in the approach for
574 vehicle-induced vibrations. It is also sensitive to random errors due to ambient loading, leading
575 to some variation in calculated MSR for various model runs. Expansion of the approach to
576 abutment monitoring (using the first mode) was not possible, as the MSR was not sensitive to
577 changes induced in the global sway mode due to scour at these locations. Furthermore,
578 expansion to a three-span bridge did not yield a consistent trend.

579

580 However, for two-span integral bridges, if several runs are undertaken and the average MSR
581 and frequency (in combination) are derived, the method provides some significant additional
582 information on the nature of the scour conditions potentially affecting a structure. Both
583 frequency and MSR reduce with scour, while frequency reduces with increasing temperature.
584 MSR, however, increases with increasing temperature, meaning the temperature effect can be
585 separated from the scour effect when both measurements are combined. It should be noted of
586 course that a simplified temperature model was implemented and it is acknowledged that the
587 temperature variation in a real structure could be quite different. The analysis in this paper is
588 therefore undertaken to demonstrate that MSR and frequency exhibit an inverse relationship to
589 temperature variation, and this is potentially a useful characteristic.

590

591 Only scour damage was assumed in this paper. The effect of other damage types such as
592 corrosion or cracking were not assessed and may also influence the MSR results. The results
593 in this study are encouraging for scour measurements around integral-type bridge structures
594 and indicate the potentially useful addition of MSR to the frequency-based SHM approach.

595

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599

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