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Cobley, A. , Tudela-Montes, I. , Abbas, B. and Mkhlef, B.

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1 **Low-frequency ‘delay time’ ultrasound and its effect on electroless Cu metallisation of**
2 **a Pd activated dielectric material**

3 *Andrew J. Cobley, Ignacio Tudela, Bahaa Abbas, Bilal Mkhlef*

4 The Functional Materials Research Group,

5 Manufacturing and Materials Engineering Faculty Research Centre,

6 Faculty of Engineering and Computing,

7 Coventry University,

8 Priory Street, Coventry, CV1 5FB, UK.

9 Corresponding author: Andrew J. Cobley, Tel: +44 7706955901, Email: a.cobley@coventry.ac.uk

10 **ABSTRACT:** The effect that the presence of low-frequency ultrasound has on the
11 deposition rate of an electroless Cu plating process for the metallisation of a Pd activated
12 dielectric material has been preliminarily studied. Continuous ultrasound during electroless
13 Cu plating had little effect on the deposition rate compared with the standard process under
14 mechanical agitation due to the detrimental effect of cavitation on removing Pd from the
15 dielectric materials. However, the introduction of a ‘delay time’ prior to the introduction of
16 ultrasound resulted in an increase of the deposition rate of up to 26% (7-minute delay time)
17 and suggested that low frequency ultrasound could enable a reduction in electroless copper
18 operating temperatures without a significant decrease in plating rate. Cu coatings produced
19 in such conditions exhibited a significantly enhanced surface coverage with reduced
20 porosity without any undesired effect on the crystal structure.

21 **KEYWORDS:** Ultrasound; Sonochemistry; Electroless; Copper; Deposition rate; Porosity;
22 Palladium; PCB

1 **1. Introduction**

2 Electroless Cu plating is widely used in the electronics industry, enabling the
3 metallisation of non-conductive materials and allowing the plating of ‘through holes’ and
4 ‘vias’ in printed circuit boards (PCBs). Nevertheless, the continuous drive to
5 miniaturisation, reduced manufacturing times and more sustainable plating processes means
6 that traditional electroless Cu processes are reaching their limit of capability. In this respect
7 reduced plating temperatures would be desirable not only from an energy and cost saving
8 perspective but would also help to reduce noxious, formaldehyde containing fumes,
9 emanating from the plating solution.

10 Setting an ultrasonic field in a liquid results in the formation, growth and collapse of
11 bubbles [1] also known as ‘acoustic cavitation’ [2], which bring beneficial effects such as
12 acoustic streaming and micro-jetting, formation of shock waves, mass transport
13 enhancement and surface cleaning to electrochemical applications in general [3] and
14 electroless processes in particular [4]. Different studies have shown a variety of benefits of
15 the use of ultrasound in electroless Cu processes such as enhanced mass transport [5,6],
16 localised heating [5], thinning of the diffusion layer [6] and de-gassing [6-8], resulting in an
17 improved plating of ‘vias’ [6,7] in PCBs and a better surface finish and adhesion to the
18 substrate [8]. The enhancement of the deposition rate in such processes by ultrasound has
19 also been reported in the past for different ultrasonic frequencies (28.2 [5], 40 [9], 300 [10],
20 500 [10], 530 [11], 800 [10] and 1024 kHz [5]). However, with the notable exception of the
21 work performed by Touyeras et al.[10-12], many of these studies have ignored the
22 importance of the impact that acoustic cavitation might have on the surface concentration

1 of Pd which is critical to the initiation of electroless Cu deposition on a non-metallic
2 substrate.

3 When electroless Cu plating a dielectric material a Pd activation stage is first
4 performed as part of the pre-treatment process and this results in the deposition of Pd
5 nanoparticles on the surface of the substrate [13]. If these Pd nanoparticles are not present
6 on the surface of the dielectric or non-conductive substrate then metallisation simply will
7 not occur. Even when they are present, the concentration of Pd and its distribution over the
8 surface to be plated will have a major impact on subsequent plating rates and coverage [14].
9 Originally the activation of a non-metallic substrate was carried out using a 2 or 3 step
10 process [13][15-18]. The ‘activation’ stage (1 or 2 steps) would result in a Pd core
11 surrounded by a Sn ‘shell’. A further ‘acceleration’ stage would then largely remove this Sn
12 ‘shell’ leaving Pd on the surface of the material to be plated which then initiated the
13 electroless Cu reaction. However, in commercial electroless Cu processes such activation
14 procedures have been largely replaced with a single Pd-Sn colloidal system and the
15 ‘accelerator’ stage is also eliminated by the use of ‘self-accelerating’ electroless Cu
16 solutions. The majority of studies reported in the literature do not use ‘self-accelerating’
17 electroless Cu processes, even though said processes are the most employed in industry and
18 therefore the relevance of these studies to real manufacturing environments has to be
19 questioned.

20 In this study the influence of low-frequency ultrasound on a Pd-activated ‘self-
21 accelerating’ electroless Cu process is investigated. The effect of using a ‘self-accelerating’
22 electroless Cu on the Pd and Sn concentration of an ‘activated’ dielectric material is
23 determined whilst, in addition, the importance of understanding how low frequency

1 ultrasound affects the Pd concentration on the surface of a dielectric material is revealed.
2 Based on this understanding, optimisation of how ultrasound is introduced to the electroless
3 Cu process is reported and in what way it might enable reduced temperature electroless Cu
4 plating. Related to this, the present work introduces an original concept in ultrasound-
5 assisted electroless Cu plating, ‘delay time’, which may enhance the deposition rate at
6 lower temperatures while not increasing Pd removal from the surface of the ‘activated’
7 substrate to be metallised. Finally, the effect of the optimised low-frequency ultrasound on
8 the morphology and crystal structure of the electroless-plated Cu deposit is described.

9 **2. Experimental details**

10 **2.1. ‘Self-accelerating’ electroless Cu plating process**

11 A commercially available ‘self-accelerating’ electroless Cu plating process supplied
12 by Chestech Ltd was employed in this study (Table 1). The electroless Cu solution is
13 Ethylenediaminetetraacetic acid (EDTA) based and uses formaldehyde as the reducing
14 agent. The solution also contains a number of additives but as this is a commercial product
15 the exact formulation cannot be revealed. A Pd/Sn colloid is deposited on the surface
16 during immersion in the ‘Catalyst’ solution which contains around 600 ppm of Pd.

17 **2.2. Experimental set-up**

18 All the experiments conducted in ‘silent conditions’ (i.e. absence of ultrasound)
19 were carried out in a 1000 mL beaker containing 800 mL of the Cu 3350-1 solution
20 continuously stirred with a magnetic stirrer, whereas a 40 kHz 375 TT ultrasonic bath
21 (Langford Electronics UK Ltd) containing 1000 mL of the Cu 3350-1 solution was used in
22 the experiments with ultrasound. The bath was previously characterized by calorimetry

1 [19], showing an ultrasonic power of 0.103 W/cm³. All the experiments were conducted on
2 test coupons (2.5 x 2.5 cm) prepared from Isola Duraver 104 sheets, a typical PCB laminate
3 material.

4 **2.3. Experimental procedures**

5 *2.3.1. Effect of low-frequency ultrasound on ‘self-accelerating’ electroless Cu plating*

6 For the evaluation of the influence of ultrasound on the plating rate of the ‘self-
7 accelerating’ electroless Cu process test coupons underwent the whole regime described in
8 Table 1, where the test coupons were immersed in the Circuposit 3350-1 solution for 25
9 minutes at different temperatures under three different stirring conditions:

- 10 1. Agitation using a magnetic stirrer (referred to as ‘silent’ conditions).
- 11 2. Continuous ultrasound.
- 12 3. ‘Delay time’ ultrasound, where the ultrasonic bath was only turned on after a
13 variable ‘delay’ time as shown in Table 2 below. During the ultrasound ‘off’ time
14 the electroless Cu solution was agitated using a magnetic stirrer.

15 Deposition rates were determined by the ‘weight gain’ method whereby test
16 coupons were first dried in an oven at 120 °C for 24 hours and weighed. They were then
17 coated with Cu using the process detailed in Table 1, and then dried at 120 °C for 24 hours
18 and weighed again. The plating rate could then be calculated from the weight gain using the
19 following equation:

$$t = \frac{\Delta m}{A\rho} \quad (1)$$

20

1 where t is the thickness of deposit, Δm is the weight gain due to electroless deposition, A is
2 the area of plated coupon and ρ is the density of plated metal (Cu).

3 Cu coatings plated in electroless Cu electrolytes at 40 °C in the absence of ultrasound and
4 using 7-minute ‘delay’ ultrasound were analysed in a Focused Ion Beam - Scanning
5 Electron Microscope (FIB-SEM) (FEI Nova 600 Nanolab Dualbeam system) to evaluate
6 any effect of the optimal ultrasonic agitation conditions on the surface morphology and
7 microstructure of the coatings. Electroless Cu deposits obtained using these same
8 conditions were also analysed by X-Ray Diffraction (XRD) to determine the crystal
9 structure of the Cu coatings. Diffractograms were recorded with a step size of 0.01° for 2θ
10 ranging from 5 to 100° and measuring time of 3.6 seconds per step with an X-Ray
11 diffractometer operating with Cu-K α radiation.

12 *2.3.2. Effect of low-frequency ultrasound on Pd concentration over the surface of an* 13 *activated substrate*

14 For the investigation into the effects of low-frequency sonication on the Pd-Sn
15 activated dielectric material the test coupons underwent the whole process described in
16 Table 1 except for the Circuposit Electroless Copper 3350-1, where a ‘simulated’ 3350-1
17 bath under silent conditions and ultrasound was used instead. The ‘simulated’ electroless
18 Cu solution contained all the elements of the electroless Cu solution except the Cu-
19 containing component to ensure that coupons were not coated with Cu as this would
20 prevent analysis of the Pd content on the surface of the substrate. X-Ray Photoelectron
21 Spectroscopy (XPS) analysis was used to determine the amount of Pd and Sn on the surface
22 of the test coupons after being immersed in the ‘simulated’ 3350-1 bath for 10 minutes

1 with/without the presence of ultrasound. XPS analysis was conducted in a Thermofisher
2 ESCALAB 250 electron spectrometer equipped with a hemispherical sector energy
3 analyzer.

4 **3. Results and Discussion**

5 Figure 1 displays deposition rates measured on Cu electroless plating experiments
6 conducted at different temperatures under different conditions. It is clear from these results
7 that the main effect on electroless Cu deposition rates is the electrolyte temperature. When
8 comparing experiments carried out under continuous ultrasound (dashed line) with those
9 conducted under silent conditions (continuous line), it is easily observed that the only
10 significant enhancement by ultrasound in terms of deposition rate is achieved at the highest
11 plating temperature (46 °C). The increase in the deposition rate is in this sense comparable
12 to previous data reported by Tian and Guo [9] under similar ultrasound conditions (16%
13 increase in the present paper vs 20% increase in theirs using a 40 kHz ultrasonic system).

14 These results cannot be interpreted without an understanding of the influence of low-
15 frequency ultrasound on the concentration of Pd on the dielectric material. One of the most
16 common applications of low-frequency ultrasound is in the cleaning of materials [2]. The
17 formation and collapse of cavitation bubbles creates micro-jets which can hit the surface of
18 materials at very high speed [3]. This has a scrubbing effect on the surface of materials
19 removing contaminants and debris leading to very efficient surface cleaning. It was
20 postulated that a very similar effect might be occurring in this case, as the ultrasonically
21 induced micro-jetting could 'scrub' the Pd particles from the surface of the dielectric during
22 the initial immersion in the electroless Cu solution. The reduced Pd concentration on the

1 surface of the dielectric would then lead to slower initiation of the electroless Cu plating
2 reaction and therefore to lower plating rates. This phenomenon would be most problematic
3 when the plating solution temperature was low and initiation times relatively long. This
4 concept appears to agree with the plating rate results shown in Figure 1 which indicate that
5 at 40 °C and below, the presence of continuous ultrasound had either no effect or somewhat
6 reduced the plating rates compared to 'silent' conditions. The recommended operating
7 temperature for this particular electroless Cu process is 46 °C and it can be seen that at this
8 temperature continuous ultrasound has a positive effect on plating rates. At this higher
9 temperature the electroless Cu plating reaction initiation time will be short and therefore the
10 concentration of Pd on the surface of the dielectric will not be so critical. Therefore, the
11 beneficial effects of sonication to an electrochemical process (improved mass transfer,
12 reduced diffusion layer thickness etc.) will far outweigh the negative effect of micro-jetting
13 i.e. some loss of Pd from the substrate surface.

14 To confirm this theory, different samples of the Pd-activated dielectric material were
15 immersed in the 'simulated' electroless Cu solution under either ultrasound or silent
16 conditions for 4 and 10 minutes, and the surface of the sample was then analysed by XPS to
17 estimate the surface composition in terms of Pd and Sn. The results are shown in Figure 2.
18 After 4-minute immersion in the 'simulated' electroless Cu solution, Pd content in the
19 surface of the samples remained relatively similar whether ultrasound or conventional
20 agitation was employed. Moreover, in both cases Pd content appeared to slightly increase
21 compared to the Pd content of samples that were not immersed in the 'simulated'
22 electroless Cu solution. This would be caused by the depletion of Sn in the surface of the
23 samples after being immersed during 4 minutes in the solution, as Sn content is

1 significantly reduced in all cases, clearly indicating that the electroless Cu solution is
2 indeed 'self-accelerating'. In this sense, a Sn 'shell' would mask some of the Pd 'core' after
3 the 'Catalyst' stage. However, when the dwell time was increased to 10 minutes, XPS
4 analysis confirmed that ultrasound could remove virtually all the Pd nanoparticles from the
5 substrate surface (it would not be a Pd-activated dielectric material anymore). This implies
6 that 'continuous' sonication at 40 kHz clearly has an undesired effect on the deposition rate
7 for a catalysed electroless Cu process if the Pd nanoparticles on the surface are not
8 'protected' from the action of cavitation phenomena occurring near the surface.

9 To avoid ultrasonic scrubbing of the Pd from the surface of the dielectric, a 'delay time'
10 was introduced before the ultrasonic bath was turned on in the electroless Cu plating stage.
11 The effect of utilising different delay times using an electroless Cu plating temperature of
12 40 °C, is shown in Figure 3. It can be seen that, as the delay time was increased up to 7
13 minutes, the plating rate also rose, further confirming the concept that the removal of Pd
14 from the surface of the Pd-activated dielectric material when continuous ultrasound is
15 applied has a negative impact on plating rates at low plating temperatures. A further
16 increase in the 'delay time' (10 minutes) did not show any further improvement in the
17 deposition rate compared to the 7 minute 'delay time' although it should be noted that the
18 deposition rate with the 10 minute 'delay time' is still significantly higher than under silent
19 conditions. Considering the fact that mechanical agitation (silent conditions) results in a
20 lower plating rate then it is not surprising that if mechanical agitation is employed for 10
21 minutes (i.e. 40% the total plating time of 25 minutes) then the beneficial effects of
22 sonication do not have sufficient time to realise a further increase in plating rate.

1 One might initially conceive that the introduction of a ‘delay time’ contradicts the work of
2 Touyeras et al. [10,11], who suggested that the presence of ultrasound during the electroless
3 Cu plating stage mainly affected the initiation stages of the electroless Cu deposition by
4 removing any remaining Sn from the colloidal catalyst and enhancing the reduction of Pd⁺
5 to the more catalytic Pd. Nevertheless, high frequency ultrasonic systems were employed in
6 all their studies, whereas a low frequency bath was used in the present work. Mechanical
7 effects are predominant at low frequency ultrasonic fields, whereas chemical effects are
8 predominant at high frequency ultrasonic fields [20]. Therefore, a low frequency ultrasonic
9 field has a negative effect in the early stages of the Cu deposition due to the removal of the
10 Pd catalyst from the substrate, but can generate beneficial thermal effects and enhanced
11 mass transport once the electroless Cu plating reaction has been initiated. Conversely, a
12 high frequency ultrasonic field may have a positive effect in the early stages of the Cu
13 deposition due to the enhancement of the reduction of Pd²⁺ to the more catalytic Pd,
14 whereas no effect would be further observed once the Pd catalyst is covered with the Cu
15 coating. In fact, Touyeras et al. [10,11] reported that, when using higher ultrasonic powers
16 in their high-frequency systems, the Pd catalyst was scrubbed from the surface of the epoxy
17 substrates they used, which agrees with the results reported in this study.

18 Figure 1 also displays the effect of the introduction of the 7-minute ‘delay time’ (dotted
19 line) over the range of plating temperatures previously used in the experiments conducted
20 under continuous ultrasound and ‘silent’ conditions. Although at 25 °C the introduction of a
21 7-minute delay in sonication did not have any effect, at 30 °C the introduction of the delay
22 caused a 60% and 46% increase in plating rates compared to the experiments conducted
23 under continuous ultrasound and ‘silent’ conditions. Above 30 °C, the efficacy of the ‘delay

1 time' to increase plating rates progressively decreased as the electroless Cu solution
2 temperature was increased, although it should be noted that at 40 °C the increase in
3 deposition rate of 20% respectively is not insignificant at all. Indeed PCB manufacturers
4 generally aim for a minimum electroless Cu thickness of approximately 2 µm and the
5 results from this work indicate that this can now be achieved at a reduced plating
6 temperature of 40 °C in a reasonable plating time. This is an important finding as it
7 suggests that, with careful optimisation of how low-frequency ultrasound is introduced to a
8 Pd-activated electroless Cu process, a significant enhancement in plating rates can be
9 achieved at reduced electrolyte temperature, potentially lowering energy costs and
10 increasing production capacity.

11 FIB-SEM analysis FIB was performed on Cu coatings produced under 7-minute 'delay
12 time' ultrasound and 'silent' conditions to analyse the effect of the introduction of the
13 'delay time' ultrasound on the surface morphology and the coating crystal structure. As
14 shown in Figures 4 A and B, the introduction of 7-minute 'delay time' ultrasound during
15 the electroless Cu plating stage showed benefits in terms of improved surface coverage and
16 a significantly lower porosity compared to 'silent' conditions. This was confirmed after
17 analysing the cross-section of the coatings, as a higher number of pores of a larger size
18 were observed in the Cu deposits produced under silent conditions (Figure 4 C) than in
19 those prepared using the more optimised ultrasonic process (Figure 4 D). However, no
20 significant effect of ultrasound was observed on the grain structure of the coatings, as Cu
21 deposits produced under either mechanical agitation (Figure 4 E) or 7-minute delay
22 ultrasound (Figure 4 F) presented similar grain structure and size. No major differences
23 were observed in terms of crystal orientation, as very similar XRD patterns (Figure 5 A)

1 and virtually identical Relative Texture Coefficients ($RTC_{(hkl)}$) [21] (Figure 5 B) were
2 obtained for the Cu deposits produced under either mechanical agitation or 7-minute delay
3 ultrasound.

4 **4. Conclusions**

5 The results from this study have demonstrated that, if low-frequency ultrasound is to be
6 used to enable low temperature electroless Cu plating rates on a Pd-activated dielectric
7 material, then an understanding of how acoustic cavitation and micro-jetting affect the Pd
8 content on the surface of the substrate is critical. If continuous low-frequency ultrasound is
9 used during ‘self-accelerating’ electroless Cu plating processes then this will remove Pd
10 from the surface of the material resulting in increased plating initiation times and,
11 subsequently, to lower plating rates particularly at low electrolyte temperatures. The
12 introduction of a ‘delay time’ before ultrasound is turned on alleviates the issue of Pd
13 removal from the substrate to be plated and enables the beneficial effects of ultrasound on
14 electrochemical processes to be realised. This results in enhanced plating rates at electroless
15 Cu plating temperatures between 30 and 46 °C. Surface analysis of the electroless Cu
16 coating deposited at 40 °C using 7-minute ‘delay time’ ultrasound indicated that an
17 additional benefit of employing sonication during plating is reduced porosity deposition
18 although no effect on the crystal structure orientation was observed.

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5

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Table and Figure Captions

Table 1. Commercial ‘self-accelerating’ electroless Cu plating process (Chestech Ltd) used in this study.

Table 2. ‘Delay’ times used before introduction of 40 kHz ultrasound to electroless copper solution at 40 °C

Figure 1. Deposition rate of ‘self-accelerating’ electroless Cu plating process under silent conditions (solid line), continuous 40 kHz ultrasound (dashed line) and 7-minute ‘delay time’ 40 kHz ultrasound’ (dotted line) at various temperatures.

Figure 2. Evolution of Pd content (●) and Sn content (○) vs time on the surface of epoxy test coupons immersed in a ‘simulated’ electroless Cu solution under silent conditions (dashed lines) and continuous 40 kHz ultrasound (solid lines).

Figure 3. Deposition rate of ‘self-accelerating’ electroless Cu plating process under ultrasound after introducing different ‘delay times’ before sonication.

Figure 4. FIB-SEM images of Cu deposits plated under silent conditions (left) and under 7-minute delay ultrasound (right): surface morphology (A and B), cross-section (C and D) and ion-beam high contrast cross-section (E and F).

Figure 5. (A) XRD spectra for Cu coatings electroless deposited under ‘silent’ conditions and 7-minute ‘delay time’ ultrasound (7-min delay). (B) $RTC_{(hkl)}$ coefficients estimated for the different crystal planes observed in Cu coatings electroless deposited under silent conditions (black) and 7-minute ‘delay time’ ultrasound (white).

Process step	Bath composition	Temperature (°C)	Time (minutes)
Conditioner 3323	5% (v/v) Conditioner 3323	50	5
Catalyst Pre-dip 3340	270 g/l Pre-dip 3340	40	1
Catalyst 3344	270 g/l Pre-dip 3340 3% (v/v) Catalyst 3344	40	5
Electroless copper 3350-1	3.2 g/l CuCl ₂ 7.8 g/l NaOH 3 g/l CH ₂ O 35 g/l EDTA	40	25

Table One

Agitation by magnetic stirrer - 'Delay' time (minutes)	Agitation by 40 kHz Ultrasound (minutes)
25	0
2	23
4	21
7	18
10	15

Table Two

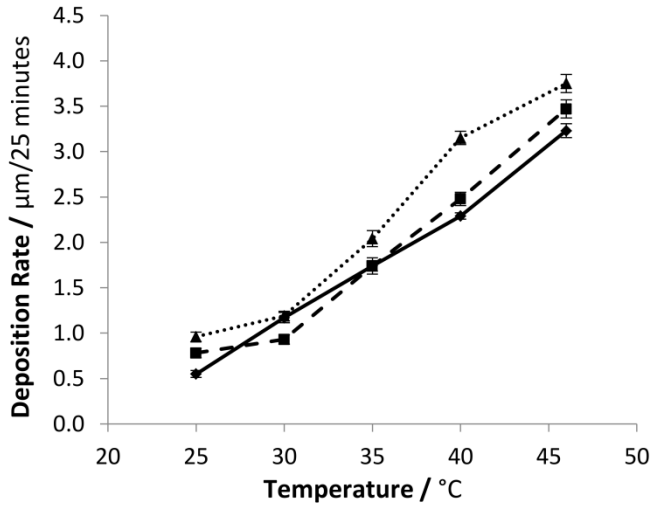


Figure One

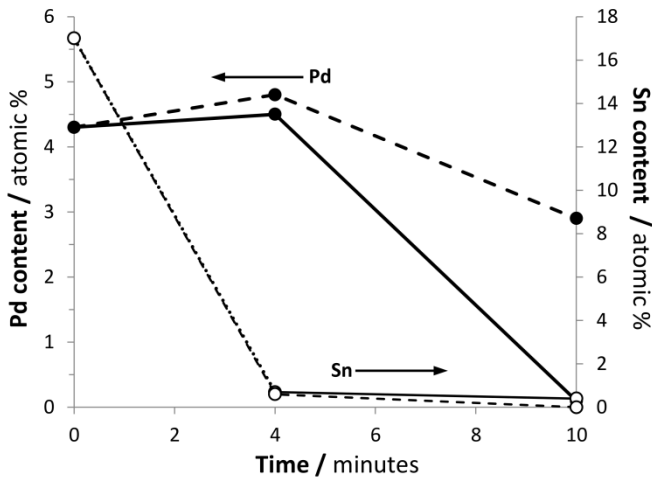


Figure Two

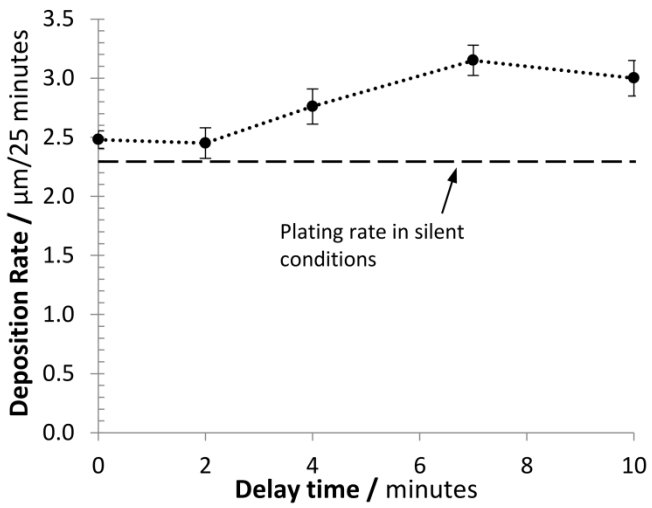


Figure Three

Figure Four unavailable

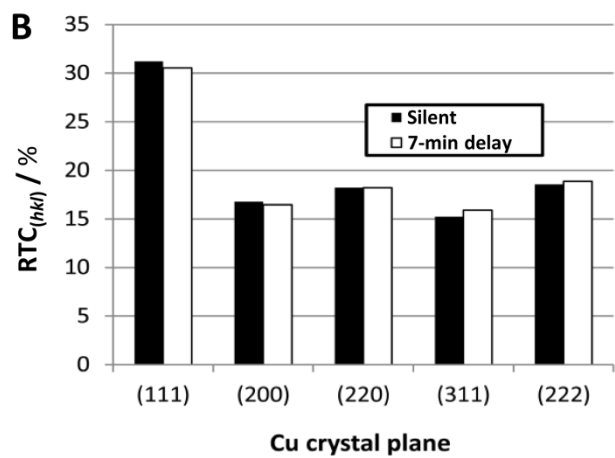
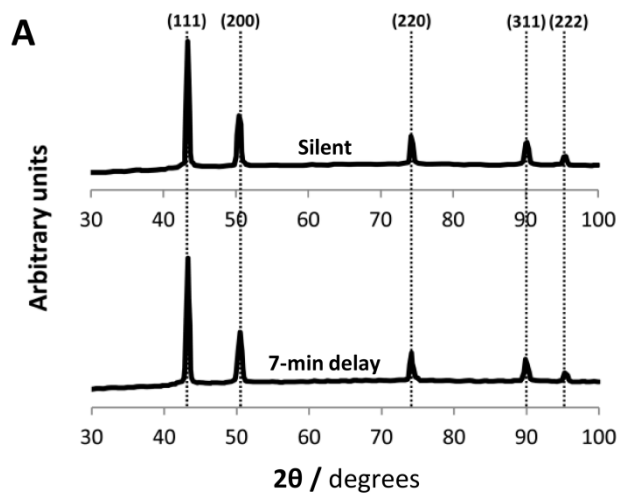


Figure Five