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1 **Social motivation and conflict resolution tactics as potential building**  
2 **blocks of sociality in cichlid fishes**

3  
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16 **ABSTRACT**

17 Even closely related and ecologically similar cichlid species of Lake Tanganyika exhibit an impressive  
18 diversity of social systems, and therefore these fishes offer an excellent opportunity to examine the  
19 evolution of social behaviour. Sophisticated social relationships are thought to have evolved via a  
20 building block design where more fundamental social behaviours and cognitive processes have been  
21 combined, incrementally modified, and elaborated over time. Here, we studied two of these putative  
22 social building blocks in two closely related species of cichlids: *Neolamprologus pulcher* a group-  
23 living species, and *Telmatochromis temporalis*, a non-grouping species. Otherwise well matched in  
24 ecology, this pair of species provide an excellent comparison point to understand how behavioural  
25 processes may have been modified in relation to the evolution of sociality. Using social assays in both  
26 the laboratory and in the field, we explored each species' motivation to interact with conspecifics, and  
27 each species' conflict resolution tactics. We found that individuals of the group living species,  
28 *Neolamprologus pulcher*, displayed higher social motivation and were more likely to produce  
29 submission displays than were individuals of the non-grouping species, *Telmatochromis temporalis*.  
30 We argue that the motivation to interact with conspecifics is a necessary prerequisite for the emergence  
31 of group living and that the use of submission reduces the costs of conflict and facilitates the  
32 maintenance of close social proximity. These results suggest that social motivation and conflict  
33 resolution tactics are associated with social complexity, and that these behavioural traits may be  
34 functionally significant in the evolution and maintenance of sociality.

35

36 *Keywords:* cooperative breeding; sociality; group living; aggression; submission; Lake Tanganyika

## 37 **1. Introduction**

38           Sociality is not a single cohesive unit of behaviour, but instead is comprised of a diverse set of  
39 socially relevant actions and cognitive processes (Goodson, 2013). Complex social behaviours are  
40 thought to have evolved from a combination of basic behavioral units. Examples include the tendency  
41 to approach conspecifics, recognition and discrimination of individuals, and the use of tactics to resolve  
42 conflicts at minimal cost (Soares et al., 2010). Small behavioural changes, mediated by subtle  
43 alterations in the underlying physiological machinery, are gradually added and modified to form  
44 complex social phenotypes (Goodson, 2005; Donaldson and Young, 2008; Soares et al., 2010;  
45 O'Connell and Hofmann, 2011; Zayad and Robinson, 2012). Therefore, in order to understand the  
46 emergence of complex social behaviour and group living lifestyles, it is necessary to understand how  
47 these basic behavioural building blocks have changed in form and function during the divergence of  
48 social systems.

49           The explosive radiation of the African cichlid fishes has generated an impressive diversity of  
50 species with considerable variation in morphology, ecology, and behaviour and has made this family a  
51 classic ecological, evolutionary and behavioural model system (Meyer et al., 1994; Barlow, 2000;  
52 Kocher, 2004). The lamprologine cichlid tribe of Lake Tanganyika, East Africa, shows particularly  
53 remarkable diversity in social behaviour among its more than 80 species (Kuwamura, 1986; Konings,  
54 1998; Day et al., 2007; Sturmbauer et al., 2010). As a result, this group offers excellent opportunities  
55 for comparative social behaviour research. Of special note, the lamprologine cichlids count amongst  
56 their ranks all known cooperatively breeding fishes (Taborsky and Limberger, 1981; Taborsky, 1994;  
57 Heg and Bachar, 2006). These cooperative species live in relatively permanent social groups in which  
58 non-breeding subordinates assist the dominant breeding pair in their reproductive efforts. A high level  
59 of social complexity characterizes cooperative breeding societies, with group members that interact  
60 frequently and have individualized relationships (Freeberg et al., 2012; Dey et al., 2013). Cooperative  
61 breeding has emerged multiple times among the lamprologine cichlids and is derived from the pair

62 breeding system typical for cichlids (Dey et al., in review), in which adult fish are generally intolerant  
63 of other conspecifics other than their own mate (Kuwamura, 1986; Desjardins, et al., 2008).

64 In order to better understand the behavioural building blocks of sociality, we investigated  
65 socially relevant behavior in two closely related lamprologine cichlids, *Neolamprologus pulcher* and  
66 *Telmatochromis temporalis* (Figure 1). These two species split approximately 2 million years ago (Day  
67 et al., 2007; Sturmbauer et al., 2010) and continue to share a similar ecology, but have diverged  
68 dramatically in their social system. *Neolamprologus pulcher* are cooperative breeders that live in  
69 permanent social groups consisting of a single dominant breeding pair, and an average of 5-7  
70 subordinate fish that act as helpers at the nest, assisting with brood care, territory maintenance and  
71 defence (Taborsky and Limberger, 1981; Taborsky, 1984; Balshine-Earn et al., 1998; Balshine et al.,  
72 2001; Heg et al., 2005; Wong and Balshine, 2011). These subordinate group members are often not  
73 closely related to the dominant breeding pair (Stiver et al., 2004, 2005, Hellman et al., 2015). In  
74 contrast, *T. temporalis* never form groups (Mboko and Khoda, 1999; Heg and Bachar, 2006). However,  
75 both species live in the same areas of the rocky littoral zone in Lake Tanganyika and share similar  
76 habitat requirements and predation regimes (Kuwamura, 1986; Brichard, 1989; Konings, 1998).  
77 Furthermore, both cichlids are territorial substrate spawners with biparental care (Kuwamura, 1986).  
78 Both species are small bodied (<80 mm standard length) and readily adapt to the laboratory  
79 environment.

80 Using these two species (one group living and one not), we measured and compared behaviours  
81 hypothesized to be building blocks of sociality (Soares et al., 2010). Using newly collected data from  
82 the laboratory and the field, we examined social motivation, the tendency to value interactions with  
83 conspecifics compared to other alternatives. Additionally, by reanalyzing previously published data, we  
84 tested conflict resolution tactics that are used to settle an agonistic interaction. We predicted that  
85 relative to the non-grouping *T. temporalis*, the group-living *N. pulcher* would display greater social  
86 motivation and make greater use of submissive behaviour, a conflict resolution tactic that facilitates

87 group formation and maintenance (Bergmüller and Taborsky, 2005). Through this set of studies, we  
88 hoped to gain insight into some of the basic behavioural building blocks that make up a highly social  
89 phenotype, and broaden our understanding of the evolution and maintenance of sociality.

90

## 91 **2. Methods**

### 92 *2.1. Measurement of social behaviour in the field*

93 Field based behavioural studies were conducted at our long-term study site located at  
94 Kasakalawe Bay (8°46'52" S, 31°5'18" E) in Lake Tanganyika, Zambia. This site is characterized by  
95 mixture of sand and cobble substrate with a gentle descent to depth (for detailed descriptions of the  
96 study site, see Balshine-Earn et al., 1998; Balshine et al., 2001; Stiver et al., 2005; Bergmüller et al.,  
97 2005; Dierkes et al., 2005; Heg et al., 2005). We performed underwater behavioural observations at  
98 depths of 8-12 m using SCUBA. All of the wild fish included in the current study were observed  
99 between October-December 2008. To control for ecological conditions, 10 *T. temporalis* territories and  
100 10 *N. pulcher* territories were located such that pairs of territories (one belonging to each species) were  
101 within 2 m of each other and were observed on the same day. Two 10 min focal observations (one in  
102 the morning and one in the afternoon) were conducted on each breeder in each selected territory.  
103 During the observation periods, we recorded all behaviours performed by and directed towards the  
104 focal individual. Dominant breeding individuals are easily identified for both species, as the dominants  
105 are typically the largest individuals in the *N. pulcher* group and the only fish in *T. temporalis* territories  
106 (Wong and Balshine, 2011). Observed *N. pulcher* groups ranged in size from 4 to 9 adult sized  
107 individuals (mean  $\pm$  S.E.M. =  $6 \pm 0.4$ ). The behaviours recorded are detailed in published ethograms for  
108 *N. pulcher* and *T. temporalis* and were based on extensive behavioural observations of males and  
109 females of both species in the field and in the laboratory (Sopinka et al., 2009; Hick et al., 2014;  
110 Reddon et al., 2015). Briefly, behavioural acts and displays recorded included aggressive, submissive,  
111 affiliative, workload, and self-maintenance behaviours. Aggressive behaviours included head-down

112 postures and frontal displays, as well as overt aggressive acts with physical contact, such as chases,  
113 rams, bites, or mouth wrestles. Submissive behaviours are typically produced in response to aggressive  
114 behaviour from another individual, and consist of head-up submissive postures and quivering  
115 submission displays (Reddon et al., 2015). Affiliative behaviors are spontaneously produced towards  
116 another individual, and include behaviours such as swimming closely in parallel, and soft touches.  
117 Workload behaviors included territory defence, maintenance and offspring care. Finally, self-  
118 maintenance behaviors such as feeding and scraping were also recorded. The frequencies of these  
119 behaviors from the morning and afternoon observations were averaged for each individual. From these  
120 field observations on wild fishes, we calculated the following measures of social investment: 1) total  
121 social behaviour performed (the sum of all aggressive, submissive, and affiliative behaviours  
122 performed by each focal individual); and 2) proportion of social behaviour performed (total social  
123 behaviour divided by the sum of all behaviours performed). To normalize this field data by the  
124 opportunity for social interactions, we divided the number of interactions observed by group size  
125 (always  $n=2$  for *T. temporalis* breeders but variable for the *N. pulcher* breeders,  $n = 4-9$ ).

126

## 127 2.2. Measurement of laboratory behaviour

128 Laboratory-based behavioural studies were conducted between May-November 2012 at  
129 McMaster University in Hamilton, Ontario, Canada. The *N. pulcher* and *T. temporalis* used were  
130 laboratory-reared descendants of wild-caught fish. All fish were sexually mature but had not yet  
131 reproduced. The fish used were measured, weighed, sexed by examination of the external genital  
132 papillae, and each was given a unique dorsal fin clip for identification on the day prior to the behavioral  
133 trial. These fin clips do not affect the behaviour of the fish and grow back within a week or two (Stiver  
134 et al., 2004). Focal fish of each species were housed in 189 L aquaria in mixed sex groups of 8 to 12  
135 individuals (approximately equal numbers of males and females). Both housing and test aquaria  
136 contained 3 cm of coral sand substrate, a water filter, heater, and a thermometer. Housing aquaria also

137 contained 2 flowerpot halves for use as shelters. The water temperatures of all aquaria were held at 26  
138  $\pm 2^{\circ}\text{C}$ . All fish were fed dried prepared cichlid food *ad libitum* six times per week, and kept on a 13:11  
139 light:dark cycle.

140

### 141 2.3. Social approach assay

142 To assess the basic social motivation of each species, fish were placed in a 189 L experimental  
143 tank (Figure 2). Sample sizes were  $n=20$  individuals per species, with equal numbers of males and  
144 females tested. A conspecific stimulus fish, always of the same sex, and unfamiliar to the focal fish  
145 (i.e., from a different housing aquarium) was used. This stimulus fish was between 5-20% larger by  
146 mass than the focal individual (Reddon et al., 2011a). The focal fish was initially placed within a  
147 perforated transparent cylinder (11 cm diameter) in the center of the tank for 10 minutes. During this  
148 acclimation period the focal fish could see an unfamiliar conspecific in an identical cylinder on one  
149 side of the aquarium, and a shelter (an opaque black PVC tube; 6.5 cm diameter x 10 cm length) on the  
150 other side of the aquarium (Figure 2a). These tubes are readily used as shelter and nesting sites by both  
151 species and fish will vigorously fight for access to them (Reddon et al., 2011b; Hick et al., 2014). As  
152 result, this test creates a conflict between two potentially rewarding stimuli, the opportunity to interact  
153 with an unfamiliar conspecific and access to a desirable shelter. Placement of the unfamiliar fish versus  
154 the shelter on the left or right side of the apparatus was determined randomly by coin toss. Following  
155 the 10 minutes of acclimation, the central transparent cylinder was lifted remotely by means of a pulley  
156 system, releasing the focal fish (Figure 2b). During the 15 minute trial, we then measured social  
157 motivation versus motivation to use the shelter in three different ways: 1) initial preference or approach  
158 (i.e., whether the fish first approached the conspecific or the shelter); 2) time spent *near* each stimulus  
159 (i.e., within 10 cm of either the conspecific or the shelter; a distance that is approximately equal to 2  
160 body lengths of the average focal fish); and 3) time spent *in association* with each stimulus (i.e.,  
161 interacting across the barrier with the conspecific in the transparent cylinder or using the shelter). The



162 interactions with the stimulus fish consisted primarily of rapid swimming into the cylinder directed at  
163 the stimulus fish in an apparent effort to access the other fish.

164

#### 165 2.4. Conflict resolution assay

166 To assess how the two cichlid species differed in terms of their conflict resolution behaviour,  
167 we reanalyzed data initially presented in Hick et al., (2014) by focusing on conflict resolution tactics  
168 between unfamiliar fish. Full methodological details can be found in Hick et al., (2014), however, in  
169 brief: Focal fish were placed with a same-sex conspecific in a 38 L experimental aquarium (Figure 3)  
170 and allowed to compete over a shelter for 30 minutes. The fish were given a 1-hour acclimation period  
171 prior to the interaction during which they were restricted to a third of the experimental aquarium on  
172 opposite ends of the tank and were unable to see the middle or other end chamber. Solid opaque  
173 dividers separated the fish from the middle chamber of the aquarium, and from each other. An opaque  
174 black tube, identical to the one used in the social approach assay, was placed into each third of the test  
175 apparatus (Figure 3a). The solid opaque dividers and the two end shelters were removed after the  
176 acclimation period, allowing the two fish to interact and compete over the remaining shelter in the  
177 center third of the tank (Figure 3b). This staged sequence reliably elicits a resource contest in both  
178 species (Desjardins et al., 2005; Taves et al., 2009; Reddon et al., 2011b). Competitors were always  
179 unfamiliar fish that came from different housing aquaria. We ensured that one fish was always 5-20%  
180 heavier than its competitor, as this size difference reliably elicits contest behaviour but also allows the  
181 eventual winner to be predicted *a priori* (Reddon et al., 2011b). In total, 35 pairs (i.e., 70 fish) were  
182 used, with 9 male pairs for each species, 9 pairs of female *N. pulcher* and 8 pairs of female *T.*  
183 *temporalis*. Trials were scored live. All aggressive and submissive behaviours performed by both the  
184 eventual loser and the eventual winner were recorded during each 30 min trial. We assigned loser status  
185 to any fish that ceased aggressing against its rival and displayed submission or fled from the other fish  
186 three times in succession (Reddon and Hurd 2009; Reddon et al., 2011b). Because acts of submission

187 and fleeing are commonly observed in direct response to aggressive behaviour, we divided the rates of  
188 submission and fleeing by the number of aggressive acts received (following the measures used in  
189 Reddon et al., 2012; O'Connor et al., 2013).

190

### 191 *2.5. Statistical analyses*

192 Statistical analyses were conducted using IBM SPSS Statistics Version 23. We compared the  
193 species in their social motivation in the field using generalized linear mixed models fitted to a gamma  
194 distribution with a log link, appropriate for positively skewed values. We included species and sex as  
195 fixed factors and breeding pair identity as a random factor. Species differences in social motivation and  
196 conflict resolution tactics in the laboratory were examined using ANOVA for continuous dependent  
197 variables. We included species, sex and their interaction as fixed factors in these models. In order to  
198 assess which stimulus the focal fish approached first in our laboratory social motivation assay, we  
199 conducted a binary logistic regression with first stimulus visited (shelter vs. conspecific) as the  
200 response variable, and species, sex and their interaction as fixed factors. The relative use of fleeing  
201 compared to submission as a conflict resolution tactic for each species was further explored using  
202 ANCOVA with submission per aggressive act received set as the response variable, flees per  
203 aggressive act received as continuous covariate with species, sex and their interaction as fixed factors.  
204 We checked the residuals from all reported models for adherence to model assumptions and  
205 transformed the raw data where appropriate (indicated below). In the majority of our models, sex was  
206 not a statistically significant factor ( $p > 0.05$ ), however we do note below those cases in which males  
207 and females showed different patterns of behaviour.

208

### 209 *2.6. Ethical note*

210 All laboratory trials were continually monitored. Following the recommendations of  
211 Huntingford (1984) we minimally handled each fish, and limited the contests to a short duration. The

212 fish were not overtly stressed by the contest and no signs of injury (torn fins or missing scales) were  
213 observed during any of the trials. Had any such injuries been sustained, the trial would have been  
214 stopped immediately. Neither species is threatened, nor endangered, and are both extremely abundant  
215 at our study site. The methods described for animal housing, handling and observations in the  
216 laboratory and in the field were assessed and approved by both the Animal Research Ethics Board of  
217 McMaster University (Animal Utilization Protocol numbers 06-10-59 and 10-11-71) and the Zambian  
218 Department of Fisheries. All procedures adhered to Canadian and Zambian laws, and the guidelines of  
219 the Canadian Council for Animal Care and the Animal Behavior Society/Association for the Study of  
220 Animal Behaviour.

221

### 222 **3. Results**

#### 223 *3.1. Social motivation*

224 In the wild, *N. pulcher* were involved in approximately 3x more social interactions than *T.*  
225 *temporalis* (Generalized linear mixed model:  $F_{1,36} = 13.91$ ,  $p = 0.001$ ; Figure 4a). Females of both  
226 species performed more total social behaviours than did males (Generalized linear mixed model:  $F_{1,36} =$   
227  $18.84$ ,  $p < 0.001$ ). Social interactions also made up a higher proportion of all behaviours in the group  
228 living species when compared with the non-grouping species (Generalized linear mixed model:  $F_{1,36} =$   
229  $4.63$ ,  $p = 0.038$ ; Figure 4b), demonstrating that *N. pulcher* breeders spend more of their time budget  
230 socializing. After controlling for group size, dominant *N. pulcher* did not show more social interactions  
231 than *T. temporalis* (Generalized linear mixed model:  $F_{1,36} = 1.68$ ,  $p = 0.20$ ; Figure 4c). For complete  
232 results, see Supplemental Table 1.

233 In the laboratory assessment of social motivation, there was no clear tendency for fish to  
234 approach the conspecific or the shelter first, nor was there a species difference in which stimulus was  
235 approached first (Binary logistic regression: Wald  $\chi^2 = 1.96$ ,  $df = 1$ ,  $p = 0.16$ , Figure 5a). However, there  
236 was a sex difference, with males of both species more likely to approach the fish first while females

237 were more likely to approach the shelter first (Binary logistic regression: Wald  $\chi^2 = 6.15$ ,  $df = 1$ ,  $p =$   
238  $0.013$ ). Both species spent about the same amount of time within 10 cm of the conspecific (ANOVA:  
239  $F_{1,36} = 1.16$ ,  $p = 0.29$ , Figure 5b). However, members of the group living species (*N. pulcher*) spent  
240 more time interacting with the conspecific than did individuals of the non-grouping species (*T.*  
241 *temporalis*; ANOVA:  $F_{1,36} = 5.53$ ,  $p = 0.024$ ; Figure 5c). For complete results see Supplemental Table  
242 2.

243

### 244 3.2. Conflict resolution

245 In the staged contests over a shelter in the laboratory, we found that *N. pulcher* fights contained  
246 fewer aggressive acts relative to the contests among *T. temporalis* (Log transformed data; ANOVA:  
247  $F_{1,31} = 14.87$ ,  $p = 0.001$ ; Figure 5a). The *N. pulcher* were also far more likely to use submission  
248 displays to terminate a resource contest with an unfamiliar conspecific (Log transformed data,  
249 ANOVA,  $F_{1,31} = 8.56$ ,  $p = 0.006$ ; Figure 5b), while *T. temporalis* were more likely to flee (ANOVA,  
250  $F_{1,31} = 4.37$ ,  $p = 0.045$ ; Figure 5c). Across both species, there was a strong negative relationship  
251 between the individual tendency to perform submission displays and the tendency to flee from their  
252 opponent (ANCOVA,  $F_{1,30} = 16.44$ ,  $p < 0.001$ ; Figure 5d). For complete results see Supplemental Table  
253 3.

254

## 255 4. Discussion

256 By studying two closely related species of cichlids (Day et al., 2007; Sturmbauer et al., 2010)  
257 that are well matched in terms of their habitat requirements, diet, and ecology, but that differ in their  
258 social system (Kuwamura, 1986; Heg and Bachar, 2006), we can examine how behavioral processes  
259 and cognition may have diversified in relation to sociality. We found that in both the laboratory and the  
260 field, individuals of the group-living species, *N. pulcher*, are more motivated to interact with

261 conspecifics. In the laboratory, *N. pulcher* also use submission more frequently to end conflicts when  
262 compared to the non-grouping *T. temporalis*.

263         In the wild, *N. pulcher* have more social interactions than the less social *T. temporalis*. Thus,  
264 individuals of the more social species invest a greater proportion of their time budget engaged in social  
265 interactions than the non-grouping *T. temporalis*. However, it can be argued that the greater number of  
266 social interactions observed in wild *N. pulcher* are due at least in part to the greater opportunity to  
267 interact with conspecifics because of the group living situation. Indeed, when we controlled for group  
268 size, the *N. pulcher* no longer show significantly more social interactions per group member (4-9  
269 individuals) than did the *T. temporalis* (always 2 individuals). However, the pattern of the results  
270 suggests that *N. pulcher* may interact more than *T. temporalis* after controlling for group size, but a  
271 larger sample size is needed to resolve this issue. Controlling for group size in this way is also not  
272 without caveats, given that interactions within *N. pulcher* groups are strongly size dependent, and  
273 individuals that are very different in body size rarely interact (Dey et al. 2013). Larger groups are more  
274 likely to contain numerous small helpers that seldom interact with the large dominant individuals that  
275 we observed, therefore potentially creating the misleading impression that fish in larger groups interact  
276 less after accounting for their apparent opportunity to do so. We argue that the fact that *N. pulcher*  
277 spend a greater proportion of their time interacting socially in the face of other competing motivations  
278 (e.g., foraging, territory maintenance etc.) than do the non-grouping *T. temporalis* does support the  
279 notion that *N. pulcher* are more socially motivated. Concordant with this argument, *N. pulcher* spent  
280 more time interacting with a conspecific compared to *T. temporalis* during a standardized preference  
281 trial in the laboratory. The tendency to interact with conspecifics is among the most fundamental  
282 aspects of social behavior. Without the motivation to remain close to other individuals, no other more  
283 complex social interactions are possible (Thompson and Walton, 2004; Soares et al., 2010; Goodson,  
284 2013).

285           The conflict resolution tactics used by *N. pulcher* are likely to aid in the formation and  
286 maintenance of stable social groups. *Neolamprologus pulcher* were more prone to use submission  
287 displays than were *T. temporalis*. Conversely, *T. temporalis* were much more likely to flee from a  
288 conflict. Our laboratory results indicate that fleeing and submission may be alternative tactics for  
289 ending a conflict, and the use of these different approaches to giving-up appear to trade off against each  
290 other in both species. Submissive behaviour in general allows competitors to settle a conflict, minimize  
291 the costs of fighting (e.g., energy, time and injury risk Mastumura and Hayden, 2006), and can  
292 facilitate the establishment of a stable dominance relationship (Drews, 1993), all while allowing the  
293 individuals to remain in the same spatial location after the hostilities cease (Ligon, 2014). In contrast,  
294 fleeing creates a physical separation between the competitors and thus may be antithetical to the  
295 formation of spatially delimited social groups. When animals are limited in their mobility, it can select  
296 for the use of submission displays because of the reduced ability to flee (Mastumura and Hayden, 2006;  
297 Ligon, 2014). Restrictions on dispersal unrelated to mobility per se, for example because of habitat  
298 saturation, or predation risk may similarly constrain the ability for animals to flee from a conflict.  
299 Dispersal into a new territory is a dangerous activity for both *N. pulcher* and *T. temporalis*. The  
300 additional burden of establishing social relationships and achieving acceptance within a new social  
301 group may make dispersal away from a current territory a particularly daunting challenge in *N. pulcher*  
302 (Balshine et al., 2001; Stiver et al., 2005; Hellmann et al., 2015a, 2016). Thus, a group living lifestyle  
303 in and of itself incentivizes the use of submissive displays in *N. pulcher* (Heg et al., 2004, Bergmüller  
304 et al., 2005, Arnold & Taborksy 2010). Interestingly, large male *N. pulcher* from outside of the group  
305 will occasionally challenge the breeder male for his reproductive position (O'Connor et al., 2015a). In  
306 these breeder male contests, *N. pulcher* never show submissive behaviour and always flee from their  
307 opponent to terminate the contest (O'Connor et al., 2015a). So when there is no social incentive to  
308 remain in the same location, *N. pulcher* will flee when losing a fight, similar to *T. temporalis*.

309 Both *N. pulcher* and *T. temporalis* show very similar repertoires of agonistic displays (Hick et  
310 al., 2014). For example, both species, indicate aggressive intention by taking on a head-down posture,  
311 while submission is signaled through the opposite pose, with the fish's head up exposing its ventral  
312 aspect (Hick et al., 2014; Reddon et al., 2015). The contrasting forms of submissive and aggressive  
313 postures in these species appear to conform to Darwin's principle of antithesis, which predicts that  
314 signals that are designed to elicit opposite responses from their receivers should evolve towards  
315 opposite forms (Darwin, 1872; Hurd et al., 1995). The fact that both *N. pulcher* and *T. temporalis* show  
316 a similar submission postures implies that this behaviour was likely present in their common ancestor  
317 and thus did not emerge specifically as an adaptation to group living in *N. pulcher*. Group living may  
318 have selected for an increased use of this display to deal with frequent and inescapable social conflicts  
319 in *N. pulcher*, although additionally or alternatively, these differences between the species may also be  
320 partly or wholly due to experience (Arnold and Taborsky, 2010; see below). Submission is a  
321 metabolically costly behaviour and apart from maintenance behaviours, is the largest component of the  
322 time-energy budget of subordinate *N. pulcher* (Grantner and Taborsky, 1998; Taborsky and Granter,  
323 1998). The greater use of submission by *N. pulcher* than *T. temporalis* suggests an up-regulation in the  
324 use of these displays has occurred in *N. pulcher*, either through evolved changes or as result of  
325 feedback from social experience. It is possible the establishment of submissive signaling within a  
326 species potentiates group living by reducing the costs of frequent social interactions. Therefore the  
327 presence of well developed submissive signaling may be an antecedent to the emergence of group  
328 living. Studies aimed at testing this hypothesis through experimentation and further comparative work  
329 within a phylogenetic framework will be a productive area for future investigation.

330 Submissive behavior is known to have an important function in promoting hierarchy formation  
331 and stabilization in other social species (e.g., Schenkel, 1967; Drews, 1993; Dugatkin, 1997, 2001;  
332 Sapolsky, 2005). In the group living *N. pulcher*, submissive behaviour is performed primarily by  
333 subordinate individuals and is directed towards those above them in the dominance hierarchy,

334 suggesting that submission displays play a role in the maintenance of the hierarchy in this species (Dey  
335 et al., 2013). Our data link different tactics in conflict resolution with the social system, however, the  
336 causal relationship remains uncertain. Submissive behavior may be a necessary prerequisite for group  
337 living, or appropriate submissive behavior may develop through ontogeny in the group living species as  
338 a consequence of frequent social interactions (see Arnold and Taborsky, 2010; Taborsky et al., 2012b;  
339 Taborsky and Oliveira 2012). In general, social behaviour is a very flexible trait. It is possible that the  
340 differences in social behaviour that we observed could have been caused by different social  
341 environments experienced through ontogeny, rather than adaptations to sociality per se. However, we  
342 do note that the developmental environment for the fishes in our laboratory studies was similar for both  
343 species. Young of both species were raised in single species stock tanks, without predators or  
344 established social groups. Fish were held in mixed sex groups of 8 to 12 individuals (approximately  
345 equal numbers of males and females) for a minimum of two weeks prior to study in order to minimize  
346 species differences due to recent social experience. Further experimental manipulation of the  
347 developmental environment may allow these potential relationships to be disentangled, and help to  
348 establish the degree to which the species differences we detected are due to evolved differences in  
349 social tendencies.

350         The nonapeptide hormones oxytocin and vasopressin (known as isotocin and vasotocin in  
351 teleost fish) are involved in the regulation of social motivation in fish (Thompson and Walton, 2004,  
352 2011; Braida et al., 2011; Reddon et al., 2014), mammals (Lukas et al., 2011; Mooney et al., 2014), and  
353 birds (Goodson et al., 2009; Goodson and Kingsbury, 2011; Goodson et al., 2012) and thus these  
354 neurohormones may be key proximate substrates of the building blocks of sociality (Goodson, 2013).  
355 Recent work in fishes has implicated both of these nonapeptide hormones in the production of  
356 submissive behaviour in fish (Godwin and Thompson, 2012). In the mudskipper, *Periophthalmus*  
357 *modestus*, the expression of vasotocin mRNA is greater in the brains of submissively behaving  
358 subordinate fish compared to dominant individuals (Kagawa et al., 2013). Similarly, the expression of



359 vasotocin in the parvocellular region of the preoptic area of the hypothalamus is greater in subordinate  
360 than in dominant males of the African cichlid *Astatotilapia burtoni*, and greater vasotocin gene  
361 expression in this brain area correlates with greater use of submissive behaviour in this species  
362 (Greenwood et al., 2008). When *N. pulcher* subordinates housed in naturalistic social groups in the  
363 laboratory were given an exogenous administration of isotocin, they increased submissive behaviour  
364 (Reddon et al., 2012). This change in behaviour was specific to submission displays, as the treated fish  
365 did not show any changes in their aggressive or affiliative behaviour and did not differ compared to  
366 control animals. Hellmann et al., (2015b) repeated this experiment on free-living wild fish in Lake  
367 Tanganyika and again found that exogenous isotocin increased the expression of submissive behaviour  
368 in *N. pulcher*. *Neolamprologus pulcher* have a higher expression of the isotocin gene in their brains  
369 than do *T. temporalis* (O'Connor et al., 2015b, 2016). Together, these data suggests that evolution may  
370 have acted upon the isotocin system during the divergence of social behaviour in the lamprologines,  
371 possibly in part because of its role in promoting submissive behaviour.

372 In conclusion, in the current study, we identify behaviours that differ between two species of  
373 cichlids that diverge in social system, namely, social motivation and conflict resolution behavior. The  
374 motivation to approach, interact with, and tolerate other conspecifics is a an essential first step toward  
375 social living (Soares et al., 2010; Goodson, 2013), and our results contrasting the group living *N.*  
376 *pulcher* with the non-grouping *T. temporalis* support the hypothesis that the emergence of complex  
377 social behaviour has coincided with increased social motivation. Conflict management is another  
378 critical aspect of a social phenotype. The greater use of submission displays in the group living *N.*  
379 *pulcher* compared to the non-grouping *T. temporalis* suggests alternation in the conflict management  
380 mechanisms during the transition to social living in this group. Social motivation and submissive  
381 behaviour are promising candidates for further comparative investigation into how basic behaviors  
382 build to form complex social phenotypes. Experimental work that manipulates the expression of these

383 behaviours, and explores the fitness consequences in species that exhibit varying degrees of sociality is  
384 a critical next research step.

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398

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630 **Figure captions**

631 **Figure 1.** (A) *Telmatochromis temporalis* and (B) *Neolamprologus pulcher* are two closely related  
632 Lamprologine cichlid fishes that are similar in body size, appearance, and ecology, but differ in social  
633 system. *Neolamprologus pulcher* are group living while *T. temporalis* non-grouping. Photo credits:  
634 Susan Marsh Rollo and Jen Reynolds.

635  
636 **Figure 2.** Experimental setup for the social motivation assay. (A) During a 10 min acclimation period,  
637 the focal fish was confined within a transparent cylinder. (B) Following acclimation, the transparent  
638 cylinder was lifted, and the focal fish was allowed to interact with the stimulus fish across the barrier of  
639 the transparent cylinder or enter the shelter over a 15 min trial duration.

640  
641 **Figure 3.** Experimental setup for the conflict assay. (A) During a 1 hr acclimation period, the two  
642 contestants were each given a shelter, but were separated from each other by opaque dividers. (B)  
643 Following acclimation, the outer shelters and barriers were removed, and fish were allowed to compete  
644 over the remaining central shelter for 30 min.

645  
646 **Figure 4.** Social motivation measured in wild breeding individuals of a group-living cichlid  
647 (*Neolamprologus pulcher*) and a non-grouping cichlid (*Telmatochromis temporalis*). (A) Compared to  
648 the *T. temporalis*, *N. pulcher* displayed overall more social behaviors (i.e., affiliative, submissive, and  
649 aggressive displays) and (B) social behavior constituted a higher proportion of all observed behaviors.  
650 (C) After controlling for group size, there was no difference in the number of social behaviours  
651 produced per group member.

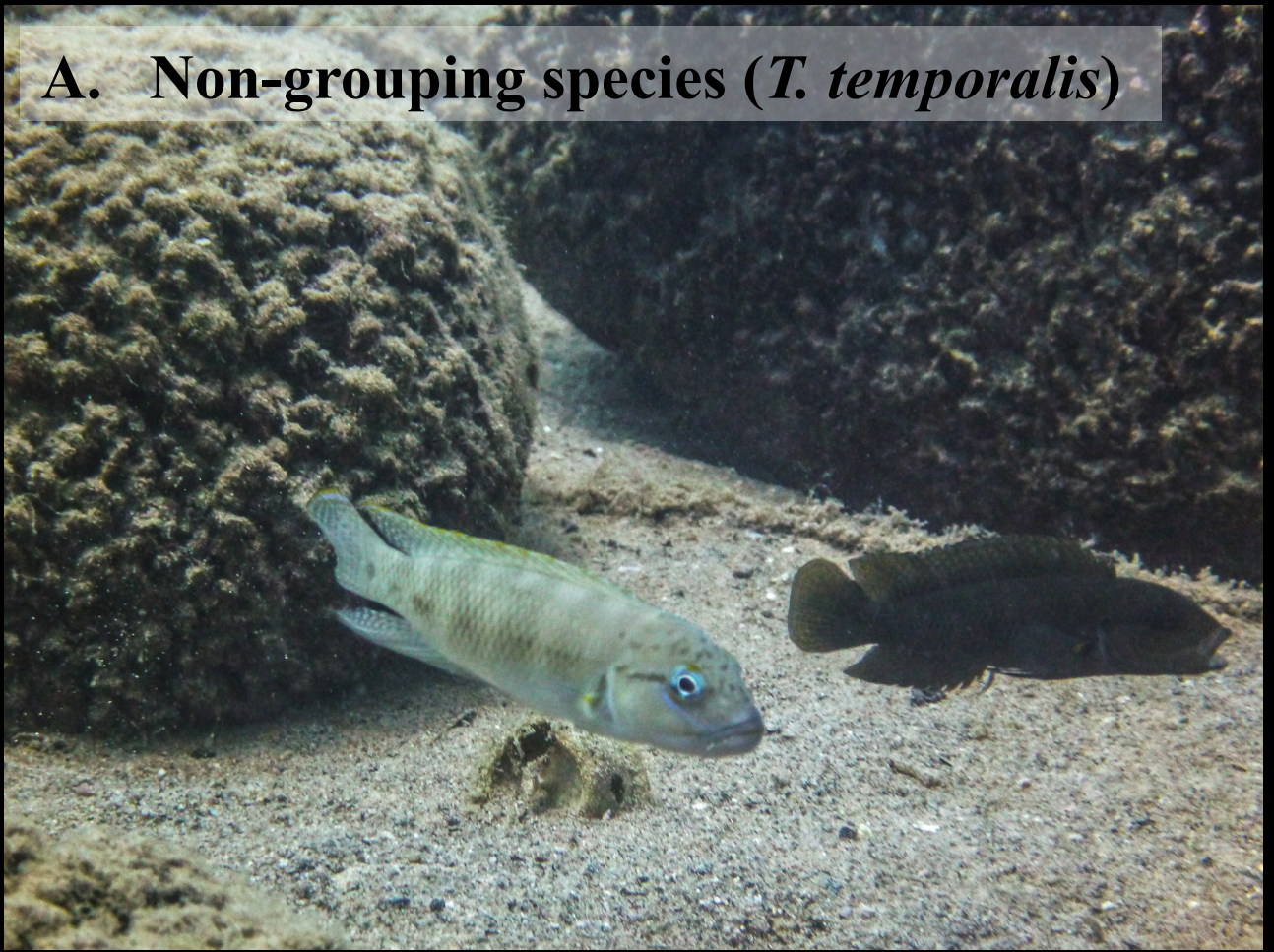
652  
653 **Figure 5.** In a controlled laboratory test of social motivation, (A) both species were equally likely to  
654 visit the fish or the shelter stimulus first, and (B) there was no species difference in the time spent with

655 the stimulus fish, however, (C) *N. pulcher* spent more time interacting with a conspecific than did *T.*  
656 *temporalis*.

657

658 **Figure 6.** Conflict resolution behavior measured in a group-living cichlid (*Neolamprologus pulcher*)  
659 and a non-grouping cichlid (*Telmatochromis temporalis*). During staged contests in the laboratory, *N.*  
660 *pulcher* displayed (A) less aggression, were (B) more likely to use submissive displays, and were (C)  
661 less likely than *T. temporalis* to flee from their opponent. At the individual level, (D) members of both  
662 species that produced high rates of submission rarely fled from their opponents.

**A. Non-grouping species (*T. temporalis*)**



**B. Group living species (*N. pulcher*)**

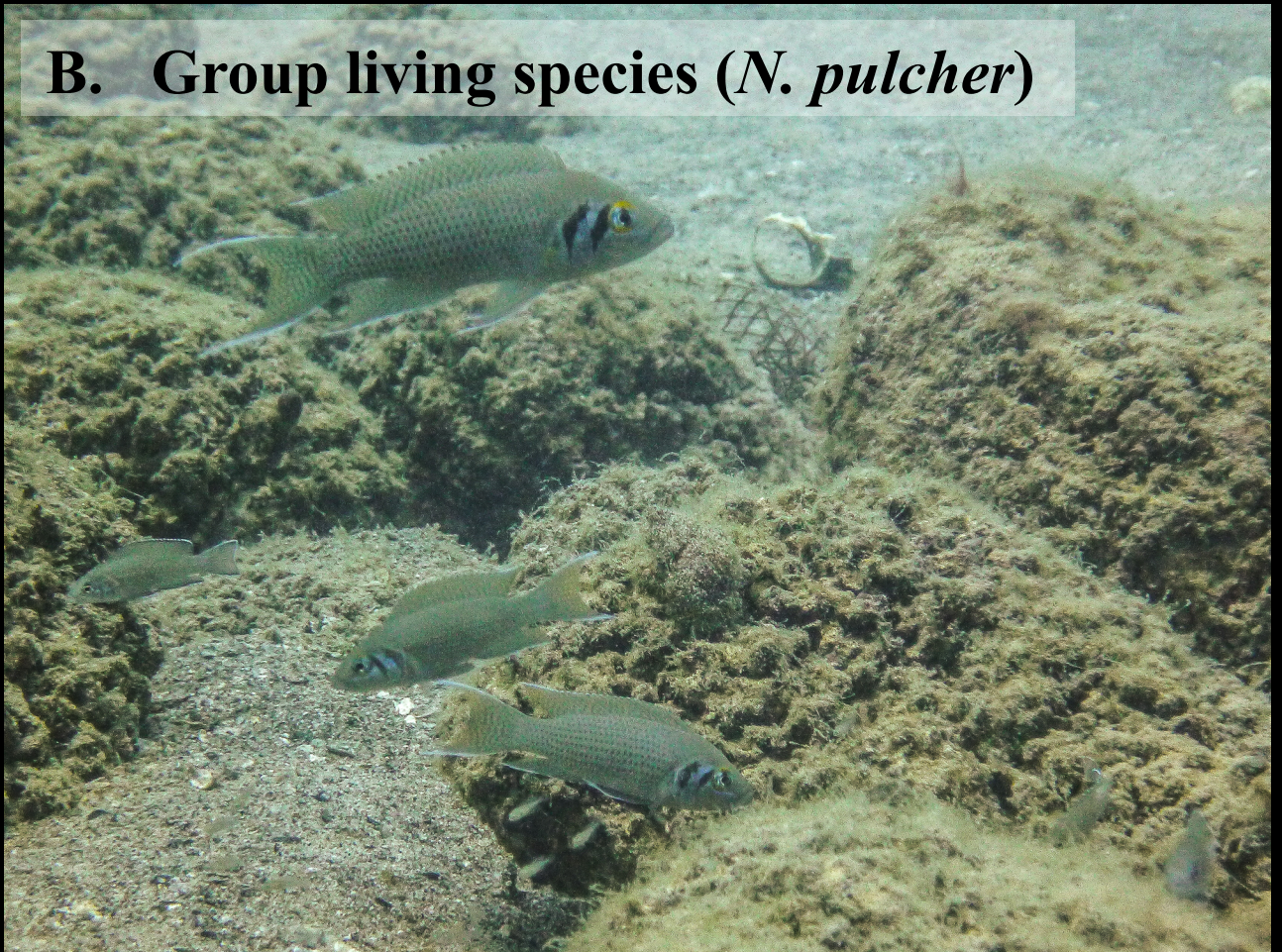
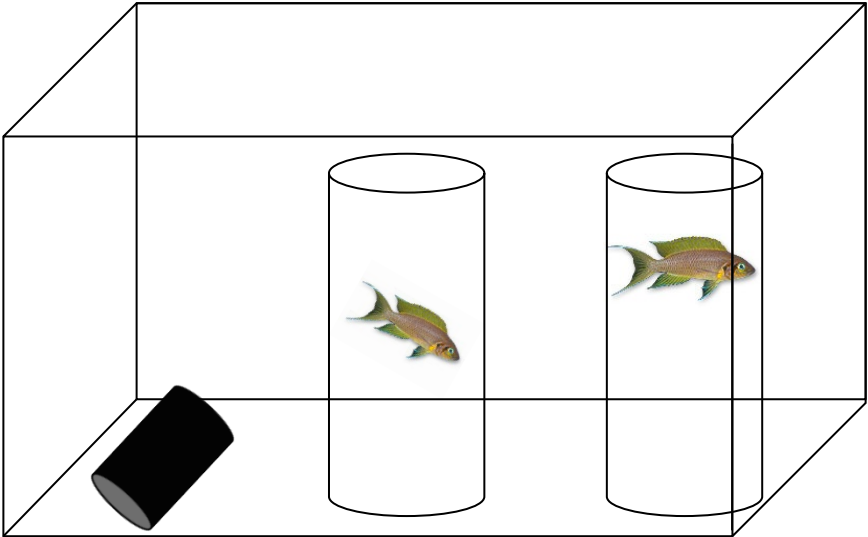


Figure 2

A. 10 min acclimation



B. 15 min trial

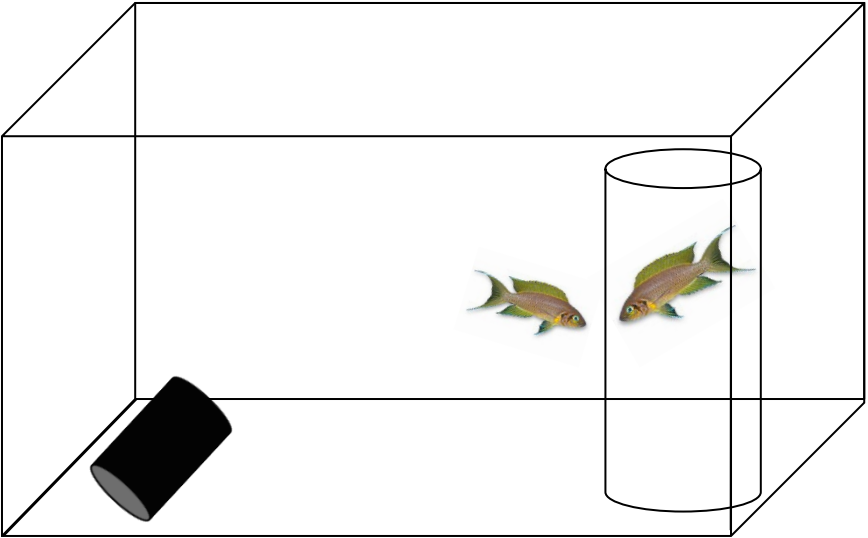
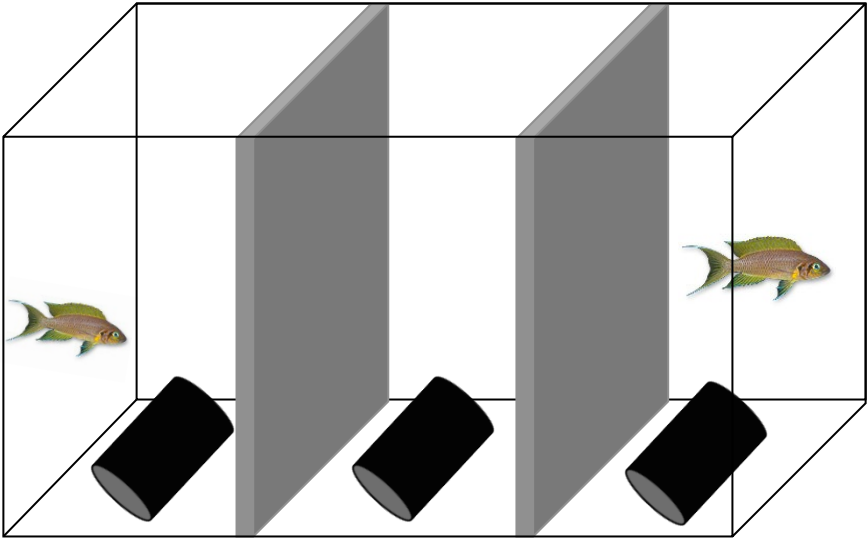


Figure 3

A. 60 min acclimation



B. 30 min trial

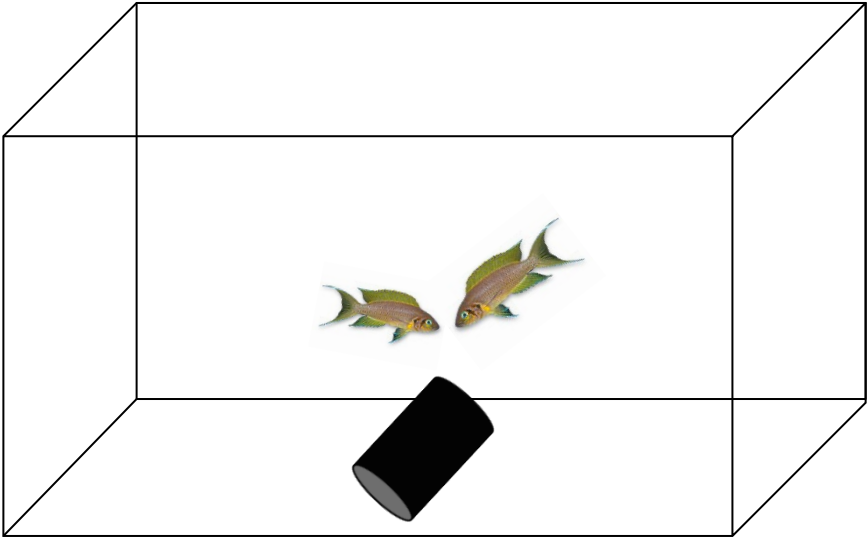


Figure 4

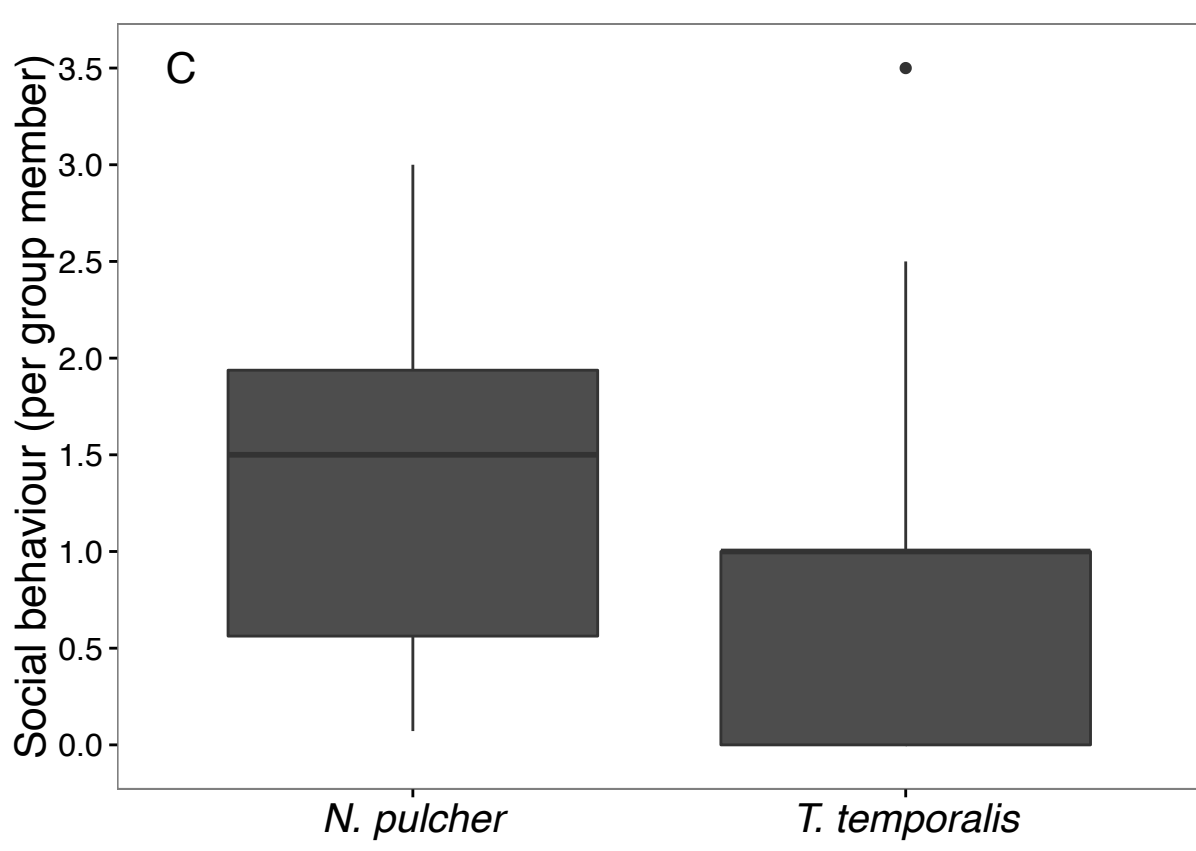
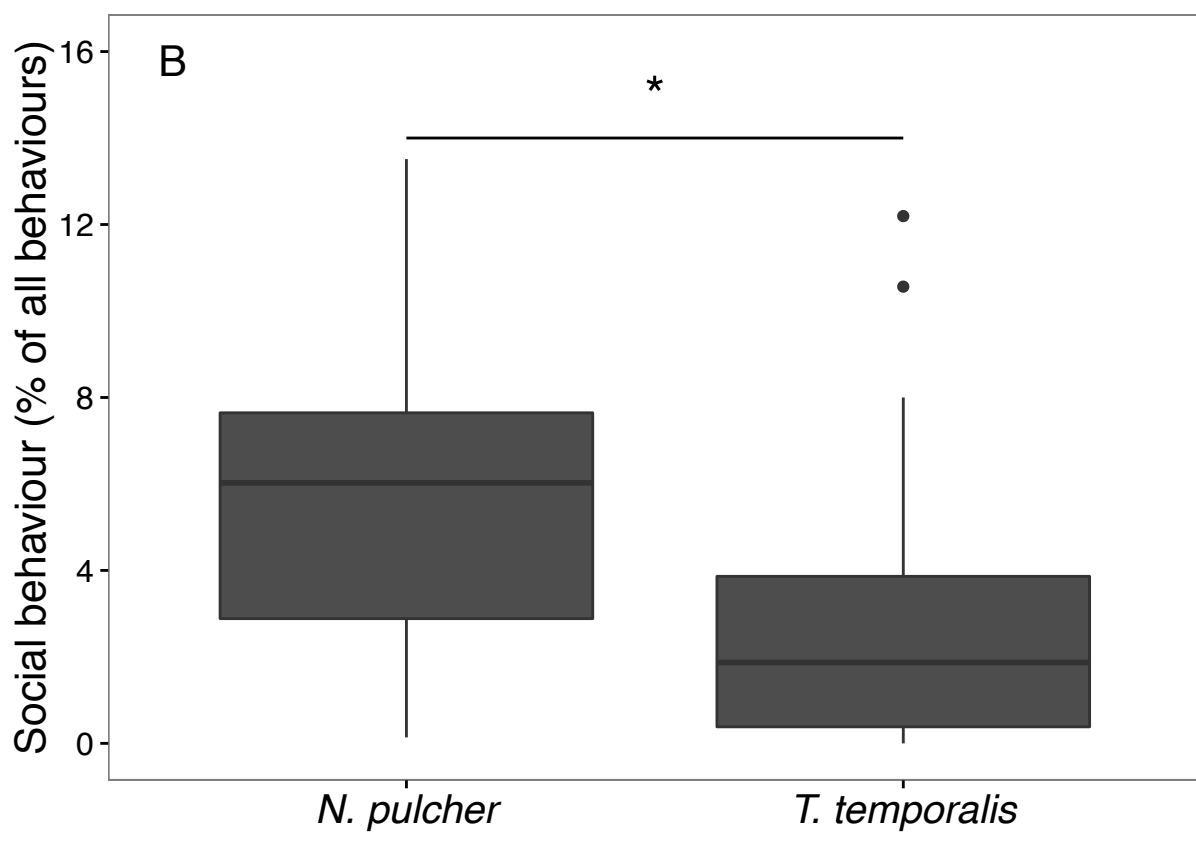
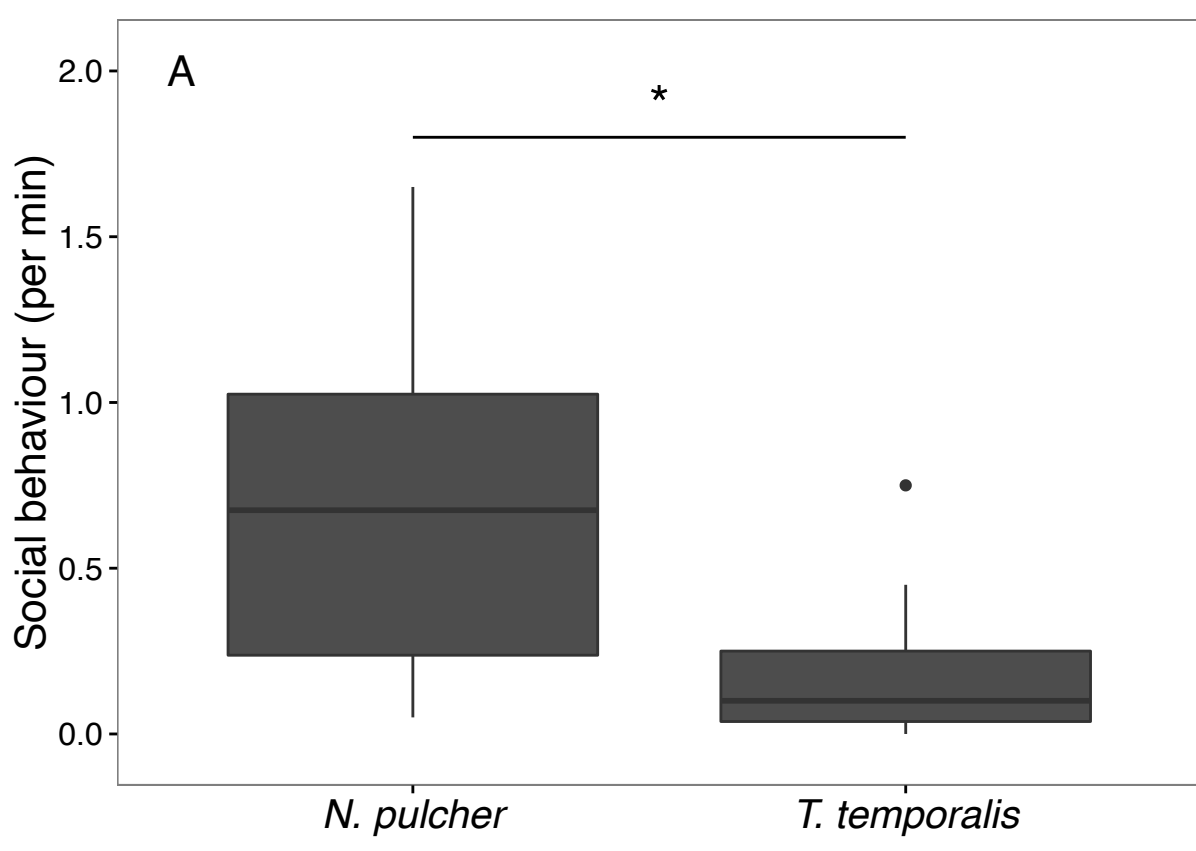


Figure 5

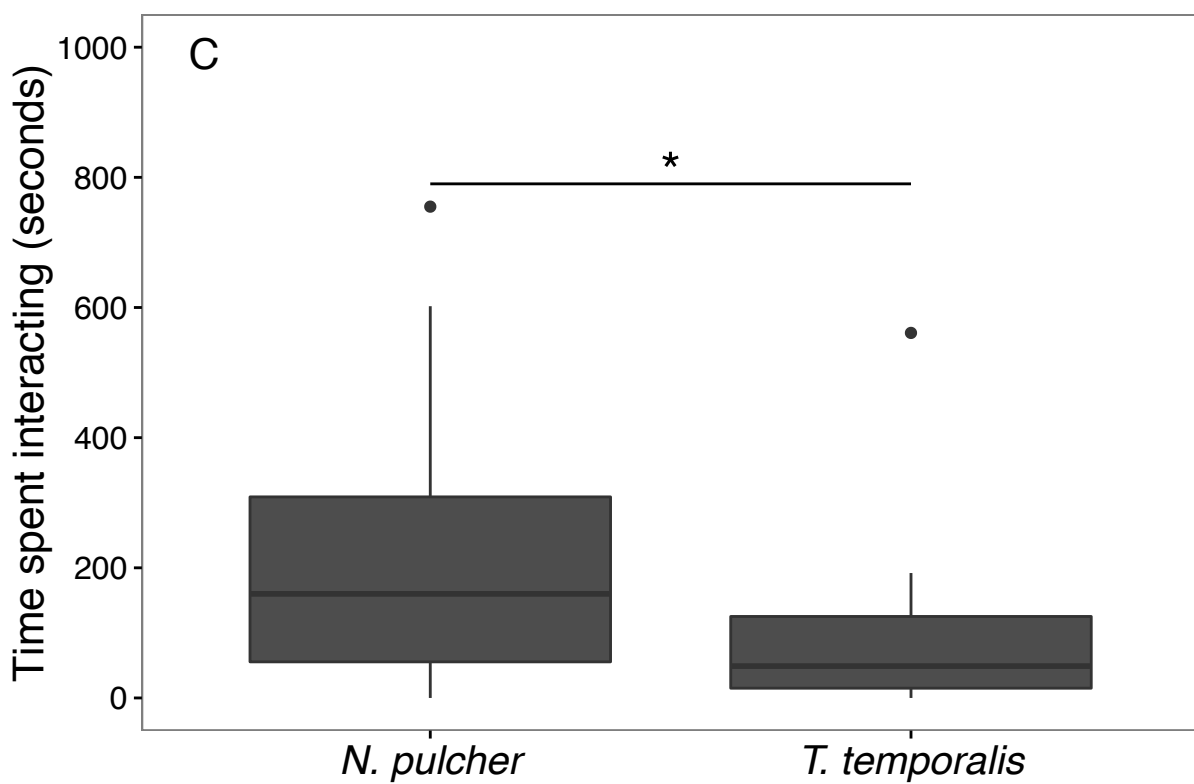
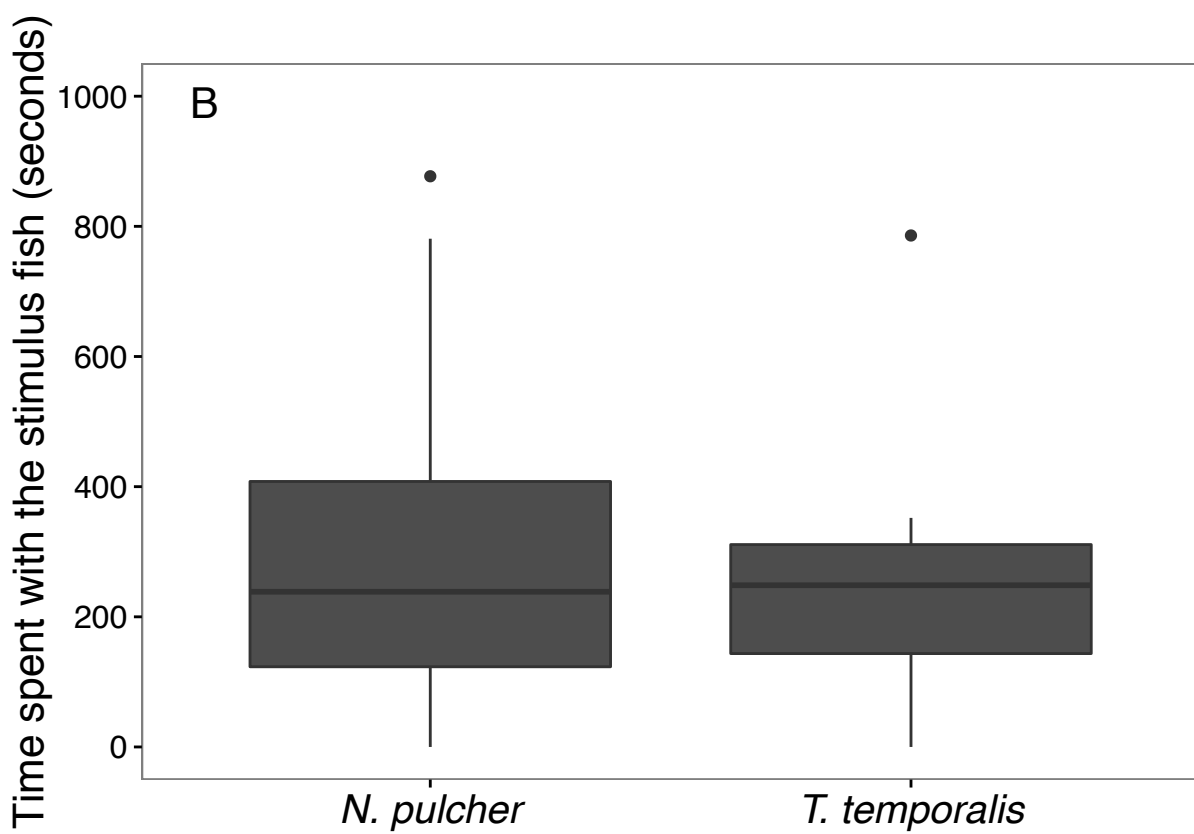
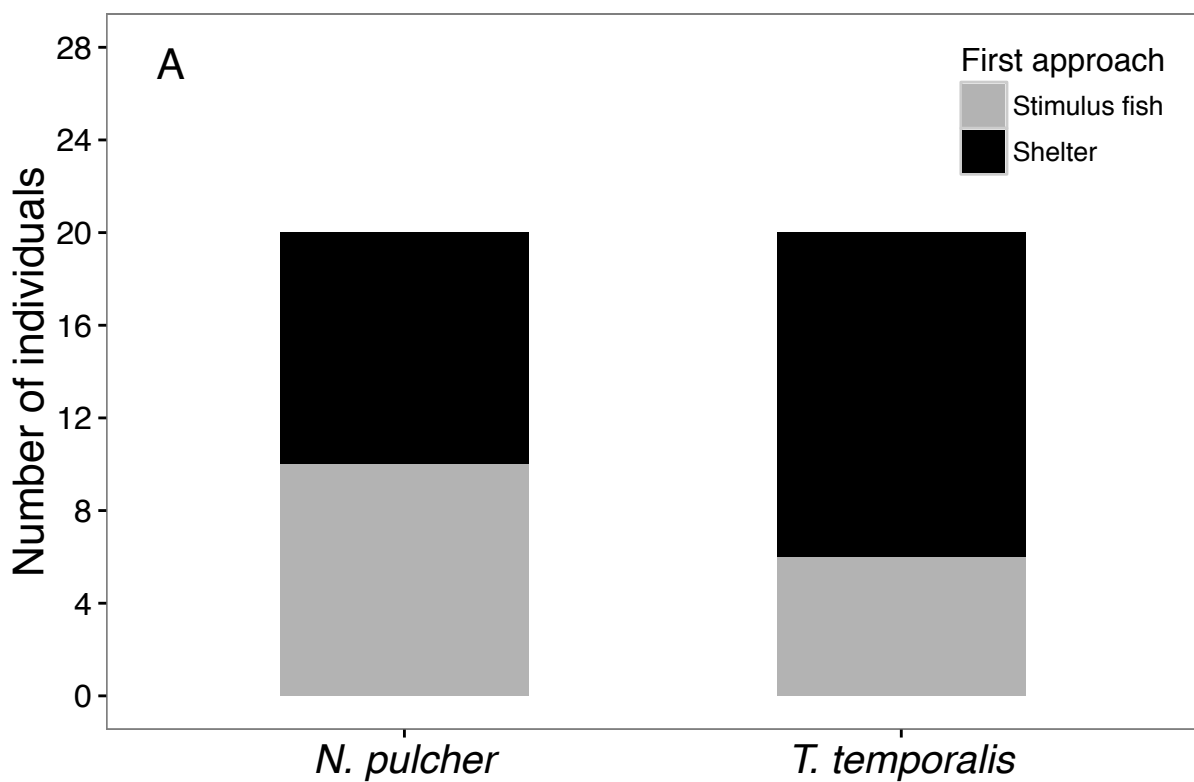


Figure 6

