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# Tin whisker mitigation by means of a post-electroplating electrochemical oxidation treatment

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#### Abstract

There are very few studies that have investigated directly the effect of an oxide film on tin whisker growth, since the 'cracked oxide theory' was proposed by Tu in 1994<sup>1</sup>. The current study has investigated the effect of an electrochemically produced oxide on tin whisker growth, for both Sn-Cu electrodeposits on Cu and pure Sn electrodeposits on brass. X-ray photoelectron spectroscopy (XPS) has been used to investigate the effect of the applied electrochemical oxidation potential on the oxide film thickness. Focused ion beam (FIB) has been used to prepare cross sections from electrodeposited samples to investigate the influence of the electrochemically formed oxide film on deposit microstructure during longterm room temperature storage. The XPS studies show that the thickness of electrochemically formed oxide film is directly influenced by the applied potential and the total charge passed. Whisker growth studies show that the electrochemical oxidation treatment mitigates whisker growth for both Sn-Cu electrodeposits on Cu and pure Sn electrodeposits on brass. For Sn electrodeposits on brass, the electrochemically formed oxide greatly reduces both the formation of zinc oxide at the surface and the formation of intermetallic compounds, which results in the mitigation of tin whisker growth. For Sn-Cu electrodeposits on Cu, the electrochemically formed oxide has no apparent effect on intermetallic compound formation and acts simply as a physical barrier to hinder tin whisker growth.

**Key words:** Tin, electrochemical oxidation, electrodeposition, whisker growth, intermetallic compounds

#### 1 Introduction

A tin whisker is a growth of pure tin that is commonly in the form of a filament, which can grow up to a few millimetres in length, from a thin tin coating (around 0.5-50  $\mu$ m thick) that has been electroplated onto a substrate<sup>2</sup>. The incubation period prior to the growth of a whisker is uncertain and it is this unpredictability that causes concern to the reliable operation of electronic components<sup>3</sup>. At the current time, there is no one widely accepted mechanism for why and how whiskers grow; though there are number of theories and some commonly agreed factors that affect the growth of tin whiskers<sup>2,4,5</sup>.

Whisker growth has been shown to cause major reliability problems in high value, long term electronics, such as satellites and rocket systems, mainly due to these whiskers growing from one surface and then coming into contact with another, adjacent surface, resulting in a short circuit and subsequent electronic failure. Circuits can also short by long filament type whiskers breaking off and landing across adjacent terminals. Both of these types of failures occur at low currents. At high currents the whiskers can volatilise, which causes a conductive metal gas, which in turn can create a metal vapour arc reaction<sup>6</sup>. This metal vapour arc can generate such high temperatures that it can melt metals and incinerate polymers.

Until recently, whisker growth was successfully mitigated by alloying tin with lead<sup>7,8</sup>. However, restrictions on the use of lead, as result of EU legislation (RoHS), have led to a renewed interest in finding alternative mitigation strategies. There are a number of different mitigation techniques that are currently used to combat this problem, including post-electroplating annealing, alloying, applying a polymeric conformal coating to act as a physical barrier and the use of a nickel underlay to prevent intermetallic formation.<sup>9</sup>

There has been very little research on the role of the oxide layer in whisker growth. The cracked oxide theory proposed by Tu<sup>1,10,11</sup> suggested that the tin oxide film played a critical role in the growth of tin whiskers and that a very thick oxide would physically block the growth of filament whiskers or hillocks by reducing the risk of localised oxide cracking. For whiskers to grow, it is first necessary to break the oxide film at weak points and from these, the whiskers will subsequently grow to relieve stress<sup>12,13</sup>. It was suggested by Chang and Vook<sup>14</sup> that no whiskers will grow in the absence of an oxide film as the deposit would be

able to relieve internal stress by uniform creep of tin atoms to the deposit surface. However, Moon *et al*<sup>15</sup> later showed that whisker growth occurred on Sn-Cu electrodeposits that had been stored for 9 days under vacuum with the surface oxide initially removed by ion beam sputtering. A recent study carried out by Su *et al*<sup>16</sup> showed the importance of weak points in the oxide, by using 200 nm thick sputter deposited tin oxide films with pre-defined arrays of weak spots built into the oxide film using lithography. It was observed that an increased number of whiskers grew from samples that had a greater number of weak spots.

in a previous study<sup>17</sup> the authors presented some initial results, which indicated that whisker growth could be reduced by the application of an electrochemical oxide film. The current paper builds upon this initial work to more fully investigate the influence of applied potential and charge passed on the oxide film developed and its effect on whisker growth. The effect of the electrochemical oxide treatment on the development of the deposit microstructure during long-term storage is also investigated to more fully understand the mechanism by which whisker growth is mitigated.

#### 2 Experimental

#### 2.1 Electrodeposition of Tin

Pure Sn was electrodeposited onto both Cu (Advent Research Materials, 99.9%, 0.1 mm and 0.4 mm thickness) and brass (Goodfellow, 63% Cu/37% Zn, 0.38 mm thickness) substrates, using a bright acid Sn electroplating solution. A Sn-Cu alloy was also electroplated onto Cu for whisker growth trials. The electroplating solution contained 60 g L<sup>-1</sup> tin sulphate (Sigma Aldrich), 70 ml L<sup>-1</sup> sulphuric acid (Fisher Scientific) and 40 ml L<sup>-1</sup> Tinmac Stannolyte (MacDermid), a propriety additive that acts as a brightener. Electrodeposition of 2  $\mu$ m and 5  $\mu$ m pure Sn onto Cu and brass was carried out using a current density of 20 mA cm<sup>-2</sup>. For the electrodeposition of Sn-Cu alloys the Sn electroplating bath was modified by the addition of Cu ions at a concentration of 10 mmol L<sup>-1</sup>, using Cu sulphate. Electrodeposition of 2  $\mu$ m Sn-Cu onto Cu was carried out using a current density of 10 mA cm<sup>-2</sup>, which resulted in a uniform electrodeposit with a Cu content of ~1 wt%.

The test coupons used had dimensions of  $2 \times 4$  cm and were masked with chemically resistant tape to result in an electroplated area of  $2 \times 2$  cm. Larger test coupons with

dimensions 4 x 6 cm and an electroplated area of 4 x 4.5 cm were used for whisker growth studies. The coupons were used in the as-supplied condition with no additional polishing or grinding. Electrodeposition of pure Sn was carried out using a 99.95% Sn foil anode (Advent Research Materials, 0.25 mm), whilst electrodeposition of the Sn-Cu was carried out using a platinised titanium mesh anode. Immediately prior to electrodeposition, all the coupons were degreased using acetone (Fisher Scientific), pickled for 60 s in a 20% v/v solution of sulphuric acid (SG 1.83, Fisher Scientific), rinsed in deionised water and dried using hot air. The thickness of pure Sn electrodeposits was 5  $\mu$ m for cyclic voltammetry and electrochemical oxidation trials. The thickness of pure Sn and Sn-Cu electrodeposits was 2  $\mu$ m for whisker growth studies.

#### 2.2 Preparation of the Electrolyte Solution for Tin Oxidation

For the electrochemical oxidation of the Sn electrodeposits two solutions were selected, a pH 8.9 potassium bicarbonate-potassium carbonate solution (0.75 mol L<sup>-1</sup> of potassium bicarbonate, Sigma Aldrich, and 0.05 mol L<sup>-1</sup> of potassium carbonate, Sigma Aldrich) and a pH 8.4 borate buffer solution (9.55 g L<sup>-1</sup> sodium borate, Sigma Aldrich, and 6.18 g L<sup>-1</sup> boric acid, Sigma Aldrich). Both electrolyte solutions were prepared using deionised water and the pH was adjusted to the required value, using additions of sodium hydroxide (Fisher Scientific).

#### 2.3 Cyclic Voltammetry

Cyclic voltammetry was carried out for Sn electrodeposits in naturally aerated pH 8.9 potassium bicarbonate-potassium carbonate solution to determine the location of the oxidation peaks. Analysis was carried out using a Solartron SI 1286 Electrochemical Interface potentiostat by means of a 3-electrode cell comprising of a static Sn electrodeposit as the working electrode, a saturated calomel reference electrode (SCE) and a platinised titanium mesh counter electrode. The electrode potential was swept from a starting potential of -1.1 V down to -1.5 V, reversed up to 1.5 V and finally returned to -1.1 V (all versus SCE) using a linear scan rate of 10 mV s<sup>-1</sup>.

#### 2.4 Electrochemical Oxidation of Tin

Electrochemical oxidation was carried out immediately after tin electrodeposition using a Solartron SI 1286 Electrochemical Interface potentiostat using the 3-electrode cell

previously described. Following immersion of the coupon into the naturally aerated electrolyte solution, the Sn electrodeposit was initially held at a potential of -1.5 V vs. SCE to reduce the pre-existing oxide. When hydrogen evolution was observed the surface was agitated using a pipette to remove the bubbles and the potential was increased to the desired value for oxidation.

#### 2.5 Characterisation of Electrochemically Formed Oxides

Two charges were set as limits, 30 mC cm<sup>-2</sup> and 60 mC cm<sup>-2</sup>. The effect of charge passed and oxidation potential on the composition and thickness of the oxide film was investigated by x-ray photoelectron spectroscopy (XPS) using a Thermo-Scientific K-Alpha x-ray photoelectron spectrometer. Sputter depth profiling was carried out using 200 eV argon ions with an estimated etch rate of ~0.01 nm s<sup>-1</sup>. XPS analysis was carried out within 24 hours of electrodeposition and electrochemical oxidation, unless otherwise stated.

#### 2.6 Characterisation of Tin Deposits

The effect of an electrochemical oxide film on the microstructural evolution of electrodeposited Sn and Sn-Cu alloys was investigated by means of cross-sections that were prepared by focussed ion beam (FIB) milling, using a FEI Nova 600 Nanolab Dual Beam FIB-SEM. Ion beam milling was carried out at a 52° tilt angle with 30 kV gallium ion beam. Initial trench milling was carried out at 20 nA and the final face milling was carried out at 3 nA with a tilt angle of 53.5°. Ion beam images were acquired using the gallium ion beam at a current of 30 pA.

#### 2.7 Whisker Growth Studies

The effect of the electrochemically formed oxides on whisker growth was assessed using 2  $\mu$ m Sn electrodeposits on brass and 2  $\mu$ m Sn-Cu electrodeposits on Cu. For each electrochemical oxidation treatment three identical samples were prepared. After electrodeposition and electrochemical oxidation, the samples were stored at room temperature (~20 °C). The growth of whiskers was studied using both optical microscopy and scanning electron microscopy (SEM). The density of whiskers was measured using an optical microscope with an objective magnification of either x20 or x10. SEM analysis was carried out using a Carl Zeiss Leo 1530 VP field emission gun SEM (FEGSEM) and an operating voltage of 10 kV.

#### 3 Results and Discussion

#### 3.1 Development of the Electrochemical Oxide

Cyclic voltammetry was conducted using a standard potassium bicarbonate-carbonate electrolyte to identify suitable potentials for subsequent electrochemical oxidation trials (figure [1]). Two oxidations peaks (I and II) were recorded at potentials of -0.83 V and -0.66 V vs. SCE respectively. Peaks I and II have been attributed previously to the two Sn oxidation states, Sn(II) and Sn(IV) respectively<sup>18,19</sup>. However, Díaz *et al*<sup>20</sup> have also suggested that oxidation peaks I and II both corresponded to the oxidation of Sn to Sn(II).

The potentials chosen for electrochemical oxidation studies, were -0.66 V (II), -0.5 V (III), -0.4 V (IV), 0 V (V) and 1.2 V (VI) (all vs. SCE). Oxidation peak I (-0.83 V vs. SCE) was not studied because it had previously been shown to produce an oxide film comparable to a native oxide<sup>17</sup>. The electrochemical oxidation potentials -0.4 V and -0.5 V vs. SCE were chosen as they occurred upon the broad trailing slope of the second oxidation peak which would suggest large amounts of activity within this region and it was suggested by Drogowska *et al*<sup>19</sup> that this broad slope corresponds to a dehydration reaction that results in the formation of SnO<sub>2</sub>. The electrochemical oxidation potential of 0 V vs. SCE was chosen as it occurred on the part of the voltammogram that had the lowest anodic current, which was suggested by Drogowska *et al*<sup>19</sup> to be the region of passivity. The electrochemical oxidation potential of 1.2 V vs. SCE was chosen as it was previously shown to produce a thick oxide film<sup>17</sup>.

The effect of electrochemical oxidation potential on the Sn oxide thickness, for a charge passed of 30 mC cm<sup>-2</sup>, is shown in the XPS high resolution scans of the Sn 3d peak in figure [2]; a high resolution Sn 3d scan of a native air-formed oxide is also shown for comparison. The relative intensity of the Sn oxide and Sn metal peaks, at ~487 eV and ~485 eV respectively, enables the comparative thickness of the oxide layer to be inferred. It is evident from figure [2] that the native air-formed oxide is very thin compared with oxide films that are formed electrochemically. Results show that the thickest electrochemical oxide film was produced at a potential of 1.2 V vs. SCE, which is consistent with previous trials<sup>17</sup>. The Sn oxide and Sn metal contributions as a function of electrochemical oxidation

potential are summarised in table [1], which clearly indicates that the thickness of the Sn oxide increases as the oxidation potential is increased.

Samples with electrochemically formed oxides were analysed using SEM to study the effect of electrochemical oxidation on the surface of the Sn electrodeposit. It is apparent from figure [3] that electrochemical oxidation at certain potentials (e.g. -0.66 V vs. SCE) can have a pronounced effect on the surface topography. However, the surface of samples electrochemically oxidised at a potential of 1.2 V vs. SCE (30 mC cm<sup>-2</sup> of charge passed) is indistinguishable from that of a native air-formed oxide. Increasing the amount of charge passed, increases the extent of the surface roughening for samples electrochemically oxidised at a potential of -0.66 V vs. SCE (figure [3c]). This may suggest that a Sn dissolution reaction has occurred. The size and shape of the surface features are comparable to that of the Sn grains. Similar features were also observed in an earlier study by Ashworth *et al*<sup>17</sup> for electrochemical oxidation at same the potential.

Further electrochemical oxidation trials were undertaken using the potassium bicarbonatepotassium carbonate solution, at potentials greater than 1.2 V vs. SCE, in an attempt to increase the electrochemical oxide film thickness. The observed reduction in the intensity of the Sn metal peak with increasing electrochemical oxidation potential (figure [4]) suggests that the oxide film thickness is increased. This is consistent with previous trials by Ashworth *et al*<sup>17</sup> using the same potentials in a borate buffer solution. As the electrochemical oxidation potential was increased from 1.2 V to 2.0 V vs. SCE the binding energy of the Sn oxide peak shifted from 487 eV to 486.8 eV. However, this does not necessarily indicate a difference in the oxide formed as the binding energies all fall within the binding energy range for SnO<sub>2</sub><sup>21</sup>.

Depth profiling was carried out on each sample to confirm that electrochemical oxidation at potentials above 1.2 V vs. SCE does increase the thickness of the oxide. The depth profiles in figure [5] confirm that the oxide film increases in thickness with increasing electrochemical oxidation potential. Results show that when electrochemical oxidation is carried out at a potential of 2.0 V vs. SCE the thickness of the oxide film increases by ~75% compared with a potential of 1.2 V vs. SCE. An increase in the thickness of the oxide film should have a beneficial effect on whisker mitigation<sup>1,10,11</sup>.

The effect of electrochemical oxidation on whisker growth was studied using 2  $\mu$ m Sn-Cu electrodeposits on Cu and 2  $\mu$ m Sn electrodeposits on brass. The Sn-Cu electrodeposits were oxidised at potentials ranging between -0.66 V and 2.0 V vs. SCE in a potassium bicarbonate-carbonate solution. The Sn electrodeposits on brass were oxidised at potentials ranging between 1.2 V and 2.0 V vs. SCE. In each case, control samples were prepared and left to develop native air-formed oxide films.

#### 3.2 Whisker Growth Studies

#### 3.2.1 Sn-Cu electrodeposits on Cu

For whisker growth studies using Sn-Cu electrodeposits on Cu, electrochemical oxidation was carried out at potentials of -0.66 V, -0.5 V, -0.4 V, 0.0 V and 1.2 V (all versus SCE). Large test coupons were used, which contained three different areas of oxide; two areas were electrochemically oxidised with a different charge passed applied in each area (achieved by sequentially lowering the test coupon into the electrolyte; the other area was left to develop a native air-formed oxide (figure [6]). Whiskers were counted on each area after ~2 months of storage and whisker densities were calculated (figure [7]). An important observation is that there is a large difference in the whisker densities for the native oxides, ranging from ~1200 cm<sup>-2</sup> to ~3700 cm<sup>-2</sup>. There are a number of possible explanations for this difference: firstly whisker growth is, by nature, unpredictable and sample to sample variations in whisker density are not uncommon<sup>22</sup>. Secondly, there may be slight variations in the Cu content of the deposit, due to either Sn or Cu depletion since an inert counter electrode is being used and the electroplating bath is not being replenished with fresh metal ions.

For a charge passed of 30 mC cm<sup>-2</sup> electrochemical oxidation at 1.2 V, 0 V and -0.66 V vs. SCE (figure [7]) resulted in a reduction in whisker growth. However, electrochemical oxidation at potentials of -0.4 V and -0.5 V vs. SCE resulted in an increase in whisker density, despite the fact that the oxide film is thicker than that of the native oxide. Further work is planned to investigate the cause of the increased whisker growth.

For a charge passed of 60 mC cm<sup>-2</sup>, all of the electrochemical oxide films significantly reduced the whisker density compared with the corresponding native oxide film (figure [7]). This reduction in whisker density is mostly likely due to the presence of a thicker oxide film,

which would be in agreement with Tu's cracked oxide theory<sup>1,10,11</sup>, which proposed that a thicker oxide would reduce oxide cracking. The reduction in whisker density for samples electrochemically oxidised at potentials of -0.4 V and -0.5 V vs. SCE suggests that a minimum threshold charge has to be passed before a stable oxide is formed that can reduce whisker growth.

Results show that the greatest whisker mitigation is obtained for samples electrochemically oxidised at potentials of 1.2 V and -0.66 V vs. SCE, both these potentials reduced whisker density at each of the charges passed producing a reduction of over 80% compared with the corresponding native oxide

For electrochemical oxidation at potentials of 1.2 V, 1.6 V and 2.0 V (vs. SCE), samples with an electroplated area of 2 x 2 cm were used. Table [2] shows that as the applied oxidation potential is increased, from 1.2 V to 2.0 V vs. SCE, the whisker density is further reduced. The reduction in whisker growth can be directly related to the Sn oxide depth profiles in figure [5], which showed that oxidation at potentials greater than 1.2 V vs. SCE resulted in an increase in oxide thickness. Table [2] also shows that the number of frames (out of 60) where no whiskers were observed was considerably higher for the electrochemically oxidised samples and increased slightly with increasing oxidation potential. The large number of frames with no whiskers present results in a high standard deviation, relative to the measured whisker density, for the electrochemically oxidised samples.

To more fully understand the mechanism by which whisker mitigation is accomplished for the electrochemically oxidised samples, FIB analysis was carried on Sn-Cu electrodeposits on Cu after storage at room temperature for ~24 months.

Figure [8] shows FIB cross-sections obtained from two Sn-Cu on Cu samples after storage at room temperature for 24 months; the first left to develop a native oxide (figure [8a]) and the second electrochemically oxidised at 1.2 V vs. SCE in potassium bicarbonate-carbonate (figure [8b]). It can be seen that extensive intermetallic formation has occurred in both samples, i.e. the presence of the electrochemical oxide has had no observable effect on intermetallic growth, which is the primary driving force for whisker growth in these samples<sup>8,23,24</sup>. This suggests that the reduced whisker growth observed for the

electrochemically oxidised samples results from the thicker electrochemical oxide providing an enhanced physical barrier to the growth of whiskers rather than the driving force for whisker growth being reduced. This observation supports Tu's theory<sup>1,10,11</sup>, which proposed that a thicker oxide will mitigate whisker growth by acting as a physical barrier and reduce the risk of localised cracking.

XPS depth profiles obtained from the surface of these samples are shown in figure [9], together with a one day old native oxide. After storage at room temperature for ~24 months the Sn oxide thickness is greatly increased for the sample left to develop the native oxide and is approaching the thickness of the electrochemically oxidised sample. The more gradual reduction in oxygen content with depth for the sample with the native oxide after ~24 months storage (figure [9b]) indicates that significant inward diffusion of oxygen into the electrochemically oxidised sample. In comparison, the oxygen content drops off more rapidly for the electrochemically oxidised sample. This suggests that the electrochemical oxide film may act as a diffusion barrier to prevent the inward diffusion of oxygen into the electrodeposit.

#### 3.2.2 Sn electrodeposits on brass

For electrochemical oxidation at potentials of 1.2 V, 1.6 V and 2.0 V (vs. SCE), samples with an electroplated area of 2 x 2 cm were used. Table [3] shows that for Sn electrodeposits on brass, whisker growth is dramatically reduced by the electrochemical oxidation treatments. Furthermore, as the applied oxidation potential is increased the whisker density is further decreased. The slight reduction in whisker growth at the higher oxidation potentials can be directly related to the relative intensities of the Sn3d5 oxide and Sn3d5 metals peaks shown in previous work<sup>17</sup> which indicated that oxidation at potentials greater than 1.2 V vs. SCE resulted in an increase in oxide thickness.

To more fully understand the whisker mitigation mechanism, FIB analysis was carried on Sn electrodeposits on brass after storage at room temperature for ~30 months.

Figure [10] shows FIB cross-sections of two Sn on brass samples, with the first left to develop a native oxide (figure [10a]) and the second with an electrochemical oxide formed at 1.2 V vs. SCE in borate buffer (figure [10b]). Figure [10a] clearly shows both zinc oxide, at

the deposit surface, and  $Cu_6Sn_5$  intermetallic formation at the Sn-brass interface, both of which are known to cause whisker growth<sup>8,17,23–25</sup>, by generating internal stresses within the electrodeposited coating. In comparison figure [10b] shows that no zinc oxide formation has occurred at the surface of the electrochemically oxidised electrodeposit and only limited intermetallic formation has occurred at the Sn-Cu interface after ~30 months of storage.

XPS depth profiles obtained from the same samples are shown in figure [11] together with a depth profile, measured after ~24 hours, from a tin electrodeposit on brass left to develop a native oxide (figure [11a]). Comparison of the samples left to develop native oxides after 1 day and 30 months shows that the amount of zinc and oxygen present at the deposit surface greatly increases, which corresponds to the formation of zinc oxide at the surface. By comparison, zinc diffusion to the surface of the electrochemically oxidised deposit is prevented by the presence of the thicker Sn oxide film, which was produced shortly after the deposition of the Sn<sup>17</sup>. Since high zinc concentrations are built up beneath the Sn oxide, but FIB analyses suggest that no zinc oxide is present within the electrochemically oxidised Sn, it may be inferred that the electrochemically formed Sn oxide is preventing the inward diffusion of oxygen atoms into the electrodeposit.

These results demonstrate that the electrochemically formed oxide acts as a diffusion barrier and prevents the formation of zinc oxide at the electrodeposit surface, which prevents the development of internal stresses. The effect of the electrochemical oxidation treatment on the development of the Cu<sub>6</sub>Sn<sub>5</sub> intermetallic is, perhaps, more surprising, since it was not expected that the electrochemically formed oxide would have an influence on the Cu<sub>6</sub>Sn<sub>5</sub> intermetallic growth.

#### 4 Conclusions

The application of an electrochemical oxidation treatment has been demonstrated to successfully mitigate whisker growth for both Sn-Cu deposits on Cu and pure Sn deposits on brass.

For Sn-Cu deposits on Cu, the presence of the electrochemical oxide has no influence on the principal driving force for whisker growth, i.e. intermetallic formation, and whisker mitigation is derived from the thicker electrochemical oxide providing an enhanced physical

barrier. The presence of a thicker oxide layer mitigates whisker growth by reducing the risk of localised cracking in the oxide film through which whiskers may subsequently grow.

For electrochemically oxidised pure Sn deposits on brass, whisker mitigation is achieved by preventing the formation of zinc oxide at the deposit surface and also by greatly reducing the growth of Cu<sub>6</sub>Sn<sub>5</sub> intermetallic at the Sn-Cu interface, i.e. the driving forces for whisker growth are diminished. The electrochemical oxide may also function as a physical barrier to whisker growth in a manner analogous to that observed for the Sn-Cu deposits.

The thickness of the electrochemically formed oxide film is directly related to the applied potential and the total amount of charge passed. For an equivalent charge passed, the thickest oxide film, and most effective whisker mitigation, was obtained for samples electrochemically oxidised at the highest potentials studied, i.e. 1.2-2.0 V vs. SCE.

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Table [1] The proportion of tin metal and tin oxide species constituting the of Sn  $3d_{5/2}$  peak as function of the applied electrochemical oxidation potential, showing the proportion of tin metal with tin oxide

| Sample Treatment  | Proportion of Species |             |  |
|-------------------|-----------------------|-------------|--|
| V vs. SCE         | Tin metal %           | Tin oxide % |  |
| Native air-formed | 33                    | 67          |  |
| -0.66 V           | 23                    | 77          |  |
| -0.5 V            | 25                    | 75          |  |
| -0.4 V            | 20                    | 80          |  |
| 0 V               | 16                    | 82          |  |
| 1.2 V             | 4                     | 96          |  |
| 1.6 V             | 2                     | 98          |  |
| 2.0 V             | 1                     | 99          |  |

Table [2] Measured whisker densities for electrochemically oxidised 2  $\mu$ m Sn-Cu electrodeposits on Cu after ~4 weeks of storage at room temperature

| Oxidation potential<br>V vs SCE | Average number of whiskers per cm <sup>2</sup> | Number of frames<br>with no whiskers<br>(out of 60) |
|---------------------------------|--|---|
| Native                          | 264±136  | 3   |
| 1.2 V                           | 59±65  | 23  |
| 1.6 V                           | 37±50  | 32  |
| 2.0 V                           | 33±49  | 34  |

Table [3] Measured whisker densities for electrochemically oxidised 2  $\mu$ m Sn electrodeposits on brass after ~36months of storage at room temperature

| Oxidation potential<br>V vs SCE | Average number of<br>whiskers per cm <sup>2</sup> | Number of frames<br>with no whiskers<br>(out of 60) |
|---------------------------------|---|---|
| Native                          | 1489±681  | 0   |
| 1.2 V                           | 9±21  | 50  |
| 1.6 V                           | 7±18  | 51  |
| 2.0 V                           | 5±16  | 54  |



Figure [1] A cyclic voltammogram for electroplated tin on copper with a thickness of 5  $\mu$ m in a potassium bicarbonate-carbonate oxidising bath at a scan rate of 10 mV s<sup>-1</sup>



Figure [2] XPS high resolution Sn 3d scans of 5  $\mu$ m tin electrodeposits on copper electrochemically oxidised in a potassium bicarbonate-carbonate solution that had been stored at room temperature for ~24 hours. The charge passed was 30 mC cm<sup>-2</sup>: a) 1.2 V vs. SCE b) 0 V vs. SCE c) -0.4 V vs. SCE d) -0.5 V vs. SCE e) -0.66 V vs. SCE f) native air-formed oxide



Figure [3] SEM micrographs showing the effect of electrochemical oxidation potential on the surface morphology of the electroplated tin, where a) a native air-formed oxide b) 1.2 V vs. SCE, 30 mC cm<sup>-2</sup>, c) 1.2 V vs. SCE, 60 mC cm<sup>-2</sup>, d) -0.66 V vs. SCE, 30 mC cm<sup>-2</sup>, and e) -0.66 V vs. SCE, 60 mC cm<sup>-2</sup>



Figure [4] XPS high resolution scans of the Sn 3d peaks after electrochemical oxidation in a potassium bicarbonate-carbonate solution at potentials of 1.2 V (solid), 1.6 V (square dot) and 2.0 V (dash) all versus SCE



Figure [5] XPS depth profiles showing the distribution of  $Sn_{oxide}$  as a function of applied electrochemical oxidation potential



Figure [6] Test coupon used to investigate the effect of applied oxidation potential and charge passed on whisker growth



Figure [7] Graph showing the measured whisker density for electroplated tin samples electrochemically oxidised at different potentials after storage at room temperature for  $\sim$ 2 months.



Figure [8] FIB cross-sectioned 2  $\mu$ m Sn-Cu electrodeposits on Cu after ~24 months of storage at room temperature, a) native air-formed oxide and b) oxide formed electrochemically at a potential of 1.2 V vs. SCE in potassium bicarbonate-carbonate



Figure [9] XPS depth profiles for Sn-Cu electrodeposits on Cu: a) 1 day old native oxide, b) native oxide after ~24 months storage, and c) oxide formed electrochemically at a potential of 1.2 V vs. SCE in potassium bicarbonate-carbonate after ~24 months storage





Figure [10] FIB cross-sectioned 2  $\mu$ m Sn electrodeposits on brass after ~30 months of storage at room temperature, a) native air-formed oxide and b) oxide formed electrochemically at a potential of 1.2 V vs. SCE in borate buffer



Figure [11] XPS depth profiles for 2  $\mu$ m Sn electrodeposits on brass: a) 1 day old native oxide, b) native oxide after ~30 months storage at room temperature, and c) oxide formed electrochemically at a potential of 1.2 V vs. SCE in borate buffer after ~30 months storage