

Optimal pavement management: Resilient roads in support of emergency response of cyclone affected coastal areas

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1 **Optimal Pavement Management: Resilient roads in support of emergency**
2 **response of Cyclone Affected Coastal Areas**

3 **Abstract**

4 Roads in poor road condition disrupt emergency operations in disaster-prone areas during
5 emergency periods. Prolonged inundation of pavements from storm surge accelerates
6 deterioration of pavements and increases maintenance cost. The objective of this study is to
7 propose an optimized decision support system for pavement maintenance and rehabilitation
8 (M&R) operations guided by geo-physical risk and community vulnerabilities. A case study of
9 regional highways, arterial and collector roads at the district of Barguna, in Bangladesh is
10 selected given the frequency of cyclones and storm surges in this area. A geo-physical risk and
11 vulnerability (*GEOPHRIV*) index was estimated for each road's segment by integrating the geo-
12 physical risk; community, structure and infrastructure vulnerabilities; and damage indices. Linear
13 programming was applied to optimize M&R strategies to ensure good pavement condition for all
14 roads at a minimum M&R budget. Lifecycle optimization of M&R operations estimated that
15 USD 2.49 million is the minimum annual budget that ensures having good average road's
16 condition in the study area. Most of the annual M&R budget will be invested for overlay and
17 resealing treatments on the roads at high and medium *GEOPHRIV* areas. This study helps
18 transportation authorities to identify deteriorated pavement sections, maintain the pavement
19 periodically to prevent or minimize damage before storm surge, and allocate resources for M&R
20 operations.

21
22 **Keywords:**

23 Pavement deterioration; maintenance; Geo-physical risk; vulnerability; cyclone; optimization.

24 **1 Introduction**

25 Road infrastructure supports the accessibility of emergency resources, evacuation of vulnerable
26 people, and reconstruction and recovery of communities in a disaster-affected area (Faturechi
27 and Miller-Hooks, 2015). Natural disasters have an adverse impact on vulnerable population
28 potentially turning a large portion of road network inaccessible. The inaccessibility of roads in
29 disaster-prone areas makes the evacuation of people and logistic support challenging. Evacuation
30 activities reduce the exposure of vulnerable people to natural hazards and logistics support
31 ensures the lifeline to the survivors in disaster-affected areas (Yi and Kumar, 2007). Both of
32 these operations require the active operation of major roads connecting the affected areas to
33 major supply centers, shelters and hospitals.

34

35 The coastal areas of *Bangladesh* are vulnerable to cyclones and storm surge. These areas were
36 devastated by severe cyclones and suffered the losses of human lives, livestock and economic
37 production. The 1970 Great Bhola Cyclone caused massive destruction of coastal areas of
38 Bangladesh: 500,000 human lives and billions of dollars in property damage. Another deadliest
39 cyclone, 2B, killed at least 138,000 people, left 10 million people homeless and caused two
40 billions dollars of property damage in the coastal areas of Bangladesh on April 1991. The district
41 of *Barguna* is perhaps the hardest hit by cyclones and storm surges among all the coastal areas of
42 Bangladesh. Since 1887, this district was hit by approximately 35 cyclones and storms. The 2007
43 cyclone SIDR, that caused up to 9.5 meters height of storm surge, killed 1,335 people,
44 annihilated an area of 1119.89 sq. km. (61.15 percent of the district's total area), destroyed 60 to
45 70 percent of the crops, and fully and partially damaged 95,412 houses (36.89 percent of total
46 houses) in the Barguna district (Tamima, 2009).

47 Roads in poor condition and flooded roads in *Barguna* disrupted the evacuation, rescue and relief
48 operations before and after the cyclones and storm surges (Figure 1). Flooded and deteriorated
49 major roads of *Barguna* aggravated the emergency circumstances and increased human and
50 economic losses. In addition, the prolonged flooding of pavements produced the entrance of
51 moisture in the pavement's structure accelerating rutting and cracking. Increased damage in
52 pavements from flooding results in rapid deterioration of pavements, reduction in pavement life,
53 and increased maintenance cost (Mallick et al., 2014). Ironically, pavement maintenance and
54 rehabilitation's (M&R) budget was significantly reduced in Bangladesh during 2012-2017. The
55 Roads and Highways Department (2012) estimated the reduction of total M&R costs for
56 national, regional and district level roads as 96.17 percent, 92.7 percent and 89.06 percent during
57 the period 2012-2017, respectively. The decreasing M&R budget, poor pavement condition, and
58 rapid deterioration of pavements from flooding require a pavement management system (PMS)
59 to optimize the M&R budget for the road network of *Barguna*. A well-structured PMS will help
60 identify deteriorated pavement sections and maintain the pavement systematically to prevent or
61 minimize the damage before flooding, supporting the availability of critical response routes for
62 emergency attention and evacuation of traffic, and to allocate resources for post-disaster M&R
63 operations.

64 [Figure 1]

65
66 This study estimates the geo-physical risk and vulnerability (*GEOPHRIV*) index of each road
67 segment at the district of *Barguna* by integrating geophysical risk, social and physical
68 vulnerabilities of the communities, and damages from the previous natural disasters. The
69 optimization of pavement's M&R operations for road network of *Barguna* is achieved by

70 minimizing the *GEOPHRIV* index in addition to the pavement roughness progression subject to
71 an annual budget constraint.

72

73 **2 Literature review**

74 This study reviews the literature on natural disaster and road infrastructure and categorizes the
75 studies into two broader themes such as physical damage of road infrastructure and its impact on
76 transport mobility; and social impact and rehabilitation strategy against natural disaster. Chang
77 and Nojima (2001) measured the accessibility and network coverage of urban rail and highway
78 transportation systems in Kobe, Japan, which was devastated by the 1995 Hyogoken-Nanbu
79 earthquake. Chang and Nojima (2001) identified a significant spatial disparity in the recovery of
80 accessibility among sub-areas throughout the restoration process in Japan. Kim et al. (2002)
81 developed an integrated commodity flow model to optimize network flows considering the
82 partial or complete damages of road segments aftermath of natural disasters. Kim et al. (2002)
83 compared the transportation cost with and without disaster scenario. Cho et al. (2000) estimated
84 the transportation and economic cost of a hypothetical magnitude 7.1 earthquake on the Elysian
85 Park blind thrust fault in Los Angeles by combining bridge and other structure performance
86 model, transportation network model, spatial allocation model, and inter-industry model. Sohn
87 (2006) estimated the distance and distance-traffic volume as the two criteria of accessibility to
88 determine the potential impact of flood damage on the state transportation system in Maryland.
89 Sohn (2006) estimated greater accessibility loss at the county level considering the distance-
90 traffic flow criterion. Rowan et al. (2013) evaluated the threshold level of sensitivity of
91 transportation assets to a given level of exposure to changes in climate or natural hazards. Rowan

92 et al. (2013) focused on the key elements of damage functions without characterizing the entire
93 function.

94

95 Very few studies evaluate the pavement performance and M&R costs in disaster affected areas.

96 Some studies (Gaspard et al., 2006; Helali et al., 2008; Zhang et al., 2008; Vennapusa et al.,

97 2013) show that transportation agencies have performed some sampling using visual inspections

98 and field tests (particularly non-destructive tests) to determine the impact of natural hazards on

99 pavement performance. Pantha et al. (2010) calculated the maintenance priority index by

100 integrating International Roughness Index (*IRI*) and slope stability condition in Nepal mountains.

101 Mallick et al. (2014) estimated the long-term impact of climate change on pavement performance

102 and maintenance cost for a grid cell located in Massachusetts. Mallick et al. (2014) estimated

103 that the climate change could significantly reduce the structural strength of both subgrade and

104 hot mix asphalt (HMA) layers of a grid cell located in Massachusetts. Mallick et al. (2014) also

105 estimated that the average pavement life would decreased from 16 to 4 years over the span of

106 100 years and the maintenance cost could increase up to 160 percent. However, Mallick et al.

107 (2014) considered the asphalt mix overlay as the only maintenance activity and didn't calculate

108 the effect of increased maintenance costs. Mallick et al. (2015) evaluated the contribution of

109 pavement materials, climate and construction quality on the pavement's vulnerability to

110 flooding. Mallick et al. (2015) recommended the need for increasing the strength of pavements

111 that lie in flood prone areas, using aging resistant asphalt binders, greater thickness, lower

112 permeability and lower air voids in the HMA layer. Mallick et al. (2015) applied aging-related

113 equations that have limited ability to evaluate pavement's long term performance.

114

115 No study develops a PMS to minimize pavement deterioration and increase resiliency through
116 M&R for the pavements in the disaster prone areas. This study optimizes pavement M&R
117 operations by minimizing the geophysical risk of disaster, physical and social vulnerabilities, and
118 pavement roughness progression for a long term exercise subject to annual budget constraints.

119

120 **3 Methodology**

121 This study has been executed in three main steps: first the estimation of geo-physical risk and
122 vulnerability (*GEOPHRIV*) index of each road segments, second the estimation of pavement
123 performance applying the *IRI* and third the optimization of long term budget allocation and
124 scheduling of interventions (Figure 2).

125

126 [Figure 2]

127

128 **3.1 Geophysical risk and vulnerability (*GEOPHRIV*) index of roads**

129 Geophysical risk of a hazard is defined by the probability of occurrence and extent of resulting
130 consequences aftermath of the hazard. The ‘Multipurpose Cyclone Shelter Program (MCSP)’
131 and ‘National Survey on Current Status on Shelters and Developing and Operational CYSMIS’
132 defined the geophysical risk zones of cyclones and tropical storms in coastal areas of Bangladesh
133 (Figure 3). MCSP demarcate the risk zones based on the level of inundation under the surge
134 water (Tamima and Amin, 2009). Tamima (2009) collected data on inundation level at each rural
135 community of *Barguna* after the cyclone SIDR and identified that the height of storm surge was
136 within a range of 0.91 to 9.15 meters (Figure 3). The most devastated rural communities were
137 *Kakchira, Kalmegha, Patharghata, Baliatali, Naltona* and *Pancha Koralia* (Figure 3). This study

138 categorizes the geo-physical risk zones as very high, high, medium and low by combining the
139 results of MCSP and Tamima (2009).

140

141 [Figure 3]

142

143 The vulnerability index of any community in the district of Barguna susceptible to cyclone and
144 storm surge was determined using the Equation 1.

145

$$146 \text{ Vulnerability index} = (D)^{(COMV + STRINFV)} \quad (1)$$

147

148 Where: D , $COMV$ and $STRINFV$ are damage, social, and structure-infrastructure vulnerability
149 indices, respectively. A principal component analysis (PCA) was applied to estimate the values
150 of D , $COMV$ and $STRINFV$ indices. The value of each index was calculated by multiplying the
151 standardized value of the corresponding attributes (Figure 2), the proportion of variance
152 explained by each attribute and the proportion of variance explained by each factor (under which
153 that particular attribute is loaded) (Amin and Tamima, 2015). The standardized value of each
154 attribute was used in order to remove the effect of different units of measurement and to warrant
155 cross comparisons.

156

157 The power function on Equation 1 is continuous and differentiable at all points of its domain,
158 except at the point $D = 0$ when $0 < (COMV + INFRAV) < 1$. Different degrees of $COMV$ and
159 $STRINFV$ indices can extend the severity of damages. The integer of vulnerability index was

160 defined by the Cavalieri's quadrature formula (Equation 2) to include all points of the domain on
 161 Equation 1.

162

$$163 \text{ Vulnerability index} = \int_0^{D_n} [(D)^{(COMV + STRINFV)}] dD = \frac{D_n^{(COMV + INFRAV)}}{(COMV + INFRAV) + 1} \quad D = 0, \dots, D_n \quad (2)$$

164

165 The *GEOPHRIV* index of each community was calculated integrating geophysical risk and
 166 vulnerability index (Equation 3). The values of *GEOPHRIV* were defined within a 0 to 10 scale
 167 in order to obtain values analogous to those for the International Roughness Index (*IRI*).

168

$$169 \text{ GEOPHRIV} = \frac{R! \cdot D_n^{(COMV + INFRAV)}}{(R - 1)! (COMV + INFRAV) + 1} \quad 0 \geq \text{GEOPHRIV} \geq 10 \quad (3)$$

170

171 Where *R* defines geophysical risk zones that were categorized as 1, 2, 3 and 4 representing low,
 172 medium, high and very high geophysical risk zones, respectively. The *GEOPHRIV* value of each
 173 road link was estimated by summing up the *GEOPHRIV* values of all rural communities within a
 174 3 km buffer zone of the corresponding road link. Tamima (2009) observed that local people used
 175 to travel on average 3-km to reach shelter after a cyclone warning.

176

177 **3.2 Pavement performance modeling**

178 Road pavements in Bangladesh are built with an unbound aggregate base underneath a hot mixed
 179 asphalt (HMA) layer. Moisture in either the HMA layer and/or the granular base layer may
 180 damage the pavements subject to flooding (Little and Jones, 2003). This study applied the basic
 181 design equation of the 1993 American Association of State Highway and Transportation

182 Officials (AASHTO) guide to estimate the present serviceability index (*PSI*) of flexible
183 pavements in coastal regions at a time *t* (Equation 4).

184

$$185 \quad PSI_t = PSI_{t-1} - 2.7 \times 10^a \quad (4)$$

186

$$187 \quad a = \left[0.40 + \frac{1094}{(SN + 1)^{5.19}} \right]$$
$$188 \quad \left[\log_{10} (ESALS_t) - (Z_R \times S_0) - 9.36 \log_{10} (SN + 1) - 2.32 \log_{10} (M_R) + 8.27 \right]$$

189

190

191 Where PSI_{t-1} is the *PSI* at time (*t-1*), $ESALS_t$ is the 80 KN equivalent single axle load at time *t*,
192 Z_R is the standard normal deviate that considers the design uncertainties, S_0 is the combined
193 standard error of traffic prediction and performance prediction, SN is the structural number or
194 structural strength of the pavement, and M_R is the subgrade resilient modulus (AASHTO, 1993).
195 The SN was calculated from the thickness of layers and their corresponding layer coefficients
196 and drainage coefficients (AASHTO, 1993). Drainage coefficients of different layers of
197 pavement are determined based on the time of standing water and saturated condition. After the
198 storm surge, water stagnation prolonged more than one month causing the very poor drainage
199 quality in the study area that is defined by the drainage coefficients within the range of 0.75-0.40
200 (AASHTO, 1993). This study considered the reliability level and corresponding Z_R value as 95
201 percent and -1.645 for the roads of Barguna district, respectively (AASHTO, 1993). The value
202 range of S_0 for flexible pavements was considered as 0.40 to 0.50 (AASHTO, 1993). The M_R
203 was calculated based on the California Bearing Ratio (*CBR*) method. Heukelom and Klomp
204 (1962) related M_R and *CBR* using Equation 5. Alam and Zakaria (2002) collected the samples

205 from Katchpur area along Dhaka-Chittagong highway and from Aminbazar area along Dhaka-
 206 Aricha highway and kept in water for 4, 7, 30 and 45 days. Alam and Zakaria (2002) estimated
 207 that the average *CBR* values with medium compaction efforts were 2.7, 2.5, 2.2 and 1.9 keeping
 208 the samples in water for 4, 7, 30 and 45 days, respectively.

209

$$210 \quad M_R = 1500 \times CBR \quad (5)$$

211

212 The ESALs for different categories of vehicles on the roads of *Barguna* for the period t were
 213 calculated applying Equation 6 as proposed by the Bangladesh Road Materials and Standard
 214 Study (BRMSS) report (Roads and Highways Department, 1996). Where r represents the traffic
 215 growth rate, $AADT_i$ represents annual average daily traffic of i vehicle type, and EF_i is
 216 equivalent load factor of i vehicle type.

217

$$218 \quad ESALS_t = \sum_i^n 365 \times AADT_i \times EF_i \times \frac{\left(1 + \frac{r}{100}\right)^t - 1}{\frac{r}{100}} \quad (6)$$

219

220 This study converted the *PSI* to *IRI* for each road segment following the Equation 7 since the
 221 transportation authorities in Bangladesh assess the performance of flexible pavements in terms of
 222 roughness progression. Sayers et al. (1986) developed Equation 7 during the International Road
 223 Roughness Experiment conducted in Brazil in 1982, and it was validated by several studies
 224 (Paterson et al., 1992; Haas et al., 1994; Prozzi, 2001).

225

$$226 \quad IRI = 5.5 \ln \frac{5.0}{PSI} \quad (7)$$

227
228 *IRI* values were categorized as excellent ($0 \leq IRI \leq 2$), good ($2 \leq IRI \leq 4$), fair ($4 \leq IRI \leq 6$) and
229 poor ($6 \leq IRI \leq 10$). The Local Government Engineering Department (LGED) defines the life of
230 a pavement as 10 years for rural roads in Bangladesh. Tamima (2009) estimated that the
231 probabilities of returning severe (wind speed 89-118 km/hr), very severe (wind speed 119-221
232 km/hr), and super cyclones (wind speed 222 km/hr and above) at 10 years intervals were 0.187,
233 0.187 and 0.1339, respectively. This study considers a pavement design life and period of returns
234 of 10 and 30 years, respectively. The operational window of pavement M&R operations is
235 presented in Table 1. Roads will require the reconstruction with earth-filling every 10 years
236 because of the washed away effect by storm surges every 10-years.

237

238 [Table 1]

239

240 **3.3 Lifecycle optimization of road maintenance**

241 Lifecycle optimization to achieve and sustain acceptable pavement condition ($\overline{IRI} \leq 4$) at a
242 minimum cost is used to find required levels of annual M&R budget (Equation 8 and 9). The
243 minimization of roughness progression (*IRI*) and *GEOPHRIV* values under such a budget is then
244 used to find optimal strategic results for pavement management (Equation 10 and 11). This
245 formulation relied on a decision tree containing all possible paths of pavement condition across
246 time, after hypothetically receiving available treatments (Amin and Amador, 2014). This tree is
247 based upon a transfer function used to estimate condition (IRI_{it}) as a convex combination based
248 on the decision variable and the improvement or deterioration of the specific link on time t
249 (Equation 12).

250

251 The optimization programming has two objective functions. The first objective function is to
252 minimize the maintenance costs (Equation 8) maintaining the road condition (IRI) at a threshold
253 level (good condition, $IRI \leq 4$) (Equation 9). The objective function is to minimize cost (Z)
254 (Equation 8) subject to a target level of pavement condition of IRI of 4 or less (Equation 9). The

255 alternative formulation is to maximize pavement condition of the road network $[\sum_{t=1}^T \sum_{i=1}^a (L_i IRI_{it})]$,

256 which for IRI results in the aim to minimize its value, in addition to minimize the levels of
257 GEOPHRIV index (Equation 10). This annual maintenance costs derived from Equation 8 should
258 not exceed the annual budget of Local Government Engineering Department in Bangladesh that
259 is explained in Equation 11. The second objective function (Equation 10) is to minimize the
260 pavement roughness (IRI) and geo-physical risk (GeoPHRIV) with the maintenance operations
261 that are optimized by Equations 8 and 9. This alternative formulation uses annual budget as a
262 constraint (Equation 11)

263
$$\text{MINIMIZE } Z = \sum_{t=1}^T \sum_{i=1}^a \sum_{j=1}^o C_{ij} X_{ij} L_i \quad (8)$$

264 Subject to:
$$\sum_{t=1}^T \sum_{i=1}^a L_i IRI_{it} \geq (\overline{IRI}) \sum_{i=1}^a L_i \quad (9)$$

265
$$\text{MINIMIZE } \sum_{t=1}^T \sum_{i=1}^a (W_{1t} * L_i IRI_{t,i} + W_{2t} * GEOPHRIV_{it}) \quad (10)$$

266 Subject to:
$$Z = \sum_{t=1}^T \sum_{i=1}^a \sum_{j=1}^k C_{t,j} x_{t,i,j} L_i \leq B_t \quad (11)$$

267
$$0 \leq IRI_{t,i} \leq 10 \text{ and } 0 \leq GEOPHRIV_{it} \leq 10$$

268

269 $\sum_{j \in J_{t,i}} x_{t,i,j} \leq 1$ for all times t and for each road i

270

271
$$IRI_{tij} = X_{tij} (IRI_{(t-1)ij} + E_{ij}) + (1 - X_{tij}) (IRI_{(t-1)ij} + D_{it}) \quad (12)$$

272

273 Where X_{tij} is a binary decision variable equal to 1 if treatment j is applied on road segment i at
274 year t , zero otherwise; IRI_{ti} is condition Index for road segment i at year t ; IRI_{tij} is condition
275 Index of road segment i at year t after receiving treatment j as shown on the dynamic transfer
276 function (Equation 12); $IRI_{(t-1)ij}$ is condition Index of road segment i at year $(t-1)$ before receiving
277 treatment j ; C_{tj} is cost (\$) of treatment j at year t ; L_i is length of road (km) for road segment i ; E_{ij}
278 is improvement (+) on road segment i from treatment j , D_{it} is deterioration (-) on road segment i
279 at time t , B_t is budget at year t , $GEOPHRIV_{,it}$ is the *GEOPHRIV* value for road i at time t , and W_1
280 and W_2 are the weights of the *IRI* and *GEOPHRIV* indices, respectively. These weights follow a
281 dominance analysis in order to remove inferior combinations (Amador and Afghari 2012).

282

283 **4 Results and discussion**

284

285 **4.1 Geo-physical risk and vulnerability analysis**

286 A PCA was applied to estimate the D , $COMV$ and $STRINFV$ indices. The first step of
287 performing PCA was to assess the data suitability. The pattern of relationships among
288 variables was identified from the correlation matrix, determinant of correlation, total
289 variance (before and after rotation) and the component matrix (before and after rotation). The
290 ‘Eigenvalues’ associated with linear components (factor) before extraction, after extraction
291 and after rotation were evaluated. The ‘Eigenvalues’ represented the variance explained by

292 the linear component. If the total variance of each test is unity, the ‘Eigenvalues’ of the first
293 factors have the theoretical maximum equal to the number of tests (Kinnear & Gray, 2009).
294 The first factors have the greatest sums and thus account for the greatest part of the total
295 variance. Table 2 illustrates that the first seven factors, six factors and first factor explain
296 80.46 percent, 78.12 percent and 82.05 percent variance of *D*, *STRINFV* and *COMV* indices
297 and have eigenvalues greater than 1, respectively. This study considers the proportion of
298 variances explained by each factor and variables from the rotated sum of squared loading.
299 The rotated sum of squared loading, representing the effects of optimising the factor
300 structure, was examined to equalise the relative importance of the most significant factors
301 (Table 2).

302

303

[Table 2]

304

305 The communality of each variable, the total proportion of variance accounted for the
306 extracted factors, was calculated by the squared multiple correlations among the test and the
307 factors emerging from the PCA. The relationship between the variables and extracted factors
308 were identified by the rotation component matrix of PCA (Table 3). The rotations were
309 performed by the Varimax with Kaiser Normalization process and the convergence of
310 rotation was obtained after 7 iterations.

311

312

[Table 3]

313

314 The values of *D*, *COMV* and *STRINFV* indices for each rural community were calculated by
315 multiplying the standardized value of the corresponding attributes, the proportion of variance

316 explained by each attribute and the proportion of variance explained by each factor. The value of
317 *GEOPHRIV* index for each rural community was estimated following Equation 3. The
318 *GEOPHRIV* value of each road link was estimated by summing up the *GEOPHRIV* value of all
319 rural communities within the 3 km buffer zone of the corresponding road link. Figure 4 and 5
320 show the values of *GEOPHRIV* index for road links and rural communities in the year 2001 and
321 2011, respectively.

322 [Figure 4]

323 [Figure 5]

324 **4.2 Optimization of pavement M&R operations**

325 The prioritization of maintenance operations for each link of the rural road network was
326 determined by minimizing the weighted values of *IRI* and *GEOPHRIV* for each link within the
327 annual budget constraint. It is very difficult to define the annual road maintenance budget of
328 LGED for each district. During the financial year of 2016-2017, the Roads and Highways
329 Department and LGED have M&R budgets of USD 14.38 million and USD 17.56 million,
330 respectively. Assuming the equal distribution of this fund among sixty-four districts in
331 Bangladesh, the anticipated annual M&R budget for each district is USD 500,000. However, the
332 lifecycle optimization reveals that the condition of the whole road network in *Barguna* will
333 deteriorate (*IRI* increase) by 10 percent with such current M&R budget (Figure 7). Since the
334 objective of life-cycle optimization of M&R operations is to maintain the pavements in good
335 condition ($IRI \leq 4$), the minimum annual M&R budget was estimated using Equation 8 and 9
336 (Figure 6). Required annual M&R budget was estimated at USD 1.51 million in order to improve
337 the condition of the whole road network to good levels after 20th year of optimal allocation of
338 M&R works (Figure 7), however the level of condition decayed after that. The minimization of

339 *IRI* and *GEOPHRIV* under different percentile distributions of proposed M&R budgets was then
340 calculated to find the optimal strategic results for pavement management applying Equations 10
341 and 11 (Figure 6 and 7). It was found that USD 2,487,849 is the minimum annual budget that
342 ensures having good network-average roads condition for 30 years (Figure 7 and 8). However,
343 roads in poor condition will appear by year 25, explained by the short design life of
344 reconstructed roads in Bangladesh, the only way to counteract these phenomena is by extending
345 the design life, but this in turn requires a policy change that escapes this research.

346

347 [Figure 6]

348 [Figure 7]

349 [Figure 8]

350

351 A significant portion of the predicted annual M&R budget will be allocated to regional
352 highways. Regional highways will require on an average 43 percent of the annual M&R budget,
353 while arterial and collector roads will require 33 percent and 24 percent of the annual M&R
354 budget to maintain in good condition during the estimation period, respectively (Figure 9). The
355 allocated annual M&R budget for different types of roads will maintain annually an average of
356 42 km, 33 km and 24 km of regional highways, arterial and collector roads, respectively (Figure
357 10). During the first 10 years of the estimation period, the annual M&R budget will maintain a
358 range of 106-187 km length of the road network in Barguna district that was reduced to 42-65
359 km during the last 10 years (Figure 10). The length of the treated road will be significantly
360 reduced at the tail of the estimation period because a major portion of M&R budget will be
361 allocated for the overlay, major rehabilitation and reconstruction activities (Figure 11). Most of
362 the annual M&R budget (a range of 60 to 100 percent of the annual M&R budget during the

363 estimation period) will be allocated for maintaining the roads with the high and medium
364 *GEOPHRIV* values. This reveals that the roads located at the high and medium geo-physical risk
365 and vulnerability regions are given priority in the proposed PMS.

366

367 [Figure 9]

368 [Figure 10]

369 [Figure 11]

370

371 The majority of the M&R budget will be invested for overlay followed by resealing, this is again
372 explained by the low cost effectiveness of current reconstruction strategy under limited design
373 life of 10 years. For example, 66 percent, 53 percent and 44 percent annual M&R budget
374 allocated for regional highways, arterial and collector roads will be invested for overlay,
375 respectively (Figures 12-14). The regional highways located at the high, medium and low
376 *GEOPHRIV* zones will obtain 42 percent, 39 percent and 19 percent of overlay treatment budget,
377 respectively (Figure 12). The arterial roads located at the high, medium and low *GEOPHRIV*
378 zones will obtain 41 percent, 35 percent and 24 percent of overlay treatment budget, respectively
379 (Figure 13). Half of the overlay treatment cost for collector roads will be allocated for roads at
380 medium *GEOPHRIV* zone (Figure 14). The resealing treatment will require 21 percent, 14
381 percent and 7 percent of the annual M&R budget allocated for regional highways, arterial and
382 collector roads, respectively (Figures 12-14).

383

384 [Figure 12]

385 [Figure 13]

[Figure 14]

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It is worthwhile mentioning that the herein proposed model improves over the conventional PMS by minimizing the pavement deterioration and optimizing the M&R budget for the pavements in the disaster prone areas. Firstly, it incorporates the geo-physical risk of disaster, and physical and social vulnerabilities of the communities susceptible to disaster. Secondly, this proposed model develops the pavement performance curves that are subjected to prolonged inundation after the storm surge. Thirdly, this model optimizes the annual M&R budget including the geo-physical risk, community vulnerabilities and pavement deterioration. Traditional methods use visual evaluation and field tests at the disaster affected areas to evaluate the condition of the pavements. These tests are usually performed at a very limited sample of road segments in the disaster affected areas. Roads are maintained based on the results of tests. However, these roads become inaccessible before, during and after the disaster because of continuing deterioration. Roads at the disaster-prone areas require to be accessible especially during the emergency period for evacuating the vulnerable people and supplying the logistic supports to the survivors in the disaster-affected areas. Both of these operations require the active operation of major roads connecting the affected areas to major supply centers, shelters and hospitals. This model helps the transportation authorities to identify deteriorated pavement sections, maintain the pavement systematically to prevent or minimize damage before flooding, route choice for emergency or evacuation traffic, and allocate resources for post-disaster M&R operations.

406
407 **5 Conclusions**

408 Cyclone and storm surge has an adverse impact on the pavement condition of road network in
409 the disaster-prone areas that disrupts the evacuation, rescue and relief operations during the

410 emergency period. The deteriorated and submerged roads aggravate the emergency
411 circumstances and increased the human and economic losses. In addition, the prolonged
412 inundation of pavements from storm surge causes the entrance of moisture in pavements that
413 accelerates rapid deterioration and increases maintenance cost. This study estimates the geo-
414 physical risk and vulnerability (*GEOPHRIV*) index of each road segment of Barguna district
415 integrating geo-physical risk, social and physical vulnerabilities of the communities, and
416 damages from the previous natural disaster. The optimization of pavement M&R operations for
417 road network of Barguna district is achieved by minimizing the *GEOPHRIV* index and pavement
418 roughness progression within the annual budget constraint. The regional highways, arterial and
419 collector roads of Barguna district, a coastal area of Bangladesh, is considered as a case study.
420 Barguna district is the hardest hit of cyclones and storm surges among the coastal areas of
421 Bangladesh. Since 1887, this district was hit by approximately 35 cyclones and storms.

422

423 This study has been executed in three main steps: first the estimation of geo-physical risk and
424 vulnerability (*GEOPHRIV*) index of each road segments by integrating the geo-physical risk and
425 damage, community, and structure and infrastructure vulnerability indices, second the estimation
426 of pavement performance applying the *IRI* and third the optimization of long term budget
427 allocation and scheduling of interventions. The principal component analysis (PCA) of
428 multivariate analysis techniques was applied to estimate the value of each index multiplying the
429 standardized value of variables, the proportion of variance explained by each variable and the
430 proportion of variance explained by each factor. The 1993 AASHTO guide was applied to
431 estimate the deterioration of flexible pavements. Linear programming was applied to develop

432 M&R strategies ensuring the good pavement condition of roads at a minimum maintenance
433 budget.

434

435 Lifecycle optimization of M&R operations estimated that USD 2,487,849 is the minimum annual
436 budget that ensures having good average roads condition in Barguna district for 30 years. Most
437 of the annual M&R budget (a range of 60 to 100 percent of the annual M&R budget during the
438 estimation period) will be allocated for maintaining the roads with the high and medium
439 *GEOPHRIV* values. This reveals that the roads located at the high and medium geo-physical risk
440 and vulnerability regions are given priority in the proposed PMS. The majority of the M&R
441 budget will be invested for overlay followed by resealing. The regional highways located at the
442 high, medium and low *GEOPHRIV* zones will obtain 42 percent, 39 percent and 19 percent of
443 overlay treatment budget, respectively. The arterial roads located at the high, medium and low
444 *GEOPHRIV* zones will obtain 41 percent, 35 percent and 24 percent of overlay treatment budget,
445 respectively. Half of the overlay treatment cost for collector roads will be allocated for roads at
446 medium *GEOPHRIV* zone. Low Cost effectiveness of reconstruction given limited design life of
447 new pavements call for a change in policy to increase the design life of reconstructed pavements.

448

449 The developed model of M&R operations has three-fold improvement on the conventional
450 methods of PMS. Firstly, it incorporates the geo-physical risk of disaster, and physical and social
451 vulnerabilities of the communities susceptible to disaster. Secondly, this proposed model
452 develops the pavement performance curves that are subjected to prolonged inundation after the
453 storm surge. Thirdly, this model optimizes the annual M&R budget including the geo-physical
454 risk, community vulnerabilities and pavement deterioration. This model helps the transportation

455 authorities to identify deteriorated pavement sections, maintain the pavement systematically to
456 prevent or minimize damage before flooding, route choice for emergency or evacuation traffic,
457 and allocate resources for post-disaster M&R operations.

458

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Figure 1: Storm surges breaks up the pavements of road network in Barguna district, Bangladesh

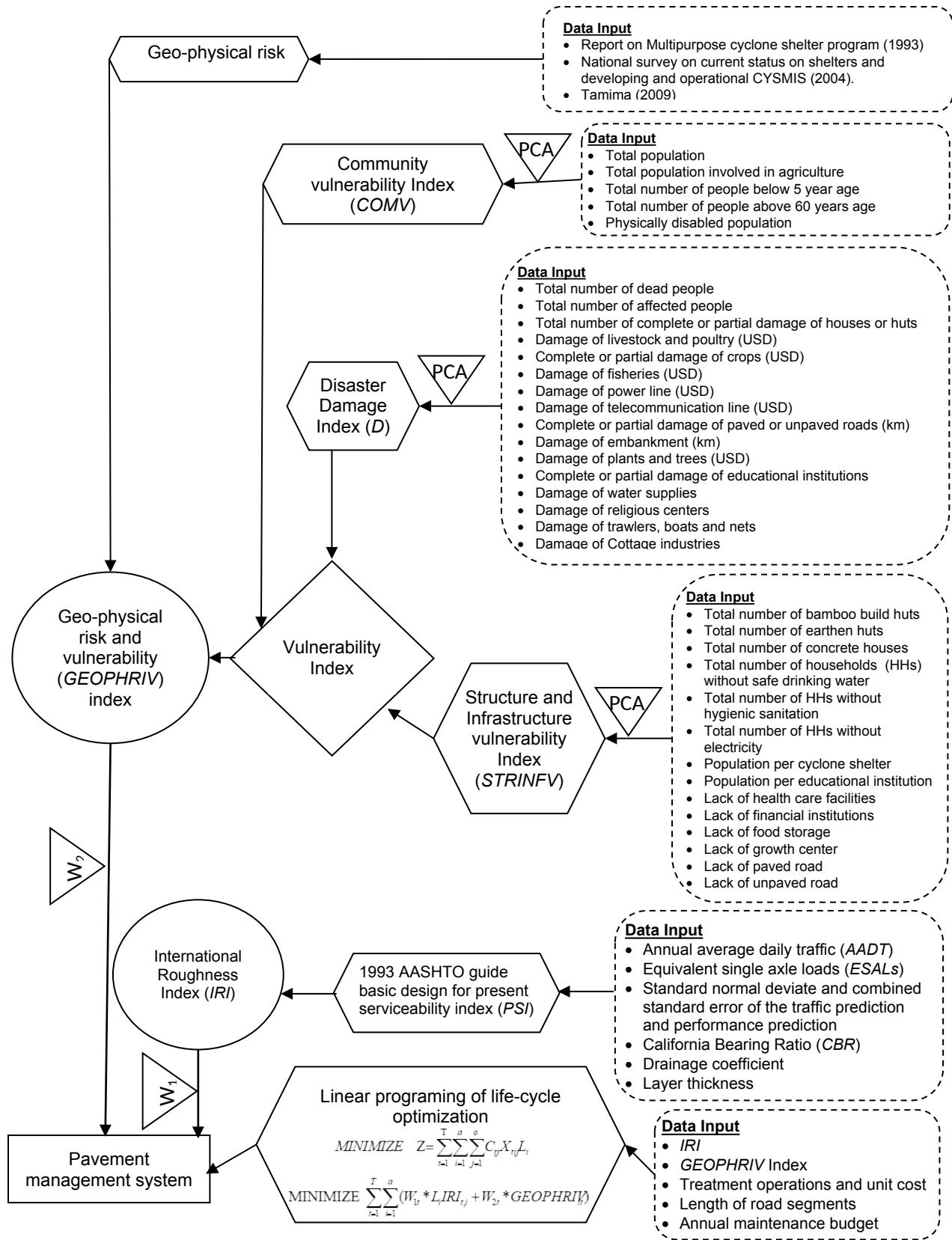


Figure 2: Flow diagram of methodology

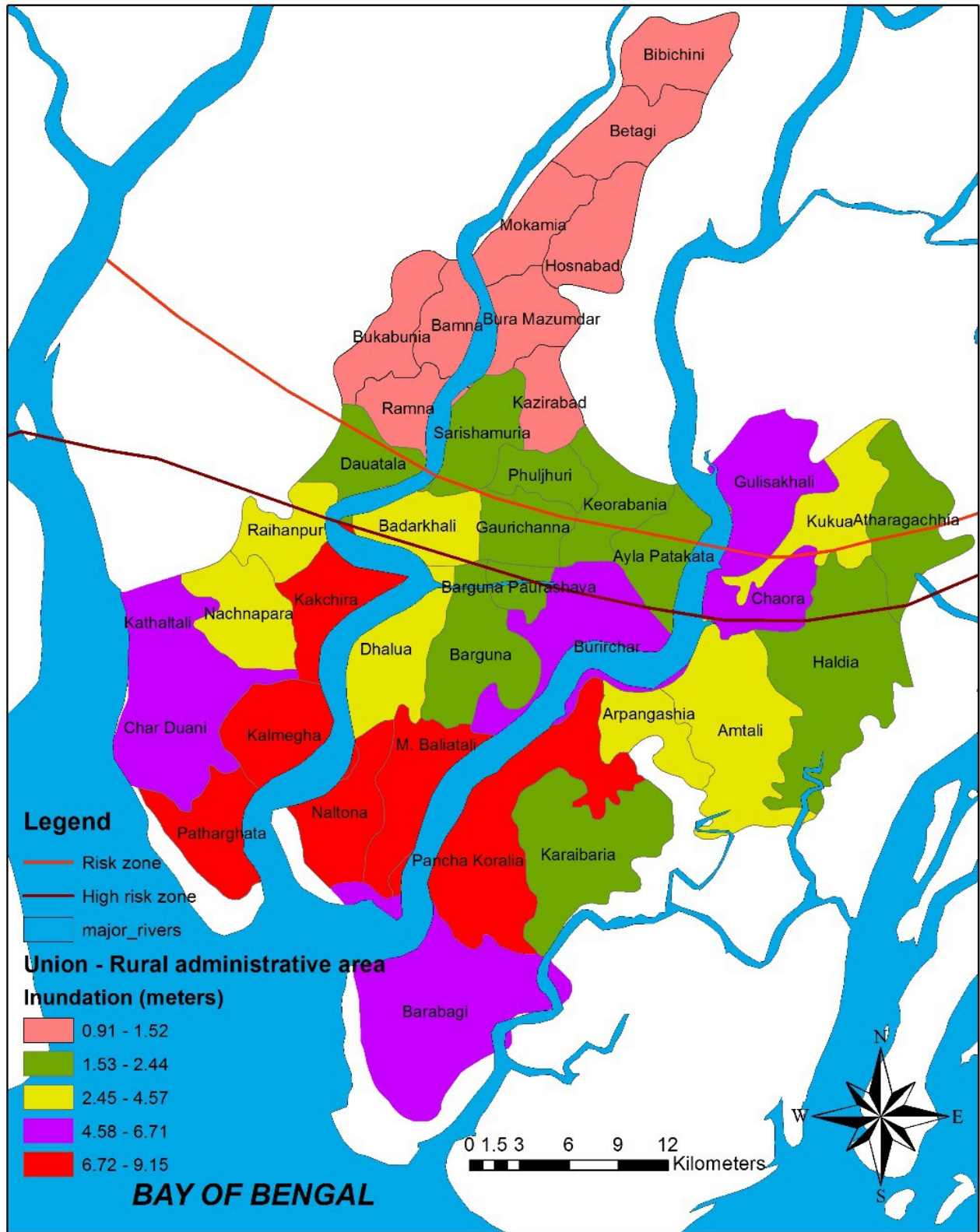


Figure 3: Inundation and risk levels of rural communities at Barguna’s district

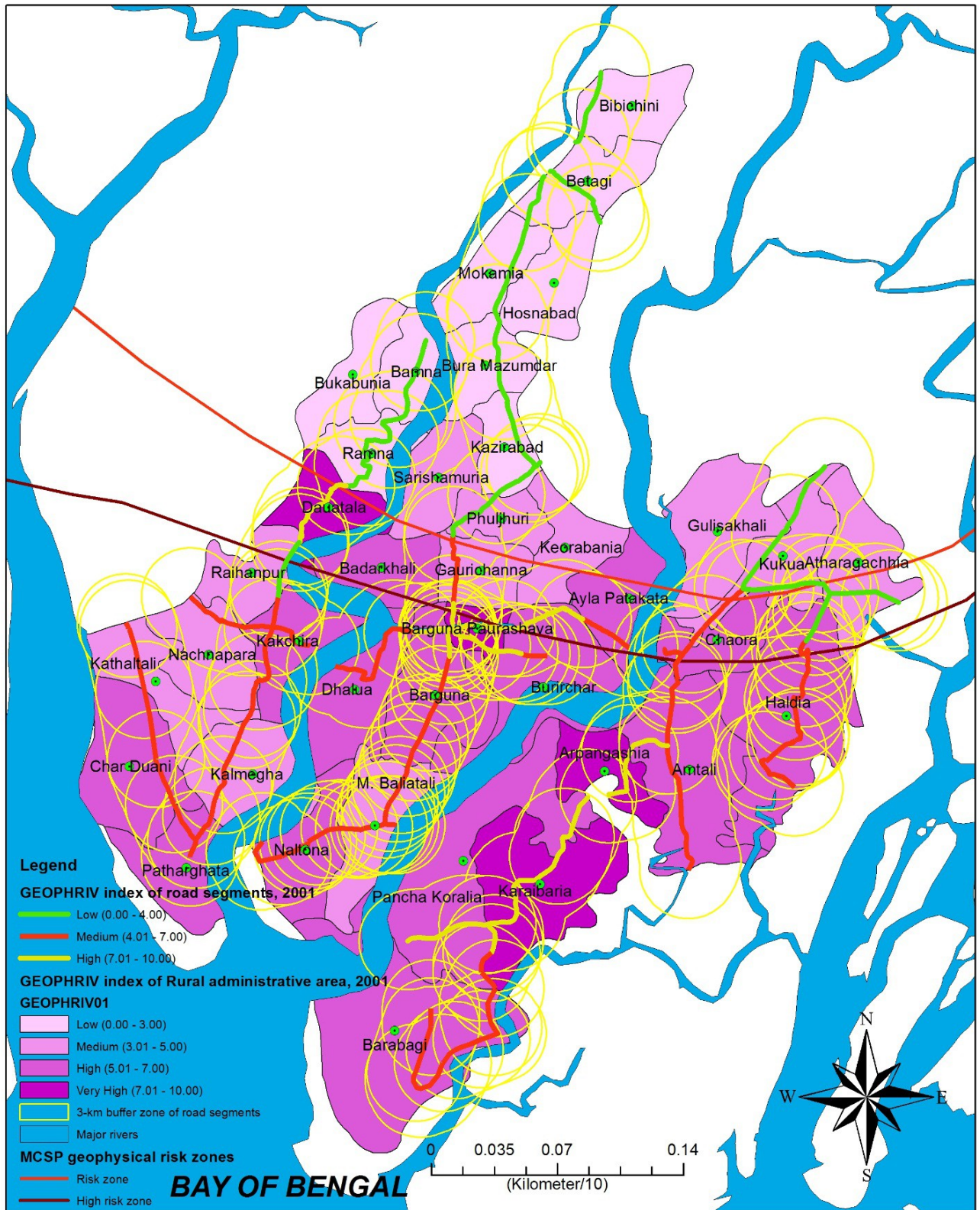


Figure 4: *GEOPHRIV* index map of road network in Barguna district in the year 2001

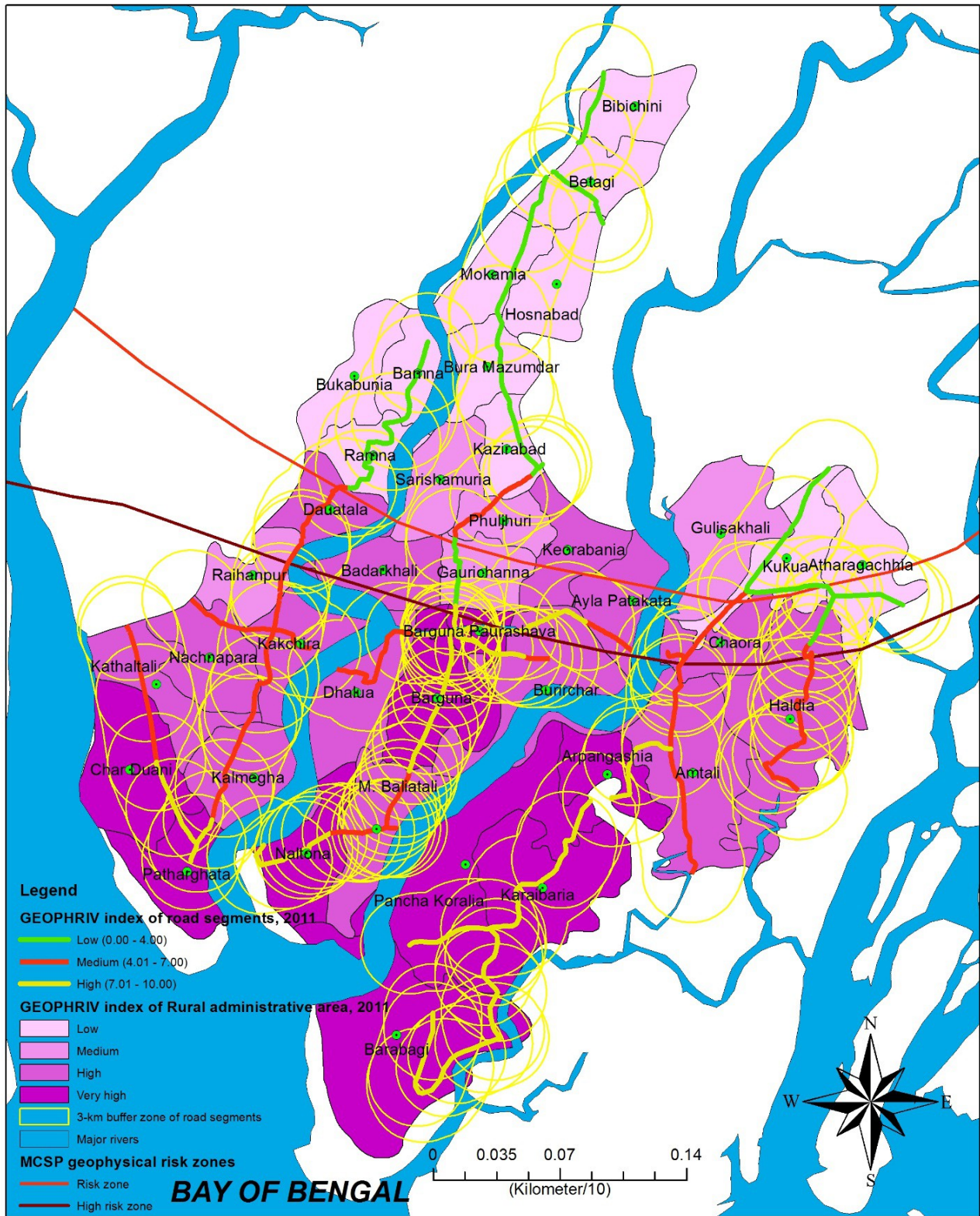


Figure 5: *GEOPHRIV* index map of road network in Barguna district in the year 2011

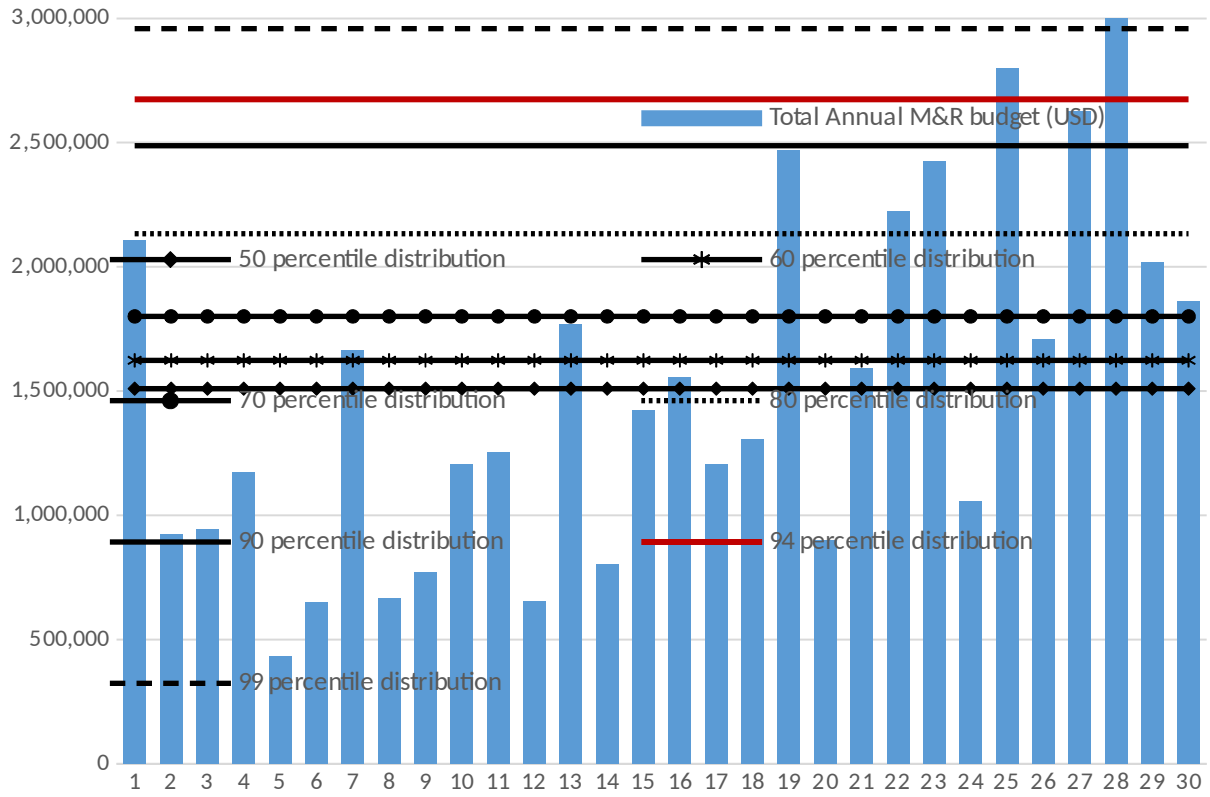


Figure 6: Minimum annual M&R budget requires to maintain the road network in good condition

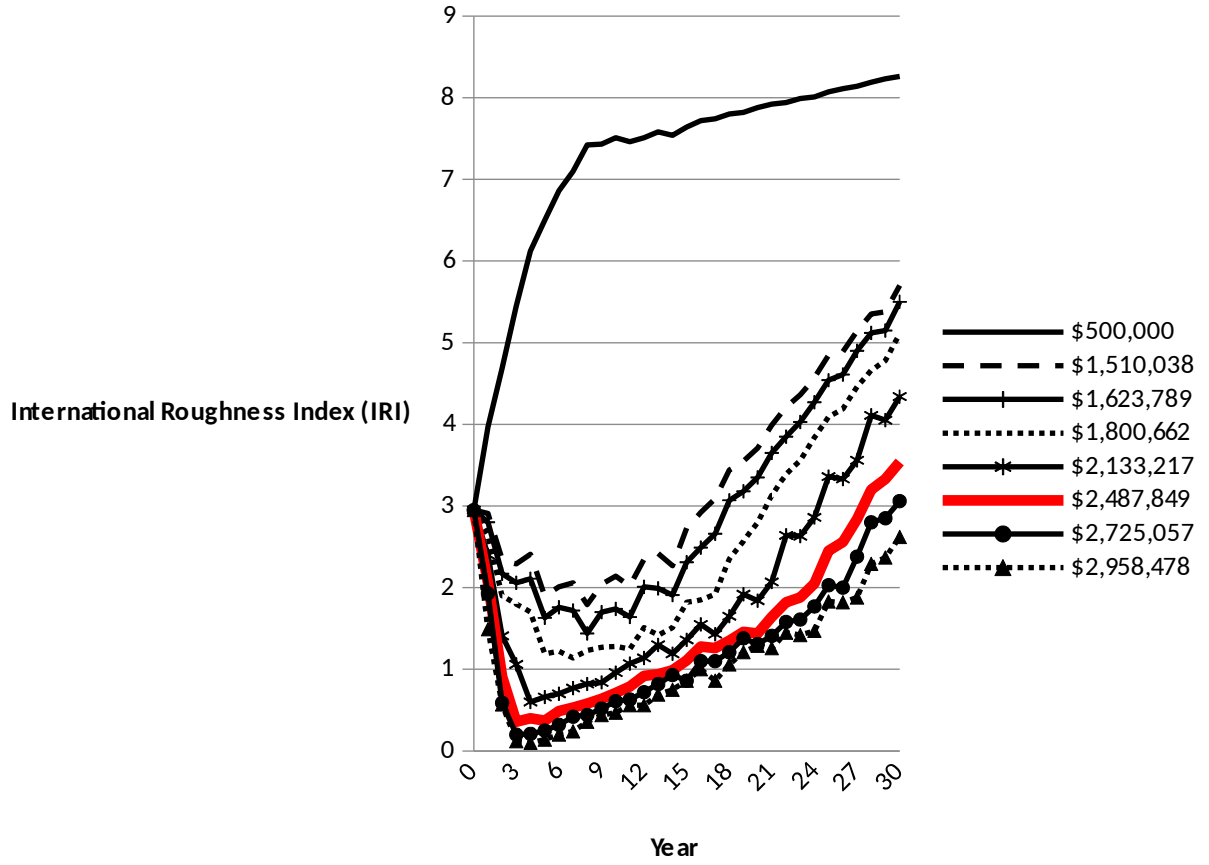


Figure 7: International Roughness Index (IRI) for different annual M&R budgets

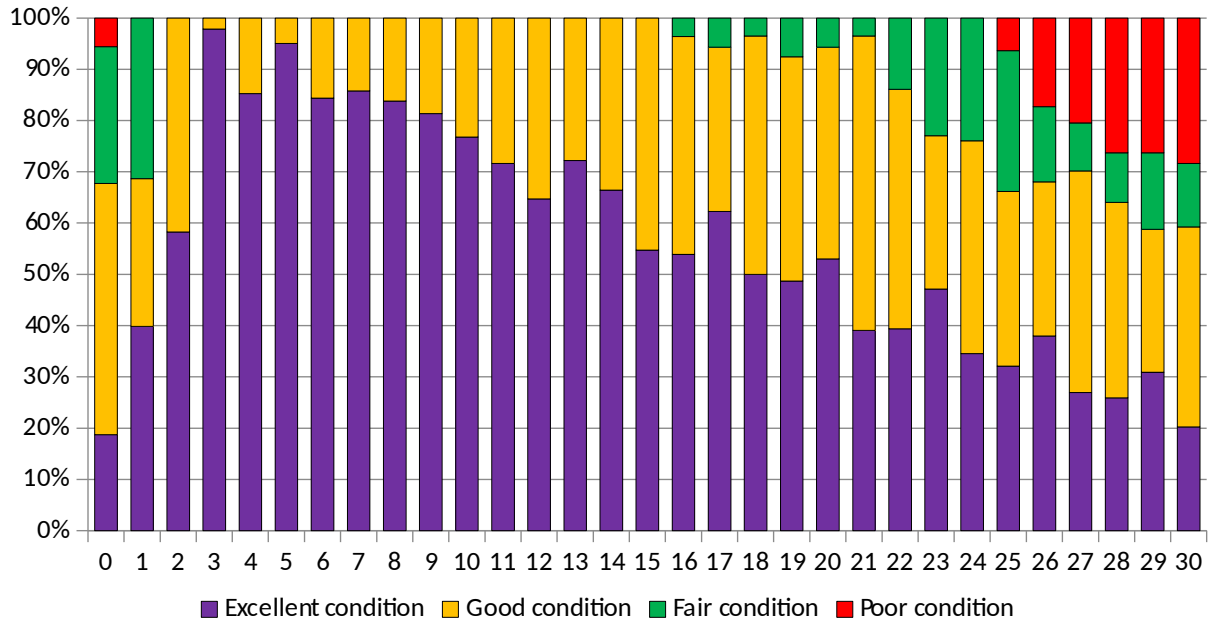


Figure 8: Condition of road network in Barguna district with the proposed USD 2.49 million annual M&R budget

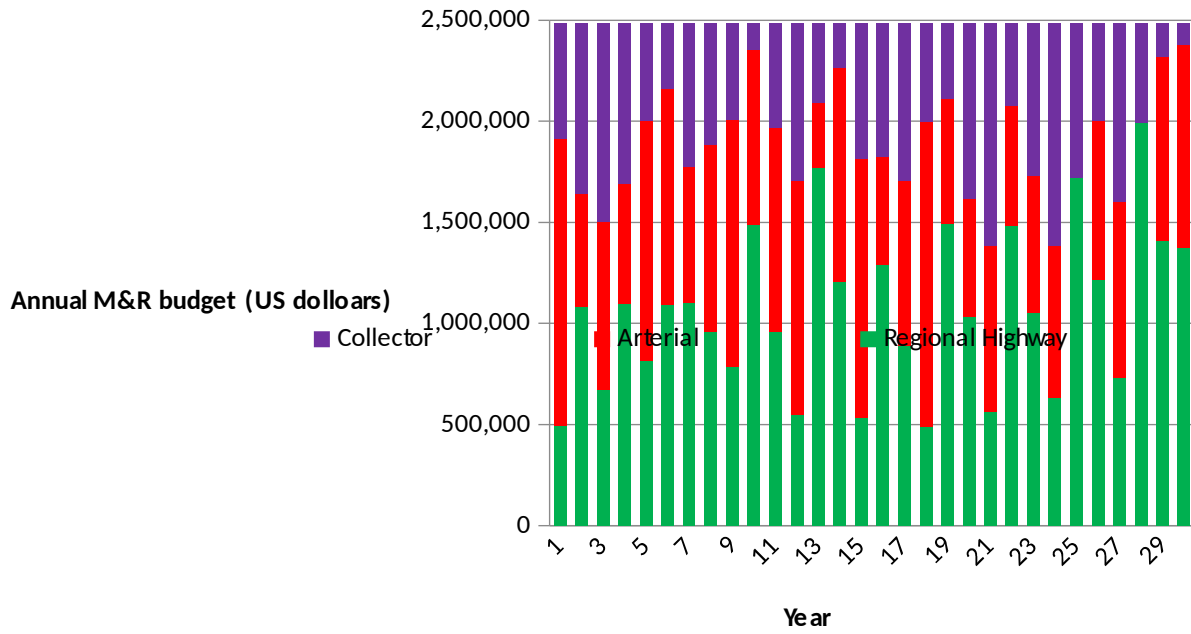


Figure 9: Distribution of annual M&R budget among different road hierarchies

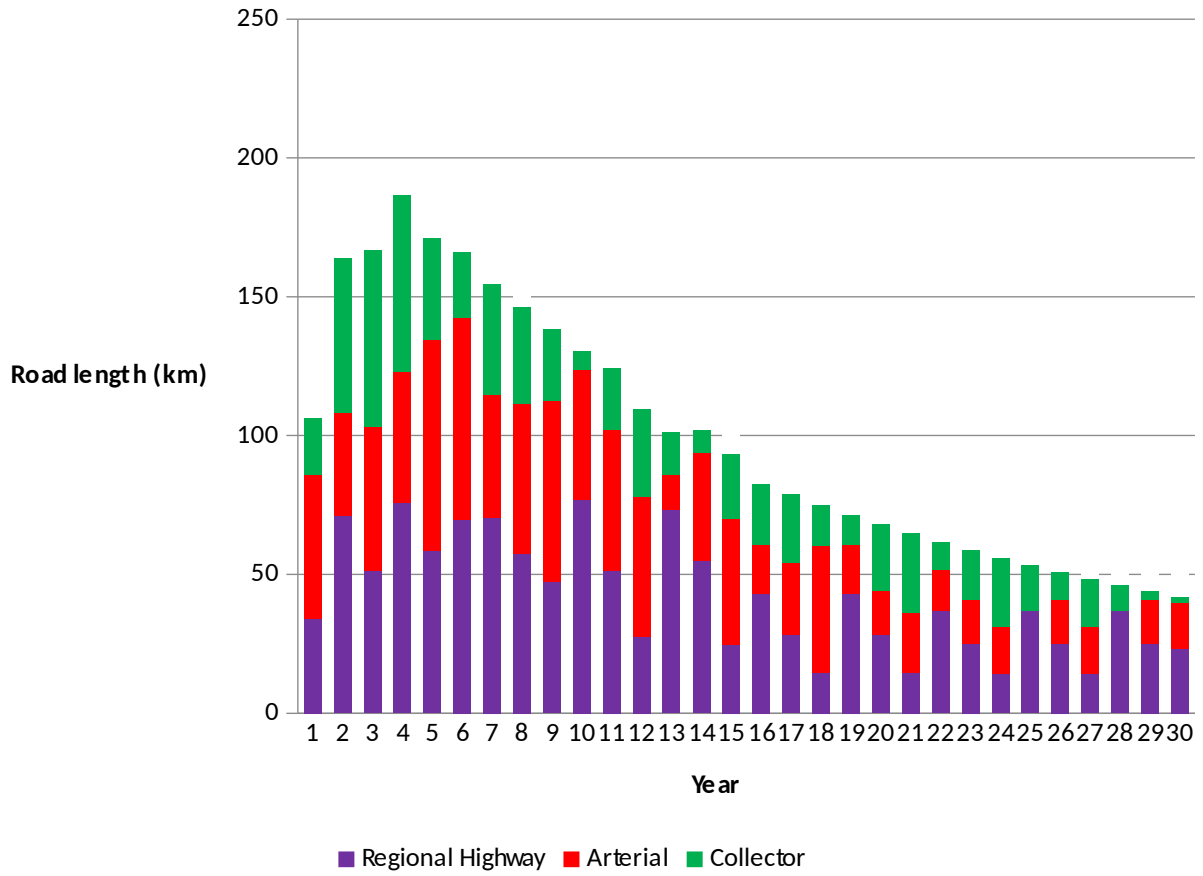


Figure 10: Total length (km) of different road hierarchies treated annually with the proposed M&R budget

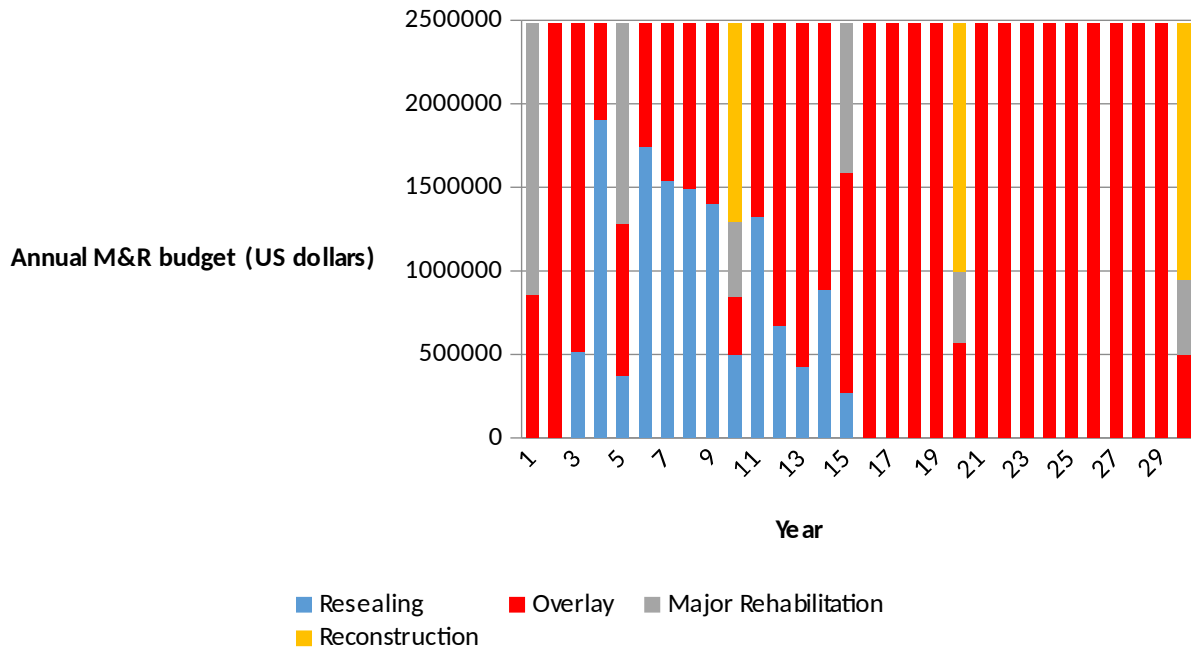


Figure 11: Distribution of annual M&R budget among different treatment operations

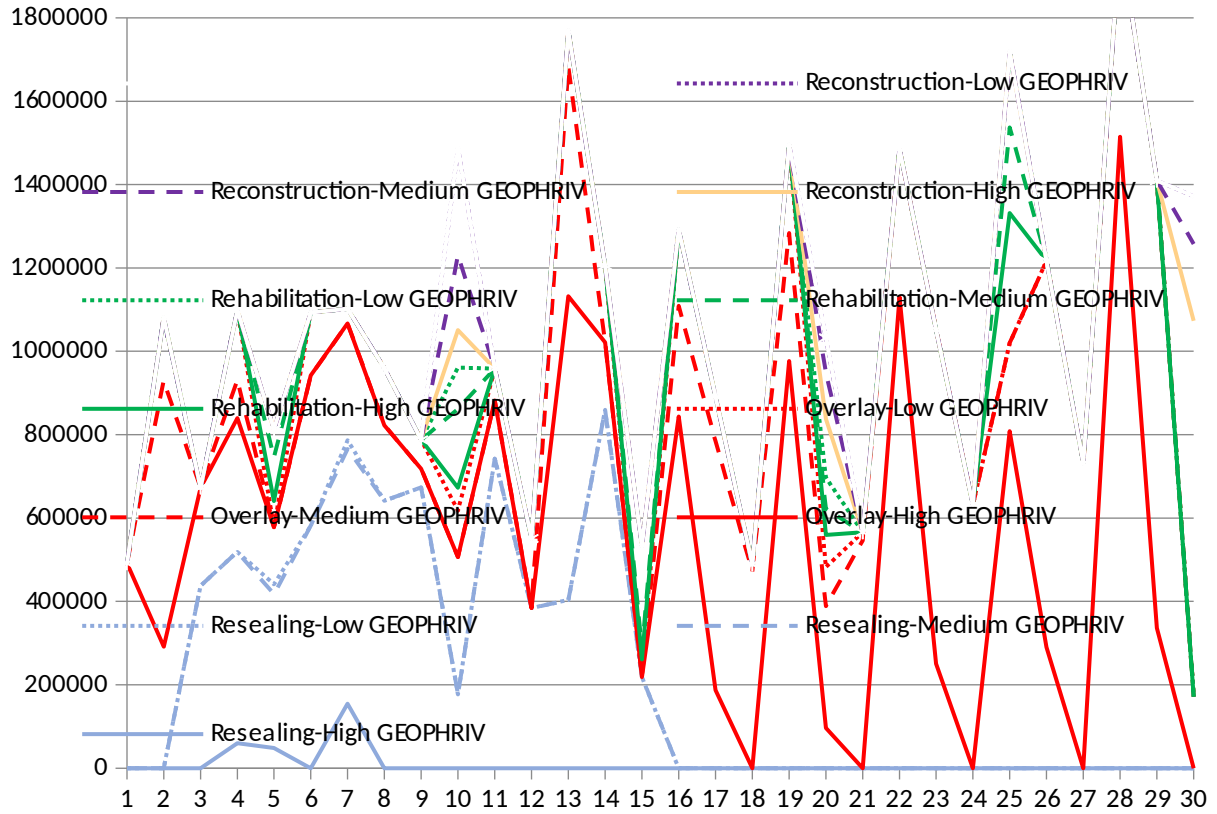


Figure 12: Distribution of annual M&R budget for different treatment operations on regional highway

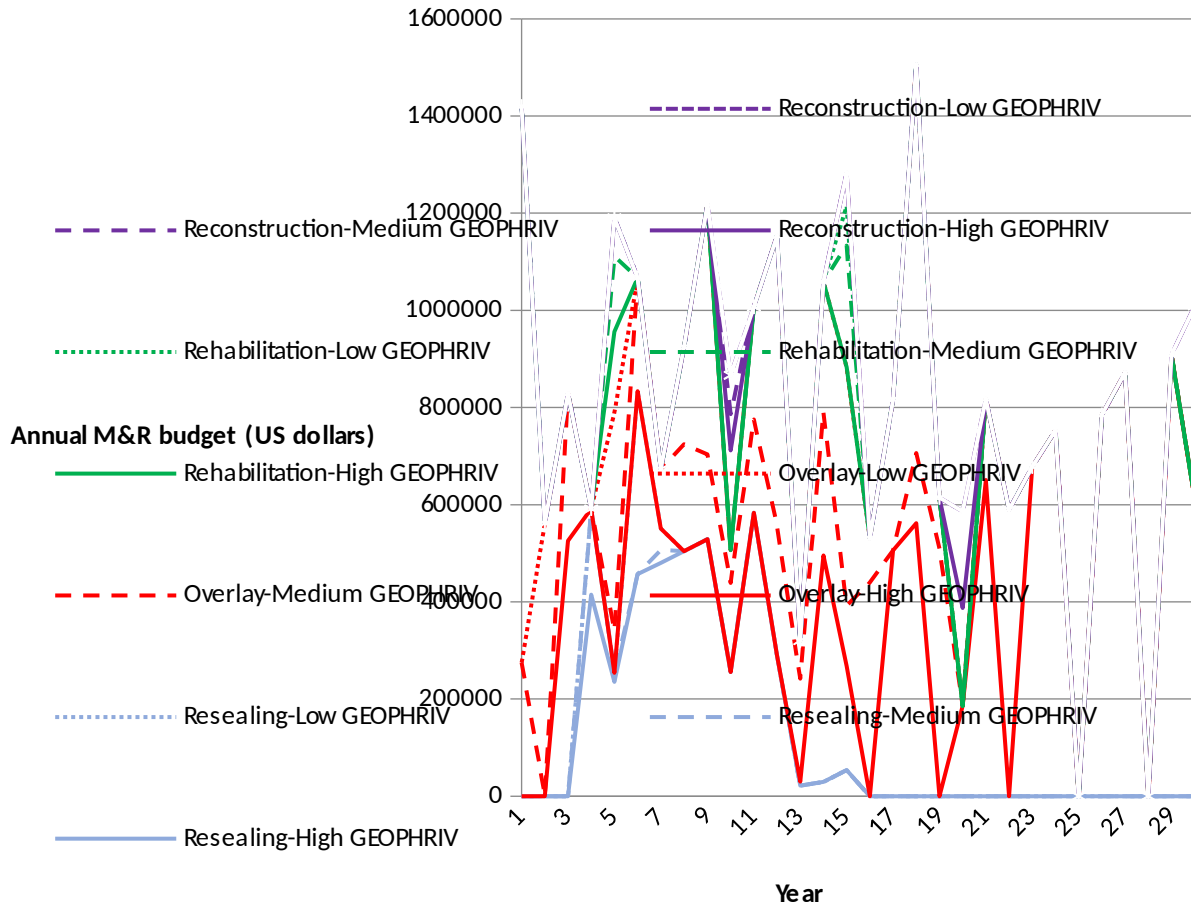


Figure 13: Distribution of annual M&R budget for different treatment operations on arterial roads

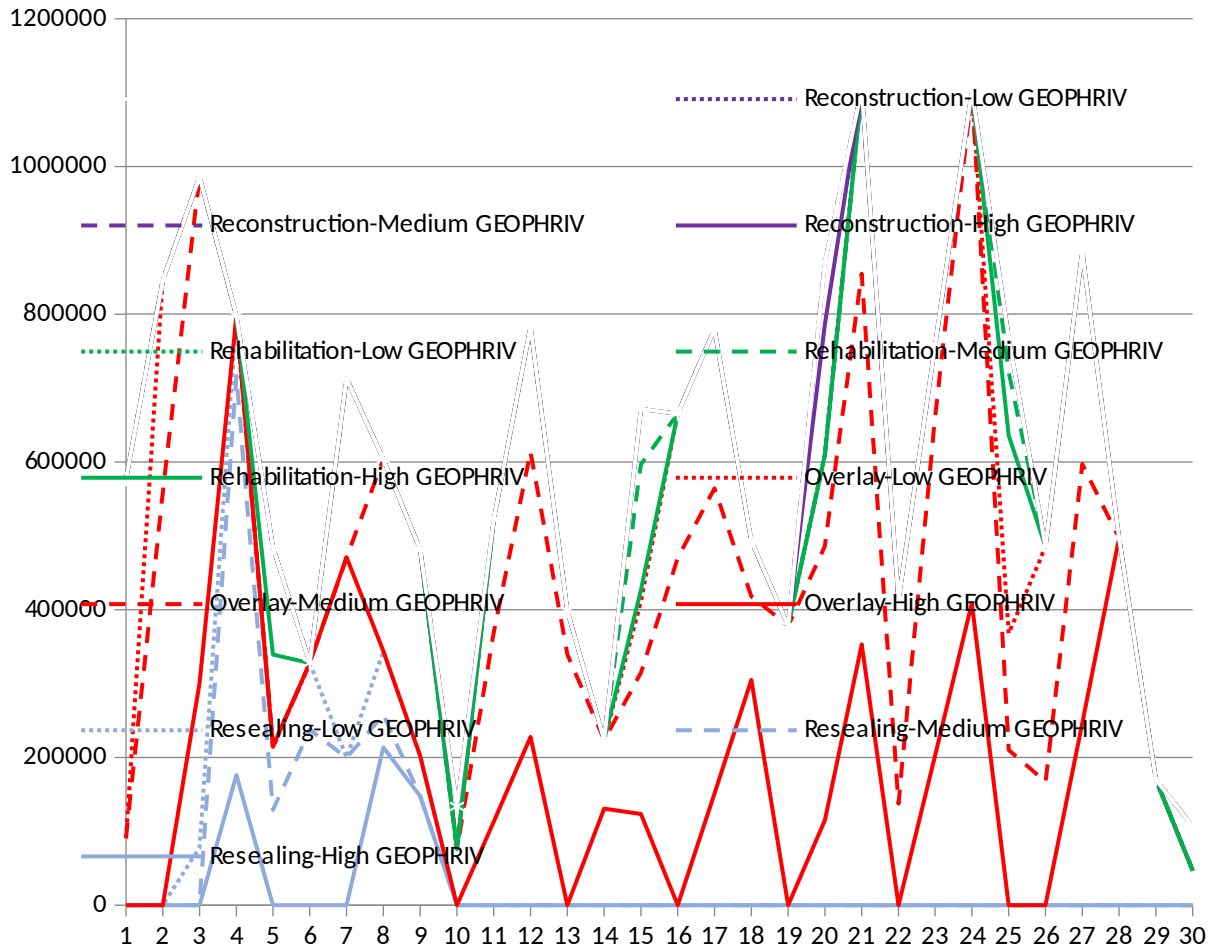


Figure 14: Distribution of annual M&R budget for different treatment operations on collector roads

Table 1: Treatment and operational windows used in network-level trade-off analysis

Treatment operations	Road hierarchy	GEOPHRIV zones	Operational window	Cost (USD) per km
Resealing (7mm/12mm seal coat, single/double bitumen surface treatment)	Regional	High	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	10853
		Medium	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	
		Low	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	
	Highway	High	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	
		Medium	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	
		Low	$1 \leq \text{IRI} < 2, \text{Age} \leq 3$	
	Arterial	High	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	
		Medium	$1 \leq \text{IRI} < 2, \text{Age} \leq 2$	
		Low	$1 \leq \text{IRI} < 2, \text{Age} \leq 3$	
Collector	High	$1 \leq \text{IRI} < 2, \text{Age} \leq 3$		
	Medium	$1 \leq \text{IRI} < 2, \text{Age} \leq 4$		
	Low	$1 \leq \text{IRI} < 2, \text{Age} \leq 5$		
Overlay (spot improvement with bituminous carpeting, 25mm/40mm dense or normal graded bituminous carpeting)	Regional	High	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 4$	14454
		Medium	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 3$	
		Low	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 4$	
	Highway	High	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 3$	
		Medium	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 5$	
		Low	$2 \leq \text{IRI} < 4, 3 \geq \text{Age} \leq 7$	
	Arterial	High	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 3$	
		Medium	$2 \leq \text{IRI} < 4, 2 \geq \text{Age} \leq 5$	
		Low	$2 \leq \text{IRI} < 4, 3 \geq \text{Age} \leq 7$	
Collector	High	$2 \leq \text{IRI} < 4, 3 \geq \text{Age} \leq 4$		
	Medium	$2 \leq \text{IRI} < 4, 4 \geq \text{Age} \leq 6$		
	Low	$2 \leq \text{IRI} < 4, 5 \geq \text{Age} \leq 8$		
Major Rehabilitation (additional thickness in the base course layer, plus 25mm/40mm bituminous carpeting followed by seal coat)	Regional	High	$4 \leq \text{IRI} < 6, 4 \geq \text{Age} \leq 5$	34704
		Medium	$4 \leq \text{IRI} < 6, 3 \geq \text{Age} \leq 5$	
		Low	$4 \leq \text{IRI} < 6, 4 \geq \text{Age} \leq 8$	
	Highway	High	$4 \leq \text{IRI} < 6, 3 \geq \text{Age} \leq 4$	
		Medium	$4 \leq \text{IRI} < 6, 5 \geq \text{Age} \leq 7$	
		Low	$4 \leq \text{IRI} < 6, 7 \geq \text{Age} \leq 9$	
	Arterial	High	$4 \leq \text{IRI} < 6, 3 \geq \text{Age} \leq 4$	
		Medium	$4 \leq \text{IRI} < 6, 5 \geq \text{Age} \leq 7$	
		Low	$4 \leq \text{IRI} < 6, 7 \geq \text{Age} \leq 9$	
Collector	High	$4 \leq \text{IRI} < 6, 4 \geq \text{Age} \leq 6$		
	Medium	$4 \leq \text{IRI} < 6, 6 \geq \text{Age} \leq 8$		
	Low	$4 \leq \text{IRI} < 6, 8 \geq \text{Age} \leq 9$		
Reconstruction and earth filling (one meter height of earth filling is recommended to avoid submerging the roads)	Regional	High	$\text{IRI} \geq 6, \text{Age} \geq 5$	82234
		Medium	$\text{IRI} \geq 6, \text{Age} \geq 5$	
		Low	$\text{IRI} \geq 6, \text{Age} \geq 8$	
	Highway	High	$\text{IRI} \geq 6, \text{Age} \geq 4$	
		Medium	$\text{IRI} \geq 6, \text{Age} \geq 7$	
		Low	$\text{IRI} \geq 6, \text{Age} \geq 9$	
	Arterial	High	$\text{IRI} \geq 6, \text{Age} \geq 4$	
		Medium	$\text{IRI} \geq 6, \text{Age} \geq 7$	
		Low	$\text{IRI} \geq 6, \text{Age} \geq 9$	
Collector	High	$\text{IRI} \geq 6, \text{Age} \geq 6$		
	Medium	$\text{IRI} \geq 6, \text{Age} \geq 8$		
	Low	$\text{IRI} \geq 6, \text{Age} \geq 9$		

from storm surge i.e. soil, sand and coarse aggregate layers are 76 cm, 12 cm and 22 cm, respectively)				
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Table 2: Percentage of variance explained by factors

Factors	Initial Eigenvalues			Extraction Sums of			Rotation Sums of Squared		
				Squared Loadings			Loadings		
	<i>D</i>	<i>COMV</i>	<i>STRINF</i> V	<i>D</i>	<i>COMV</i>	<i>STRINF</i> V	<i>D</i>	<i>COMV</i>	<i>STRINF</i> V
1	24.430	82.047	19.102	22.702	82.047	19.102	14.398	82.047	15.717
2	17.305		17.164	14.024		17.164	13.743		14.685
3	15.347		14.282	14.243		14.282	12.613		14.155
4	7.297		12.095	8.359		12.095	11.755		13.947
5	6.496		8.717	6.535		8.717	11.349		11.675
6	5.904		6.758	5.846		6.758	6.556		7.939
7	3.683			3.984			5.280		

Table 3: Extracted variables from the factor matrices

Factor	Indices	
1 st factor	<i>D</i>	Total casualties, completely damaged houses, total damage of livestock, total damage of electricity lines, total damage of religious structures, partially and completely damaged earthen roads, partially damaged educational institutions, total damage of shallow tube wells, and total damage of cottage industries.
	<i>COMV</i>	Total population, annual average family income, total population below 5 years age, total population above 60 years age, and disabled population.
	<i>STRINF</i> <i>V</i>	Total number of tents for homeless people, and total number of people without hygienic sanitation.
2 nd factor	<i>D</i>	Partially damaged paved roads, partially and completely damaged embankments, and total damage of fishing boats.
	<i>STRINF</i> <i>V</i>	Total number of houses, total number of structured houses, total number of people without electricity supply, total number of people per educational institution, total number of people per growth center, and total number of people per financial institution.
3 rd factor	<i>D</i>	Completely damaged crops, total damage of fisheries, completely damaged paved roads, total damage of forests, and total damage of ponds.
	<i>STRINF</i> <i>V</i>	Total number of huts, total number of semi-structured houses, and total number of people without safe drinking water.
4 th factor	<i>D</i>	Affected area, total affected population, partially damaged houses, total damage of poultry, and total damage of deep tube wells.
	<i>STRINF</i> <i>V</i>	Total number of people per healthcare facility,
5 th	<i>D</i>	Total damage of telecommunication lines, and total damage of industries.
	<i>STRINF</i>	Total number people allocated for each cyclone shelter, and total number

factor	<i>V</i>	of people per food storage.
6 th	<i>D</i>	Completely damaged educational institutions.
factor	<i>STRINF</i>	Total number people per kilometer of paved roads.
	<i>V</i>	
7 th	<i>D</i>	Partially damaged crops.
factor		