

The role of hypoxic preconditioning in protection against the cardiotoxic effects of the anthracycline compound Doxorubicin.

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DECLARATION.

I, Angshuman Maulik, confirm that the work presented in this report is my own. Where information has been derived from other sources, I confirm that this has been indicated in the thesis.

Signed: (Angshuman Maulik)

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Abstract

Introduction: Anthracyclines (ANTC) are potent chemotherapy agents, but may cause chronic irreversible cardiac failure. Recent data suggest cardiac damage due to ANTC begins early and may be a continuum. Cardioprotective modalities effective against acute injury may therefore provide prolonged benefit. Hypoxic Preconditioning (HP), i.e., subjecting cardiomyocytes to sub-lethal hypoxia and reoxygenation before a prolonged lethal ischaemic insult, protects cardiomyocytes by activating the pro-survival Reperfusion Injury Salvage Kinase (RISK) pathway. We examined the hypothesis that HP can protect cardiomyocytes against toxicity of the ANTC compound Doxorubicin (Dox).

Methods: A toxic concentration of Dox was identified in an *in vitro* model of cardiotoxicity established in isolated adult rat ventricular myocytes (ARVM), using Propidium iodide (PI) permeability and altered morphology including cell-membrane blebbing as markers of cell-death. An *in vitro* hypoxic preconditioning (HP) protocol was characterised, and the effect of this HP-stimulus on cell-death with subsequent Dox-treatment was assessed. Induction of the RISK pathway by HP was investigated by pre-inhibiting the Phosphoinositide 3-kinase (PI3K)/Akt cascade and the Mitogen Activated Protein Kinase (MAPK) Extracellular signal-regulated Kinase (ERK) 1/2 pathway with 10 μ M LY294002 (LY) and 30 μ M PD98059 (PD) respectively. The role of oxidative stress in Dox-toxicity was probed using N-acetyl cysteine, a known Reactive Oxygen Species (ROS)-scavenger. Fluorescence of 30 nM Tetramethylrhodamine methyl ester (TMRM) was used to assess the mitochondrial transmembrane potential ($\Delta\Psi_m$). Laser-induced ROS generation with 3 μ M TMRM was used to assess mitochondrial permeability transmembrane pore (mPTP) induction after Dox-treatment, with 1 μ M Cyclosporine A (CsA) as a positive-protective control against mPTP induction. Dox-induced cell-death was also reassessed as an agent to protect against mPTP induction.

Results and Discussion: 7.5 μ M Dox resulted in significant increase in cell-death ($p < 0.05$) after 18h ($44.2 \pm 4.7\%$ Dox vs $18.7 \pm 1.5\%$ Control). HP protected cardiomyocytes from Dox ($35.4 \pm 1.7\%$ Dox; $14.7 \pm 1.5\%$ Dox HP). LY, but not PD, abrogated this HP-induced protection (Dox HP: $16.9 \pm 1.5\%$; Dox HP LY: $38.5 \pm 3.3\%$; Dox HP PD: $15.9 \pm 1.3\%$). ROS-scavenging failed to rescue cardiomyocytes from Dox-toxicity. Dox failed to alter $\Delta\Psi_m$ or mPTP-induction. However, although the protective effect of presence of CsA on mPTP-induction was abrogated in cells pre-treated with Dox, concomitant treatment with dox and CsA could not protect ARVMs from dox-toxicity.

Conclusions and future directions: HP protects cardiomyocytes against cell-death due to Dox. Cell-death data suggest this protection is mediated via the PI3K/Akt pathway. The role of MAPK ERK 1/2 against Dox-toxicity *in vitro* is unclear. Dox-toxicity appears to be independent of oxidative stress, variations in $\Delta\Psi_m$, or vulnerability of the mPTP-induction. Further work to delineate mechanisms of toxicity, and the downstream components of HP-induced protection is necessary.

Abbreviations.

ACE- Angiotensin Converting Enzyme.

ACEi- Angiotensin Converting Enzyme II inhibitor.

ALL- Acute Lymphoblastic Leukaemia

AML-Acute Myeloid Leukaemia.

AMPK- Adenosine Monophosphate activated Kinase.

ANTC- Anthracyclines.

APAF- Apoptosis Protease Activating Factor.

ARC- Apoptosis Repressor with Caspase recruitment domain.

ATP- Adenosine triphosphate.

BAD- Bcl-2-Associated Death promoter.

BAK- BCL-2 homologous antagonist killer.

BAX- BCL-2 associated death X protein.

BID- BH3 interacting-domain death agonist.

BNP- Brain Natriuretic Peptide.

BRDU- bromodeoxyuridine.

CAD- Coronary artery disease.

CI- Confidence interval.

CAMKII- Calcium/Calmodulin regulated Kinase II.

CCF- Congestive Cardiac Failure.

cGMP- Cyclic Guanosine Monophosphate.

cMRI- Cardiac Magnetic Resonance Imaging.

CPC- Cardiac Progenitor Cells.

CREB- Camp Responsive Element Binding Protein.

CsA- Cyclosporine A.

CTn- Cardiac Troponin.

cTnI- Cardiac Troponin I.

cTnT- Cardiac Troponin T.

Cyp D- Cyclophilin D.

DAG- Diacyl Glycerol.

DAPI- 4',6'-diamidino-2-phenylindole.

DCM- Dilated Cardiomyopathy.

DIABLO- Direct IAP Binding Protein with Low pI.

DISC- Death Inducing Signalling Complex.

DMSO- Dimethyl Sulfoxide.

DNR-Daunorubicin.

DNM- Daunomycin.

Dox- Doxorubicin.

DRP1- Dynamin Related Protein-1.

dsRNA- Double stranded ribonucleic acid

DXZ- Dexrazoxane.

ECM- Extracellular matrix.

eNOS- Endothelium Derived Nitric Oxide.

Epi- Epirubicin.

Epo- Erythropoietin.

ERK- Extracellular signal Regulated Kinase.

ESC- European Society of Cardiology.

FADD- Fas associated Death Domain.

FASL- Fas Ligand.

GAPDH- Glyceraldehyde-3-phosphate dehydrogenase

GSK-3 β - Glycogen Synthase Kinase 3 beta.

HDAC- Histone Deacetylases.

HF-ABP- Heart-type fatty acid binding protein.

HMGB1- High Mobility Group Box Protein 1.

HO-1- Haem oxygenase 1.

HP-Hypoxic Preconditioning.

HR- Hazard ratio.

HTRA2- High-Temperature Requirement 2.

IAP- Inhibitors of apoptosis proteins.

Ida-Idarubicin.

IL- Interleukin

IL-1- Interleukin-1.

IL-1 β - Interleukin 1 β

IL-1R- Interleukin 1 Receptor.

IL-1R- Interleukin 1 Receptor Antagonist.

IL-6- Interleukin 6.

IL-33- Interleukin 33.

I κ B- Inhibitor of NF κ B.

IKK- I κ B Kinase.

iNOS- Inducible Nitric Oxide.

IP3- Inositol trisphosphate.

IPC-Ischaemic Preconditioning.

Ipsc- Induced pluripotent stem cells.

LCI- Myosin Light Chain I.

LCII- Myosin Light Chain II.

LTCC- L-type Calcium Channel.

LV- Left Ventricle.

LVEF- Left Ventricular Ejection Fraction.

LVESV- Left Ventricular End Systolic Volume.

MAPK- Mitogen Activated Protein Kinases.

MHC-Myosin Heavy Chain.

MI- Myocardial Infarction.

MM- Multiple myeloma.

MMP-2- Matrix Metalloproteinase-2.

MMP-9- Matrix Metalloproteinase-9.

MnSOD- Manganese-dependent Superoxide Dismutase.

mPTP- Mitochondrial Permeability Transition Pore.

MS-Multiple Sclerosis.

NAD- Nicotinamide Adenine Dinucleotide.

NAD(P)H- Nicotinamide Adenine Dinucleotide Phosphate.

NCX- Sodium-Calcium Exchange channel.

NFKB-Nuclear Factor Kappa B.

NHE- Sodium-Hydrogen Exchange channel.

NHL- Non Hodgkin's lymphoma.

NO- Nitric Oxide.

NTproBNP- N-terminal pro B-type Natriuretic Peptide.

PEG-Polyethylene glycol.

PGC- PPAR γ Co-activator.

PI- Propidium Iodide.

PiC- Inorganic Phosphate carrier.

PKA- Protein Kinase A.

PKG- Protein Kinase G.

PI3K- Phosphoinositide 3 Kinase.

PIP2- Phosphoinositol bisphosphate.

PIP3- Phosphoinositol trisphosphate.

PTEN- Phosphatase and Tensin homologue.

PLC-Phospholipase C.

PIP2- Phosphoinositol bisphosphate.

PIP3-Phosphoinositol triphosphate.

PTEN-Phosphatase and Tensin homologue.

PPAR γ - Peroxisome Proliferator-activated Receptor gamma.

RIP- Receptor Interacting Protein.

RISK- Reperfusion Injury Salvage Kinases.

ROS- Reactive Oxygen Species.

RNS- Reactive Nitrosative Species.

RYR- Ryanodine Receptor.

SDS- Sodium Dodecyl SulPhate.

SDS-PAGE- sodium Dodecyl Sulphate Polyacrylamide Gel Electrophoresis.

SERCA2A- Sarcoplasmic Reticulum Ca²⁺-ATPase.

Smac- Second Mitochondria-derived Activator of Caspases.

SI- Simulated Ischaemia.

sIR- Simulated Ischaemia Reperfusion.

SR-Simulated Reperfusion.

SOD- Superoxide Dismutase.

sST-2- Soluble Suppression of Tumorigenicity-2,

TLR-2- Toll Like Receptor-2.

TLR-4- Toll Like Receptor-4.

TNF- Tumour Necrosis Factor.

Top1-Topoisomerase 1.

Top2- Topoisomerase 2.

TRADD- TNF-alpha Receptor Associated Death Domain.

TRAF- TNF Receptor Associated Factor.

TRAIL- TNF-Related Apoptosis-inducing Ligand.

TUNEL- Terminal deoxynucleotidyl transferase dUTP nick End Labelling.

UPS- Ubiquitin Proteasomal Pathway.

VDAC- Voltage-Dependent Anion Channel.

Chapter 1. Introduction.

Anthracyclines (ANTC) are a group of anthracenedione antibiotics that form integral part of chemotherapeutic protocols against a multitude of cancers, including solid organ tumours (e.g. Breast Cancer, Renal Cancer); soft tissue malignancies (e.g. Sarcomas); childhood cancers e.g., Acute Myeloid Leukaemia (AML), Acute Lymphoid Leukaemia (ALL); as well as haematological malignancies, e.g., Non-Hodgkin's Lymphoma (NHL), Multiple Myeloma (MM) (Minotti et al, 2004). Unfortunately their use is significantly limited by cardiotoxicity, which includes acute as well as chronic toxic effects. Acute ANTC-cardiotoxicity may manifest as inflammatory heart diseases (such as myocarditis or pericarditis), conduction abnormalities, and altered myocardial repolarisation. However, dilated cardiomyopathy (DCM) and refractory congestive cardiac failure (CCF) remains the most common and poorly understood manifestation of the chronic cardiotoxic effects of ANTC treatment (Schwartz et al, 1987; Gianni et al, 2008).

1.1. Anthracyclines.

Initially developed as antibiotics in late 1960s, ANTCs share a tetracyclic aglycone structure (see Fig.1.1) of four cyclohexane chains with a Daunosamine sugar moiety at Carbon C7 of ring A; adjacent quinone-hydroquinone groups in rings C and B; a methoxy substituent carbon C4 in ring D; a carbonyl group at C13; and a short side chain in C9. Doxorubicin (Dox) and Daunorubicin (DNR) were the first isolated ANTC, differing in the short side chains in C9 of ring D. In Dox this is a primary alcohol, while in DNR this side chain is a methyl group. Epirubicin (Epi) is a stereoisomer of Dox derived through axial-to-equatorial epimerisation of a hydroxyl group in the Daunosamine moiety, which leads to an increased volume of distribution and

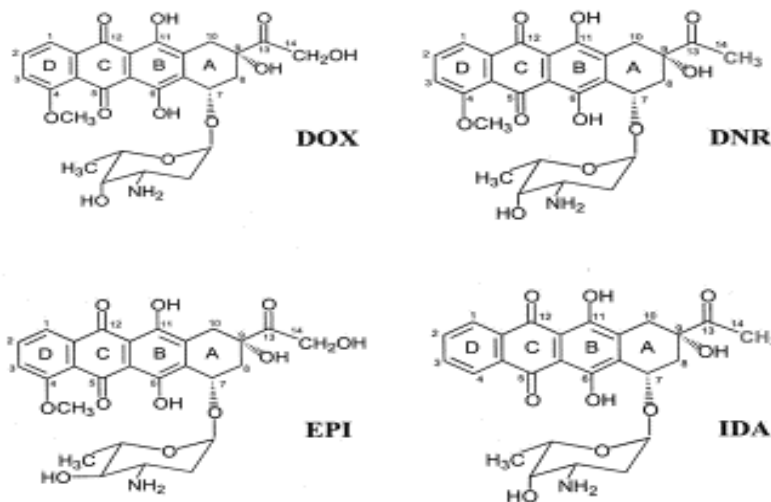


Fig.1.1. Molecular structure of Anthracyclines. The core tetracyclic aglycone structure of anthracyclines contain four cyclohexane chains with a sugar moiety at Carbon C7 of ring A; adjacent quinone-hydroquinone groups in rings C and B; and in ring D a methoxy substituent carbon C4 (DNR and Dox), a carbonyl group at C13 and a short side chain in C9 (Abbreviations: Dox-Doxorubicin, DNR- Daunorubicin, EPI-Epirubicin, IDA-Idarubicin).

shorter half-life. Idarubicin (Ida) is a derivative of DNR obtained by removing the 4-methoxy group in ring D. It has greater lipophilicity, higher cellular uptake, and a broader spectrum of activity against AML, MM, NHL, Breast cancer as well as multi drug resistant tumours. Other ANTC that have reached clinical practice include Pirarubicin, Aclarubicin and Mitoxantrone and find clinical use in disease as diverse as Systemic Sclerosis and Multiple Sclerosis (Minotti et al, 2004). The potential population vulnerable to cardiotoxicity of ANTCs is therefore not restricted to cancer-survivors only.

1.1.1. Manifestations and diagnosis of ANTC-Cardiotoxicity.

The cytotoxic potential of ANTC compounds rapidly found chemotherapeutic use in clinical setting, with DNR and Dox being used in chemotherapy regimen by early 1970s. The cardiotoxicity of ANTC, manifesting as heart failure, was soon apparent in clinical practice (von Hoff et al, 1979). Early observational data and retrospective study from symptomatic patient-cohorts led to a distinction between early (or, acute) cardiac complaints that manifested within hours to days, and the late onset toxicity of ANTC that manifested several months (and in many

cases years) after anthracycline treatment had been completed. Acute ANTC-associated injury that have been described include manifestations of myocarditis or pericarditis, transient arrhythmias, QT-prolongations, delayed ventricular repolarisation, and transient depression of Left ventricular (LV) ejection fraction (LVEF) (von Hoff et al, 1979; Gianni et al, 2008). These were considered reversible, and hence different in pathogenesis from the irreversible chronic heart-failure syndromes associated with ANTC-chemotherapy. In adults, the chief manifestations of chronic ANTC-cardiotoxicity, however, are in the forms of DCM and CCF. Irreversible cardiac-failure syndromes may manifest months to years following cessation of treatment (Zhai et al, 2010). For example, it has been noted that the risk of symptomatic CCF increases by 15-fold in childhood cancer survivors compared to age-matched controls (Lipshultz et al, 2013b), while the risk of cardiac-death in patients who have received ANTC-based chemotherapy is nearly 8-fold greater than in the background population (Khawaja et al, 2014). Despite these findings, and despite investigations into the pathogenesis of ANTC-cardiotoxicity for several years, the molecular mechanism and the underlying pathophysiology of this late manifestation of cardiac-injury remains poorly understood.

In recent years, however, considerable insights have been made into the problem of ANTC-cardiotoxicity, with the result that the understanding of this puzzling clinical problem is undergoing a paradigm shift. Increasing literature suggests that ANTC-mediated cardiotoxicity is a dynamic process. Acute-cardiotoxicity maybe the manifestation of a more progressive injury, with early changes remaining physiologically compensated, subclinical, asymptomatic, and only later manifesting as overt heart-failure (Ganz et al, 1993; Cardinale et al, 2015). For example, Cardinale et al (2015) followed a study-population of 2600 patients who received ANTC-based chemotherapy over a period of ten years. Echocardiographic monitoring in this study revealed a significant fall in LVEF, beginning as early as 3 months after ANTC chemotherapy in nearly 9% patients over the ten years of the study (Fig. 1.2). Similarly, Cove-
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Smith et al (2014) found that in animal-models, rats subjected to weekly doses of Dox showed decline in cardiac function on cardiac magnetic resonance imaging (cMRI), and also demonstrated histological signs of cardiac-tissue injury, along with elevation of serum biomarkers e.g. cardiac Troponins (cTn) before symptomatic cardiac failure was noted. These data suggest that the functional decline seen in animal models and the subclinical decline observed in humans reflect a progressive injury. The toxicity of anthracyclines on the myocardium may therefore begin early, and acute cardiotoxicity may be the consequence of early exhaustion of compensatory mechanisms in a subpopulation, while in others the clinical manifestation may only be delayed by more prolonged compensation. Continuous but physiologically compensated asymptomatic decline in LVEF, therefore, may be the mechanism of ANTC-cardiotoxicity. Indeed, a 2016 position paper from the European Society for Cardiology (ESC) reflects this emerging consensus that ANTC cardiotoxicity is a phenomenon of continuous progressive decline in LVEF, with many patients initially remaining asymptomatic,

Kaplan–Meier curve showing the cumulative incidence of cardiotoxicity in the study population.

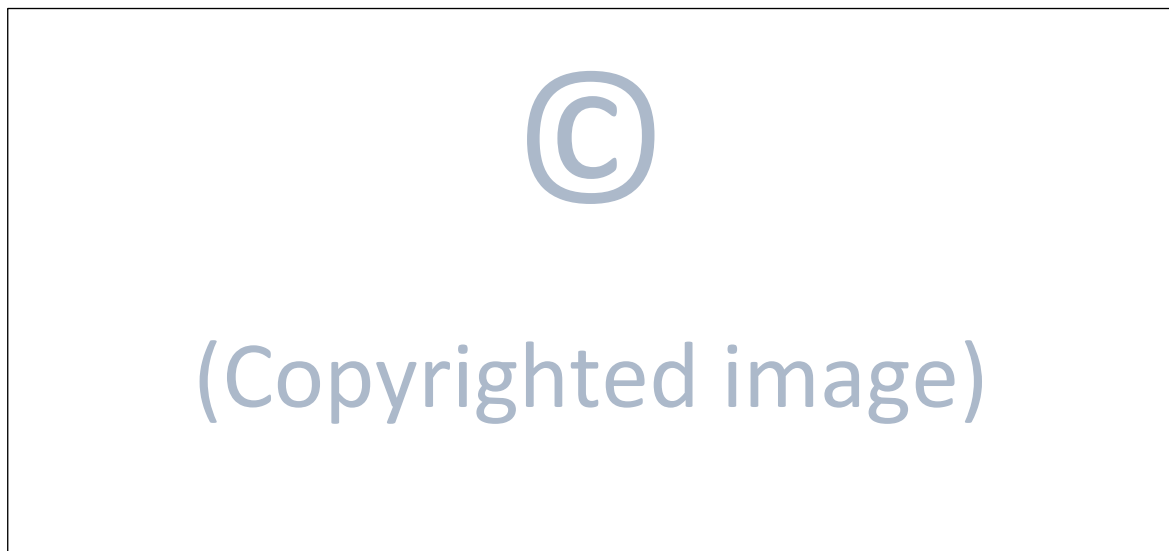


Fig.1.2. Incidence of heart-failure following ANTC-based chemotherapy over ten years, as determined by echocardiography-measured fall in ejection fraction. The cumulative incidence was 9% over ten years. In overwhelming majority, this manifested within 1 year (Cardinale et al, 2015).

but later presenting with heart failure in the context of a secondary trigger which may precipitate exhaustion of compensatory mechanisms (Zamorano et al, 2016). This physiological compensatory capacity of the heart may, in fact, be a factor in the lack of clarity of ANTC-cardiac injury, since CCF itself is considered a clinical diagnosis of a syndrome of physiological decompensation, with overall absolute LVEF value being a part of interpretation of the clinical picture. Further, the most commonly used investigation to determine LV systolic function (LVSF)- echocardiography- holds considerable variability between user. Various different approaches have been taken therefore to classify and characterise ANTC-cardiotoxicity in efforts to better define and address this poorly understood clinical problem. However, despite emerging evidences of early changes to the myocardium, optimum modality of investigation, ideal time for investigating, and ideal window for intervention is debated in clinical practice. As early as the later part of 1970s, Bristow et al (1978) tried to classify ANTC-cardiotoxicity histologically. Schwartz et al (1987) subsequently attempted a classification based on LVEF, while Stoddard et al (1992) described decline in isovolumetric relaxation time measured by Doppler-ultrasound. Indeed, in association with subclinical LVEF decline, LV end systolic volume (LVESV) and pulse wave velocity show an increase within 6 months of ANTC-based chemotherapy, suggesting increase in ventricular strain (Drafts et al, 2013). However, a uniform consensus diagnosis of ANTC-cardiotoxicity still remains elusive, owing to the varied morphological manifestations and the unpredictable temporal sequence associated with it. In general, a significant decrease in LVEF by 10-20% from baseline, or a decline in LVEF in association with signs and symptoms of CCF may be considered consistent with cardiotoxicity associated with ANTC treatment, even if absolute LVEF remains above what is considered normal (Khawaja et al, 2014). However, efforts to identify patients at risk, and to identify early ANTC-cardiotoxicity has so far failed to reach definitive consensus translating into clinical practice. Among other investigations, circulating serum cardiac biomarkers have been explored

in efforts to identify and predict patients at risk of ANTC-cardiotoxicity. Cardiac troponin T (cTnT) and cardiac troponin I (cTnI) levels correlate to a high degree of specificity with cardiomyocyte injury. cTns are therefore among the first-line cardiac investigations used to detect myocyte necrosis and risk stratify patients suspected of suffering from acute myocardial infarction. cTn is also useful in other clinical contexts, e.g., pulmonary embolism, sepsis, stroke etc (Agewall and Giannitsis, 2014) where information on cardiac strain offer guide clinical anagement. Indeed, with ANTC-treatment, early rise and persistent elevation in cTnI in the first month after chemotherapy have been reported to correlate with decline in LVEF confirmed by echocardiography in patients receiving chemotherapy with high dose anthracyclines (Cardinale et al, 2000). However, it ought to be recognised that the large-volume troponin release detected during, e.g., myocardial ischaemia arises from thin filament necrosis, reflecting irreversible myocyte death (Anaya and Moliterno, 2013). By comparison, smaller volumes of troponin may be detected by newer high sensitivity assays in a number of different clinical contexts including stroke, sepsis, and even vigorous exercise. The source of this troponin is believed to be the cytosolic compartment of cardiomyocytes, and not necessarily reflect cardiomyocyte necrosis. The clinical significance of such rise is therefore unclear (Agewall and Giannitsis, 2014). Indeed, studies investigating cTn rise in chemotherapy-induced cardiotoxicity have found a less clear role for these biomarkers in prediction and prognostication of cardiotoxicity, particularly in moderate and low dose ANTC chemotherapy-protocols where cumulative doses are less than 300mg/m² (Ky et al, 2014; Tian et al, 2014). Further, studies exploring cTn levels after ANTC-chemotherapy have failed to detect significant circulating levels late after chemotherapy in order of months, highlighting the difficulty in identifying asymptomatic individuals at risk of cardiotoxicity (Feola et al, 2011; Armenian et al, 2014). Other serum markers of cardiomyocyte-stress have been investigated to detect ANTC-cardiotoxicity, including the natriuretic peptide Brain-Natriuretic peptide (BNP) and its N-

terminal propeptide NT-proBNP. Both BNP and NT-proBNP are used in clinical practice in investigation of cardiac failure. However, rise in NT-proBNP has been observed after ANTC-chemotherapy with stable LVEF (Romano et al, 2011) and, as with cTn, their value in predicting ANTC-cardiotoxicity has been questioned in subsequent larger studies (Ky et al, 2014). More novel serum biomarkers to predict and identify ANTC-cardiotoxicity have been investigated, including Galectin-3, Myeloperoxidase, soluble suppression of tumorigenicity-2 (sST-2), Heart-type fatty acid binding protein (HF-ABP). However, the data remain preliminary and none have translated into routine use in clinical practice (Moazeni et al, 2017).

The effort to identify patients at risk of ANTC-induced cardiotoxicity, and to detect early cardiotoxicity arises from the observations suggesting that ANTC-associated cardiac dysfunction, if detected early, may be amenable to treatment. For example in the study by Cardinale et al (2015), a significant proportion of patient who were identified to have asymptomatic decline in LVEF showed recovery towards baseline values when they were treated in line with current medical practice guidelines, with Angiotensin convertase enzyme II (ACE)-inhibition or β -adrenergic blockade (β -blockade) after decline in ejection fraction was identified (Fig. 1.3). Similarly, treatment with high dose hydroxymethylglutaryl-Coenzyme A (HMG-CoA) reductase inhibitors (“statins”) to inhibit cholesterol biosynthesis may have a beneficial role in protecting the at-risk myocardium from ANTC-cardiotoxicity (Henninger and Fritz, 2017). Indeed, although large scale prospective trial remains awaited to evaluate the role of statins in ANTC-cardiotoxicity, a meta-analysis of fourteen studies conducted by Kalam et al (2013) found a significant association between the administration of statins, and reduction in occurrence of cardiovascular events in patients who had received ANTC and/or Trastuzumab.



(Copyrighted image)

Fig. 1.3. The influence of early intervention against anthracycline-induced cardiotoxicity. The left ventricular ejection fraction (LVEF) in 82% of patients with echocardiogram-defined heart-failure improved when they were treated with optimum combinations of Angotensin II convertase enzyme (ACE)-inhibition and/or β -adrenergic blockade (Cardinale et al, 2015) (Abbreviations: CT=Chemotherapy, HF=Heart failure, LVEF=Left Ventricular Ejection Fraction).

The protective effect was similar to those observed with β -blockade, ACE-inhibition, as well as administration of the iron chelating agent Dexrazoxane (DXZ). DXZ was previously investigated as a protective agent against ANTC-induced cardiotoxicity in children receiving ANTC-chemotherapy (Lipshultz et al, 2012). Using NT-proBNP rise as a marker of cardiac injury, Lipshultz et al (2012) observed a significant decrease in cardiac strain in the group receiving DXZ. However, the clinical use of DXZ is limited given a small but significant concern regarding long-term potential for causing secondary malignancies (Lipshultz et al, 2012). Other measures explored against ANTC-cardiac injury include dietary supplementation of, e.g., Coenzyme Q10, α -tocopherol, etc, but analysis of experimental data fail to support definitive evidence of protection with these measures (van Dalen et al, 2011). Lifestyle factors, including smoking-cessation and physical exercise are recognised as important aspects in primary interventions against ANTC-cardiotoxicity. Interestingly, data from small animal models supports the advice that life-style modification to include exercise may have beneficial effects in protecting the myocardium against ANTC-cardiac injury. In particular, a role is suggested for aerobic exercise

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in preventing ANTC-induced cardiac injury. Haywards group, for example, observed a beneficial effect against ANTC-cardiotoxicity in a rodent model of exercise-training three times per week, compared to sedentary rats (Chicco et al 2006a). The authors noted a protective effect against LV dysfunction when Dox (2.5mg/kg) was administered after exercise, as well as a protective effect against caspase-3 induction as a marker of apoptosis, compared to rats with sedentary regime subjected to Dox. Further, the authors noted reduced levels of lipid peroxidation and increased levels of glutathione peroxidase in rats subjected to regular exercise along with Dox treatment, compared to rats treated with Dox alone. Other groups have reported observation that preconditioning with short acute bouts of exercise before Dox administration protects against subsequent measured decline in cardiac function (Wonders et al, 2008, Hydock et al, 2008). Indeed, this importance of lifestyle measures is reflected in the current recommendations in clinical practice, where the ESC supports primary preventative life-style measures including modifying risk factors such as smoking-cessation, addressing obesity, and adequate exercise, in addition to secondary measures including pharmacotherapy (Zamorano et al, 2016).

1.1.2. Risk factors of ANTC-cardiotoxicity.

Currently known clinical variables poorly predict the risk and onset of ANTC-cardiotoxicity (Khawaja et al, 2014). Risk of cardiac-failure due to ANTC has been postulated to depend primarily on the cumulative-dose of ANTC administered during the chemotherapy regimen. Doses up to 300 mg/m² Dox (and equivalent-doses of other ANTCs) are considered relatively safe, with an incidence of CCF reaching up to 2%. By contrast, the mortality from symptomatic heart failure secondary to DCM approaches 60% within 2 years in patients who have received high dose ANTC of 450 to 600 mg/m² Dox or equivalent (Suter et al, 2013). However, even lower doses of ANTC may trigger injury resulting in asymptomatic decline in cardiac function that does not manifest in overt, clinically decompensated cardiac failure. For example, the incidence of

cardiotoxicity in patients receiving the highest limits of safe recommended dose is approximately 5%. However in childhood cancer survivors, reduced fractional shortening and increased afterload (compared to age matched controls) has been demonstrated beginning from doses approximating 100 mg/m², with a noticeable 4.5-fold increase noted at doses in excess of 270 mg/m² (Hudson et al, 2007). In survivors of childhood lymphoma who received ANTC-based treatment (with or without mediastinal irradiation), echocardiographic measurements demonstrated a higher prevalence of diastolic dysfunction at median doses equivalent to 160 mg/m² Dox, more than 10 years after completion of treatment (Christiansen et al, 2014). Further, in adult populations, asymptomatic deterioration in LVEF, LV end systolic diameter (LVESD) and increased LV strain has been demonstrated within 6 months of initiating ANTC-based chemotherapy (Drafts et al, 2013). Underlying cardiopulmonary disorders, metabolic disorders, and increasing age are recognised to increase the risk of ANTC-toxicity. For example for ANTC-induced heart failure, Pinder et al (2007) reported a hazard ratio (HR) of 1.58 with a 95% confidence interval (CI) of 1.39 to 1.79 in patients with concomitant coronary artery disease (CAD), with diabetes a HR of 1.74 (95% CI 1.66 to 1.83), and with increasing age risk a HR of 1.79 per 10 years (95% CI 1.66 to 1.93). Some authors estimate an approximate doubling of risk of ANTC-cardiotoxicity with each additional 10 years increase in age (Harbeck et al, 2011).

Amongst other risk factors associated with ANTC-cardiotoxicity, chromosomal factors and genetic determinants have been identified as influences (Lipshultz et al, 2013b). The female sex is associated with a higher incidence of cardiotoxicity, which may be related to higher percentage of body fat allowing greater cardiac-accumulation of the lipophobic ANTC-compound. Genetic determinants associated with altered incidence of LV dysfunction after ANTC therapy include, e.g., the hereditary hemochromatosis associated gene allele HFE C282Y, which confers a 9-fold increase in risk of myocardial damage, and may reflect concomitant

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toxicities of iron-loading and of ANTC-chemotherapy (Lipshultz et al, 2013a). The CBR3 G allele homozygosity for the carbonyl reductase 3 enzyme confers an increased risk of approximately 5-fold compared to the GA/AA genotype (Lipshultz et al, 2013b). Similarly, gene-variants of proteins involved in cellular redox pathways, e.g., the NAD(P)H: quinone oxidoreductase 1 gene NQO1 (Blanco et al 2008) and the Glutathione GSTP1p.I105V variant (Dasgupta et al, 2003) have been identified to confer increased risk. This may be a reflection of oxidative-stress postulated to be induced by ANTC group of drugs. Gene alleles of the Dox efflux transporter ABCC2 have also been suggested to confer increased risk, possibly by influencing drug-efflux from sub-cellular organelles (Armenian et al, 2013). Survivors with trisomy 21, even when the congenital cardiovascular abnormalities are excluded, have an increased risk of cardiotoxicity, while Afro-Caribbean race is considered to confer increased risk (Grenier and Lipshultz, 1998). Additionally, comorbid conditions such as cardiovascular disease, endocrinopathies, inflammatory conditions, obesity, as well as traditional life style factors including smoking and sedentary lifestyle are known to be associated with increase in incidence of cardiotoxicity following ANTC chemotherapy (Lipshultz et al, 2013a; Lipshultz et al 2013b Lipshultz et al, 2014).

Therefore, the risk of ANTC-cardiotoxicity is very likely a composite of the insult on the myocardium due to the cumulative drug-dose; factors that affect the distribution; metabolism and transport of the drug; along with individual hereditary and acquired factors that increase the vulnerability of the myocardium to drug-induced injury.

1.1.3. Pathology of ANTC-mediated cardiac injury.

The exact mechanism of chronic cardiotoxicity of ANTC still remains debated, but an inflammatory response and compensatory adaptations have been noted in the ANTC-insulted myocardial tissue in humans (Bristow et al, 1978), as well as in animal models (Cove-Smith et

al, 2014). Human autopsy studies show that ANTC distributes widely within the body, accumulating in the liver, heart and the brain (Berthiaume et al, 2007). Histologically, animal models show that repeated administrations of Dox leads to delayed chronic active myocarditis, lymphocytic infiltration, cardiomyocyte degeneration, compensatory hypertrophy, oedema, fibroblast proliferation and, over a longer period of time, collagen deposition and fibrosis. For example, in the animal model study by Cove-Smith et al (2014) cited above, chronic low-dose administration of Dox in a rat-model was reported to lead to myocardial necrosis, oedema, lymphocytic infiltration and loss of myofibrillar architecture (Fig.1.4). This suggests a chronic inflammatory injury and consequent repair and remodelling response may be a component of ANTC-injury.

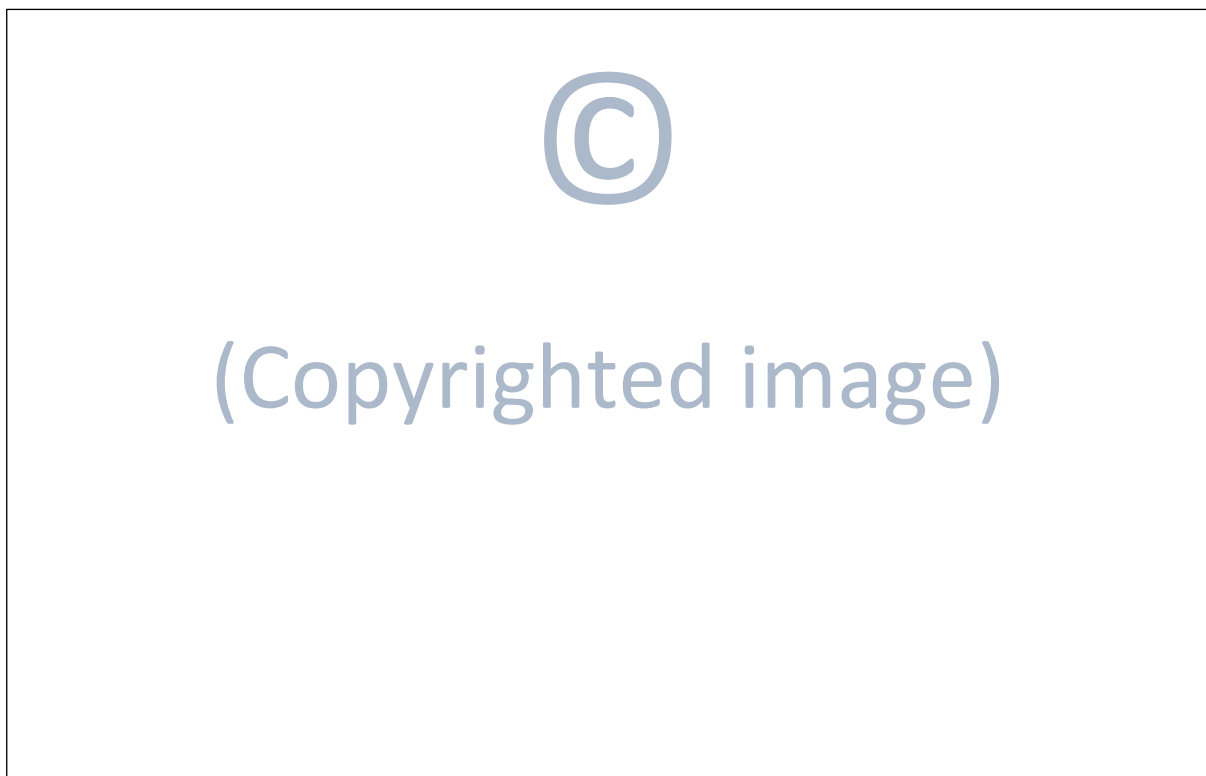


Fig. 1.4. Histological features of cardiotoxicity of Anthracyclines in an animal model. Multi-focal and well-demarcated intracellular vacuolation of cardiomyocytes (dashed arrows); lymphoplasmacytic inflammation (solid arrow); and a degenerate cardiomyocyte (bent arrow) in rat atria seven weeks after beginning a once-weekly Doxorubicin treatment protocol (Cove-smith et al, 2014).

1.1.4. Molecular pathology of ANTC-mediated cardiac damage.

In bio-molecular aetiology, evidence supports a multi-factorial process in ANTC-induced cardiac dysfunction, which includes an immune-system mediated response against cardiomyocytes and extracellular matrix degradation. This may lead to altered signalling between the basement membrane and the cardiomyocyte, e.g., through alterations of laminin-integrin interactions and consequent attenuation of integrin-linked small G-protein coupled signalling (Ross and Ross, 2001). Further, degradations of structural components of the cardiomyocyte contractile apparatus and disruption of the cellular bioenergetic pathway may attenuate the pumping function and efficiency through the cardiac cycle, reducing cardiac output. Decreased viability of potential stem-cell pools may also mediate an altered and inefficient repair response (Zhang et al, 2009).

Supporting a role for activation of cardiomyocyte death pathways, proteomic analysis of hearts from rabbits subjected to a chronic dosing regimen of DNR-induced cardiomyopathy demonstrated an increase in calpain protease, along with an increase in caspase-6 activity (Stěrba et al, 2011). The authors in this experiment further demonstrated a decrease in myoglobin and Heart-type fatty Acid Binding Protein (HFABP) abundance, suggesting alterations in myocardial energy storage and metabolism. Additionally, they identified a number of sarcomeric-structural changes including increased desmin expression, diminished localisation of desmin to the cardiomyocyte Z-disk, decreased expression of myosin light chain isoforms 1 and 2, and attenuated beta isoform of myosin heavy chain protein. Similarly, using transmission electron microscopy, Cove-Smith et al (2014) observed a temporal disruption of myofibrillar architecture and Z-line distortion. These observations may partly explain contractile dysfunction observed in ANTC-toxicity. Desmin is known to form a link between the Z-disk and basement membrane proteins, e.g., Laminin, and alterations have been noted to

lead to disrupted signalling in some forms of inherited cardiomyopathies (Mavroidis et al, 2008).

In addition to intracellular structural alterations, animal models of Dox-toxicity utilising both bolus dosing as well as interrupted chronic dosing regimen exhibit changes associated with extracellular remodelling. This includes increased matrix metalloproteinase (MMP)-2 and MMP-9 expression, decreased density of fibronectin distribution, and decreased contents of tubulin, fibronectin, kinase-related protein (or telokin), and smooth muscle/nonmuscle myosin light-chain kinase (Stěrba et al, 2011). Further, increased Collagen IV α -chain expression and an increase in vimentin (expressed from activated fibroblasts, endothelial cells and smooth muscle cells) has been reported in small animal models of ANTC-toxicity (Lenčová-Popelová et al, 2014). Importantly, Collagen IV is known to be elevated in the inflammatory peri-infarct zones post- myocardial infarction (MI) (Morishita et al, 1996). In other organ systems e.g. lung, kidney, and liver, collagen IV isoform expressions have been associated with fibrotic diseases (Sand et al, 2013). This suggests extracellular matrix remodelling and alteration of the basement membrane molecular structure is a component of the ANTC-injury to the heart.

ANTC have also been demonstrated to deplete the human cardiac progenitor stem cell (CPC) pool through induction of premature senescence, inhibition of growth, and induction of cell-death. In rat models of cardiotoxicity, Dox decreases the viability of clonogenic c-kit positive CPCs *in vitro* (De Angelis et al, 2010). Further, in mice, knock-out of the cardiac senescence marker protein SMP 30 leads to increased Dox-induced cardiomyocyte apoptosis compared to wild type controls (Miyata et al, 2013). Transplantation of induced pluripotent stem cells (iPSCs) and embryonic cells post-MI in Dox stressed murine heart has been demonstrated to improve fractional shortening and ejection fraction *in-vivo*, along with lower levels of cardiomyocyte apoptosis *in vitro* (Merino et al, 2014). The improvement in cardiac function and

cardiomyocyte apoptosis was associated with reduced MMP-9 activity, along with attenuation of interstitial and vascular fibrosis compared to control animals which did not receive similar cell-transplants. This suggests depletion of CPC population and attenuation of progenitor cell function may have a role in induction and/or exacerbation of myocardial injury due to ANTC. These data highlight that anthracycline-induced cardiac injury is likely to be the result of a complex multifactorial process, which includes inflammation and extracellular matrix (ECM) degradation, alterations to structural and contractile proteins, as well as increased collagen deposition and impaired repair processes.

1.2. Mechanism of ANTC-mediated cardiomyocyte death.

Given that ANTC are chemotherapy agents, it is not surprising that they are cellular toxins and inhibit survival-related signalling cascades. In dividing cells, ANTC exert their cytotoxic effect through intercalation with DNA, forming a stable ternary complex with the DNA-Topoisomerase II structure during DNA replication. This leads to inhibition of DNA replication, arrest of cell cycle in G1 and G2, and induction of programmed cell-death (Zhang et al, 2012). However, since cardiomyocytes are terminally differentiated cells, the mechanism of ANTC-mediated cardiomyocyte death is less well understood, but postulated to involve a number of different mechanisms, including inhibition of pro-survival signalling, induction of apoptosis, necrosis, protein misfolding, alterations of autophagic flux, as well as disruption of cellular and mitochondrial metabolomics and mitochondrial biogenesis pathways (Lenčová-Popelová et al, 2014; Khiati et al, 2014).

1.2.1. ANTCs modulate pro-survival signalling cascades.

ANTC compounds have been shown experimentally to modulate a number of protein-signalling cascades in the myocardium, although the exact contributions of these changes on the observed cardiotoxicity of anthracyclines remains far from clear. Altered induction or

inhibitions of specific signalling cascades may, however, explain the pathological responses of the intact myocardium culminating in cardiomyocyte injury, cell-death, and altered myocardial structure and function. For example in cultured H9C2 cardiomyoblast-derived cell lines, Dox has been reported previously to induce phosphorylation of Akt/Protein Kinase B (PKB), a downstream mediator of Phosphoinositide3-Kinase (PI3K) (Merten et al, 2006). In this report, the authors noted a cellular-hypertrophic response as a consequence of Dox treatment, which was specific to the induction of the PI3K/Akt pathway, distinct from additional activation of calcineurin signalling. Further, the authors were able to demonstrate sustained Akt phosphorylation for upto 360 minutes following exposure to Dox. Interestingly, in *ex vivo* models, Deres et al (2005) had previously demonstrated phosphorylation of Akt when isolated rat hearts were perfused with a supraphysiological concentration (100 μ M) of Dox for 60 minutes in a Langendorff-apparatus. Further of interest, in *in vivo* models, Ichihara et al (2007) observed a phosphorylation response of Akt with high-dose bolus injection in a murine model of Dox-toxicity. Free oxide radical generation (discussed in section 1.3.1) may have a role in this PI3K/Akt phosphorylation induction, since reactive oxygen species (ROS)-species may accumulate in cardiomyocytes consequent to Dox-treatment, and secondarily lead to accumulation of reactive nitrative and nitrosative species. Nitration of tyrosine residues of receptor tyrosine kinases secondary to this accumulation of reactive oxygen and nitrative species has been hypothesised to activate PI3K/Akt pathways (Deres et al, 2005). Supporting their hypothesis, Deres et al noted that Akt phosphorylation could be abrogated by concomitant perfusion with the antioxidant compound dihydrolipoamide at 200 μ M, while Ichihara et al (2007) could inhibit PI3K/Akt induction with concurrent administration of super oxide dismutase (SOD). Moreover, PI3K/Akt phosphorylation-induction may serve an adaptive stress response, since activation of this pathway has been observed to prevent induction of death pathways by modulating mitochondrial morphology in response to pathological stress,

e.g., ischaemia-reperfusion injury (Ong et al, 2015). Gharanei et al (2013b), for example, noted an upregulation of Akt phosphorylation after perfusing rat hearts with Dox in an *ex vivo* Langendorff models, which the authors noted to increase further when the mitochondrial division inhibitor mdivi-1 was coperfused with Dox. In contrast to this, Dox has also been observed to promote the degradation of PI3K/Akt pathway by protein phosphatases (Fan et al, 2008). This may secondarily allow activation of the pro-apoptotic proteins, e.g. BCL-2 associated death promoter (BAD). Conversely, potentiating the pathway of PI3K signalling has been reported to offer a protective effect against ANTC-toxicity. Kitamura et al (2014), for example, observed that deleting ARIA (apoptosis regulator through modulating IAP expression), a plasma-membrane recruiter for the PI3K-phosphatase Phosphatase and tensin homologue (pTEN) protein in rat models ameliorated Dox-induced Cardiomyopathy *in vivo* (Kitamura et al, 2014). Moreover, a number of agents e.g. Erythropoietin (Epo) (Kim et al, 2008), Propranolol (Yano et al, 2008), Propofol (Sun et al, 2014) etc. that show a cytoprotective effect against ANTC-cardiotoxicity do so, at least in part, by potentiation of PI3K/Akt pathway (Jia et al, 2014).

Induction, attenuation, and inhibition responses of other pro-survival kinases have further been noted in experimental models of ANTC-cardiotoxicity. For example, using Terminal Deoxynucleotidyl Transferase-Mediated dUTP Nick End-Labeling (TUNEL) assays to measure apoptosis, Zhu et al (1999) observed an increase in cardiomyocyte apoptosis in cultured neonatal rat cardiomyocytes upon treatment with the anthracycline Daunomycin (DNM). In their report, the authors demonstrated activation of the Mitogen activated protein kinases (MAPK) p38 MAPK, Jun N-terminal Kinase (JNK) and Extracellular signalling regulated kinase (ERK) 1 and ERK 2 (collectively, ERK 1/2) in response to DNM treatment. Interestingly however, selective inhibition of ERK 1/2 with 50 μ M of the inhibitor PD98059 was noted to exacerbate DNM toxicity, while inhibition of p38 MAPK with 10 μ M SB203580 in this experiment was associated with a suppression in DNM-induced apoptosis. Similarly, in a chronic dosing model

in rats, Lou et al (2005) observed a rise in p38 MAPK phosphorylation over a three-week period following Dox treatment. This was associated with an increased phosphorylation of c-JNK-protein over the same time. However, the authors noted a biphasic response of ERK 1/2 phosphorylation, with a rise in phosphorylated protein over hours, followed by a decrease in phosphorylation over 3 weeks after the final Dox dosage. These changes in protein-phosphorylation levels were accompanied by a fall in mRNA expression levels of ERK 1/2, and the animals were found to be in heart failure with depressed LV end-diastolic pressure (LVEDP), symptomatic dyspnoea, and displayed increased mortality by 3 weeks. Potentiating the ERK signaling pathway has been suggested to be a possible mechanism of the protective effect, e.g., of the fatty acid Oleylethanolamide in *in vivo* protection against Dox-toxicity (Su et al, 2006). Interestingly, the phosphorylation-induction of MAPK ERK 1/2 following anthracycline treatment has previously been reported to be deleterious. For example, Liu et al in their 2008 report noted an increase in apoptosis of H9C2 cardiomyoblasts following Dox-treatment, which was associated with an increase in MAPK ERK 1/2 phosphorylation, but not p38 or JNK. Their data suggested this increase in apoptosis was mediated by phosphorylation of pro-apoptotic proteins BCL-2 associated death X protein (BAX) and BAD by the ERK kinases, in conjunction with p53 phosphorylation induction by activated ERK 1/2 (Liu et al 2008). Inhibition of ERK 1/2 MAPK pathway using the inhibitor U0126 was noted to limit this phosphorylation and nuclear translocation of both MAPK ERK 1/2 and p53, and attenuated Dox-induced increases in the levels of BAX, of p53 upregulated modulator of apoptosis (PUMA)- α , of cleaved caspase-9, and activated caspase-3. Similarly, Gharanei et al (2013b) noted an increased phosphorylation of ERK 1/2 proteins when Dox was perfused in isolated Langendorff-perfused rat heart model, while phosphorylated p53 levels in isolated adult rat ventricular myocytes were also noted to be increased following Dox treatment. In their report, the authors noted that the mitochondrial division inhibitor mdivi-1 was able to prevent upregulation of ERK 1/2 phosphorylation, as well

as the phosphorylation induction responses observed for p53 and the mitochondrial pro-fission protein dynamin related protein-1 (DRP-1). These data suggest that phosphorylation induction, activation, or attenuation of MAPK cascades, including MAP ERK 1/2, p38 MAPK, and the JNK pathway may have a role in the toxicity observed as a consequence of ANTC exposure, including through induction of mitochondrial stress-responses, induction of cell-death pathways, and through altering the balance of proteins involved in cell-death .

1.2.2. ANTCs modulate Topoisomerase-enzymes and alter mitochondrial metabolomics.

In addition to altering pro-survival signalling cascades, in cancer cells ANTC inhibit DNA-repair mechanisms, triggering cell-death mechanisms secondary to DNA-damage pathways. In this regard, the roles of topoisomerase enzyme isoforms have come under particular focus in mediating cardiomyocyte toxicity (Nitiss and Nitiss, 2014).

Supporting the hypothesis of cardiotoxicity secondary to topoisomerase enzyme modulation, Dox is known to stabilise a ternary cleavage-complex formed Topoisomerase II (Top2) and DNA during DNA-replication, leading to double stranded DNA breaks that can induce cell cycle arrest and death. In adult vertebrates, Top2 exists in two isoforms - α and β . Top2 α -DNA complex stabilisation is thought to be a molecular mechanism of cytotoxicity of ANTC against cancer cells. However, only the β isoform (Top2 β) is expressed in cardiomyocytes. ANTC-induced Top2 β activation in cardiomyocytes has been suggested to be the underlying mechanism of altered expression seen in the mitochondrial-genome encoded proteins, including proteins involved in the respiratory chain, the mitochondrial biogenesis pathway, and further, for activation of proteins involved in the cell-death pathways. In a mouse-model of Dox-toxicity, Zhang et al (2012) demonstrated the downregulation of transcript-levels of proteins involved in mitochondrial biogenesis, including PPAR γ co-activator (PGC) 1 α and PGC 1 β . Transcript and protein levels of PGC-regulated proteins involved in bioenergetic pathways and ATP

biosynthesis were also downregulated, including Ndufa3 (which encodes subcomplex-3 of NADH dehydrogenase 1 α), SDHA (encoding subunit A of Succinate dehydrogenase complex II) and Atp5a1 (encoding ATP synthase subunit α or Atp5a). Importantly, the authors showed that cardiomyocyte-specific deletion of Top2 β protected the mice from Dox-induced progressive heart-failure seen in wild-type control animals.

In addition to the above findings, investigations into the role of topoisomerase in ANTC-cardiotoxicity also reveal a role for other isoforms of the enzyme. Adult vertebrate mitochondria express two further topoisomerases- Topoisomerase 3 α (Top3 α) and Topoisomerase 1-mitochondrial isoform (Top1(mt)). Top1(mt) functions solely within the mitochondria, while Top3 α is also found to have a role in nuclear DNA-replication (Wang et al, 2002). In 2014, Khiati et al demonstrated that the protein levels of mitochondrial respiration complexes III and IV (which are encoded in both nucleus and mitochondria-dependent manner) are reduced in a cardiac specific manner in mice receiving Dox-treatment, and this down-regulation was accentuated in mice lacking Top1(mt). This stands in contrast to the protective role of Top2 β deletion observed by Zhang et al and, taken together, indicates ANTC-cardiotoxicity may be the result of alterations in a complex interplay of mitochondria-targeted and mitochondria-derived protein expression secondary to topoisomerase poisoning, resulting in altered mitochondrial function and biogenesis.

1.2.3. ANTCs alter mitochondrial structure and function.

At a subcellular level, the chief sites of ANTC accumulation are the mitochondria, the nucleus, and the endoplasmic reticulum (Solem et al, 1994; Berthiaume and Wallace, 2007). The accumulation within the mitochondria may partly be a result of affinity of ANTC for cardiolipin, a lipid located on the inner mitochondrial membrane. In addition to the alterations in

mitochondrial biogenesis and function, ANTC have been shown to alter the structure and the transmembrane potential ($\Delta\Psi_m$) of cardiac mitochondria.

Early after ANTC administration, the mitochondria show swelling, membrane disruption and loss of membrane integrity (Cove-smith et al, 2014). Further, acute Dox-stress has been shown to upregulate the mitochondrial division protein DRP-1 (Dynamin related protein-1). Inhibition of mitochondrial division attenuated contractile dysfunction and infarct size seen in rat hearts perfused with Dox in the reperfusion stage of a Langendorff ischaemia-reperfusion model of Dox stress (Gharanei et al, 2013a). Khiati et al (2014) demonstrated that Top1(mt) knockout mice suffered extensive mitochondrial fragmentation, swelling, altered cristae structure, and an attenuated increase in mitochondrial numbers in response to Dox treatment. This suggests ANTC induce a direct mitochondrial structural damage, and additionally mitochondria attempt increased division in response to Dox.

ANTC may also alter mitochondrial energetic state by altering the function of mitochondrial respiratory complex proteins, leading to loss of the proton-motive force that drives the ATP generation. For example, in a rat-model of ANTC-induced cardiomyopathy, Lebrecht et al (2010) demonstrated a reduced activity of mitochondrial genome-encoded components of respiratory chain. Further, ANTCs have been shown to inhibit the reduced form of mitochondrial Nicotinamide Adenine Dinucleotide dehydrogenase (NADH) and Succinate dehydrogenase protein, as well as the function of the Magnesium (Mg^{2+})-dependent F_1F_0 -ATPase in the mitochondria (Berthiaume et al, 2007). The $\Delta\Psi_m$ in cardiomyocytes has been demonstrated to fall within 60 minutes of perfusing isolated rat hearts with Dox (Montaigne et al, 2010). Similarly, a reduction in $\Delta\Psi_m$ in murine cardiomyocytes is observed 72 hours following a bolus 25mg/kg of Dox (Zhang et al, 2012). Uncoupling of electrons from the respiratory chain leads to depletion of high energy adenine nucleotides, and such uncoupling has been

demonstrated in both *in vitro* as well as *in vivo* models of ANTC-toxicity (Doroshov et al 1986; Lebrecht et al, 2010).

Interestingly, this collapse of $\Delta\Psi_m$ in response to ANTC is prevented by specifically inhibiting the mitochondrial permeability transition pore (mPTP), independent of the calcium (Ca^{2+}) uptake uniporter and the Sodium(Na^+)- Ca^{2+} exchanger (NCX) (Solem et al, 1993). The mPTP is a mitochondrial membrane associated multi-protein complex which is implicated in induction of necrotic cell death (Halestrap and Richardson, 2015; Kwong and Molkenin, 2015). Historically it has been postulated to be a multimeric channel spanning the outer mitochondrial membrane (OMM) and the inner mitochondrial membrane (IMM). The mPTP is a non-selective channel which has been noted to have a role in maintaining the permeability barrier of the IMM. In the “pore-closed” state, the mitochondrial inner matrix is impermeable to most solutes and ions, including Ca^{2+} and hydrated inorganic ions (Crompton,1999). A number of factors, including excessive oxidative stress in the form of accumulation of ROS-species, low pH, Ca^{2+} , as well as accumulation of phosphate ions leads to a transition of the pore to an irreversible permeabilization of the mPTP, cycling the complex from the “pore-closed” to a “pore-open” state. This transition to “pore-open” state is accompanied by uncoupling of oxidative phosphorylation and reversal of the activity of the The F_1F_0 -ATP synthase to mediate ATP-hydrolysis (Halestrap et al, 2004). Further, transition to the “open” state is accompanied by increase in permeability and free passage of solutes, allowing diffusion of molecules below 1.5kDa size (Halestrap et al, 2004; Giorgio et al, 2009). This secondarily increases inner-mitochondrial osmotic pressure, inducing mitochondrial matrix swelling, unfolding of cristae, and outer membrane rupture with consequent nonspecific release of intermembrane-space proteins into the cytosol, including Cytochrome C leading to induction of necrotic cell-death (Kinally et al, 2011). The exact structure of the mPTP remains to be determined, but considerable evidences have emerged in the recent decade shedding light on the identity of

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the molecular components that form this molecular entity. Historically, the mPTP was postulated to be composed of at least five proteins suggested to form the core component of the mPTP. These were Creatine Kinase (CK, initially postulated to exist in the cytosolic aspect), Voltage dependent anion channel (VDAC, located in mitochondrial outer membrane), translocator protein (outer membrane), Adenine Nucleotide Translocase (ANT, located in the inner membrane) and Cyclophilin D (Cyp D, located in the mitochondrial matrix). However, emerging data challenge this view. Evidence indicate that the pore is formed in the IMM, since mPTP can be formed in mitochondriae where the outer membrane has been removed, i.e., mitoplasts (Silekyte et al, 2011). Current evidence supports a model where ANT, the inorganic phosphate carrier (PiC), and the the F_1F_0 -ATPase complex interact to form a core component of the mPTP, with Cyp D acting as a regulator of pore formation in the IMM (Halestrap and Richardson, 2015; Kwong and Molkenin, 2015). Regulation of mPTP opening by Cyp D maybe dependent on the interactions of this molecule with F_1F_0 ATP synthase through the lateral stalk of the latter complex. VDAC and the inner membrane component of Cyp D have been postulated in the past to recruit apoptotic proteins such as the BH3-domain pro-apoptotic protein BAX at site of intermembranal interactions where junctional complexes are formed (Crompton, 1999 and 2003). A role is now suggested for CK as an intermembrane space protein, along with VDAC in the OMM and the protein Hexokinase, in stabilising junction sites in interaction with ANT in the IMM (Halestrap and Richardson, 2015). However, an essential role for VDAC has been called into question, since murine mitochondriae in which all VDAC isoforms are knocked out retain an unaltered capability for mPTP transition (Baines et al, 2007). Furthermore, evidence indicate that proteins BAX and BAK (BCL-2 homologous antagonist killer) in the outer mitochondrial membrane interact with the core mPTP when the transition complex assembles, and may have a role progression of mPTP opening (Karch et al, 2013),

independent of the oligomerisation-dependent action of BAX in opening the OMM to release cytochrome C in induction of apoptosis.

The mPTP has been demonstrated to be involved in the induction of cardiomyocyte death following ischaemia-reperfusion injury as well as in heart failure (Elrod et al, 2010). Since the collapse of $\Delta\Psi_m$ in response to ANTC-treatment is correlated specifically to induction of the mPTP (Solem et al, 1993), it may be hypothesised that inhibiting the mPTP induction, along with stabilising the mitochondrial transmembrane potential may have a protective influence against ANTC-toxicity on cardiomyocytes.

1.2.4. ANTCs induce cell-death via necrosis, apoptosis, and autophagy.

Although cardiomyocyte death is believed to be a key component of ANTC-cardiotoxicity, investigating the exact mechanism of cell-death offers conflicting explanations. Myocardial tissue in Dox-treated animal models exhibits histological signs of necrosis, but biomolecular analyses suggest other mechanisms, including programmed cell-death or apoptosis, may also be involved. Necrosis, or “unprogrammed” cell-death is characterised by cell-swelling, vacuolation, organellar rupture and non-specific karyolysis. These features were considered to delineate it as a death-response to non-specific toxic and lethal insults, distinct from the ordered or “programmed” cell-death of apoptosis which is induced by specific death-signalling and is dependent on ATP-hydrolysis. Apoptotic cell-death was considered “clean”, characterised by cell-shrinkage, pyknosis, karyorrhexis, externalisation of plasmalemmal phosphatidylserine residues, protein-crosslinking, and phagocytosis of apoptotic debris (Levin et al,1999). However, it is recognised now that necrosis and apoptosis may utilise similar biomolecular pathways, including induction of similar caspases, and in execution of the final death pathway. It is increasingly recognised that a continuum exists whereby, e.g., early apoptosis and mPTP-induction may transform into a necrotic pathway in conditions such as

ATP-starvation, culminating in activation of the final activator Caspase-3 (Zeiss,2003; Martel et al, 2012).

Both necrotic and apoptotic cell-death has been described in ANTC-cardiotoxicity models, including in *in vivo* (e.g., Zhang S, et al, 2012) as well as *in vitro* (e.g., Zhang H et al, 2013). At a biomolecular level, necrotic cardiomyocyte-death may be secondary to the effects of ANTC on the mitochondria, especially on the mPTP. Mitochondria from rats treated with Dox show an increased sensitivity to induction of mPTP opening (Ascensão et al, 2010; Gharanei et al, 2013a). As described in the above section, In the induced, open, state, there is unrestricted movement of molecules smaller than 1.5 kDa across the mPTP, which dissipates the transmembrane potential, inducing mitochondrial swelling, outer membrane rupture, and release of Cytochrome C. Caspase-3 activation resulting from Cytochrome C release can stimulate mPTP induction, which may act as a feed-forward mechanism for further Cytochrome C release. Deletion of mitochondrial Cyp D protects cardiomyocytes from known pore-induction stimuli, e.g. Ca²⁺ overload and oxidative stress, but sensitivity of cells to apoptotic-death stimuli is preserved (Baines et al, 2005). Mitochondrial Cyp D gene deletion in mice has been shown by Nakayama et al (2007) to protect from histological changes of necrosis and from physiological decline in cardiac function observed in control animals two weeks following a single large dose of Dox. Importantly, both oxidative stress and Ca²⁺ overload are hypothesised to be components of ANTC-mediated cardiotoxicity. Interestingly, ANTC-induced induction of the pro-inflammatory interleukin (IL) cytokine interleukin-1 (IL-1) β isoform (IL-1 β) has been previously observed to rise in a dose-dependent manner in murine models of Dox-toxicity, with levels of serum IL-1 β , IL-1 receptor antagonist (IL-1RA), and cardiomyocyte levels of IL-1 receptor I (IL-1RI) correlating with degree of myocardial tissue fibrosis, myocyte vacuolisation, and degeneration (Zhu et al, 2011). The necrotic cell-death pathway, therefore, maybe a target to explore in protecting against ANTC-cardiotoxicity.

In addition to necrosis, however, considerable evidence indicates apoptotic cell-death machinery to be involved in cardiomyocyte death in ANTC-toxicity. Apoptosis is an evolutionarily conserved active-death mechanism described as mediated via two canonical modes- the extrinsic pathway and the intrinsic pathway. The intrinsic pathway is initiated by intracellular or mitochondrial signals, and may be triggered by a diverse array of factors including non-receptor signalling induced by cellular stress, e.g., withdrawal of pro-survival signalling or intracellular stress-responders, e.g., reactive oxygen and nitrite species (ROS and RNS respectively), culminating in loss of suppression against apoptosis (Elmore et al, 2007; Yao et al, 2017). Early changes induced by activation of the intrinsic pathway results in a shift in the cell-death program, normally regulated by a balance of the anti-apoptotic molecules BCL-2, BCL-X_L, and Mcl-1 and of pro-apoptotic proteins e.g. BAD, BH3 interacting-domain death agonist (BID), Bnip3 and Nix. Loss of mitochondrial transmembrane potential and opening of the mPTP further allows the release of mitochondrial IMM-sequestered proteins, e.g., high-temperature requirement 2 (HTRA2)/Omi and direct IAP binding protein with low pI (DIABLO)/second mitochondria-derived activator of caspases (SMAC), inhibiting the activity of the inhibitor of apoptosis proteins (IAP) (van Loo et al, 2002) and activate Cytochrome C (Zhang et al, 2009). Induction of pro-apoptotic BCL-2 family molecules by cellular-stress stimuli leads to translocation of pro-apoptotic molecules e.g. BAX and BAK to the OMM and reinforces activation of Cytochrome C, which is able to recruit the Apoptosis protease activating factor-1 (Apaf-1) and procaspase 9, triggering the apoptosome formation, feed forward auto-activation of caspase 9, and subsequently activates procaspase 3. Contrasted with this non-receptor signalling that triggers the intrinsic apoptotic pathway, the extrinsic pathway is considered to be activated by cell surface death ligands, resulting in activation of cell-surface “death-receptors” which are characterised by the conserved 80 amino-acid “death-domain” in the intracellular aspect and a cysteine-rich extracellular domain (Elmore, 2007). Ligand binding,

e.g., by Tumour necrosis factor (TNF), TNF receptor associated factor (TRAF), FAS ligand (FASL), TNF-related apoptosis-inducing ligand (TRAIL) etc. results in trimerization of these cell-surface death-receptors allowing recruitment of adaptor proteins, e.g., FAS associated death domain (FADD), TNF receptor associated death domain (TRADD) etc., and ultimately activate caspase-8 to induce the Death Inducing Signalling Complex (DISC), culminating in the activation of procaspase-3.

Increasing evidence indicates that “cross-talk” exists between various different pathways of cell-death. Caspase-8 has also been noted to activate BID, BAX and BAK (Gustafsson and Gottlieb, 2003), which may be an example of cross-talk between the canonical extrinsic and the intrinsic pathways. Caspase-8 induced BID-cleavage results in mitochondrial translocation of cytosolic BID and induction of subsequent Cytochrome C release, independent of caspase activity (Li et al,1998). Further, emerging evidence indicate that necrotic cell-death may be triggered by the same cell-surface receptors (e.g., TNF- α) that trigger apoptosis, e.g., by differential energetic states as a result of, e.g., ATP-depletion (Li and Beg, 2000; Lin et al 2004). *In vitro*, TNF- α and TRAIL-induced “programmed necrosis” death pathways have been described in cell-lines, which is noted to involve inhibition of Caspase-8 induced cleavage of receptor-interacting proteins (RIP) (Chan et al, 2003). This highlights that avenues exist whereby necrosis, necroptosis and apoptosis may variably contribute or cross-talk in ANTC-mediated cardiomyocyte death.

ANTCs may directly activate promoters of apoptotic pathway. Dox has been shown to induce an early upregulation of the p53-pathway and upregulate apoptotic proteins including Apaf-1, Fas, MDM2, as well as the p53 inducible gene *Trp53inp1* (Zhang et al, 2012). Moreover, ANTC are capable of activating the innate immune receptors TLRs-2 and 4 in the myocardium (Ma et al, 2012). TLR-4 activation by ANTC inhibits GATA-4, a transcriptional activator of BCL-X_L.

Notably, TLR-4 deficiency attenuated GATA-4 downregulation, reduced cardiomyocyte apoptosis as measured by TUNEL-assay, and reduced myocardial functional decline in a mice model of Dox-induced cardiomyopathy (Riad et al, 2008). In animal models of ANTC-toxicity, enhanced degradation, e.g. through the ubiquitin-mediated system, of survival factors (e.g., apoptosis repressor with caspase recruitment domain, or ARC) and of antagonists of apoptosis (e.g., BCL-2) have been described (Ranek et al, 2009). Further, in *in vivo* models, mice subjected to Dox-treatment has been reported to show an increased level of the pro-inflammatory cytokine IL-6, and an increased level of BAX/BCL-2 ratio (Westermann et al, 2008). Interestingly, the IL-1 family cytokine interleukin-33 (IL-33) was recently reported to attenuate Dox-induced apoptosis in a murine model of ANTC-cardiotoxicity. IL-33, the ligand for the receptor sST-2, was previously reported to suppress cardiomyocyte apoptosis and Caspase-3 activation and increased activation of IAP (Inhibitors of Apoptosis Proteins) homologues in pressure-overload models of heart failure (Seki et al, 2009). This indicates that the inflammatory innate cellular response as well as the paracrine effects of the inflammatory milieu elicited by ANTC-chemotherapy may have a role in cardiomyocyte death. Moreover, ANTC may alter the transcription of proteins in cardiomyocytes to the advantage of the pro-apoptotic proteins. DNR has been shown to inhibit the binding of the transcription factor CBF/NF- γ to a CCAAT-region in the promoter box of GATA-4 in a p53-dependent manner, inhibiting the transcription of GATA-4 mRNA (Park et al, 2011). Similarly, Kawamura et al (2004) demonstrated reduced mRNA levels of the transcriptional co-activator p300 in a murine model of Dox-toxicity. In this experiment, transgenic mice overexpressing p300 displayed increased anti-apoptotic BCL-2 and MDM-2 levels, and were protected against Dox induced DNA-cleavage and caspase-3 induction (Kawamura et al, 2004).

In addition to the intrinsic apoptotic pathways, ANTC-toxicity on cardiomyocytes has also been demonstrated to involve the induction of extrinsic pathway of apoptosis. In rat-models of

ANTC-cardiotoxicity, activation of the transcription factor NF κ B has been shown to directly activate pro-apoptotic genes, including Fas ligand (FASL), Fas, c-Myc and p53 (Li et al, 2007; Zhang et al, 2009). Dox has been shown to activate NF κ B in neonatal rat ventricular myocytes in a TLR-2 dependent mechanism, while TLR-2 knock-out attenuates NF κ B activation and lowers cardiomyocyte apoptosis in mice treated with Dox (Nozaki et al, 2002). Further, Kalivendi et al (2005) observed activation of the transcription factor NFAT4 and an increased FASL expression in H9C2 cardiomyoblasts secondary to Dox treatment. Similarly, in *in vivo* experiments, Nakamura et al administered once-weekly doses of Dox (2mg/kg) and observed an increase in FAS-mediated cardiomyocytes apoptosis. This could be prevented by the administration of a neutralising Anti-FasL-antibody (Nakamura et al, 2000). Further supporting the role of Fas-mediated death pathway in ANTC-cardiotoxicity, cardiomyocyte-targeted overexpression of soluble (s)Fas, a competitive inhibitor of FasL, was shown by Niu et al (2009) to preserve cardiac function and reduce apoptotic indices in response to a low-dose Dox regimen in a murine model of Dox-toxicity.

Interestingly, work in animal models support the hypothesis that apoptosis and necrosis are part of a more complex explanation of the chronic course of ANTC-mediated injury. For example in rat-models, apoptosis peaks at 24-48 hours after bolus Dox-administration before declining to baseline levels seen in control animals (Arola et al, 2000). Among other mechanisms put forward to explain the chronic course of Dox-toxicity, the contributions of autophagy has been emphasised by some experiments. Autophagy is a physiological mechanism of bulk-degradation of short-lived organellar structures and proteins by the Ubiquitin-proteasomal pathway (UPS). Autophagy may co-exist with apoptosis in the metabolically stressed cardiomyocyte (Tacar et al, 2014). Increased protein misfolding; enhanced UPS-mediated degradation of key structural proteins, eg, β -catenin; degradation of survival factors, e.g., ARC (apoptosis repressor with caspase recruitment domain) and the

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apoptosis antagonist BCL-2 have been noted in animal models of ANTC-toxicity (Ranek et al, 2009). In rabbits, the concentrations of poly-ubiquitinated proteins GrP75 and Hsc70, mediators of chaperone mediated autophagy, has been shown to be increased (Sterba et al, 2011). Further, cardiomyocytes from rats receiving high dose Dox have been demonstrated to have an increased myosin Light chain II (LCII) to Light chain I (LCI) ratio, an indicator of increased autophagic flux (Zhang Y, et al, 2011a). Similarly, inhibiting autophagy has been observed to limit autophagic-vacuole formation and mPTP induction, and further protect against decline in cardiac function (Ling et al, 2013). Furthermore, ANTC related cardiotoxicity has been shown to be exacerbated in mice transgenic for overexpression of Nrdp1, an E3-ligase enzyme that mediates ubiquitin-conjugation to target poly-ubiquitinated proteins for proteasomal degradation (Zhang et al, 2013). This indicates that autophagy-mediated degradation of key structural and functional components may be a component of ANTC-mediated cardiomyocyte injury.

Autophagic pathways, in addition to their role for turnover of cellular machinery, may moreover form a link in the cross-talk between apoptosis and necrosis death, and interact with and influence both pathway. For example, the ubiquitylation state of the Receptor Interacting Protein (RIP) group of proteins RIP-1 and RIP-3 has been noted to influence the activation of the apoptotic pathways and NF κ B induction, and proteolysis of de-ubiquitinated RIP-3 by Caspase-8 in the Death Inducing Signalling Complex (DISC) has been noted as important in apoptotic-caspase induction in response to TNF- α as well as Fas mediated apoptosis (He et al, 2009). In the absence of Caspase-8, TNFR1 activation leads to programmed activation of necrosis, or necroptosis. Interestingly, Zhang et al (2016) observed a reduced burden of necrotic cardiomyocyte-death as observed by Evans blue staining when RIP-3 null mice were subjected to bolus doxorubicin-insult intraperitoneally. This highlights that interplay between canonical cell-death pathways and the machinery of molecular turnover may play a significant

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role in cardiomyocyte-death secondary to ANTC. Targeting key mediators of the necrotic and apoptotic pathway, as well as the cellular autophagic flux needs to be explored further in delineating the mode of ANTC-Cardiotoxicity. However, given the role of ANTC as chemotherapy agents, differentiating between death-pathways in malignant cells and in cardiomyocytes is necessary before translational interventions may be explored.

1.3. Mediators of ANTC-cardiotoxicity.

Although considerable insights have been made into the mechanisms of ANTC-cardiotoxicity, the identity of the trigger(s) that initiate this cardiomyocyte injury remains elusive. Evidence have been offered in support of a number of different mechanisms, including a role for reactive oxidative and nitrosative species-mediated injury (Weinstein et al, 2000), Ca²⁺ overload-mediated cell-death (Doroshov et al, 1986; Solem et al, 1994), and excess iron accumulation in the cytosol (Ichikawa et al, 2014). The exact mechanism may be an interaction of a number of different key mediators in the metabolically stressed cardiomyocyte, inducing a final common pathway of cell-death.

1.3.1 Reactive oxygen and Nitrogen species.

The hypothesis of oxidative stress and consequent cellular apoptosis/necrosis was, for a considerable amount time, considered the strongest explanation of the mechanisms of cardiomyocyte dysfunction/death in ANTC-cardiotoxicity (Minotti et al, 2004; Berthiaume et al, 2007). Futile redox-cycling of the quinone moieties of the core ANTC-structure (Fig. 1.5) may generate excess superoxide anion, hydrogen peroxide, and hydroxyl radicals (collectively referred to as Reactive Oxygen Species, or ROS), which saturate the catalase and the glutathione (GSH)-mediated ROS-detoxification system. The quinone-semiquinone redox-cycling may also sustain ROS levels by increasing free cellular iron and potentiating ferrous-ferric cycling of molecular iron (Childs et al, 2002; Ichikawa et al, 2012). Since cardiomyocytes

are rich in mitochondria and relatively deficient in endoplasmic reticulum-associated cytochrome p450 enzymes, substantial burden falls on the mitochondria for bio-reduction of ANTC. Excess ROS may allow dissipation of the mitochondrial transmembrane potential. *In vivo* Dox-treated mice show evidence of elevated lipid peroxidation compared to control animals (Childs et al, 2002), while free radical scavengers e.g. probucol, and use of DXZ as an iron-chelator demonstrates a protective effect against Dox-toxicity in *in vivo* animal models (Konig et al, 1991; Kumar et al, 2001). Further, superoxide ROS ions may generate peroxynitrite species (or Reactive nitrite species, RNS) through reactions with nitric oxide (Beckman et al, 1996). RNS are selectively oxidant, reacting slowly with most biological molecules including amino acids, and thereby inducing nitrosative stress. In a murine model of Dox-toxicity, depression of cardiac function with high dose intraperitoneal injection of Dox has been shown by to correlate significantly with nitrotyrosine products (Weinstein et al, 2000; Mihm et al, 2001; Mihm et al, 2002). Further, promoting peroxynitrite catalysis improves survival and attenuates Dox-induced fall in cardiac function in murine models of Dox-toxicity (Pacher et al,

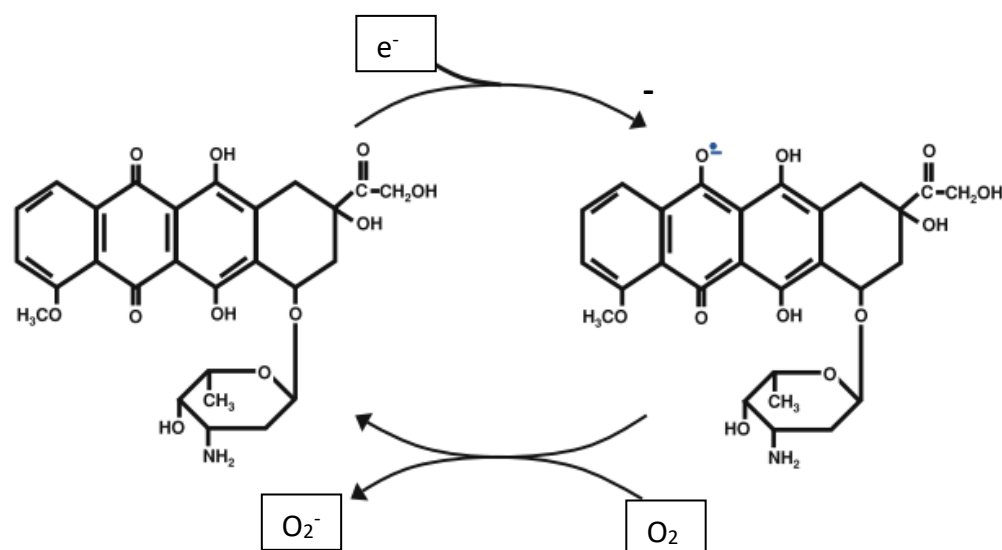


Fig.1.5. Proposed mechanism of generation of reactive oxygen species from ANTC compounds. The quinone group in C₁₂ (ring C) may be capable of undergoing univalent reduction to semiquinone radical. In presence of molecular oxygen, the semiquinone auto-oxidises to generate the parent anthracycline along with superoxide anion (Modified from; Wallace and Berthiaume, 2007).

2003). In clinical practice, however, benefits of ROS-quenching remains unclear. A 2011 Cochrane review concluded that the use of N-acetyl cysteine (NAC) to restore GSH-reductive capacity and reduce ROS-burden shows no significant cardioprotective effect (van Dalen et al, 2011). Similarly, iron-chelation with DXZ was not observed in this review to offer any excess survival benefits compared to control groups. This raises the question whether oxidative and nitrosative stress may be the trigger of injury, or a pathological mediator of a separate underlying injury mechanism.

1.3.2. Calcium signalling in ANTC-cardiotoxicity.

Although Ca^{2+} is crucial to the contractile function of cardiomyocytes, abnormal Ca^{2+} cycling and altered Ca^{2+} signalling has been noted in human heart failure. For example, L-type calcium channel (LTCC) activity increases in the failing human heart (Luo and Anderson, 2013; Glukov et al, 2015). ANTC have been demonstrated in both *in vitro* as well as in chronic *in vivo* models to increase mitochondrial membrane permeabilisation and allow Ca^{2+} release from mitochondria (Solem et al, 1994). Cardiac mitochondria from rats subjected to weekly treatments of Dox (2mg/kg for 13 weeks) display increased mitochondrial Ca^{2+} accumulation (Solem et al, 1994). Further, mitochondria from Dox-treated animals show increased Ca^{2+} -induced depolarisation of transmembrane potential. Furthermore, the suspected triggers of ANTC-toxicity may also initiate pathological Ca^{2+} signalling. ROS, for example can activate calmodulin dependent kinase II (CAMKII) (Sag et al, 2011). CAMKII has a role in phosphorylation and regulation of Ca^{2+} regulatory proteins including ryanodine receptor 2 (RyR2), Sarcoplasmic Reticulum Ca^{2+} -ATPase (SERCA2A), phospholamban, and LTCC (Sag et al, 2011; Luo and Anderson, 2013). Excess Ca^{2+} within the cytosol can activate a number of signalling cascades including protein kinase A (PKA), CAMKII and adenosine monophosphate regulated kinase (AMPK) (Koval et al, 2011). Abnormal CAMKII activation has been linked to the contractile dysfunction in ANTC-induced heart failure secondary to altered sarcoplasmic reticulum Ca^{2+}

release, elevated diastolic Ca^{2+} content in the sarcoplasmic reticulum, and reduced transient Ca^{2+} amplitude (Olson et al, 2005).

In addition to aberrant activation of Ca^{2+} -regulated signalling cascades, ANTC may mediate cardiomyocyte dysfunction through direct actions on specific Ca^{2+} regulatory proteins. For example, both isoforms of Ryanodine receptor (RyR) are modified by ANTC (Feng et al, 1999; Hanna et al, 2011), possibly as a consequence of modification of thiol groups in these proteins, or due to drug-protein interaction. The Ca^{2+} binding capacity of Calsequestrin, for example, is decreased in the presence of DNR (Charlier et al, 2005). In rabbit-models of chronic DNR-toxicity, protein levels as well as gene expressions of SERCA2A and 2B, RYR2, Calsequestrin, and NCX have been shown to be significantly downregulated (Stěrba et al, 2011). Moreover, mRNA levels of RYR2, and ryanodine- binding kinetics of the RYR2 protein has been shown to be significantly downregulated in response to DNR, particularly in the left ventricle (Lenčová-Popelová et al, 2014).

These observations suggest ANTC-cardiotoxicity is very likely a multi-factorial process (Fig.1.6). Diverse factors such as altered mitochondrial respiratory function, mitochondrial biogenetic pathways, excess oxidative and metabolic stress, altered Ca^{2+} homeostasis, as well inhibition of pro-survival factors and excess of cellular death signals contribute to the final manifestation of the ANTC-injured failing heart.

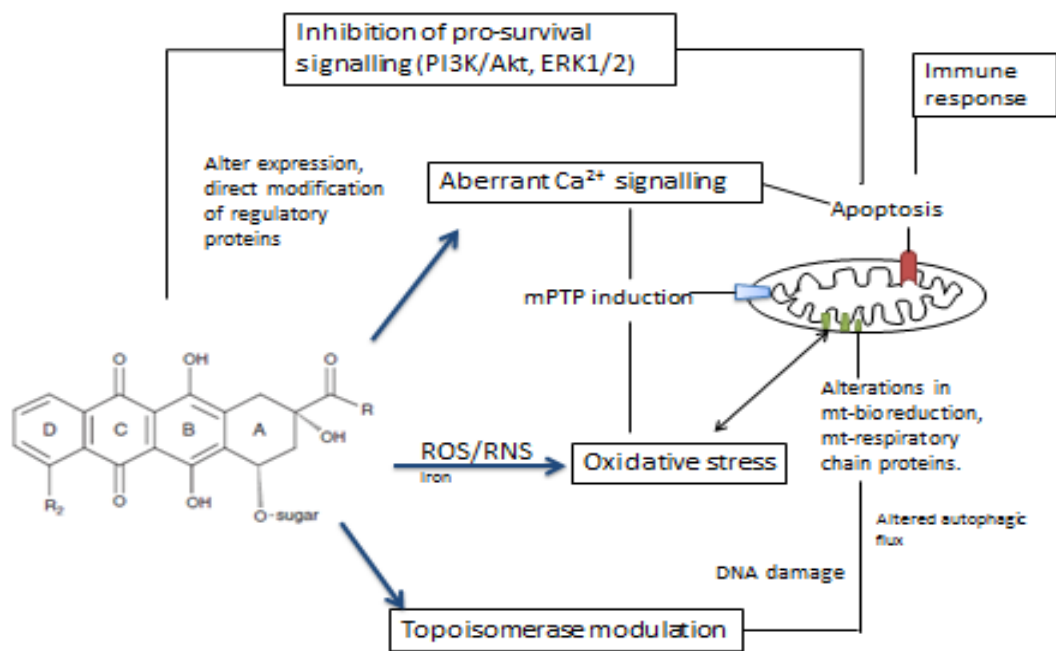


Fig 1.6. Postulated mechanisms involved in ANTC-cardiotoxicity. Iron overload and/or futile recycling of the quinone moieties of ANTC may lead to generation of ROS/RNS species, which directly lead to mPTP induction and necrotic cell-death. Oxidative stress and direct toxic effects on Ca^{2+} regulatory proteins may secondarily lead to aberrant Ca^{2+} signalling, resulting in contractile dysfunction and/or secondarily inducing the mPTP transition. DNA damage and Topoisomerase modulation by ANTC may lead to alterations of mitochondrial biogenesis and the mitochondrial respiratory chain. Inhibitions of pro-survival signalling and alterations of autophagic fluxes may further alter the balance of apoptosis in favour of pro-apoptotic factors, while mitochondrial protein degradations may be responsible for inhibited respiration (Abbreviations: ERK=Extracellular signalling related kinase, Ca^{2+} = Calcium, mPTP=Mitochondrial permeability transition pore, mt=Mitochondria, PI3K= Phosphoinositide 3-kinase, ROS=Reactive Oxygen Species, RNS=Reactive nitrosative species) (Illustration by author).

1.4. Reperfusion injury.

It is interesting to note that the cardiomyocyte injury caused by ANTC shares aspects that are similar to the mechanism(s) associated with “ischaemia-reperfusion injury”. This includes the involvement of ROS, RNS, Ca^{2+} overload, altered autophagic flux, as well as altered metabolic state secondary to modulation of mitochondrial function.

1.4.1 What is reperfusion injury.

During myocardial infarction, cardiomyocytes deprived of oxygen due to the absence of blood-flow undergo cell-death. The gold-standard treatment for an acute-MI is therefore restoration of coronary flow by Percutaneous Coronary Intervention (PCI) or by pharmacological

thrombolysis. However, even after restoration of blood flow to the ischaemic myocardium, further cardiomyocyte injury occurs in the ensuing 24 to 48 hours. Early studies in dogs showed coronary occlusion for periods as short as 30-minutes led to histological changes of necrosis comparable to permanent ligation of a coronary artery for 24 hours (Reimer et al, 1977). The final infarct size may therefore be much larger than what would be predicted for the duration of ischaemia. It is estimated that this reperfusion-related injury accounts for nearly 50% of the final infarct size (Marber et al, 1993; Hausenloy and Yellon, 2003). A number of explanations have been put forward for this paradoxical finding of cardiomyocyte-death despite the relief of ischaemia, including postulated roles for injurious triggers, e.g., ROS, RNS, aberrant Ca^{2+} signalling and other mediators that are also hypothesised to play a role in ANTC-cardiotoxicity.

1.4.2. ROS and RNS in reperfusion injury.

ROS and RNS have been implicated as a key mediator of ischaemia-reperfusion injury. In the oxygen-deprived state of ischaemia, oxidative phosphorylation is inhibited. Re-initiation of oxidative phosphorylation at the onset of reperfusion, however, has been noted to be accompanied by the generation of ROS. For example, xanthine dehydrogenase, which converts Nicotinamide Adenine Dinucleotide (NAD) to its reduced form of NADH, has been suggested to undergo proteolytic cleavage during ischaemia to form Xanthine oxidase, which may be responsible for generation of reactive superoxide radicals in the post-ischaemic cardiomyocytes (Thompson-Gorman et al, 1993; Xia et al 1995). As with its putative role in the aetiology of ANTC-cardiotoxicity, excessive ROS may lead to mitochondrial matrix swelling, collapse of $\Delta\Psi_m$, and induction of transition of the mPTP to the open state. Further, ROS may also cause phosphorylation and degradation of anti-apoptotic proteins e.g. BCL-2 (Juhaszova et al, 2004). Furthermore, mPTP induction by ROS may in turn be caused by inhibition of the respiratory chain, and allow further ROS formation by the mitochondria. This phenomenon of ROS-induced ROS release has been suggested to function as a feed forward loop that may

exacerbate the process of reperfusion injury (Zorov et al, 2000; Halestrap and Pasdoi, 2009). Supporting a role for ROS-species in reperfusion-injury, application of antioxidants, e.g., N-acetyl cysteine in chick cardiomyocyte model of ischaemia-reperfusion protects against cell-death (Levrant et al, 2002). Therefore, similar to the proposed mechanism of Reactive-species mediated ANTC-cardiotoxicity, ROS and RNS species may mediate reperfusion-injury through induction of the mPTP, and/or by potentiating the induction of the apoptotic pathway which may ultimately lead to cardiomyocyte death.

1.4.3. Calcium signalling in reperfusion injury.

In addition to reactive radicals, aberrant Ca^{2+} signalling has been strongly suggested to be involved in the molecular pathology of reperfusion-injury. While alterations in levels and function of Ca^{2+} -regulating proteins may lead to excess mitochondrial Ca^{2+} accumulation in ANTC-toxicity, the mechanism of Ca^{2+} -mediated toxicity in reperfusion injury that has been described share similarities as well as differences. The loss of oxidative phosphorylation during ischaemia leads to lactate accumulation, leading to an excess cumulative proton (H^+) burden during ischaemia and an excess intracellular Na^+ ($[\text{Na}^+]_i$) accumulation as a consequence of increase in the Na^+ - H^+ exchanger (NHE) activity (Murphy and Eisner, 2009). The increase in $[\text{Na}^+]_i$ may secondarily stimulate NCX, which leads to intracellular Ca^{2+} accumulation at reperfusion (Garciaarena et al, 2013). NCX has been shown to be capable of activating Ca^{2+} related CAMKII cascade (Villa-Petroff et al, 2007). Further, Ca^{2+} entry via sarcolemmal L-type Ca^{2+} channels (LTCC) and deficient import of cytosolic Ca^{2+} into the sarcoplasmic reticulum (SR) by SERCA2A promotes Ca^{2+} overload in the cardiomyocyte. Intracellular Ca^{2+} ($[\text{Ca}^{2+}]_i$) is therefore elevated during reperfusion— either as a consequence of reversal of the NCX, or secondary to activation of the LTCC during ischaemia (Zhang H et al, 2013).



(Copyrighted image)

Fig.1.7. Postulated mechanisms of Reperfusion-mediated injury to cardiomyocytes. Calcium and ROS species are among key mediators considered to be mediators of ischaemia-reperfusion (IR)-injury. Generation of Xanthine Oxidase during ischaemia is a postulated mechanism of generation of ROS species during reperfusion. ROS species are capable of inducing mPTP transition, e.g., through molecular switches like GSK-3 β . Similarly, intracellular calcium overload may occur due to LTCC activation during ischaemia. Alternatively, lactate build up maybe capable of activating the NHE, and build up Na⁺, leading to secondary activation of the NCX. This excess calcium may lead to loss of mitochondrial transmembrane potential, or directly lead to transition of the mPTP. Alternatively, aberrant signalling due to ROS and/or calcium may also lead to induction of apoptotic signals. (Abbreviations. $\Delta\Psi_m$ =Mitochondrial transmembrane potential, $[Ca^{2+}]_i$ = Intracellular calcium, LTCC= L-type Calcium Channel, $[Na^+]_i$ = Intracellular Sodium Concentration, mPTP= Mitochondrial permeability transition pore, NCX=Sodium Calcium Exchanger, NHE= Sodium Hydrogen Exchanger, ROS=Reactive Oxygen species, XO=Xanthine Oxidase) (Murphy et al, 2009).

Furthermore, reduced Ca²⁺ uptake by the SR, reduced Ca²⁺ release, and reduced activity of the Ryanodine receptor (RyR) has been observed in isolated Langendorff-perfused heart models of IR injury, along with reduced mRNA and protein levels of SERCA2A and RyR (Temshah et al, 1999). In these experiments, the authors were able to demonstrate partial rescue against the decrease of mRNA and protein-levels by perfusing with superoxide dismutase and with catalase, suggesting a causal role for ROS-species in the alterations of Ca²⁺ regulatory proteins during reperfusion injury. Therefore, pathological role for deleterious Ca²⁺ signalling and ROS species may be interlinked. Further supporting a role for aberrant Ca²⁺-signalling in reperfusion injury, Ca²⁺-induced signalling cascades, e.g., CAMKII have been shown to be capable of inducing cardiomyocyte death (Said et al, 2011). Inhibiting these cascades improves cardiac

contractile function and reduces markers of necrosis, e.g., Cytochrome C levels, as well as apoptosis, e.g., BAX/BCL-2 ratio, in *ex vivo* animal models (Salas et al 2010). Specifically, the delta subunit of CAMKII has been suggested to activate NFκB through phosphorylation and inhibition of IκB (Ling et al, 2013; Zhang XQ et al, 2013). Ca²⁺-related signalling, e.g., Calcineurin cascade and AMPK signalling may also be capable of inhibiting autophagy, which has been suggested to have a role in reperfusion injury (Huang et al, 2010, He et al 2014). Inhibiting aberrant calcium-mediated signalling therefore has been a target in mitigating reperfusion injury.

In summary, the mechanisms postulated to lead to the altered cardiomyocyte survival in ANTC toxicity share key mediators that appear to have a role in the toxicity in ischaemia-reperfusion injury. This includes ROS/RNS species and altered Ca²⁺ signalling, which may secondarily activate cell-death mechanisms, including by mPTP induction and by activation of the apoptosome and of necrosis. Interventions directed at limiting ischaemia-reperfusion injury may therefore offer also offer a protective-effect against ANTC toxicity.

1.5. Ischaemic preconditioning.

Ischaemic preconditioning (IPC), i.e., subjecting a target organ to brief periods of hypoxia/ischaemia followed by reperfusion, is another paradoxical aspect of the puzzle of reperfusion injury and its salvage. Murry et al (1986) described what is considered the first published evidence that preconditioning a canine heart with short periods of ischaemia offers a protective effect against a subsequent prolonged ischaemic insult by limiting infarct size. Since then, the IPC phenomenon has been confirmed to exist in different species, including in porcine heart, rat heart, murine heart and bovine myocardium (Iliodromitis et al, 2007). Circumstantial evidence further points to an IPC effect existing in humans, since pre-infarction

angina has been reported to be associated with smaller infarct sizes and more rapid revascularisation following thrombolysis (Andreotti et al, 1996; Kloner et al, 1995a; Kloner et al, 1995b).

1.5.1. IPC-mediated cardioprotection.

In order of cardioprotective potential, IPC is described by some authors as weaker only to myocardial revascularisation (Yellon and Baxter 1995). It offers a strong protection against progression of the ischaemic wave front and limits infarct size (Yellon and Baxter 1995; Downey et al, 2007). The initial protection offered by IPC was observed to be immediate but transient, lasting between 2 and 4 hours before subsiding. However a second window of protection, albeit less robust, was subsequently identified in the research investigating the mechanism of protection mediated by IPC, which reappears after 12-24 hours and persists for nearly 72 hours (Marber et al, 1993; Yellon and Baxter 1995; Kis et al, 2003). A number of preconditioning mimetics have been identified which offer similar protection against ischaemia-reperfusion injury as seen with IPC. These include diverse insults such as heat stress, hypothermia, sepsis, myocardial stretch etc., as well as various pharmacological agents e.g. volatile anaesthetics, opioids, nitrates (Cohen et al, 2001; Downey et al, 2007, Illiodromitis et al, 2007).

1.5.2. Signalling pathways in IPC.

Multiple, redundant, cell-surface receptors have been identified as triggers of IPC. This includes Adenosine A₁, A_{2B} and A₃ receptors, Bradykinin receptors, Cannabinoid CB₂ receptors, delta-opioid receptors, muscarinic receptors, natriuretic peptides receptors etc. (Cohen et al 2001; Solenkova et al, 2006). These triggers activate a complex network of pro-survival signalling cascades, and protects the cardiomyocyte from death-stimuli during the reperfusion phase of a subsequent prolonged ischaemic episode (Hausenloy et al, 2005). G-protein coupled receptor (GPCR)-linked signalling, as well as receptor tyrosine kinase mediated signalling arising from

these receptors have been identified as the most common trigger which serve to initiate a protective signalling cascade (Cohen et al 2000).

1.5.3. The “RISK” pathway.

Evidence to date implicates a complex signalling cascade involving PI3 Kinase (PI3K), Protein Kinase C (PKC), and MAP kinase pathways, e.g., MAPK ERK 1/2 as mediators of IPC-induced protection (Hausenloy, 2013). Identified by the Yellon group in 2002 (Schulman et al, 2002) and collectively termed the “Reperfusion Injury Salvage Kinase” (or “RISK”) pathway, these cascades interact at multiple levels.

PI3K, for example, is known to be activated by a number of preconditioning triggers. Class I_A PI3Ks (which includes the PI3K α , β and δ isoforms) can be activated by receptor tyrosine kinases and by cytokine receptors, while GPCRs have been shown to activate Class I_B isoforms (e, PI3K γ) (Oudit et al, 2004). Inositol trisphosphate (IP3), generated by PI3K, is the activator of a diverse and complex array of downstream cascades which includes PDK1, Akt/PKB isoforms, as well as monomeric G-protein regulated signalling (Datta et al, 1999). For example PDK-1, after binding to PI3K, activates Akt/PKB, p70S6K and glucocorticoid-regulated kinase-1 (SGK1) (Biondi et al, 2001; McManus et al, 2004). Further, Akt can subsequently activate ERK. The targets of these cascades include Glycogen Synthase Kinase (GSK)-3 β , the mammalian target of Rapamycin (mTOR) C1 pathway, eNOS, as well as specific caspases (Oudit et al, 2004). PI3K inhibition, e.g., by Wortmannin, has been shown to abrogate IPC-mediated protection (Tong et al, 2000; Hausenloy et al, 2005). Similarly, P70S6K was shown to have an essential role in the delayed phase of protection offered by preconditioning (Kis et al, 2003).

Furthermore, signalling cascades downstream of PI3K, as well as phosphoinositoltrisphosphate (PIP3) derivatives like Diacylglycerol (DAG), PIP2 and Phosphatidylcholine etc. can activate additional downstream cascades implicated in IPC-mediated protection. PKC, for example, has

been shown to be a downstream mediator of PI3K-mediated protection (Tong et al, 2000), while both p38 MAPK and ERK 1/2 have been shown to be a part of the signalling cascades mediating early as well as delayed protection offered by IPC (Fryer et al, 2001).

These data show that the RISK pathway of IPC-mediated protection involves a complex network of signalling cascades. PI3K plays a central role as the initial activator, and subsequently activates further downstream kinases, e.g., ERK 1/2 and PKC which form an interacting complex of pro-survival signalling cascades in protecting against reperfusion injury.

1.5.4. Mechanisms of IPC-mediated protection.

The exact mechanism(s) of the protection offered by the activation of pro-survival signalling cascades is yet to be clearly defined, but appear to converge on stabilisation of the mitochondrial transmembrane potential, preventing induction of the mPTP, preventing apoptosis, and improved mitochondrial stress response (Maulik et al, 1999; Fryer et al, 2001; Lacerda et al, 2009).

IPC has been shown to preserve mitochondrial transmembrane potential, preserve Cytochrome C levels, and prevent pathological induction of the mPTP in ischaemia-reperfusion (Costa et al 2008; Hausenloy and Yellon, 2003; Illiodromitis et al, 2007). This preservation may be secondary to limiting Ca^{2+} overload during reperfusion. In isolated Langendorff-perfused hearts, IPC has been observed to attenuate the ischaemia-induced decline in Ca^{2+} content in the SR, improve SR Ca^{2+} release and uptake, as well as preserve protein content of SERCA and Phospholamban (Osada et al, 1998, Temshah et al, 2002). Moreover, IPC has been shown to modulate the transcription and synthesis of proteins involved in the apoptotic pathway. IPC limits nuclear translocation of NF κ B, and upregulates BCL-2 in rat models of ischaemia-reperfusion injury (Maulik et al, 1999). Of particular note, GSK-3 β is a phosphorylation-target of key IPC-induced signalling cascades including PI3K. GSK-3 β inhibition has been shown to

protect against the induction of the mPTP in ischaemic preconditioning (Tong et al, 2002). Similarly, STAT3 may mediate protection by phosphorylating and inhibiting GSK-3 β , which has been suggested to be independent of the DNA binding activity of this transcription factor (Pedretti and Raddatz, 2011). STAT3 has also been shown to upregulate transcription of anti-apoptotic BCL-2 and downregulate BAX (Hattori et al, 2001), while STAT3-dependent phosphorylation may be a mechanism of inhibition of the pro-apoptotic factor BAD (Lecour et al, 2005). Further, STAT3 has been suggested to protect the mPTP induction directly, which maybe a consequence of its interactions with Cyp D (Boengler et al, 2010).

The known pathways triggered by IPC have also been shown to be initiators of the delayed protection observed with preconditioning. Increases in the expression of anti-oxidant pathways, e.g., Manganese superoxide dismutase (MnSOD), glutathione peroxidase and catalase has been noted with IPC (Das et al, 1993), which may hold particular relevance to protection against the postulated mechanism of toxicity of anthracyclines on cardiomyocytes. MnSOD and Haem oxygenase 1 (HO-1) are among factors suggested to be mediators of this delayed protective effect (Hausenloy and Yellon, 2010). STAT3, for example, activates MnSOD (Lecour et al, 2011). Further, MnSOD is also upregulated by a number of cytokines including IL-1 β and TNF- α (Yamashita et al, 2000). Inhibiting MnSOD transcription has previously been shown to abrogate delayed IPC-mediated protection in rat cardiomyocytes (Yamashita et al, 1994). Similarly, siRNA mediated inhibition of HO-1 has also been shown to block delayed protection conferred by simulated IPC as well as by pharmacological preconditioning agents (Jancsó et al, 2007).

In addition to altering the balance of death-signalling pathways, end-effectors of IPC may protect mitochondrial function and alter autophagic flux. For example, IPC has been shown to improve mitochondrial H⁺ leak in response to ischaemia-reperfusion (Quarrie et al, 2011).

Further, mitochondrial high energy phosphate content and oxidative phosphorylation pathway is preserved in the preconditioned myocardium (Kobara et al, 1996). Delayed ischaemic preconditioning has been shown to activate the expression of nucleus-encoded components of the electron transfer chain (McLeod et al, 2004), which results in improved ADP-sensitive respiration and electron transfer chain activity. Furthermore, IPC has been demonstrated to increase transcript levels PGC-1 and Nrf1— both components of nuclear encoded regulators of mitochondrial biogenesis (McLeod et al, 2004). IPC also improves autophagic flux, while Beclin 1 inhibition by BCL-2 has been demonstrated in rat models of limiting reperfusion injury with IPC (Peng et al, 2013).

1.6. Summary.

ANTC-induced cardiomyocyte injury remains incompletely understood, but many postulated aspects of the biomolecular pathology are similar to the injury inflicted during reperfusion following an ischaemic insult. This includes aspects of toxicity associated with highly reactive species e.g. ROS and RNS, the mechanisms initiated by pathological Ca^{2+} overload, alterations of mitochondrial transmembrane potential ($\Delta\Psi_m$), and induction of cell-death by apoptosis and necrosis through, e.g., induction of mitochondrial permeability transition pore (mPTP) or through induction of the apoptosome. Similarly, alterations are also noted in the metabolic pathway in the cardiomyocyte including inhibitions of the respiratory complexes, and of alteration to autophagic flux. However, multiple interventions including ROS-scavenging with N-acetyl cysteine (NAC) or iron-chelation with Dexrazoxane have failed to translate clinically to providing meaningful protection against cardiotoxicity caused by Dox (an anthracycline compound) (van Dalen et al, 2011). Moreover, Dox has been shown to modulate a number of pro-survival signalling cascades. For example, phosphorylation induction of PI3K/Akt and MAPK/ ERK 1/2 has been observed in response to doxorubicin-insult in ischaemia-reperfusion

stressed myocardium (Ghanaranei et al, 2013a), while other groups have reported dephosphorylation of PI3-Kinase (PI3K)/Akt after Dox exposure which may lead to activation of apoptotic pathways (Fan et al, 2008). Induction of PI3K signalling, e.g. by decreasing the recruitment of the phosphatase PTEN to the plasma membrane, has been demonstrated to ameliorate doxorubicin-induced cardiomyopathy *in vivo* (Kitamura et al, 2014). Other experiments have implicated the MAPK ERK 1/2 pathway in protection against Dox-induced cardiotoxicity (Lou et al, 2005; Zhu et al, 1999; Su et al, 2006).

A protective effect against lethal ischaemic injury is observed at cellular and organ levels when cardiomyocytes are subjected to brief non-lethal hypoxic insults followed by short periods of reperfusion before lethal ischaemia is inflicted. This powerful protective phenomenon, termed hypoxic preconditioning (HP) or ischaemic preconditioning (IPC), protects cardiomyocytes against hypoxia-reperfusion injury and subsequent cell-death by activating potent pro-survival signalling pathways. A complex of signalling cascades, collectively termed the Reperfusion Injury Salvage Kinase (RISK)-pathway has been implicated in the protection observed with HP (Yellon et al, 2015; Davidson et al 2006). Interestingly, the RISK pathway involves the same signalling cascades that are observed to be modulated by anthracycline treatment, e.g., PI3-Kinase (PI3K), Protein Kinase C (PKC), and MAP kinase pathways, and the end-effect of these cascades are postulated to act on similar molecular mediators, e.g., ROS and RNS species and further protect organellar structures, e.g., mPTP, that are modulated in the pathogenesis of anthracycline cardiotoxicity. We therefore explored the hypothesis that HP may offer protection against the toxicity of anthracyclines on cardiomyocytes.

Chapter 2. Hypotheses and objectives.

2.1. Hypotheses.

The overall research hypothesis of the work presented in this thesis explored whether hypoxic preconditioning (HP) can protect cardiomyocytes against anthracycline-mediated injury. Doxorubicin was used as a representative anthracycline, and the hypothesis was explored using isolated adult rat ventricular myocytes (ARVM) model.

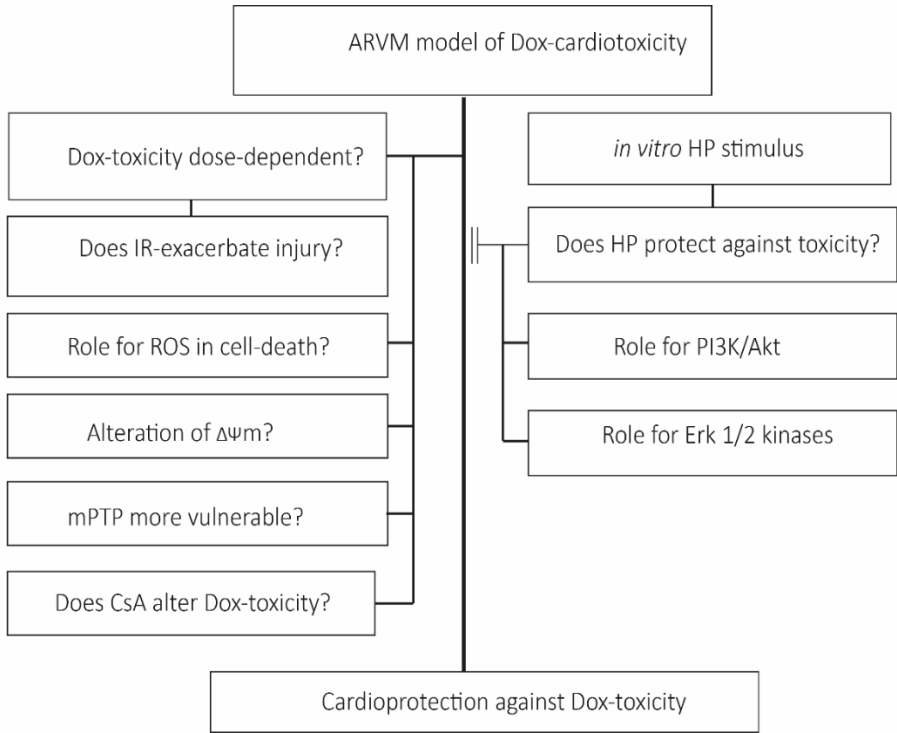


Fig.2.1. Main research hypotheses explored in the presented work. In an Adult Rat Ventricular Myocyte (ARVM) model, we explored the toxicity of doxorubicin, using cell-death as a marker of injury. On doxorubicin-stressed cells we explored the effect of ischemia-reperfusion injury (IR-injury), the role of N-acetyl cysteine (a known ROS-scavenger), and alterations of mitochondrial transmembrane potential ($\Delta\Psi_m$). To explore the role of mitochondrial permeability transition pore (mPTP) on doxorubicin-induced injury, we investigated mPTP-induction and cell-death in presence of Cyclosporine A (CsA), since CsA is known to increase the stimulus-threshold required for induction of the mPTP. To probe if hypoxic preconditioning (HP) was protective against doxorubicin-injury, we characterised an *in vitro* HP stimulus, and investigated if a preceding HP stimulus could protect against subsequent doxorubicin-toxicity. We further explored the role of the signalling pathways induced by the Reperfusion injury salvage kinases (RISK) in their potential to protect against doxorubicin-mediated cardiomyocyte death in this model.

As explained in introduction, there is a lack of clarity and consensus on the mechanism of anthracycline cardiotoxicity. Cell models offer the advantage that the toxicity of the compound on isolated cardiomyocytes can be studied, independent of the effect on other cell-types and any compounding physiological variables. We therefore aimed to establish a consistent reproducible model of toxicity of Dox *in vitro*, and investigate the possible mechanisms of cardiomyocyte-death in anthracycline-mediated cardiomyocyte injury. This model will further allow us to explore the protective potential of HP and the pathways of protection, if any. The hypotheses can therefore be broken down into two individual lines of investigation (Fig. 2.1).

2.2. Objectives

2.2.1. Objective 1. To investigate mechanisms of anthracycline-cardiotoxicity in an isolated ARVM cell model.

Our first objective is to explore the mechanisms cardiomyocyte- injury due to Dox, using cell-death as a reflection of anthracycline-injury. The involvement of mediators, e.g., oxidative stress, Ca²⁺, etc, as well as cell-death pathways, e.g., mitochondrial pore transition will be explored.

2.2.2. Objective 2: Hypoxic preconditioning protects cardiomyocytes against toxicity of doxorubicin.

Our second objective is to evaluate whether hypoxic preconditioning may offer protection against anthracycline-cardiotoxicity. Further, we aim to explore the phosphorylation status of PI3K/Akt and MAPK ERK 1/2 and the respective changes in response to Dox and to HP independently and in combination. Since Dox modulates signalling cascades including PI3K/Akt and MAPK ERK 1/2 that form the the RISK pathway induced by HP, differentially inhibiting the respective cascades with LY294002 and PD98059 and re-examining the influence on cell-death due to Dox will offer potential insights into the role of these kinases in protection or pathogenesis of anthracycline cardiotoxicity.

Chapter 3. Materials and Methods.

3.1. Materials.

3.1.1. Animals.

Male adult Sprague-Dawley rats (150g-450g weight) were obtained from the UCL biological Services Unit for use in this experiment. All animals were housed in a temperature, humidity and light cycle controlled environment. All animal experiments were carried out in accordance with the UK Home Office Guide on the Operation of Animal (Scientific Procedures) Act of 1986 under home office licence PPL 70-8556

3.1.2. Cell culture medium.

Medium 199 with Earle's salt and L-glut (Invitrogen Catalogue number: 31150-030) was supplemented with Creatine (5 mM), Carnitine (2 mM) and Taurine (5 mM). 5 ml of 100x Penicillin/Streptomycin solution (Sigma CAS: P4333) was added directly to the final supplemented solution.

3.1.3. Cyclosporine A.

Cyclosporine A (CsA) from *Tolypocladium inflatum* (Merck Millipore, CAS No. CAS 59865-13-3) was dissolved in DMSO per manufacturer's instructions. Further dilution was carried out in medium 199 for use in cell-survival experiments, or in Tyrode's solution (137 mM NaCl, 2.7 mM KCl, 1 mM MgCl₂, 1.8 mM CaCl₂, 0.2 mM Na₂HPO₄, 12 mM NaHCO₃, 5.5 mM D-glucose, pH 7.4) with or without 3 μM Tetramethyl rhodamine methyl ester (TMRM) for use in mPTP induction assays. Final concentration of CsA used was 1 μM.

3.1.4. Doxorubicin.

Doxorubicin hydrochloride (Sigma Aldrich, catalogue no. D1515) was dissolved in DMSO per manufacturer's instructions. This was further diluted in medium 199 to the indicated concentrations and used immediately.

3.1.5. Hydrogen peroxide.

30% Hydrogen peroxide (H₂O₂) solution in deionised H₂O (Sigma-Aldrich, CAS Number 7722-84-1) was further dissolved in medium 199 to 100 µM, 50 µM and 1 µM solutions and used immediately. 1 µM H₂O₂ solution was used with or without dissolved N-acetyl cysteine (NAC) as indicated.

3.1.6. Hypoxic buffer.

A buffer was prepared containing 127.8 mM NaCl, 14.8 mM KCl, 1.2 mM KH₂PO₄, 1.2 mM MgSO₄, 2.2 mM NaHCO₃, 1.0 mM CaCl₂, 10 mM Sodium-lactate in deionised water as previously described (hypoxic buffer, Ong et al, 2010). The buffer was gassed with 95% N₂-5% CO₂ (N₂-CO₂) immediately before use and pH was adjusted to 6.4 at 37 °C. Fresh buffer was prepared on the day of use.

3.1.7. Insulin.

Insulin is a known inducer of the RISK kinases, and was therefore used as a positive control to induce PI3K/Akt phosphorylation and MAPK/ ERK 1/2 phosphorylation. Human insulin (Invitrogen, Paisley, UK) was dissolved in medium 199 to obtain a final concentration of 275 u/ml and used immediately.

3.1.8. LY294002.

LY294002 is a selective PI3K/Akt inhibitor. LY294002 hydrochloride (Sigma Aldrich, CAS 934389-88-5) was dissolved in DMSO per manufacturer's instructions before final dilution in medium 199 or in normoxic buffer, as appropriate respectively, to obtain a final concentration of 10 µM.

3.1.9. N-acetyl cysteine.

N-acetyl cysteine (NAC) (Thermo Fisher Scientific, CAS No. 616911) was dissolved in DMSO per manufacturer's instructions. This was further dissolved in medium 199 to obtain a final concentration of 250 µM NAC.

3.1.10. Normoxic buffer.

Normoxic buffer was prepared by dissolving 10 mM Glucose, 118 mM NaCl, 1.2 mM KH₂PO₄, 1.2 mM MgSO₄, 22 mM NaHCO₃, 1.0 mM CaCl₂, in deionised water. The solution was gassed with carbogen and pH adjusted at 37 °C to pH 7.4 before use.

3.1.11. PD98059.

PD98059 is a MAPK ERK 1/2 inhibitor. PD98059 (Sigma Aldrich, CAS No. 167869-21-8) was dissolved in DMSO per manufacturer's instructions, before final dilution in medium 199 or in normoxic buffer as appropriate respectively to obtain a final concentration of 30 µM.

3.1.12. Propidium iodide.

Propidium Iodide (PI) crosses the depolarised cellular and nuclear membrane and intercalates with double stranded nucleic acid, staining DNA in dead cells which can be identified by its fluorescence. PI (1 mg/ml) (Thermo Fisher. Catalogue No. P3566) was used at a final concentration of 5 µg/ml.

3.1.13. Tetramethyl rhodamine methyl ester.

Tetramethyl rhodamine methyl ester (TMRM) perchlorate (Thermo Fisher Catalogue no. T-668) was dissolved in DMSO per manufacturer's instructions. Further dilution in Tyrode's solution yielded a final concentration of 30 nM TMRM solution to estimate mitochondrial transmembrane potential, or a 3µM TMRM solution used in mPTP-induction assay.

3.1.14. Antibodies.

Primary antibodies to Total-Akt (t-Akt), Phospho-Akt (Ser 473) (p-Akt), Total ERK p44/42 (t-ERK) and Phospho-ERK p44/42 (Thr202/Tyr204) (p-ERK) were obtained from Cell Signalling Technologies and used at a dilution of 1:1000. Antibodies to Glyceraldehyde 3-Phosphodehydrogenase (GAPDH) and α-tubulin were obtained from Abcam and used at a dilution of 1:1000.

3.2. Methods.

3.2.1. Isolation of ventricular cardiomyocytes and assessment of cell-death

3.2.1.1. Isolation of adult rat ventricular cardiomyocytes.

Adult male Sprague-Dawley rats (150-450g weight) were administered terminal anaesthesia with 65 mg/kg Pentobarbital injected intraperitoneally. The anaesthetised rats were monitored till cessation of peripheral and central nervous system reflexes but before cardiac arrest. The thoracic cavity was opened by clamshell thoracotomy and the pericardial sac opened to access the heart and ascending aorta. The heart was stabilised by the base with index and second finger and incised immediately below the aortic arch. The heart was then immersed in ice-cold isolation buffer. The aortic trunk was identified and cannulated rapidly (<3 mins excision to cannulation) and placed on a modified Langendorff apparatus maintained at 37 °C, and retrogradely perfused with myocyte isolation buffer containing 0.75 M Ca^{2+} (Solution 1, appendix a) delivered at a constant rate of 10 ml/ min to clear the heart of blood. This was followed by chelation of Ca^{2+} and sinus arrest with 100 μM EGTA-buffered solution (Solution 2) perfused at the same rate, before introduction of collagenase solution containing 100 mM Ca^{2+} . The extracellular matrix was subjected to digestion for 10-12 minutes till visually estimated alteration of solution viscosity and/or ventricular pallor. The ventricles were then excised, dissected into smaller pieces, and gently bathed in collagenase solution until a colloidal suspension of cardiomyocytes was obtained. The cells were subsequently allowed to precipitate into a pellet by standing. The supernatant was discarded, and the pellet re-suspended in myocyte buffer containing increasing concentrations of Ca^{2+} (solutions 4 and 5, final Ca^{2+} concentration 1 M) before re-suspending in supplemented cell culture medium (M199).

The cells were seeded onto laminin coated cell-culture dishes and left to adhere undisturbed for 60 minutes. Excess, non-adherent cells were washed away with 1 ml medium per well, and

the wells replenished with 2 ml medium 199. These were allowed to rest for a further one hour before any further experiments were carried out. Cell isolations yielding an excess of 20% death under basal conditions at the end of experimental protocols were excluded from experiment and interpretation.

3.2.1.2. Assessment of cell-death.

Each experiment was set-up in duplicate. Cell-death analysis was carried out in a blinded fashion. Each treatment group in an experiment was randomised to a numerical value with the aid of a publicly available, free-to-use, list-randomisation service provided by Randomness and Integrity services Ltd (<https://www.random.org/lists/>). A key to this randomised list was maintained in Microsoft excel software. Analysis of cell-death in ImageJ software was carried out in numerical order, before de-randomisation according to the key.

At the end of cell-survival experiments, PI was introduced into cell-culture plate and allowed to incubate for at least 30 s before microscopic analysis. Representative images were recorded using a Nikon Eclipse TE200 microscope, and analysed using ImageJ. Cells were considered dead according to the following criteria (Goto et al, 2009) (representative image shown in fig.3.11):

- a. Evidence of staining of nuclei with propidium iodide.
- b. Morphological changes, including evidence of membrane blebbing in the presence or absence of PI uptake.

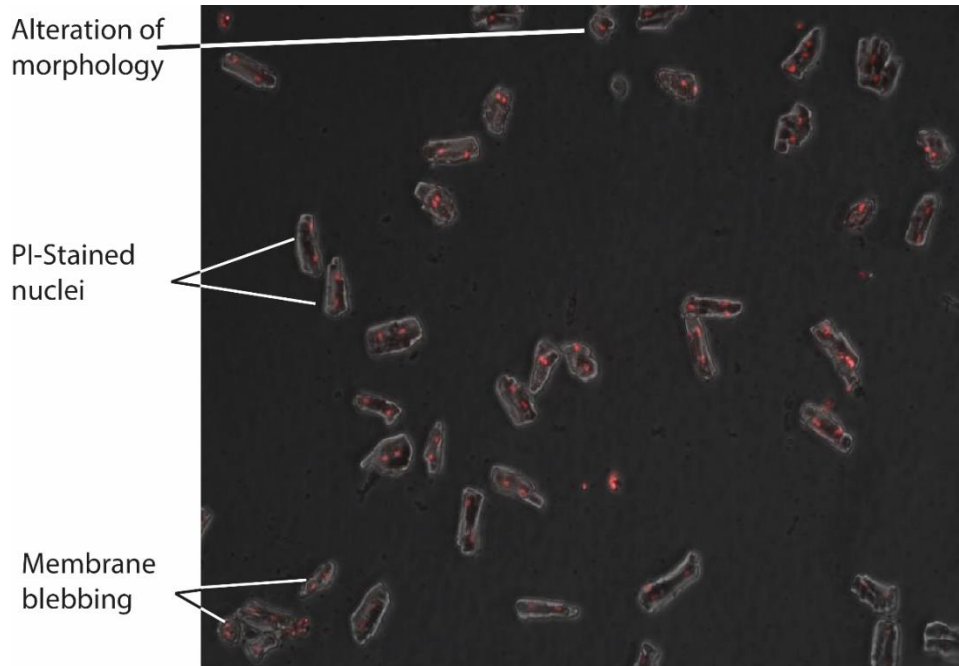


Fig.3.1. Evaluation of cell-death. Cardiomyocytes were considered as dead on the basis of nuclear staining with propidium iodide (PI), on observation of morphological changes including membrane blebbing and alterations of the cellular architecture. Example photomicrograph shows evidence of these features in different cells upon analysis in imageJ.

3.2.2. Investigation of *in vitro* toxicity of increasing doxorubicin-concentrations on isolated cardiomyocytes.

Isolated cardiomyocytes were transferred from medium 199, unless otherwise specified, to a medium containing Dox. The toxicity was evaluated for two different exposure-times. Cells were treated with 0.5 μM , 1 μM , 2 μM , and 5 μM , 7.5 μM , 10 μM , 15 μM and 20 μM Dox and cell-death evaluated after 4 hours. In a second experiment, cardiomyocytes were incubated with 1 μM , 2 μM , and 5 μM , 7.5 μM , 10 μM , 15 μM and 20 μM and cell-death evaluated after 18 hours (Fig. 3.2). As control, basal cell-death was evaluated from cardiomyocytes incubated in medium 199 for matched incubation periods.

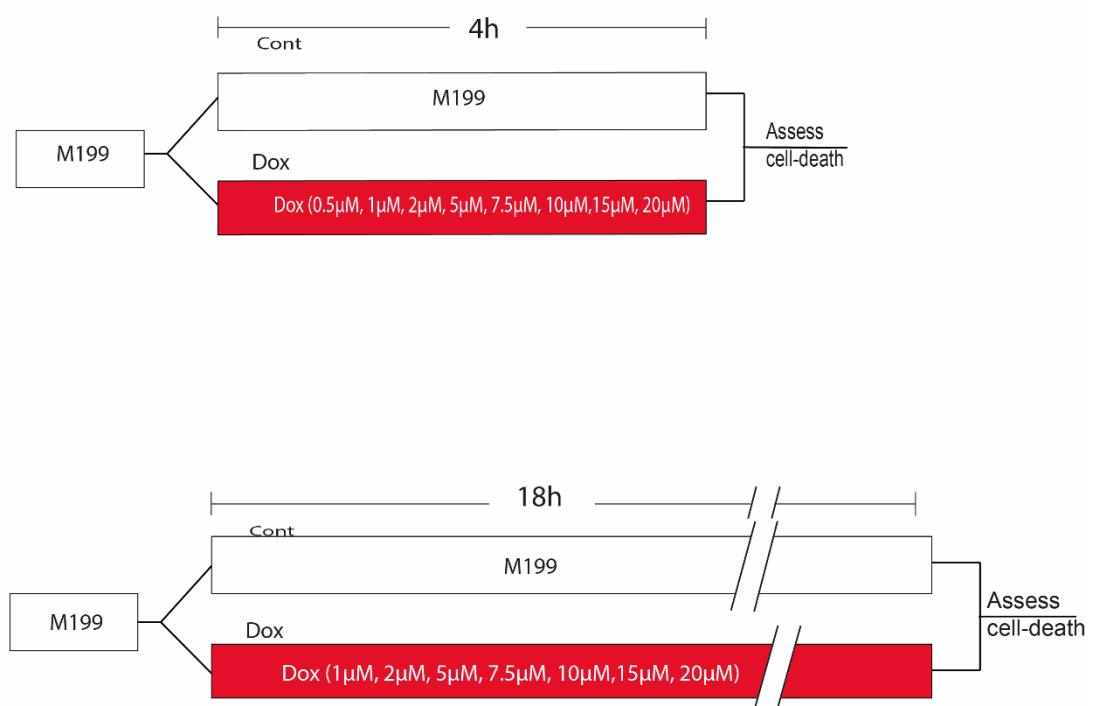


Fig. 3.2. Protocols for model of doxorubicin-induced cell-death. Cardiomyocytes were subjected to increasing concentrations of doxorubicin over 4 hours and 18 hours, and cell-death evaluated. Basal cell-death was evaluated in cardiomyocytes maintained in cell-culture medium for identical incubation periods.

3.2.3. Evaluating the effect of concomitant ischaemia-reperfusion and doxorubicin-injury on isolated cardiomyocytes.

3.2.3.1. Characterisation of a model of *in vitro* ischaemia-reperfusion injury in isolated cardiomyocytes.

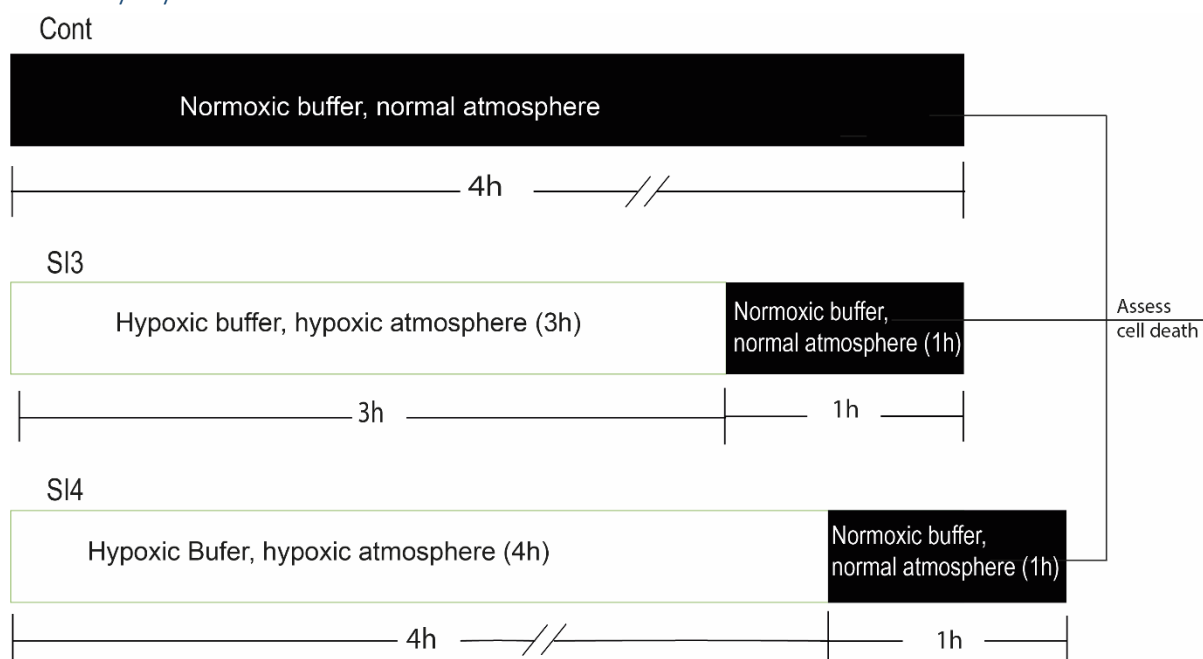


Fig. 3.3. Schematic diagram of protocols tested for ischaemia-reperfusion injury *in vitro*.

Cardiomyocytes were subjected to hypoxia (simulated ischaemia, SI) in a hypoxic buffer for 3 hours (SI3) or 4 hours (SI4). Reoxygenation was carried out in normal environment in a normoxic buffer for 1 hour in both protocols. The control (Cont) was incubated in normal atmosphere in a normoxic buffer.

To explore the hypothesis that ischaemia-reperfusion (IR) injury may exacerbate the injury inflicted by exposure to Dox-treatment, we first developed a protocol to simulate IR-injury *in vitro*. Cardiomyocytes were transferred from medium 199 to a hypoxic buffer and subjected to hypoxia for 3 hours (SI3) or 4 hours (SI4) before transferring to normoxic buffer and normal environment for 1 hour to initiate reoxygenation for both groups. Control cardiomyocytes were subjected to normoxic buffer in normal environment for 4 hours (Fig. 3.3).

3.2.3.2. Investigation of the effect of ischaemia-reperfusion injury on doxorubicin-stressed cardiomyocytes.

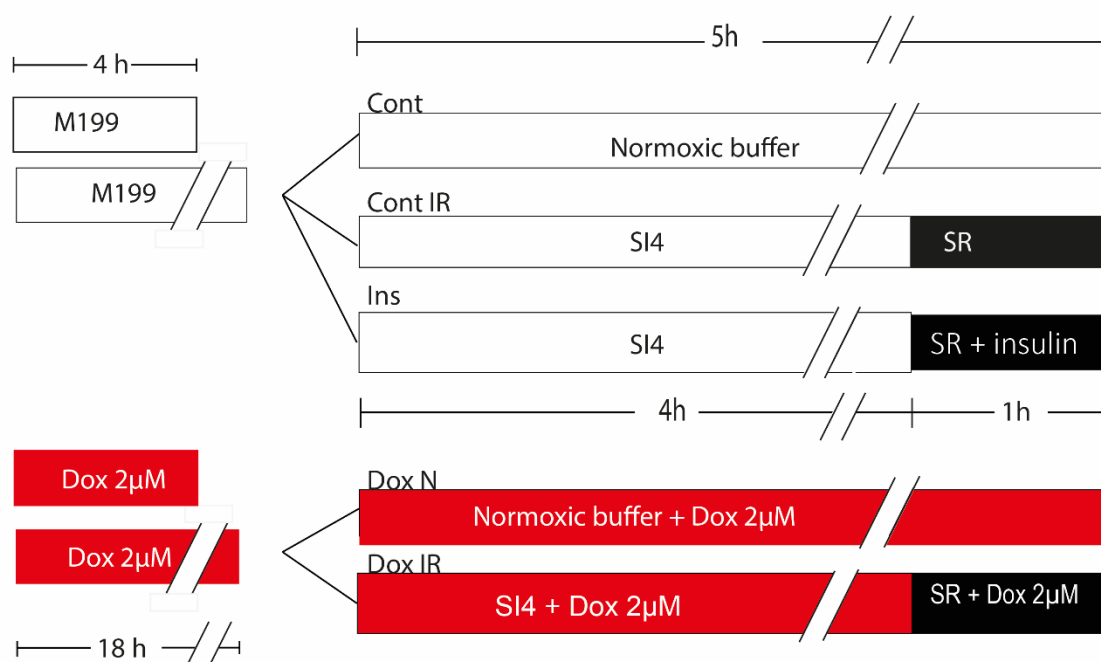


Fig.3.4. Experimental layout for evaluating the effect of ischaemia-reperfusion injury in cardiomyocytes treated with doxorubicin. Cells were incubated in 2 µM doxorubicin for 4 hours or 18 hours, before subjecting these in the presence of doxorubicin to 4 hours hypoxia (simultaneous ischaemia, or SI) followed by 1 hour reoxygenation (denoted simulated reperfusion, or SR) (Dox IR). Doxorubicin pre-treated normoxic controls (Dox N) were transferred to normoxic buffer in presence of doxorubicin, and incubated for 5 hours. In untreated controls, cardiomyocytes were incubated in medium 199 and transferred to normoxic buffer alone for 5 hours (Cont); subjected to IR (Cont-IR); or evaluated in a positive control experiment by subjecting to 4 hours hypoxia followed by reoxygenation in normoxic buffer with insulin (Ins).

Cardiomyocytes were incubated for 4 hours or 18 hours in 2 µM Dox, then transferred to hypoxic buffer with 2 µM Dox and subjected to hypoxia for 4 hours (Dox IR) (Fig 3.4). Cells were then transferred to normoxic buffer with 2 µM Dox to initiate reoxygenation, and incubated for 1 hour in a normoxic environment. Pre-treated normoxic controls (Dox N) were transferred to normoxic buffer containing 2 µM Dox, and incubated for 5 hours.

Untreated controls were incubated in medium 199 alone for 4 or 18 hours, then transferred to normoxic buffer for 5 hours (Cont), or subjected to IR-injury (Cont IR). As a positive protective agent against IR-injury, insulin (275u/ml) was used. A control group was established in

untreated cells subjected to SI4, which were transferred to normoxic environment in normoxic buffer with dissolved insulin (Ins) after hypoxia.

3.2.4. Investigating the effect of co-incubation with NAC on doxorubicin-induced cardiomyocyte-toxicity.

3.2.4.1. Estimation of cardiomyocyte toxicity in response to increasing H₂O₂ concentrations over time.

To investigate the effect of increasing concentrations of H₂O₂ on cell-death, cardiomyocytes were transferred from medium 199 to a solution of medium 199 containing H₂O₂ at concentrations of 1 μM, 50 μM and 100 μM H₂O₂. These were incubated for 4 or 18 hours. Incubation in cell culture medium alone served as control. Cell-death was evaluated at the end of incubation (Fig. 3.5).

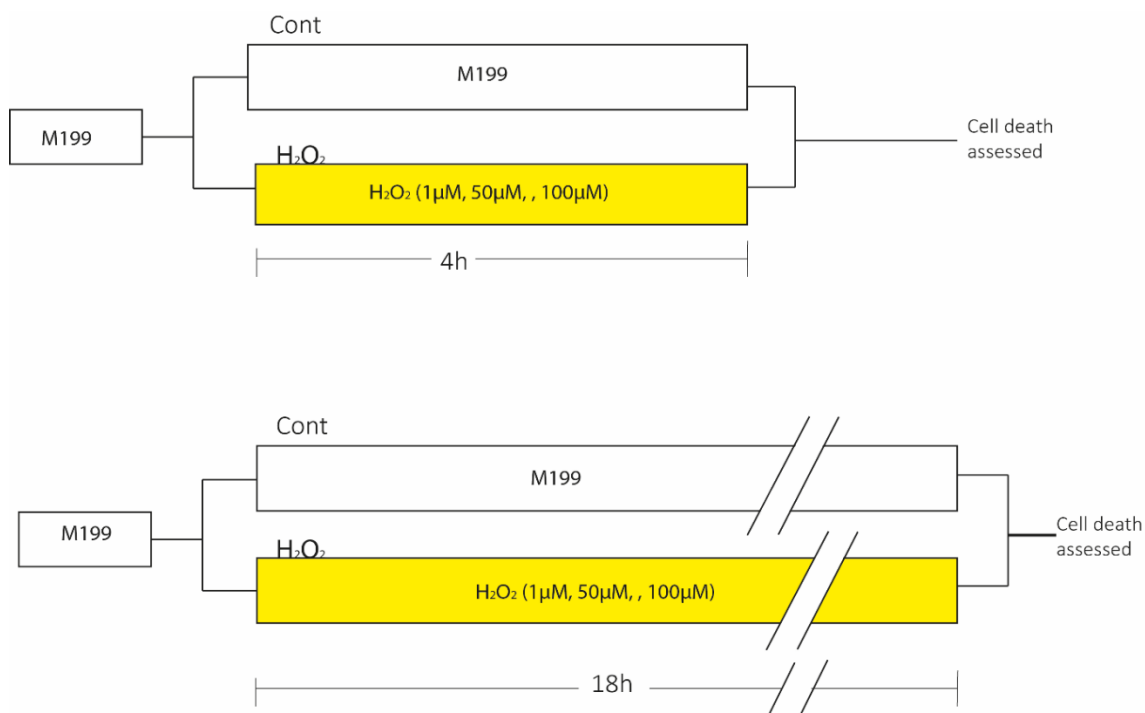


Fig. 3.5. Schematic diagram of experimental design for estimating H₂O₂ induced cell-death. H₂O₂ in medium 199 at the indicated concentrations were used to incubate cardiomyocytes for 4 or for 18 hours. Cell-death was evaluated at the end of this incubation period.

3.2.4.2. Evaluating the effect of co-incubation with NAC on doxorubicin toxicity.

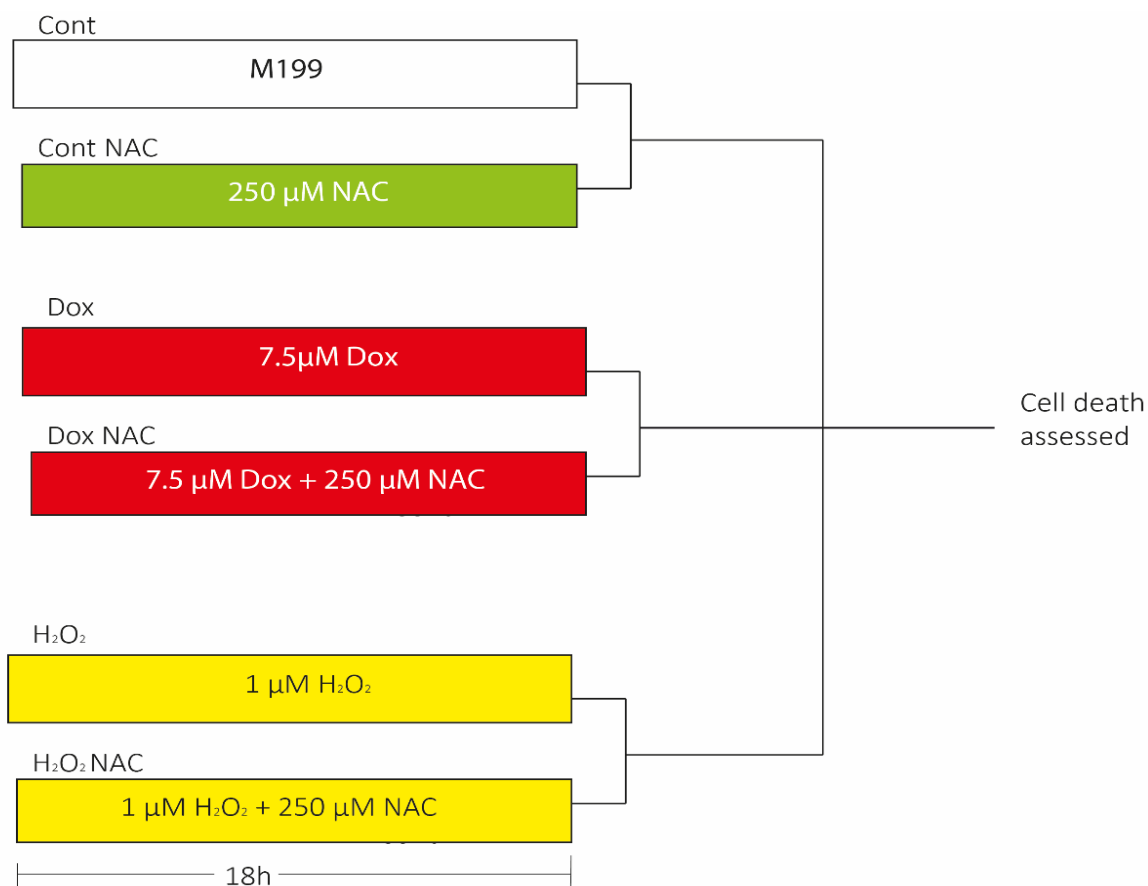


Fig. 3.6. Experimental layout for investigating the effect of N-acetyl cysteine on doxorubicin toxicity. Cardiomyocytes were transferred into medium 199 with 1 μM H₂O₂ alone (H₂O₂), 7.5 μM doxorubicin alone (Dox), doxorubicin with 250 μM NAC (Dox NAC), or 1 μM H₂O₂ with NAC (H₂O₂ NAC). As control, cardiomyocytes were incubated with medium 199 alone (Cont) or with 250 μM NAC (Cont NAC).

To probe the effect of potential ROS-scavenging on Dox-induced cardiomyocyte injury, we evaluated cell-death in cardiomyocytes incubated for 18 hours in 7.5 μM Dox alone (Dox), or with 250 μM NAC (Dox NAC), since NAC is known to be a potent ROS-scavenger. As positive control, cardiomyocytes were incubated with 1 μM H₂O₂ alone (H₂O₂) or with 250 μM NAC (H₂O₂ NAC) dissolved in medium 199. As a third control, cardiomyocytes were incubated with 250 μM NAC (Cont NAC) dissolved in medium 199 alone. Basal cell-death was evaluated in cells incubated in medium 199 alone (Cont). Cell-death was evaluated after 18 hours incubation (Fig.3.6).

3.2.5. Investigation of effect of doxorubicin treatment on mitochondrial transmembrane potential.

3.2.4.1. Investigation of collapse of mitochondrial transmembrane potential in response to FCCP.

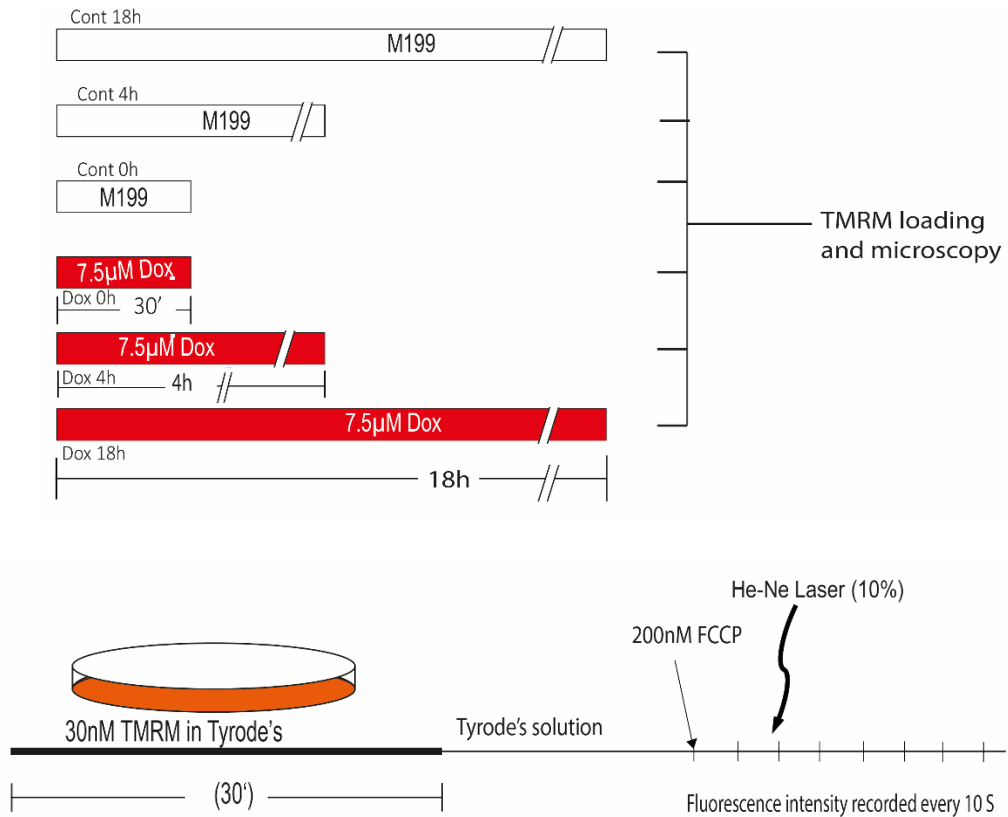


Fig. 3.7. Experimental layout for assessment of $\Delta\Psi_m$ collapse with FCCP. Cardiomyocytes were incubated in the indicated treatment for 18 hours. TMRM loading was achieved by incubating for 30 minutes in Tyrode's solution with dissolved 30 nM TMRM. Imaging was performed on the platform of a Leica SP5 confocal microscope. Mitochondrial respiration was arrested using 200 nM FCCP, and TMRM fluorescence recorded every 10 seconds using 10% He-Ne laser for 80 seconds or till complete loss of fluorescence signal.

To investigate the mitochondrial transmembrane potential ($\Delta\Psi_m$), temporal collapse and the residual fluorescence of TMRM was evaluated after arresting mitochondrial respiration with the uncoupling agent FCCP at a concentration of 200nM. Cardiomyocytes were plated in a 32 mm glass-bottomed cell-culture plate and incubated with 7.5 μ M Dox in medium 199 for 30 minutes (Dox 0h), 4 hour (Dox 4h) and 18 hours (Dox 18h). Corresponding controls were incubated in medium 199 alone (Cont 0h, Cont 4h and Cont 18h respectively, Fig. 3.7). At the end of treatment period, incubating solution was discarded and cells were loaded with TMRM

by incubating in 30 nM TMRM dissolved in Tyrode's solution for 30 minutes. Excess dye was discarded, and the cells were washed with 1ml TMRM-free Tyrode's solution three times. The wells were then replenished with Tyrode's solution and the plates mounted on the stage of a Leica SP5 confocal microscope (Leica, Wetzlar, Germany) equipped with $\times 40$ oil immersion objective lens (numerical aperture 1.0). Baseline $\Delta\Psi_m$ was estimated by measuring the fluorescence of TMRM using an incident He-Ne laser at 543 nm (10% maximum intensity) as a light source. The intensity of emitted light was recorded between 580-596nm (line average of 2 scans). FCCP was then introduced to the solution at a final concentration of 200 nM, and images obtained every 10 seconds for 80 seconds or till complete loss of fluorescence. The images were deconstructed to red, green, and blue channels in ImageJ software and intensity of emitted light in the red channel was measured. Optical fluorescence was plotted against time after introduction of FCCP (in seconds) to assess temporal variation, and analysed for statistical significance.

3.2.4.2. Estimation of $\Delta\Psi_m$ variation in response to doxorubicin treatment.

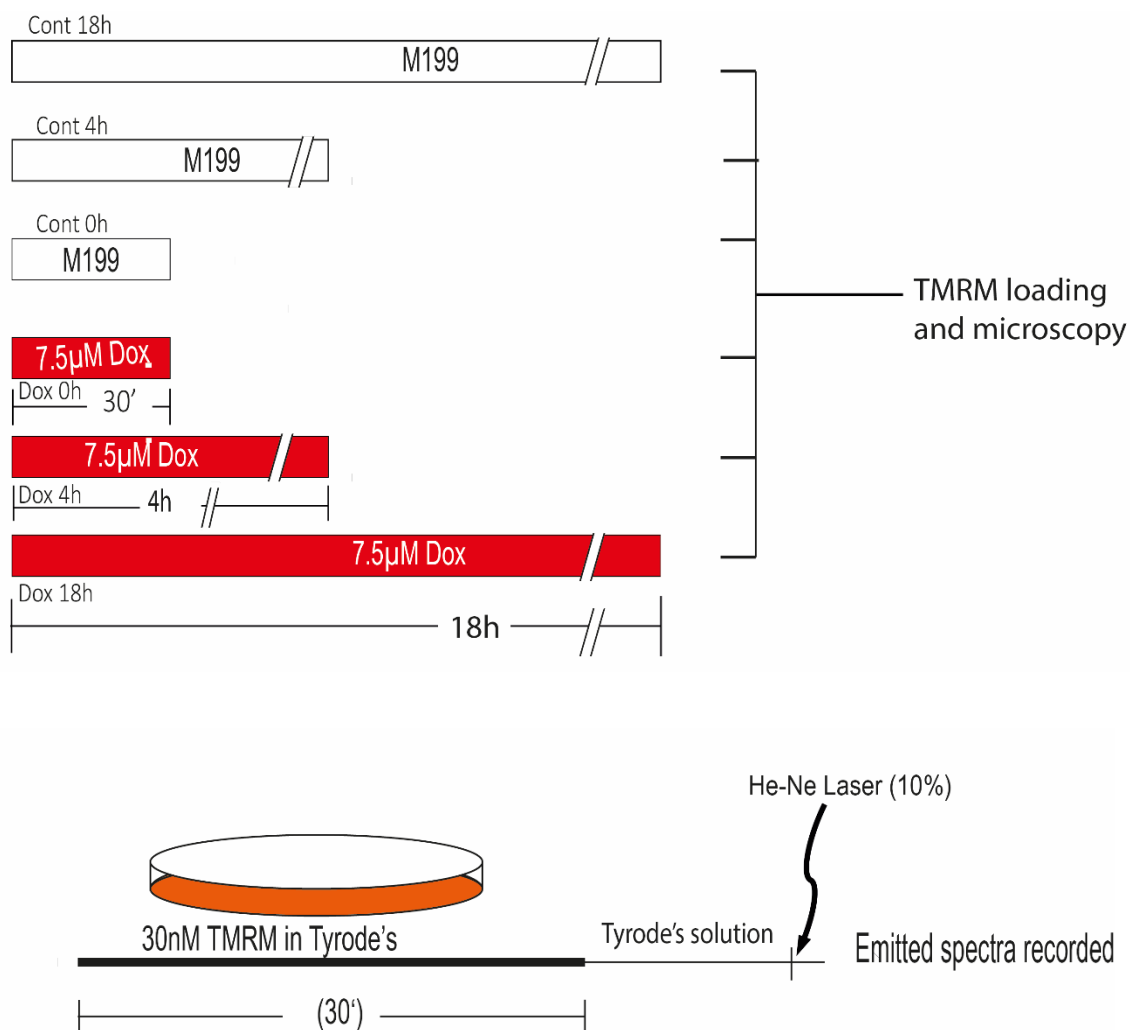


Fig 3.8. Experimental layout for assessment of $\Delta\Psi_m$. Cardiomyocytes were incubated in the indicated treatment for 18 hours. Medium 199 was exchanged for Tyrode's solution with 30 nM TMRM and allowed to incubate for 30 minutes before exchanging for dye-free Tyrode's solution. Imaging was performed on the platform of a Leica SP5 confocal microscope and images recorded of the fluorescence intensity of TMRM using 10% He-Ne laser incident laser.

To evaluate $\Delta\Psi_m$ variation, cardiomyocytes from treatment groups Dox 0h, Dox 4h and Dox 18h (section 3.2.4.1.) were loaded with 30 nM TMRM as above, and the emitted fluorescence recorded at 580-596 nm after focussing 543 nm 10% He-Ne laser on the stage of a Leica SP5 confocal microscope ($\times 40$ oil immersion objective lens, numerical aperture 1.0) (Fig. 3.8). Corresponding controls (Cont 0h, Cont 4h and Cont 18h respectively) were incubated in medium 199 alone. Images were deconstructed to red, green, and blue channels in ImageJ

software and intensity of emitted light in the red channel was measured. This approach to $\Delta\Psi_m$ measurement has been described previously both from our own lab (Sidall et al, 2010) as well as by other groups (Juhaszova et al, 2004).

3.2.6. Investigation of the role of the mitochondrial permeability transition pore in doxorubicin toxicity.

3.2.5.1. Measurement of time taken to mitochondrial permeability pore induction following doxorubicin treatment.

To assess the influence of Dox treatment on the transition of the mitochondrial transition permeability pore (mPTP), cardiomyocytes were incubated with 7.5 μM Dox for 18 hours (Dox).

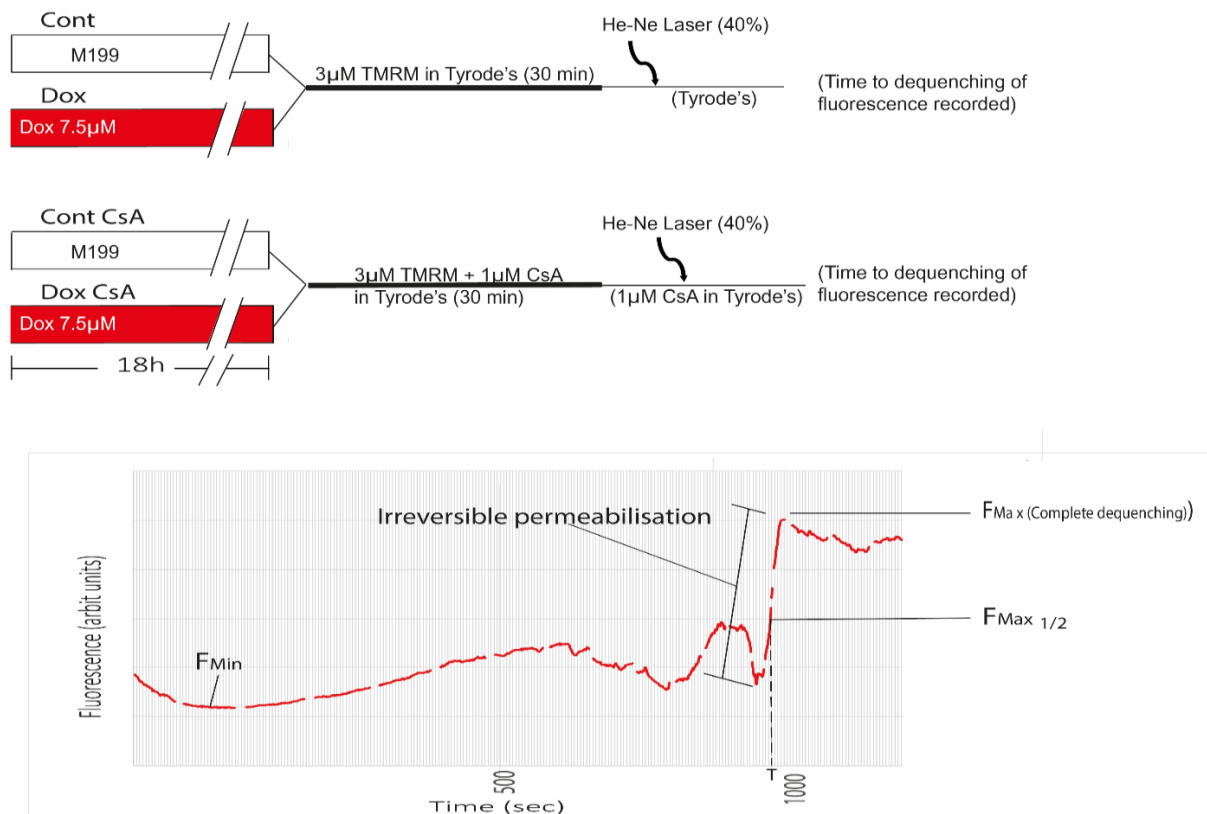


Fig. 3.9. Experimental layout for estimating time taken to mPTP induction. Cardiomyocytes were incubated in the indicated treatment for 18 hours. Medium 199 was exchanged for Tyrode's solution with 3 μM TMRM, and allowed to incubate for 30 minutes. Cells were then subjected to 40% intensity incident He-Ne laser with continuous recording of the emission spectra at 580 nM-596 nM. Time taken to reach half of maximum fluorescence-intensity was recorded as time taken to mPTP induction (T). 1 μM CsA was used a positive control, and loaded with TMRM and subsequently maintained in Tyrode's solution.

Cells were loaded with a higher concentration of 3 μM TMRM, using a similar protocol as above. Plates were subjected to 40% intensity incident He-Ne laser on the imaging platform of a Leica SP5 confocal microscope, and continuous recording of the emission spectra at 580 nM-596 nM was undertaken (Fig. 3.9). Time taken to complete de-quenching of TMRM fluorescence from mitochondria to cytosol was taken as complete transition of the mitochondrial pore. Time taken for fluorescence in each cardiomyocyte to reach half of maximum intensity was recorded as time taken to mPTP induction (Fig.3.9, T). Control (Cont) cardiomyocytes were incubated with medium 199 only. Cyclosporine A (CsA) is a compound that increases the threshold of induction-stimulus required for mPTP-transition to “open” state, which is detected as an increase in the time taken to mPTP induction. Therefore, 1 μM CsA was used as positive control in this experiment, and loaded in Tyrode’s solution with TMRM after Dox treatment (Dox CsA), or after incubation in medium 199 alone (Cont CsA). For these positive controls, imaging was carried out in Tyrode’s solution with dissolved 1 μM CsA.

3.2.5.2. Investigating the effect of CsA co-treatment on doxorubicin-toxicity.

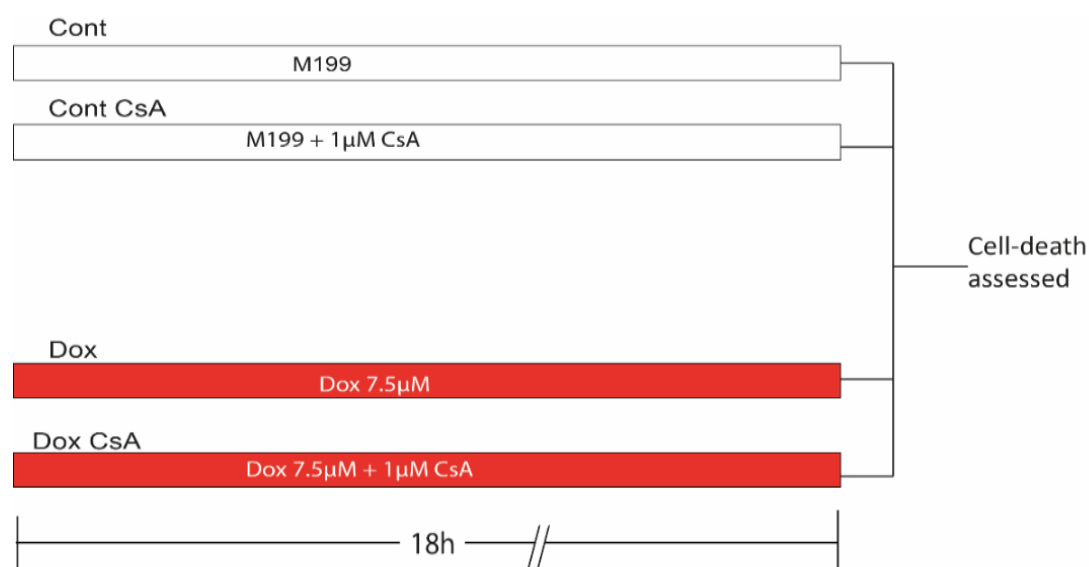


Fig. 3.10. Experimental design for investigating the effect of CsA on doxorubicin-induced cell-death. Cardiomyocytes were incubated with 7.5 μM doxorubicin alone or with 1 μM CsA for 18 hours and cell-death assessed. As control, cardiomyocytes were incubated in medium 199 alone or with dissolved 1 μM CsA for 18 hours.

Cardiomyocytes were incubated in 7.5 μM Dox alone (Dox) or co-incubated with Dox and 1 μM CsA (Dox CsA) for 18 hours before assessment of cell-death. Control cardiomyocytes were incubated in medium 199 alone (Cont) or with 1 μM CsA (Cont CsA) for 18 hours (Fig.3.10).

3.2.7. Investigation of the effect of hypoxic preconditioning on doxorubicin-induced cell-death.

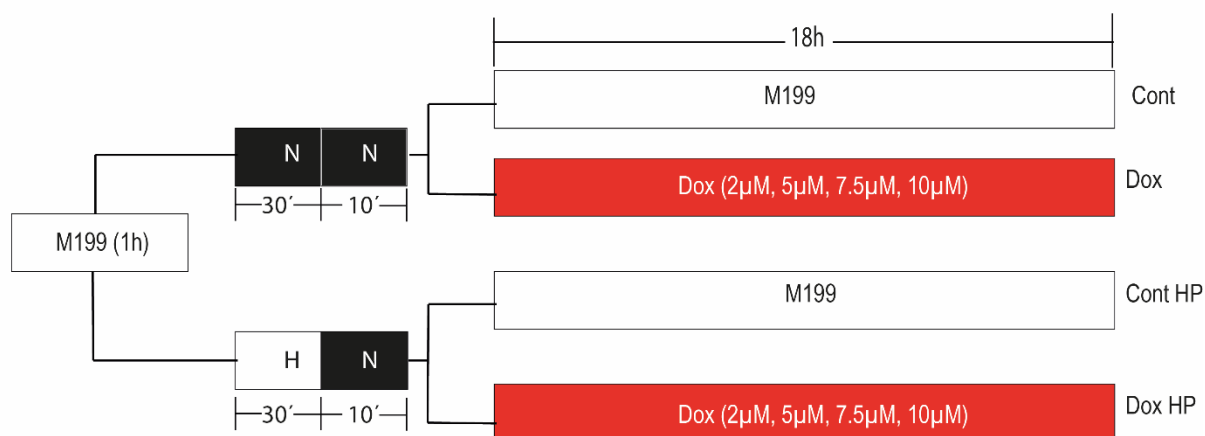


Fig. 3.11. Protocol for evaluating the influence of hypoxic preconditioning on doxorubicin-induced toxicity. Cardiomyocytes were subjected to 30 minutes hypoxia followed by 10 minutes reoxygenation, then transferred to medium 199 with dissolved doxorubicin (concentrations indicated) for 18 hours. As control, cells were subjected to a control protocol and incubated with the indicated concentrations of doxorubicin for 18 hours. (Abbreviations Dox= Doxorubicin, H=Hypoxic buffer, N=Normoxic buffer).

To investigate the effect of hypoxic preconditioning (HP) on Dox mediated cell-death, cardiomyocytes were maintained in medium 199 for 1 hour, then transferred to hypoxic buffer in a hypoxic airtight chamber at 37 °C, and incubated for 30 minutes before exchanging into normoxic buffer in normal atmosphere at 37 °C for 10 minutes. Cells were then transferred into medium 199 alone (Cont HP, Fig, 3.11) or with Dox at concentrations 2 μM , 5 μM , 7.5 μM , and 10 μM (Dox HP) and incubated for 18 hours. Normoxic controls were established by incubating cardiomyocytes in normoxic buffer alone for 40 minutes, then transferred to medium 199 alone (Cont) or into respective Dox concentrations (Dox, Fig, 3.11).

3.2.8. Investigation of the reperfusion injury salvage kinase components involved in hypoxic preconditioning mediated protection against doxorubicin-toxicity.

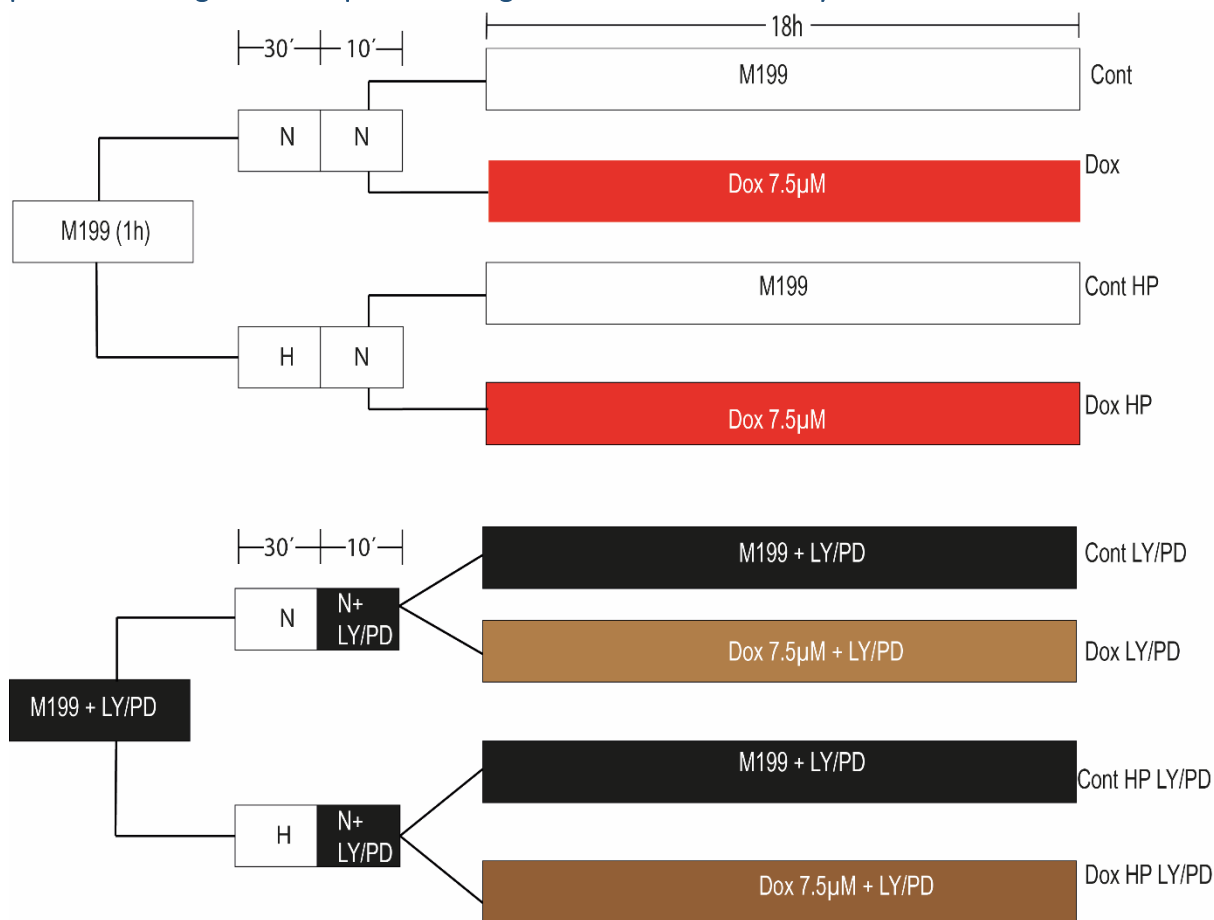


Fig. 3.12. Experimental set-up for inhibition of PI3K/Akt and of MAPK ERK 1/2 respectively. Cells were pre-incubated with 10µM LY294002 to inhibit PI3K/Akt, or with 30µM PD98059 to inhibit MAPK ERK 1/2. Hypoxic stimulus was delivered *in vitro* and the inhibitors reintroduced at reoxygenation. Cells were incubated in a bathing media with dissolved doxorubicin and LY294002 or PD98059 as appropriate respectively (Abbreviations: Dox= Doxorubicin, H=Hypoxic buffer, LY=LY294002, N=Normoxic buffer, PD=PD98059).

To delineate the involvement of the reperfusion injury salvage kinase (RISK) pathway HP-mediated protection, cardiomyocytes were pre-incubated in medium 199 for one hour with 10 µM LY294002 to inhibit induction of PI3K/Akt, or with 30 µM PD98059 to inhibit MAPK ERK 1/2 respectively. Cells were then subjected to hypoxia for 30 minutes in an inhibitor-free hypoxic buffer. LY294002 and PD98059, as appropriate, were reintroduced in normoxic buffer and cells incubated for 10 minutes in normal atmosphere before exchange into the respective inhibitors dissolved in medium 199 alone (Cont HP LY and Cont HP PD respectively), or with 7.5 µM Dox

(Dox HP LY and Dox HP PD respectively, Fig. 3.12) and incubated for 18 hours. Preconditioned controls were established by incubating cardiomyocytes in inhibitor-free medium 199 alone, then eliciting hypoxic preconditioning with 30 minutes hypoxia in hypoxic buffer followed by reoxygenation for 10 minutes in normoxic buffer in a normal atmosphere. Cells were then transferred to medium 199 alone (Cont HP) or 7.5 μ M Dox (Dox HP, Fig. 3.12) and incubated for 18 hours. As normoxic controls for inhibitor-treatment, cardiomyocytes pre-incubated with LY294002 and PD98059 as above were transferred to inhibitor-free normoxic buffer in normal atmosphere and incubated for 30 minutes, then exchanged into normoxic buffer with dissolved LY294002 or PD98059 as appropriate and incubated for 10 minutes. Cells were then transferred in presence of the respective inhibitors to medium 199 alone (Cont LY and Cont PD), or into 7.5 μ M Dox (Dox LY and Dox PD, Fig. 3.12) and incubated for 18 hours. Basal cell-death was evaluated after incubating cells in normoxic buffer in normal atmosphere for 40 minutes, then transferring to medium 199 alone for 18 hours (Cont). Reference Dox-toxicity was established by incubating cells in 7.5 μ M Dox for 18 hours after 40 minutes incubation in normoxic buffer (Dox, Fig. 3.12).

3.2.9. Western blot analysis

3.2.9.1. Analysis of induction of phospho-Akt and phospho-ERK in response to hypoxic preconditioning.

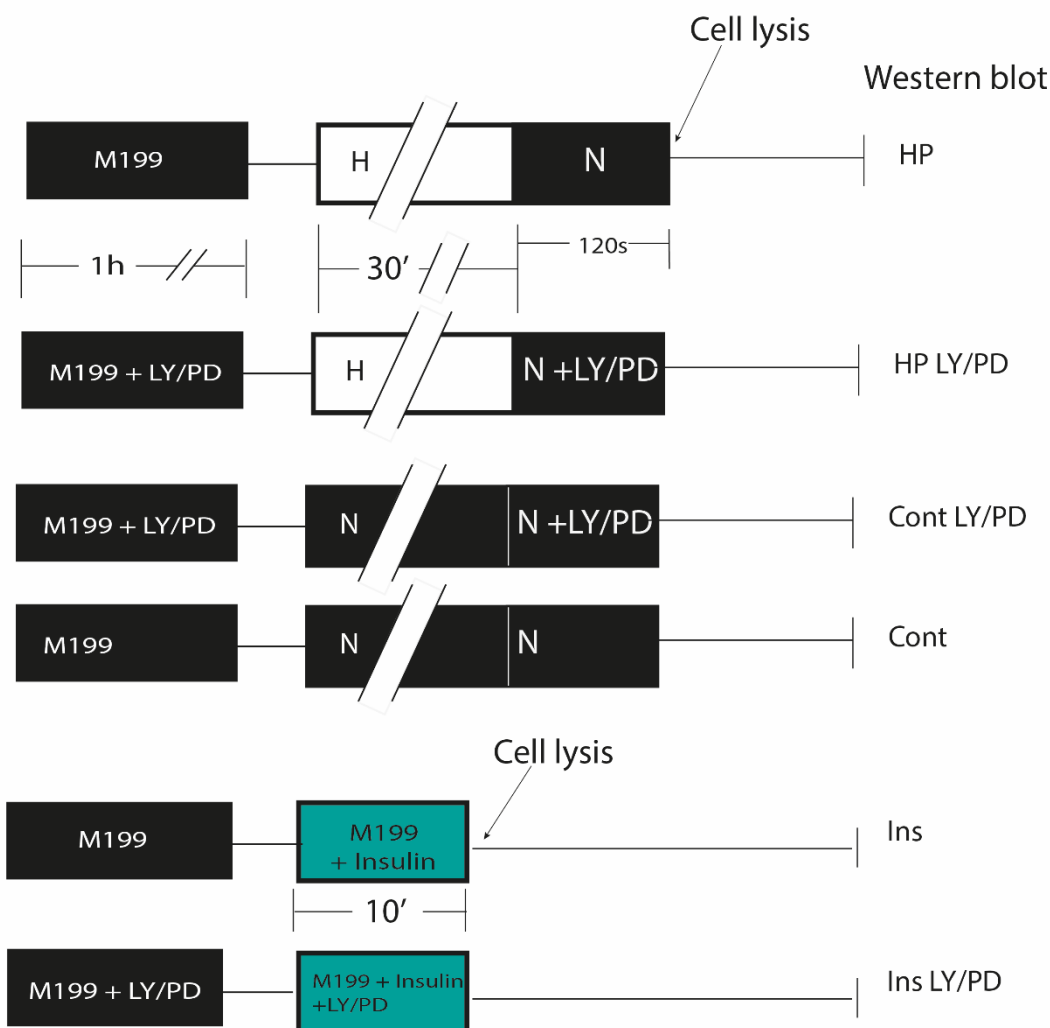


Fig.3.13. Protocol for collection of Western blot sample to analyse protein kinase induction following preconditioning. Cardiomyocytes were pre-incubated with 10 μ M LY294002 (LY) or with 30 μ M PD98059 (PD) then subjected to 30 minutes hypoxia before transfer to reoxygenation buffer in normoxic environment. Samples were collected by lysing cells 120s after completion of hypoxic phase of preconditioning, within the time zone of simulated reperfusion. Insulin treatment was used as a positive inducer of phospho-Akt and phospho-ERK 1/2, and in positive controls, cells were lysed after 10 minutes of insulin (275u/ml) treatment, following 1 hour of treatment with the inhibitors LY294002 or PD98059, as appropriate.

The activation of PI3K/Akt pathway and MAPK ERK 1/2 by HP was analysed by Western blot analyses following characterisation (Chapter 4, section 4.3). Cardiomyocytes were pre-incubated for one hour in medium 199, then provided a hypoxic stimulus by incubating in a hypoxic buffer in hypoxic atmosphere for 30 minutes. These were then transferred into a

normoxic buffer in normal atmosphere, and cells lysed at 120 seconds after hypoxia (HP, Fig. 3.13). Pre-incubation in 10 μ M LY294002 or 30 μ M PD98059 was used to inhibit PI3K/Akt and MAPK ERK 1/2 respectively before inducing hypoxic-stimulus in an inhibitor-free hypoxic buffer in hypoxic atmosphere for 30 minutes. Cells were then transferred into normoxic buffer with 10 μ M LY294002 (HP(LY)), or with 30 μ M PD98059 (HP(PD)) respectively and reoxygenated for 120 seconds before cell lysis. As non-preconditioned inhibitor-treated controls, cardiomyocytes were incubated in medium 199 with the respective inhibitors, then transferred into normoxic buffer in a normal atmosphere for 30 minutes. These were then transferred to normoxic buffer with dissolved inhibitors and lysed at 120 seconds as above (Cont(LY) and Cont(PD) respectively, Fig. 3.13). Phosphorylation of both PI3K/Akt and MAPK ERK 1/2 under basal conditions was evaluated in cells incubated in normoxic buffer alone for 30 minutes, then lysed after an additional 120 seconds (Cont, Fig.3.13).

As positive control, cardiomyocytes were treated to medium 199 with 275u/ml insulin in medium 199 for 10 minutes to induce the RISK kinases, and samples lysed as described below. Insulin-induced RISK kinase induction was inhibited by pretreating cardiomyocytes to medium 199 with LY294002 or with PD8059 for one hour, then transferred to medium with insulin and the respective inhibitors.

Cell lysis was carried out with boiling 1x SDS-PAGE sample loading buffer, and harvesting of the lysate carried out using a cell-scraper. The samples were boiled at 100° C for two minutes to inhibit protein phosphatases. Samples were resolved by standard SDS-PAGE and transferred to a nitrocellulose membrane using standard Western blotting protocol. The membrane was blocked with 5% Bovine serum albumin for one hour before incubating with respective primary antibodies, and allowed to adhere overnight. Primary antibodies were then discarded and membrane washed and loaded with respective species-specific secondary antibodies using

standard Western blot protocol. The membranes were then recorded using Li-COR Odyssey® imaging system and software, before further analysis using imageJ. The protein content for each treatment group were evaluated using standard imageJ Western blot analysis approach.

To evaluate PI3K/Akt phosphorylation induction, phosphorylated and non-phosphorylated protein levels (p-Akt and t-Akt levels) was determined for each sample group. Both p-Akt and t-Akt were normalised to the respective levels of the housekeeping protein Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) in each well, i.e., p-Akt:GAPDH and t-Akt:GAPDH ratios were identified. Phosphorylation induction in the respective groups were then determined as the ratio of p-Akt:GAPDH to t-Akt: GAPDH. Similarly, to evaluate phosphorylation of MAPK ERK 1/2, phosphorylated and non-phosphorylated protein content of ERK 1/2 were normalised to the content of the housekeeping protein α -tubulin in each well (p-ERK: α -tubulin and t-ERK: α -tubulin respectively) and the ratio of p-ERK: α -tubulin to t-ERK: α -tubulin evaluated.

3.2.9.2. Analysis of PI3K/Akt and MAPK ERK 1/2 induction in response to doxorubicin treatment.

To analyse the induction PI3K/Akt and MAPK ERK 1/2 in response to Dox-treatment, cells were subjected 7.5 μ M Dox for 15 minutes, 4 hours or 18 hours (Dox 0h, Dox 4h and Dox 18h, Fig.3.14). Control cardiomyocytes were incubated in medium 199 alone (Cont 0h, Cont 4h and Cont 18h). Cells were lysed with boiling SDS-PAGE and probed using standard Western blotting protocol, before recording in Li-COR® odyssey as described above. Blots were analysed using ImageJ as above.

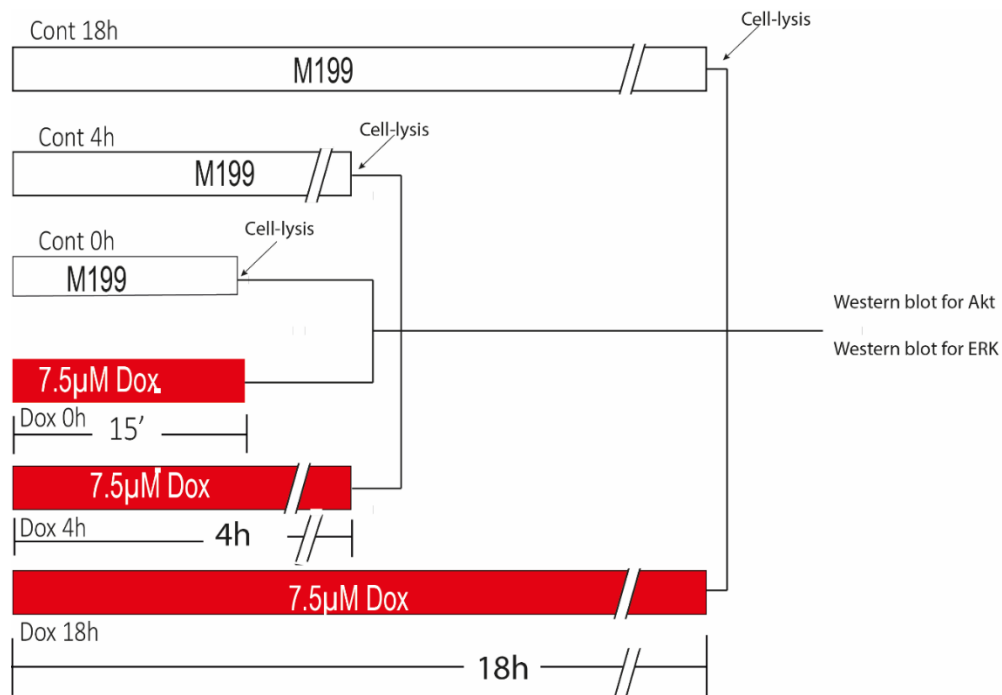


Fig.3.14. Experimental layout for protein collection and analysis of phosphorylation-induction of Akt and ERK 1/2 following doxorubicin treatment. Cardiomyocytes were incubated with 7.5µM doxorubicin for 15 minutes, 4 hours or 18 hours. Control Cardiomyocytes were incubated in medium 199 alone. Cells were lysed with boiling SDS-PAGE and analysed by standard western blotting protocol.

3.2.10. Statistical analysis.

All data were analysed using Graphpad prism (version 5.0). Values are expressed as mean \pm Standard error of the mean (SEM). Analysis of statistical significance where carried out with multiple Students t-test where samples where small to the order of $n=2$, or using Repeated-measures ANOVA where sample sizes were larger. Where F achieved $P<0.05$ for ANOVA table, Tukey's post-hoc comparison test was carried out and differences were considered significant if p-values were determined to be $p < 0.05$.

Chapter 4. Characterisation and development of models and protocols.

4.1. Model of doxorubicin toxicity.

4.1.1. *In vitro* model of doxorubicin toxicity.

Although anthracycline cardiotoxicity has been investigated in *in vivo*, *in vitro*, as well as in *ex vivo* models, a number of different hypotheses are offered to explain the aetiology of cardiomyocyte injury. The various different models in which investigations into anthracycline-injury has been described, along with the varying modes, models and time-periods to elicit significant injury, makes investigating the toxicity of these compounds challenging using any single reproducible model of toxicity. Different animal models as well as cell systems have been used to investigate the cardiotoxicity of anthracyclines (Stěrba et al, 2011). Reports from *in vivo* as well as *in vitro* models reveal a dose-dependent effect in the toxicity of doxorubicin, and a high concentration of anthracyclines may induce cardiac dysfunction where low dose concentrations show no apparent effect. For example, Edwards et al (2016) failed to observe any significant cardiac dysfunction in a murine model with 1-15 mg/kg intraperitoneal Dox after 6 to 8 months, but achieved cardiac failure in mice injected with higher concentrations of up to 45 mg/kg doxorubicin. Similarly, reports from *in vivo* models have shown large single bolus doses of anthracyclines achieve symptomatic heart failure within a short span of time, typically in the order of 72 hours (Zhang et al, 2012, Chatterjee et al, 2010). Other investigators have reported data from small animal models using low dose, interrupted dosing, mimicking human chemotherapy protocols which demonstrate evidence of early structural changes before overt heart failure symptoms manifests. In a Dox-induced model of toxicity in rats, for example, evidence of histological and organellar changes along with myofibrillar disarray were noted within a period of six weeks, before evidence of cardiac failure were noted (Cove-Smith et al,

2014). Similarly, proteomic analysis in a rabbit model of daunorubicin toxicity similarly showed evidence of altered gene expression and mRNA translation of cardiac structural proteins immediately after cessation of an interrupted dosing regimen (Lenčová-Popelová et al, 2014). An early increase in apoptotic proteins was previously reported in a very similar model (Stěrba et al, 2011). Furthermore, emerging data in human subjects show early evidence of asymptomatic- and therefore subclinical- cardiac dysfunction within weeks to months of cessation of anthracycline chemotherapy, which in some cases may improve spontaneously (Cardinale et al, 2015). Of particular note however, anthracyclines are retained within cardiomyocytes more than in other cells (Johnson et al, 1986). Reports using *in vitro* models of Dox toxicity reveal a dose-response effect on cell-death and in cellular injury, e.g., in the cardiomyocyte-toxicity of anthracyclines in human induced pluripotent stem cells (Zhao and Zhang, 2017). Importantly, cardiomyocyte injury in response to Dox begins early under experimental conditions and may precede induction of cell-death. Even very low concentrations of Dox may have early effect on physiological pathways, e.g., cellular respiratory pathways (Darrabie et al, 2012), while in isolated adult rat cardiomyocyte models, degradation and proteolysis of intracellular structural proteins may begin as early as one hour, before cell-death induction takes place (Lim et al, 2004). This suggests that anthracycline toxicity may be a dynamic process that begins early and is sustained in the cardiomyocyte, which is likely to be more vulnerable due to preferential accumulation of the compound. In this context, for our experimental aims *ex vivo* models, e.g., human atrial muscle models, were considered less appropriate, since anthracyclines show greater accumulation within the ventricle (Lencova-Poppelova et al, 2014) and, further, the limited extracorporeal viability of tissues such as the human atrial muscle or rodent isolated Langendorff perfused heart limited the time-period for which the tissue may be exposed to anthracyclines. We therefore chose an *in vitro* cell model

to investigate the toxicity of anthracyclines. We used Dox as a representative anthracycline compound, with cell-death as a representative marker of cardiomyocyte injury.

4.1.2. Adult rat ventricular myocytes.

We used freshly isolated primary adult rat ventricular myocytes as the *in vitro* cellular model as they are the most appropriate model for these studies. This model offers a highly pure system, with low numbers of contaminating cells. The cells are non-dividing terminally differentiated cells like the adult human heart, and, further, it is a relatively inexpensive and efficient model that offered high throughput for reproducing experiments (Fearon et al, 2013). In contrast, neonatal rat ventricular myocytes are a mixed isolate containing fibroblasts, and continue to undergo some proliferation after isolation; cardiomyocytes derived from induced pluripotential stem cells (iPSCs-CM) have an immature phenotype; and cardiomyoblast tumour-derived cell lines are dedifferentiated and rapidly dividing cell models. We therefore reasoned that adult cardiomyocytes reproduced a mature cardiomyocyte at risk of doxorubicin-injury more accurately. Further, this cellular model offered a potential for continuum in developing future *ex vivo* and *in vivo* models in which this work may be further explored.

4.1.3. Concentrations of doxorubicin investigated during characterisation experiments.

Peak plasma concentration of Dox is typically in the range of 5 μM in humans (Minotti et al, 2001), and falls to a steady-state concentration of 1 μM to 500 nM Dox over 48 hours. Some basal cell-death is observed in the *in vitro* ARVM model due to the isolation process. We therefore tested a range of Dox concentrations for their effect on cell-death in this model in order to identify the minimum toxic dose that manifested a significant rise in cell-death relative to basal cell-death. Specifically, we tested from 0.5 μM up to the peak 24 hour concentration observed in humans (5 μM). We also incubated cardiomyocytes with supraphysiological concentrations of Dox (7.5 μM , 10 μM , 15 μM and 20 μM) in order to maximize the possible effect on survival within a relatively short culture period.

4.1.4. Overall cell-death as an index of doxorubicin toxicity.

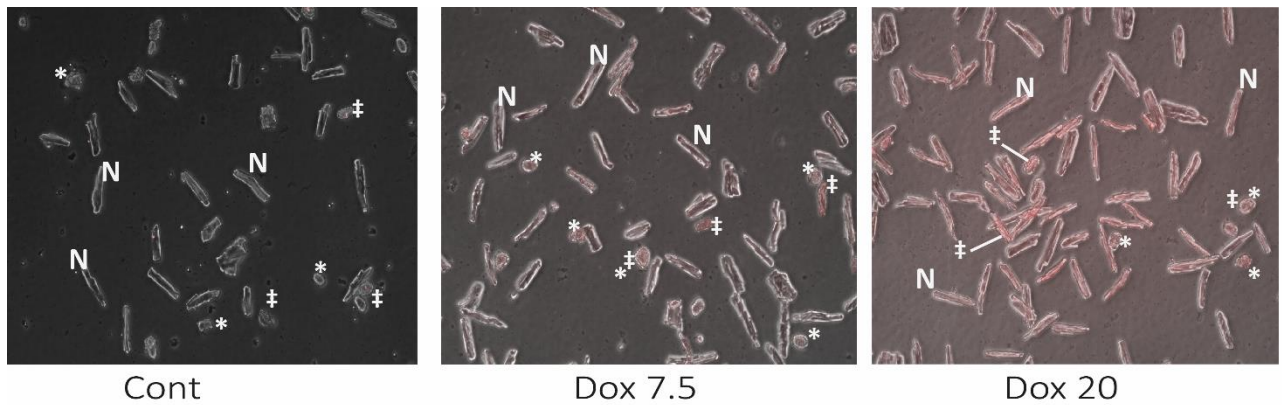
Dox has been described variously as capable of inflicting necrotic as well as apoptotic cardiomyocyte-death (Zhang et al, 2009). The individual contributions of necrosis and apoptosis to doxorubicin-induced cardiomyocyte death is unclear, and both types of cell-death have been reported in adult rat ventricular myocytes (Delpy et al, 1999; Lim et al, 2004). Furthermore, in cultured endothelial cells, a transition from apoptosis to necrosis has been reported as Dox concentration increases above 5 μ M (Kotamraju et al, 2000). Certain apoptotic assays, e.g., TUNEL staining may overestimate proportion of cardiomyocytes undergoing apoptotic death (Tokarska-Schlattner et al, 2006). Therefore, we assessed the overall cardiomyocyte-death using two different modalities. We assessed irreversible permeabilization of cell membrane by using propidium iodide (PI) staining. We further used morphological changes including cell-membrane blebbing as a marker of cell-death (Goto et al, 2009).

4.1.5. Characterisation of a model of doxorubicin-induced toxicity.

Initial experiments were designed to establish a model of injury where the toxicity of Dox on isolated adult rat ventricular myocytes could be reproduced. This is discussed in detail in subsequent sections.

4.1.5.1. Toxicity of doxorubicin on cardiomyocytes after 4 hours of treatment.

We first investigated the effect of increasing concentrations of Dox on cardiomyocyte death after a treatment period of eight hours, as described section 3.2.2. and Fig. 3.2.



Key: * Altered morphology and/or membrane blebbing.
 ‡ Evidence of nuclear staining with PI.
 N unaltered cardiomyocyte.

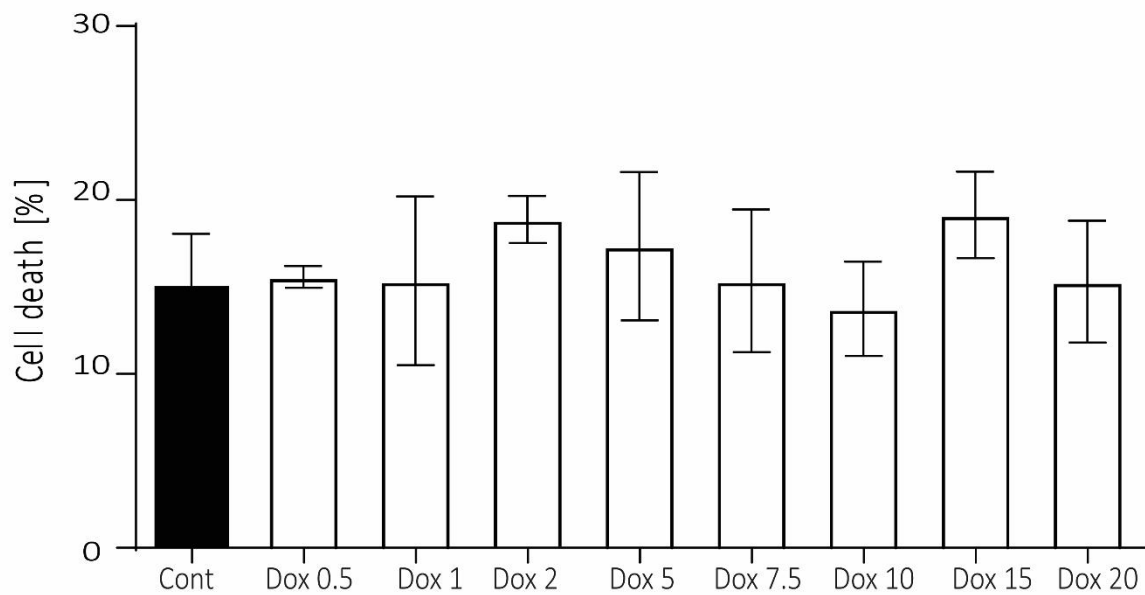


Fig. 4.1. Toxicity of doxorubicin over 4 h. No significant differences were identified with any concentration of doxorubicin (indicated in Arabic numerals) compared to the basal cell-death (Cont). Mean cell-death remained below in each treatment remained below 20% ($n=2$ for Dox 0.5, Dox 7.5, Dox 15 and Dox 20. $n=4$ for all other treatments, $p>0.05$) (representative photograph of cell-death in top panel, see also Appendix B, Fig. I).

Fig. 4.1 shows the results of these experiments. The basal level of cell-death remained below the exclusion criterion of 20% (Cont, mean cell-death 15.0 ± 2.9 %). No significant differences were identified between Cont and any of the concentrations of Dox to which cardiomyocytes were exposed (ANOVA $p>0.05$).

4.1.5.2. Toxicity of doxorubicin on cardiomyocytes in the presence of an additional insult of ischaemia-reperfusion injury.

Ischaemia-reperfusion injury (IR) has been postulated to share some common mechanisms of toxicity with anthracycline-mediated cardiomyocyte injury, as explained in introduction. This includes injury secondary to generation of ROS-species, alterations of the Ca²⁺ signalling pathways and Ca²⁺ sensitive channels, alterations of the mitochondrial respiratory pathways, and activation of cell-death mechanisms regulated at the level of the mitochondrial inner and outer membranes. Since the toxicity of anthracyclines on cardiomyocytes are slow, inflicting IR-injury on cardiomyocytes stressed by concomitant non-toxic concentration of Dox may uncover latent or subthreshold injury due to anthracyclines. Since we did not observe any significant differences in doxorubicin-induced cell-death after 4 hours, we investigated if Dox might cause a subthreshold injury that would be revealed after a “second hit”, i.e., additional injury in the form of IR.

4.1.5.2.1. Ischaemia-reperfusion injury model.

We first evaluated and characterised an *in vitro* model of cell-death due to IR with two different hypoxia times of 3 hours (SI3) and 4 hours (SI4), comparing the cell-death to basal-levels observed in cardiomyocytes incubated in m199 alone (Cont). Fig.4.2 shows the results of these experiments. Only a protocol with 4 hours hypoxia (SI4) and 1 hour reoxygenation resulted in a statistically significant injury (mean cell-death $36.3 \pm 4.1\%$) compared to basal cell-death (Cont, $19.5 \pm 1.2\%$; $p < 0.05$ Cont vs SI4, $n=5$) (Fig. 4.2). The data we obtained in these characterisation experiments are consistent with results described by our lab using the same model previously (Smith et al, 2010). We therefore considered these results robust and reproducible, and adopted this protocol of 4 hours hypoxia and 1 hour reperfusion (SI4 protocol) to inflict IR-injury in subsequent experiments.

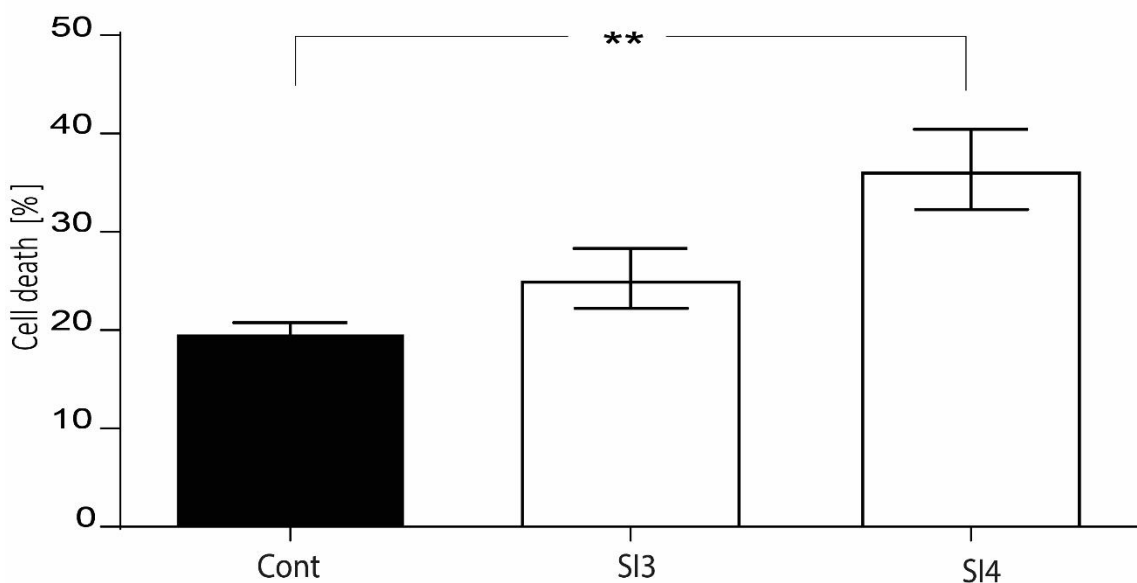
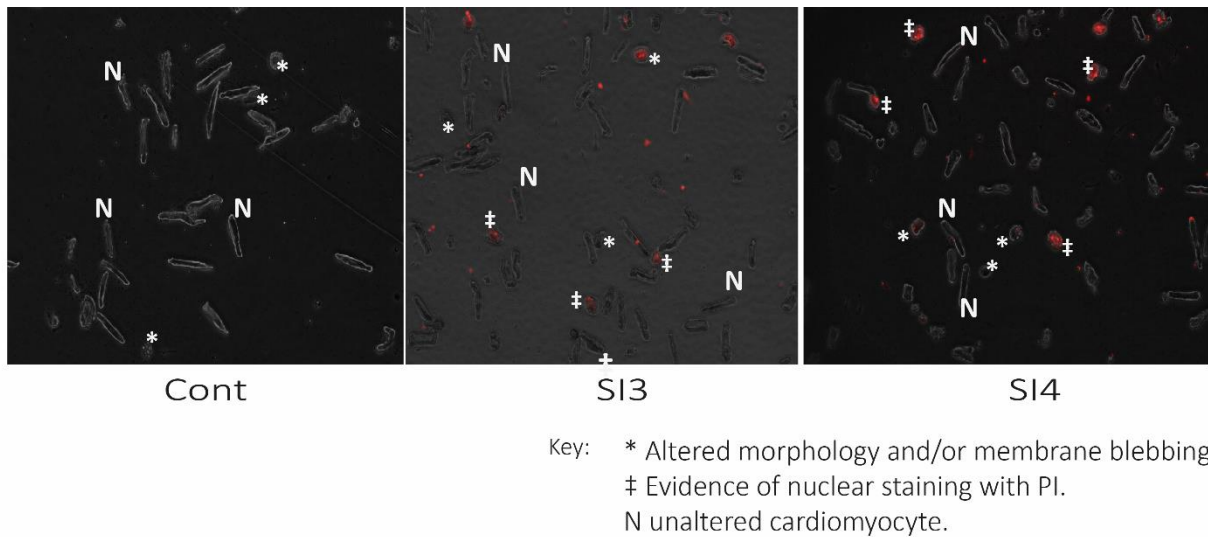


Fig. 4.2. Cell-death with increasing time of hypoxia with a maintained period of reoxygenation in ischaemia-reperfusion. With reoxygenation period maintained at 1 hour, SI4 (4 hours hypoxia) but not SI3 (3 hours hypoxia) showed a statistically significant increased cell-death compared to Cont ($p < 0.05$, $n = 5$) (Abbreviations: SI= simulated ischaemia) (representative photograph of cell-death in top panel).

4.1.5.2.2. Effect of addition of ischaemia-reperfusion injury on cell-death subjected to doxorubicin treatment for 4 hours.

Having identified 4 hours hypoxia as an optimum insult for significant injury, we next investigated the effect of inflicting this additional insult on cardiomyocytes subjected to Dox treatment (Fig. 3.4). We therefore subjected cells to IR after pre-treating them with 2 μM Dox for 4 hours, as described in section 3.2.3 (Fig. 3.4). The pre-treatment period was incorporated

to allow for accumulation of the drug or its potential metabolites within the cardiomyocytes, and alterations to metabolic or cell-survival pathways, if any, to be initiated (Olson et al, 1988; Charlier et al, 2005). Further, this protocol mimicked the situation where the myocardium that has been stressed by anthracyclines may subsequently be subjected to an additional insult such as ischaemia and reperfusion that occurs as the result of myocardial infarction. We used a concentration of 2 μ M Dox since this is close to half peak plasma levels, and may therefore potentially induce a subthreshold injury that would be revealed by this “second hit” in the form of IR-injury.

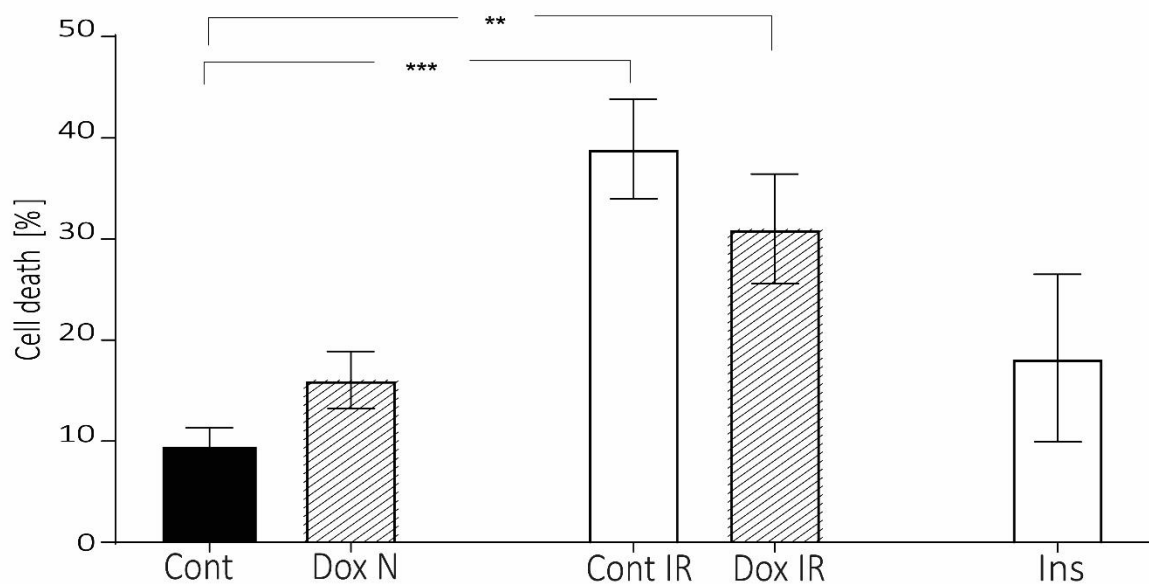
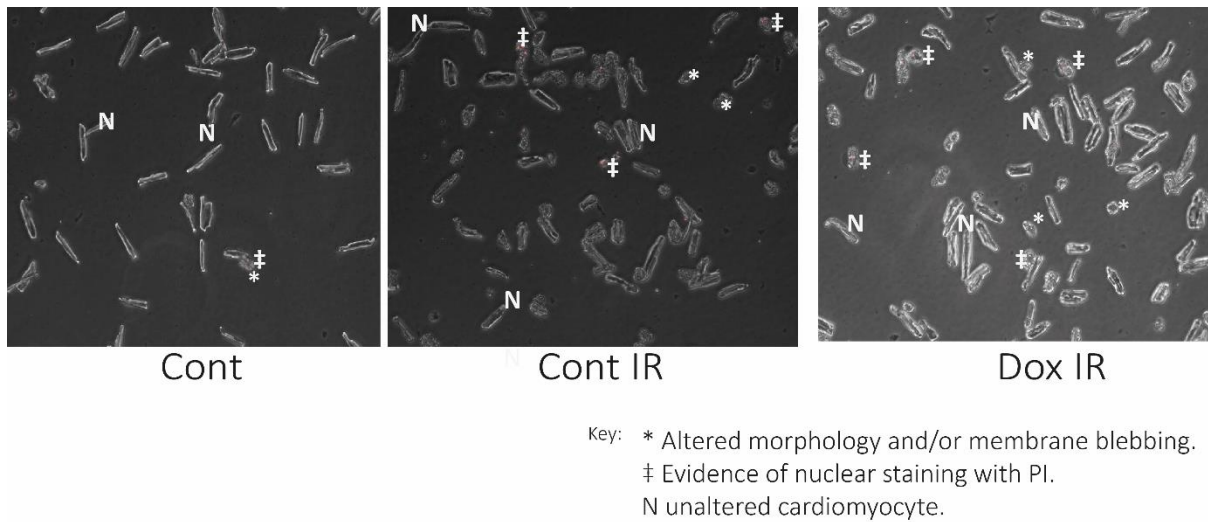


Fig. 4.3. Effect of ischaemia-reperfusion injury on cardiomyocytes after 4 h doxorubicin treatment. Compared to ischaemia-reperfusion in untreated cells (Cont IR), 2µM doxorubicin pre-treatment resulted in no significant difference in cell-death (Dox IR). The presence of the positive control insulin (Ins) rescued untreated cardiomyocytes from IR-injury, and no significant differences were noted between basal cell-death (Cont) and Ins (ANOVA $p < 0.05$, $n = 3$) (representative photograph of cell-death in top panel, see also Appendix B, Fig. III).

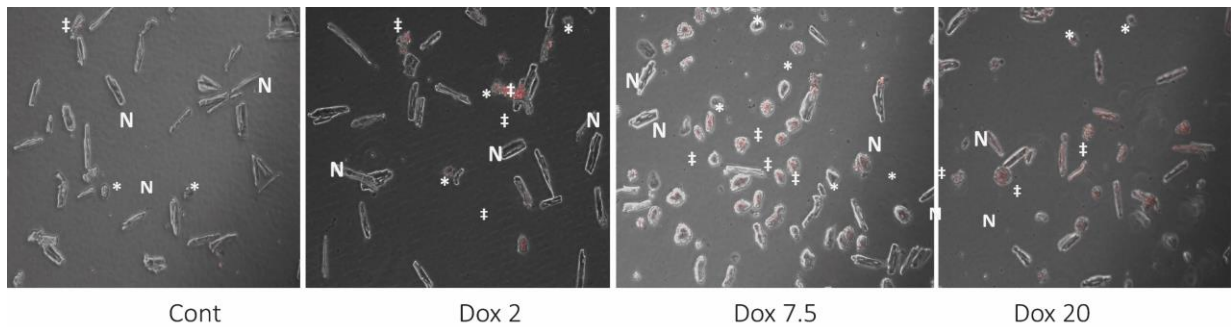
Fig. 4.3 shows the results of these experiments. Analysis of cell-death revealed that IR alone (Cont IR, mean cell-death $38.9 \pm 2.8\%$) and IR after Dox pre-treatment (Dox-IR, $30.9 \pm 3.1\%$) resulted in no significant differences. Both treatments resulted in significantly greater toxicity compared to basal cell-death (Cont, $9.4 \pm 1.0\%$) (Fig 4.3). For comparison, untreated controls

reoxygenated with the positive control insulin after hypoxia were protected (Ins, mean cell-death $18.2 \pm 4.7\%$), and no significant differences were identified compared to control. 2 μM Dox alone (Dox N, $16.0 \pm 1.6\%$) did not exhibit any significant toxicity. The results presented are a mean of three different repeat experiments ($n=3$). We concluded with reasonable confidence therefore that Dox at the concentration(s) and incubation periods we explored, did not inflict any significant overt or subthreshold injury.

4.1.5.3. Toxicity of doxorubicin over 18 hours.

Our experiments suggested that 4 hours may not be an appropriate time in which any potential pathways for cardiomyocyte toxicity could manifest in this model. Therefore, we explored if prolonging the time of exposure to Dox to 18 hours would result in any increase in cardiomyocyte death. This incubation period was chosen partly due to methodological limitations. Beyond this, primary adult rat ventricular myocytes begin to reach the limits of viability *in vitro* and display dedifferentiation, and therefore may yield inappropriate and inconsistent conclusions if significantly prolonged (Louch et al, 2011). Since cell-death was minimal at the lowest concentration, we excluded 0.5 μM Dox in subsequent experiments (section 3.2.2, Fig. 3.2).

Fig. 4.4 shows the results of these experiments. A significant rise in cell-death was identified after incubation with 7.5 μM Dox (Dox 7.5, mean cell-death $37.0 \pm 4.9\%$), 10 μM Dox (Dox 10, $43.1 \pm 4.7\%$), 15 μM Dox (Dox 15, $56.7 \pm 7.3\%$) and 20 μM Dox (Dox 20, $49.7 \pm 4.1\%$). Compared to basal cell- death (Cont, mean cell-death $15.6 \pm 1.2\%$), 1 μM Dox (Dox 1, $14.6 \pm 2.8\%$), 2 μM Dox (Dox 2, $16.2 \pm 1.8\%$) and 5 μM Dox (Dox 5, $30.9 \pm 2.9\%$) showed no significant difference in cell-death following incubation for 18 hours (ANOVA $p<0.05$, $n=7$) (Fig. 4.4)).



Key: * Altered morphology and/or membrane blebbing.
 ‡ Evidence of nuclear staining with PI.
 N unaltered cardiomyocyte.

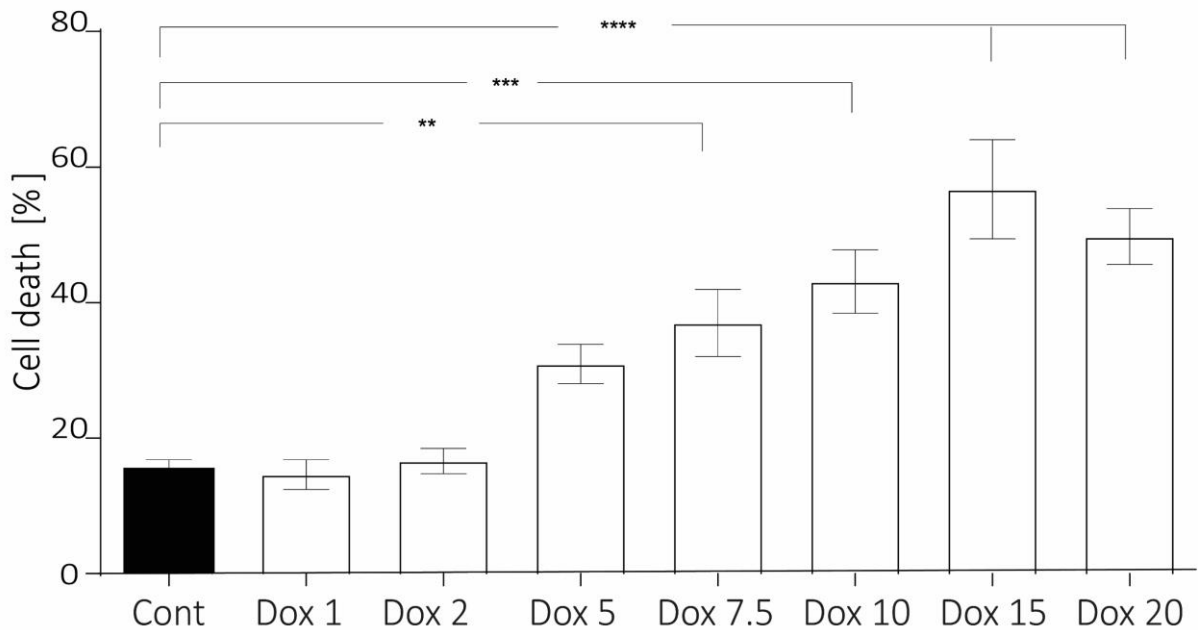


Fig. 4.4. Mean cell-death after 18 h incubation with various concentrations of doxorubicin. A trend in increase in cell-death was noted with doses excess of 5 μM doxorubicin (Dox 5), but only reached statistical significance with 7.5 μM (Dox 7.5) and higher concentrations. 1 μM doxorubicin (Dox 1) and 2 μM doxorubicin (Dox 2) exposure showed no difference compared to basal cell-death (Cont) (ANOVA $p < 0.05$, $n = 7$) (representative photograph of cell-death in top panel, also see Appendix B, Fig. II).

We considered the possibility that the lack of difference in cell-death with 1-5 μM Dox may be explained by wash-off of detached, dead cells during introduction of PI and consequent agitation of cell culture wells. To assess this, we counted the total number of cells in each treatment group (i.e., both live and non-viable cells), normalized to the number of cells in the control group (Cont) (Fig 4.9). This revealed no significant differences or variations in the final

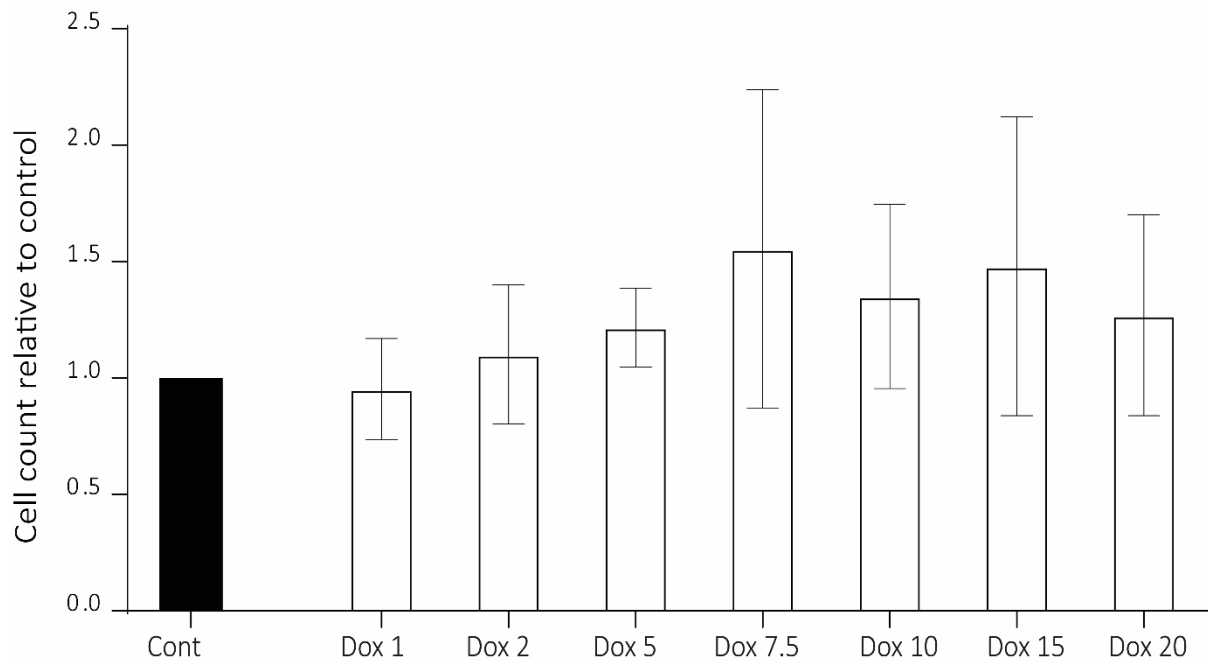


Fig. 4.5. Variations in total cell-count with increasing doxorubicin at the end of 18 h, normalised to control. Total cumulative counts of live and non-viable cells were calculated for each treatment group and expressed as ratio to control (Cont). No significant differences were identified on statistical analyses of the variations (ANOVA $p > 0.05$, $n=7$).

cell-count relative to control (ANOVA $p > 0.05$, $n=7$) (Fig. 4.5), suggesting that differential wash-off did not contribute to the different survival observed.

4.1.5.4. Effect of ischaemia-reperfusion on cardiomyocytes after 18 hours doxorubicin pre-treatment.

Since we did not observe any evidence of toxicity below 5 μM (peak physiological plasma concentration), we re-explored the hypothesis that a latent subthreshold injury may exist with lower concentrations of doxorubicin. For reasons described above, we pre-treated isolated cardiomyocytes with 2 μM Dox for 18 hours, before subjecting these cells to IR- injury using the protocol described in section 3.2.3.2 (Fig. 3.2)

Fig. 4.6 shows the results of these experiments. IR alone (Cont IR, mean cell-death $48.5 \pm 1.9\%$), and after Dox pre-treatment (Dox IR, $48.1 \pm 1.8\%$) both resulted in comparable cell-deaths, significantly higher than basal cell-death (Cont, $16.3 \pm 1.6\%$) (Fig. 4.6). In the positive

control experiment, insulin protected untreated cardiomyocytes from IR-injury (Ins, $20.6 \pm 0.9\%$), with no significant differences observed compared to Cont. Dox alone was not toxic to cardiomyocytes (Dox N, $12.9 \pm 1.7\%$) (ANOVA $p < 0.05$, $n = 3$).

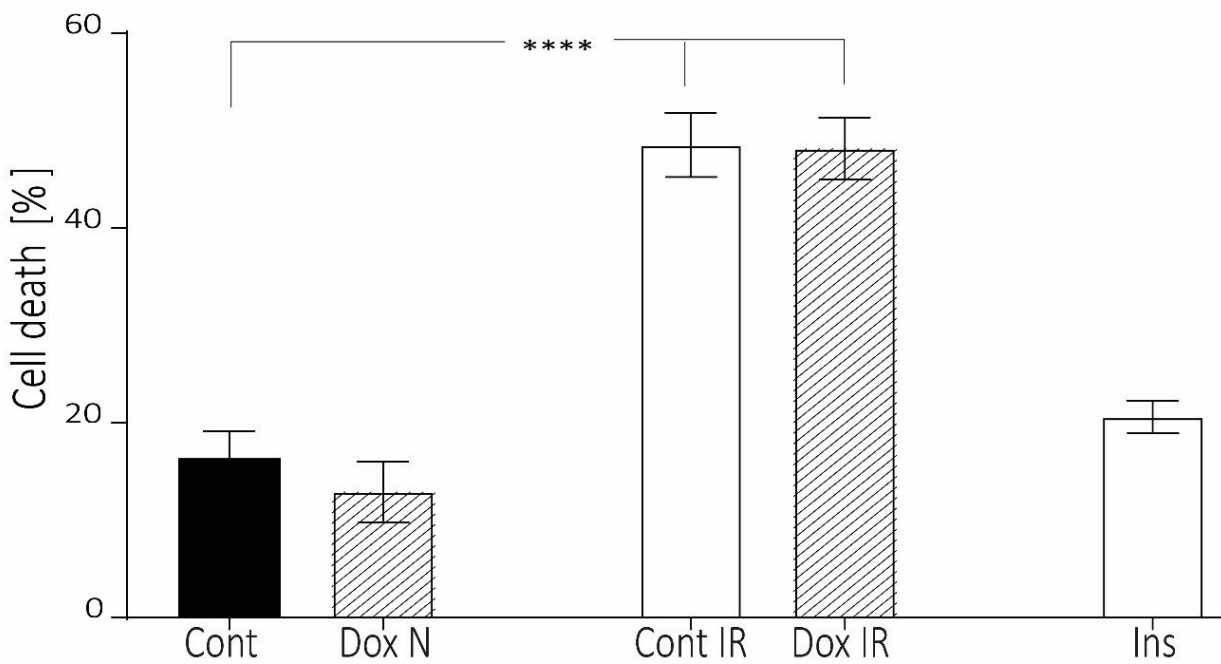
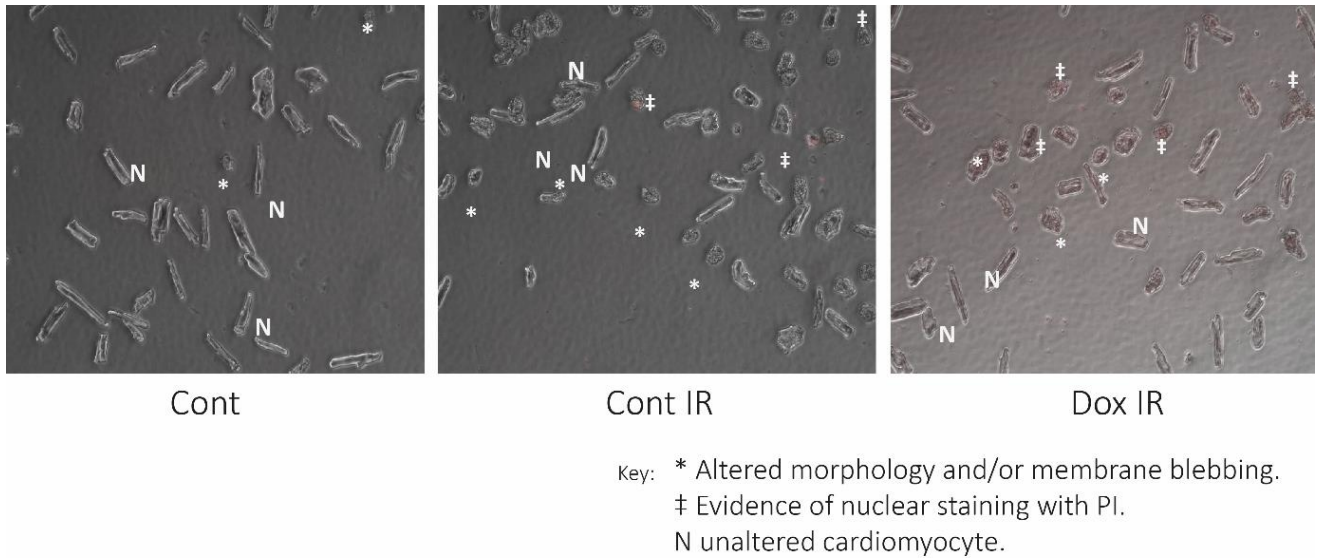


Fig. 4.6. Effect of IR after 18h pre-treatment with doxorubicin. Cell-death after IR showed no difference between untreated cardiomyocytes (Cont IR) and after $2\mu\text{M}$ doxorubicin pre-treatment (Dox IR). In the positive control experiment, 275u/ml insulin (Ins) protected untreated cells from IR-injury, and no differences were identified compared to basal basal cell-death (Cont) (ANOVA $p < 0.05$, $n = 3$) (representative photograph of cell-death in top panel, see also Appendix B, Fig. IV).

4.1.6. Final protocol of model of doxorubicin-induced cardiomyocyte toxicity.

Our experiments described above showed that in this *in vitro* ARVM model, Dox inflicted a significant injury only when cardiomyocytes were incubated with a minimum of 7.5 μM concentration, over a period of 18 hours. Additional insults in the form of IR-injury failed to uncover any latent toxicity in cells pre-treated for both 4 hours and over 18 hours with a lower concentration of 2 μM doxorubicin. Based on these data, unless otherwise indicated in the experiments, we used the toxicity of a concentration of 7.5 μM Dox on cardiomyocytes incubated over a period of 18 hours as the standardised model of doxorubicin-cardiotoxicity.

4.2. Characterisation and development of protocol for hypoxic preconditioning.

In subsequent chapters, we explore the potential protective effect of *in vitro* preconditioning against Dox-induced cardiomyocyte death. For these experiments, we characterised and standardised an *in vitro* protocol for hypoxic preconditioning (HP) that could protect cardiomyocytes against IR-injury. We therefore characterised the protocols by evaluating their capacity to protect against IR-injury in the SI4 model we had developed earlier (Fig 4.3). We evaluated two different protocols of *in vitro* HP, as described below.

4.2.1. Choice of hypoxic preconditioning stimulus.

A number of different methods have been described for preconditioning isolated cardiomyocytes *in vitro*. This includes, for example, use of pharmacological agents e.g. Adenosine receptor agonists (Liu et al, 1991), α 1-adrenergic receptor agonists (Bannerjee et al, 1993), Bradykinin B2 receptor agonists (Brew et al, 1995) etc. Previous reports from *in vitro* models of IR-injury, e.g. human atrial trabecular models, suggest ischaemic/hypoxic preconditioning confers a stronger protection and greater recovery from reperfusion injury compared to preconditioning by pharmacological agents alone (Cleveland et al, 1997). This may be a consequence of the activation of multiple redundant pathways that HP initiates, compared

to initiation of unitary cascades by activation of receptor-linked signalling. Similarly, work in *in vivo* and *ex vivo* models show ischaemic preconditioning offers greater protection against reperfusion injury compared to known preconditioning stimuli such as hypothermia (van den Doel et al, 1998) or LPS (Rowland et al, 1997). Ischaemic/hypoxic preconditioning has therefore been considered one of the most powerful cardioprotective factors (Illiodromitis et al, 2007), and was considered the preferable preconditioning stimulus for our experimental purposes.

A number of different preconditioning protocols have been described, which confer protection by simulating the metabolic conditions and/or the physiological insult of ischaemia/hypoxia. These protocols differ in the species of cardiomyocytes used, mode of delivering hypoxia, hypoxic protocol-length etc (Wu, 1999; Marber 2000; Diaz and Wilson, 2006). For example Armstrong and Ganote (1994) compacted freshly isolated adult rabbit cardiomyocytes by centrifugation and subjected these to substrate deprivation and hypoxia by discarding excess supernatant and introducing an oil-layer as barrier to gaseous exchange. Similarly, Rakhit et al (2001) subjected neonatal rat ventricular myocytes to 90 minutes hypoxia (95% argon-5% CO₂ atmosphere with <1% O₂) in a buffer with high lactate, low pH and high potassium (K⁺) to precondition these cells before replacing this “hypoxic buffer” with normal cell-culture medium. In our own lab, Hausenloy et al (2010) bathed isolated murine cardiomyocytes in a low pH buffer (pH 6.2) with high lactate and high K⁺, and subjected these cells to 10 minutes hypoxia in an airtight chamber before replacing the buffer with normal cell-culture medium. These differences in protocols and modalities highlighted the need to construct a HP-protocol specific to the cell-model that we were using, i.e. isolated adult rat ventricular cardiomyocyte model. We therefore chose a model for HP where cardiomyocytes were incubated in a hypoxic environment, using a buffer with low pO₂ (<10.0 kPa), no glucose, high lactate, high K⁺ and low pH to simulate the hypoxic environment as a preconditioning stimulus. This was followed by incubation in a normoxic buffer with normal glucose and normal pH to simulate reperfusion.

This model of hypoxic preconditioning has been used in this lab as an HP-stimulus against IR-injury (Hausenloy et al, 2010).

4.2.2. Protocols 1 and 2 for hypoxic preconditioning.

The two protocols for HP that we evaluated differed in the time-period that cardiomyocytes were subjected to hypoxia during the preconditioning phase (Fig. 4.7). To simulate hypoxia, the cardiomyocytes subjected to preconditioning (samples designated HP Protocol 1 and HP Protocol 2, Fig. 4.7) were incubated in hypoxic buffer, placed in an airtight hypoxic chamber, and normal residual-atmosphere was expelled by flushing the chamber with N₂-CO₂ gas.

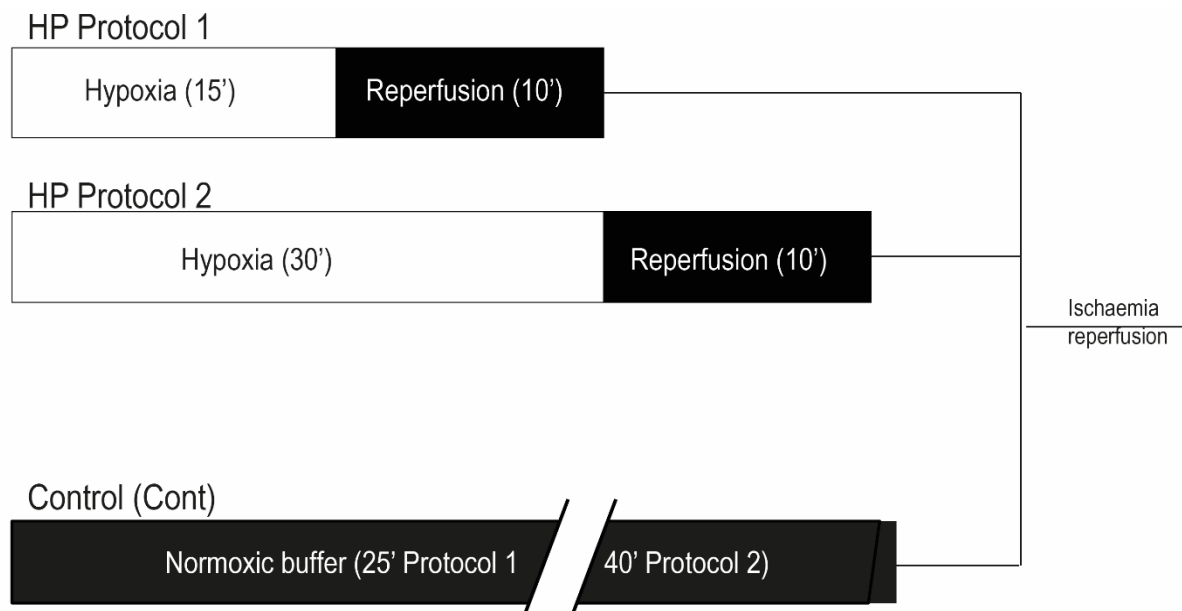


Fig. 4.7. Experimental layout for Protocol 1 and Protocol 2 for hypoxic preconditioning. Cardiomyocytes were subjected to hypoxia for 15 minutes in hypoxic buffer in a sealed hypoxic environment in Protocol 1 (Protocol 1), followed by transfer to a normoxic buffer in normal atmosphere for 10 minutes. Cardiomyocytes in Protocol 2 (Protocol 2) were subjected to hypoxia incubated in hypoxic buffer for 30 minutes, followed by transfer to normoxic buffer in a normal atmosphere for 10 minutes. Controls were incubated in normoxic buffer for 25 minutes (Protocol 1) or 40 minutes (Protocol 2). Cells were transferred to medium 199 after both protocols, and left undisturbed for 18 hours before further experiments.

In the first protocol we tested (Protocol 1), the cardiomyocytes were incubated in this simulated hypoxic environment for a total of 15 minutes, then transferred to normoxic buffer

and incubated in normoxic atmosphere at 37 °C for 10 minutes to simulate the reperfusion phase. In the second protocol (Protocol 2), the cardiomyocytes were subjected to simulated hypoxia for 30 minutes, before transferring to normoxic buffer in normal atmosphere at 37 °C for 10 minutes as for Protocol 1. For each protocol, controls (designated Cont) were incubated in normoxic buffer, in normoxic atmosphere, for the respective matched time, i.e., 25 minutes for Protocol 1 and 40 minutes for Protocol 2. Preconditioned cells and controls were transferred to medium 199 and incubated for 18 hours (Fig. 4.7).

4.2.3. Evaluation of hypoxic preconditioning protocols in protecting cardiomyocytes against ischaemia-reperfusion-injury.

As shown in Fig.4.8, 18 hours after preconditioning, cardiomyocytes death due to IR was evaluated using the SI4 model previously developed. Preconditioned cells were subjected to

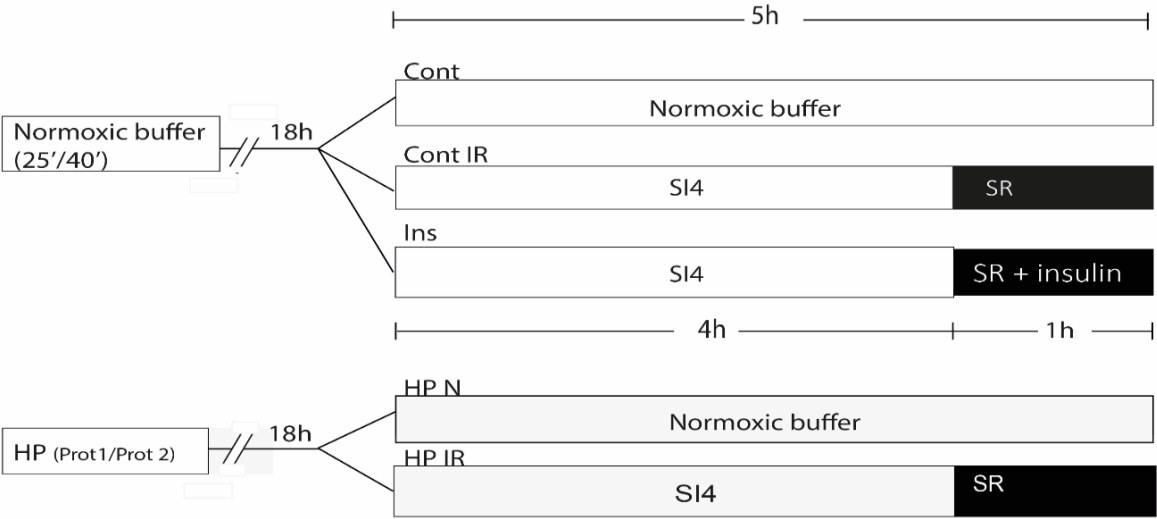


Fig. 4.8. Schematic diagram depicting evaluation of the protective potential of hypoxic preconditioning protocols 1 and 2. Cells were preconditioned (HP) using Protocol 1 or Protocol 2. Control cardiomyocytes (Cont) were treated with normoxic buffer in a normoxic environment for matched times. 18 hours after preconditioning, both HP and Cont were subjected to 4 hours hypoxia (SI) followed by 1 hour reperfusion (SR). As positive control, insulin was introduced at reoxygenation (Ins) in a subgroup of control non-preconditioned cells (Abbreviations: HP=Hypoxic-preconditioned, Cont=Control, Prot 1= Protocol 1, Prot 2= Protocol 2, SI=Simulated ischaemia, SR=Simulated reperfusion).

IR-injury (HP-IR) or incubated for 5 hours in normoxic buffer in normal atmosphere (HP-N). Non-preconditioned controls were subjected similarly to IR-injury (Cont-IR), or incubated in

normoxic buffer in normal atmosphere for 5 hours (Cont). As a positive control against reperfusion injury, a further subgroup from non-preconditioned control (Ins) was subjected to 4 hours hypoxia, but reoxygenation was carried out in normoxic buffer with dissolved insulin (Fig. 4.8).

4.2.3.1. Protocol 1 does not protect cardiomyocytes from ischaemia-reperfusion injury.

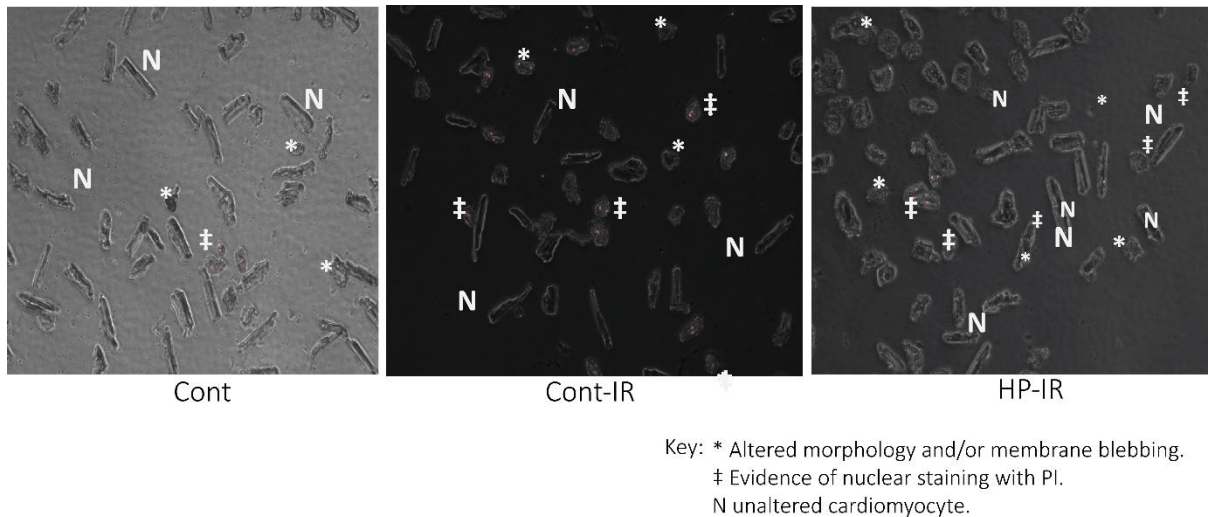


Fig. 4.9. Protocol 1 fails to protect cardiomyocytes from ischaemia-reperfusion injury. Cell-death after ischaemia-reperfusion (IR) was similar in cells subjected to both Protocol 1 (HP-IR) and control protocol (Cont-IR), and significantly higher than basal cell-death (Cont). By contrast, the positive control insulin (Ins) protected non-preconditioned cardiomyocytes from IR (Multiple t-Test $p < 0.05$ Cont vs Cont-IR and Cont vs HP-IR, $n=2$) (representative photograph of cell-death in top panel, see also Appendix B, Fig.V).

Fig.4.9 shows the results of these experiments. Subjecting cardiomyocytes to IR-injury after protocol 1 showed a significantly higher cell-death in both preconditioned cells (HP-IR, mean

cell-death $53.3 \pm 1\%$) and non-preconditioned controls (Cont-IR, $53.3 \pm 1.4\%$) compared to normoxic control (Cont, $17.2 \pm 1\%$) (Fig. 4.9). No significant differences existed between the Cont-IR and HP-IR groups. By comparison, presence of insulin protected non-preconditioned control cardiomyocytes from IR-injury (Ins, mean cell-death $31.1 \pm 0.5\%$). Preconditioned cardiomyocytes incubated in normal atmosphere did not show any significant differences compared to control (HP-N, mean cell-death $18.2 \pm 1.5\%$). The cell-death observed for both Cont-IR and HP-IR differed significantly from basal cell-death and insulin control upon multiple t-tests ($p < 0.05$, $n = 2$).

The results described are observation of two repeat experiments ($n = 2$). Although this is a small sample size, the data showed very close consistency between the experiments and, moreover, we observed a very high consistency in cell-death observed with the positive control insulin, allowing us a measure of confidence to conclude that Protocol 1 did not hold an appropriate protective potential, at least in a window 18 hour after preconditioning. We therefore proceeded to evaluate protection offered by Protocol 2.

4.2.3.2. Protocol 2 protects cardiomyocytes from ischaemia-reperfusion injury.

When Protocol 2 was used as a preconditioning stimulus (Fig. 4.10), IR-insult after 18 hours resulted in a significant rise in cell-death in control cardiomyocytes only (Cont-IR, mean cell-death $41.2 \pm 6\%$) but not preconditioned cells (HP-IR, $20.2 \pm 5\%$, $p < 0.05$ Cont-IR vs HP-IR). HP-IR showed no significant differences compared to basal cell-death (Cont, mean cell-death $18.1 \pm 3.1\%$), or to cell-death observed in non-preconditioned cells subjected to the positive control agent insulin during reoxygenation (Ins, mean cell-death $31.1 \pm 0.5\%$, $p < 0.05$ Cont-IR vs Ins). HP alone did not inflict any significant injury (HP-N, mean cell-death $10.5 \pm 1.7\%$). The results are the mean of three repeat experiment ($n = 3$) (ANOVA $p < 0.05$, $n = 3$) (Fig. 4.10). This

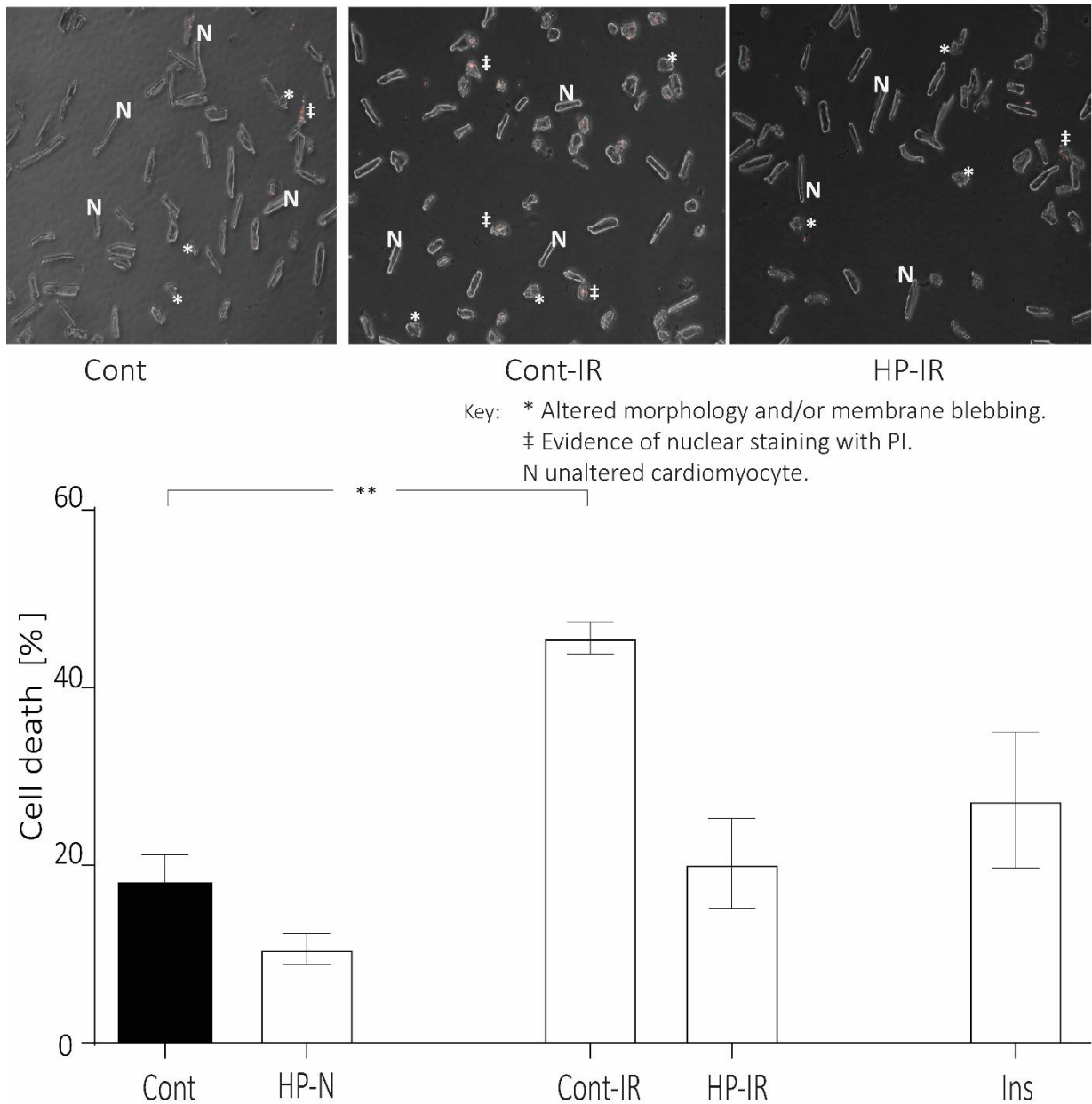


Fig. 4.10. Protocol 2 protects cardiomyocytes from ischaemia-reperfusion injury 18 h after preconditioning. Ischaemia-reperfusion (IR)-injury 18 hours after preconditioning (HP) induced a significant injury in non-preconditioned cardiomyocytes (Cont-IR), but not in cardiomyocytes subjected to Protocol 2 (HP-IR). No differences were identified between basal cell-death (Cont), HP-IR and the levels of cell-death observed in non-preconditioned cells when the protective agent insulin was introduced at reoxygenation (Ins) (ANOVA $p < 0.05$, $n = 3$) (representative photograph of cell-death in top panel. See also Appendix B, Fig. VI).

experiment confirmed that Protocol 2 was an appropriate stimulus for hypoxic preconditioning that could confer protection against IR-injury in a window 18 hour after HP.

4.2.3.3. Protocol 2 also confers protection against ischaemia-reperfusion within a shorter window after preconditioning.

Ischaemic preconditioning confers a biphasic protection against IR-injury with an initial protective effect that is initiated immediately, and lasts between 8 hours to 12 hours. This is followed by a second peak in protective effect that is noted 18 to 24 hours, and may last for up to 48 to 72 hours (Kis et al, 2003). The above experiments were carried out during the window typically associated with this latter second window. In the next experiment, therefore, we wanted to confirm whether Protocol 2 could protect against IR-injury within the first window. Therefore, 4 hours after preconditioning with Protocol 2, cell-death following IR-injury was evaluated using the SI4 model. Preconditioned cells were subjected to IR (HP-IR), or incubated in normoxic buffer in normal atmosphere (HP-N) for 5 hours. Non-preconditioned controls

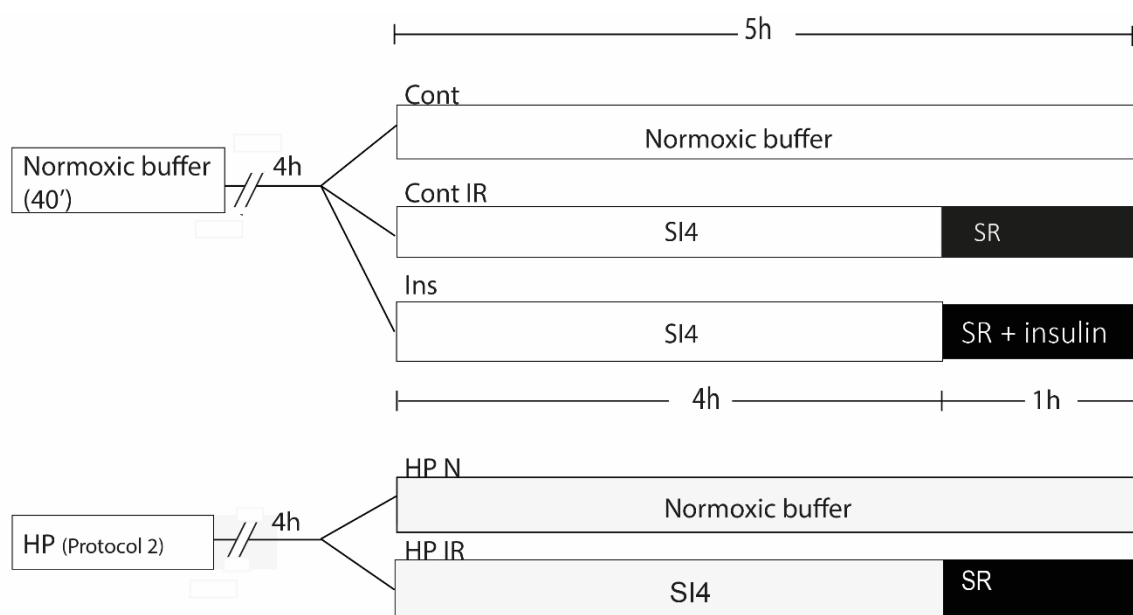


Fig.4.11. Schematic diagram of experimental setup for validation of Protocol 2 in the “classical” window of preconditioning. Cardiomyocytes preconditioned with Protocol 2 were subjected to IR-injury 4 hours after hypoxic preconditioning (HP) to confirm that classical HP-mediated protection is preserved.

were subjected to IR (Cont-IR), or incubated in normoxic buffer in normal atmosphere for 5 hours (Cont) to evaluate basal cell-death. We used insulin as positive control in these experiment as described in the preceding experiment. Therefore, a subgroup from control (Ins)

was subjected to 4 hours hypoxia of the SI4 protocol, but reoxygenation was carried out in normoxic buffer containing dissolved insulin (Fig. 4.11).

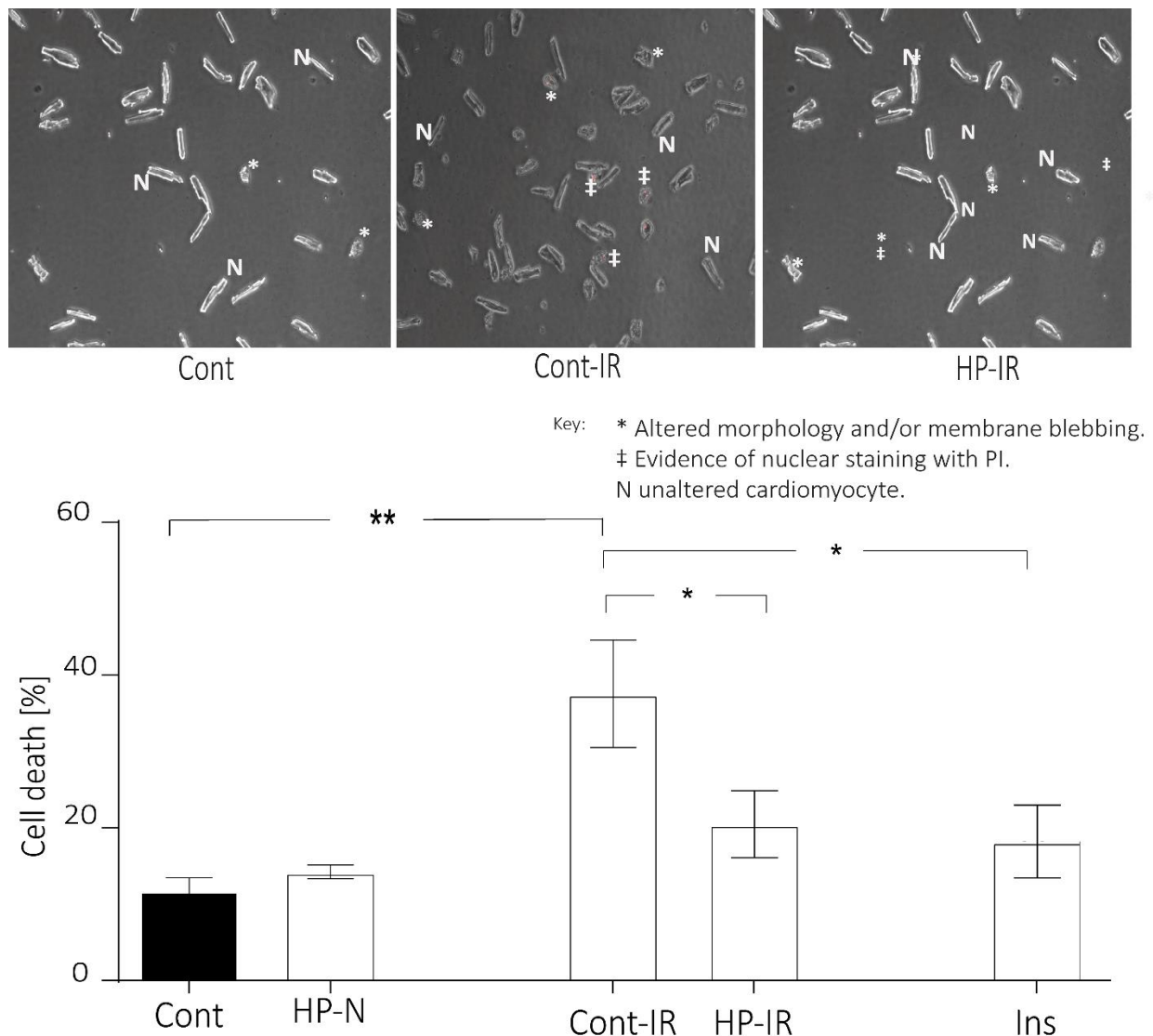


Fig. 4.12. Protocol 2 protects cardiomyocytes against ischaemia-reperfusion injury in the acute window. 4 hours after preconditioning (HP), ischaemia-reperfusion (IR) inflicted a significant injury in non-preconditioned control cells (Cont-IR) only. Cells subjected to Protocol 2 were protected from ischaemia-reperfusion injury (HP-IR), with no differences identified between basal cell-death (Cont), HP-IR and non-preconditioned controls protected by insulin (Ins) (ANOVA $p < 0.05$, $n = 4$) (representative photograph of cell-death in top panel. Also see Appendix B Fig.VI).

Fig. 4.12 shows the results of these experiments. Only non-preconditioned control group displayed evidence of significant rise in cell-death with IR (Cont-IR, mean cell-death $37.5 \pm 7\%$).

Preconditioned cardiomyocytes were however protected (HP-IR, mean cell-death $20.5 \pm 4.4\%$),

and showed no evidence of significant injury ($p < 0.05$ Cont-IR vs HP-IR, $n = 4$) (Fig. 4.12). No

significant differences were identified between HP-IR and basal cardiomyocyte death (Cont, mean cell-death $11.4 \pm 2\%$, $n=4$). Insulin protected non-preconditioned controls from IR-injury, and no differences were identified between HP-IR, Cont and Ins (Ins, $n=3$, mean cell-death $18.2 \pm 4.8\%$, $p<0.05$ Cont-IR vs Ins) (ANOVA $p<0.05$) (Fig. 4.12).

4.2.4. Final protocol for Hypoxic preconditioning.

Based on the above experiments we adopted Protocol 2 as the stimulus for HP. Therefore, where cardiomyocytes were preconditioned in subsequent experiments, we subjected the cells to 30 minutes hypoxia, followed by 10 minutes reoxygenation.

4.3 Characterisation of Western blot protocol.

In the subsequent chapter (section 5.2) we describe the results of inhibition of PI3K/Akt and MAPK ERK 1/2 on the effect of hypoxic preconditioning on Dox-induced cell-death. We consolidated these data by exploring the phosphorylation state of Akt (p-Akt) and of ERK 1/2 (p-ERK) as described in section 5.2.3. However, achieving optimum Western blotting conditions from the *in vitro* cell culture experiments were difficult, necessitating a characterisation approach before we obtained any clear signal indicating protein content. In our initial Western blots, cell-cultures were established in 32 mm culture dishes. We explored the content of p-Akt in these preliminary experiments. Cells were subjected to HP, and 7.5 μM Dox introduced after reoxygenation. Cells were lysed 5 minutes after completion of reoxygenation and introduction of Dox (15 minutes after completing the hypoxia phase of preconditioning) (Dox HP, Fig.4.13).

As untreated controls, cells were transferred into medium 199 after HP (Cont HP) before lysis. PI3K/Akt was inhibited by preincubating cells for 1 hour in 10 μM LY294002. Cells were then subjected to hypoxia in inhibitor-free hypoxic buffer for 30 minutes, followed by reoxygenation in the presence of 10 μM LY294002 in normoxic buffer.

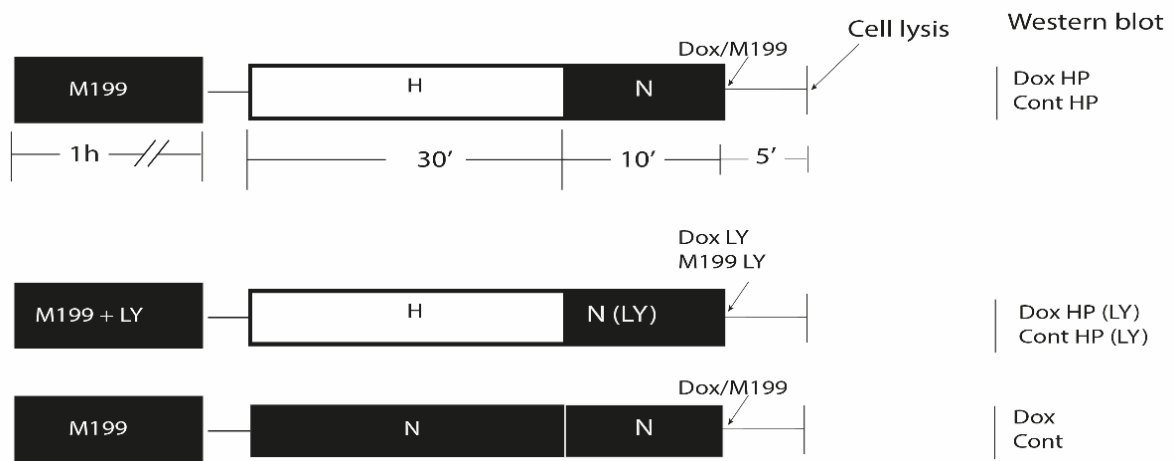


Fig. 4.13. Experimental setup for Western blot analysis sample collection. Cardiomyocytes were incubated in medium 199 alone, or with the kinase inhibitors 10 μ M LY294002 to pre-inhibit PI3K/Akt. These were then subjected hypoxic preconditioning by incubating in inhibitor-free hypoxic buffer, before transferring to normoxic buffer with or without LY294002. Cells were subjected to 7.5 μ M Dox treatment alone or with the LY294002 at the end of reoxygenation phase of preconditioning. Control cardiomyocytes were incubated in medium 199 alone or with LY294002 after HP and lysed 5 minutes after starting Dox treatment.

After reoxygenation, inhibitor was maintained along with Dox (Dox (LY)), or medium 199 (Cont (LY), Fig.4.13). Akt phosphorylation in non-preconditioned cells, in the presence of Dox and under basal conditions, were probed by incubating cardiomyocytes in normoxic buffer alone for 40 minutes, then transferring to 7.5 μ M Dox (Dox), or medium 199 (Cont). Protein levels were probed using standard Western blot conditions, and Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) levels probed as loading control.

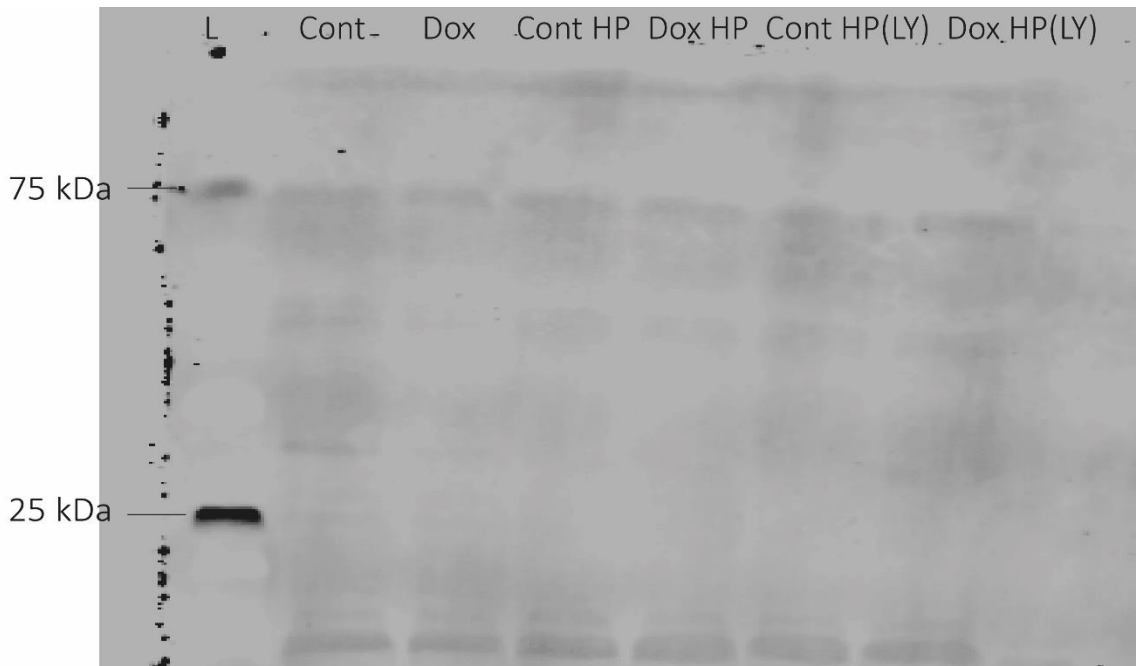


Fig. 4.14. Result of protein-collection from 32mm cell-culture dish. Example western blot is shown exploring induction of p-Akt and GAPDH after sample lysis in 32mm cell-culture dishes 5 minutes following Dox treatment. No individual signal for either the p-Akt, nor the housekeeping gene GAPDH could be identified.

Fig. 4.14 shows an example blot from this experimental set-up. We failed to identify any discernible signals for either p-Akt, or of GAPDH housekeeping protein, in these experiments. Indicating the sample collected during cell lysis contained no significant protein content of either the protein of interest (p-Akt) or of the loading control.

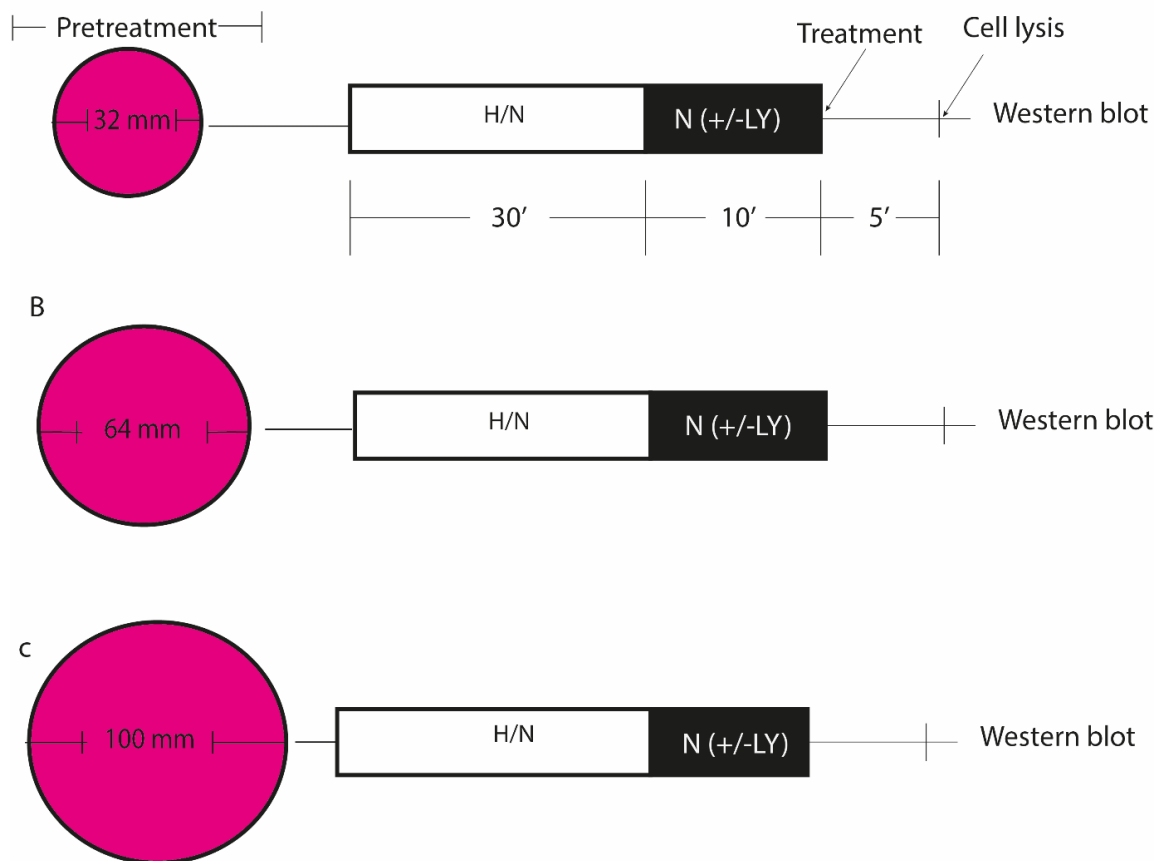


Fig. 4.15. Different cell-culture volumes explored to obtain suitable protein yield during Western blot characterisation. Following yield of very low concentration of proteins from 32-mm dishes (Panel A), experiments were set-up in 64 mm-dish (Panel B) and subsequently 100mm cell-culture dish (Panel C). In each experimental set-up, cells were lysed 15 minutes after end of hypoxia, corresponding to a time point 5 minutes after completion of simulated reperfusion and 5 minutes after the time point Dox is added as an insult.

Since these initial western blot experiments were setup using 32 mm cell-culture dishes (Fig. 4.15 A), we hypothesised that the lack of any significant signals for proteins may be due to low protein-content and yield obtained from the cultures established in a small volume cell-culture dish. We therefore established the ARVM primary cultures for the respective treatments in larger diameter dishes of 64 mm and 100 mm to obtain greater volume, and therefore higher protein content (Fig. 4.15 B and 4.15 C) and re-explored the content p-Akt and GAPDH.

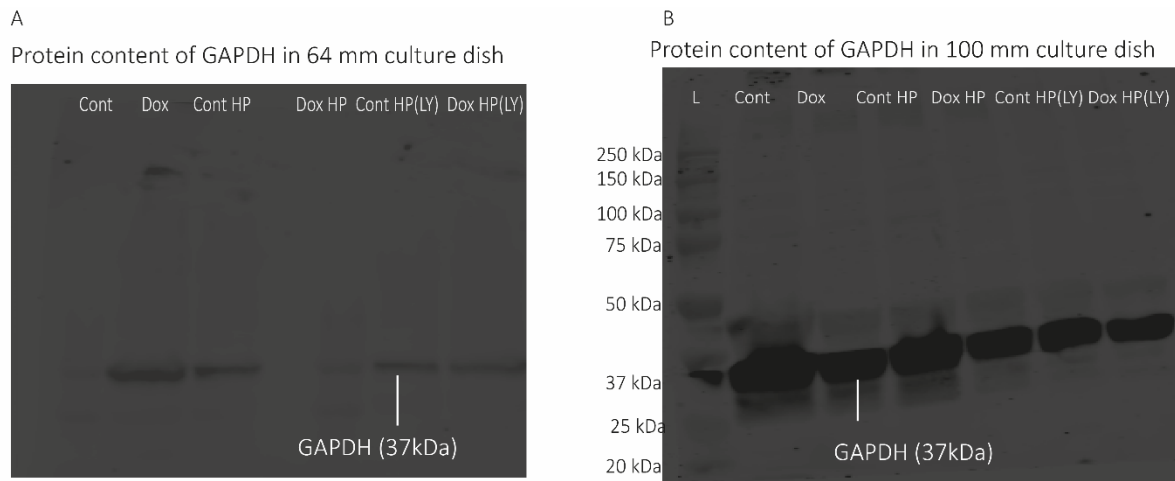


Fig. 4.16. Western blot highlighting differences in protein content between different volumes of cell-culture dishes. A. Western blots exploring the content of p-Akt and GAPDH after collecting lysate from cells incubated in 64 mm cell-culture plates. A faint signal for GAPDH is observed but no clear signal for p-Akt is noted. B. Western blot exploring the content of p-Akt and GAPDH in lysates from cell-culture established in 100 mm cell-culture dishes. A prominent signal for GAPDH was noted. No clear signal for p-Akt could be identified.

Fig. 4.16 shows the result of this Western blot. Lysing cells in 64mm culture dish showed a faint signal for the housekeeping gene GAPDH, but no signal was observed for p-Akt. However, lysing the cells following incubation in 100mm cell culture dishes yielded a robust signal for the housekeeping gene GAPDH. Despite this prominent signal for the loading control, however, we failed to observe any significant signal for the p-Akt protein. These experiments did, however, indicate that the ideal volume for obtaining an appropriate protein yield to detect by Western blot required a 100 mm cell-culture plate.

In subsequent Western blots, therefore, all treatment groups were established in 100 mm culture dishes. However, in light of persisting absence of any signal for p-Akt in response to HP, we hypothesised that the phosphorylation response may occur early after preconditioning, much earlier than the time point at which we were lysing the cell-culture for protein collection. We therefore conducted a time course experiment, where cells were subjected to hypoxic phase of HP, followed by cell-lysis through specific time-intervals of 60 seconds through the

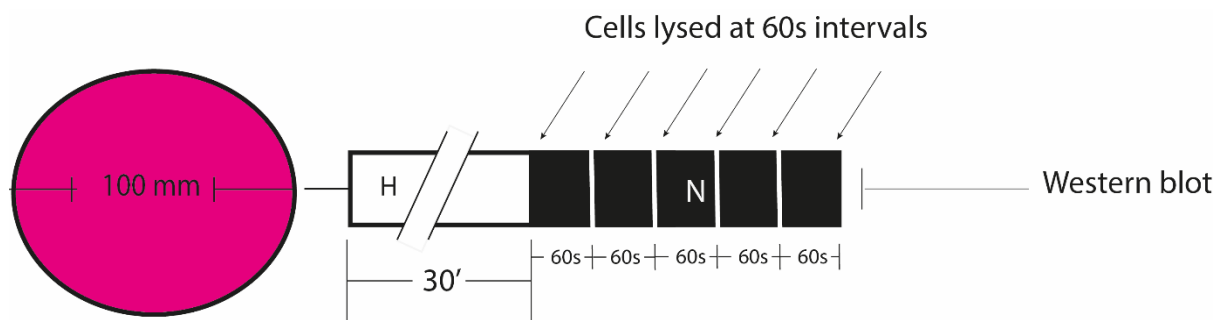


Fig.4.17. Harvestation of protein samples through different time-points to analyse Akt content. Cells were lysed immediately after completing hypoxia, and subsequently at 60 second intervals up to 300 seconds. Protein lysates were probed with Western blot for housekeeping genes GAPDH and t-Akt and p-Akt.

reoxygenation phase, upto 5 minutes. These samples were then probed to assess the p-Akt content at each time-point (Fig. 4.17). The total Akt protein (t-Akt) content was also explored in these samples, and the ratio of total and phosphorylated Akt respectively were normalised to GAPDH content in each sample, i.e., t-Akt:GAPDH and p-Akt:GAPDH ratio evaluated. Phosphorylation response of Akt at each time point was then evaluated as the ratio p-Akt:GAPDH ratio to t-Akt:GAPDH.

Fig. 4.18 shows the result of this experiment. The ratio of phosphorylated protein normalised to GAPDH rose to approximately 1.8 times that of total Akt, peaking at 120 seconds before declining towards baseline at 300 seconds. This experiment showed that the peak in p-Akt content after HP occurred before the conclusion of reoxygenation phase (10 minutes reoxygenation) of our experimental protocol of hypoxic preconditioning, and therefore before Dox had been introduced to preconditioned cells. Therefore as final protocol, in further Western blots where the phosphorylation induction of Akt and MAPK ERK 1/2 in response to HP were explored, cell lysis was carried out at 2 minutes (120 seconds) after completing hypoxic phase, as shown in Fig. 3.13. In subsequent experiments, we used insulin as a positive control,

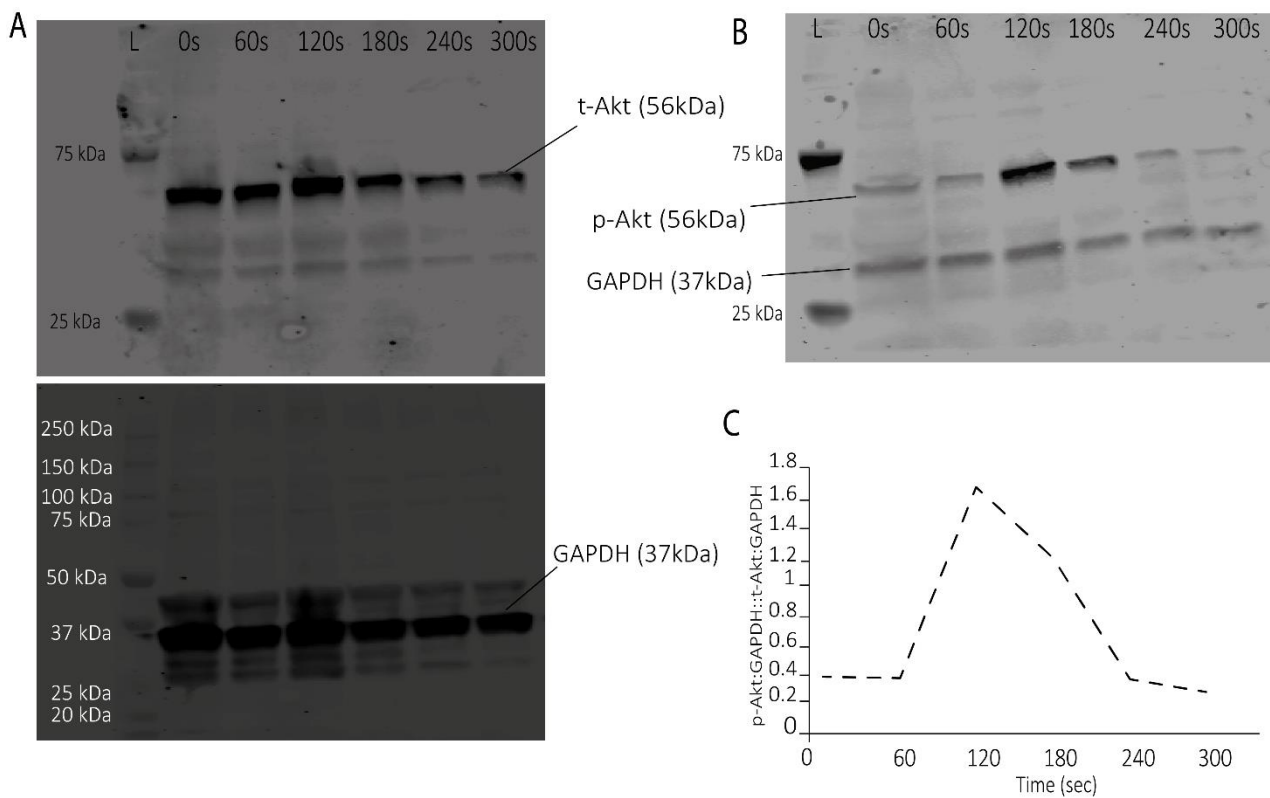


Fig.4.18. Western blot analysis of phosphorylation induction of Akt over time following hypoxia phase of preconditioning. Total Akt protein content (normalised to GAPDH, panel A) and phosphorylated protein content (normalised to GAPDH, panel B) both showed an increase noted to be peak at 120 seconds after end of hypoxia, before declining in the following 180 seconds.

since it is a known inducer of the reperfusion injury salvage kinase (RISK) pathway. Cells were incubated with insulin (275u/ml) for ten minutes before they were lysed and prepared for gel-loading using similar method to other samples, as described in section 3.2.9.2.

4.4. Discussion.

4.4.1. Characterisation of doxorubicin toxicity on cardiomyocytes.

In developing an *in vitro* model of Dox-induced cell-death, we observed a dose-dependent and time-dependent rise in the cytotoxic potential of doxorubicin, which is consistent with the results reported by other groups in various different *in vitro* cardiomyocyte models including adult rat ventricular myocytes (Lim et al, 2004), Neonatal rat ventricular myocytes (e.g., Ichikawa et al, 2014) as well as human induced pluripotential stem cells (Maillet et al, 2016). We observed no significant toxicity in cardiomyocytes subjected to any of the Dox concentrations we tested up to 4 hours (Fig. 4.1). It ought to be mentioned that the sample size from which we drew this conclusion varied from 2 to 4, and therefore statistical power was low for the higher concentrations of Dox. Therefore, in subsequent experiment we re-explored cell-death with similar concentrations of doxorubicin, but after prolonging the exposure time to 18 hours.

In this second group of experiments, we observed statistical significance in cell-death at and above 7.5 μM doxorubicin. The data shown here represent a sample size of seven repeat experiments ($n=7$), which may be considered an adequately large sample size for an *in vitro* experiment to draw confident conclusions. Moreover, the results are consistent with toxicity observed in other *in vitro* systems which report similar dose-dependent rise in cardiomyocyte-death. For example, Zhang and Zhao (2017) observed a rise in cell-death with increasing Dox concentrations in human induced pluripotential stem cells (iPSCs) after incubating these cells for 48 hours, with IC_{50} of 3.5 μM . In the same experiment, assessment of cardiac troponin as a marker of cell-injury showed some damage at low concentrations up to 1.2 μM but further significant injury above 2.5 μM doxorubicin. Similarly, Yan et al (2015) found a dose-dependent fall in cell viability and an increase in the apoptotic index of TUNEL-staining after incubating of H9C2 cardiomyoblasts with 1-20 μM doxorubicin. Criticisms have been made of *in vitro* and *in*

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vivo models of anthracycline toxicity where very high concentrations of anthracyclines, many times higher than peak physiological values, have been used (Stěrba et al, 2011). For example Chatterjee et al (2008) reported significant rise in cell-death in an *in vitro* murine cardiomyocyte model with 26 μM to 36 μM doxorubicin. Similarly, Zhang et al (2012) induced cardiotoxicity in their *in vivo* murine model using a bolus dose of 25 mg/kg in an *in vivo* murine model. Therefore, it is important to highlight that the toxicity we see in this model manifests at a concentration close to and immediately above peak plasma concentrations of doxorubicin, which is typically around 5 μM . We were therefore satisfied that this model allowed close and adequate *in vitro* replication of the postulated toxic effects of anthracyclines on cardiomyocytes.

4.4.2. Ischaemia-reperfusion injury in doxorubicin stressed cells.

Our initial experiments failed to display significant rise in cell-death with concentrations of Dox lower than peak plasma values. This result was consistent after both 4 hours, and after 18 hours incubation. We considered the possibility that a latent injury process may exist with lower concentrations below 5 μM doxorubicin, which was not sufficient to manifest in excess cell-death, and therefore used IR-injury as a “second hit”, or an additional insult, to test this hypothesis. We used a concentration of 2 μM doxorubicin. This is a concentration immediately below half the peak-plasma concentration of Dox in humans. Since Dox accumulates in the cardiomyocyte, and since the toxicity is a long-term process, it has been argued that accumulation of Dox or its metabolites, e.g., the alcohol derivative doxorubicinol, may hold a pathological role in mediating the long-term toxicity of anthracyclines (Bertihaume et al, 2007). Moreover, long-term concentration of Dox in the plasma and in the myocardium will, logically, be below the peak plasma concentration. Furthermore, previous reports exploring doxorubicin-toxicity have noted an injurious toxic effect with concentrations as low as 1 μM Dox (Gharanei et al, 2013b; Montaigne et al, 2010). In our initial characterisation experiments, we observed no significant toxicity at similar concentrations of Dox alone over incubation periods of 4 hours

and of 18 hours. We therefore chose 2 μ M Dox as a concentration below peak plasma concentration, but higher than that reported in literature, e.g., by Montaigne et al and Gharanei et al, in order to probe any latent sub-threshold injury. The pre-treatment period was incorporated to allow for accumulation of the drug or its potential metabolites within the cardiomyocytes, and alterations to metabolic or cell-survival pathways, if any, to be initiated (Olson et al, 1988; Charlier et al, 2005). Further, this protocol mimicked the situation where the myocardium that has been stressed by anthracyclines may subsequently be subjected to an additional insult such as ischaemia and reperfusion that occurs as the result of myocardial infarction, which may reflect the increased risk of anthracycline-induced heart-failure in patients with underlying ischaemic heart disease (Curigliano et al, 2016). However, we failed to observe any significant rise in IR-induced cardiomyocyte death when cells were pre-treated with doxorubicin. This observation was consistent after a pre-treatment period of 4 hours, and after a pre-treatment period of 18 hours. The results for each incubation period are collated data from three repeat experiments ($n=3$). This sample size is relatively small, and this may be considered a limitation of these experiment. However, in these same experiments, we were consistently able to reproduce robust and significant protection against IR-injury using the positive control insulin, allowing further confidence in the results of these experiments.

The lack of any significant increase in IR-injury on Dox pre-treated cells may be interpreted in two different ways. One possibility is that in this *in vitro* model, Dox does not trigger cell-death pathways in common with IR-injury and, therefore, any subthreshold toxicity due to Dox is not revealed due to the added stress in the form of IR. However, an alternative hypothesis may also be considered. The complex intracellular interactions initiated by Dox pre-treatment may compensate or alter potential pathways of cell-injury due to IR. For example, Dox increases autophagic flux *in vivo* (Zhang et al, 2011a), while autophagy may be beneficial against IR-injury (Yitzhaki et al, 2010). Similarly, doxorubicin-induced degradation of survival factors e.g. BCL-2

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noted in *in vitro* models (An et al, 2009) may attenuate the inhibition of pathways deleterious in IR-injury, e.g., those initiated by Beclin 1 (Peng et al, 2013). This highlights that competing pathways may compensate and alter the sensitivity of cardiomyocytes to cell-death when both anthracycline and IR-injury is present.

Our results and experimental set-up ought to be contrasted with the approach taken by other groups who have observed an exacerbation of IR-injury in the presence of Dox. Gharanei et al (2013b) for example, observed an increase in infarct size in the intact myocardium in an isolated and perfused rodent heart model when Dox was introduced during 120 minutes of reperfusion after a preceding of 35 minutes of ischaemia, compared to infarct sizes due to ischaemia and reperfusion alone. For comparison, in our protocol IR-injury was inflicted on isolated cells as an after-treatment, following a preceding period of doxorubicin-pretreatment. Whether this difference in protocol and timing of doxorubicin-insult with relation to reperfusion injury may be a factor in the differences in the results would require further analysis. Interestingly, pre-existing coronary artery disease is recognised as a risk factor for development of heart failure after ANTC-chemotherapy (Pinder et al, 2007; Harbeck et al, 2011). However, Moser et al (2006) analysed the risk of cardiovascular disease in a Danish and Belgian population based registry of 476 patients with aggressive Non-Hodgkins Lymphoma who received ANTC-based chemotherapy with or without radiotherapy between 1980 and 2000. They concluded the risk of coronary artery disease after ANTC-treatment in this group of patients was similar to the population risk of coronary artery disease over a followup period up to 20 years. The risk associated predominantly to ANTC-chemotherapy was, however, of chronic heart failure. It may therefore be hypothesised that the ischaemia-stressed myocardium is more vulnerable to Dox-injury, although Dox (and indeed anthracyclines) may not increase the risk of ischaemic heart disease. Clarification of this hypothesis with further work, and alteration of treatment protocols may be explored in future works to explore similar hypotheses.

4.4.3. Limitations of *in vitro* model of doxorubicin toxicity used.

Our model may be criticised in light of the fact that we have used cell-death alone to assess injury. Further, the assessment of cell-death was made on the basis of nuclear staining with propidium iodide (PI), along with morphological changes previously described as associated with apoptosis in cardiomyocytes (Gotto et al, 2008). It ought to be noted that the permeabilization of the plasma membrane and the nucleolemma that is demonstrated by propidium iodide intercalation with nucleic acid and staining of the nuclei is a feature of necrosis or a very late feature of apoptosis (Cummings et al, 2004; Riccardi and Nicoletti, 2006), while structural and morphological changes are also late features of necrotic cell-death associated with ATP depletion and disruption of cytoskeleton (Cummings et al, 2004). Since PI intercalates with helical double-stranded (ds) nucleic acid, staining of dsRNA in the cytoplasmic compartment may, moreover, yield false-positive results. By the estimates of some authors this false positive may be as high as 40% false events reported in published literature (Rieger et al, 2010). However, this artefactual result as a consequence of dsRNA staining is particularly a problem in proliferating cells and cells with a large nucleus-to-cytoplasmic ratio (characterised as nucleus:cytoplasm ratio <0.5) and was a factor, in association with available technology and expertise, in guiding us to adult rat ventricular myocytes in preference over neonatal rat ventricular myocytes in establishing our model.

In establishing the model of toxicity, the contributions of apoptosis and of necrosis due to Dox were not delineated in detail in the model. As explained earlier in introduction (section 1.2.4), ANTC may induce necrotic as well as apoptotic cell-death. Apoptosis is typically described as caspase-dependent, or caspase-independent. Caspase-independent programmed cell-death may, further, be cathepsin-dependent, or cathepsin-independent but calpain-dependent (Nicoletopolou et al, 2013). Necrosis is typically deemed to be ATP-independent. Concomitant probing for Annexin V and PI may shed some light into the time course of apoptosis in the

model we developed and describe above. Early apoptotic cells are typically observed to be positive for Annexin V but not PI, while late apoptotic cells as well as primary necrotic cells are dually positive for both PI and Annexin V (Brauchle et al, 2014). Other approaches to characterising apoptosis (early or late) and necrosis may also have been adopted. For example, concomitant and time-course analysis of other markers of apoptosis, e.g., analysis of nuclear membrane integrity with 4',6'-diamidino-2-phenylindole (DAPI) (Cummings et al, 2004), apoptosis measurement with Caspase-3 assay, or TUNEL staining (indicating Karyorrhexis), or investigation of karrhyorrhexis with, e.g., bromodeoxuridine assays (Darzynkiewicz and Zhao, 2011) may have allowed us to shed more light on the course, mechanism and contribution of apoptosis in this model. Similarly, correlation of PI staining with a second-dye, e.g., Evans-blue, or estimation of levels, e.g., Lactate dehydrogenase (LDH) release or estimation of high-mobility group box 1 protein (HMGB1) levels may have allowed further clarity and more sensitive quantification particularly of cumulative primary necrotic cell-death (Marshall et al, 2014). This would have allowed consolidation of the observations recorded on cell-death, and shed some light on the predominant mode of cell-death and the relative induction of these pathways in the model described above. This is a limitation of our experiments, and ought to be addressed in future course of work.

The lack of clarification of the mode(s), or co-existence of modes of any of the cell-death pathways in the model we describe above limits any further deductions or insights into the possible mechanisms of ANTC-toxicity in the model. Further of note, changes observed with low concentrations of Dox may occur without induction of cell-death mechanism, and include degradation of structural and functional proteins and alterations in metabolic pathways including cellular respiration which may occur independent of induction of cell-death pathways observed with higher concentrations. It is possible to, e.g., identify titin degradation products (T2) after incubating with Dox in this model for the above experiments.

However, the overall hypothesis of the work presented in this report was to explore the protective potential of preconditioning against doxorubicin-induced injury, and cell-death offered a sensitive marker for both the injury process and HP-mediated protection. We therefore considered detailed exploration of the metabolic effect of Dox to be beyond the scope of our work at this stage. It ought to be noted however that Dox possesses intrinsic auto-fluorescence characteristics, which is quenched by binding to DNA. When excited by incident light in visible range, a concentration dependent increase is noted in the intensity of emitted fluorescence peaking between 540 and 680nm (Gnapareddy et al, 2015), which may interfere with absorbance-based assays which utilise absorbances around this wavelength.

4.4.4. *In vitro* hypoxic preconditioning protocol.

HP is well characterised as a protective modality against IR-injury (Hausenloy et al, 2005). However, the experiments we describe explored the protective potential of HP against a different trigger of injury, i.e., drug-induced injury. Further, characterisation of the Dox-toxicity model indicated that the time-scale a protective stimulus was required to last was in the order of 18 hours, which is typically associated with what is termed the second window of preconditioning (SWOP) (Yellon and Baxter, 1995). Therefore, we first evaluated and characterised a specific protocol for HP that could protect cardiomyocytes against IR-injury in this second window, before evaluating the potential for this stimulus to protect against Dox-toxicity.

We evaluated two different hypoxic preconditioning protocols for their potential to protect cardiomyocytes against IR-injury. In these experiments, we used insulin as a positive control to protect against reperfusion injury, which is well described in our own lab (Davidson et al, 2006) as well as by other groups (Aikawa et al, 2000). This therefore allowed us to standardise the HP-protocol to a known cardioprotective agent. The first protocol we tested, namely 15 minutes hypoxia followed by 10 minutes reoxygenation, failed to show any protection against IR-injury

inflicted 18 hours after preconditioning. This observation in two repeat experiments ($n=2$) led us to alter the protocol and extend the hypoxia time. Although $n=2$ is a small sample size, the data showed very close consistency between the experiments and, moreover, we observed a highly consistent protection from cell-death with the positive control, allowing us a measure of confidence to conclude that Protocol 1 did not hold an appropriate protective potential. For comparison Protocol 2, which had an extended hypoxia time of 30 minutes, showed a consistent and reproducible protection from IR-injury 18 hours after preconditioning, and matched the positive control insulin in protecting from IR-induced cardiomyocyte death. This data was obtained from a sample size of three ($n=3$), and allowed us to conclude with confidence that protocol 2 conferred a suitable protective stimulus in the second window of preconditioning. In the next experiment, we confirmed that Protocol 2 could protect against IR-injury within the shorter or first window time-period, associated with the conventional observations of immediate protective effect of HP. We repeated this experiment for four different isolations ($n=4$), which allowed us to conclude confidently that the stimulus offered “classical” first window preconditioning stimulus.

Our experiments show that there exists a threshold injury, below which the hypoxia stimulus does not offer any protective potential at 18 hours. This is likely to be a manifestation of what is called the threshold phenomenon (Faircloth et al, 2004; Diaz and Wilson, 2006). For example, Hale and Kloner (1999) had previously observed that preconditioning with two cycles of 7 minutes ischaemic preconditioning reduced infarct size due to prolonged coronary artery occlusion in an *in vivo* rabbit model, whereas one cycle of 5 minute ischaemic preconditioning did not. A corollary conclusion that may be drawn is that activation of pro-survival signalling is absent or insufficient below a threshold level, and is therefore incapable of protecting cardiomyocytes from IR-injury. A similar conclusion maybe drawn from previous observations in animal models of ischaemic preconditioning which have investigated the early window of

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preconditioning. For example, Tsuchida et al had previously observed that a short ischaemic preconditioning stimulus of 2 minutes, along with concomitant activation of Adenosine A1 receptors with adenosine, limited infarct size in rabbit hearts *in vivo* in an infarct delivered immediately after preconditioning. Neither adenosine alone, nor 2 minutes ischaemic preconditioning alone could limit infarct size in this report (Tsuchida et al, 1993). Similarly, Sandhu et al (1997) reported a lower protective potential with one cycle IPC, compared to three cycles before an IR-injury was inflicted in a rabbit model (Sandhu et al, 1997). Bell and Yellon had shown that in a murine model, four cycles IPC protected eNOS knockout (eNOS^{KO}) mice to similar extent to wild type, but this protection was abrogated in eNOS^{KO} mice when less than four cycles of IPC was used (Bell and Yellon, 2001). We did not examine whether prolonging the hypoxia time in the preconditioning phase offered similar protection or was deleterious, since this was beyond the scope of the experiments described. However, this may be investigated in future work for further characterisation of the second window of preconditioning (SWOP).

4.4.5. Western blot.

Our experiments characterising a Western blot protocol to explore the levels of proteins of interest demonstrate, understandably, that the volume of protein in the lysate is dependent on the volume used to establish the initial cell-culture. Of note, initial cell-seeding concentrations and volume were established using an estimated confluency from the concentration of cells observed in the final suspension of cardiomyocytes during the isolation procedure, and not calculated or quantified. This may be considered a limitation of the work described in these experiments. Since ARVMs are a monoculture, a level of confidence could be adopted regarding the expression levels of housekeeping genes. Normalisation of protein levels to housekeeping gene-product is an established method of estimating protein content in Western blot protocols (Lo et al, 2015), although varied differences, e.g., differences in conditions of lysis, sample preparation, loading, antibody-affinity, as well as variations in

conditions of blotting and analysis can all affect the final result. It is therefore necessary to optimise the conditions under which sample collection and Western blotting is carried out, and the observations from our experiments reinforces this. The initial Western blot using a small volume cell-culture dish (32 mm) showed diffuse non-specific signals when probed for protein content. However, by progressively increasing cell-culture volumes using larger culture plates (64 mm and 100 mm), we were able to obtain more robust signals for the housekeeping proteins GAPDH. GAPDH was used in blots exploring Akt phosphorylation since the molecular weight of GAPDH (37 kDa) is distinct from that of Akt (56 kDa) and in well resolved SDS-PAGE blot would be expected to appear as a distinct signal with greater migration distance than Akt. GAPDH is known to be expressed abundantly in adult rat ventricular myocytes, perform housekeeping roles (Diez and Simm, 1998), and has been probed in reports from our lab previously (Hausenloy et al, 2005, Davidson et al, 2006). It ought to be highlighted that we did not attempt to quantify the protein content from the different cell-culture dish volumes against a known standard, which may be considered a limitation of our experiment. However, cell-seeding density may vary between experiments, and further the final volume of cell lysate obtained after introduction of boiling SDS-PAGE buffer may differ by factors of microliters, even with strict optimisation. We therefore correlated both total and phospho-protein content of Akt to the loading control GAPDH as a common reference value. In light of robust signal for GAPDH, we were satisfied that the initial characterisation experiments optimised the volume as well as sample preparation conditions to probe the expression of the proteins of interest. Future work may address the question of protein content, but this would require further characterisation beyond the scope of the work described in the current report.

Chapter 5. Results.

5.1. Investigation of the mechanism of doxorubicin-induced cardiomyocyte toxicity.

5.1.1. Cardiomyocyte-death increases with H₂O₂ concentrations and increase in incubation-time.

Having established a reproducible model of Dox-toxicity, we first probed the potential role of reactive oxygen species in Dox-toxicity in this model by exploring the effect of co-incubation with N-acetyl cysteine (NAC), which is a known potent ROS-scavenger. We used H₂O₂ as a positive control in these experiments.

We first sought to identify a concentration of H₂O₂ that closely mimicked the toxic effect of 7.5 μ M Dox. We therefore investigated the effects of 1 μ M H₂O₂, 50 μ M H₂O₂ and 100 μ M H₂O₂ on cardiomyocyte death (Fig.5.1). After incubating cells for 4 hours (Fig. 5.1 A), a significant rise in cell-death was observed only with 50 μ M H₂O₂ (mean cell-death $83.3 \pm 11.0\%$) and 100 μ M H₂O₂ ($91.6 \pm 4.1\%$). However, 1 μ M H₂O₂ (mean cell-death $20.2 \pm 2.0\%$) showed no significant difference from basal cell-death (Cont, $13.1 \pm 2.3\%$) (ANOVA $p < 0.05$, $n=3$). Incubating with the same three concentrations over 18 hours (Fig. 5.1B), however, resulted in a significant rise in cell-death with all concentrations of H₂O₂. Incubation with 1 μ M H₂O₂ resulted in a rise in mean cell-death to $42.6 \pm 1.1\%$, significantly higher than basal cardiomyocyte death (Cont, $15.5 \pm 2.1\%$) and comparable to levels previously observed with 7.5 μ M Dox during initial characterisation experiments. 50 μ M H₂O₂ (cell death $99.3 \pm 0.6\%$) and 100 μ M H₂O₂ (cell death $96.8 \pm 3.1\%$) both resulted in very high levels of toxicity (ANOVA $p < 0.05$, $n=3$).

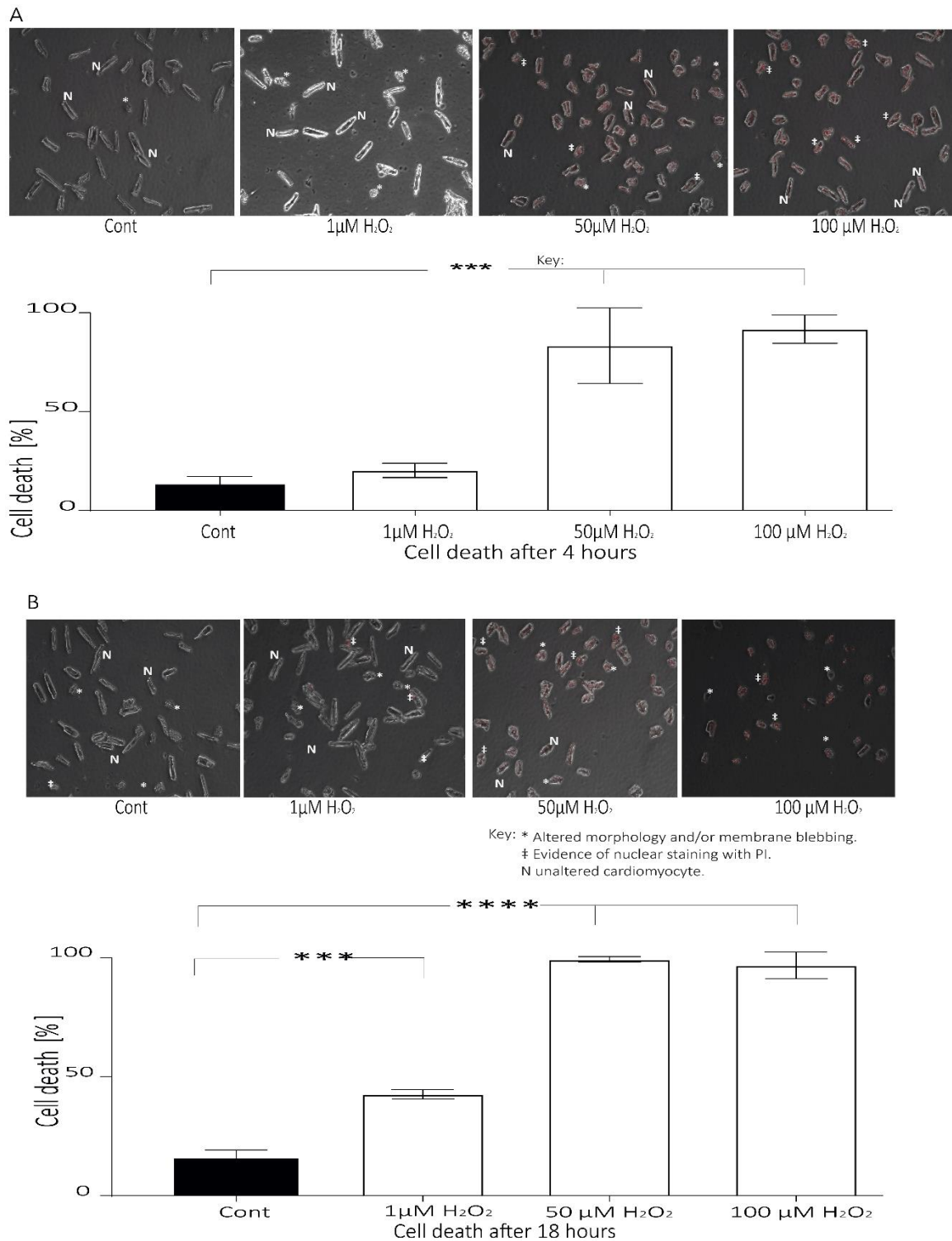


Fig. 5.1. Cardiomyocyte death induced by different concentrations of H₂O₂ over two different incubation periods. (A) Cell-death over 4 hours following treatment with 1 μ M, 50 μ M and 100 μ M H₂O₂. Both 50 μ M H₂O₂ and 100 μ M H₂O₂ resulted in significant rise in cell-death (ANOVA $p < 0.05$, $n = 3$). For comparison, 1 μ M H₂O₂ did not differ significantly from basal cell-death (Cont). (B) Cell-death over 18 hours with same concentration of H₂O₂. All three concentrations resulted in a significant rise in cell-death. Toxicity of 1 μ M H₂O₂ was lower than 50 μ M H₂O₂ or 100 μ M H₂O₂, and was comparable to levels previously observed with 7.5 μ M doxorubicin (ANOVA $p < 0.05$, $n = 3$) (representative photographs of cell-death displayed in top panels).

5.1.2. Effect of co-treatment with N-acetyl cysteine on Dox-toxicity.

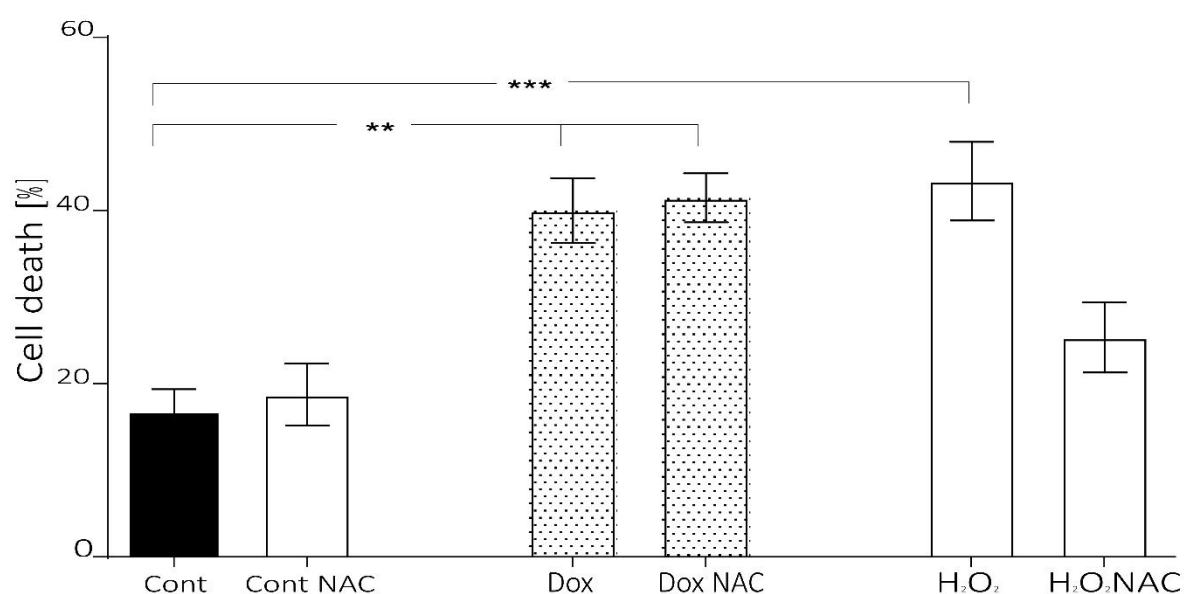
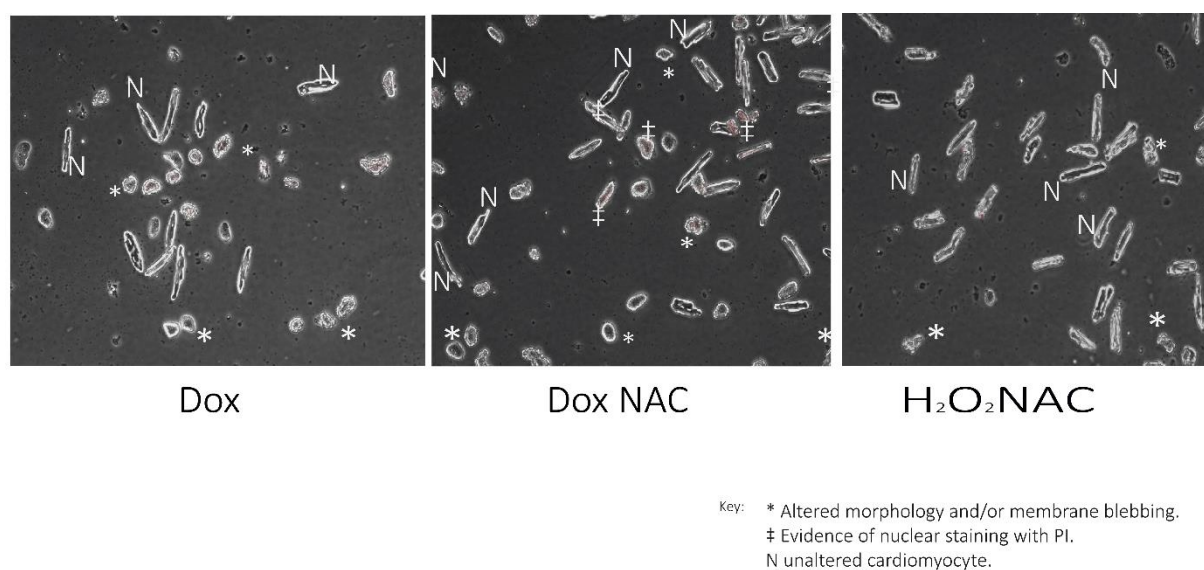


Fig. 5.2. Effect of presence of N-acetyl cysteine on doxorubicin-induced toxicity. Treatment with 7.5 μ M doxorubicin alone (Dox) and in the presence of 250 μ M NAC (Dox NAC) both resulted in significant rise in cell-death after 18 hours. For comparison, 1 μ M H₂O₂ alone (H₂O₂) resulted in a significant rise in mean cell-death compared to basal cell-death (Cont), but the presence of NAC rescued cardiomyocytes from H₂O₂-induced cell-death (H₂O₂ NAC) ($p < 0.05$, $n = 4$) (example photographs of cell-death displayed in top panels, see also Appendix B, Fig. VIII).

We next investigated the effect of co-incubation with N-acetyl cysteine (NAC) on Dox toxicity after an 18 hours exposure (Fig. 5.2). Based on the previous experiment, 1 μ M H₂O₂ was used as a positive control. In these experiments, Dox alone (Dox, mean cell-death 40.0 \pm 3.7%) and presence of NAC during Dox-treatment (Dox NAC, 41.4 \pm 2.8%) both resulted in significant rise

in cell-death compared to basal cell-death (Cont, $16.6 \pm 2.7\%$) (Fig.5.2). No statistical significance was identified in the difference between Dox and Dox NAC. For comparison, the toxicity of $1 \mu\text{M H}_2\text{O}_2$ (H_2O_2 , $43.4 \pm 4.5\%$) was abrogated in the presence of NAC (H_2O_2 NAC, mean cell-death $25.3 \pm 4\%$; $p < 0.05 \text{ H}_2\text{O}_2$ vs H_2O_2 NAC, $n=4$) (ANOVA $p < 0.05$, $n=4$).

These experiments indicated that presence of NAC did not offer any protective effect against the toxicity of Dox on cardiomyocytes.

5.1.3. Effect of doxorubicin on cardiomyocyte mitochondrial transmembrane potential ($\Delta\Psi_m$).

Alterations of mitochondrial transmembrane potential ($\Delta\Psi_m$) has been hypothesised to be a potential process involved in mediating cardiotoxicity due to Dox treatment. We therefore investigated the state of the mitochondrial transmembrane potential in this model after subjecting cardiomyocytes to Dox pre-treatment for 30 minutes, 4 hours and 18 hours. The rhodamine ester tetramethyl rhodamine methyl ester (TMRM) crosses the mitochondrial membrane proportional to the $\Delta\Psi_m$, and at nanomolar concentrations aggregates in the mitochondria. Measurement of fluorescence of TMRM in response to incident light, therefore, allows an estimation of the $\Delta\Psi_m$. We therefore imaged cardiomyocytes with 10% He-Ne incident laser after loading with 30 nM TMRM and recorded the intensity of the emitted light. This approach to evaluate the $\Delta\Psi_m$ has been reported previously from our lab (Sidall et al, 2013) as well as by other groups (Juhaszova et al, 2004).

5.1.3.1. TMRM loading in cardiomyocyte mitochondria is not influenced by doxorubicin treatment.

To ensure TMRM accumulation in the mitochondria and fluorescence intensity of the emitted light was not altered by the presence of Dox, we first evaluated the temporal collapse of fluorescence of 30 nM TMRM in control cells (Cont) and in doxorubicin-treated cardiomyocytes (Dox) after introducing 200 nM of the mitochondrial uncoupling compound FCCP (Fig. 5.3). The

fluorescence was recorded for 80 seconds after introducing the uncoupling agent. The experiment was repeated in cells subjected to 7.5 μ M Dox for 30 minutes (Fig. 5.3A, Cont T0 and Dox T0), 4 hours (Fig.5.3B, Cont T4 and Dox T4) and 18 hours (Fig.5.3C, Cont T18 and Dox T18).

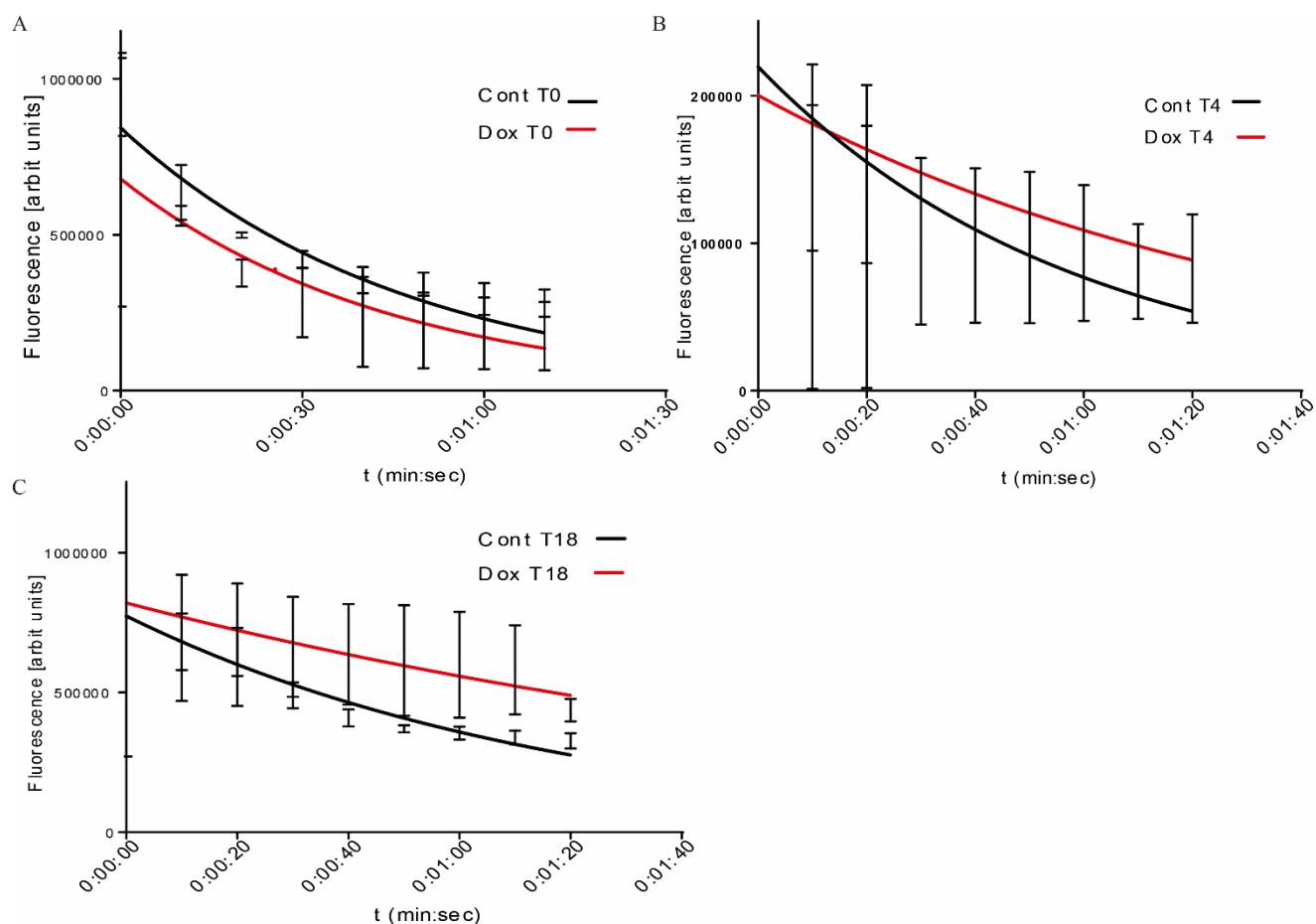


Fig 5.3. TMRM fluorescence does not vary after mitochondrial uncoupling between control cells and cells subjected to doxorubicin treatment. Fluorescence of 30nM TMRM in control cardiomyocytes and cells subjected to 7.5 μ M doxorubicin after treatment with 200 nM FCCP showed no variation in the presence and absence of doxorubicin. This was consistent with doxorubicin exposure over 30 minutes (Fig. 5.3A, Cont T0 and Dox T0), 4 hours (5.3B, Cont T4 and Dox T4) and 18 hours (5.3C, Cont T18 and Dox T18).

For each treatment period, statistical analysis failed to reveal any significant differences in TMRM fluorescence between control and doxorubicin-treated cardiomyocytes at the recorded time intervals, indicating Dox treatment did not alter accumulation or fluorescence intensity of

TMRM (ANOVA, $p > 0.05$, $n = 2$). Therefore, TMRM can be reliably used to measure mitochondrial membrane potential in these cells even in the presence of doxorubicin.

5.1.3.2. Resting $\Delta\Psi_m$ does not vary in response to doxorubicin treatment over time.

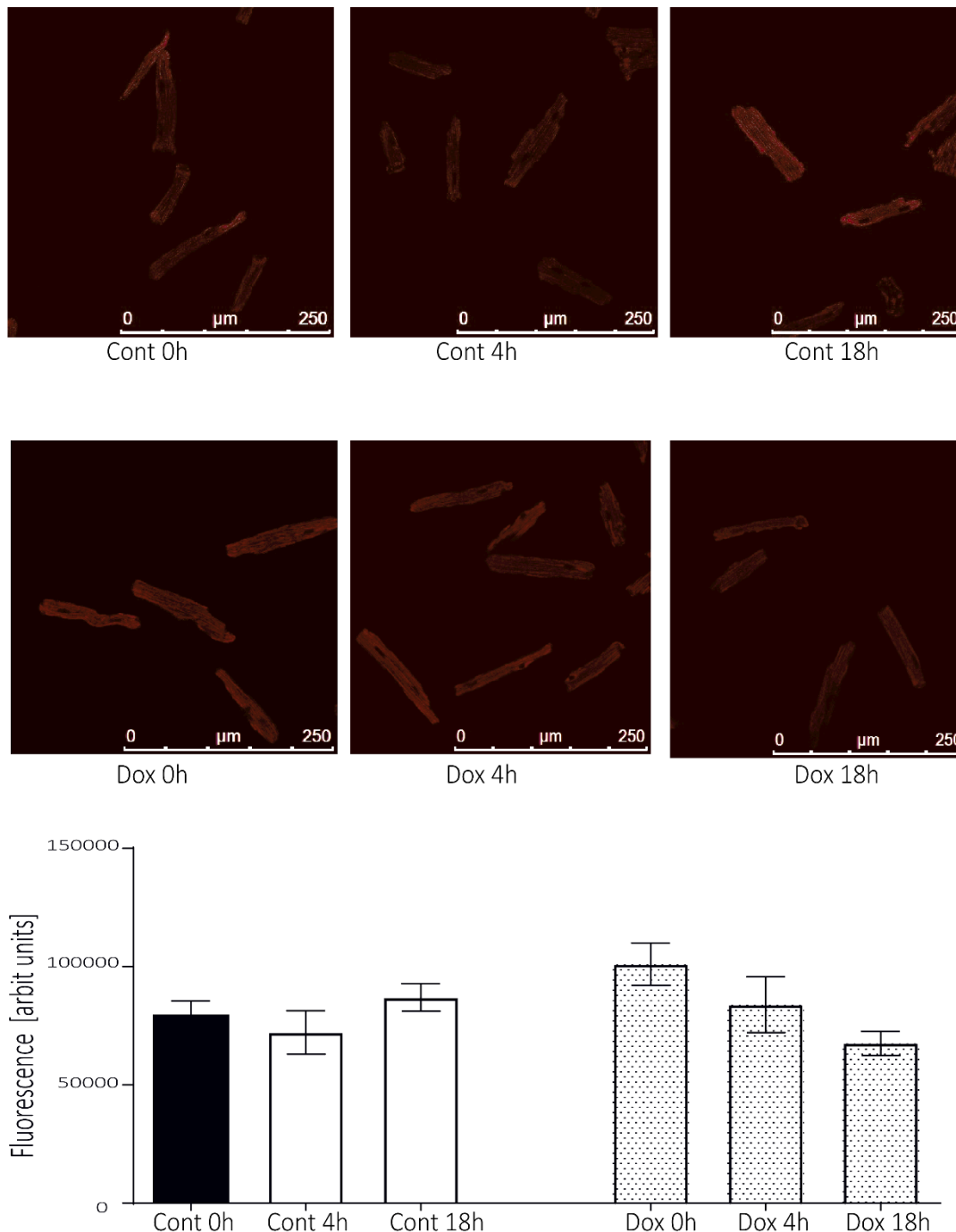


Fig. 5.4. Mitochondrial transmembrane potential variation over time with doxorubicin treatment. $\Delta\Psi_m$ after treatment with 7.5 μM doxorubicin for 30 minutes (Dox 0h), 4 hours (Dox 4h) and 18 hours (Dox 18h) and $\Delta\Psi_m$ in time-controlled basal cardiomyocytes (Cont 0h, Cont 4h and Cont 18h) showed no significant variation. (ANOVA $p = 0.1$, $n = 6$) (example photographs of fluorescence after TMRM staining displayed in top panels).

We next investigated variation in baseline $\Delta\Psi_m$ by measuring fluorescence intensity of TMRM after Dox-treatment (Fig. 5.4). No variation was noted in $\Delta\Psi_m$ over time, as measured by TMRM fluorescence (in arbitrary fluorescence units) after Dox-exposure for 30 minutes (Dox 0h, 101055 ± 8979), for 4 hours (Dox 4h, 83864 ± 11754) and 18 hours (Dox 18h, 67562 ± 5143). Similarly, in control cells, fluorescence at baseline (Cont 0h, 79719 ± 5812), after 4 hours (Cont 4h, 72169 ± 9236) and after 18 hours (Cont 18h, 86962 ± 5877) did not exhibit any significant variations. Treatment and control groups showed no statistical variations (ANOVA $P=0.1$, $n=6$). This suggested that in this *in vitro* model, $7.5 \mu\text{M}$ Dox did not induce significant $\Delta\Psi_m$ variations.

5.1.4. Time taken to mitochondrial permeability transition pore opening does not alter with doxorubicin treatment.

Having ruled out any significant alterations in the $\Delta\Psi_m$ over time as a consequence of Dox exposure, we explored the role of mitochondrial permeability pore (mPTP) transition in induction of the cell-death due to Dox in this model. A positive control, Cyclosporine A (CsA), was used in these experiments at $1 \mu\text{M}$ concentration, since CsA is known to raise the threshold of stimulus required for induction of mPTP transition, which reflects as a significantly prolonged time taken for mPTP transition when CsA is present. We used $3 \mu\text{M}$ TMRM in these experiments. TMRM acts as a photosensitizer for ROS. At micromolar concentrations, TMRM quenches in the mitochondria. Progressive ROS accumulation consequent to phototreatment leads to mPTP opening associated with depolarisation of the mitochondrial transmembrane potential and free passage of ions across the mPTP. This is detected by rapid dequenching of TMRM fluorescence as the probe is released into the larger volume of the cytosol. Therefore, subjecting cardiomyocytes to a high intensity laser allows a real-time measurement of the temporal induction of the mPTP. This approach to evaluation of mPTP vulnerability has been reported from this lab before (Davidson et al, 2006). We therefore measured the time taken to mPTP induction after Dox exposure for 18 hours. In positive control experiments, $1 \mu\text{M}$ CsA

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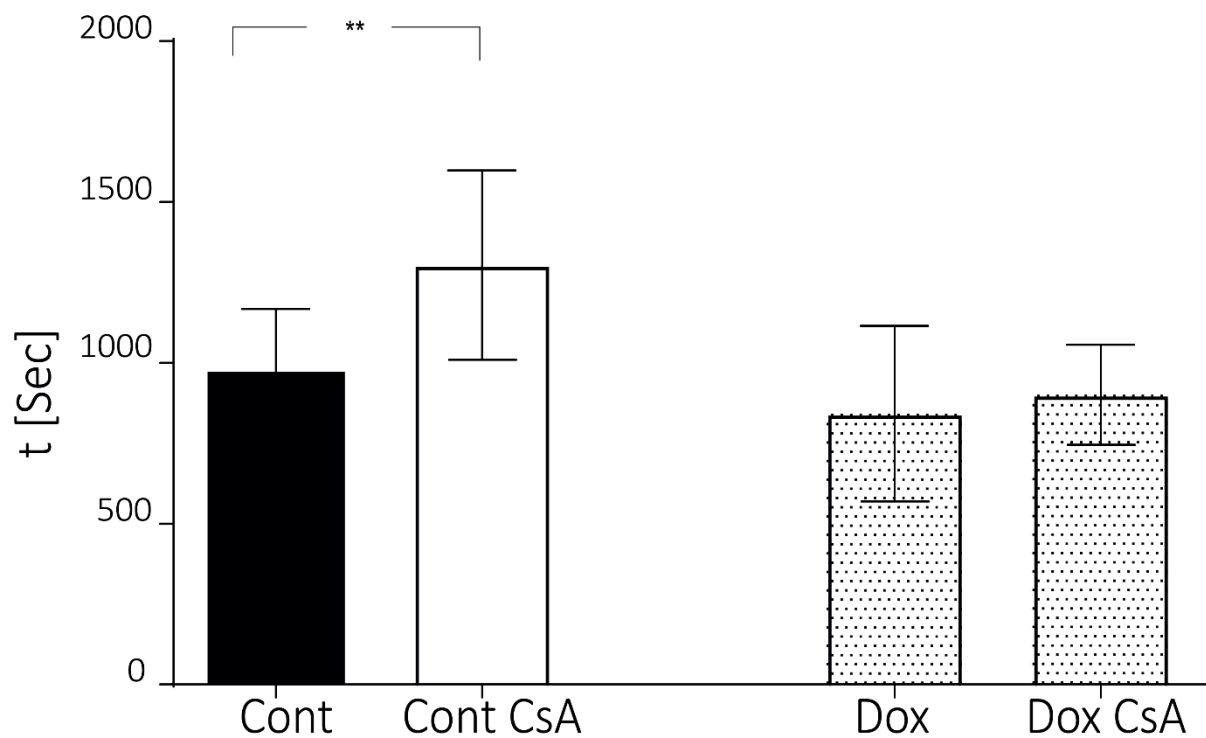
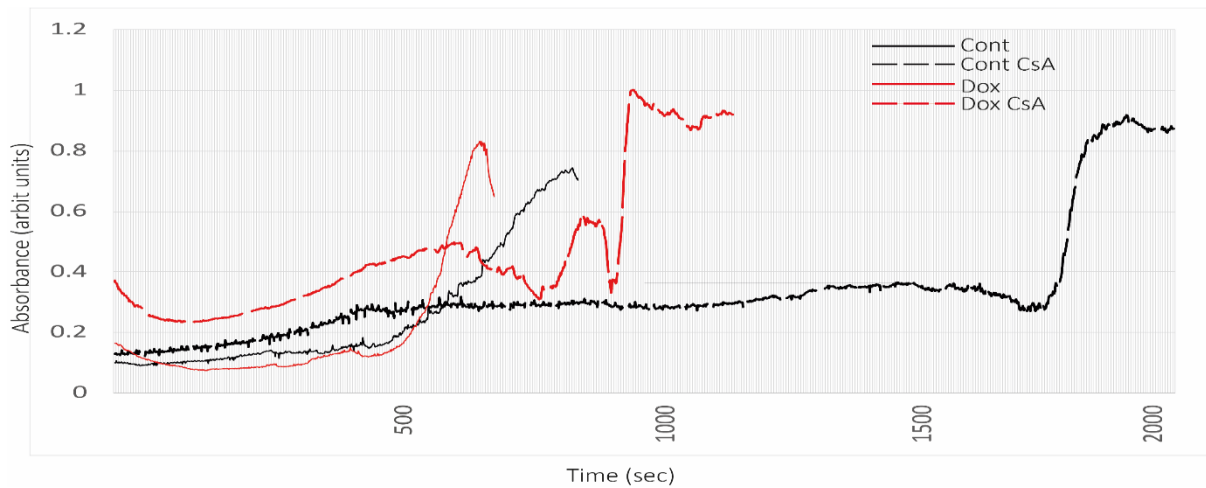


Fig. 5.5. Doxorubicin does not influence mPTP transition in vitro. Time taken to mPTP induction in cells treated with 7.5 μ M doxorubicin (Dox) failed to show any significant differences compared to control (Cont). Presence of 1 μ M Cyclosporine A (CsA) prolonged time taken for mPTP-transition in control cardiomyocytes (Cont CsA), but not in cells pre-treated with doxorubicin (Dox CsA) ($p < 0.05$, $n = 7$) (representative trends to fluorescence-dequenching shown in top panel).

was introduced during TMRM probe loading, and maintained in solution during the generation of oxidative stress leading to mPTP induction. Fig. 5.5 shows the results of these experiments.

Dox pre-treated cardiomyocytes did not undergo mPTP transition with any significant

difference (Dox, mean time to mPTP induction 842.1 ± 103 s) compared to control (Cont, 972.1 ± 74 s) (Fig. 5.5). The positive control CsA prolonged time taken to mPTP induction in basal cardiomyocytes only (Cont CsA, 1304 ± 111.4 s). Surprisingly, this protective effect was abrogated in cells subjected to Dox pre-treatment (Dox CsA, mean time to mPTP transition 901 ± 58.7 s; $p < 0.05$, Cont CsA vs Dox CsA) (ANOVA $p < 0.05$, $n = 7$). These experiments indicated vulnerability of the mPTP to transition to the open state was not increased due to Dox-treatment in this model.

5.1.5. Presence of Ciclosporine A does not alter doxorubicin-induced cardiomyocyte death *in vitro*.

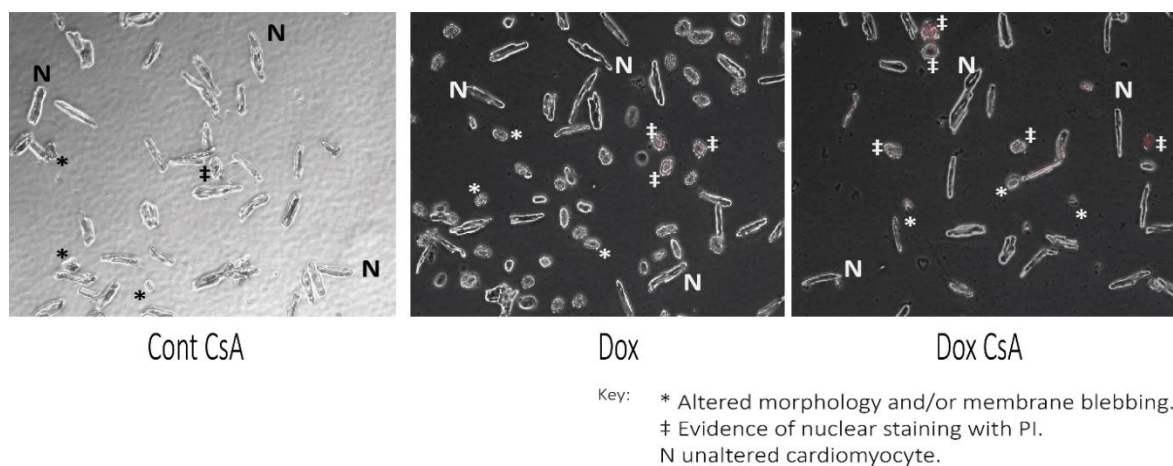


Fig. 5.6 Doxorubicin-induced cell-death in the presence of Ciclosporine A. Treatment with $7.5 \mu\text{M}$ doxorubicin alone (Dox) and in the presence of $1 \mu\text{M}$ Ciclosporine A (Dox CsA) both resulted in similar levels of cardiomyocyte-death. Compared to basal cell-death (Cont), presence of CsA alone (Cont CsA) showed no significant difference in cell-death after 18 hours (ANOVA $p < 0.05$, $n = 7$) (representative photographs of cell-death displayed in top panels. See also Appendix B. Fig. IX).

Since CsA-mediated mPTP-protection was abrogated in cells pre-treated with doxorubicin, we investigated the effect of co-treatment with 1 μ M CsA on Dox-toxicity and on untreated cardiomyocytes. The results are shown in fig. 5.6. Analysis of cell-death showed a similar and significant rise in cell-death in cells exposed to Dox alone (Dox, mean cell-death $46.9 \pm 3.3\%$), and in cells subjected to Dox in the presence of CsA (Dox CsA, $45.3 \pm 1.6\%$). CsA alone (Cont CsA, $19.5 \pm 1.2\%$) was not toxic and displayed no significant differences in survival compared to control (Cont, $15.6 \pm 2.1\%$) (ANOVA $p < 0.05$, $n = 7$). This showed presence of CsA could not protect against nor exacerbate Dox-toxicity, but CsA alone was not toxic at the concentrations used.

5.2. Effect of hypoxic preconditioning on doxorubicin-mediated cardiomyocyte death.

Having explored the potential mechanisms leading to cell-death, we investigated hypoxic preconditioning (HP) as a mechanism of protection against doxorubicin-induced injury in this model of *in vitro* toxicity. We had previously characterised a protocol to deliver an *in vitro* HP stimulus that protected cardiomyocytes against IR-injury (Chapter 4, section 4.2.3). We therefore used this protocol, and investigated cell-death after incubating cardiomyocytes with different concentrations of Dox after this preconditioning HP stimulus. As control for HP, cardiomyocytes were incubated in normoxic buffer for 40 minutes and subjected to identical Dox concentrations over the same time-period.

5.2.1. Hypoxic preconditioning protects cardiomyocytes from doxorubicin-toxicity.

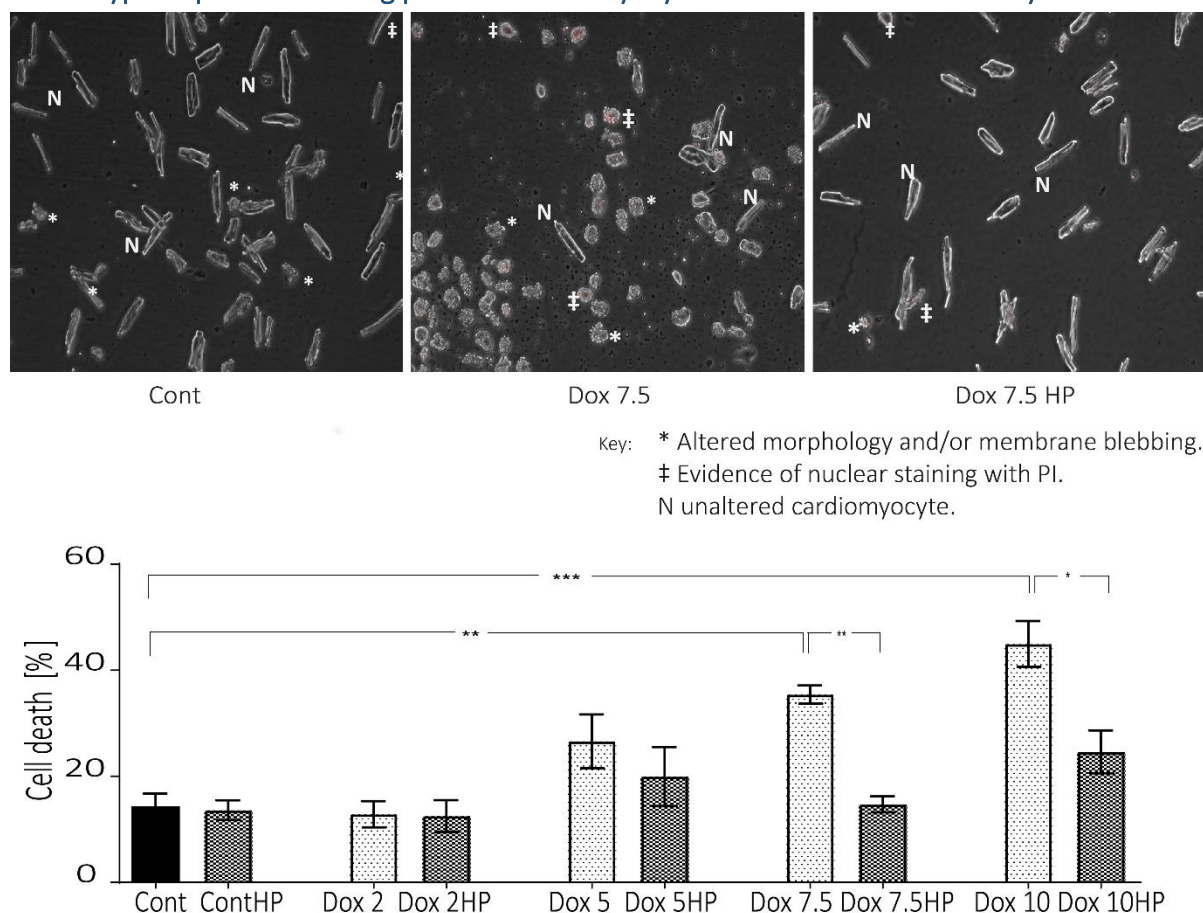


Fig. 5.7. Effect of hypoxic preconditioning on subsequent doxorubicin-mediated cardiomyocyte toxicity. In non-preconditioned cells, a dose dependent rise in cell-death was observed with doxorubicin treatment, which achieved statistical significance with 7.5μM doxorubicin (Dox 7.5) and 10μM doxorubicin (Dox 10). For comparison, preconditioned cardiomyocytes were protected across all doxorubicin concentrations, with no difference in cell-death compared to control (ANOVA $p < 0.05$, $n = 5$) (representative photographs of cell-death displayed in top panels. See also, Appendix B Fig. X).

In non-preconditioned controls cell-death was noted to be significantly high, but only with concentrations of 7.5 μM Dox (Dox 7.5, $35.4 \pm 1.7\%$) and 10 μM Dox (Dox 10, $44.9 \pm 4.3\%$) (Fig. 5.7). HP protected against the toxicity of both Dox 7.5 μM (Dox 7.5 HP, $14.7 \pm 10.5\%$) and 10 μM (Dox 10 HP, $24.6 \pm 4.0\%$), and no differences were observed when compared to basal cell-death (Cont, $14.3 \pm 5.3\%$). HP alone did not influence cell-death in basal cardiomyocytes (Cont HP, $13.6 \pm 1.8\%$) (ANOVA $p < 0.05$, $n = 5$).

5.2.2. Protection against doxorubicin-injury due to hypoxic preconditioning is dependent on PI3K/Akt cascade, but independent of MAPK-ERK.

In light of the above results, we investigated the role of the RISK pathway in the protective effect of HP observed against toxic concentrations of doxorubicin. Pre-treatment with the reversible inhibitor 10 μ M LY294002 was used to inhibit the PI3K/Akt pathway, while MAPK

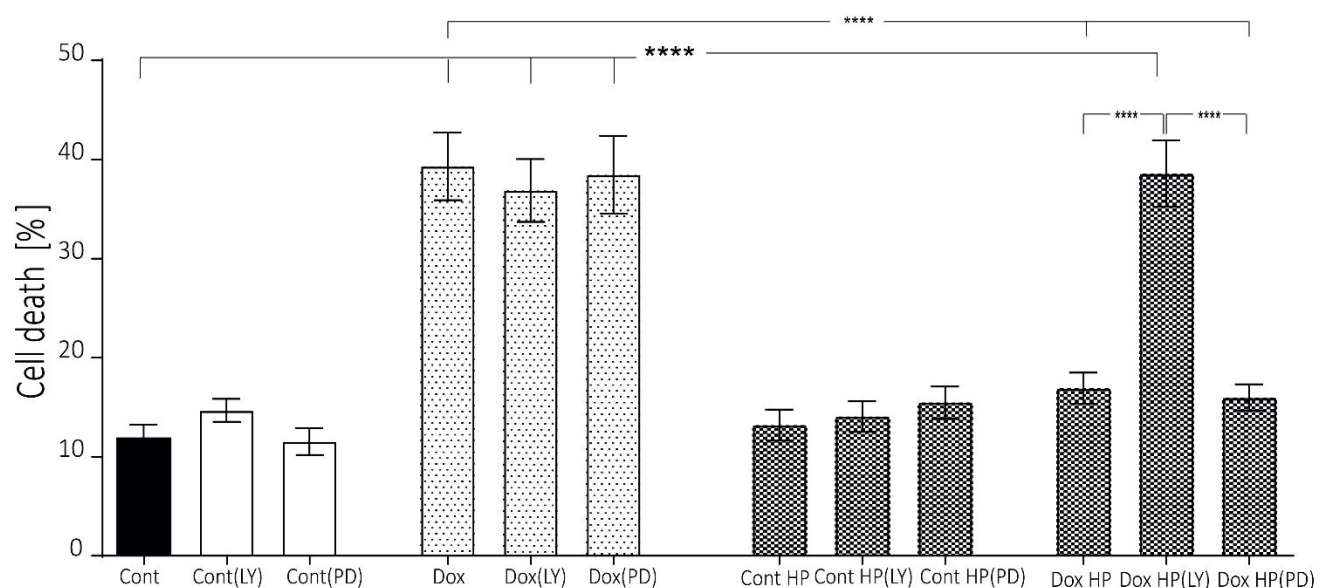
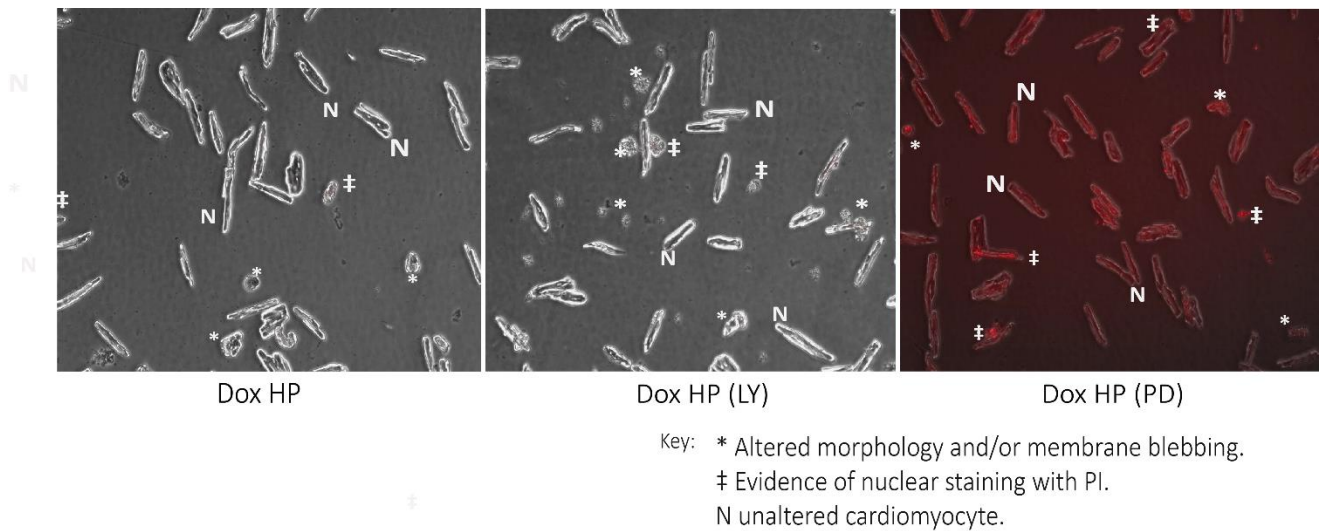


Fig. 5.8. Effect of inhibiting PI3K/Akt pathway and MAPK ERK 1/2 on preconditioning-mediated protection against Doxorubicin-induced cell-death. Excess cell-death due to treatment with 7.5 μ M doxorubicin (Dox) did not manifest in cells subjected to a preceding hypoxic preconditioning (HP) stimulus (Dox HP). Pre-treatment with 10 μ M LY294002 before HP (Dox HP (LY)) abrogated this protective influence, but the protection persisted in Dox HP group pre-treated with 30 μ M PD98059 (Dox HP (PD)). LY294002 and PD98059 alone did not have any significant effects (ANOVA $p < 0.05$, $n = 5$) (representative photographs of cell-death displayed in top panels, see also Appendix B Fig. XI).

ERK 1/2 was inhibited using 30 μ M PD98059 before subjecting cells to HP. The protective effect of preconditioning on cardiomyocytes was then re-explored using 7.5 μ M Dox as a toxic anthracycline concentration. Fig. 5.8 shows the results of these experiments. Compared to basal cell-death (Cont, mean cell-death $11.2 \pm 1.2\%$), Dox alone resulted in significant toxicity after 18 hours (Dox, $39.3 \pm 3.4\%$), which was not observed in preconditioned cells (Dox HP, $16.9 \pm 1.5\%$). However, this protective effect of HP was abrogated in cells pre-treated with 10 μ M LY294002 before preconditioning (Dox HP(LY), $38.5 \pm 3.3\%$; $p < 0.05$, Dox HP vs Dox HP(LY)), but not 30 μ M PD98059 (Dox HP (PD) $15.9 \pm 1.3\%$; $p < 0.05$, Dox HP(LY) vs Dox HP (PD)). Neither LY294002 alone (Dox (LY), mean cell-death $36.8 \pm 3.1\%$), nor PD98059 alone (Dox (PD), $38.4 \pm 3.1\%$) altered the toxicity of Dox significantly (ANOVA $p < 0.05$, $n = 5$).

5.2.3 Western blots.

Having observed the effect of differential inhibition of PI3K/Akt and MAPK ERK 1/2 on doxorubicin-toxicity following HP, we used Western blotting to confirm the induction of the respective kinases by HP, and their respective inhibitions with LY294002 and PD98059. Insulin was used as a positive control to induce the respective protein cascades in these experiments.

5.2.3.1. PI3K/Akt phosphorylation in response to hypoxic preconditioning.

Fig. 5.9 panel A shows a representative Western blot investigating the expression levels of phosphorylated Akt (p-Akt). An increase in phosphorylated protein content was noted in cells subjected to HP (HP, lane 6). A more prominent increase in levels of p-Akt with the positive control insulin (Ins, lane 4) was also noted. 10 μ M LY294002 pre-treatment inhibited the increase in p-Akt in response to HP (HP(LY), lane 7), and attenuated the phosphorylation induction in insulin-treated cells (Ins(LY), lane 5). No prominent signal for p-Akt was identified in control cells under basal conditions (Cont, lane 2) or after LY294002 treatment alone (Cont(LY), lane 3).

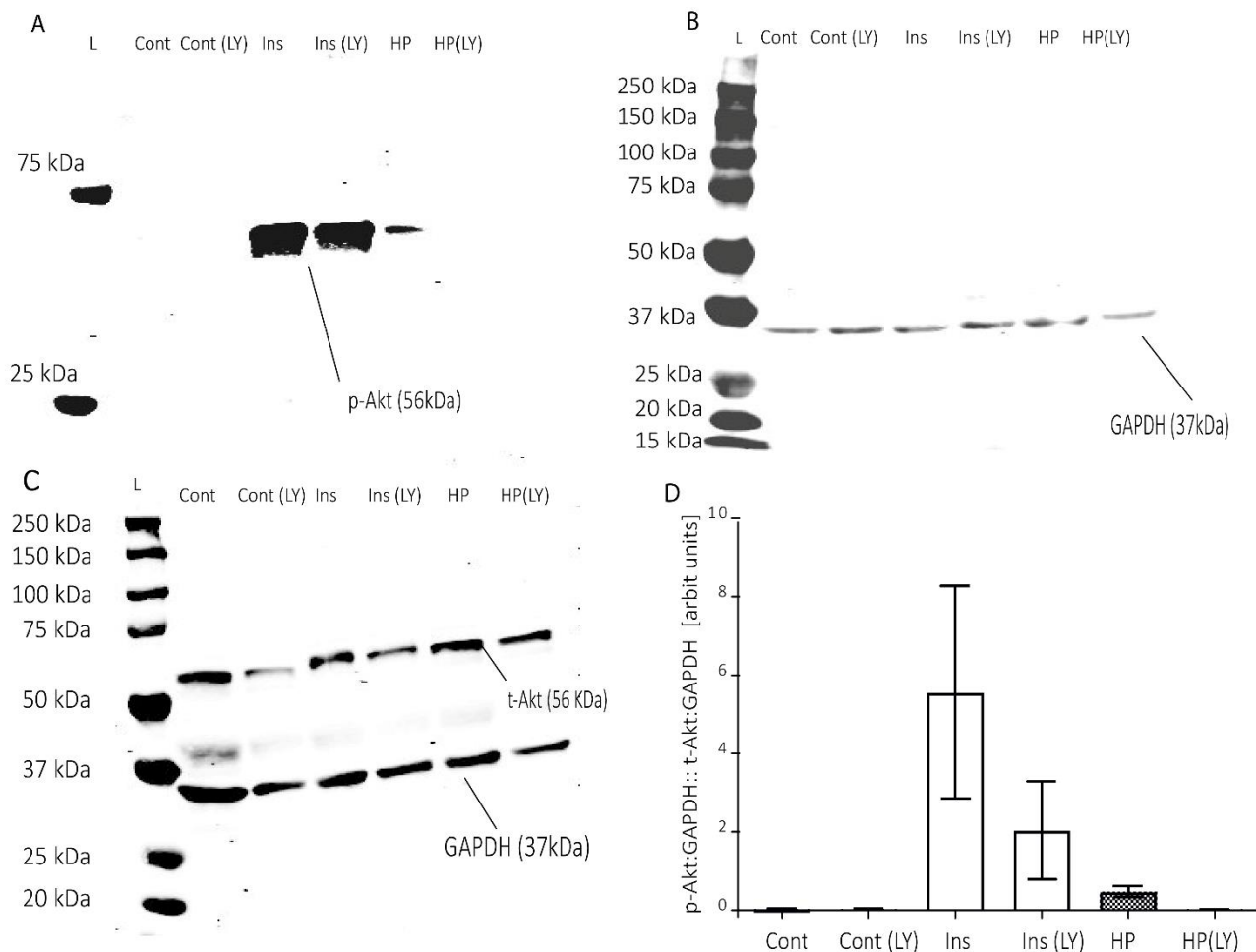


Fig. 5.9. Western blot analysis of hypoxic preconditioning-induced phosphorylation of Akt. (A) Representative blot showing expression of p-Akt induction. Both insulin (Ins) and hypoxic preconditioning (HP)-induced an increase in p-Akt, which was attenuated by 10 μ M LY294002 treatment. (B) Expression of the housekeeping gene GAPDH in the same blot as A. (C) Expression of t-Akt protein in the same samples as shown in panel A, run on a different Western blot membrane, along with the expression of GAPDH. (D) Mean p-Akt to t-Akt ratios obtained from Western blot analyses. Compared to resting state, HP resulted in an increased expression level of p-Akt that was inhibited by LY294002 pre-treatment. The positive control insulin showed a strong induction of p-Akt, which was also inhibited by LY294002 pre-treatment ($n=3$).

Fig.5.9 panel B shows the content of housekeeping gene GAPDH in the same samples as panel A. The GAPDH protein content was used as a loading control in these experiments, and a signal was identified across all samples. Fig. 5.9 panel C shows expression of total Akt (t-Akt) and GAPDH in the same samples shown in panel A, analysed on a separate Western blot. Prominent signals for both t-Akt and GAPDH were identified across all samples. To identify the ratio of p-Akt to t-Akt in each treatment group, p-Akt levels (Fig.5.9 panel A) were normalised to GAPDH

content (Fig. 5.9 panel B) in each sample well (i.e., expressed as p-Akt: GAPDH). Similarly, t-Akt levels were normalised to GAPDH (i.e., t-Akt: GAPDH ratio identified from Fig. 5.9 panel C). Fig. 5.9 panel D shows the ratio of p-Akt and t-Akt normalised to GAPDH (p-Akt: GAPDH :: t-Akt: GAPDH) in the respective treatment groups, as a mean of three different repeat experiments. HP resulted in a mean 17-fold increase in the ratio of p-Akt to t-Akt compared to resting expression levels (Cont). This induction response was attenuated when cells were pre-treated with LY294002 before preconditioning (HP(LY)). The positive control Insulin (Ins) resulted in a mean increase of 200-fold in p-Akt to t-Akt ratio compared to control, and LY294002 pre-treatment attenuated this to 75-fold of basal levels. However, statistical analysis failed to show significance in the differences observed in the ratios of p-Akt to t-Akt in the various treatment groups ($p > 0.05$, $n=3$).

5.2.3.2 Phosphorylation induction of MAPK ERK 1/2 in response to hypoxic preconditioning.

We next explored the phosphorylation of the ERK 1/2 proteins in response to HP. Fig. 5.10 panel A shows a representative Western blot for phosphorylated ERK 1/2 (p-ERK) and the housekeeping gene α -tubulin. Prominent signal for p-ERK content was observed after HP (HP, lane 6), which was attenuated by pre-treatment with PD98059 (HP (PD), lane 7). Increase in p-ERK content was also observed after insulin-treatment (Ins, lane 4), and in protein isolate from resting cardiomyocytes (Cont, lane 2). PD98059 pre-treatment resulted in attenuated p-ERK levels in both groups (Cont (PD), lane 3; and Ins (PD), lane 5). Fig. 5.10 panel B shows expression levels of total ERK 1/2 (t-ERK) in the same samples shown in panel A, analysed in a separate western blot. Although the blot gave a weak signal, t-ERK signal was observed across all groups. Analysis on imageJ software showed a dual peak at the expected molecular weight range of 42/44 kDa in all samples.

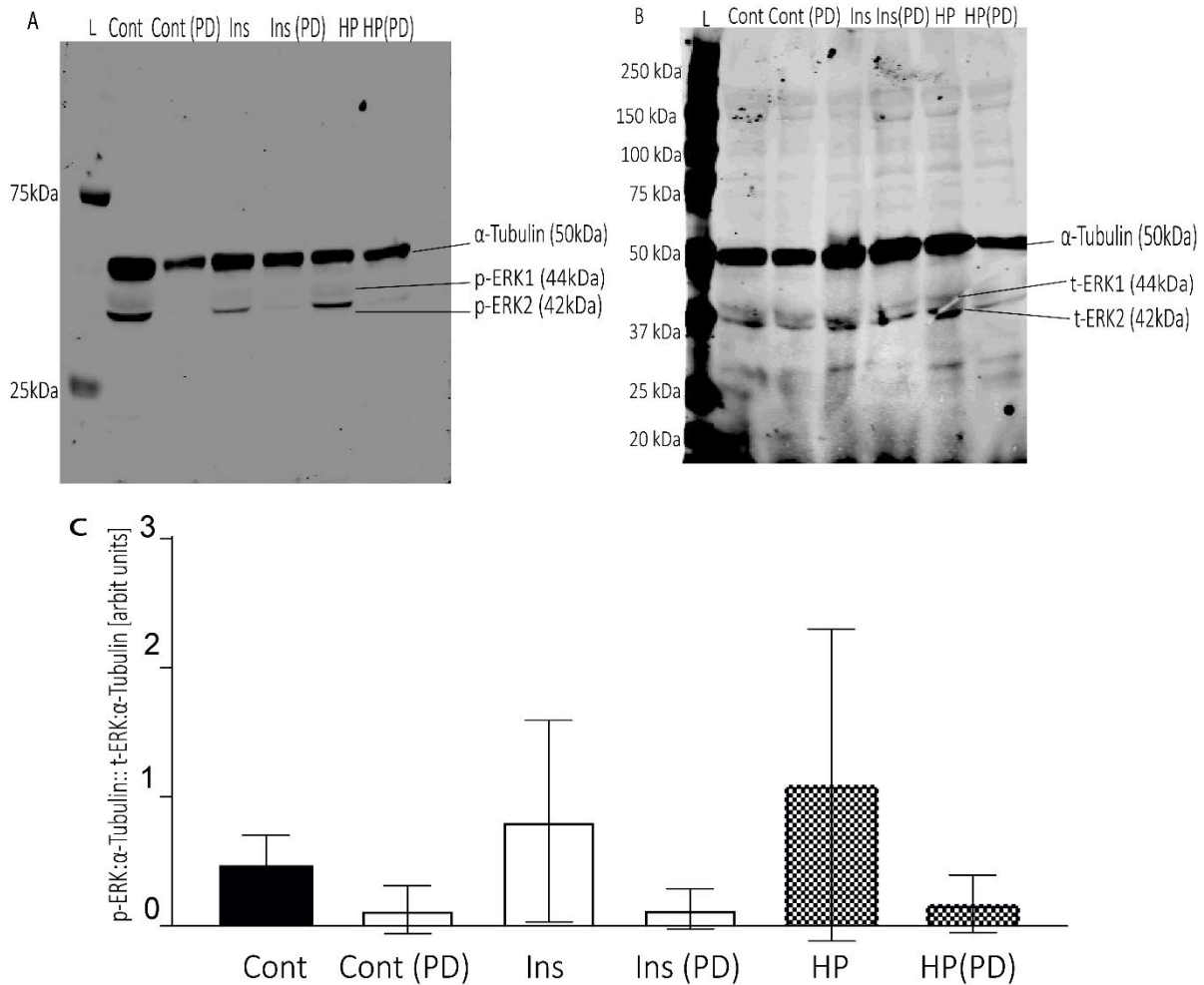


Fig. 5.10. Western blot analysis of hypoxic preconditioning induced phosphorylation induction of MAPK ERK 1/2. (A) Representative Western blot displaying expression levels of p-ERK and the housekeeping gene α -tubulin under basal conditions (Cont, lane 2), after pre-treatment with PD98059 alone (Cont(PD), lane 3), insulin treatment alone (Ins, lane 4), PD98059 pre-treatment with insulin (Ins(PD), lane 5), HP (lane 6), and cells pre-treated with PD98059 before HP (HP(PD), lane 7). (B) Western blot displaying expression levels of α -tubulin and t-ERK in the same samples as in Panel A. (C) Mean cumulative expression levels of p-ERK to t-ERK in three different experiments. HP showed a 2.7-fold elevation in p-ERK to t-ERK ratio compared to control, which was attenuated in the presence of PD98059. The positive control insulin 1.7-fold elevation of p-ERK to t-ERK ratio compared to control. PD98059 pre-treatment attenuated the p-ERK expression levels in all experimental groups ($n=3$).

The phosphorylation induction of MAPK ERK 1/2 was evaluated as relative ratios of p-ERK and t-ERK normalised to α -tubulin in each well. Therefore p-ERK: α -tubulin and t-ERK: α -tubulin ratios were identified for each individual treatment group, and the ratio p-ERK: α -tubulin:: t-ERK: α -tubulin evaluated. Fig. 5.10 panel C shows the results of these analyses in the respective groups, expressed as mean of three different experiments. A 2.7-fold increase was observed

after HP, compared to control. PD98059 pre-treatment attenuated this HP-induced phosphorylation induction to 0.36-fold of control (HP (PD)). Similarly, a 1.7-fold increase in p-ERK to t-ERK ratio was observed with the positive control agent insulin (Ins), which in PD98059 pre-treated cells was attenuated to 0.2-fold the ratio observed in control. PD98059 attenuated p-ERK levels in resting cells (Cont (PD)) to 0.3-fold the levels observed in control. However, statistical analysis failed to show significance in the differences in ratios of p-ERK to t-ERK in the between the various treatment groups ($p > 0.05$, $n = 3$).

5.2.3.3 Effect of doxorubicin treatment on PI3K/Akt and MAPK ERK 1/2 phosphorylation. Having analysed the phosphorylation response of for PI3K/Akt and MAPK ERK 1/2 in response to HP, we briefly explored the induction of the respective kinases following Dox- treatment

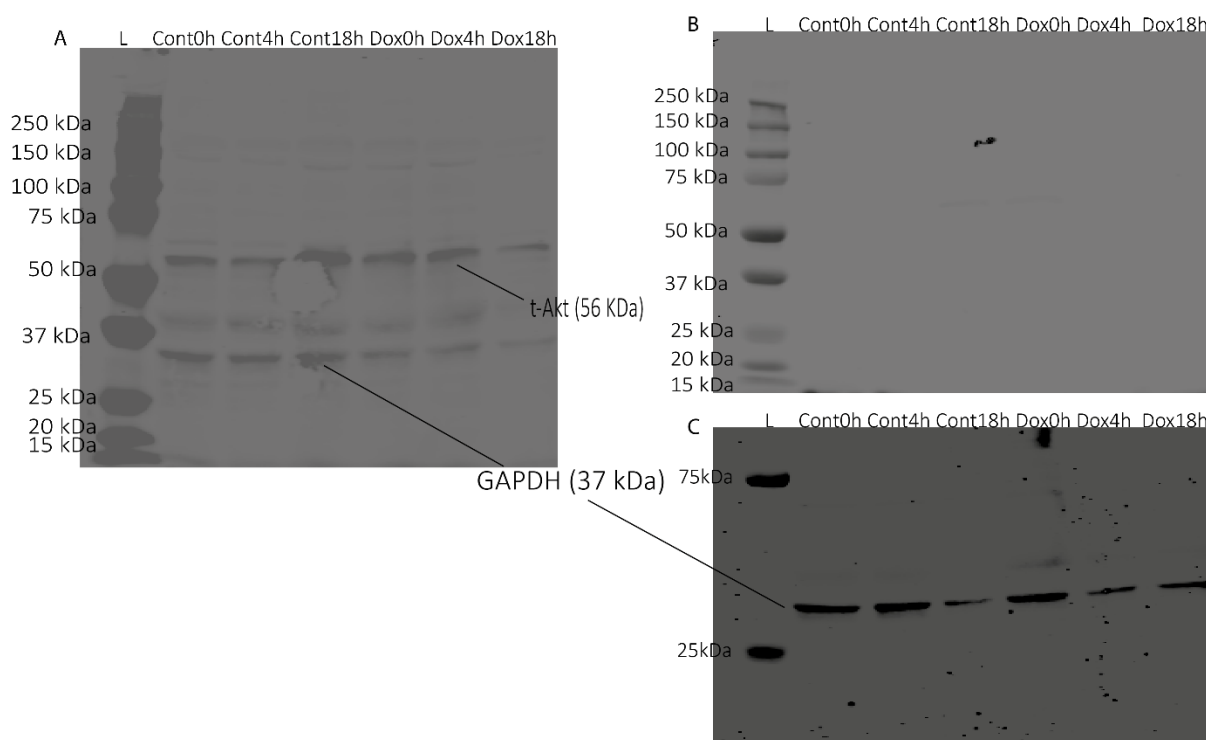


Fig. 5.11. Analysis of doxorubicin-induced phosphorylation of PI3K/Akt. A. A signal could be identified for t-Akt and the house keeping gene GAPDH at all time-points of treatment. B. No signal was identified for p-Akt. C. Analysis of GAPDH content showed a clear discernible signal in the same samples as shown in Panel B.

alone 15 minutes, 4 hours and 18 hours after initiation of drug-treatment. We explored the induction of PI3K/Akt and of MAPK ERK 1/2 (Fig.5.12) in two repeat experiments ($n=2$). Upon analysis of t-Akt and the housekeeping gene GAPDH, distinct signals were identified (Fig.5.11, panel A). However, no signal for p-Akt could be identified, although clear signal was evident for GAPDH in the respective samples (Fig.5.11, Panels B and C) on imageJ analysis. Similarly, on Western blot analysis for MAPK ERK 1/2, a bimodal signal corresponding to the molecular weight for ERK (42 and 44kDa) was identified upon probing for t-ERK, along with a robust signal for the housekeeping gene α -tubulin (Fig.5.12 panel A). Western blot for p-ERK however failed to show any clear evident signal, although a robust signal for the housekeeping gene α -tubulin was identified (Fig. 5.12 Panel B) in the respective samples. Statistical analysis was not carried out for the protein bands identified in these blots.

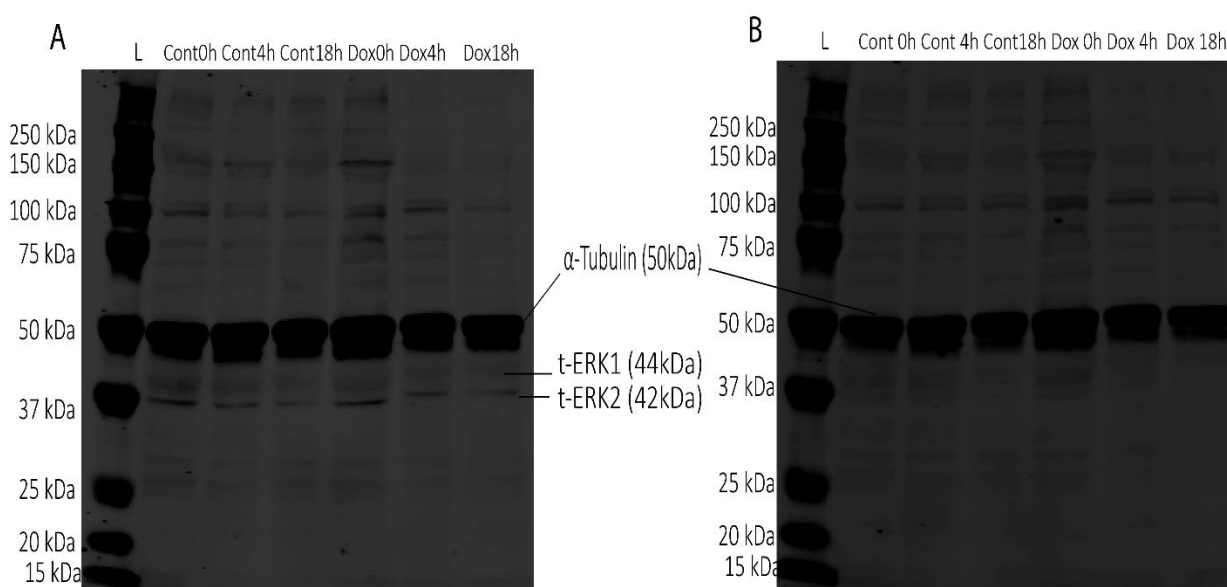


Fig. 5.12. Analysis of phosphorylation induction of MAPK ERK 1/2 in response to doxorubicin alone. A. A robust signal for the α -tubulin and weak but evident signal for the total isoform of ERK 1/2 were identified at all time points. B. Probing for phosphorylated ERK 1/2 (p-ERK) and α -tubulin revealed a robust signal for α -tubulin only, but no evident signal for the p-ERK.

5.3 Discussion.

5.3.1. Doxorubicin-toxicity is not altered by co-incubation with NAC.

The generation of ROS species has been postulated to be a mechanism mediating anthracycline-cardiotoxicity (Minotti et al, 2004; Berthiaume et al, 2007), which includes a proposed direct role for anthracyclines in generation of ROS and RNS species through futile cycling of the quinone-semiquinone moiety of the C-ring of the anthracycline core structure (Beckman et al, 1996; Weinstein et al, 2000; Mihm et al, 2001). An indirect role has also been proposed in generating ROS from enzymatic sources (Zhou et al, 2001). This enzymatic generation of ROS-species may be secondary to saturation of the cellular bioreduction pathways, or attenuation of the mitochondrial and cellular bioenergetic pathways including Complex I, NAD(P)H and NAD(P)H-dependent dehydrogenases, α -ketoglutarate dehydrogenase, etc. (Blanco et al, 2008). As a first step to identifying possible toxicity mechanisms of Dox in the model described, we explored if potential ROS scavenging could protect against *in vitro* cardiomyocyte death. We used N-acetyl cysteine (NAC) to probe our hypothesis, at a concentration of 250 μ M. NAC is a direct acting antioxidant which is also a non-specific scavenger capable of scavenging several different ROS species including peroxide ions, hydroxide ions, and peroxy nitrite ions (Day, 2008). These reactive species have been reported in literature as possible mediators of cardiomyocyte toxicity due to Dox (Pacher et al, 2003; Mihm et al, 2002). Further, NAC has been observed to exert ROS scavenging properties against ROS-species generated from respiratory complexes (Taddei et al, 2012) as well as from enzymatic sources (Peng et al, 2011). 250 μ M is a high concentration of NAC, and has been reported previously to be capable of attenuating markers of ROS-expression, e.g. the levels of glycosylated subunit of NADPH (gp91^{PHOX}) (Peng et al, 2011). We used H₂O₂ as a positive control to generate oxidative stress in these experiments. The initial experiments showed 50 μ M and 100 μ M H₂O₂ resulted in cell death approaching or greater than 90% at both 4 hours and 18 hours. However, 1 μ M H₂O₂ more closely replicated the toxicity of 7.5 μ M doxorubicin, since

cardiomyocyte death failed to show any statistically significant difference at 4 hours, but approached levels observed with 7.5 μM Dox during initial characterisation of the model of doxorubicin-toxicity (Figs 5.1, 4.1 and 4.8). We therefore used 1 μM H_2O_2 as a positive control. Our experiments suggest that in this *in vitro* model, ROS-species may not be the mediator of doxorubicin-toxicity. This differs from observations reported previously. For example, Deres et al (2005) had previously reported increased lipid peroxidation and protein oxidation in response to perfusion with Dox in an isolated Langendorff-perfused rat heart model. Similarly, Ichihahra et al (2007) noted an increase in lipid peroxidation in left ventricular tissue in an *in vivo* model where they injected mice intraperitoneally with doxorubicin, which correlated with cardiac dysfunction. The authors noted protection from both depressed cardiac function, as well as from increase in levels of lipid peroxidation products with polyethyleneglycated-Superoxide dismutase (PEGylated-SOD or PEG-SOD) as well as with fenofibrate. It ought to be highlighted that we did not directly visualise the generation of ROS-species in response to Dox treatment, instead using H_2O_2 -induced cell-death as a positive control and surrogate marker for oxidative stress. Considering a second surrogate marker of oxidative stress, e.g., expression levels of proteins responsive to oxidative stress (for example gp91^{PHOX}) or direct visualisation of the content of ROS-species in response to H_2O_2 treatment, Dox-treatment, and the respective changes upon coincubation with NAC would have consolidated the results we observed and improved the robustness of the experimental design and the conclusions. This is a limitation of our experiment. Moreover, the sample size of these experiments was four ($n=4$). We did not pre-calculate the sample size for the experiments, which may be considered a limitation of the experiments. However, the protective role of NAC in the positive control experiment allows a substantial measure of confidence in the cell-survival data we observed. Supporting this observation, another investigator in our laboratory (Dr Piowtroska) observed no ROS-production following Dox treatment in cardiomyocytes. In her experiments, she used the dye

CM-H2DCFDA (DCF). DCF is a fluorescein diacetate probe sensitive to ROS-species (Kristiansen et al, 2009; King and Oh, 2004). In Dr Piowtrowska's experiments, cardiomyocytes were loaded with 8.5 μ M DCF after incubating with 7.5 μ M Dox for 4 hours or 18 hours, and DCF-fluorescence analysed using a 488 nm Argon laser in a Leica SP5 confocal microscope equipped with \times 20 oil immersion objective lens (numerical aperture 1.0). As control, cardiomyocytes were incubated in medium 199 only. She observed no significant differences in the fluorescence of DCF after Dox treatment compared to control (Dr Piowtroska, personal communication). This supported the suggestion we draw from cell-death analyses that doxorubicin-mediated cardiomyocyte death in the particular model we explored was independent of ROS-species mediated toxicity.

In support of our observations, ROS-independent cell-death pathways have been implicated in Dox-toxicity. For example in H9C2 cardiomyoblasts, Ma et al (2013) observed doxorubicin-induced changes in p53-acetylation and histone deacetylases HDAC inhibition that were not affected by NAC treatment, despite reduction in DNA fragmentation and caspase 3 activation (Ma et al, 2013) in response to ROS-scavenging by NAC. p53-acetylation has been observed to promote apoptosis in previous reports (Zhang et al, 2011b; Yuan et al, 2011) This indicates that Dox could activate different cell-death pathways that maybe dependent and independent of ROS, and these may co-exist. This is important, since a number of *in vivo* models of Dox toxicity have utilised large bolus doses to induce cardiotoxicity (e.g., Zhang et al, 2012; Wang et al, 2012). As mentioned in an earlier section, necrotic or apoptotic pathways of cell-death may predominate depending on the concentration of Dox used, and it ought to be highlighted that apoptosis itself may lead to ROS generation (Montaigne et al, 2012). Further highlighting the ambiguity of the role of ROS-species, in *in vivo* rodent models, chronic dosing regimens of Dox has previously been reported to *increase* the myocardial antioxidant activity measured by total antioxidant performance (Ferreira et al, 2007), indicating that ROS generation due to

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anthracycline treatment may be more complex than a causal effect of drug administration. Small scale observational studies in humans had previously reported acute *decrease* in cardiac lipid peroxidation in response to Dox infusion, as estimated by the levels of lipid conjugate dienes (Minotti et al, 1996). Moreover, in clinical practice, the use of ROS-scavengers, e.g. NAC and alpha-Tocopherol, have failed to protect against the cardiotoxicity of anthracyclines (van Dalen et al, 2011). This highlights that the role for ROS-species as a critical mediator in Dox mediated cardiotoxicity is still unclear. In this context, the data described in this report indicates that ROS-independent death pathway may have a role in the model of Dox-toxicity we characterised. Reports investigating the cardiotoxicity of Dox may describe the presence of ROS-species in cardiomyocytes after Dox treatment as reflective of- or a marker- of a pathological process (Zhang et al, 2012). The results we observed suggest a requirement for caution in interpreting such data and in correlating the presence of ROS to cell-death levels.

5.3.2. Doxorubicin does not to alter resting mitochondrial transmembrane potential in cardiomyocytes *in vitro*.

Having established that ROS-scavenging did not rescue cardiomyocytes from doxorubicin-induced cell-death, we explored the role of other potential pathways in the cardiomyocyte-death due to Dox in the model of cell-death. Anthracyclines treatment in rodent models have been shown to alter the mitochondrial bioenergetic pathway, for example by reduced activity of the mitochondrial genome-encoded components of respiratory chain (Lebrecht et al, 2010), and by inhibition of the proteins that drive the proton-motive force and ATP generation, e.g., mitochondrial NADH and Succinate dehydrogenase protein, as well as the function of the Mg²⁺-dependent F₁F₀-ATPase (Berthiaume et al, 2007). Dox therefore has been postulated to lead to loss of the proton-motive force that drives ATP generation. Rapid decline in the mitochondrial transmembrane potential ($\Delta\Psi_m$) has been reported in *ex vivo* models, e.g., within in 1 hour in

Langendorff-perfused rat hearts as observed by Montaigne et al (2010) in response to 1 μ M Dox, or in murine cardiomyocytes within 72 hours after administering a bolus 25 mg/kg of dose of Dox *in vivo* (Zhang et al, 2012). $\Delta\Psi_m$ collapse may occur in response to a number of pathological mechanisms, including in particular Ca^{2+} overload and ROS-species generation. Collapse of $\Delta\Psi_m$ is a mechanism of induction of cell-death, e.g. by release of Cytochrome C. We therefore considered the hypothesis that fall in $\Delta\Psi_m$ may have a role in the toxicity we observed in response to Dox in this *in vitro* model of doxorubicin-toxicity.

In the experiments described, we used TMRM as an agent to report $\Delta\Psi_m$. A number of dyes have been used to investigate the transmembrane potential, which are as a class lipophilic and accumulate in the mitochondria according to the Nernst potential (Perry et al, 2011). This includes the compounds Rhod123, JC-1, Dioc6, TMRM and TMRE, each with its advantages and weaknesses. Rhod123 was considered unsuitable for our experimental aims, since dye aggregation and consequent quenching of fluorescence at higher probe concentrations holds the possibility of aberrant depolarization of $\Delta\Psi_m$. Further, Rhod123 has been previously shown to inhibit mitochondrial respiration more than TMRM (Scaduto and Grotyohann, 1999). Similarly, JC-1 reports $\Delta\Psi_m$ as a dual-colour, ratiometric assessment of the monomeric to the aggregate forms, but is highly sensitive to probe loading concentrations. Moreover, it is highly photosensitive, and in the aggregate form is slowly permeant, requiring time typically in the order of 90 minutes to reach equilibration in cardiomyocytes (Mathur et al, 2000), which we considered unsuitable for experimental purposes. DiOC6 was considered unsuitable since beyond extremely low concentrations in the order of 1 nM, the dye may be toxic to mitochondrial respiration and, moreover, may erroneously estimate transplasmalemmal potential rather than transmitochondrial potential (Rottenberg and Wu, 1998). The ethyl and methyl esters of tetramethylrhodamine (TMRE and TMRM respectively), for comparison, equilibrate rapidly across the cardiomyocyte in the order of 15 minutes, and was therefore

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considered the most suitable probes. TMRM was used in preference to TMRE since it offered the lowest potential for inhibiting mitochondrial respiration when used at a concentration below 0.5 μM (Scaduto and Grotyohann, 1999). At nanomolar concentrations (1-30 nM), TMRM aggregates within the mitochondria, and consequent fluorescence provides an accurate assessment of the pre-existing $\Delta\Psi_m$ (Perry et al, 2011). We therefore used a low concentration (30 nM) TMRM and estimated the optical fluorescence using 10% intensity incident He-Ne laser to report the transmembrane potential.

As an initial characterisation experiment, we confirmed that 30 nM TMRM fluorescence decreased in both control and Dox treated cells in response to 200 nM FCCP. FCCP is a mitochondrial uncoupler and leads to loss of $\Delta\Psi_m$, with consequent decrease of the fluorescence of TMRM in the nanomolar concentrations we used (Joshi and Bakowska, 2011). This loss of fluorescence upon treatment with an uncoupler was used as a confirmation that TMRM fluorescence was dependent on mitochondrial accumulation, and therefore dependent on $\Delta\Psi_m$ in this model. Further, the fluorescence post-depolarisation was similar across all three treatment times in both Dox-treated cells and control, which allowed us to conclude that TMRM loading and optical fluorescence was not influenced by the presence of Dox itself. It ought to be highlighted that in cells treated with Dox for 4 hours (Dox 4 and corresponding control Cont 4), the initial mean 30 nM TMRM fluorescence was noted to be markedly lower in both Dox 4 h as well as control, compared to the cardiomyocytes treated for 30 minutes (Dox 0 and Cont 0), as well as 18 hours (Dox 18 and Cont 18). A wide variation was also noted in the baseline fluorescence, which persisted in the first 20 seconds beginning with introduction of 200nM FCCP (Fig. 5.3, panel B). The reason for this is unclear, but may reflect the low number of repeat experiments from which the data is collected ($n=2$), particularly given that this variation was not noted in the two other groups; the variation appeared to settle in the subsequent 60 seconds; and that fluorescence values later in the decaying trend approached

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and reflected the values observed in the other two time groups. A larger sample size would have increased the robustness of this experiment, and ought to be considered in similar future experiments.

In subsequent experiments, we pre-treated cardiomyocytes with Dox for 30 minutes (Dox 0), 4 hours (Dox 4) and 18 hours (Dox 18) and analysed the differences in optical fluorescence of TMRM compared to control groups. However, these experiments did not detect any significant differences in the $\Delta\Psi_m$ amongst the different treatment groups. The results are collated from six repeat experiments ($n=6$) which, although not pre-calculated, was guided by acceptable sizes and commonly reported numbers in literature. Further, possible wash-off of dead cells from laminin attachments during the process of buffer exchanges during probe loading may yield artefactual false results. We had previously analysed differences in total cell-count after Dox treatment and PI introduction during the development and characterisation of the model of Dox toxicity (fig. 4.5.), and found no significant differences in cell count relative to control groups. This allowed us to conclude with confidence that Dox treatment did not influence $\Delta\Psi_m$ in this *in vitro* ARVM model.

$\Delta\Psi_m$ has been previously reported to fall in response to Dox by other authors. For example, Fisher et al reported a fall in $\Delta\Psi_m$ in cultured adult mouse ventricular myocytes using the JC-1 dye following 18 hours incubation with 1 μ M Dox (Fisher et al 2005). Similarly, Dox-treatment in *ex-vivo* models in isolated, Langendorff-perfused, rat hearts (Montaigne et al, 2010) as well as cardiomyocytes isolated from mice following *in vivo* treatment with Dox (Zhang et al, 2012) showed a decline in resting $\Delta\Psi_m$. One explanation for these differences in observations may be the differences in experimental set ups. Montaigne et al used an *ex vivo* model, perfusing intact hearts in a Langendorff model. They used a lower concentration (1 μ M doxorubicin) for a shorter time-period (1 hour), and measured the epicardial fluorescence of JC-1 dye aggregates

to estimate mitochondrial transmembrane potential semi-quantitatively. It is worth noting that at a similar dose-range in this isolated cardiomyocyte model, we do not observe any discernible differences in cell-death which led us to conclude that 1 μ M Dox did not inflict any substantial injury. Similarly, Zhang et al (2012) isolated murine cardiomyocytes 72 hours after a large bolus dose of 25 mg/Kg intraperitoneally. They too used JC-1 dye, and observed a decrease in $\Delta\Psi_m$ (Zhang et al 2012). It is worth highlighting that the dose used by Zhang et al is several folds higher than what may be used physiologically in humans. Further, we used TMRM rather than JC-1 to measure $\Delta\Psi_m$. As explained above, aggregate (i.e., red) JC-1 equilibrates slowly across the cardiomyocyte and further the fluorescence is highly sensitive to probe loading concentrations and loading times. Furthermore, the fluorescence may change independently of $\Delta\Psi_m$ under some circumstances, e.g. by surface to volume ratio (Perry et al, 2011). These factors ought to be considered when analysing the differences of our findings compared to other groups. The results however indicate alterations of the mitochondrial transmembrane potential is not a mediating factor in induction of cell-death. It ought to be emphasised in this section however, that the data described do not allow any conclusions to be drawn regarding the mitochondrial energetic state, since $\Delta\Psi_m$ is influenced by the net gradient of ionic species across the mitochondrial membranes, but the proton gradient may be maintained or even increased without any changes in the $\Delta\Psi_m$, for example due to accumulation of cationic-species within the cytoplasm (Perry et al, 2011).

5.3.3. Influence of doxorubicin treatment on mPTP transition *in vitro*.

Our results described above indicated that dissipation of $\Delta\Psi_m$ was not involved in the cell-death we observed in this model, leading us to explore the role of other possible mechanisms of cell-death to explain cardiomyocyte toxicity observed. Opening of the mitochondrial permeability transition pore (mPTP) is considered a final event in induction of cellular death pathway, or the “point of no return” in cell-death. The mPTP had previously been reported to be involved in the

induction of cardiomyocyte death in heart failure in murine models of pressure overload (Elrod et al, 2010). Further, known pore-induction stimuli include Ca^{2+} -overload within the mitochondria, and doxorubicin-treatment has been shown to alter Ca^{2+} regulating proteins including SERCA2A and RyR. Furthermore, an increased sensitivity to induction of mPTP opening is noted in rats treated with Dox (Ascensão et al, 2010). We therefore hypothesised that an increased sensitivity to induction of mPTP could be a mechanism of cell-death in this model, which we explored in the subsequent experiment.

For reasons discussed above, TMRM was used as a reporting agent in this experiment exploring mPTP transition. We used a higher concentration of 3 μM of TMRM in these protocols. At these high concentrations TMRM is used in a dequenching mode, whereby accumulated dye within the mitochondria in the polarised state is released into the cytosol upon depolarisation, leading to unquenching of fluorescence. The collapse of the transmembrane potential which occurs on mPTP depolarisation is reflected by a non-linear increase in the fluorescence intensity of the probe. In the final state of the pore reaching a freely-permeant state, fluorescence intensity of TMRM plateaus as the probe is released into the cytosol. The added advantage of TMRM in experimental design was that at micromolar concentrations, TMRM further functions as a photosensitiser of ROS generation when subjected to high intensity laser, and therefore provides a source of oxidative stress that may induce the mPTP to reach the freely permeant "open" state. Measuring the fluorescent intensity versus time therefore provides a reflection of the rate of depolarisation, and therefore allows identification of increased vulnerability of the pore to reach the permanently open state by comparison of time taken to mPTP transition between different treatment groups. This experimental setup has been reported in our lab before (Hausenloy et al, 2003a; Davidson et al, 2006).

We used a higher intensity of 40% He-Ne laser to elicit TMRM fluorescence and generate oxidative stress. We used Cyclosporine A (CsA) as a positive control to inhibit the mPTP transition. CsA has been shown previously to bind to the Peptidyl Prolyl *cis-trans* isomerase Cyclophilin D (Cyp D) with high affinity, and is to date the most specific inhibitor of Cyp D known (Rao et al, 2014). CsA acts as a “desensitiser” of the pore transition, rather than blocking pore induction altogether (Bernardi et al, 2006; Halestrap and Richardson 2015), and mPTP transition in the presence of CsA requires higher levels of induction stimuli, e.g. Ca^{2+} . We used CsA at a 1 μ M concentration, which has been reported previously to offer robust protection against pharmacological induction of mPTP in isolated ARVM models investigating heart failure (Xu et al, 2016).

5.3.3.1. Doxorubicin treatment does not increase increase time taken to mitochondrial permeability pore transition.

We identified no differences in the time to mPTP transition between Dox-treated cardiomyocytes and control. Surprisingly, CsA increased the time to pore induction in control cells only, but not in Dox-treated cardiomyocytes. The experiments were repeated to a total sample size of seven ($n=7$), which in the context of an *in vitro* experimental set up is a sample size large enough to draw very confident conclusions. The results are therefore interesting, since these observations are different from those reported by other groups in *in vitro* experiments (Gharanei et al, 2013a and 2013b), in *ex vivo* models (Montaigne et al, 2010), as well as in *in vivo* models (Marechal et al, 2011). For example, Marechal et al observed an increase in Ca^{2+} -induced mPTP transition *in vivo* with doxorubicin, administered both as a large-dose bolus as well as in an interrupted dosing models. The authors noted this response of mPTP induction to be abrogated by co-administration of 1mg/kg CsA. Similarly, Gharanei et al observed a protection from mPTP transition reported by 3 μ M TMRM fluorescence dequenching when 0.2 μ M CsA was used in conjunction with doxorubicin-treatment (Gharanei

et al, 2013a). Several explanations may be considered to account for these differences. The experimental set up we used differed in a number of ways from those used by other groups. For example, Gharanei et al (2013a) estimated mPTP induction using 3 μM TMRM, but they subjected primary adult rat cardiomyocytes to 10 mins of 1 μM Dox treatment after incubating cells overnight prior to transferring to laminin coated surface. The differences in timing of seeding to laminin plate and the consequent differences in cytoskeletal feedback signalling (Ross and Borg, 2001; Langenbach and Rando, 2002) maybe one explanation for the differences between the results we observed and those of Gharanei et al. Similarly, Montaigne et al (2010) measured the epicardial fluorescence of mitochondrial dye JC-1 following 60 min of 1 μM Dox infusion in isolated perfused rat hearts. As explained above, aggregate (i.e., red) JC-1 fluorescence is sensitive to probe loading concentrations and times, and may change independently of $\Delta\Psi_m$ under some circumstances. The findings we describe suggest it may be informative to re-explore the mPTP induction times with more than one reporting dye, e.g. Rhod123 in addition to TMRM, as this may allow greater clarity and more robust data on which to draw conclusions and reconcile the differences in these observations.

A further interesting result stands out from these experiments. The positive control CsA was able to desensitise the mPTP to induction stimulus in control cardiomyocytes only, but not in Dox treated cells. This is interesting, since this shows that the protective effect of CsA is abrogated in Dox-treated cells. We are not aware of similar observations having been reported before. Two hypotheses ought to be considered. We used 1 μM CsA, which has been used to evaluate mPTP induction by other groups before in *in vitro* in ARVM models of chronic drug-induced toxicity (Xu et al, 2016). This concentration offered robust protection from mPTP-induction assessed by Ca^{2+} -induced swelling. In our own lab 1 μM CsA has been used in *in vitro* experiments, estimating mPTP induction in murine cardiomyocytes, and identified robust protection (Dongworth et al, 2014). However, it ought to be highlighted that in *ex vivo* models

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of IR-injury, protection against reperfusion injury is observed with 200 nM but not 1 μ M CsA (Griffiths and Halestrap, 1993). A narrow therapeutic window of 200-400 nM concentration has been reported in *in vitro* models of IR-injury using female SD rat ARVMs in the past (Nazareth et al, 1993). Moreover, CsA itself may cause toxicity in cardiomyocytes, which has been attributed to elevation of intracellular Ca²⁺ through the Ca²⁺ sensitive receptor (CsR), albeit at concentrations 3 to 8-times higher than those used in our experiments (Florio et al, 2003; Tang et al, 2011). Further, daunorubicin-treated rabbit models show altered intracellular Ca²⁺-regulatory proteins, e.g. SERCA2A and 2B, RYR2 and Calsequestrin (Stěrba et al, 2011), which is likely to reflect a class-specific effect. Since Ca²⁺ is a known pore induction stimulus, and mitochondrial Ca²⁺ uptake through the Ca²⁺-uniporter is dependent on the electrochemical gradient of Ca²⁺, the hypothesis ought to be considered that the time taken to mPTP induction in the presence of CsA after Dox pre-treatment is a reflection of a compensation, where protection of the mPTP by CsA (as observed in control) is masked by a decrease in pore-induction time due to Ca²⁺ overload in the mitochondria. This hypothesis may be investigated further using Ca²⁺ sensitive dyes (eg, Fluo-4) to identify free cytosolic Ca²⁺, e.g., after Dox treatment and after introducing CsA.

A second hypothesis that may explain the results we observed includes modification of the CsA-sensitive structure in the mitochondria. The mitochondrial receptor for CsA is Cyp D, which it binds with high affinity ($K_D=13.4$ nM) (reviewed in Halestrap and Richardson, 2015; Gutiérrez-Aguila and Baines, 2015; Bernardi and Di Lisa, 2015). It is possible therefore that the attenuation of the protective effect of CsA in Dox pre-treated cells is due to alterations of Cyp D. Whether this alteration is at the level of gene expression, mRNA translation, protein degradation, or post-translational modification is impossible to deduce without further work. Estimation of gene expression and estimation of protein content may be carried out using widely used techniques, e.g. PCR and Western blotting respectively. However, interestingly, the structure

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and function of Cyp D ought to be considered briefly when considering post-translational modifications that may explain these observations. In the suggested model of the mPTP induction, Cyp D has been suggested to interact with inorganic phosphate carrier (PiC) and adenine nucleotide translocase (ANT) in the assembled mPTP complex (Halestrap and Richardson, 2015), and interact with the lateral stalk of the F_1F_0 -ATP synthase in the mPTP (Javadov and Kuznetsov, 2013) and inhibits the enzymatic activity of the complex. CsA binding to Cyp D leads to dissociation of Cyp D from ANT and PiC (Halestrap and Richardson, 2015), and further restores the enzymatic activity of the F_1F_0 complex (Giorgio et al, 2009). In the context of post-translational control of Cyp D function, it is worth highlighting that CsA binding site in Cyp D is a highly conserved α -helix, termed the CsA binding domain (CSBD). Analysis of Cyp D molecular structure reveals a lysine residue (K166) which lies stereochemically adjacent to the CSBD and is highly conserved from yeast to humans. K166 is deacetylated by the mitochondrial HDAC Sirtuin-3 (Sirt-3), and has been postulated to be a potential mechanism for regulating the mPTP protective function of Cyp D. Sirt-3 null mice show an increased vulnerability to Ca^{2+} induced swelling at 16 months, but not 3 or 6 months age (Haffner et al, 2010). For comparison, we used SD rat as a source of cardiomyocytes within a 150-450g weight range, which falls in a typical maximum age of 3 months (Brower et al, 2015). Interestingly, in cell-line models, Dox treatment has been observed to attenuate Sirt-3 expression in H9C2 cardiomyoblasts, which has been linked to dysfunction of the mitochondrial bioenergetic pathway (Cheung et al, 2015). Further, a role for Sirt-3 mediated deacetylation has been suggested for regulating a number of proteins of the mitochondrial ATP generating pathway including Acetyl CoA synthetase isoforms (ACeS2), Succinate dehydrogenase, Isocitrate dehydrogenase, NDUFAA9 (Pillai et al, 2010), many of which have been found to be attenuated at gene expression or protein expression levels in animal models of Dox toxicity (Khiati et al, 2014). Importantly, Dox treatment has further been described to directly deplete Sirt-3 both in *in vitro* as well as *in vivo*

models of Dox cardiomyopathy (Pillai et al, 2016). Therefore, a hypothesis ought to be considered that the results we observed may be explained by altered post-translational modification of cell-survival or of bioenergetic pathways as a consequence of Sirt-3 inhibition in this ARVM model. It may therefore be hypothesised that similar post-translational modification—specifically, acetylation of Cyp D— may be responsible for the abrogation of CsA-dependent mPTP protection that we observe in this ARVM model. This hypothesis may be investigated by exploring the acetylation status of Cyp D, and indeed of other targets of Sirt-3-mediated deacetylation, after subjecting cardiomyocytes to Dox treatment as described in the model. Antibodies against acetylated-Lysine are commercially available, and this may be considered a potential future course of experiments.

5.3.3.2. Co-incubation with Ciclosporine A does not protect cardiomyocytes from doxorubicin-toxicity.

In light of the results discussed in the preceding section, we re-explored the cell-death in the model in the presence of both Dox and 1 μ M CsA. In our previous experiment, the positive control CsA was introduced concomitantly with probe loading, *after* pre-treating cardiomyocytes with Dox for 18 hours. We considered the hypothesis that post-translational alterations to Cyp D leads to altered CsA binding, and explains the abrogation of CsA-mediated mPTP-protection in Dox pre-treated cells. Previous reports show post translational modifications of Cyp D, e.g. in sirt-3 knockout mice, renders the mPTP more prone to induction stimuli in aged rats, and the presence of CsA rescues the mPTP from this vulnerability (Haffner et al, 2010). Therefore we explored if the presence of CsA throughout the doxorubicin-exposure period reduced cell-death, which may indicate vulnerability of the pore as a factor in cell-death, and allow clarification of the data described above which suggested no excess vulnerability towards mPTP induction after doxorubicin-treatment. Conversely, to explain the abrogation of CsA-induced mPTP protection in Dox pre-treated cells, we further considered a hypothesis that

CsA may induce cytosolic and consequently mitochondrial Ca^{2+} overload in doxorubicin-stressed cells. Therefore, this experiment also allowed us to explore if 1 μM CsA was independently toxic in this model, and whether presence of CsA exacerbated cardiomyocyte injury secondary to doxorubicin.

As shown in fig. 5.6, we failed to identify any significant differences in cardiomyocyte death due to Dox alone, and cell-death due to co-treatment of Dox and CsA. We concluded from this experiment that presence of CsA offered no benefit against doxorubicin-induced injury, and therefore the mechanism of cell-death is likely to be independent of any increase in the vulnerability to mPTP transition. Further, the presence of CsA did not exacerbate Dox injury and CsA alone did not show any significant toxicity. We therefore concluded that the abrogation of CsA-responsive pore protection that we observed in Dox pre-treated cells is unlikely to be due to balances in ion fluxes, e.g. Ca^{2+} . The results discussed above are collated from seven repeat experiments ($n=7$), which allows considerable confidence in the results we observed. Our results differ from those noted by other groups. For example, Marechal et al (2011) noted a protection against the toxicity of Dox *in vivo* both after acute bolus injection (10mg/kg doxorubicin), as well as in an interrupted dosing model when CsA was administered (1mg/kg) in mice. The authors observed protection from Dox-induced ventricular dysfunction, as well as preservation of mitochondrial bioenergetics, preserved transmembrane potential, and protection from Ca^{2+} induced mPTP transition. Similarly, Gharanei et al (2013a) demonstrated an exacerbation of the injury due to ischaemia-reperfusion in the presence of 1 μM Dox in isolated rat Langendorff-perfused hearts, as well as in *ex vivo* papillary muscle contraction models. The presence of CsA (0.2 μM) abrogated this excess injury. Conversely in an *in vitro* model using H9C2 cardiomyoblasts, Merten et al (2006) were able to inhibit Dox-induced calcineurin signalling with 100nM CsA, but were unable to prevent Dox-induced hypertrophy, which the authors demonstrated to be due to activation of the PI3K/Akt pathway. This

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highlights that multiple protein-signalling cascades may be activated by anthracycline-treatment, and the pathological effect of the respective cascades ought to be correlated to the model under investigation. It ought to be highlighted that we did not include a separate positive control, with cell-death due to 1 μM CsA serving as an internal positive control. It may be argued that a dose-response study of cardiomyocyte-death due to CsA, and use of a toxic dose of CsA (if any) as a positive control may make the experiment more robust, and would allow better understanding and characterisation of the independent effect of CsA in the *in vitro* isolated cell-model we have used, and therefore allow a better interpretation of the effect of CsA on Dox-toxicity in our model. This may be explored in future work. Furthermore, to explore if Ca^{2+} signalling or overload is a factor in the toxicity of doxorubicin, in this *in vitro* approach, directly imaging free Ca^{2+} with Ca^{2+} probes may offer more clarity. These maybe considered limitations of this experiment, and future experiments particularly investigating the role of Ca^{2+} in this model of Dox-toxicity needs to include direct visualisations of ionic Ca^{2+} and explore the activation status of known Ca^{2+} signalling cascades induced by doxorubicin.

It is necessary to highlight that CsA interacts with other Cyclophilin molecules e.g. Cyp A, and inhibits Calcineurin signalling, which is a potential compounding factor (Bernardi and Di Lisa, 2015). Re-exploring cell-death and mPTP induction due to Dox in the presence of a different pore-protecting agent, Bongkreikic acid or Sangliffehrin A (SfA), may therefore offer more insight into the role of the mPTP in Dox cardiotoxicity. We did not use Bongkreikic acid due to its potential for inhibition of oxidative phosphorylation (Malekova et al, 2007). SfA and CsA both bind Cyp D with similar affinity, but SfA offers an advantage in avoiding activation of potential confounding factors, e.g. Calcineurin inhibition (Hausenloy et al, 2012) and maybe considered a suitable mPTP protective agent if the role of mPTP induction is re-explored in this model of Dox cardiotoxicity.

5.3.4. Hypoxic preconditioning protects against doxorubicin-induced cardiomyocyte death.

As mentioned earlier in introduction, Dox has been observed to modulate the signalling cascades PI3K/Akt and the MAPK ERK 1/2 cascades which are induced by HP. The exact role of these cascades in the toxicity of anthracyclines is far from clear, with some evidence, e.g., from *in vitro* cardiomyoblast cell-lines (Merten et al, 2006), *ex vivo* models (Deres et al, 2005, Gharanei et al, 2013) and *in vivo* approaches (Ichihara et al, 2007) noting an induction response. Conversely, other groups have noted attenuation responses to PI3K/Akt consequent to Dox-treatment, e.g., in *in vivo* rodent models, which has been correlated with symptomatic heart failure (Lou et al, 2005). Similarly, MAPK ERK 1/2 has been described in some experiments to play an injurious role, with data from, e.g., *in vitro* experiments using cardiomyoblast cell lines indicating a role in induction of apoptosis through the p53-mediated death pathway (Liu et al, 2008). Data from *ex vivo* models support the evidence of phosphorylation induction of MAPK ERK 1/2 as a consequence of Dox treatment, although the role this activation plays is unclear (Ghanaranei et al, 2013b). Contrasted with these reports, *in vitro*, neonatal rat cardiomyocytes display an induction of apoptosis on Dox treatment when ERK 1/2 is selectively inhibited (Zhu et al, 1999), while the potentiation of both MAPK ERK 1/2 (Su et al, 2006) as well as PI3K/Akt (Kitamura et al, 2014) has been observed to offer protection against doxorubicin-induced cardiac injury. Since both the PI3K/Akt and MAPK ERK 1/2 cascades are known to be activated by HP and exert a cardioprotective effect in IR-injury, we explored if HP could protect against the cardiotoxicity induced by doxorubicin. In the model of toxicity described, we had identified the second window of preconditioning (SWOP) as the most suitable pathway which may be capable of protecting against doxorubicin-induced toxicity. Importantly, although the key mediators and pathway in SWOP are still unclear, a key role is described for both PI3K as well as MAPK ERK 1/2. For example, inhibiting PI3K with the irreversible inhibitor Wortmannin abrogated SWOP in *in vivo* rabbit models of IR-injury (Kis et al, 2003; Raphael and Gozal, 2005).

Similarly, the delayed preconditioning effects of opioids have been linked to the activity of MAP Kinases p38 as well as ERK 1/2 (Fryer et al, 2001), while inhibiting PI3K and ERK 1/2 has been observed to abrogate pharmacologically induced SWOP in *ex vivo* murine models of IR-injury (Bell et al, 2005). The timescale of protection indicates this is likely to be mediated by alteration of protein synthesis. Therefore, we hypothesised that an early induction of the RISK proteins immediately prior to introducing Dox would offer a protection against cardiotoxicity of Dox in the timescale of cell-death that we observed in this model.

Consistent with this hypothesis, we found that HP protected cardiomyocytes against toxic concentrations of Dox. Cell-death in unprotected control cells showed a dose-dependent rise with increasing concentrations of doxorubicin, as was observed in previous characterising experiments, and was therefore internally consistent with previous data. The results were obtained from 5 repeat experiments ($n=5$). It ought to be re-iterated that in preceding experiments, we had not observed any role of oxidative stress or of mPTP induction in mediating cell-death due to doxorubicin. It may therefore be concluded that the protection offered by HP and indeed by SWOP is independent of any putative role HP may have against pathological oxidative stress, or of a role in protecting against mPTP induction (Hausenloy, 2013a). In this report, we have not investigated the redox state or the function of the mitochondrial respiratory chain. Further, we have not investigated the balance of pro-apoptotic and anti-apoptotic proteins of the BCL family or the status of p53 enzyme. These are potential pathways which may be influenced by both PI3K/Akt and by MAPK ERK 1/2.

Although direct myocardial preconditioning may be impractical in mitigating anthracycline-cardiotoxicity, the findings from the above experiments are interesting since various modes of preconditioning are possible without direct injury or access to the myocardium. Of particular note in this regard, remote ischaemic preconditioning (RIPC), where an ischaemic stimulus is

applied to a distal muscular organ, e.g., peripheral muscles or limb, have been observed to activate the RISK cascade and offer similar protective influence and, indeed, was observed to lower peak delayed troponin rise in myocardial infarction treated with thrombolysis (Yellon et al, 2015). Further, exercise, which may induce similar recurrent transient hypoxia in peripheral musculature as well as promote anaerobic adaptation in the myocardium, has been suggested to hold a preconditioning potential similar to remote ischaemic preconditioning in protecting against IR-injury (Powers et al, 1998). Interestingly, previous reports exploring cardioprotective strategies against anthracyclines note a role for aerobic-exercise induced preconditioning in cardioprotection against anthracycline-injury (Chicco et al, 2006a and 2006b, Hydock et al, 2008). For example, Hydock et al (2008) reported a protective effect of aerobic-exercise preconditioning against doxorubicin-induced left ventricular function deterioration *in vivo* as well as in isolated-perfused hearts in rats subjected to a bolus-regimen of toxicity of Dox. Subsequent work indicates this may in part be due to reduced cardiomyocyte-accumulation of Dox (Jensen et al, 2013). Exercise-induced preconditioning has also been suggested to upregulate myocardial levels of manganese superoxide dismutase (MnSOD) (Yamashita et al, 1999; Marini et al, 2007). This is interesting, since levels of MnSOD has previously been observed to further correlate with delayed ischaemic protection, or the second window of protection (SWOP) (Hoshida et al, 2002). This highlights that the protection against anthracycline-injury in cardiomyocytes activated by HP, RIPC and other cardioprotective interventions including exercise may be translated into clinical applications with a better understanding, particularly, of the molecular pathways activated in SWOP.

5.3.4.1. Investigating hypoxic preconditioning induced RISK pathway against doxorubicin toxicity.

SWOP is postulated to be mediated by a number of putative and confirmed signalling cascades including the components of RISK (PI3K/Akt and MAPK ERK 1/2), as well as other parallel and

interdependent pathways e.g. nitric oxide signalling, tyrosine kinases, and protein kinase C (PKC) (Hausenloy, 2013a). We therefore explored the relevance of PI3K/Akt and MAPK ERK 1/2 in protecting against Dox-cardiotoxicity by inhibiting the respective signalling cascades. We used the molecule LY294002 to inhibit PI3K/Akt, and PD98059 to inhibit MAPK ERK 1/2. A number of inhibitors of PI3K/Akt have been studied, including Wortmannin, LY294002, Quercetin, as well as derivatives of these compounds (McNamara and Degtarev, 2011). Both Wortmannin and LY294002 has been used in our lab and are well studied (Bell and Yellon, 2003). However, Wortmannin is relatively nonspecific with broader cross-reactivity, and further it is more unstable and toxic in animals, limiting the potential for any future *in vivo* work. We therefore used LY294002 as an inhibitor of PI3K/Akt, at a working concentration of 10 μ M, which has been reported in literature to offer potent attenuation of Akt phosphorylation (Aikawa et al, 2000). Similarly, we used the MEK1 inhibitor PD98059 as an inhibitor of MAPK ERK 1/2 phosphorylation since, of multiple kinase inhibitors, it is the most specific kinase inhibitor (Davies et al, 2000).

In these experiments using cell-death as a measure of Dox-toxicity, we observe that inhibition of PI3K/Akt pathway with LY294002 abrogated the protective effect of HP, but inhibition of the MAPK ERK 1/2 appeared to exert no discernible effect on HP-induced protection. This suggested that the protective effect of HP against Dox-toxicity required the activation of the PI3K/Akt signalling cascade. It ought to be highlighted that we did not use a separate positive control, e.g., insulin which may have served the role of a known inducer of PI3K/Akt and of MAPK ERK 1/2. In this context, the lack of any effect on HP-induced protection that is observed despite the presence of PD98059 is difficult to interpret. The suggestion may be raised that activation of MAPK ERK 1/2 had no role in the conferring the protection that was observed with HP, but require confirmation of phosphorylation induction of the ERK 1/2 pathway, which was not possible due to the lack of a positive control. The results we noted reflect the observations

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of five repeat experiments ($n=5$). Of note, our results reflect the suggested protective role of activation of PI3K/Akt pathway against Dox-toxicity noted in wider literature. For example, Taniyama and Walsh (2002) had previously observed a protective effect using adenovirus-mediated constitutively active Akt in a rodent model of Dox-toxicity, whereby potentiating Akt pathway protected against deterioration of fractional shortening, decline in left ventricular dysfunction, and decline in cardiac mass. Similarly, Fu et al (2007) had previously reported a role for PI3K/Akt induced by Erythropoietin (Epo) in protecting neonatal rat ventricular myocytes (NRVMs) against the toxicity of Dox *in vitro*, but not MAPK ERK 1/2. In their report, Fu et al were able to abrogate the protection of Epo using 50 μM LY294002. By comparison, we used a lower concentration of LY294002 (10 μM) to inhibit PI3K/Akt in the experiments, which is towards the lower end of a working concentration 1-100 μM . The protective role for HP-induced PI3K/Akt suggested by the cell-death data we observed, further, is consistent with the previously reported effect of potentiating PI3K pathways *in vivo*. For example, Kitamura et al (2014) observed that deleting ARIA (apoptosis regulator through modulating IAP expression), a plasma-membrane recruiter for the PI3K-phosphatase phosphatase and tensin homologue (pTEN) protein, protected against Dox-induced cardiac dysfunction and death in a murine model. However, these reports ought to be contrasted with the reported observations and role of PI3K/Akt phosphorylation-induction noted by other groups in Dox-toxicity. For example, as explained earlier, Merten et al (2006) had noted a phosphorylation response for protein kinase B (PKB), a downstream mediator of Akt. The authors noted an Akt-dependent hypertrophic response in cultured H9C2 cardiomyoblast derived cell lines, which they deemed to be reflective of a pathological response. In their experiments, Merten et al exposed the cardiomyoblasts to 1 μM Dox for upto 2 hours, and noted that the hypertrophic response could be abrogated by 20 μM LY294002. For comparison, we used an isolated adult rat ventricular myocyte model, and exposed these cells to 7.5 μM Dox for 18 hours. Moreover, as discussed in

the next section, upon Western blotting we observed no evident Akt phosphorylation response in the after-sequence of Dox-treatment at time points of 15 minutes, 4 hours and 18 hours in this ARVM model. This differs from the sustained Akt phosphorylation that Merten et al observed for upto 360 minutes following exposure to Dox in their cardiomyoblast cell-model. The differences in the experimental set up, particularly the differences between a proliferating cardiomyoblast model and a terminally differentiated ARVM model may be a possible explanation, but this requires further experimental work and direct comparison under controlled conditions. This was beyond the scope of our current report. In a different experimental set up, Deres et al (2005) reported Akt phosphorylation-induction when isolated Langendorff-perfused rat hearts were subjected to 100 μ M Dox for 60 minutes. The authors hypothesised this phosphorylation to be a possible response to activation of the kinase via nitration of tyrosine residues of receptor tyrosine kinases, secondary to reactive oxygen and nitrative species generation. Supporting this hypothesis, Akt phosphorylation in these experiments could be abrogated by concomitant perfusion with 200 μ M dihydrolipoamide, an antioxidant. Similarly, Ichihara et al (2007) had noted increased phosphorylation of Akt in an *in vivo* model of toxicity, which could be inhibited by the administration of superoxide dismutase. It ought to be highlighted that in the model we characterised, we used a concentration of 7.5 μ M Dox, significantly lower than that used by Deres et al. Moreover, the experiment we conducted exploring the role of N-acetyl cysteine against doxorubicin-toxicity failed to show any protective effect, raising the suggestion that in the model we analyse, reactive oxygen species may have a limited role in pathogenesis and induction of cell-death (discussed above). It is important to highlight that PI3K/Akt induction may be a stress response, and may serve to stabilise against mitochondrial fission. For example, in their 2013 report Gharanei et al (2013b) demonstrated activation of PI3K/Akt phosphorylation in response to Dox-treatment in a Langendorff-perfused rodent heart model, which increased when mitochondrial division

inhibitor mdivi-1 was coperfused with Dox. In a different report (Gharanei et al, 2013a) the group noted an increase in phosphorylated Akt content in rat heart when Dox was introduced in the reperfusion phase of an ischaemia-reperfusion protocol in a Langendorff-apparatus. This upregulation could be inhibited by administration of 0.2 μ M CsA. This may indicate that activation of PI3K/Akt noted in the after-sequence of Dox-treatment may be a stress-adaptation that aims to stabilise against the induction of mitochondrial apoptotic pathways (Ushio-Fukai et al, 1999). It is therefore worth considering a hypothesis that preactivation of PI3K/Akt may have a protective role against Dox-toxicity, which differs from the activation of PI3K/Akt observed in cells subjected to Dox-insult. This latter activation, as hypothesised by Deres et al, may be a consequence of nitration or amino acid Tyrosine residues as a consequence of reactive species accumulation. This hypothesis requires further exploratory work in the isolated ARVM model that we have used in our experiments, e.g., by sequential activation or inhibition of PI3K/Akt at different time points of Dox-treatment. However, this was again beyond the scope of the work reported here.

Concomitant with investigations into the role of PI3K/Akt pathway, we also explored the effect of inhibiting MAPK ERK 1/2 on HP-induced protection against Dox-induced cell-death. Hypoxic preconditioning is known to induce MAPK ERK 1/2 via the RISK-pathway. However, as explained in earlier sections the role of MAPK ERK 1/2 in Dox-induced cardiotoxicity is conflicting. Reports by different groups offer evidence in support of a deleterious role for pathway. For example, Liu et al (2008) reported an increase in ERK 1/2 phosphoprotein levels and nuclear translocation in H9C2 cardiomyoblasts in response to Dox treatment at a concentration of 1 μ M, which the authors noted to be accompanied by nuclear translocation of phosphorylated p53 and induction of apoptotic pathway. The MEK1 inhibitor U0126 at 20 μ M concentration inhibited both ERK 1/2 phosphorylation-induction as well as phosphorylated-p53 translocation to the nucleus, suggesting a role for ERK 1/2 induction in the apoptosis mediated by Dox on the cell-

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lines. Similarly, Bien et al (2007) had noted a phosphorylation response of the ERK 1/2 cascade in an *in vivo* murine model of Dox cardiomyopathy. Gharanei et al, in their 2013 report (Gharanei et al, 2013a) had observed a phosphorylation induction of MAPK ERK 1/2 in a Langendorff-perfused rat heart model in the after-sequence of Dox-treatment, where IR-injury is used as an added stress. Other groups however report evidence indicating a protective or compensatory adaptation in cardiomyocytes via the induction of MAPK ERK 1/2. For example, as noted earlier in introduction, reports, e.g., by Lou et al (2005) noted a transient increase in ERK 1/2 phosphoprotein levels over 4 hours in a rodent model of Dox cardiomyopathy, followed by a decline over a period of three weeks. This reflected a decline in mRNA expression and accompanied clinically correlated heart failure in the experimental animals. Similarly, Wang et al (2012) noted a decrease in phosphorylated ERK 1/2 levels within five days of a bolus 20mg/kg Dox in a murine model of cardiotoxicity, along with an increased level of phosphorylated p53. These could be reversed by overexpression of the ubiquitin ligase chaperone protein C-terminal HSP70 interacting protein (CHIP) using a transgenic expression model, and was associated with decrease in markers of doxorubicin-induced cardiomyocyte apoptosis as well as ventricular dilatation. Since hypoxic preconditioning is known to induce MAPK ERK 1/2 via the RISK-pathway, we hypothesised this particular signalling pathway may have a role in mediation of the protective effect that HP offered against Dox-toxicity in the *in vitro* model of toxicity we characterised. We did not use a positive control agent to induce MAPK ERK 1/2 in our experiments exploring cell-death, e.g., insulin. This should be considered a limitation of the experimental design, and need addressed in future work. Our experimental data, however, reflect observations by other groups. For example, in an H9C2 cardiomyoblast model Spallarossa et al (2006) noted an increase matrix metalloproteinases (MMP) MMP-2 and MMP-9 in response to Dox-treatment, which could be attenuated with the β -blocker Carvedilol, with N-acetyl cysteine, and with Dexrazoxane. Dexrazoxane and Carvedilol both resulted in an

upregulation of phosphorylated MAPK ERK 1/2 levels, but inhibiting MAPK ERK 1/2 using pretreatment with 50 μ M PD98059, the authors did not observe any differences in MMP-2 and MMP-9 protein expression and activity induced by the exposure to 0.5 μ M Dox alone. This suggested the activation of MAPK ERK 1/2 was not consequential in the protective effect observed due to Carvedilol and NAC. Similarly a report by Fu et al (2007) noted phosphorylation induction of MAPK ERK 1/2, but the authors reported that the MEK1 inhibitor U0126 did not inhibit the protective effect of Epo. For comparison, we used 30 μ M PD98059 as an inhibitor of MEK1-induced MAPK induction. It ought to be highlighted that repression of MAPK phosphatases by anthracycline compounds have been noted to induce upregulation of phosphorylation ERK 1/2, at least in breast epithelial and breast carcinoma cell lines (Small et al, 2003). Furthermore, ERK 1/2 may be induced by cellular stress including heat stress and substrate deprivation, while extracellular stress induced signalling e.g. as induced through lysis, mechanical agitation, and tensile stimuli, may lead to induction response in MAPK ERK 1/2 (Yamazaki et al, 1996). Moreover, ischaemic stress and reperfusion injury has been reported as stimuli capable of phosphorylation induction of ERK 1/2 (Araujo et al, 2001; Takeishi et al, 2001). Indeed, in subsequent Western blots (discussed further below), although phosphorylated ERK 1/2 was detected in response to HP as well as the positive control agent insulin, signals were also noted in control sample, and failed to reach statistical significance in the differences in p-ERK 1/2 levels between different samples. It ought to be emphasised therefore that the role of MAPK ERK 1/2 require further clarification in future work, where experimental design ought to include a positive control agent for induction of MAPK ERK 1/2 in cell-death experiments.

5.3.5. Western blots.

The analysis of the Western blot experiments we conducted were challenging. Although blot analysis detected phosphorylated protein content both for PI3K/Akt and MAPK ERK 1/2

following HP, crucially the increase in levels of phosphorylated proteins failed to reach statistical significance compared to control. Furthermore, in analysis of MAPK ERK 1/2 induction, p-ERK 1/2 inductions were also noted in control lysates. It ought to be highlighted that in our experimental setup, we evaluated phosphoprotein to total protein content as a ratio normalised to the loading controls in each sample in the respective blots. This protocol was established since, due to the small volume of protein content in the available cell cultures, we harvested protein by directly lysing cells with the loading buffer. We used GAPDH as loading control for Akt, and α -tubulin as loading control for blots exploring phosphorylation of ERK 1/2. The molecular weight of GAPDH (37 kDa) is distinct from that of Akt (56 kDa) and, in well resolved SDS-PAGE blots, would be expected to appear as a distinct signal with greater migration compared to that of Akt. Similarly, α -tubulin (molecular weight 50kDa) was chosen as loading control for ERK 1/2 (molecular weights 42 and 44 kDa) since the signal for α -tubulin would be expected to migrate less compared to the two ERK 1/2 protein bands in a well-resolved SDS-PAGE membrane. Both GAPDH (Diez and Simm, 1998) and α -tubulin (Belmadani et al, 2002) are known to be expressed abundantly in adult rat ventricular myocytes and perform housekeeping roles, and has been reported from our lab previously (Hausenloy et al, 2005, Davidson et al, 2006). We used insulin as a positive control to induce phosphorylation of Akt and of ERK 1/2, and observed phosphorylation responses of both p-Akt and p-ERK which were consistent with previously reported data (Aikawa et al, 2000).

In these Western blot experiments, we observed phosphorylation of Akt both after HP and after insulin pre-treatment. As highlighted above, although the phosphorylation induction of Akt in response to HP and in response to insulin were consistently greater compared to control throughout the experiments, this failed to reach statistical significance. The sample size for the Western blots was 3 ($n=3$), which may be a factor in lack of sensitivity on statistical analysis.

This ought to be considered a limitation of our experiments. However, we note that insulin

provided a very strong phosphorylation response, and 10 μ M LY294002 attenuated this Akt phosphorylation, both of which was consistent with previous reports in Akt phosphorylation observed in *in vitro* neonatal rat cardiomyocyte models (Aikawa et al, 2000). Further, LY294002 has a broad specificity against PI3K, and has also been observed to inhibit additional pathways, e.g. lipid-kinases (Arrowsmith et al, 2015). More selective and sub-group specific inhibitors are available, and further work may include inhibition of respective components within the PI3K-induced cascades.

In subsequent Western blots, we explored the modulation of the respective phosphoprotein isoforms of Akt and ERK 1/2 due to Dox alone at various time points of treatment. However, our analyses were limited by poor quality blots despite optimising blot conditions. The results of our experiments revealed no discernible response for phosphorylation of PI3K/Akt 15 minutes, 4 hours, and 18 hours after introduction of Dox alone. This differs from data observed by other groups (Gharanei et al, 2013a). In this regard, it is necessary to highlight that the sample size with which we explored Dox-induced modulation of PI3K/Akt was small ($n=2$). Moreover, as our initial characterising experiments established, the volume of total and phosphoprotein content were low, and therefore strict adherence to protocol to limit sample volume and to optimise blotting conditions are necessary. Although the possibility exists that Dox-treatment alone does not induce PI3K/Akt phosphorylation in this model, particularly in light of divergence from a number of previously reported findings (Ichihara et al, 2007), more robust data with larger number of repeat experiments is necessary before confident conclusions can be drawn.

The Western blot analyses for MAPK ERK 1/2 that we carried out to analyse phosphorylation induction in response to HP were similarly challenging, owing to repeated poor signals in two out of three blots for non-phosphorylated (total or t) isoforms of ERK 1/2. This was despite

optimising experimental and blotting conditions. Similar to the blots for HP-induced Akt phosphorylation, statistical analysis failed to identify significance within the limits of the sample size we obtained ($n=3$). Although the levels of phospho-protein content for MAPK ERK 1/2 upon western blotting was most prominent (normalised to loading control α -tubulin levels) for HP, weaker signals were also noted with the positive control insulin and a weak signal was identified in control cells. All three signals were inhibited by PD98059. Since ERK 1/2 may be induced by extracellular stimuli including lysis, mechanical agitation, as well as by tensile stimuli, the stress during cell lysis itself may be a possible explanation for the p-ERK inductions we observed in control cardiomyocytes. Experimental conditions were optimised by incubating cells in ice during protein collection, and lysed in an environment with minimal agitation. However, it is recognised that a certain level of phosphorylation response may be inevitable. Repeat Western blot experiments for phosphoprotein expression for both phosphorylated and total ERK 1/2 may therefore confirm or refute the significance in HP-induced activation of the respective kinase cascades.

As with PI3K/Akt, we explored the phosphorylation status of MAPK ERK 1/2 at 15 minutes, 4 hours and 18 hours after subjecting cardiomyocytes to Dox-treatment. However, although we observed no clear signal to indicate p-ERK induction, caution ought to be exercised in particular light of the fact that the sample size in both experiments were two ($n=2$). As for the PI3K/Akt, it is not possible from this limited data to support or refute whether Dox-treatment alone in this model activates p-ERK, at least in the timescales we explored. This, therefore, requires more rigorous exploration with larger sample size and optimisation of Western blotting protocol to obtain standardised protein content in sample lysate, and to obtain clear signals for both proteins of interest and loading controls. This may be explored in more detail in future work.

Chapter 6. Conclusions, limitations, future directions and significance.

6.1. Summary, limitations, and future directions.

6.1.1. Summary.

In this thesis, we describe the development and characterisation of an *in vitro* primary adult rat cardiomyocyte model that allows us to investigate the cardiotoxicity of doxorubicin. Using this model, we identified hypoxic preconditioning (HP) as an intervention capable of protecting cardiomyocytes against Dox toxicity. We were further able to shed some light into the potential mechanisms through which this protection is mediated, identifying PI3K/Akt pathway as the protein-cascade of interest. Our results offer some support for the observations by other groups which show manipulation and potentiation of the PI3K/Akt pathway as having a role in the protection against anthracycline-cardiotoxicity. We were not, however, able to clarify the role of MAPK ERK 1/2 cascade in Dox-cardiotoxicity or in protection against this. Future work is required to explore further role and activation of status of MAPK ERK 1/2 induction in response to HP in this model, and to explore the respective role in mitigating Dox-toxicity against cardiomyocytes. Further future work is also necessary to clarify the phosphorylation induction of both PI3K/Akt and of MAPK ERK 1/2 *after* Dox treatment to explore the phosphorylation status of the respective kinases. The phosphorylation responses of both PI3K/Akt and of MAPK ERK 1/2 over longer timescales following HP ought to be explored. Further, future work ought to be carried out using more specific inhibitors of PI3K/Akt, and will be of immense interest.

Of the described mechanisms of toxicity of Dox that we explored, the experiments we carried out failed to identify a pathological role for oxidative stress, of alterations to the $\Delta\Psi_m$, or a role for increased vulnerability of the mPTP to transition as an explanation of doxorubicin-induced cardiomyocyte death in the model we developed and characterised. However, over the course

of our investigations, we found that Dox-treatment altered the capacity of CsA to protect the mPTP against induction from sensitising agents, possibly indicating a change to the levels or function of Cyp D. Whether such a change is reflective of a more widespread underlying mechanism, e.g. post-translational modification, is unclear. Investigation of the potential mechanisms including post-translational modifications of the proteins constituting the pore would be exciting, but is beyond the scope of this current work. Other putative death mechanisms, including the role of BCL group of death regulators and of p53-induced death pathways were not explored in this current work, but would also be a logical progression in planning of future experiments.

6.1.2. Limitations

A key general limitation in the work undertaken in preparing this report includes variations in the number of repeat experiments and unequal sample distribution. In some experiments, including in establishing initial cell-model of doxorubicin-toxicity, and in evaluating the effect of IR-injury in doxorubicin-stressed cardiomyocytes, the sample sizes (n value) were unequal and small, to the order of $n=2$. This reduces statistical power of the experiments (Kim, 2014). In our experimental set up, we attempted to address this potential problem by establishing all experiments in duplicate, and utilised Students t -test in statistical analysis where the n value in the experiments were very low. However, consistent observations in subsequent repeat experiments with similar treatment groups over the course of the experiments described above allowed us a measure of confidence in the results we observed. Future work ought to consider predefining acceptable significance levels (α -value) and precalculation of sample size in order to optimise experimental planning and robustness of the results.

A second limitation that ought to be highlighted is the lack of delineation between the canonical cell-death pathways, particularly between necrosis, apoptosis, and necroptosis. Further, contribution of altered autophagic flux in the injury due to Dox was not probed nor delineated

in this report. Emerging evidence suggest that anthracycline cardiotoxicity on the myocardium is the culmination of a complex interplay of these canonical death pathways, but characterising and investigating the individual contributions of these was beyond the scope of our work, in the model we characterised. Particularly, the lack of information on the extent of necrosis and apoptosis in this model necessitated a broad approach in exploring the known toxicity mechanisms. However, future work ought to consider the identification of necrotic or apoptotic death pathways as a key part of experimental setup, since this is directly relevant in light of our data which showed deviation from “classic” ANTC-toxicity descriptors, including a question over the role for ROS-scavenging and on the role of the mPTP in induction of cardiomyocyte-death in this model. Approaches currently in use in molecular biology, e.g., Cytochrome C levels, LDH assays or estimation of early markers of necrosis e.g., HMGB1 levels may be used to assess necrotic cell-death, while approaches e.g., annexin staining, TUNEL assay for DNA laddering, or Caspase 3 assay may provide insight into apoptotic death pathway in the model we have developed and characterised. Moreover, since Dox also induces early degradation of intracellular proteins, the role of autophagy maybe explored through e.g. myosin light chain isoform (LCII to I) ratios. These are potential topics that ought to be reflected on in future work.

In the work described above, we established an *in vitro* model of doxorubicin-toxicity on isolated cardiomyocytes. Anthracycline cardiotoxicity, as discussed earlier, is characterised by early asymptomatic sub-clinical changes which may reflect the physiological compensatory capacity of the intact heart. We used a pure culture of terminally differentiated adult mammalian ventricular cardiomyocyte as our model to investigate the cell-type predominantly at risk of injury, and known to concentrate anthracyclines the most within the intact myocardium. However, this necessarily limits the physiological translation of the results of our experiments. The adult mammalian heart is a composite not only of mature cardiomyocytes, but also of a support network of endothelial cells, fibroblasts, immune-cells including resident

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and recruited macrophages, as well as a potential reservoir of smaller population of immature or senescent progenitor cells. It is therefore necessary to reconcile the results we observe in both other *in vitro* models, e.g., neonatal rat ventricular myocytes, as well as in higher physiological models, e.g. *ex vivo* models, for example Langendorff-apparatus perfused heart systems. Exploring the work we describe in different cell model is particularly relevant since ARVMs display a high basal cell-death, although this phenomenon is particularly relevant in cell-culture established to time scales exceeding 24 hours (Louch et al, 2011). In our experiments, we attempted to address this by establishing minimum acceptability criteriae, and excluding experiments from analyses where basal cell-death exceeded 20% after 24 hours. It may be argued that an additional approach possible would have been to evaluate cell-death at beginning of the experiment and normalising this to basal-cell death at the end of experimental procedure. Moreover, in some experiments, e.g., evaluation of the role and induction of the RISK kinases in relation to doxorubicin-toxicity, our findings diverge from those described by other groups, some of which have been carried out in different models, e.g., *ex vivo* models used by Gharanei et al and Montaigne et al. Therefore, repeating our experiments in both immature cell-lines, e.g., NRVMs, as well as in *ex vivo* and *in vivo* models will allow examination of the robustness of the data we gathered, as well as reconciliation of the results and differences with those described by other groups in differing models. Further, in exploring the protective potential of HP against Dox-induced cell-death *in vitro*, we did not implement a positive control. In future experimental work, therefore, a known inducer of the RISK kinases, e.g., insulin, ought to be considered when evaluating the role of the respective protein cascades PI3K/Akt and MAPK ERK 1/2. The results should be of interest not only to the molecular biologist exploring mechanism of ANTC-cardiac injury, but also hold direct relevance to the clinical practice in oncology and cardiology today.

6.1.3. Clinical significance.

Approaches to potentiating PI3k cascade, such as genetic manipulation, e.g. as reported by Kitamura et al (2014), are impractical in humans as a cardioprotective intervention, and further unlikely to be cost-effective. However, these findings are exciting since it is well established that RISK pathway activation and cardioprotection is also elicited by the application of a preconditioning stimulus to an organ or limb remote from the heart (Hausenloy and Yellon, 2016). This intervention, called remote ischaemic preconditioning (RIPC), reduces ischaemia-reperfusion (IR) injury, and decreases peak troponin levels in patients undergoing thrombolysis for ST-elevation myocardial infarction (Yellon et al, 2015). The role of RIPC in protection against anthracycline-cardiotoxicity are indeed under investigation (Chung et al, 2015), and the results will be of immense interest. Moreover, lifestyle interventions, for example exercise preconditioning are postulated to share common biomolecular pathways to activation of what is considered the second window of preconditioning, and therefore will be of immense interest to both the clinician and the molecular biologist in translating into practical intervention against anthracycline-mediated cardiac injury.

Appendix a. Buffers for adult rat ventricular myocytes isolation.

a. Perfusion buffer.

Salts	Molarity (mM)	g/500ml	g/L	g/2L
NaCl	130	3.79	7.59	15.18
KCl	5.4	0.2	0.4	0.8
MgCl ₂	1.4	0.066	0.133	0.266
Na ₂ HPO ₄ (anhydrous)	0.4	0.028	0.057	0.114
HEPES (not HEPES sodium)	4.2	0.5	1	2
pH to 7.3 at 37°C				
Glucose †	10	0.9	1.8	3.6
Taurine †	20	1.25	2.5	5
Creatine †	10	0.655	1.31	2.62

Table 1. Composition of perfusion buffer solution used to isolate cardiac myocytes.

b. Isolation buffers.

Solution	Final concentration	Addition
1 (to clear heart of blood)	750µM CaCl ₂	37.5µl of 1M CaCl ₂
2 (to arrest heart)	100µM EGTA	2mg of EGTA
3 (to digest heart)	100µM CaCl ₂ and 0.5mg/ml Collagenase	40µl of 100mM CaCl ₂ 15-20mg of collagenase <i>18mg is good</i>
4 (to re-introduce CaCl ₂)	0.5mM CaCl ₂	12.5µl of 1M CaCl ₂
5 (to re-introduce CaCl ₂)	1m CaCl ₂	25µl of 1M CaCl ₂

Table 2. Solutions used and the purposes during isolation process for adult rat ventricular myocytes in modified Langendorff apparatus.

Appendix b. Representative photographs of cardiomyocytes following different treatment protocols.

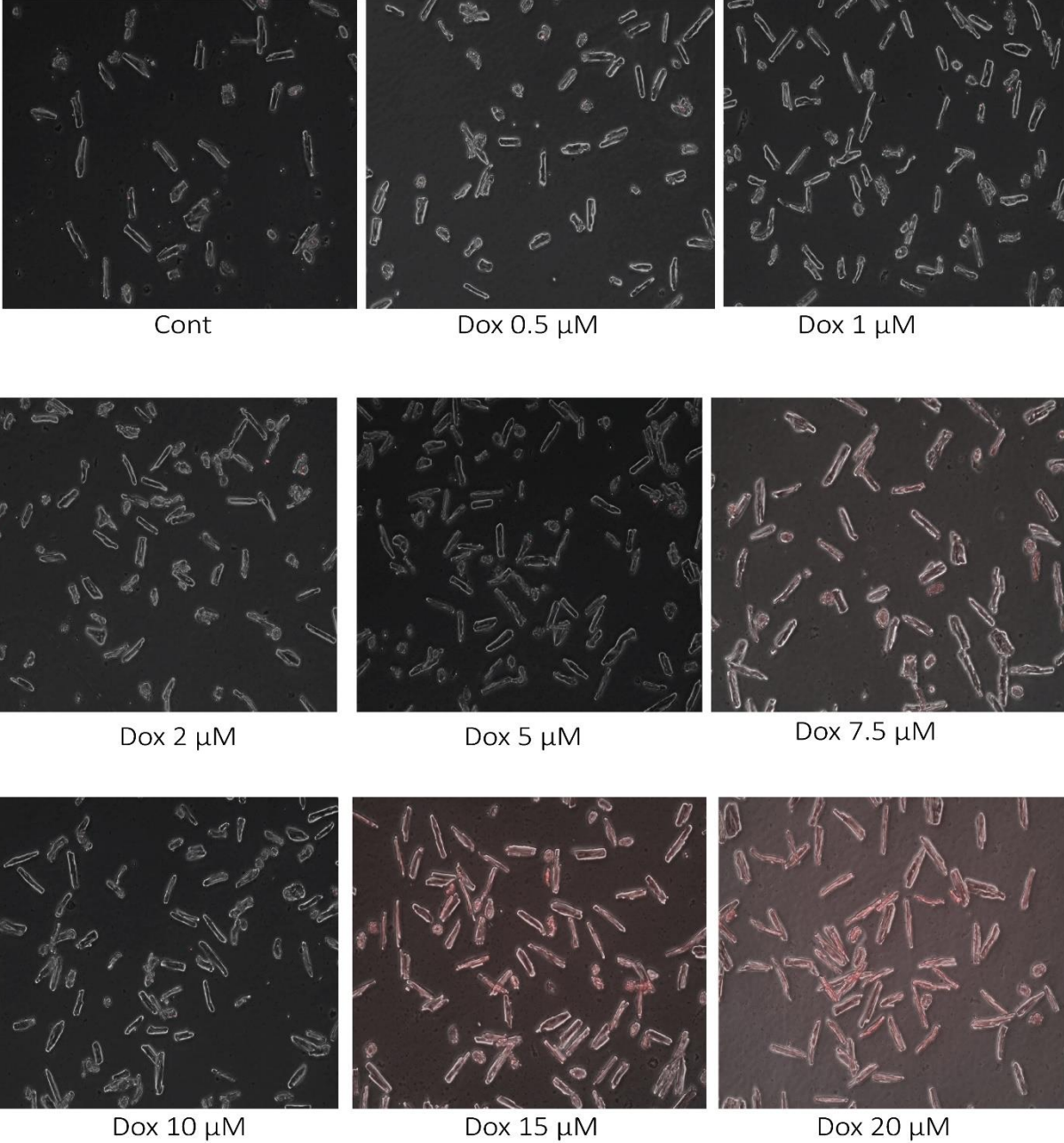


Fig. I. Cell-death following 4 h exposure to various concentrations of Doxorubicin.

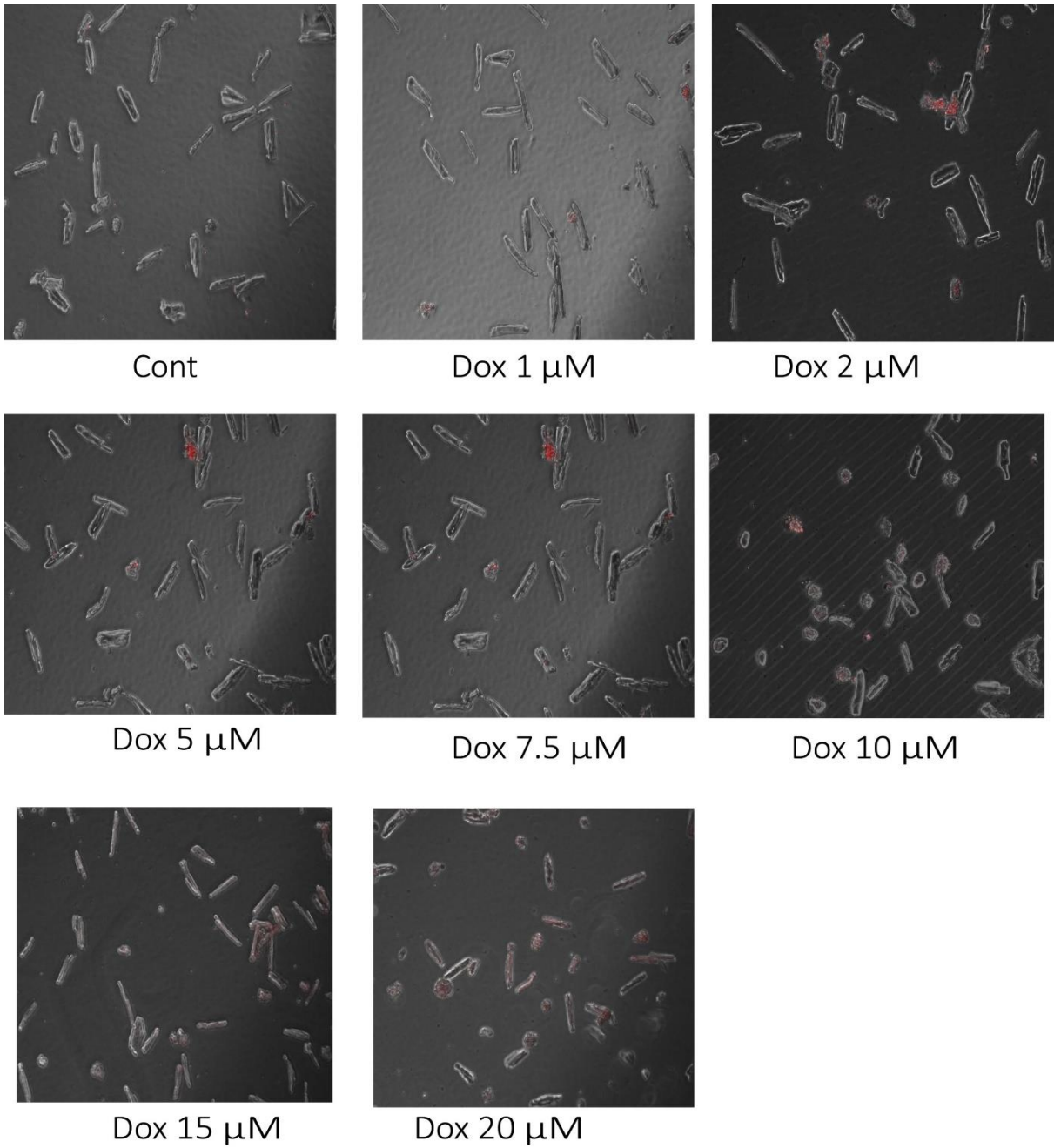


Fig. II. Cell-death with various concentrations of Doxorubicin after exposure for 18 h.

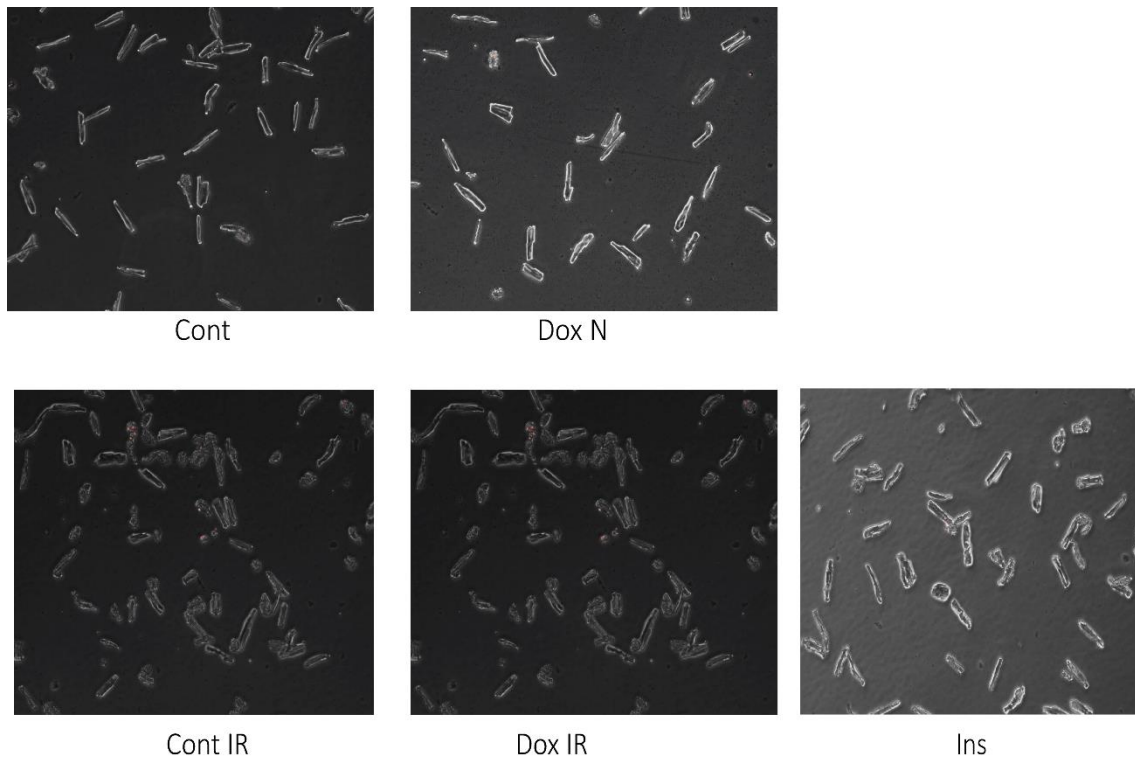


Fig. III. Ischaemia reperfusion induced cell-death following 4 h pretreatment with 2 μ M Doxorubicin.

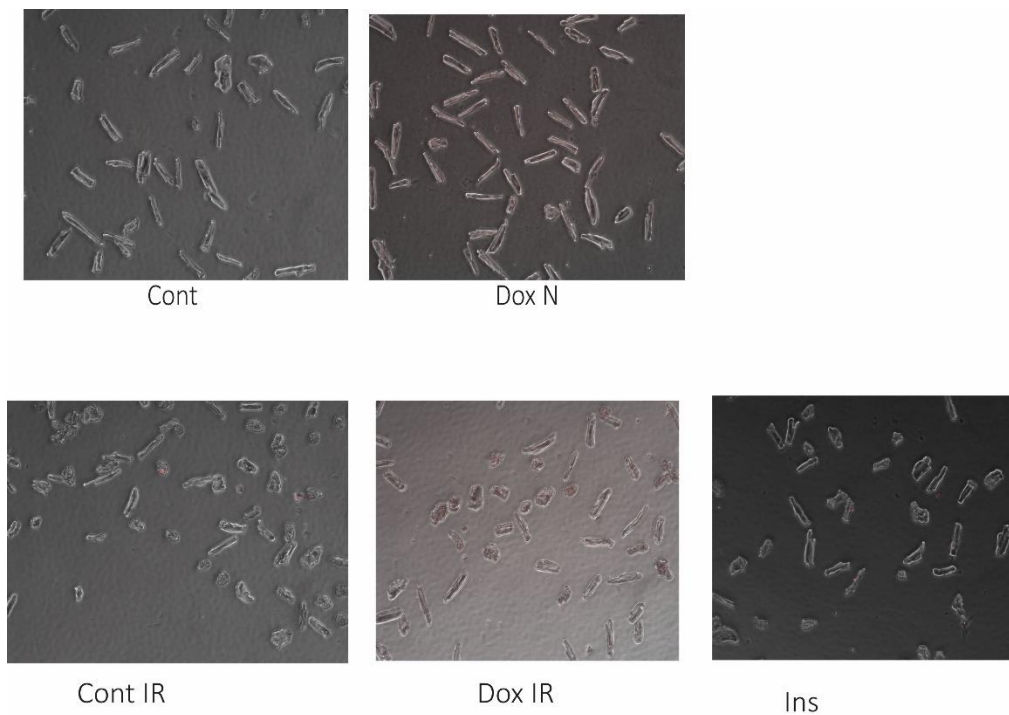


Fig. IV. Ischaemia reperfusion induced cell-death following 18 h pretreatment with 2 μ M Doxorubicin.

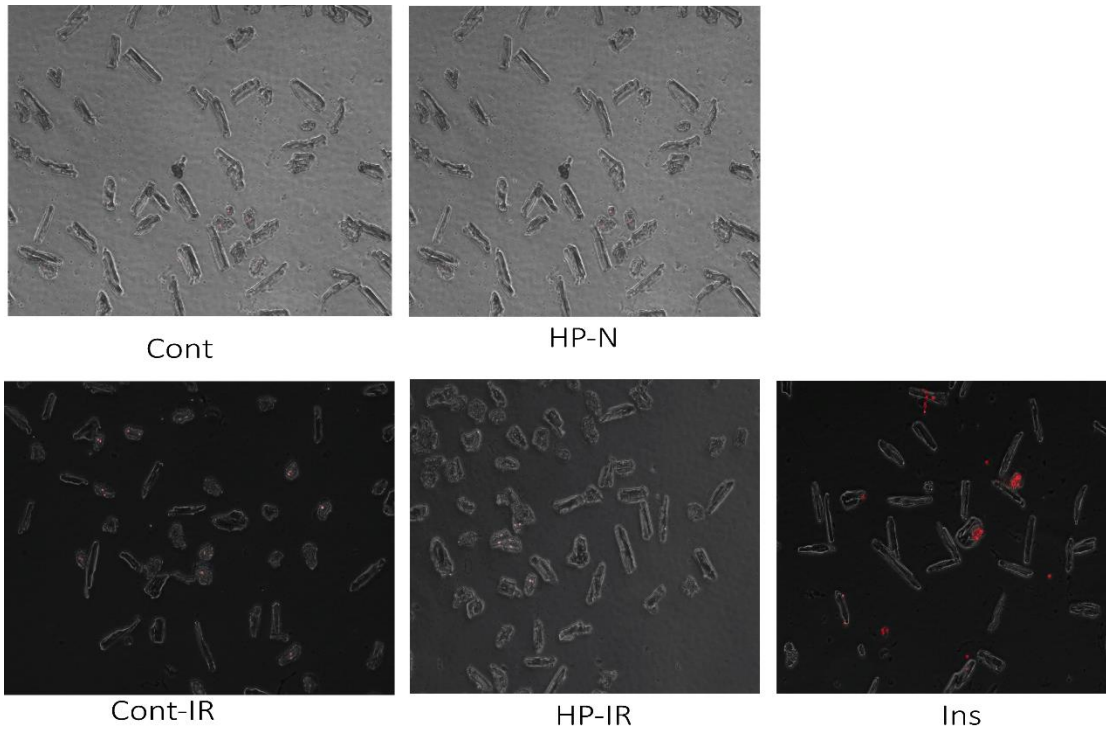


Fig. V. Ischaemia-reperfusion induced cell-death in preconditioned cardiomyocytes (HP protocol 1).

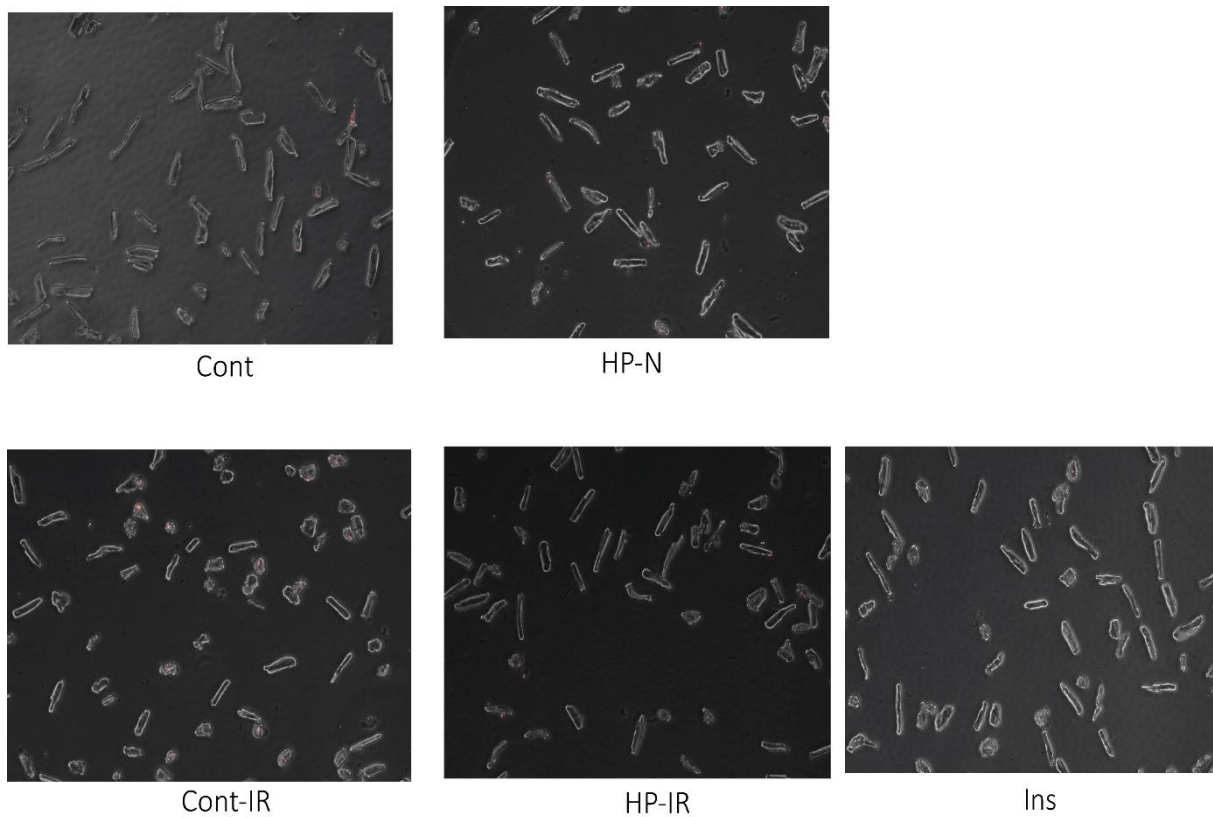


Fig. VI. Ischaemia-reperfusion induced cell-death in the second window in preconditioned cardiomyocytes (HP protocol 2).

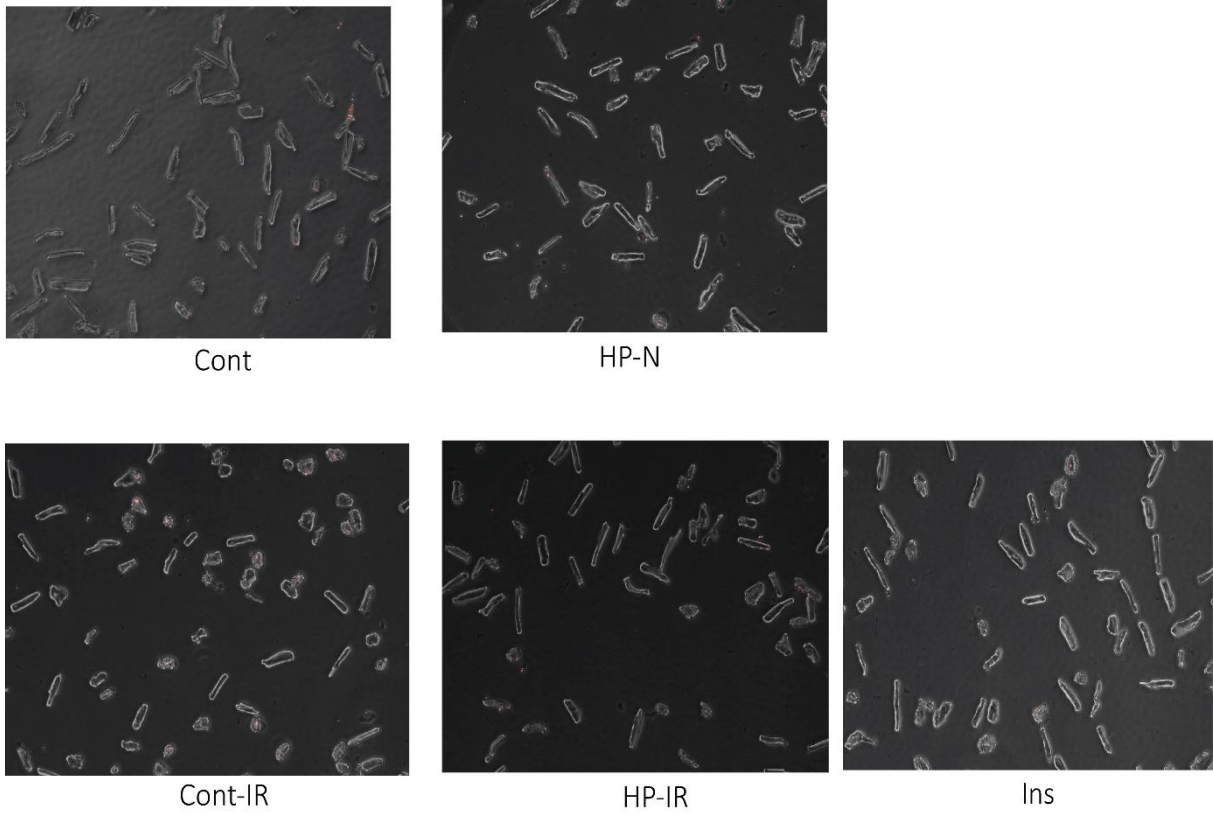


Fig. VII. Ischaemia-reperfusion induced cell-death in the first window in preconditioned cardiomyocytes (HP protocol 2).

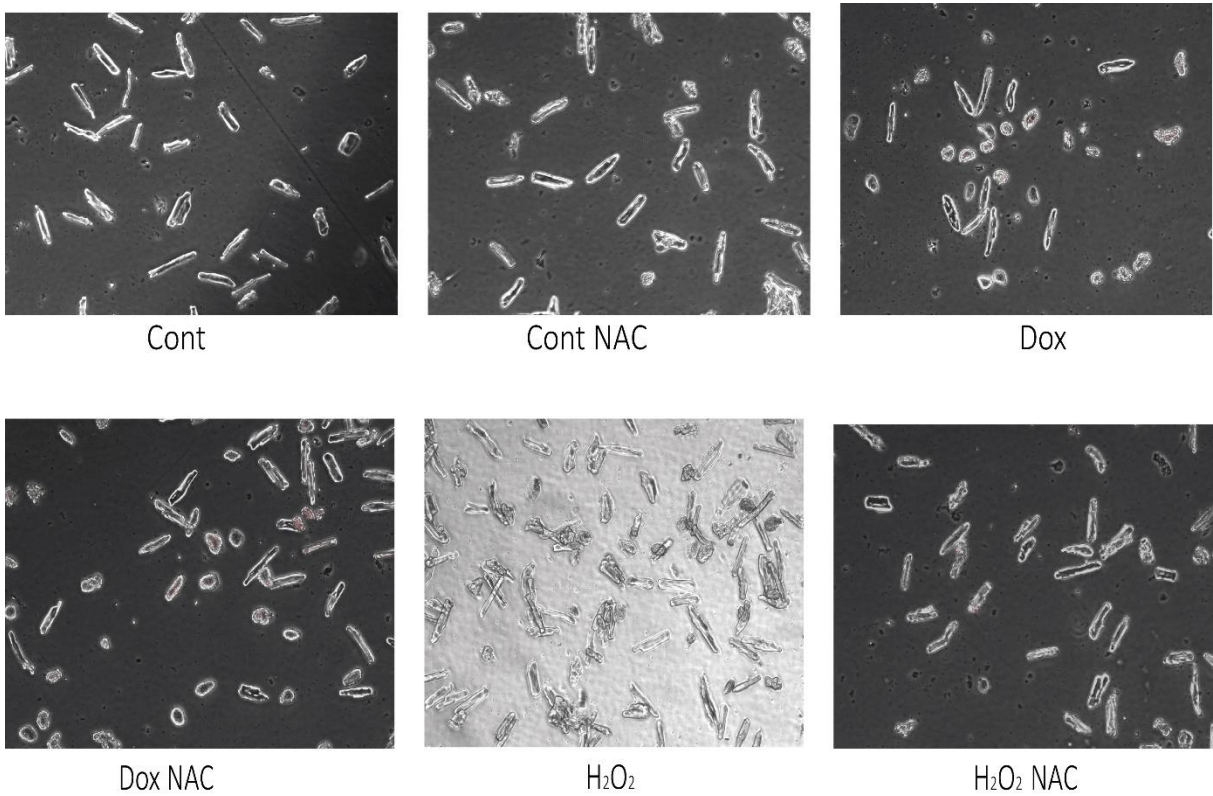


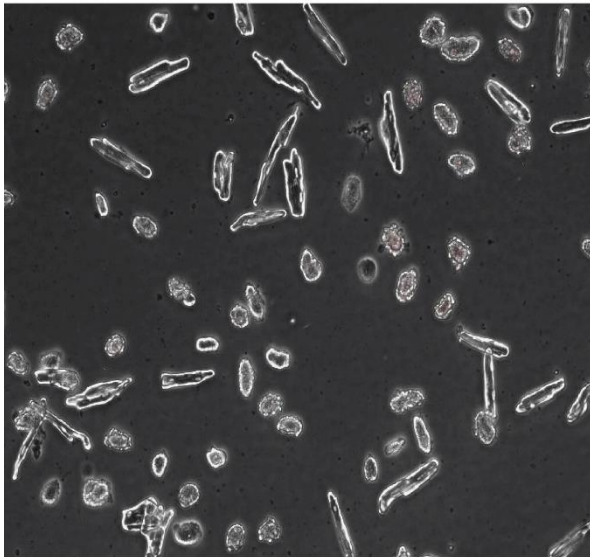
Fig. VIII. Cell-death in cardiomyocytes subjected to 7.5 μM Doxorubicin and to 1 μM H_2O_2 , with and without 250nM N-acetyl cysteine (NAC) for 18 h.



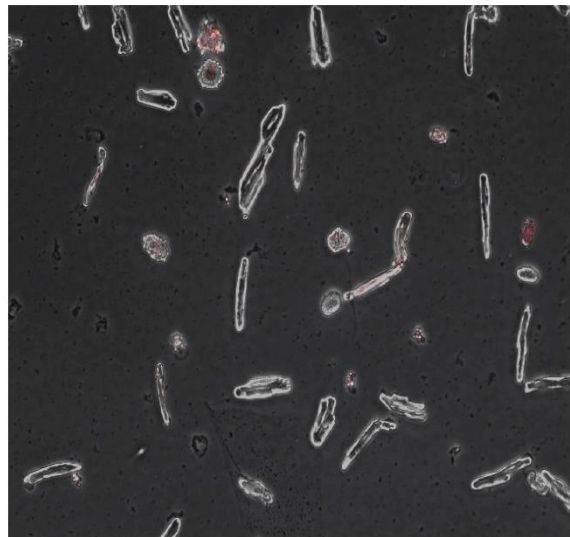
Cont



Cont CsA



Dox



Dox CsA

Fig. IX. Cell-death in cardiomyocytes subjected to 18 h of 7.5 μ M Doxorubicin (Dox) with and without 1 μ M Cyclosporine A (CsA).

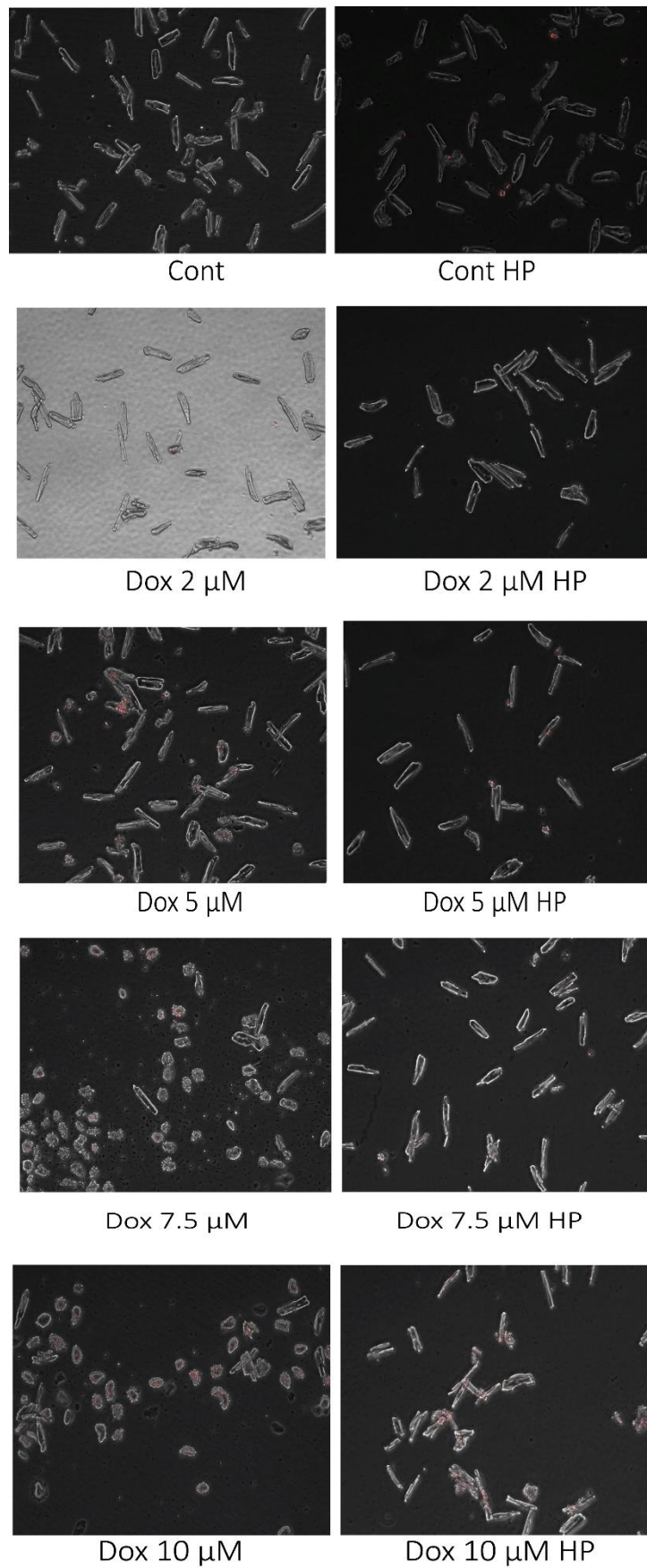


Fig. X. Cell-death after 18 h with various concentrations of Dox in preconditioned (HP) and non-preconditioned cells.

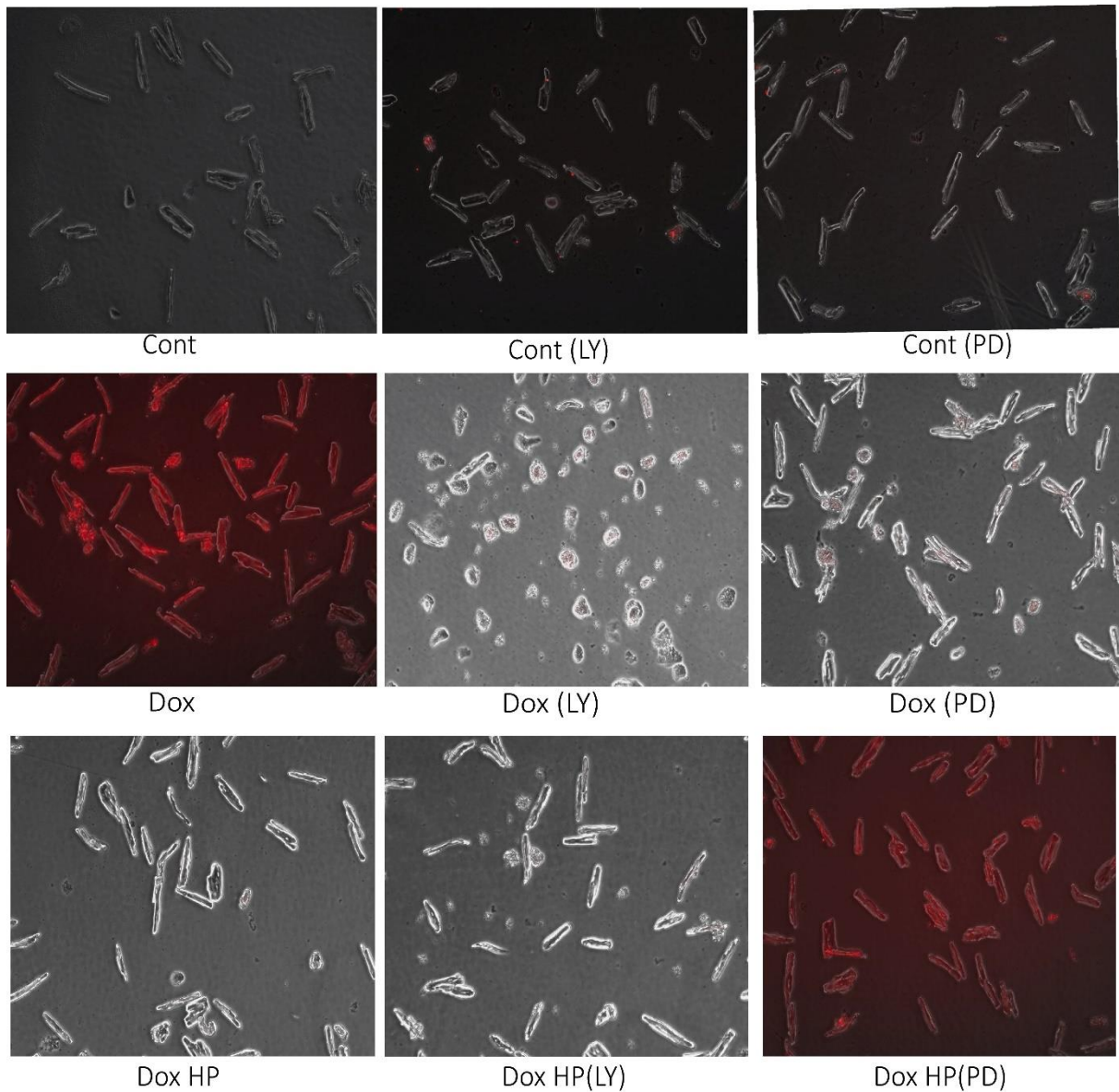


Fig. XI. Influence of 10 μM LY294002 (LY) and 30 μM PD98059 (PD) on preconditioned (HP) and non-preconditioned cells subjected to 18 h of 7.5 μM Doxorubicin (Dox).

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