

#### Manuscript version: Author's Accepted Manuscript

The version presented in WRAP is the author's accepted manuscript and may differ from the published version or Version of Record.

#### Persistent WRAP URL:

http://wrap.warwick.ac.uk/125579

#### How to cite:

Please refer to published version for the most recent bibliographic citation information. If a published version is known of, the repository item page linked to above, will contain details on accessing it.

#### **Copyright and reuse:**

The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions.

Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

#### **Publisher's statement:**

Please refer to the repository item page, publisher's statement section, for further information.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk.

1	Chestnut-crowned babbler calls are composed of meaningless shared building blocks
2	
3	Sabrina Engesser <sup>a,b *</sup> , Jennifer L. Holub <sup>c</sup> , Louis G. O'Neill <sup>d,e</sup> , Andrew F. Russell <sup>e†</sup> &
4	Simon W. Townsend <sup>a,b,f</sup> <sup>†</sup>
5	
6	<sup>a</sup> Department of Comparative Linguistics, University of Zurich, 8032 Zurich, Switzerland
7	<sup>b</sup> Center for the Interdisciplinary Study of Language Evolution, University of Zurich, 8032
8	Zurich, Switzerland
9	<sup>c</sup> Fowlers Gap Arid Zone Research Station, School of Biological, Earth & Environmental
10	Sciences, University of New South Wales, NSW 2052, Australia
11	<sup>d</sup> Department of Biological Sciences, Macquarie University, NSW 2109, Australia
12	<sup>e</sup> Centre for Ecology & Conservation, College of Life & Environmental Sciences, University of
13	Exeter, Penryn, Cornwall TR10 9FE, United Kingdom
14	<sup>f</sup> Department of Psychology, University of Warwick, Coventry CV4 7AL, United Kingdom
15	
16	<sup>†</sup> These authors share last authorship
17	
18	* Corresponding author
19	Email: sabrina.engesser@outlook.com, phone: +41 (0)44 634 0223
20	
21	Short title: Building blocks of babbler multi-element calls
22	
23	Keywords: Language evolution, phonology, combinatoriality, vocal communication,
24	habituation-discrimination

#### 25 Abstract

26 A core component of human language is its combinatorial sound system: meaningful signals are 27 built from different combinations of meaningless sounds. Investigating whether non-human 28 communication systems are also combinatorial is hampered by difficulties in identifying the 29 extent to which vocalizations are constructed from shared, meaningless building blocks. Here we 30 present a novel approach to circumvent this difficulty and show that a pair of functionally distinct 31 chestnut-crowned babbler (*Pomatostomus ruficeps*) vocalizations can be decomposed into 32 perceptibly distinct, meaningless entities that are shared across the two calls. Specifically, by 33 focusing on the acoustic distinctiveness of sound elements using a habituation-discrimination 34 paradigm on wild-caught babblers under standardized aviary conditions, we show that two multi-35 element calls are composed of perceptibly distinct sounds that are reused in different 36 arrangements across the two calls. Furthermore, and critically, we show that none of the five 37 constituent elements elicits functionally relevant responses in receivers, indicating that the 38 constituent sounds do not carry the meaning of the call; so are contextually meaningless. Our 39 work, which allows combinatorial systems in animals to be more easily identified, suggests that 40 animals can produce functionally distinct calls that are built in a way superficially reminiscent of 41 the way that humans produce morphemes and words. The results reported lend credence to the 42 recent idea that language's combinatorial system may have been preceded by a superficial stage 43 where signalers neither needed to be cognitively aware of the combinatorial strategy in place, nor 44 of its building blocks.

# 45 **Significance statement**

46 Word generation in human language is fundamentally based on the ability to use a finite set of 47 meaningless sounds in different combinations across contexts. Investigating whether animals 48 share this basic capacity has been hampered by difficulties in identifying the extent to which 49 animal vocalizations can be decomposed into smaller meaningless, yet shared sounds. Using a 50 novel implementation of habituation-discrimination experiments, we show for the first time that a 51 pair of functionally distinct chestnut-crowned babbler (Pomatostomus ruficeps) vocalizations are 52 composed of perceptibly distinct, contextually meaningless sounds that are shared across the 53 different calls. We conclude that the individual sounds represent building blocks that generate 54 meaning when combined in a particular way, akin to word formation in human language. 55 \body

## 56 Introduction

77

57 A universal feature of human language is its combinatorial structure: a finite set of perceptibly 58 distinct, meaningless sounds (building blocks) can be productively recombined to create a 59 theoretically limitless set of meaningful signals [1]. One way to elucidate candidate origins 60 and/or early forms of the combinatorial feature of language is to test for analogues in the basic 61 process that underpins combinatoriality in the vocalizations of non-human animals [2]. While 62 animals are clearly able to communicate using combinatorial vocal signals [3-8], whether they 63 use meaningless sound elements in different arrangements to generate new meaning is 64 contentious [9, 10]. This contention stems from two sources. First, from ambiguous associations 65 between sound arrangements and meaning: for example, although animal songs are often 66 composed of smaller sound units in different arrangements, precise arrangements are not known 67 to underpin context-specific, or 'propositional', meaning [10-12]. Second, it also stems from 68 difficulties of identifying whether functionally distinct vocalizations can be comprised of a 69 recombinatorial system of shared meaningless sounds (i.e. building blocks) [13-16]. 70 The traditional approach used to deconstruct the building blocks of the combinatorial 71 sound system of human language is through the analysis of minimal pairs: pairs of semantically 72 distinct words that differ in a *single* meaningless sound element, for example 'lap' versus 'tap' 73 [9, 17]. The elements that differ in minimal pairs, in this case t/ and l/, are semantically 74 meaningless, but are what serve to differentiate the meaning encoded in the two words. By 75 extension, /t/ and /l/ must each represent distinct, meaning-contrasting sounds. This minimal pairs

approach is feasible in human language because its sound elements are present in a plethora of

permutations, such that each one used, and the role it plays in differentiating meaning, can be

78 contrasted systematically with others in the repertoire [18]. However, this approach becomes

79 unfeasible for communication systems where different sounds are not productively recombined

and occur in prohibitively few combinations to allow direct contrasts of the impacts of single
sounds on meaning to be made. Given that the productive usage of different sounds is likely a
derived language-specific trait and is not a known feature of animal communication [13], an
alternative method is required to test whether functionally distinct vocal signals are built from
recombinations of shared sounds that are meaningless in isolation – the hallmark of
combinatoriality in human language.

86 We propose that testing whether individuals perceive sound elements within and across 87 functionally distinct calls as acoustically different or equivalent can also serve to decompose the 88 potential building blocks of an animal's vocal system. Further, this approach can be implemented 89 using established habituation-discrimination paradigms previously applied for speech-sound 90 perception in human infants [19] and to assess the information content of whole calls in animals 91 [20-23]. The utility of this habituation-discrimination approach to unpacking the characteristics 92 of elements within calls is based on recent simulations on the emergence of combinatorial signals 93 that define combinatorial structures using trajectories through acoustic and perceptual space [13, 94 18, 24]. In such simulations, the distance between points along trajectories of acoustic space 95 reflect confusion probabilities, and hence the perceptual discreteness of sound elements. 96 Accordingly, sound elements that are so close in acoustic parameter space so as to be easily 97 confused are in essence perceptibly equivalent, while those that are more distant and seldom 98 confused are essentially distinct. The advantage of this approach is that by focusing on sound 99 discrimination and sharing within and across functionally distinct calls, comparative work 100 investigating whether animal signals are composed of meaningless, recombinatorial entities (or 101 building blocks) becomes feasible; with the potential to shed important light on the origins of 102 combinatoriality.

103

Our overall aim is to use this new approach to test whether a pair of structurally similar

104 but functionally distinct vocalizations of the chestnut-crowned babbler (*Pomatostomus ruficeps*; 105 Fig 1A) can be decomposed into perceptibly distinct, contextually meaningless entities that are 106 shared across the two calls – the defining feature of combinatoriality. The two calls of this highly 107 social passerine bird from inland southeastern Australia [25] in question are: bi-element flight 108 calls which are uttered when a bird flies off and which function to coordinate group movement 109 (composed of the elements  $F_1F_2$ ; Fig 1B); and tri-element prompt calls which are produced by an 110 individual when entering the breeding nest in order to stimulate nestling begging during food 111 provisioning (composed of the elements  $P_1P_2P_3$ ; Fig 1B) [26, 27]. The functional distinction 112 between the two calls is confirmed in playbacks on wild birds in on-site aviaries: flight calls 113 induce greater movement and looking outside the aviary, presumably in response to an 114 anticipated incoming bird, while prompt calls induce an 8-fold increase in the amount of time 115 spent looking at a nest placed inside the aviary, presumably because of the natural association 116 between nests and prompt calls [14]. Further, none of the five elements in the two calls is known 117 to be used as stand-alone calls despite >1000 h of recordings in all known socio-ecological 118 contexts, and all differ significantly from uni-element short-distance contact calls used to 119 maintain contact and spacing during feeding [26]. Finally, previous aviary playback experiments 120 also suggested that the distinct meaning encoded in these two multi-element calls is generated by 121 the specific arrangement of the constituent sound elements [14]. However, what is not known is 122 whether or not the constituent elements within these multi-element calls are: (a) perceptibly 123 distinct within calls; (b) perceptibly equivalent across calls; and (c) contextually meaningless. 124 Each of these three facets is required to resolve whether functionally distinct calls are built from 125 smaller, perceptibly distinct and shared, meaningless sounds.

To test these core components of combinatoriality, we used standardized aviary playbacks
on wild-caught chestnut-crowned babblers: (i) to identify which of the five sound elements

128	constituting flight and prompt calls (i.e. F <sub>1</sub> , F <sub>2</sub> , P <sub>1</sub> , P <sub>2</sub> , P <sub>3</sub> ) are perceptibly distinct; (ii) to identify
129	which, if any, are shared across the two calls; and (iii) to investigate whether contextually
130	relevant information is encoded in the individual sound elements. To test element distinction
131	versus equivalence, birds were exposed individually to a habituation-discrimination paradigm
132	(Fig 1C). If two elements (e.g. $F_1 \& F_2$ ) represent perceptibly distinct sounds, we would expect
133	that, after habituating subjects to a series of repetitions of one element (e.g. F <sub>1</sub> ), switching to the
134	other element (e.g. F <sub>2</sub> ) would result in a renewed response, measured by investigating changes in
135	the time subjects spent looking into the direction from which the sounds were broadcast – as is
136	customary in habituation-discrimination approaches [20-23]. On the other hand, a lack of
137	response renewal following the habituation sequence would indicate that the contrasted elements
138	are not discriminated and therefore are perceptibly equivalent sounds. Further, to test whether the
139	five elements constituting flight and prompt calls carry contextually relevant meaning, we
140	analyzed functionally relevant behavioral responses, including vocal responses, during the initial
141	habituation phase of each playback. If elements carry relevant meaning, playbacks of flight call
142	elements would be expected to result in babblers looking outside the aviary more and/or moving
143	around the aviary more (see above [14]), whilst for prompt call elements we would expect an
144	increase in time spent looking at the nest provided (see above [14]).

# 146 **Results**

147 (a) Are calls built from perceptibly distinct sounds?

148 We first tested whether flight and prompt calls are each comprised of distinct sounds by playing

149 back habituation-discrimination sequences of F<sub>1</sub>-F<sub>2</sub> elements from flight calls, and P<sub>1</sub>-P<sub>2</sub>, P<sub>2</sub>-P<sub>3</sub>

and P<sub>1</sub>-P<sub>3</sub> elements from prompt calls to up to 12 birds individually (see Methods). In this

151 experiment, habituation-discrimination sequences were played in natural order to avoid

152	expectancy violation (i.e. discrimination performance being inflated through playing back
153	elements in an unnatural order). Receivers habituated to habituation sequences (each composed
154	of 20 element repetitions played back at three-second time intervals): subjects spent a median of
155	19% ( $IQR = 12,29$ ) of their time looking at the speakers during playbacks of the first two
156	elements in habituation sequences but only 1% ( $IQR = 0,6$ ) of their time doing so during the last
157	two elements of habituation sequences. One-sample Wilcoxon-tests were then used to investigate
158	whether any changes in the proportion of time birds spent looking at the loudspeaker during the
159	end of the habituation phase (last two habituation elements) and the discrimination phase
160	significantly deviated from zero. Values significantly greater than zero indicate that habituation
161	and discrimination elements were perceptibly distinct, while values not significantly different
162	from zero indicate elements were not discriminated (i.e. perceived as equivalent sounds).
163	For the two flight call elements, the proportion of time receivers looked at the speaker
164	increased 6-fold during the discrimination phase, indicating that birds discriminated $F_2$ from $F_1$
165	(V = 36, P = 0.008, N = 11; Fig 2A). As a consequence, we can conclude that the two elements in
166	bi-element flight calls are perceptibly distinct (i.e. $F_1 \neq F_2$ ). By contrast, tri-element prompt calls
167	do not appear to be composed of three distinct elements. Within prompt calls, significant 2 to 4-
168	fold increases in the time spent looking at the speaker during the discrimination phase were found
169	when $P_2$ followed $P_1$ ( $V = 28$ , $P = 0.016$ , $N = 9$ ; Fig 2A) and when $P_3$ followed $P_2$ ( $V = 55$ ,
170	P = 0.002, $N = 10$ ; Fig 2A). However, there was no significant change in the proportion of time
171	spent looking at the speaker between the end of the habituation phase and the discrimination
172	phase when P <sub>3</sub> followed P <sub>1</sub> ( $V = 11$ , $P = 0.69$ , $N = 10$ ; Fig 2A). These results suggest that the first
173	and third prompt call elements are perceptibly equivalent, and that both are distinct from the
174	second prompt call element.

To confirm the precise make-up of prompt calls, we conducted two further analyses. First,

176 a Friedman test confirmed that there was a significant difference between the extent to which birds discriminated the three contrasted elements in prompt calls ( $\chi^2_2 = 10.6, P = 0.005, N = 7$ ). 177 178 Second, post-hoc two-sample Wilcoxon tests were used to compare the differences in the changes 179 in the proportion of time birds spent looking at the speaker during the last two habituation stimuli 180 versus the first two discrimination stimuli across each of the three sets of contrasted elements. 181 These analyses confirmed: (a) that birds did not significantly differ in the extent to which they 182 distinguished P<sub>1</sub> from P<sub>2</sub> versus P<sub>2</sub> from P<sub>3</sub> (V = 10, adjusted P = 0.16, N = 9; P value adjusted for 183 multiple post-hoc testing; Fig 2A); but (b) that responses to  $P_2$  following  $P_1$  and to  $P_3$  following 184  $P_2$  were both greater than responses to  $P_3$  following  $P_1$  ( $P_1$ - $P_2$  vs.  $P_1$ - $P_3$ : V = 28, adjusted P = 0.031, N = 7; P<sub>2</sub>-P<sub>3</sub> vs. P<sub>1</sub>-P<sub>3</sub>: V = 36, adjusted P = 0.023, N = 8; Fig 2A). Thus, we are 185 186 confident that the tri-element prompt call is composed of two perceptibly distinct sound types, 187 with  $P_1 = P_3$ , but  $P_1$  and  $P_3$  to an equal extent  $\neq P_2$ .

188

# 189 (b) Are perceptibly equivalent sounds shared across calls?

Critical to elucidating whether multi-element calls ostensibly comprise building-blocks is to test 190 191 whether elements are shared across functionally distinct calls. To investigate whether this is the 192 case for flight and prompt calls, a different set of up to 13 birds received habituation-193 discrimination sequences comprising combinations of the two flight and three prompt call 194 elements (see Methods). These were  $F_1$  and  $P_2$ ,  $F_2$  and  $P_1$ ,  $F_2$  and  $P_3$ ,  $P_{1/3}$  and  $F_1$  – with the 195 elements used as habituation and discrimination stimuli, in this case, alternated because we 196 wished to ensure that any expectancy violation was comparable across contrasts. Again, evidence 197 for habituation during habituation phases was shown, with birds decreasing the percentage of 198 time spent looking at the loudspeaker from a median of 17% (*IQR* = 10,30) to a median of 3%199 (IQR = 0.8) between the beginning and end of the habituation sequences.

200 Subsequent one-sample Wilcoxon-tests, comparing the change in the proportion of time 201 looking at the speaker between the last two elements of habituation phases and the first two 202 elements of discrimination phases against a null expectation of zero, revealed that the two distinct 203 flight call elements were each perceptually equivalent to at least one of the prompt call elements. 204 In three of the four comparisons, the proportion of time spent looking at the loudspeaker did not 205 significantly increase between the last two stimuli of the habituation phase and the discrimination 206 phase. Specifically, we found F<sub>1</sub> to be perceptually equivalent to P<sub>2</sub> (V = 18, P = 0.58, N = 12; Fig 2B), and F<sub>2</sub> to be perceptibly equivalent to both P<sub>1</sub> (V = 2, P = 0.19, N = 10; Fig 2B) and P<sub>3</sub> 207 208 (V = 27, P = 0.65, N = 9; Fig 2B). In contrast, the proportion of time birds spent looking at the 209 loudspeaker increased by 4-fold when the prompt call element P<sub>1</sub> or P<sub>3</sub> (which are equivalent, see 210 above) was contrasted with the flight call element  $F_1$ ; meaning that  $P_1/P_3$  are distinct from  $F_1$ 211 (V = 55, P = 0.002, N = 11; Fig 2B). Thus, these results indicate that bi-element flight calls and 212 tri-element prompt calls both consist of the same two sound types: the first flight and second 213 prompt call elements are perceptibly equivalent (i.e.  $F_1 = P_2$ ), as are the second flight and both 214 first and third prompt call elements (i.e.  $F_2 = P_1 = P_3$ ). In other words, flight and prompt calls 215 comprise the same two building blocks in different combinations.

216

# 217 (c) Do sound elements carry contextual meaning?

In human languages, meaningful signals are built from recombinations of meaningless sounds. To test whether or not the constituent elements of flight and prompt calls carry context-specific meaning, we measured the vocal responses and activity budgets of birds during the first two habituation stimuli of each playback (i.e. H-start, Fig 1C). First, we found no evidence to suggest that playbacks induce birds to respond with either flight or prompt calls: the median number of each call given during the 6 s period of the 82 playbacks included, was zero (IQR = 0.0). Second,

224 we found no evidence to suggest that birds modify key behaviors in response to the playbacks. 225 For example, we have previously shown that playbacks of flight calls on lone individuals in the 226 aviary environment cause individuals to move around the aviary and to look outside more, while 227 prompt call playbacks cause birds to look more at a nest in an upper corner of the aviary [14]. 228 Here, by contrast, individuals spent little time engaging in behaviors of relevance during the 6 s 229 of each playback analyzed, spending on average: 1.3 s (SD = 1.1) of their time in-movement; 1.3230 s (SD = 1.2) looking outside the aviary; and 0.07 s (SD = 0.3) of their time looking at the nest. In 231 addition, the amount of time individuals spent engaged in each of these behaviors was 232 independent of the precise element played (F1, F2, P1, P2, P3) (Linear Mixed Model: behavior \* element interaction,  $\chi^2 = 9.48$ , DF = 8, P = 0.30; Fig 3A) as well as whether or not the elements 233 234 played were from a flight call (F elements) or a prompt call (P elements) (LMM: behavior \* element interaction  $\chi^2 = 1.93$ , DF = 2, P = 0.38; Fig 3B). Thus, babblers do not seem to extract 235 contextually meaningful information from the sound elements of the two calls when played back 236 237 in isolation.

238

## 239 **Discussion**

240 Using a novel application of the established habituation-discrimination paradigm, we here 241 demonstrate that a pair of functionally distinct, multi-element calls produced by chestnut-242 crowned babblers are composed of two perceptibly distinct, contextually meaningless sounds, 243 which are shared across the two vocalizations. Specifically, we show that the first element from 244 bi-element flight calls is distinct from its second element but equivalent to the second element 245 from tri-element prompt calls. Further, the second flight call element is equivalent to the first and 246 third prompt call elements. In addition, none of the individual elements that make up these two 247 calls elicits differential vocal or behavioral responses of relevance in receivers. For example,

subjects rarely responded to playbacks with flight or prompt calls, with a total of just nine such calls recorded across the 82 x 6 s playbacks. Moreover, babblers spent little time engaged in behaviors of relevance and the amount of time they did so was not modified by the element played; which would otherwise be expected if the elements encoded flight or prompt call-related information [14]. Together, these results suggest for the first time, that a non-human animal uses meaningless (shared) building blocks in different arrangements to encode distinct meaning.

254 A core feature of human language is that perceptibly discrete, meaningless sounds are 255 combined in various ways to generate distinct meaning. Testing whether animals use this basic 256 process has been hampered by a focus on minimal pairs as a way to decompose the sound system 257 of a language - that is, identifying building-blocks through a sound's role in differentiating 258 meaning [9, 17]. This approach necessarily requires sounds to occur across a sufficient number of 259 vocalizations to permit meaningful comparisons, which is problematic for largely non-productive 260 communication systems such as those utilized by animals. We demonstrate here that one can 261 identify elements that, in essence, function like building blocks, by rather focusing on the 262 individual perceptibility of sounds used within and across functionally distinct animal calls. We 263 suggest that this novel approach opens up new opportunities to investigate any parallels between 264 animal vocalizations and combinatoriality in human language.

We caution, of course, that any similarities between the combinatorial constructs of animal communication and word generation in human language must be tempered. First, in contrast to the combinatorial structures found in animal communication systems,

combinatoriality in human language is hypothetically open-ended, with finite numbers of
phonemes used in myriad combinations to generate potentially limitless information. Second,
while we have shown previously that at least one element (P<sub>1</sub>) appears to be meaning-contrasting
[14] and we have shown here that elements across babbler calls (including P<sub>1</sub>) can function like

building blocks, confirming that shared elements are meaning-differentiating will always be
challenging in animals. To mitigate this problem, investigations into whether or not animals use
building blocks in their communication systems should limit their comparisons to functionally
distinct calls. This will ensure that constituent elements that are shared also play a potential role
in generating meaning. Third, the building blocks of babbler calls are separated by silence,
whereas in human language, they are not. Whether this is a significant distinction or a likely
precursor is yet to be determined.

279 The acknowledged distinctions between babbler and human combinatoriality 280 notwithstanding, the complexities of human language likely evolved from more rudimentary 281 beginnings. Indeed, recent theoretical work suggests that language's productive combinatorial 282 system was preceded by a superficial stage where the sound elements of signals overlap in their 283 acoustic and perceptual space, but neither needed to be recognized as recombinatorial units nor 284 utilized in a productive way by the system's users [13, 18, 24]. Subsequently, once signalers 285 became aware of their recombinatorial system (i.e. recognize signals as being composed of 286 smaller building blocks), they could evolve strategies (e.g. learning mechanisms) to exploit the 287 combinatorial mechanism productively [13, 18, 24]. We propose that our study provides evidence 288 for such a superficial vocal system by demonstrating bounded, unproductive combinatoriality 289 (i.e. two sounds build only two signals) in babbler vocalizations. Although simple in its structure, 290 this data supports recent hypotheses on human combinatorial systems transitioning from a more 291 rudimentary evolutionary stage (i.e. 'superficial' combinatorial layer) before it fledged into a 292 fully productive combinatorial system [24]. Further experiments are now needed to clarify 293 whether similar, more superficial, combinatorial structures exist in the communication systems of 294 other species and the precise forms they take.

295

To conclude, our work provides new insights into the potential similarities between

296 animal communication systems and the combinatorial structures of human language, with 297 chestnut-crowned babblers reusing perceptibly distinct elements that are meaningless in isolation, 298 but when used in different arrangements generate distinct meaning. Our study has at least three 299 important implications. First, although we provide novel evidence for 'superficial' 300 combinatoriality in non-human animals, we deem it highly improbable that chestnut-crowned 301 babblers are unique amongst animals in their ability to recombine perceptibly distinct and 302 equivalent sounds to generate context-specific calls. Indeed, we are confident that by shifting the 303 empirical focus to an approach that allows combinatorial systems in animals to be more easily 304 identified, additional data in other species will undoubtedly accumulate. Second, whilst species 305 with clearly identifiable internally structured calls, as is the case with chestnut-crowned babblers, 306 represent intuitively more straight-forward test systems, we advocate a more general search for 307 analogues incorporating vocalizations without clear temporal separation as happens to be the case 308 in human language [10]. Either way, further cases are required to provide a coherent 309 understanding of the form of early combinatorial systems, as well as their eco-evolutionary 310 correlates. Finally, using the approach outlined, we believe that comparative work on 311 combinatorial communication in animals will become a significant compliment to game-theoretic 312 modelling [13, 28]; multi-agent simulations [24]; emerging sign language [29]; and 313 communication game work [30] that aim to unpack the evolutionary origins and forms of 314 combinatorial structures and capacities in humans and other animals. 315 316 **Material and methods** 

## 317 Study species and housing

318 The study was conducted from July to September 2017 on 25 individuals from 13 different

319 groups of a free-living, color-ringed population of chestnut-crowned babblers, at the Fowlers Gap

320 Arid Zone Research Station in New South Wales (141°42'E, 31°06'S; for details on the study 321 population and habitat see [25]). Chestnut-crowned babblers are 50 g, group-living, cooperatively 322 breeding passerine birds endemic to inland south-eastern Australia [25], with a known vocal 323 repertoire of at least 18 functionally distinct calls [26]. For experimental procedures, birds were 324 captured and housed in standardized aviaries, and were released back into their original groups 325 after a maximum time of 48 hours (for details on capturing and aviary set-up see [14]). We have 326 confirmed previously that birds are accepted back into their groups without retribution following 327 their temporary absence [31], and in this study measurements of mass following their period in 328 the aviary indicated that birds gained an average of 0.1 g (SD = 2.0) in the aviary. Birds for 329 testing were selected randomly with respect to age and sex, although we never removed the 330 group's breeding female or individuals with any juvenile plumage (indicating all removed 331 individuals were nutritionally independent and > six months old).

332 During and between tests, single birds were kept in one of six compartments of a larger 333 aviary (dimensions of each compartment: 2 x 2 x 2.5 m). Each compartment consisted of a 334 babbler nest, perches and natural substrate. The back side of the aviary comprised a metal-mesh of 1 cm<sup>2</sup> allowing the birds a view to the outside, while the sides were opaque metal and the front 335 336 consisted of one-way Perspex. During daylight, birds were fed 20 mealworms every two to three 337 hours, and water was provided throughout (see also [14] for details on housing conditions). If two 338 birds were removed from a group at the same time, birds were kept in different compartments, 339 but joined into one compartment overnight. During playback experiments, only one test subject 340 remained in the aviary, while any other birds were removed to an accommodation block out of 341 earshot, to prevent interference with the playback.

343 Playback stimuli and procedure

364

344 Flight and prompt calls used for the creation of playback sequences were recorded using Electret 345 EM-400 condenser tie-clip microphones in combination with a Sony IC-UX533 recorder 346 (sampling frequency 44.1 kHz, 24-bit accuracy). Only high-quality vocalizations were chosen, 347 and flight and prompt call elements were extracted and normalized using Adobe Audition CC 348 2015. Each playback sequence consisted of 20 habituation stimuli (of one element type) and two 349 subsequent discrimination stimuli (of another element type) broadcast at three-second intervals 350 (Fig 1C). All test subjects were only ever exposed to stimuli originating from unfamiliar 351 individuals. Additionally, to account for pseudo-replication and inevitable among-individual 352 variation in element characteristics owing to, for example body size, the 20 elements used in each 353 habituation sequence always originated from at least eight different individuals (average = 12), 354 while the two discrimination stimuli within a sequence always originated from different 355 individuals. Flight and prompt calls are often given by different individuals in quick succession, 356 so babblers are accustomed to hearing flight and prompt call elements from different individuals 357 in the field. Finally, the 20 elements within the habituation sequences and the two elements 358 within the discrimination sequences were randomly ordered, and each playback sequence/track 359 was only used once, resulting in each test subject receiving unique playback sequences. 360 Each bird was exposed to 4 unique habituation-discrimination sequences with a break of 361 at least 10 minutes between treatments, leading to a maximum of 100 trials across the 25 birds 362 (but see below). Ten minutes was decided as a minimum because we wished to minimize the 363 amount of time that any co-inhabitant of the aviary was removed for during the playback (with a

365 suggested that 10 min intervals did not confound habituation effects. In line with this pilot work,

minimum of 10 mins between treatments, this could be reduced to ca. 40 mins) and pilot work

366 we found here that the change in looking response between H-end and H-start was equivalent for

367 the first and last habitation trials both in the within-call element comparisons (paired, two-sample 368 Wilcoxon test: V = 32, P = 0.62, N = 12 individuals) and among-call element comparisons 369 (V = 42, P = 0.85, N = 12 individuals). Playbacks were broadcast with a natural flight and prompt 370 call amplitude of 50 dB at two meters (measured with a Castle GA206 sound level meter, C-371 weighted) and using a Braven BRV-X loudspeaker. The loudspeaker was placed outside 1 m 372 away and 1 m shifted towards the side of the open, mesh-enclosed part of the aviary 373 compartment, and was concealed by vegetation. This position was chosen because it facilitated 374 our judgment of gaze direction towards the speaker, which is the key data of interest resulting from habituation-discrimination experiments [20-23]. In order to assess the time subjects looked 375 376 into the direction of the loudspeaker (and engaged in other relevant behaviors), playbacks were 377 video-taped using a Sony HDR-CX240.

378

# 379 Video coding and trial inclusion criteria

380 Videos were analyzed frame-by-frame and blindly with respect to playback type using Adobe 381 Audition CC 2015, with the following data extracted from each subject: number of flight and 382 prompt calls given; number of hops/flights; and the amount of time spent looking outside, at the 383 nest in the upper corner and at the loudspeaker. Vocalizations, movement and looking outside 384 were easily coded, but quantifying gaze direction towards specific objects is more challenging 385 because birds have relatively laterally-set eyes compared with humans. Nevertheless, all birds 386 have binocular overlap in their vision to allow them to avoid obstacles during flight, interact with 387 conspecifics, obtain food and pinpoint predators [32]. For passerines, binocular overlaps range 388 from 35-51° (N=13 species, including 6 non-tool-using corvids) [33]. Given that babblers are 389 passerines in the same super family as corvids (Corvidea), suggests that they will have binocular 390 overlap of at least 30° and probably closer to the 40° characteristic of corvids. Further, for one

391 such corvid, the common raven (*Corvus corax*, binocular overlap =  $43^{\circ}$ , [33]) looking direction 392 towards specific objects during habituation-discrimination experiments has been assessed 393 previously using bill orientation [34]. In line with previous work, we here qualify looking at the 394 speaker or the nest by assessing the orientation of the test bird's bill which had to directly point 395 towards the object in question ( $\pm 30^{\circ}$ , well within the expected field of binocular overlap). 396 Babblers routinely turn their head in order to pinpoint food, conspecifics and predators, and we 397 have substantial experience with gaze direction for each of these stimuli in the aviary setting. 398 Through double-blind scoring of time spent looking at the speaker during the end of habituation (H-end) and discrimination phases of 41 trials (50% of the 82 included), we found substantial 399 400 inter-scorer agreement (Interclass Correlation Coefficient for two-way model based on absolute 401 agreement and single rater scores ICC = 0.83, P < 0.001, 95% CI =  $0.75 \cdot 0.89$ ) [35].

Out of the 100 potential trials, 82 were included in the analyses. Two trials were not obtained because we released a bird early due to concerns over a loss of appetite and failed to capture H-start of another trial in the camera. Further, in 5 trials, birds failed to look in the direction of the speaker during the habituation phase, a prerequisite of the habituationdiscrimination paradigm, and likewise, a further 11 had to be excluded as they looked at the speaker at least as much during H-end as H-start. There was no systematic bias in the habituation stimuli that were excluded, with each of the 5 habituation elements being removed at least twice.

409

## 410 Statistical analyses

411 *Element discrimination* 

412 Testing whether elements are perceived as dissimilar or equivalent was primarily investigated 413 using a series of one-sample Wilcoxon tests. Specifically, the change in the proportion of time 414 individuals spent looking at the speaker between the discrimination phase (D) and the end of the

415	habituation phase (H-end) was contrasted against a null expectation of zero change (Figs. 1C, 2).
416	The only exception was to further clarify the form of prompt calls. In this case, we additionally
417	used Friedman combined with post-hoc two-sample Wilcoxon tests to test the differences in the
418	changes of responses between H-end and D for contrasted pairs of elements (i.e. P <sub>1</sub> -P <sub>2</sub> vs. P <sub>2</sub> -P <sub>3</sub>
419	vs. $P_1$ - $P_3$ ) - post-hoc <i>P</i> -values were adjusted using the Bonferroni-holm method [36]. For all
420	analyses of element discrimination, we used the proportion of time looking at the speaker (rather
421	than absolute time) since the birds were not always in camera view for the entire 6 s H-end and D
422	phases (H-end: mean time in view = $5.9$ ; SD = $0.2$ , range = $4.8-6.2$ ; D: mean = $6.0$ , SD = $0.1$
423	range = 5.3-6.4). All statistical analyses were conducted in R (version 3.4.2) - Wilcoxon tests
424	using the "exactRankTest"-package [37], and Friedman tests using the "stats"-package [38].

# 426 Element meaning

427 To investigate whether the five constituent elements of flight and prompt call elements carry 428 contextual meaning, we performed two Linear Mixed effects Models (LMM). In both models, the 429 response term was the amount of time (during the 6 s of H-start for each element, square-root 430 transformed) that individuals were observed: looking outside (not at the speaker); looking at the 431 nest in an upper corner of the aviary; and in-movement (mainly hopping among perches). These 432 behaviors were chosen because we have previously shown in the same aviary set-up that babblers 433 change the duration of each behavior in response to playbacks of flight and prompt calls [14]. It 434 is important to note that the sum percentage of time that individuals engaged in these 3 behaviors 435 averaged just 44%, meaning that individuals could respond to each behavior independently. The 436 term of interest in the first model was the interaction between element type  $(F_1, F_2, P_1, P_2, P_3)$  and 437 behavioral response (in-movement, looking-out, looking-nest); while in the second model, we 438 interacted whether or not the element in question was from a flight call (F elements) or a prompt

439	cal	l (P elements) with behavioral response. In both models, time in view was fitted as a covariate			
440	and trial identity nested within individual identity were fitted as random intercepts to account for				
441	the fact that trials had 3 behavioral responses and that multiple elements were played to the same				
442	individual. Model reduction were not performed for either model as in both cases the key result				
443	the interaction between element and behavior. The above two models were fitted in R using the				
444	"Ime4" package, and the full model with and without the interaction of interest were compared				
445	using log-likelihood ratio tests to determine the significance of the interaction term [38, 39].				
446					
447	Ac	knowledgements: We thank Simon Griffith, Keith Leggett and the Dowling family for			
448	logistical support at Fowlers Gap; Kiara L'Herpiniere, Joseph England and Jennifer Page for help				
449	with fieldwork; Steven Moran, Volker Dellwo and Stuart Watson for discussions, and three				
450	anonymous reviewers for their constructive feedback. The research was approved by the ethics				
451	committee of the University of Exeter (Application number 2018/2301).				
452	Data accessibility: All data to reproduce the work is provided as supplementary material.				
453					
454	References				
455	1.	Hockett CF (1960) The Origin of Speech. Sci Am 203:88-111.			
456 457	2.	Hauser MD, Chomsky N, Fitch WT (2002) The Faculty of Language: What Is It, Who Has It, and How Did It Evolve? <i>Science</i> 298(5598):1569-1579.			
458	3.	Hurford J (2007) The origins of meaning (Oxford University Press, Oxford).			
459 460	4.	Arnold K, Zuberbühler K (2006) Language evolution: Semantic combinations in primate calls. <i>Nature</i> 441(7091):303.			
461 462	5.	Zuberbühler K (2018) Combinatorial capacities in primates. <i>Curr Opin Behav Sci</i> 21:164-169.			

- 463
  464
  464
  464
  464
  464
  465
  465
  465
  465
  465
- 466 7. Engesser S, Ridley AR, Townsend SW (2016) Meaningful call combinations and
  467 compositional processing in the southern pied babbler. *Proc Natl Acad Sci USA*468 113(21):5976-5981.
- 8. Suzuki TN, Wheatcroft D, Griesser M (2016) Experimental evidence for compositional syntax in bird calls. *Nat Commun* 7:10986.
- 471 9. Yip MJ (2006) The search for phonology in other species. *Trends Cogn Sci* 10(10):442-446.
- 472 10. Bowling DL, Fitch WT (2015) Do Animal Communication Systems Have Phonemes?
  473 *Trends Cogn Sci* 19(10):555-557.
- 474 11. Berwick RC, Okanoya K, Beckers GlJL, Bolhuis JJ (2011) Songs to syntax: the linguistics of
  475 birdsong. *Trends Cogn Sci* 15(3):113-121.
- 476 12. Engesser S, Townsend SW (2019) Combinatoriality in the vocal systems of non-human 477 animals. *WIREs Cogn Sci* e1493.
- 478 13. Zuidema W, de Boer B (2009) The evolution of combinatorial phonology. *J Phon* 37(2):125479 144.
- 480
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
  481
- 482 15. Hailman JP, Ficken MS, Ficken RW (1985) The Chick-a-Dee Calls of *Parus atricapillus* a
  483 Recombinant System of Animal Communication Compared with Written-English. *Semiotica*484 56(3-4):191-224.
- 485 16. Suzuki TN (2013) Communication about predator type by a bird using discrete, graded and
  486 combinatorial variation in alarm calls. *Anim Behav* 87:59-65.
- 487 17. Chomsky N, Halle M (1968) *The Sound Pattern of English* (Harper & Row, New York).
- 488 18. Zuidema W, de Boer B (2018) The evolution of combinatorial structure in language. *Curr*489 *Opin Behav Sci* 21:138-144.
- 490 19. Eimas PD, Siqueland ER, Jusczyk P, Vigorito J (1971) Speech Perception in Infants. *Science*491 171(3968):303-306.
- 20. Charlton BD, Ellis WA, McKinnon AJ, Brumm J, Nilsson K, Fitch WT (2011) Perception of
  male caller identity in Koalas (*Phascolarctos cinereus*): acoustic analysis and playback
  experiments. *PLoS ONE* 6(5):e20329.
- 495 21. Cheney DL, Seyfarth RM (1988) Assessment of meaning and the detection of unreliable
  496 signals by vervet monkeys. *Anim Behav* 36(2):447-486.

- 497 22. Fitch WT (2006) Rhesus macaques spontaneously perceive formants in conspecific
  498 vocalizations. *J Acoust Soc Am* 120(4):2132-2141.
- 23. Reby D, Hewison M, Izquierdo M, Pépin D (2008) Red Deer (*Cervus elaphus*) Hinds
  Discriminate Between the Roars of Their Current Harem-Holder Stag and Those of
  Neighbouring Stags. *Ethology* 107:954-959.
- 502 24. de Boer B, Zuidema W (2010) Multi-Agent Simulations of the Evolution of Combinatorial
   503 Phonology. *Adapt Behav* 18(2):141-154.
- Sola 25. Russell AF (2016) Chestnut-crowned babblers: Dealing with climatic adversity and
  uncertainty in the Australian arid zone. *Cooperative breeding in vertebrates: studies in ecology, evolution and behavior*, eds Koenig WD, Dickinson JL (Cambridge University
  Press, Cambridge, MA), pp 150-164.
- 508 26. Crane JMS, Savage JL, Russell AF (2016) Diversity and function of vocalisations in the
   509 cooperatively breeding Chestnut-crowned Babbler. *Emu* 116(3):241.
- Young CM, Browning LE, Savage JL, Griffith SC, Russell AF (2013) No evidence for
   deception over allocation to brood care in a cooperative bird. *Behav Ecol* 24(1):70-81.
- 512 28. Nowak MA, Krakauer DC, Dress A (1999) An error limit for the evolution of language. *Proc*513 *R Soc B* 266(1433):2131-2136.
- 514 29. Sandler W, Aronoff M, Meir I, Padden C (2011) The gradual emergence of phonological
  515 form in a new language. *Nat Lang Linguist Th* 29(2):503-543.
- 516 30. Verhoef T, Kirby S, de Boer B (2014) Emergence of combinatorial structure and economy
   517 through iterated learning with continuous acoustic signals. *J Phon* 43:57-68.
- 518 31. Nomano FY, Browning LE, Savage JL, Rollins LA, Griffith SC, Russell AF (2015)
  519 Unrelated helpers neither signal contributions nor suffer retribution in chestnut-crowned
  520 babblers. *Behav Ecol* 26(4):986-995.
- 521 32. Martin GR (2009) What is binocular vision for? A birds' eye view. *J Vis* 9(11):14.11-19.
- 33. Troscianko J, von Bayern AM, Chappell J, Rutz C, Martin GR (2012) Extreme binocular
   vision and a straight bill facilitate tool use in New Caledonian crows. *Nat Commun* 3:1110.
- 34. Reber SA, Boeckle M, Szipl G, Janisch J, Bugnyar T, Fitch WT (2016) Territorial raven
  pairs are sensitive to structural changes in simulated acoustic displays of conspecifics. *Anim Behav* 116:153-162.
- 527 35. Hallgren KA (2012) Computing inter-rater reliability for observational data: an overview and
   528 tutorial. *Tutor Quant Methods Psychol* 8(1):23-34.

36. Benjamini Y, Hochberg Y (1995) Controlling the false discovery rate: a practical and powerful approach to multiple testing. *J R Statist Soc B* 57:289–300.

- 37. Hothorn T, Hornik K (2017) exactRankTests: Exact Distributions for Rank and Permutation
   Tests. R package version 0.8-29. Accessed 01 June 2017.
- 38. R-Core-Team (2014) R: A language and environment for statistical computing. R
  Foundation for Statistical Computing. Vienna, Austria. Accessed 01 June 2017.
- 39. Bates D, Maechler M, Bolker B, Walker S (2014) Fitting linear mixed-effects models using
  line4. J Stat Softw 67(1):1-48.

538 Figure legends

539 Fig 1. Study design. (A) Chestnut-crowned babbler (credit AF Russell). (B) Spectrogram of a 540 flight and a prompt call, with the flight call being composed of F<sub>1</sub>F<sub>2</sub> elements and prompt calls of 541  $P_1P_2P_3$  elements. (C) Schematic overview of the habituation-discrimination experiment. During 542 the habituation phase subjects were accustomed to one element type (from at least 8 different 543 unfamiliar individuals) constituting the habituation stimuli  $(H_1 - H_{20}, e.g. F_1)$ , which was 544 repeated 20 times at three-second intervals. Subsequently, two repetitions of another element type 545 (both from different unfamiliar individuals) constituting the discrimination stimuli  $(D_1 - D_2, e.g.)$ 546 F<sub>2</sub>) were broadcast. To assess the discrimination between contrasted elements, the change 547 between the proportion of time subjects looked toward the loudspeaker during the discrimination 548 (D) and the last two habituation stimuli (H-end) was analyzed.

549

550 Fig 2. Element discriminations. Results of the habituation-discrimination experiments when 551 contrasting flight and prompt call elements: (A) within flight or prompt calls; and (B) between flight and prompt calls. Figures show the changes in the proportion of time subjects looked at the 552 553 loudspeaker during the discrimination phase (D) and the end of the habituation phase (H-end) for 554 each element comparison. The dashed vertical (red) line represents the null expectation of no-555 change. Boxes represent the 25%, 50% and 75% quartiles of the raw data, whiskers extend to 1.5 556 x inter-quartile ranges, while dots show outliers. Significant changes in the proportion of time spent looking at the loudspeaker between H-end and D are shown with asterisks (\* p < 0.05, \*\* 557 558 p < 0.01). In Figure A elements were presented in natural order (as shown), while in B element 559 orders were randomized since no natural order exists in between-call comparisons (‡ denotes that 560  $P_1$  was alternated with the equivalent sound  $P_3$ ).

562 Fig 3. Element meaning. The amount of time individuals spent engaged in behaviors of 563 relevance during H-start when: (A) behavioral responses were considered for each of the 5 564 element types individually (F<sub>1</sub>, F<sub>2</sub>, P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>); and (B) behavioral responses were considered for 565 flight call (F) elements versus prompt call (P) elements. Shown are the raw data with point sizes 566 indicating the frequency of occurrence at given time values. In Figure A dot shapes (circular or 567 triangular) illustrate the two discriminated sound types (i.e. circular  $F_1 \& P_2$ ; triangular  $F_2$ , 568 P<sub>1</sub> & P<sub>3</sub>). In Figures A & B red shaded dots illustrate flight call elements and blue shaded dots 569 prompt call elements. Note there is no obvious tendency for different elements to elicit 570 differential behavioral responses. Analyses in each case are based on 246 behavioral responses 571 during the 82 playbacks. In each model, the variance component of the random term 'trial 572 identity' was 0, indicating that the variation in activity budgets within and among trials were 573 equivalent. By contrast, individual identity explained a significant 15% of the residual variance in 574 each model (variance component = 0.04, P < 0.001), indicating that some individuals were more 575 active than others. Finally, inclusion of the interaction term of interest in each model raised the 576 AIC by 7 points (Model 1) and 2 points (Model 2), indicating that power of the models were 577 reduced when the interaction terms were included (see text for statistics).

# **578 Figure 1**











