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A Review of the Changes of Internal State Related to High Temperature Creep of Polycrystalline Metals and Alloys

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Abstract

When polycrystalline metals and their alloys are used at high temperature, creep deformation leads to changes of their internal state. The change in internal state manifests itself in many ways, but two that concern us in this review are (i) the creation of internal stress arising from the strain incompatibility between grains and/or the formation of cell/sub-grain structures; and (ii) a change in the material resistance. This review aims to provide a clear separation of these two concepts by exploring the origin of each term and how it is associated with the creep deformation mechanism. Experimental techniques used to measure the internal stress and internal resistance over different length-scales are critically reviewed. It is demonstrated that the interpretation of the measured values requires knowledge of the dominant creep deformation mechanism. Finally, the concluding comments provide a summary of the key messages delivered in this review and highlight the challenges that remain to be addressed.

Keywords: Internal stress, Internal resistance, Back stress, Deformation inhomogeneity, Creep, Dislocation structure, Polycrystal

Nomenclature

A	Constant used in the power-law creep equation
a	Constant used in the creep rate equation proposed by Lagneborg
b	Length of the Burgers vector
d	Lattice spacing

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D_0	Reference diffusivity
D_i	Diffusion coefficient of impurities which pin dislocation glide
D_{sd}	Lattice self-diffusion coefficient
E_{hkl}	Diffraction elastic constant for a specific crystallographic plane $\{hkl\}$
f^{ci}	Area fraction of cell interiors
f^{cw}	Area fraction of cell walls
\dot{f}^{ci}	Rate of the area fraction change of cell interiors
\dot{f}^{cw}	Rate of the area fraction change of cell walls
G	Shear modulus
I/I_0 and I/I_{max}	Normalised intensity of diffraction peaks
I_c and I_w	Deconvoluted diffraction peaks representing contributions from cell interiors and cell walls
k	The Boltzmann constant
L	TEM measured projected dislocation link length
M	Taylor factor (which is 3 for a texture-free polycrystalline material)
n	Creep stress exponent used in the power-law creep equation
q	Diffraction vector, $q=2\pi/d$
Q	Activation energy for creep
Q^*	Activation energy for creep after taking into account the presence of internal resistance
r_0	Dislocation core radius
R	Radius of curvature of the bowed dislocation
S	TEM foil thickness
T	Absolute temperature
α	Constant describing the strength of the dislocation node
β	Angle between the Burgers vector and the dislocation direction
θ_0	Peak position of the measured diffraction peak
$\Delta\theta_x$	Shift of the two sub-peaks relative to the centre of gravity of the measured diffraction peak, representing contributions from cell interiors and cell walls
$\Delta\theta_c$ and $\Delta\theta_w$	Peak positions of diffraction peaks representing contributions from cell interiors and cell walls
γ	Stacking fault energy
λ	Dislocation link length
λ_{th}	Critical length of a dislocation link
$\theta(\lambda)$	Number distribution of dislocation link length
v	Dislocation glide velocity
$d\delta$	Angle of a unit length of bowed dislocation link
\square	Strain
\square_n	Strain used to define the permanent softening
ϵ_{in}	Inelastic strain
ϵ^{ci}	Strain in the cell interiors in the Mughrabi's composite model
ϵ^{cw}	Strain in the cell walls in the Mughrabi's composite model
ϵ^t	Total strain in the Mughrabi's composite model
ϵ_{in}^{ci}	Inelastic strain in the cell interiors
ϵ_{in}^{cw}	Inelastic strain in the cell walls

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$\dot{\epsilon}_c$	Steady state creep rate
$\dot{\epsilon}_{in}$	Inelastic strain rate
$\dot{\epsilon}_{in}^{ci}$	Inelastic strain rate in the cell interiors
$\dot{\epsilon}_{in}^{cw}$	Inelastic strain rate in the cell walls
ρ	Dislocation density
σ_a	Applied stress
σ_p	Creep pre-strain stress
σ_s	Saturation stress in cyclic deformation
σ_{op}	Operative stress
σ_{is}	Internal stress
σ_{is+a}	A sum of the internal stress and the applied stress
σ_{ir}	Internal resistance
$\sigma_{ir}(\lambda)$	Internal resistance associated with dislocation link length
σ_f and σ_r	Flow stress along forward deformation direction and reversed direction
σ_y	Yield strength
σ_{sn}	Permanent softening stress
σ_{is}^A and σ_{is}^B	Internal stress in grain A and grain B of a body
σ_{ir}^A and σ_{ir}^B	Internal resistance in grain A and grain B of a body
$\sigma_{is}^{\{hkl\}}$	Internal stress for a $\{hkl\}$ grain family
σ_{is+a}^{ci}	A sum of internal stress at cell interiors and the applied stress
σ_{is+a}^{cw}	A sum of internal stress at cell walls and the applied stress
σ_{is}^{ci}	Internal stress at cell interiors
σ_{is}^{cw}	Internal stress at cell walls
$\dot{\sigma}_{is}^{ci}$	The rate of internal stress change at cell interiors
$\dot{\sigma}_{is}^{cw}$	The rate of internal stress change at cell walls
τ_0	Additional contribution to the internal resistance due to the presence of the other sources
τ_a	Applied shear stress (τ_a is obtained by multiplying the applied stress, σ_a , by Schmidt factor of 0.3 for a polycrystal)
τ_e	Effective shear stress acting on the dislocation to hold the radius of curvature of bowed dislocation
τ_f and τ_r	Shear flow stress along forward deformation direction and reversed direction
τ_{is}	Internal shear stress
τ_{ir}	Internal shear resistance
τ_{sn}	Permanent softening shear stress
$\tau_{is}^{\{hkl\}}$	Internal shear stress for a $\{hkl\}$ grain family
Λ	Mean dislocation spacing

1. General Introduction

Modern technological progress in, for example electrical power generation, demands the use of materials at increasingly higher temperatures. As a consequence of high temperature exposure, the creep behaviour of materials is perhaps one of the most critical factors in considering such applications^{1, 2}. This concern has driven a considerable amount of work on the development of creep-resistant alloys^{2, 3}. In addition, we require a greater confidence in predicting the overall creep life of engineering components. This applies to the extension of the life for existing power generation plants as well as prediction of the life for future designs.

There are two significant interactive contributions to the creep lifetime of engineering materials, i.e. creep deformation and creep fracture⁴. Materials may deform by several different mechanisms when subjected to an applied stress at high temperature, and it is convenient to present these mechanisms in the form of a deformation mechanism map^{5, 6}. Similarly, materials may fracture by several possible mechanisms, and these can be described by a fracture mechanism map^{7, 8}. More importantly, over the operational service life, typically $\geq 200,000\text{h}$, there is a potential to change the initial microstructure of a material, which can affect both the controlling deformation and fracture mechanisms. In the present review we focus on creep deformation.

As an example, Type 316 austenitic stainless steel is widely used in nuclear power generation plant, such as the UK Advanced Gas Cooled reactors (AGRs). A typical deformation mechanism map for the steady state creep response of this type of steel has been established by Frost and Ashby⁶. The deformation mechanism map was generated by fitting generic models to creep data⁶. Figure 1 shows the deformation mechanism map for this material with a typical grain size of $50\mu\text{m}$ in the solution treated condition. Power law creep, dominated by dislocation movement, and diffusional creep are the two main high temperature deformation mechanisms, Figure 1. The former dominates at a relatively high stress and the latter at a relatively low stress. Two widely accepted physically based models that describe diffusional creep deformation were proposed by Nabarro-Herring^{9, 10} and Coble¹¹.

Data to support the diffusional creep mechanism are limited, and most designs are assumed to fall within the regime where dislocation mechanisms dominate the material response. Many different forms of constitutive equations have been

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4 proposed to describe dislocation dominated creep deformation¹²⁻¹⁸. These include the
5 power-law relationships employed by Frost and Ashby⁶ and exponential and
6 hyperbolic sine relationships¹⁷. However, to date no unified mechanistic models have
7 been proposed and most of the equations simply provide a functional form of
8 constitutive model that can be fitted to data. Thus the resulting models can be used to
9 predict creep behaviour only within the bounds of test data¹⁹. There are at least two
10 obvious drawbacks associated with the development of constitutive equations in this
11 way: (i) the difficulty of transferring the model from one tested material to another
12 and (ii) the limitation of predicting material behaviour beyond the test data range.
13 This leads to the requirement of an expensive creep test programme of work to
14 develop long term creep lifetime predictions.
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17 Because of the incomplete understanding of the dislocation creep deformation
18 mechanisms, most mechanistic models can provide only a good prediction for
19 nominally pure metals and simple solid solution alloys^{1, 15, 18, 20}. Nevertheless, there
20 has been some success recently in the development of mechanistic models for the
21 behaviour of nickel based superalloys^{21, 22}. Therefore, the development of physically
22 based creep deformation models is required and remains a significant challenge,
23 particularly if we wish to determine the creep behaviour of many engineering alloys
24 for long durations.
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27 A useful method for describing the creep deformation of polycrystalline materials,
28 i.e. creep deformation rate, $\dot{\epsilon}_c$, is to recognise that the mechanical response of a
29 material is based upon the current state of the microstructure (i.e. internal state),
30 temperature and applied stress²³. The change in internal state is manifested in many
31 ways^{15, 23-27}. For example, creep deformation leads to a change in the internal state of
32 the material. The influence of the microstructural changes during long term service
33 on the creep strain rate has been reviewed in 9 to 12 wt.% chromium steels²⁸. The
34 present review focuses on two characteristic features of the internal state: internal
35 stress and internal resistance. The first is a consequence of the strain incompatibility
36 between grains and/or the formation of cell/sub-grain structures. The second relates
37 to a change in the material resistance to dislocation motion and thereby the creep
38 deformation. In the remainder of this paper we examine these features within the
39 framework of the power-law creep relationships as employed by Frost and Ashby⁶,
40 which is appropriate for the long term creep problems that are of primary interest here.
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4 There has been a widespread acceptance of the idea that creep deformation is not
5 driven by the applied stress but rather by an operative stress. Sometimes this
6 operative stress is seen as the difference between the applied stress and the so-called
7 “internal stress”, often considered to be representative of the material internal state²⁹,
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30. Many different terms have been used to describe this internal stress concept, such as back stress²⁹, friction stress^{31, 32}, threshold stress³³ and residual stress³⁴. By incorporating a certain value of “internal stress”, attempts to reconcile differences between the theoretically derived creep stress exponents of the steady state creep rate and the experimental observations have been considered³⁰. To validate the value of stress which has been incorporated into a creep deformation model, many experimental techniques have been developed to measure the internal stress^{26, 35-38}. However, different measurement techniques seem to provide different magnitudes of the internal stress in the same material under very similar creep test conditions. For example, Blum and Finkel³⁸ creep tested an Al-11 wt.% Zn alloy at 300°C for a range of applied stresses from 5.5MPa to 18.4MPa. Both conventional and modified strain transient dip test techniques were used to measure the “internal stress”. Different magnitudes of internal stress were obtained depending on the technique adopted and the model employed to interpret the measured values. Therefore, data from measurements of internal stress do not necessarily permit a critical comparison with the theoretical derivations of the internal stress. Ambiguous definitions of internal stress and the inability to separate the internal stress from the internal resistance at different length-scales are two potential reasons leading to the contradictions.

In section 2 of this review, creep mechanisms associated with the dislocation structures in different classes of materials are briefly reviewed. In section 3, the concepts of internal stress and internal resistance are established. The mechanistic creep deformation models incorporating internal stress and internal resistance terms are critically assessed in section 4. In section 5, the techniques available to measure both at different length-scales are critically assessed. Finally, concluding comments are given in section 6.

2. Dislocation Mechanisms in Creep

2.1. Introduction

When a polycrystalline material is subjected to applied stress at elevated temperatures, dislocation associated deformation requires the dislocations to overcome the intrinsic lattice resistance (Peierls force) and obstacles such as other dislocations, solute elements and second-phase particles/precipitates³⁹. Thus, the magnitude of the resistance to dislocation motion is determined by the overall dislocation structure and density, the distribution and types of solute elements, and particles/precipitates.

For a range of materials, including pure metals^{13,40,41}, solid solution alloys^{13,20,42-44} and engineering materials^{42,45,46}, the most widely used form of the power-law creep equation is that originally proposed by Mukherjee et al.⁴⁷ and subsequently referred to by several workers^{13,48,49}:

$$\dot{\epsilon}_c = \frac{AGb}{kT} \left(\frac{\sigma_a}{G} \right)^n D_0 \exp\left(\frac{-Q}{kT} \right) \quad (1)$$

where $\dot{\epsilon}_c$ is the steady state creep rate, σ_a is the applied stress and n is the creep stress exponent. A is a dimensionless constant, G is the shear modulus, b is the length of the Burgers vector, k is the Boltzmann constant, and T is the absolute temperature. D_0 is the reference diffusivity and Q is the activation energy for creep. D_{sd} is often used to describe the lattice self-diffusion coefficient and is equal to $D_0 \exp(-Q/kT)$. Both the stress and temperature have a major influence on the steady state creep rate, $\dot{\epsilon}_c$, as illustrated in Figure 1. Different dislocation creep mechanisms produce different characteristic values of the creep stress exponent, n : (i) dislocation sub-structure formation gives rise to a value of n about 5^{13,16,40}; (ii) viscous glide controlled creep gives rise to a value of n about 3⁵⁰⁻⁵⁴; and (iii) power-law-breakdown (PLB) produces a value of $n > 5$, with a generally decreased activation energy compared with self-diffusion^{36,55-59}. This is due to the dominance of dislocation core diffusion in this creep regime^{6,60}.

Following Mukherjee et al⁴⁷, it is instructive to examine creep data in terms of a normalised steady state creep rate, $\dot{\epsilon}_c kT / D_{sd} G b$, and a normalised applied stress, σ_a / G .

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4 This allows the behaviour of different polycrystalline materials to be classified in
5 terms of their creep stress exponent, n . Figures 2 (a) and (b) show some
6 representative data for the pure aluminum and its alloys, and stainless steels,
7 respectively. The required material parameters in equation 1 were mainly obtained
8 from Frost and Ashby⁶.
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12 We will now consider the contribution of different dislocation mechanisms to the
13 creep deformation. These include the role of three dimensional dislocation networks;
14 heterogeneous dislocation cell/sub-grain structures; and the presence of solute
15 elements and particles/precipitates. We examine these different mechanisms within
16 the context of the power-law relationship of equation 1.
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22 2.2. Three dimensional dislocation network arrangement 23 24 25

26 Dislocations usually build-up a randomly distributed three dimensional dislocation
27 network, with dislocations linked at nodes³⁹. The detailed form of the dislocation
28 network depends on both the internal and external factors. The most important
29 internal factor is the stacking fault energy, γ ^{61, 62}. External factors include the stress
30 and the temperature applied to a material. A low value of γ is associated with a
31 random dislocation structure, which could be due to the difficulty for extended
32 dislocations to cross-slip^{61, 63} or climb⁶⁴. Such a dislocation arrangement is observed
33 in austenitic stainless steels at relatively low temperatures⁶⁵ and α -brasses⁶⁶.
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39 Several transmission electron microscopy (TEM) observations⁶⁷⁻⁶⁹ have revealed
40 that the three dimensional network generated during creep consists of dislocation
41 links connected at attractive and repulsive nodes. Figure 3 shows a TEM micrograph
42 for a creep deformed 20% Cr-35% Ni stainless steel, where a dislocation node has just
43 broken. Based on observations of this type, Lagneborg⁶⁶ and McLean³² have
44 described a creep process in which creep strain occurs when a dislocation link breaks
45 away from the network and glides on an active slip system, before eventually being
46 arrested by other dislocations in the network. The distribution of link lengths in the
47 dislocation network is determined by two processes: (i) hardening, arising from the
48 increase in dislocation density due to the expansion of the released dislocation; and (ii)
49 recovery, where longer links grow at the expense of smaller ones in a process akin to
50 grain growth. It has been suggested by Suzuki and Imura⁷⁰ that smaller dislocation
51 networks are unstable, and grow rapidly by diffusion at high temperatures under the
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4 action of their line tension. Both Lagneborg⁶⁶ and McLean³² argued that a dislocation
5 link can break away from the network if it is longer than a critical value, λ_{th} . Under
6 steady state creep conditions there is a balance between the rates of hardening and
7 recovery that continually supplies dislocations of the critical length required for
8 permanent deformation to occur. Following a stress change, transient behaviour
9 occurs as the dislocation structure evolves towards the steady state structure
10 associated with the new stress level. We discuss this further in sections 4 and 5.
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18 2.3. Heterogeneous dislocation cell/sub-grain structure 19 20

21 For pure metals, such as Al¹³ and other F.C.C. metals⁴⁰, B.C.C. metals⁴⁰ and H.C.P.
22 metals⁴¹, a creep stress exponent of n close to five is often obtained. Creep data for
23 pure Al with $n=4.6$ are shown in Figure 2 (a). It is well established that a stress
24 exponent, n , close to five is typical of pure metals which develop dislocation sub-
25 structures, i.e. a cell or sub-grain structure¹². Sub-grain formation requires both
26 dislocation cross-slip and climb to enable rapid rearrangement of dislocations^{61, 63, 71}.
27 A model which describes the creep response of the resulting dislocation sub-structure
28 was developed by Blum and his co-workers⁷²⁻⁷⁵ based on the earlier model of
29 Mughrabi^{37, 76} for time-independent plastic deformation. In this model, each grain
30 includes two distinct areas: the rectangular cells with thin walls containing dense
31 arrays of dislocations and the cell interiors containing a low density of dislocations.
32 The dislocations in the cell walls produce a large resistance to the motion of
33 dislocations through the walls^{37, 76}. As a result, some dislocations in the cell interiors
34 are held-up at the interface between cell walls and cell interiors. At the cell interiors,
35 the dislocations are arranged randomly as a three dimensional network structure,
36 which has been confirmed by TEM observations^{1, 77, 78}. We consider this model
37 further in sections 3 and 4.
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52 2.4 Solid solution 53 54

55 For solid solution alloys, Al-Mg^{13, 20}, Al-Zn⁴³ and Al-Cu⁴⁴ alloys are three typical
56 examples where a creep stress exponent, n , close to three was obtained in the
57 intermediate normalised stress region. Creep data of the Al-2.2 at.% Mg and Al-3.3
58 at.% Mg alloys are shown in Figure 2 (a), where the creep mechanism is viscous glide
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4 of dislocations⁵⁰. The intermediate normalised stress region for the Al-2.2 at.% Mg is
5 between $\sigma_d/G=3\times 10^{-4}$ and $\sigma_d/G=2\times 10^{-3}$, where the creep stress exponent $n=3.0$ was
6 obtained, Figure 2 (a). Both TEM and etch pit observations of creep deformed
7 materials with n close to three have shown either a complete absence of the
8 dislocation cell structure or a sluggish tendency to form a cell structure^{50, 79}.
9 Dislocations interact in several possible ways with the solute elements, and as a result
10 dislocation climb is impeded^{14, 80}. Cottrell and Jaswon⁵¹ proposed that the drag
11 process arises from the segregation of solute atmospheres to moving dislocations.
12 The dislocation velocity, v , is then limited by the rate of migration of the solute
13 elements. Fisher⁵² proposed that dislocation motion destroys the short range order in
14 solid solution alloys and creates an interface. Suzuki⁸¹ proposed a drag mechanism
15 due to the segregation of solute elements to stacking faults. Furthermore, Mohamed⁸⁰
16 concluded that the Suzuki and the Fisher dislocation interactions with solute elements
17 are necessary to predict the creep stress exponent of $n=3$.
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28 Creep data of Al-3.3 at.% Mg, Figure 2 (a), show a deviation from $n=3.2$ at
29 $\sigma_d/G>3\times 10^{-3}$. This indicates an increase in the value of n with the increasing applied
30 stress. In fact, creep experiments on both Al-3.3 at.% Mg and Al-5.6 at.% Mg
31 conducted by Yavari et al.^{50,82} showed that the stress exponent, n , increased from 3 to
32 4.6 with the increase in the applied stress. When n was close to three, there was
33 essentially a random distribution of dislocations in the material, but a dislocation sub-
34 structure formed when n was close to five. This indicates that rate controlling process
35 changes to dislocation climb in the region where $n=5$. It is generally agreed that this
36 is due to the breakaway of dislocations from the solute atmospheres enabling them to
37 glide much faster. The large difference in dislocation velocity between dislocations
38 with and without the presence of the solute atmospheres was measured
39 experimentally¹⁹. The gradual change from n close to five in pure metals to n close to
40 three in solid solution alloys with increasing concentration of solid solution element,
41 Figure 2 (a), has confirmed a physical relationship between the dislocation
42 arrangement and the value of n . It is clear that dislocation climb and viscous glide
43 represent two competing creep mechanisms in solid solution alloys, where the slower
44 one becomes the rate controlling process.
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2.5. Second-phase particles/precipitates

Dispersion strengthening is an efficient mechanism for improving the material strength at relatively low temperatures⁸³. Orowan⁸⁴ was first to attribute this effect to the resistance of dislocations against bowing between impenetrable obstacles. The magnitude of the bowing stress is determined by the spacing between the obstacles. At elevated temperatures, it has been suggested that the stress required to move dislocations is only a fraction of the Orowan bowing stress^{29, 85}. This is because dislocation bowing between particles is an athermal process and depends little on temperature⁸⁶. The experimentally observed critical stress to operate creep deformation in a Ni-20Cr-2ThO₂ single crystal is below the measured Orowan bowing stress⁸⁵.

A kinked dislocation configuration, where the presence of local climb facilitates dislocation movement, was proposed by Lagneborg⁸⁷. Reppich⁸⁸ suggested that the magnitude of the stress required for local dislocation climb over the particles is within 13 to 45% of the Orowan bowing stress. Dislocation climb models of this type implicitly assume that a dislocation is repelled by a particle. TEM observations of creep deformed oxide dispersion strengthened (ODS) alloys suggest that the detachment of dislocations from the departure side of particles is the rate controlling process, rather than local climb^{83, 88-90}. The calculation of the stress required for this process also gave a value smaller than that predicted by Orowan bowing.

Čadek et al.⁹¹ analysed several sets of creep data obtained from aluminium alloy matrix composites reinforced by silicon carbide particulates, silicon carbide whiskers or alumina short fibres. High values of the creep stress exponent, $n > 5$, were observed over a range of temperatures from 200°C to 450°C⁹¹. In addition, a high value of creep stress exponent ($n > 5$) was obtained by Lund and Nix⁸⁵ in creep tests on an ODS alloy Ni-20Cr-2ThO₂. A schematic of the creep rate as a function of applied stress in dispersion strengthened and dispersion free materials has been produced by Arzt et al.⁸³ to illustrate three distinct creep regions with their characteristic creep stress exponents, Figure 4. At high strain rates the material approximates the behaviour of the dispersion free materials, with $n = 5$ and self-diffusion activation energy. At intermediate strain rates high values of stress exponent and apparent activation energy are often observed. At low strain rates, the stress dependence may decrease again to $n = 5$.

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4 The dislocation mechanisms responsible for the deformation of ferritic ODS alloys
5 were studied using TEM, to examine the dislocation structure of creep deformed
6 specimens up to a temperature of 1050°C⁹². At temperatures below 950°C, there was
7 no evidence of sub-grain structure in the creep deformed ODS alloy. At relatively low
8 temperatures from 650°C to 750°C, dislocation motion was observed to be viscous
9 glide controlled by solute drag. At an intermediate temperature range from 750°C to
10 900°C, a thermally activated detachment of dislocations from oxide particles was
11 judged to be the deformation mechanism⁹². A change in the mode of dislocation
12 interactions with obstacles, i.e. the solute elements and the particles, was used to
13 explain the change in the value of n observed in the different creep regimes, Figure 4.
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16 However, it has been demonstrated by Morris⁶⁵ that application of the same
17 arguments regarding the dislocation interaction with $M_{23}C_6$ precipitates did not
18 provide a completely satisfactory interpretation of the creep behaviour observed in
19 Type 316H stainless steel. The presence of the $M_{23}C_6$ precipitates was a consequence
20 of high temperature exposure of this material. Morris⁶⁵ claimed that the distribution
21 of the precipitates defined the distribution of dislocation link length. When this link
22 length was used for the calculation, a good prediction of the creep strain rate was
23 obtained⁶⁵.
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36 2.6. Creep of a complex engineering alloy

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40 Having examined simple pure metals and their alloys as well as dispersion
41 strengthened alloys, we now move to a complex engineering alloy, Type 316H
42 stainless steel. The development of dislocation sub-structure in an austenitic stainless
43 steel is shown in Figure 5. The generation of a typical creep curve, Figures 5 (a) and
44 (b), includes five steps: heating, loading, creep deformation, cooling and unloading.
45 In laboratory creep tests significant plastic deformation generally occurs on initial
46 loading. During this loading step, the dislocation density increases dramatically⁶⁹ and
47 the dislocations remain randomly distributed in a three dimensional network,
48 Figure 5 (c). This kind of three dimensional dislocation network may be retained
49 until the end of steady state creep, Figure 5 (d). However, if the temperature of a
50 creep test is high enough, this dislocation structure can re-arrange gradually to form a
51 cell structure, Figure 5 (e), or a sub-grain structure, Figure 5 (f). Both processes allow
52 the material to minimise the overall energy by forming low energy boundaries^{25, 39}.
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4 The extent of this process depends on stress and temperature and it has been shown to
5 vary grain-to-grain^{77,78}. The grain-to-grain variation is due to the relative orientations
6 of the slip systems to the loading direction, which determines the extent of the local
7 plastic deformation and the evolution of the local dislocation density during
8 deformation.
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12 Creep data of Type 316H stainless steel obtained by Morris and Harries⁴⁵ showed
13 various creep stress exponents, up to $n=22.3$ for the test temperature of 525°C in
14 Figure 2 (b). The presence of a three dimensional dislocation network was observed
15 in the temperature range from 525°C to 625°C^{45,65}. Stress exponents from about $n=7$
16 at low stresses to about $n=16$ at high stresses, generated from several creep tests
17 undertaken at 625°C, were also obtained by Morris⁶⁵. The dislocation structure was
18 characterised as a three dimensional dislocation network for all tests. The high values
19 of creep stress exponents ($n>5$) seem to indicate a change in the mechanism for
20 dislocation creep deformation, since the theoretical predictions of the creep stress
21 exponent based on dislocation mechanisms are always less than five^{18,30,65,66}. Creep
22 data at temperatures from 625°C to 900°C are shown in Figure 2 (b). The creep stress
23 exponent, n , decreases with the increasing temperatures as shown in Figure 2 (b). At
24 test temperatures of 750°C and 900°C, values of creep stress exponent, $n=5.8$ and
25 $n=5.5$ are obtained. These values are consistent with the typical value of creep stress
26 exponent in pure metals which develop sub-grain dislocation structures. When the
27 creep tests were undertaken at a relatively high temperature of $>625^\circ\text{C}$ ^{45,77,78,93}, sub-
28 grain dislocation structures were obtained for stainless steels.
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33 Creep data of ex-service Type 316H stainless steel were collected by Chen⁹⁴
34 (500°C to 600°C); a creep stress exponent of $n=6.4$ was obtained, as shown in
35 Figure 2 (b). The dislocation structure in these specimens was likely to be dominated
36 by the three dimensional dislocation network, because of the relatively low test
37 temperatures^{45,65}. In fact, Bhargava et al.⁹⁵ observed that the presence of M_{23}C_6
38 precipitates in a Type 304 stainless steel inhibited the formation of sub-grain
39 dislocation structures. A value of $n=5.6$ is found based on the creep data of Fe-21Cr-
40 37Ni stainless steel³⁰, Figure 2 (b). Creep tests were undertaken within a temperature
41 range from 600°C to 750°C, where cell or sub-grain structures formed⁹⁶. This value
42 of n is similar to those derived from the creep data of ex-service Type 316H stainless
43 steel (500°C to 600°C) obtained by Chen⁹⁴ and the creep data of the same type of
44 steel ($>625^\circ\text{C}$) obtained by Morris and Harries⁴⁵, Figure 2 (b). Thus it is likely that
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4 there is no difference in sub-grain structured and three dimensional dislocation
5 structured stainless steels in terms of their creep stress exponents. We explain this in
6 sections 3.3 and 4.5.
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10 2.7. Summary

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14 Different classes of polycrystalline materials have been considered in terms of the
15 dislocation mechanisms operating during creep. In dislocation based creep
16 deformation, dislocation glide and climb are two possible rate controlling mechanisms.
17 Creep in pure metals reveals that the presence of a dislocation sub-structure normally
18 gives a creep stress exponent of $n=5$, whereas the presence of a three dimensional
19 dislocation network in solid solution alloys gives a creep stress exponent of $n=3$.
20 Dislocation climb is judged to be the rate controlling mechanism for the former and
21 dislocation glide is the rate controlling mechanism for the latter. For the creep
22 deformation behaviour of engineering alloys, such as Type 316H stainless steel and
23 dispersion strengthened alloys, a higher value of n is often obtained for tests
24 undertaken over a particular range of temperatures and stresses. Both dislocation cell
25 structures and three dimensional dislocation networks are possible in these creep tests.
26 Dislocation interactions with other obstacles are the main reason for high values of
27 creep stress exponent in dispersion strengthened alloys. At a certain range of
28 temperatures, the activation energy for dislocation climb from obstacles becomes the
29 rate controlling factor, rather than the dislocation-dislocation interactions.
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43 **3. Internal Stress and Internal Resistance**

44 3.1. Concept

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50 The term “internal stress” has been used ambiguously in creep to describe the
51 internal resistance of the material to creep deformation²⁹. Here the operative stress,
52 defined as a difference between the applied stress and the “internal stress”, is the
53 driving force for material deformation. Accordingly, the creep stress exponent
54 describes the dependence of the creep strain rate on the operative stress. Different
55 terms have been used in the literature, including threshold stress³³, back stress²⁹,
56 internal stress²⁶, friction stress^{31,32} and residual stress³⁴. These terms were introduced
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4 in the context of specific dislocation creep mechanisms.

5 The term threshold stress is often used to describe the dislocation based creep
6 deformation of dispersion strengthened materials, where a certain value of threshold
7 stress must be overcome in order for dislocations to bypass particles/precipitates^{29, 86,}
8 ^{97, 98}. Thus, the threshold stress is the lower limiting stress below which no
9 measurable creep strain can be achieved⁹⁹. It is envisaged that the mobile dislocations
10 are arrested or slowed down at the particles/precipitates, by one of four possible
11 mechanisms⁸⁶: (a) particle shearing, (b) Orowan bowing, (c) global/local climb, and
12 (d) drag of particles/precipitates. Both (a) and (b) are athermal processes. Global and
13 or local climb allows creep to occur at lower stresses than predicted by the athermal
14 processes, because the activation energy for creep becomes lower for dislocation
15 climb^{86, 97}. Particle drag requires diffusional rearrangement of the particles under the
16 action of the forces exerted by the mobile dislocations. The diffusion coefficients for
17 the particulate material are generally much smaller than those for the matrix and this
18 process occurs only at large values of the homologous temperature. Therefore particle
19 drag is rarely observed under test conditions of practical importance. In general, the
20 global and or local climb of dislocations around particles is an important mechanism
21 for particle strengthened materials. Hence it is more appropriate to classify the
22 threshold stress as an internal resistance term, reflecting the ease of the dislocation
23 climb limited by the addition of the particles/precipitates.

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38 The term back stress suggests the existence of an opposing stress to resist creep
39 deformation when the material is subjected to an applied stress at high temperature.
40 The occurrence of anelastic strain recovery (backflow) after removing part or all of
41 the applied stress from the creep deformed material has been judged to be an
42 appropriate example for supporting the back stress concept¹⁰⁰. The backflow is
43 defined as the anelastic strain recovery, opposite to the straining direction at the
44 maximum applied stress. It was claimed by Čadek³⁰ that the anelastic strain recovery
45 in a material with a heterogeneous dislocation sub-structure could be attributed to two
46 processes: (i) straightening of the bowed dislocation links under the applied stress and
47 (ii) reverse motion of dislocations in the cell interiors towards cell walls. The first
48 process is associated with the disappearance of the equilibrium curvature of
49 dislocation link under an applied stress. Thus this partly reflects the magnitude of the
50 resistance that dislocations must overcome before moving. The second process is
51 associated with the localised internal stress field, such as that described by the
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4 heterogeneous dislocation sub-structure models developed by Dobeš and Blum⁷² and
5 Mughrabi^{37, 76}, Figure 6. In this model compatibility requires dislocations to flow
6 within the cell interiors and through the cell walls. It is more difficult for the
7 dislocations to break through the cell walls and thus a higher shear stress is generated
8 in these regions than within the cell interiors. This is illustrated in Figure 6 for both
9 the stress at cell walls, σ_{is+a}^{cw} , and the stress at cell interiors, σ_{is+a}^{ci} , respectively. After
10 the removal of the applied stress, σ_a , the material unloads elastically. But the
11 occurrence of a significant stress in the cell interiors, σ_{is}^{ci} , results in creep
12 deformation in the reverse direction. Thus the term back stress does not make a clear
13 distinction between the internal resistance and internal stress terms.
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16 The term internal stress in the creep community is used to emphasise that the
17 origin of this stress is from the material internal state. Internal stress and back stress
18 are often used interchangeably by researchers^{30, 38}; both are widely used to describe
19 the dislocation related material internal state. The difference between these two terms
20 may occur, when describing a stress state due to the presence of creep induced
21 heterogeneous dislocation sub-structure. An internal forward stress, σ_{is}^{cw} , is
22 associated with the cell walls and an internal back stress, σ_{is}^{ci} , is associated with the
23 cell interiors, as shown in Figure 6.
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26 The term friction stress was used by Wilshire and his co-workers^{31, 101, 102} to
27 rationalise large values of creep stress exponents. The friction stress appears to
28 characterise the back stress associated with the heterogeneous dislocation cell
29 structure developed during creep of polycrystalline Cu³¹. Thus, the friction stress
30 takes the meaning of an internal stress term. However, Burt et al.¹⁰¹ measured the
31 friction stress for dislocation interaction with precipitates in a Nimonic alloy. Thus
32 the measured friction stress takes the meaning of an internal resistance term.
33 McLean³² used the term friction stress to interpret the resistance to dislocation glide,
34 which was controlled by the growth of the three dimensional dislocation network.
35 Therefore, it is unclear whether friction stress is a measure of internal stress or
36 internal resistance. The existence of a period of zero creep after a sudden stress
37 reduction during a creep test, which is the basis of the friction stress concept, has been
38 questioned by Gibeling and Nix¹⁰³.
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41 The term residual stress is used to describe those stresses that exist in the material
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4 in the absence of externally applied stresses. The classification of the residual stresses,
5 i.e. Type I, Type II and Type III, is generally based on the length-scale over which
6 they vary^{104, 105}. Type I (macro-) residual stress varies continuously over a length-
7 scale, which is comparable to the macroscopic dimension of a component. Type II
8 (meso-) residual stress self-equilibrates over the length-scale of grains. As a
9 consequence it is recognised that Type II residual stress is related to heterogeneity in
10 polycrystalline materials at the grain and sub-grain length-scale. The grain-to-grain
11 misfit stress is created by the orientation dependent elastic and plastic deformation
12 within a polycrystalline material¹⁰⁵⁻¹⁰⁷. Rao et al.^{34, 108} attributed the presence of
13 anelastic strain recovery in creep to Type II residual stress. Here, the residual stress
14 clearly takes the meaning of an internal stress term. Type III (micro-) residual stress
15 varies over a length-scale of dislocations^{104, 105, 109}. This type of residual stress arises
16 from the stress fields of dislocations and discrete dislocation/dislocation interactions.
17 In terms of the individual dislocations, it proves more convenient in the current
18 context to include the effect of the rapidly changing (both spatially and temporally)
19 residual stress in the definition of internal resistance as discussed in section 3.3.
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31 After re-visiting different terms used for the so-called “internal stress” in creep, it
32 is clear that there is confusion about the meaning of the internal stress and internal
33 resistance. There is a need to consider different terms in the context of the dislocation
34 structure associated with creep deformation mechanisms, i.e. internal stress and
35 internal resistance. In the following two sections, we will consider their origins and
36 the characteristics of the length-scales for these two terms.
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43 3.2. Origins of internal stress 44

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46 The length-scale of internal stress is similar to the definition of Type II and Type
47 III residual stress^{104, 105}. During deformation, different grain families deform to
48 different levels, due to the elastic-plastic anisotropy of the grains. Both room
49 temperature^{106, 110} and high temperature tensile tests¹⁰⁷ have shown the presence of
50 internal elastic strains at a grain-to-grain length-scale following unloading. These
51 strains are representative of the internal residual stress state. Using a self-consistent
52 model, Clausen et al.¹⁰⁶ predicted the magnitude of Type II internal stress in different
53 grain families.
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60 As described before, the presence of the heterogeneous dislocation sub-structure,
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4 proposed initially by Mughrabi^{37,76}, produces an inhomogeneous residual stress state,
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6 see σ_{is}^{ci} and σ_{is}^{cw} in Figure 6. The shear component of this type of internal stress is in
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8 the direction of flow within cell walls and in the opposite direction in the cell interiors.
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10 This type of internal stress satisfies the self-equilibrium condition between the cell
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12 walls and cell interiors and between all the grains in a polycrystalline material. Since
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14 there is a potential for a measure of confusion when discussing Type II and Type III
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16 internal stresses we adopt the simple definition that includes sub-grains within Type II
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18 and individual dislocations together with their geometries within Type III. The
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20 dislocation sub-structure (sub-grain) induced internal stresses are often called as long-
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22 range internal stresses^{37,76}. In the current context, we define this type of internal
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24 stresses as a sub-class of Type II internal stress.

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26 In a stress free material, dislocation links are straight. They bow in response to the
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28 shear stress acting on the glide plane, with the radius of curvature, R , proportional to
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30 the magnitude of that stress acting on the dislocation, τ_a , Figure 7 (a). Thus
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32 measurements of the radius of curvature of bowed dislocations can be used to
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34 determine the Type III internal stress. We consider this further in section 5.

35 36 37 3.3. Origins of internal resistance

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39 The internal resistance of a material depends on the dislocation density and
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41 arrangement as well as the distribution of solute elements and particles/precipitates
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43 when present. This provides barriers to both dislocation glide and climb^{1,92}. The
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45 yield strength also depends on these features and can be used to provide a measure of
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47 the material internal resistance. This is consistent with the work undertaken in 2008
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49 by Wilshire and Scharning³, where the yield strength of the material has been used to
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51 analyse creep deformation data.

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53 Many mechanisms have been proposed to describe the change in internal resistance
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55 associated with dislocation creep processes^{32,66,77,111,112}. These involve a range of
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57 processes which lead to changes in dislocation density and arrangement as well as
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59 variations in the mode of dislocation interaction with obstacles. In a material that
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61 forms a three dimensional dislocation network, as illustrated in Figure 3, the nodes at
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63 the end of a dislocation link must be broken to allow a dislocation to glide. Thus the
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65 interaction energy associated with the formation of a node is a measure of the internal

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4 resistance. Alternatively, we can view the internal resistance as the stress required to
5 break a link from the dislocation network. For a regular repeating dislocation network,
6 the critical resolved shear stress or the internal shear resistance, of the network is:
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$$\tau_{ir} = \frac{\alpha G b}{\lambda} = \alpha G b \sqrt{\rho} \quad (2)$$

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14 where τ_{ir} is the internal shear resistance, λ is the dislocation link length, G is the shear
15 modulus, b is the length of the Burgers vector, α is a dimensionless constant
16 describing the strength of the dislocation node and ρ is the dislocation density. The
17 second part of this equation follows the classic Taylor strain hardening formula^{27,113}.
18 Thus equation 2 implies that the dislocation link length and dislocation density are
19 interchangeable. Both of them provide a measure of the average internal resistance of
20 a material.
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26 Lagneborg and Forsen¹¹⁴ proposed that the internal resistance is determined by the
27 longest dislocation link length, λ_{th} , that is immobile:
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$$\tau_{ir} = \frac{\alpha G b}{\lambda_{th}} \quad (3)$$

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36 Thus, the applied stress has to be larger than this critical value to initiate permanent
37 deformation. In this analysis, the dislocation structure is assumed to be the only
38 source of the internal resistance. This is likely to be the case for pure metals.
39 However, the presence of the other sources, such as the solute elements and second-
40 phase particles/precipitates, may affect the overall material internal resistance.
41 Accordingly, equation 3 needs to be modified:
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$$\tau_{ir} = \frac{\alpha G b}{\lambda_{th}} + \tau_0 \quad (4)$$

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51 where τ_0 is the additional contribution to the internal resistance due to the presence of
52 the other sources.
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56 Although the curvature of a bowed dislocation is a measure of Type III internal
57 stress, as described in section 3.2 and Figure 7 (a), the critical configuration is a
58 measure of the maximum stress required before the dislocation becomes unstable, as
59 shown schematically by step 4 in Figure 7 (b). Thus the maximum curvature of the
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4 bowed dislocation is another manifestation of internal resistance^{39, 115}. Figure 7 (b)
5 shows a schematic diagram for the development of a Frank-Read source³⁹. A new
6 dislocation loop is generated after the dislocation becomes unstable, step 5 in
7 Figure 7 (b). However, it is clear that the experimentally observed dislocation
8 structure with a bowed-out structure provides only a value of Type III internal stress
9 which should be below the internal resistance. This is because the observed bowed-
10 out dislocation structure is an equilibrium state, rather than the stage that completes a
11 new loop. After the development of the new loop, the dislocation link will be restored
12 to its original length, step 6 in Figure 7 (b).
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19 For material, which forms a cell or sub-grain structure, the internal resistance to
20 initiate permanent deformation could be attributed to the dislocation configuration
21 within the cell walls, cell interiors or a combination of these two. Cell walls
22 containing a high dislocation density might be treated as another obstacle to the
23 dislocation movement and therefore another source of internal resistance^{37, 76}. Morris
24 and Martin⁵⁸ claimed that the presence of a high value of internal stress is required in
25 the sub-grain boundaries to ensure dislocation emission from the boundaries. This
26 contributes to a permanent deformation.
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34 3.4. Summary

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38 It is now clear that the misfit strain creates internal stress, where a Type II internal
39 stress varies over a length-scale comparable with the grain size and a sub-class of
40 Type II internal stress reflects the difference of stress between the cell walls and cell
41 interiors. They are revealed as genuine measures of internal stresses after the material
42 is unloaded. In parallel, the density and structure of dislocations vary with creep
43 deformation, leading to a change in the internal resistance of the material, as
44 illustrated in Figures 5 (c) to (f). In addition, the presence of other obstacles to
45 dislocation motion may modify the internal resistance, as demonstrated by the creep
46 response of dispersion strengthened alloys. Here we emphasise the fundamental
47 difference between these two terms: internal stress and internal resistance.
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55 These two terms are readily understood by comparing the mechanical behaviour
56 with respect to stress-strain diagrams for a bi-crystal. A schematic diagram is
57 illustrated in Figure 8, where no strain hardening is considered for simplicity, i.e.
58 perfectly plastic strain and creep strain. Creep is a time-dependent plasticity and we
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4 describe the plastic strain and creep strain in a similar way in Figure 8. The different
5 stress-strain behaviour in grain A and grain B within the bi-crystal is shown in Figure
6 8 (a), while the externally applied stress-strain macroscopic behaviour of the bi-
7 crystal is illustrated in Figure 8 (b). When the bi-crystal, Figure 8 (c), is loaded under
8 an applied stress, σ_a , grain B yields first, followed by the yielding of grain A. The
9 preferential yield of grain B leads to a change in the slope of the macroscopic stress-
10 strain curve, Figure 8 (b). The slope determined from the line XY in Figure 8 (b) is
11 no longer a measure of the macroscopic elastic response of the bi-crystal due to the
12 yield of grain B. Grain A still supports an increasing stress until it reaches yield,
13 Figure 8 (a). The different yield points in each grain can be envisaged as a
14 consequence of the different Schmid factors on the slip systems, as illustrated in
15 Figure 8 (c). The indicated magnitudes of σ_{ir}^A and σ_{ir}^B in Figure 8 (a), reflect the
16 internal resistance of grain A and grain B, respectively. On unloading, the bi-crystal
17 responds elastically, Figure 8 (b). The slope of the macroscopic stress-strain curve is
18 determined by the initial slope, i.e. line OX is parallel to line ZO' in Figure 8 (b).
19 However, at the unloaded state ($\sigma_a=0$), internal stress remains in the grains, as
20 illustrated by both the σ_{is}^A and σ_{is}^B in Figure 8 (a). Grain A is subjected to a tensile
21 internal stress and grain B is subjected to a compressive internal stress.
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36 If the bi-crystal given in Figure 8 contains a pre-existing internal stress in the
37 grains, determination of the internal resistance becomes difficult without prior
38 knowledge of the internal stress in each grain of the bi-crystal. For example, the
39 external stress was applied to this bi-crystal from point O' in Figure 8 (b). As a
40 consequence of the presence of a tensile internal stress in grain A and compressive
41 internal stress in grain B after the first loading history, the applied stress required to
42 create the preferential yield in the bi-crystal is then equal to the difference between
43 the magnitude of σ_{ir}^A and σ_{is}^A . However, the genuine internal resistance for grains A
44 and B never changes, i.e. σ_{ir}^A and σ_{ir}^B .
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4. Creep Deformation Models

4.1. Introduction

In section 2 we described the material response within the framework of a power-law constitutive model for the creep deformation rate, equation 1. We now seek modifications to this relationship by taking into account the roles of internal stress and internal resistance as defined in section 3. We start by examining in more detail the recovery creep model proposed by Lagneborg⁶⁶ associated with the development of dislocation networks within a material. We then evaluate models for particle (dispersion) and solute strengthened materials which identify the roles of internal stress and internal resistance. Finally we consider the individual role of cell walls and cell interiors in steady state creep and transient creep when either a part or all of the applied stress is removed using the composite model described by Blum and his co-workers⁷²⁻⁷⁵.

4.2. Recovery creep model

Recovery creep models are based on the observation that materials harden with strain and soften with time¹. The formulations of recovery creep models proposed by McLean¹¹⁶ and Lagneborg⁶⁶ consider the dislocations to be arranged in a three dimensional network. Based on detailed TEM examinations of dislocation link length distributions and the calculation of the fraction of mobile dislocations, Morris⁶⁵ provided a satisfactory interpretation of creep deformation in Type 316H stainless steel, tested at a temperature of 625°C and under stresses in the range from 200MPa to 395MPa. The creep rate was described as:

$$\dot{\epsilon}_c \propto \int_{\alpha Gb/\sigma_a}^{\infty} \frac{1}{\lambda} [\sigma_a - \sigma_{ir}(\lambda)]^{\frac{ab}{kT}} \theta(\lambda) d\lambda \quad (5)$$

This equation was initially proposed by Lagneborg et al.^{66, 114}. The value of ab/kT may be taken as approximately four and that of α close to one⁶⁵. $\sigma_{ir}(\lambda)$ is the internal resistance associated with dislocation link length and σ_a is the applied stress. The internal resistance, $\sigma_{ir}(\lambda)$, is related to the length of a dislocation link through an

equation of the form described in equation 2. $\theta(\lambda)$ is the number density of dislocation links with a characteristic length, λ . The lower bound of the integration, $\alpha Gb/\sigma_a$, describes the separation of mobile and immobile dislocation links. The condition for a dislocation link to be mobile is $\lambda > \alpha Gb/\sigma_{ir}$. It is only when the applied stress, σ_a , exceeds the internal resistance, $\sigma_{ir}(\lambda)$, that a dislocation becomes mobile and contributes to strain accumulation¹¹⁴. Glide occurs when the nodes formed by dislocation links are broken.

The existence of an athermal component of the applied stress has been considered in Lagneborg's model⁶⁶. When this athermal component originates entirely from the dislocation structure, the creep rate is then expressed by:

$$\dot{\epsilon}_c = A \left[\exp\left(-\frac{Q}{kT}\right) \right] [\sigma_a - \sigma_{ir}(\lambda)]^{\frac{ab}{kT}} \quad (6)$$

where Q is the activation energy for creep, k is the Boltzmann constant, and T is the absolute temperature. A detailed description for the second part of this equation has been given in equation 5, where the dislocation interaction was implied to be the source of internal resistance. The presence of other sources, such as the solute elements and second-phase particles/precipitates can be considered by introducing an additional term τ_0 , as described by equation 4.

4.3. Creep model for particle strengthened material

Generally the dependences of the steady state creep rate on applied stress and temperature for a material can be described by equation 1. The value of creep stress exponent n close to five or three has been observed experimentally, as illustrated in Figure 2 (a). However, a much higher stress exponent n has been obtained in particle strengthened materials within the intermediate levels of applied stresses, as shown in Figure 4. This is accompanied with the presence of a high value of activation energy Q . Creep tests in a Nimonic alloy were undertaken by Williams and Wilshire¹¹⁷. $Q=460\text{kJ/mol}$ and $n=8.3$ have been obtained by them. The nickel self-diffusion activation energy was reported to be 280kJ/mol ⁶ and the activation energy for creep was much higher than this self-diffusion activation energy. Williams and Wilshire¹¹⁷

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4 proposed that the high values of Q and n could be accounted for in terms of the stress
5 and temperature dependences of the internal resistance. The steady state creep rate,
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7 $\dot{\epsilon}_c$, was then described as:
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$$\dot{\epsilon}_c = A(\sigma_a - \sigma_{ir})^4 \exp\left(\frac{-Q^*}{kT}\right) \quad (7)$$

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14 where σ_a is the applied stress, σ_{ir} is the internal resistance, and $n=4$ is the creep stress
15 exponent. By plotting the experimentally obtained creep strain rate against the
16 different temperatures at the same value of effective stress, $(\sigma_a - \sigma_{ir})$, the value of
17 Q^* was obtained to be 305kJ/mol¹¹⁷. This is close to the self-diffusion activation
18 energy for nickel, 280kJ/mol⁶. Thus it has been demonstrated that the incorporation
19 of a certain value of internal resistance into the creep deformation model rationalises
20 the creep activation energy and therefore provides a further step in developing a
21 physically based creep model.
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34 The creep rate due to dislocation glide has been described by Orowan¹¹⁸:

$$\dot{\epsilon}_c = \frac{\rho b v}{M} \quad (8)$$

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40 where ρ is the dislocation density, b is the length of the Burgers vector, M is the
41 Taylor factor (which is 3 for a texture-free polycrystalline material) and v is the
42 dislocation glide velocity. Friedel³⁹ analysed the diffusion of pinning atoms assisted
43 by the dislocation line tension, and obtained a detailed form of creep deformation law
44 to describe dislocation glide:
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$$\dot{\epsilon}_c = \frac{2\rho D_i \sigma_a b^3}{kT} \quad (9)$$

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54 where D_i is the diffusion coefficient of impurity atoms which pin the dislocations.
55 This equation was applied successfully to a wide range of materials¹⁸. Equation 2
56 indicates that the stress dependence of the dislocation density is two ($\rho \propto \sigma_{ir}^2$). For
57 plastic deformation at a relatively low temperature or creep deformation at a relatively
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low stress, it has been accepted that $\sigma_{ir} = \sigma_a^{66, 119}$. Thus equation 9 gives a creep stress exponent of $n=3$ for solid solution materials. However, the magnitude of σ_{ir} becomes smaller than σ_a with increasing temperatures⁶⁶, although σ_{ir} could be treated as being proportional to $\sigma_a^{26, 30}$.

4.5. Model for a heterogeneous dislocation sub-structure

In section 2.3, the effect of a heterogeneous dislocation sub-structure on creep deformation has been described, i.e. the rectangular cells with thin walls containing dense arrays of dislocations and the cell interiors containing a low density of dislocations^{37, 76}. Furthermore, the development of a sub-class of Type II internal stresses associated with this dislocation structure has been discussed in section 3.2, see Figure 6. It is evident that both internal stress and internal resistance are associated with the heterogeneous dislocation sub-structure. The internal resistance associated with the dislocation density, dislocation link length as well as the distribution of solute elements and/or secondary-phase particles/precipitates when present have been discussed in section 3.3. A composite model which accounts for the mechanical interaction between the cell walls and cell interiors has been developed by Blum and his co-workers^{72, 74, 75}. In this model it is assumed that the cell walls and cell interiors experience the same total strain (elastic plus inelastic). In their original model they also consider the contribution to the total inelastic strain arising from migration of the cell walls. They acknowledge that this contribution is related to the deformation of the cell walls, but simply add it to the prediction of the composite model. The migration process results in a local incompatible strain and this should strictly be incorporated within the composite model, rather than simply added as an additional term. In this section we limit our discussion to the composite model, omitting any contribution from cell wall migration. The resulting description is consistent with the model described by Sedlacek and Blum⁷³.

The requirement of strain compatibility between the cell walls and cell interiors gives:

$$\varepsilon^t = \frac{\sigma_a}{E} + \varepsilon_{in} = \varepsilon^{ci} = \frac{\sigma_a + \sigma_{is}^{ci}}{E} + \varepsilon_{in}^{ci} = \varepsilon^{cw} = \frac{\sigma_a + \sigma_{is}^{cw}}{E} + \varepsilon_{in}^{cw} \quad (10)$$

where ε^t is the total strain (which consists of both the elastic, σ_a / E , and inelastic, ε_{in} components), which is the same as that in the cell interiors, ε^{ci} , and cell walls, ε^{cw} (which also consist of elastic and inelastic components). The stresses σ_{is}^{ci} and σ_{is}^{cw} are the internal stresses in the cell interiors and cell walls respectively. The area fractions of cell interiors, f^{ci} , and cell walls, f^{cw} , are related through:

$$f^{ci} + f^{cw} = 1 \quad (11)$$

and equilibrium requires that:

$$\sigma_{is}^{ci} f^{ci} + \sigma_{is}^{cw} f^{cw} = 0 \quad (12)$$

Combining equations 10 to 12 gives the internal stresses in the cell interiors and cell walls:

$$\sigma_{is}^{ci} = E f^{cw} (\varepsilon_{in}^{cw} - \varepsilon_{in}^{ci}) \quad (13a)$$

$$\sigma_{is}^{cw} = E f^{ci} (\varepsilon_{in}^{ci} - \varepsilon_{in}^{cw}) \quad (13b)$$

The important term in these equations is $(\varepsilon_{pl}^{cw} - \varepsilon_{pl}^{ci})$, which describes the inelastic strain mismatch between the cell walls and cell interiors. The magnitude of the inelastic strain in the cell interiors is larger than that in the cell walls due to their lower yield or creep strength^{37,73,76}. Thus following a period of tensile loading which results in macroscopic inelastic straining of the material, the internal stress in the cell interiors is compressive and that in the cell walls is tensile after unloading.

The inelastic strain rate and evolution of the internal stresses are obtained by differentiating equations 10 to 13 with respect to time:

$$\dot{\varepsilon}_{in} = \dot{\varepsilon}_{in}^{ci} + f^{cw} (\dot{\varepsilon}_{in}^{cw} - \dot{\varepsilon}_{in}^{ci}) + \dot{f}^{cw} (\varepsilon_{in}^{cw} - \varepsilon_{in}^{ci}) \quad (14a)$$

$$\dot{\sigma}_{is}^{ci} = E (\dot{\varepsilon}_{in} - \dot{\varepsilon}_{in}^{ci}) \quad (14b)$$

$$\dot{\sigma}_{is}^{cw} = E (\dot{\varepsilon}_{in} - \dot{\varepsilon}_{in}^{cw}) \quad (14c)$$

The inelastic strain rates in the cell walls and cell interiors can be expressed in

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4 terms of the local stresses using constitutive models such as those described in section
5 4.2. The model is completed by providing an evolution law for the area fraction of
6 cell walls, f^{cw} ⁷²⁻⁷⁵. In the steady state $\dot{f}^{cw} = \dot{\sigma}_{is}^{ci} = \dot{\sigma}_{is}^{cw} = 0$ and equations 14b and
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8 14c requires the creep rate to be the same in the cell interiors and cell walls. This is
9 consistent with the experimental observation of Milička⁹⁶, who observed that the form
10 of the stress dependences of the steady state creep rate in two distinct dislocation
11 arrangements are the same.
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16 When part or all of the applied stress is removed from the specimen in a transient
17 creep experiment, the internal resistance associated with the density and arrangement
18 of dislocations in the cell walls decreases more rapidly than in the cell interiors as a
19 consequence of recovery, due to the higher local dislocation density. It is instructive
20 to argue that the relative change of the internal resistance to the internal stress in the
21 cell walls determines the transient creep behaviour.
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28 **5. Measurement of Internal Stress and Internal Resistance**

29 **5.1. Introduction**

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33 Different types of internal stresses have been described in section 3 with respect to
34 their characteristic length-scales over which they vary. For example, a Type II
35 internal stress arises from the mismatch between a grain and its neighbours. Thus the
36 magnitude of the internal stress is determined by the relative strength and orientation
37 of its neighbours. Measurement techniques sample a variety of length-scales, thus
38 they may record different stress values. Internal resistance originates from the
39 dislocation arrangement and dislocation density as well as the interaction with
40 obstacles. The magnitude of the internal resistance could be measured from a single
41 dislocation, a group of dislocations or even an overall mechanical response of the
42 material, i.e. yield strength.
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52 This section describes techniques available to measure internal stress and internal
53 resistance. These are summarised in Figure 9, with their characteristic length-scales.
54 These techniques can be classified as (i) dislocation; (ii) diffraction and (iii)
55 mechanically based. Alternatively we simply consider them in the layout of direct
56 and indirect methods for evaluating both the internal stress and internal resistance.
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4 This is because the prime purpose is to use the measured values for the creep
5 deformation models and improve the predictions of the models. Some of the
6 techniques in Figure 9 have been applied only at room temperature, but there is a
7 potential to extend these techniques to studies addressing higher temperatures. For
8 example, Chen et al.¹²⁰ have demonstrated that it is possible to measure by neutron
9 diffraction the creep relaxation of Type I residual stresses in a weldment when subject
10 to a stress relief heat treatment. In this case measurements were made during the
11 heating to 650°C, hold at temperatures, and the cooling cycle.
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17 In section 5.2, the techniques classified as direct measurements of internal stress
18 will be described, including radius of curvature of bowed dislocation (which is one
19 type of dislocation geometry), convergent beam electron diffraction (CBED), electron
20 backscattered diffraction (EBSD), synchrotron X-ray diffraction, and the conventional
21 X-ray and neutron diffraction. The sampling volume of these techniques increases
22 from the length-scale of dislocations to that of several grains, Figure 9. In section 5.3,
23 the techniques that provide a direct measure of internal resistance will be described,
24 including dislocation link length (which is another type of dislocation geometry) and
25 the Bauschinger and permanent softening effect test. The former measures a
26 characteristic dislocation length-scale and the latter measures the overall behaviour of
27 a bulk material, Figure 9. In section 5.4, two indirect measurement techniques will be
28 described (i) analysis of peak asymmetry in X-ray diffraction and (ii) stress reduction
29 type tests. The former provides a measure of internal stress and the latter provides
30 some measure of internal resistance, Figure 9. Indirect measurement techniques
31 indicate that the interpretation of the measured result relies on specific dislocation
32 based models. We cover both dislocation sub-structured materials and those
33 exhibiting three dimensional dislocation networks.
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48 5.2. Direct measurement of internal stress 49

50 5.2.1. Radius of curvature of bowed dislocation 51 52

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55 Morris and Martin^{36, 58} measured Type III internal stresses due to creep
56 deformation in a Al-11 wt.% Zn alloy. Specimens were creep deformed at different
57 stresses (from 6MPa to 17MPa) and temperatures (210°C and 250°C). Dislocation
58 sub-grain structures were observed in these creep deformed specimens^{36, 58}. The
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radius of curvature of bowed dislocations in both the sub-grain interiors and sub-grain boundaries were measured by TEM. A freezing technique was used in an attempt to retain the curved dislocations in their loaded state⁵⁸. This was achieved by rapidly quenching specimens from the test temperature under the applied stress to room temperature. The dislocations remained bowed and from their radius of curvature, R , Morris and Martin^{36, 58} determined the effective shear stress (i.e. the local stress at loaded state), τ_e , acting on the dislocation:

$$\tau_e = \frac{Gb}{R} \left\{ \frac{1}{16\pi} \ln\left(\frac{\Lambda}{r_0}\right) [5 - \cos 2\beta] \right\} \quad (15)$$

where G is the shear modulus, b is the length of the Burgers vector, Λ is the mean dislocation spacing, r_0 is the dislocation core radius, and β is the angle between the Burgers vector and the dislocation direction. The radius of curvature of bowed dislocations, R , were estimated by fitting TEM images of the dislocations to an ellipse⁵⁸. From their analysis the effective stress, τ_e , was assumed to be a measure of the stress required to hold the radius of curvature of a bowed dislocation. The internal stress was then calculated by Morris and Martin^{36, 58} through assuming $\tau_{is} = \tau_e - \tau_a$. The applied shear stress, τ_a , was obtained by multiplying the applied stress, σ_a , by the Schmid factor of 0.3⁵⁸. It is important to clarify that the term effective shear stress used by Morris and Martin^{36, 58} is a local stress at the loaded state. This should not be confused with the same term effective stress that has been used in the literature by Lagneborg et al.^{1, 114} but indicating a thermal stress component which depends on temperature and strain rate. In addition, from the measurement of the radius of curvature of a bowed dislocation, the magnitude of the internal stress can be derived, but not the sign of that stress.

Using the approach adopted by Morris and Martin^{36, 58}, the internal shear stresses, $\tau_{is} = \tau_e - \tau_a$, acting on dislocations were measured at both sub-grain boundaries and sub-grain interiors, for creep tested specimens (250°C and 8MPa) interrupted at creep strains of 5%, 7%, 10%, 15%⁵⁸. In this case, a steady state creep was achieved after 4% creep strain. Thus, these selected creep strain values are representative of steady state condition. The average sub-grain diameter was 30µm and several sub-grains were selected where between 50 and 100 dislocations were analysed for each. The normalised values of internal shear stress with respect to the applied shear stress (τ_{is}/τ_a)

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4 are plotted against the distance from the sub-grain boundaries in Figure 10. The
5 applied shear stress in these creep specimens was 2.4MPa (applied stress of 8MPa
6 times the Schmid factor of 0.3). Figure 10 shows that the calculated internal shear
7 stress is about nine times of the applied stress for 7% creep strain, when the
8 measurement was undertaken at sub-grain boundaries. This value increases to sixteen
9 times of the applied stress for a 10% creep strain and twenty-five times of the applied
10 stress for 15% creep strain, as shown in Figure 10. In addition, a much lower internal
11 shear stress exists at the sub-grain interiors. These values are in contrast to results
12 obtained after 5% creep strain, where the internal shear stress at sub-grain boundaries
13 is similar to that at sub-grain interiors, Figure 10. Thus, the high magnitude of Type
14 III internal stress is associated with the dislocation sub-grain boundaries, which is
15 consistent with the dislocation sub-structure model, as shown in Figure 6.

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Morris⁶⁵ also measured the radius of curvature of bowed dislocations in crept Type
316H stainless steel (tested at 625°C and 230MPa). In this material there were no
cell/sub-grain dislocation structures observed using TEM⁶⁵. For each specimen
examined by Morris⁶⁵, the internal stress acting on the dislocation was measured to be
in the range of $0.25\tau_a$. The magnitude of Type III internal stress was estimated to be
58MPa, one quarter of the externally applied tensile stress of 230MPa. The absence
of a sub-grain structure suggests that there is also a variation of the Type III internal
stresses within material that contains a three dimensional dislocation network.

5.2.2. Convergent beam electron diffraction

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The CBED technique provides a measure of the stress field around a few

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4 dislocations and consequently these can be classed as Type III internal stress. The
5 sampled gauge volume is determined by the incident electron probe size. Different
6 probe diameters have been used previously, such as 5nm by Maier et al.¹²³ and Straub
7 et al.¹²⁷, 20nm by Alhajeri et al.¹²⁶, and 80nm by Kassner et al.^{124, 125}. It has been
8 shown that a group of dislocations may have a large value of internal stress when
9 using a 5nm diameter probe size^{123, 127}, but a corresponding larger group using an
10 80nm diameter probe size may have a zero internal stress^{124, 125}. As a consequence the
11 variations in the measured results from zero to a significant value could be due to an
12 inappropriate selection of electron probe size and reflect the fact that stresses vary
13 rapidly in the vicinity of dislocations. Hence the results can be sensitive to the
14 characteristic length-scale over which measurements are made. The internal stress
15 field associated with dislocations under a 80nm length-scale could self-equilibrate, as
16 claimed by Alhajeri et al.¹²⁶.

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26 The internal stress associated with dislocation cell structures, generated by room
27 temperature cyclic deformation in polycrystalline Cu, was examined by Maier et al.¹²³
28 using an electron probe diameter of 5nm. Measurements showed that dislocations at
29 cell interiors had tensile internal stresses of ~60MPa when unloaded from the
30 compressive part of the cycle. This magnitude of internal stress is equivalent to 40%
31 of the saturation stress, $\sigma_{is}^{ci}/\sigma_s = -0.4$, in cyclic deformation. The internal stress in the
32 cell walls could not be measured using the CBED technique, because the dislocation
33 density within cell walls was too high¹²³. The HOLZ lines are broadened by the
34 presence of high density of dislocations and this reduces the accuracy of the stress
35 measurement¹²⁶. However, based on stress equilibrium in a dislocation cell structure,
36 Maier et al.¹²³ claimed that Type III internal stress in the cell walls would be high and
37 compressive, that is of the same sign as the applied compressive stress.

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47 Straub et al.¹²⁷ studied Type III internal stresses induced by the presence of the
48 dislocation cell/sub-grain structures in creep of polycrystalline Cu, using a 5nm
49 diameter electron probe. Compression creep tests at a constant normalised applied
50 stress, $\sigma_d/G = 4.3 \times 10^{-3}$, in a temperature range from 25°C to 360°C were undertaken
51 to generate the dislocation sub-structures. A tensile internal stress of 25MPa in the
52 sub-grain interiors was obtained for a crept specimen under compression (300°C and
53 162MPa). But internal stress close to the sub-grain boundaries could not be measured
54 due to the high dislocation density in that region¹²⁷. Straub et al.¹²⁷ concluded that a
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4 tensile internal stress was present in the sub-grain interiors for the specimens crept
5 under compression. The gradient of internal stress in the sub-grain interiors was
6 observed to be relatively small. Close to the sub-grain boundaries, large stress
7 gradients would be expected.
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11 The above discussion illustrates the difficulty in measuring stresses at the length-
12 scale of a few nanometres and in interpreting how these stresses are likely to influence
13 the macroscopic creep response. Stresses at this length-scale vary rapidly and the
14 result is very sensitive to the sampled volume. From a constitutive modelling
15 perspective, the most important measures of Type III internal stresses are the volume
16 averaged stresses in the cell walls and cell interiors within a given family of grains.
17 At this length-scale, the cell structure associated internal stresses is judged to be a
18 sub-class of Type II internal stress.
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29 Electron backscattered diffraction (EBSD) offers a technique to measure both Type
30 II and a subclass of Type II internal strains/stresses in a polycrystalline material, as
31 indicated by the sampled gauge length of EBSD in Figure 9. The grain orientation
32 and sub-grain orientation can be also correlated with the measured strains/stresses¹²⁸.
33 Using this method, Type II internal stress in an austenitic stainless steel arising from
34 room temperature plastic deformation (up to 10%) has been evaluated by Ojima et
35 al.¹²⁸. The measurements were performed before deformation (stress-free reference),
36 during in-situ loading and after unloading. The stress-free reference points were
37 selected in each grain before deformation. By comparing the EBSD pattern in a
38 stressed region with that of stress-free reference, the shifts of the zone axis in the
39 EBSD-Kikuchi patterns, caused by the elastic strains and lattice rotations, were
40 measured through cross-correlation based pattern shift analysis¹²⁹. The detailed
41 description of how the shifts were converted to strain and rotation tensors has been
42 given by Ojima et al.¹²⁸.
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53 Figure 11 (a) shows a typical stress-strain curve together with the EBSD
54 measurement points at both unloaded and loaded states. In terms of the measurement
55 during in-situ loading, only four grains were selected, thus the conclusion based on
56 this is judged to be not statistically convincing. In terms of the unloaded
57 measurements, the specimen was loaded elastically to 239MPa and 284MPa and then
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4 unloaded, as shown in Figure 11 (a). Stress measurements, along the tensile direction,
5 were undertaken in nine grains, where five grains had a $\langle 100 \rangle$ orientation and the
6 other four had a $\langle 110 \rangle$ orientation¹²⁸. In a F.C.C. material, they are equivalent to
7 measurements of $\{200\}$ and $\{220\}$ grain families. Many measurement points were
8 selected in each grain. A percentage distribution of residual elastic strains at 0MPa,
9 239MPa (unloaded state) and 284MPa (unloaded state), were given in the original
10 article by Ojima et al.¹²⁸. To show the values of internal stresses using the EBSD
11 technique, we have selected the maximum values in the percentage distribution of
12 residual elastic strains for each unloaded condition. The diffraction elastic constants
13 ($E_{200}=149.8\text{GPa}$ and $E_{220}=212.0\text{GPa}$), reported by Clausen et al.¹⁰⁶, have been used to
14 convert the strain to stress, i.e. the internal stress. The derived internal stresses for the
15 $\{200\}$ and $\{220\}$ grain families are shown in Figure 11 (b). Grains 1 to 5 belong to
16 the $\{200\}$ grain family, where in general tensile internal stresses are present at the
17 unloaded state. On the other hand, for the $\{220\}$ grain family, including grains 6 to 9,
18 both tensile and compressive internal stresses are present. The grain-to-grain
19 variations can be explained in terms of Type II internal stresses. The magnitude of the
20 stress on a grain is determined by the relative strengths and orientations of
21 neighbouring grains. For a crystallographically texture-free material, the grains are
22 orientated randomly and therefore each grain, which belongs to the same grain family,
23 has different neighbouring grains. However, the measured values should be treated
24 carefully, because their magnitudes are larger than expected, with the internal stresses
25 ranging from -300MPa to 700MPa, see Figure 11 (b). It should be noted that local
26 variations in chemical composition contribute to a change in the lattice parameter and
27 therefore introduce a diffraction peak shift. In addition, the diffraction elastic
28 constants for the specific grains in the material need to be established. Finally, the
29 application of this technique at high temperature remains a challenge, due to the
30 degradation of imaging quality when elevating the temperature for in-situ testing.
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5.2.4. Synchrotron X-ray diffraction

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55 The advanced photon source (APS) synchrotron provides an X-ray beam with a
56 typical gauge length of $14\mu\text{m}$, which is smaller than the grain size of many metals and
57 alloys¹³⁰. Figure 12 (a) shows schematically a typical set-up of the synchrotron X-ray
58 diffraction in APS. A highly penetrating 52keV high flux, narrow energy spread and
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4 divergence X-ray beam impinged on a test sample that is 300 μm thick and made from
5 polycrystalline Cu with a grain size of 36 μm . The bulk polycrystalline specimen was
6 subjected to a tensile stress at room temperature and the dynamic behaviour of
7 individual, deeply embedded sub-grains was measured by Jakobsen et al.¹³⁰. Two
8 detectors were used to obtain diffraction patterns on the grain length-scale (detector A)
9 and sub-grain length-scale (detector B) respectively, Figure 12 (a). The latter
10 corresponds to an angular resolution of $\sim 0.004^\circ$ and is an order of magnitude better
11 than that obtained by TEM¹³⁰.
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18 Figure 12 (b) shows the intensity distribution parallel to the diffraction vector for
19 selected peaks, which appeared in the intensity map projected onto the reciprocal
20 space coordinate \mathbf{q}_y , as shown in Figure 12 (a). The entire mapped intensity is
21 indicated in black and this represents the diffraction pattern from a few sub-grains
22 within a {400} grain, at 3% strain. The centres of each peak, from several individual
23 sub-grains within this {400} grain, are clearly separated, indicating the presence of
24 different magnitudes of elastic strains, i.e. internal stresses, shown by diffraction
25 peaks in red, blue and magenta in Figure 12 (b). The observed width of the individual
26 peaks is close to the experimental resolution as measured with a standard powder¹³⁰.
27 This indicates that there are no dislocations in the sub-grain interiors. Jakobsen et
28 al.¹³⁰ concluded that the contribution of the sub-grain structure to diffraction peak
29 shape cannot be described by a shifted profile broadened by a substantial dislocation
30 density based on the soft and hard region model proposed by Mughrabi³⁷. This model
31 was used to interpret the asymmetric peak profile by X-ray diffraction^{35, 127}, which
32 will be described in section 5.4.1. The observed individual sharp peak profiles,
33 shown in Figure 12 (b), indicate the presence of a sub-class of Type II internal stress
34 associated with sub-grain interiors. Thus each individual sub-grain experiences a
35 different value of internal stress¹³⁰. Certainly the synchrotron X-ray work undertaken
36 by Jakobsen et al.¹³⁰ demonstrates that a sub-class of Type II internal stress could be
37 generated as a misfit from sub-grain to sub-grain.
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52 A spatially resolved measurement of elastic strains within the cell interiors in a
53 single crystal of Cu, deformed in tension and compression along the $\langle 001 \rangle$ axis have
54 been undertaken using micro-beam diffraction, 0.5 μm diameter, scanning
55 monochromatic differential-aperture X-ray microscopy (DAXM) at the APS¹³¹. The
56 compression specimen was a 10mm diameter and 20mm long cylinder, and the
57 tension specimen had a 5mm square cross-section and was 10mm long. These
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specimens were deformed at room temperature to a relatively large true stress of ~ 200 MPa at a true strain of $\sim 30\%$. The DAXM specimens were then extracted from the centre of prior deformed specimens. Figures 13 (a) and (b) show the spatially integrated diffraction peak profiles (red curves) and the individual diffraction peak positions corresponding to each dislocation cell (vertical blue lines). The spatially integrated diffraction peak profiles exhibit an asymmetric shape with a skewed distribution at high diffraction angles for compression, red curves in Figure 13 (a), and for tension skewed at low diffraction angles, red curves in Figure 13 (b). In the compressive deformed specimen, all twelve peaks in Figure 13 (a) representing each individual cell interior are shifted to the left in $\Delta q/q$ ($q=2\pi/d$, d is the lattice spacing). A smaller q indicates a larger lattice spacing d . In the tensile deformed specimen, all nine peaks are shifted to the right, larger q but smaller d spacing, Figure 13 (b). This is consistent with the description given in the Mughrabi soft and hard region model^{37, 132}.

The average elastic strains for the compression and tension specimens were measured by Levine et al.¹³¹ to be 8.5×10^{-4} and 5.2×10^{-4} , respectively, with a standard deviation of $\sim 1.0 \times 10^{-4}$. Converting these measured axial elastic strains to stresses using a diffraction elastic constant for the {006} plane of $E_{006}=66.6$ GPa¹³³, the magnitude of a sub-class of Type II internal stresses associated with the cell interiors is calculated to be 56.6MPa in tension for the compressive deformed specimen and 34.6MPa in compression for the tensile deformed specimen. Thus, the observed peak shifts due to individual cell interiors, Figure 13 (a) and (b), provides a direct measure of a sub-class of Type II internal stress in the cell interiors. However, this observation is inconsistent with that reported by Jakobsen et al.¹³⁰, see Figure 12 (b), where the diffraction peaks from each sub-grain interior could contain both tensile and compressive internal stresses.

5.2.5. Conventional X-ray and neutron diffraction

Historically, the presence of Type II internal stress in polycrystalline materials after being subjected to plastic deformation at room temperature was confirmed by using conventional X-ray diffraction¹³⁴⁻¹³⁷. This diffraction technique is inherently selective in terms of the grain orientations, thus it can be used to provide the stress state (Type II internal stress) from each grain family provided that Bragg's law is satisfied¹⁰⁴.

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4 The main drawback of using conventional X-ray diffraction is that only the internal
5 stress in the near surface material ($<50\mu\text{m}$ for Al) can be measured¹⁰⁴. This problem
6 has been solved by the development of the neutron diffraction technique, which offers
7 a measure of the internal stress in the bulk material (200mm for Al). These two
8 techniques sample many grains in a material.
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12 Neutron diffraction techniques have been used to measure Type II internal stresses
13 in austenitic stainless steels subjected to creep deformation by Rao et al.^{34, 138}. The
14 development of internal stresses was obtained from a group of creep specimens,
15 interrupted at different creep times for a fixed applied stress of 180MPa and a
16 temperature of 650°C³⁴. Figure 14 shows the magnitude of Type II internal stresses in
17 three grain families: {200}, {220} and {311}. Here the originally measured lattice
18 strains have been converted into stresses using diffraction elastic constants for each
19 crystallographic plane reported by Clausen et al.¹⁰⁶. Since the magnitudes of the
20 transverse strains were not reported in their study, the Poisson contraction cannot be
21 considered. Figure 14 shows that the strain incompatibility between grain families
22 increases with test duration and thereby creep strain accumulated. The {200} grain
23 family changes to a tensile stress and reaches around 100MPa after 450h at 650°C,
24 whereas {220} becomes compressive, with a magnitude of about 20MPa. In this case
25 the internal stress is small in the {311} grain family after creep. The changes in the
26 internal stresses for these three grain families are similar to those obtained from the
27 room temperature and high temperature tensile tests^{106, 139}. This indicates that the
28 magnitude of the strain incompatibility is determined by the strength of a grain
29 relative to its neighbours, i.e. the generation of internal stress is likely a strain-
30 dependent process, rather than a time-dependent one.
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47 5.3. Direct measurement of internal resistance

48 5.3.1. Dislocation link length

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51 TEM examinations of prior crept 316H stainless steels were undertaken by
52 Morris⁶⁵. Thin foils were prepared from specimens crept to the steady state at 625°C
53 and 250MPa. The dislocation link length, λ , was determined following:
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$$\lambda = \frac{(LS)^{1/2}}{2} \quad (16)$$

where L is the measured projected link length under TEM, and S is the foil thickness. The value of the internal resistance based on the dislocation link length, λ , was not given in the original paper by Morris⁶⁵. Using the longest dislocation link length, λ_{th} , of $\sim 13 \times 10^{-8}$ m from his work, the internal resistance based on equation 3 is calculated to be 115 MPa. The shear modulus at 625°C was taken as 5.8×10^{10} N/m² and the length of the Burgers vector was taken as 2.58×10^{-10} m, suggested by Frost and Ashby⁶. Thus the magnitude of the internal resistance, determined from the dislocation link length, is about one half of the applied stress.

5.3.2. Bauschinger and permanent softening effect test

Orowan¹⁴⁰ proposed a strain hardening theory, considering the presence of internal stress and gave a possible explanation to the stress-strain relationship on stress reversal, as schematically shown in Figure 15. First, the material is plastically deformed along the OA curve in the forward direction. If the direction of the applied stress is reversed at some point after yielding, material is then deformed along the AB curve to C point. As shown in Figure 15, part of the strain hardening developed by the forward straining is lost. This can be seen more clearly if we compare the OAD curve and the BC' curve which is the reverse straining curve BC re-plotted. The transient softening of the material on stress reversal is called the Bauschinger effect, the horizontal hatched area in Figure 15. This phenomenon is manifested by the early yield of the material if the direction of straining is reversed after the initial yield¹⁴¹. The vertical hatched area in Figure 15 has been defined as permanent softening of the material, indicated by the stress σ_{sn} , which is equal to $\sigma_f - \sigma_r$ at the same magnitude of absolute strain. Both σ_f and σ_r are measures of material internal resistance. Here σ_f is the flow stress obtained if a monotonically increasing stress is applied to the material along the forward direction, OAD in Figure 15. Thus, permanent softening can be measured at the same absolute strain and beyond the first few percent of reversed deformation. Orowan¹⁴⁰ considered the magnitude of the permanent softening, σ_{sn} , to be a measure of internal stress, reflecting the difference between the internal resistance to the forward deformation (σ_f) and reverse deformation (σ_r).

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4 Wilson¹³⁶ attempted to validate the permanent softening concept by measuring the
5 internal stress. The approach was applied to several alloys with a cubic crystal
6 structure. Several torsion specimens were prepared from a given material: each was
7 pre-strained at room temperature to 9% and then subjected to one of a series of
8 reverse strains in the range from 0% to 10%¹³⁶, as illustrated schematically in
9 Figure 16 (a). The magnitude of permanent softening, τ_{sn} , was evaluated by the
10 difference between the flow stress in the forward direction, τ_f , and the flow stress in
11 the reversed direction, τ_r . The specimen subject to a 9% forward strain and then 0%
12 reverse strain, i.e. in the unloaded condition after forward straining, was used for the
13 internal stress measurement by conventional X-ray diffraction (described in section
14 5.2.5). This approach offers information about Type II internal stress.
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23 Figure 16 (b) compares the permanent softening stress, τ_{sn} , with the X-ray
24 diffraction measured Type II internal stress, τ_{is} , in several alloys considered by
25 Wilson¹³⁶. The selected alloys can be divided into two groups: (i) alloys that are
26 mainly a single phase, as indicated by the arrows in Figure 16 (b), and (ii) dispersion
27 hardened alloys. The magnitude of the measured internal stress in two alloys that are
28 mainly a single phase is similar to the magnitude of the permanent softening stress,
29 see the arrows in Figure 16 (b). However, for the dispersion hardened alloys, the
30 internal stress is only half the magnitude of the permanent softening stress, Figure 16
31 (b). It was concluded by Wilson¹³⁶ that the lower values of the measured internal
32 stress, τ_{is} , were due to stress relaxation arising from both the unloading procedure and
33 sample preparation. The latter was supposed to introduce a stress change in a $\sim 10\mu\text{m}$
34 thick layer close to the free surface used for X-ray measurement. However, whether
35 this large difference can be attributed solely to these two reasons is doubtful. X-ray
36 diffraction provides a measure of Type II internal stress, whereas the value of
37 permanent softening stress τ_{sn} is a measure of the difference between the forward and
38 reverse internal resistances.
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52 5.4. Indirect measurement of internal stress and internal resistance

53 5.4.1. Analysis of peak asymmetry in X-ray diffraction

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59 Theoretical and experimental studies of the broadening of X-ray diffraction peaks
60 in deformed crystals have been well developed. Peak broadening provides a measure
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4 of dislocation density which correlates well with TEM data³⁵. Although this
5 technique provides an indirect measurement of internal resistance, it has the
6 advantage that it measures at the millimetre length-scale, Figure 9. As described in
7 equation 2, the internal resistance can be derived from the measured dislocation
8 density. In addition, the presence of high dislocation density at cell walls or sub-grain
9 boundaries can be inferred from a detailed peak profile analysis^{35, 127}. Profile analysis
10 of broadened X-ray diffraction peaks in deformed crystals was based on the theory
11 proposed by Wilkens¹⁴², where both the density and arrangement of the dislocations
12 were considered.
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16 Many X-ray diffraction studies have demonstrated that the diffracted peaks in the
17 deformed crystals can be broadened and become increasingly asymmetric with
18 increasing deformation^{35, 127, 132, 143, 144}. All the X-ray diffraction measurements were
19 undertaken at the unloaded state. For example, single crystal Cu deformed by wire
20 drawing at room temperature to strains reaching 0.26 and 0.92, showed the presence
21 of a dislocation cell structure¹⁴³. Figure 17 (a) shows the typical X-ray peak profiles
22 obtained from the {002} crystallographic plane for two deformation values $\varepsilon=0.26$
23 and $\varepsilon=0.92$ together with the non-deformed state. The direction of the measured
24 crystallographic plane was parallel to the applied stress. First, the full width at half
25 maximum (FWHM) of the diffraction peak increases with the strain, ε . The FWHM
26 of the diffraction peak for $\varepsilon=0.26$ is seven times and that for $\varepsilon=0.92$ is nine times
27 greater than the FWHM of the undeformed material, Figure 17 (a). Second, the peak
28 profiles obtained from the deformed condition are increasingly asymmetric, Figure 17
29 (a). Assuming that the cell walls and cell interiors scatter X-rays incoherently, the
30 asymmetric diffraction peak profile can be decomposed into two symmetric sub-peaks,
31 as shown in Figure 17 (b). One peak represents the cell walls and the other represents
32 the cell interiors. The separation of sub-peaks was interpreted using the model
33 proposed by Mughrabi³⁷. This has been described in section 4.5. The integral
34 intensities of each individual peak relative to the measured peak are proportional to
35 the area fractions of the cell walls, f^{cw} , and cell interiors, f^{ci} , respectively. The
36 peak positions of the deconvoluted peaks (two symmetric sub-peaks) are shifted in
37 opposite directions and this was expressed by the relative change in the lattice spacing,
38 $\Delta d/d$, for the $\{hkl\}$ crystallographic plane:
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$$\left(\frac{\Delta d}{d}\right)_x = -\Delta\theta_x \cot(\theta_0) \quad (17)$$

where $\Delta\theta_x$ is the shift of the two sub-peaks relative to the centre of gravity of the measured diffraction peak. θ_0 is the position of the measured diffraction peak. The letter x was used as a subscript to stand for either a cell wall or cell interior. Figure 17 (b) illustrates schematically the peak deconvolution, where the derived internal strains for cell interiors and cell walls can be converted to internal stresses, using the generalised Hooke's law and the diffraction elastic constants¹⁴³. Both principal strain tensors, along the axial and radial directions of the specimen, were measured by Borbely et al.¹⁴³. For the single crystal Cu, strained to $\varepsilon=0.25$ at room temperature and an applied stress of $\sigma_a=60.1\text{MPa}$, the magnitudes of internal stresses within cell walls and cell interiors were measured to be 107MPa and 46.1MPa , respectively¹³². The internal stress in the cell walls acts in the direction of the applied stress, whereas that in the cell interiors acts in the opposite direction.

The internal stress associated with the dislocation sub-structures in a polycrystalline Cu was measured using the same method by Straub et al.¹²⁷. Compression creep tests were undertaken at different temperatures ranging from 25°C to 360°C and under a constant normalised stress, $\sigma_a/G=4.3 \times 10^{-3}$. Measurements showed that the increase in temperature had little effect on σ_{is}^{ci} (tensile internal stress at cell interiors) and σ_{is}^{cw} (compressive internal stress in the cell walls), Figure 18. For the applied stress of $\sigma_a=-165\text{MPa}$ (compression), the measured internal stress in the cell walls was $-59.2 \pm 18.4\text{MPa}$ (compression) and that in the cell interiors was $8.0 \pm 3.2\text{MPa}$ (tension). Also it has been demonstrated that the internal stress is almost independent of the strain accumulated over the creep tests¹²⁷. This is inconsistent with the internal stress results obtained by measuring the radius of curvature of bowed dislocations under TEM⁵⁸, see Figure 10. This could be due to the different length-scales over which measurements were made by these two techniques. The radius of curvature of bowed dislocations when imaged using TEM provides a measure of Type III internal stress, whereas the analysis of peak asymmetry in X-ray diffraction provides a measure of a sub-class of Type II internal stress.

5.4.2. Stress reduction type tests

A variety of stress reduction type techniques have been developed in an attempt to measure the so-called “internal stress” in creep for different classes of materials, such as single crystal Al and Al-Mg alloys^{26, 145}, polycrystalline Al and Al-Mg alloys^{26, 96}, Al-Zn alloy³⁸, Ni based alloy³², Fe-21Cr-32Ni⁹⁶ and Fe-21Cr-37Ni alloys³⁰, Fe-3% Si alloy²⁷, Type 304 austenitic stainless steel¹⁴⁶, and Type 316H austenitic stainless steel¹¹¹. These techniques include the incremental unloading technique¹⁴⁵, constant structure stress reduction technique¹⁴⁶, stress/strain transient dip test technique²⁶ and a modified transient dip test technique³⁸. It has been claimed by different groups of researchers^{26, 30, 32} that these techniques provide an average value of “internal stress” over a significant number of grains in a bulk material, as indicated by the sampled gauge length in Figure 9. However these mechanical based techniques are now rarely used, because of the uncertainties in the measured values^{19, 23, 147}.

In general, stress reduction test techniques require that a certain proportion of the applied stress is removed during the creep test and the stress or strain transient is monitored instantaneously^{23, 26, 147}. They are all based on an assumption that a constant dislocation structure is retained over the period of the rapid change in applied stress and the subsequent measurement. Thus, the measured values are a reflection of the microstructural condition at the moment before the stress reduction²⁶. Another assumption is that the anelastic flow due to backward moving dislocations, driven by internal stress, exactly compensates for the continued forward moving dislocations, driven by the remnant applied stress. This leads to a zero net creep strain rate, $\dot{\epsilon}_c = 0$, when the applied stress is reduced to a critical level, which is equal to the internal stress¹⁴⁷. In this case, the measured stress takes a meaning of internal stress.

However, other investigators^{30, 32} have questioned whether the stress reduction measurements could be interpreted by a recovery creep model (see section 4.2), where the duration of the time when $\dot{\epsilon}_c = 0$ depends on the rate of creep recovery to allow further creep deformation. Such a change in dislocation structure would be an indication of the magnitude of internal resistance. Stress reduction type tests provide a measure of the internal resistance if we accept the recovery creep mechanism. This is supported by the rapid decrease in the magnitude of the measured value over the elapsed time after complete stress removal from the specimen, see Figure 19. Thus it

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4 is unclear whether the measured values should be interpreted as an internal stress,
5 internal resistance, or a combination of these two terms. In this review, we accept that
6 stress reduction type tests provide some measure of internal resistance, because the
7 measured value can be affected by the presence of internal stress. Thus application of
8 the stress reduction type tests to materials that do not form a dislocation sub-structure
9 provides a measure of internal resistance, if the formation of the dislocation sub-
10 structure is the sole reason for the presence of internal stress.
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16 The single stress relaxation test, shown in Figure 20 (a), offers a very simple
17 approach to measure the internal resistance. However, stress relaxation to a
18 subjectively judged limit value takes considerable time, leading to errors arising from
19 the creep recovery during the measurement, i.e. the constant structure assumption is
20 no longer valid¹⁴⁸. This single stress relaxation test was replaced by the incremental
21 unloading technique, shown in Figure 20 (b). In this case, the time required to reach
22 the deformation limit, where no further stress relaxation occurs, is shortened by
23 repeating the stress reduction in a series of unloading steps, Figure 20 (b). Early work
24 by MacEwen et al.¹⁴⁵ attempted to use the incremental unloading technique to
25 measure internal resistance. At various points in the tensile stress-strain curve,
26 incremental unloading tests were undertaken on both single crystal Cu and single
27 crystal Al-0.7% Mg alloy¹⁴⁵. For single crystal Cu, a range of applied stresses from
28 1.2MPa to 22.9MPa were studied. The measured values were 0.8MPa for the former
29 and 19.8MPa for the latter. Thus, the ratio of the measured internal resistance to the
30 applied stress was between 0.7 and 0.9. In terms of the single crystal Al-Mg alloy, the
31 measured internal resistance was about 90% of the applied stress.
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44 Ahlquist and Nix²⁶ proposed a more widely accepted stress/strain transient dip test
45 technique, which involves a series of unloading steps from an identical deformation
46 state, see Figure 20 (c) for an illustration of the stress transient dip test. The stress or
47 strain transient dip test technique measures either the stress change or the strain
48 change after a reduction in applied stress²⁶. The strain transient dip test technique was
49 more often used to determine the internal resistance in creep deformed material,
50 because this technique only required holding the stress constant. This can be easily
51 achieved using conventional creep test machines¹⁴⁹. Internal resistance measurements
52 during steady state creep of Al and Al-Mg alloy were undertaken by Ahlquist and
53 Nix²⁶. Figure 21 shows the measurement results in polycrystalline Al, crept to steady
54 state at 300°C and 350°C under a range of applied stresses from 2.8MPa to 10.4MPa.
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4 At a constant applied stress, the internal resistance decreases with an increase in
5 temperature, Figure 21. At constant temperature, the relative magnitude of internal
6 resistance to applied stress decreases with an increase in applied stress, whereas the
7 absolute internal resistance increases with applied stress. For the creep deformation at
8 300°C, internal resistances of 4.4MPa and 2.9MPa were obtained for the applied
9 stresses of 10.4MPa and 3.4MPa, respectively.
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14 Measurement of internal resistance during the primary creep stage was also
15 attempted by Ahlquist and Nix²⁶. However, this was unsuccessful due to large errors
16 in the measured internal resistance. This was attributed to the rapid change in
17 dislocation structures during the primary creep stage, so that the constant structure
18 assumption was no longer valid²⁶. A key factor to judge whether the measured
19 internal resistance reflects the internal state of a creep deformed material before the
20 stress disturbance is based on how quickly the measurement can be completed^{23, 147,}
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28 In addition, Blum and Finkel³⁸ claimed that the determination of the deformation
29 limit from the zero strain rate, $\dot{\epsilon}_c = 0$, after an immediate stress reduction is
30 subjective. To overcome this, it has been attempted by them to measure the value of
31 the reduced stress, where the subsequent anelastic strain starts to become negative,
32 $\dot{\epsilon}_c < 0$. Using this modified dip test technique, they have obtained a lower value of
33 the internal resistance, $0.5\sigma_a$, in steady state creep of Al-11 wt.% Zn alloy for tests
34 undertaken at 250°C and stresses ranging from 5.5MPa to 18.4MPa³⁸. It was claimed
35 that highly reproducible measurements can be obtained by using this method³⁸.
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43 The measurement of internal resistance using a constant structure stress reduction
44 technique was proposed by Cuddy¹⁴⁶ for specimens of Type 304 stainless steel
45 subjected to different creep induced pre-strains, i.e. different internal states. Five
46 specimens were creep strained to 15% at 247MPa and 704°C, followed by reducing
47 the applied stress to five different stress levels: 222MPa, 200MPa, 169MPa, 147MPa
48 and 124MPa. The immediate creep strain rates after the stress reduction were
49 measured for five separate tests and then plotted against the corresponding reduced
50 stress levels. Absolute values of internal resistance were evaluated by two indirect
51 methods.
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58 In the first method, creep strain rate was described as:
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$$\dot{\epsilon}_c \exp\left(\frac{Q}{kT}\right) = A\sigma_a^n \quad (18)$$

A and n values for the creep condition, strained to 15% at 247MPa and 704°C, were obtained from equation 18. If more than one set of creep pre-strain conditions were considered, the values of A and n for each condition were plotted against the pre-strain stress, σ_p . Five pre-strain conditions were created by Cuddy¹⁴⁶. Extrapolating to $\sigma_p=0$ gave the values of A and n for this condition, $\sigma_{ir}=0$, i.e. $\sigma_a=\sigma_{op}$. σ_{ir} is the internal resistance and σ_{op} is the operative stress. Thus the creep strain rate based on σ_{op} was described as:

$$\dot{\epsilon}_c \exp\left(\frac{Q}{kT}\right) = 1.6 \times 10^{-9} \sigma_{op}^{5.8} \quad (19)$$

In this case $A=1.6 \times 10^{-9}$ and $n=5.8$ were obtained by extrapolation as described above. Then for each creep pre-strain condition, the difference between the operative stress obtained by extrapolation and the applied stress at the same creep strain rate is a measure of the internal resistance, σ_{ir} .

In the second method proposed by Cuddy¹⁴⁶, the value of applied stress, σ_a , required to maintain a given strain rate after creep pre-straining was plotted against the creep pre-strain stress, σ_p . Extrapolating to $\sigma_p=0$, where $\sigma_{ir}=0$ and $\sigma_a=\sigma_{op}$, gave a unique value of σ_{op} necessary to maintain each creep rate. Values of σ_{ir} were then obtained from $\sigma_{ir}=\sigma_a-\sigma_{op}$ at constant creep strain rate, $\dot{\epsilon}_c$.

The values of internal resistance obtained from these two methods were in good agreement and a relation between the internal resistance and the creep pre-strain stress was obtained:

$$\sigma_{ir} = \sigma_p^{1.7} \quad (20)$$

The ratio of the internal resistance to the applied stress, σ_{ir}/σ_a was in the range 0.10 to 0.25. The internal resistance measured by this constant structure stress reduction technique proposed by Cuddy¹⁴⁶ was much smaller than those measured using strain transient dip test technique, shown in Figure 19 and Figure 21.

5.5. Comparison of measurement techniques

In general, the internal stress and internal resistance measurement techniques have their characteristic length-scales, determined by the sampled gauge length relative to the controlling microstructural features, ranging from dislocation, sub-grain, grain to bulk material, as illustrated in Figure 9. Typical results are summarised in Table 1 for internal stress and Table 2 for internal resistance. The measured values relative to the initial applied stress are used in Table 1 and Table 2. Thus, a positive sign for the relative value of the internal stress indicates that this stress has the same direction to the applied stress, and vice versa.

TEM measurement of the radius of curvature of bowed dislocations provides an evaluation of Type III internal stress associated with individual dislocation^{36,37,58}. In the cell interiors that are composed of the three dimensional dislocation networks, a value of internal stress, $\tau_{is}^i/\tau_a = 1$ to 4 was obtained from the Al-11 wt.% Zn alloy⁵⁸, while the internal stress of $\sigma_{is}/\sigma_a = 0.25$ was obtained in Type 316H stainless steel exhibiting the three dimensional dislocation networks⁶⁵. In addition, a high value of internal stress in the cell walls, $\tau_{is}^{cw}/\tau_a = 9$ to 25, was obtained by Morris and Martin⁵⁸. However, it is unclear whether the measured internal stress has a positive sign or negative sign relative to the applied stress. This is due to the limitation of the measurement technique, which has been described in section 5.2.1.

The high value of internal stress associated with a high dislocation density in the cell walls measured by TEM is inconsistent with the values derived from the analysis of peak asymmetry in X-ray diffraction. Analysis of peak asymmetry gave a value of $\sigma_{is}^{cw}/\sigma_a = +1.8$ at cell walls of room temperature deformed single crystal Cu¹³² and a value of $\sigma_{is}^{cw}/\sigma_a = +0.36$ at cell walls of creep deformed single crystal Cu¹²⁷, Table 1. The positive sign indicates that the internal stress has the same direction to the applied stress. However, we cannot reach a conclusion about which technique provides a correct measure of Type III internal stress in the cell walls, because the TEM based method is a direct measurement technique whereas the analysis of peak asymmetry in X-ray diffraction relies on the soft and hard region model proposed by Mughrabi³⁷. In addition, the analysis of X-ray diffraction peak asymmetry provides a measure of a sub-class of Type II internal stresses at the sub-grain length-scale, averaged over

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4 many sub-grains and many grains, all with different orientations and neighbouring
5 grain relations^{35, 132}. Thus it is inappropriate to compare the internal stress
6 measurement results from these two techniques.
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9 CBED can provide a measure of Type III internal stress when a few dislocations
10 are present in the cell interiors, but cannot measure directly the internal stress at cell
11 walls or sub-grain boundaries which contain a high density of dislocations^{123, 127}. A
12 comparison between the results from the CBED technique and the analysis of peak
13 asymmetry in X-ray diffraction shows a relatively good agreement, where the former
14 gives a value of $\sigma_{is}^{ci}/\sigma_s = -0.15$ and the latter gives a value of $\sigma_{is}^{ci}/\sigma_s = -0.05$, Table 1.
15 The negative sign indicates that the direction of the internal stress is opposite to the
16 applied stress. The synchrotron X-ray diffraction technique has the advantage, in
17 particular when using the micro-beam^{130, 131}, that it samples dynamically a volume
18 with a sub-grain length-scale within a bulk sized material. A sub-class of Type II
19 internal stress associated with the cell interiors was derived from the synchrotron X-
20 ray micro-beam studies^{130, 131}, Table 1, where $\sigma_{is}^{ci}/\sigma_a = -0.28$ for compressive pre-
21 loading and $\sigma_{is}^{ci}/\sigma_a = -0.17$ for tensile pre-loading, respectively. Here the internal
22 stress is in the opposite direction to the applied stress. The change in lattice spacing,
23 measured by both the X-ray diffraction¹³⁶ and neutron diffraction³⁴, can provide a
24 direct measure of Type II internal stress over a length-scale comparable to the grains.
25 Typical results by these two techniques are shown in Table 1. For such a large sized
26 sample gauge volume, Figure 9, the internal stress associated with the dislocation sub-
27 structure would not be measured.
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43 In terms of the internal resistance, two direct methods are available: (i)
44 measurement of dislocation link length by TEM and (ii) measurement of material
45 flow stress macroscopically in the Bauschinger and permanent softening effect test.
46 The measurement of dislocation link length gave $\sigma_{ir}/\sigma_a=0.5$, Table 2. This method has
47 to assume that dislocation glide occurs by breaking the nodes formed by dislocation
48 links. Measurement of material flow stress is feasible at room temperature. However
49 the possibility of using this technique at high temperature has not been considered.
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55 After reviewing many techniques within the stress reduction type tests, it is clear
56 that these indirect methods provide some measure of internal resistance when used in
57 conjunction with a model^{26, 30, 38}. These macroscopic measurements of internal
58 resistance give a value averaged over the bulk material. After several years of
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4 modifications, the strain transient dip test technique could offer a reliable measure of
5 the internal resistance in creep deformed material ($\sigma_{ir}/\sigma_a=0.5$ to 0.6 for Al-11 wt.%
6 Zn)³⁸ see Table 2. However, it is not possible to establish whether the change of
7 dislocation arrangement representative of internal resistance, or the existence of a sub-
8 class of Type II internal stress, leads to a zero creep strain rate after the applied stress
9 was reduced to a critical value. This concern has also been put forward by Okazaki et
10 al¹⁵¹. It would be instructive to undertake some stress reduction type tests combined
11 with diffraction techniques, such as neutron diffraction and synchrotron X-ray
12 diffraction to separate these two contributions. This combined approach would
13 provide further insights into both the separate contributions and any potential
14 correlation between them.
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23 Direct observation of dislocation geometry created by the prior creep deformation
24 using TEM can provide a measure of the internal resistance. Both Type III internal
25 stress and internal resistance can be measured using TEM, see Table 1 and Table 2.
26 However the main deficiencies of TEM are related to the thin foil specimens³⁵, since
27 the possibility of the loss and re-arrangement of dislocations during foil preparation
28 and/or during observation is well-recognised. Two pinning dislocation techniques
29 have been developed to retain the dislocation sub-structures in their loaded state for
30 TEM examination. Pinning dislocations under load together with a quenching
31 technique has been used by Morris and Martin⁵⁸. Low temperature fast neutron
32 irradiation has been used by Mughrabi³⁷ to pin dislocation sub-structures both after
33 unloading and in the loaded state. Although success has been achieved using these
34 two techniques, two fundamental limitations remain. First, TEM only images a small
35 volume of material so that it becomes practically difficult to obtain a statistically
36 representative measure of internal resistance. Second, the image overlap where high
37 dislocation densities are present such as in cell walls or sub-grain boundaries makes
38 the identification of individual dislocations difficult³⁵.
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52 **6. Concluding Comments**

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55 This review has highlighted the importance of (i) separating internal stress and
56 internal resistance in creep; (ii) establishing a link between these two terms and the
57 dislocation creep mechanisms; and (iii) providing confidence in the measurement of
58 these two terms by either direct or indirect techniques. The underlying need is for
59 these two terms by either direct or indirect techniques. The underlying need is for
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4 these two contributions to be incorporated into creep deformation models that
5 improve the ability to predict overall creep life of components both at the stage of
6 design and for the extension of the service life. A typical example of the latter is Type
7 316H austenitic stainless steel components used in AGR nuclear plant in the UK. To
8 date several of these plants have operated for periods of more than 30 years. However,
9 there is an expectation that it should be possible to extend the operating life by at least
10 another five to seven years¹⁵². To achieve this it is important to be able to predict the
11 remanent life with high confidence. This requires that unnecessary conservatisms are
12 removed which are included in the present assessment methodology¹⁵³.

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14 From the above review it is clear that both the internal stress and internal resistance
15 are important when considering creep strain rate. There are several factors which may
16 lead to the changes in both the internal stress and internal resistance. For service
17 components this will be a combination of the initial microstructure of the material and
18 the creep history over the service life. Type II internal stress has been shown to be a
19 function of creep deformation time, i.e. creep strain, Figure 14, where the relative
20 strengths of grain families determine the magnitude of the internal stress. The
21 increase in Type III internal stress arising from the creep deformation is shown to be a
22 function of the creep strain, Figure 10. The development of the dislocation sub-
23 structure in creep deformed material, as described in Figure 5, leads to the presence of
24 high values of both a sub-class of Type II and Type III internal stresses^{147, 154}.

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26 Internal resistance originates mainly from three contributions: (i) dislocation to
27 dislocation interaction, which is a function of both dislocation density and
28 arrangement; (ii) dislocation interaction with solute elements; and (iii) dislocation
29 interaction with second-phase particles/precipitates. This becomes an important issue
30 for the Type 316H stainless steel components subjected to extended periods of high
31 temperature service life. There will be progressive changes in the underlying
32 microstructure of the material over the service life, such as the amount of second-
33 phase precipitates with associated changes in the composition of the austenite matrix
34 and changes in the density and arrangement of dislocations^{94, 155, 156}. These changes
35 arise from a complex interaction between the magnitude and state of the applied stress
36 combined with thermal ageing. Figure 22 shows a schematic diagram highlighting
37 the influence of different sources of the internal resistance on the creep rate, where
38 three contributions to internal resistance are considered. They are indicative of the
39 contributions (i), (ii) and (iii) described above. For each creep deformation
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mechanism, there is an internal resistance represented by the critical stress required to effect deformation, see σ_{ir}^1 , σ_{ir}^2 and σ_{ir}^3 in Figure 22. In region 1, creep deformation is controlled by mechanism 1. This mechanism is then replaced by mechanism 2 in region 2 with increase in the applied stress. Finally mechanism 3 controls the highest creep rate in region 3. Although we present these changes as a function of applied stress, they will be related also to temperature, or strain history over the total creep life of the material. It is essential to establish the roles of the progressive microstructural changes on both internal stress and internal resistance to allow improved creep life prediction using physically based models.

Finally, the creep strain rate, $\dot{\epsilon}_c$, may be predicted by using a modified form of equation 6:

$$\dot{\epsilon}_c = A[\exp(-\frac{Q}{kT})][\sigma_{is+a} - \sigma_{ir}]^{\frac{ab}{kT}} \quad (21)$$

where σ_{is+a} describes a sum of the internal stress, σ_{is} , and the applied stress, σ_a , in the material. σ_{ir} is the characteristic internal resistance which controls the creep deformation. Both σ_{is} and σ_{ir} have characteristic length-scales. If a creep rate at the grain length-scale is considered, then the stress σ_{is+a} describes a localised stress state within a grain at the loaded state. In this case, the internal stress, σ_{is} , represents the presence of Type II internal stress. Accordingly, the magnitude of internal resistance, σ_{ir} , represents an averaged value over many dislocations, rather than a single dislocation. Thus it is important to select an appropriate measurement technique to provide values for both the internal stress and internal resistance at the required characteristic length-scale as shown in Figure 9. The confidence in the prediction of creep rate is determined directly by the measured values. Also, in the constitutive model such as equation 21, the internal stress and internal resistance must be defined in a consistent manner. For example, in the model for a heterogeneous dislocation sub-structure^{37, 72, 76}, we could interpret σ_{is} and σ_{ir} as representing the internal stress and internal resistance either in the cell walls or cell interiors. Whichever description is chosen it should give rise to the same macroscopic creep response.

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Tables

Table 1. Summary of some typical measurement results for the internal stress using the techniques shown in Figure 9. A specific sign is used for the ratio of the internal stress relative to the applied stress; a positive sign indicates that the internal stress has the same direction to the applied stress, and vice versa. [RT: room temperature]

<i>Material</i>	<i>Reference</i>	<i>Deformation History</i>	<i>Measurement Condition</i>	<i>Measurement Technique</i>	<i>Key observation</i>
Al-11 wt.% Zn	Morris and Martin ⁵⁸	Tensile creep	Unloaded	Radius of curvature of bowed dislocations, TEM	$\tau_{is}^{cw} / \tau_a = 9$ to 25 at cell walls, $\tau_{is}^{ci} / \tau_a = 1$ to 4 at cell interiors
Type 316 stainless steel	Morris ⁶⁵	Tensile creep	Unloaded		$\sigma_{is} / \sigma_a = 0.25$ for dislocation structure with a three dimensional network
Polycrystalline Cu	Maier et al. ¹²³	RT fatigue	Unloaded	CBED	$\sigma_{is}^{ci} / \sigma_s = -0.4$ at cell interiors
Polycrystalline Cu	Straub et al. ¹²⁷	Compressive creep	Unloaded		$\sigma_{is}^{ci} / \sigma_a = -0.15$ at cell interiors
Single crystal Cu	Mughrabi et al. ¹³²	RT tension	Unloaded	Analysis of peak asymmetry in X-ray diffraction	$\sigma_{is}^{cw} / \sigma_a = +1.8$ at cell walls, $\sigma_{is}^{ci} / \sigma_a = -0.8$ at cell interiors
Polycrystalline Cu	Straub et al. ¹²⁷	Compression creep	Unloaded		$\sigma_{is}^{cw} / \sigma_a = +0.36$ at cell walls, $\sigma_{is}^{ci} / \sigma_a = -0.05$ at cell interiors
Single crystal Cu	Levine et al. ¹³¹	RT tensile and compressive deformation	Unloaded	Synchrotron X-ray diffraction	$\sigma_{is}^{ci} / \sigma_a = -0.28$ for compression, $\sigma_{is}^{ci} / \sigma_a = -0.17$ for tension
Steel, Brass, Al-Mg, and Al-Cu alloys	Wilson ¹³⁶	RT forward and reverse torsion test	Unloaded	X-ray diffraction	$\tau_{is}^{\{hkl\}} / \tau_a = 0.09$ to 0.24
Type 316 stainless steel	Rao et al. ³⁴	Tensile creep	Unloaded	Neutron diffraction	$\sigma_{is}^{(200)} / \sigma_a = +0.56$ and $\sigma_{is}^{(220)} / \sigma_a = -0.11$

Table 2. Summary of some typical measurement results for the internal resistance using the techniques shown in Figure 9. [RT: room temperature; HT, high temperature]

<i>Material</i>	<i>Reference</i>	<i>Deformation History</i>	<i>Measurement Condition</i>	<i>Measurement Technique</i>	<i>Key observation</i>
Type 316 stainless steel	Morris ⁶⁵	Tensile creep	Unloaded	Dislocation link length, TEM	$\sigma_{ir}/\sigma_a = 0.5$ for dislocation structure with a three dimensional network
Steel, Brass, Al-Mg, and Al-Cu alloys	Wilson ¹³⁶	RT forward and reverse torsion test	Unloaded	Bauschinger and permanent softening effect	$\sigma_{ir}/\sigma_a = 1$
Single crystal Cu and Al-Mg alloy	MacEwen et al. ¹⁴⁵	Tensile HT deformation	Loaded	Incremental unloading	$\sigma_{ir}/\sigma_a = 0.7$ to 0.9 for Cu and $\sigma_{ir}/\sigma_a = 0.9$ Al-Mg alloy
Polycrystalline Al and Al-Mg	Ahlquist and Nix ²⁶	Tensile creep	Loaded	Strain/stress transient dip test	$\sigma_{ir}/\sigma_a = 0.38$ to 0.84 for Al $\sigma_{ir}/\sigma_a = 0.4$ to 0.7 for Al-Mg
Al-11 wt.% Zn	Blum and Finkel ³⁸	Tensile creep	Loaded	Modified transient dip test	$\sigma_{ir}/\sigma_a = 0.5$ to 0.6
Type 304 Stainless steel	Cuddy ¹⁴⁶	Tensile creep	Loaded	Constant structure stress reduction	$\sigma_{ir}/\sigma_a = 0.1$ to 0.25

Figure Captions

Figure 1 A deformation mechanism map for Type 316 austenitic stainless steel, with a typical grain size of $50\mu\text{m}^6$.

Figure 2 The diffusion coefficient compensated steady state creep rate ($\dot{\epsilon}_c kT/D_{sd}Gb$) versus the shear modulus compensated steady state stress (σ_a/G) for selected polycrystalline materials: (a) pure Al data are from Ref. [13], Al-2.2 at.% Mg are from Refs. [157, 158], Al-0.5 at.% Mg, Al-1.1% Mg and Al-3.3% Mg are from Ref. [159]; (b) Fe-21Cr-37Ni austenitic stainless steel data are from Ref. [30], Type 316H austenitic stainless steel data are from Refs. [45, 94].

Figure 3 Dislocation structure during creep of a 20% Cr-35% Ni stainless steel, tested at 700°C and 343MPa , showing the presence of dislocation node which has just broken at position A. (After Lagneborg^{1,66})

Figure 4 A schematic diagram of the creep rate as a function of stress in dispersion strengthened and dispersion free materials (After Arzt et al.⁸³)

Figure 5 A typical creep test curve for austenitic stainless steel at 550°C and 250MPa , together with the dislocation structures observed at different steps of high temperature tests: (a) and (b) five different steps of a creep test; (c) TEM micrograph⁶⁷ showing the dislocation structure produced during the loading step at high temperature ($T=400^\circ\text{C}$ and $\epsilon=2\%$); (d) TEM micrograph^{1, 66} showing a three dimensional dislocation network in secondary steady state creep ($T=700^\circ\text{C}$ and $\sigma_a=107\text{MPa}$); (e) and (f) TEM micrographs⁷¹ showing the dislocation cell structure ($T=700^\circ\text{C}$, $\sigma_a=300\text{MPa}$, $\epsilon=23\%$) and sub-grain structure ($T=750^\circ\text{C}$, $\sigma_a=100\text{MPa}$) in secondary steady state creep.

Figure 6 An illustration of the soft and hard regions of a dislocation sub-structure model with a representation of the local stress distribution across the cell where the cell wall has a finite width. Under an applied stress, σ_a , the cell wall has an internal stress, σ_{is+a}^{cw} , and the cell interior has an internal stress, σ_{is+a}^{ci} . If we define σ_a

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4 with a positive sign, the internal stress in the cell wall σ_{is+a}^{cw} is positive and the
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6 magnitude of it is larger than σ_a , whereas the internal stress in the cell interior σ_{is+a}^{ci} is
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8 positive but with a smaller magnitude. After removing the applied stress, σ_a , the cell
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10 wall has a reduced positive internal stress, σ_{is}^{cw} , but the cell interior has an negative
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12 internal stress, σ_{is}^{ci} . (After Mughrabi³⁷ and Čadek³⁰)
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16 Figure 7 Schematic diagrams of a dislocation link under an applied stress: (a)
17 curvature of a dislocation with a link length of λ , under a shear stress, τ_a ; (b)
18 development of a Frank-Read source from the initial step 1 to the final step 6.
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23 Figure 8 A simplified model illustrating the concept of internal stress and
24 internal resistance in a bi-crystal, subject to an elastic, perfectly plastic and creep
25 deformation, under applied stress, σ_a : (a) and (b) showing the stress-strain relationship
26 in both individual grains and the whole bi-crystal; (c) showing the orientation of grain
27 A and grain B, relative to the applied stress axis.
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33 Figure 9 Schematic indicative of the internal stress/resistance measurement
34 techniques covering a range of length-scales.
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38 Figure 10 Distribution of the normalised internal shear stress (internal shear
39 stress/applied shear stress) at sub-grain boundary and the change of its value with the
40 distance from sub-grain boundary for different magnitudes of strains over the creep
41 test. (Recalculated figure after Morris and Martin⁵⁸)
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47 Figure 11 Internal stress derived from the EBSD measurements in room
48 temperature tensile deformed austenitic stainless steel: (a) stress-strain curve and the
49 measurement points, including both unloaded and loaded conditions (After Ojima et
50 al.¹²⁸); (b) derived internal stresses for the {200} and {220} grain families.
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55 Figure 12 (a) schematic diagram of the experimental set-up. The real and
56 reciprocal space coordinates (\mathbf{x} , \mathbf{y} , \mathbf{z}) and (\mathbf{q}_x , \mathbf{q}_y and \mathbf{q}_z) are defined together with
57 diffraction angle of 2θ . The direction \mathbf{q}_y (the radial direction) and (\mathbf{q}_x and \mathbf{q}_z) are
58 parallel and perpendicular, respectively, to the ideal reciprocal lattice vector for the
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4 diffraction plane studied, represented by \mathbf{G} . (b) the intensity distribution of some
5 selected diffraction peaks appearing in the intensity map projected onto \mathbf{q}_y . The
6 corresponding profile of the entire mapped intensity is indicated in black. (After
7 Jakobsen et al.¹³⁰)
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12 Figure 13 Comparison between the spatially integrated diffraction peak measured
13 by conventional X-ray diffraction technique and spatially resolved measurements of
14 peak position measured by scanning-monochromatic DAXM technique, for the
15 deformed single crystal Cu: (a) compression specimen; (b) tension specimen. The red
16 curves in both figures are spatially averaged axial $\{006\}$ plane peak profiles obtained
17 from conventional X-ray diffraction technique. The vertical blue lines show the
18 corresponding diffraction peak centres from individual dislocation cell interiors,
19 measured using scanning-monochromatic DAXM technique. (After Levine et al.¹³¹)
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28 Figure 14 Derived Type II internal stress development in the interrupted creep
29 samples measured using neutron diffraction by Rao et al.³⁴.
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33 Figure 15 Schematic diagram showing the typical behaviour of a plastic
34 deformed metal on stress reversal. Symbols σ_y , σ_f and σ_r denote initial yield strength
35 at forward straining, flow stress at forward straining and flow stress at reverse
36 straining.
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42 Figure 16 Relationship between the magnitude of permanent softening, τ_{sn} ,
43 measured from the difference between τ_f and τ_r , and the Type II internal stress,
44 measured by X-ray diffraction: (a) a schematic diagram showing the definition of τ_f , τ_r
45 and τ_{sn} in stress-strain curve together with the permanent softening strain, ϵ_n ; (b) X-
46 ray measurement data from Wilson's work¹³⁶.
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52 Figure 17 (a) Typical X-ray diffraction peak profiles of the $\{002\}$
53 crystallographic plane of single crystal Cu, for the two deformation values $\epsilon=0.26$ and
54 $\epsilon=0.92$ together with the non-deformed state; (b) typical decomposition of an
55 asymmetric diffraction peak into two symmetric sub-peaks. I_w and I_c represent the
56 diffraction peaks from cell walls and cell interiors, respectively. $\Delta\theta_w$ and $\Delta\theta_c$ are the
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4 shifts of the sub-peaks relative to the centre of gravity of the measured peak profile.
5 (After Borbely et al.¹⁴³)
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9 Figure 18 Internal stresses at cell walls (compressive stresses), cell interiors
10 (tensile stresses) measured by the analysis of asymmetric peak profile obtained from
11 X-ray diffraction, together with the applied stresses (compressive stresses), plotted as
12 a function of the creep temperatures. (After Straub et al.¹²⁷)
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17 Figure 19 Internal resistance and its change with the elapsed time from the
18 instant unloading, measured by a stress reduction type technique in the transient creep
19 state of Type 316H austenitic stainless steel. Internal resistance data were collected
20 from [30].
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25 Figure 20 Schematic representation of the various methods for measuring the
26 internal resistance, σ_{ir} , by using stress reduction type techniques: (a) single stress
27 relaxation, (b) incremental unloading and (c) stress transient dip test. Symbols r and u
28 denote the moment for stress relaxation and unloading, respectively. Symbol t is time.
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35 Figure 21 The ratio of the internal resistance to the applied stress in
36 polycrystalline Al, measured by the strain transient dip test technique showing the
37 temperature dependence and applied stress dependence of the internal resistance.
38 (After Ahlquist and Nix²⁶)
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43 Figure 22 A schematic diagram showing the influence of different sourced
44 internal resistance on the creep strain rate, where σ_{ir}^1 , σ_{ir}^2 and σ_{ir}^3 represent the
45 individual critical stress required to operate a specific creep deformation mechanism.
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Figure 1
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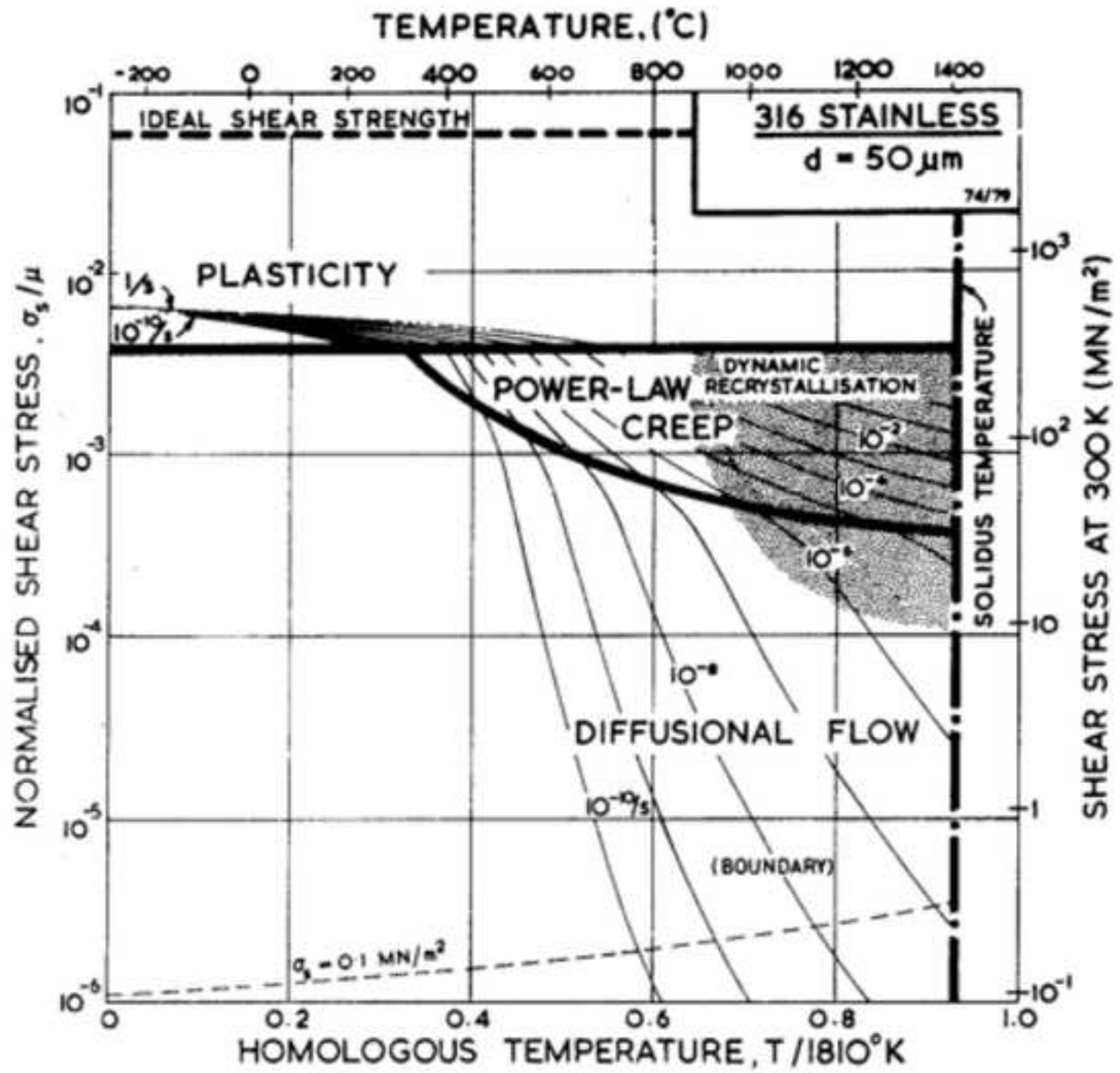
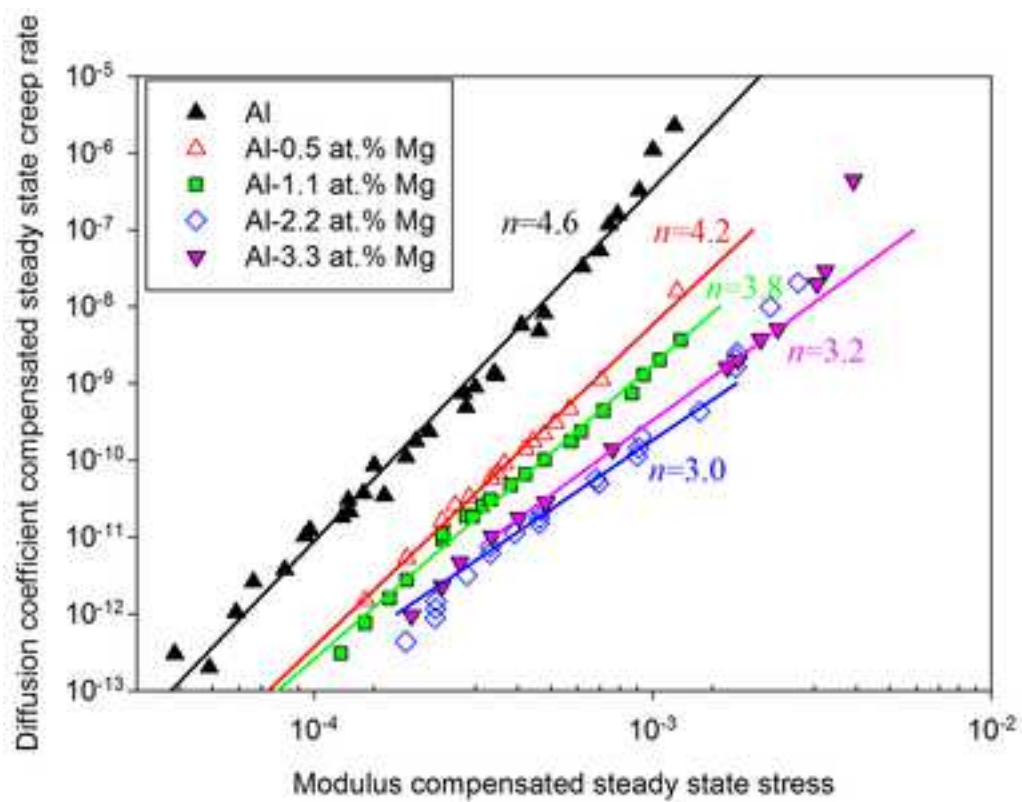
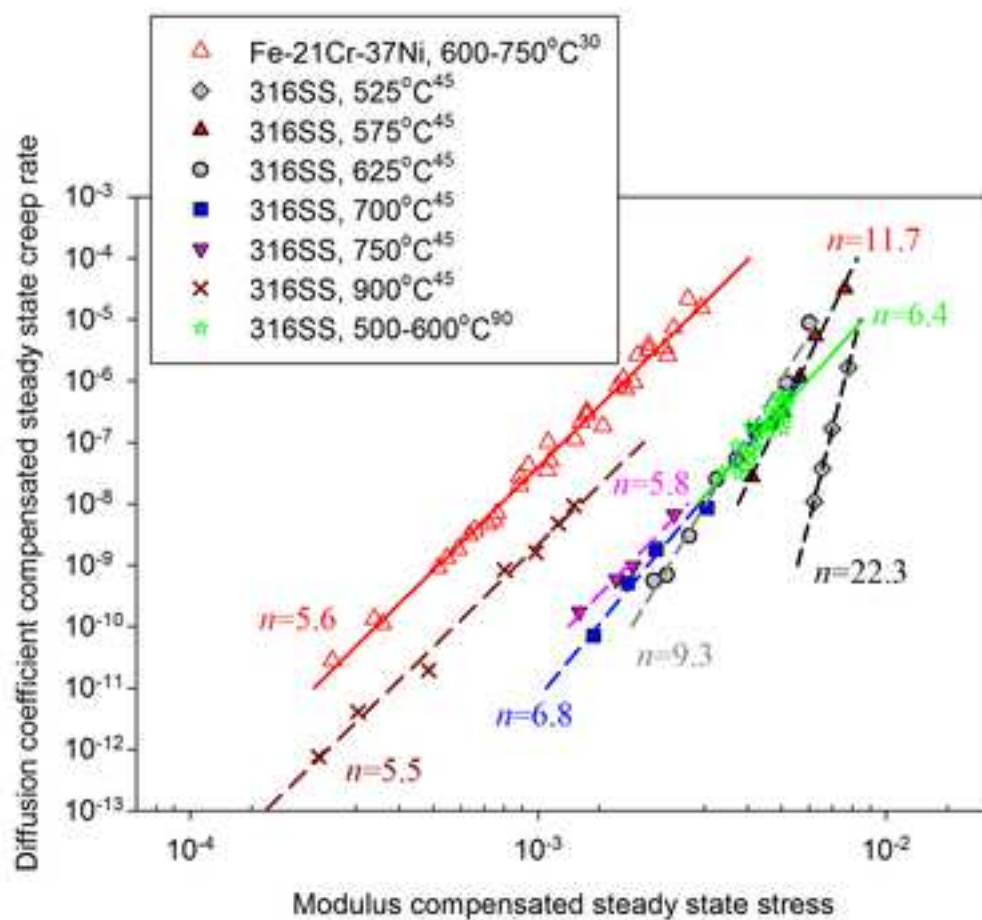


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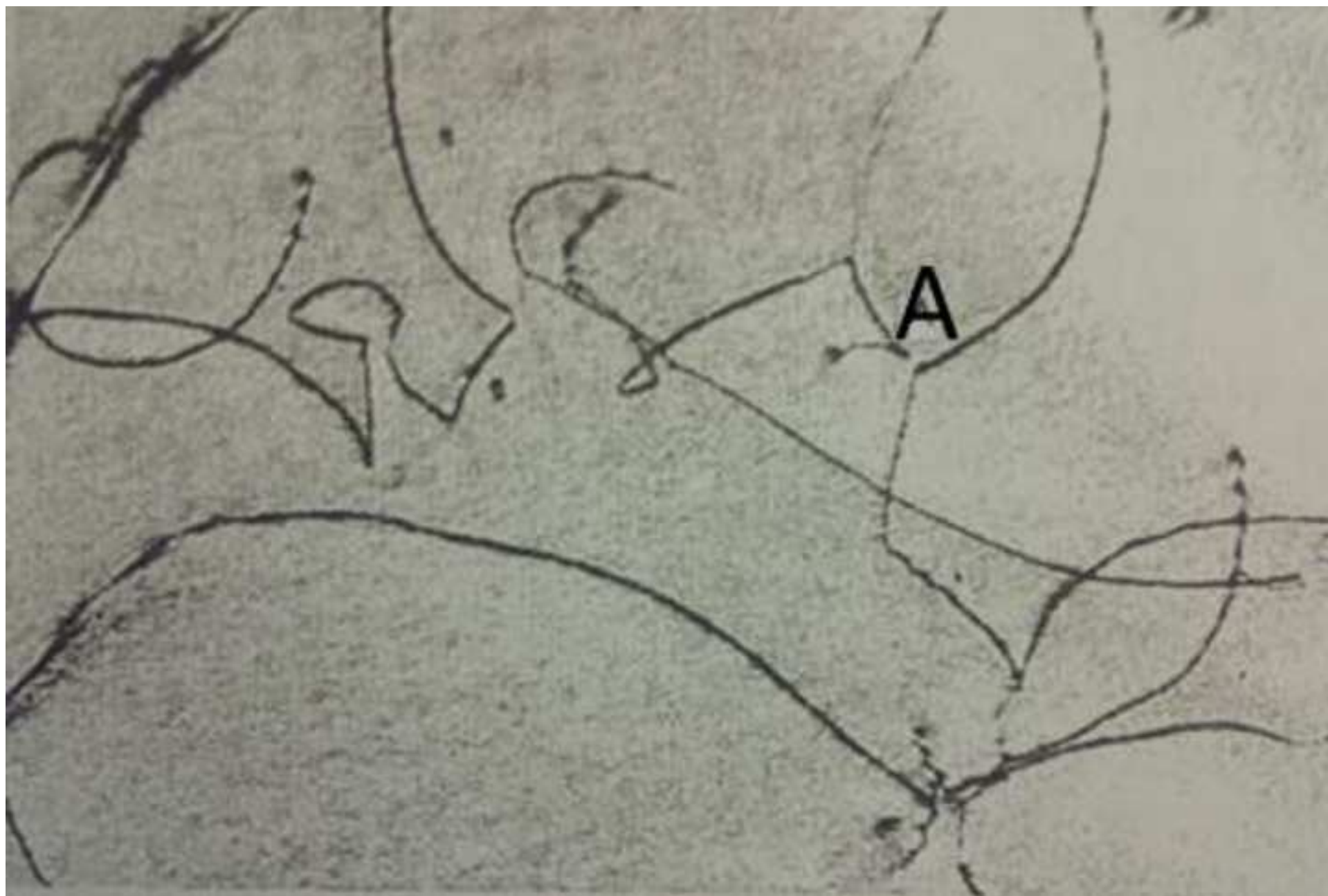


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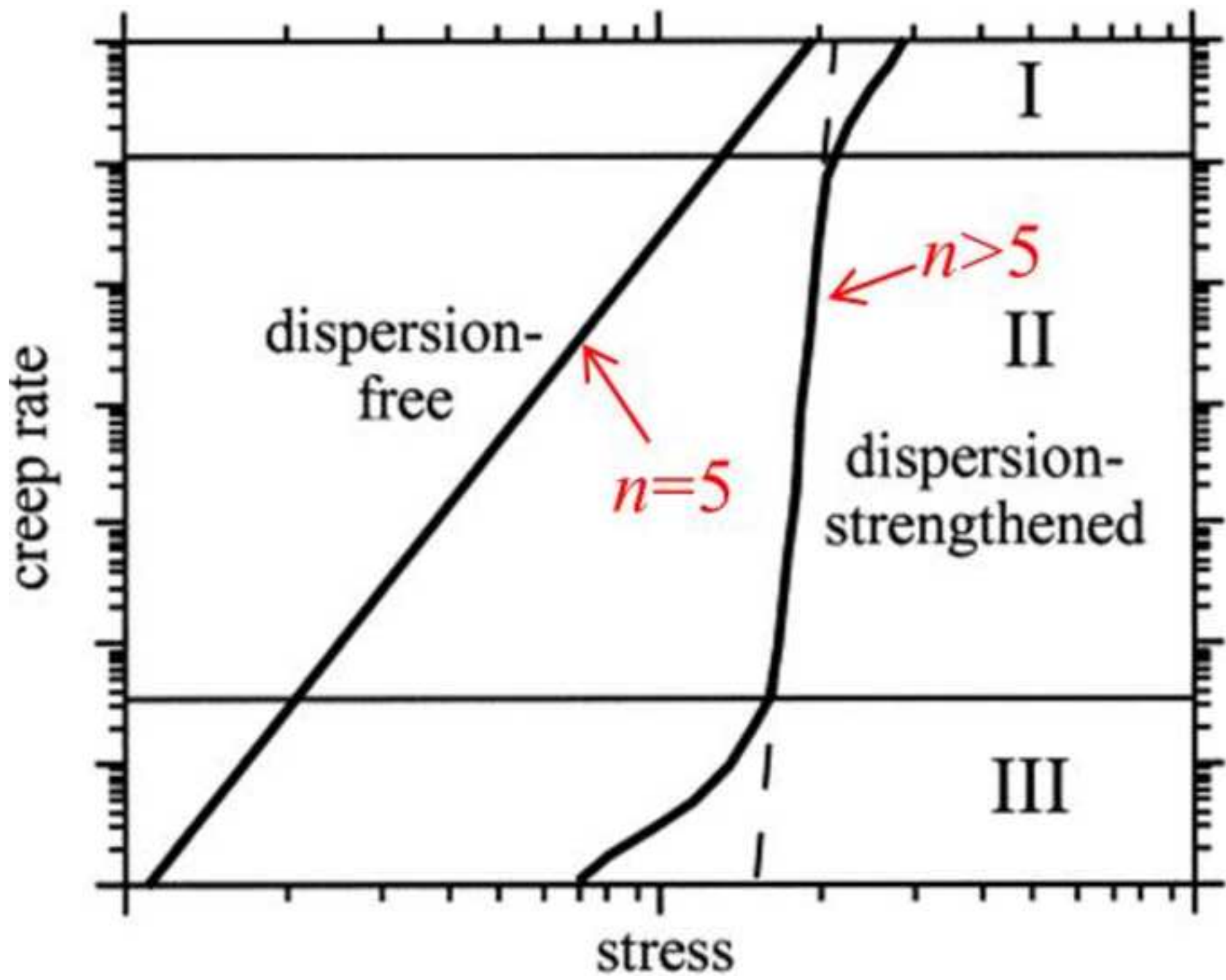


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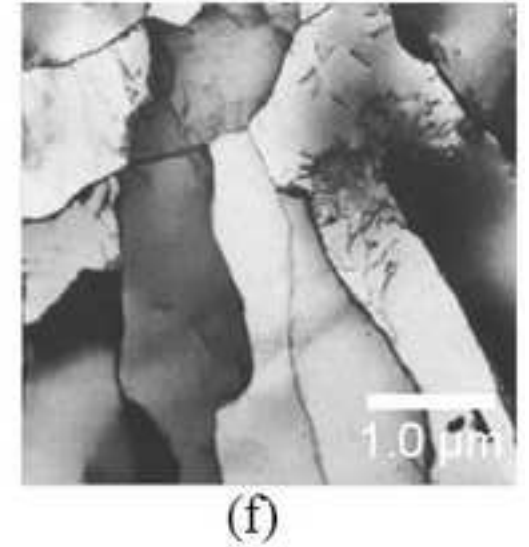
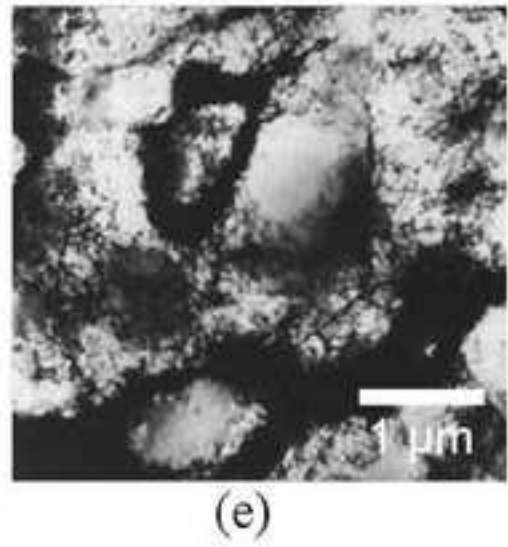
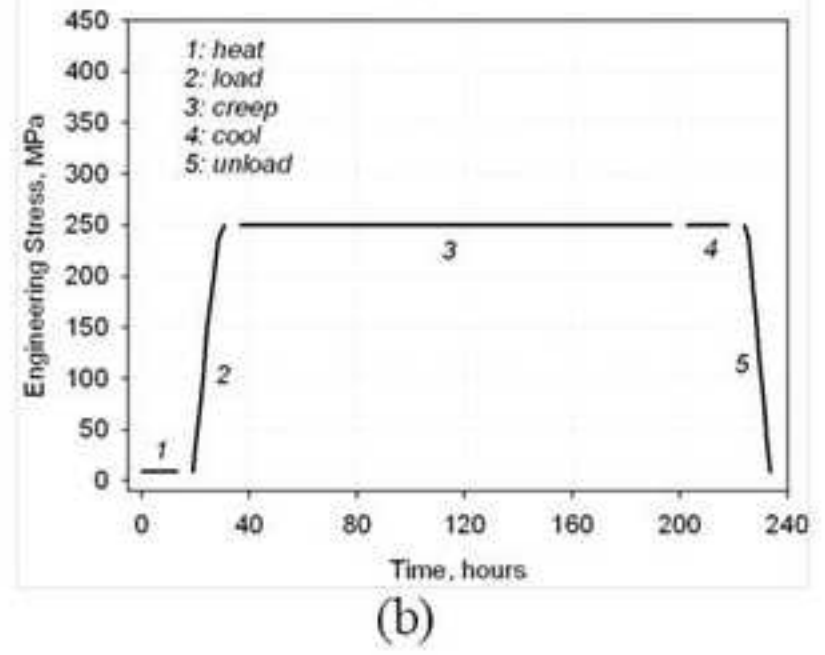
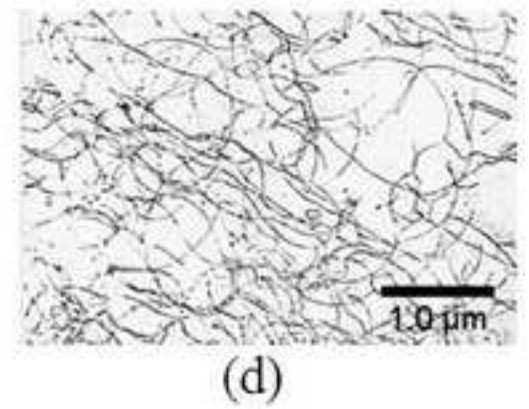
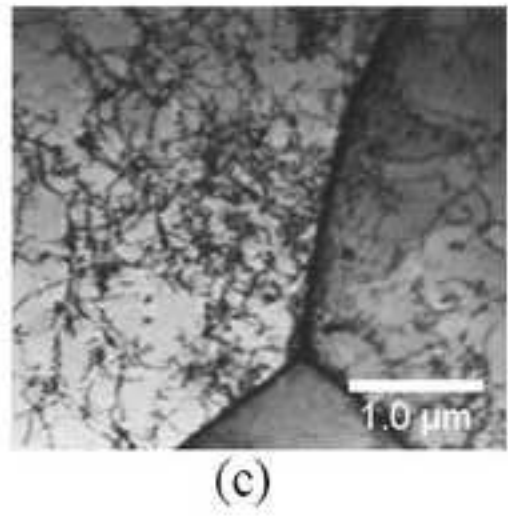
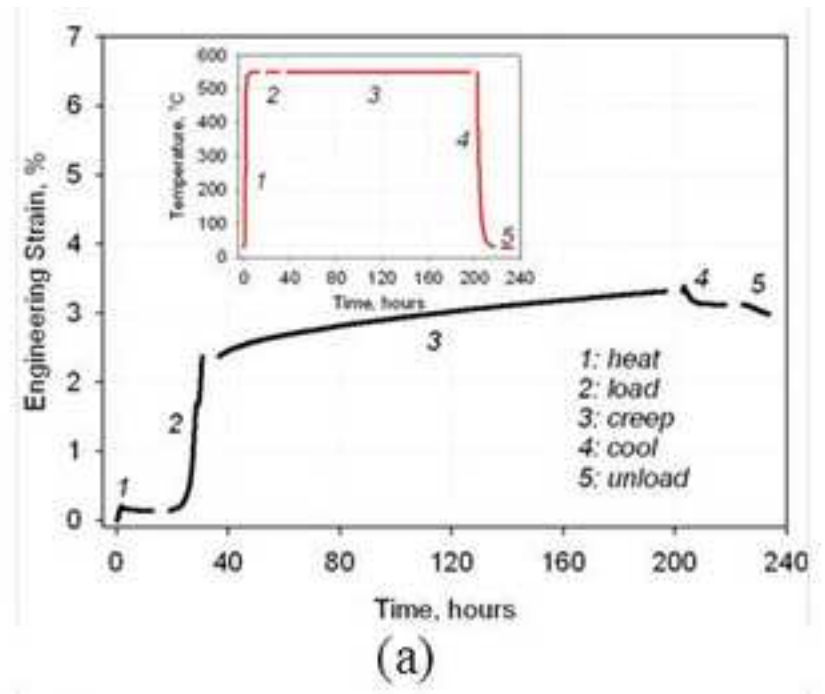


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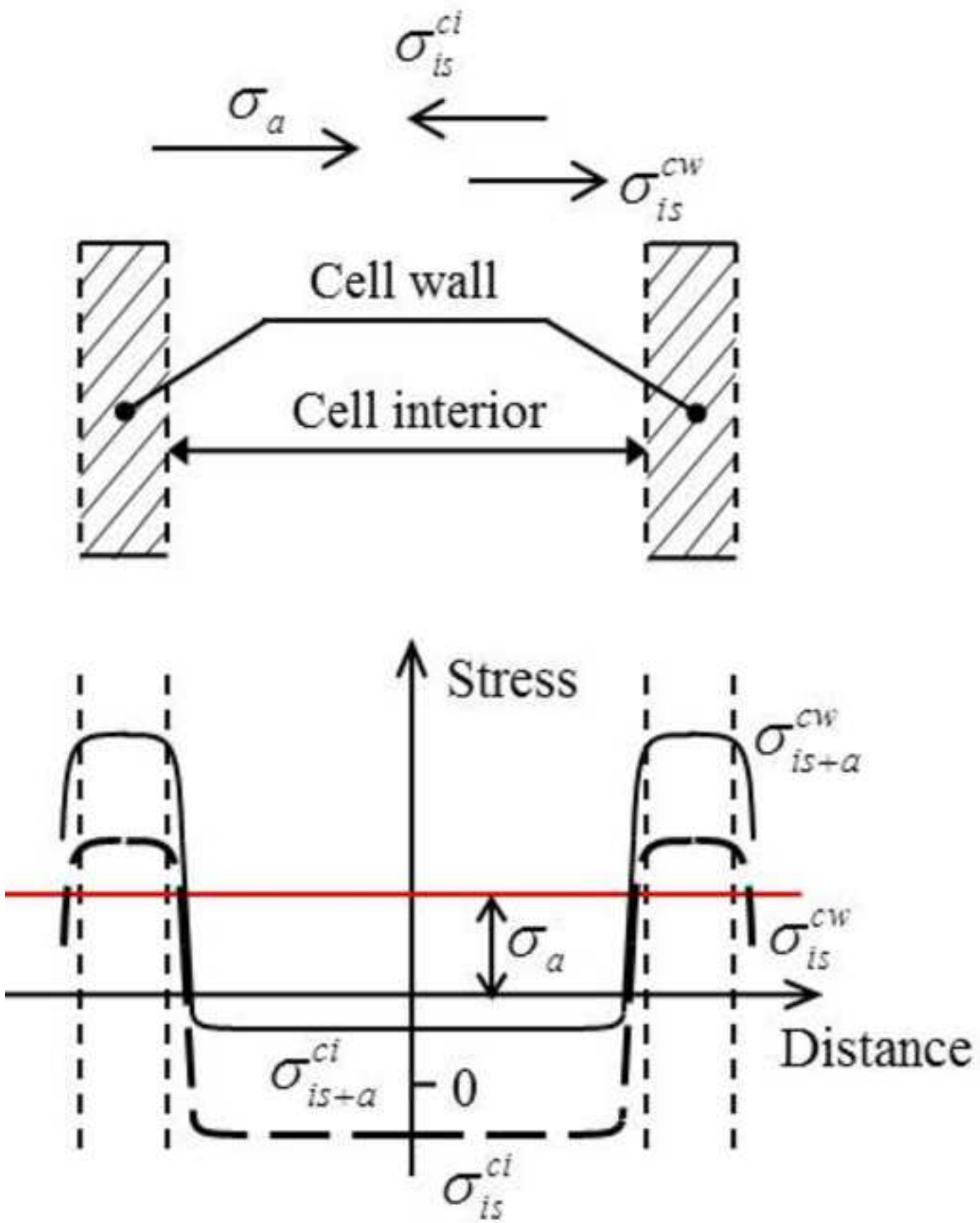
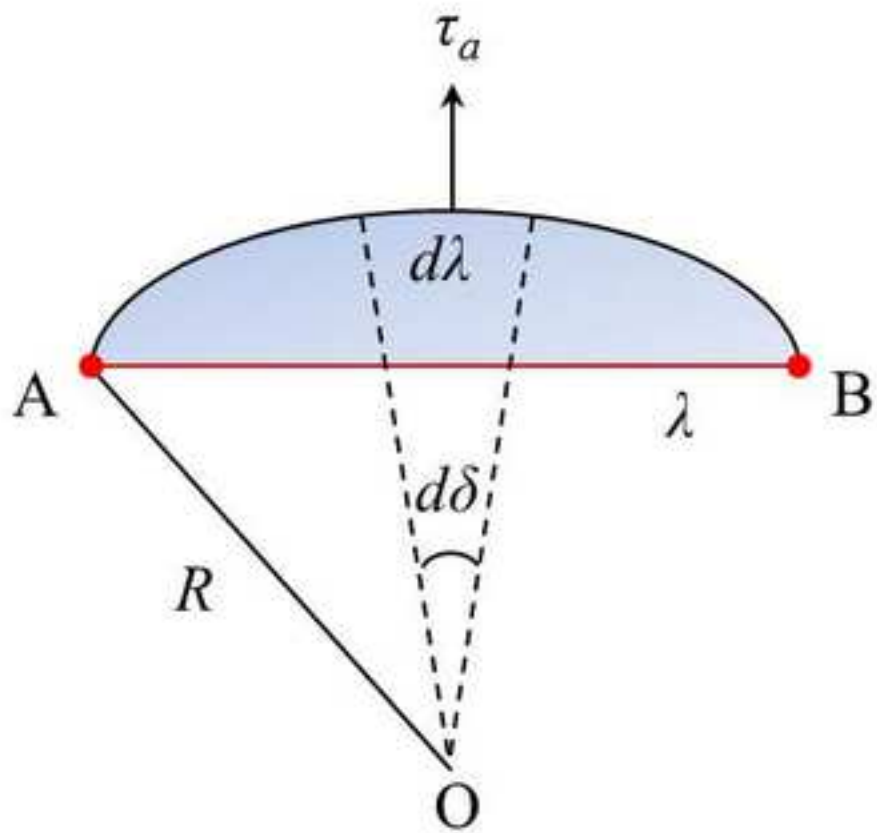
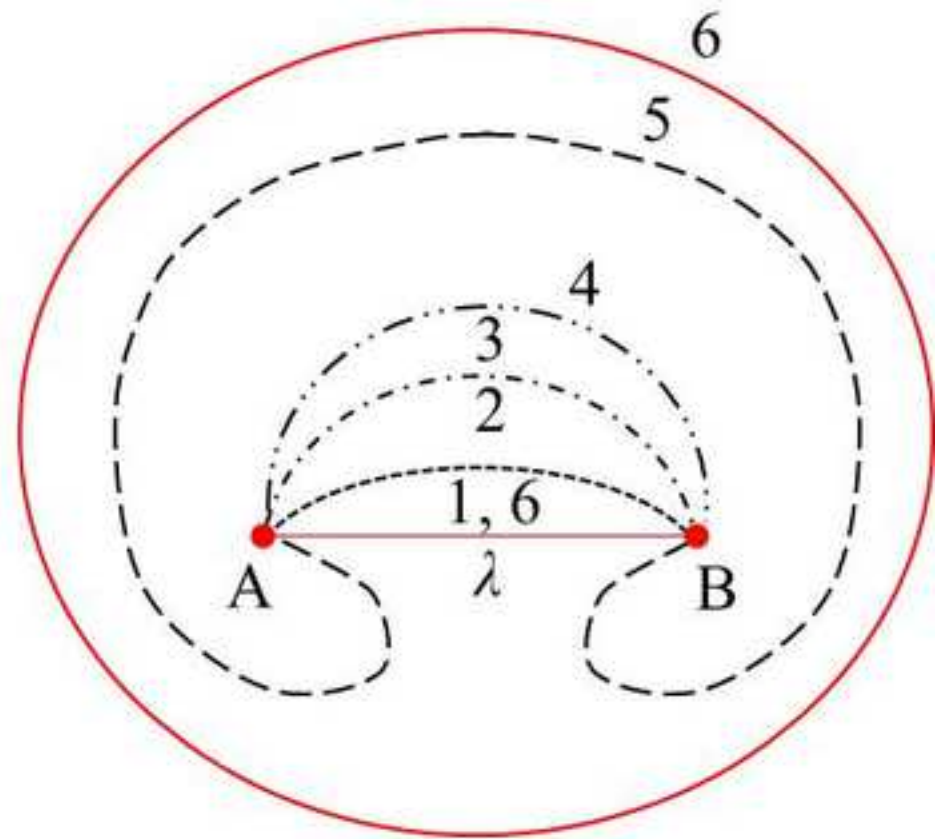


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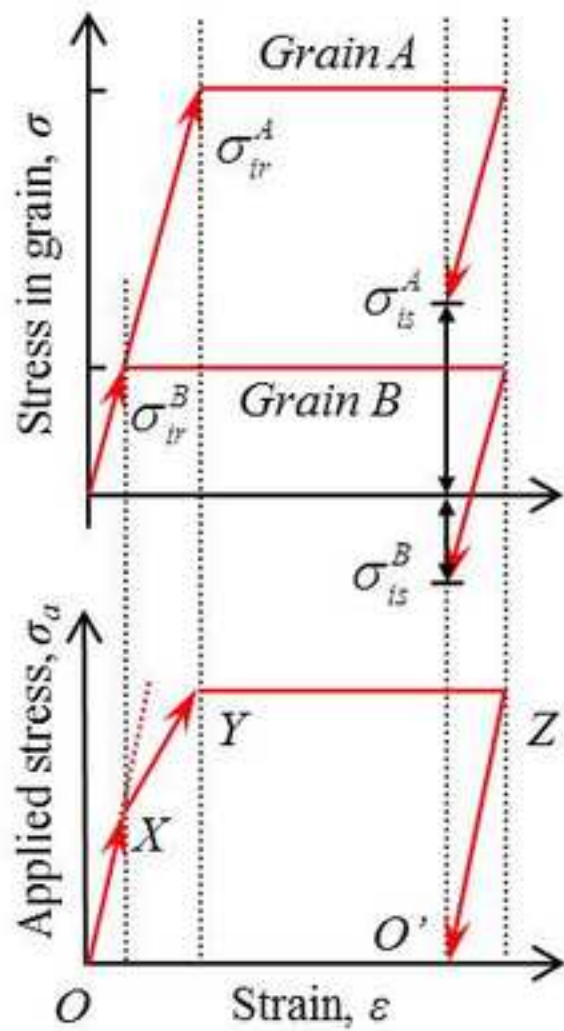


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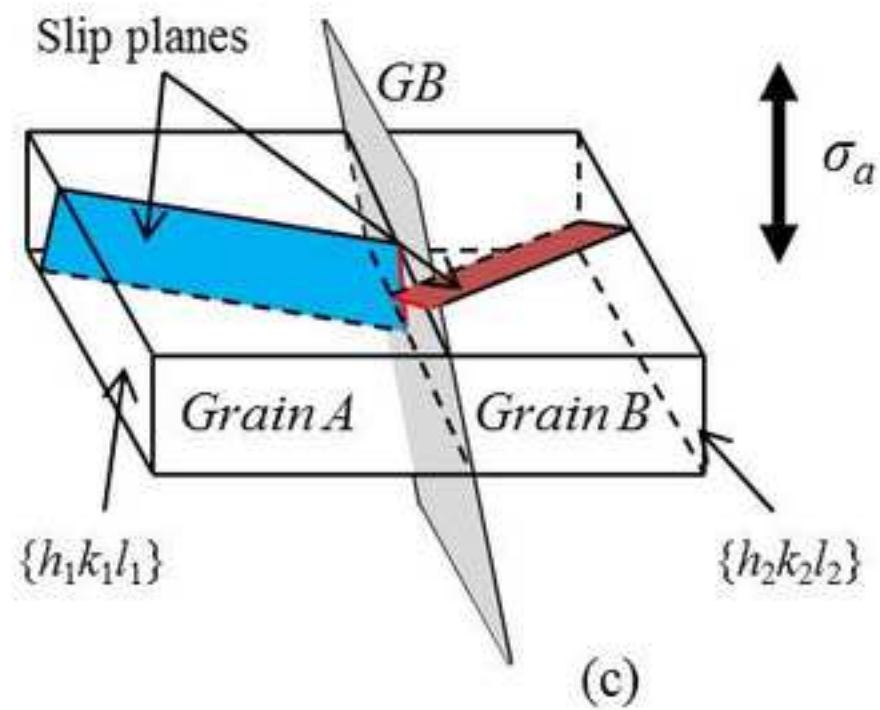
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Figure 9
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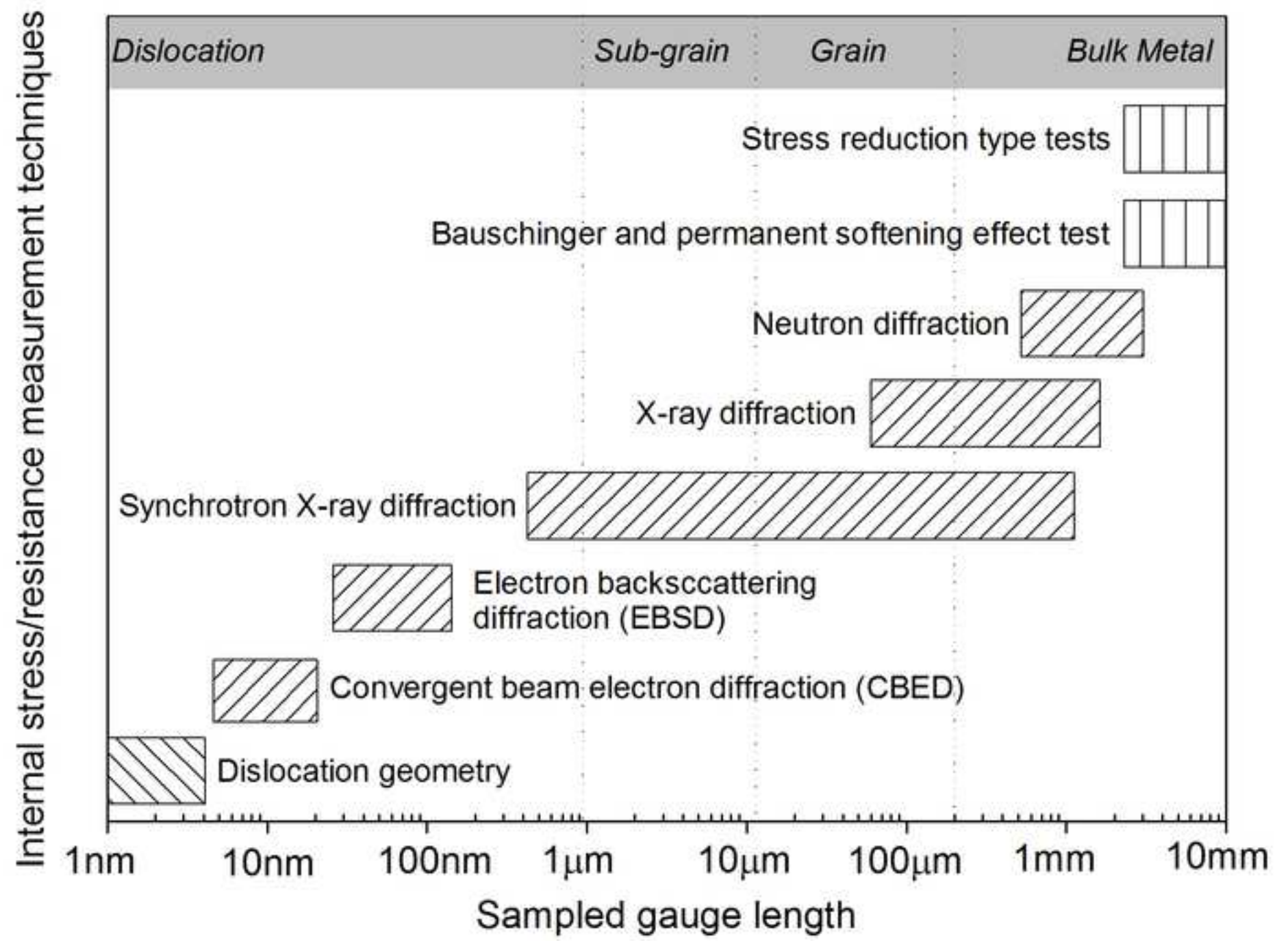


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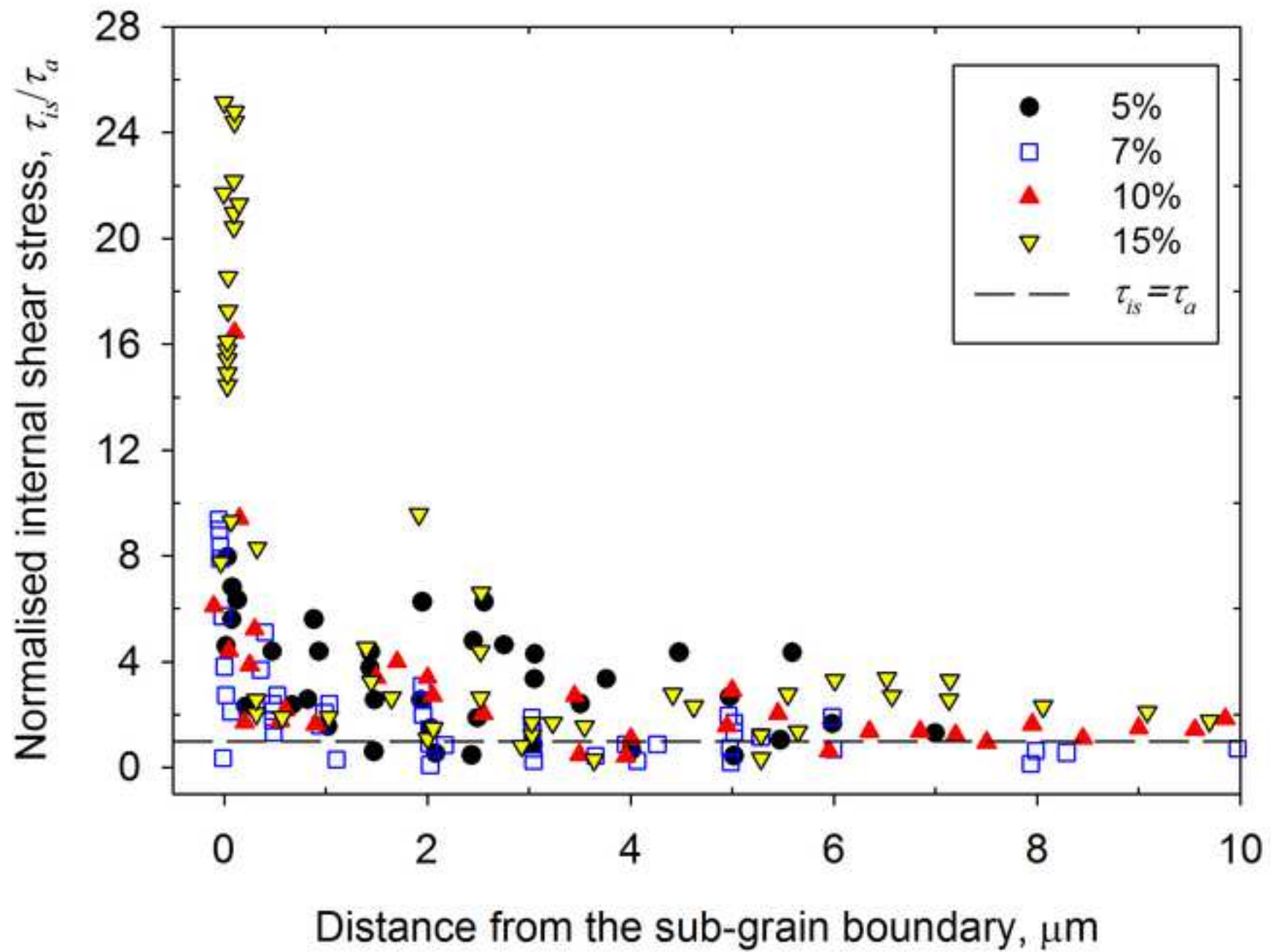
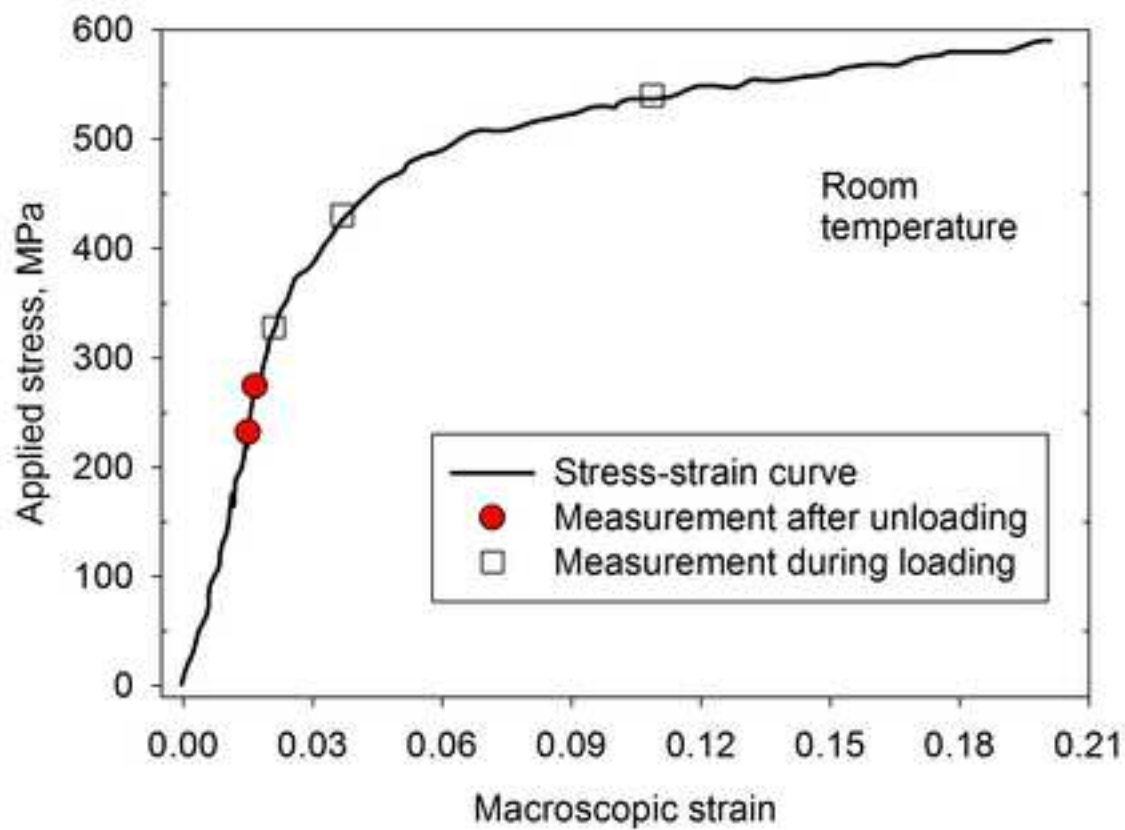
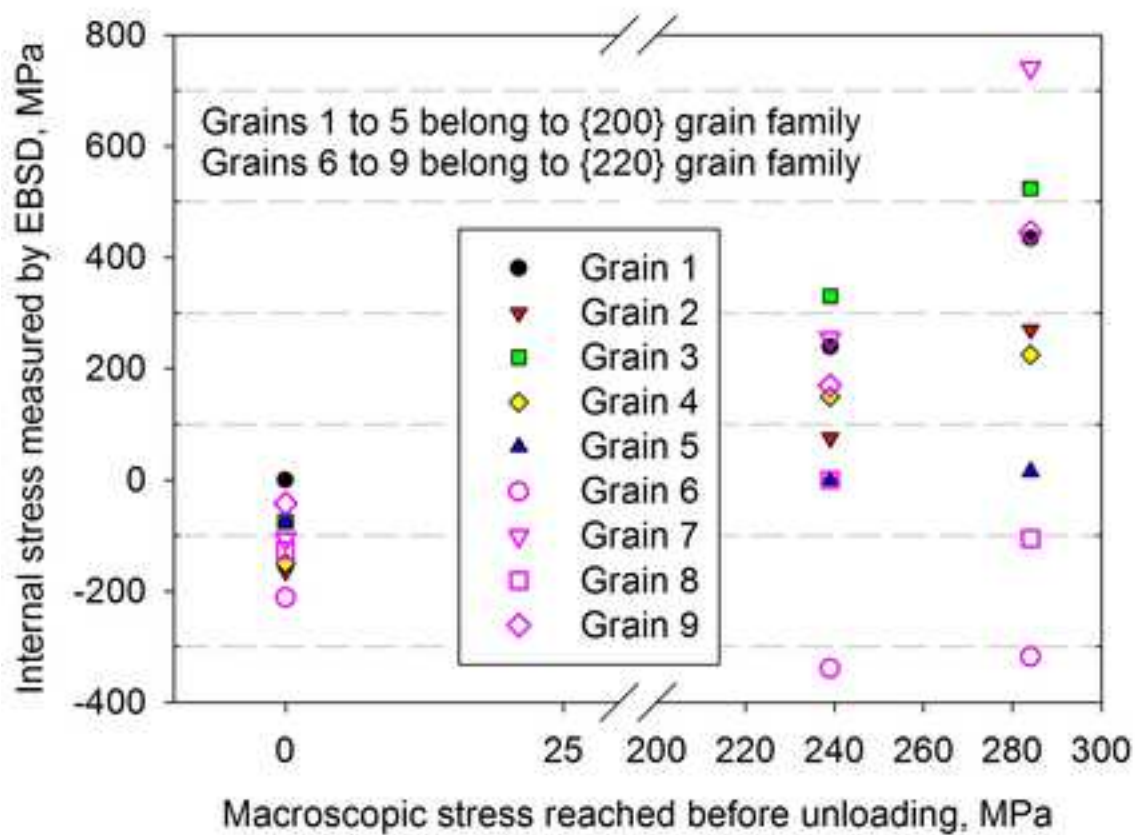


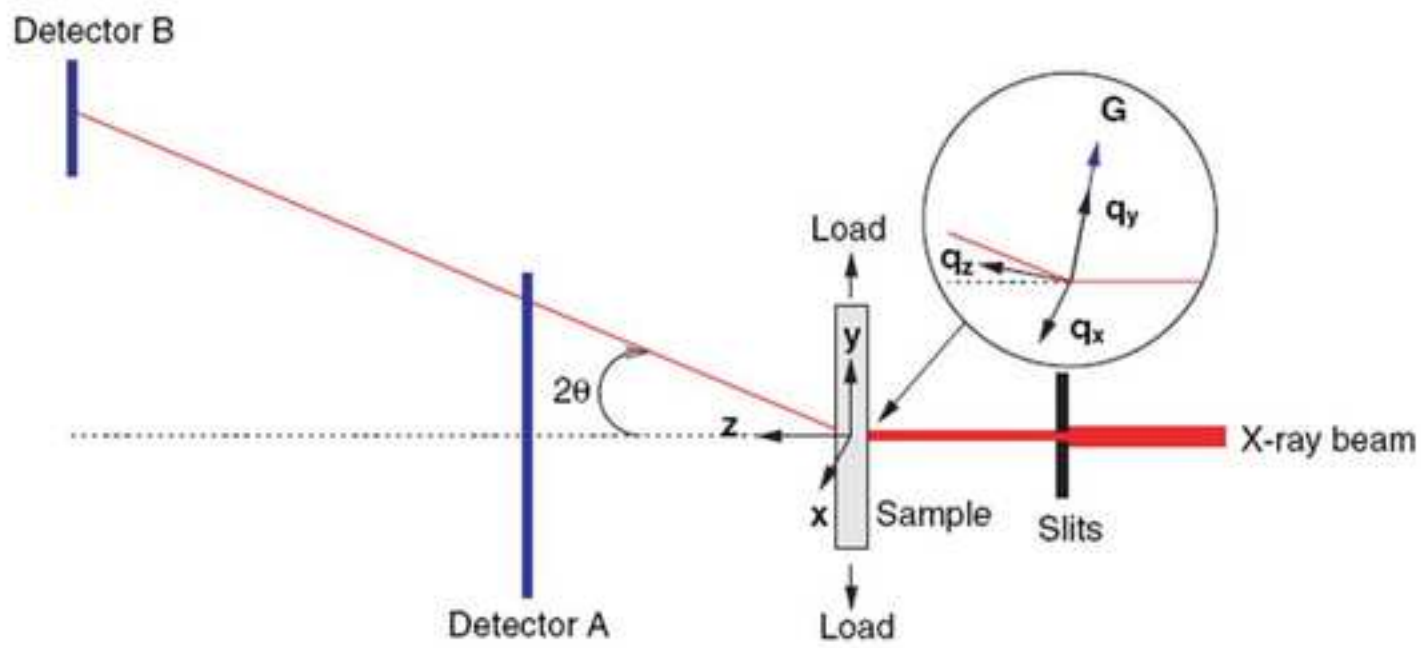
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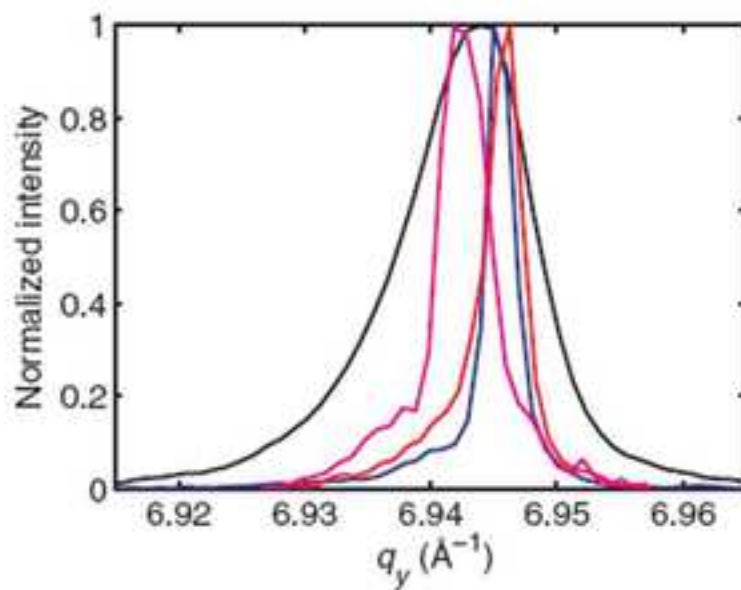
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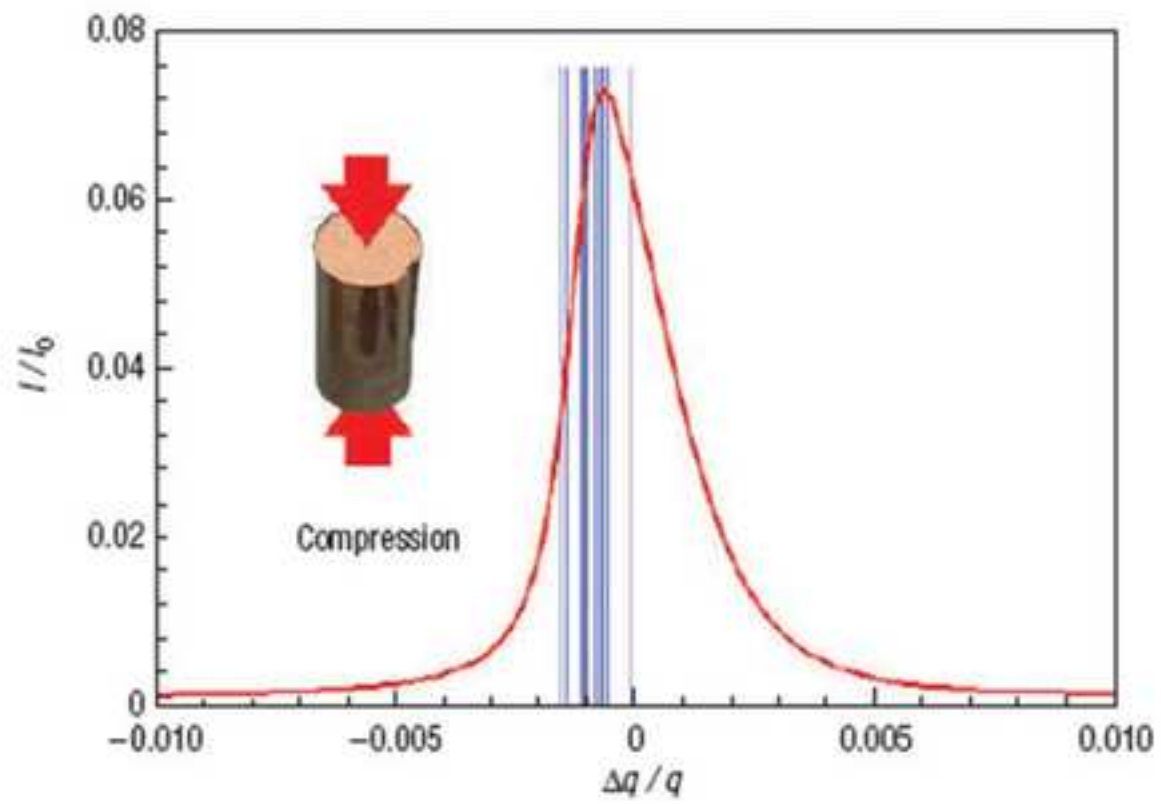
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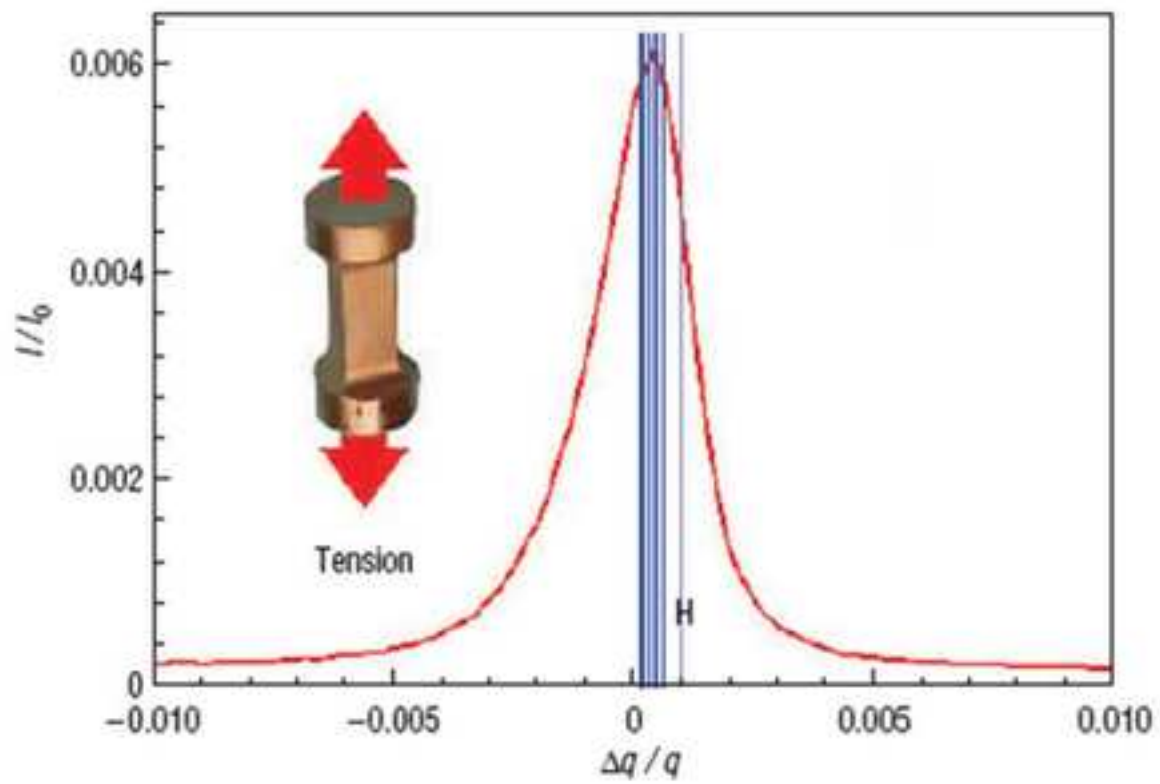
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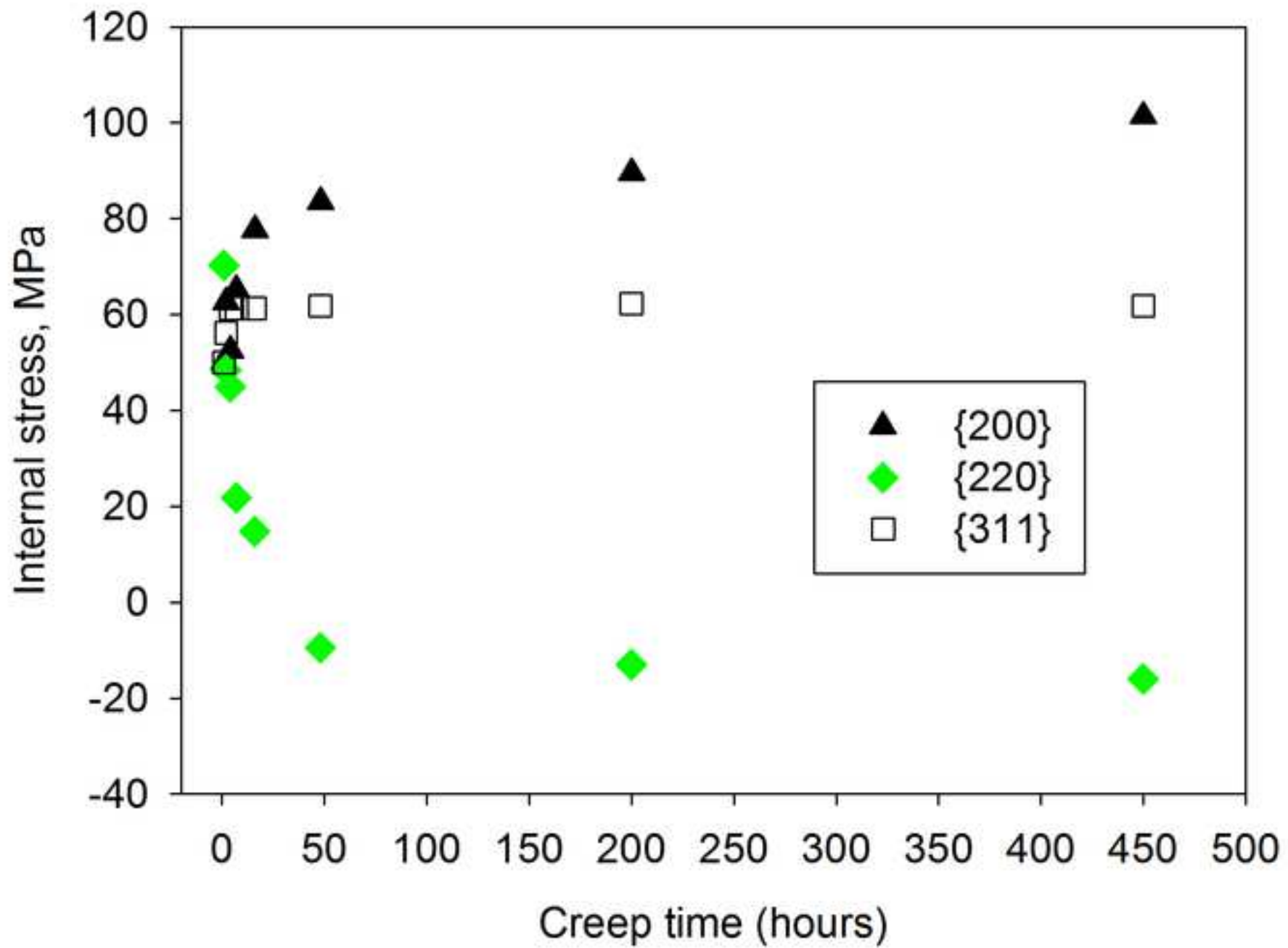


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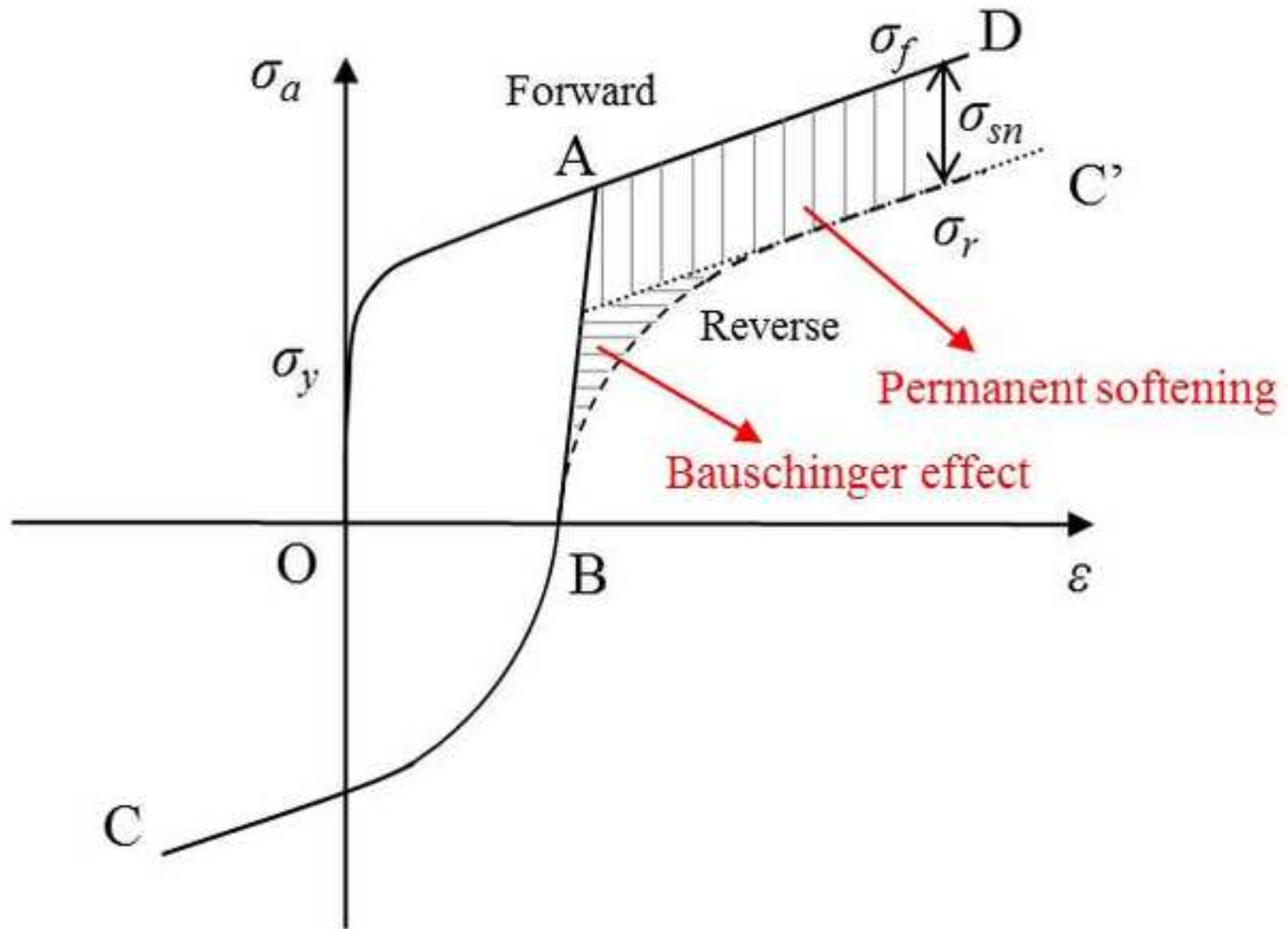
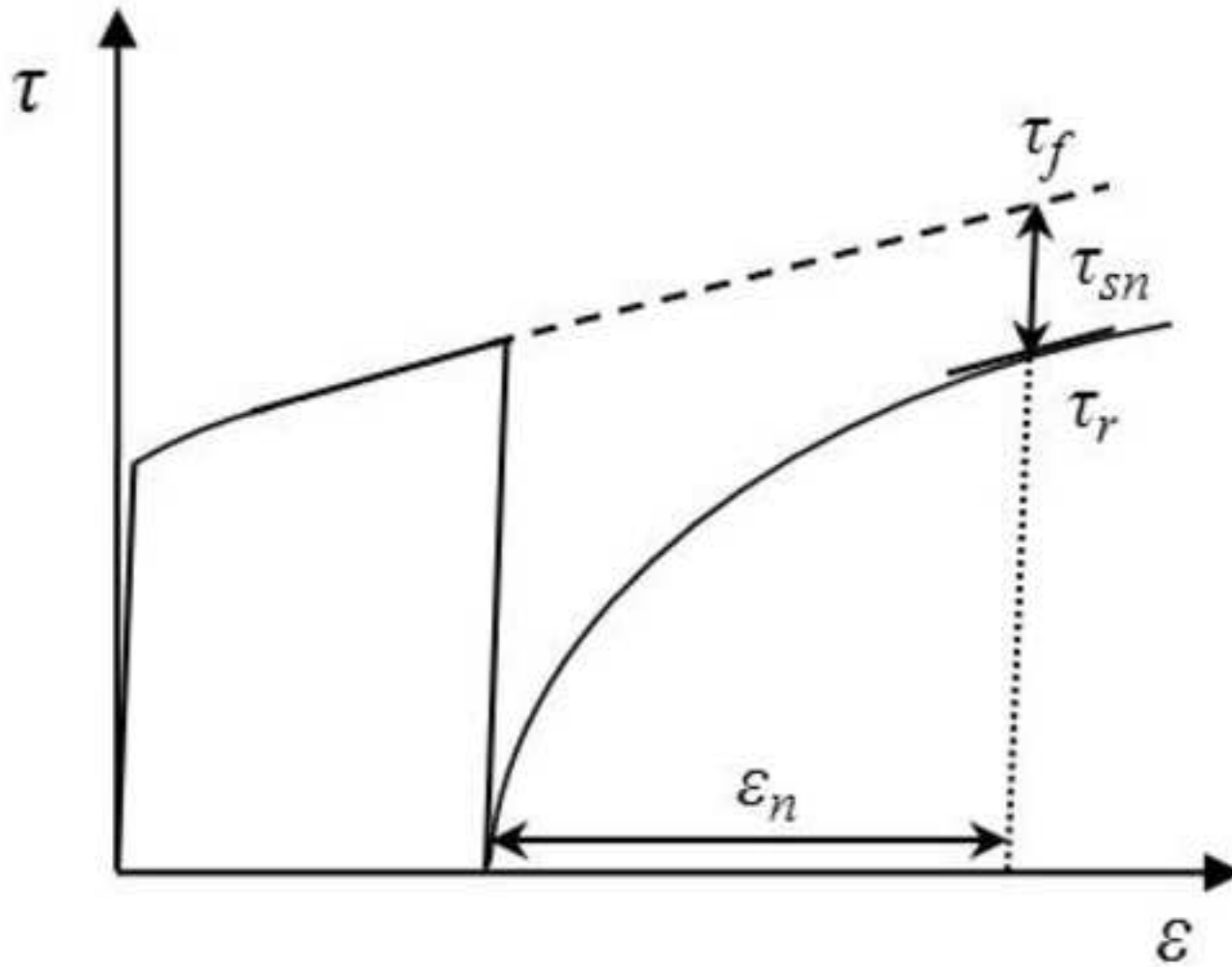
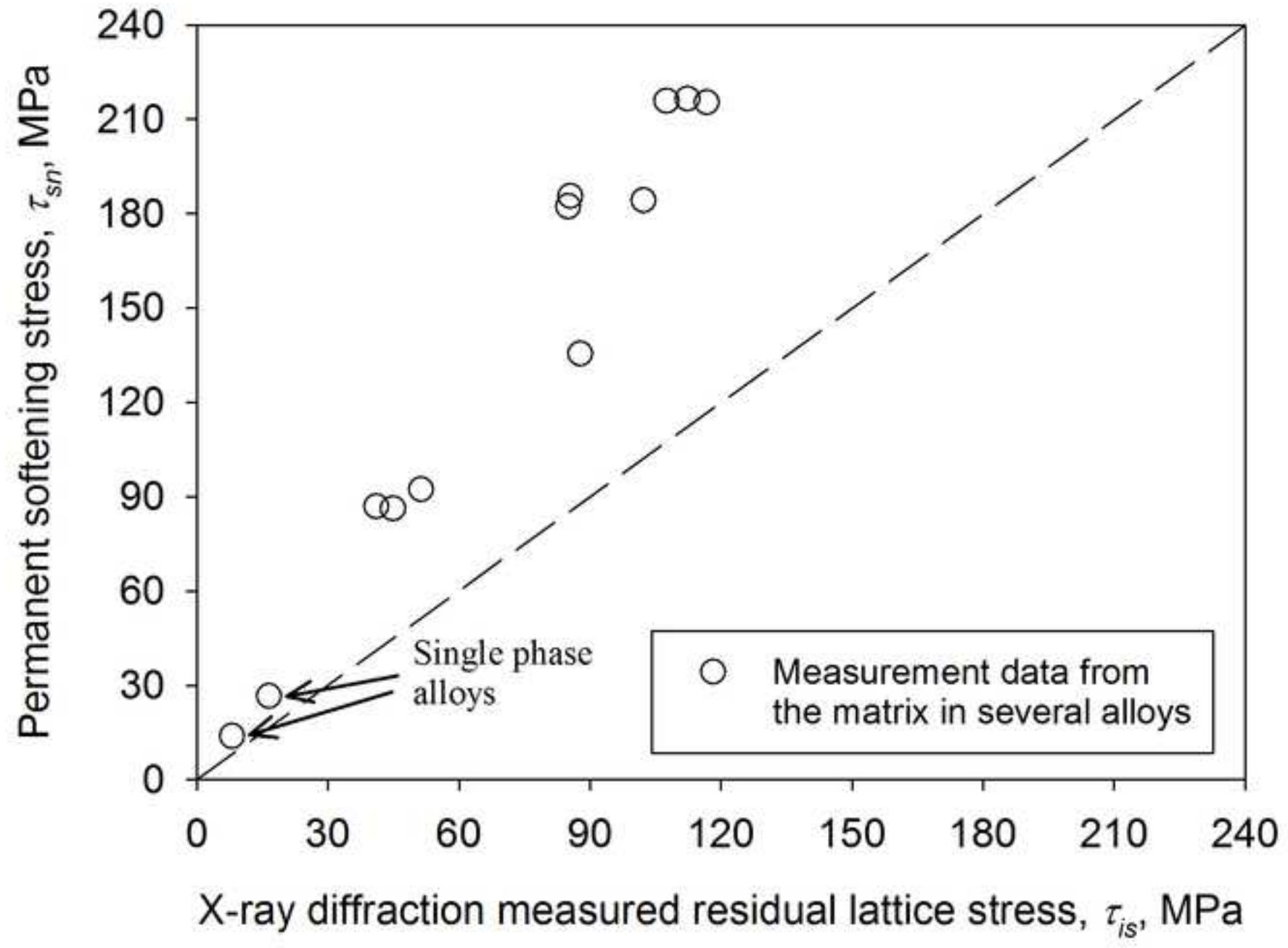


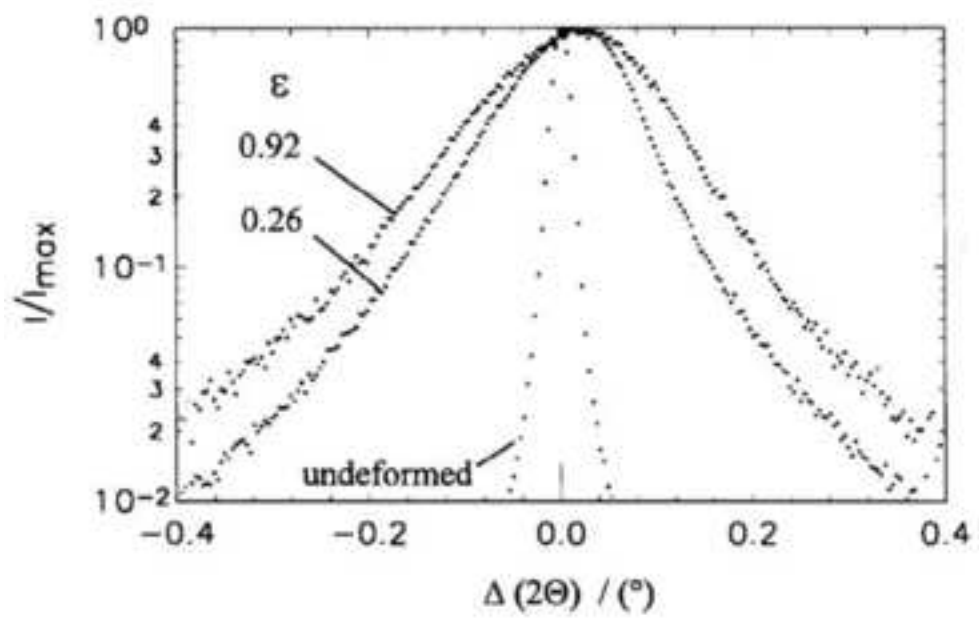
Figure 16 (a)
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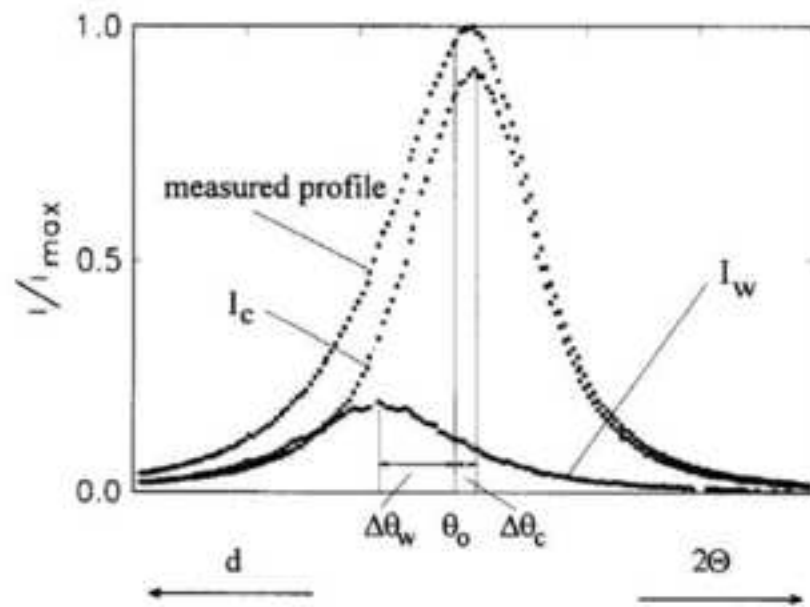
(a)

Figure 16 (b)
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(a)



(b)

Figure 18
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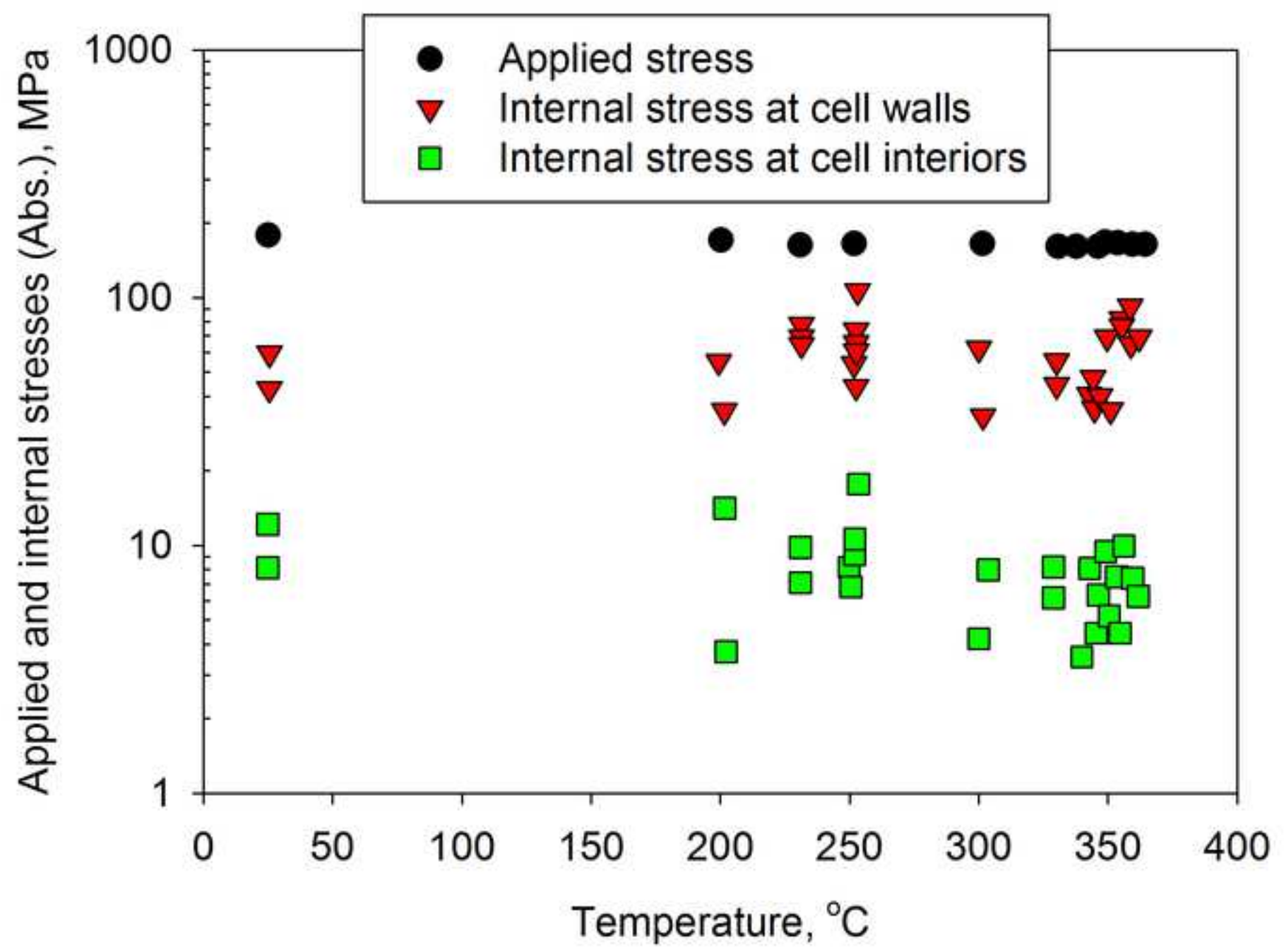
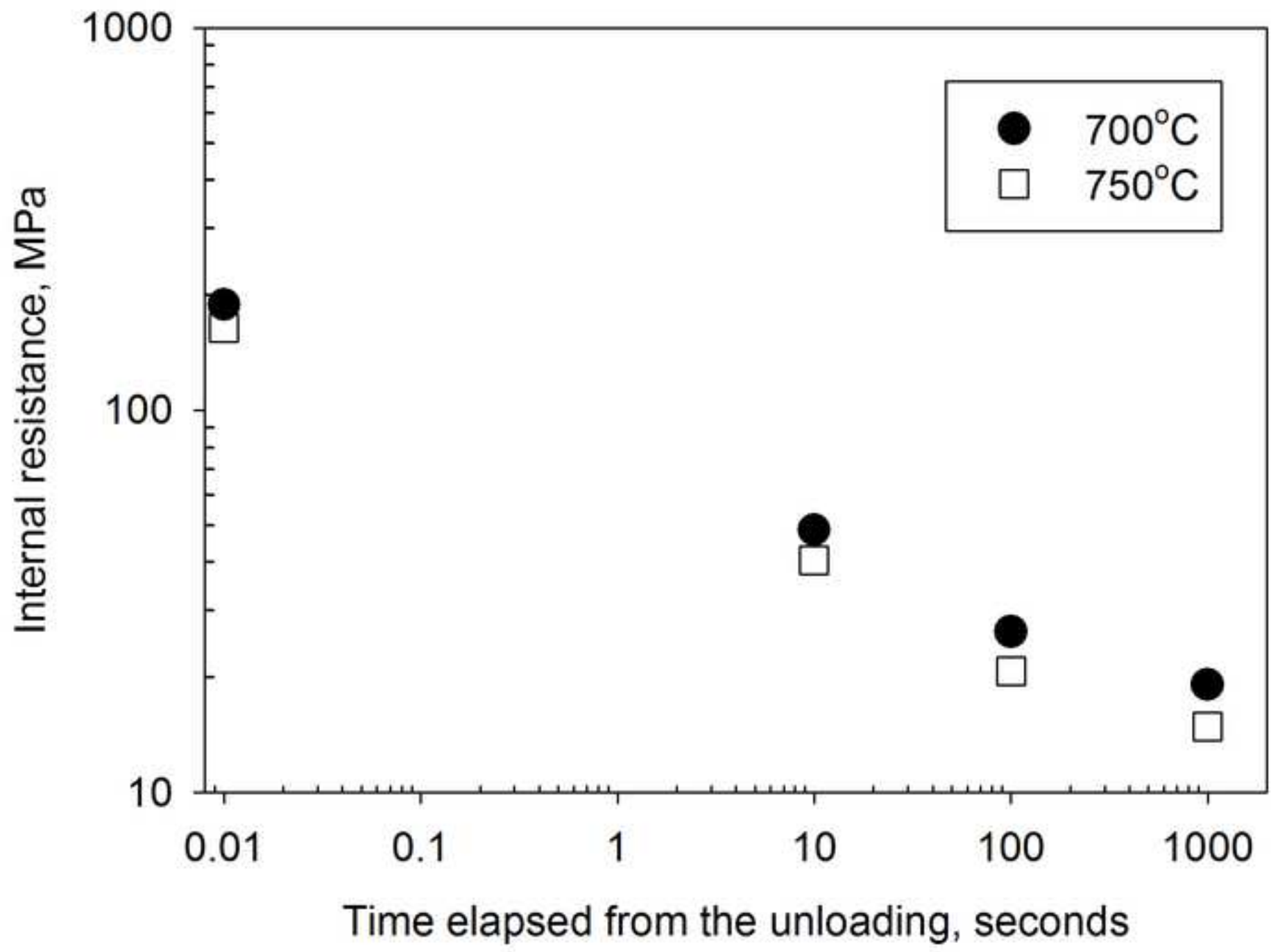
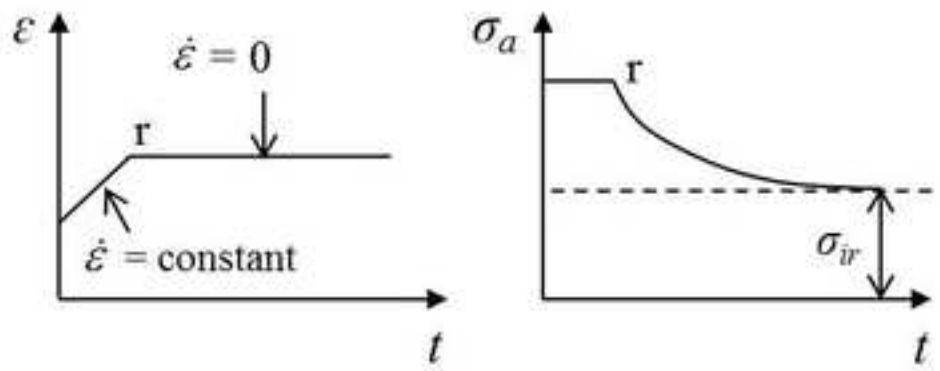
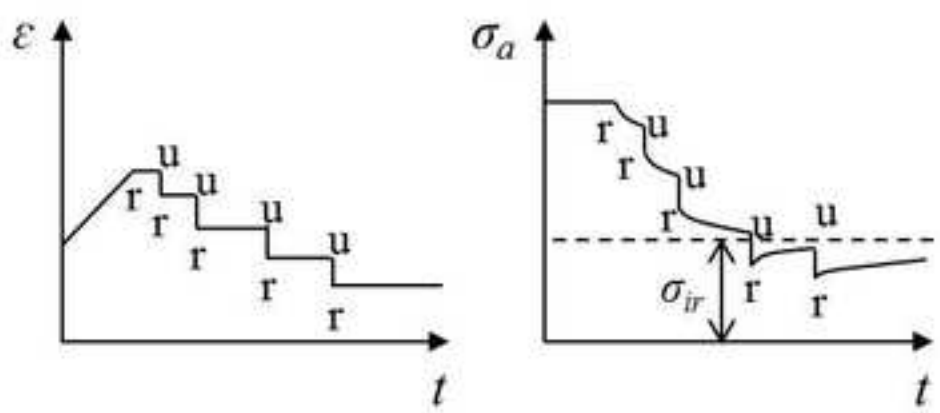


Figure 19
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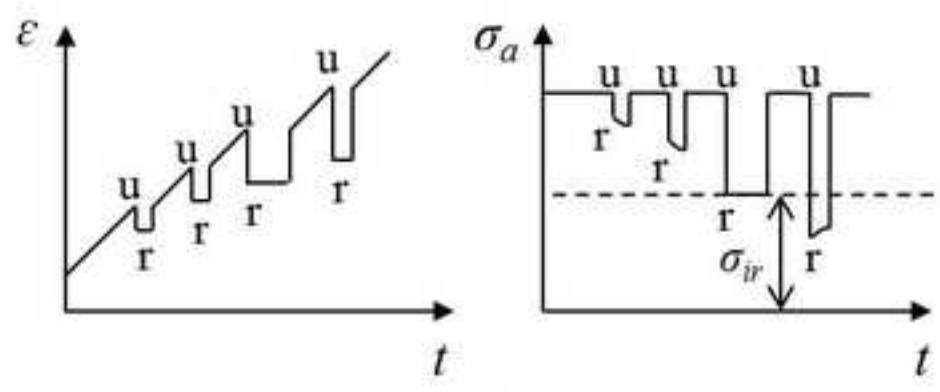




(a)



(b)



(c)

Figure 21
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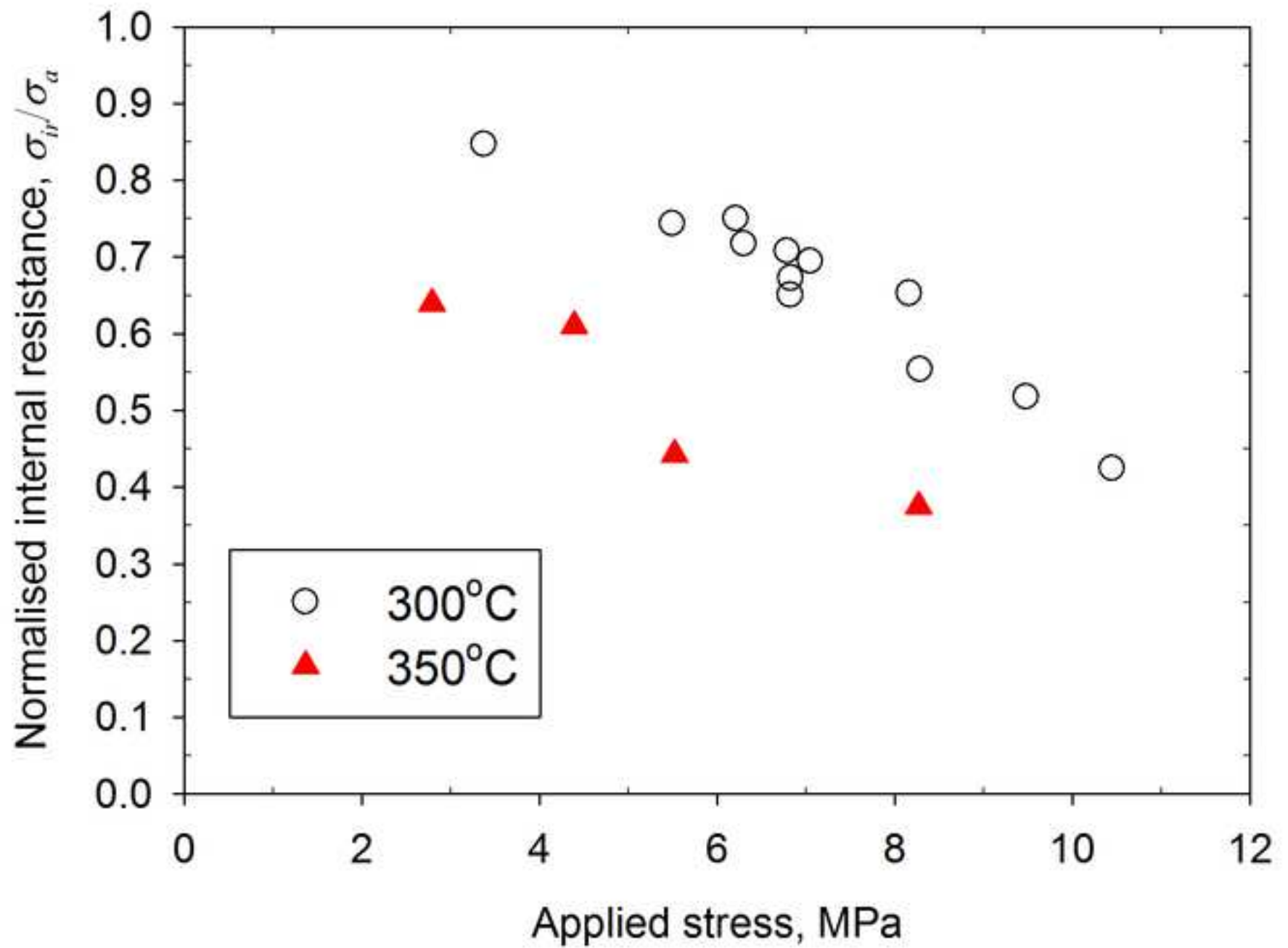


Figure 22
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