

# LJMU Research Online

Di Giulio, I and Baltzopoulos, V

Attainment of quiet standing in humans: Are the lower limb joints controlled relative to a misaligned postural reference?

http://researchonline.ljmu.ac.uk/id/eprint/11509/

Article

**Citation** (please note it is advisable to refer to the publisher's version if you intend to cite from this work)

Di Giulio, I and Baltzopoulos, V (2019) Attainment of quiet standing in humans: Are the lower limb joints controlled relative to a misaligned postural reference? Frontiers in Physiology, 10. ISSN 1664-042X

LJMU has developed **LJMU Research Online** for users to access the research output of the University more effectively. Copyright © and Moral Rights for the papers on this site are retained by the individual authors and/or other copyright owners. Users may download and/or print one copy of any article(s) in LJMU Research Online to facilitate their private study or for non-commercial research. You may not engage in further distribution of the material or use it for any profit-making activities or any commercial gain.

The version presented here may differ from the published version or from the version of the record. Please see the repository URL above for details on accessing the published version and note that access may require a subscription.

For more information please contact <a href="mailto:researchonline@ljmu.ac.uk">researchonline@ljmu.ac.uk</a>

http://researchonline.ljmu.ac.uk/

#### Are the human leg joints controlled relative to a misaligned standing reference?

Irene Di Giulio\*& Vasilios Baltzopoulos

### 

#### Affiliation

- Irene Di Giulio, School of Basic and Medical Biosciences, Faculty of Life Science and
- Medicine, King's College London, London, UK.
- Vasilios Baltzopoulos, Research Institute for Sport and Exercise Sciences, Liverpool John
- Moores University, Liverpool, UK.
- Correspondence Dr Irene Di Giulio
- irene.di\_giulio@kcl.ac.uk

#### 17 Abstract

- In human quiet standing, the relative position between ankle joint centre and line of gravity is
  neurally regulated within tight limits. The regulation of the knee and hip configuration is
  unclear and thought to be controlled passively. However, perturbed standing experiments
- 21 have shown a leg multi-joint coordination. Here, measuring the relative alignment between
- leg joints and the line of gravity in quiet standing after walking, we investigated whether the
- 23 configuration is maintained over time through passive mechanisms or active control.
- 24

25 Thirteen healthy adults walked without following a path and then stood quietly for 7.6s on a

force platform (up to four trials). The transition between initiation and steady-state standing

27 (7.6s) was measured using motion capture. Sagittal leg joint centres' position relative to line 28 of gravity ( $CoG_{AP}$ ) and their time constants were calculated in each trial. Ankle, knee and hip

- 29 joint moments were also calculated through inverse dynamics.
- 30
- After walking, the body decelerated ( $\tau$ =0.16s). The ankle and hip joints' position relative to
- 32 CoG<sub>AP</sub> measured at two time intervals of quiet standing (Mid=0.5-0.55s; End=7.55-7.6s)
- 33 were different (mean $\pm$ sem CoG<sub>AP-Ankle\_Mid</sub>=47 $\pm$ 4mm, CoG<sub>AP-Ankle\_End</sub>=58 $\pm$ 5mm; CoG<sub>AP-</sub>
- 34  $Hip_{Mid}=2\pm5mm$ , CoG<sub>AP-Hip\_End</sub>=-5 $\pm5mm$ ).
- 35 The ankle, knee and hip flexion-extension moments significantly changed.
- 36

37 Changes in joints position relative to  $CoG_{AP}$  and misalignment suggest that joint position is

- not maintained over 7.6s, but regulated relative to a standing reference. Higher joint moments
- at steady-state standing suggest mechanisms other than passive knee and hip regulation are
- 40 involved in standing.
- 41

### 42 Keywords

- 43 Human stance control, Standing balance, Neural control of movement, Leg joints, Body
- 44 misalignment to line of gravity, Initiation of standing

#### 45 Introduction

Human standing is an everyday activity, and it constitutes the mechanical and control basis
for other movements, such as gait and reaching. In quiet standing, the body is unstable in the
sagittal plane (Morasso and Schieppati 1999; Loram et al., 2007; Kiemel et al., 2011). To
maintain standing successfully, the location of the body centre of mass and of the ankle joint

50 relative to the line of gravity need to be regulated via neural feedback control (Peterka and

51 Benolken 1995; Loram and Lakie 2002; Loram et al., 2007).

52

53 Because the body centre of mass sway range is only 18-21mm in standing (Gatev et al.,

54 1999), whole body configuration (i.e. the position of body segments and joints relative to the

55 line of gravity) must to be tightly regulated. The traditional understanding is that in quiet

56 standing, only the ankle position needs to be regulated. The knee and hip positions relative to

the line of gravity are thought to be either passively determined taking advantage of the close packed position (Steindler, 1964, page 330-349; MacConaill & Basmajian, 1977, page 31-

packed position (Steindler, 1964, page 330-349; MacConaill & Basmajian, 1977, page 3152), or tonically but not phasically regulated (Steindler, 1964, page 106-108 and 110-114).

60 Essentially, it was accepted that there is no need for a modulation of knee and hip moments in

61 quiet standing.

62

More recent work has shown that ankle, knee, hip, L5-S1 joint (5<sup>th</sup> lumbar and 1<sup>st</sup> sacral 63 vertebrae), C7-T1 joint (7<sup>th</sup> cervical and 1<sup>st</sup> thoracic vertebrae) and atlanto-occipital joint are 64 65 controlled in a coordinated fashion in standing (Hsu et al., 2007) according to the uncontrolled manifold analysis. Focusing on the hip joint, Kiemel and colleagues showed that 66 67 intrinsic stiffness is not enough for hip passive stability and neural control is required to 68 maintain standing (Kiemel et al., 2008). Furthermore, ankle, knee and hip joints showed a multi-joint coordinated behaviour in perturbed standing (Di Giulio et al., 2013). When gentle 69 70 knee perturbations were applied at the knee, if the knee displacement after perturbation was 71 small, also ankle and hip displacements were reduced and the whole leg was stiffer (locked or inverted-pendulum like). On the other hand, when the knee displacement after perturbation 72 73 was larger, ankle and hip displacements were also larger and the whole leg did not show an inverted pendulum-like configuration. This suggests that leg joints' stiffness or mobilisation 74 75 is controlled collectively, and even the knee joint is not necessarily passively locked. What 76 remains an open questions is whether this inter-joint relationship is purely mechanical or

- tonic or whether phasic control is involved.
- 78

We designed an experiment that substantially changed body configuration in order to measure how joint position in relation to line of gravity was attained in the transition to quiet standing. Gait before quiet standing was used to measure standing initiation and configuration changes to achieve quasi-static equilibrium. We did not use non-ecological perturbations, such as platform translations or tilts, to avoid the introduction of artificial responses and habituation to the perturbation over time. Our approach was to study the

transition of joint position between initiation and steady-state standing and analyse which

86 factors could explain the process. By studying initiation of standing and transition to steady-

state standing, we asked (i) What is the relative alignment between leg joints and the line of

gravity? (ii) Is the leg configuration at steady state standing determined by the position atinitiation of standing or is it actively controlled and corrected?

90

91 One could expect that biomechanical (e.g. body deceleration to stop the body after walking)

92 and passive mechanisms (e.g. stiffness) could wholly explain the joint position at initiation

and steady-state standing. An additional expectation is that steady-state standing

94 configuration could depend on the body configuration at initiation of standing and no

- 95 corrections are occurring as long as standing is successful and efficient. Furthermore, if
- 96 optimisation and energy cost minimisation was a principle of standing regulation, steady-state
- 97 standing configuration should be consistent with reduced muscular effort. This would suggest
- 98 that steady-state standing configuration is aligned with the vertical to reduce load on the
- 99 joints and the need for phasic muscular activation to maintain balance.
- 100
- 101 On the other hand, if leg configuration changed during standing, we could investigate
- 102 whether the difference in leg configuration between initiation and steady-state standing was
- 103 consistent with energy cost minimisation (i.e. the joints became more aligned) or not. We
- 104 could also measure whether the steady-state leg configuration was dependant on the initial
- variable condition established by gait (i.e. not repeatable and inconsistent across trials).
- 106
- 107 In this study, we measured the leg joint alignment with line of gravity in quiet standing and
- 108 we investigated the mechanisms involved in this task. Understanding whether alignment was
- 109 maintained or corrected would indicate whether passive stiffness or other mechanisms to
- 110 control the leg joints are involved in standing.

#### 111 Methods

#### 112 Ethical approval

113 Participants gave written informed consent to these experiments which were approved by the

ethics committee of the Institute for Biomedical Research into Human Movement and Health, Monshester Matronalitan University and conformed to the standards of the Dedardtion of

- Manchester Metropolitan University and conformed to the standards of the Declaration ofHelsinki.
- 117

#### 118 **Participants and procedures**

119 Thirteen participants (age  $46\pm13$  years, mass  $71.7\pm13.0$  kg, height  $1.68\pm0.13$  m, seven 120 women and six men) who self-reported no neurological or musculoskeletal injuries or

- 121 disorders took part in this study.
- 122

123 The data reported here is part of a larger experiment that lasted about 3 hours. For each

- 124 participant, the session was structured as follows. Participants arrived to the laboratory and
- informed consent was obtained (10 min). Bilateral knee and hip MRIs were collected (60
- 126 min) and markers were placed on participant's anatomical landmarks (30 min). The first two
- trials of the current experiment (5 min) were collected and then an intervening knee
- 128 perturbation experiment (40 min including EMG placement, as reported in Di Giulio et al.
- 129 2013) was conducted. The remaining trials of the current experiment were recorded after that
- 130 (5 min), and a final experiment on control of standing with another set-up (30 min) was
- 131 recorded. Breaks were also allowed between trials and experiments.
- 132

133 For this experiment, participants walked randomly for a few seconds around the laboratory

- 134 without following a particular path and ended their walk anywhere on a force plate
- 135 (508x464mm) with feet broadly symmetrical (about shoulder width, feet broadly parallel to
- each other). Some participants walked over an imaginary circle or ellipsoid, others walked on
- a straight line, others turned around and changed direction at least once. Participants were
- asked to approach the force platform in a straight line (last 1-2 steps). The operator monitored
- the participant's gait phase in order to start the recording timely, and the trial was repeated if
- 140 the participants did not approach the platform complying with this criterion. Participants were
- 141 not asked to replicate their walking path and most of them completely changed it over
- 142 different trials. Participants were asked to end their walking phase in a comfortable and usual
- 143 manner, and stand normally looking in front of them.
- 144

145 The recording was manually initiated when the participant approached the force plate and 146 each trial lasted 30s (from when the participant approached the force plate). Because of this variable trial start, different effective standing durations were recorded. For analysis, the 147 longest common duration of standing after its initiation (flat feet time, see below) was used 148 149 (7.6s, see Fig. 1). Although a longer common duration was not possible, 7.6s after standing initiation is likely to be sufficient to measure changes due to and possibly beyond body 150 151 deceleration, without fatiguing the participants. All the participants performed at least four trials (with intervening break). When technical problems were identified in real time, the trial 152 was repeated. However, other technical difficulties in the markers trajectories reconstruction 153 were only identified during data processing and those trials were not included in further 154 155 analysis. For this reason, out of the thirteen participants, we could use 4 trials for seven participants, 3 trials for three participants and 2 trials for three participants. These 156 participants were included in the analysis because more than one repetition was available, to 157 include as much population variability as possible, and because the data included was highly 158 reliable and accurate thanks to the precision of the techniques (motion capture and force 159

160 plates) and the corrections adopted from the MRI scans (marker positioning and correction of

- 161 joint centres calculation).
- 162

### 163 Apparatus and measurements

### 164 Imaging

Four MRI scans were collected with the participants in the standing position (G-Scan, Esaote,
Genoa, Italy) to improve joint location accuracy. The same protocol was used for knee and
hip joints bilaterally: Spin T1-weighted HF, matrix 256x256, coronal and transverse planes.
Slice thickness and the inter-slice gap were 0.4mm and 4mm for the knees, and 0.6mm and

- 169 6mm for the hips. Cod liver oil pills were placed on anatomical landmarks where the retro-
- 170 reflective markers would be placed for motion analysis. If the image showed that the cod
- 171 liver oil pill was not placed correctly, it was replaced accordingly and another set of scans
- was collected. This accurate location was then used to place the motion analysis marker.
- 173
- 174 *Motion capture*
- 175 A ten camera motion analysis system (VICON 612, Oxford Metrics, UK) was used to
- 176 measure body kinematics. Retro-reflective markers were placed on the sacrum, third lumbar
- vertebral process (L3), twelfth, tenth, seventh and third thoracic vertebral process (T12, T10,
- 178 T7, T3), seventh cervical vertebral process (C7) and sternum and clavicle. Other markers
- were placed bilaterally on the first, second and fifth metatarsal head, the lateral and medial
- 180 malleolus, the heel, the tibia (for 3D segment definition), and the most prominent points of
- the lateral and medial tibial condyles, the lateral and medial femoral epicondyle, the greater
- trochanters, the anterior and posterior iliac spines, the zygomatic process anterior to the
- auditory meatus, and the temporal process of the zygomatic bone (at the inferior margin of
- the ocular orbit). After walking, participants stood with both feet on a force plate (AMTI, OD6 7. Wetertown, MA, USA). The Ground Reaction Force (CDF) and its point of
- 185 OR6-7, Watertown, MA, USA). The Ground Reaction Force (GRF) and its point of 186 application were recorded. Kinematic and force plate data were sampled at 60Hz.
- 187

# 188 Data analysis

- 189 The following analysis was performed using Matlab (Mathworks, Natik, US).
- 190 For each trial, the last heel-ground contacts during walking and prior to standing were
- 191 calculated for left and right foot as the instant of minimum velocity of the toe marker
- 192 (Pijnappels et al., 2001), and then classified as last heel and penultimate heel contact,
- irrespective of the side. After the last heel contact, for each trial, the instant of last toe down
- was identified using the time when the velocity of the toe marker first crossed the zero value.
- This instant was deemed to be the start of standing, since both feet were on the ground and no for the start  $(\Sigma_{i}, 1)$ . This is the start of standing is a start of the st
- further steps were taken (Fig. 1). This instant is called flat feet time, 0s in all mean data figures (Figs. 2.4). To accent a trial for analysis a test was used to confirm that first first time.
- 197 figures (Figs 2-4). To accept a trial for analysis, a test was used to confirm that flat feet time 198 represented standing: the vertical component of the ground reaction force had to be within
- one SD ( $\pm 1.7$ N) of the value during sustained standing (7.6s later).
- 200
- 201 The following variables were calculated.
- 202 *Upper body velocity and acceleration.* The location of the markers from the pelvis upwards
- 203 was averaged to provide a representative antero-posterior body location, which was
- 204 differentiated to provide velocity and acceleration, using a FIR filter (Remez differentiator
- 205 pass-band filter with pass frequency 1Hz, and stop frequency 6Hz). The whole trial (30s) was
- then reduced to the correct 7.6s from flat feet time, removing the appropriate initial and final
- 207 part of the recording and avoiding any filtering distortion at the beginning or end of the trial.
- 208

- 209 Antero-posterior Centre of Gravity (CoGAP) location. CoGAP was calculated by zero-lag lowpass filtering the sagittal component of the centre of pressure (from point of application) with 210 a cut-off frequency of 0.5Hz (Caron et al., 1997; Loram and Lakie, 2002). This calculation is 211 valid for and presented only during standing. We used this quantity to minimise the possible 212 bias induced by modelling different body shapes and sizes using kinematic data. 213
- 214

215 Antero-posterior CoG position relative to the lower limb joint centres (CoG-Ankle, CoG-

Knee and CoG-Hip). The displacement between a vertical line through the CoG<sub>AP</sub> and the 216

- joint centres was calculated. The joint centre positions were calculated using a combination 217
- 218 of surface markers on bony landmarks (Vicon) and MRI imaging (see also above in
- Apparatus and measurements). The ankle joint centre was calculated relative to the lateral 219 malleolus using the individually measured ankle width. The knee joint centre was calculated 220
- 221 as the centre of a line joining markers on lateral and medial femoral epicondyles. The hip
- joint centre was calculated according to the GaitLab algorithm (Vaughan et al., 1999) using 222
- three markers (sacrum, left and right anterior superior iliac spines) and anthropometric 223
- measures taken from each subject. For ten participants (three were excluded for 224
- 225 contraindication to MRI) the GaitLab calculation was corrected by analysis of the MRI
- images. The geometrical hip joint centre in the anterior-posterior direction relative to the cod 226
- liver oil pills (placed on greater trochanter and iliac spines landmarks) was calculated, 227 228 approximating the head of the femur as a circular section and assuming its centre as the joint
- 229 centre (Osirix 2.7.5, Osirix Foundation, Geneva, Switzerland). The joint location used in the
- kinematic analysis was corrected using each participant's difference between joint calculated 230
- 231 from the marker and from the MRI scan. In the sagittal plane, the mean anterior/posterior
- correction was  $\pm 2\pm 1$  mm (mean  $\pm$  SD). For the participants which were excluded from MRI 232
- scans, the joint locations were not corrected and the ones calculated using anthropometry and 233 234 kinematic model were used.
- Left and right sagittal joint location were averaged. A displacement of 0 mm indicates that 235 the CoG<sub>AP</sub> is in line with the joint centre. 236

237 For each variable listed above and for the antero-posterior force from the force platform, a 238

time constant was calculated for each trial between flat feet time and 7.6s. The time constant 239

represents the elapsed time for the system response to decay/grow by 1/e at the initial rate. 240

An exponential curve was fitted to the data and the time constant was estimated for each trial 241 and then averaged across participants. 242

243

244 Joint moments (MAnkle, MKnee and MHip). The flexion-extension joint moments were calculated using an inverse dynamic approach (Vaughan et al., 1999). At the ankle, positive 245 values indicate dorsi-flexion moment, while negative values indicate plantar-flexion moment. 246

- 247 At the knee and hip, positive values indicate flexion moments.
- 248

For each variable, the mean over three time intervals was calculated. The intervals were 249 chosen to represent the possible phases of standing after walking. The interval duration was 250

- determined by a suitable duration that could capture the rapid changes occurring after 251
- standing initiation. Therefore, beginning of standing ('Beg') was between flat feet time and 252

0.05s later. This arbitrary choice determined the interval duration, which was kept constant. 253

- Steady-state standing ('End') was identified as the latest interval available from the 254
- recordings (7.6s), so that interval was between 7.55 and 7.6s. An intermediate interval 255
- 256 ('Mid') was selected to start later than the threshold body acceleration time constant (0.25s),
- but still adequate to record any early changes in configuration. The chosen Mid interval was 257
- between 0.5 and 0.55s. 258

259

### 260 Statistical analysis

- 261 A repeated measures univariate ANOVA was run on the CoG<sub>AP-Joints</sub> (generic term to
- indicated the displacement between CoG and the leg joints included in this study). Interval (3
  levels), and Trial (4 levels) were fixed factors and Participant (13 levels) was the random
- factor. This analysis was conducted using SPSS (ver.24, IBM).
- 265 Each CoG<sub>AP-Joint</sub> and joint moments were tested to see if a difference was significant between
- the two intervals after the deceleration had ceased (Mid vs End) using a two-tailed paired t-
- test. We used Mid rather than Beg interval in this analysis to measure changes in
- 268 configuration beyond body deceleration after walking.
- 269
- 270 Significance is reported at p<0.05. Unless otherwise stated, results are reported as
- 271 mean±standard error of the mean in the text, and 95% confidence curves are shown in the
- 272 figures (dotted).

### 273 **Results**

- All participants ended their gait with both feet flat on the force plate with a broadly
- symmetrical, self-chosen stance and foot placement. In Figure 1, a representative participant
- illustrates the small changes in configuration in a trial and show the need for high precisionmeasurements.
- 278

The transition from walking to standing requires reduction of forward velocity and attainment of equilibrium. Following flat feet time, upper body deceleration and antero-posterior shear force are reduced to the steady-state value rapidly (Fig.2). From all trials, the time constant of the upper body deceleration was 0.16±0.03s, and a similar time constant was calculated for

- the shear force  $0.15\pm0.03$  (mean $\pm$ S.D.). These results designated  $\tau=0.16$ s as the higher value after which body deceleration had reached a value closer to steady state.
- 285

286 If the main process governing the joints adjustments is only related to body deceleration, one

- would expect that the time constant of all the other variables to be close to  $\tau$ =0.16s. This is a justified approach considering that body sway in quiet standing determines a not-null mean
- acceleration (i.e. between -0.031 and +0.035 m/s<sup>2</sup> range measured in the current experiment
- at steady-state standing). Thus,  $\tau=0.16s$  is consistent with the time needed for the body to
- approximate quiet standing. In order to define a conservative time threshold beyond which
- the changes measured were not merely related to body deceleration, we used the mean upper
- body deceleration time constant (0.16) + 3\*SD (3\*0.03), and obtained a value of 0.25s. Using
- three times SD gives our analysis 99.7% probability to be investigating adjustments that were
- not merely linked to body deceleration. If we found higher time constants, we were entitled toinvestigate the process occurring after 0.25s.
- 297

# 298 Is body configuration only governed by body deceleration?

- Initially,  $CoG_{AP}$  was in front of ankle, knee and hip by 38mm, 28mm and 25mm respectively (Fig.3). The hip quickly,  $\tau_{hip}=0.25\pm0.12s$  (mean±S.D.), aligned more with CoG<sub>AP</sub> by 27mm
- 301 (Fig.3B). The displacement between ankle and knee joint centres and  $CoG_{AP}$  increased (i.e.
- misalignment) by 19mm and 13mm progressively with  $\tau_{ankle}=0.62\pm0.17s$  and
- 303  $\tau_{\text{knee}}=0.61\pm0.29$  (mean±S.D. Fig.3D,C). These longer time constants suggest a slower
- process, not related only to the deceleration of the body. The joint moments (Fig.4) showed a
- similar transition. The ankle and knee moments increased by 6.79Nm and 7.97Nm
- respectively, while the hip moment decreased by 9.78Nm and transitioned from extension at
- the beginning of standing to flexion at steady-state standing.
- We investigated the repeatability and trend in  $CoG_{AP-Joints}$  and moments.
- 310 Univariate analysis. CoG<sub>AP-Ankle</sub> showed a significant difference between participants
- (F(12,21.857)=2.722, p=0.020), but no difference with trial (F(3,27)=1.516, p=0.233) or
- interval (F(2,24.619)=1.255, p=0.303). An interaction interval x participant (F(24,54)=6.962,
- 313 p<0.001) was found.
- 314
- $\label{eq:cogap-Knee} 315 \qquad CoG_{AP-Knee} \ and \ CoG_{AP-Hip} \ showed \ a \ significant \ difference \ between \ intervals$
- $(F_{Knee}(2,27.531) = 23.707, p_{Knee} < 0.001; F_{Hip}(2,33.559) = 52490, p_{Hip} < 0.001). \ CoG_{AP-Knee} \ and \ and \ CoG_{AP-Knee} \ and \ and$
- 317 CoG<sub>AP-Hip</sub> showed no difference between trials ( $F_{Knee}(3,27)=1.557$ ,  $p_{Knee}=0.223$ ;
- 318  $F_{\text{Hip}}(3,27)=0.045$ ,  $p_{\text{Hip}}=0.987$ ) or participants ( $F_{\text{Knee}}(12,14.007)=1.437$ ,  $p_{\text{Knee}}=0.256$ ;
- 319  $F_{Hip}(12,5.713)=0.602, p_{Hip}=0.785).$
- 320
- 321 Ankle, knee and hip moment (Fig.4) showed a significant difference between intervals
- $\texttt{322} \qquad (F_{\text{Ankle}}(2, 30.900) = 8.188, \ p_{\text{Ankle}} = 0.001; \ F_{\text{Knee}}(2, 29.369) = 5.601, \ p_{\text{Knee}} = 0.009;$

- F<sub>Hip</sub>(2,27.106)=13.173, p<sub>Hip</sub><0.001). Ankle and knee moment showed a significant difference
- between participants ( $F_{Ankle}(12,20.887)=7.496$ ,  $p_{Ankle}<0.001$ ;  $F_{Knee}(12,13.760)=6.806$ ,
- 325  $p_{Knee}=0.001; F_{Hip}(12,19.353)=0.897, p_{Hip}=0.565)$ . For none of the joint moments, a difference
- according to trial was found ( $F_{Ankle}(3,27)=0.089$ ,  $p_{Ankle}=0.965$ ;  $F_{Knee}(3,27)=2.567$ ,
- 327  $p_{Knee}=0.075; F_{Hip}(3,27)=1.447, p_{Hip}=0.251).$
- An interaction trial x participant was also significant for the ankle moment (F(27,54)=3.875, p<0.001).
- 330
- 331 *Two tailed pairwise t-test.* To measure whether the steady-state configuration was resulting
- only from biomechanical factors, we analysed the intervals after the threshold acceleration
- time constant (Mid and End). We could not find a difference between body acceleration and
- velocity between the Mid and End interval (mean  $\pm$ sem vel<sub>Mid</sub>=0.002 $\pm$ 0.004m/s,
- 335  $vel_{End}=0.003\pm0.004$  m/s, p=0.92; acc<sub>Mid</sub>=-0.001\pm0.007 m/s<sup>2</sup>, acc<sub>End</sub>=0.009±0.005 m/s<sup>2</sup>, p=0.22).
- 336
- As shown in figure 3E-G,  $CoG_{AP-Ankle}$  and  $CoG_{AP-Hip}$  showed a significant difference between
- the two intervals (CoG<sub>AP-Ankle\_Mid</sub>= $47.27\pm4.08$ mm, CoG<sub>AP-Ankle\_End</sub>= $58.33\pm5.29$ mm,
- $\label{eq:parkle} \texttt{PAnkle} = 0.0062; \ CoG_{AP-Hip\_Mid} = 1.77 \pm 5.23 \text{mm}, \ CoG_{AP-Hip\_End} = -5.33 \pm 5.21 \text{mm}, \ p_{Hip} = 0.0428).$
- $CoG_{AP-Knee}$  did not show a significant difference between the two intervals ( $CoG_{AP-}$
- 341  $K_{nee}Mid=25.83\pm7.61 mm CoG_{AP-Knee}End=32.29\pm6.89 mm, p_{Knee}=0.0655).$
- 342
- 343 As shown in figure 4, the joint moments significantly changed between the two intervals
- $(p_{Ankle}=0.0023; p_{Knee}=0.0008; p_{Hip}=0.0001)$ . The ankle moment changed from
- 345  $M_{Ankle_Mid}=17.10\pm4.36$ Nm to  $M_{Ankle_End}=26.33\pm5.26$ Nm. The knee moment changed from
- 346  $M_{Knee\_Mid}$ =17.62±5.13Nm to  $M_{Knee\_End}$ =33.98±5.82Nm. The hip moment changed from
- 347  $M_{Hip\_Mid}$ =12.81±10.50Nm to  $M_{Hip\_End}$ =-31.19±5.54Nm.

#### 348 **Discussion**

- In this study, the tight control of lower limb joint configuration was measured in healthy adults when transitioning to standing after walking. Previous work has demonstrated that neural control is required to maintain the location of the line of gravity with respect to the ankle joint (Loram and Lakie, 2002; Casadio et al., 2005; Loram et al., 2005, 2007; Kiemel et
- ankle joint (Loram and Lakie, 2002; Casadio et al., 2005; Loram et al., 2005, 2007; Kieme
  al., 2008, 2011; Morasso and Schieppati, 1999; Mirbagheri et al., 2000). In perturbed
- standing, also the location of the line of gravity with respect to the hip joint is controlled (see
- 355 hip strategy, Horak and Nashner 1986). In this study, we measured slow changes in leg joints
- 356 configuration and repeatable steady-state standing configuration within an individual.
- 357 Although differences in leg configuration between initiation of standing and steady-state
- could be expected to decelerate the body after walking, we measured subsequent changes in
   configuration that are not mechanically required to maintain standing (between Mid and End
- intervals). Here we discuss the possible reasons for the changes in leg configuration.
- 361

## 362 Misaligned joint reference in quiet standing

- 363 At initiation of standing, we measured fast body deceleration as prompt regulation of
- acceleration is necessary to remain standing without taking steps after walking. After this
- deceleration, on average, the leg joints became progressively more misaligned with the
- vertical (Mid vs End intervals, ankle and hip statistically significant, knee showed a trend).
- 367 Because we allowed the participants to walk freely in the laboratory before coming to a
- standing position on the force platform, we can suggest that the observed steady-state
- 369 misalignment is independent of the body configuration at end of walking. Finally, our
- analysis could not find a significant difference between the trials performed by theparticipants. Although a lack of significant difference needs to be cautiously interpreted, the
- fact that we could not find differences despite intervening experiments and breaks, which are
- 372 likely to increase variability between trials, may suggest that the data is consistent and that
- the misalignment is not random.
- 375
- It is well known that the ankle is misaligned with the vertical in standing, but the result that
  also the knee and hip configuration became progressively more misaligned was unexpected
  and requires further explanations.
- 379

Because misalignment induces a higher external gravitational moment at the joint, there is no 380 mechanical explanation for the transition in configuration observed here. In feedback control 381 theory it is accepted that movement is controlled via a pre-programmed combination of set 382 points, thresholds and feedback gains associated with maintaining or changing a 383 configuration of the body. These ideas are common and have been routinely applied to 384 physiological and postural control (c.f. Bernstein, 1967; Feldman, 1986; Fitzpatrick et al., 385 1996; Maurer and Peterka, 2005; Lockhart and Ting, 2007; Welch and Ting, 2008). Part of 386 this interpretation is the concept of a set point that the feedback system seeks to maintain or 387 restore following a perturbation. In this experiment, we perturbed human standing by asking 388 participants to walk. We could expect that the body configuration does not change after 389 initiation of standing or, if changes were measured, they were random and not consistent. 390 Instead, we found repeatable changes in configuration, despite different preceding gaits. 391 392 These changes in configuration suggest that standing was not determined by the end of walking configuration, but other factors were involved in the control of the leg configuration. 393 After gait, the body was in a different configuration. The discrepancy between expected and 394 current position could be monitored and minimised (Bays and Wolpert 2007). We suggest 395 that corrections were made when the relative joint positions were beyond threshold limits, as 396

- 397 at initiation of standing. Our hypothesis is that our participants had a body configuration
- reference which was expected and monitored by the nervous system.
- 399

These results do not preclude the established finding that, during long durations of standing, 400 there would be changes in the reference, for example in response to local irritation, fatigue 401 and need for variation (Duarte and Zatsiorsky, 1999; Duarte and Sternad, 2008). However, 402 within the experiment conditions and although the initial joint configuration was perturbed 403 mainly in the direction of the preceding gait phase, we measured adjustments that drove the 404 leg joints towards the steady-state standing configuration. We can assume that the body 405 configuration measured at steady-state standing is, therefore, an approximation of the body 406 configuration reference in standing. Although this study's conclusions are only congruent 407 with the limited number of trials and short duration of standing analysed, we measured a 408 standing reference which is a misaligned configuration at the lower limb joints. 409

410

### 411 Neural control of leg joints in standing

Investigating how this misaligned standing configuration is maintained is ambitious. Here wecan only draw conclusions and propose speculations based on our data.

414

415 We have shown that there is no simple mechanical explanation for the delayed process that

416 we observed between Mid and End intervals. The increased misalignment and joint moments

show that steady-state configuration was not necessarily consistent with an energy

418 minimisation/optimisation principle. This poses a key question: Why participants tend to 419 stand in a more misaligned configuration?

419

The steady-state misaligned configuration could be consistent with an end of range joint 421 flexion/extension that allows passive stabilisation through joint and ligaments locking (close 422 packed). This configuration allows energy conservation because the congruency between 423 articular surfaces allow load distribution and minimises the energy required to maintain a 424 posture. We could not measure whether the participants maintained a close packed joint 425 position at the end of their flexion/extension range, but our results show that the misaligned 426 configuration could be achieved through modulation in joint moments (Fig. 4) which allows 427 428 small body sway around an average position. This possibility is consistent with

- 429 proprioceptive mechanisms of standing.
- 430

431 In standing, joint positions have to be sensed, otherwise internal and external perturbations may destabilise the body and lead to loss of balance. It is unclear whether proprioception of 432 small, postural joint rotations is improved by lower modulation of muscular activity (Hulliger 433 et al., 1982; Cody et al., 1986; Di Giulio et al., 2009; Loram et al., 2009) or by slight tonic 434 activity (Fitzpatrick and McCloskey, 1994). However, proprioception is ambiguous when 435 sensing absolute position, rather than its change (Proske and Gandevia, 2012). On the other 436 437 hand, the nervous system is exceptionally sensitive to central estimation of muscle forces and movement responses to maintain equilibrium (Fitzpatrick and McCloskey, 1994). In this 438 framework, muscle activation involved in modulating joint moments provides an estimate of 439 440 the mean body configuration. The configuration thresholds and reference could be coded in terms of muscle activation patterns. Our hypothesis is that the muscle activation at a certain 441 point in time could be compared to the reference activation patterns, and muscle activation 442 would be modulated to facilitate standing control. We suggest that this mechanism may be 443 involved in quiet standing. 444

446 It is noteworthy that differences could be seen between participants. Investigating these

- differences and their functional implications is beyond the scope of this study. However, it is
- 448 possible that particular training techniques, injuries or compensatory mechanisms may be at
- the basis of this kind of differences, and the reference muscular activation pattern could be
- 450 different between individuals.
- 451

# 452 Limitations

In this study, we did not find a statistical difference between trials and we suggest that this 453 may confirm that the misalignment measured is consistent within a participant. However, the 454 455 number of trials available per participant varied between 2 and 4 due to technical problems that were only discovered during post-processing. We have interpreted this result cautiously, 456 but the fact that no differences were found despite an intervening long break led us to 457 conclude that consistency and repeatability of the data is acceptable. Furthermore, we chose 458 to treat Trial as a fixed factor. One may consider Trial as a random factor because there is no 459 meaningful, consistent difference between the levels. However, in order to consider Trial as a 460 random factor, Trial needs to be an instance from a large number of repetitions that have been 461 conducted, chosen at random from a larger subset of similar repetitions. This was not the case 462 in the current study because there was an intervening experiment and this is the reason of our 463 statistical model set-up. 464

465

Based on the measurements and analyses conducted, we suggest that other factors rather than 466 passive and biomechanical factors are involved in standing. It is difficult to distinguish 467 468 between active and passive mechanisms at the transition between initiation and steady-state standing, particularly because of the body inertia and the possible non-linear muscle 469 behaviour during the transition. This experiment was designed to test whether biomechanical 470 471 and passive mechanisms could fully explain body position transition between initiation and steady-state standing. In the analysis used here, we aimed to measure changes beyond body 472 deceleration and inertia. This is the reason why we calculated the time constant of body 473 acceleration and we used 3\*SD and we reported changes between the 'Mid' and the 'End' 474 intervals, rather than the 'Beg' interval. Despite this analysis, one limitation is that other non-475 active mechanisms may be still involved in the transition, but here we suggest that the 476 increased misalignment and joint moments at steady-state standing cannot exclude an active 477 control of configuration. Further experiments are needed to confirm this suggestion, but in 478 this study we were able to use an ecological protocol and measure physiological mechanisms 479 that are consistent with the hypothesis of active knee and hip control in quiet standing.

480 481

482

# 483 Conclusions

In this study, we measured leg joint configuration in standing after walking as a way to 484 physiologically perturb this configuration. We found that the misalignment between line of 485 gravity and ankle, knee and hip and the joint moments were larger at steady-state standing. 486 We, therefore, suggest that the human leg joints are controlled relative to a misaligned 487 standing reference. Although the experimental data presents limitations due to trial duration 488 and number of trials, we measured increased joint moments between two intervals after 489 490 initiation of standing (Mid and End). Because there is no need for a modulation of knee and hip moments in quiet standing, our results suggest that muscle moments were modulated to 491 achieve and maintain the steady-state standing configuration. Additional work is needed to 492 support the current evidence, possibility involving modelling of this tight control in standing. 493 Here we suggest that modulation of joints moments constitutes an additional voluntary 494

- control mechanism, other than the well-established passive and tonic control mechanisms, involved in maintaining quiet standing in humans.

#### **Figure Legends** 498

#### Figure 1. Representative trial. 499

From top to bottom, whole body sagittal stick figure from markers location of representative 500 participant at 0.5s before flat feet time, flat feet time and 2.5s, 5s and 10s after flat feet time. 501 Vertical dashed line represents line of gravity location. 502

- For the representative trial, relative displacement between CoG and hip, knee and ankle 503
- 504 position between 0.5s before flat feet time and end of trial. Vertical dashed line represents flat
- feet time, dotted line identify the intervals for which differences were calculated in the 505 analysis.
- 506
- 507

#### 508 Figure 2. Whole body quantities.

From top to bottom, mean (solid) ±95% confidence intervals (dotted) of upper body antero-509 510 posterior velocity (A), deceleration (B) and shear force from the force platform (C). Body velocity was quickly reduced to approximate the end of standing velocity. 511

- Vertical dashed lines illustrate the three intervals used in the statistical analysis: beginning of 512
- standing ('Beg' from flat feet time t=0s to 0.05s later), after body deceleration have ceased 513
- 514 ('Mid' 0.5-0.55s after flat feet time) and steady-state standing ('End', 7.55-7.6s after flat feet 515 time).
- 516

#### 517 Figure 3. Antero-posterior leg joint position relative to centre of gravity position.

From top to bottom, (A) mean stick figure obtained from sagittal position of lower body 518

- markers' locations (toe and sacrum) and calculated joint centres (ankle, knee and hip) relative 519
- 520 to line of gravity location (dashed) from all the trials at five time points (flat feet time, 0.5s,
- 2.5s, 5s and 7.6s after flat feet time). Mean (solid)  $\pm$  95% confidence intervals (dotted) of hip 521
- (B), knee (C) and ankle (D) joint centre location relative to centre of gravity position for the 522
- 523 common duration to all the trials included in the analysis (i.e. 7.6s). Om represents perfect sagittal alignment between joint centre and gravity. 524
- Vertical dashed lines illustrate the three intervals used in the statistical analysis: beginning of 525
- 526 standing ('Beg' from flat feet time t=0s to 0.05s later), after body deceleration have ceased ('Mid' 0.5-0.55s after flat feet time) and steady-state standing ('End', 7.55-7.6s after flat feet 527 528 time).
- Mean  $\pm$  standard error of the mean at Mid and End intervals for CoG-Hip (E), CoG-Knee (F), 529
- CoG-Ankle (G). One star indicates p < 0.05, two stars p < 0.01. 530
- 531

#### Figure 4. Sagittal leg joint moments. 532

- From top to bottom, mean (solid)  $\pm$  95% confidence intervals (dotted) of hip (A), knee (B) 533
- and ankle (C) sagittal moments for the common duration to all the trials included in the 534 analysis (i.e. 7.6s). 535
- 536 Vertical dashed lines illustrate the three intervals used in the statistical analysis: beginning of
- 537 standing ('Beg' from flat feet time t=0s to 0.05s later), after body deceleration have ceased
- ('Mid' 0.5-0.55s after flat feet time) and steady-state standing ('End', 7.55-7.6s after flat feet 538
- 539 time).
- Mean  $\pm$  standard error of the mean at Mid and End interval for hip flexion-extension moment 540
- (D), knee flexion-extension moment (E), and ankle dorsi-plantarflexion moment (F). Two 541
- 542 stars indicate p < 0.01, three stars p < 0.001.
- 543
- 544

#### 545 Acknowledgements

- 546 We thank the anonymous participants for generously giving their time and interest for these
- 547 experiments. We thank Ian D Loram for his invaluable input on this study. We also thank
- 548 Jonathan Howell for his technical support.
- 549

#### 550 Author Contribution Statement

- All authors contributed to design of the work and critically reviewed the intellectual content.
- 552 IDG contributed to acquisition, analysis and drafting of the work. IDG and VB contributed to
- 553 manuscript writing. The authors approved the final version of the manuscript and agree to be
- accountable for all aspects of the work in ensuring that questions related to the accuracy or
- integrity of any part of the work are appropriately investigated and resolved.
- 556

### 557 Conflict of Interest Statement

- 558 The submitted work was carried out in absence of any personal, professional or financial
- relationships that could potentially be construed as a conflict of interest.
- 560

## 561 Funding

562 This study was supported by an MMU Faculty studentship.

#### 

#### 

**Data Availability Statements** The raw data supporting the conclusions of this manuscript will be made available by the authors, without undue reservation, to any qualified researcher. 

### 569 **References**

- Bays PM, Wolpert DM & Flanagan JR (2005). Perception of the consequences of self-action
  is temporally tuned and event driven. *Curr Biol* 15(12):1125–1128.
- Bernstein N. (1967). *The co-ordination and regulation of movements*. Pergamon Press Ltd.,
  London.
- 575
  576 Caron O, Faure B, Breniere Y (1997). Estimating the centre of gravity of the body on the
  577 basis of the centre of pressure in standing posture. *J Biomech* 30(11/12):1169-1171.
- 578
  579 Casadio M, Morasso PG & Sanguineti V (2005). Direct measurement of ankle stiffness
  580 during quiet standing: implications for control modelling and clinical application. *Gait*581 *Posture* 21(4):410-424.
- Cody FWJ, Macdermott N, Matthews PBC & Richardson HC. (1986). Observations on the
  genesis of the stretch reflex in Parkinson's disease. *Brain* 109: 229-249.
- 585
  586 Duarte M & Zatsiorsky VM (1999). Patterns of center of pressure igration during prolonged
  587 unconstrained standing. *Motor Control* 3(1):12-27.
- Duarte M & and Sternad D (2008). Complexity of human postural control in young and older
  adults during prolonged standing. *Exp Brain Res* 191(3):265-276.
- 591
  592 Di Giulio I, Baltzopoulos V, Managanaris CN & Loram ID (2013). Human standing: does the
  593 control strategy pre-program a rigid knee? *J Appl Physiol* 114(12):1717-1729. DOI:
  594 10.1152/japplphysiol.01299.2012.
- 595

582

588

Di Giulio I, Maganaris CN, Baltzopoulos V & Loram ID. (2009). The proprioceptive and
agonist roles of gastrocnemius, soleus and tibialis anterior muscles in maintaining human
upright posture. *J Physiol* 587:2399-2416.

- 599
- Feldman AG & Levin MF. (2009). The equilibrium-point hypothesis Past, present and
  future. In *Progress in motor control: A multidisciplinary perspective*, ed. Sternad D &
  University PS, pp. 699-726. Spinger US, New York.
- 603
- Fitzpatrick R & McCloskey DI (1994). Proprioceptive, visual and vestibular thresholds for
  the perception of sway during standing in humans. *J Physiol* 478 (1):173-186.
- Fitzpatrick R, Burke D & Gandevia SC (1996). Loop gain of reflexes controlling human
  standing meaured with the use of postural and vestibular disturbances. *J Neurophysiol*76(6):3994-4008.
- 610
- Gatev P, Thomas S, Kepple T & Hallett M (1999). Feedforward ankle strategy of balance
  during quiet stance in adults. *J Phyiol* 514(3):915-928.
- 613
- Horak FB, Nashner LM (1986). Central programming of postural movements: adaptation to
- altered support-surface configurations. *J Neurophysiol* 55(6): 1369-1381.
- 616

- 617 Hulliger M, Nordh E & Vallbo AB. (1982). The absence of position response in spindle afferent units from human finger muscles during accurate position holding. J Physiol 618 322:167-179. 619 620 Hsu W-L, Scholz JP, Schoener G, Jeka JJ, Kiemel T (2007). Control and Estimation of 621 posture during quiet stance depends on multijoint coordination. J Neurophysiol 97:3024-622 623 3035. 624 Kiemel T, Elahi AJ & Jeka JJ (2008). Identification of the plant for upright stance in humans: 625 626 multiple movement patterns from a single neural strategy. J Neurophysiol 100(6):3394–3406. DOI: 10.1152/jn.01272.2007. 627 628 Kiemel T, Zhang Y & Jeka JJ (2011). Identification of Neural Feedback for Upright Stance in 629 Humans: Stabilization Rather Than Sway Minimization. J Neurosci 31(42):15144-15153. 630 DOI: 10.1523/JNEUROSCI.1013-11.2011. 631 632 633 Loram ID & Lakie M (2002). Direct measurement of human ankle stiffness during quiet standing: the intrinsic mechanical stiffness is insufficient for stability. J Physiol 545(3):1041-634 635 1053. 636 Loram ID, Lakie M, Di Giulio I & Maganaris CN. (2009). The consequences of short-range 637 stiffness and fluctuating muscle activity for proprioception of postural joint rotations: the 638 639 relevance to human standing. J Neurophysiol 102: 460-474. 640 Loram ID, Maganaris CN & Lakie M (2005). Active, non-spring-like muscle movements in 641 642 human postural sway: how might paradoxical changes in muscle length be produced? J*Physiol* 564(1):281–293. 643 644 645 Loram ID, Maganaris CN & Lakie M (2007). The passive, human calf muscles in relation to standing: the non-linear decrease from short range to long range stiffness. J Physiol 646 584(2):661-675. 647 648 Lockhart DB & Ting LH. (2007). Optimal sensorimotor transformations for balance. Nat 649 Neurosci 10:1329-1336. 650 651 652 MacConaill MA & Basmajian JV. (1977). Muscles and movements. A basis for human kinesiology. Robert E. Krieger Publishing Co, Inc., New York. 653 654 655 Maurer C & Peterka RJ. (2005). A new interpretation of spontaneous sway measures based 656 on a simple model of human postural control. J Neurophysiol 93:189-200. 657 Mirbagheri MM, Barbeau H & Kearney RE (2000). Intrinsic and reflex contributions to 658 human ankle stiffness: variation with activation level and position. Exp Brain Res 659 135(4):423-436. 660 661 Morasso PG & Schieppati M (1999). Can muscle stiffness alone stabilize upright standing? J 662 Neurophysiol 82(3):1622-1626. 663 664 Peterka RJ & Benolken MS (1995). Role of somatosensory and vestibular cues in attenuating 665
- visually induced human postural sway. Exp Brain Res 105(1):101-110. 666

- 667
- Pijnappels M, Bobbert MF & van Dieen JH. (2001). Changes in walking pattern caused by
  the possibility of a tripping reaction. *Gait Posture* 14(1):11-18.
- 670
  671 Proske U & Gandevia SC (2012). The proprioceptive senses: their roles in signaling body
  672 shape, body position and movement, and muscle force. *Physiol Rev* 92(4):1651–1697.
- 673
- 674 Steindler A. (1964). Kinesiology of the human body under normal and pathological 675 conditions. Charles C. Thomas Publisher. Springfield. Illinois. USA
- 675 conditions. Charles C. Thomas Publisher, Springfield, Illinois, USA.
- 676
- 677 Vaughan CL, Davis BL & O'Connor JC. (1999). Dynamics of human gait. Kiboho
- 678 Publishers, Western Cape.
- 679
- 680 Welch TDJ & Ting LH. (2008). A feedback model reproduces muscle activity during human
- 681 postural responses to support-surface translations. *J Neurophysiol* 99:1032-1038.
- 682