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# **Laser Shock Peening of orthopaedic Ti-6Al-7Nb: Evaluation of Topography, Wetting Characteristics, Microstructure and Residual Stress**

XIAOJUN SHEN<sup>a\*</sup>, PRATIK SHUKLA<sup>a</sup>, FENG YAO<sup>b</sup>, SUHBASISA NATH<sup>a</sup>, ZHIBIN AN<sup>c</sup>  
AND JONATHAN LAWRENCE<sup>a</sup>

<sup>a</sup> *Coventry University, School of Mechanical, Aerospace and Automotive Engineering, Faculty of Engineering, Environment and Computing, Priory Street, Coventry, CV1 5FB, United Kingdom, \*Email: [s673864902@126.com](mailto:s673864902@126.com)*

<sup>b</sup> *The No.1 Department of orthopaedic Surgery, The No.1 People`s Hospital of JiaShan, P.R. China*

<sup>c</sup> *Science and Technology on Plasma Dynamics Laboratory, Air Force Engineering University, P.R. China*

## **ABSTRACT**

This paper is focused on a study of wetting characteristics post laser shock peening (LSP) of orthopaedic titanium alloy (Ti-6Al-7Nb) for the first-time. A 10J, 8ns, 1064nm wavelength, Nd:YAG Laser was employed. Residual stress was measured using the incremental hole drilling method. Residual stress results showed maximum compressive stress of -420 MPa, and -100 MPa at a depth of 0.8mm. The surface roughness was increased from 0.15  $\mu\text{m}$  to 0.87  $\mu\text{m}$  after multiple LSP impacts. The contact angle measurements were undertaken by using a sessile drop device with water and ethylene glycol. Both liquids showed that LSP increase the contact angle by 17% and 30.4% respectively using water and ethylene glycol. In addition, further verification was made using the Fowkes model to calculate the surface energy. This yielded the total energy, diversion and polar component to have reduced. The increased contact

angle of LSPned samples were affected by combination of increased surface roughness and decreased surface energy. The findings in this study not only form a base for further research, but also reveal the possibility of strengthening titanium implants and rendering them to become more biocompatible.

**Keywords:** LSP; wettability; contact angle; Ti-674-Nb; Residual stress; SEM; 3-D profiling;

## 1. INTRODUCTION

Laser Shock Peening (LSP) has been successfully applied in aeronautic and auto industry over the last two decades. Over the years, researchers have dedicated time to improve the mechanical properties of metallic materials such as fatigue life, wear, and corrosion resistance using LSP. Recently, researchers are also investigating the effect of laser shock peening in another area. In particular, the biological properties of orthopaedic implants - especially the wettability. The wettability of orthopaedic implants is one of the most determining factors in efficiently governing osteogenic activity, involving adhesion, proliferation and differentiation of osteoblasts cells. For the majority of implant materials, wettability is fixed [1-5]. How to change the wetting characteristics in order to improve the biocompatibility of implants without changing surface chemical components has become a new research focus.

Some researchers have already started to do some researches about the effects of LSP on contact angles of metals. Specifically, Vinodh *et al.*, [6-7] analysed the effect of LSP on the dynamic corrosion rate of magnesium. As one important property, wettability was also

determined and the contact angles of peened samples were increased by 66%. Moreover, Prabhakaran *et al.* [8], employed low energy laser shock peening without coating (LSPwC) to measure the wettability of AISI 304 austenitic stainless steel and found that the hydrophilic unpeened surface was converted into the hydrophobic surface. The contact angle of AISI 304 austenitic stainless steel was significantly increased from 34.24° to 95.75°. Currently, as the dominant material used for implants fabrication, the effect of LSP on the wettability of titanium has not been investigated to-date.

Thus, the focus of this paper was to deploy LSP to change the contact angle of titanium implants for the first-time, since LSP has the capability to change the surface roughness and surface energy, while avoiding the introduction of new chemical substances. Moreover, a Ti-6Al-7Nb titanium alloy was employed due to its excellent biocompatibility and mechanical properties compared Ti-6Al-4V. It is also known that vanadium (V) would be released into the body environment when the surface oxide layer is broken, causing ion toxicosis [9]. Nevertheless, in Ti-6Al-7Nb, niobium (Nb) does not have such a problem. Thus, Ti-6Al-7Nb has more advantage than the most widely used Ti-6Al-4V alloy. However, with orthopaedic implants materials in general, processing stable mechanical properties is necessary, with excellent biocompatibility is also being mandatory. As discussed in previous literatures, LSP can greatly improve the mechanical properties of metal materials. But if this technique needs to be used for implant applications, then the biocompatible aspect of it should be considered too. Our previous findings showed that improvement of wear resistance in Ti-6Al-7Nb alloy subjected to LSP [10] can be achieved. Adding to that, this study has formed a future research stream for

strengthening and rendering titanium implants to becoming more biocompatible.

## 2. MATERIAL AND METHODS

### 2.1 Details of Ti-6Al-7Nb alloy and sample preparation

Ti-6Al-7Nb samples (shown in Figure 1 with its elemental composition in Table 1) were cut into  $\phi 25\text{mm} \times 8\text{mm}$ , grinded from  $300\mu\text{m}$  to  $1200\mu\text{m}$  grit size, SiC abrasive paper in stages until the material comprised of Sa (arithmetic mean height)  $0.15\ \mu\text{m}$  surface finish. Two samples were used for the laser LSP experiments and one for the analysis on the untreated areas. The etching reagent was 100ml baker in 20ml Kroll's reagent in which the samples were immersed to reveal surface integrity. Samples were then prepared to the size  $10\text{mm} \times 10\text{mm}$  using wire electro-discharge machining and then immersed in etching solution for 20 seconds then washed in deionized water, dried in the fume hood for the microstructural analysis.

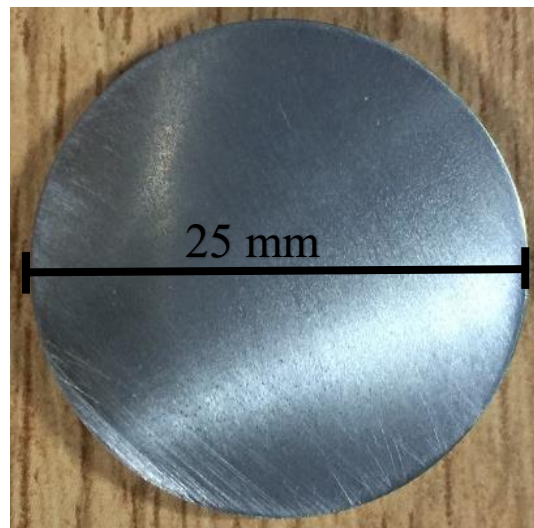


FIGURE 1  
Ti-6Al-7Nb SAMPLE

TABLE 1  
ELEMENT WEIGHT (WT %) OF TI-6AL-7NB ALLOY (ASTM F1295 REV 11)

Element	Al	Nb	Ta	Fe	N	O	C	H2	Ti
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Wt%	6.1	6.88	0.5	0.19	0.008	0.166	0.04	0.002	Bal
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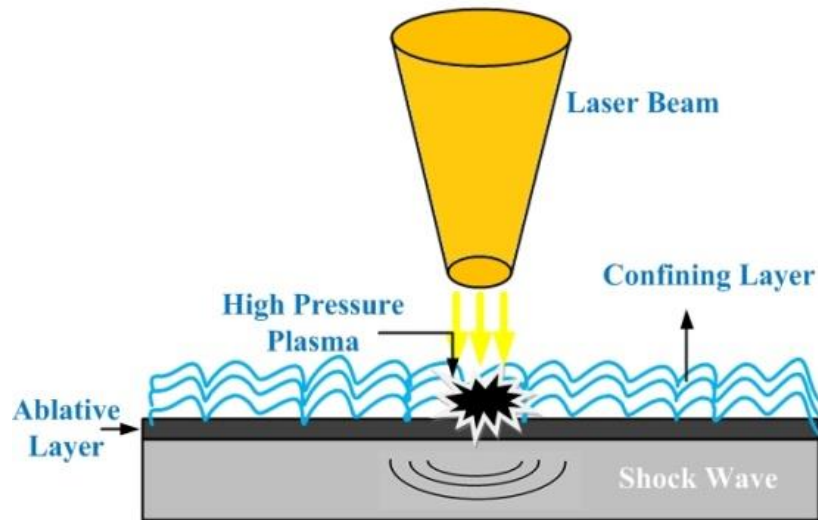
## 2.2 Laser shock processing method

The LSP experiments were conducted by a Q-Switched Nd:YAG laser (LPY10J; Litron, Rugby, UK), using a wavelength of 1064nm and 8ns pulse width. The laser comprised of a flat-top beam and a  $M^2$  value of 1.99 with a beam divergence of 0.5 mrad. The pulse energy was 6.5J per pulse with a spot diameter was 3 mm focused using a fused silica lens of 50mm diameter. This resulted to a power density of 11.5GW/cm<sup>2</sup> and a shock pulse pressure of 5.77 GPa in Table.2. The LSP experiment overlap was 50% with 3 impacts according to the one peening sequence presented in Figure 2(b). The water layer was used as the confinement layer, while coating absorb layer was black tape. Figure 2 (a) presents a schematic diagram of the process and (b) shows one pulse overlapping sequence employed.

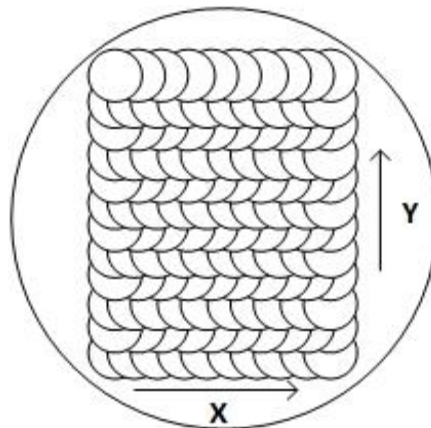
TABLE 2  
LASER SHOCK PEENING PARAMETERS EMPLOYED FOR THE SURFACE TREATMENT OF TI-6AL-7NB.

Parameters	Value
Pulse energy (J)	6.5
Laser wavelength (nm)	1064
Spot diameter (mm)	3
Radiance Density (W/mm <sup>2</sup> /Sr <sup>-1</sup> /μm) [11-13]	2.52
Number of laser impacts	1
Overlapping rate (%)	50
Pulse duration (ns)	8

Divergence (mrad)	0.5
Pulse Repetition Rate (Hz)	5



(a)



(b)

FIGURE 2  
A SCHEMATIC DIAGRAM OF THE LASER SHOCK PEENING PROCESS IN (A); AND  
(B) THE PULSE OVERLAPPING SEQUENCE EMPLOYED FOR THE LASER SHOCK  
PEENING SURFACE TREATMENT OF TI-6AL-7NB [10].

## 2.3 Measurements and characterization

### 2.3.1 Surface Roughness

A 3-D profiler (Contour GT-K, Bruker, Germany.) was employed to measure surface roughness

of the Ti-6Al-7Nb alloy before and after LSP. The scanned area for surface roughness determination was  $5 \times 5 \text{ mm}^2$  and was measured x5 to ensure the surface roughness values were the same and consistent.

### 2.3.2 Incremental Residual Stress

The cross-section residual stress was tested by using the incremental hole drilling method with a system developed by Stresscraft, UK. The strain relaxation was measured by wired gauges in a standard three-gauge rosette (two gauges at  $90^\circ$  with a third at  $45^\circ$ ). The holes were incrementally drilled with a diameter of 2mm and a maximum depth of 1mm. The relaxed strains were recorded at 13 different increments. Four increments were of  $32 \mu\text{m}$ , and further four increments were of  $64 \mu\text{m}$ . Additional 8 increments were of  $128 \mu\text{m}$ , to a total hole depth of nearly 1 mm. The data were interpreted by Stresscraft RS INT software. Young's modulus of 105 GPa and Poisson's ratio of 0.36 were the input properties in order to calculate the residual stresses.

### 2.3.3 Wettability

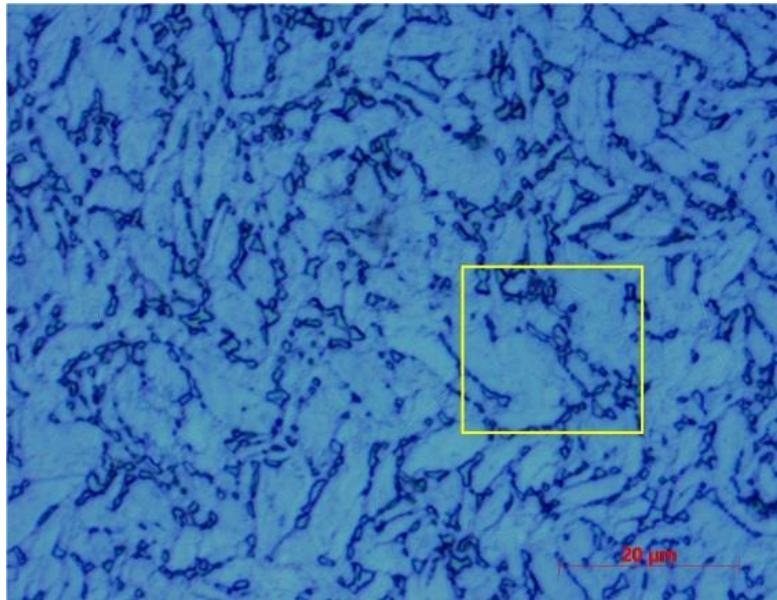
The surface wettability characteristic was measured by using goniometry instrument (DAS 100, DAS, Germany) at room temperature ( $20^\circ\text{C}$ ). The droplet volume of  $250 \mu\text{l}$  and was separately filled with distilled water and ethylene glycol. Each time,  $5 \mu\text{l}$  testing liquid was dropped on the surface of the samples. The goniometer calculated the contact angle thereafter, with a processing time of 10 secs. Five sets of contact angles were measured for each sample at different locations to validate the consistency of the data.



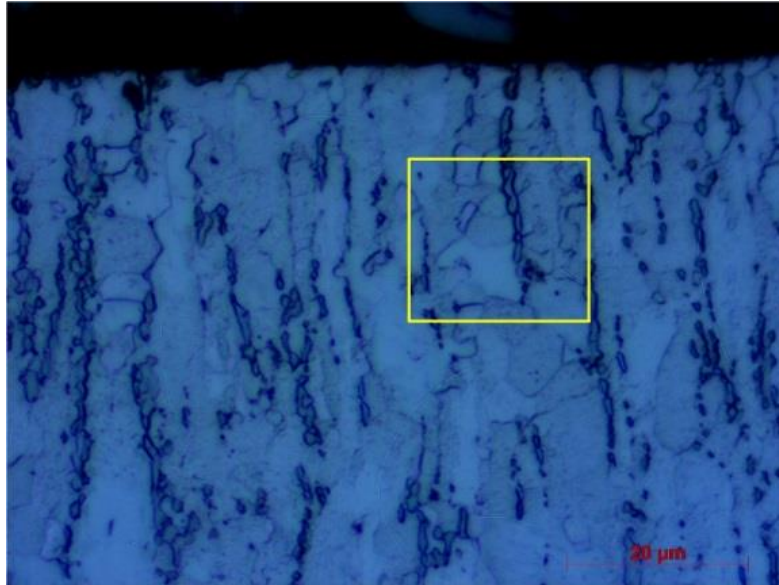
### 3. RESULTS AND DISCUSSION

#### 3.1 Microstructure observation of Ti-6Al-7Nb

The grain refinement has significant relationship with the mechanical properties of the metal alloys. Figure 3 shows the optical cross-section of Ti-6Al-7Nb alloy before and after LSP. From both images coarse grains are distributed non-uniformly over the cross-section. According to grain intercept method, the coarse grains of as-received sample was  $6.25\ \mu\text{m}$ , while that of LSPned sample was  $3.71\ \mu\text{m}$ . Therefore, the refinement percentage of coarse grain is 68%.

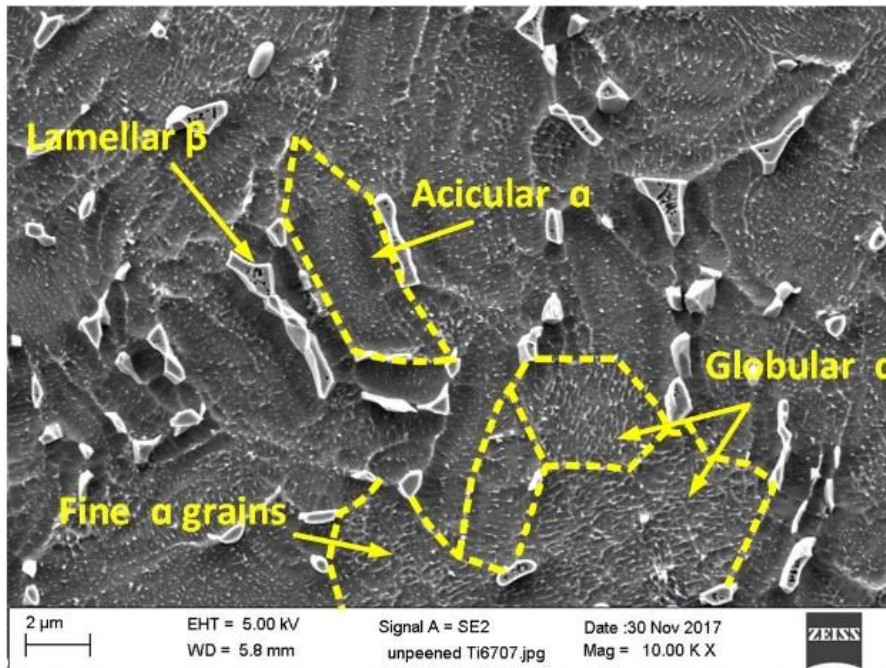


(a)

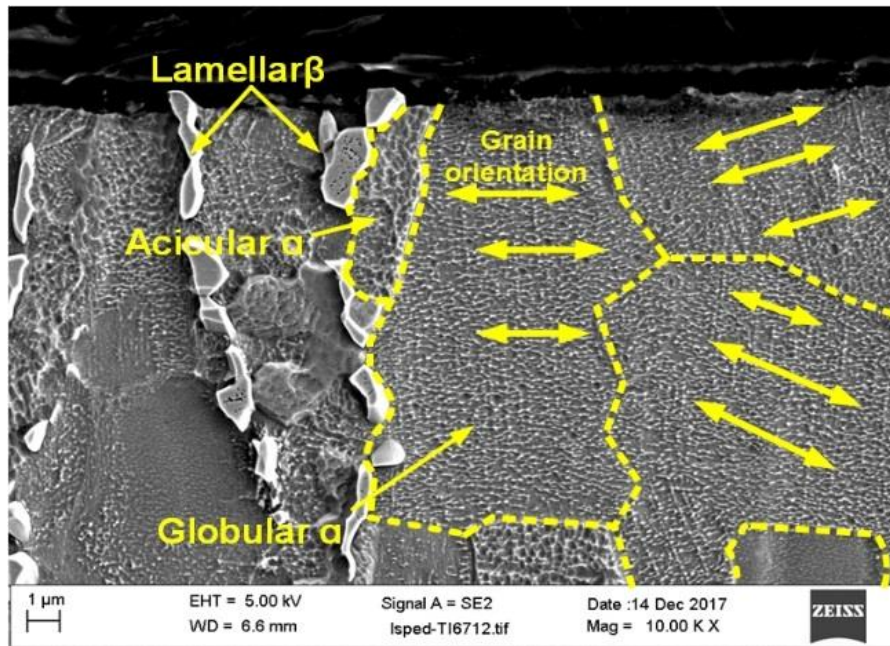


(b)

FIGURE 3  
OPTICAL IMAGE SHOWING THE CROSS-SECTION OF THE AS-RECEIVED AND LASER IMPACTED SURFACE OF THE TI-6AL-7NB IN (A); AND LASER SHOCK PEENED SURFACE IN (B).



(a)

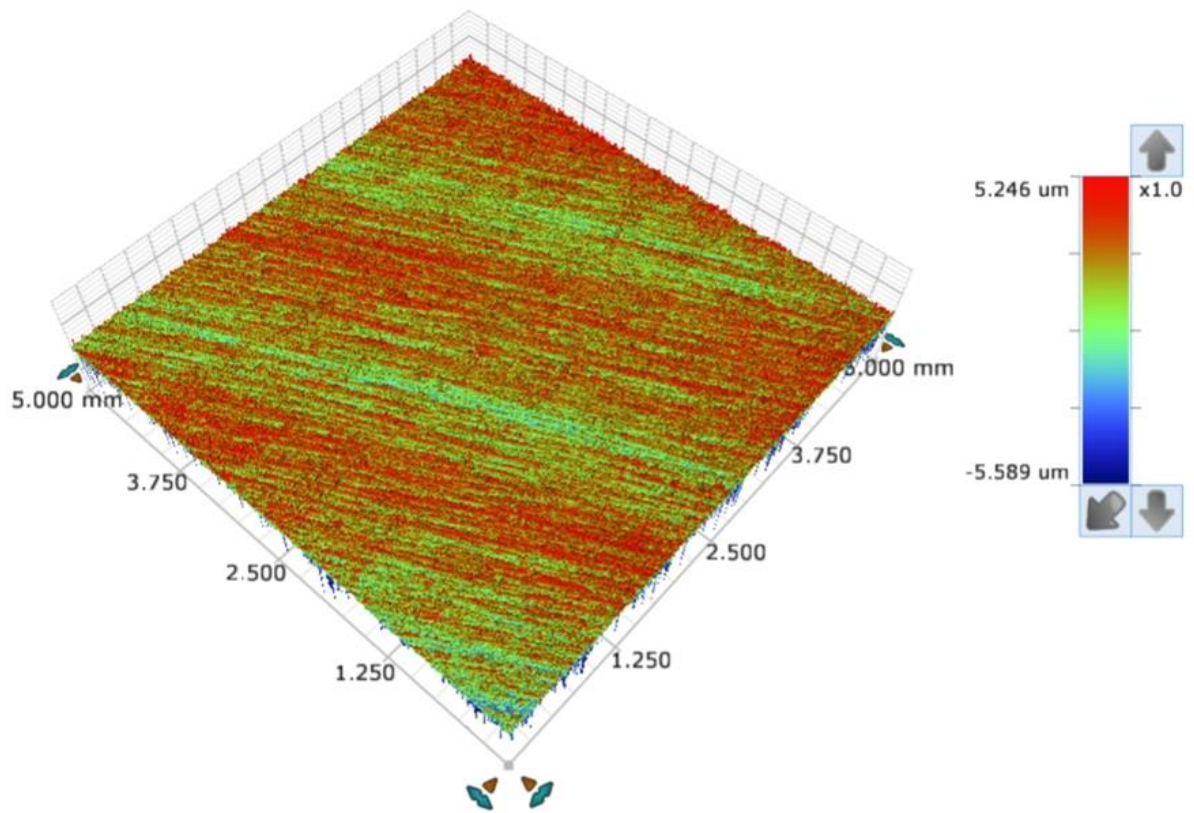


(b)

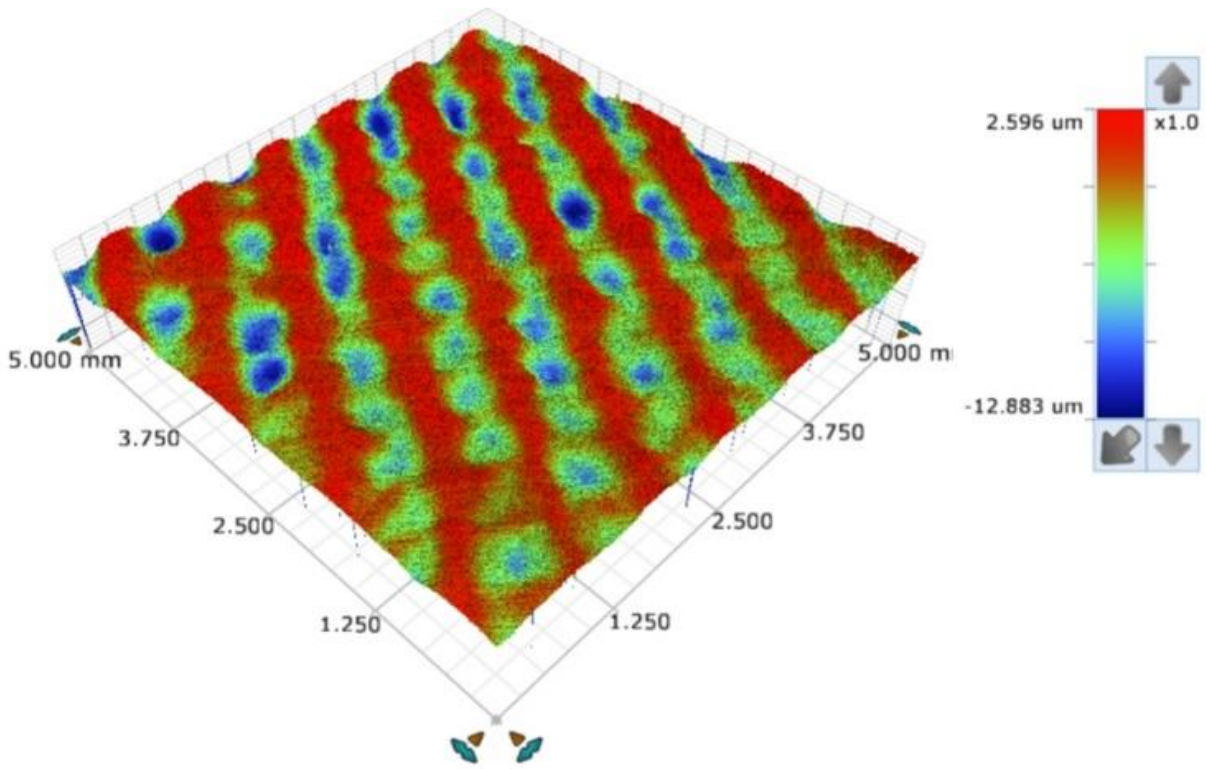
FIGURE 4  
SEM IMAGE SHOWING THE MICROSTRUCTURE OF THE TI-6AL-7NB, BEFORE AND AFTER LASER SHOCK PEENED SURFACE IN BOTH (A) AND (B).

SEM was also used to evaluate the microstructure of the Ti-6Al-7Nb alloy. Figure 4 presents the SEM observations of the untreated and the surface after LSP. As shown in Figure 4, the original microstructure of Ti-6Al-7Nb alloy consists of globular and acicular  $\alpha$  and residual  $\beta$  [14 - 17]. The acicular and globular  $\alpha$  distribute non-uniformly that the grains are coarse-grains and have clear phase boundaries. Inside the coarse  $\alpha$ -grains, there are lots of fine  $\alpha$ -grains which possess different grain orientations as shown in Figure 4(b). The grain boundaries between the fine  $\alpha$ -grains are clear. The average fine grain size of as-received samples is 266.6 nm. Having said that, the grains decreased after LSP to 150 nm which is about 78% reduction and is

exceptional reduction indicating a strengthen surface.



(a)



(b)

FIGURE 5

A 3D SURFACE MAP SHOWING THE TOPOGRAPHY OF TI-6AL-7NB PRIOR-TO LASER SHOCK PEENING IN (A) AND AFTER LASER SHOCK PEENING IN (B).

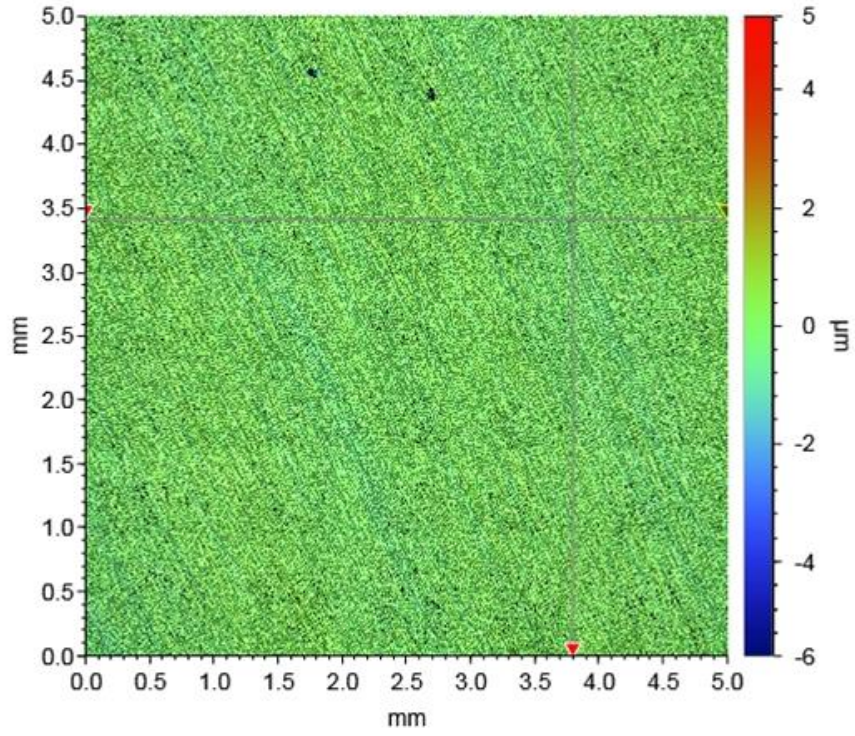
### 3.2 Surface roughness

Figure 5 show the 3-D topography of Ti-6Al-7Nb alloy before and after LSP. The untreated samples were polished from 300 $\mu\text{m}$  to 1200 $\mu\text{m}$  grit size, SiC abrasive paper in stages. Moreover, it can be seen that scratch distributed non-uniformly on the untreated samples. In Figure 5(b) the scratches are non-existent after LSP, particularly in the treated areas, and more micro-grooves and dimples were presented on the surface due to the high-pressure waves from the process generating the dimpling effect that you see with shot peening in general. With that said the roughness after LSP increases from 0.194 $\mu\text{m}$  to 0.445 $\mu\text{m}$  as shown in In Table 3.

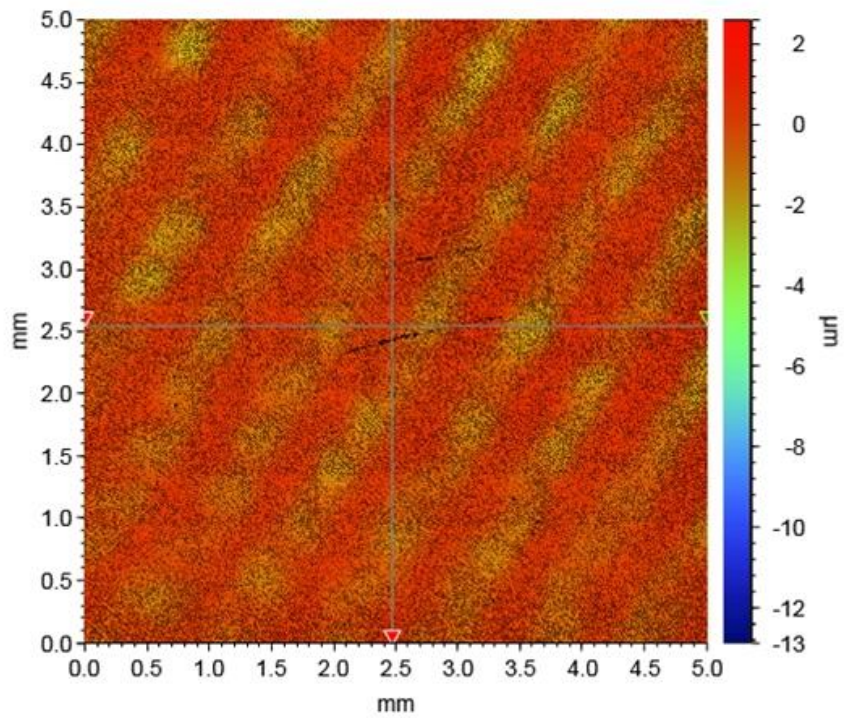
TABLE 3

3-D SURFACE ROUGHNESS OF TI-6AL-7NB

	Sa	Sp	Sq	Ssk	Sv	Sz
Untreated	0.193.8	5.246	0.252	-0.69	-5.59	10.835
LSPend	0.445	2.596	0.576	-3.78	-12.9	15.47



(a)



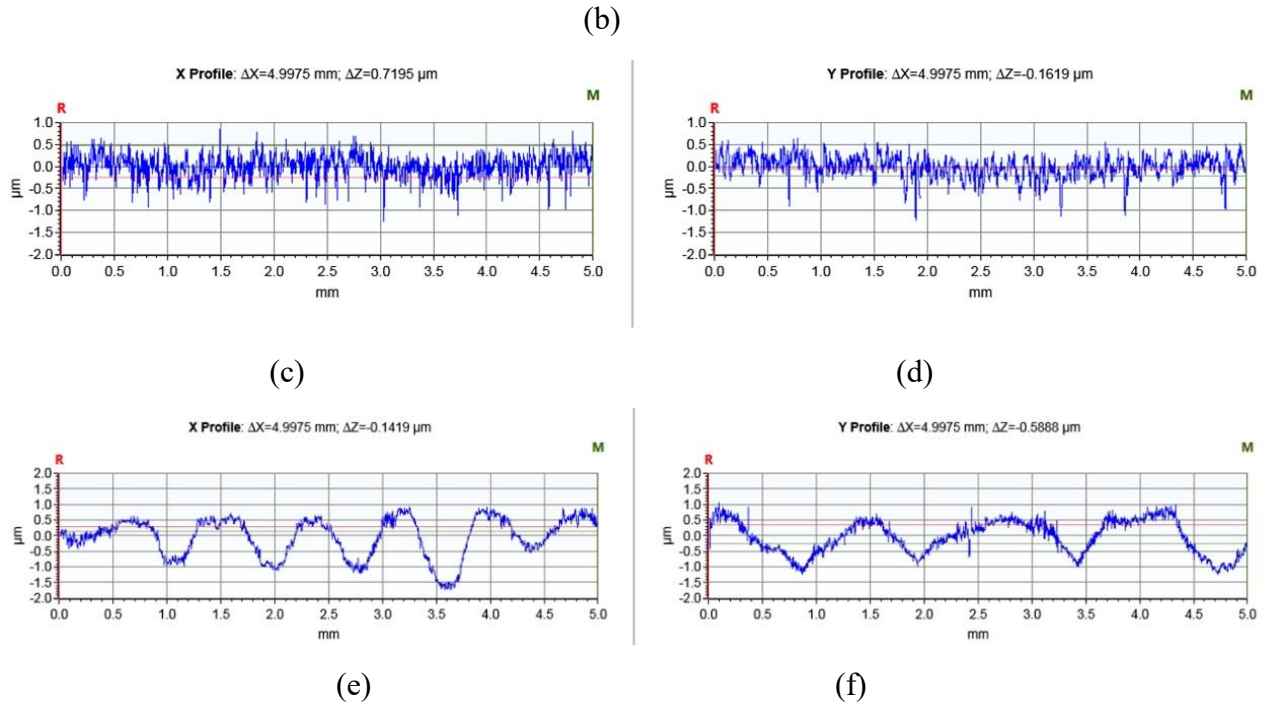


FIGURE 6  
A 2-D SURFACE TOPOGRAPHY OF TI-6AL-7NB ALLOY

TABLE 4  
A 2-D PROFILE HEIGHT OF TI-6AL-7NB.

	Ra	Rp	Rq	Rt	Rv
Untreated	0.194	5.246	0.253	10.838	-5.589
LSPed	0.446	2.596	0.578	15.479	-12.883

What is more, Figure 6(a) and (b) shows the 2-D profile of the unpeened and LSPned Ti-6Al-7Nb alloy. Figure 6(c) and (f) shows cross-sectional 2-D surface roughness form X-and-Y axis of the unpeened and LSPned samples. The surface height of the untreated sample scattered from a peak of  $0.5\mu\text{m}$  to a depth of  $-0.5\mu\text{m}$  in both X and Y directions. But after LSP, the surface roughness scattered from  $-1.0\mu\text{m}$  to  $-1.5\mu\text{m}$  in X and ranged from  $1.0\mu\text{m}$  to  $-1.0\mu\text{m}$ . As shown in table 4, the average roughness Ra is increased from  $0.194\mu\text{m}$  (unpeened sample) to  $0.446\mu\text{m}$  (peened sample). According to above surface roughness data, the by-product created by LSP

which is the surface roughness – could in turn contribute to the changes to the contact angles as the increase in the surface roughness takes place.

### 3.3 Residual stress

Laser shock peening can introduce a stable compressive residual stress layer on the surface of metallic materials through high pressure shock wave [10]. As shown in Figure 7, the distribution of cross-sectional residual stress before and after LSP resulted to a stable compressive residual stress layer at a depth of 800  $\mu\text{m}$ . Surface residual stress of as-received samples is -60 MPa caused by surface finishing. Onwards along the depth, residual stress varies from 0 MPa to 60 MPa. Surface residual stress of impacted samples was -250 MPa. This was around x5 than that of the as-received. Additionally, compressive residual stress is at the highest at a depth of 80  $\mu\text{m}$ , thereby, starting to decrease along the depth to stable value as the intensity of the pressure waves decrease.

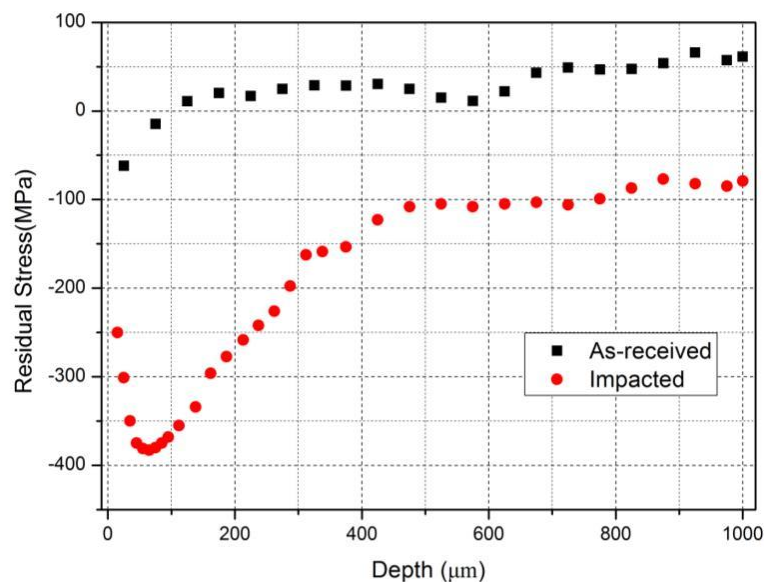


FIGURE 7  
A CROSS-SECTIONAL DISTRIBUTION OF RESIDUAL STRESS OF TI-6AL-7NB BEFORE AND AFTER LASER SHOCK PEENING.



### 3.4 Wettability Characteristics

Wetting characteristics have great influence on osseointegration. As a direct parameter reflecting the wetting characteristics of the sample, contact angle  $\theta$  is affected by many factors including surface roughness and surface energy. Shown from previous work, Caralapatti [7] and Prabhakaran *et al.* [8] found the phenomenon that contact angle  $\theta$  was increased after laser peened.

The typical Wenzel model [18, 19] is shown in Figure 7 and equation (1):

$$\cos \theta^* = r(\gamma_{SG} - \gamma_{SL}) / \gamma_{LG} \dots\dots\dots(1)$$

Where,  $\cos \theta^*$  is the real contact angle;  $r$  is surface roughness factor;  $\gamma_{SG}$  is the tension between solid and gas;  $\gamma_{SL}$  is the tension between solid and liquid;  $\gamma_{LG}$  is the tension between liquid and gas.

Therefore, it is necessary to calculate another factor which is the surface energy which can change the difference of contact angle. Fowkes [20 - 21], assumed that surface free energy of solid is a sum of independent components, associated with specific interactions:

$$\gamma_{SG} = \gamma_{SG}^d + \gamma_{SG}^p + \gamma_{SG}^h + \gamma_{SG}^i + \gamma_{SG}^{ab} + \gamma_S^o \dots\dots\dots(2)$$

Where,  $\gamma_{SG}$  is surface free energy of a solid;  $\gamma_{SG}^d$ ,  $\gamma_{SG}^p$ ,  $\gamma_{SG}^h$ ,  $\gamma_{SG}^i$ ,  $\gamma_{SG}^{ab}$  are the dispersion,

polar, hydrogen, induction and acid-base components, and  $\gamma_{SG}^o$  is all remaining interactions. Combined with young equation (3), Fowkes suggested equation (4), which used 2 components to calculate solid surface energy.

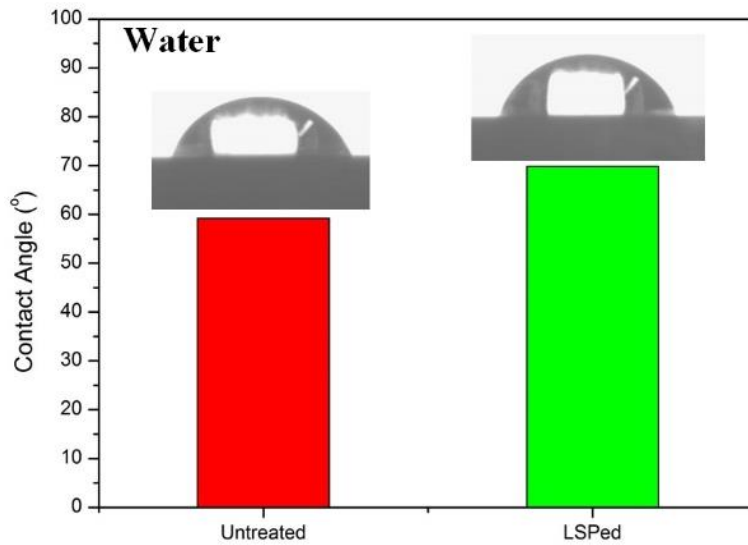
$$\gamma_{SG} = \gamma_{SL} + \gamma_{LG} \cos \theta \dots\dots\dots(3)$$

$$\frac{\gamma_{lg}(1 + \cos \theta)}{2} = \sqrt{\gamma_{sg}^d \gamma_{lg}^d} + \sqrt{\gamma_{sg}^p \gamma_{lg}^p} \dots\dots\dots(4)$$

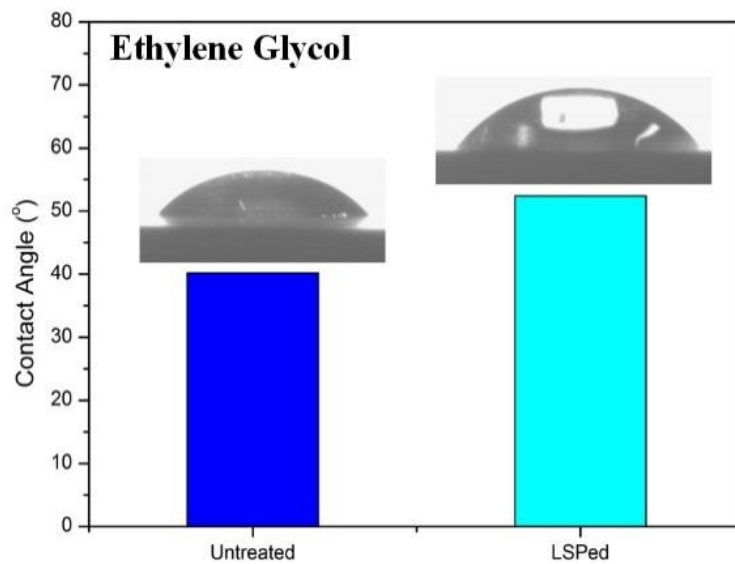
Where,  $\theta$  refers to the contact angle; In equation (4), only  $\gamma_{sg}^d$ ,  $\gamma_{sg}^p$  are unknown. Thus, using two reference liquid which have  $\gamma_{lg}^d$ ,  $\gamma_{lg}^p$  and  $\gamma_{lg}$  calculate  $\gamma_{sg}^d$  and  $\gamma_{sg}^p$ . We used distilled water ( $\gamma^P= 51.0$  mD/m,  $\gamma^D=21.8$  mN/m,  $\gamma^T=72.8$  mN/m) and ethylene glycol ( $\gamma^P= 19$  mD/m,  $\gamma^D=29$  mN/m,  $\gamma^T=48$  Mn/m) to calculate the total surface energy including polar component and diverse component. The results are shown in Table 5 and Figure 8.

TABLE 5  
WETTING CHARACTERISTIC OF TI-6AL-7NB ALLOY BEFORE AND AFTER LASER SHOCK PEENING.

	Liquid	Ra	Sa	$\gamma^D$	$\gamma^P$	$\gamma^T$	Contact angle $\theta$
Untreated	Water	0.15	0.149	42.891	9.864	52.754	59.19
	Ethylene glycol						40.184
LSPed	Water	0.875	0.873	30.326	4.248	34.574	69.818
	Ethylene glycol						52..41



(a)



(b)

FIGURE 8  
CONTACT ANGLE IMAGES OF TI-6AL-7NB WITH (A) WATER AND (B) ETHYLENE GLYCOL.

The results of contact measurements indicate that contact angles are increased by using both liquids. As shown in Table 5 and Figure 8, the contact angle increased from  $59.19^\circ$  to  $69.818^\circ$  with water liquid, and  $40.18^\circ$  to  $52.41^\circ$  with ethylene glycol.

If the valleys of a coarse surface were filled by liquid such as water, then the Wenzel's model is more suitable. Normally, according to Wenzel Model shown in Figure 8, the contact angle of the LSPned samples should decrease while surface roughness of samples increased. But the contrast result is that contact angle was increased. Thus, Cassie-Baxter model, shown in Figure 9, or mixed regime of both [22 - 24] may be used in this case. The explanation of Cassie-Baxter model suggested that the fluid adhering onto the top of the protrusions would create a pocket of air underneath [25].

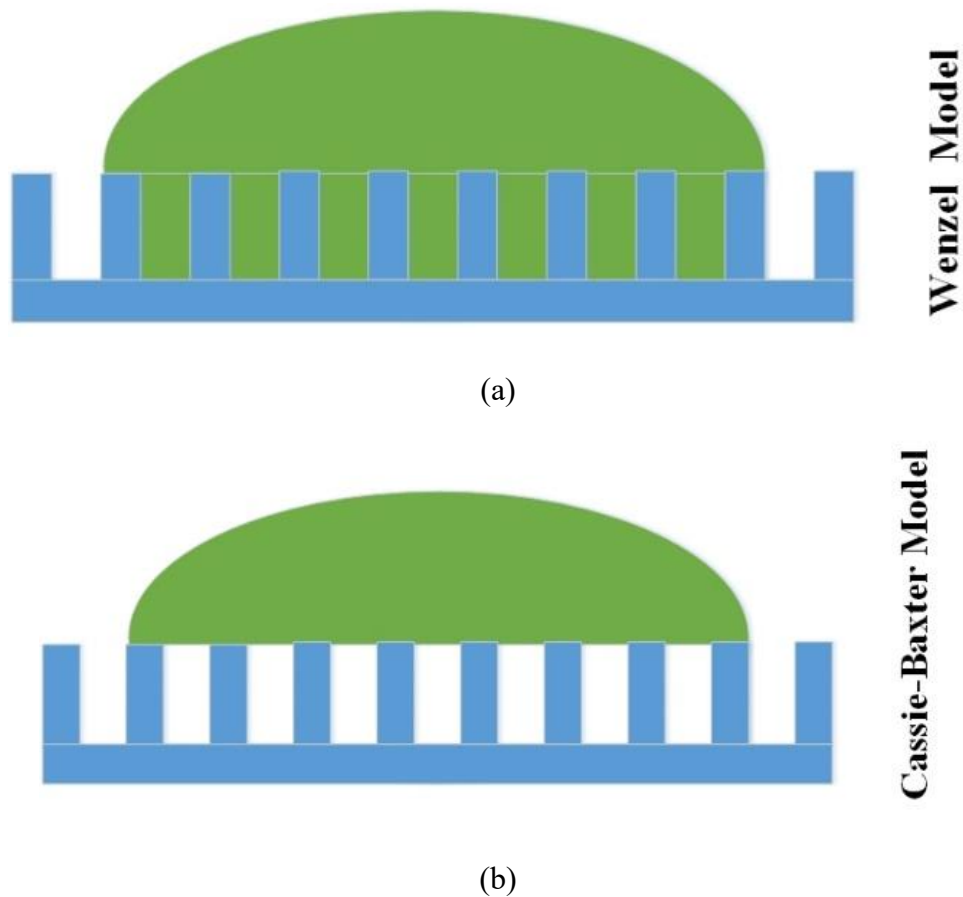


FIGURE 9  
THE SCHEMATIC DIAGRAMS OF (A) WENZEL MODEL AND (B) CASSIE-BAXTER MODEL

The reason why contact angle was increased was because the  $\gamma^P$ ,  $\gamma^D$  and  $\gamma^T$  in both liquids were all decreased. High surface energy can lead to low hydrophilicity which meant that low contact

angle resulted. Thus, the decreased in surface energy, combined with increased surface roughness increased the contact angle.

Additionally, due to the ablation of LSP, the contaminations, namely; dirt was removed, which contribute to improving the surface free energy [26]. Since the surface free energy of contaminations were low, the removal of contaminations can increasing the surface energy thereby contributing to the biological properties of implants. It is said that contact angle of 70° [27] is considered to be ideal for cell attachment and better bonding with osteoblast which is key for cell adhesion. When implants are serving inside the human body, they undergo different interactions such as cells, proteins and adhesion. What is more important, osteointegration was also influence by surface wettability of implants. However, the effect of LSP on cell adhesion and osteointegration of medical-grade metals have not been investigated.

#### **4. CONCLUSIONS**

It is very important to provide a strengthening mechanism for implant metals due to the obvious failures resulting to significant damage and loss of patient's time, money and the potential pain *via* repetitive surgeries. It therefore, it proves very useful to investigate the biological effect of a strengthening technique such as LSP on medical metallic materials such as titanium alloys. Thus, the focus of this paper is on the topography, wetting characteristics, microstructure and residual stress which were investigated for the first-time prior-to and post laser shock peening of orthopaedic grade Ti-6Al-7Nb. The wide range of analysis verified and rendered the following conclusions:

- 1) Three LSP impacts increase the surface roughness by 127%.

- 2) Cross-section a coarse grain size decrease from 6.25  $\mu\text{m}$  to 3.71  $\mu\text{m}$ , while for fine a fine grain, the decrease is from 266.5nm to 150 nm. The refinement percentage is from 68% to 78%.
- 3) LSP increased the contact angle from 59.19° to 69.818° by using water and 40.184° to 52.41° by Ethylene glycol as result of the decreased surface energy and increased surface roughness.

## NOMENCLATURE

- Ra Arithmetical mean roughness value over the entire measured length
- Rp Maximum profile peak height/ valley depth are the distance from the mean line
- Rq Average between the height deviations and the mean line
- Rt Total height of the roughness profile over the length
- Rv Surface to the highest/lowest point along the sample length
- Sa The arithmetic mean of the absolute values of the surface departures from the mean plane
- Sp The height of the highest peak within the defined area
- Sq The root mean square value of the ordinate values within the definition area
- Ssk Asymmetry of the profile about the mean plane
- Sv The absolute value of the height of the largest pit within the defined area
- Sz The sum of the largest peak height value and the largest pit depth within the area

## GREEK SYMBOLS

- $\gamma_{SG}$  The tension between solid and gas
- $\gamma_{SL}$  The tension between solid and liquid
- $\gamma_{LG}$  The tension between liquid and gas
- $\gamma_{SG}^d$  Dispersion component
- $\gamma_{SG}^p$  Polar component

$\gamma_{SG}^h$	Hydrogen component
$\gamma_{SG}^i$	Induction component
$\gamma_{SG}^{ab}$	Acid-base component
$\gamma^P$	Polar energy
$\gamma^D$	Dispersion energy
$\gamma^T$	Total surface energy

## 5. ACKNOWLEDGE

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